The semiclassical theory of deconfinement, chiral and Roberge-Weiss-like phase transitions

Edward Shuryak Stony Brook University



"Probing the phase structure of strongly interacting matter: theory and experiment" GSI, March 2019

outline

- VEV of Polyakov line and the instanton-dyons
- Deconfinement transition
- Chiral symmetry breaking
- Generalized quark periodicity phases
- New set of phase transitions
- Instanton-dyons on the lattice

Instanton-dyons <=> Monopoles relation: examples of the Poisson duality

Semiclassics at finite T and pre-clustering at freeze out

Confinement => BEC of monopoles, flux tubes (1970's)

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Chiral symmetry breaking

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Lattice in 2000's => topological objects observed but

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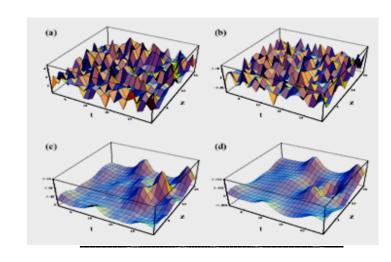
Lattice in 2000's => topological objects observed but They are not quite instantons (?)

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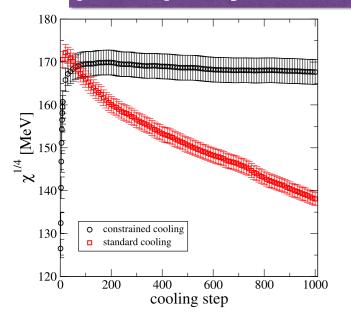
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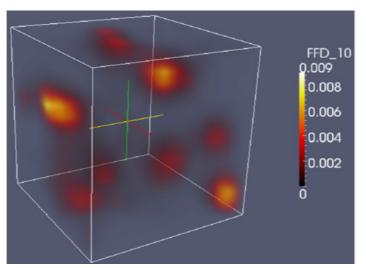
"action cooling" is known to eliminate gluons and lead to instantons



Negele et al

perhaps dyons were first observed in "constrained cooling" preserving local L





Langfeld and Ilgenfritz, 2011

while the total top.charge of the box is always integer, local bumps are not!
They are all (anti)selfdual But top charge and actions Were not integers!

a lot of work on finding instanton-dyons was done by C.Gatringer et al, Ilgenfritz et al

Instantons have top.charges $Q=\pm 1$ and thus are elementary quanta of topology: How can they have a substructure? What about topological classification of fields?

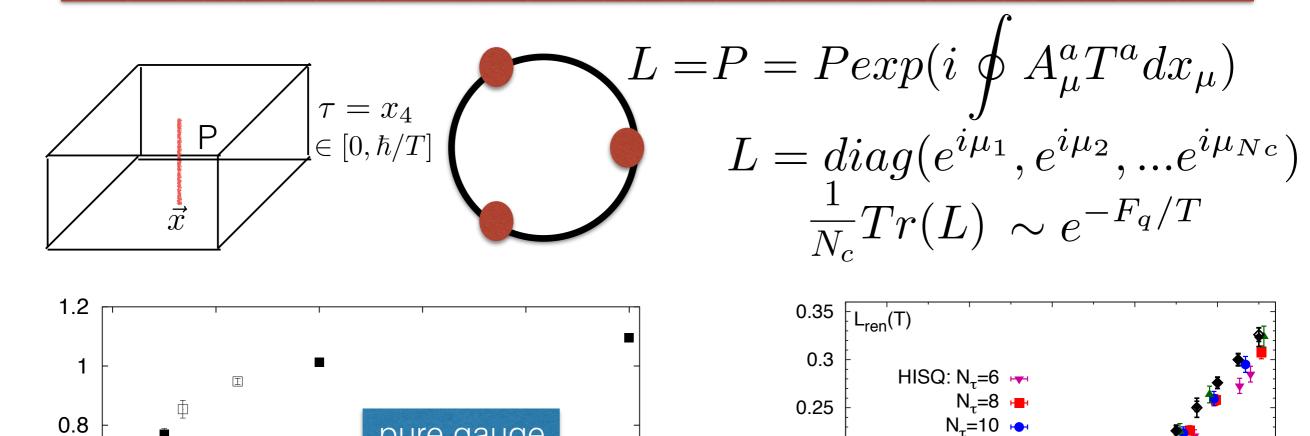
Like a nucleon is made of 3 quarks, an instanton is made of Nc instanton-dyons

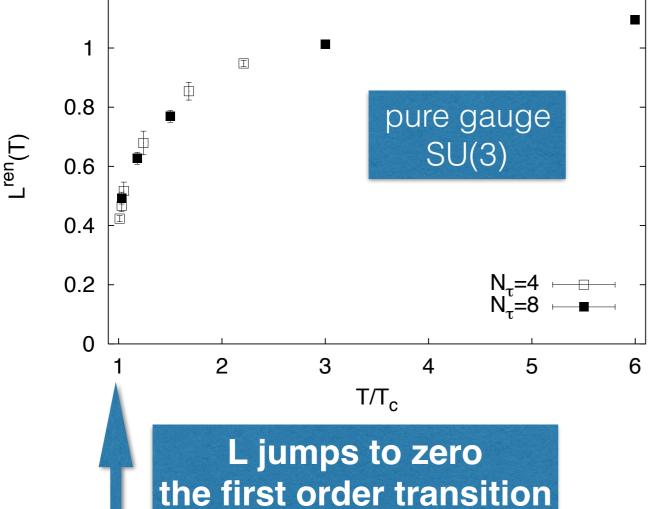
Instantons have top.charges $Q=\pm 1$ and thus are elementary quanta of topology: How can they have a substructure? What about topological classification of fields?

