#### **KAON** - and **HYPERON** - **NUCLEAR INTERACTIONS** from **CHIRAL SU**(3) **EFFECTIVE FIELD THEORY**







Kaon and antikaon interactions with nucleons and nuclei

- Chiral SU(3) coupled-channels dynamics and the  $oldsymbol{\Lambda}(1405)$
- Constraints from kaonic hydrogen and  $K^-p$  scattering
- ${f N}$   ${f K}^+{f N}$  interaction update



Hyperon-nucleon interactions from chiral SU(3) EFT

- Hyperon-nuclear potential and hyperon-NN three-body forces
- Strangeness in cold & dense baryonic matter ? "Hyperon puzzle" in neutron stars

## Part I.

## Antíkaon and Kaon Interactions with Nucleons and Nuclei

#### **Historical Reminder:**

#### **Kaons and Antikaons in Nuclear Matter**

In-medium Chiral SU(3) Dynamics with Coupled Channels Kaon spectrum in baryonic matter determined by:  $\omega^2 - \vec{q}^2 - m_K^2 - \Pi_K(\omega, \vec{q}; \rho) = 0$ Pauli blocking,  $\Pi_{K^{-}} = 2\omega U_{K^{-}} = -4\pi \left[ f_{K^{-}p} \rho_{p} + f_{K^{-}n} \rho_{n} \right] + \dots +$ Fermi motion, **2N correlations** Symmetric Nuclear Matter 1.5V. Koch  $\mathbf{m}^*_{\mathbf{K}}(\rho)$ Phys. Lett. B 337 (1994) 7 K<sup>+</sup>mass mк M. Lutz T. Waas, N. Kaiser, W.W.: 1.0Phys. Lett. B 426 (1998) 12 Phys. Lett. B 379 (1996) 34 K<sup>-</sup> mass A. Ramos, E. Oset T.Waas,W.W.: Nucl. Phys. A 671 (2000) 481 0.5Nucl. Phys. A 625 (1997) 287 M. Lutz, C.L. Korpa, M. Möller K<sup>-</sup> width / 100 MeV Nucl. Phys. A 808 (2008) 124 0 23 0 1  $\rho/\rho_0$ 

• Kaon condensation in dense baryonic matter?

... first suggested by D. Kaplan, A. Nelson (1985), but: ruled out by neutron star constraints





# Spontaneously Broken $\label{eq:chiral} \mbox{CHIRAL} \ \mathbf{SU}(3)_{\mathbf{L}} \times \mathbf{SU}(3)_{\mathbf{R}} \ \mbox{SYMMETRY}$

#### NAMBU - GOLDSTONE BOSONS:

Pseudoscalar SU(3) meson octet

$$\{\phi_a\} = \{\pi, \mathbf{K}, \, \bar{\mathbf{K}}, \eta_8\}$$

#### **DECAY CONSTANTS**:



Chiral limit:  $f=86.2~{\rm MeV}$ 

Order parameter :  $4\pi\,f\sim 1\,\,GeV$ 

$$\label{eq:f_m} \begin{split} \mathbf{f}_{\pi} &= \mathbf{92.3} \pm \mathbf{0.1} \ \mathbf{MeV} \\ \mathbf{f_K} &= \mathbf{110.8} \pm \mathbf{0.3} \ \mathbf{MeV} \end{split}$$

Gell-Mann, Oakes, Renner relations

$$\begin{split} m_\pi^2\,f_\pi^2 &= -\frac{m_u+m_d}{2} \langle \bar{u}u+\bar{d}d\rangle \\ m_K^2\,f_K^2 &= -\frac{m_u+m_s}{2} \langle \bar{u}u+\bar{s}s\rangle \\ \end{split}$$



Spontaneously Broken CHIRAL SYMMETRY

## **GOLDSTONE**'s **Theorem**:

Massless Nambu-Goldstone bosons do not interact in the limit of zero momentum (long-wavelength limit)

