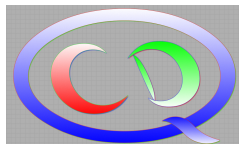




Nuclear Physics as Precision Science

Ulf-G. Meißner, Univ. Bonn & FZ Jülich

supported by DFG, SFB/TR-110



by CAS, PIFI



by VolkswagenStiftung



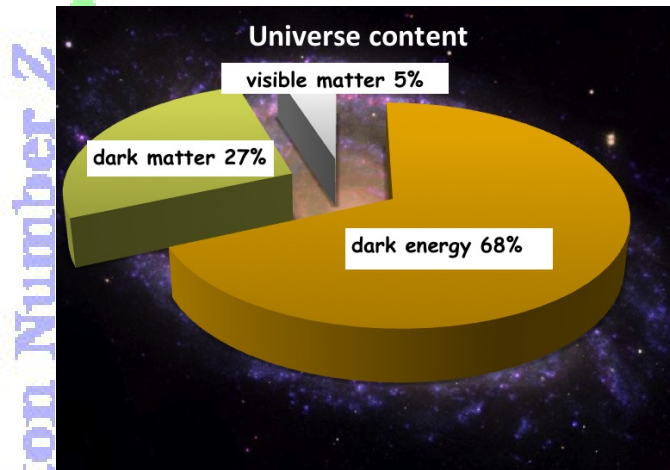
CONTENTS

- Introduction: The BIG picture
- A brief introduction to nuclear interactions
- Basics of nuclear lattice simulations
- Results from nuclear lattice simulations
- Ab initio alpha-alpha scattering
- New insights into nuclear clustering
- Fine-tunings and the multiverse
- Summary & outlook

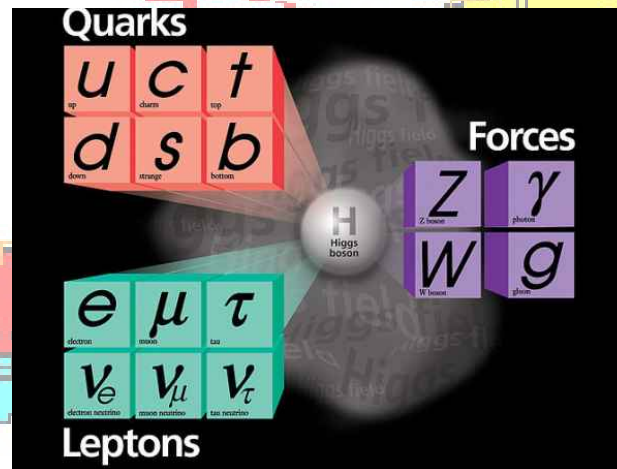
The BIG Picture

WHY NUCLEAR PHYSICS?

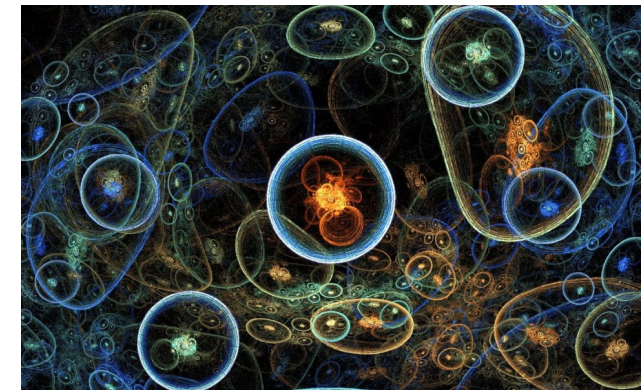
- The matter we are made off



- The last frontier of the SM



- Access to the Multiverse



⇒ Precision mandatory

Neutron Number N

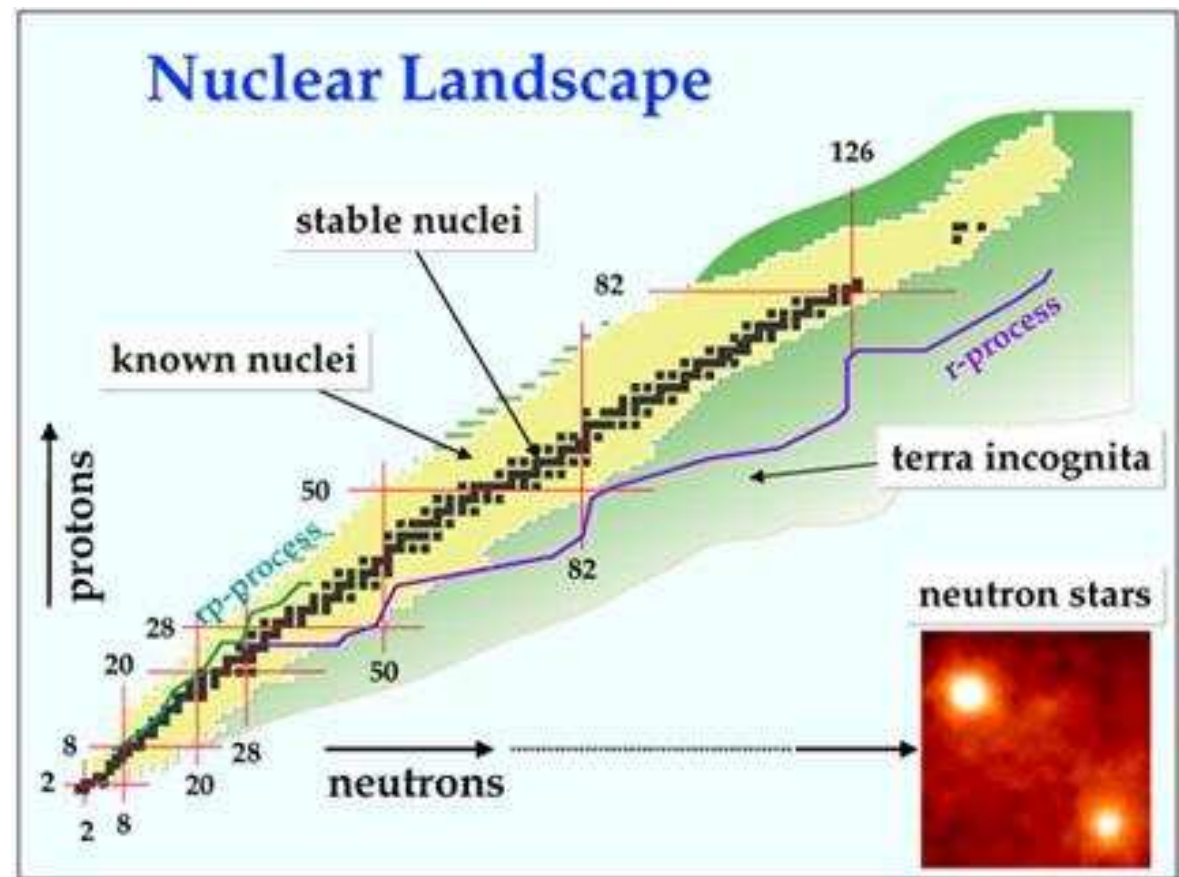
THE NUCLEAR LANDSCAPE: AIMS & METHODS

- Theoretical methods:

- Lattice QCD: $A = 0, 1, 2, \dots$
- NCSM, Faddeev-Yakubowsky, GFMC, ... :
 $A = 3 - 16$
- coupled cluster, ... : $A = 16 - 100$
- density functional theory, ... : $A \geq 100$

- Chiral EFT:

- provides **accurate 2N, 3N and 4N forces**
- successfully applied in light nuclei
with $A = 2, 3, 4$
- combine with standard methods for larger A
(various groups world-wide)
- combine with simulations to get to larger A



⇒ Nuclear Lattice Effective Field Theory

A brief introduction to nuclear interactions

NUCLEAR PHYSICS: A PRIMER

- Nuclei are self-bound system of fermions (protons & neutrons)
- Bound by the **strong** force
- Repulsion also from the **Coulomb** force

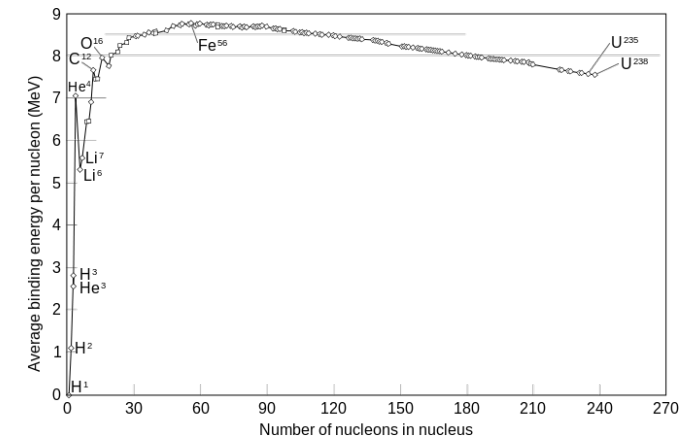
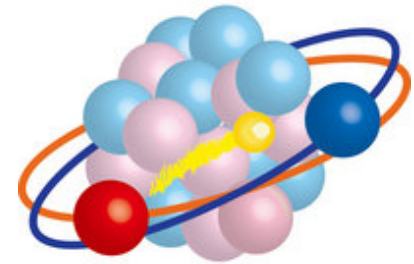
- Non-relativistic system:

$$H_{\text{nuclear}} = T + V$$

- Nuclear Hamiltonian:

$$V = V_{\text{NN}} + V_{\text{NNN}} + \dots$$

- Dominant two-nucleon potential V_{NN} ,
but small three-nucleon force V_{NNN} is required
- Nuclear binding energies \ll nuclear masses
- The nuclear Hamiltonian can be **systematically** analyzed
using the **symmetries** of the strong interactions \rightarrow EFT



Weinberg 1990, 1991

- Rules to construct an EFT:

- *scale separation* – what is low, what is high?
- *active degrees of freedom* – what are the building blocks?
- *symmetries* – how are the interactions constrained by symmetries?
- *power counting* – how to organize the expansion in low over high?

- QCD with light quarks (up, down):

→ low scale $\sim M_\pi \ll$ high scale $\sim M_\rho$

→ DOFs: pions = Goldstone bosons, nucleons, ...

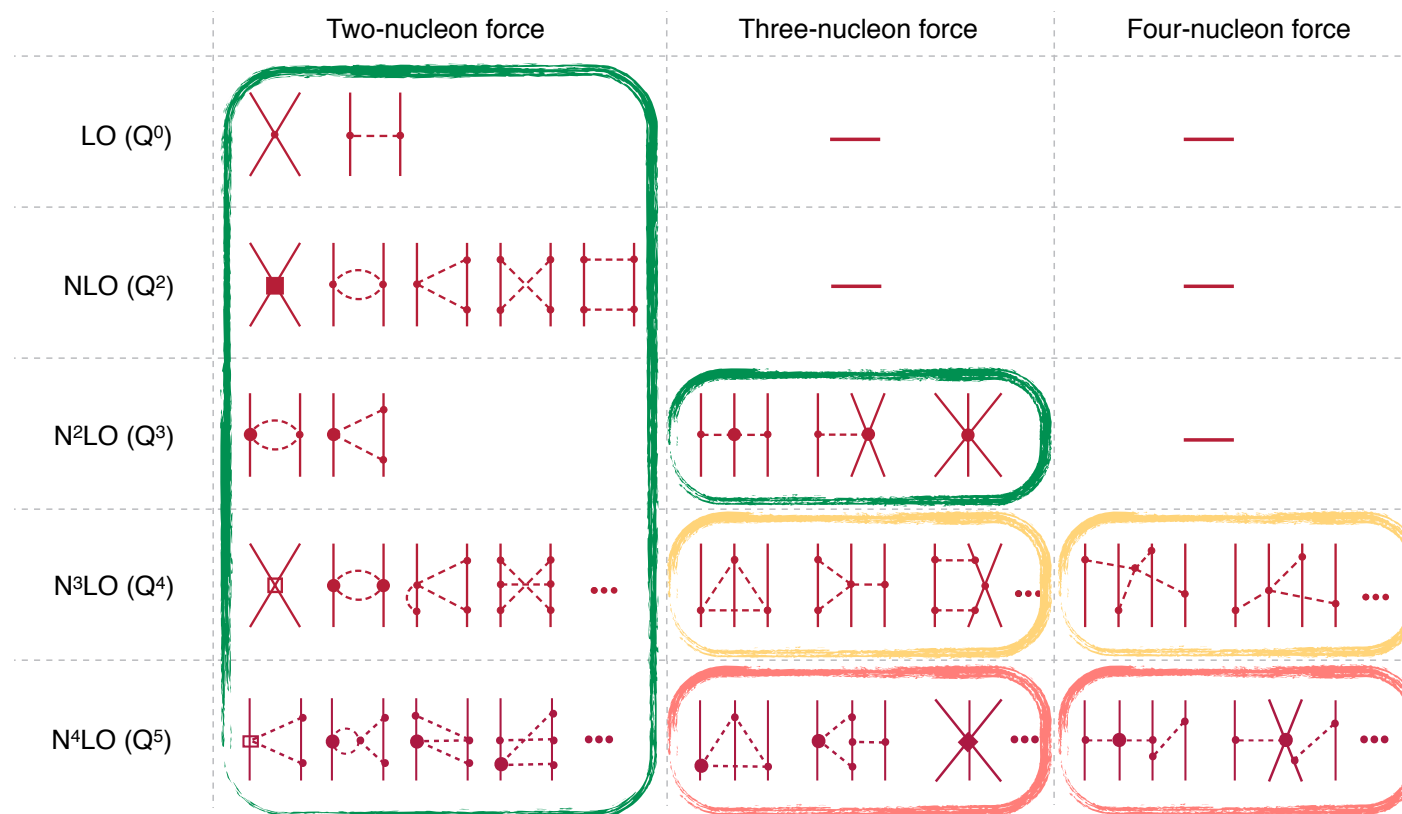
→ **broken chiral symmetry**, PCT, Lorentz, ...

