



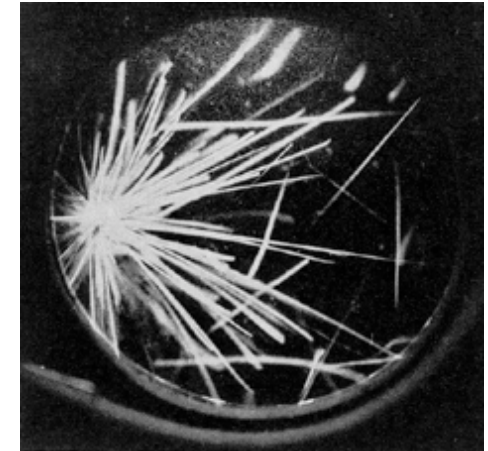
Modern 3D-Detectors

Instrumentation for Particle Tracking in Nuclear
Collisions



- “historic” 3D detectors
 - limited in speed, mostly visual
- modern 3D detectors
 - two examples:
 - ALICE Time Projection Chamber after GEM upgrade
 - CBM Silicon Tracking System
 - modern detectors require fast, sophisticated online reconstruction software,
 - detection system = detector + high performance computing

Very First 3D Detector



tracks of an α -source in a cloud chamber

spatial resolution:
< 1 mm

original Wilson **Cloud Chamber** (museum Cavendish laboratory) – Nobel prize 1927

sensitive time (by adiabatic expansion) : 0.01 sec
recovery time: 1-2 min (or longer)

integration time: $> 10^4 \mu\text{s}$
rate: $< 10^{-2} \text{ Hz}$



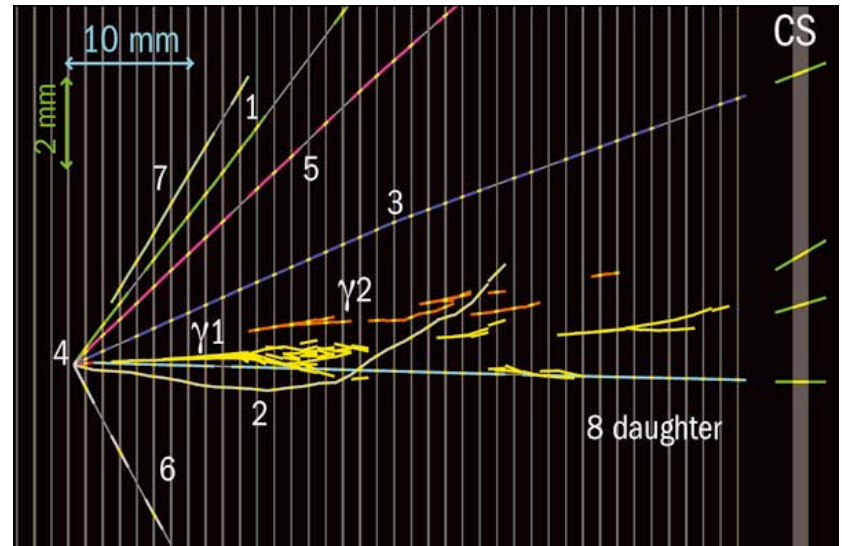
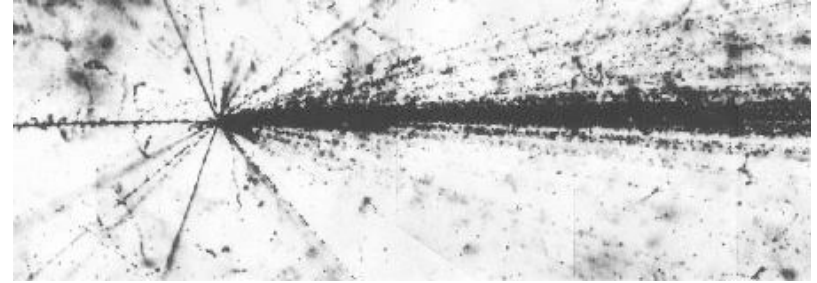
Best Spatial Resolution Ever to-Date...



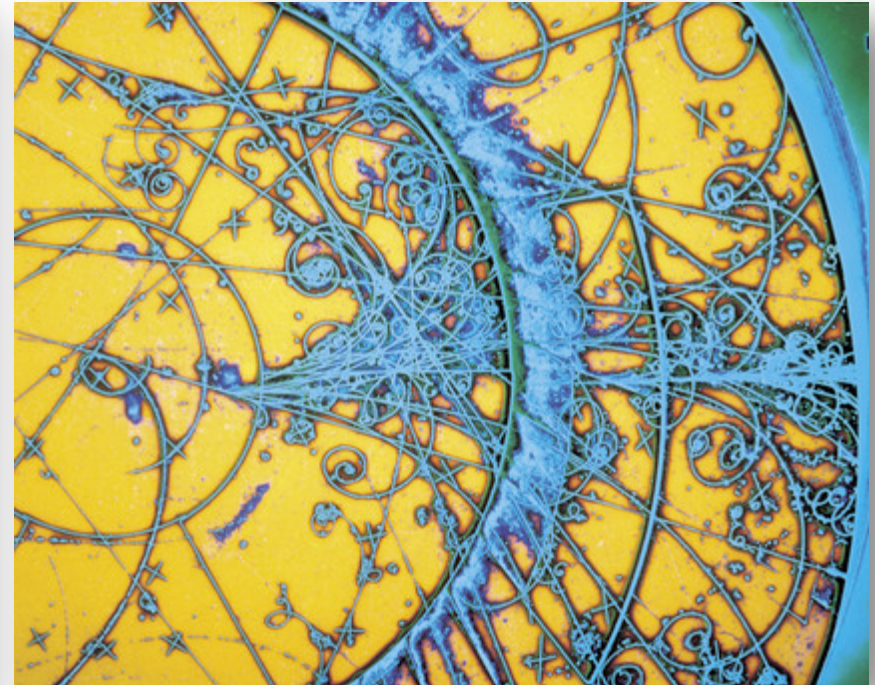
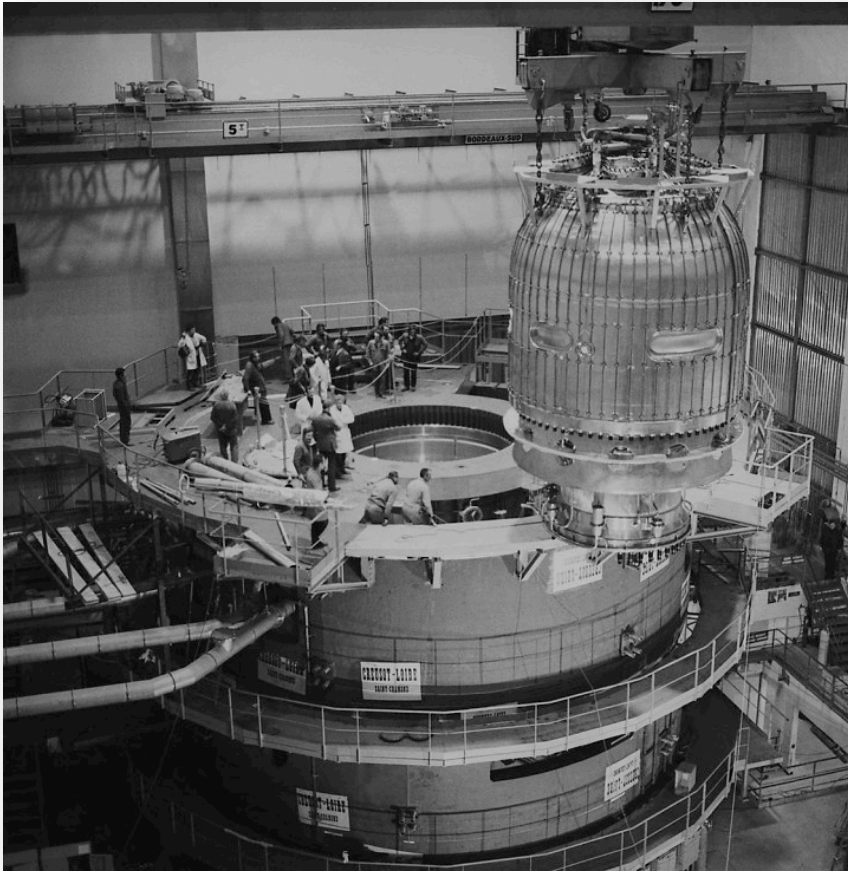
Emulsion Chambers

spatial resolution given by grain size – $0.2\ \mu\text{m}$

used from 1940 until today (e.g. **O**scillation
Project with **E**mulsion-tRacking **A**pparatus
- **OPERA**)



one of the last of its kind...

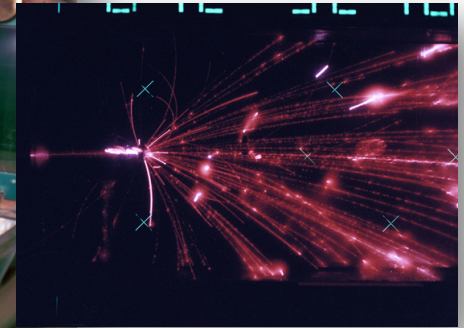
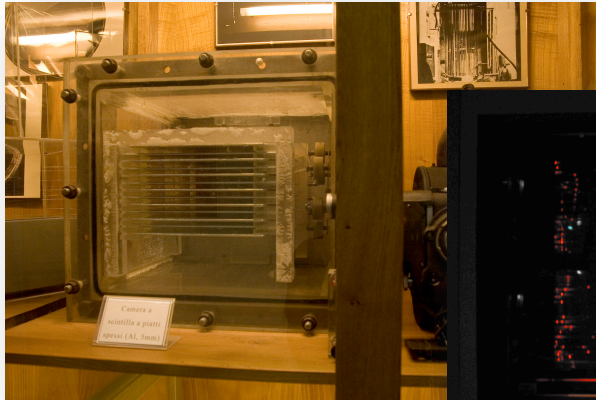


spatial resolution: $< 100 \mu\text{m}$

BEBC - Big European Bubble chamber, in operation 1977 – 1984 at CERN
during this time 6.3 M photos were taken (data taking rate $\sim 10^{-2}$ Hz)



More visual 3D Detectors...



spark chamber
dead time ~ up to *ms* (clearing field for ions)