Like a nucleon is made of 3 quarks, an instanton is made of Nc instanton-dyons

Because they have magnetic charges
They are connected by (invisible!)
Dirac strings
Thus they avoid topological charge quantization

The Polyakov line is used as order parameter for deconfinement





Kaczmarek et al 2002
Bazavov et al 2016

140

160

180

N₋=12 →

stout, cont. +>

cont. ₩

T [MeV]

200

0.2

0.15

0.1

0.05

0

120

Pisarski ``semi-QGP" paradigm, PNJL model

Non-zero Polyakov line splits instantons into Nc instanton-dyons (Kraan,van Baal, Lee,Lu 1998)

Explained mismatch of quark condensate in SUSY QCD

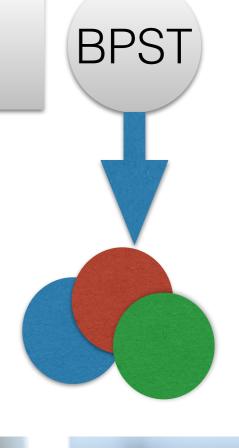
V.Khoze (jr) et al 2001

Explained confinement by back reaction to free energy

D.Diakonov 2012, Larsen+ES, Liu, Zahed+ES 2016

Explain chiral symmetry breaking in QCD and in setting with modified fermion periodicities

R.Larsen+ES 2017, Unsal et al 2017





 $general SU(N_c)$

$$A_4 = 2\pi T diag(\mu_1, \mu_2, ... \mu_{N_c})$$

the SU(2) case is simpler

$$\nu_m = \mu_{m+1} - \mu_m$$

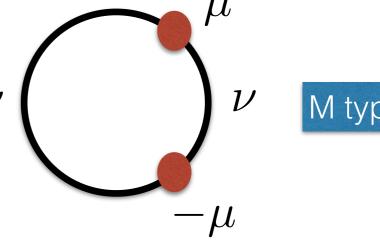
$$\sum \nu_i = 1$$

$$\sum_i \nu_i = 1$$

$$\sum_i = \nu_i \frac{8\pi^2}{q^2} = \nu_i (\frac{11N_c}{3} - \frac{2N_f}{3})log(T/\Lambda)$$

type
$$\bar{\nu}$$

$$\bar{\nu} = 1 - \nu$$



$$A_4^a = \mp n_a v \Phi(vr)$$

together they make one instanton instanton-dyons =selfdual BPS mono

$$A_i^a = \epsilon_{aij} n_j \frac{1 - R(vr)}{r}$$

$$\vec{E} = \vec{B}$$

In SU(2) there are 4 types of dyons, Electric and magnetic charges = +1,-1

$$M, \bar{M}, L, \bar{L}$$

all one needs to do is to study their ensemble

(with Rasmus Larsen) confined free energy vs holonomy 0.0 -0.2 $\langle A_4^3 \rangle = v \frac{\tau^3}{2} = 2\pi T \nu \frac{\tau^3}{2}$ $< P >= \cos(\pi \nu) \to 0$ -0.4-0.6-1.0holonomy -1.2-1.40.3 0.4 0.5 0.2 0.8 0.7

$$u = 0 \text{ is the trivial case}$$

$$\nu = 1/2 \text{ confining}$$

So, as a function of the dyon density the potential changes its shape and confinement takes place show only the "selfconsistent" input set.

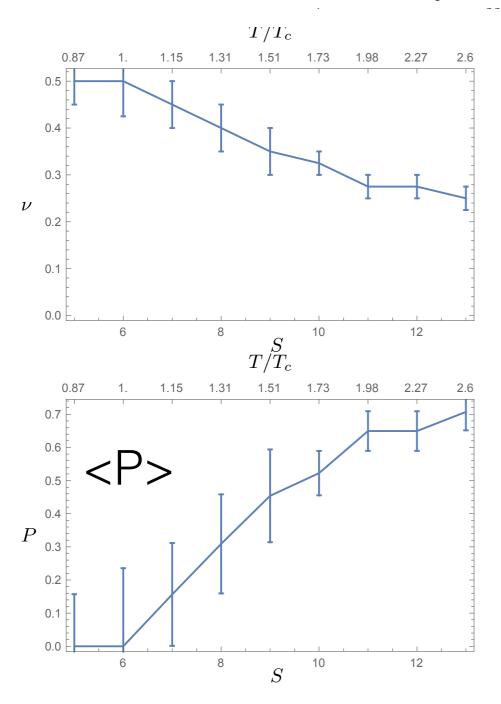


FIG. 6: Self-consistent value of the holonomy ν (upper plot) and Polyakov line (lower plot) as a function of action S (lower scales), which is related to T/T_c (upper scales). The error bars are estimates based on the fluctuations of the numerical data.

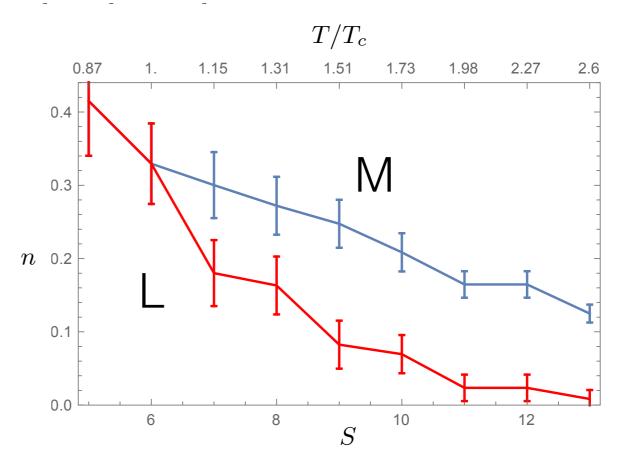


FIG. 8: (Color online). Density n (of an individual kind of dyons) as a function of action S (lower scale) which is related to T/T_c (upper scale) for M dyons(higher line) and L dyons (lower line). The error bars are estimates based on the density of points and the fluctuations of the numerical data.

confining phase is symmetric n_L=n_M

$$S = (\frac{11N_c}{3} - \frac{2N_f}{3})log(\frac{T}{\Lambda_T}).$$

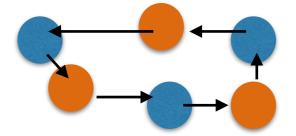
Instanton-dyon Ensemble with two Dynamical Quarks: the Chiral Symmetry Breaking

Rasmus Larsen and Edward Shuryak

Department of Physics and Astronomy, Stony Brook University, Stony Brook NY 11794-3800, USA

This is the second paper of the series aimed at understanding of the ensemble of the instantondyons, now with two flavors of light dynamical quarks. The partition function is appended by the fermionic factor, $(detT)^{N_f}$ and Dirac eigenvalue spectra at small values are derived from the numerical simulation of 64 dyons. Those spectra show clear chiral symmetry breaking pattern at high dyon density. Within current accuracy, the confinement and chiral transitions occur at very similar densities.

 $|\langle \bar{\psi}\psi \rangle| = \pi \rho(\lambda)_{\lambda \to 0, m \to 0, V \to \infty}$



collectivized zero mode zone

dip near zero is a finite size effect

low density

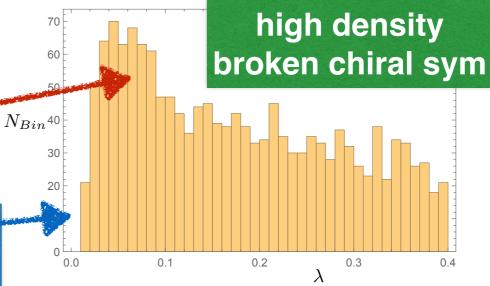


FIG. 1: Eigenvalue distribution for $n_M = n_L = 0.47$, $N_F = 2$ massless fermions.



extracting condensate is far from trivial...

