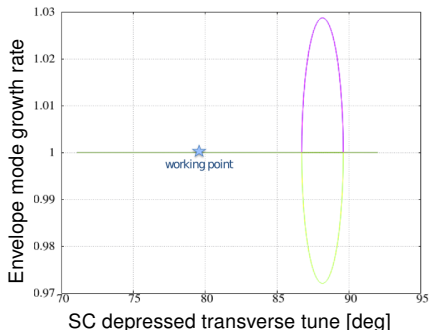


Consider a *proton* beam in a simple 1 m long FODO (actually DOFO) cell with 2 RF cavities (at 1/4 and 3/4 of the length).

parameter	value
intensity	$N = 8.846 \times 10^9$
norm. tr. RMS emittance	$\epsilon_{x,y} = 1 \text{ mm mrad}$
RMS bunch length	$\sigma_z/c = 0.63 \text{ ns}/4 = 2.7 \text{ cm}/c$
betatron tunes	$Q_x \equiv Q_y = 92/360$
synchrotron tune	$Q_s = Q_{x,y}/10 = 9.2/360$
kinetic energy	10 MeV
bunch speed	$\beta = 0.145$
natural chromaticity	$Q'_{x,y} = 0.33$

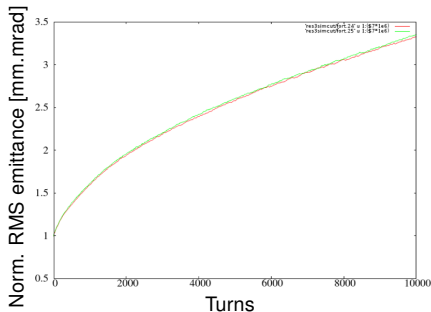
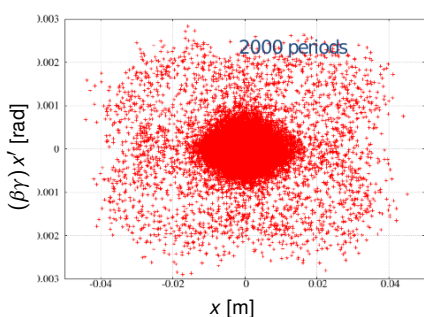
Space charge (SC) parameters are such that the transverse RMS equivalent tune yields a SC shifted value of  $Q_x^{\text{SC}} = 79.6/360$ .

Ji Qiang simulated the scenario with IMPACT using a 3D particle-in-cell (PIC) open-boundary Poisson solver (FFT + integrated Green's function):



- SC shifted transverse envelope tune sits below 90 deg stop-band
- ⇒ no coherent (second-order / quadrupolar) resonance

Ji Qiang simulated the scenario with IMPACT using a 3D particle-in-cell (PIC) open-boundary Poisson solver (FFT + integrated Green's function):



- space charge field of Gaussian distribution: octupole component
- halo particles are resonantly driven to large amplitude for  $Q_x = 0.25\bar{\bar{}}$
- ⇒ RMS emittance growth of factor 2.5 over 5000 periods

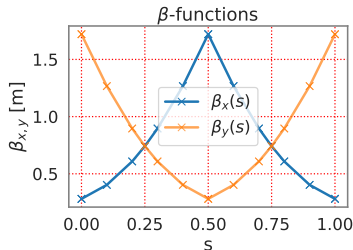
Setting up a thin lattice in MAD-X:

## MAD-X set-up

```
kqd := -28.7736 * 0.1;  
kqf := 28.7736 * 0.1;  
v := 0.041693; ! in MV  
  
qd: multipole , knl := {0, kqd/2.};  
qf: multipole , knl := {0, kqf};  
rf: rfcavity , volt := v, harmon = 1, lag = 0;  
  
fodo: sequence , l = 1;  
qd , at = 0;  
rf , at = 1 / 4.;  
qf , at = 1 / 2.;  
rf , at = 1 * 3 / 4.;  
qd , at = 1;  
endsequence;
```

Approach:

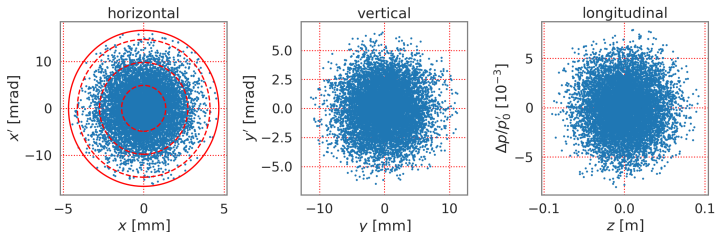
- load MAD-X thin lattice into SixTrackLib (to GPU)
- place 10 PyHEADTAIL SC nodes in regular distance (every 0.1 m)



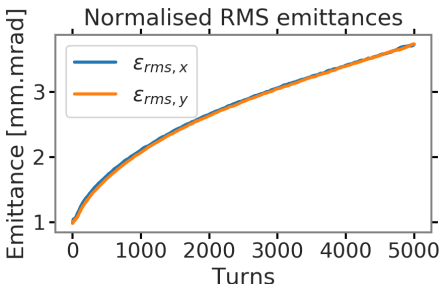
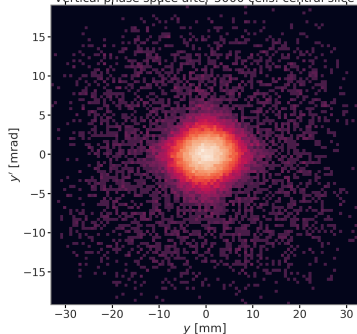
- each SC node runs the same PIC algorithm as in the IMPACT model (but on the GPU): open boundary 3D Poisson solver with FFT and integrated Green's function

Numerical parameters of 3D PIC:

- $1 \times 10^6$  macro-particles, 6D Gaussian distribution
- $256 \times 256$  transverse cells spanning a fixed half grid width of 24 maximal RMS amplitudes along the lattice  
 (beam size  $\sigma_{x,y}(s) = \sqrt{\beta_{x,y}(s)\epsilon_{x,y}/(\beta\gamma)}$  oscillates within factor 2)  
 → all particles contained within the grid at all times during simulation
- 64 longitudinal slices spanning a total length of  $2 \times 4\sigma_z$
- particle generation is limited by 3.4 RMS action radius (all 3 planes!)



Vertical phase space after 5000 cells: central slice



## Results:

- increased halo population (x and y plane inverted for DOFO here)
- the RMS emittance  $\epsilon_{x,y}$  grows by **3.75** over 5000 FODO periods
- dynamics confirm IMPACT results (cf.  $\epsilon_{x,y}$  growth of only **2.5** tho!)

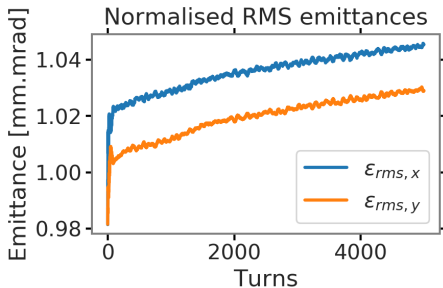
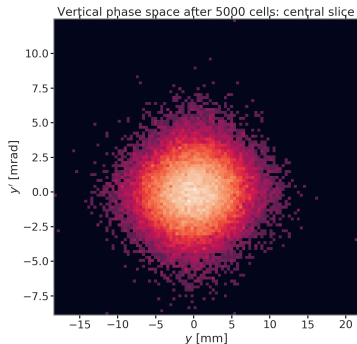


Cross-checks to  
investigate results

# Cross-check with 90 deg

## Non-resonant case

Moving lower to  $Q_{x,y} = 90/360 = 0.25$  zero-current tune, the resonant islands move towards infinite amplitude, particles remain stable:



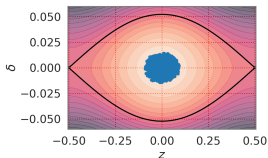
- particles adjust to octupolar deformation inside separatrix (at large but finite amplitude due to finite chromaticity)
- ⇒ numerical PIC parameters look fine (no numerical noise issues)