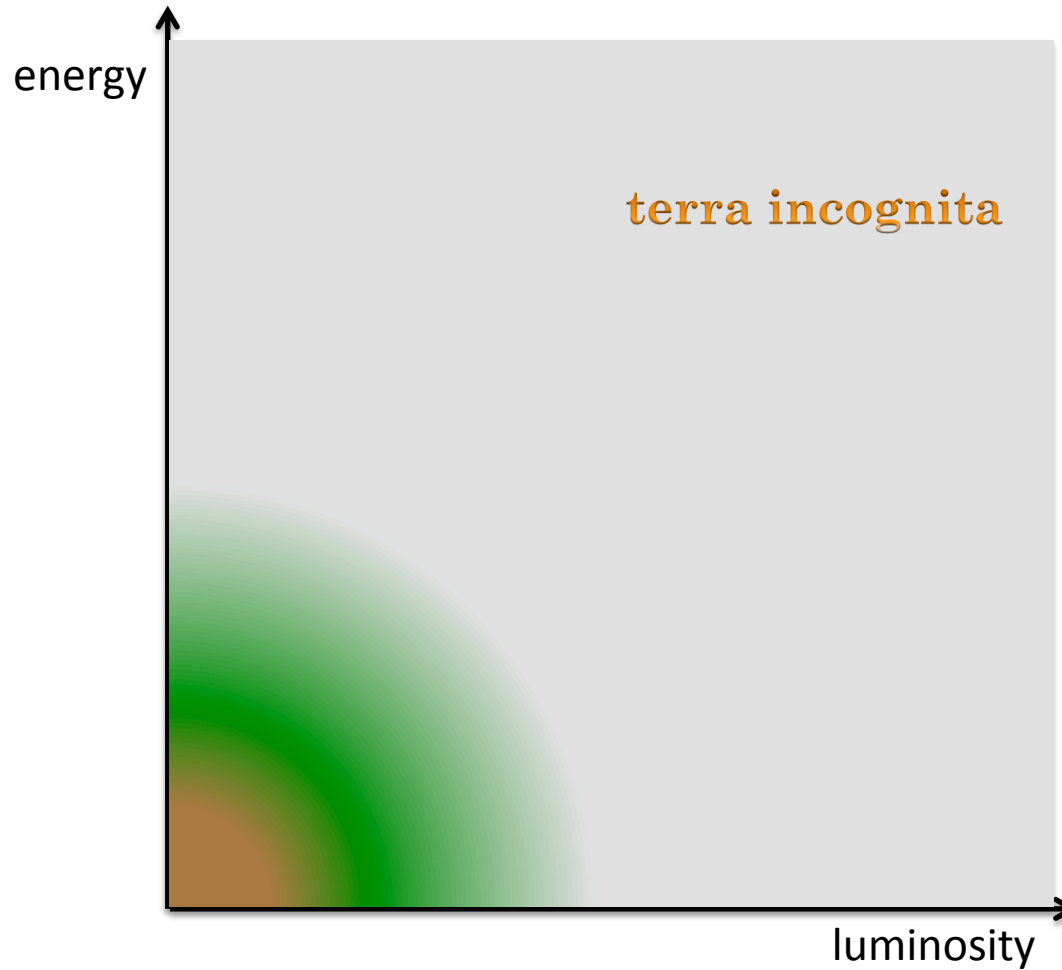
streamer chamber

rate limited by optical readout

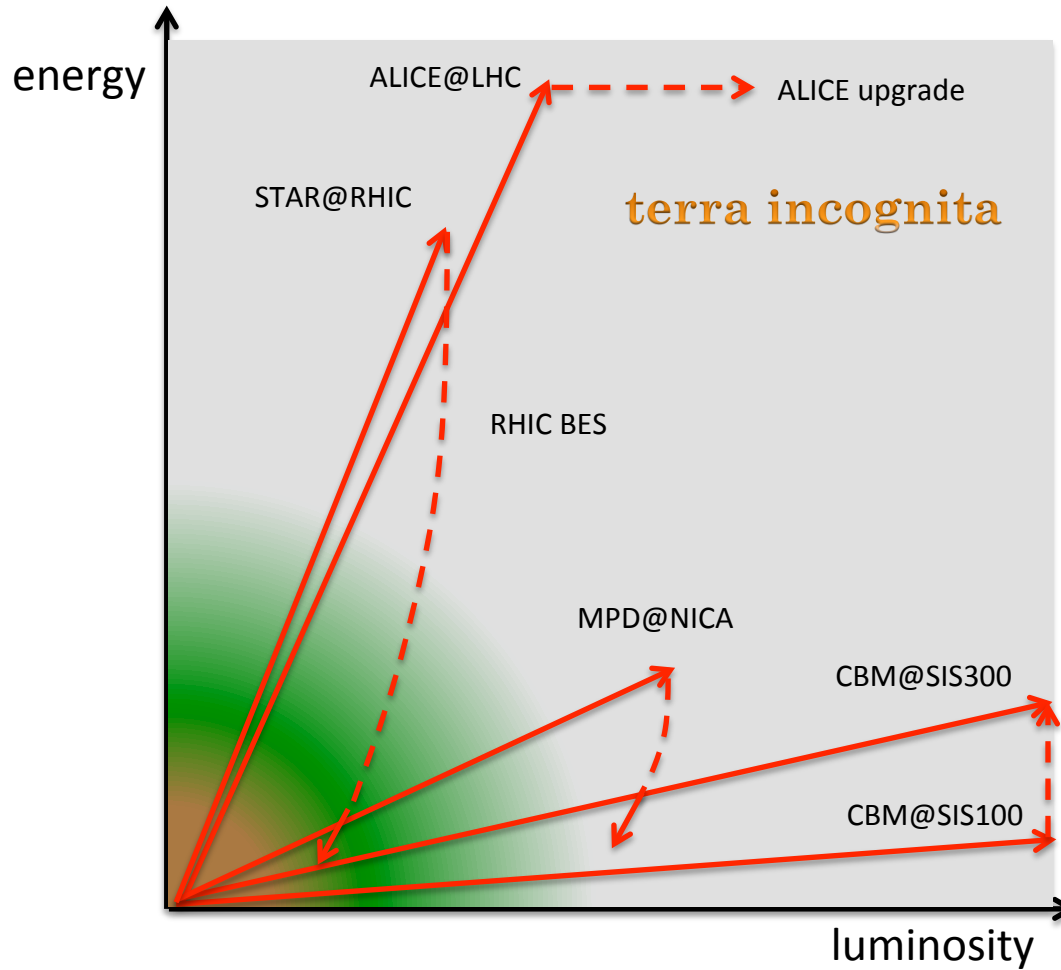
breakthrough: invention of wire chambers/drift chambers
→ electronic recording of 3d space points in kHz range...



Landscape of Discovery in Super-Dense Matter Physics



Landscape of Discovery in Super-Dense Matter Physics





requirements for the exploration of the terra incognita:

- high multiplicity
- rate capability & radiation hardness
- low mass budget

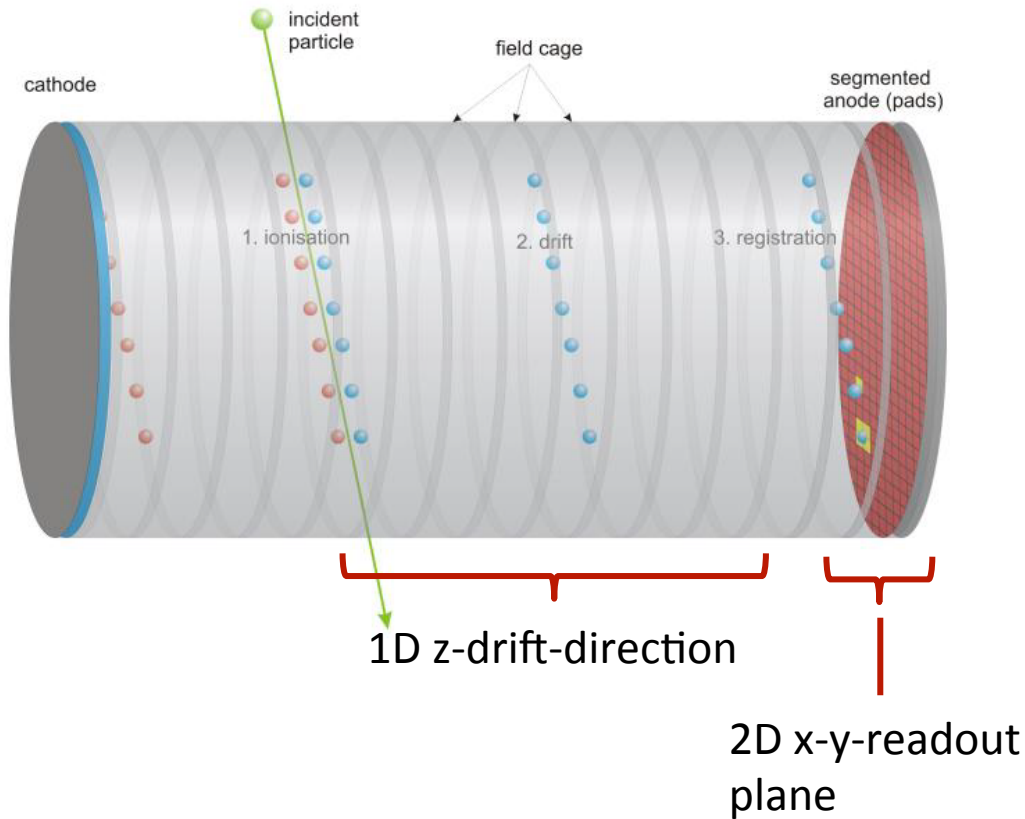
in addition to:

- very good momentum resolution
 - very good position/impact parameter resolution
 - two-track resolution
 - particle id
 - ...
-
- no detector can fulfill all requirements simultaneously,
 - in this talk the state-of-the-art will be discussed on the basis of the
- ALICE TPC-upgrade plans
 - future CBM silicon tracking system (STS)

