

# WHAT CAN WE LEARN ABOUT R-PROCESS AND NUCLEAR EOS FROM NS-MERGER OBSERVATIONS?

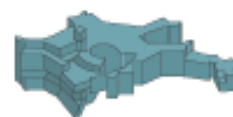
OLIVER JUST  
ASTROPHYSICAL BIG BANG LABORATORY  
RIKEN

**6TH FAIRNESS WORKSHOP  
GENOVA, MAY 20TH,**

WITH: H.-TH.-JANKA, A. BAUSWEIN, S. GORIELY, R. ARDEVOL,  
M. OBERGAULINGER, S. NAGATAKI, M. WU, I. TAMBORRA M., AND OTHERS



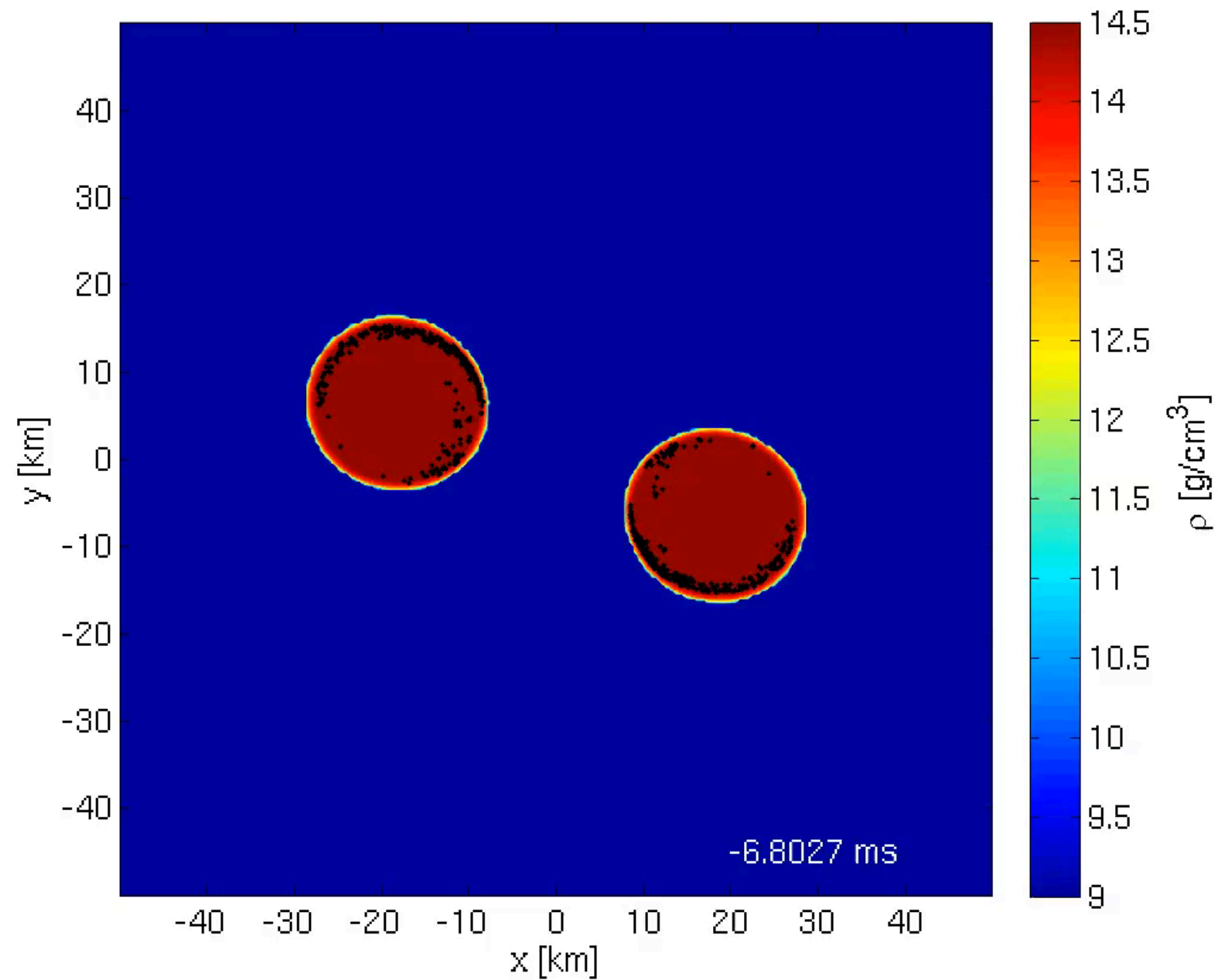
Max Planck Institute  
for Astrophysics





# Movie: NS-NS Merger

(SPH simulation by A. Bauswein)



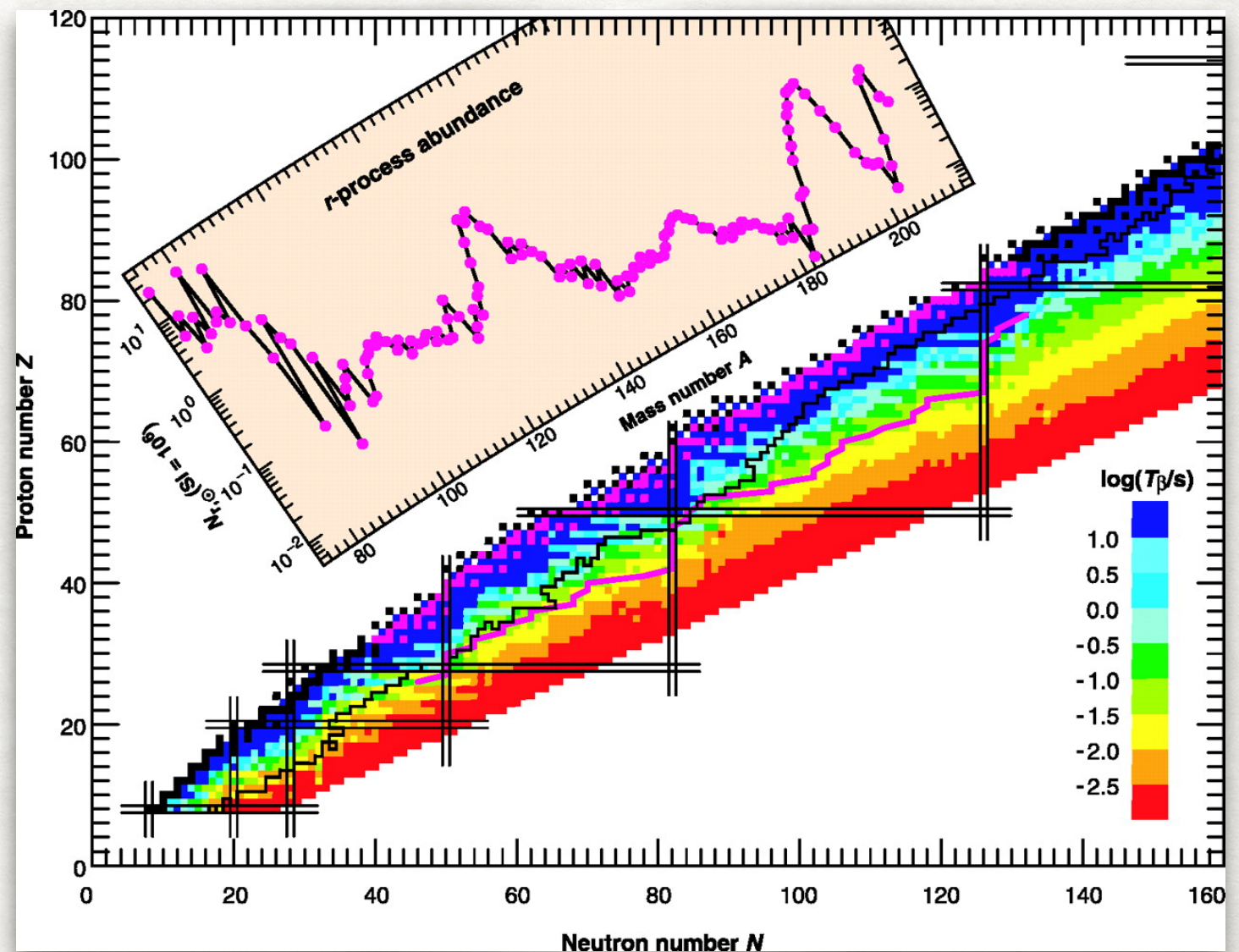


# NEUTRON-STAR MERGERS AS SOURCES OF R-PROCESS ELEMENTS

(...SUCH AS GOLD)



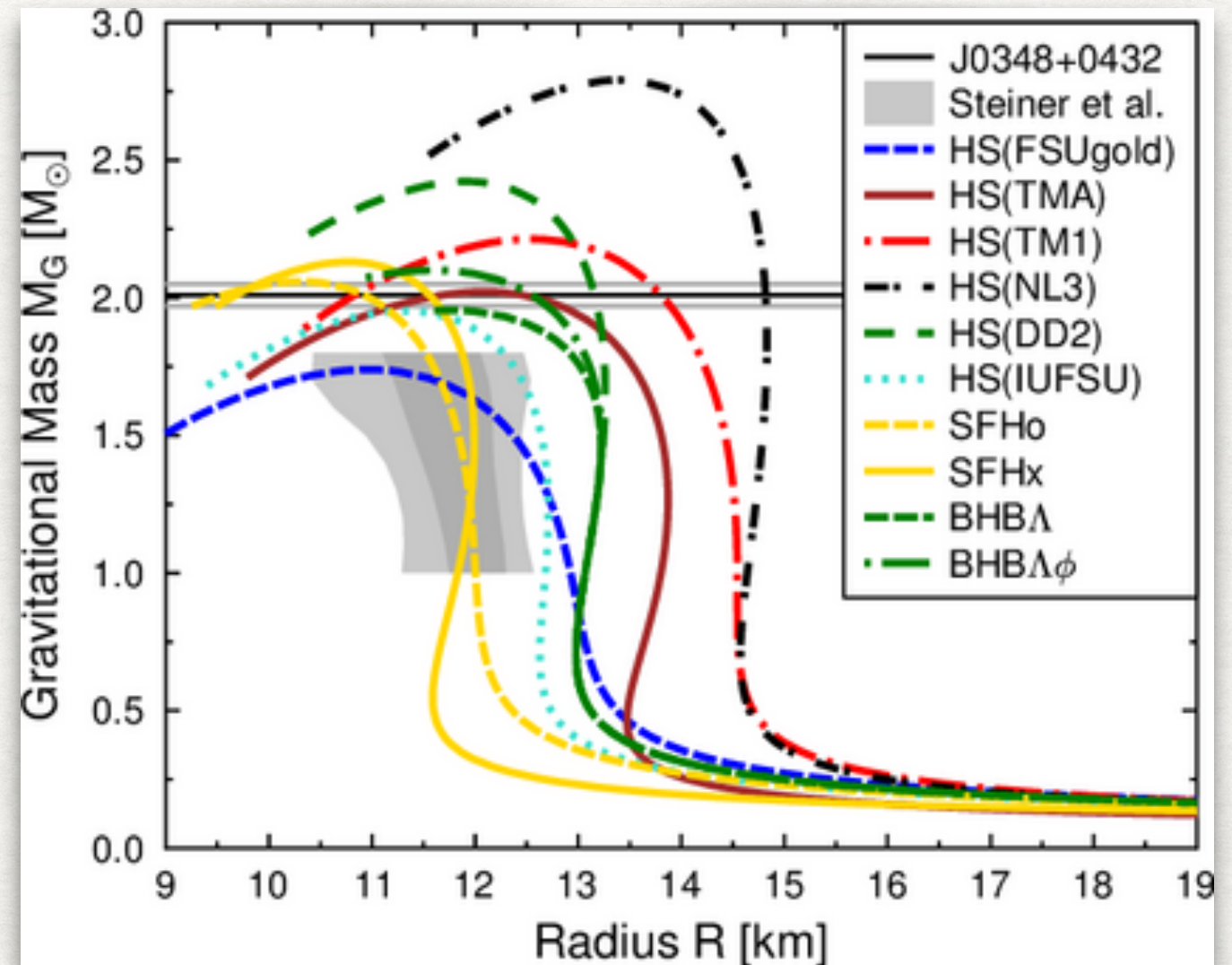
- Are neutron-star mergers significant/dominant sources?
- need explosive conditions with **very high neutron densities**
- neutron density depends sensitively on **neutrino interactions**
- **suggested alternatives:**
  - *core-collapse supernovae*
  - *jets of magneto-rotational supernovae*
  - *accretion disks in collapsars*





# NS MERGERS AS KEY TOWARDS UNDERSTANDING THE NUCLEAR EOS?

- EOS determines **Mass-Radius relationship** for neutron stars
- each EOS characterized by typical **NS radius** and **maximum mass**



(M. Hempel)



ejecta  
mass+velocity

ejection  
mechanism

nucleosynthesis  
yields

collapse time  
of HMNS

nuclear EOS  
constraints

jet launching  
mechanism

gravitational waves

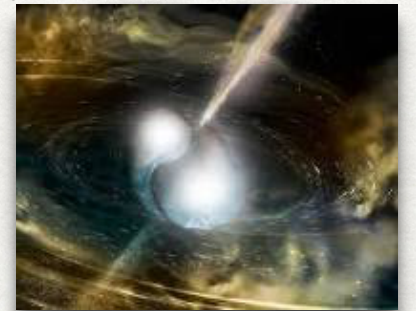
radio

optical

UV

X-ray

gamma-ray





ejecta  
mass+velocity

ejection  
mechanism

nucleosynthesis  
yields

collapse time  
of HMNS

nuclear EOS  
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jet launching  
mechanism

modeling “black box”