→ Amp $\sim q^\nu$, $\nu = 4 - N + 2(L - C) + \sum_i V_i \Delta_i$

NUCLEAR FORCES in CHIRAL NUCLEAR EFT

- expansion of the potential in powers of Q [small parameter]: $\{p/\Lambda_b, M_\pi/\Lambda_b\}$
- explains observed hierarchy of the nuclear forces
- extremely successful in few-nucleon systems

Epelbaum, Hammer, UGM, Rev. Mod. Phys. **81** (2009) 1773

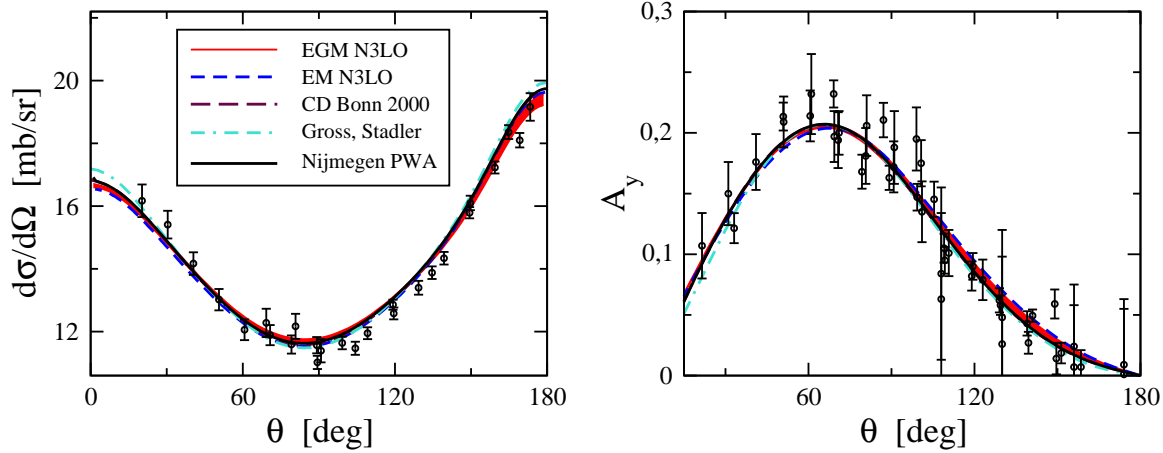


worked out and applied

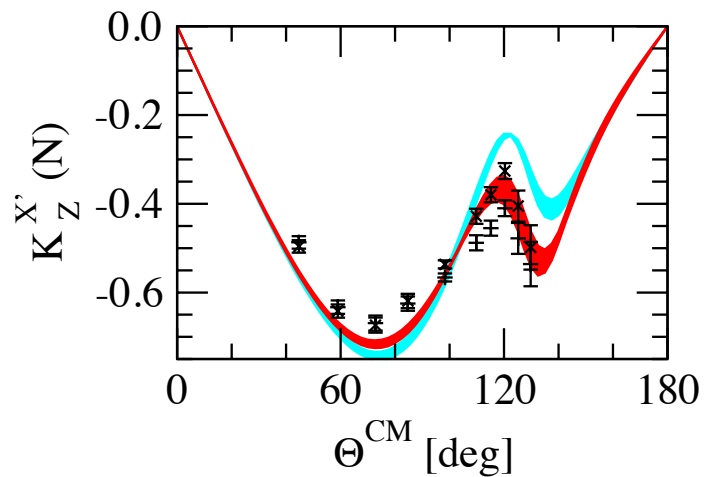
worked out and to be applied

calculations in progress

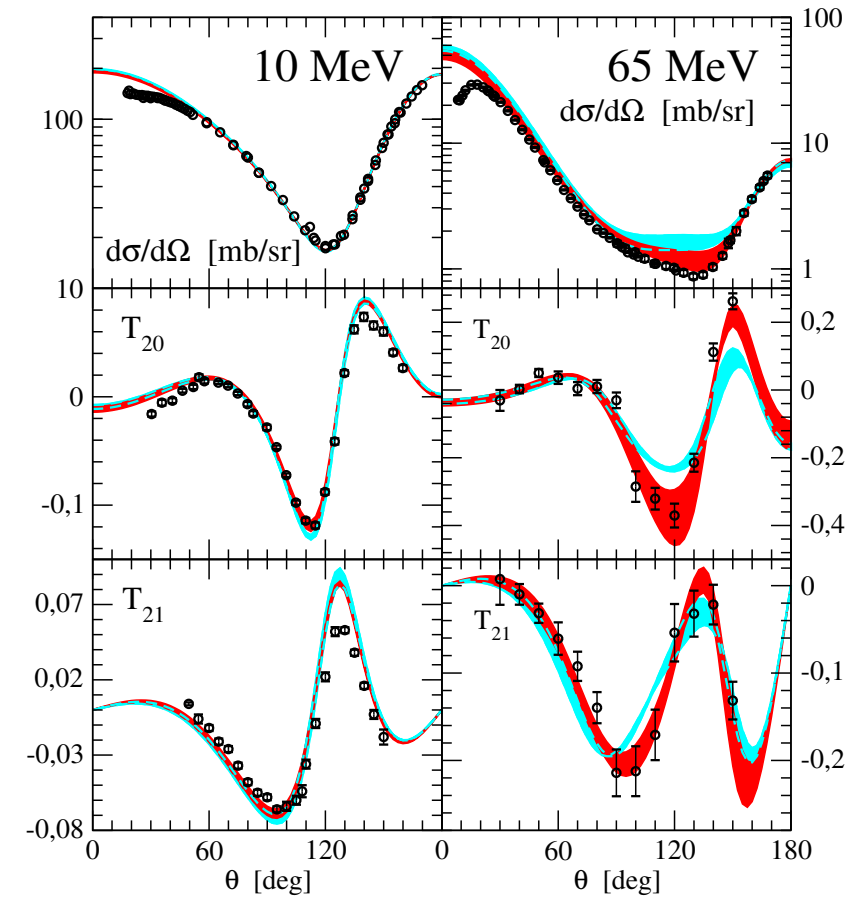
- np scattering



- pol. transfer in pd scattering



- nd scattering



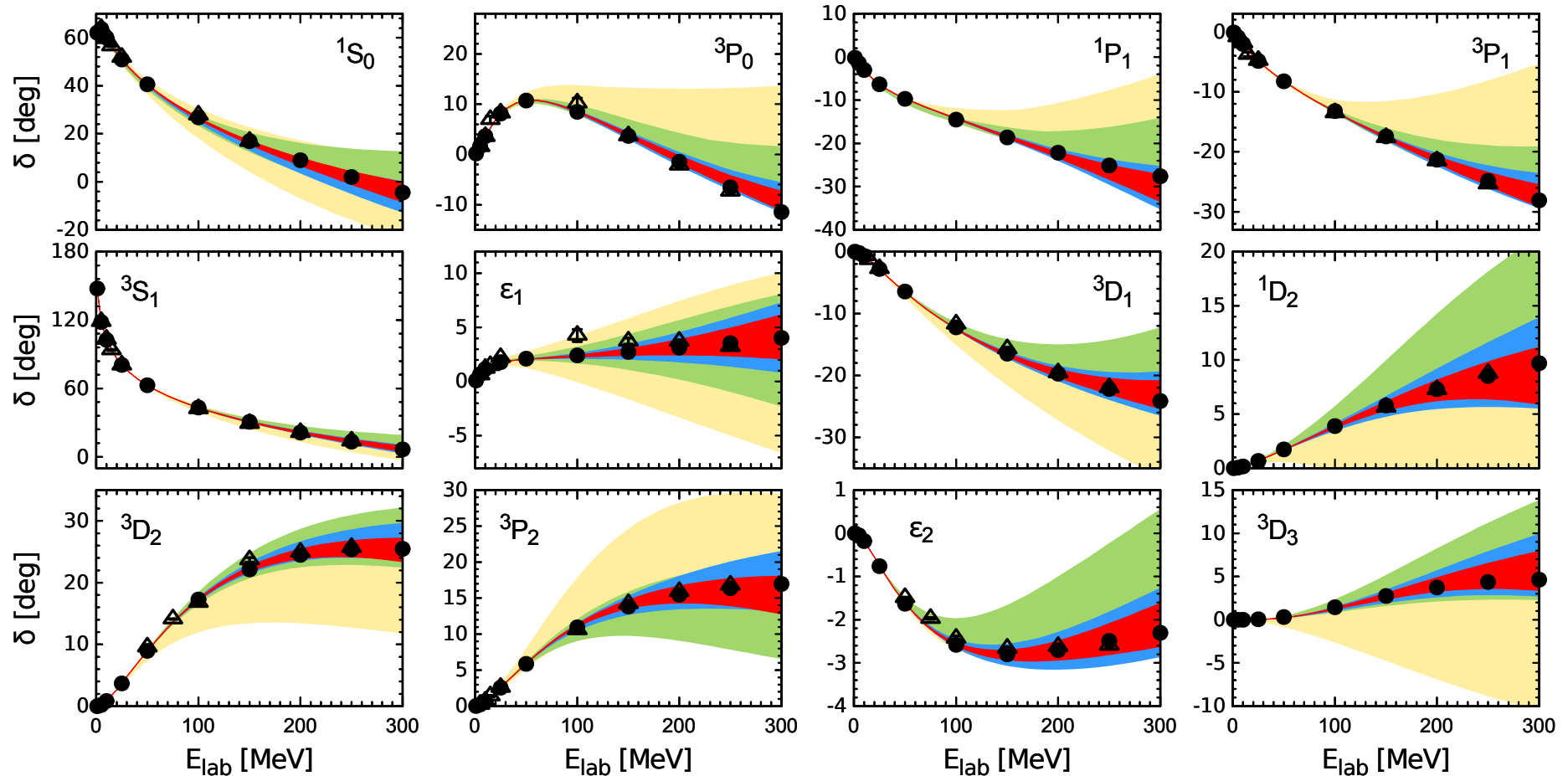
Epelbaum, Hammer, UGM,
Rev. Mod. Phys. **81** (2009) 1773

PHASE SHIFTS at N4LO

- N4LO analysis, better error estimates

Epelbaum, Krebs, UGM, Phys. Rev. Lett. **115** (2015) 122301
Entem, Kaiser, Machleidt, Nasyk, Phys. Rev. **C 91** (2015) 014002
Reinert, Krebs, Epelbaum, EPJ **A 54** (2018) 86

- Precision phase shifts with small uncertainties up to $E_{\text{lab}} = 300$ MeV

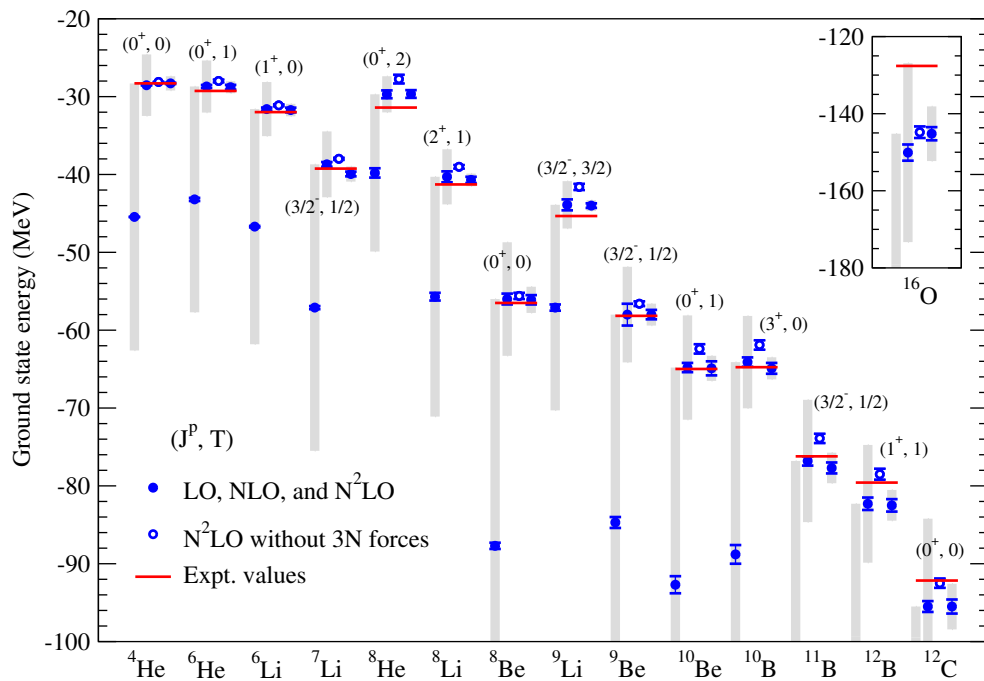


NLO N2LO N3LO N4LO

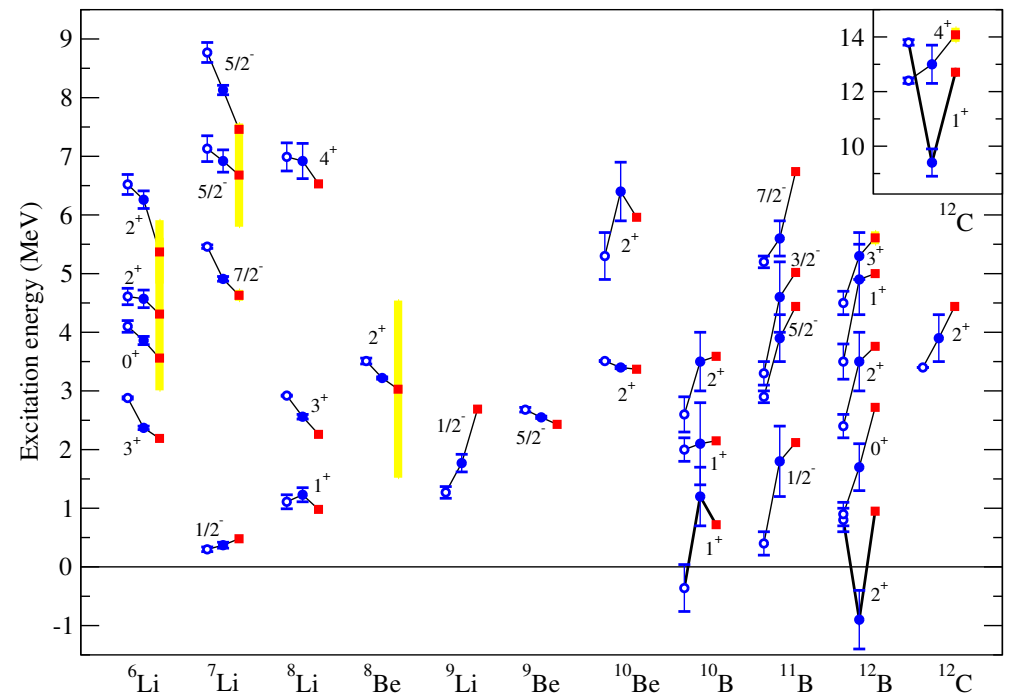
- N2LO analysis, 2NFs + 3NFs consistently included, NCSM

Epelbaum et al. [LENPIC], Phys. Rev. C (2019) in print [arXiv:1807.02848]

- Ground state energies



- excitation energies



→ quite reasonable, radii somewhat underpredicted

→ similar to results other groups (TUD, ORNL, Saclay, Sussex, ...)