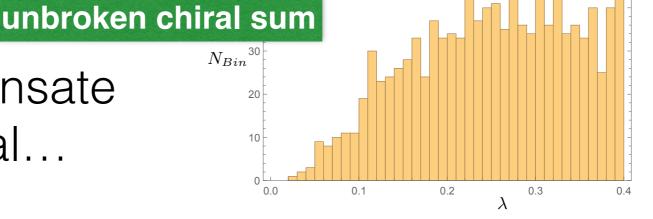


FIG. 2: Eigenvalue distribution for $n_M = n_L = 0.08$, $N_F = 2$ massless fermions.

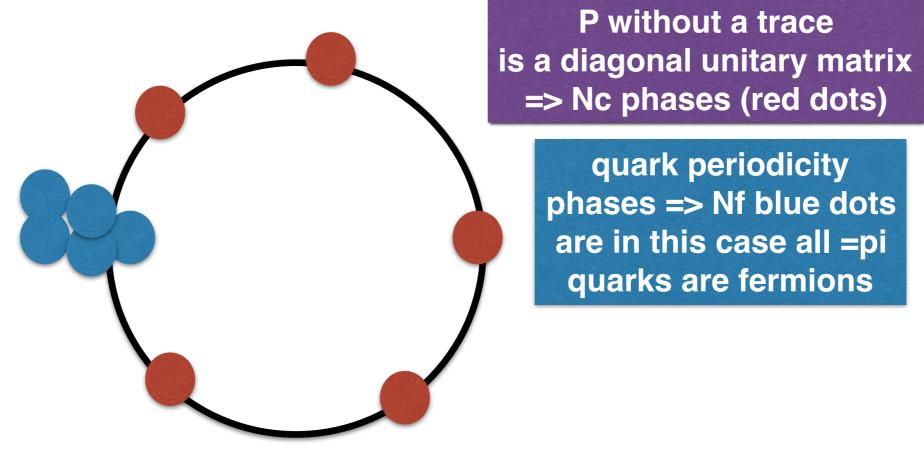
QCD with quarks having arbitrary periodicity phases (over the Matsubara time)

$$\psi(\tau + \hbar/T) = e^{2\pi i z_f} \psi(\tau)$$

$$z_f = 0 \, bosons$$

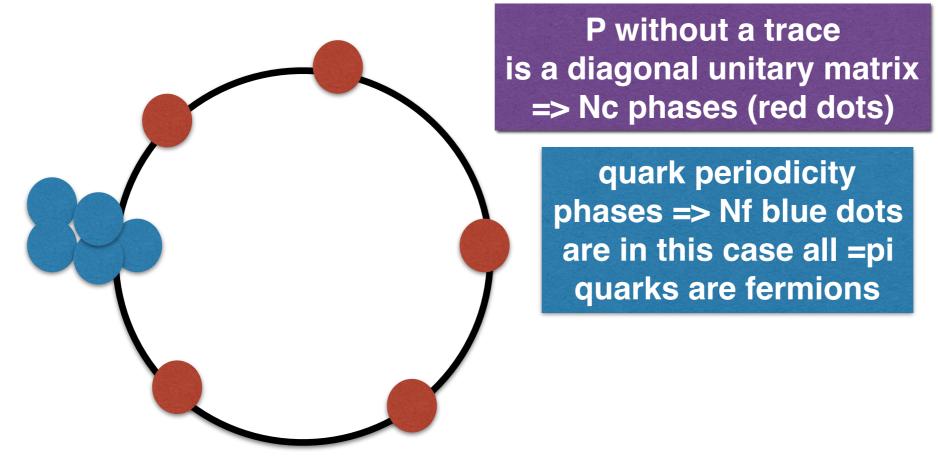
$$z_f = -1/2 \, fermions$$

Ordinary Nc=Nf=5 QCD



as a consequence, out of 5 types of instanton-dyons only one L has zero modes

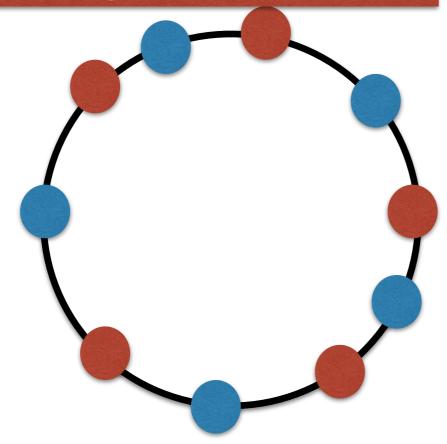
Ordinary Nc=Nf=5 QCD



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But one can deform QCD moving fermion phases (blue dots) as we like!

still Nc=Nf=5 but with "most democratic" arrangement ZN-symmetric QCD H. Kouno, Y. Sakai, T. Makiyama, K. Tokunaga, T. Sasaki and M. Yahiro, J. Phys. G 39, 085010 (2012).



quark periodicity
phases => Nf blue dots
are in this case
flavor-dependent

In this case each dyon type has one zero mode with one quark flavor =>N independent topological ZMZ's!

Both transitions are dramatically different!

