

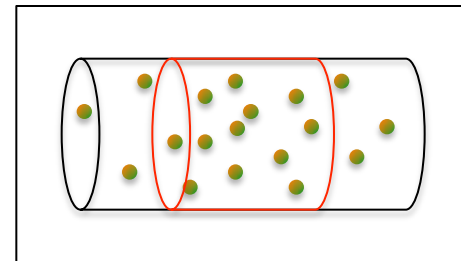
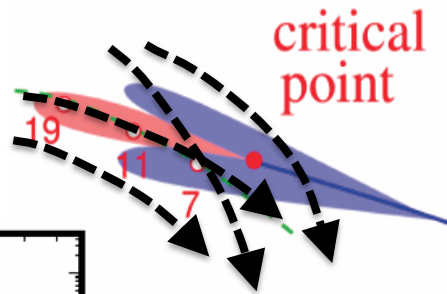
Fluctuation analysis for the STAR experiment --- multi-dimensional unfolding of net-number and temperature including volume fluctuation ---

Shinichi Esumi

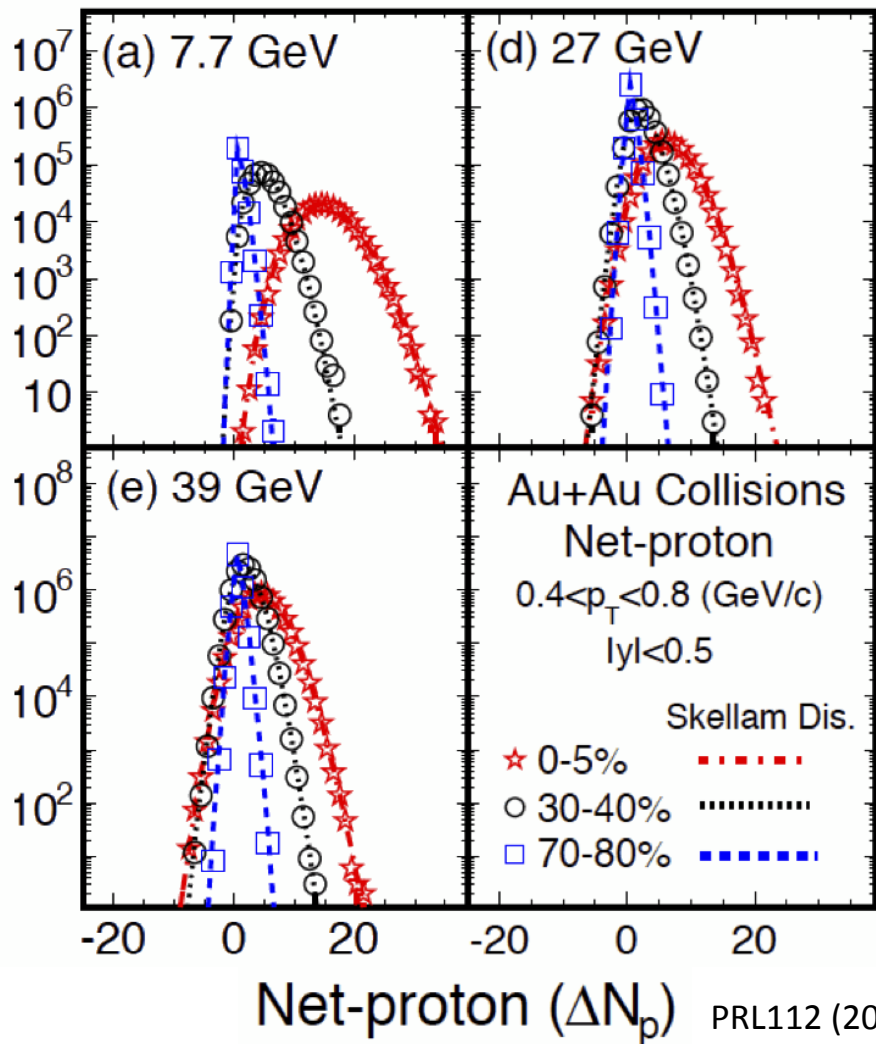
**Tomonaga Center for the History of the Universe
(TCHoU), Institute of Physics, University of Tsukuba**

- Looking for a critical fluctuation in conserved number and possibly also in temperature distribution simultaneously with unfolding method including volume fluctuation
- Volume fluctuation study with UrQMD by T. Sugiura, T.N., S.E.
- Another solution for non-binomial effect by T. Nonaka, M.K., S.E.

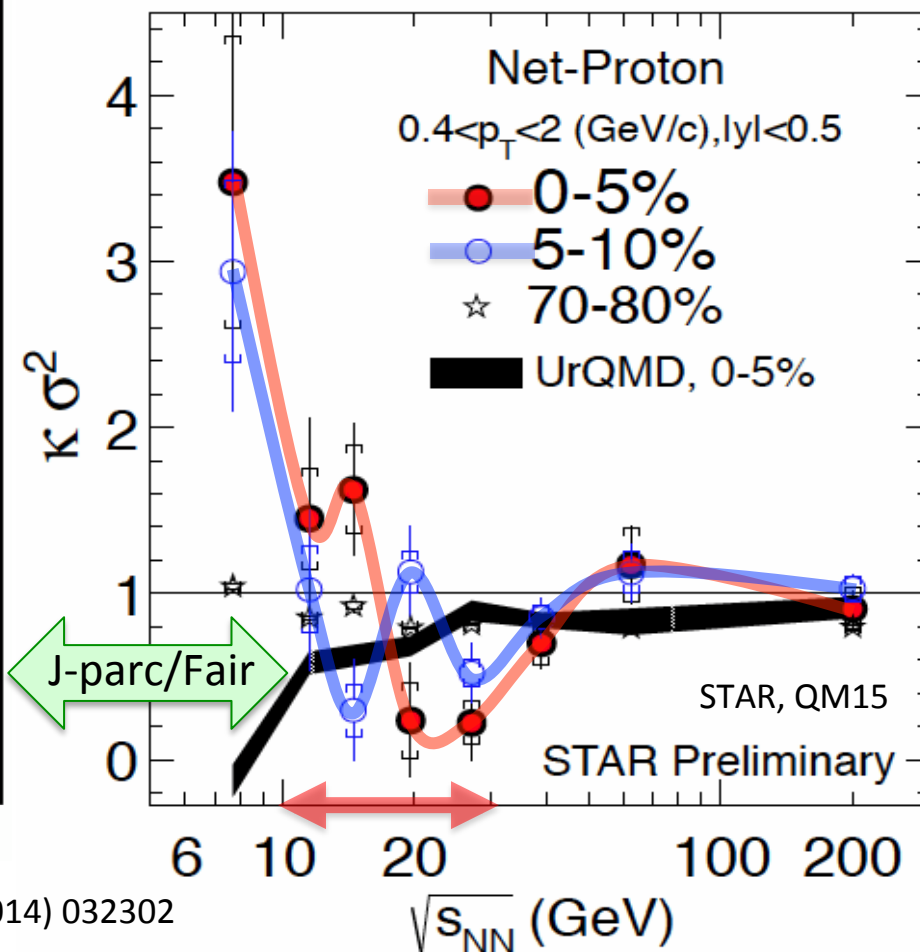
Possible signal from Critical Point?



Number of Events



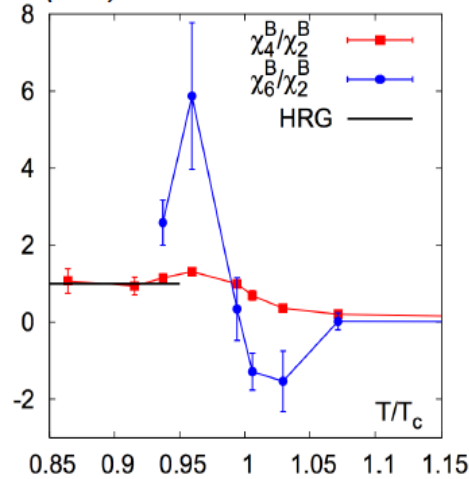
PRL112 (2014) 032302



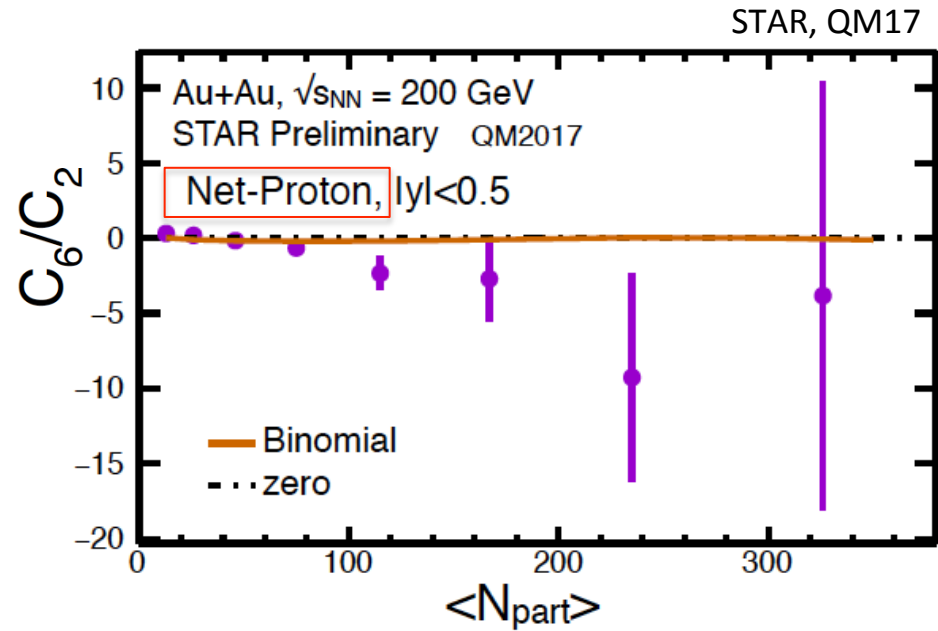
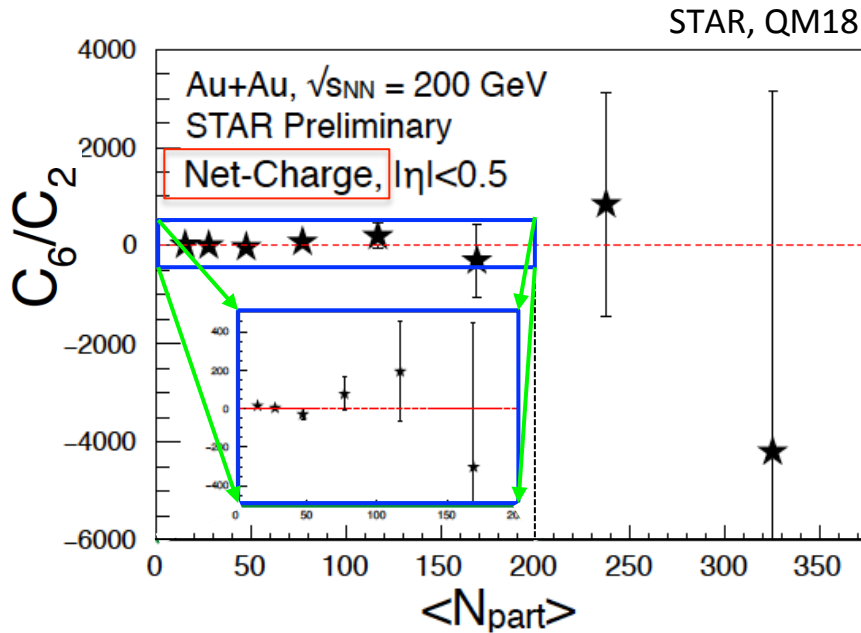
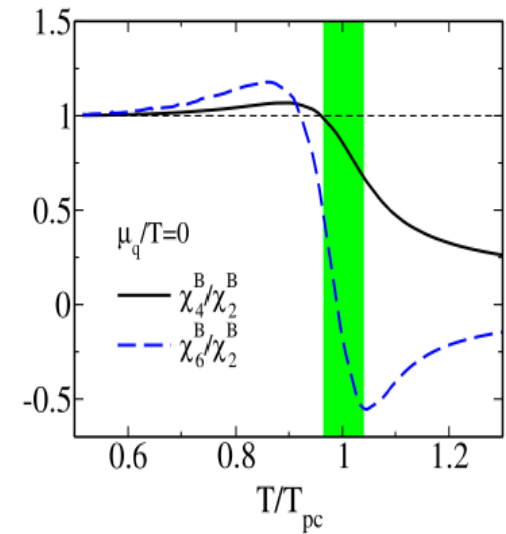
6th-order cumulants of net-proton and net-charge

Higher-order cumulants are expected
to be more sensitive to the critical
fluctuation than lower orders.
Even more statistics needed though ...