#### S-wave interactions of NG bosons





## CHIRAL SU(3) EFFECTIVE FIELD THEORY

ordered hierarchy of driving interactions



Solution LO  $\overline{K}N$  (S = -1) and  $\overline{K}N$  (S = +1) threshold (s wave) amplitudes :

$$\begin{split} \mathbf{T}(\mathbf{K}^+\mathbf{p})_{\mathbf{thr}} &= \mathbf{2}\,\mathbf{T}(\mathbf{K}^+\mathbf{n})_{\mathbf{thr}} = -\frac{\mathbf{m}_{\mathbf{K}}}{\mathbf{f}^2} & \text{repulsive} & | & \text{Potentials:} \\ \mathbf{T}(\mathbf{K}^-\mathbf{p})_{\mathbf{thr}} &= \mathbf{2}\,\mathbf{T}(\mathbf{K}^-\mathbf{n})_{\mathbf{thr}} = \frac{\mathbf{m}_{\mathbf{K}}}{\mathbf{f}^2} & \text{attractive} & | & \mathbf{V}(\mathbf{r}) = -\frac{\mathbf{T}}{2E}\,\delta^3(\mathbf{r}) \\ \end{split}$$



next-to-leading order (NLO)  $O(p^2)$ input: several low-energy constants



## Chiral ${\bf SU}(3)_{{\bf L}}\times {\bf SU}(3)_{{\bf R}}$ Effective Field Theory

Starting point: Meson-Baryon Lagrangian (chiral limit)

$$\mathcal{L}_{\rm MB} = \operatorname{tr}\left(\bar{B}\left(i\gamma^{\mu}D_{\mu} - M_{0}\right)B\right) - \frac{D}{2}\operatorname{tr}\left(\bar{B}\gamma^{\mu}\gamma_{5}\{u_{\mu}, B\}\right) - \frac{F}{2}\operatorname{tr}\left(\bar{B}\gamma^{\mu}\gamma_{5}[u_{\mu}, B]\right)$$
$$B = \begin{pmatrix} \frac{\Sigma^{0}}{\sqrt{2}} + \frac{\Lambda}{\sqrt{6}} & \Sigma^{+} & p\\ \Sigma^{-} & -\frac{\Sigma^{0}}{\sqrt{2}} + \frac{\Lambda}{\sqrt{6}} & n\\ -\Xi^{-} & \Xi^{0} & -\frac{2\Lambda}{\sqrt{6}} \end{pmatrix} \qquad P = \begin{pmatrix} \frac{\pi^{0}}{\sqrt{2}} + \frac{\eta}{\sqrt{6}} & \pi^{+} & K^{+}\\ \pi^{-} & -\frac{\pi^{0}}{\sqrt{2}} + \frac{\eta}{\sqrt{6}} & K^{0}\\ K^{-} & \bar{K}^{0} & -\frac{2\eta}{\sqrt{6}} \end{pmatrix}$$

Chiral covariant derivative:  $D_{\mu}B = \partial_{\mu}B + [\Gamma_{\mu}, B]$  $\Gamma_{\mu} = \frac{1}{2}(u^{\dagger}\partial_{\mu}u + u\partial_{\mu}u^{\dagger})$   $u_{\mu} = i(u^{\dagger}\partial_{\mu}u - u\partial_{\mu}u^{\dagger})$ 

#### Chiral (pseudoscalar Nambu-Goldstone boson) field :

 $U(x) = u^{2}(x) = \exp\left(i\frac{\sqrt{2}P(x)}{f}\right) \text{ transforms as } \begin{array}{c} U \to R U L^{\dagger} \\ R \in SU(3)_{R} \quad L \in SU(3)_{L} \end{array}$ 

• Input: F = 0.46 D = 0.81  $(g_A = F + D = 1.27)$   $f = 0.09 \, GeV$ 

Physical meson and baryon masses [SU(3) breaking]



## CHIRAL SU(3) EFFECTIVE FIELD THEORY COUPLED CHANNELS DYNAMICS:

NLO hierarchy of driving terms



$$\mathcal{L}_{WT} = \frac{1}{2} \operatorname{Tr}(\bar{B}\gamma^{\mu}\Gamma_{\mu}B)$$

**leading order** (Tomozawa-Weinberg) terms **input**: physical pion and kaon decay constants

direct and crossed **Born terms** input: axial vector constants D and F from hyperon beta decays  $g_A = D + F = 1.27$ 



