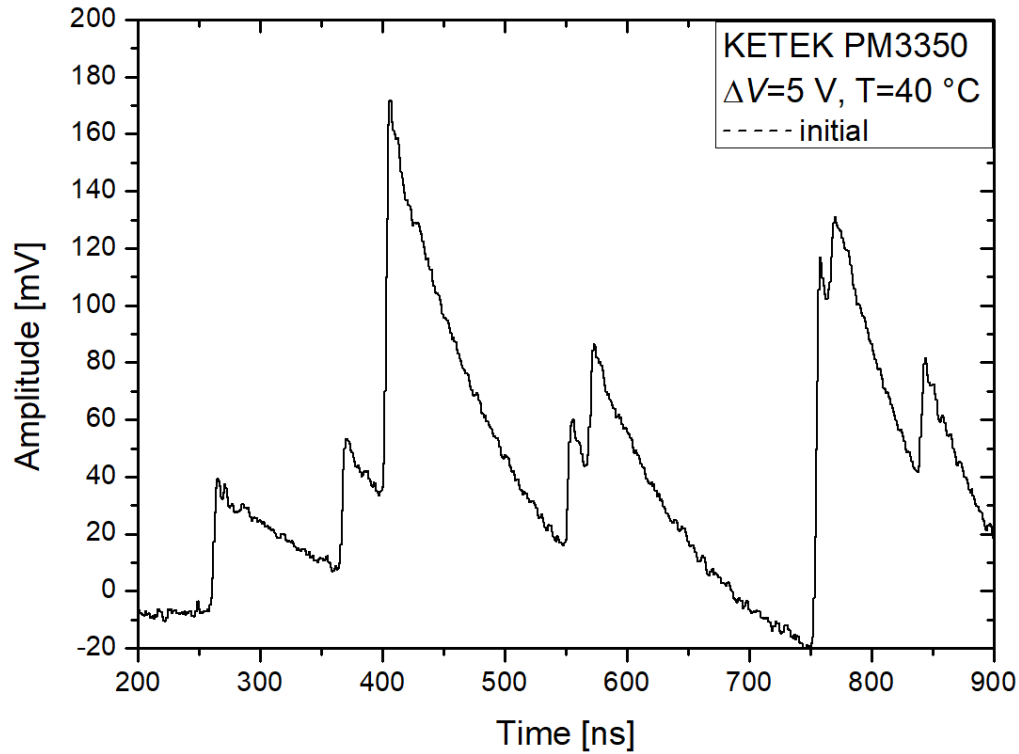


SiPM Noise Measurement with Waveform Analysis

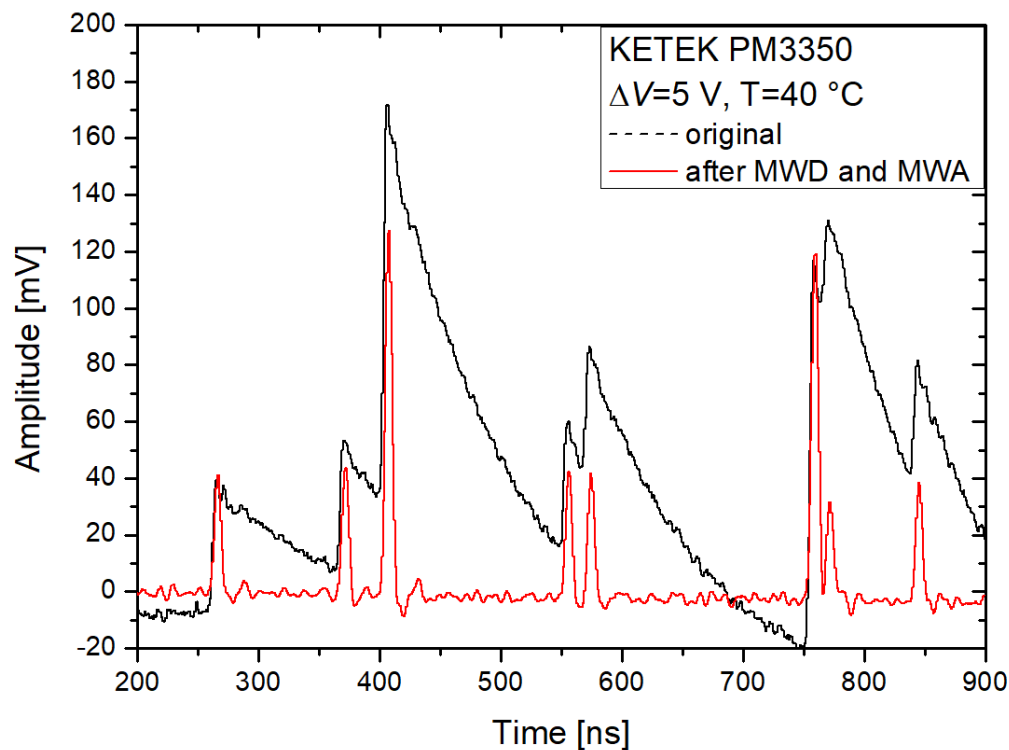
E. Engelmann on behalf of the ICASIPM nuisance parameters group

- I. Introduction of technique for waveform analysis
- II. Methods for extraction of nuisance parameters
 - i. Optical crosstalk
 - ii. Dark count rate (comparison of two methods)
 - iii. Correlated noise (afterpulsing and delayed crosstalk)
- III. Application of presented methods to simulated SiPM pulses
- IV. Discussion

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- pile-ups due to high DCR (e.g. at high T)
- difficult to analyze single pulses
- LE-threshold not applicable



- pile-ups due to high DCR (e.g. at high T)
 - difficult to analyze single pulses
 - LE-threshold not applicable
- ↓
- two simple filters help to solve the problem
 - pulses are shifted in time
 - absolute amplitudes are reduced
 - first k samples of WF are lost (typ. k=12)

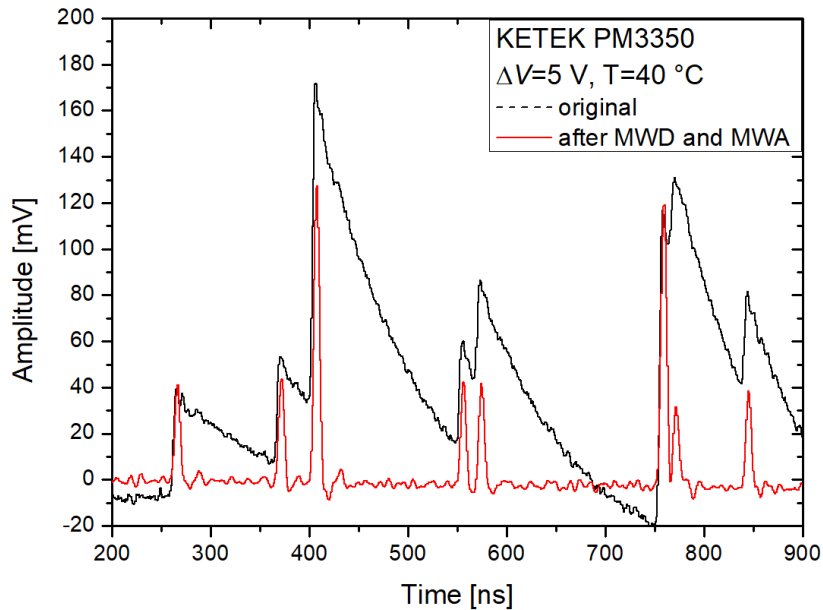
Moving Window
Difference

$$F_{MWD}^k(A_n) = A_n - A_{n-k}$$

(J. Stein et al., doi: 10.1016/0168-583X(95)01417-9)

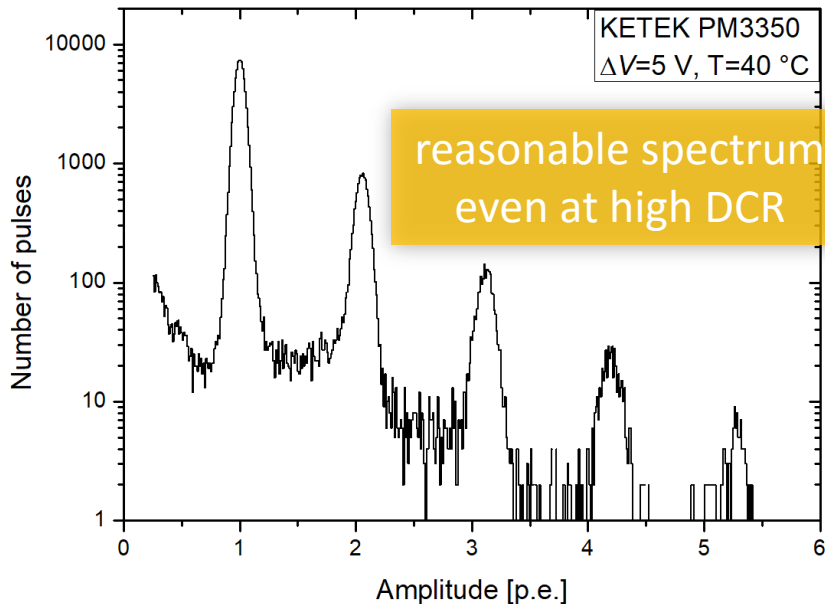
Moving Window
Average

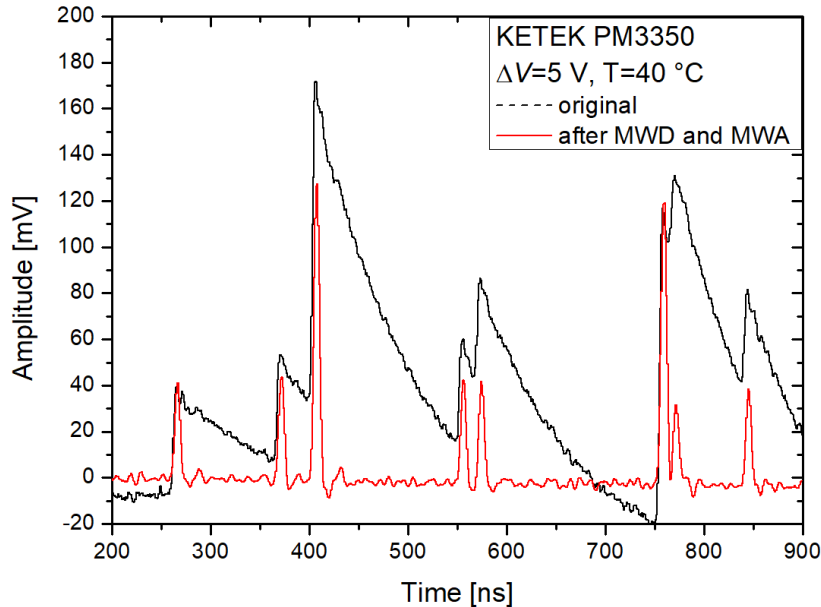
$$F_{MWA}^m(A_n) = \frac{1}{m} \sum_{i=0}^{m-1} A_{n-i}$$



accessible information:

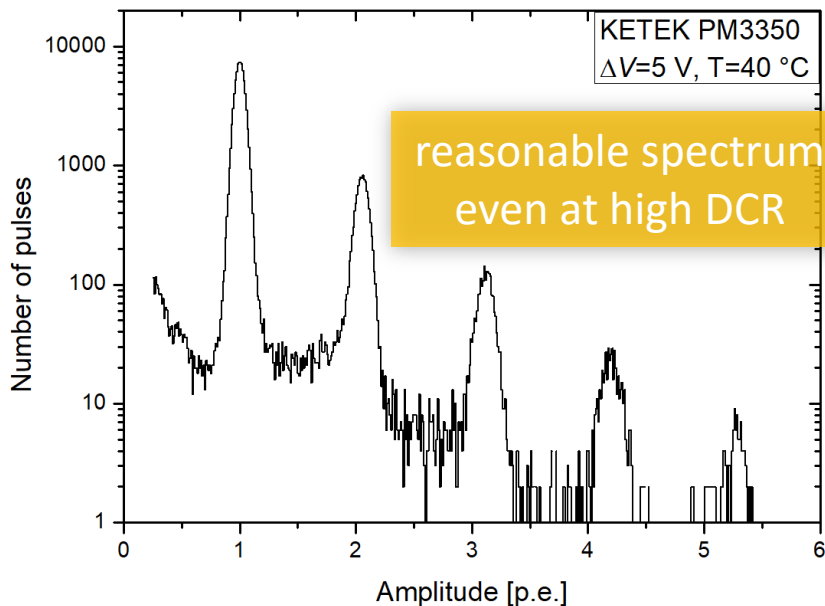
- number of pulses in WF
- arrival time
- amplitudes (prop. to gain)
- integral (prop. to gain)





accessible information:

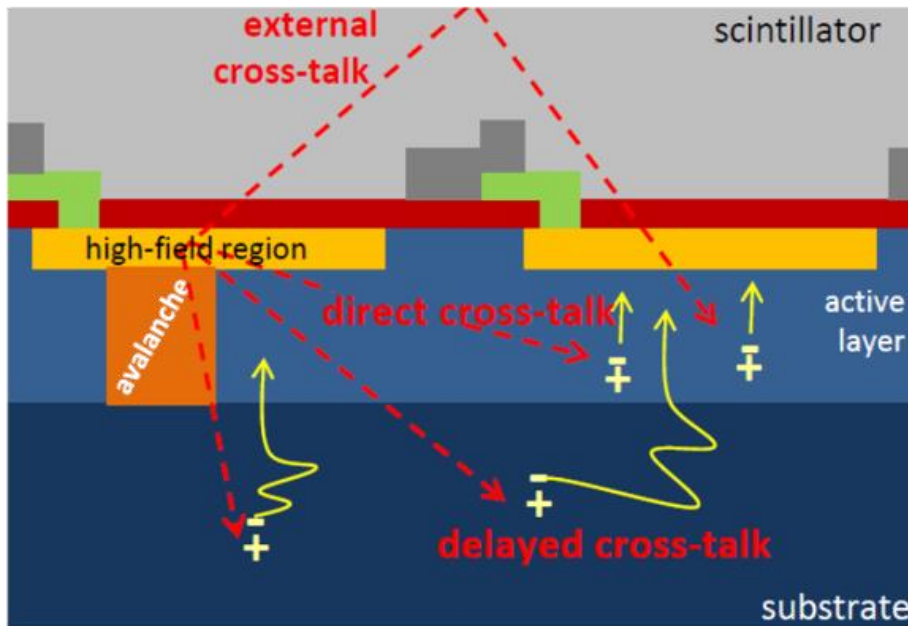
- number of pulses in WF
- arrival time
- amplitudes (prop. to gain)
- integral (prop. to gain)



accessible SiPM parameters:

- dark count rate
- optical crosstalk prob.
- afterpulsing + delayed crosstalk
- breakdown voltage
via ampl. or integral

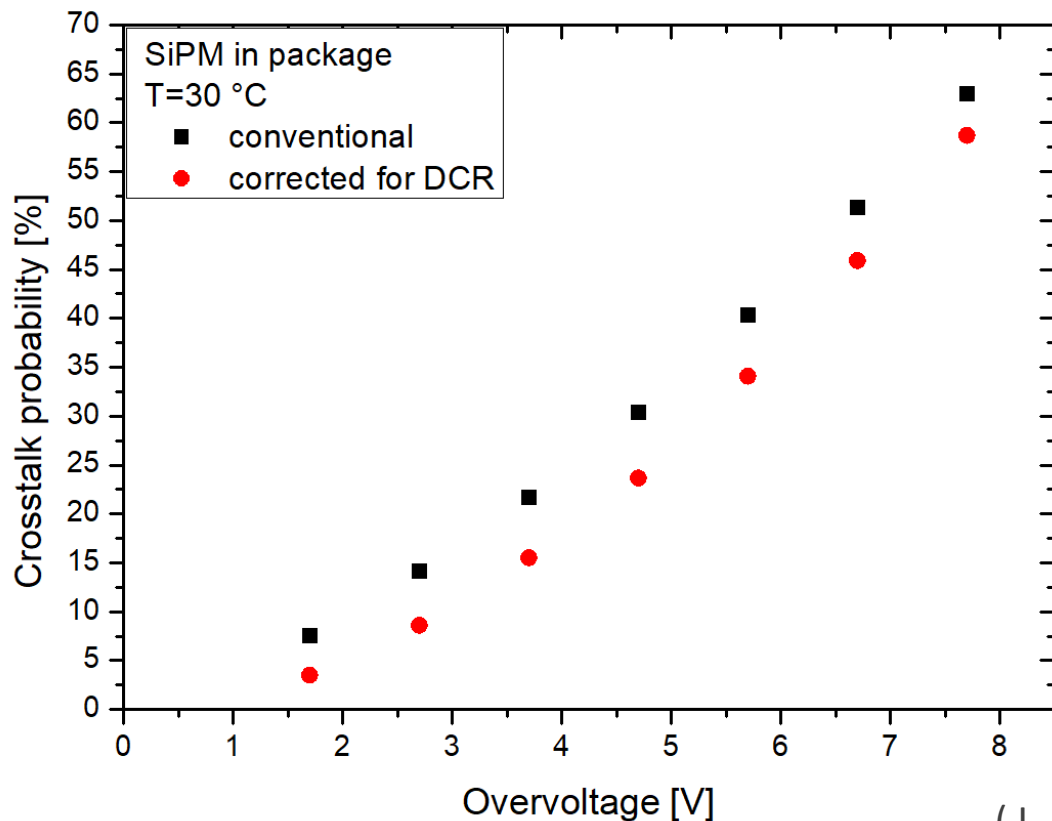
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Fabio Acerbi, PhotoDet 2015

- propagation of photons by several paths
 - prompt opt. crosstalk (CT)
 - delayed opt. crosstalk (DCT)
- “delayed self-crosstalk” is also possible
- CT is significantly affected by:
 - package/coupled scintillator
 - substrate material and thickness
 - gain (overvoltage)
 - cell geometry
 - Geiger discharge prob. (overvoltage)

Optical crosstalk probability

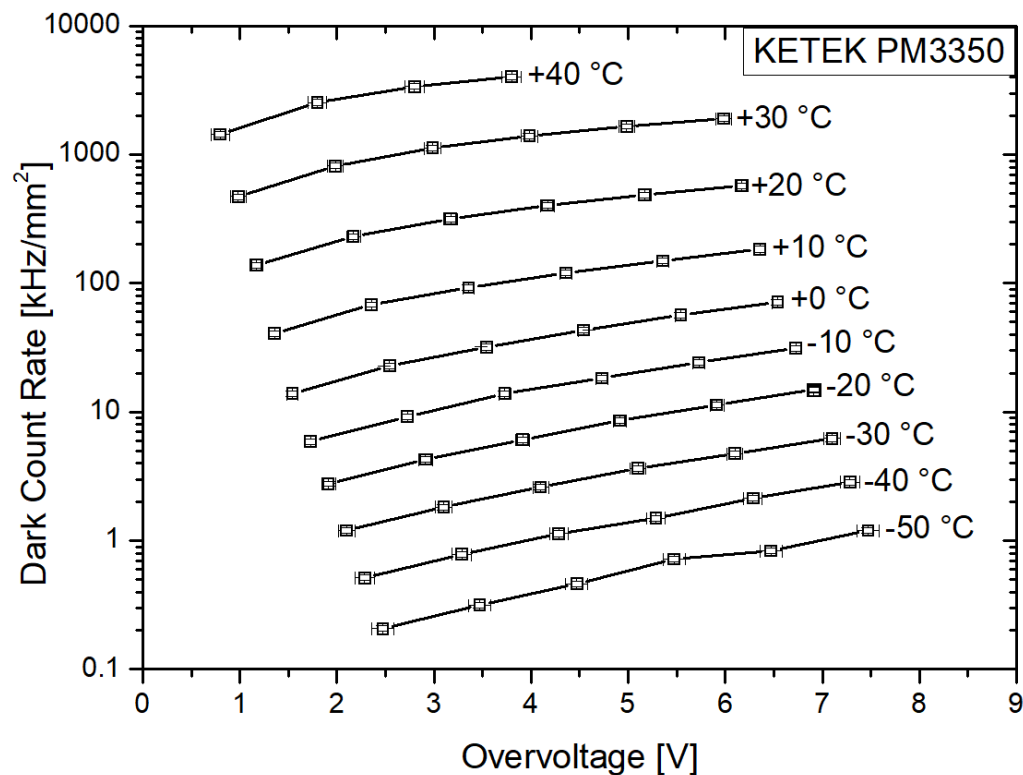


(L. Futlik et al., doi: 10.3103/S1068335611100058)

$$P_{CT} = 1 - \left[1 - \frac{DCR_{1.5}}{DCR_{0.5}} \right] \cdot \exp (DCR_{0.5} \cdot \Delta t_{crit})$$