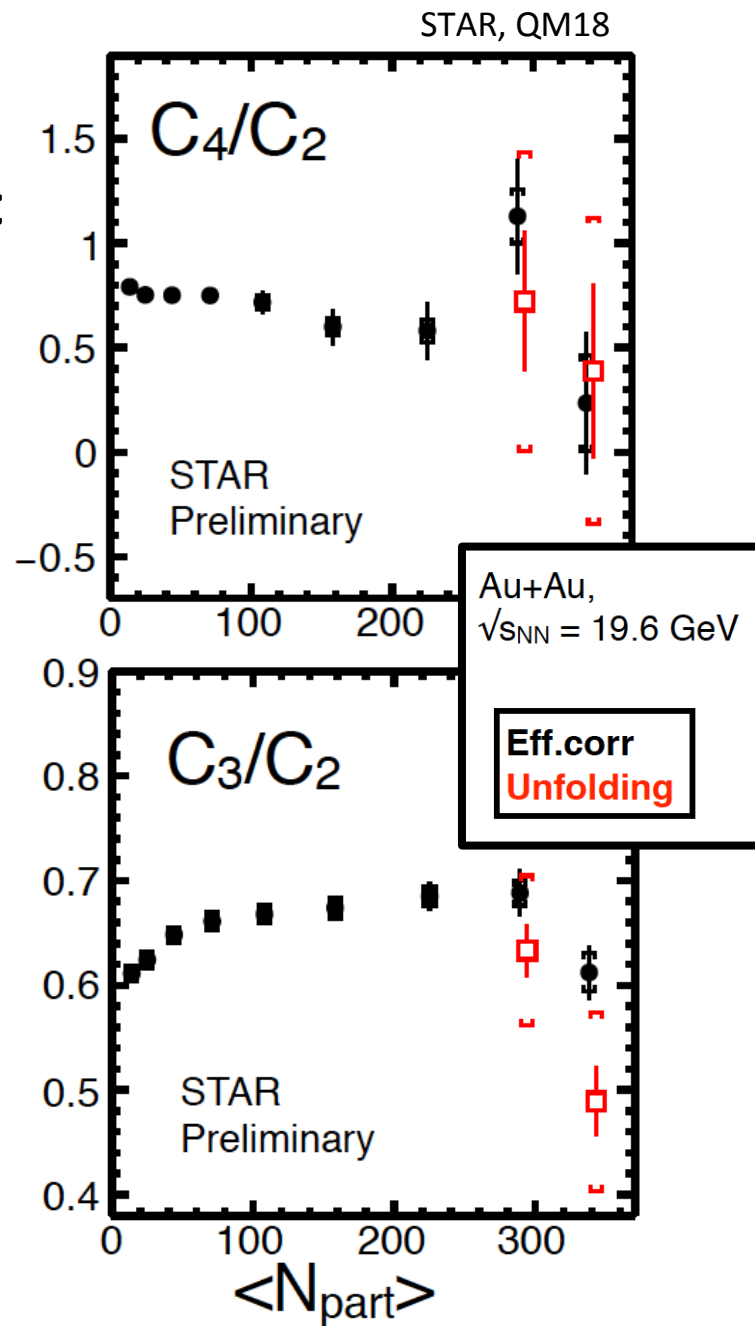
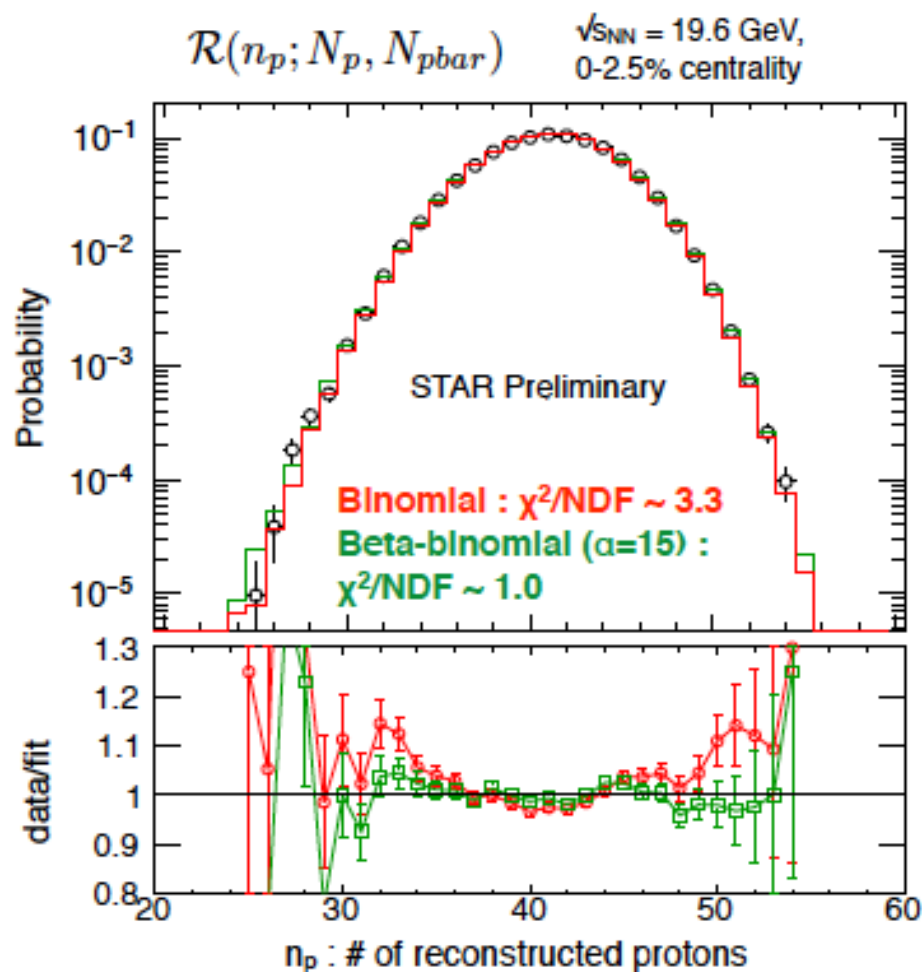
Cheng et al, Phys. Rev. D 79, 074505
(2009) : Lattice QCD

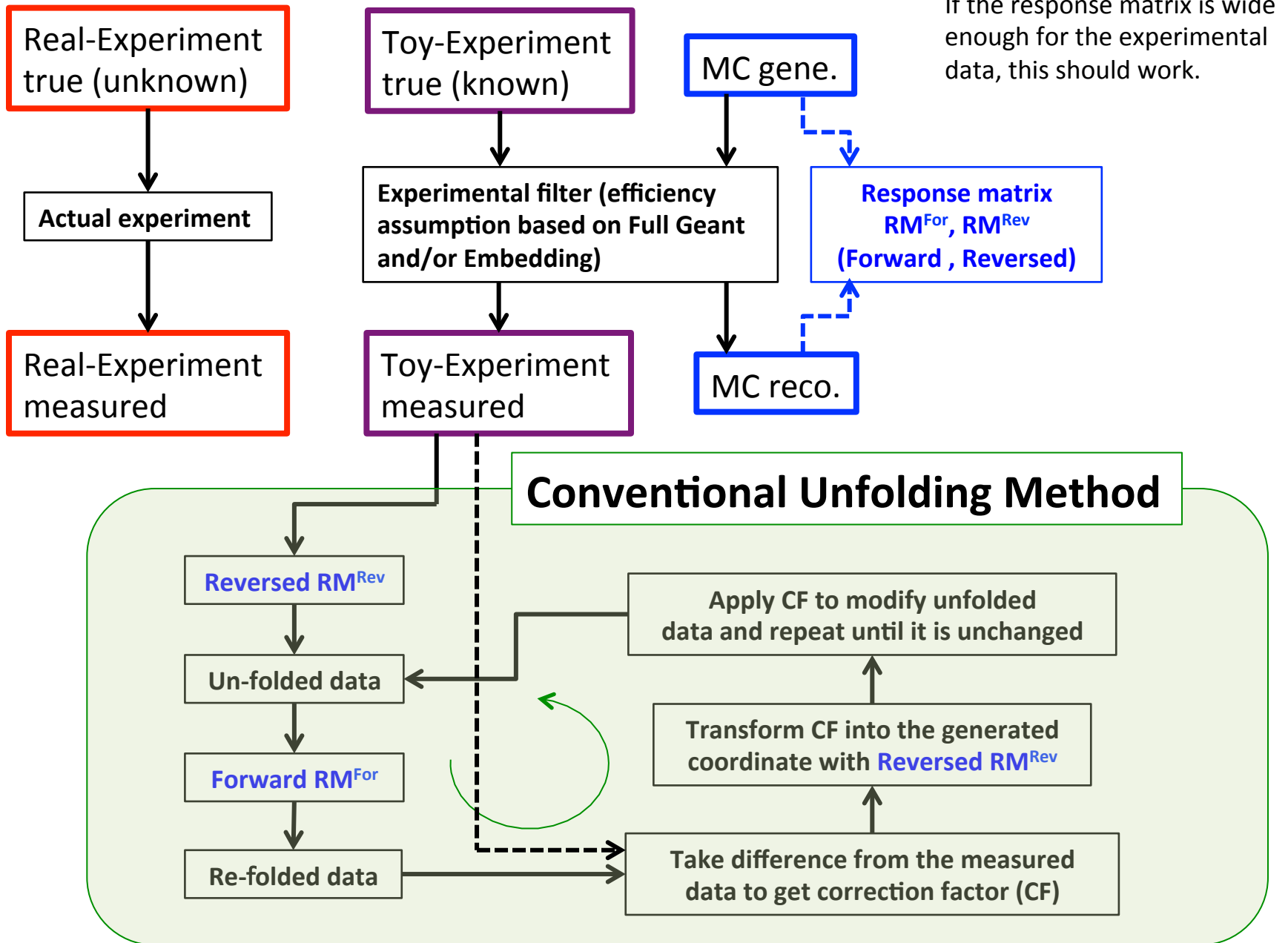


Friman et al, Eur. Phys. J. C (2011)
71:1694 : O(4) scaling functions



Unfolding result of net-proton distribution with non-binomial effect





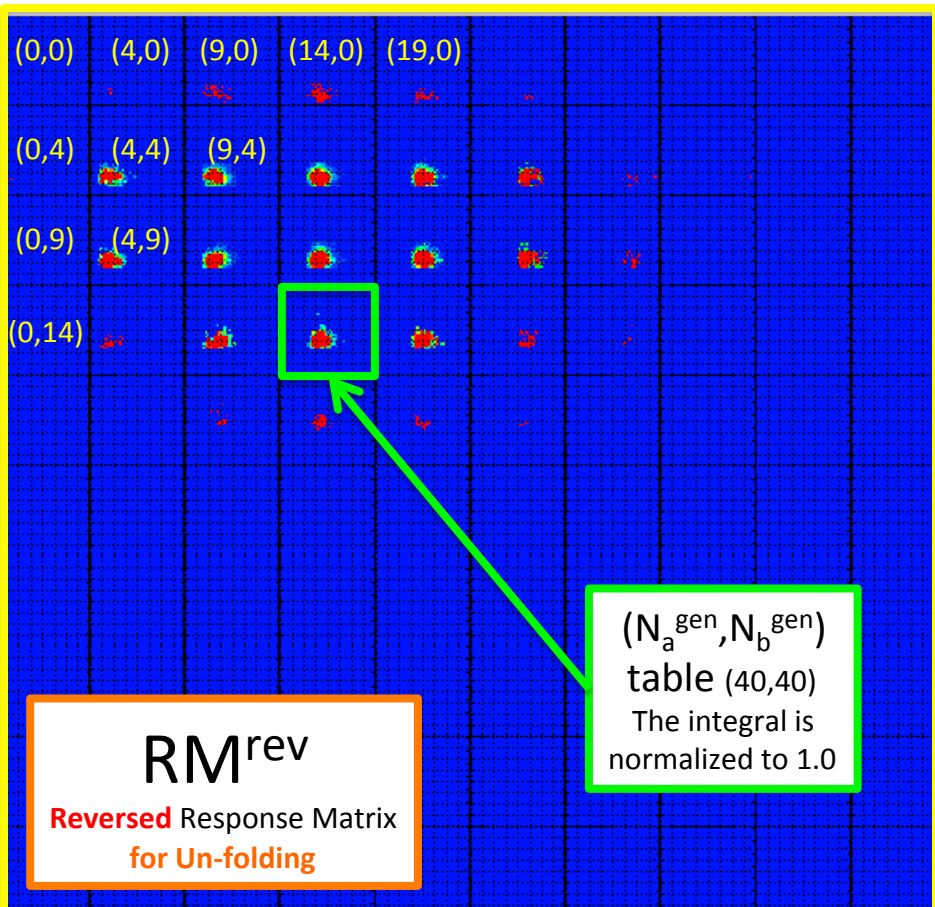
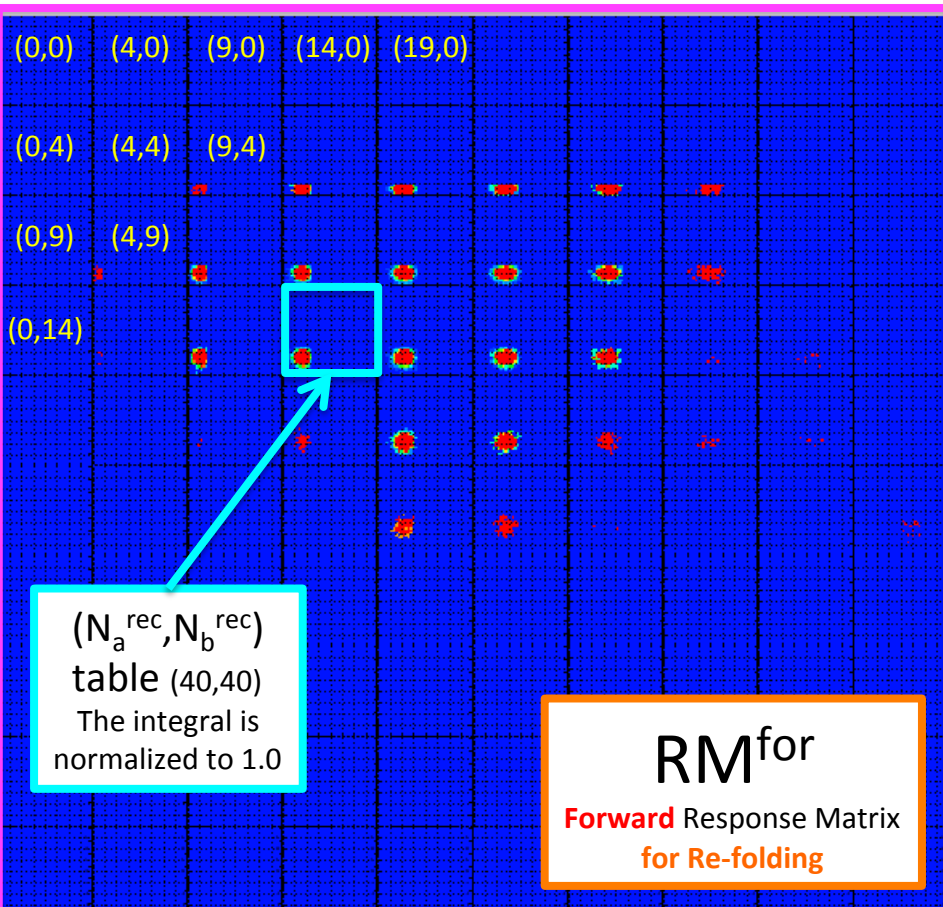
Response Matrix (including MC input weighting)