- ★ hydrodynamic models  
from numerical  
simulations including GR,  
neutrino transport, MHD
- ★ nucleosynthesis yields  
from nuclear physics  
calculations
- ★ atomic shell calculations  
and radiative transfer for  
EM light curve

gravitational waves

radio

optical

UV

X-ray

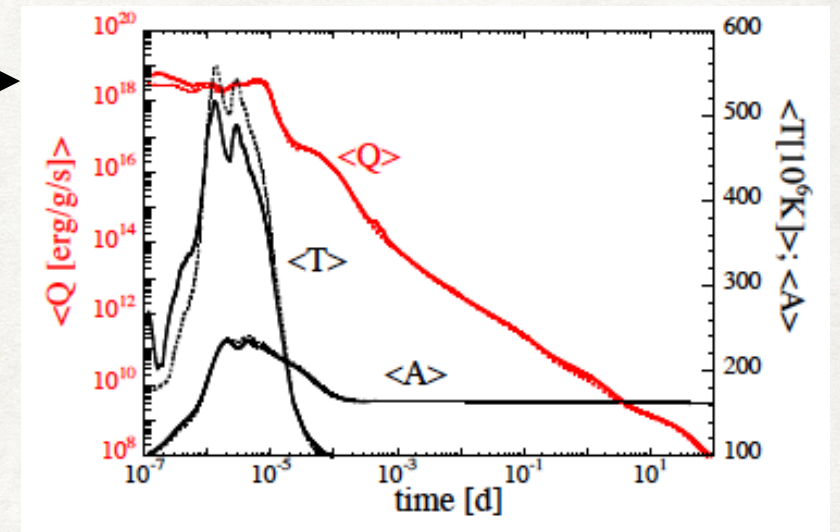
gamma-ray





# Kilo- / Macronovae

- radioactive decay heats newly synthesized material
- light curves contain valuable information about mass, velocity, and composition

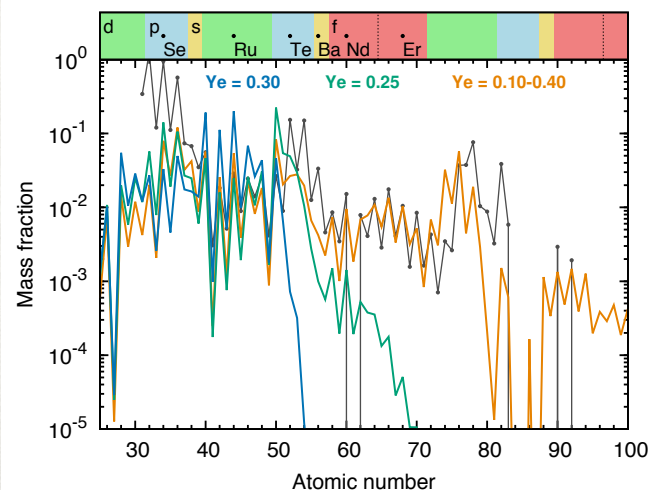


(Goriely et. al. 2011)

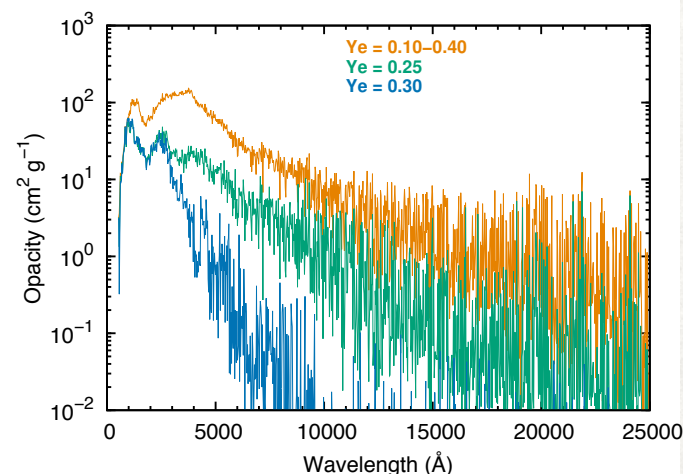
$$t_{\text{peak}} \approx \sqrt{\frac{\kappa M_{\text{ej}}}{4\pi c V_{\text{ej}}}} \xi \approx 1.5 \text{ days} \left( \frac{V_{\text{ej}}}{0.1 c} \right)^{-1/2} \left( \frac{M_{\text{ej}}}{0.03 M_{\odot}} \right)^{1/2} \left( \frac{\kappa}{0.3 \text{ cm}^2 \text{ g}^{-1}} \right)^{1/2} \xi^{1/2},$$

$$L_{\text{peak}} \approx \frac{f M_{\text{ej}} c^2}{t_{\text{peak}}} \approx 4.3 \times 10^{41} \text{ erg s}^{-1} \left( \frac{f}{10^{-6}} \right) \left( \frac{V_{\text{ej}}}{0.1 c} \right)^{1/2} \left( \frac{M_{\text{ej}}}{0.03 M_{\odot}} \right)^{1/2} \left( \frac{\kappa}{0.3 \text{ cm}^2 \text{ g}^{-1}} \right)^{-1/2} \xi^{-1/2},$$

$$T_{\text{eff,peak}} \approx \left[ \frac{L_{\text{peak}}}{4\pi (V_{\text{ej}} t_{\text{peak}})^2 \sigma_{\text{SB}}} \right]^{1/4} \approx 8 \times 10^3 \text{ K} \left( \frac{f}{10^{-6}} \right)^{1/4} \left( \frac{V_{\text{ej}}}{0.1 c} \right)^{1/8} \left( \frac{M_{\text{ej}}}{0.03 M_{\odot}} \right)^{-1/8} \left( \frac{\kappa}{0.3 \text{ cm}^2 \text{ g}^{-1}} \right)^{-3/8} \xi^{-3/8}$$



(Tanaka '18)

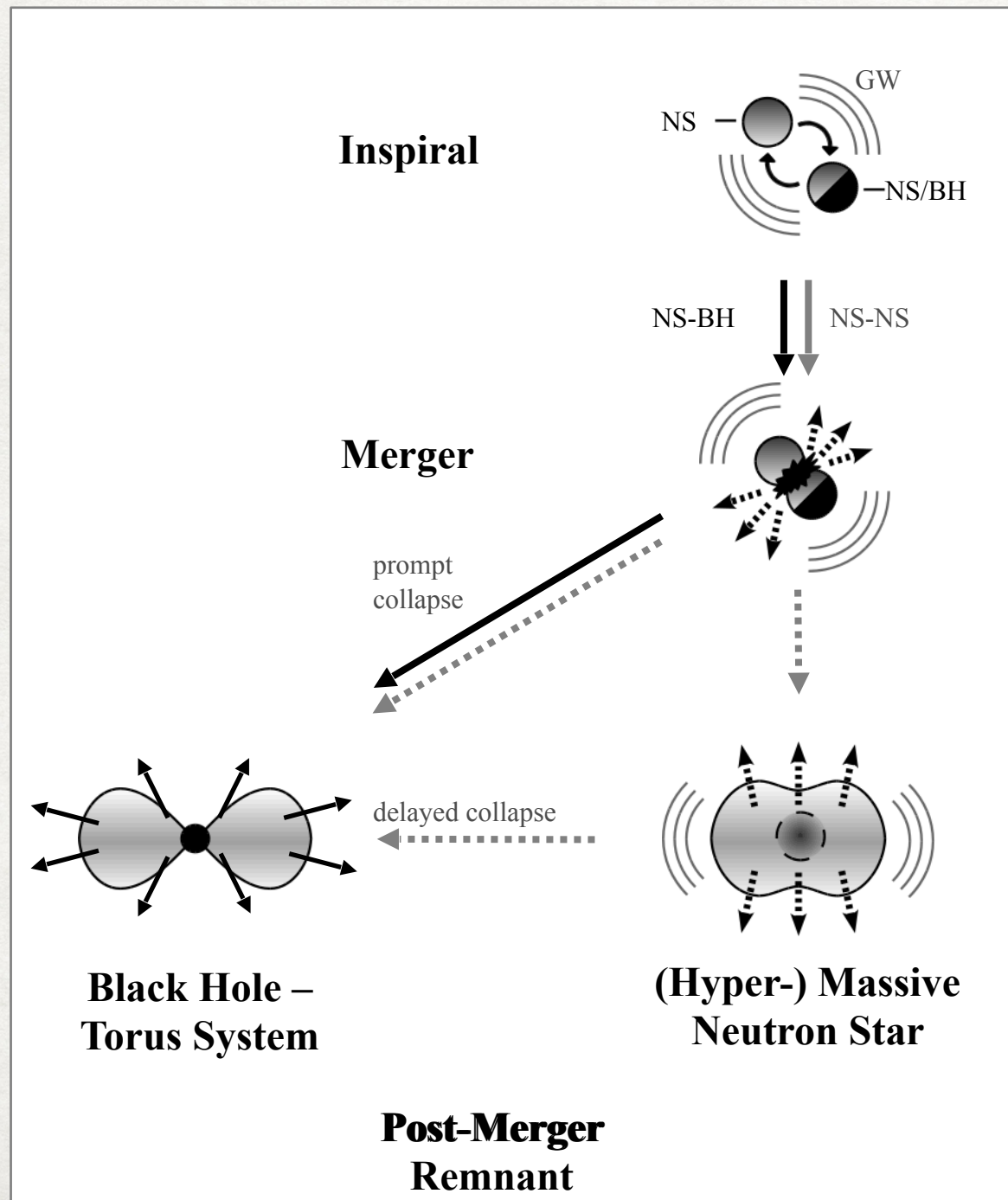


(Barnes & Kasen 2013)



# Modeling challenges

## (apart from nuclear physics challenges)



### → Neutrino transport:

- ★ full 6D Boltzmann eq. extremely expensive  
=> approximations inevitable
- ★ neutrino leakage schemes
- ★ one- or two-moment transport schemes
- ★ Monte-Carlo or ray-tracing schemes
- ★ neutrino oscillations???

### → Magnetic fields and turbulence

- ★ extremely fine resolution needed to resolve all relevant length scales (e.g. magneto-rotational instability), particularly in the HMNS
- ★ many approaches employ effective (alpha-) viscosity

### → General/special relativistic effects

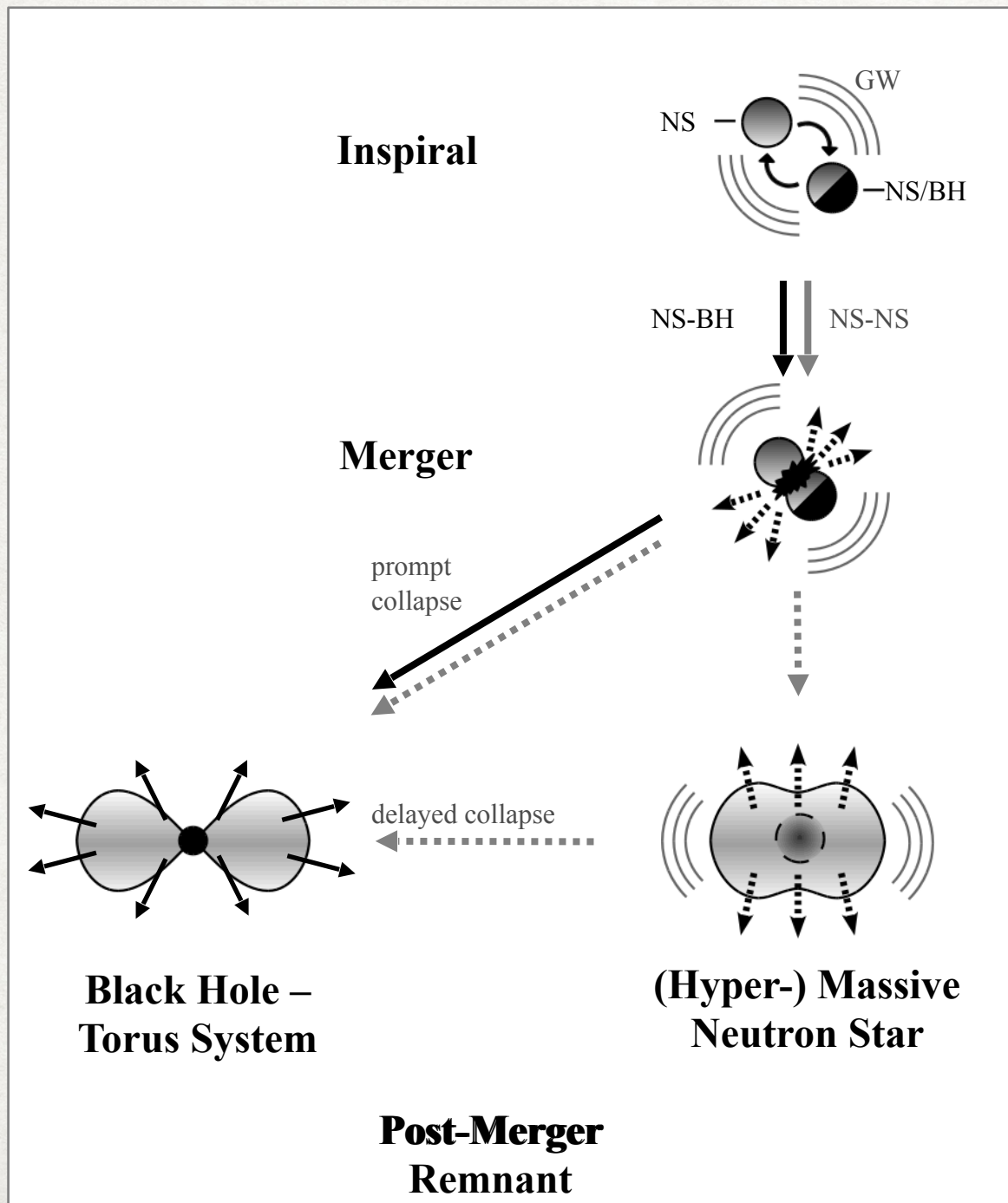
- ★ Newtonian, post-Newtonian methods
- ★ Conformally flat general relativity
- ★ full general relativity