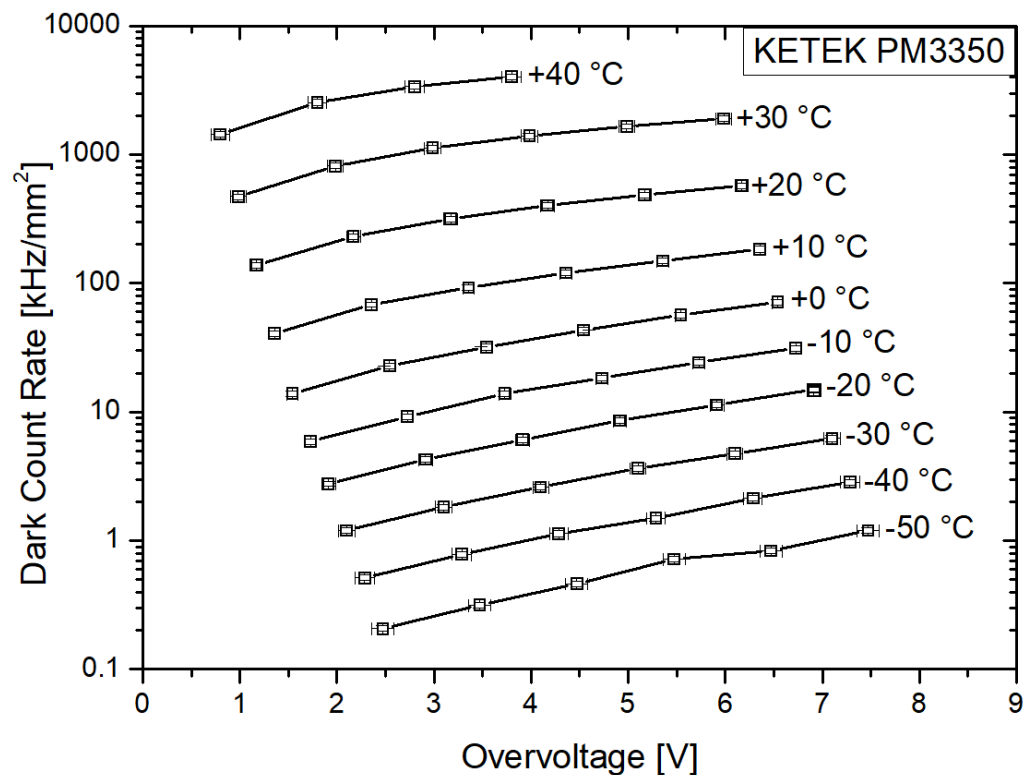
conventional
term

correction for coinciding
dark pulses



Procedure:

- acquisition of randomly triggered WFs
- set LE-threshold at 0.5 p.e.
(is this really the best choice?)
- DCR determined by avg. number of pulses per WF, divided by length of WF

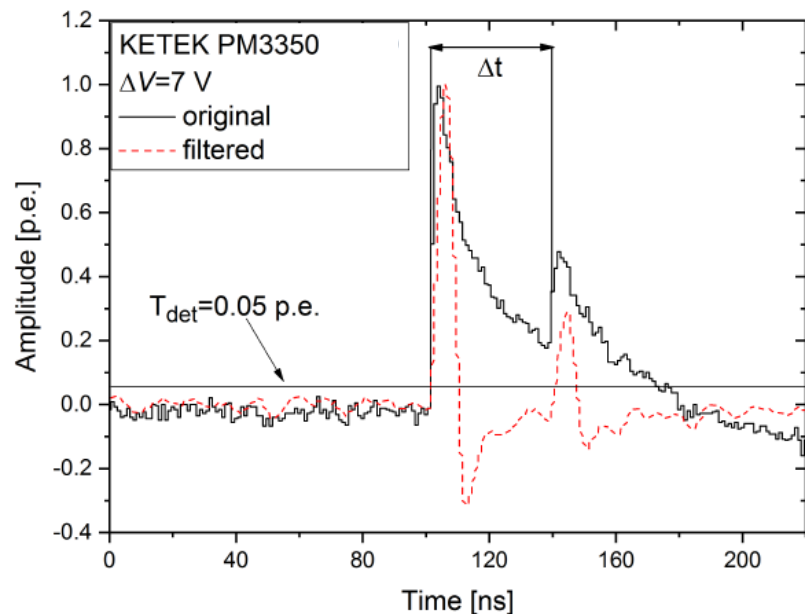


Procedure:

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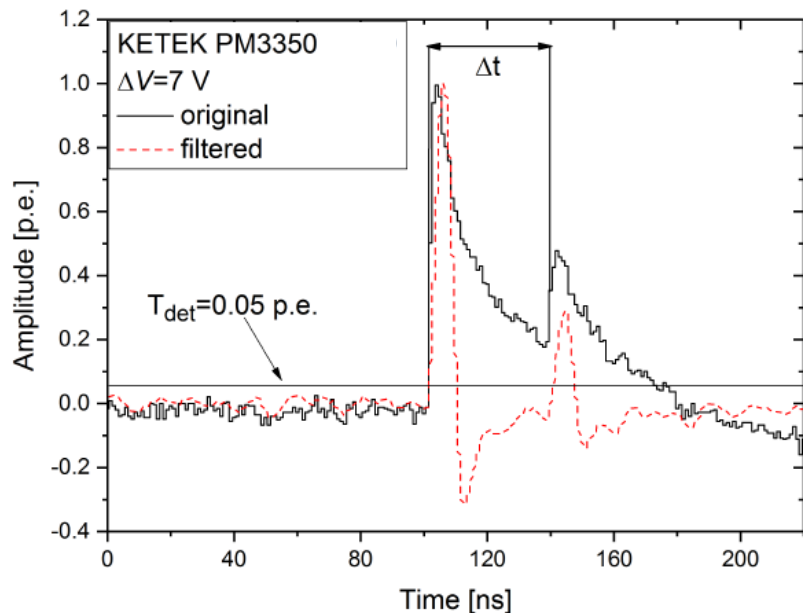
Limitations:

- acq. time at low DCR
- speed of electronics at high DCR
- underestimation of DCR due to overlapping
- overestimation of DCR due to late afterpulses and DCT-pulses



Procedure:

- triggered acquisition of waveforms
- selection of valid WF
 - contains dark pulse with 1 p.e. ampl.
 - no preceding pulses within certain timegate
- determination of Δt between pulses
- build compl. cumulative distr. function P_{tot}^*
(S. Vinogradov, doi:10.1109/NSSMIC.2016.8069965)



Procedure:

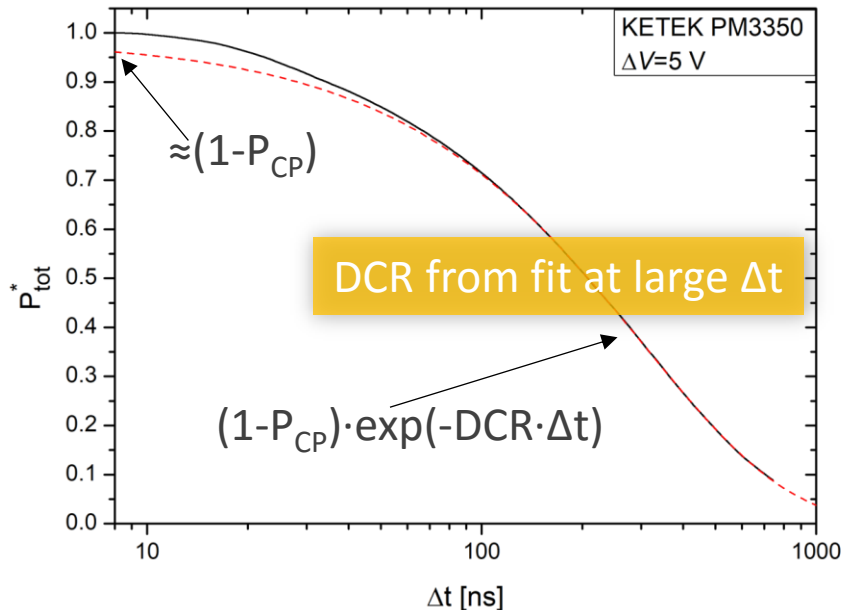
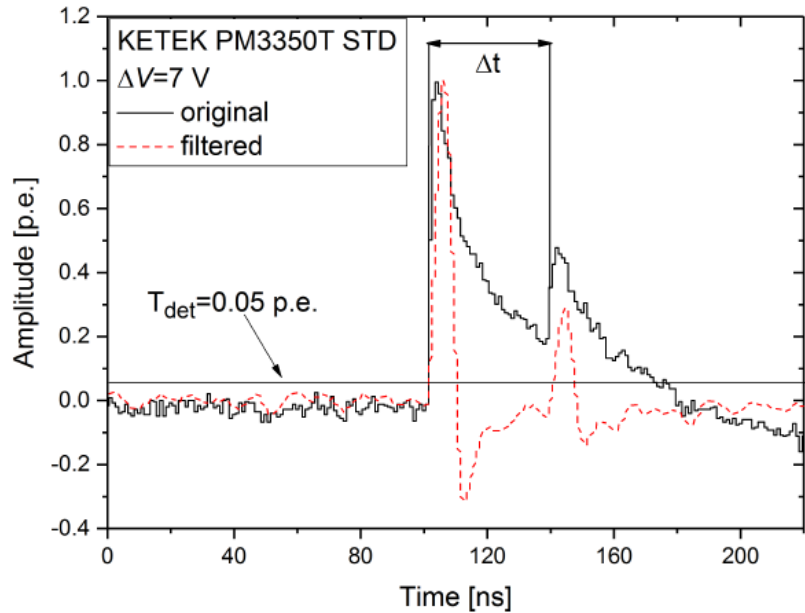
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$$P_{tot}^*(\Delta t) = \exp(-DCR \cdot \Delta t) \cdot P_{corr}^*(\Delta t)$$