Forward Matrix ($MC^{gen} \rightarrow MC^{rec}$)

Reversed Matrix ($MC^{rec} \rightarrow MC^{gen}$)

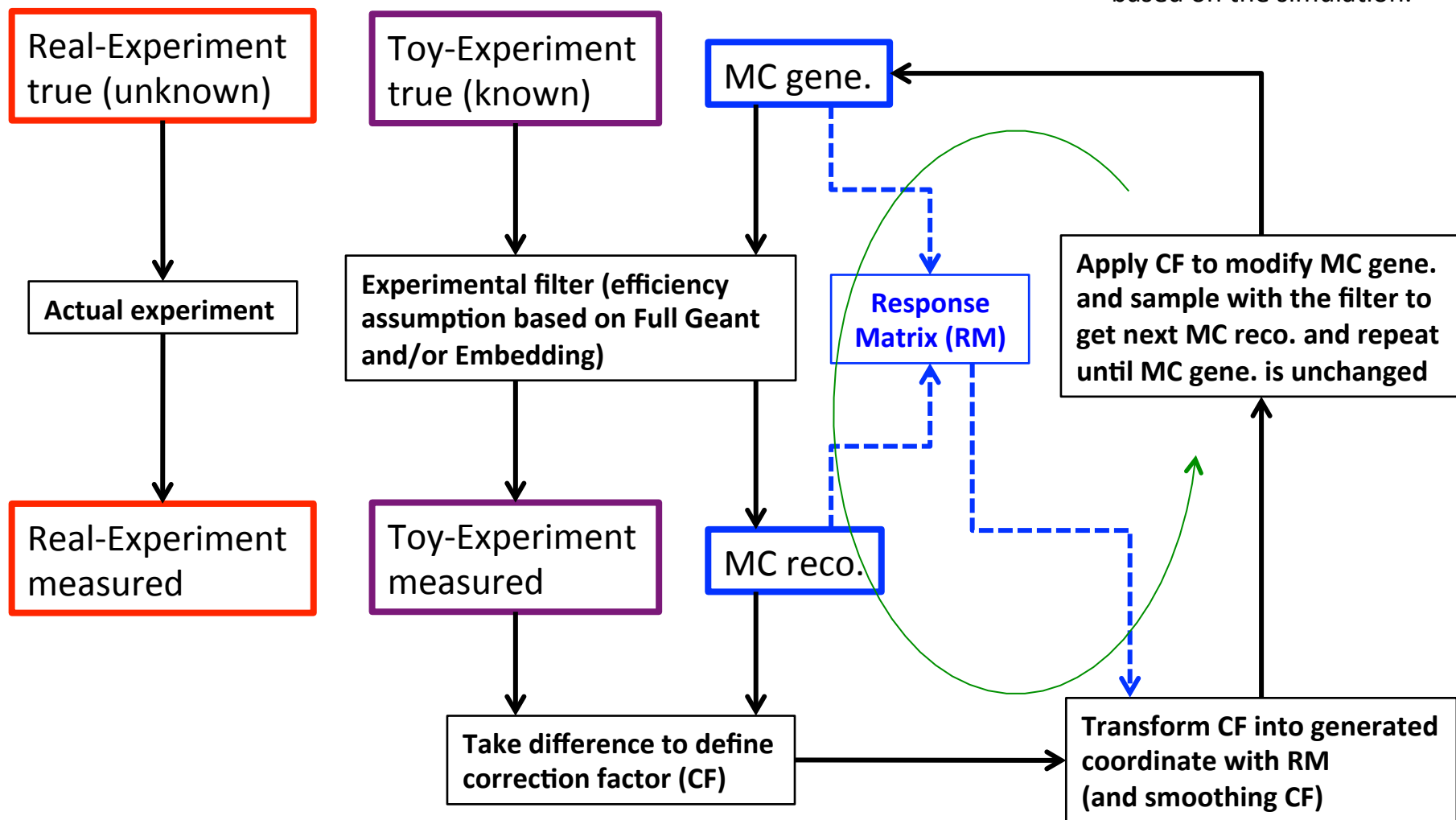
(N_a^{gen}, N_b^{gen}) (0-39, 0-39)

(N_a^{rec}, N_b^{rec}) (0-39, 0-39)



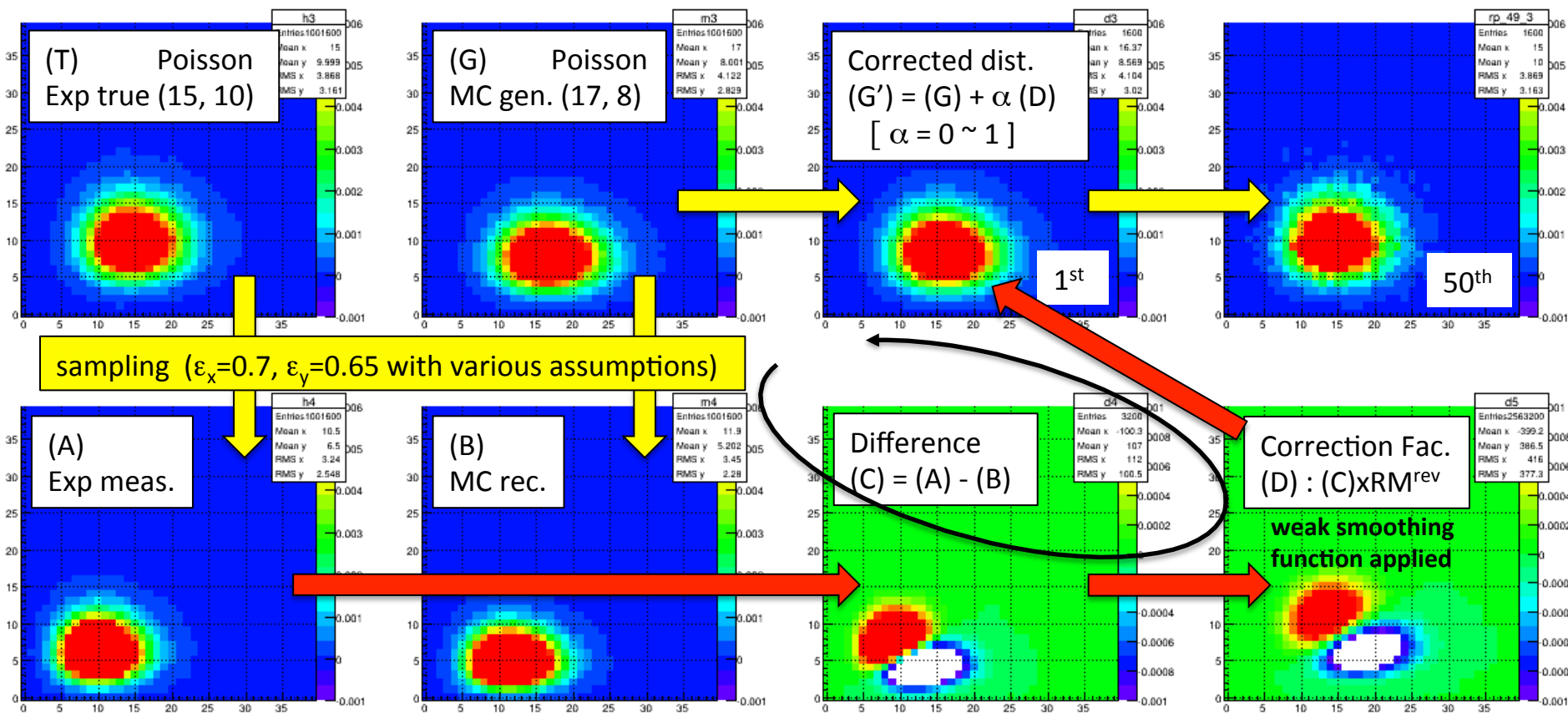
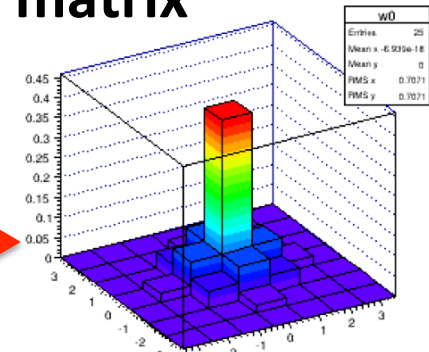
“Incremental unfolding” procedure

This will become feasible, once the response matrix can be parameterized based on the simulation.



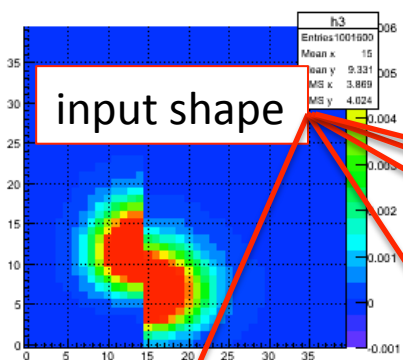
Incremental unfolding by updating the response matrix

- (1) True is unknown, there is only exp meas. (A)
- (2) generate mc_gen (G) and sample mc_rec (B) distributions
- (3) take difference between exp_meas. (A) and mc_rec (B) to define (C)
- (4) transform (C) to (D) by RM^{rev} and smoothing function
- (5) apply this correction factor (D) to mc_gen (G) for next iteration
- (6) repeat above steps (2)-(5) iteratively



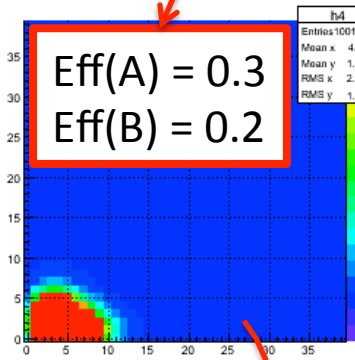
Some examples of this hybrid unfolding with various efficiency assumptions (critical shape 2)