### → Photon transport for electromagnetic signal

- ★ opacities of heavy elements poorly known
- ★ computationally expensive



# Neutron-star mergers: ejecta components



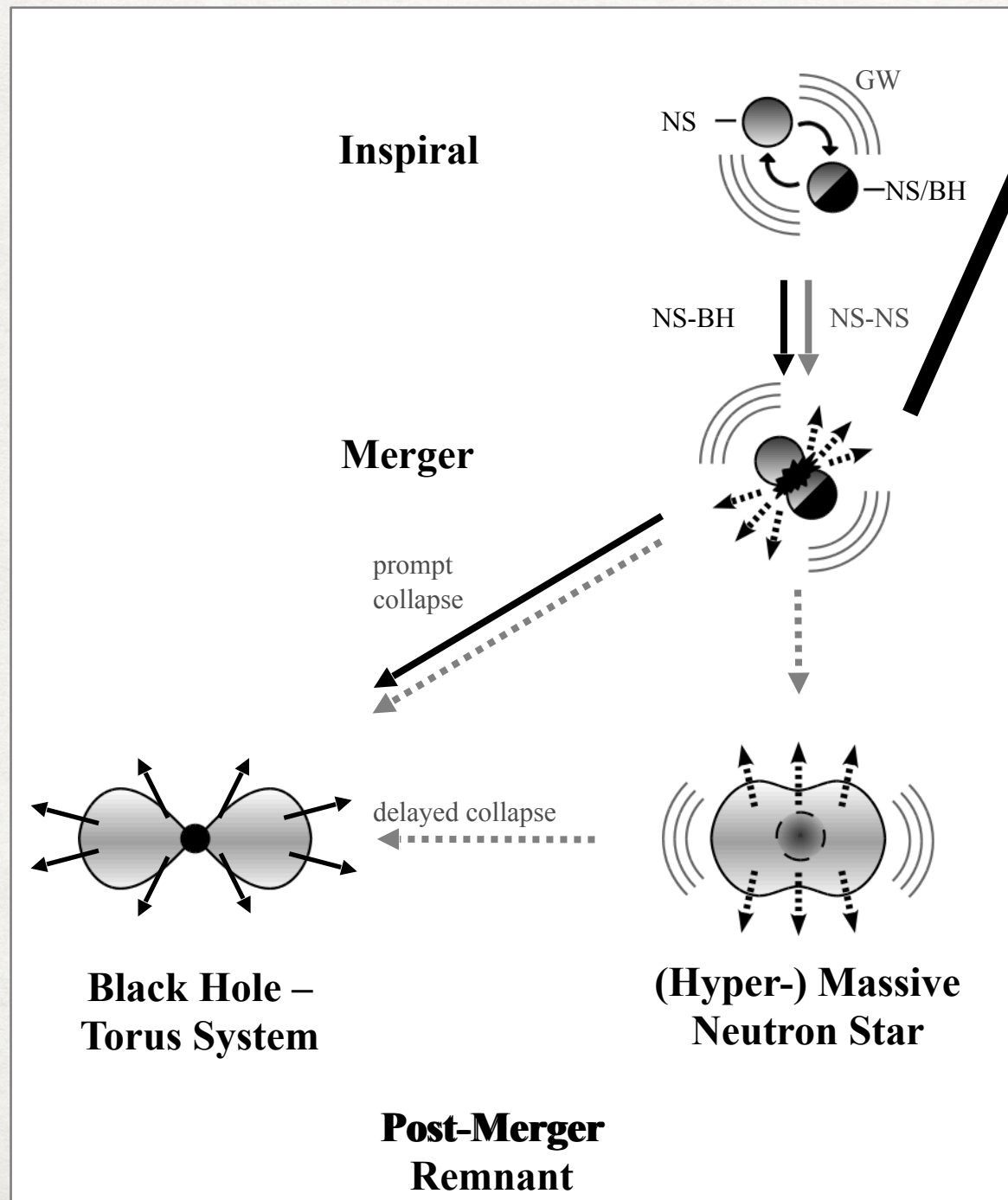


# Neutron-star mergers: ejecta components

## dynamical/prompt ejecta

→ tidal tails

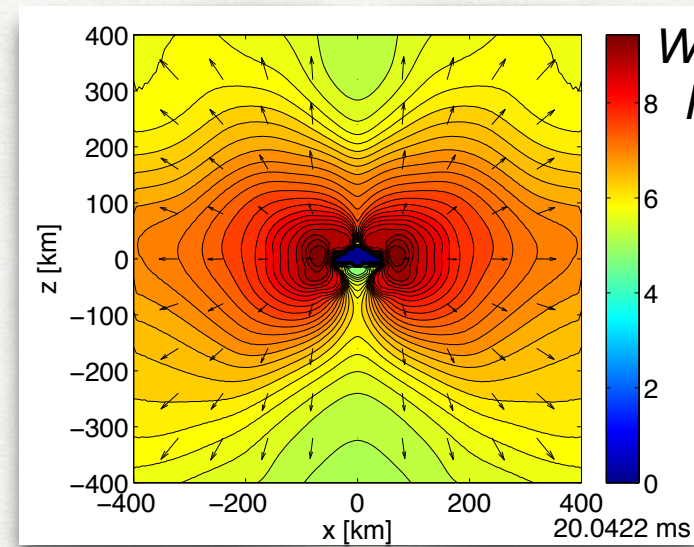
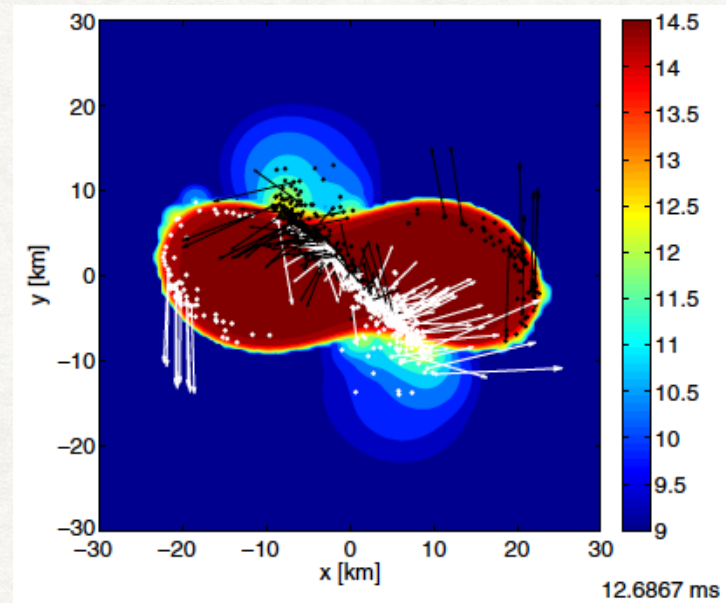
→ shock-heated





# Prompt / dynamical ejecta

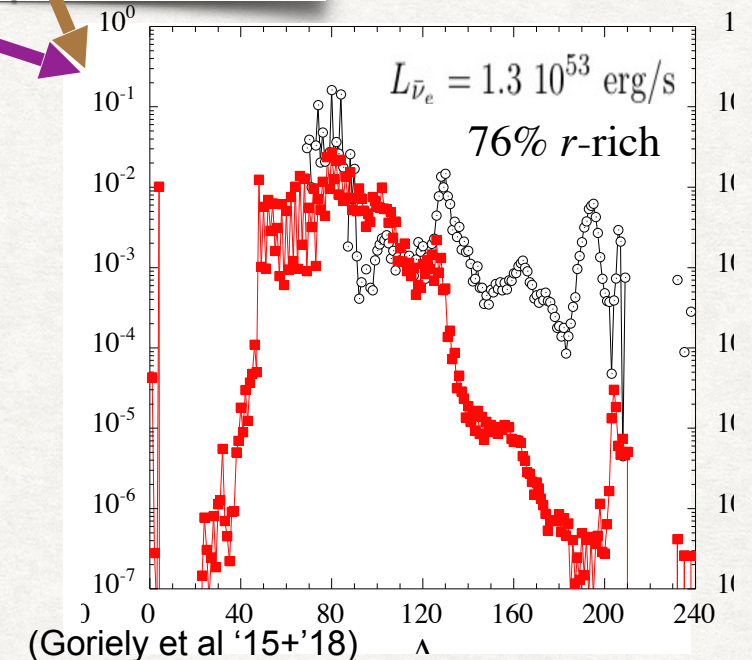
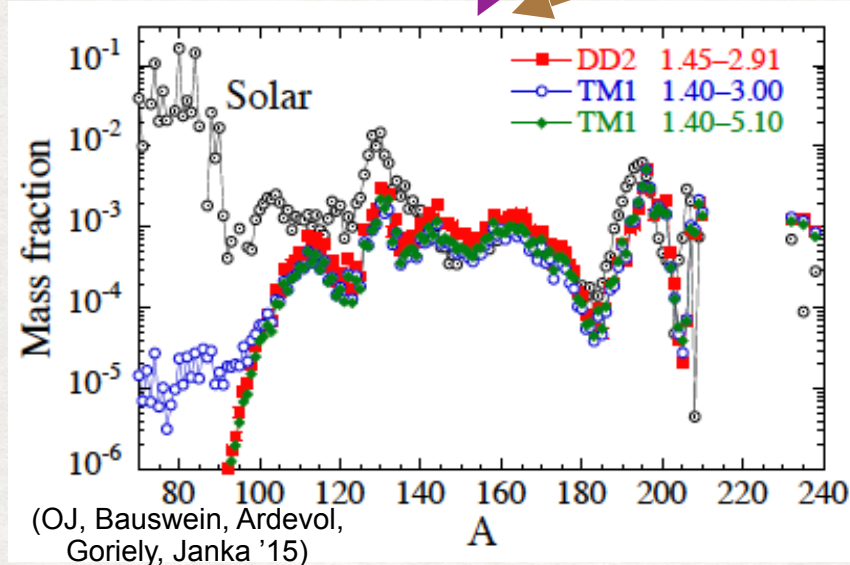
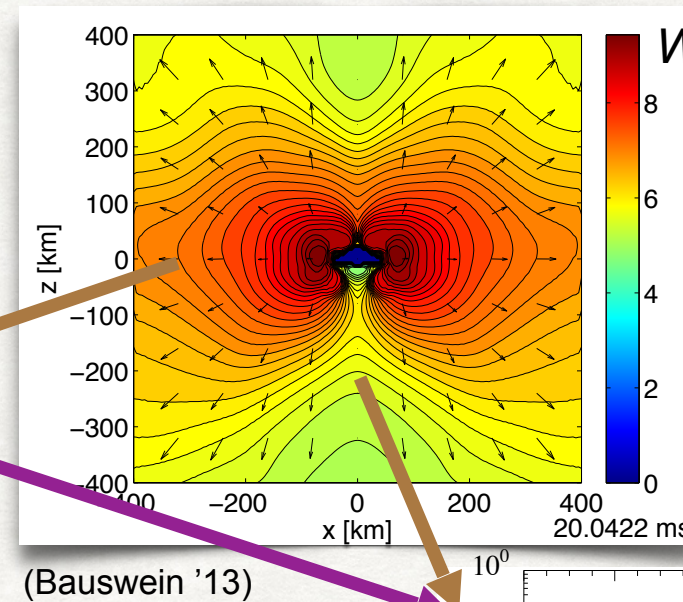
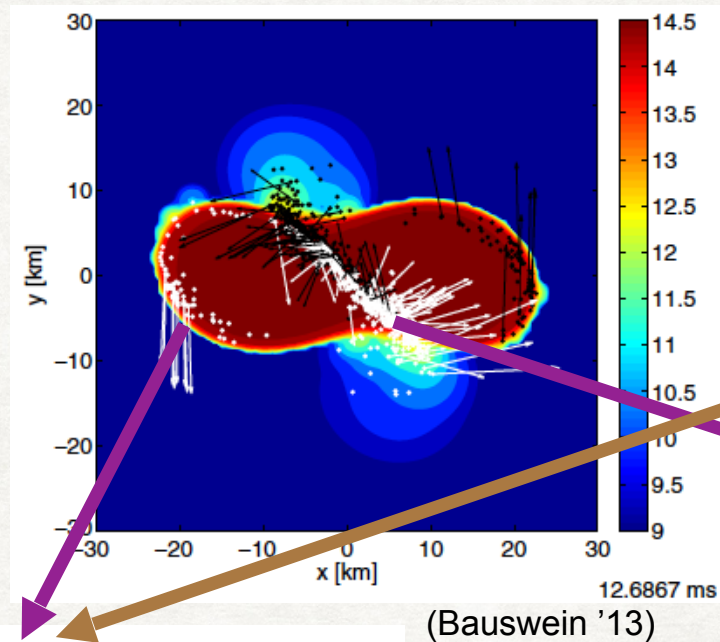
(qualitatively consistent  
with works by, e.g.,  
Hotokezaka '13,  
Wanajo+Sekiguchi '14,'16,  
Radice '16, Foucart '16,  
Martin '18)





# Prompt / dynamical ejecta

(qualitatively consistent  
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Martin '18)



from tidal tails

- > low  $Y_e$
  - > more lanthanides
  - > higher opacity
  - > **red Kilonova**
- (if observed independently)