(prob. that no event occurs at a delaytime $< \Delta t$)

$$\lim_{\Delta t \rightarrow \infty} P_{corr}^*(\Delta t) = 1 - P_{CP}$$

Probability of correlated pulses (P_{CP})



Procedure:

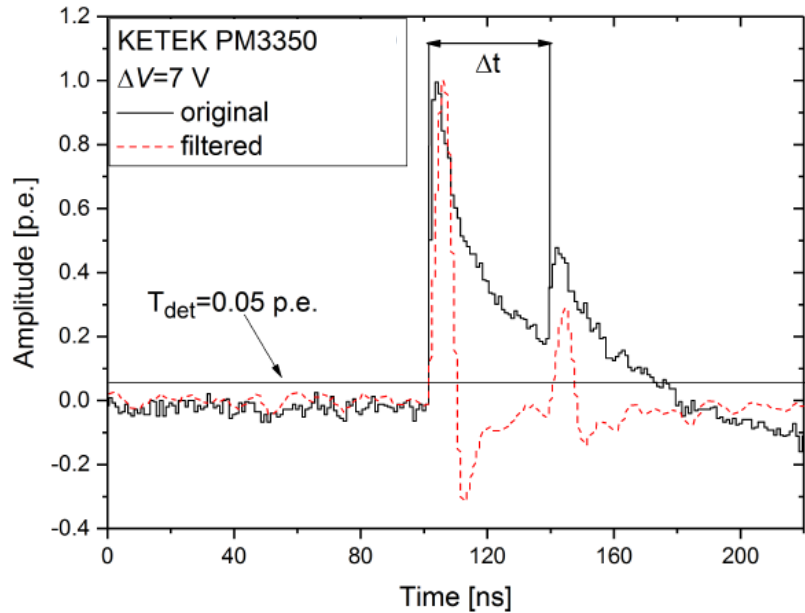
- triggered acquisition of waveforms
- selection of valid WF
 - contains dark pulse with 1 p.e. ampl.
 - no preceding pulses within certain timegate
- determination of Δt between pulses
- build compl. cumulative distr. function P_{tot}^* (S. Vinogradov, doi:10.1109/NSSMIC.2016.8069965)
- fit DCR as slowest component of P_{tot}^*

$$P_{tot}^*(\Delta t) = \exp(-DCR \cdot \Delta t) \cdot P_{corr}^*(\Delta t)$$

(prob. that no event occurs at a delaytime $< \Delta t$)

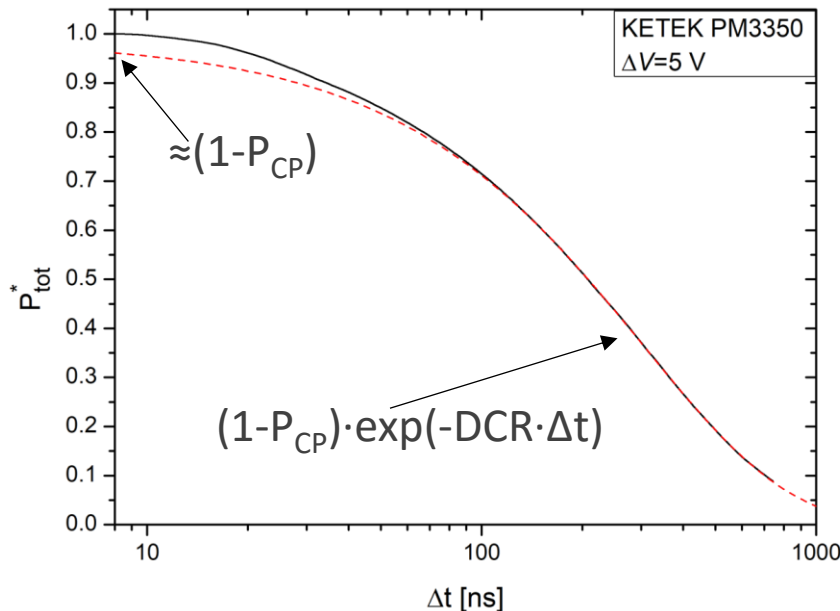
$$\lim_{\Delta t \rightarrow \infty} P_{corr}^*(\Delta t) = 1 - P_{CP}$$

Probability of correlated pulses (P_{CP})

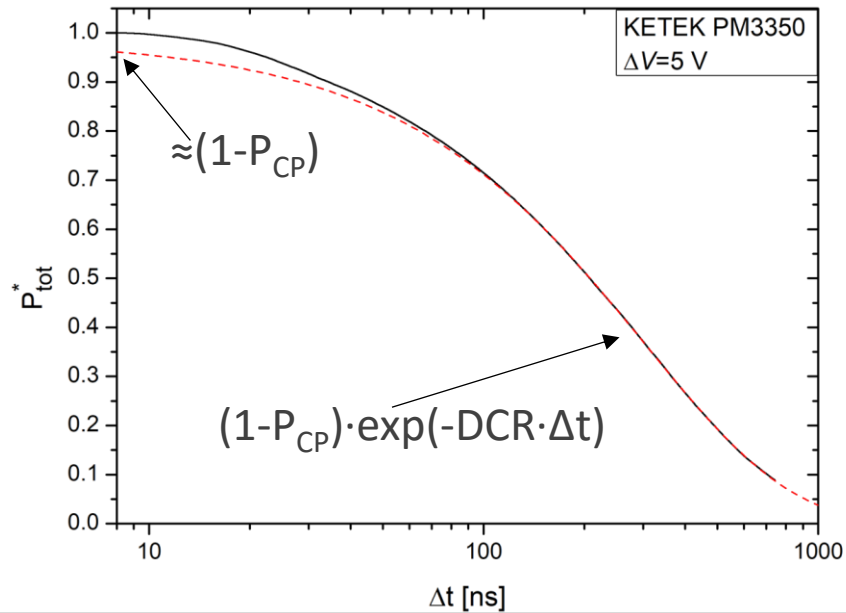
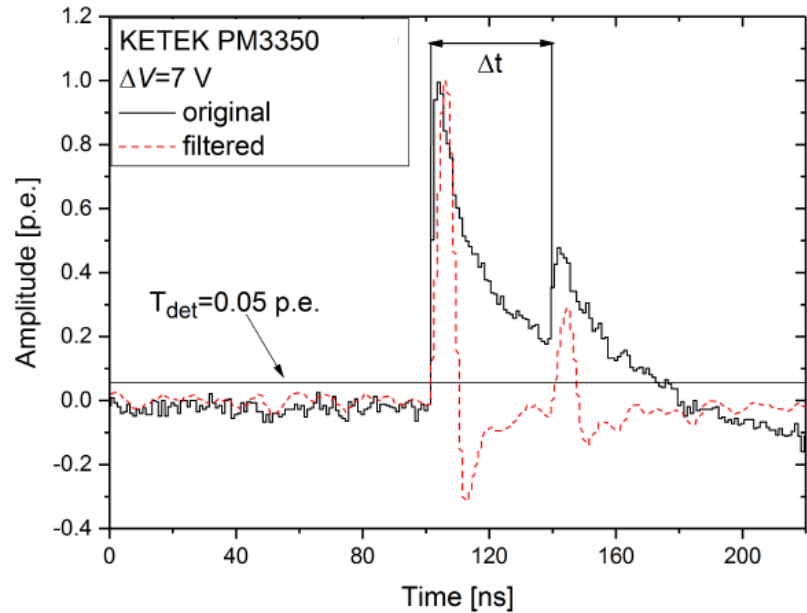


Advantages:

- acq. of one data-set is enough to measure DCR, CT, corr. noise and V_{BD}
- no need to decide for DCR threshold
- min. threshold determined by electronic noise
- full information about P_{corr} without making assumptions



Probability of correlated pulses (P_{CP})