input shape

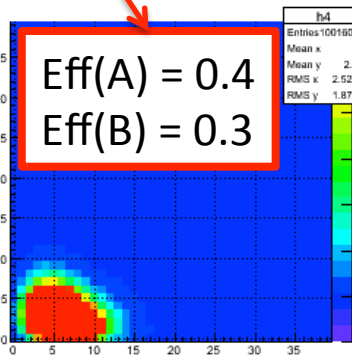


measured shape with different efficiencies

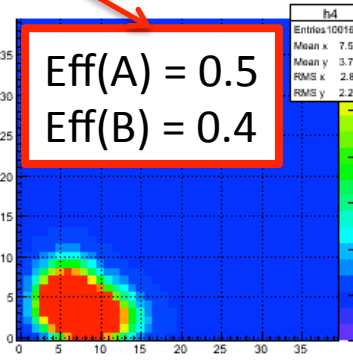
Eff(A) = 0.3
Eff(B) = 0.2



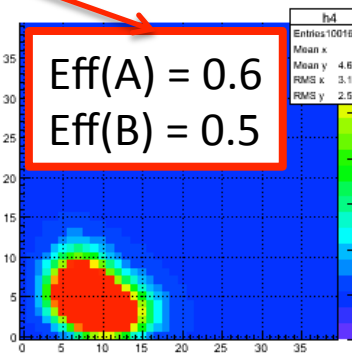
Eff(A) = 0.4
Eff(B) = 0.3



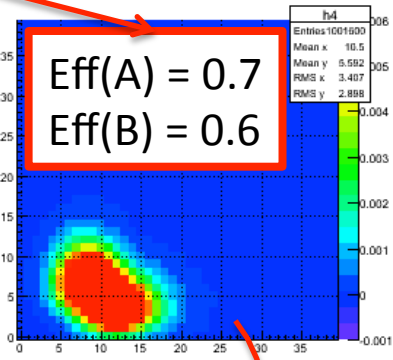
Eff(A) = 0.5
Eff(B) = 0.4



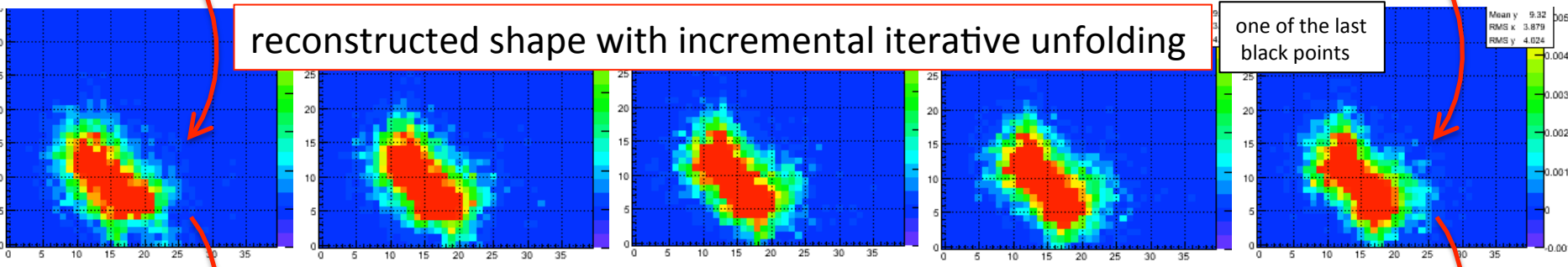
Eff(A) = 0.6
Eff(B) = 0.5



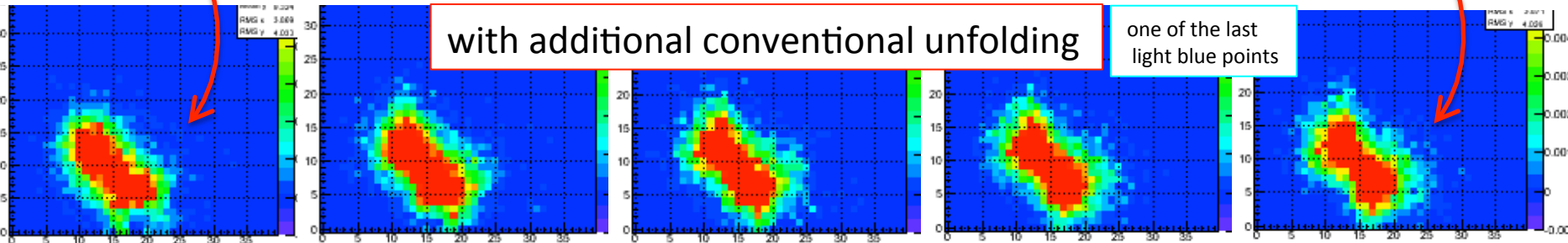
Eff(A) = 0.7
Eff(B) = 0.6



reconstructed shape with incremental iterative unfolding

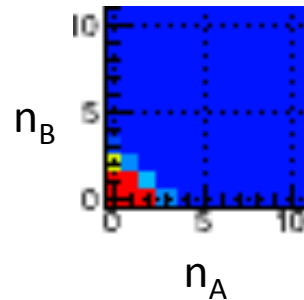
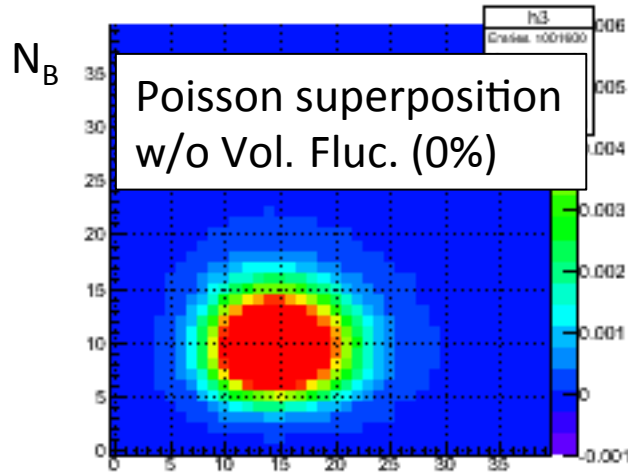


with additional conventional unfolding



Unfolding with Volume Fluctuation (V.F.)

Volume fluctuation is assumed to be known precisely according to Glauber (or any other initial) model. V.F. naturally induces a positive correlation between N_A vs N_B . Gaussian fluctuation is used in this toy model simulation.

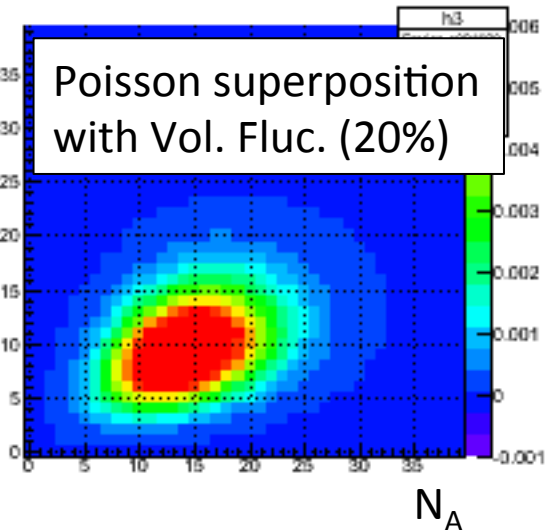
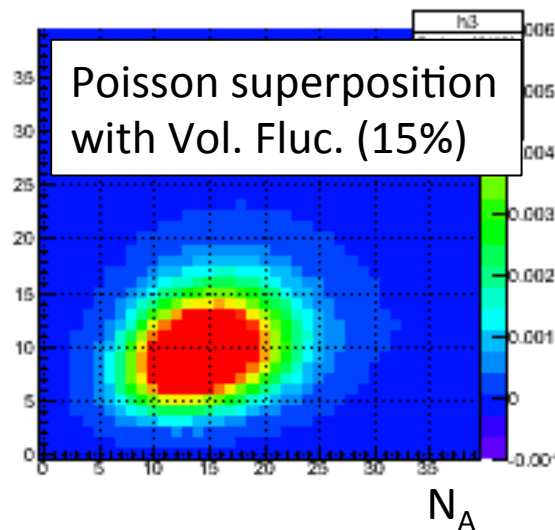
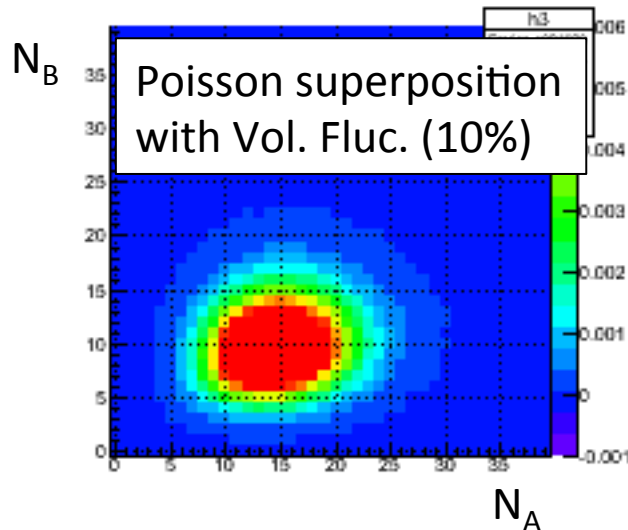


" n_A vs n_B " distribution per source will be extracted, where the number of sources " n_s " has well known fluctuation from VF.

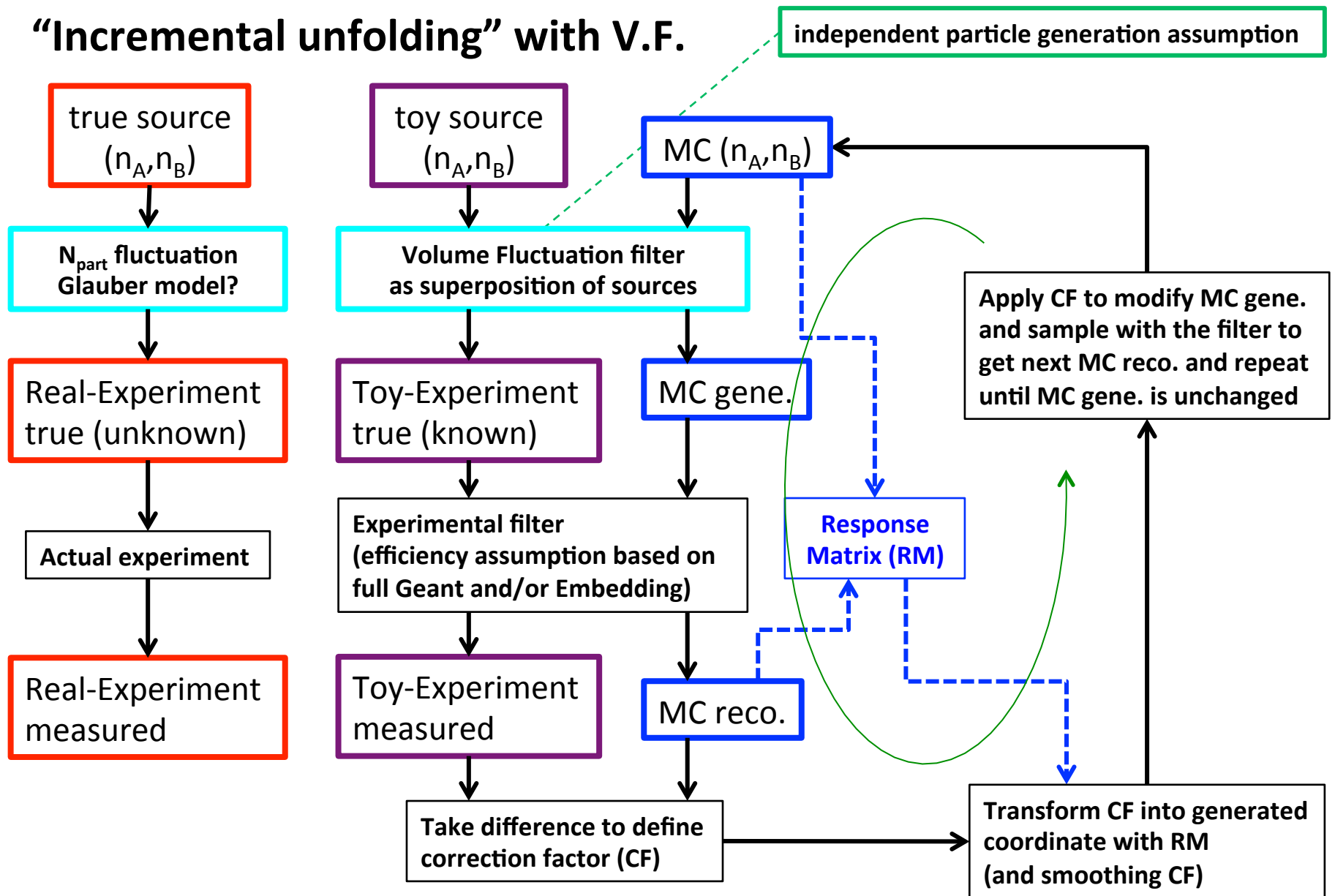
Poisson source : $\langle n_A \rangle = 0.15$, $\langle n_B \rangle = 0.1$

Gaussian fluc. : $\langle n_s \rangle = 100 \pm \text{V.F.}(\%)$

$$N_A = n_A \times n_s, \quad N_B = n_B \times n_s$$



“Incremental unfolding” with V.F.



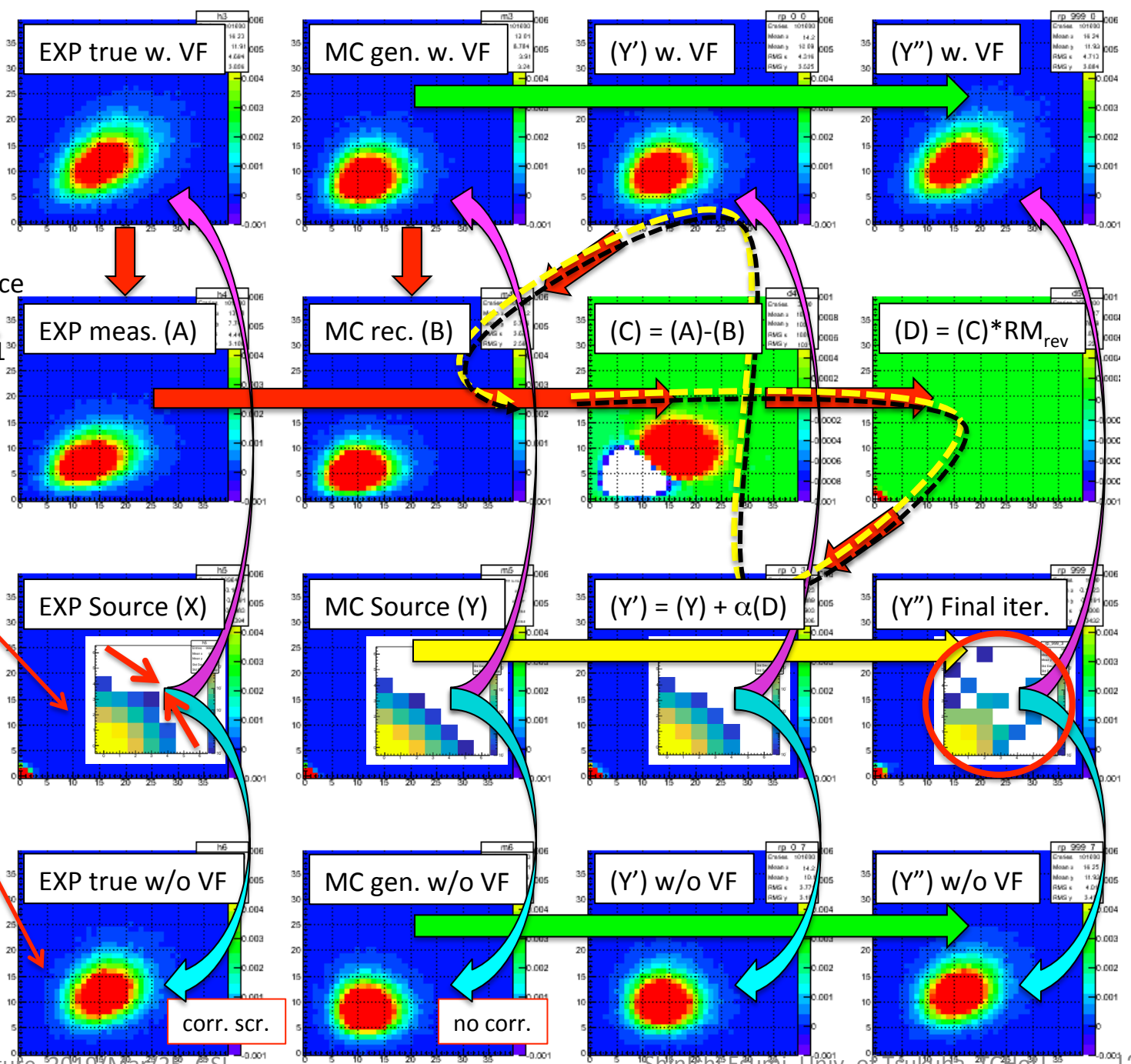
Positively Correlated Source with V.F.