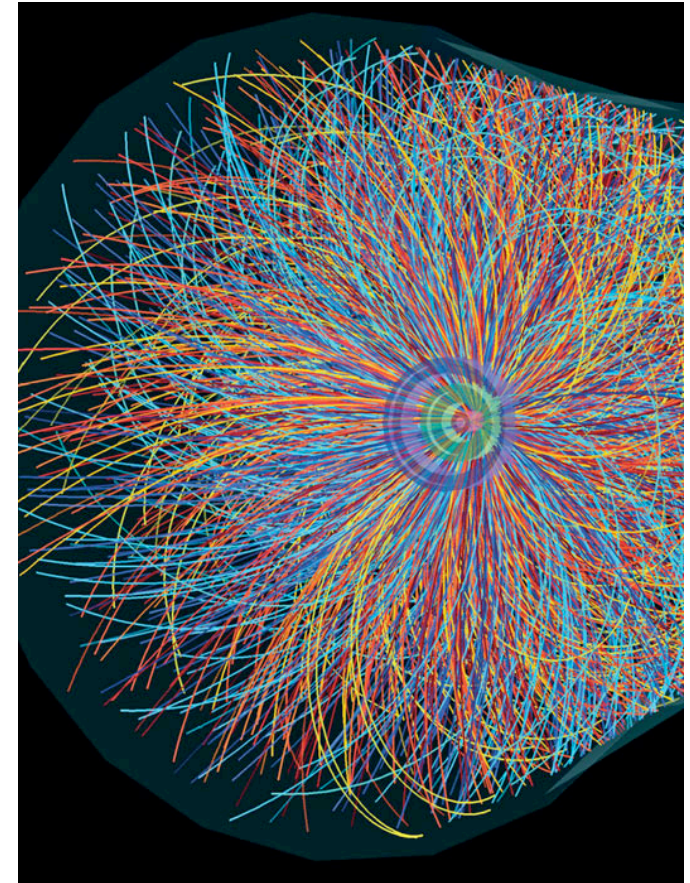


modern 3D detector require
very careful optimization of its
operation conditions

TPC - Principle

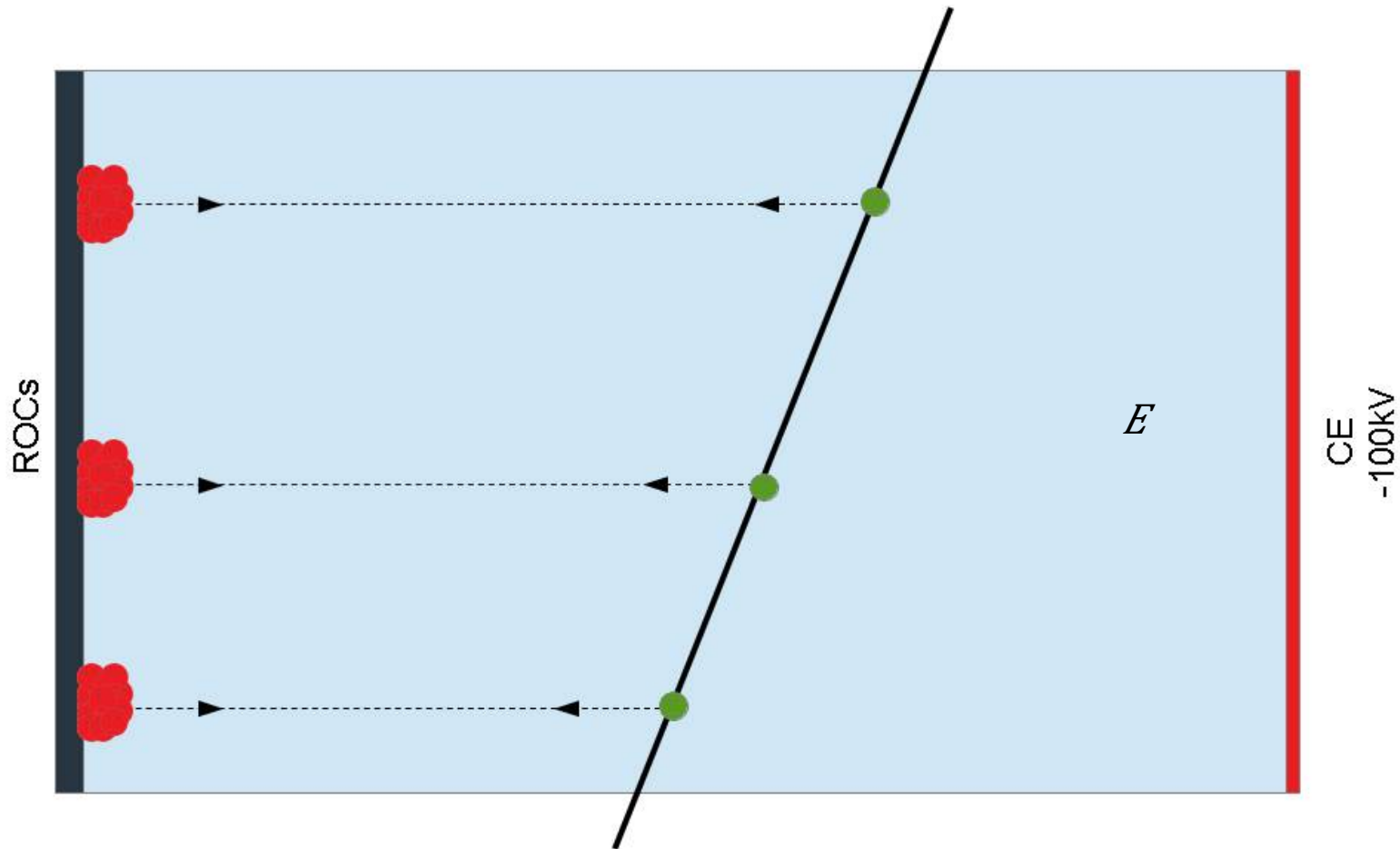


allows continuous 3D-tracking of high multiplicity events and PID



ALICE PbPb event

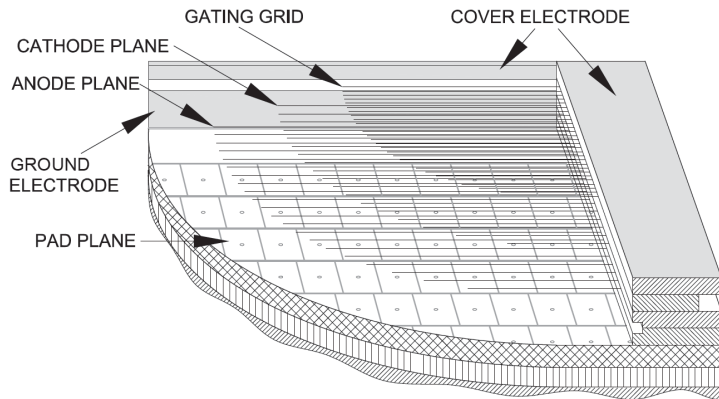
The Space Charge Problem



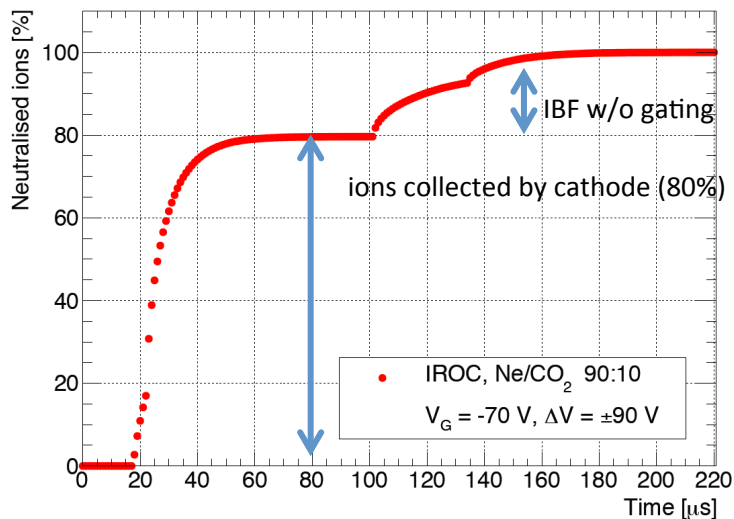
- due to their slower drift velocity positive ions accumulate in the drift space end eventually distort the drift field
- at 50 kHz interaction rate ions from 8000 events fill the drift space!



“conventional” MWPC readout

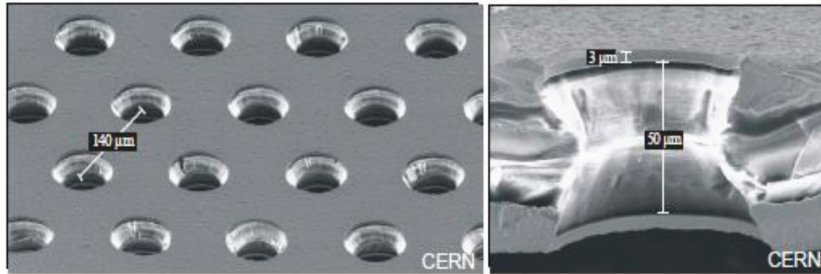


time needed to “neutralize” ions



- present TPC employ MWPC with gain up to 10^4 , i.e. **ion back flow (IBF) of 2×10^3 ions/electron**
 - **gating grid** needed to suppress IBF
 - after electron drift (100 μ s) the gate must be close for 200 μ s to suppress back-drifting ions
 - total time of 300 μ s limits the **maximal trigger rate to 3 kHz** (if one wants to avoid excessive space charge accumulation)
- in this configuration a TPC **cannot** be used for high luminosity experiments (e.g. after the ALICE upgrade or at the ILC/CLIC)

Gas Electron Multiplier



Electron microscope photograph of a GEM foil

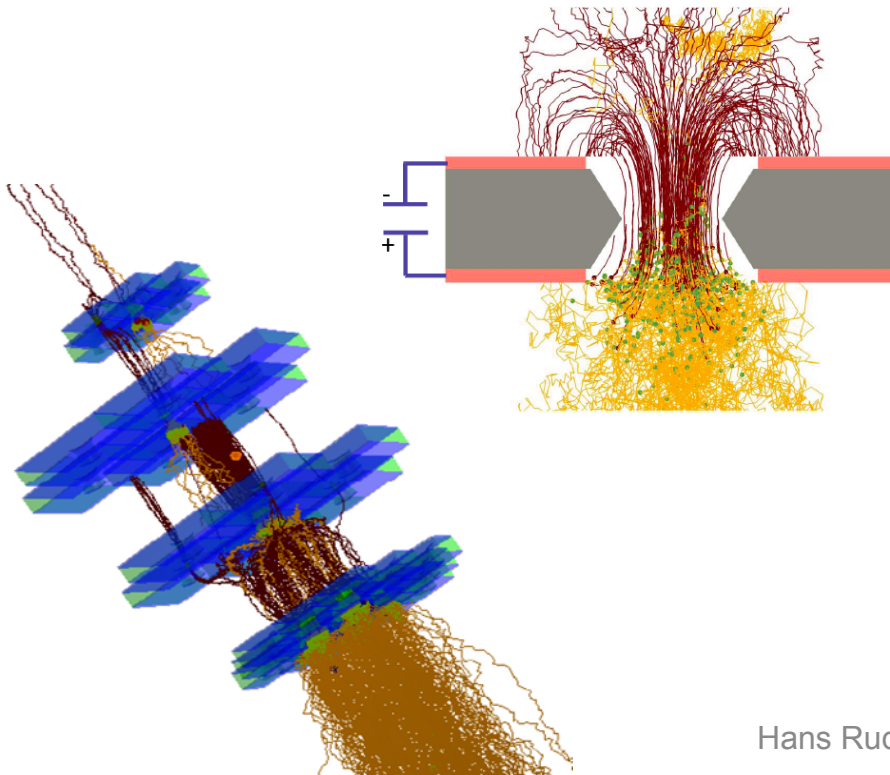
promising candidates for amplification stages with **reduced IBF** are MPGC

(Micro Pattern Gas Chambers) like

- GEM (Gas Electron Multiplier)
- MicroMegas

goal for IBF: **< 1 %** (<20 ions flow back into drift space at a gain of 2000)