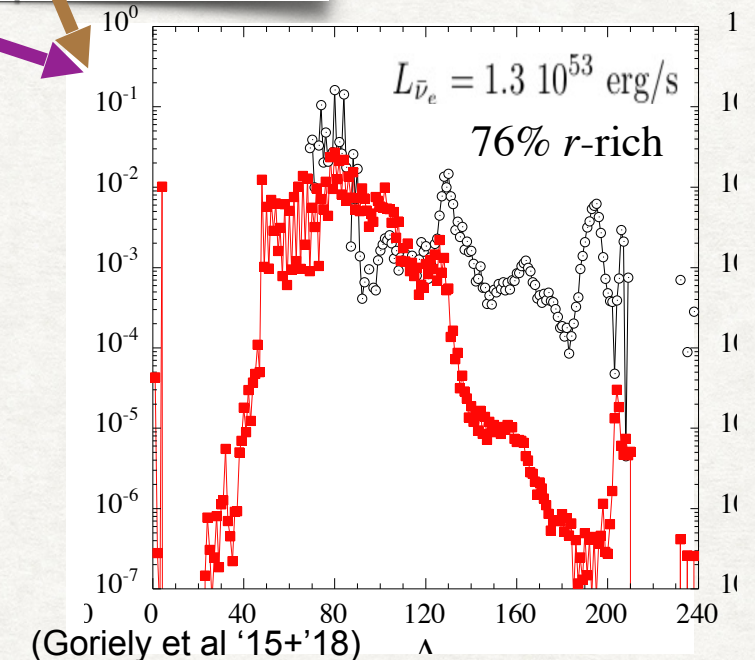
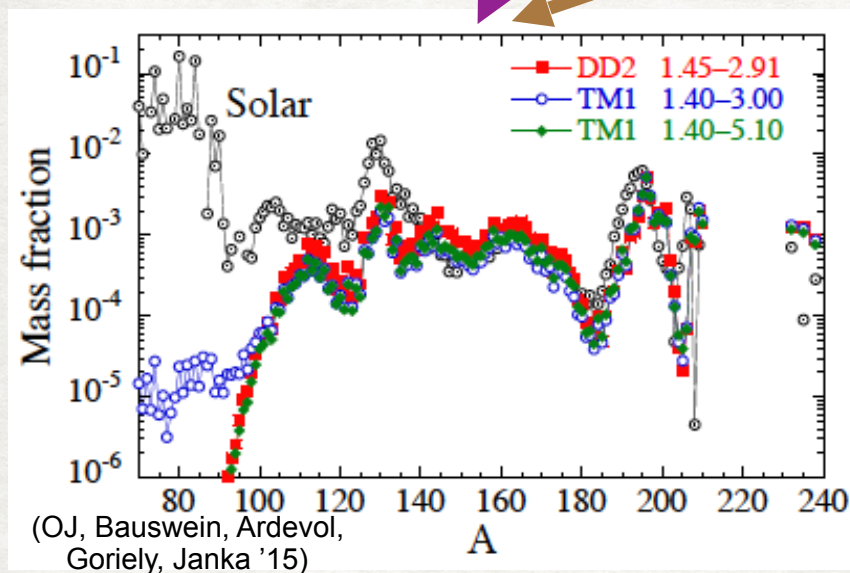
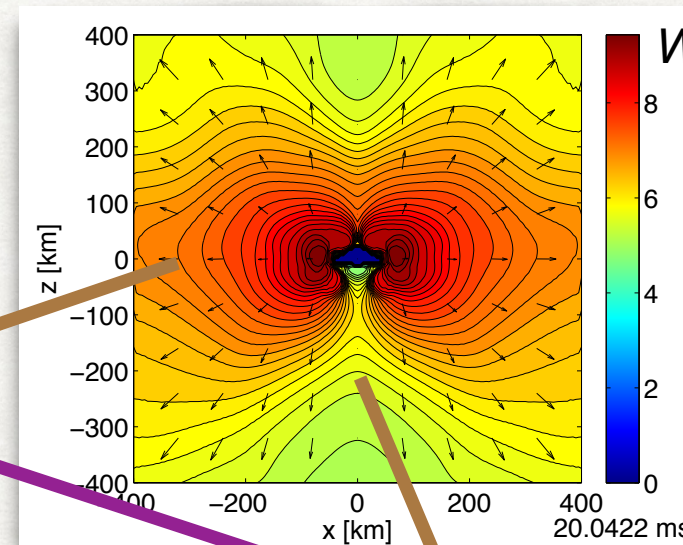
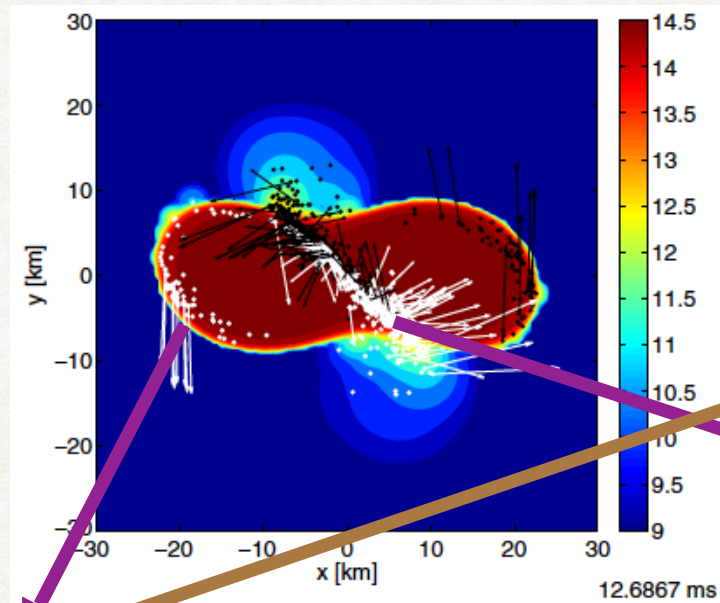
from collision shock

- > high  $Y_e$
  - > less lanthanides
  - > lower opacity
  - > **blue Kilonova**
- (if observed independently)



# Prompt / dynamical ejecta

(qualitatively consistent with works by, e.g.,  
Hotokezaka '13,  
Wanajo+Sekiguchi '14,'16,  
Radice '16, Foucart '16,  
Martin '18)

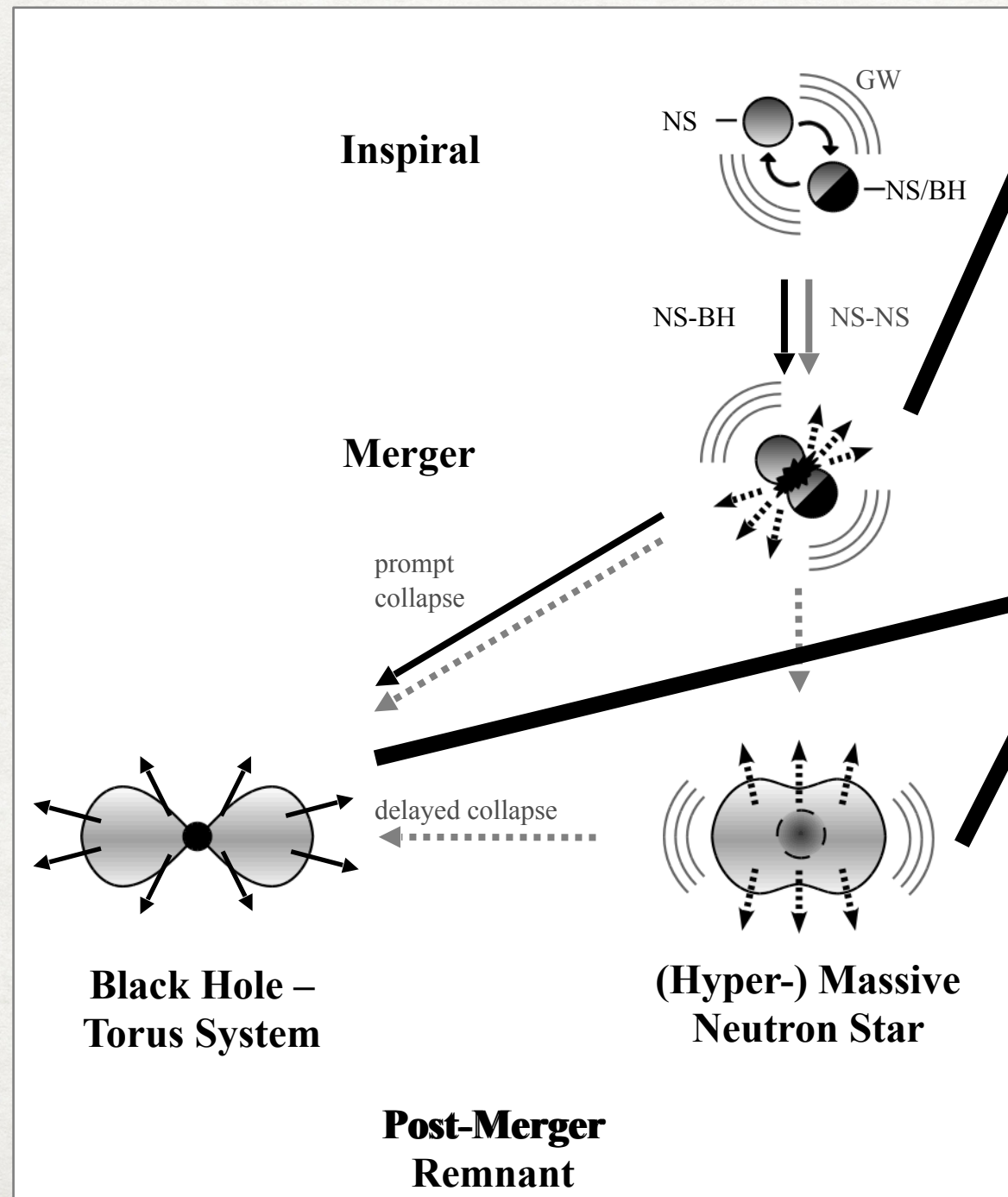


## Further properties:

- ★  $v \sim 0.1\text{-}0.3 \text{ c}$
- ★  $M \sim 1\text{E-}4 - 1\text{E-}2 \text{ Msun}$
- ★ typically **larger** mass for **softer** EOS
- ★ **very low** mass for prompt collapse



# Neutron-star mergers: ejecta components



## dynamical/prompt ejecta

- tidal tails
- shock-heated

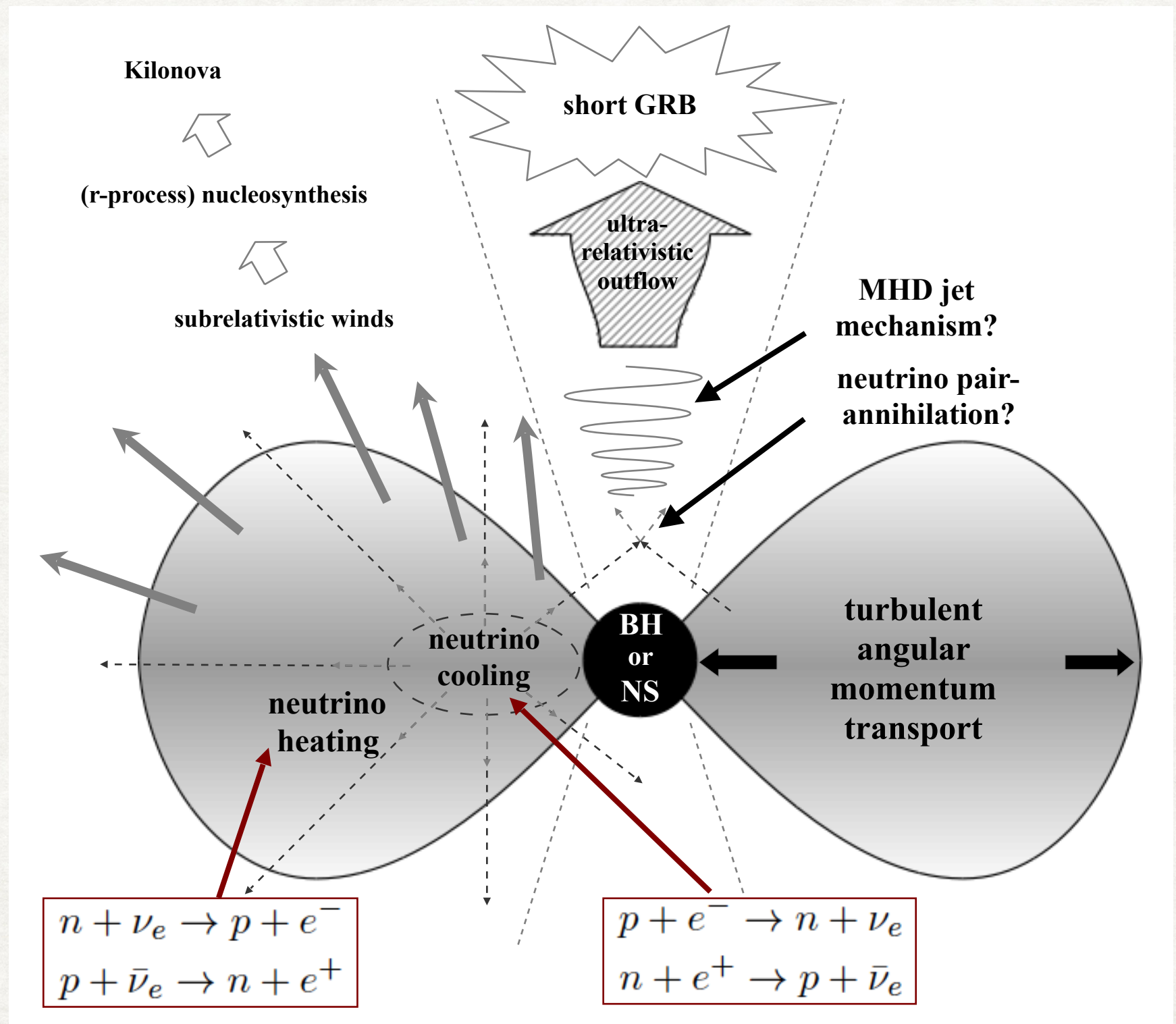
## post-merger ejecta

- neutrino-driven
- viscous/MHD driven expansion
- MHD turbulence



# PHYSICS OF POST-MERGER CONFIGURATION

Mass accretion,  
wind generation,  
and jet launching  
highly sensitive to  
angular momentum  
transport and  
neutrino cooling  
and heating