 $\mathcal{O}(p^2)$ 

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next-to-leading order (NLO) input: several low-energy constants

$$\mathcal{L}_{2}^{MB} = b_{D} \operatorname{Tr} \left( \bar{B} \{ \chi_{+}, B \} \right) + b_{F} \operatorname{Tr} \left( \bar{B} [\chi_{+}, B] \right) + b_{0} \operatorname{Tr} \left( \bar{B} B \right) \operatorname{Tr} (\chi_{+})$$
  
+  $d_{1} \operatorname{Tr} \left( \bar{B} \{ u^{\mu}, [u_{\mu}, B] \} \right) + d_{2} \operatorname{Tr} \left( \bar{B} [u^{\mu}, [u_{\mu}, B]] \right)$   
+  $d_{3} \operatorname{Tr} \left( \bar{B} u_{\mu} \right) \operatorname{Tr} \left( u^{\mu} B \right) + d_{4} \operatorname{Tr} \left( \bar{B} B \right) \operatorname{Tr} \left( u^{\mu} u_{\mu} \right),$ 



Low-Energy  $\overline{\mathbf{K}}\mathbf{N}$  Interactions

- Framework: Chiral SU(3) Effective Field Theory ... but :
- Chiral Perturbation Theory NOT applicable:  $\Lambda(1405)$  resonance 27 MeV below  $\mathbf{K}^-\mathbf{p}$  threshold N. Kaiser, P. Siegel, W.W. (1995) E. Oset, A. Ramos (1998)

Non-perturbative Coupled Channels approach based on Chiral SU(3) Dynamics

Leading s-wave I = 0 meson-baryon interactions (Weinberg-Tomozawa)



ΚN

 $\Lambda$  (1405)  $\mathbf{u}, \mathbf{d} \mathbf{S}$ 

1500

 $\sqrt{s}$  [MeV]

 $\Sigma_{11}(1385)$ 

 $\Lambda\pi \Sigma\pi$ 

Review: T. Hyodo, D. Jido Prog. Part. Nucl. Phys. 67 (2012) 55



channel coupling







Scattering length constraints from SIDDHARTA kaonic hydrogen measurements



## CHIRAL SU(3) COUPLED CHANNELS DYNAMICS

Y. Ikeda, T. Hyodo, W.W.: Nucl. Phys. A 881 (2012) 98







 $P_{\rm lab}~[{\rm MeV}/c]$ 

 $P_{lab}$  [MeV/c]

 $P_{lab}$  [MeV/c]



#### Construction of a local $\bar{K}N$ - $\pi\Sigma$ - $\pi\Lambda$ potential and composition of the $\Lambda(1405)$

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... reproduce T-matrix when solving coupled-channels Schrödinger equation



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## *KN* scattering amplitude revisited in a chiral unitary approach and a possible broad resonance in S = +1 channel

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Chiral SU(3) NLO calculations of  ${f K}^+ p$  and  ${f K}^+ n$  scattering

Broad resonances in I = 0 KN

<b>Table 3.</b> The resonance states of Solutions 1 and 2.			
amplitude $(J^P)$	mass [MeV]	width [MeV]	
Solution 1 $P_{01}\left(\frac{1}{2}^+\right)$	1617	305	
Solution 2 $P_{03}\left(\frac{3}{2}^+\right)$	1678	463	



 ${f K}^+ p$  differential cross sections





## Part II. Hyperon-Nuclear Interactions and Strangeness in Dense Matter

- Chiral SU(3) Effective Field Theory of Hyperon-Nucleon Interactions
- Two- and Three-Body Forces
- "Hyperon Puzzle" in Neutron Stars









## **NEUTRON STAR MATTER** including **HYPERONS**

Quantum Monte Carlo calculations using phenomenological hyperon-nucleon and hyperon-NN three-body interactions constrained by hypernuclei



Inclusion of hyperons: EoS too soft to support 2-solar-mass n-stars unless: strong repulsion in YN and YNN ... interactions



## **BARYON-BARYON INTERACTIONS** from **CHIRAL SU**(3) **EFFECTIVE FIELD THEORY**



- **NN interaction :** has reached N<sup>4</sup>LO level
- YN interaction : so far very limited empirical data base
   restriction to NLO plus YNN three-body forces