Basics of nuclear lattice simulations

for an easy intro, see: UGM, Nucl. Phys. News **24** (2014) 11

for an early review, see: D. Lee, Prog. Part. Nucl. Phys. **63** (2009) 117

upcoming textbook, see: T. Lähde, UGM, Springer Lecture Notes in Physics

Frank, Brockmann (1992), Koonin, Müller, Seki, van Kolck (2000), Lee, Schäfer (2004), . . .
 Borasoy, Krebs, Lee, UGM, Nucl. Phys. **A768** (2006) 179; Borasoy, Epelbaum, Krebs, Lee, UGM, Eur. Phys. J. **A31** (2007) 105

- *new method* to tackle the nuclear many-body problem

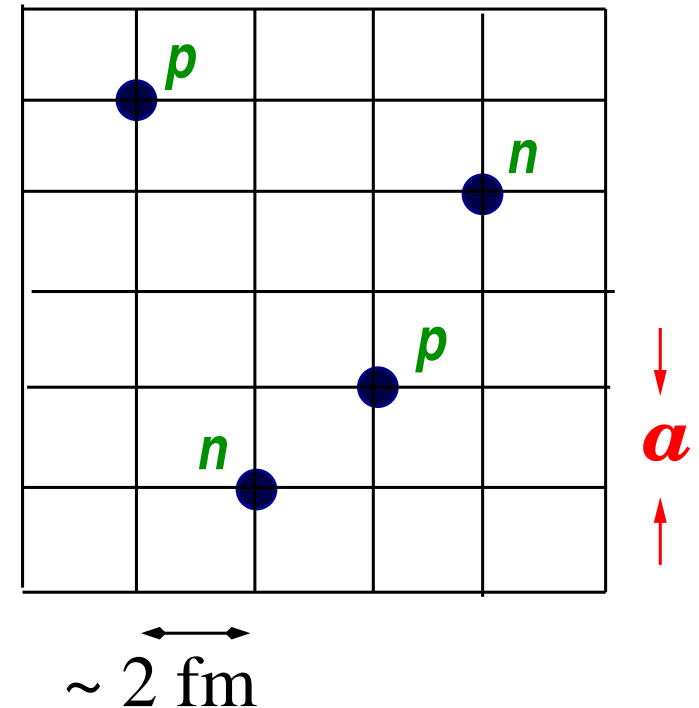
- discretize space-time $V = L_s \times L_s \times L_s \times L_t$:
 nucleons are point-like particles on the sites

- discretized chiral potential w/ pion exchanges
 and contact interactions + Coulomb

→ see Epelbaum, Hammer, UGM, Rev. Mod. Phys. **81** (2009) 1773

- typical lattice parameters

$$p_{\max} = \frac{\pi}{a} \simeq 314 \text{ MeV [UV cutoff]}$$



- strong suppression of sign oscillations due to approximate Wigner SU(4) symmetry

E. Wigner, Phys. Rev. **51** (1937) 106; T. Mehen et al., Phys. Rev. Lett. **83** (1999) 931; J. W. Chen et al., Phys. Rev. Lett. **93** (2004) 242302

- physics independent of the lattice spacing for $a = 1 \dots 2 \text{ fm}$

Alarcon, Du, Klein, Lähde, Lee, Li, Lu, Luu, UGM, EPJA **53** (2017) 83; Klein, Elhatisari, Lähde, Lee, UGM, EPJA **54** (2018) 121

TRANSFER MATRIX METHOD

- Correlation–function for A nucleons: $Z_A(\tau) = \langle \Psi_A | \exp(-\tau H) | \Psi_A \rangle$

with Ψ_A a Slater determinant for A free nucleons
[or a more sophisticated (correlated) initial/final state]

- Transient energy

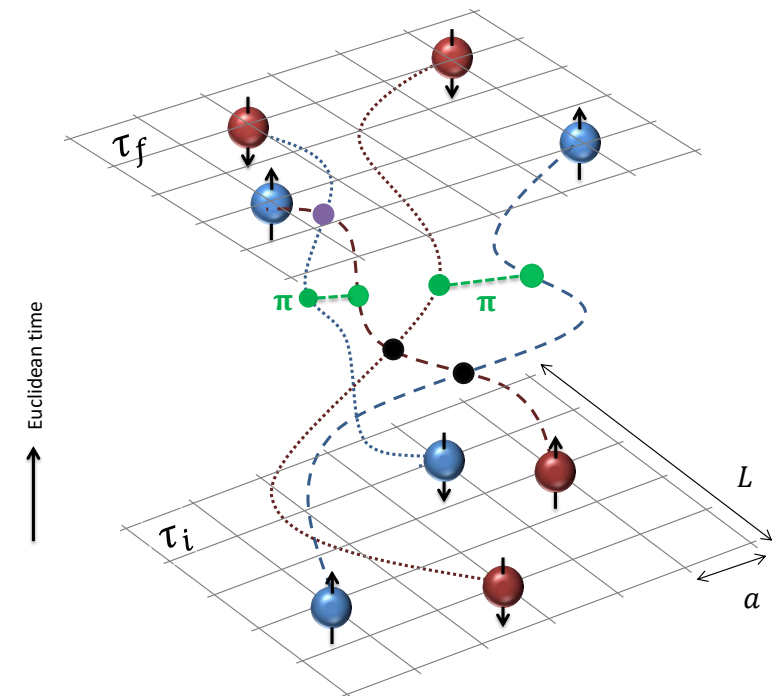
$$E_A(\tau) = -\frac{d}{d\tau} \ln Z_A(\tau)$$

→ ground state: $E_A^0 = \lim_{\tau \rightarrow \infty} E_A(\tau)$

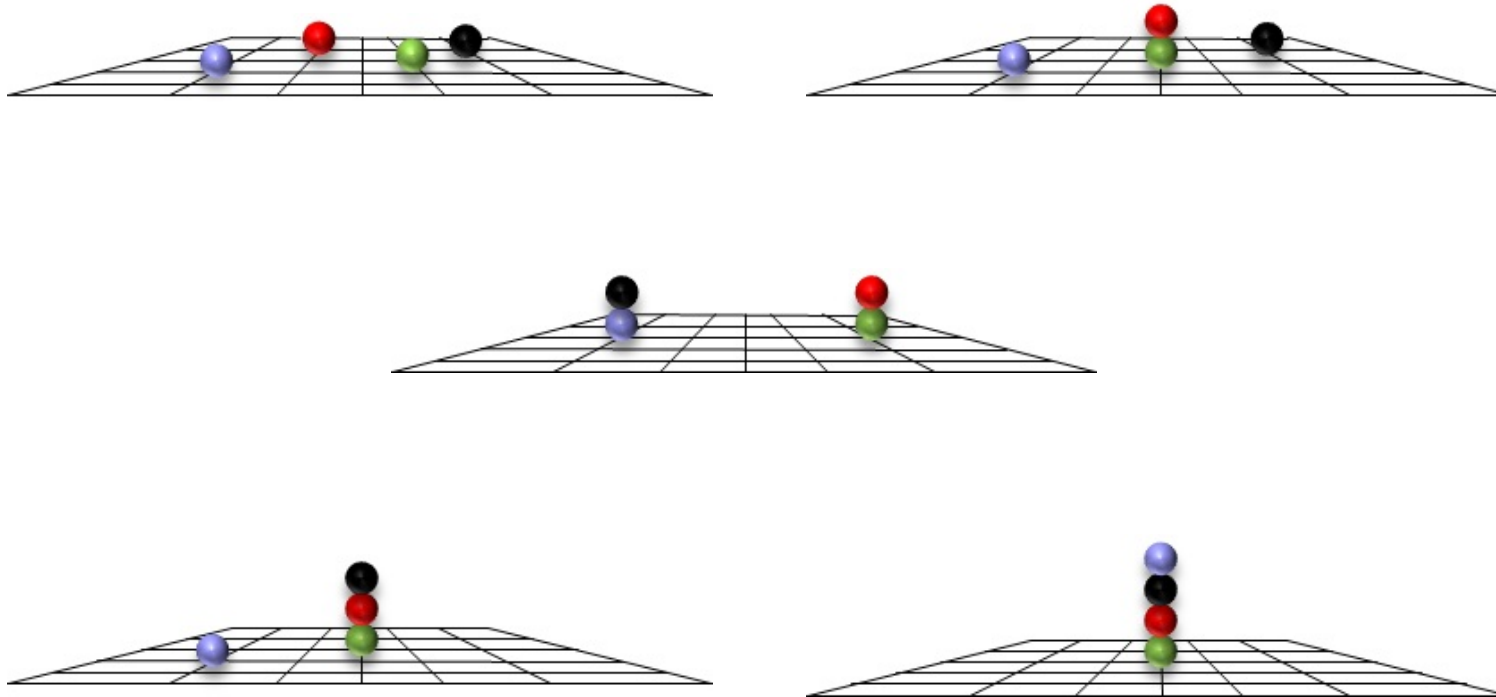
- Exp. value of any normal–ordered operator \mathcal{O}

$$Z_A^{\mathcal{O}} = \langle \Psi_A | \exp(-\tau H/2) \mathcal{O} \exp(-\tau H/2) | \Psi_A \rangle$$

$$\lim_{\tau \rightarrow \infty} \frac{Z_A^{\mathcal{O}}(\tau)}{Z_A(\tau)} = \langle \Psi_A | \mathcal{O} | \Psi_A \rangle$$



CONFIGURATIONS



- ⇒ all *possible* configurations are sampled
- ⇒ preparation of *all possible* initial/final states
- ⇒ *clustering* emerges *naturally*

COMPUTATIONAL EQUIPMENT

- Past = JUQUEEN (BlueGene/Q)
- Present = JUWELS (modular system) + SUMMIT + ...



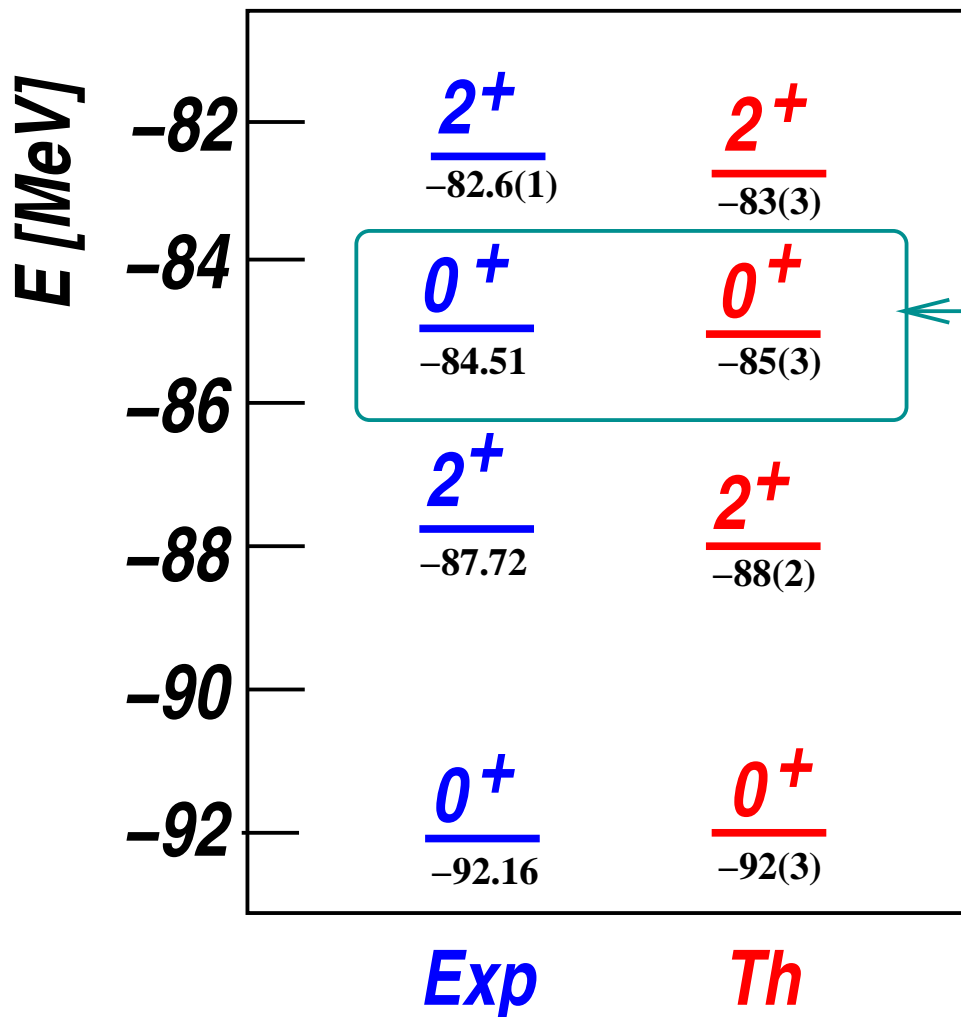
12 Pflops

BREAKTHROUGH: SPECTRUM of CARBON-12

Epelbaum, Krebs, Lee, UGM, Phys. Rev. Lett. 106 (2011) 192501

Epelbaum, Krebs, Lähde, Lee, UGM, Phys. Rev. Lett. 109 (2012) 252501

- After $8 \cdot 10^6$ hrs JUGENE/JUQUEEN (and “some” human work)