## Model Comparison

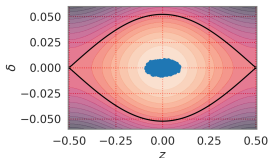
SixTrackLib + PyHEADTAIL	IMPACT
Gaussian distrib. matched to zero-current optics functions	Gaussian distrib. based on SC matched RMS envelope figures
cutting at 3.4 RMS action amplitudes in <i>phase</i> space	cutting at 3.4 RMS beam sizes in <i>real</i> space
non-linear RF	linear RF
thin quadrupole	thick quadrupole
exact drifts	
3D PIC (integrated Green's function)	
same intensity, transverse $\epsilon_{x,y}$ , longitudinal $\sigma_z, \sigma_\delta$	
$1 \times 10^6$ macro-particles	600 000 macro-particles
static grid $64 \times 256 \times 256$	dynamic grid $64 \times 64 \times 64$

Optimally we would like to keep longitudinal space charge effects marginal, yet they are always present with 3D PIC.

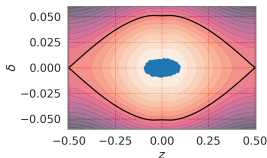
Matching of momentum spread  $\sigma_\delta$  to long. SC (fixing  $\sigma_z$ ):



(a) only RF matched:  
 $\sigma_\delta = 4.4 \times 10^{-3}$



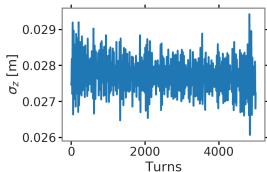
(b) RF and SC matched:  
 $\sigma_\delta = 2.5 \times 10^{-3}$



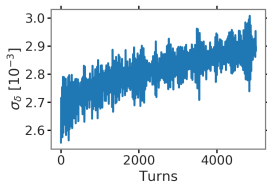
(c) potential well distortion

Optimally we would like to keep longitudinal space charge effects marginal, yet they are always present with 3D PIC.

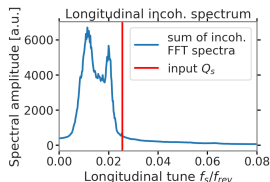
Matching of momentum spread  $\sigma_\delta$  to long. SC (fixing  $\sigma_z$ ):



(a) SC matched  $\sigma_z$



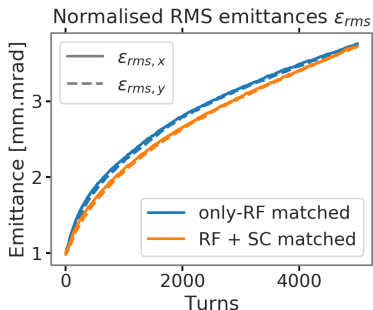
(b) SC matched  $\sigma_\delta$



(c) incoherent spectrum sum

Effect on RMS emittance growth:

SC matching of  $\Delta p/p_0$  (56% smaller)

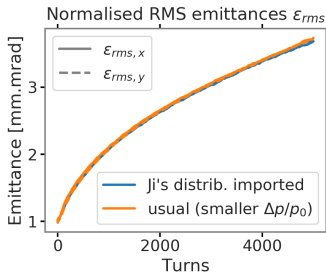


Observations:

- weaker initial RMS emittance growth, after 5000 periods identical

Import initial IMPACT distribution by Ji Qiang into SixTrackLib + PyHEADTAIL, compare to previous smaller SC-matched  $\sigma_\delta$  simulation:

Matched  $\Delta p/p_0$  vs. Ji's distr. (all matched)

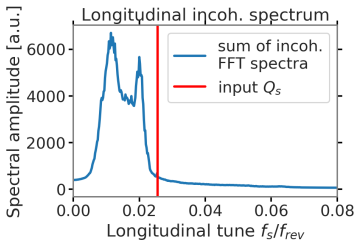


Observations:

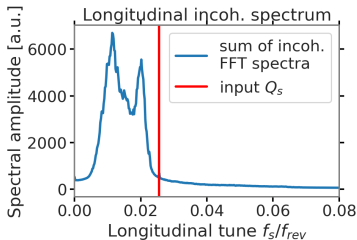
- no discrepancy between distributions generated by either code!
- ⇒ different final  $\epsilon_{x,y}$  must originate from different modelling (lattice/SC)