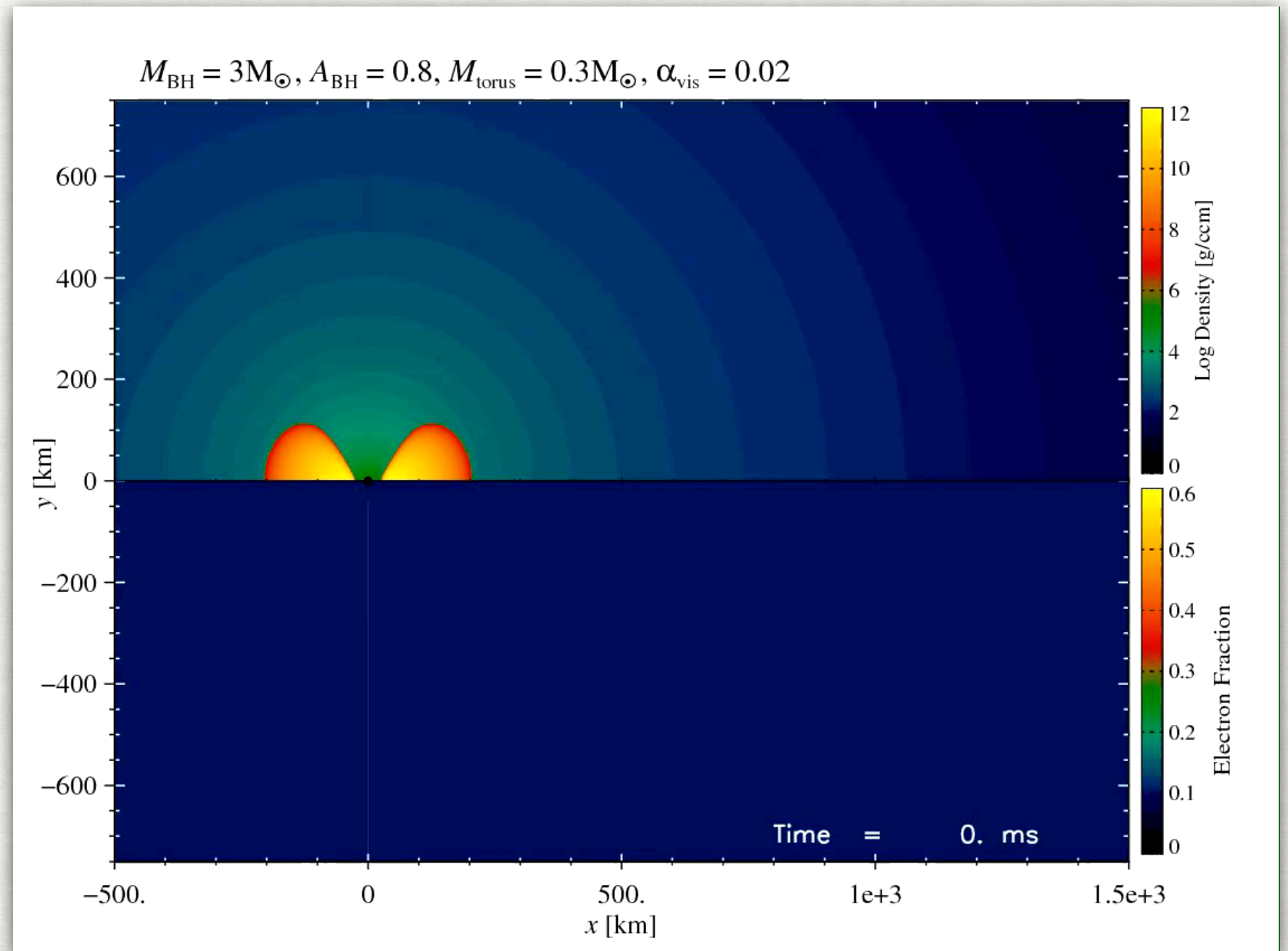




# Post-merger BH-torus remnant

## Typical ejecta properties:

- outflow masses:  
~ 5-20% of torus mass  
~  $1\text{E-}4$  -  $1\text{E-}2$  Msun
- electron fraction:  
 $\langle Y_e \rangle \sim 0.1$ - $0.3$
- entropy per baryon:  
 $\langle s \rangle \sim 10 - 30$  kB
- velocity:  
 $\langle v \rangle \sim 0.05 - 0.1$  c
- **small** neutrino-driven component
- **large** viscous component



(OJ, Bauswein, Ardevol, Goriely, Janka '15)

(also see Fernandez '13, Wu '16, Siegel '17)



# "ALCAR" NEUTRINO TRANSPORT MODULE

(OJ, OBERGAULINGER, JANKA  
'15, MNRAS, 453, 3386)

**TWO-MOMENT TRANSPORT WITH ALGEBRAIC EDDINGTON FACTOR (AEF OR M1 SCHEME)**

$$E = \int d\Omega \mathcal{I}(\mathbf{x}, \mathbf{n}, \epsilon, t) \quad \leftarrow \text{energy density,} \quad \text{0th-angular moment}$$

$$F^i = \int d\Omega \mathcal{I}(\mathbf{x}, \mathbf{n}, \epsilon, t) n^i \quad \leftarrow \text{momentum density,} \quad \text{1st-angular moment}$$

$$P^{ij} = \int d\Omega \mathcal{I}(\mathbf{x}, \mathbf{n}, \epsilon, t) n^i n^j \quad \leftarrow \text{pressure,} \quad \text{2nd-angular moment}$$

$$Q^{ijk} = \int d\Omega \mathcal{I}(\mathbf{x}, \mathbf{n}, \epsilon, t) n^i n^j n^k$$

**evolution equations**

$$\left\{ \begin{array}{l} \partial_t E + \nabla_j (\alpha F^j + v^j E) + P^{ij} \nabla_i v_j + F^i \nabla_i \alpha \\ \quad - \partial_\epsilon \left[ \epsilon (P^{ij} \nabla_i v_j + F^i \nabla_i \alpha) \right] = \alpha S^{(0)}, \\ \partial_t F^i + \nabla_j (\alpha c^2 P^{ij} + v^j F^i) + F^j \nabla_j v^i + c^2 E \nabla^i \alpha \\ \quad - \partial_\epsilon \left[ \epsilon (Q^{ijk} \nabla_j v_k + c^2 P^{ij} \nabla_j \alpha) \right] = \alpha S^{(1),i} \end{array} \right.$$

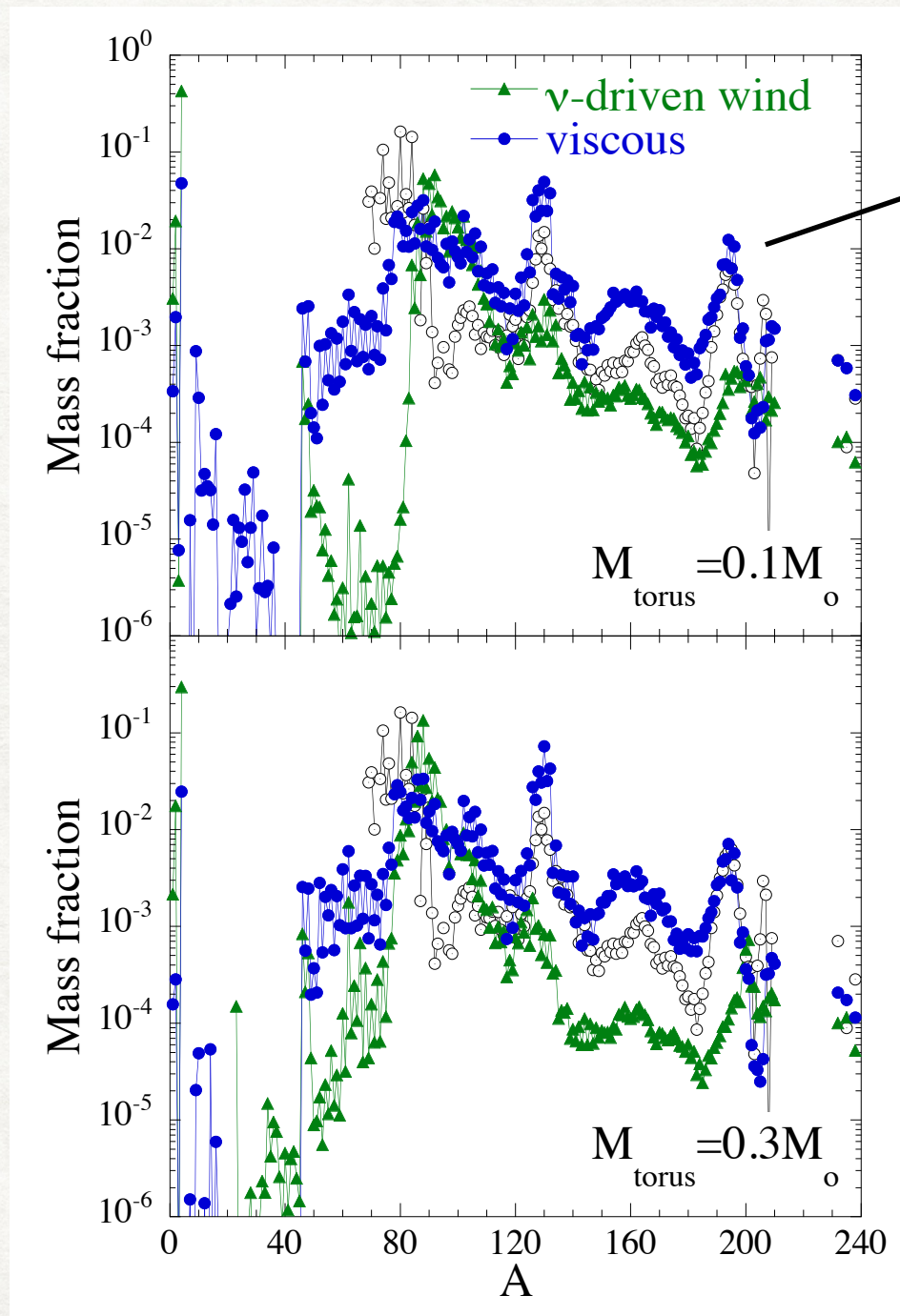
$$\left. \begin{array}{l} P^{ij} = P^{ij}(E, F^i) \\ Q^{ijk} = Q^{ijk}(E, F^i) \end{array} \right\} \text{central approximation of M1:} \\ \text{local closure relation for higher moments} \\ \text{(e.g. "M1 closure")}$$

=> *removes two degrees of freedom of nu-phase space*  
*large gain of computational efficiency*

=> *trade-off: potential loss accuracy*  
*(at least in optically thin regions)*



# Nucleosynthesis yields of BH-torus ejecta



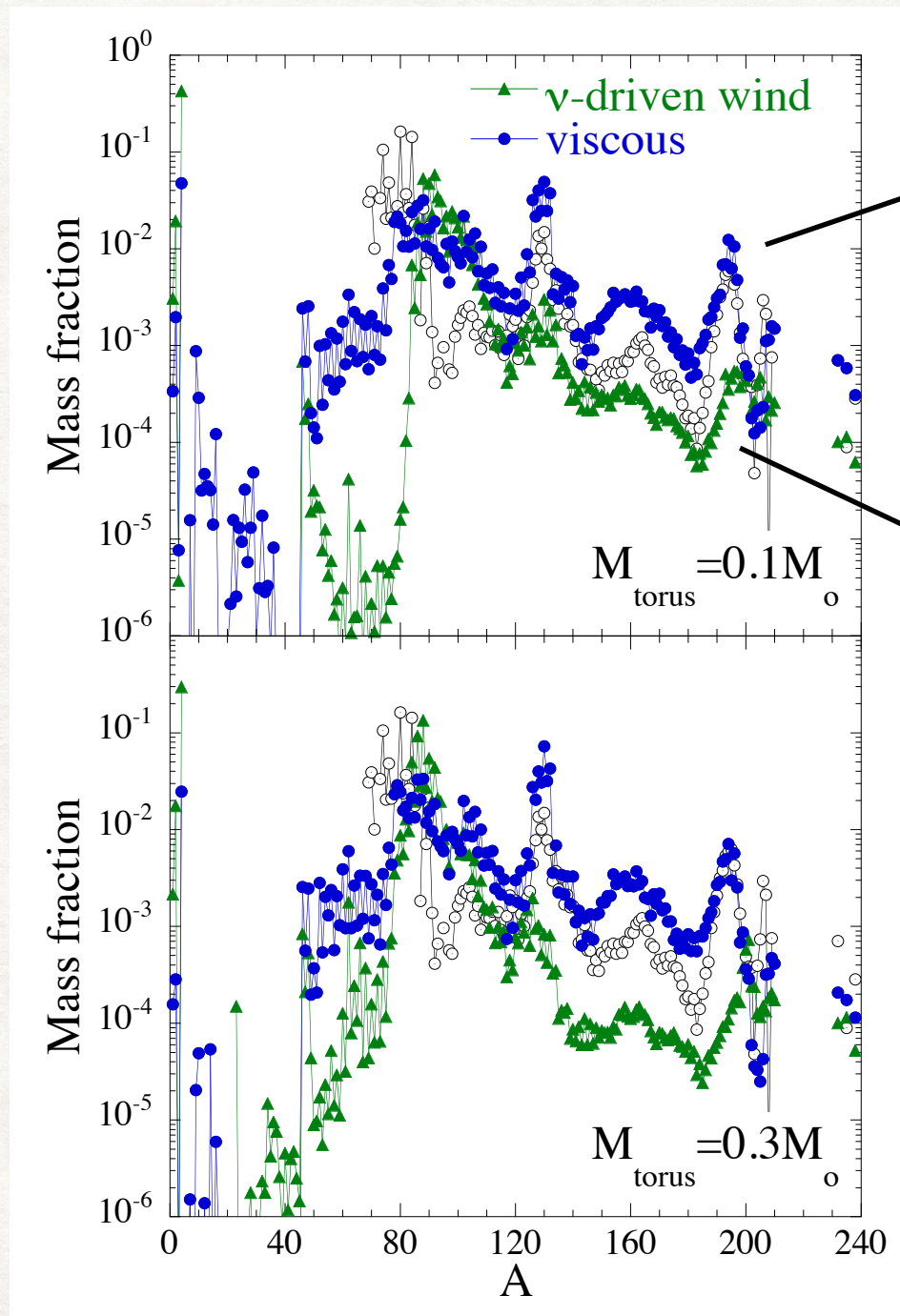
viscous outflows:

- > low  $Y_e$
- > more lanthanides
- > higher opacity
- > **red Kilonova**  
(if observed independently)

(OJ, Bauswein, Ardevol, Goriely, Janka '15)



# Nucleosynthesis yields of BH-torus ejecta



viscous outflows:

- > low  $Y_e$
- > more lanthanides
- > higher opacity
- > **red Kilonova**  
(if observed independently)

neutrino-driven outflows:

- > high  $Y_e$
- > less lanthanides
- > lower opacity
- > **blue Kilonova**  
(if observed independently)

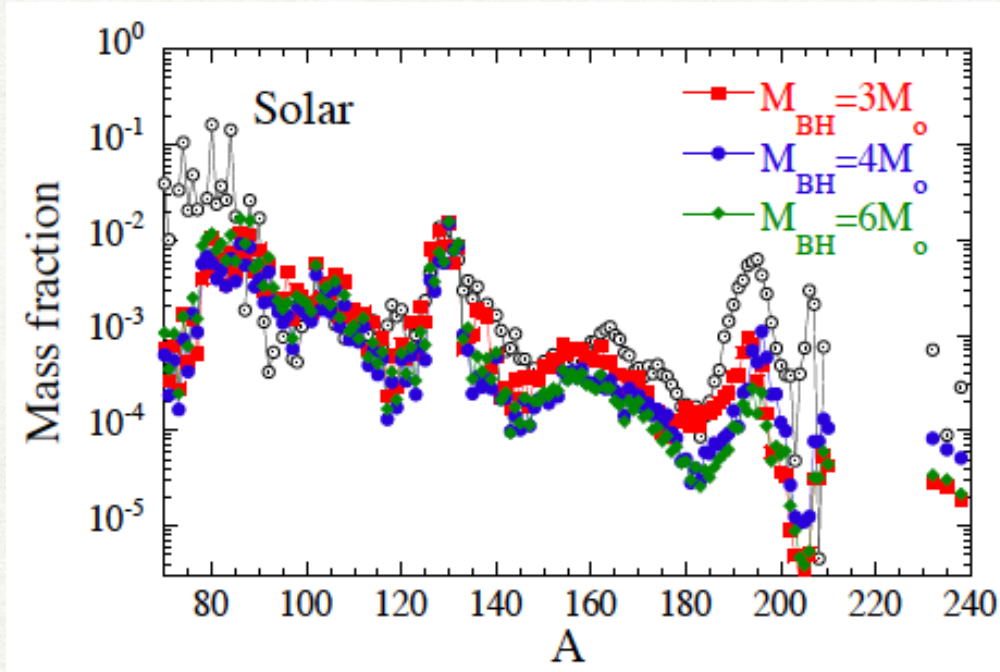
(OJ, Bauswein, Ardevol, Goriely, Janka '15)



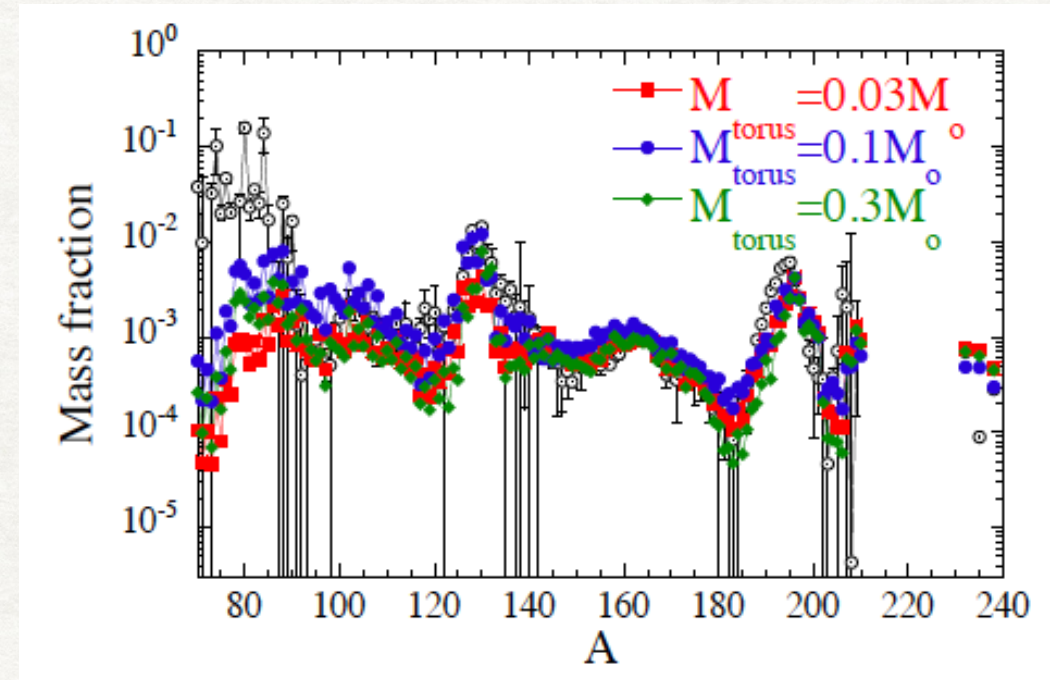
# COMBINED NUCLEOSYNTHESIS YIELDS OF PROMPT AND TORUS EJECTA

(OJ, Bauswein, Ardevol, Goriely, Janka '15)

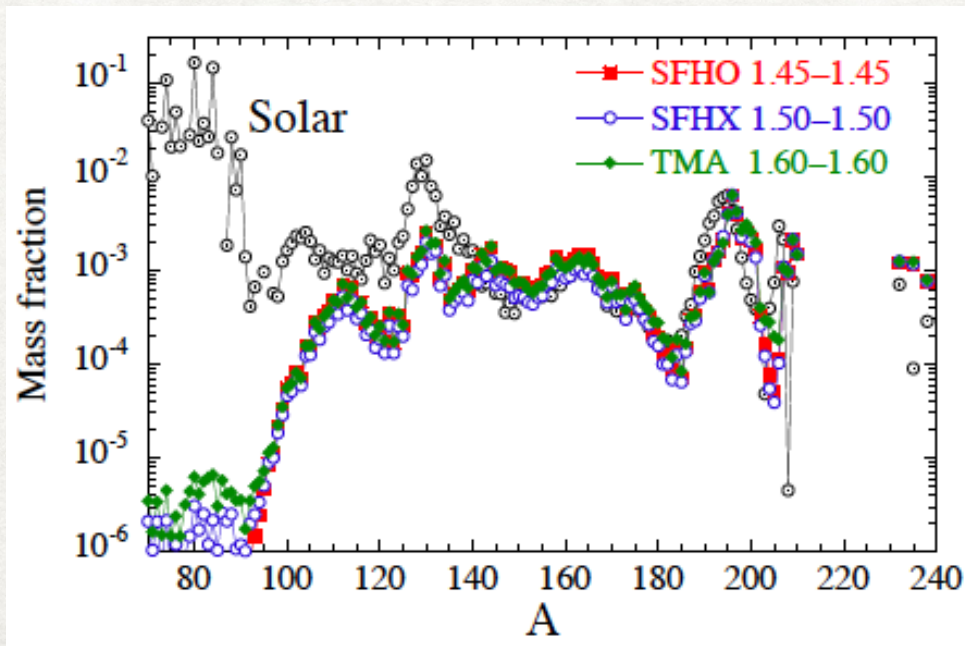
→ DISK ejecta (mainly  $A \sim 90 - 140$ )



→ DISK + PROMPT ejecta



→ PROMPT ejecta (mainly  $A \sim 140 - 210$ )



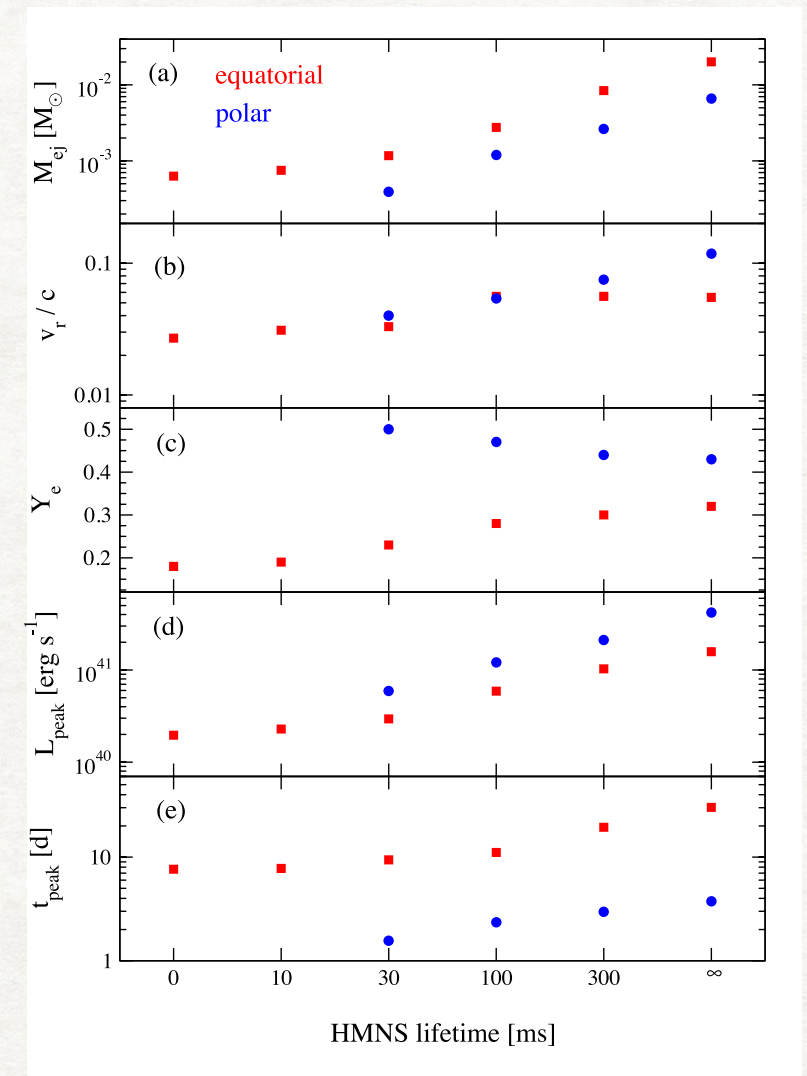
→ nicely recovers the full mass range  $A > 90$

→ strong support for idea that multiple ejecta components of NS mergers are significant sources of r-process elements

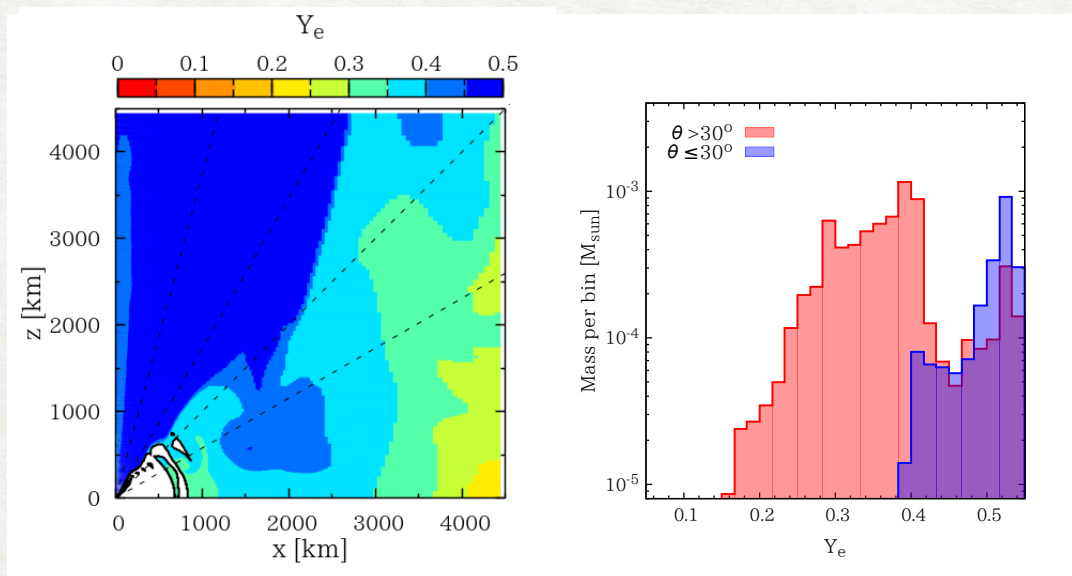


# NS-torus remnant

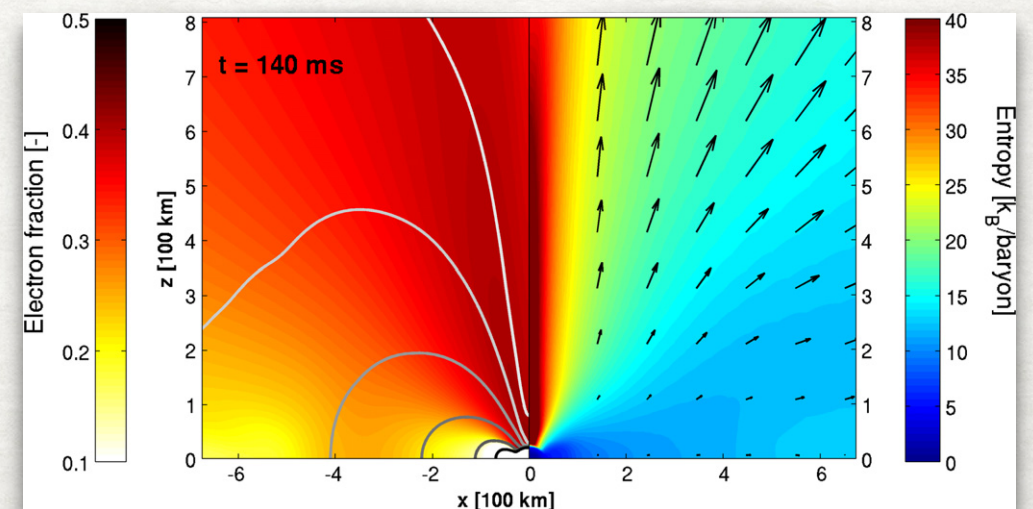
- **very challenging** to model, because of extremely small MRI length scales and complicated high-density neutrino physics
- NS represents **much larger** energy reservoir than BH-torus
- hence, ejecta mass likely **grows with HMNS lifetime**
- relative amount of **(blue) neutrino-driven** to **(red) viscous** ejecta higher