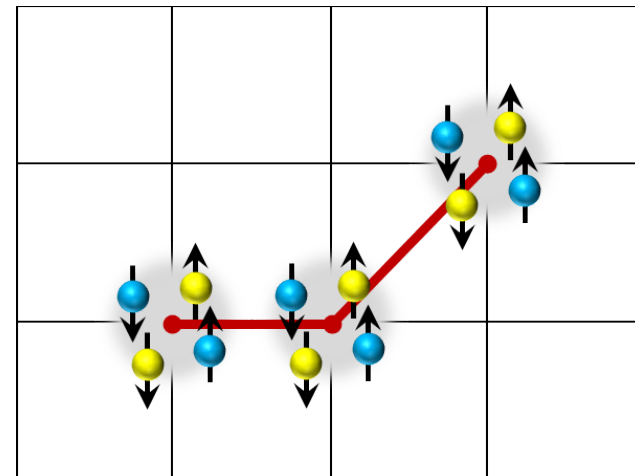


⇒ First ab initio calculation of the Hoyle state ✓

[see also Feldmeier & Neff, FMD]

Hoyle

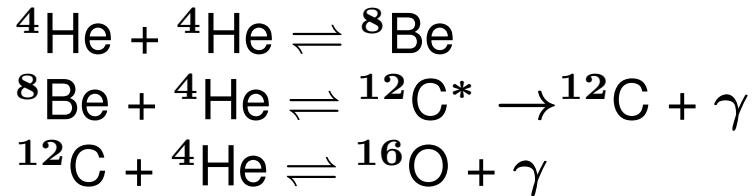
Structure of the Hoyle state:



A SHORT HISTORY of the HOYLE STATE

- Heavy element generation in massive stars: **triple- α process**

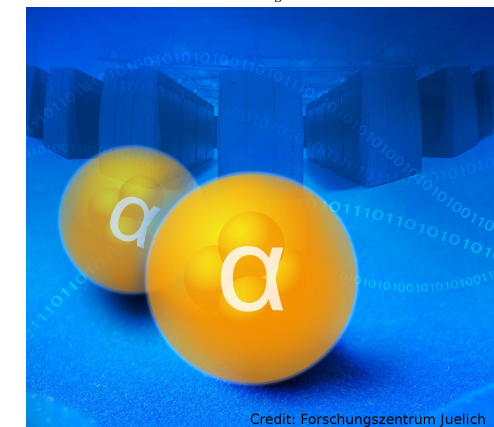
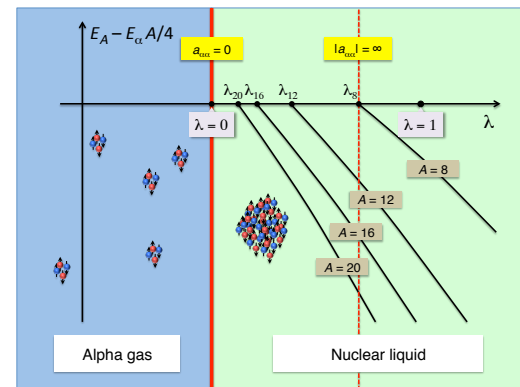
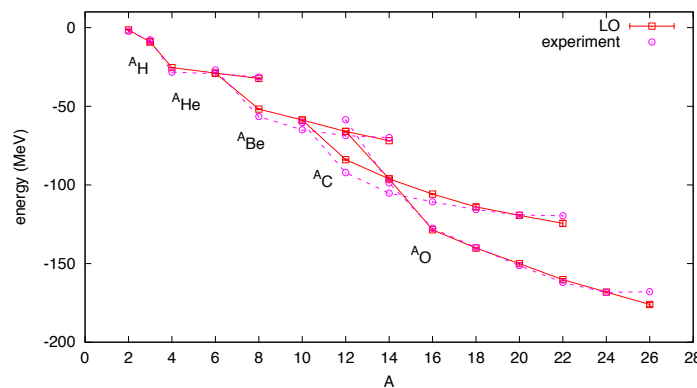
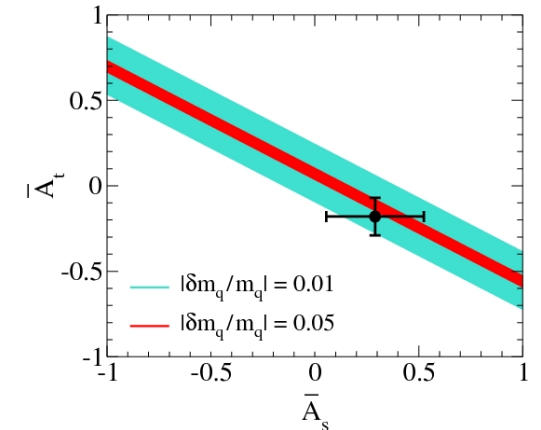
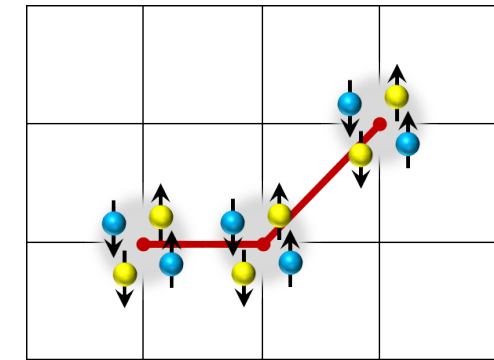
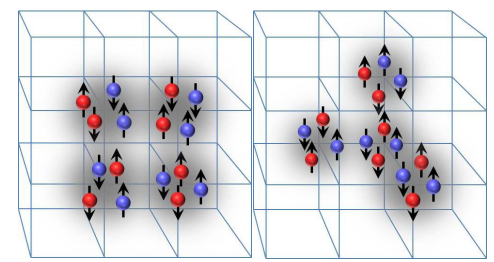
Bethe 1938, Öpik 1952, Salpeter 1952, Hoyle 1954, ...



- Hoyle's contribution: calculation of the relative abundances of ${}^4\text{He}$, ${}^{12}\text{C}$ and ${}^{16}\text{O}$
 \Rightarrow need a resonance close to the ${}^8\text{Be} + {}^4\text{He}$ threshold at $E_R \simeq 0.37$ MeV
 \Rightarrow this corresponds to a $J^P = 0^+$ excited state 7.7 MeV above the g.s.
- a corresponding state was experimentally confirmed at Caltech at
 $E - E(\text{g.s.}) = 7.653 \pm 0.008$ MeV Dunbar et al. 1953, Cook et al. 1957
- still on-going experimental activity, e.g. EM transitions at SDALINAC
M. Chernykh et al., Phys. Rev. Lett. 98 (2007) 032501
- side remark: relevance to the anthropic principle?
H. Kragh, An anthropic myth: Fred Hoyle's carbon-12 resonance level,
Arch. Hist. Exact Sci. 64 (2010) 721

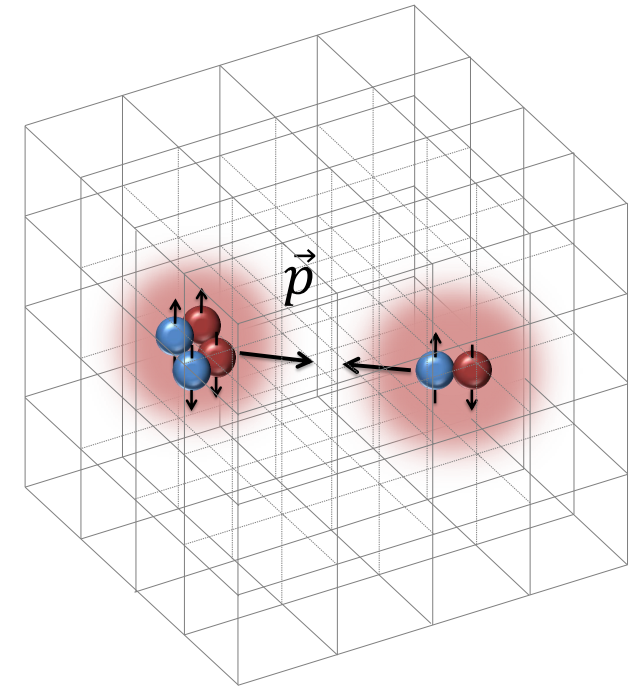
RESULTS from LATTICE NUCLEAR EFT

- Lattice EFT calculations for $A=3,4,6,12$ nuclei, [PRL 104 \(2010\) 142501](#)
- *Ab initio* calculation of the Hoyle state, [PRL 106 \(2011\) 192501](#)
- Structure and rotations of the Hoyle state, [PRL 109 \(2012\) 142501](#)
- Validity of Carbon-Based Life as a Function of the Light Quark Mass
[PRL 110 \(2013\) 142501](#)
- *Ab initio* calculation of the Spectrum and Structure of ^{16}O ,
[PRL 112 \(2014\) 142501](#)
- *Ab initio* alpha-alpha scattering, [Nature 528 \(2015\) 111](#)
- Nuclear Binding Near a Quantum Phase Transition, [PRL 117 \(2016\) 132501](#)
- *Ab initio* calculations of the isotopic dependence of nuclear clustering,
[PRL 119 \(2017\) 222505](#)



NUCLEUS–NUCLEUS SCATTERING on the LATTICE

- Processes involving α -particles and α -type nuclei comprise a major part of stellar nucleosynthesis, and control the production of certain elements in stars
- Ab initio calculations of scattering and reactions suffer from computational scaling with the number of nucleons in the clusters



Lattice EFT computational scaling $\Rightarrow (A_1 + A_2)^2$

Rupak, Lee, Phys. Rev. Lett. **111** (2013) 032502

Pine, Lee, Rupak, Eur. Phys. J. A **49** (2013) 151

Elhatisari, Lee, Phys. Rev. C **90** (2014) 064001

Elhatisari et al., Phys. Rev. C **92** (2015) 054612

Elhatisari, Lee, UGM, Rupak, Eur. Phys. J. A **52** (2016) 174

ADIABATIC PROJECTION METHOD

- Basic idea to treat scattering and inelastic reactions:
split the problem into two parts

First part:

use Euclidean time projection to construct an *ab initio* low-energy cluster Hamiltonian, called the **adiabatic Hamiltonian**

Second part:

compute the two-cluster scattering phase shifts or reaction amplitudes using the adiabatic Hamiltonian

ADIABATIC PROJECTION METHOD II

- Construct a low-energy effective theory for clusters
- Use initial states parameterized by the relative separation between clusters

$$|\vec{R}\rangle = \sum_{\vec{r}} |\vec{r} + \vec{R}\rangle \otimes |\vec{r}\rangle$$

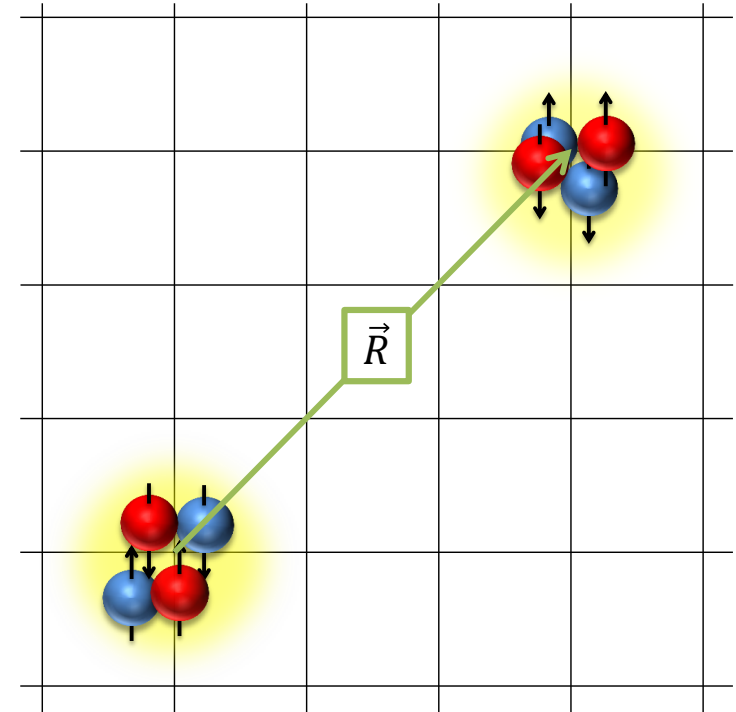
- project them in Euclidean time with the chiral EFT Hamiltonian H