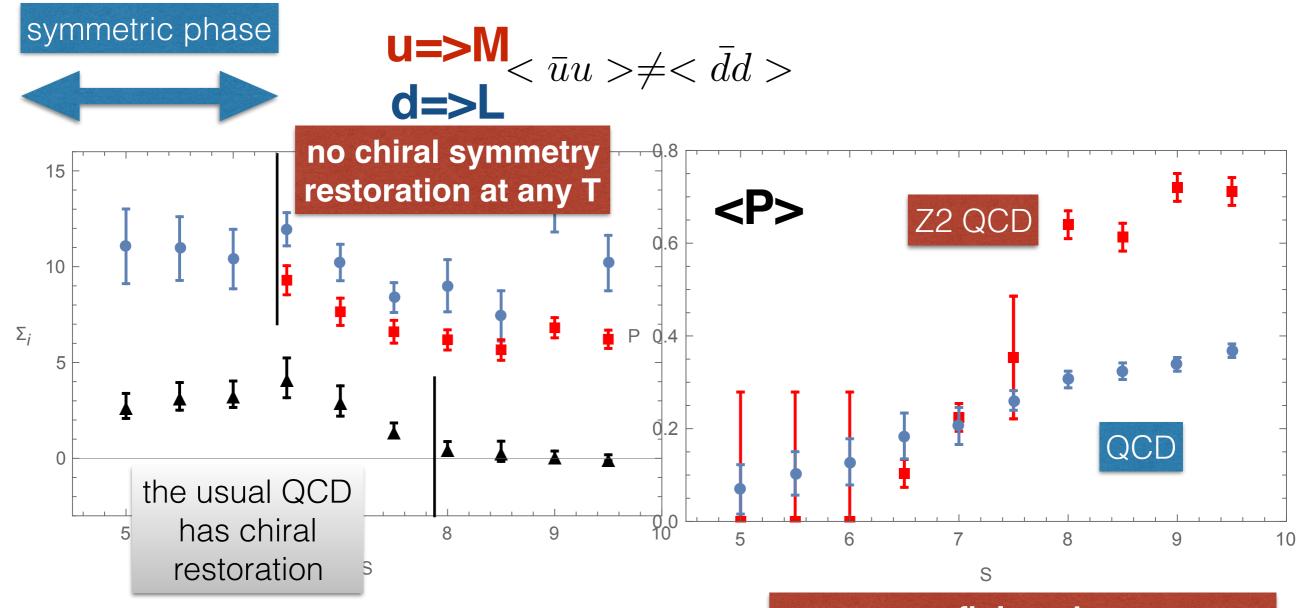


FIG. 6: Chiral condensate generated by u quarks and L dyons (red squares) and d quarks interacting with M dyons (blue circles) as a function of action S, for the Z_2 -symmetric model. For comparison we also show the results from II for the usual QCD-like model with $N_c = N_f = 2$ by black triangles.

why is condensate much larger for Z2?

confining phase
gets much more
robust: strong first order
mixed phase (flat F)
is observed at medium densities

lattice study of Z3 QCD

Lattice study on QCD-like theory with exact center symmetry

Takumi Iritani*

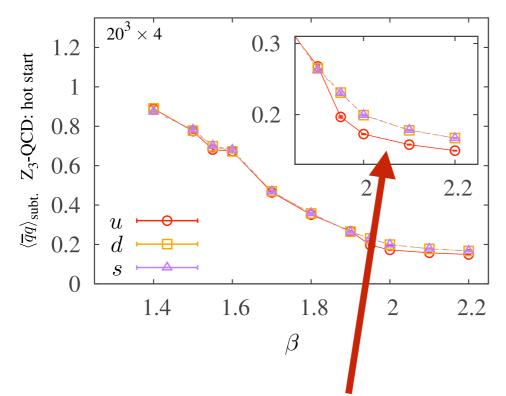
Yukawa Institute for Theoretical Physics, Kyoto 606-8502, Japan

Etsuko Itou[†]

High Energy Accelerator Research Organization (KEK), Tsukuba 305-0801, Japan

Tatsuhiro Misumi[‡]

Department of Mathematical Science, Akita University,



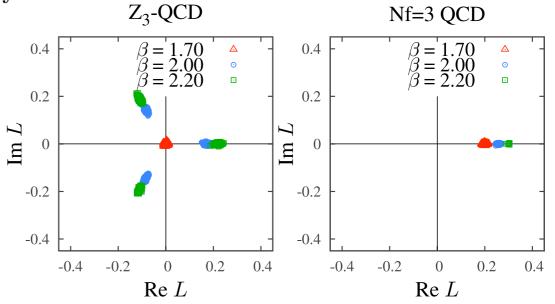
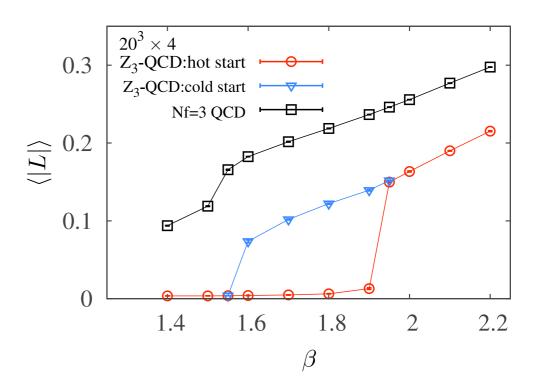


FIG. 1: Polyakov loop distribution plot in Z_3 -QCD (left) and the standard three-flavor QCD (right). Based on $16^3 \times 4$ lattice for $\beta = 1.70, 2.00, 2.20$ with the same values of κ in both panels.



lattice study of Z3 QCD

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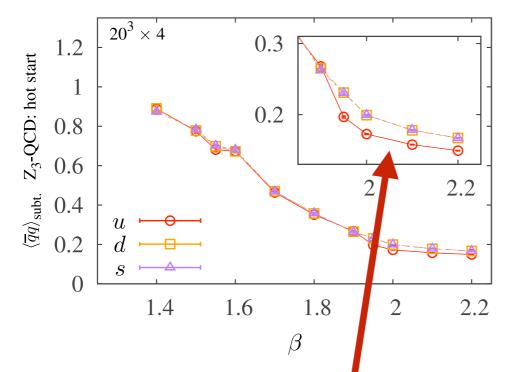
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explanation: three flavors of quarks interact with three different "liquids" of M1,M2,L instanton-dyons!

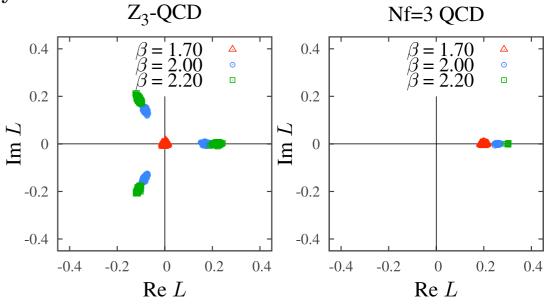
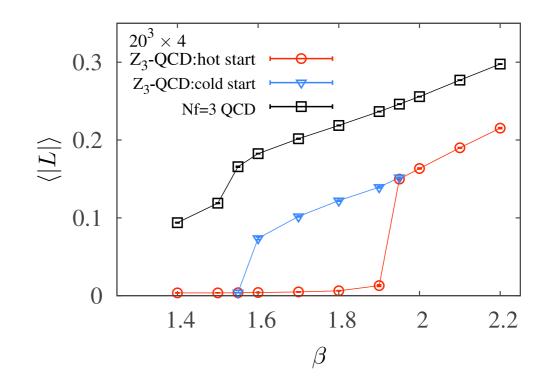
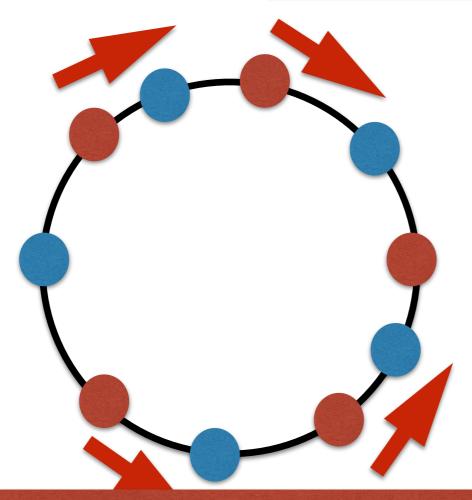