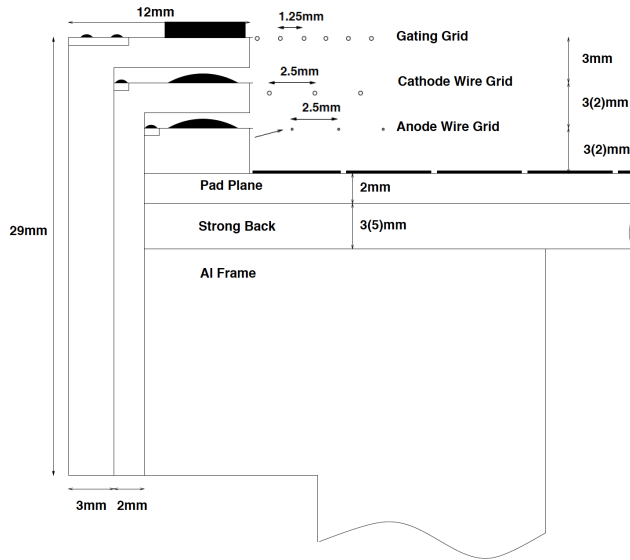
reduction of IBF is achieved by a stack of 4 GEM planes and optimized transfer fields between GEMS



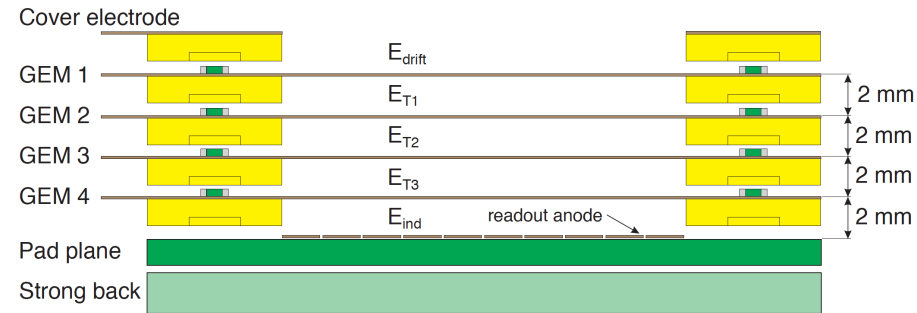
4-GEM Stack



MWPC



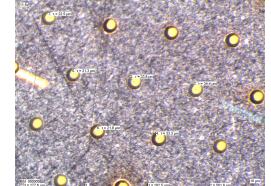
GEM



small pitch (S)



large pitch (LP)



conventional MWPC replaced by stack 4 GEM foils

overall IBF depends on many factors (large parameter space):

- $E_{T1,2,3}$ (transfer fields), E_{ind} (induction fields)
- $E_{GEM1,2,3,4}$
- hole geometry & alignment, ...

} requires significant R&D

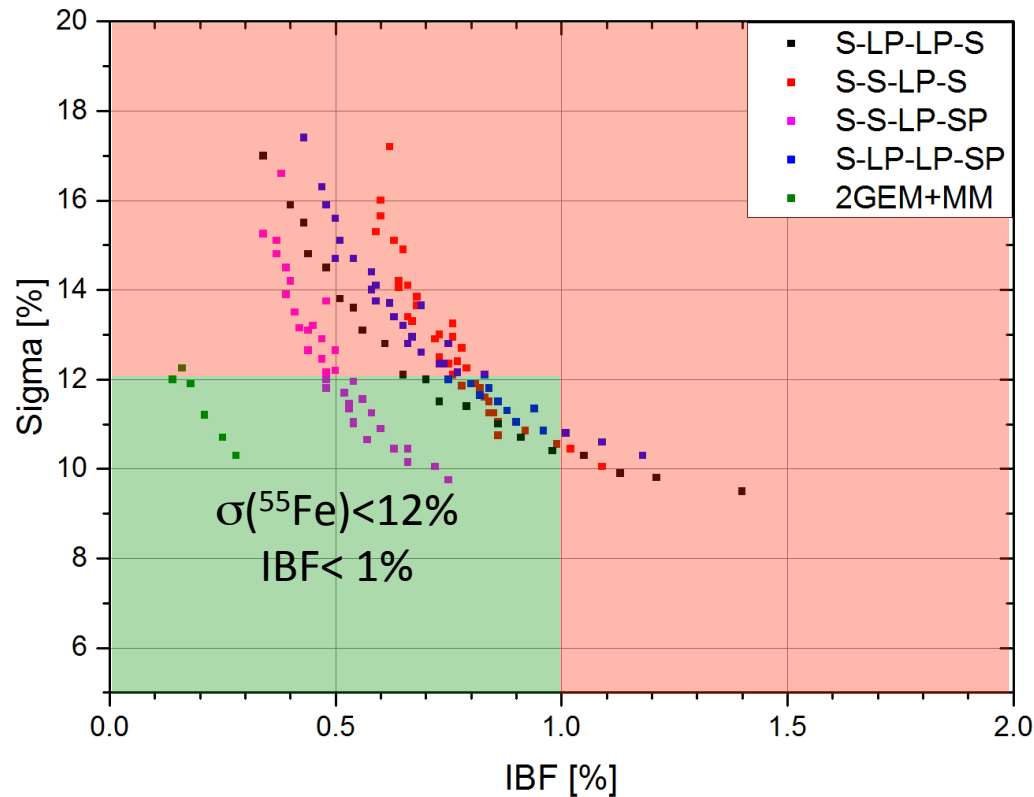
goal for IBF: $< 1\%$ (< 20 ions flow back into drift space at a gain of 2000, $\epsilon < 20$)

IBF vs. Energy Resolution



Basic caveat:

minimization of IBF reduces at the same time the transparency for electrons, i.e, the energy resolution....

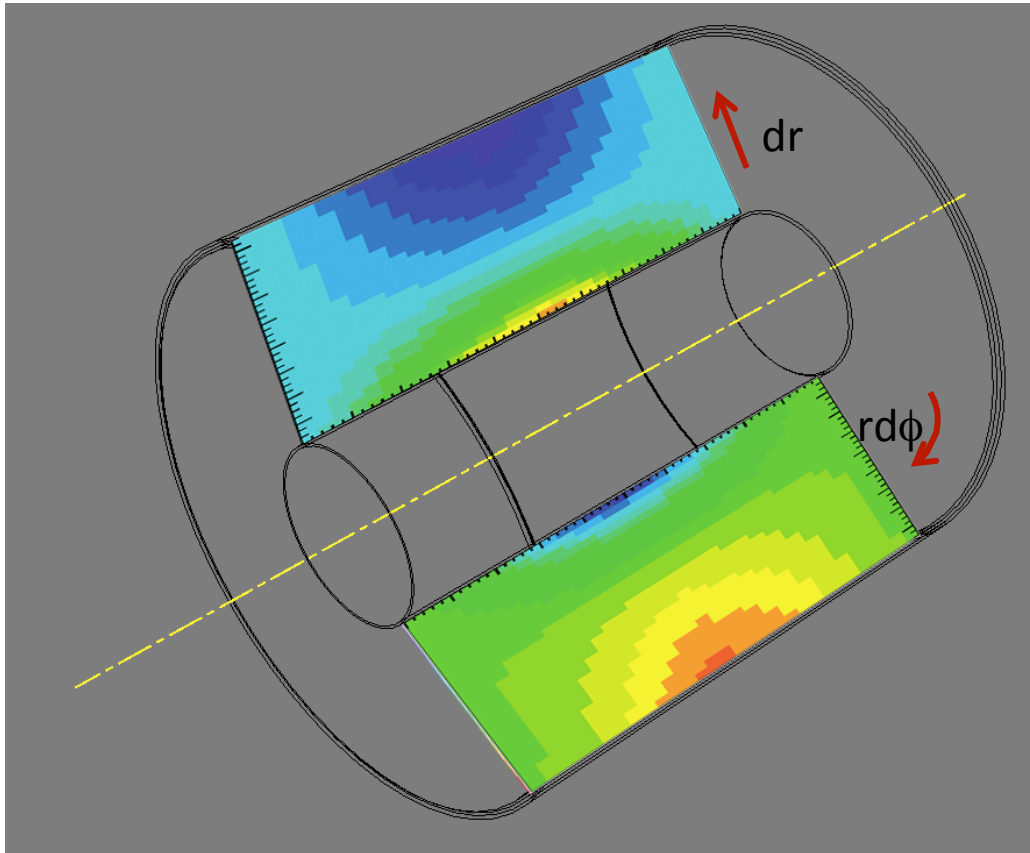


Technical Design Report: base line solution with 4 GEM-system with IBF <1% and $\sigma(^{55}\text{Fe}) < 12\%$

Space Charge Distortions with IBF=1%



space charge distortion based on average space charge density at 50 kHz interaction rate, corresponding to a pile-up of positive ions from 8000 events



distortions in radial direction:
 $dr < 19 \text{ cm}$

distortions in azimuthal direction:
 $rd\phi < 4 \text{ cm}$

required precision: $\sim \text{few } 100 \mu\text{m}$

can this be corrected? \rightarrow yes, but....

- correction must be done **on the fly**
- space charge distribution **fluctuates** (multiplicity, event rate, ionization) $\sim 5\%$