- Modified Poisson Source (positive correlation) : $\langle n_A \rangle \sim 0.15$, $\langle n_B \rangle \sim 0.1$
- Gaussian Vol. fluc. : $\langle n_S \rangle = 100 \pm 15(\%)$
- $\epsilon_x = 0.85$, $\epsilon_y = 0.65$

Superposition of sources with volume fluctuation

Recovery of Source distribution

Superposition of fixed # of sources



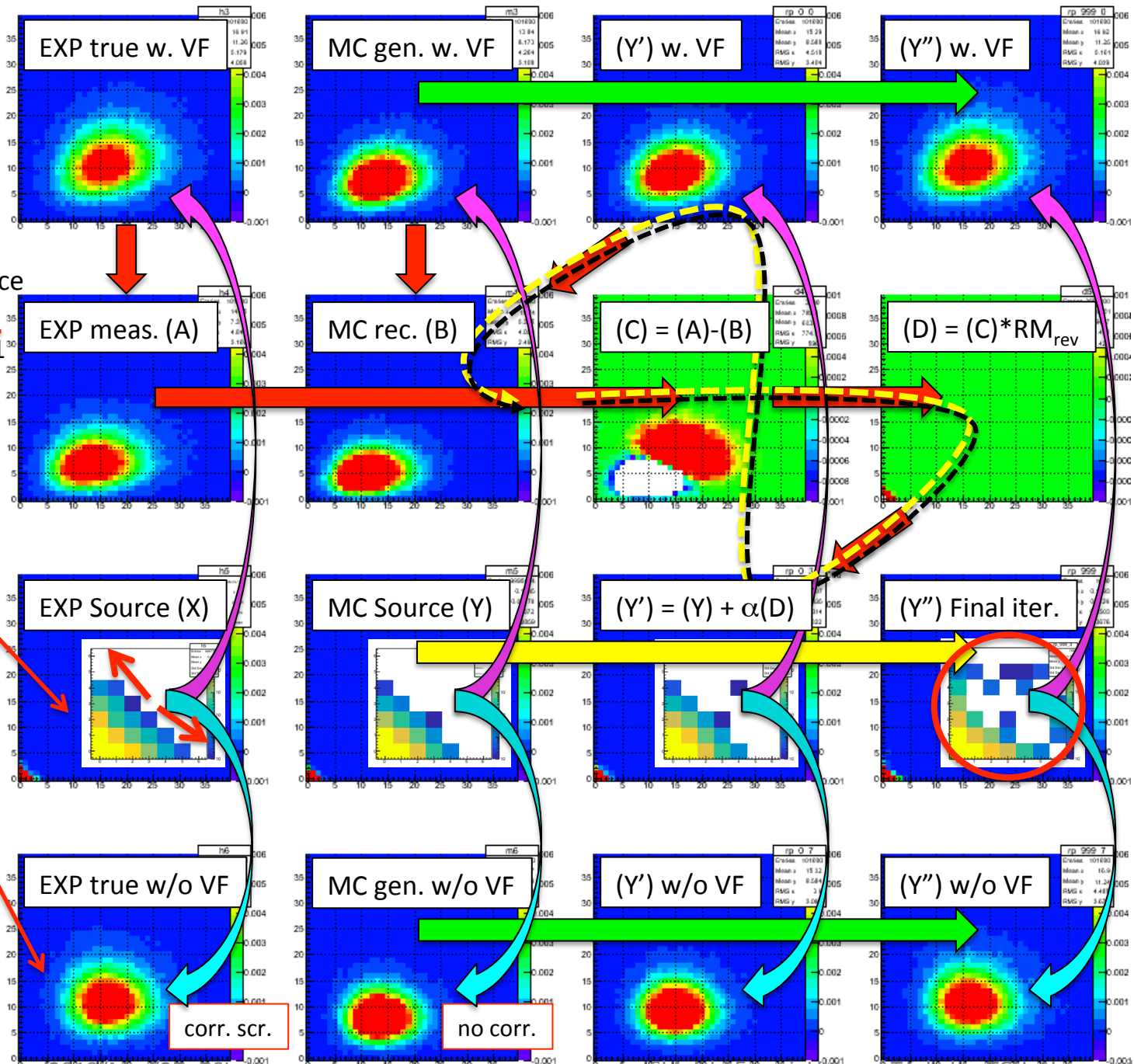
Negatively Correlated Source with V.F.

- Modified Poisson Source
(negative correlation) :
 $\langle n_A \rangle \sim 0.15$, $\langle n_B \rangle \sim 0.1$
Gaussian Vol. fluc. :
 $\langle n_S \rangle = 100 \pm 15(\%)$
 $\epsilon_x = 0.85$, $\epsilon_y = 0.65$

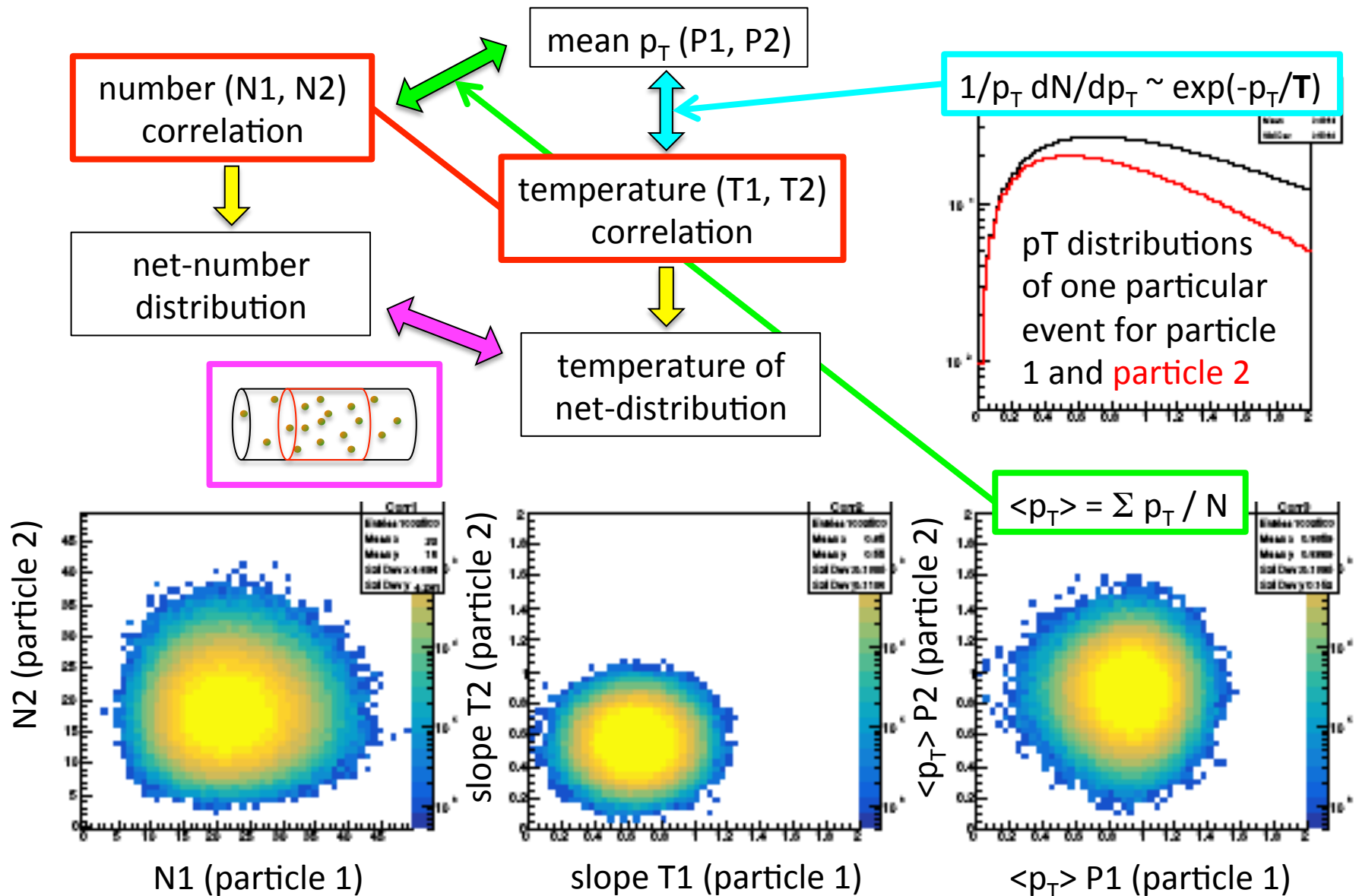
**Superposition
of sources with
volume fluctuation**

~~Recovery of Source distribution~~

Superposition of fixed # of sources



Extraction of net-number and temperatures simultaneously

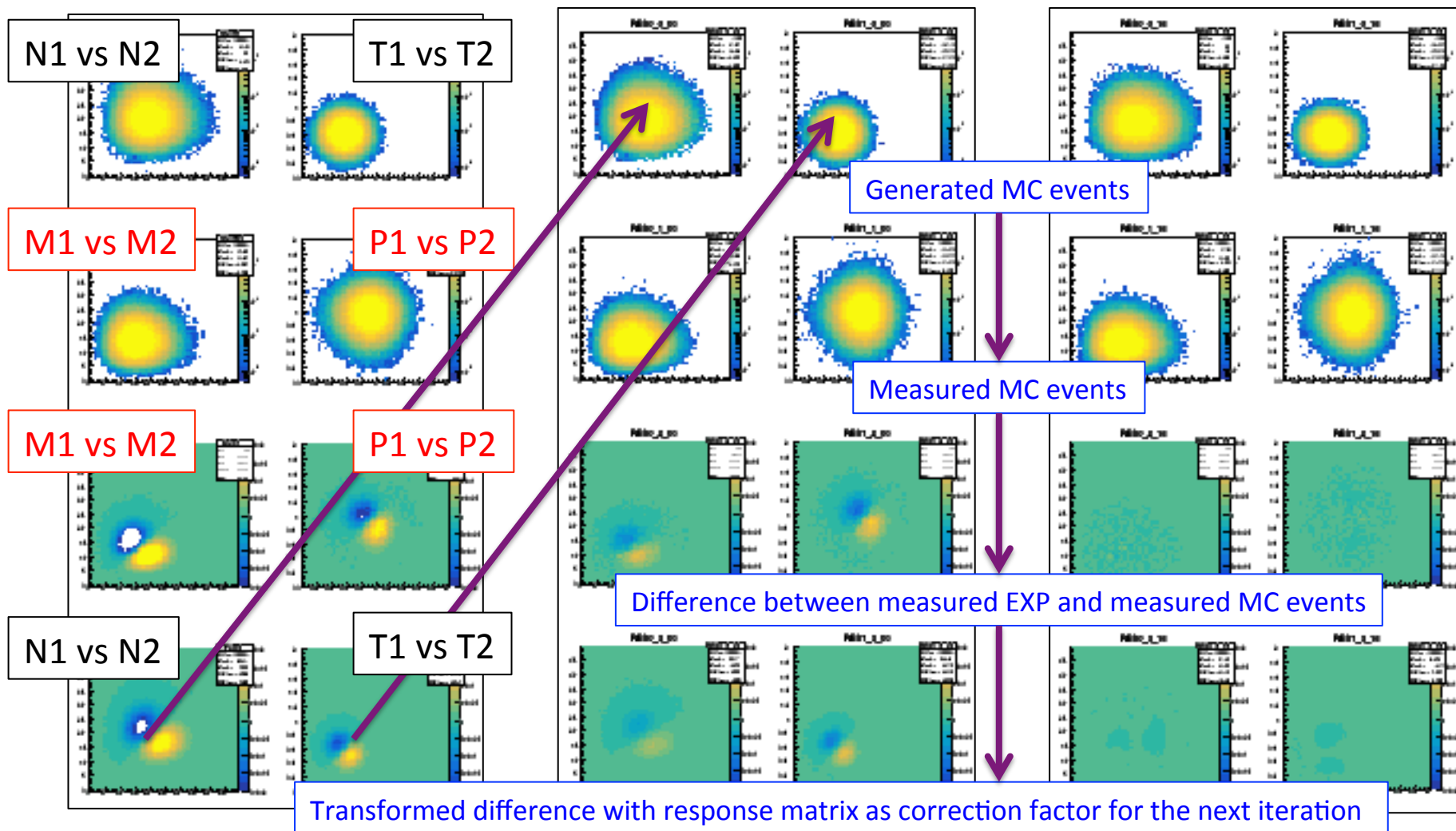


Test iteration without correlations

1st iteration

3rd iteration

17th iteration



2 out of total 6 2D-projections are shown for visualization purpose.