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still Nc=Nf=5

with growing T all red dots move toward zero (Polyakov line L=> 1)



each time
any L phase (red dot)
passes the
quark phase (blue dot),
the quark zero mode jump
to another dyon type

This leads to series of phase transitions related to Roberge-Weiss transitions, observed on the lattice at imaginary chem. potential

Dyons and Roberge - Weiss transition in lattice QCD

V.G. Bornyakov, D.L. Boyda, V.A. Goy, E. -M. Ilgenfritz, B.V. Martemyanov, A.V. Molochkov, Atsushi Nakamura, A.A. Nikolaev, V.I. Zakharov EPJ Web Conf. 137 (2017) 03002 arXiv:1611.07789

I suggest to call them Van Baal transitions instanton-dyons on the lattice (with S.Sharma and R.Larsen)

the cleanness case: domain wall fermions Q=1 configurations Nt=8,Nx=32, T/Tc=1,1.08

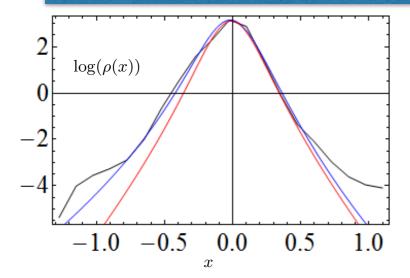


FIG. 8: $\log(\rho(x))$ of the zero mode of conf. 2960 at $\phi = \pi$ (black) and the log of the analytic formula for P = 0.4 and P = 1 though the maximum. $T = 1.08T_c$. Red peak only has been scaled to fit in height, while blue peak uses the found normalization.

excellent agreement of the shape with analytic formulae

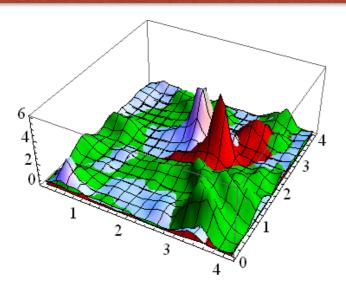


FIG. 17: $\rho(x,y)$ of the zero mode of conf. 2660 at $T=T_c$. $\phi=\pi(\text{red}), \ \phi=\pi/3(\text{blue}), \ \phi=-\pi/3(\text{green})$. Peak height has been scaled to be similar to that of $\phi=\pi$.

Fermionic Zero modes and topology around T_c

Rasmus Larsen, Sayantan Sharma and Edward Shuryak

We study the vacuum of 2+1 flavor QCD immediately above the chiral crossover transition temperature. Since the overlap fermions have an index theorem on a finite lattice we use the zero modes of the valence overlap quarks to probe the topological structures of the sea domain wall fermion configurations on lattices of size $32^3 \times 8$. The change in the properties of the zero modes are studied in detail by changing the boundary conditions of the overlap Dirac operator along the temporal direction. Our studies show that the zero modes have strong similarity to the instanton-dyons. We further provide evidence for this by studying in detail the properties of the near-zero eigenvectors of the overlap operator.

extracting the shape of the fermonic zero mode and modyfying the phase one can find all 3 dyons

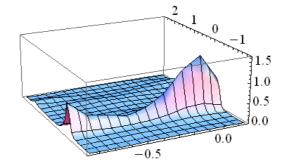


FIG. 3: $\rho(x,t)$ of the zero mode of conf. 2000 at $\phi=\pi/3$. T_c .

We found that their fields interfere with each other the interaction between them Is in excellent agreement with van Baal analytic formulae

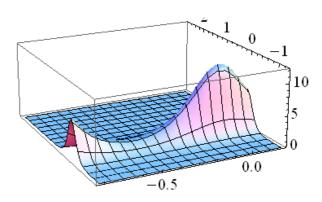


FIG. 4: Analytic zero mode density $\rho(x,t)$ at $\phi = \pi/3$. Main tered at the origin. Two other dyons at (0.2, 0.0, 0.0) (0.2, 0.0, 0.0).

Semiclassical theory of instanton-dyon ensemble Is in Poisson duality with monopoles

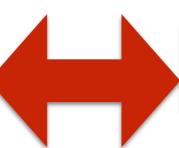
One can start in the theory in which there is a complete theoretical control on both and compare two approaches directly

N.Dorey and A.Parnachev JHEP 0108, 59 (2001)

hep-th/0011202]

N=4 extended supersymmetry with Higgled scalar compactified on a circle

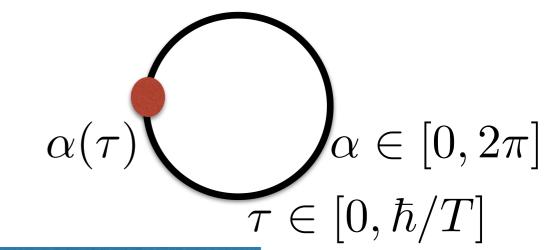
Partition function calculated in terms of monopoles



Partition function calculated in terms of instanton-dyons

Configurations are obviously very different
Zs also look different,
and yet they are related
by the Poisson summation formula
and thus are the same!!!

Is there any relation between the semiclassical instanton-dyons and QCD monopoles?



