

Remarks on transverse cooling theory

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Electromagnetism: General Hamiltonian (curved coordinates)

$$H(x, p_x, y, p_y, s, p_s; t) = c \left[m^2 c^2 + \frac{(p_s - Qe A_s)^2}{(1 + x/\rho)^2} + (p_x - Qe A_x)^2 + (p_y - Qe A_y)^2 \right]^{1/2}$$

- Use s as independent variable
- Linear optics assuming $p_x \ll p_s, p_y \ll p_s$
- Define kinetic momentum $p(E) = \frac{E^2}{c^2} - m^2 c^2$
- New Hamiltonian (the mother of them all)

$$H(x, p_x, y, p_y, t, -E; s) = -Qe A_s + \left(1 + \frac{x}{\rho}\right) c \left[p(E) + \frac{(p_x - Qe A_x)^2}{2 p(E)} + \frac{(p_y - Qe A_y)^2}{2 p(E)} \right]$$

New Variables

1st canonical transformation: Introduce dispersion function

2nd canocical transformation: Introduce beta functions

$$H(\psi_x, J_x, \psi_y, J_y, \theta, -J_s; s) = -\frac{J_s}{R} - \frac{J_x}{\beta_x} - \frac{J_y}{\beta_y} - \frac{Qe}{p_s} \Delta A_s(\psi_x, J_x, \psi_y, J_y, \theta, -J_s)$$

$$\frac{\delta p}{p_s} = \frac{2\pi J_s}{C_s} - 1$$

$$\theta = \frac{s - s_0}{R} + \theta_0$$

$$x = D(s) \frac{\delta p}{p_s}(J_s) + \sqrt{2J_x \beta_x} \sin \psi_x$$

$$y = \sqrt{2J_y \beta_y} \sin \psi_y$$

$$t = \frac{1}{v} (\theta R + \delta C) - t_\beta$$

Particle Arrival Time

$$t = \frac{1}{v} (\theta R + \delta C) - t_\beta$$

$$\delta C = \frac{\delta p}{p_s} \int_{s_0}^s D(s) \rho(s)$$

$$t_\beta = \frac{\sqrt{2J_x}}{v} \left[D \frac{(s)}{\sqrt{\beta_x}} \left(\cos \psi_x - \frac{\beta'_x}{2} \sin \psi_x \right) - D' \sqrt{\beta_x} \sin \psi_x \right]$$

Example:

CR Palmer pu ($D=6\text{m}$, $\beta_x=10.5\text{ m}$, $v=0.83\text{ c}$):

$$\frac{1}{v} \frac{\sqrt{2J_x}}{\sqrt{\beta_x}} D(s) = 100\text{ ps}$$

second amplitude proportional to $\sin \psi_x$ ($\alpha_x = -1.22$, $D' = 0.26$):

$-129\text{ ps} - 48\text{ ps} = -177\text{ ps}$

absolute all-over amplitude: 207 ps

Arrival oscillations at Palmer pu (freq. modulation)

take $t_\beta = 100$ ps

$$2 J_x = 200 * 10^{-6}$$

Reduction of signal at revolution harmonics: $Z^{1/2} \rightarrow Z^{1/2} J_0(\Omega t_\beta)$

Contamination of signal at sidebands: $Z^{1/2} J_1(\Omega t_\beta)$

f [GHz]	1	1.5	2
$J_0(\Omega t_\beta)$	0.62	0.25	-0.09
$J_1(\Omega t_\beta)$	0.52	0.58	0.47

Hamiltonian Dynamics: Longitudinal

$$H(\psi_x, J_x, \psi_y, J_y, \theta, -J_s; s) = -\frac{J_s}{R} - \frac{J_x}{\beta_x} - \frac{J_y}{\beta_y} - \frac{Qe}{p_s} \Delta A_s(\psi_x, J_x, \psi_y, J_y, \theta, -J_s)$$

$$\frac{dJ_s}{ds} = Qe \frac{\partial(\Delta A_s)}{\partial \theta} = Qe \frac{\partial(\Delta A_s)}{\partial t} \frac{\partial t}{\partial \theta}$$

$$-\frac{\partial(\Delta A_s)}{\partial t} = E_s$$

$$\frac{\partial t}{\partial \theta} = \frac{R}{v}$$

Hamiltonian Dynamics: Vertical

$$H(\psi_x, J_x, \psi_y, J_y, \theta, -J_s; s) = -\frac{J_s}{R} - \frac{J_x}{\beta_x} - \frac{J_y}{\beta_y} - \frac{Qe}{p_s} \Delta A_s(\psi_x, J_x, \psi_y, J_y, \theta, -J_s)$$