(Metzger '14)



(Fujibayashi '17)



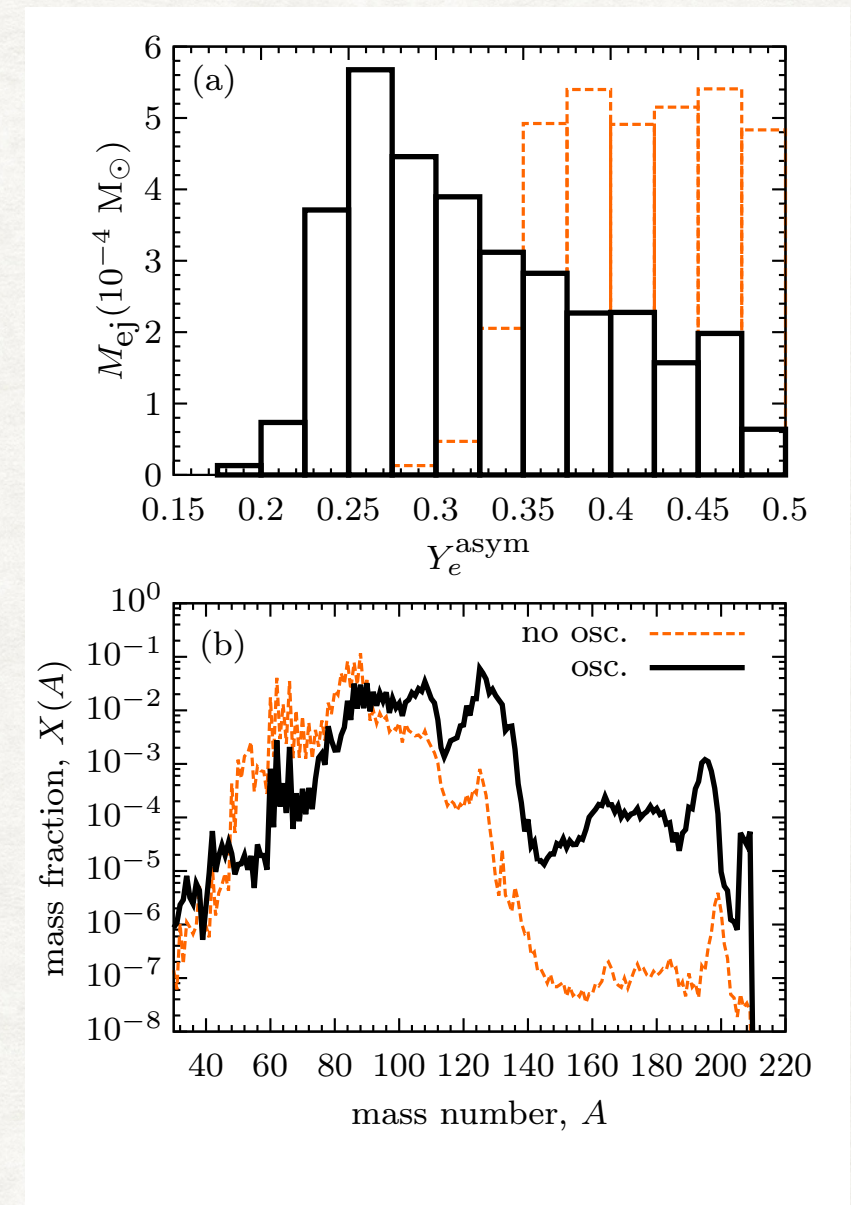
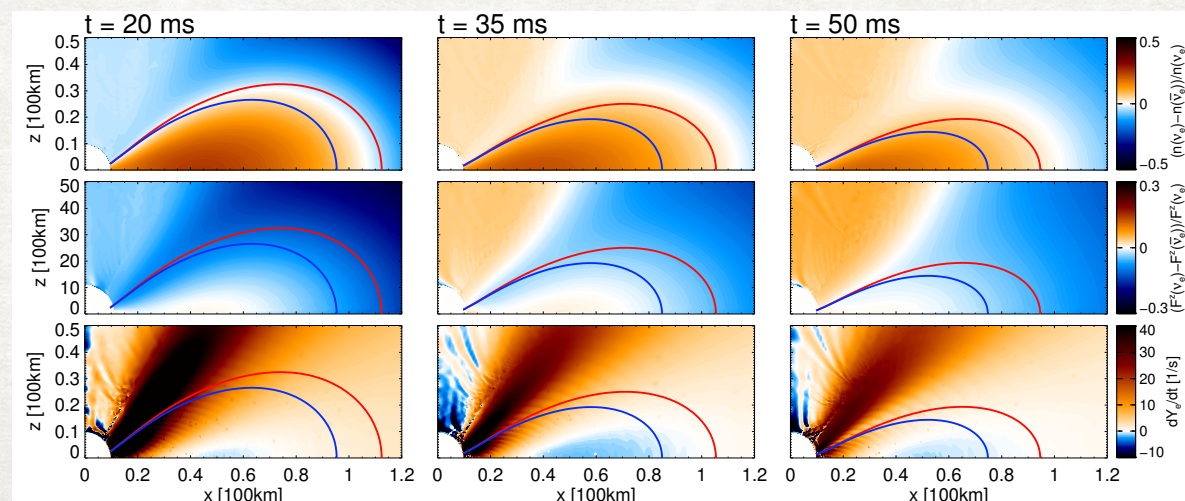
(Martin '15)



# IMPACT OF NU-NU OSCILLATIONS ON THE NEUTRINO-DRIVEN WIND COMPONENT

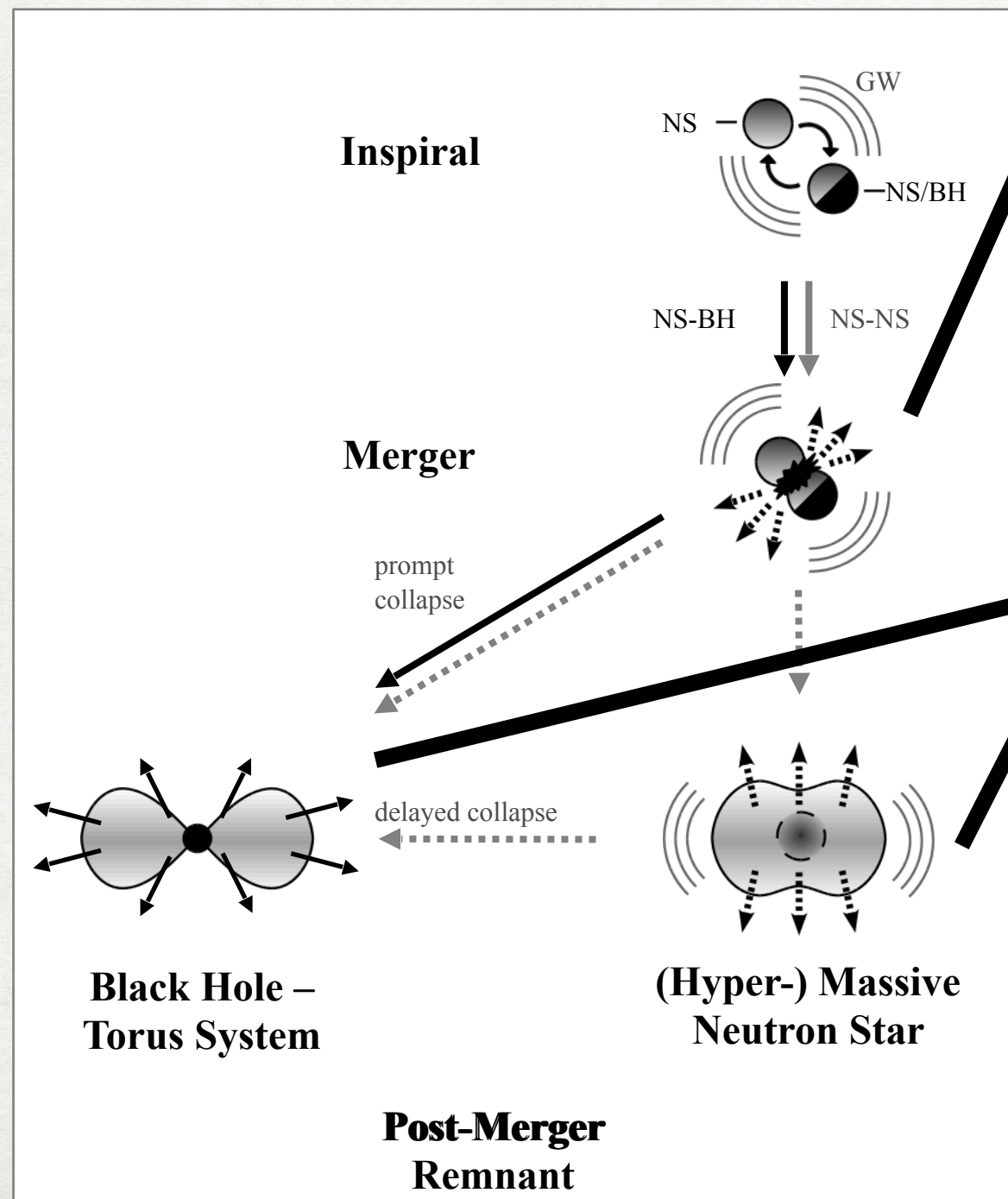
(Wu, Tamborra, OJ, Janka, 2017PhRvD, 96l3015W)

- recently (re-)discovered “**fast pairwise flavor conversions**” may lead to flavor equilibration on length scales of **O(10cm)** (e.g. Sawyer+ 05, 09, 16)
- take place whenever  $n(\nu_e) - n(\bar{\nu}_e)$  changes sign in angular space
- our simplified, exploratory study indicates that neutrino-driven ejecta may remain **more neutron rich**
- neutrino oscillations remain major open question for neutrino effects in NS mergers**





# Neutron-star mergers: ejecta components



## dynamical/prompt ejecta

- tidal tails
- shock-heated

## post-merger ejecta

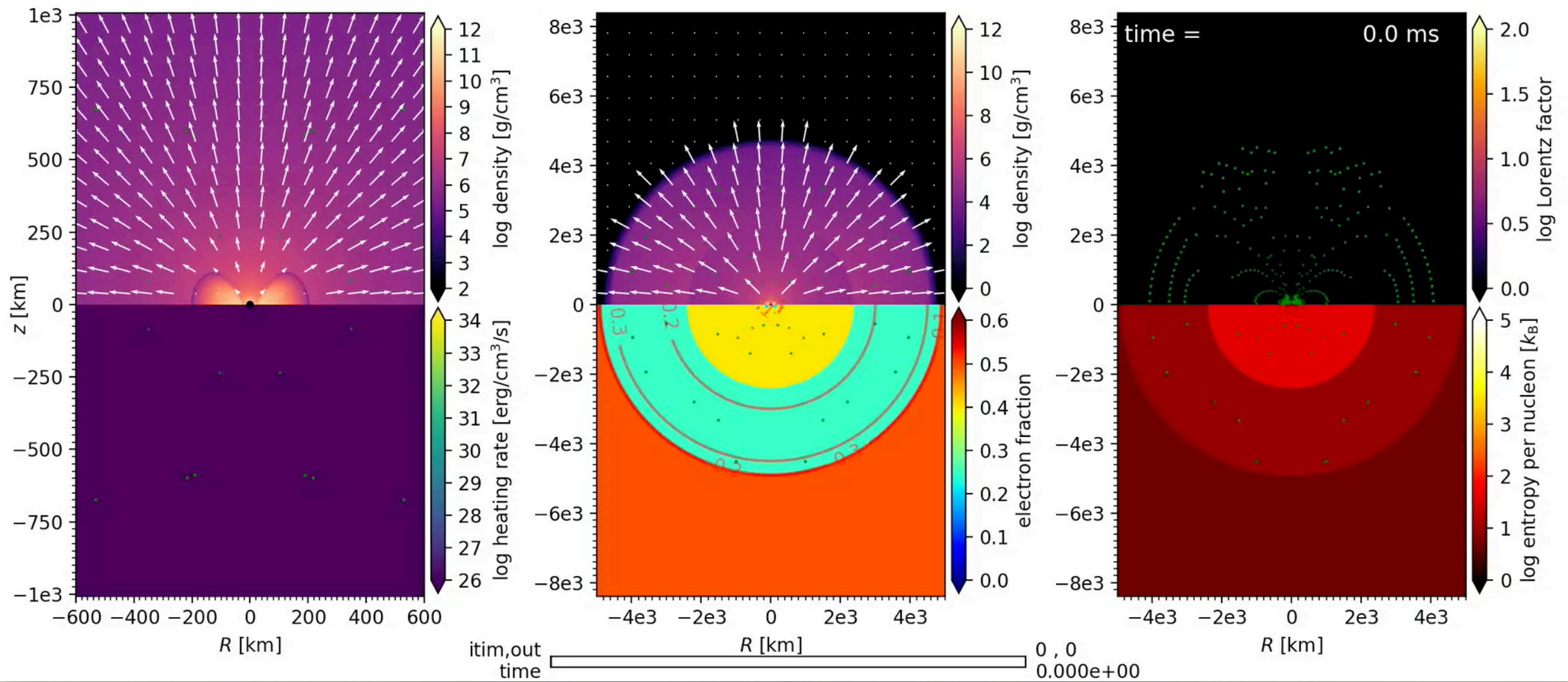
- neutrino-driven
- viscous/MHD driven expansion
- MHD turbulence

## (short gamma-ray burst) jet

- from BH by what mechanism?
- Magnetar spindown?
- choked or successful?