Data Size & Online Reconstruction

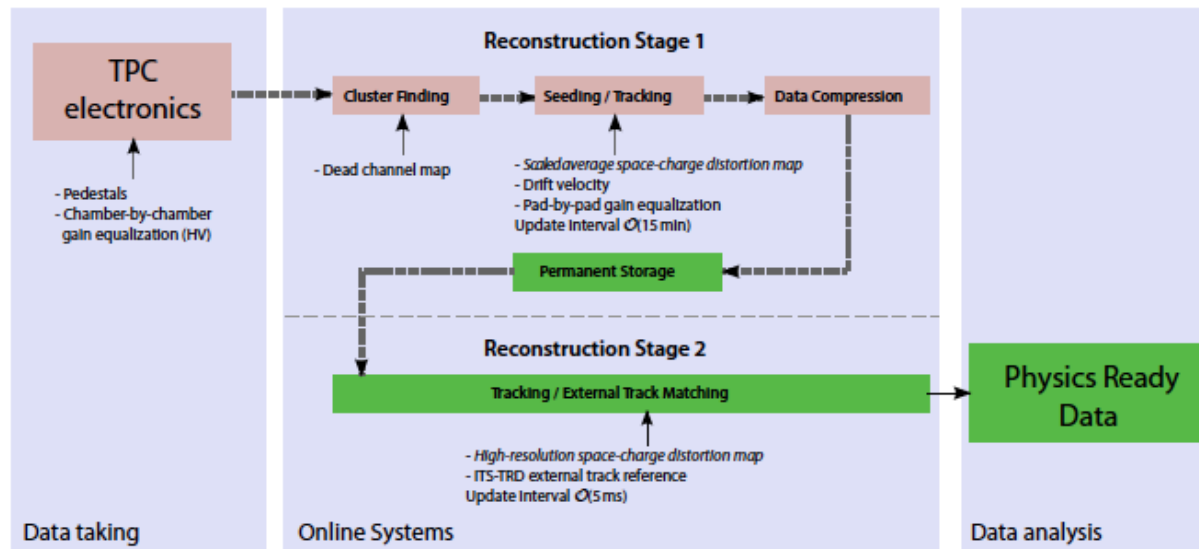


typical TPC raw events size: 20 Mbyte
data rate @ 50 kHz: 1 TByte/s

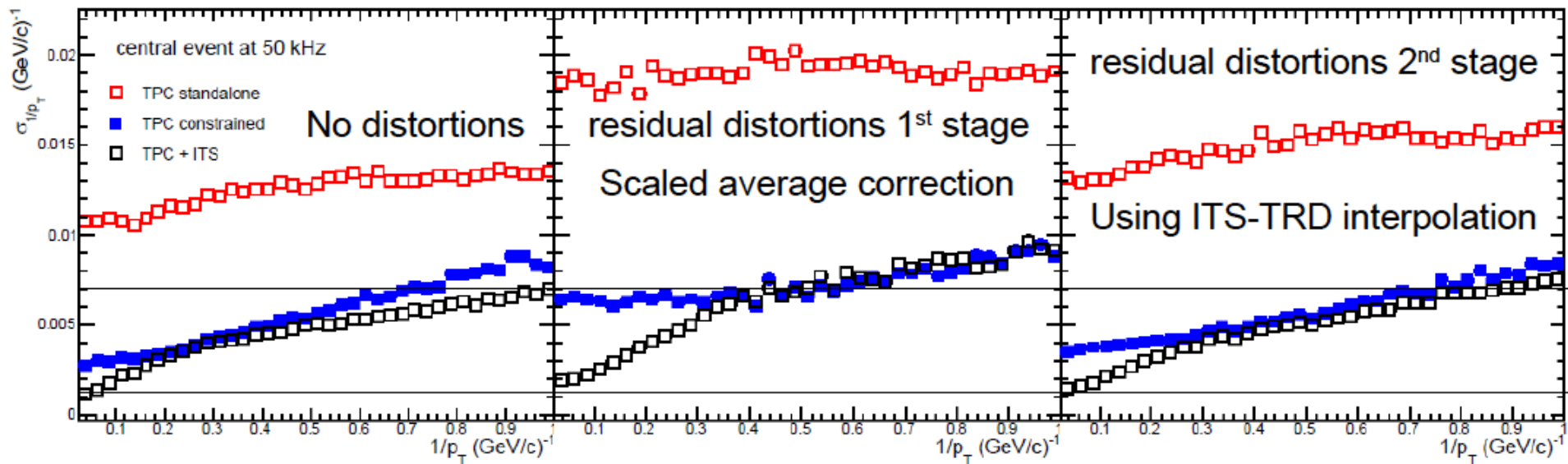


exceeds storage band width by far
⇒ **online data correction & compression**

- the required compression (> **factor 20**) can only be achieved if tracks are **online** reconstructed based on an **average** distortion map, which must be updated every 15 min
→ **permanent storage**
- the final correction is based on a **high resolution** distortion map, which must be updated every 5 ms
→ **requires external track reference from other detectors (ITS, TRD)**



Performance: Momentum Resolution after Corrections



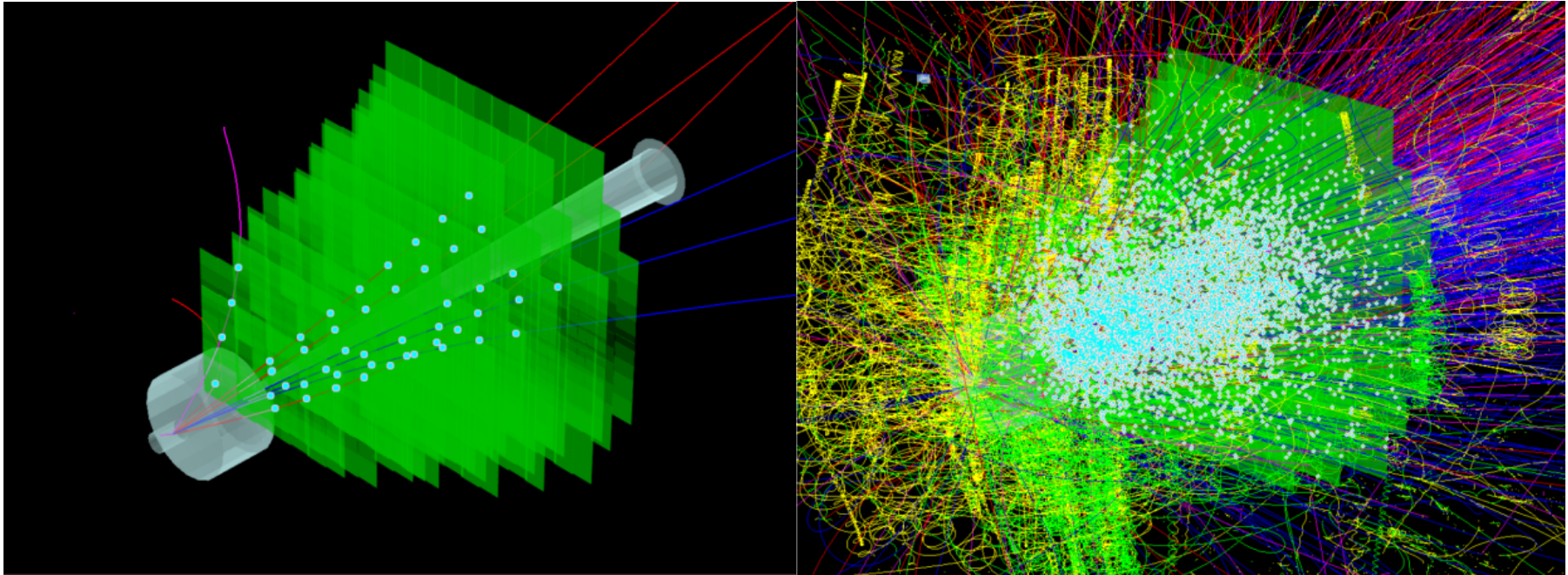
TPC momentum resolution for tracks matched with the ITS/TRD practically restored after 2nd reconstruction stage!



The CBM Silicon Tracking System



silicon sensors (pixel or strip) are inherently 2D-devices, they become 3D by stacking several sensors:



can a 3D-Silicon Tracking System meet the ambitious CBM requirements?

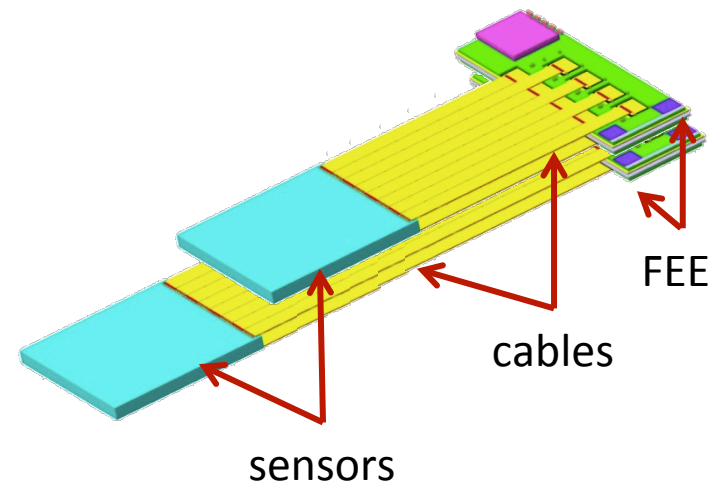
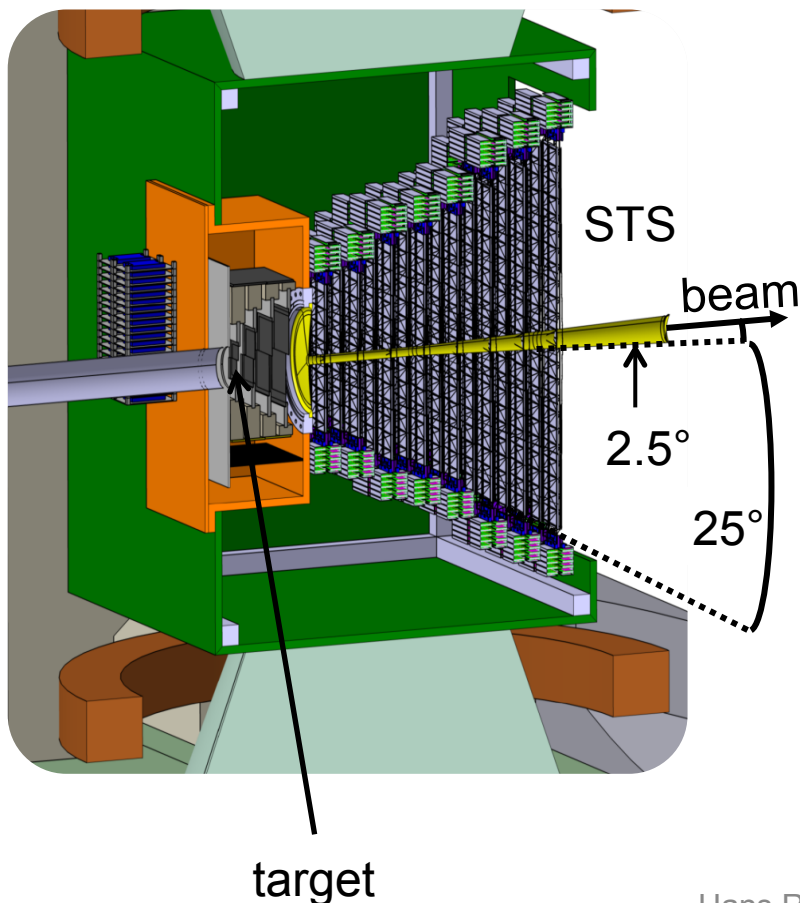
- I. excellent **momentum resolution** ($\Delta p/p \approx 1\%$) at low momenta ($< 10 \text{ GeV}/c$) \Leftrightarrow **material budget**
- II. simultaneous **tracking of several hundred particles** \Leftrightarrow **granularity**
- III. **high collisions rate** of up to 10 MHz (TPC 50 kHz) \Leftrightarrow **readout and rad. hardness**

I.1: Momentum Resolution & Material Budget



At SIS-energies (and design **spatial resolution $< 25 \mu\text{m}$**) the **momentum resolution is dominated by multiple scattering**, i.e., for good momentum resolution the active area has to be practically massless....