Forw. Resp. Matrix (N_1, N_2) bins
measured (M_1, M_2) distribution

Forw. Resp. Matrix (T_1, T_2) bins
measured (P_1, P_2) distribution

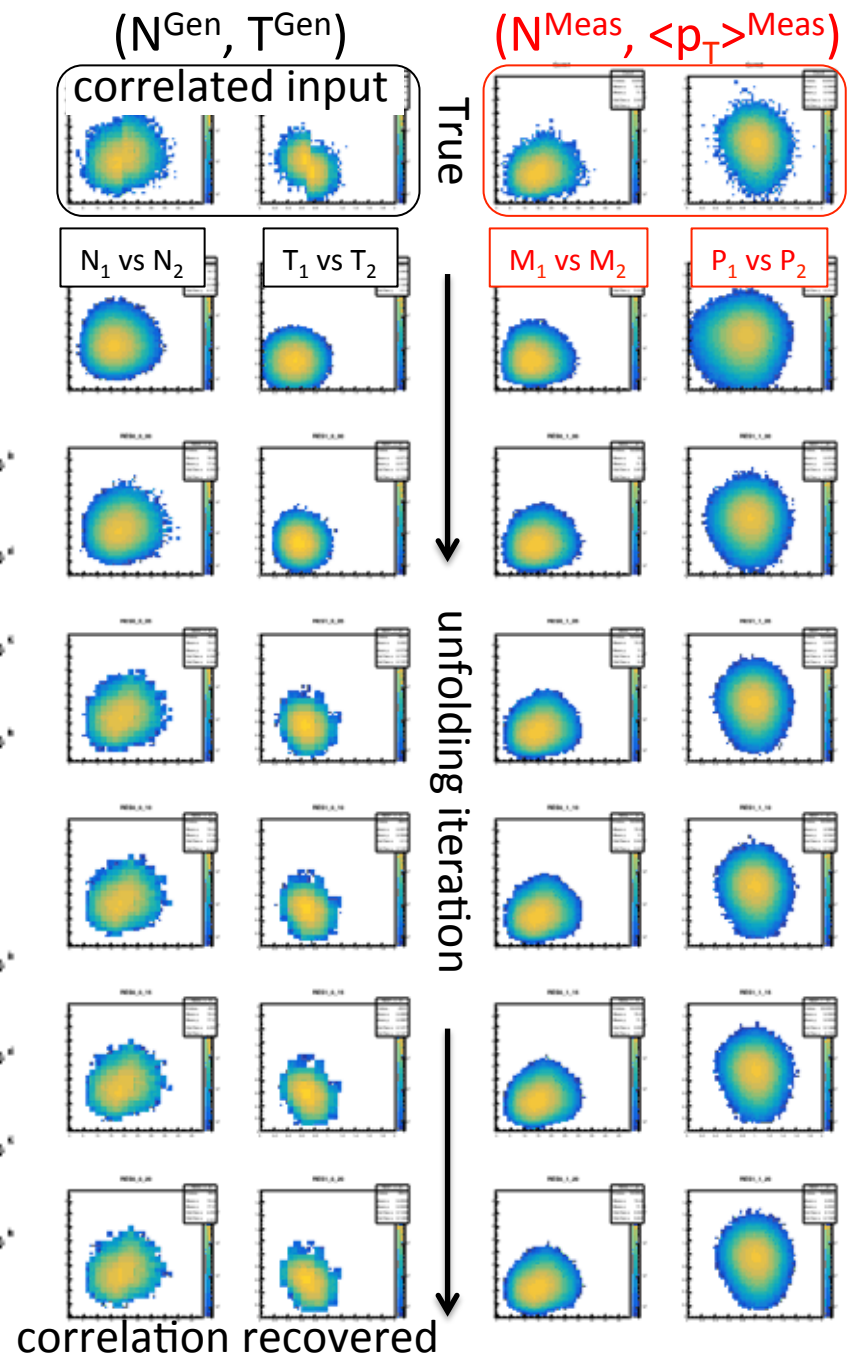
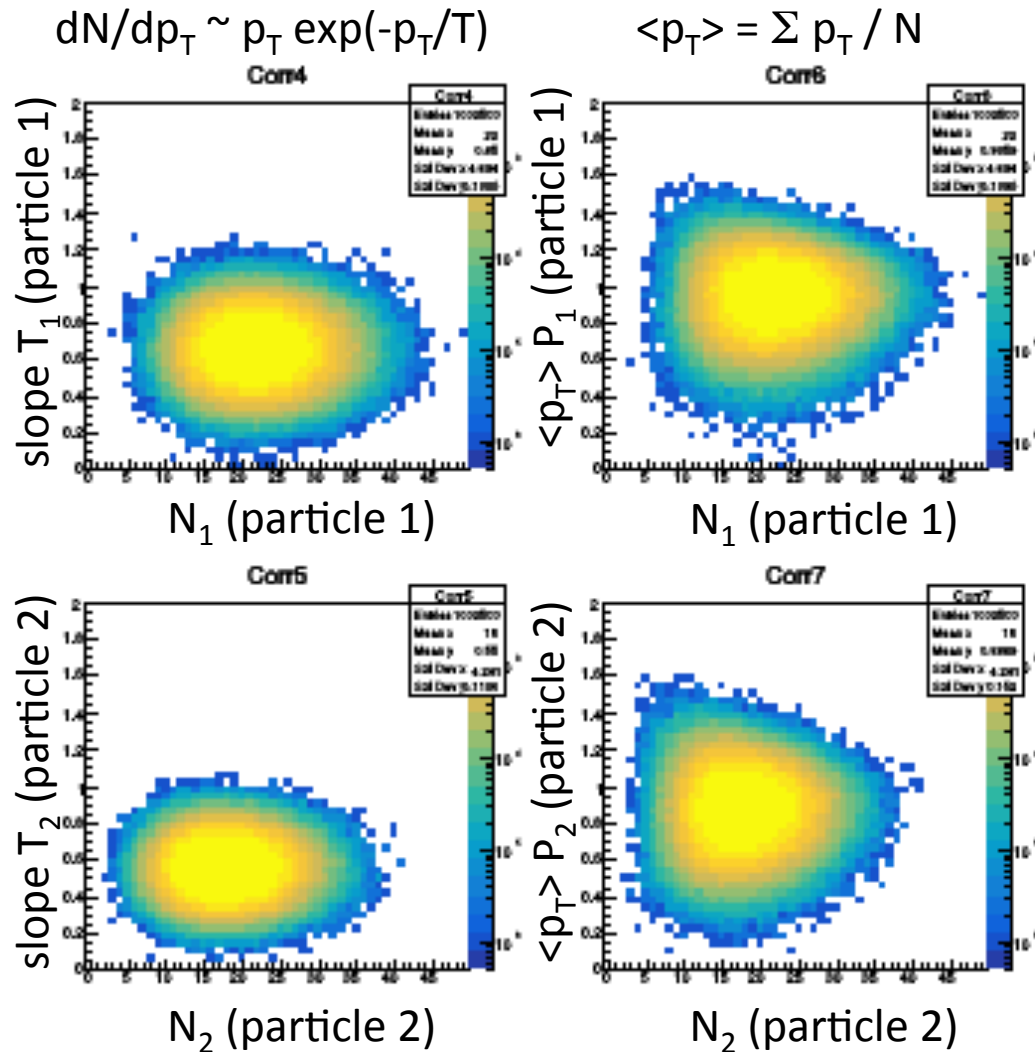
Response Matrix

Rev. Resp. Matrix (M_1, M_2) bins
generated (N_1, N_2) distribution

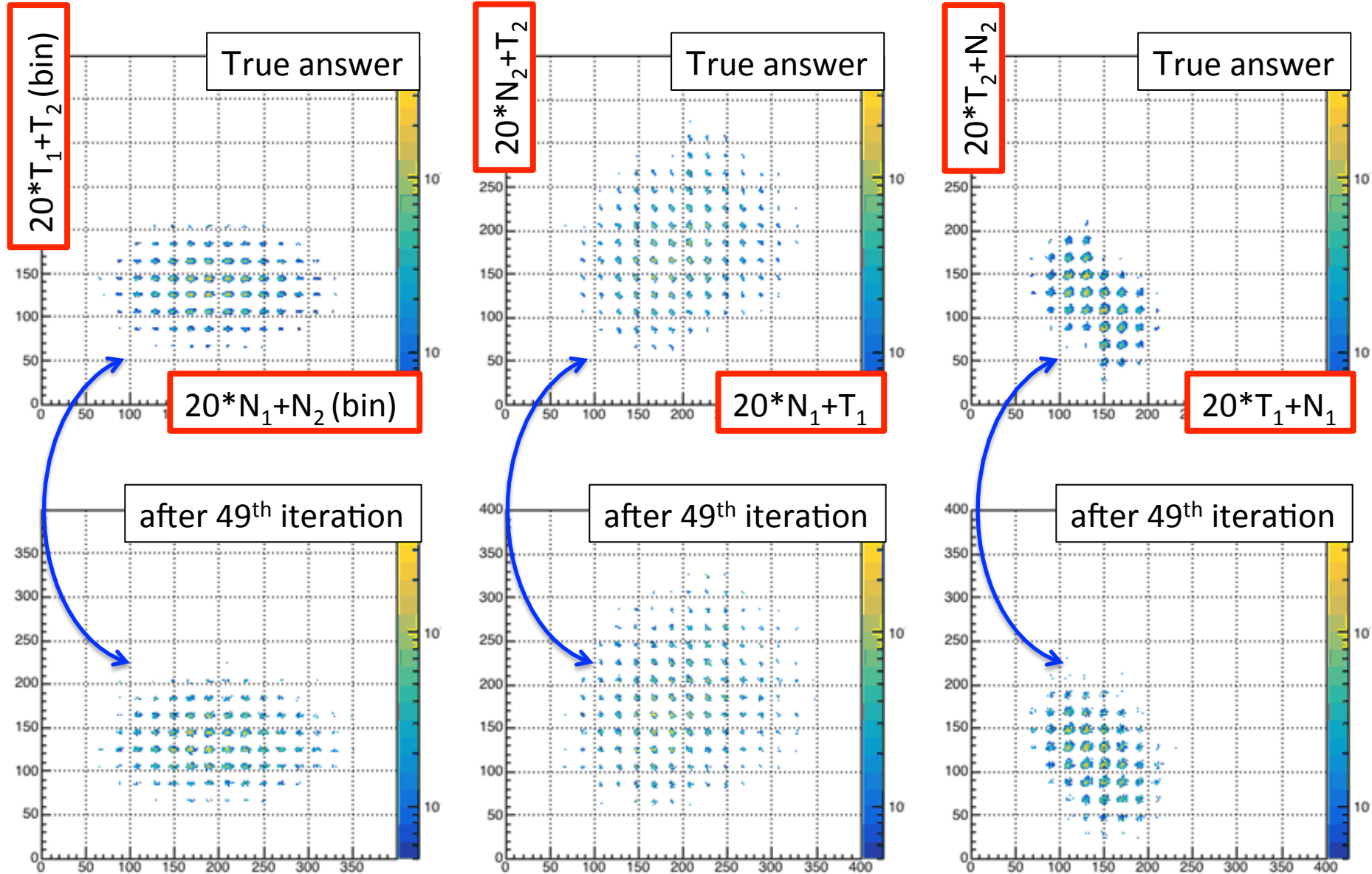
Rev. Resp. Matrix (P_1, P_2) bins
generated (T_1, T_2) distribution

6 x 2D-RM's
work only in
un-correlated
cases

4D-Unfolding of temperature and net-number distributions for higher order fluctuation



2D view of 4-dimensional unfolding

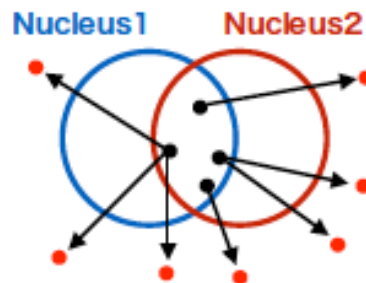


Volume fluctuation correction (VFC)

1

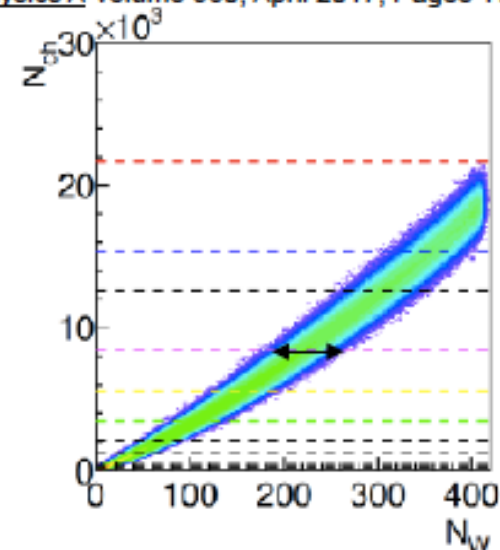
Nuclear Physics A Volume 960, April 2017, Pages 114-130

- Nw is fluctuating even if final state multiplicity is fixed.
→CBWC may not be enough to eliminate VF



Independent particle production
(IPP) model

- : initial source
- : final state multiplicity



- Under the IPP model, measured cumulants include **additional term from VF** which can be written by N_W cumulants.
- We can know **true cumulants by subtracting additional terms (VFC)**