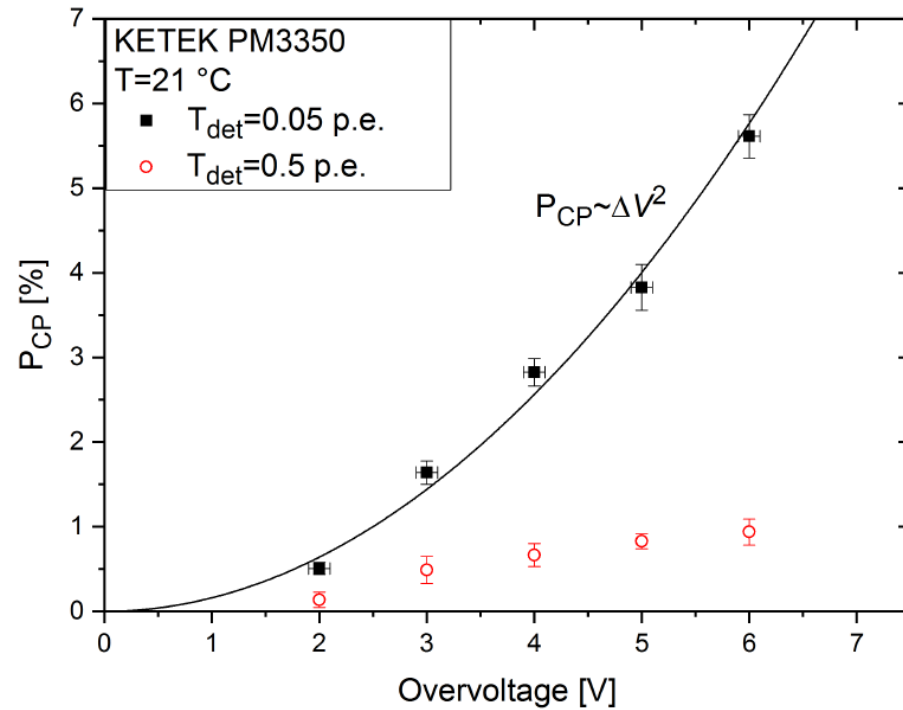
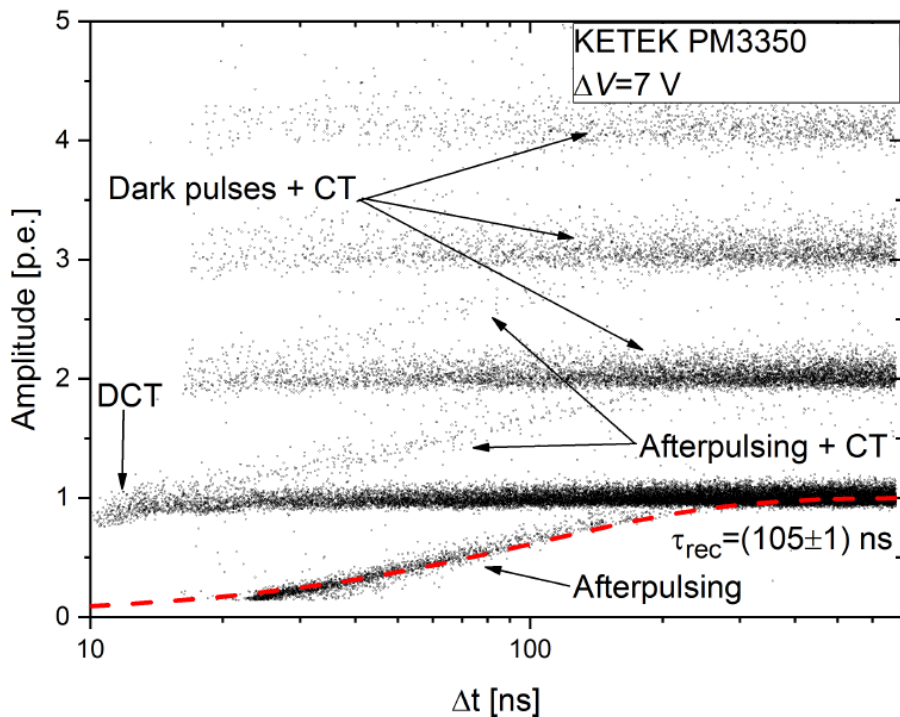


Advantages:

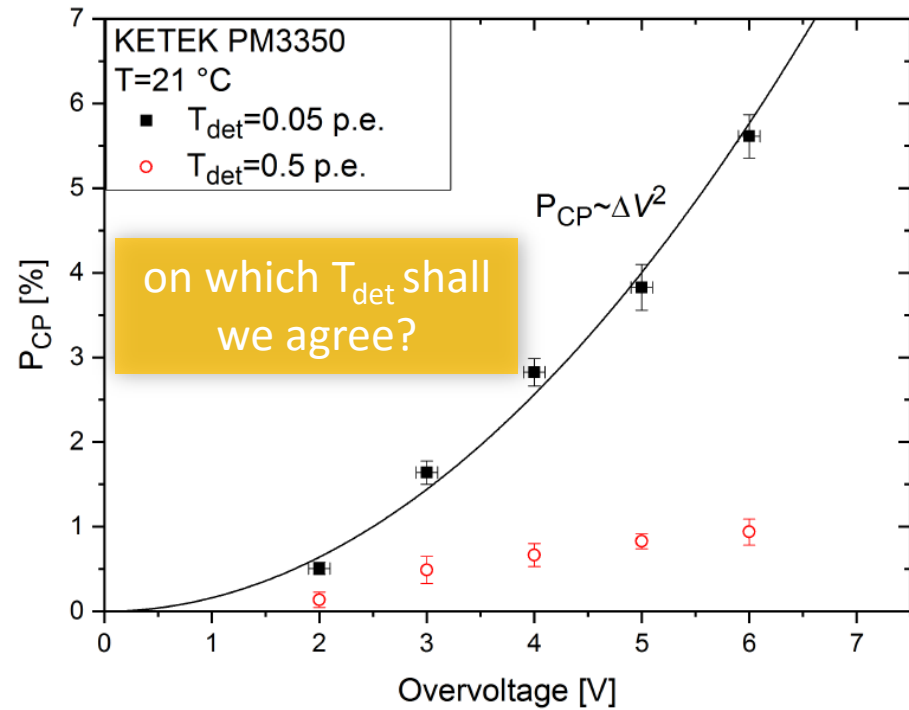
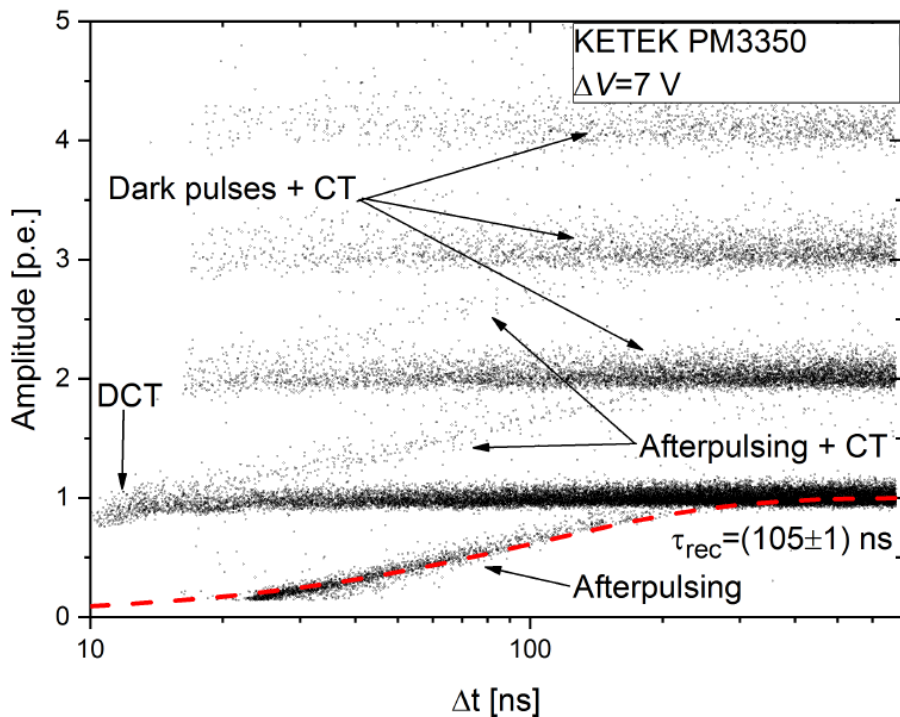
- acq. of one data-set is enough to measure DCR, CT, corr. noise and V_{BD}
- no need to decide for DCR threshold
- min. threshold determined by electronic noise
- full information about P_{corr} without making assumptions

Limitations:

- afterpulsing and delayed crosstalk are not distinguished
- fast afterpulses are lost due to small ampl.
- length of WF must be scaled with DCR

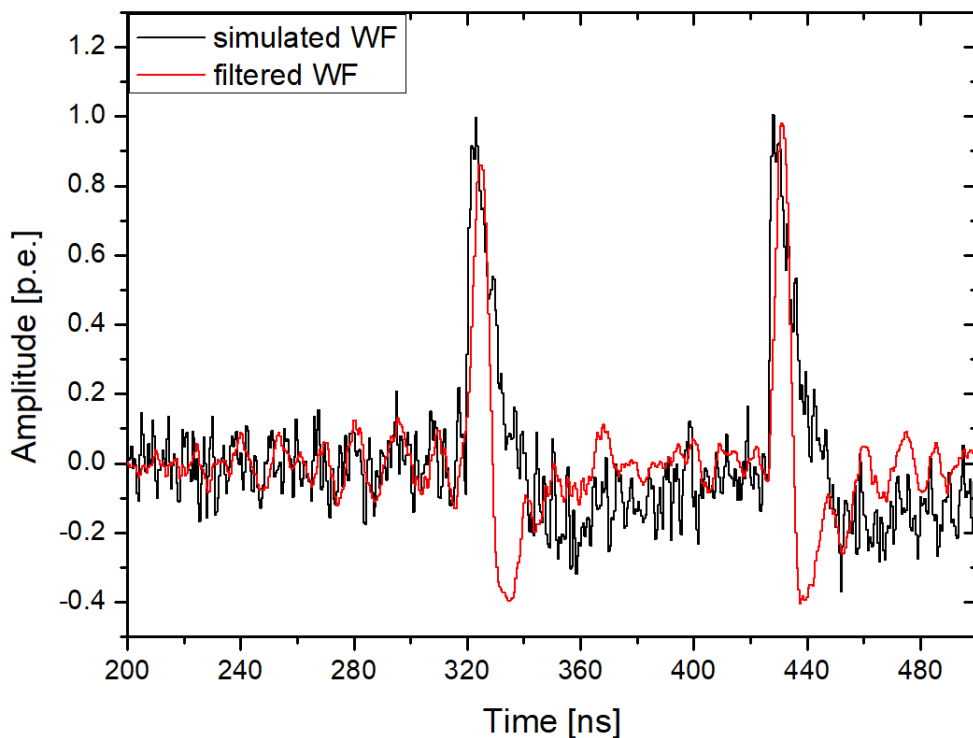


- P_{CP} strongly depends on chosen threshold (T_{det})
- standardization required for datasheets of producers
- evaluation of afterpulses according to their amplitude?