- readout electronics outside of active area
 - ultra-thin readout cables
- ultra light support structure
 - carbon fiber
- $300 \mu\text{m}$ sensor with double sided readout



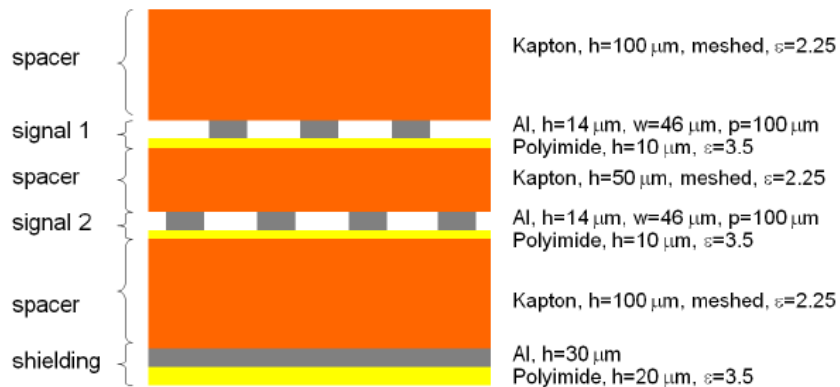
I.2: Multilayer Readout Cables



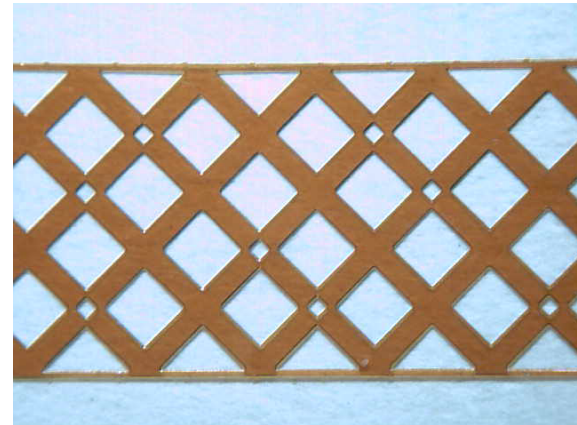
caveat 1: long readout cables to periphery has large capacity (noise)

caveat 2: spacer to decrease capacity of signal lines increases material budget

cable stack: *thickness* $0.11\% X_0$



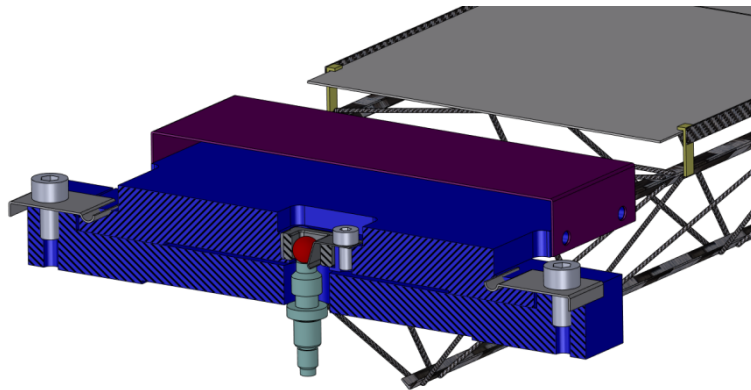
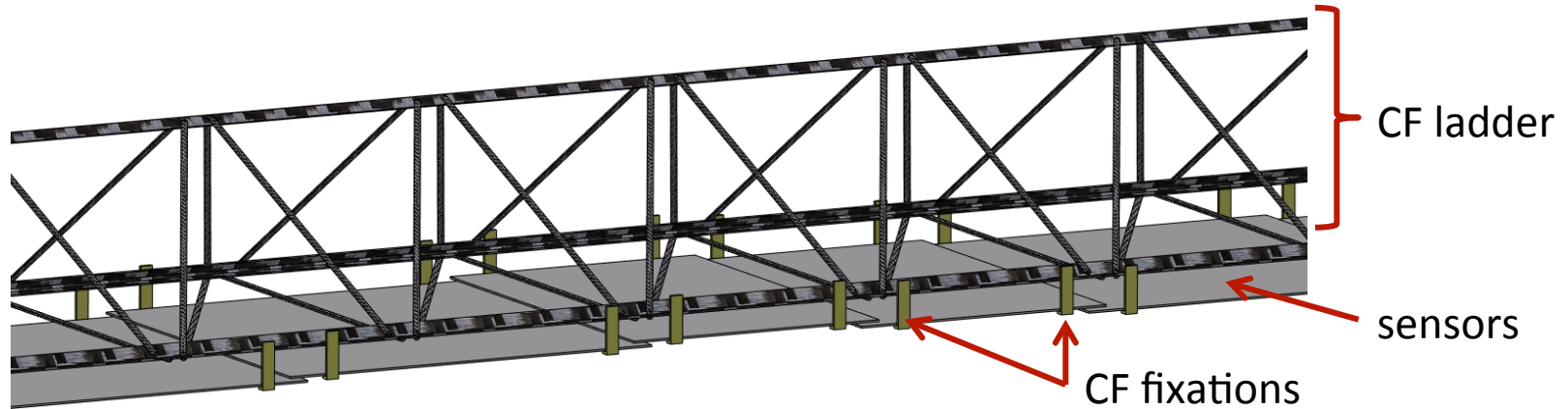
meshed spacer layer



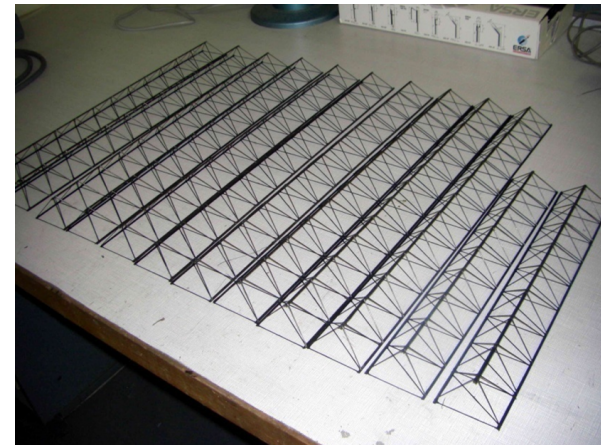
signal lines: $h=14\text{ }\mu\text{m}$ Al, $w=46\text{ }\mu\text{m}$

cable design is a optimization between capacity (noise) and material budget (multiple scatt.)

I.3: Carbon Fiber Support Structures



alignment structure



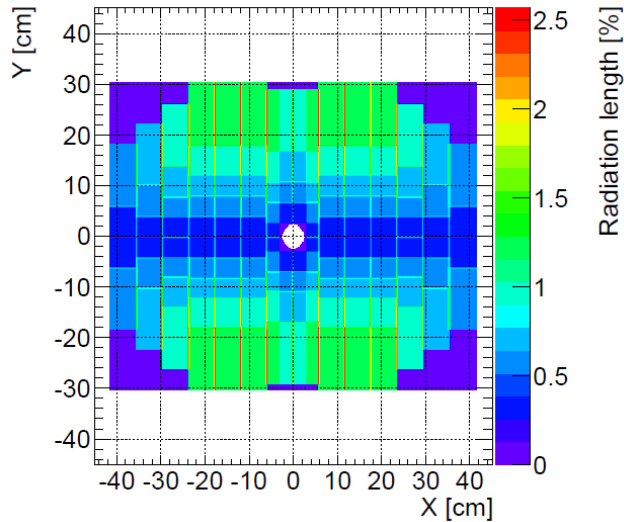
ALICE type support/ladders

caveat: light-weight support structure has to maintain mechanical precision $< 100 \mu\text{m}$

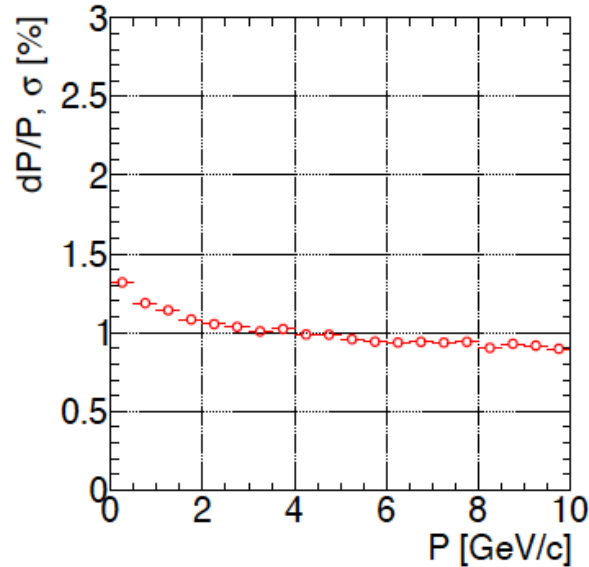


I.4: Simulation Results

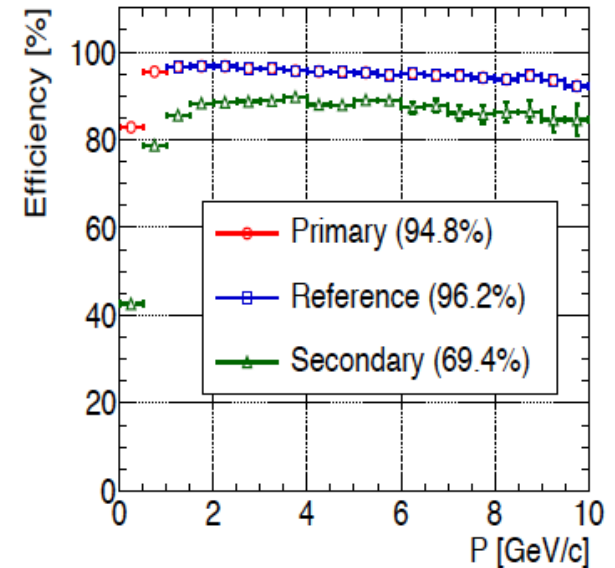
material budget/station



momentum resolution



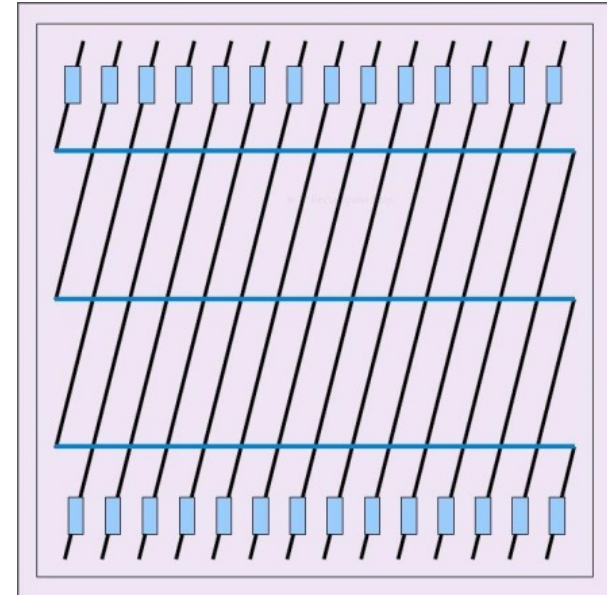
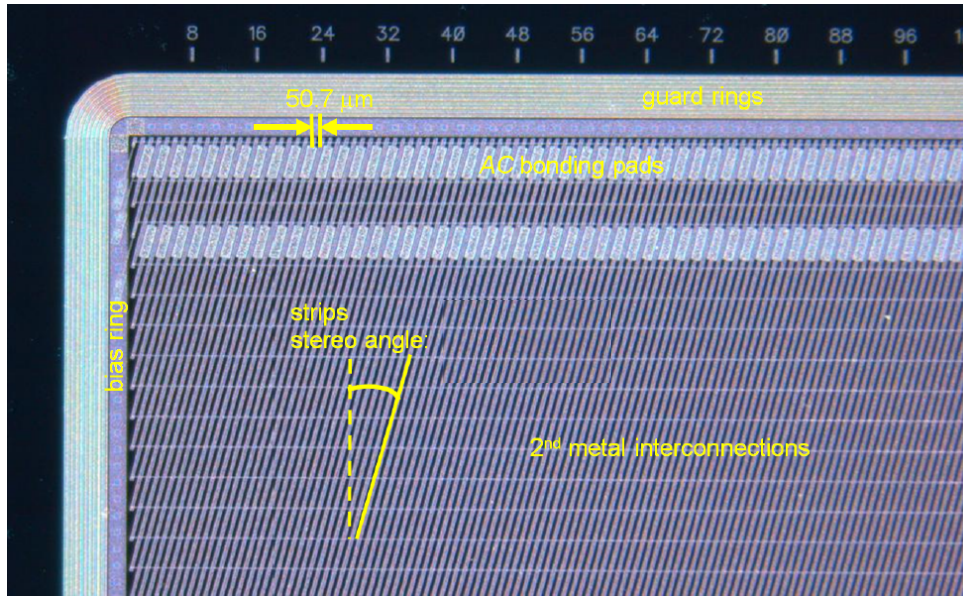
reconstruction efficiency



material budget ranges from **0.3%** X/X_0 (only sensor) to **1%** X/X_0 (sensors + cables) resulting in:

- reconstruction efficiency up to $\epsilon \approx 98\%$
- momentum resolution $\Delta p/p \approx 1\%$

II.1: Sensors

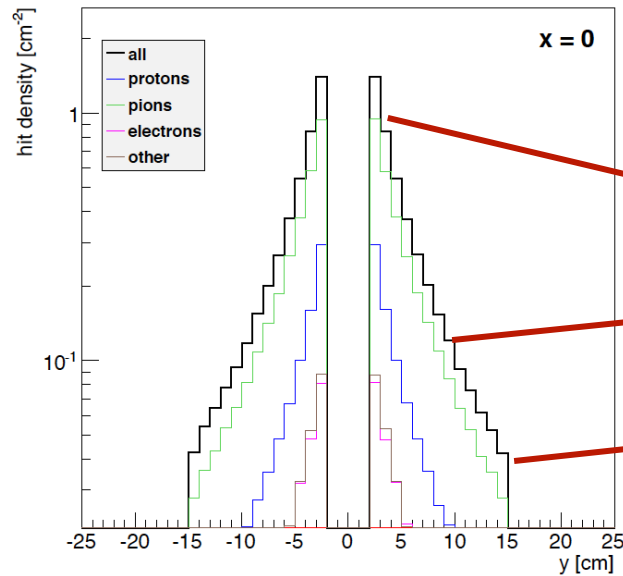


sensor:

- n-type silicon
 - 300 μm thickness
 - double sided readout
 - metal interconnect between strips
- X/X_0 !
- large number of masks, complicated production, yield?

granularity and space point resolution:

- strip pitch: 58 μm
- stereo angle between front and back strips: 7.5 °
- strip length: 2.2, 4.2, 6.2 cm



station 1

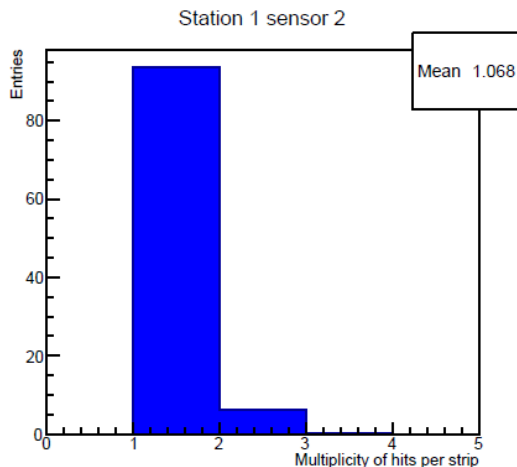
fixed target experiments have steeply falling hit densities \Rightarrow sensor geometry has to adapted accordingly

$6.2 \times 2.2 \text{ cm}^2$

$6.2 \times 4.2 \text{ cm}^2$

$6.2 \times 6.2 \text{ cm}^2$

the CBM STS features 3 different sensor sizes and daisy chained combinations \Rightarrow **many** different basic “modules” \Rightarrow enormous complication for simulation and production



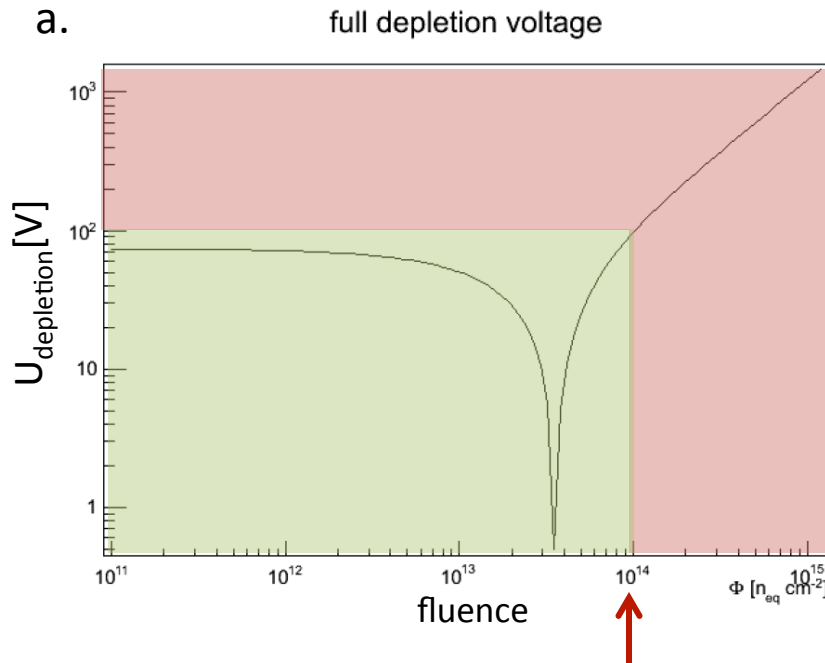
double hit probability for inner sensors below 3.6 % (7% at SIS 300) for Au+Au collisions

III.1 Rad. Hardness & Cooling



effects in high radiation environment:

- a. type inversion \Rightarrow end-of-lifetime criterion
- b. thermal runaway \Rightarrow keep sensors at -5°C all the time



- end-of-lifetime of sensor reached at $10^{14} \text{ n}_{\text{eq}}/\text{cm}^2$
- 5-10 month of running at 10 MHz

b.

$$I_{\text{leak}} = \alpha V \Phi$$

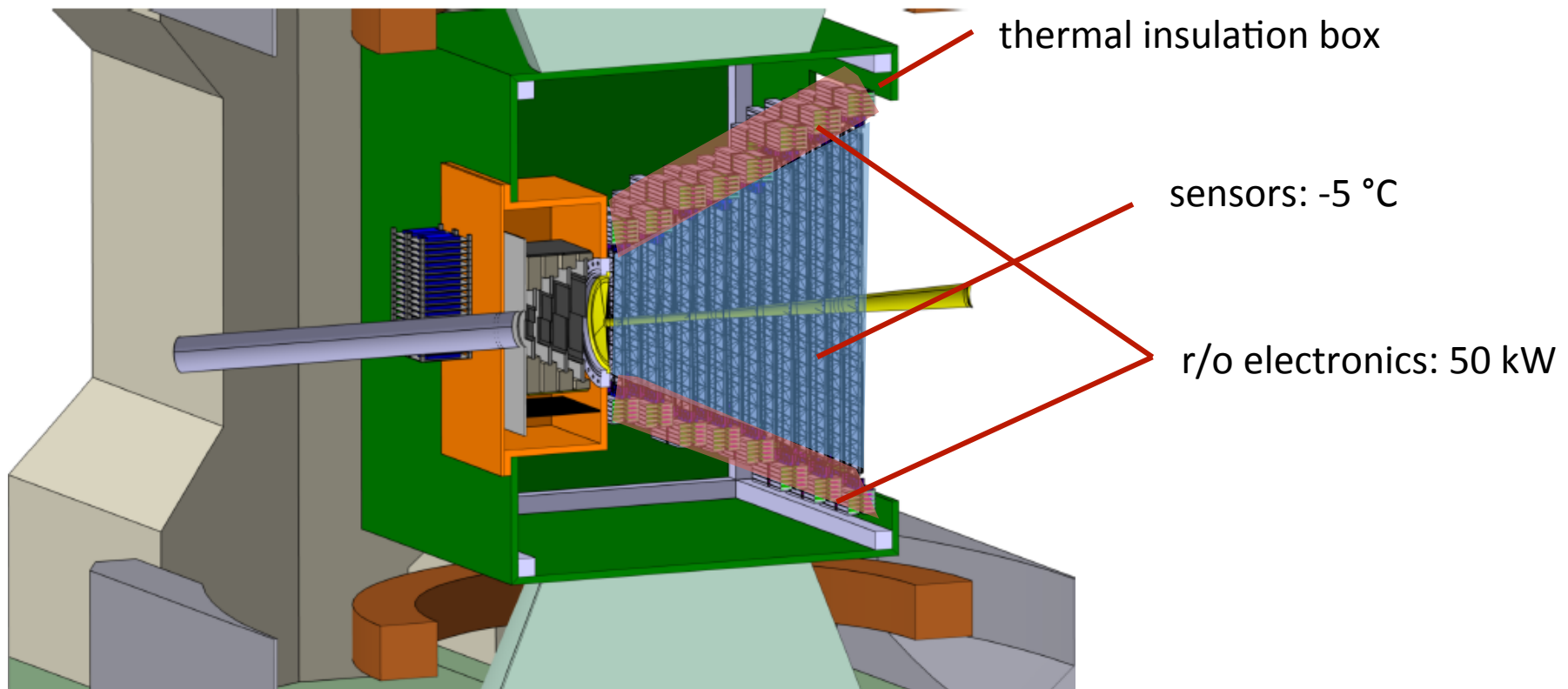
$$I_{\text{leak}}(T) = I_{\text{leak},293} \left(\frac{T}{293\text{K}} \right)^2 \exp \left(-\frac{E_{\text{gap}}(T)}{2k_B} \left(\frac{1}{T} - \frac{1}{293\text{K}} \right) \right)$$

leakage current increase with fluence Φ and temperature $T \Rightarrow$ **sensor cooling mandatory to avoid thermal runaway**

III.2: Cooling



caveat: fast readout electronics produces 50 kW thermal power within insulation volume



Very efficient high power CO₂ cooling system under development to neutralize 50 kW thermal power from r/o electronics!