## Chiral SU(3) Effective Field Theory and Hyperon-Nucleon Interactions

J. Haidenbauer, S. Petschauer, N. Kaiser, U.-G. Meißner, A. Nogga, W.W.: Nucl. Phys. A 915 (2013) 24





## Hyperon - Nucleon Interactions from Lattice QCD



## **Coupled-Channels Lippmann-Schwinger Equation**



Partial waves (LS)J , baryon-baryon channels lpha,eta

$$\begin{aligned} \mathbf{T}_{\beta\alpha}^{J}(p_{f},p_{i};\sqrt{s}) &= \mathbf{V}_{\beta\alpha}^{J}(p_{f},p_{i}) + \\ &\sum_{\gamma} \int_{0}^{\infty} \frac{dp \, p^{2}}{(2\pi)^{3}} \mathbf{V}_{\beta\gamma}^{J}(p_{f},p) \frac{2\mu_{\gamma}}{p_{\gamma}^{2} - p^{2} + i\varepsilon} \mathbf{T}_{\gamma\alpha}^{J}(p,p_{i};\sqrt{s}) \end{aligned}$$

- On-shell momentum of intermediate channel  $\gamma$  determined by :  $\sqrt{s} = \sqrt{M_{\gamma,1}^2 + p_{\gamma}^2} + \sqrt{M_{\gamma,2}^2 + p_{\gamma}^2}$
- Relativistic kinematics relating lab. and c.m. momenta
- Momentum space cutoffs: 0.5 0.6 GeV



## Hyperon - Nucleon Interaction from Chiral SU(3) EFT





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## Hyperon - Nucleon Interaction (contd.)

Triplet-S channel and  $\operatorname{\Lambda}\mathrm{N}\leftrightarrow \Sigma\operatorname{N}$  coupling (2nd order tensor force)



In-medium (Pauli) suppression of  $\Lambda$  N  $\leftrightarrow$   $\Sigma$  N coupling : increasing repulsion with rising density



## Hyperon - Nucleon Interaction (contd.)

J. Haidenbauer, S. Petschauer, N. Kaiser, U.-G. Meißner, A. Nogga, W.W. Nucl. Phys. A 915 (2013) 24

#### $\Sigma \Sigma N$ elastic and charge exchange scattering



Quest for much improved hyperon-nucleon scattering data base !





## HYPERON - NUCLEON - NUCLEON THREE-BODY FORCES from CHIRAL SU(3) EFT

S. Petschauer et al. Phys. Rev. C93 (2016) 014001

#### Chiral SU(3) Effective Field Theory:

interacting pseudoscalar meson & baryon octets + contact terms



Chiral SU(3) Effective Field Theory with **explicit decuplet baryons**:

explicit treatment of **baryon decuplet** :

promotion to NLO





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<b>—</b> 1		necessary vertices:
Ihrec		18 low-energy constants
	I hree-ba	Three-baryon forces
		<ul> <li>construction of chiral Lagrangian in non-relativistic limit</li> <li>with minimal number of terms for full SU(3) sector</li> <li>[Petschauer, Kaiser, Haidenbauer, Meißner, Weise, PRC93 (2016)]</li> </ul>
Ľ	LO	<ul> <li>necessary vertices:</li> <li><u>18 Ww-energy constants</u></li> <li>a baryon propagator. All types of diagrams arising this way are shown in Fig. 3.</li> <li>We restrict ourselves to the contact term and to the contributions from one- and two-pare expected to be dominant. Hence, the converting viconstants equal meson masses. In further contributions arise that involve the exchange of at least one heavier meson (kao</li> </ul>
NI	NLO	densities these contributions of much shorter range can effectively be absorbed into a short-range part of the three-baryon force. When evaluating diagrams the medium $-2\pi\delta(k_0)\theta(k_f -  \vec{k} )$ . An additional minus sign comes from a closed fermion loop. Equivient interaction can be constructed from the expressions for the three-baryon potentials in $1000000000000000000000000000000000000$
N <sup>2</sup>	N <sup>2</sup> LO	where $\operatorname{tr}_{\sigma_3}$ denotes the spin the (index and index and the sum coes over all baryon species $B$ . The full period by $[8]_{NN \to \Lambda NN}$ is the full period by $[8]_{NN \to \Lambda NN}$ is the full period by $[8]_{NN \to \Lambda NN}$ is the full period by $[8]_{NN \to \Lambda NN}$ is the full period by $[8]_{NN \to \Lambda NN}$ is the full period by $[8]_{NN \to \Lambda NN}$ is the full period by $[8]_{NN \to \Lambda NN}$ is the full period by $[8]_{NN \to \Lambda NN}$ is the full period by $[8]_{NN \to \Lambda NN}$ is the full period by $[8]_{NN \to \Lambda NN}$ is the full period by $[8]_{NN \to \Lambda NN}$ is the full period by $[8]_{NN \to \Lambda NN}$ is the full period by $[8]_{NN \to \Lambda NN}$ is the full period by $[8]_{NN \to \Lambda NN}$ is the full period by $[8]_{NN \to \Lambda NN}$ is the full period by $[8]_{NN \to \Lambda NN}$ is the full period by the period by the full period by the
promot	tion from <b>N</b>	$\begin{array}{llllllllllllllllllllllllllllllllllll$
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... towards a possible solution of the "hyperon puzzle"?