$$\frac{dJ_y}{ds} = Qe \frac{\partial(\Delta A_s)}{\partial \psi_y} = \frac{Qe}{p_s} \frac{\partial(\Delta A_s)}{\partial y} \frac{\partial y}{\partial \psi_y}$$

$$\frac{\partial y}{\partial \psi_y} = \sqrt{2J_y \beta_y} \cos \psi_y$$

Panofsky-Wenzel (Fourier transform):

$$\frac{\partial(\Delta A_s)}{\partial y} \propto \frac{i}{\Omega} \frac{\partial E_s}{\partial y}$$

Hamiltonian Dynamics: Horizontal

$$H(\psi_x, J_x, \psi_y, J_y, \theta, -J_s; s) = -\frac{J_s}{R} - \frac{J_x}{\beta_x} - \frac{J_y}{\beta_y} - \frac{Qe}{p_s} \Delta A_s(\psi_x, J_x, \psi_y, J_y, \theta, -J_s)$$

$$\frac{dJ_x}{ds} = Qe \frac{\partial(\Delta A_s)}{\partial \psi_x} = \frac{Qe}{p_s} \left[\frac{\partial(\Delta A_s)}{\partial x} \frac{\partial x}{\partial \psi_x} + \frac{\partial(\Delta A_s)}{\partial t} \frac{\partial t}{\partial \psi_x} \right]$$

$$\frac{\partial t}{\partial \psi_x} = \frac{\partial t_\beta}{\partial \psi_x} = \frac{\sqrt{2J_x}}{v} \left[\frac{D(s)}{\sqrt{\beta_x}} \left(-\sin \psi_x - \frac{\beta'_x}{2} \cos \psi_x \right) - D' \sqrt{\beta_x} \cos \psi_x \right]$$

In addition to the Panofsky-Wenzel effect, there is a change of emittance due to longitudinal kicks at non-zero dispersion. In the framework of Hamiltonian dynamics, this effect is connected to the oscillation of arrival times due to betatron oscillations.

Fokker Planck Equation

a continuity equation in action space

$$\frac{\partial \Psi(J_x, J_y, \delta p/p; t)}{\partial t} + \nabla \cdot \Phi(J_x, J_y, \delta p/p; t) = 0$$

flux in action space $\Phi_m = -F_m \Psi + \frac{1}{2} D_{mn} \frac{\partial \Psi}{J_n}$

drift (coherent cooling effect) $F_m = \frac{1}{\tau} \langle \Delta J_m \rangle$

diffusion (incoherent cooling effect) $D_{mn} = \frac{1}{\tau} \langle (\Delta J_m \Delta J_n) \rangle$