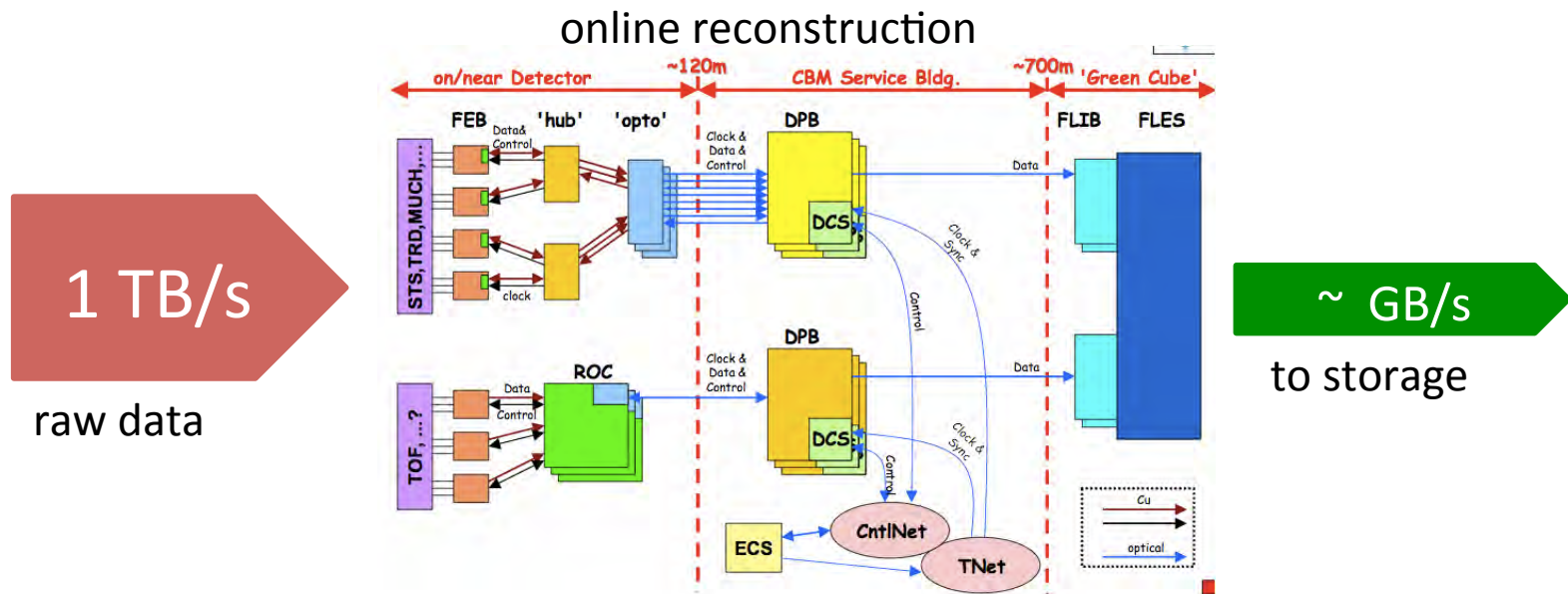
for comparison: CMS develops a 15 kW CO₂ cooling system for sLHC

III.1: Trigger & Readout



CBM will have a (quasi-) continuous beam and event rates (up to 10^7 Hz):

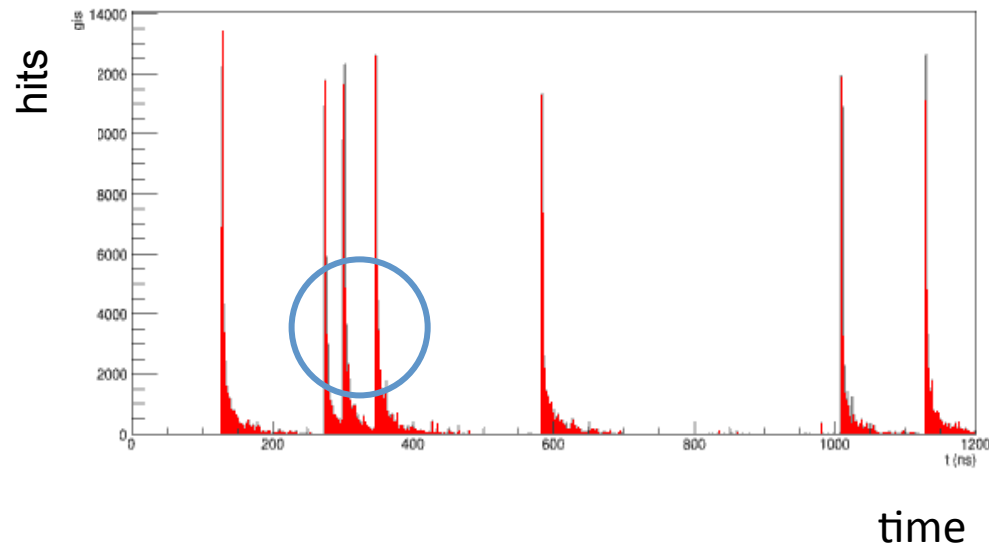
- trigger: **no trigger**
 - complex signatures for rare events (e.g. $\Omega \rightarrow$ charged hadrons) difficult to implement in hardware
 - extreme event rates set strong limits to trigger latency
- therefore: purely data driven readout with time-stamped data
- raw data rate: 1 TB/s exceeds storage capacity



III.2: Data Reduction



- data reduction and triggering is shifted entirely to software
- detector hits have (x,y,z,t) information, but no event information
- hits from different events may overlap in time
- event determination means **4D tracking** (time and space points)



- **though-put capacity of online computing determines detector performance**



two examples of modern 3D-detectors:

- the ALICE TPC after upgrade (2018)
- the CBM STS at SIS100 (2018/19)

both detector aim from unprecedented rates for these detector types

- ALICE TPC 50 KHz PbPb
- CBM STS 10 MHz AuAu

the realization of these detector is only possible with a paradigm change:

targeted performance of **modern 3D-detectors** is only possible with massive online-computing

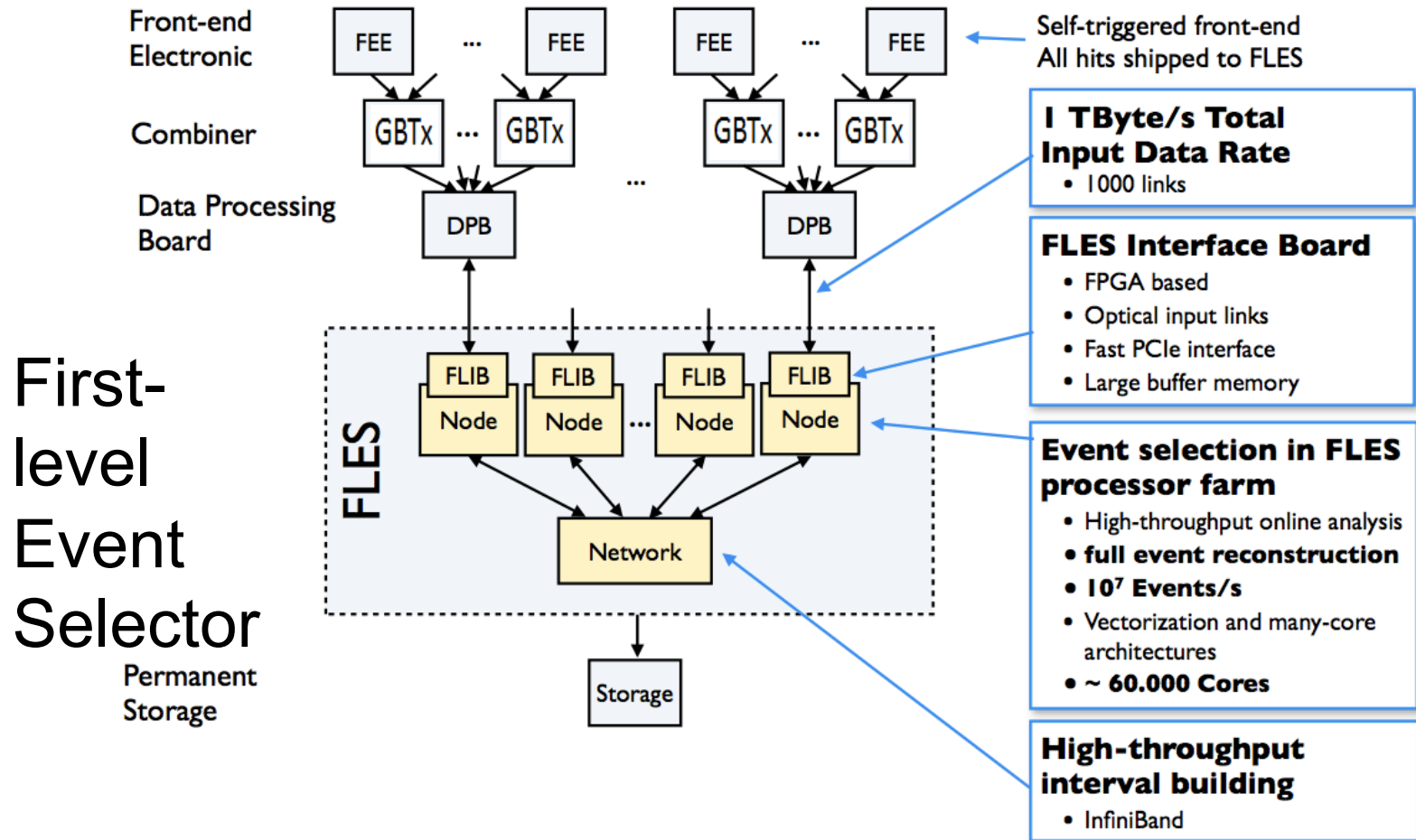
high performance computing (HPC) becomes part of the detector

Backup slides





CBM online data flow

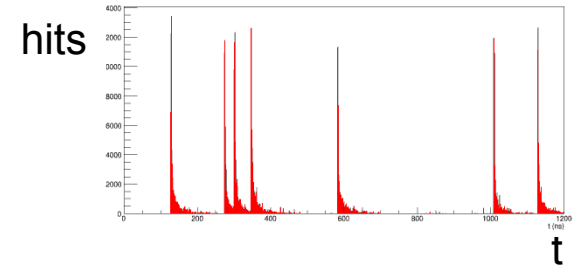


Steps of event reconstruction



1. Time-slice sorting of detector hits:

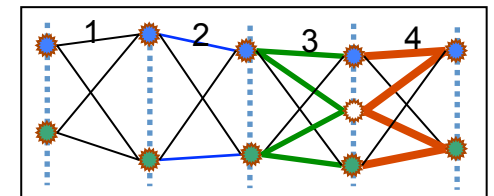
First step in “pre-event” definition.



2. Track finding – Cellular Automaton:

Which hits in the detector layers belong to the same track?

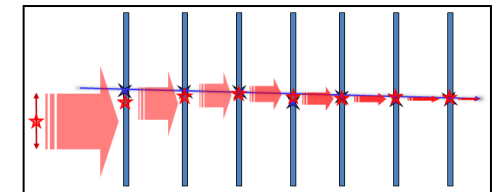
- large combinatorial problem
- well to be parallelized
- applicable to many-core CPU/GPU systems



3. Track fitting – Kalman Filter:

Optimization of the track parameters.

- recursive least squares method, fast



4. Event determination

Which tracks belong to same interaction?

5. Particle finding:

Identify decay topologies and other signatures.