# ONGOING WORK: IMPACT OF JET ON NUCLEOSYNTHESIS?





GW170817/AT2017GFO



# GW170817 + EM COUNTERPARTS

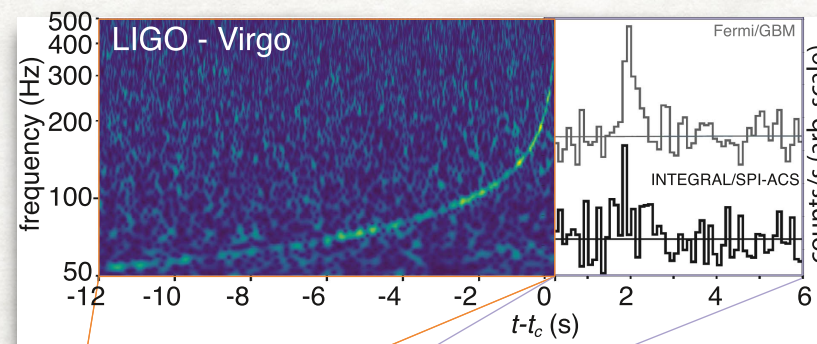
→  $M_{\text{tot}} = M_1 + M_2 \sim 2.74 \text{ Msun}$

→  $M_1/M_2 \sim 0.7 - 1$

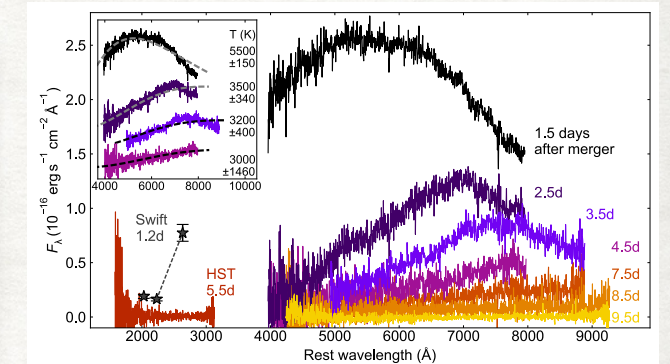
→ **blue ejecta component** with  
 $\langle Y_e \rangle > 0.25$   
 $M \sim 0.01\text{-}0.03 \text{ Msun}$

→ **red ejecta component** with  
 $\langle Y_e \rangle < 0.25$   
 $M \sim 0.01\text{-}0.03 \text{ Msun}$

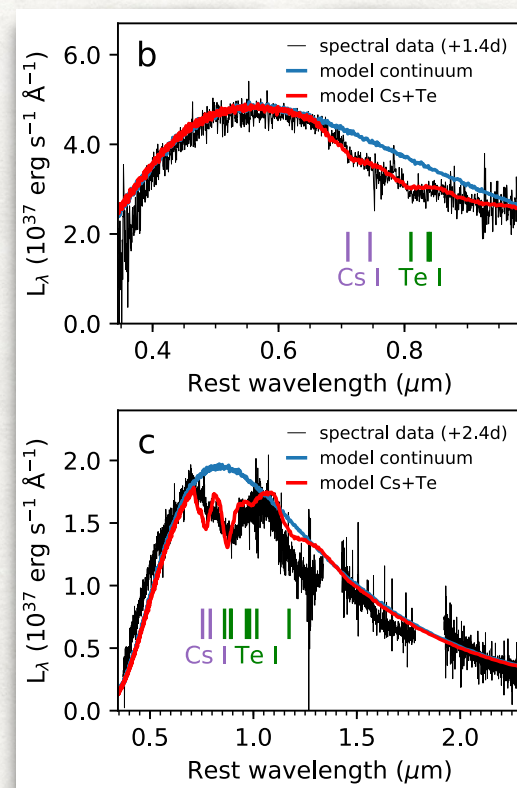
→ low-luminosity **gamma-ray burst**  
 with  $E_{\text{peak}} \sim 100 \text{ keV}$



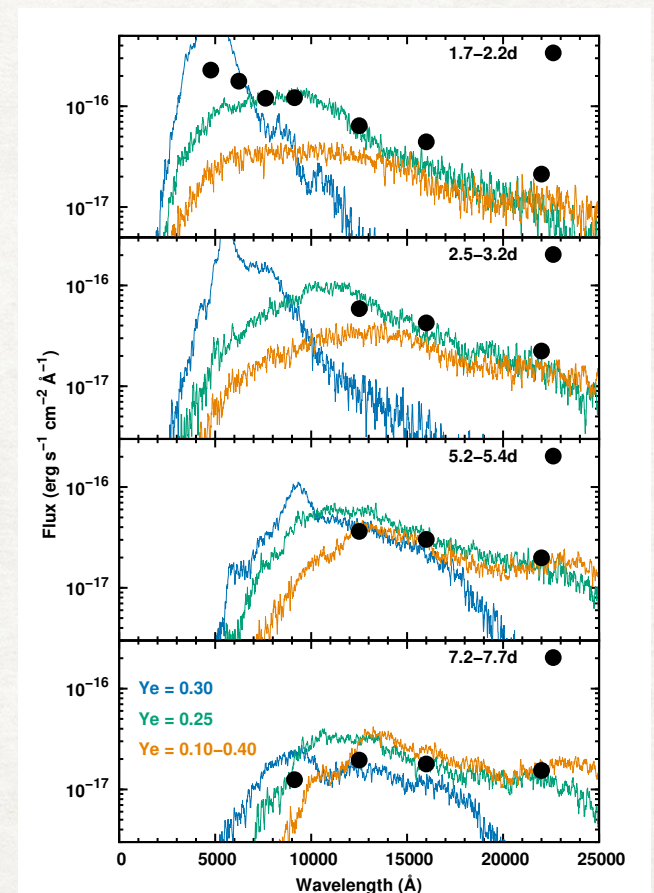
( LIGO Coll.+ '17)



( Nicholl+ '17)



( Smartt+ '17)



( Tanaka+ '17)

+ many other works by, e.g., Berger, Kasliwal, Kasen, Metzger, Mooley, Piran, Rosswog, Tanvir, Nakar, Gottlieb, MacFadyen, ...



# GW170817 + EM COUNTERPARTS

→  $M_{\text{tot}} = M_1 + M_2 \sim 2.74 M_{\text{sun}}$

→  $M_1/M_2 \sim 0.7 - 1$

→ **blue ejecta component** with  
 $\langle Y_e \rangle > 0.25$   
 $M \sim 0.01\text{-}0.03 M_{\text{sun}}$



shock-heated dynamical ejecta and/or  
neutrino-processed ejecta launched  
from a HMNS remnant? *High mass and  
velocity still enigmatic...*

→ **red ejecta component** with  
 $\langle Y_e \rangle < 0.25$   
 $M \sim 0.01\text{-}0.03 M_{\text{sun}}$



dynamical ejecta launched during  
merger or viscous ejecta from  
the remnant?

→ low-luminosity gamma-ray burst  
with  $E_{\text{peak}} \sim 100\text{keV}$



shock breakout emission from choked jet or  
cocoon emission from structured jet. Recent  
observations favor successful jet (Mooley+18).  
*High  $E_{\text{peak}}$  still puzzling...*

→ *major open questions remain...better models needed*



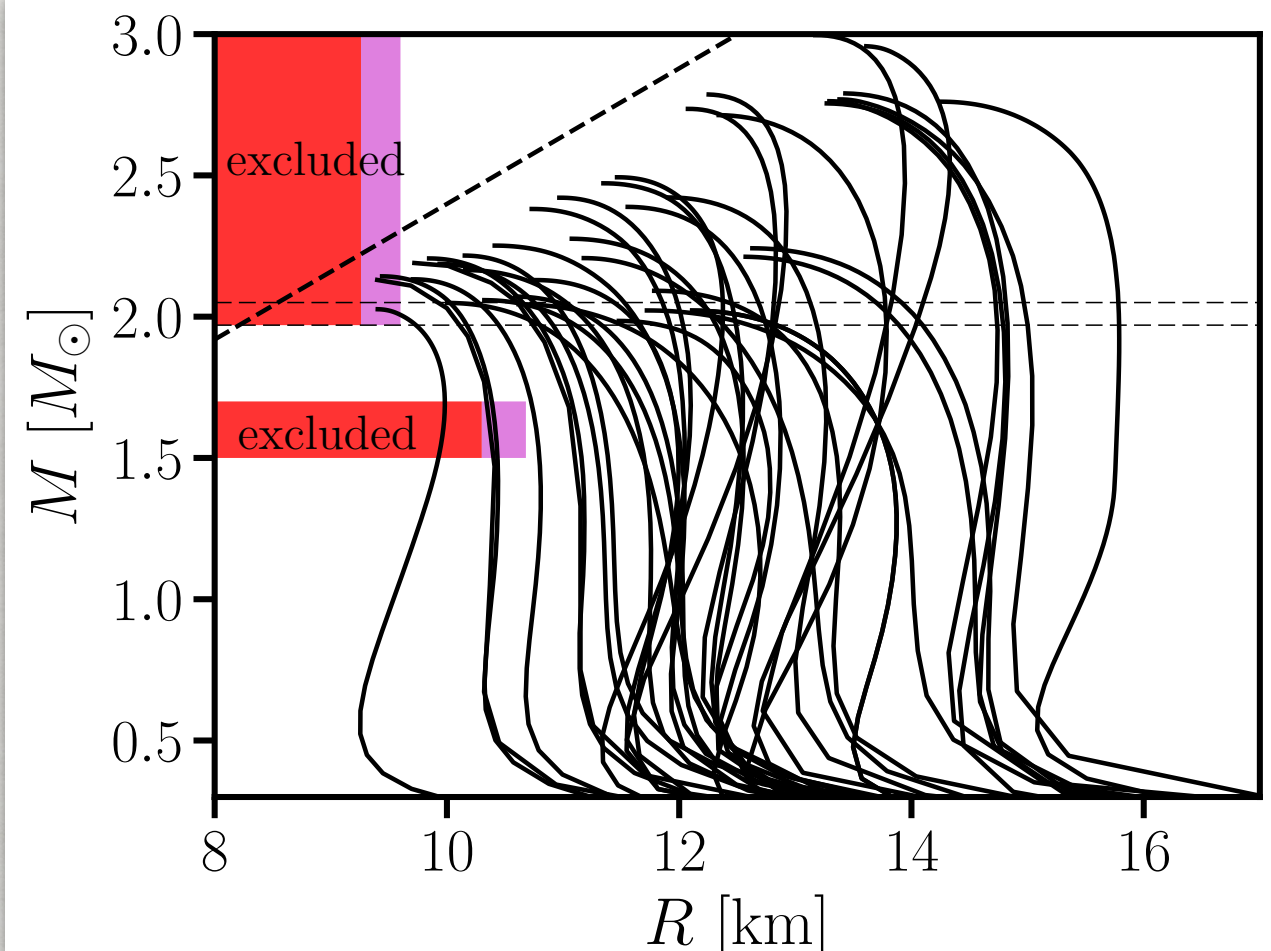
# DELAYED COLLAPSE IS VERY LIKELY FOR GW170817: IMPLICATION FOR NUCLEAR EOS

(Bauswein, OJ, Janka, Stergioulas, 2017ApJ, 850L, 34B)

*empirical relation  
deduced from NS merger simulations  
(Bauswein+'13, PRL 111, 131101)*

$$M_{\text{thres}} = \left( -3.606 \frac{GM_{\text{max}}}{c^2 R_{1.6}} + 2.38 \right) \cdot M_{\text{max}}$$

$$M_{\text{thres}} = \left( -3.38 \frac{GM_{\text{max}}}{c^2 R_{\text{max}}} + 2.43 \right) \cdot M_{\text{max}}$$



**conservative constraint**  
assuming HMNS lifetime > 10 ms

$$R_{16} > 10.7 \text{ km}$$

$$R_{\text{max}} > 9.6 \text{ km}$$

(also several other EOS studies, e.g., Margalit+ '17, Rezzolla+ '17, Ruiz+ '17, Radice+ '17)



# Take Home Messages

- NS mergers produce a **variety of different outflow components**, each with individual nucleosynthesis signature as well as EM signal
- ejecta are less neutron-rich (**i.e. blue**) if illuminated by neutrinos, e.g. for shock-heated dynamical ejecta or neutrino-driven winds from remnant
- ejecta more neutron-rich (**i.e. red**) if neutrino illumination is low, e.g. for tidal dynamical ejecta or viscous remnant ejecta
- ejecta **more massive for longer lifetime** of HMNS
- GW170817 suggests large amount of both red and blue ejecta, hence **likely a delayed collapse**
- likely mixture of dynamical, neutrino-driven, viscously driven, MHD-driven ejecta => however, **safe identification not yet possible** => **better models needed**
- new radius constraint: **delayed collapse suggests  $R_{\text{NS}} > 10.7 \text{ km}$**
- neutrino oscillations **might have strong impact on  $Y_e$** , however, still extremely uncertain