Assumptions and Simplifications

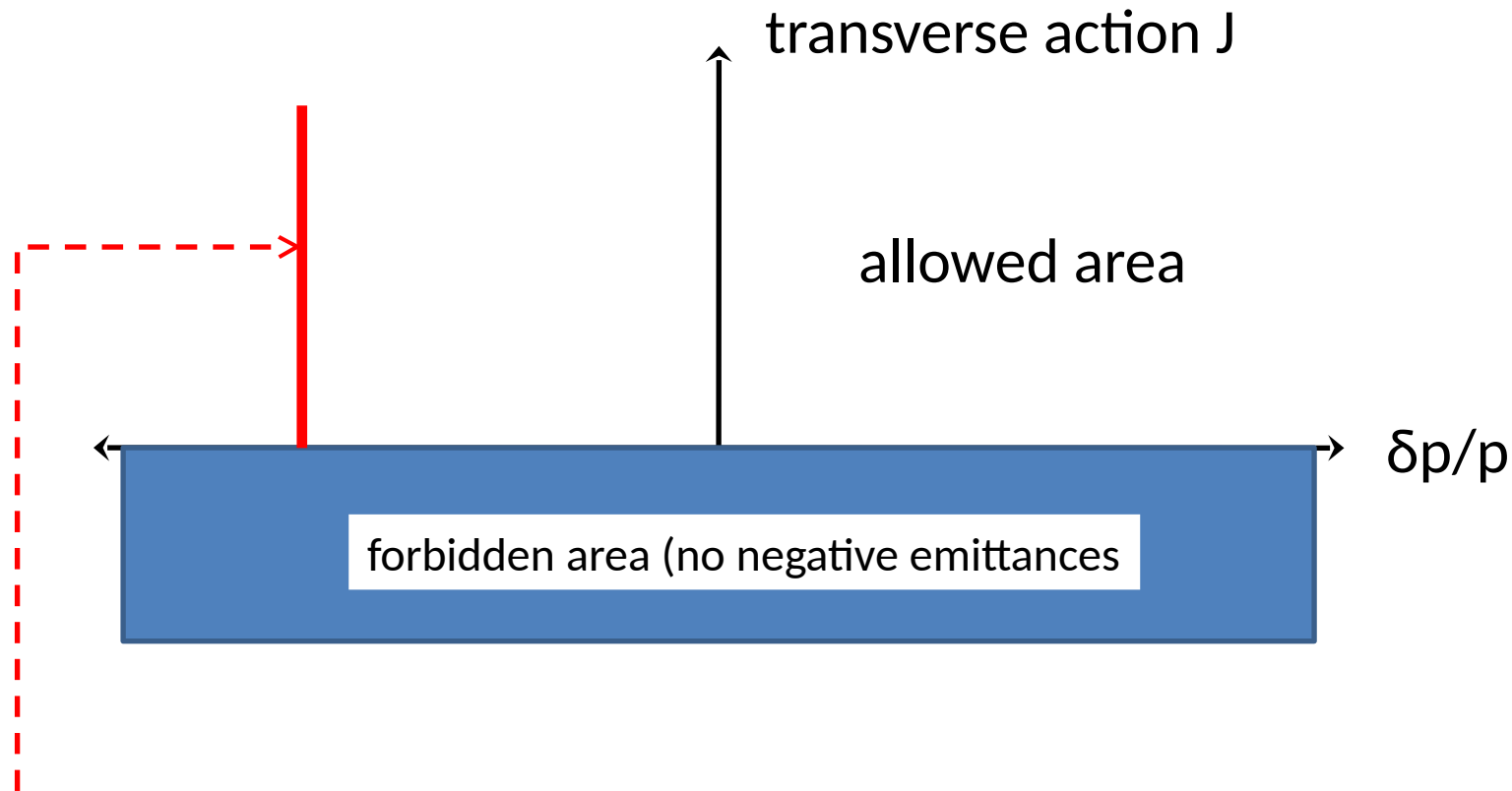
- Zero dispersion at pick-ups and kickers
- Linear response for pick-ups and kickers
- No Schottky overlap
- No Chromaticity

pick-up response:
$$V_p(\Omega) = \frac{Qe\omega}{2} S_p(x, y, \Omega) j_p(x, y, \Omega)$$

accelerating voltage:
$$U_k(\Omega) = S_k(x, y, \Omega) V_k(\Omega)$$

transverse sensitivity:
$$S(x, y, \Omega) = xS'(0, 0, \Omega)$$

2D Phase space



Assume that all particles in the 1D distribution function $\Psi(J)$ of transverse actions are at a given $\delta p/p$

Pick-up Signal for Transverse Cooling

$$V_p(\Omega) \propto \frac{QeZ_l \sqrt{2J_x \beta_x} \partial S_p / \partial x}{4i}$$

at the frequencies $\omega_{m,\pm} = (m \pm Q_x) \omega_{rev}$

in the following: $\frac{\partial S_{pk}}{\partial x} := S'_{pk}$

Voltage Power Densities (1)

The voltage spectral power density $C(\Omega)$ is by definition the Fourier transform of the voltage autocorrelation $R(t) = \langle V(\tau)V(t+\tau) \rangle$.

In a coasting beam it does not depend on τ , i.e. $V(t)$ is a *stationary process*.

The dimension of $C(\Omega)$ is V^2s .