## Density dependence of $\Lambda$ single particle potential (contd.)

Chiral NN (N3LO) + YN (NLO) interactions + NNN & YNN 3-body forces

Coupled-channels G-matrix including explicit  $\Lambda \mathrm{NN} \leftrightarrow \Sigma \mathrm{NN}$  three-body interactions

$$\mathbf{G}_{\alpha\beta}(\omega;\rho) = \mathbf{V}_{\alpha\beta}(\rho) + \mathbf{V}_{\alpha\gamma}(\rho) \frac{\mathbf{Q}}{\mathbf{e}(\omega) + \mathbf{i}\epsilon} \mathbf{G}_{\gamma\beta}(\omega;\rho)$$



D. Gerstung, N. Kaiser, W.W. (2018-19)



## Hyperons in Neutron Stars ?

Onset condition for appearance of  $\Lambda$  hyperons in neutron stars :



- Extrapolations using  $\Lambda$  single particle potential in neutron (star) matter from Chiral SU(3) EFT interactions
  - Further calculations in progress

(D. Gerstung, N. Kaiser, W.W. 2018)



## SUMMARY

Low-energy kaon and antikaon interactions with nucleons and nuclei

- Chiral SU(3) EFT + coupled channels dynamics
- Construction of equivalent local and E-dependent potentials
- $\sim {
  m K}^-$ -nuclear clusters : weak binding, large widths

Progress in constructing hyperon-nuclear interactions

- Chiral SU(3) EFT + coupled channels dynamics
- YN two-body interactions at NLO
- Importance of  $\Lambda\,{
  m N}\leftrightarrow\Sigma\,{
  m N}$  (2nd order pion exchange tensor force)
- **YNN three-body forces** (incl.  $\Lambda NN \leftrightarrow \Sigma NN$  coupled channels)



Single particle potential of a  $\,\Lambda\,$  in nuclear and neutron matter

- Moderately attractive at low density (hypernuclei)
- Strongly repulsive at high density (2+3 body interactions)
  ... possible solution of "hyperon puzzle" in neutron stars
  - "Conventional" neutron star matter seems to work (no first-order chiral phase transition in sight)





## Chiral ${\bf SU}(3)_{{\bf L}}\times {\bf SU}(3)_{{\bf R}}$ Effective Field Theory

Interaction Lagrangian: expand in powers of meson fields P(x)

$$\mathcal{L}_{int} = \mathcal{L}_{1} + \mathcal{L}_{2} + \dots + \text{mass terms}$$

$$\mathcal{L}_{1} = -\frac{\sqrt{2}}{2f} \operatorname{tr} \left( D\bar{B}\gamma^{\mu}\gamma_{5}\{\partial_{\mu}P, B\} + F\bar{B}\gamma^{\mu}\gamma_{5}[\partial_{\mu}^{[8]}P, B] \right)^{[8]} \overset{[8]}{=} \overset{[8]$$

**Input**: F = 0.46 D = 0.81 $(g_A = F + D = 1.27)$   $f = 0.09 \, GeV$ 

**Physical** meson and baryon masses (SU(3) breaking)