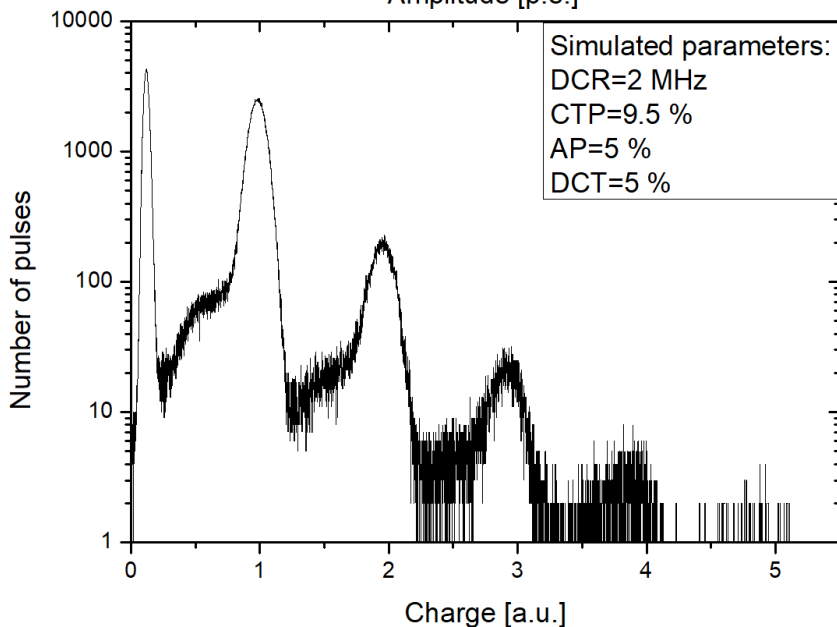
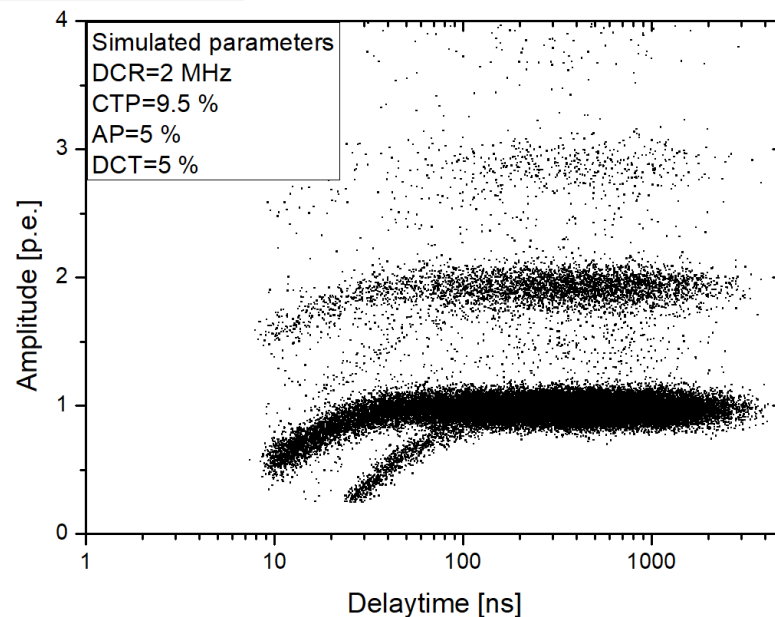
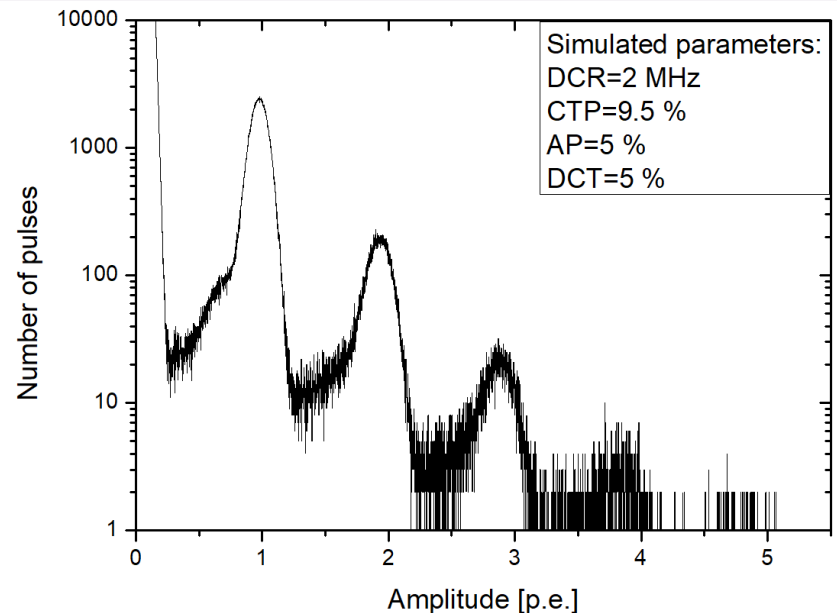
- P_{CP} strongly depends on chosen threshold (T_{det})
- standardization required for datasheets of producers
- evaluation of afterpulses according to Δt and recovery time?

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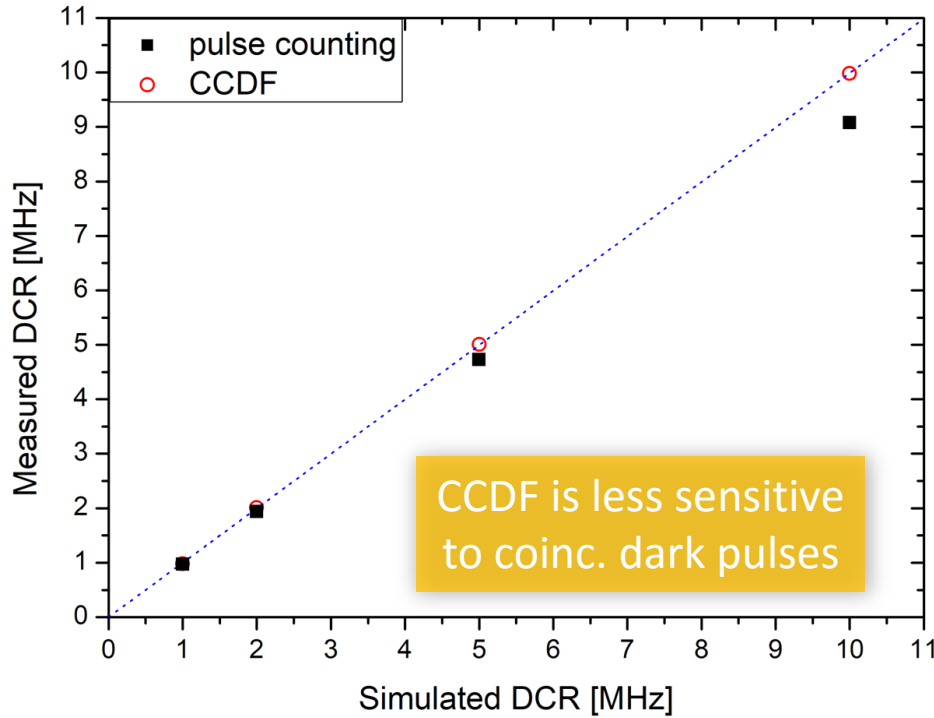
Parameter	Value
SiPM size [μ -cells]	100 x 100
Recovery time [ns]	50
CT range [μ -cells]	1
CT delaytime [ns]	0.5
DCT range [μ -cells]	3
DCT delaytime [ns]	10
AP delaytime [ns]	50

- waveform analysis is applied to simulated SiPM output
- simulation software is provided by Johannes Breuer (for more information visit his talk Wed. at 17:00)
- nuisance parameters are turned on successively
- 50k waveforms with a length of 5 μ s are analyzed



- the pulse-amplitudes are used for the analysis
- pulse counting and CCDF method are compared
- LE-threshold set at 0.5 p.e. for pulse counting method
- LE-threshold set to 0.25 p.e. for CCDF method