$$|\vec{R}\rangle_{\tau} = \exp(-H\tau)|\vec{R}\rangle$$

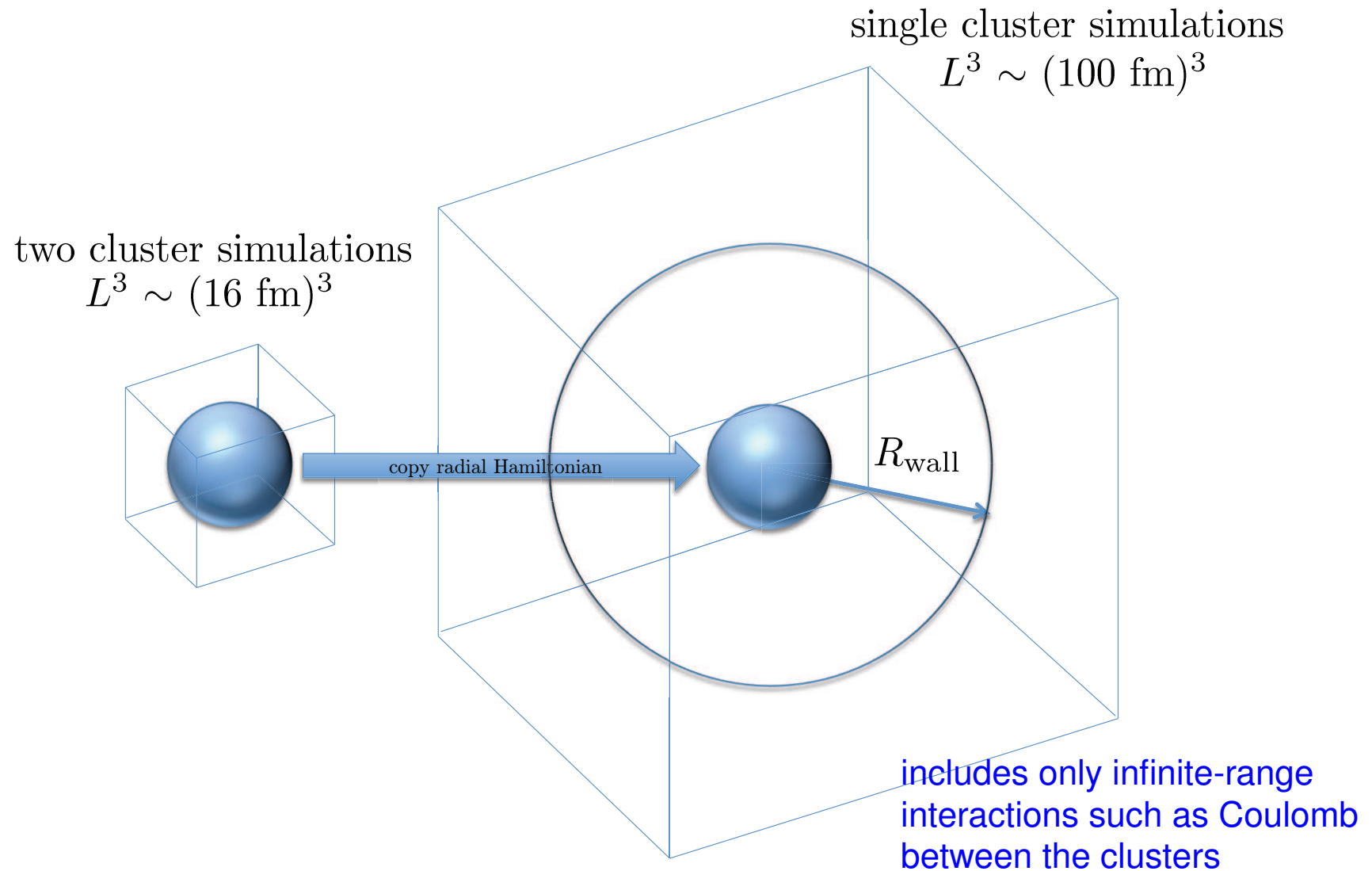
→ “dressed cluster states” (polarization, deformation, Pauli)

- Adiabatic Hamiltonian (requires norm matrices)

$$[H_{\tau}]_{\vec{R}\vec{R}'} = {}_{\tau}\langle \vec{R} | H | \vec{R}' \rangle_{\tau}$$

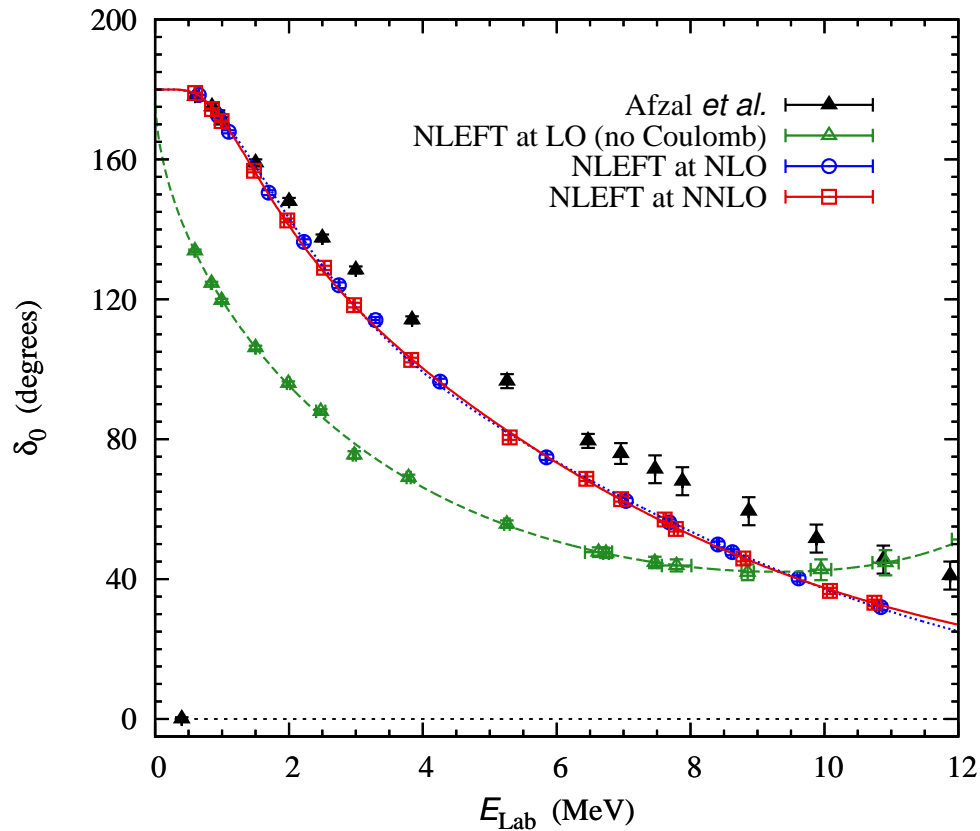


ADIABATIC HAMILTONIAN plus COULOMB

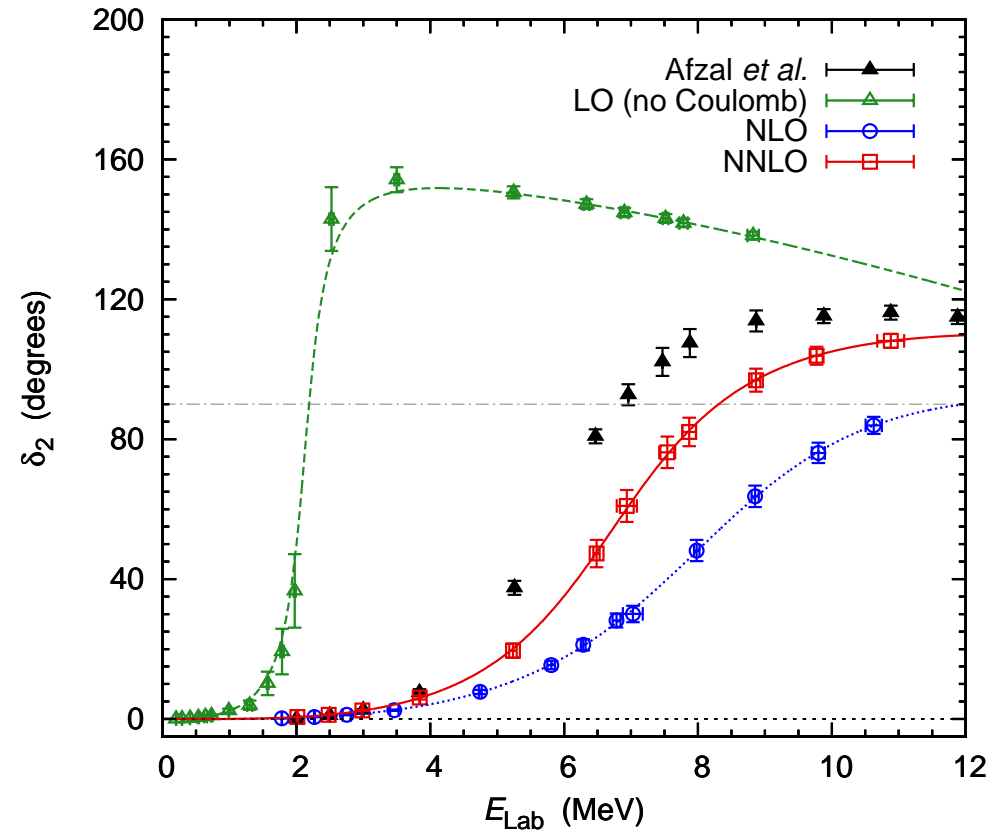


PHASE SHIFTS

- Same NNLO Lagrangian as used for the study of ^{12}C and ^{16}O



$$E_R^{\text{NNLO}} = -0.11(1) \text{ MeV } [+0.09 \text{ MeV}]$$



$$E_R^{\text{NNLO}} = 3.27(12) \text{ MeV } [2.92(18) \text{ MeV}]$$

$$\Gamma_R^{\text{NNLO}} = 2.09(16) \text{ MeV } [1.35(50) \text{ MeV}]$$

Data: Afzal *et al.*, *Rev. Mod. Phys.* **41** (1969) 247

New insights into nuclear clustering

Elhatisari, Epelbaum, Krebs, Lähde, Lee, Li, Lu, UGM, Rupak
Phys. Rev. Lett. **119** (2017) 222505 [arXiv:1702.05177]

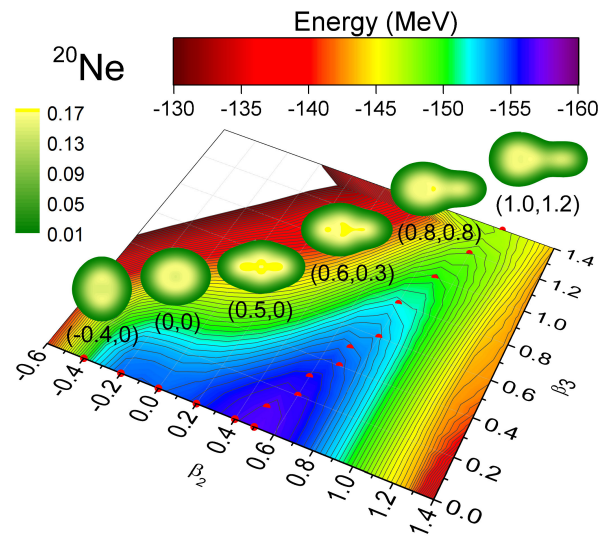
for a review: Freer, Horiuchi, Kanada-En'yo, Lee, UGM
Rev. Mod. Phys. **90** (2018) 035004 [arXiv:1705.06192]

CLUSTERING in NUCLEI

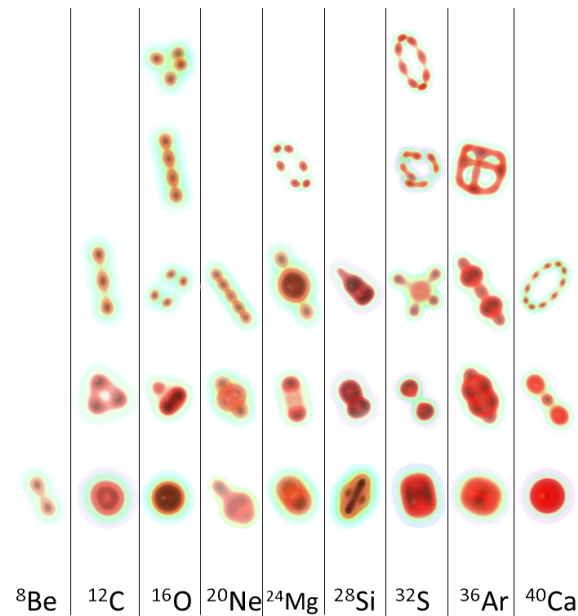
- Introduced theoretically by Wheeler already in 1937:

John Archibald Wheeler, “Molecular Viewpoints in Nuclear Structure,”
Physical Review **52** (1937) 1083

- many works since then... Ikeda, Horiuchi, Freer, Ring, Schuck, Röpke, Khan, Zhou, Iachello, . . .