Measured cumulant True cumulant

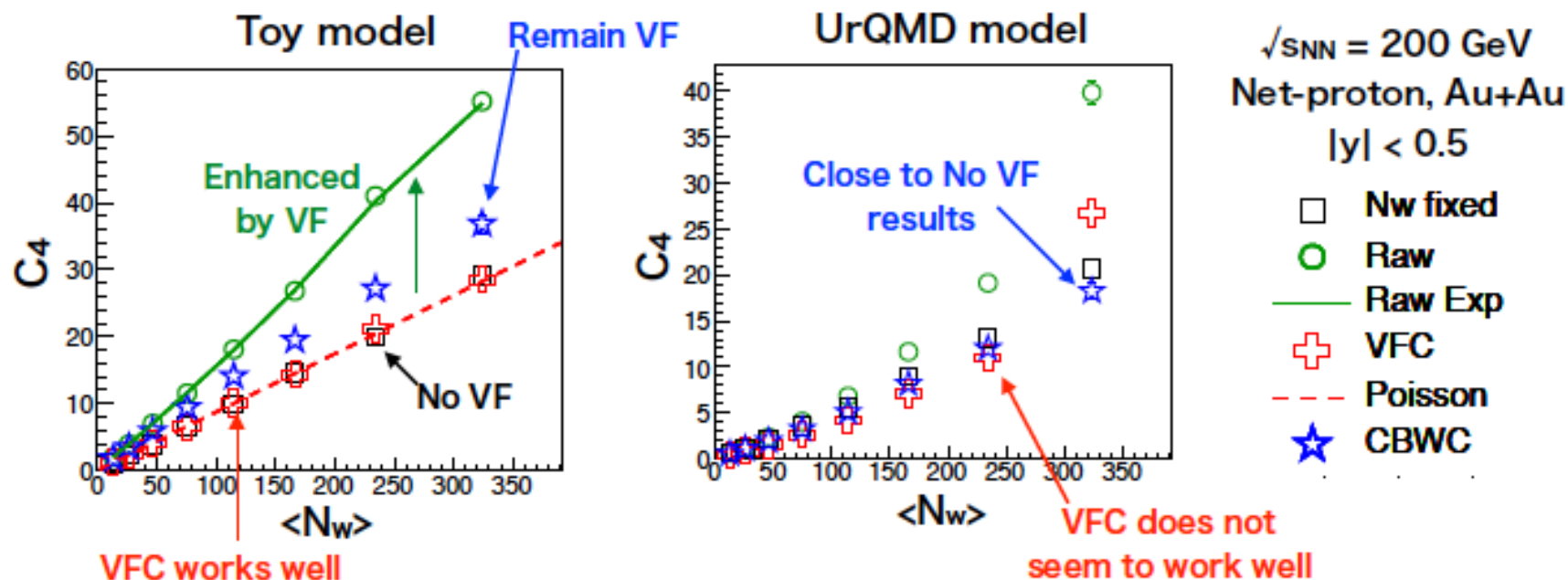
$$\begin{aligned} \kappa_1(\Delta N) &= \langle N_W \rangle \kappa_1(\Delta n) \\ \kappa_2(\Delta N) &= \langle N_W \rangle \kappa_2(\Delta n) + \langle \Delta n \rangle^2 \kappa_2(N_W) \\ \kappa_3(\Delta N) &= \langle N_W \rangle \kappa_3(\Delta n) + 3\langle \Delta n \rangle \kappa_2(\Delta n) \kappa_2(N_W) + \langle \Delta n \rangle^3 \kappa_3(N_W) \\ \kappa_4(\Delta N) &= \langle N_W \rangle \kappa_4(\Delta n) + 4\langle \Delta n \rangle \kappa_3(\Delta n) \kappa_2(N_W) \\ &\quad + 3\kappa_2^2(\Delta n) \kappa_2(N_W) + 6\langle \Delta n \rangle^2 \kappa_2(\Delta n) \kappa_3(N_W) + \langle \Delta n \rangle^4 \kappa_4(N_W) \end{aligned}$$

Δn : number of net-particle

Additional term caused from N_W fluctuation

Comparison between toy model and UrQMD ²

arXiv:1903.02314, T.Sugiura, T.Nonaka and S.Esumi



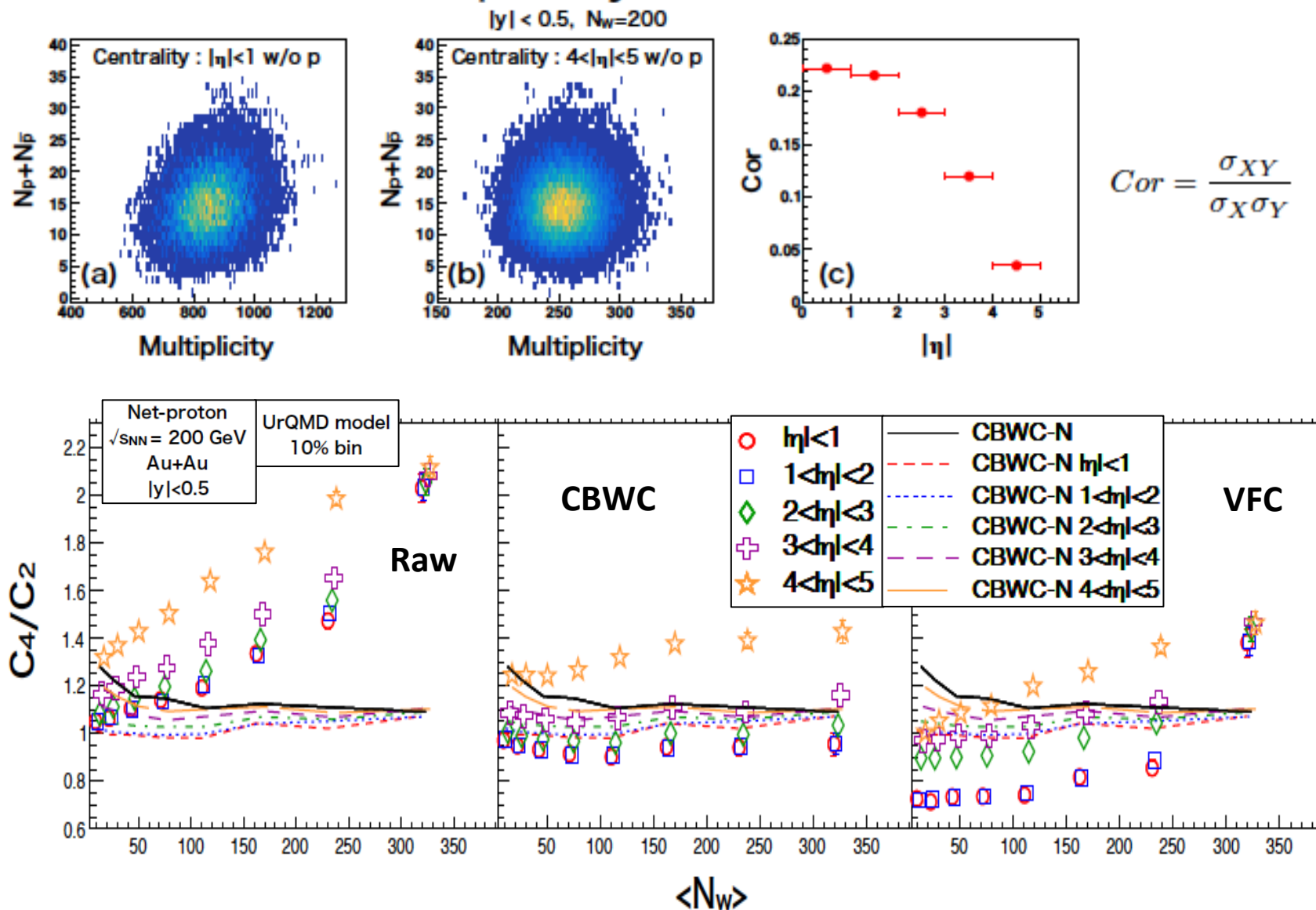
- VFC works well by definition in toy model.
- However, does not seem to work well in UrQMD



- ✓ Independent Particle Production model is expected to be broken in UrQMD
- ✓ Multiplicity correlation between centrality and net-proton in UrQMD

Multiplicity correlation

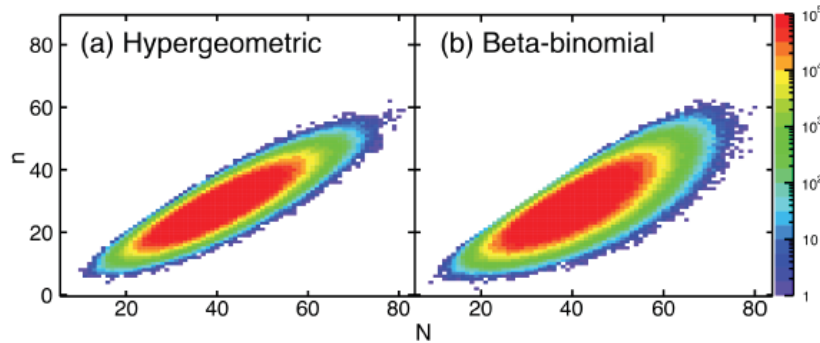
3



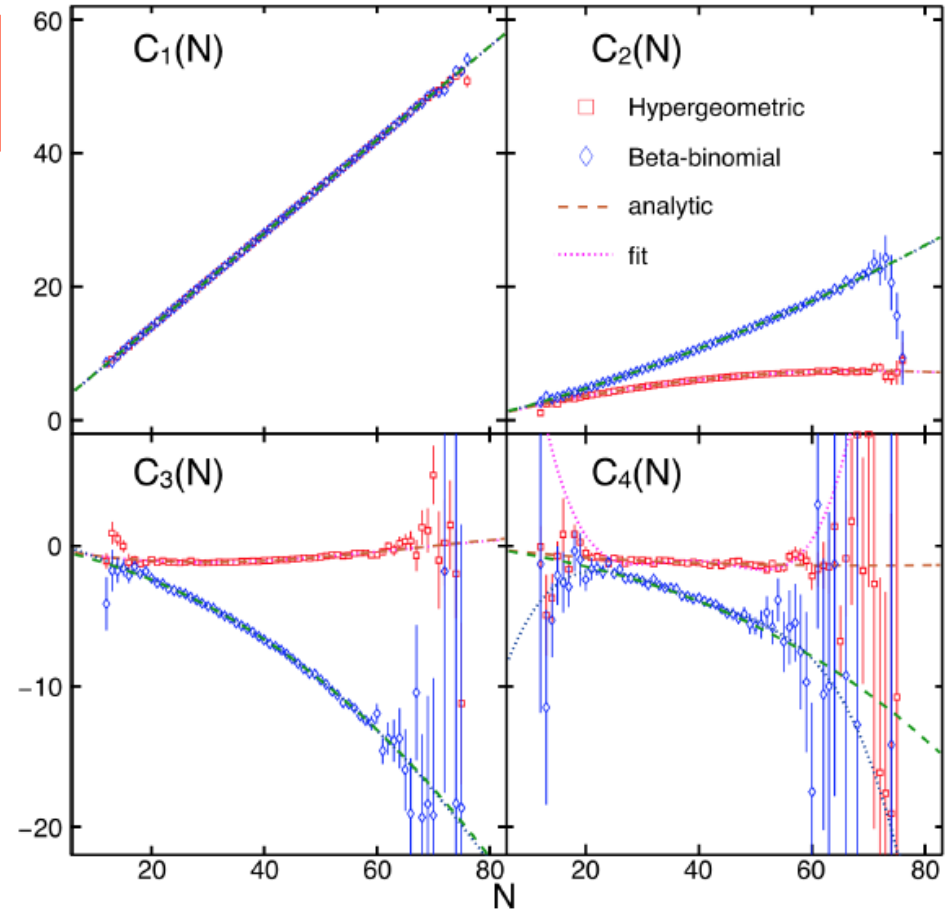
Analytical approach of efficiency correction with non-binomial effects

NIM A906 (2018) 10-17, arXiv:1805.00279

T. Nonaka, M. Kitazawa, S.E.



$$\begin{bmatrix} \langle\langle n \rangle\rangle \\ \langle\langle n^2 \rangle\rangle \\ \vdots \\ \langle\langle n^L \rangle\rangle \end{bmatrix} = \begin{bmatrix} r_{10} \\ r_{20} \\ \vdots \\ r_{L0} \end{bmatrix} + R \begin{bmatrix} \langle N \rangle \\ \langle N^2 \rangle \\ \vdots \\ \langle N^L \rangle \end{bmatrix}$$



If the fitting works with the L-th order polynomial function of N, the “R” can be determined in order to solve the equations.