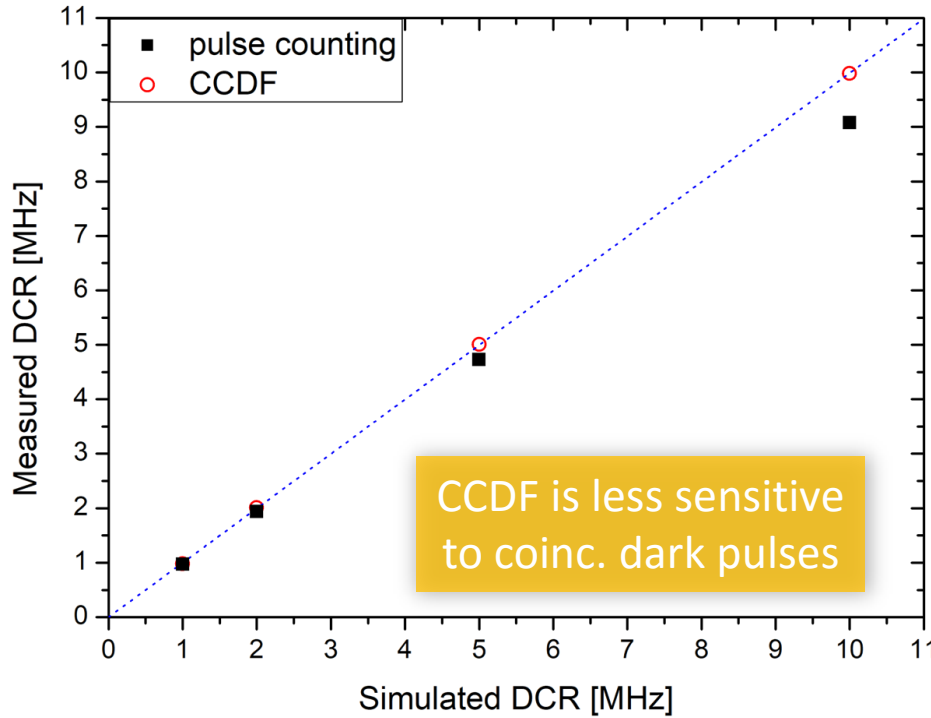
Variation of DCR



Parameter	Value
DCR [MHz]	variable
P_{CT} [%]	0
P_{AP} [%]	0
P_{DCT} [%]	0

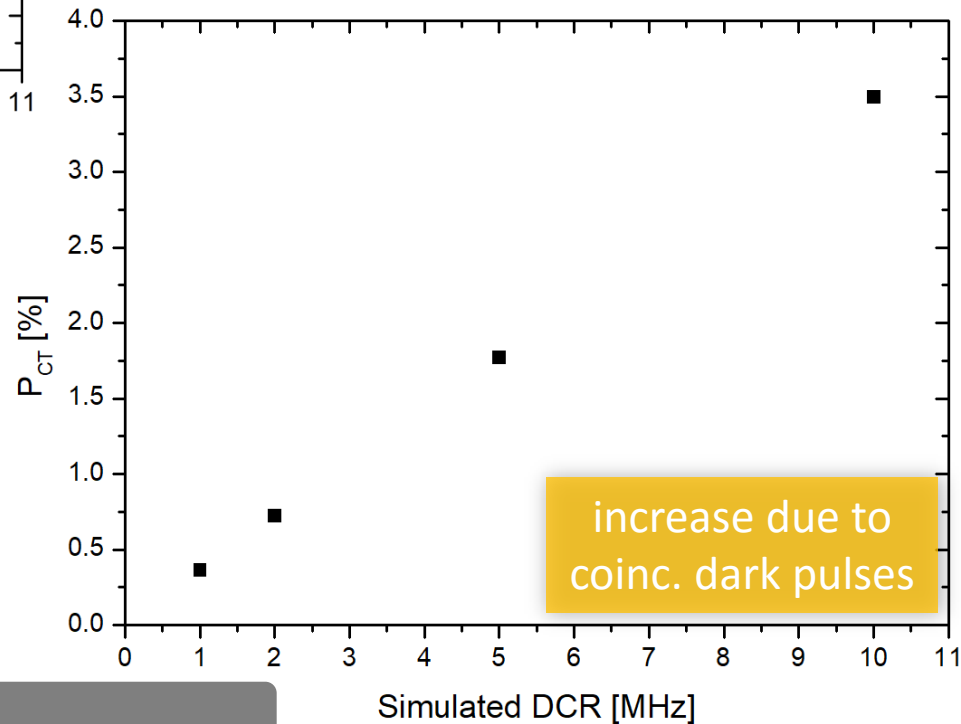
- comparable results of both methods at lower DCR
- underestimation at high DCR by pulse counting
- reason: coincidental dark pulses

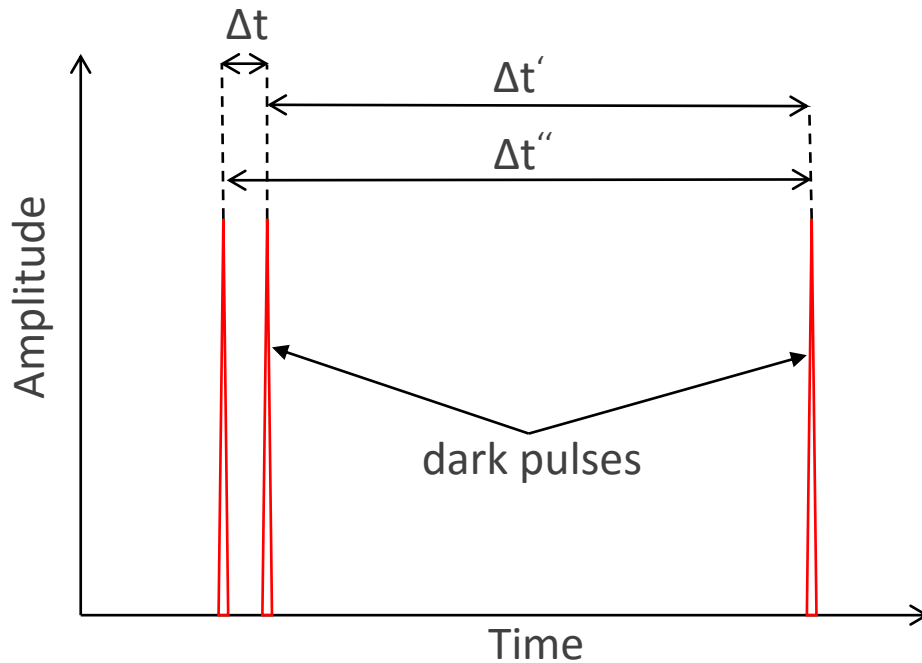
Variation of DCR



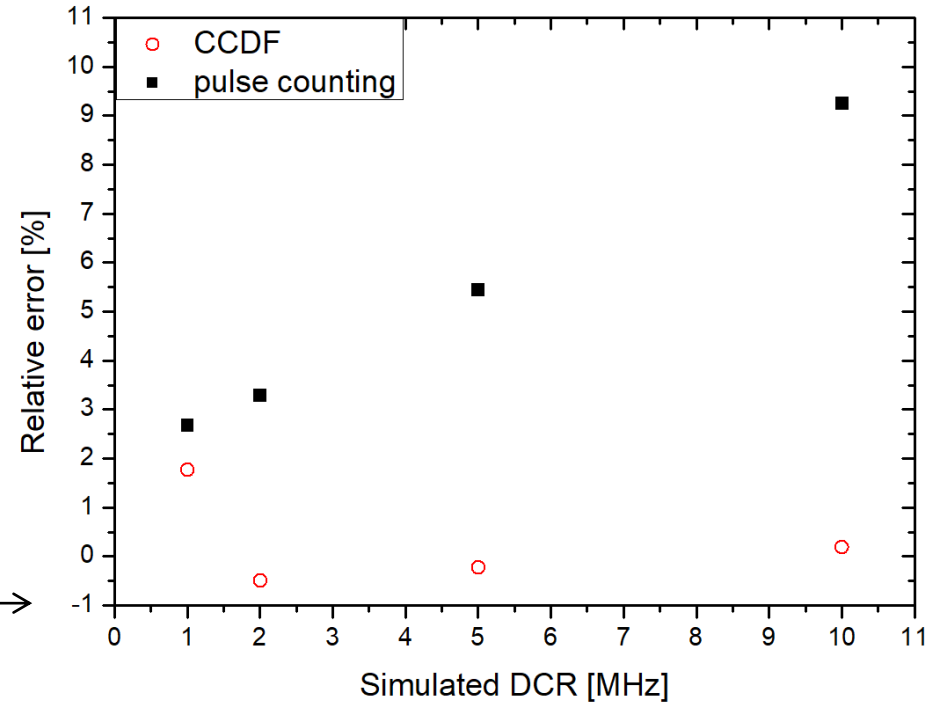
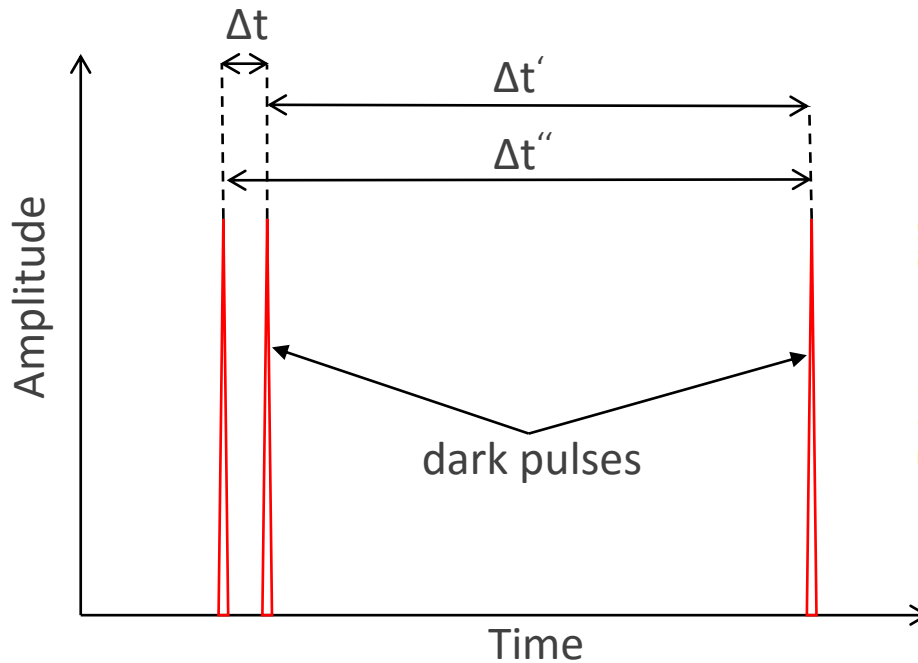
Parameter	Value
DCR [MHz]	variable
P_{CT} [%]	0
P_{AP} [%]	0
P_{DCT} [%]	0