Zhou, Yao, Li, Ring, Meng (2015)



Ebran, Khan, Niksic, Vretenar (2014)

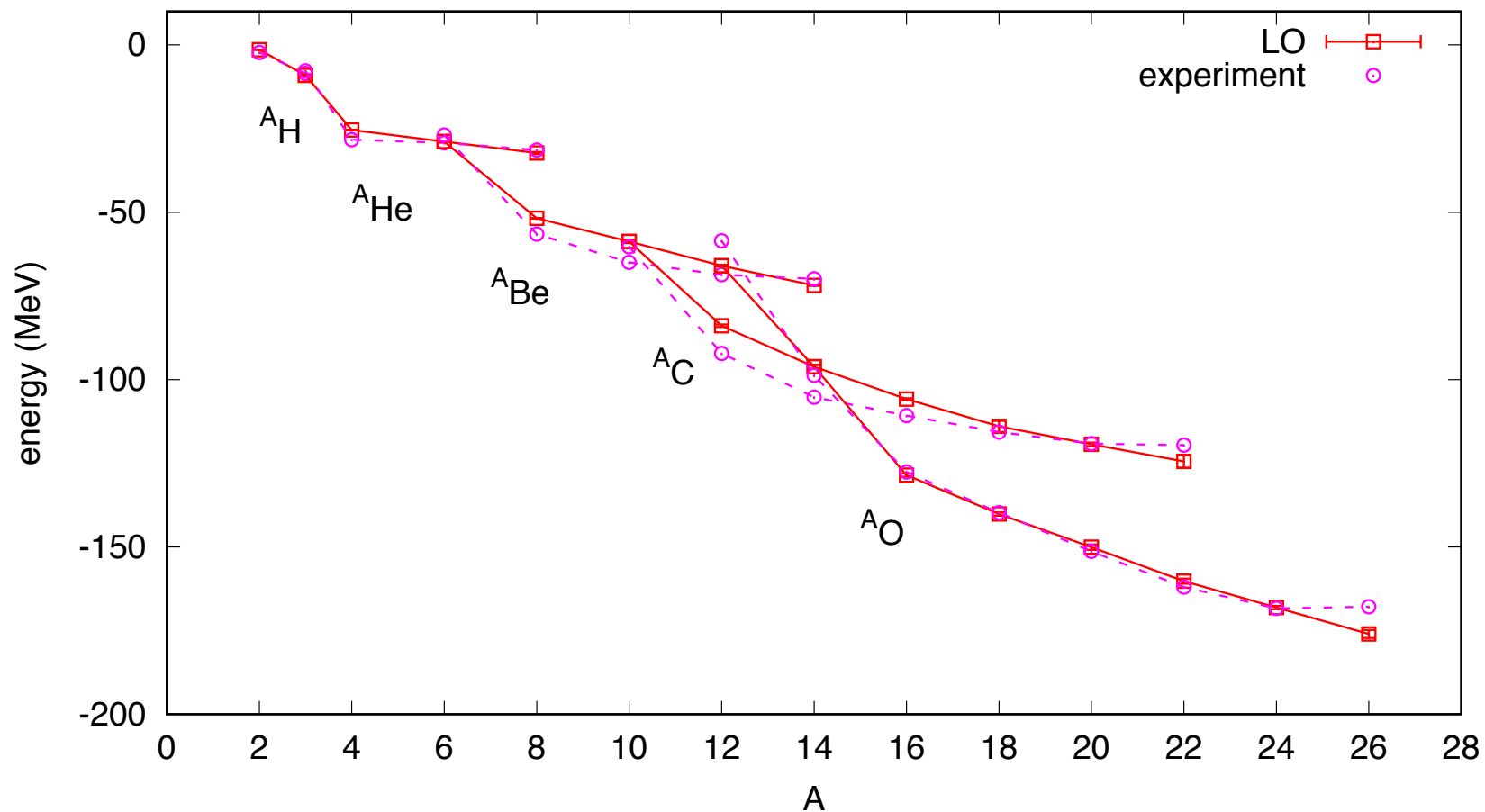
α -clusters

⇒ can we understand this phenomenon from *ab initio* calculations?

GROUND STATE ENERGIES

- Fit parameters to average NN S-wave scattering length and effective range and α - α S-wave scattering length

→ predict g.s. energies of H, He, Be, C and O isotopes → quite accurate (LO)

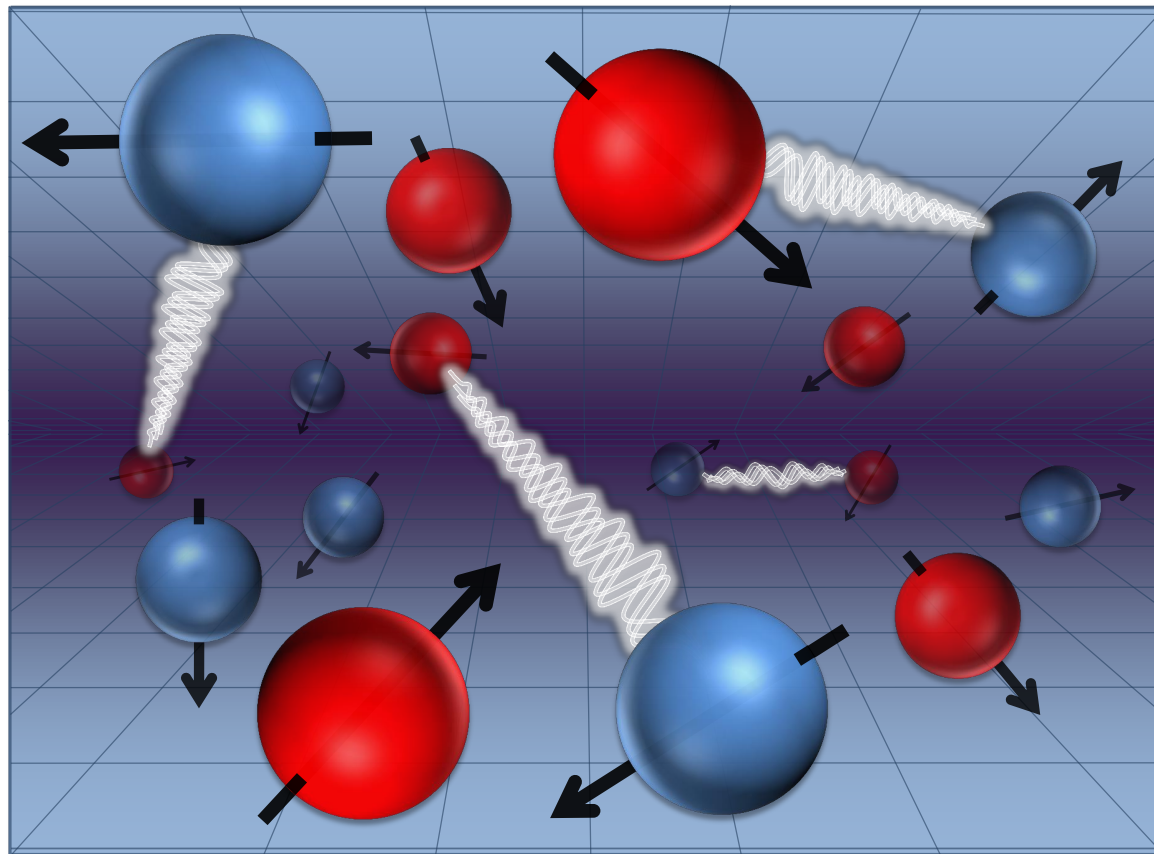


PROBING NUCLEAR CLUSTERING

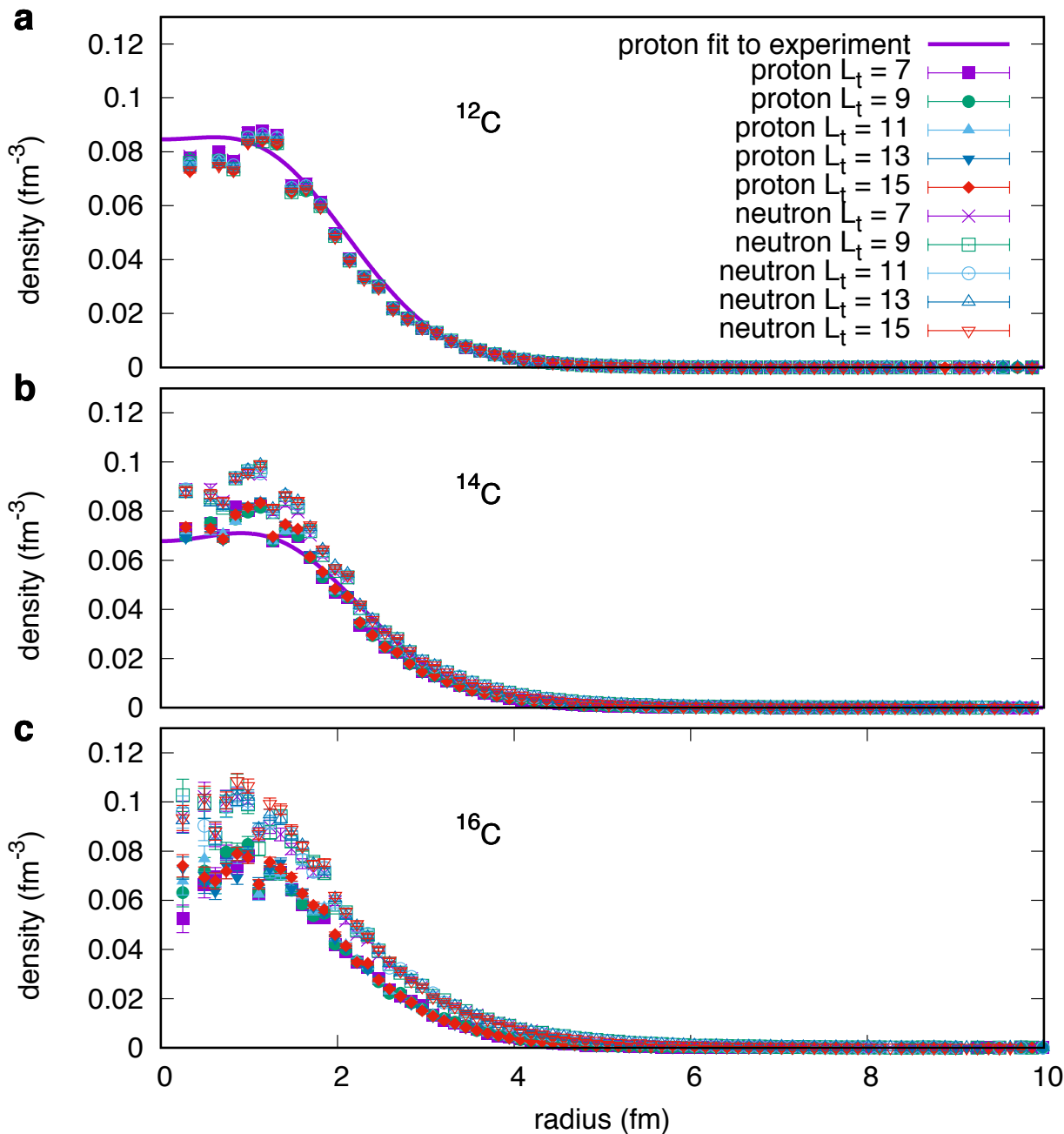
- Local densities on the lattice: $\rho(\mathbf{n})$, $\rho_p(\mathbf{n})$, $\rho_n(\mathbf{n})$
 - Probe of alpha clusters: $\rho_4 = \sum_{\mathbf{n}} : \rho^4(\mathbf{n})/4! :$
 - Another probe for $Z = N = \text{even nuclei}$: $\rho_3 = \sum_{\mathbf{n}} : \rho^3(\mathbf{n})/3! :$
 - ρ_4 couples to the center of the α -cluster while ρ_3 gets contributions from a wider portion of the alpha-particle wave function
 - Both ρ_3 and ρ_4 depend on the regulator, a , but not on the nucleus
 - The ratios $\rho_3/\rho_{3,\alpha}$ and $\rho_4/\rho_{4,\alpha}$ free of short-distance ambiguities and model-independent
 - $\rho_3/\rho_{3,\alpha}$ measures the effective number of alpha-cluster N_α
- \Rightarrow Any deviation from $N_\alpha = \text{integer}$ measures the entanglement of the α -clusters in a given nucleus

PROBING NUCLEAR CLUSTERING

- The transition from cluster-like states in light systems to nuclear liquid-like states in heavier systems should not be viewed as a simple suppression of multi-nucleon short-distance correlations, but rather as an increasing *entanglement* of the nucleons involved in the multi-nucleon correlations.



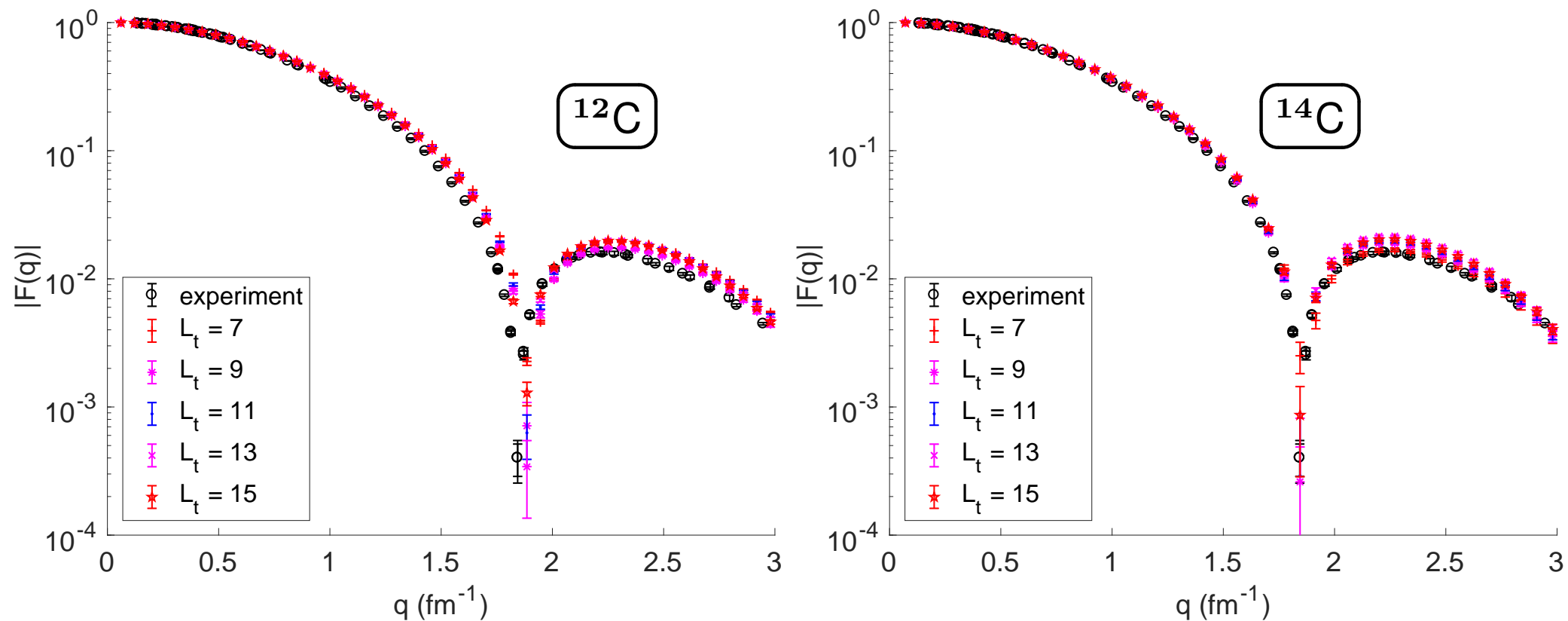
PROTON and NEUTRON DENSITIES in CARBON



- open symbols: neutron
- closed symbols: proton
- proton size accounted for
- asymptotic properties of the distributions from the volume dependence of N-body bound states
König, Lee, Phys. Lett. B779 (2018) 9
- consistent with data
- fit to data from
Kline et al., Nucl. Phys. A209 (1973) 381