Adith Ramamurti,* Edward Shuryak,† and Ismail Zahed‡

of inertia

The same phenomenon in much simpler setting: quantum particle on a circle at finite T

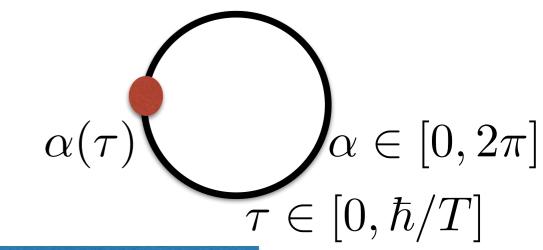
A Hamiltonian vs Lagrangian approaches

$$Z_1 = \sum_{l=-\infty}^{\infty} \exp\left(-\frac{l^2}{2\Lambda T} + il\omega\right) \qquad Z_2 = \sum_{n=-\infty}^{\infty} \sqrt{2\pi\Lambda T} \exp\left(-\frac{T\Lambda}{2}(2\pi n - \omega)^2\right).$$
 Modella Aharonov-Bohm

Aharonov-Bohm phase

$$\alpha_n(\tau) = 2\pi n \frac{\tau}{\beta}\,,$$
 winding number based on classical paths

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moment of inertia

Aharonov-Bohm phase

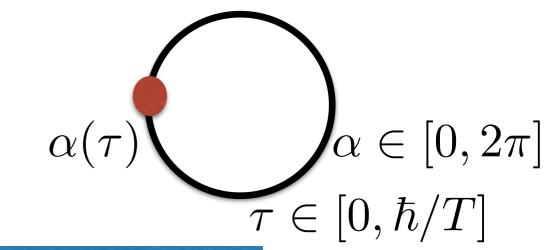
Matsubara winding number

 $\alpha_n(\tau) = 2\pi n \frac{\tau}{\beta} \,,$

based on classical paths

Note completely different dependence on T and holonomy omega

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And yet, they are the same! (elliptic theta function of the 3 type)

$$Z_1 = Z_2 = \theta_3 \left(-\frac{\omega}{2}, \exp\left(-\frac{1}{2\Lambda T} \right) \right)$$

Is there any relation between the semiclassical instanton-dyons and QCD monopoles?

 $\alpha(\tau) \qquad \qquad \alpha \in [0, 2\pi]$ $\tau \in [0, \hbar/T]$

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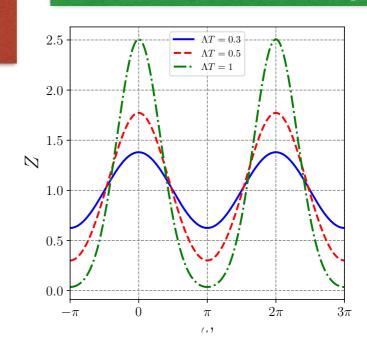
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based on classical paths



Is there any relation between the semiclassical instanton-dyons and QCD monopoles?

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$\sum_{n=-\infty}^{\infty} f(\omega + nP) = \sum_{l=-\infty}^{\infty} \frac{1}{P} \tilde{f}\left(\frac{l}{P}\right) e^{i2\pi l\omega/P}$

instanton-dyons with winding number n

The twisted solution is obtained in two steps. The first is the substitution

$$v \to n(2\pi/\beta) - v \,, \tag{13}$$

and the second is the gauge transformation with the gauge matrix

$$\hat{\Omega} = \exp\left(-\frac{i}{\beta}n\pi\tau\hat{\sigma}^3\right),\tag{14}$$

where we recall that $\tau = x^4 \in [0, \beta]$ is the Matsubara time. The derivative term in the gauge transformation adds a constant to A_4 which cancels out the unwanted $n(2\pi/\beta)$ term, leaving v, the same as for the original static monopole. After "gauge combing" of v into the same direction, this configuration – we will call L_n – can be combined with any other one. The solutions are all

$$S_n = (4\pi/g^2)|2\pi n/\beta - v|$$

Poisson summation formula can be used to derive the monopole Z

$$Z_{\text{inst}} = \sum_{n} e^{-\left(\frac{4\pi}{g_0^2}\right)|2\pi n - \omega|}$$

$$Z_{\text{mono}} \sim \sum_{q = -\infty}^{\infty} e^{iq\omega - S(q)}$$

$$S(q) = \log\left(\left(\frac{4\pi}{g_0^2}\right)^2 + q^2\right)$$

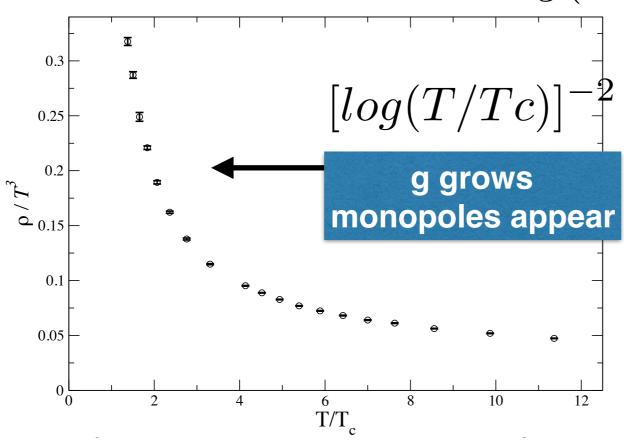
$$\approx 2\log\left(\frac{4\pi}{g_0^2}\right) + q^2\left(\frac{g_0^2}{4\pi}\right)^2 + \dots$$

q is angular momentum of rotating monopole, so it is electric charge

Therefore we now understand why The density of monopoles is well fitted by an inverse power of log(T), not power of T =>

It is because they are not really semiclassical objects!

$$S_{mono} \sim log(const/g^2) = log(log(T/Tc))$$



D'Alessandro, A. and D'Elia, M. (2008).

Magnetic monopoles in the high temperature phase of Yang-Mills theories.

Nucl. Phys., B799:241–254. 0711.1266.

Fig. 2.6 The normalized monopole density ρ/T^3 for the SU(2) pure gauge theory as a function of the temperature, in units of the critical temperature T/T_c , above the deconfinement transition.

For instantons and dyons it is different

$$exp(-S) \sim exp(-const/g^2) = exp(-const' * log(T)) = 1/T^{powe}$$

Semiclassical theory at finite T And few-nucleon clusters at freeze out (with Juan Torres-Rincon)

Standard textbook definition of the density matrix

$$P(x_0) = \sum |\psi(x_0)|^2 e^{-E_i/T}$$

As shown by Feynman, the density matrix for any quantum system can be expressed by the path integrals, over paths passing through the point x_0 . Analytic continuation to Euclidean (Matsubara) time defined on a circle $\tau \in [0, \beta = \hbar/T]$ lead to its finite temperature generalization

$$P(x_0) = \int Dx(t)e^{-S_E(x(\tau))}$$
 (2)

taken over the periodic paths which starts and ends at x_0 . This expression has led to multiple applications, perturbative (using Feynman diagrams) or numerical (e.g. lattice gauge theory). This is so well known that any references are not needed.

A novel semiclassical theory:

the path integral is dominated by minimal action (classical) path, called "flucton".

The idea was introduced by me in 1988.