Removing RF cavities from SixTrackLib model, undoing the longitudinal drift and inserting a linear synchrotron map from PyHEADTAIL:

### non-linear RF case



### linear RF case

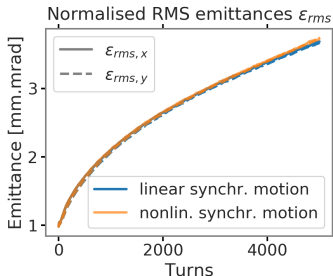


- incoherent synchrotron tune spread remains the same
  - longitudinal space charge dominates anyway



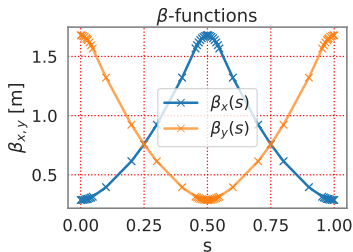
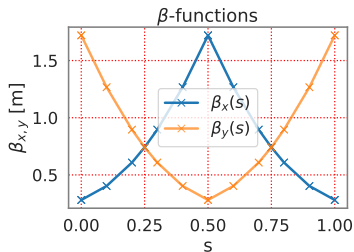
Removing RF cavities from SixTrackLib model, undoing the longitudinal drift and inserting a linear synchrotron map from PyHEADTAIL:

Non-linear vs. linear synchrotron motion



- incoherent synchrotron tune spread remains the same
  - longitudinal space charge dominates anyway
- no impact on RMS  $\epsilon_{x,y}$  growth

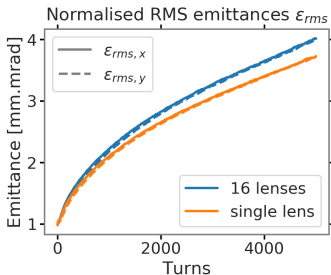
Replacing the single thin lens quadrupole by a thick quadrupole and using the TEAPOT algorithm in MAD-X to slice the magnets into 16 thin lenses:



- matching thick quadrupoles of length 0.1 m gives  $\kappa_{x,y} = 3.09217 \text{ m}^{-1}$ 
  - identical to IMPACT (while 1 single thin lens gave  $\kappa_{x,y} = 2.87736 \text{ m}^{-1}$ )
- 16 slices are essentially converged, as  $Q_x = 0.255555556 \rightsquigarrow 0.2555524323$  after MAD-X makethin

## Effect on RMS emittance growth:

Quadrupole magnet: 1 vs. 16 thin lenses

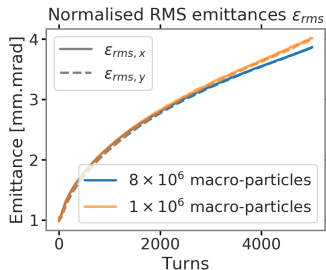


## Observation:

- more resolved model even yields higher emittance growth from start
- not the explanation for smaller emittance growth in IMPACT

Increasing macro-particle number from  $1 \times 10^6$  to  $8 \times 10^6$  macro-particles:

Macro-particle resolution ( $256 \times 256$  grid)

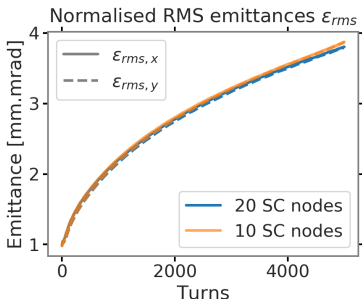


Observation:

- no impact, only slightly suppresses numerical noise in late part of simulation (where resonance dynamics already happened)

Increasing from 10 SC nodes to 20 SC nodes along the 1 m FODO cell:

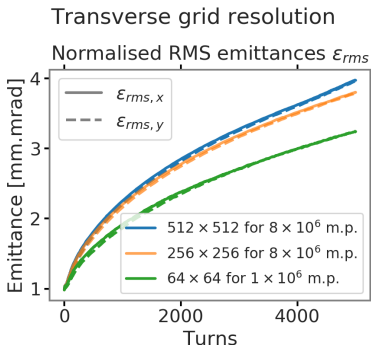
Number of SC nodes along FODO cell



Observation:

- no impact, time scale of space charge integration is small enough

Varying the number of transverse grid cells in 3D PIC:

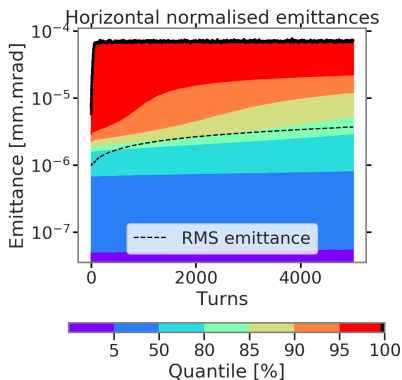


Observations:

- 256 × 256 cells almost converged (512 × 512 changes very little)
- 64 × 64 case significantly suppresses initial resonance dynamics
  - ⇒ could more grid cells in IMPACT possibly give larger  $\epsilon_{x,y}$  growth, too?

# Overview

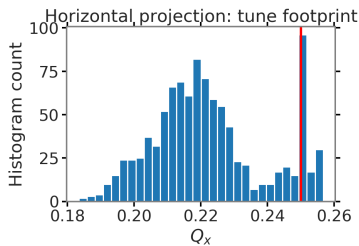
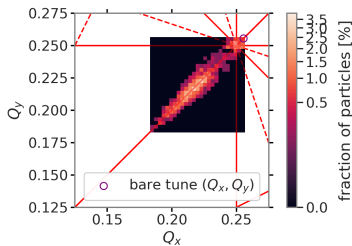
Below coherent (second-order / quadrupolar) 90 deg envelope stop-band exists an incoherent-like space charge driven octupolar resonance, into which halo particles (at action amplitudes of 80% and higher) are drawn:



→ outermost particle rapidly ( $< 100$  turns) saturates at  $12.2 \times$  action

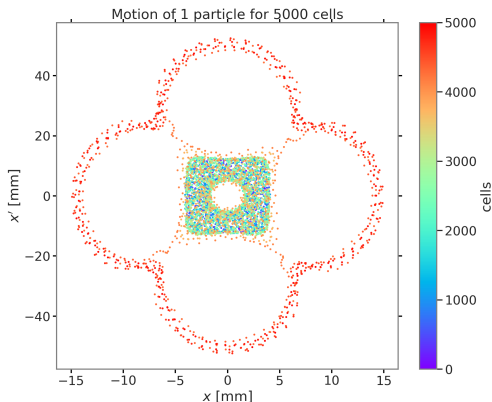


While most core particles remain in place and their space charge depressed tunes do not change, the halo particles are drawn into the 90 deg resonance condition:

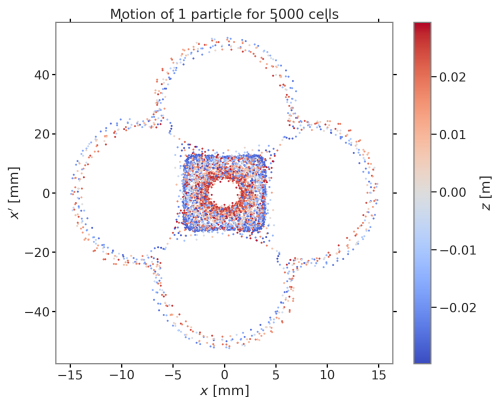


(Tune footprint of 1000 particles based on PyNAFF harmonic fitting during final 128 turns, i.e.  $\approx 3$  synchrotron periods.)

The Poincaré section of a high amplitude particle shows the octupolar resonance driven by the space charge field of the beam core:



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→ here, the energy increase happens during 1 synchrotron period and predominantly around  $z = 0$

## Modelling

Both IMPACT and SixTrackLib+PyHEADTAIL codes can simulate this case with self-consistent space charge:

- resulting beam dynamics are equivalent
- detailed halo particle behaviour impacts RMS quantities

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## Physics

3D case with synchrotron motion is **much more severe** than 2D coasting beam case (Ji's presentation):  $> 250\%$  vs.  $10\%$  RMS emittance growth

Thanks!

Appendix