FORM FACTORS

- Fit charge distributions by a Wood-Saxon shape
 - ↳ get the form factor from the Fourier-transform (FT)
 - ↳ uncertainties from a direct FT of the lattice data



⇒ detailed structure studies become possible

Fine-tunings and the multiverse

UGM, Sci. Bull. **60** (2015) no.1, 43-54

Epelbaum, Krebs, Lähde, Lee, UGM, Phys. Rev. Lett. **110** (2013) 112502

Epelbaum, Krebs, Lähde, Lee, UGM, Eur. Phys. J. **A 49** (2013) 82

The RELEVANT QUESTION

Date: Sat, 25 Dec 2010 20:03:42 -0600
 From: Steven Weinberg <weinberg@zippy.ph.utexas.edu>
 To: Ulf-G. Meissner <meissner@hiskp.uni-bonn.de>
 Subject: Re: Hoyle state in ^{12}C

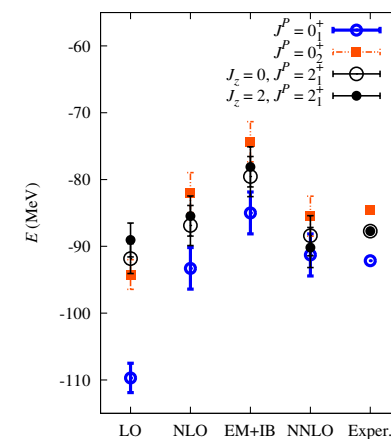
Dear Professor Meissner,

Thanks for the colorful graph. It makes a nice Christmas card. But I have a detailed question. Suppose you calculate not only the energy of the Hoyle state in ^{12}C , but also of the ground states of ^4He and ^8Be . How sensitive is the result that the energy of the Hoyle state is near the sum of the rest energies of ^4He and ^8Be to the parameters of the theory? I ask because I suspect that for a pretty broad range of parameters, the Hoyle state can be well represented as a nearly bound state of ^8Be and ^4He .

All best,

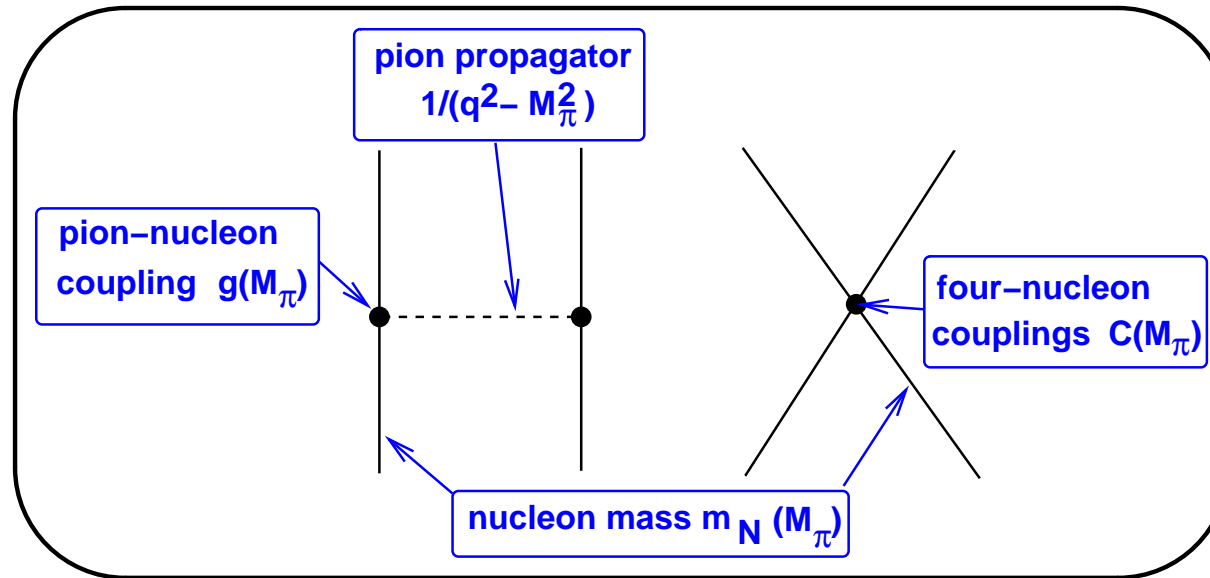
Steve Weinberg

- How does the Hoyle state move relative to the $^4\text{He}+^8\text{Be}$ threshold, if we change the fundamental parameters of QCD+QED?
- not possible in nature, *but on a high-performance computer!*



NUCLEAR FORCES for VARYING QUARK MASSES

- Nuclear forces: Pion-exchange contributions & short-distance multi-N operators
- graphical representation of the quark mass dependence of the LO potential



- always use the Gell-Mann–Oakes–Renner relation: $M_{\pi^{\pm}}^2 \sim (m_u + m_d)$

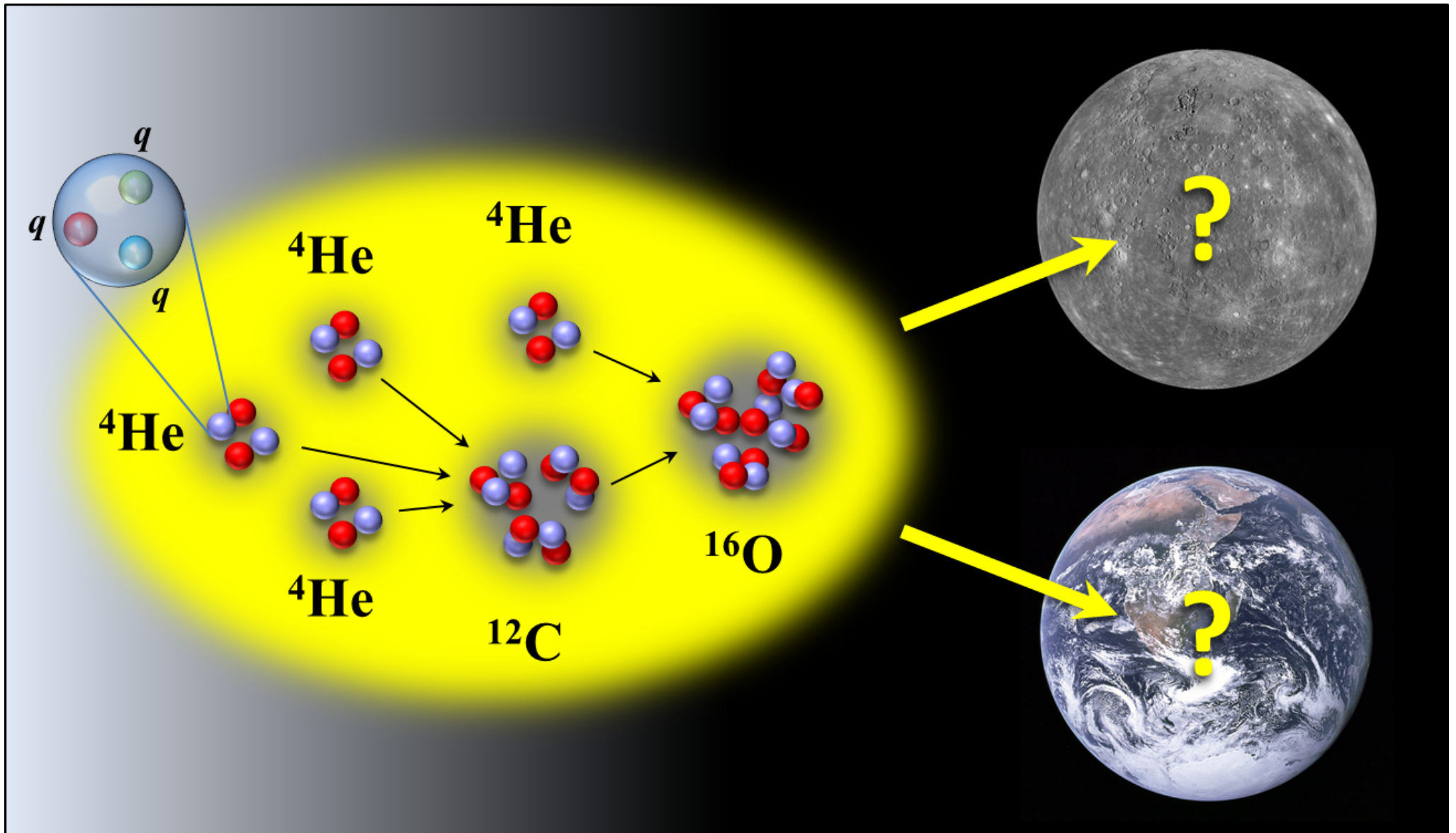
- fulfilled in QCD to better than 94%

Colangelo, Gasser, Leutwyler 2001

⇒ Quark mass dependence of hadron properties from lattice QCD,
contact interaction require modeling → challenge to lattice QCD

FINE-TUNING of FUNDAMENTAL PARAMETERS

Fig. courtesy Dean Lee



EARLIER STUDIES of the ANTHROPIC PRINCIPLE

- rate of the 3α -process: $r_{3\alpha} \sim \Gamma_\gamma \exp\left(-\frac{\Delta E_{h+b}}{kT}\right)$

$$\Delta E_{h+b} = E_{12}^* - 3E_\alpha = 379.47(18) \text{ keV}$$

- how much can ΔE_{h+b} be changed so that there is still enough ^{12}C and ^{16}O ?

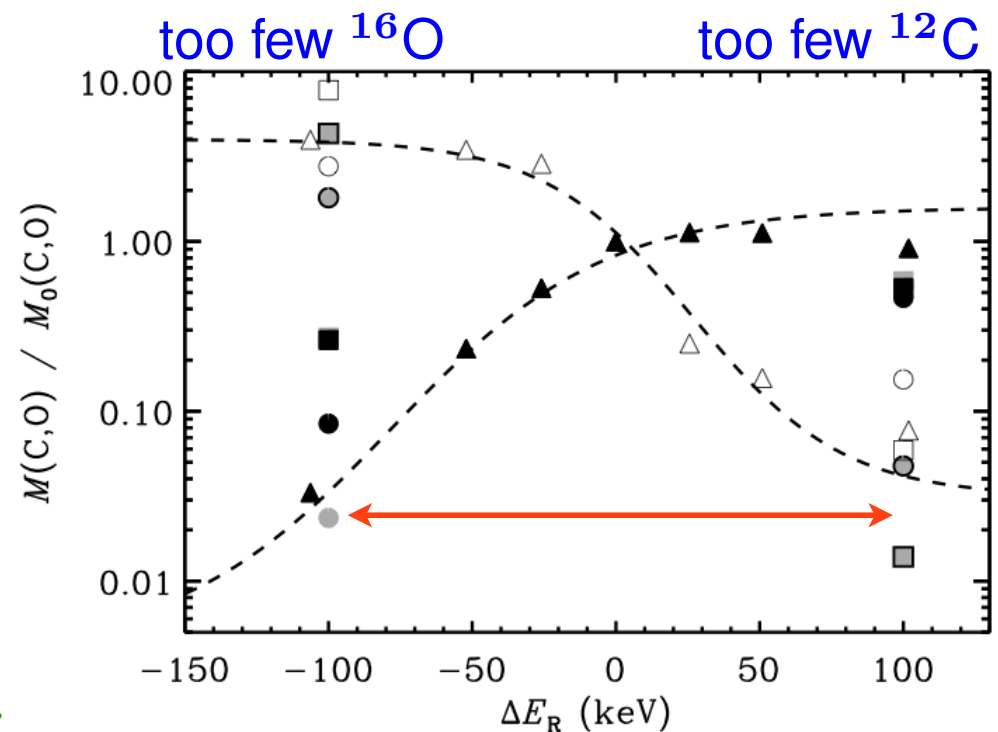
$$\Rightarrow \delta|\Delta E_{h+b}| \lesssim 100 \text{ keV}$$

Oberhummer et al., Science **289** (2000) 88

Csoto et al., Nucl. Phys. A **688** (2001) 560

Schlattl et al., Astrophys. Space Sci. **291** (2004) 27

[Livio et al., Nature **340** (1989) 281]



Epelbaum, Krebs, Lähde, Lee, UGM, PRL **110** (2013) 112502

- consider first QCD only \rightarrow calculate $\partial\Delta E/\partial M_\pi$
- relevant quantities (energy differences)

$${}^4\text{He} + {}^4\text{He} \leftrightarrow {}^8\text{Be} \rightsquigarrow \boxed{\Delta E_b \equiv E_8 - 2E_4}$$

$${}^4\text{He} + {}^8\text{Be} \rightarrow {}^{12}\text{C}^* \rightsquigarrow \boxed{\Delta E_h \equiv E_{12}^* - E_8 - E_4}$$