Unlike WKB, this approach works for multidimensional and QFT settings. It leads to systematic perturbative series based on Feynman diagrams, with clear rules for each order.

-V(x) x_{turn} x_0 x_0 urn $\tau = \pm \beta/2$

FIG. 2: Two sketches explaining properties of the flucton classical paths. The upper one shows the (flipped) potential -V(x) versus its coordinate. The needed path starts from arbitrary observation point x_0 (red dot), goes uphill, turns back at the turning point x_{turn} (blue dot), and returns to x_0 during the required period $\beta = \hbar/T$. The lower plot illustrate the same path as a function of Euclidean time τ defined on a "Matsubara circle" with circumference β .

At T=0 period is infinite

An example at $T \neq 0$

anharmonic oscillator, defined by

$$S_E = \oint d\tau (\frac{\dot{x}^2}{2} + \frac{x^2}{2} + \frac{g}{2}x^4)$$

$$10^{-8}$$

$$10^{-8}$$

$$10^{-28}$$

$$-4$$

$$-2$$

$$0$$

$$2$$

$$4$$

FIG. 3: Density matrix $P(x_0)$ vs x_0 for anharmonic oscillator with the coupling g = 1, calculated via the definition (1) (line) and the flucton method (points). The line is based on 60 wave functions found numerically: one can notice that finite number of them leads to deviations, which however happen at very distant tails, with the probability $P \sim 10^{-30}$.

semiclassical clustering of nucleons

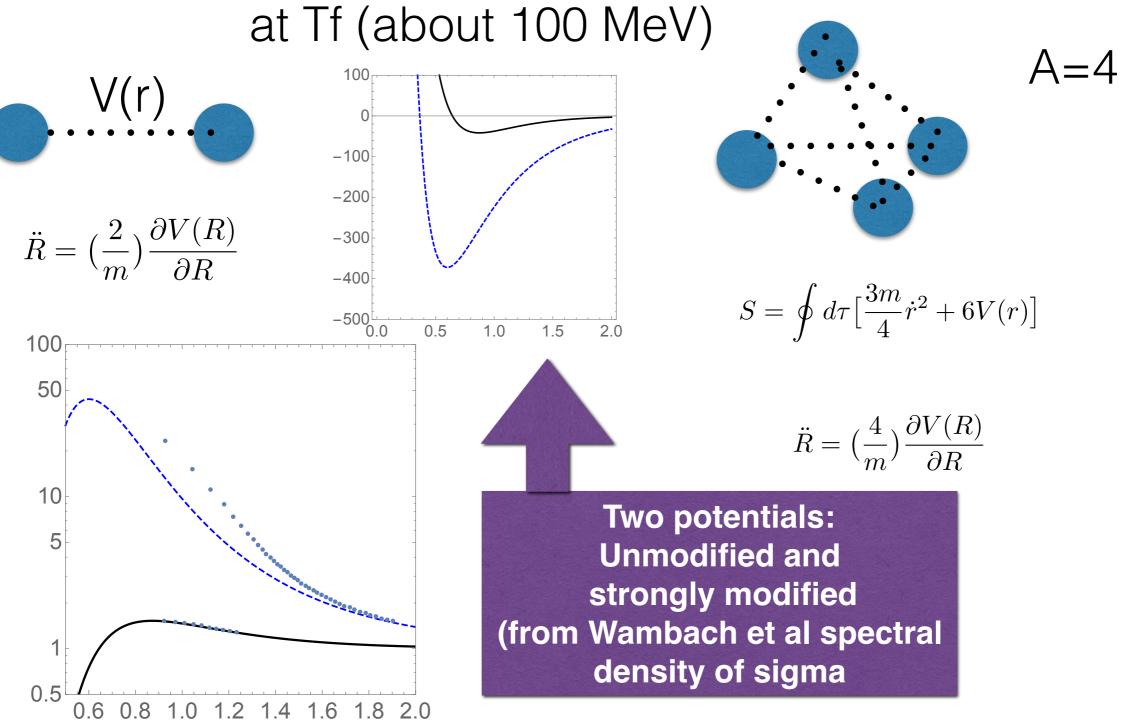
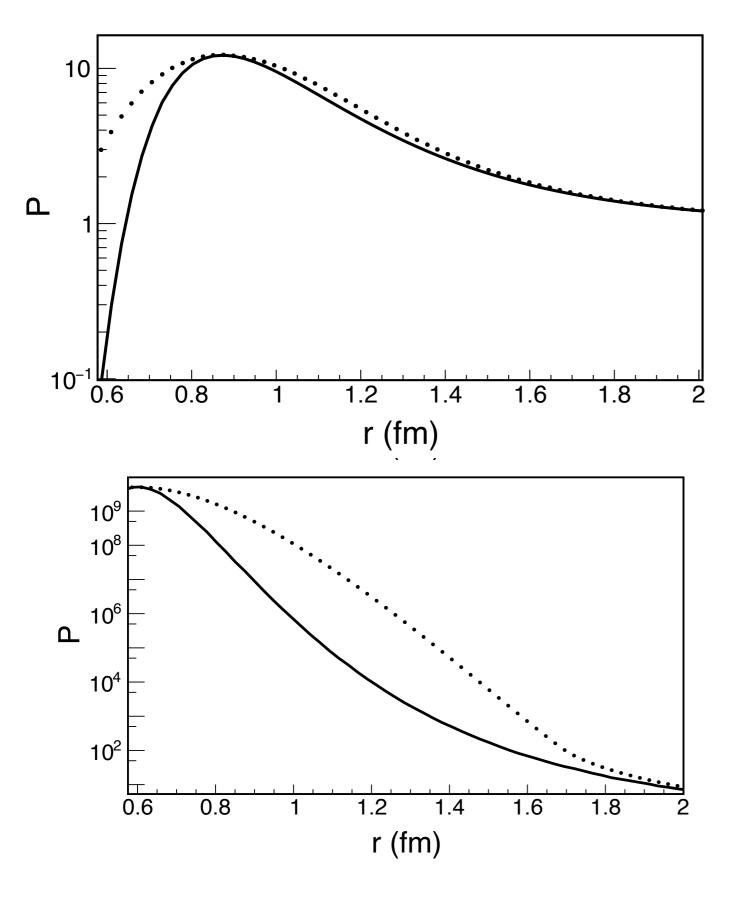


FIG. 4: The probability for two nucleons being at distance r (fm) from each other at the temperature $T=100\,MeV$. The lower and upper curves are Boltzmann factors for Walecka potential, with sigma masses $m_{\sigma}=500$ and $285\,MeV$, respectively. The dottes indicate the semiclassical probability distribution calculated via flucton method.