Voltage Power Densities (2)

Voltage power density due to Schottky noise:

$$C_p(\Omega) = \frac{(QeZ_l)^2 \omega \beta_x |S'_p|^2}{16\pi |m\eta|} \langle J \rangle \psi(\delta p / p)$$

average emittance

$$\langle J \rangle = \frac{\int_0^{\infty} J_x \Psi_x dJ}{\int_0^{\infty} \Psi_x dJ}$$

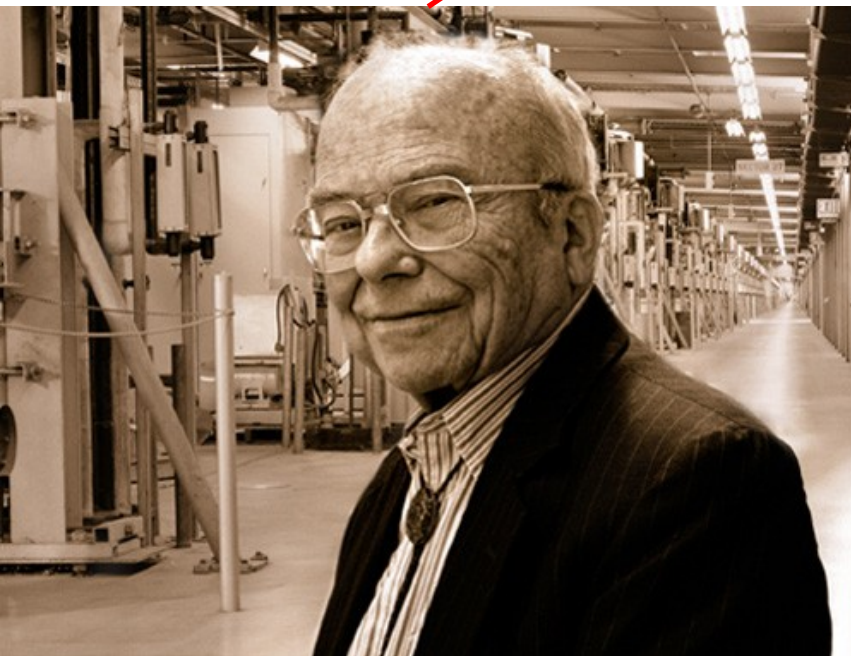
Voltage Power Densities (3)

Voltage power density due to thermal noise:

$$C_n(\Omega) = \frac{1}{2} Z_l k_B T_{eff}$$

Transverse System Gain

$$g_{\perp} = \frac{(Qe)^2 \omega Z_1}{8\pi p \Omega} \sqrt{\beta_p \beta_k} S'_k g_k G g_p S'_p$$



frequency dependent!

Cooling Coefficient

$$C = \frac{\omega}{2\pi} \sum_{m,\pm} \pm g_{\perp} \exp \left[i \left((\mu_k - \mu_p) - (m \pm Q) \eta_{pk} \omega T_{pk} \frac{\delta p}{p} \right) \right]$$

betatron
phase advance

undesired
mixing

Schottky Noise Coefficient

Schottky power density depends on longitudinal distribution

$$S = \frac{\omega \psi(\delta p / p)}{2\pi} \sum_{m, \pm} \left| \frac{g_{\perp}^2}{m\eta} \right|$$

Schottky power density decreases with harmonics

Thermal Heating Coefficient

$$H = \frac{4k_B T_{eff}}{(Qe)^2 Z_l \beta_p |g_p|^2} \sum_{m, \pm} \left| \frac{g_{\perp}^2}{S_p'} \right|$$

Fokker Planck flux

$$\Phi = -F \Psi + \frac{1}{2} D \frac{\partial \Psi}{\partial J}$$

$$F = -C J$$

$$D = (S \langle J \rangle + H) J$$

The terms **C (cooling)**, **S (Schottky noise)**, and **H (thermal noise)** do not depend on J.

Therefore the flux is proportional to J.

No flux towards $J < 0$!

Equilibrium Distribution

condition: $\frac{\partial \Psi}{\partial t} = 0$

The equilibrium distribution turns out to be an exponential:

$$\Psi(J) = \Psi_0 \exp\left[-\frac{2C - S}{H} J\right]$$

with average emittance $\langle J \rangle_\infty = \frac{H}{2C - S}$

Time Dependent Solution

$$\Psi(J, t) = \alpha(t) N \exp[-\alpha(t) J]$$

It turns out that this ansatz is a time-dependent solution to the transverse Fokker-Planck equation if

$$\frac{\dot{\alpha}}{\alpha} + \left[-C + \frac{S + \alpha H}{2} \right] = 0$$

If C, S, and H are constant in time, then

$$\langle J \rangle(t) = [\langle J \rangle_0 - \langle J \rangle_\infty] \exp\left(-\frac{t}{\tau}\right) + \langle J \rangle_\infty$$

with $\tau = C - \frac{S}{2}$ not the instantaneous cooling rate!