- energy differences depend on parameters of QCD (LO analysis)

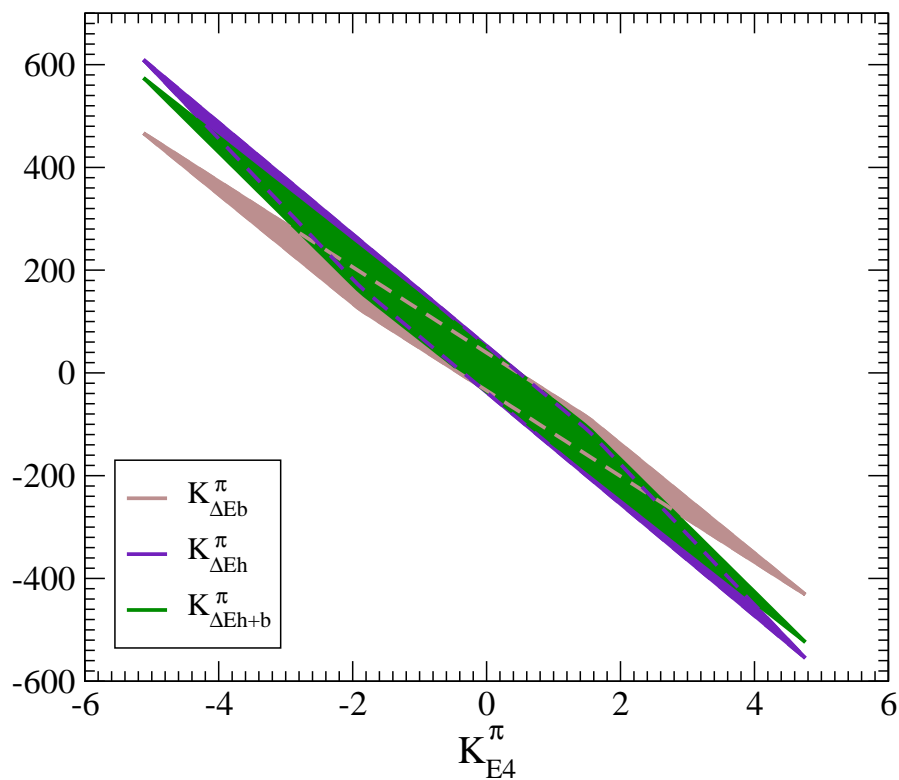
$$\boxed{E_i = E_i \left(M_\pi^{\text{OPE}}, m_N(M_\pi), g_{\pi N}(M_\pi), C_0(M_\pi), C_I(M_\pi) \right)}$$

$$g_{\pi N} \equiv g_A / (2F_\pi)$$

- QED in the same manner \rightarrow calculate $\partial\Delta E/\partial\alpha_{\text{EM}}$

CORRELATIONS

- map $C_{0,I}(M_\pi)$ onto $\bar{A}_{s,t} \equiv \partial a_{s,t}^{-1} / \partial M_\pi |_{M_\pi^{\text{phys}}}$ [singlet/triplet scatt. length]
- vary the derivatives $\bar{A}_{s,t} \equiv \partial a_{s,t}^{-1} / \partial M_\pi |_{M_\pi^{\text{phys}}}$ within $-1, \dots, +1$:



$$\Delta E_b = E(^8\text{Be}) - 2E(^4\text{He})$$

$$\Delta E_h = E(^{12}\text{C}^*) - E(^8\text{Be}) - E(^4\text{He})$$

$$\Delta E_{h+b} = E(^{12}\text{C}^*) - 3E(^4\text{He})$$

$$\frac{\partial O_H}{\partial M_\pi} = K_H^\pi \frac{O_H}{M_\pi}$$

- all fine-tunings in the triple-alpha process are *correlated*, as speculated

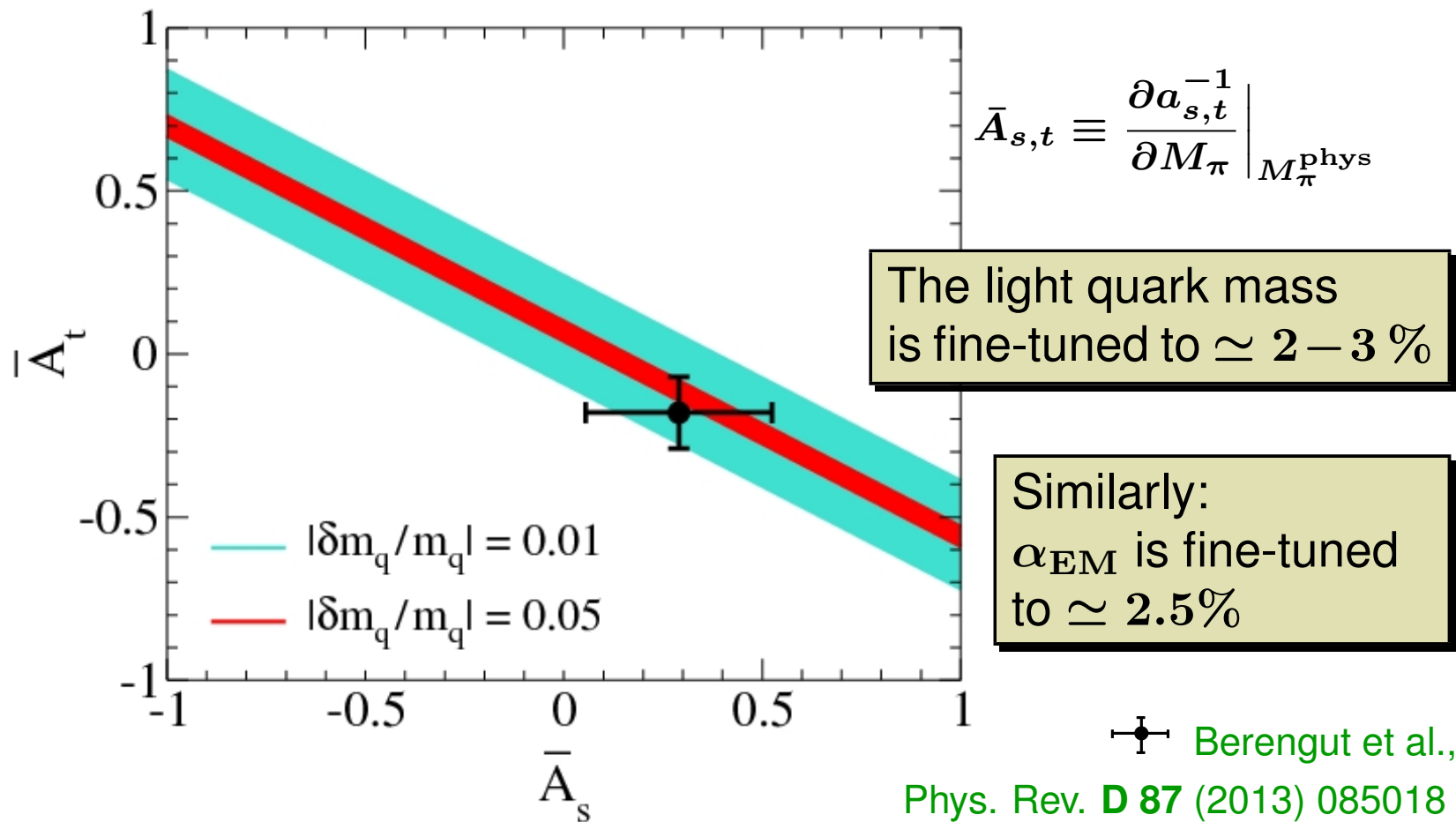
Weinberg (2000)

THE END-OF-THE-WORLD PLOT

- $|\delta(\Delta E_{h+b})| < 100$ keV [exp: 387 keV]

Oberhummer et al., Science (2000)

$$\rightarrow \left| \left(0.571(14)\bar{A}_s + 0.934(11)\bar{A}_t - 0.069(6) \right) \frac{\delta m_q}{m_q} \right| < 0.0015$$



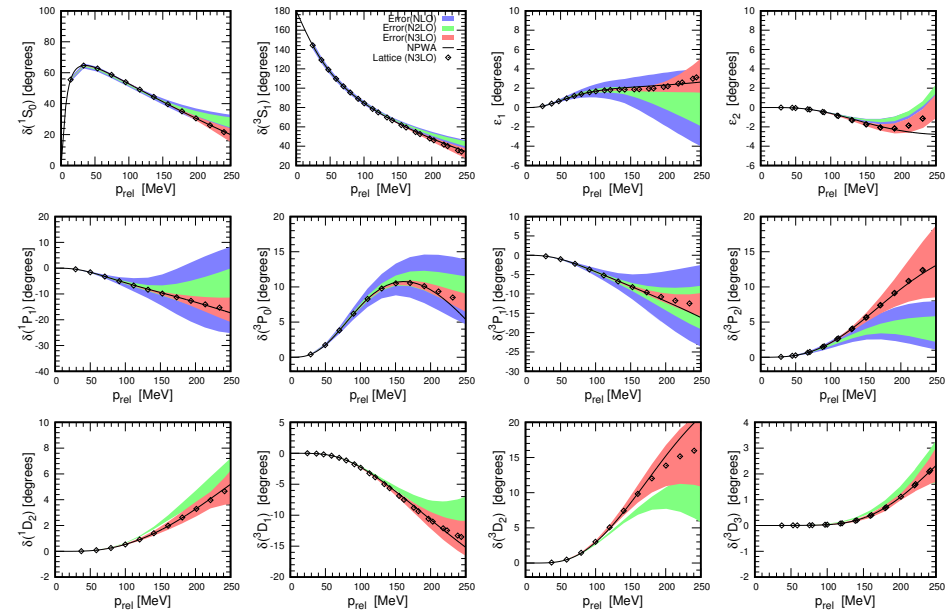
SUMMARY & OUTLOOK

- Chiral EFT for nuclear forces
 - precise framework for 2N and 3N forces with small uncertainties
 - can also be formulated at varying strong and em forces
- Nuclear lattice simulations: a new quantum many-body approach
 - based on the successful continuum nuclear chiral EFT
 - a number of intriguing results already obtained
 - clustering emerges naturally, α -cluster nuclei
 - fine-tuning in nuclear reactions can be studied
 - N3LO precision upcoming → next slide
 - essential elements of nuclear binding → next-to-next slide
- Various bridges to lattice QCD studies need to be explored
- Many open issues can now be addressed in a truly quantitative manner
 - the “holy grail” of nuclear astrophysics ${}^4\text{He} + {}^{12}\text{C} \rightarrow {}^{16}\text{O} + \gamma$ Fowler (1983)

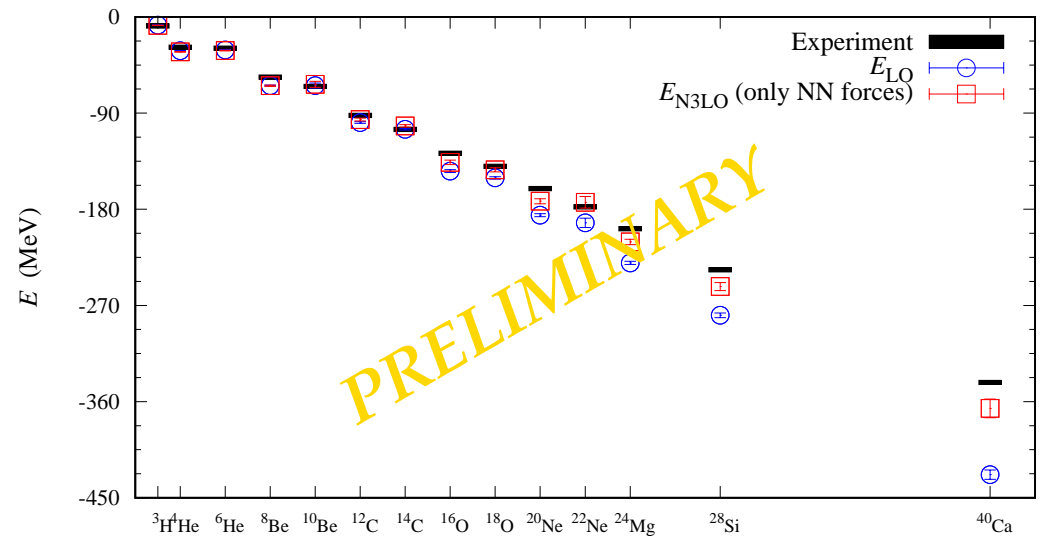
N3LO CALCULATIONS

- np scattering @ N3LO including uncertainties

Li, Elhatisari, Epelbaum, Lee, Lu, UGM
 Phys. Rev. C **98** (2018) 044002



- light nuclei @ N3LO so far only two-nucleon forces
 - overbinding w/ increasing A
 - 3NFs presently build in
- Elhatisari, Epelbaum, Lee, Lu, UGM, ...
in preparation



- Highly SU(4) symmetric LO action without pions, only **four** parameters

$$H_{\text{SU}(4)} = H_{\text{free}} + \frac{1}{2!} C_2 \sum_n \tilde{\rho}(n)^2 + \frac{1}{3!} C_3 \sum_n \tilde{\rho}(n)^3$$

$$\tilde{\rho}(n) = \sum_i \tilde{a}_i^\dagger(n) \tilde{a}_i(n) + s_L \sum_{|n'-n|=1} \sum_i \tilde{a}_i^\dagger(n') \tilde{a}_i(n')$$

$$\tilde{a}_i(n) = a_i(n) + s_{NL} \sum_{|n'-n|=1} a_i(n')$$

s_L controls the locality of the interactions, s_{NL} the non-locality of the smearing

→ describes binding energies, radii, charge densities and the EoS of neutron matter