A=4 nucleons
line=exp(-V/T)
dots=flucton
Upper plot -ordinary V
Lower is Modified V

He4 and K-harmonics

Jacobi coordinates

$$\vec{\xi}[1] = \frac{\vec{x}[1] - \vec{x}[2]}{\sqrt{2}}, \ \vec{\xi}[2] = \frac{\vec{x}[1] + \vec{x}[2] - 2\vec{x}[3]}{\sqrt{6}},$$

$$\vec{\xi}[3] = \frac{\vec{x}[1] + \vec{x}[2] + \vec{x}[3] - 3\vec{x}[4]}{2\sqrt{3}}$$

The radial coordinate, or *hyperdistance*, is defined as

$$\rho^2 = \sum_{m=1}^{3} \vec{\xi}[m]^2 = \frac{1}{4} \left(\sum_{i \neq j} (\vec{x}[i] - \vec{x}[j])^2 \right)$$
 (A1)

The radial part of the Laplacian in these Jacobi coordinates is $\psi''(\rho) + 8\psi'(\rho)/\rho$, and using substitution $\psi(\rho) = \chi(\rho)/\rho^4$ one arrives to conventional-looking Schreodinger eqn for K=0 harmonics

$$\frac{d^2\chi}{d\rho^2} - \frac{12}{\rho^2}\chi - \frac{2M}{\hbar^2}(W(\rho) - E)\chi = 0$$
 (A2)

The main bound state at -28 MeV is reproduced in literature
Unexpectedly, we found another bound state
At -8 MeV
It corresponds to known resonance!

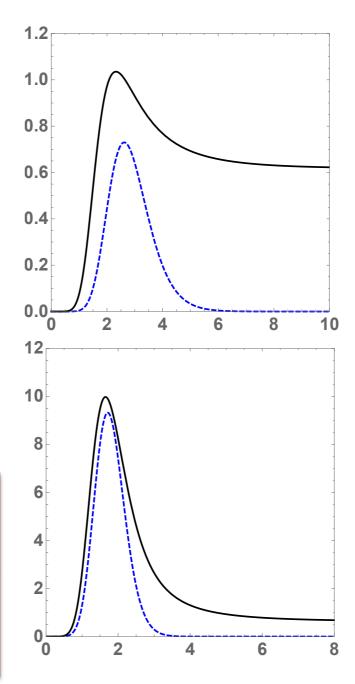


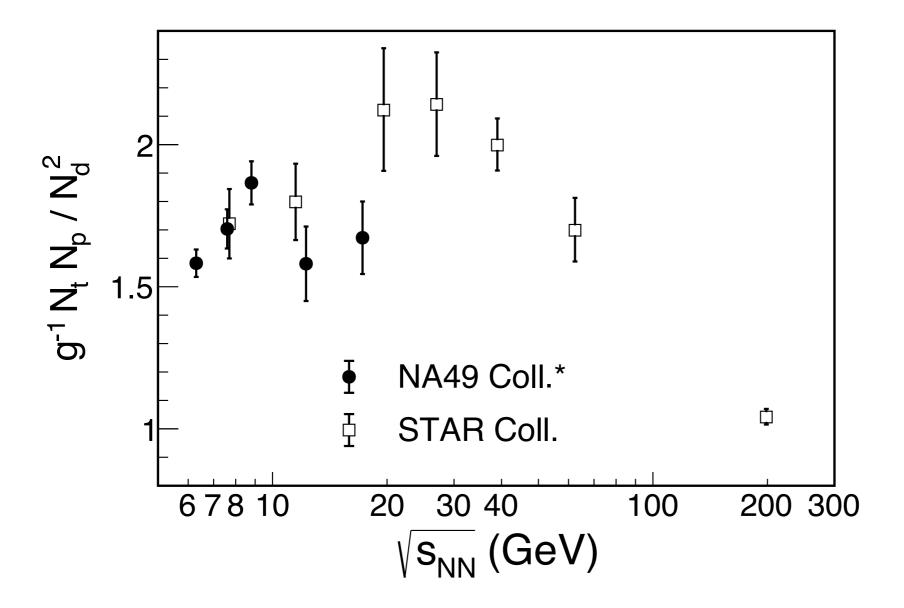
FIG. 8: The solid lines are Boltzmann-weighted density matrix, at $T=100\,MeV$, using 40 lowest states of the K-harmonics radial equation, for the usual nuclear potential (upper plot) and the modified one (lower plot). In both case the blue dashed line show the contribution of the deepest bound state.

TABLE I: Low-lying resonances of He^4 system, from BNL properties of nuclides listed in nndc.bnl.gov web page. JP is total angular momentum and parity, Γ is the width. The last column is the decay channel branching ratios, in percents. p, n, d correspond to emission of proton, neutron or deuterons.

E(MeV)	JP	$\Gamma(MeV)$	decay modes, in %
20.21	0 +	0.50	p =100
21.01	0 -	0.84	n = 24, p = 76
21.84	2-	2.01	n = 37, p = 63
23.33	2-	5.01	n = 47, p = 53
23.64	1-	6.20	n = 45, p = 55
24.25	1-	6.10	n = 47, p = 50, d=3
25.28	0-	7.97	n = 48, p = 52
25.95	1-	12.66	n = 48, p = 52
27.42	2+	8.69	n = 3, p = 3, d = 94
28.31	1+	9.89	n = 47, p = 48, d = 5
28.37	1-	3.92	n = 2, p = 2, d = 96
28.39	2-	8.75	n = 0.2, p = 0.2, d = 99.6
28.64	0-	4.89	d=100
28.67	2+	3.78	d=100
29.89	2+	9.72	n = 0.4, $p = 0.4$, $d = 99.2$



Statistical model implies that all thee resonances
Are also produced and decay, some
In observable channels like d+d,p+t



Extra source for t: from pre-cluster decays?

Summary

the semiclassical they based on instanton-dyons reproduces (i) the deconfinement; (ii) chiral symmetry transitions; (iii) not just in QCD (where quasicritical Tdec and Tchir are about the same) but with arbitrary quark periodicity phases, where there are more phase transitions

Path integral semiclassics at nonzero T
Applied to few-nucleon
clusters at freeseout
=> very sensitive to inter-N potential

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Instanton-dyon theory is Poisson-dual to monopole theory pro: simpler to use con: restricted to Euclidean time and cannot be used for kinetics

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How are they related?
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instanton-dyons and monopoles correspond to two different approaches to dynamics, but they result in the same partition function