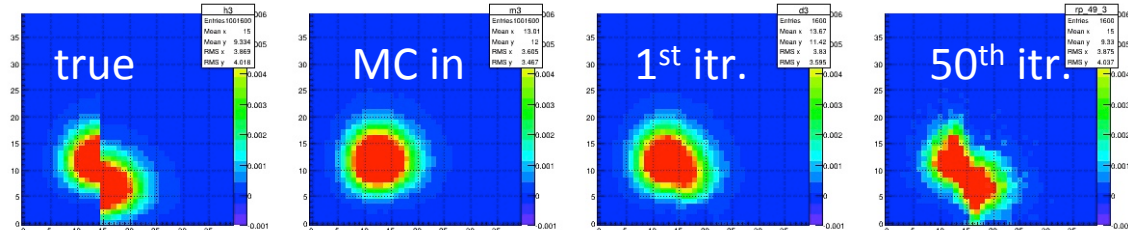
Summary

- unfolding of net-distribution including volume fluctuation and simultaneously extracting the temperature distribution
- Volume fluctuation vs correlated particle production
- Analytical approach to attack non-binomial efficiency

Hybrid iteration

--- with critical signal ---

($\varepsilon_x=0.7$, $\varepsilon_y=0.65$)

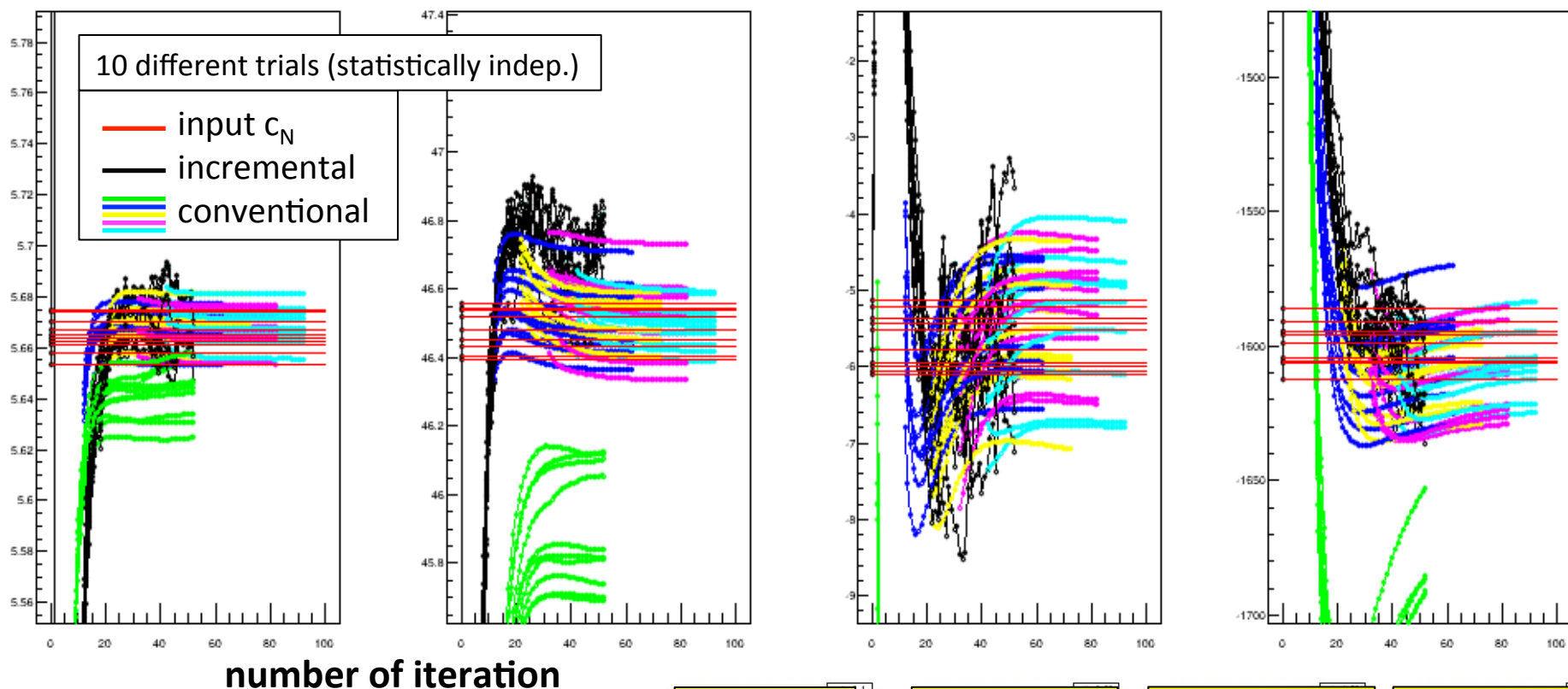


$$C_1 = 5.66 \pm 0.01$$

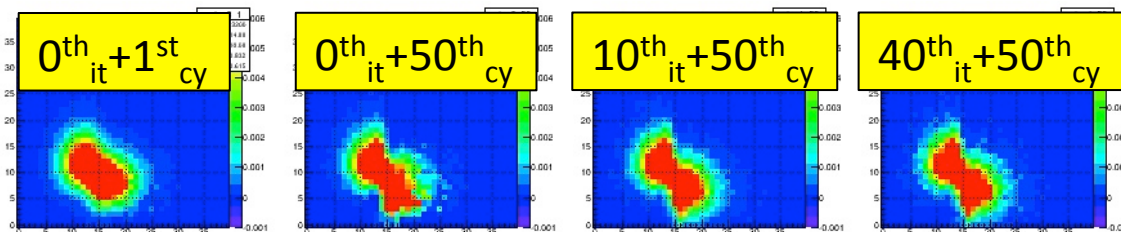
$$C_2 = 46.5 \pm 0.1$$

$$C_3 = -6.5 \pm 0.5$$

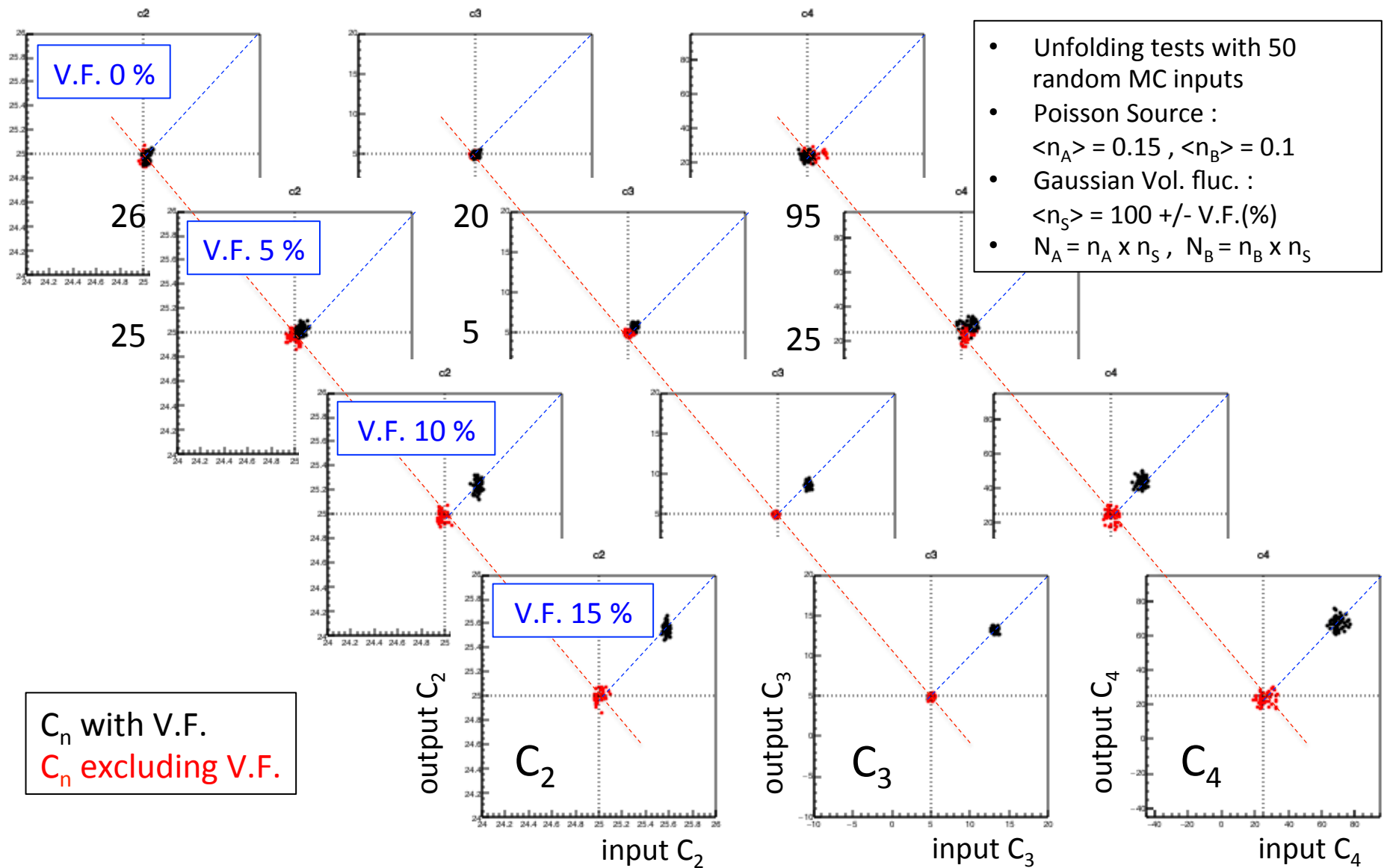
$$C_4 = -1600 \pm 15$$



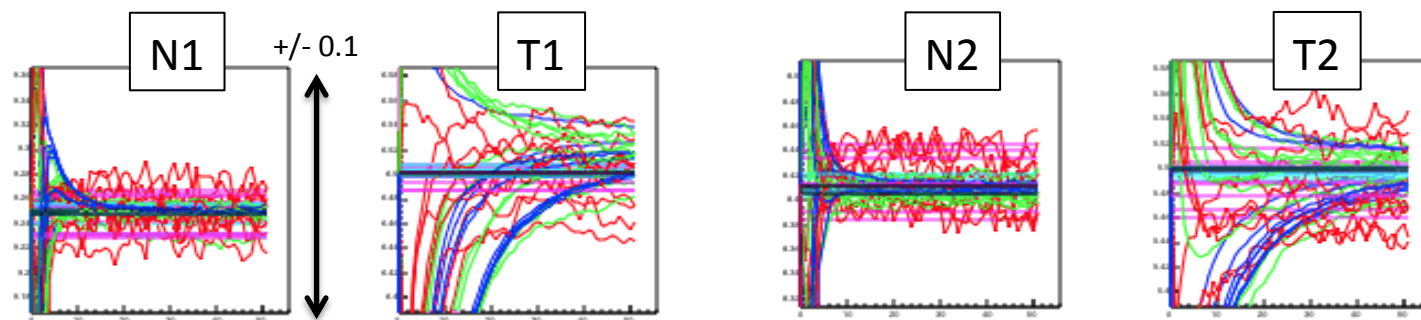
response matrix from
original, 10th, 20th, 30th, 40th
incremental unfolding



Extracted Cumulant (C_n) after unfolding iterations with V.F.

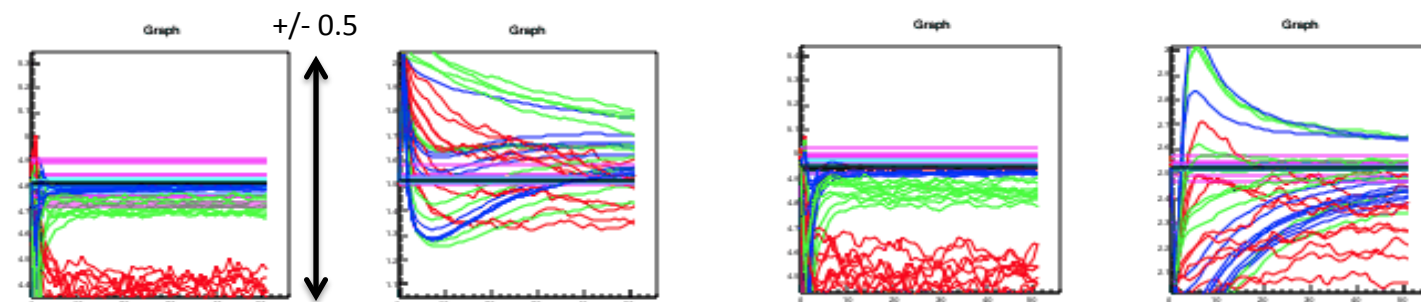


C1



test sample
with
correlations

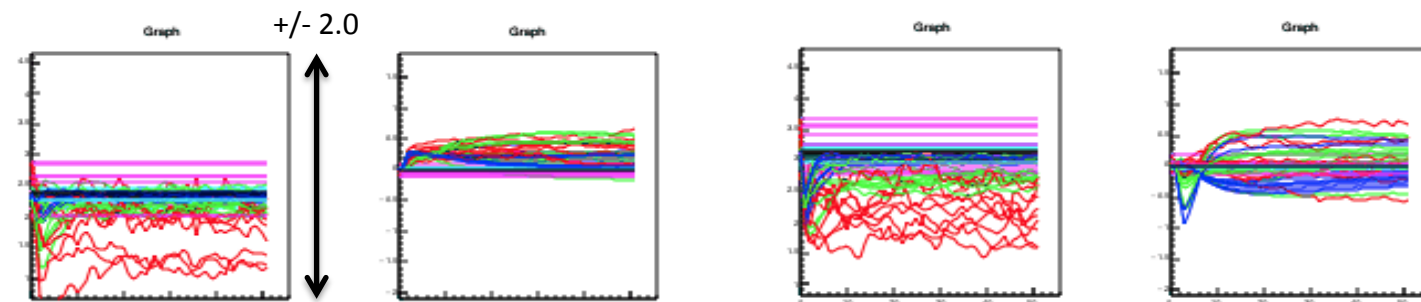
C2



10k True
100k MC

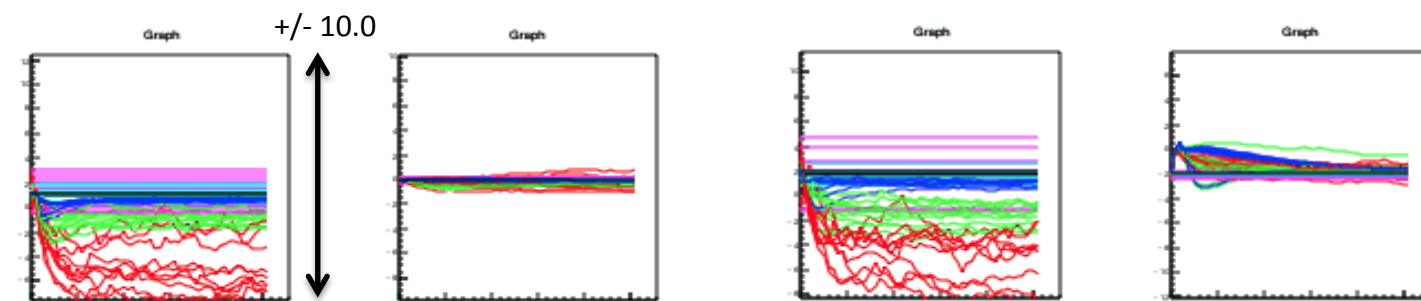
100k True
1M MC

C3



1M True
10M MC

C4



2 particle/bin
0.1 GeV/bin
are not scaled
correctly yet...

number of iteration

0 10 20 30 40 50