- comparable results of both methods at lower DCR
- underestimation at high DCR by pulse counting
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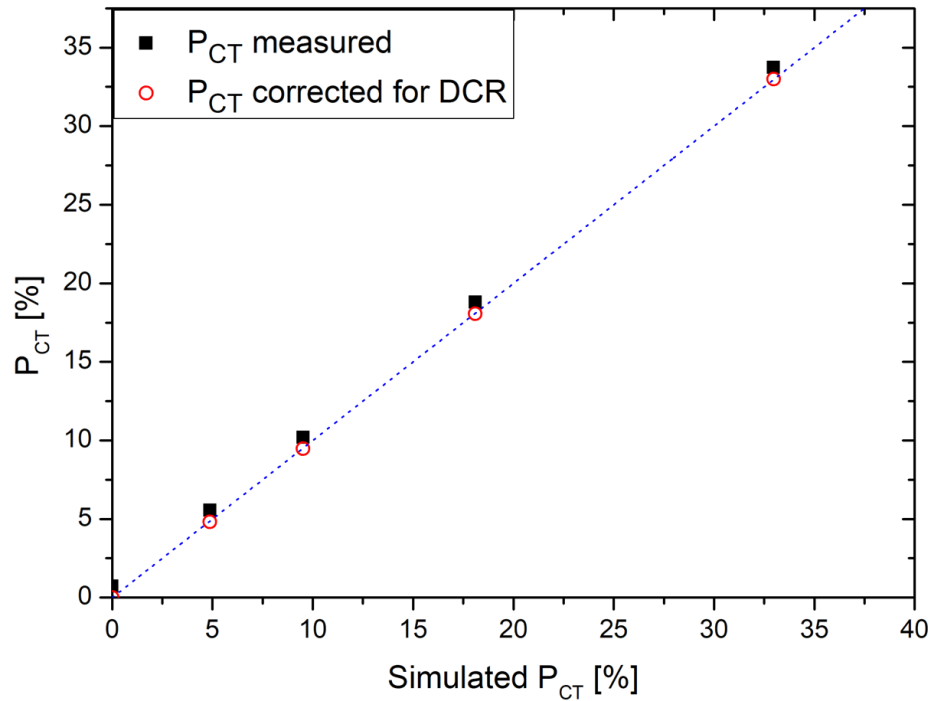




- if Δt is too small, pulses are not distinguished
- Δt and $\Delta t'$ are not accessible
- instead $\Delta t''$ is measured
- but $\Delta t'' \approx \Delta t'$

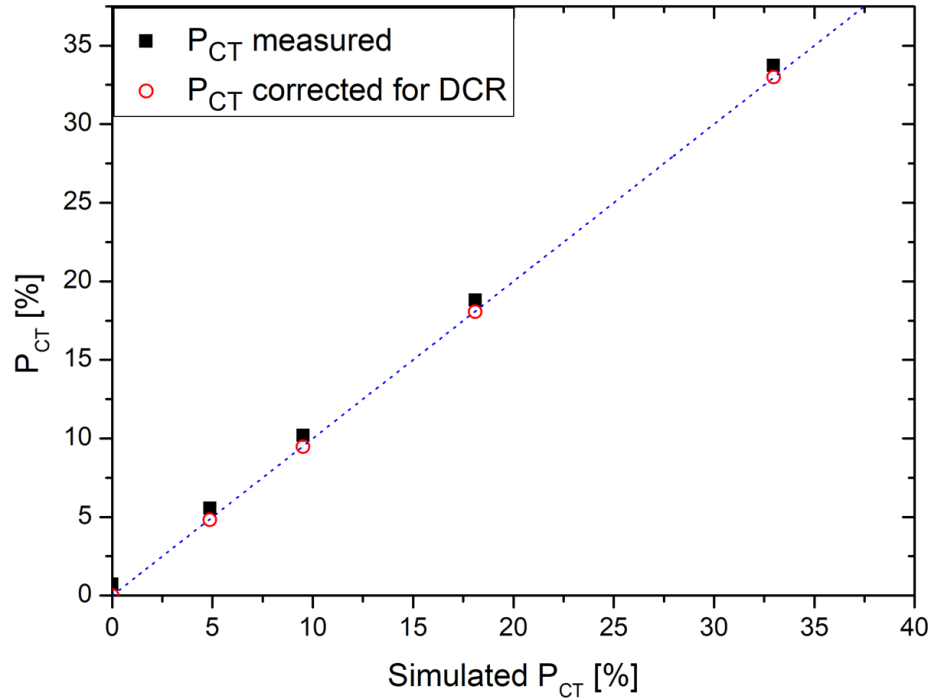


- if Δt is too small, pulses are not distinguished
- Δt and $\Delta t'$ are not accessible
- instead $\Delta t''$ is measured
- but $\Delta t'' \approx \Delta t'$
- pulse counting significantly underestimates DCR
- CCDF is less sensitive to coincidental dark pulses



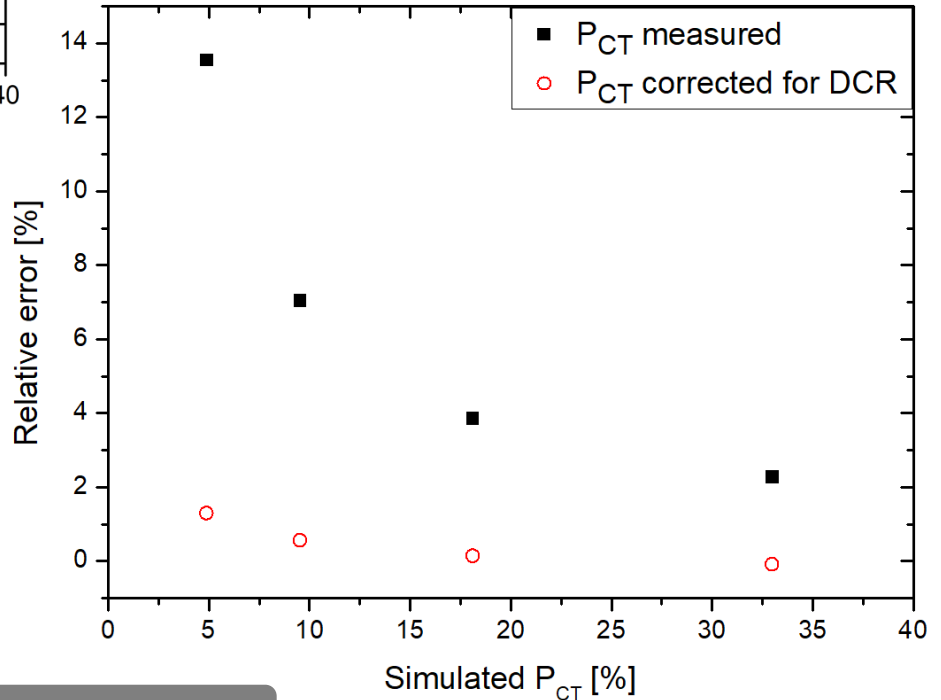
Parameter	Value
DCR [MHz]	2
P _{CT} [%]	variable
P _{AP} [%]	0
P _{DCT} [%]	0

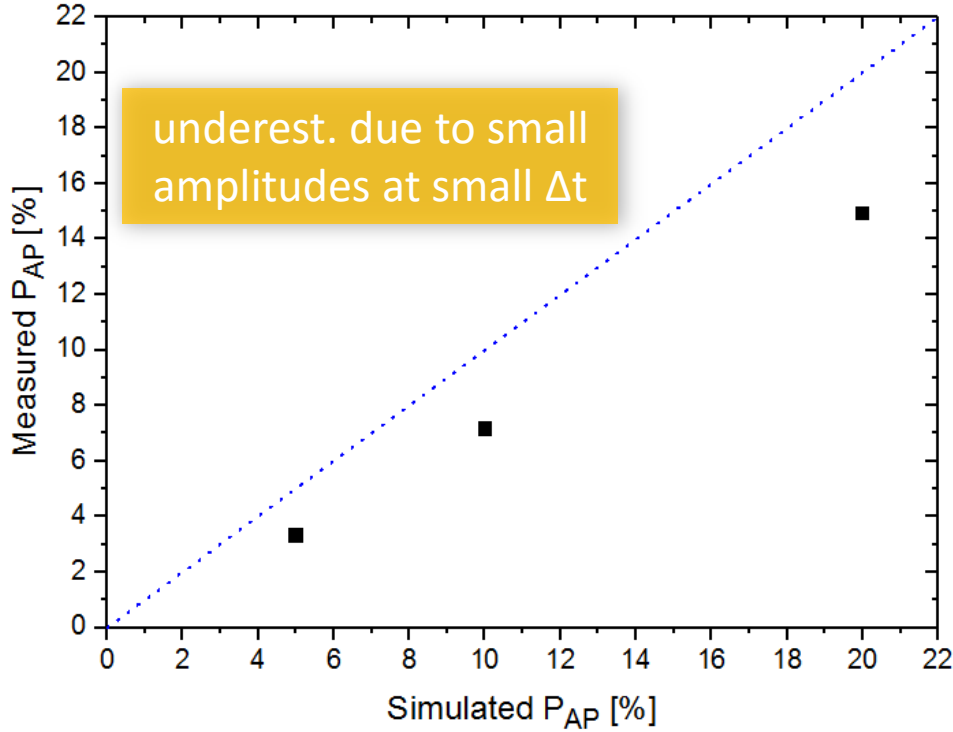
- overestimation of P_{CT} due to coinciding dark pulses
- relative error increases with decreasing P_{CT}
- correction from slide is recommended at high DCR



Parameter	Value
DCR [MHz]	2
P_{CT} [%]	variable
P_{AP} [%]	0
P_{DCT} [%]	0

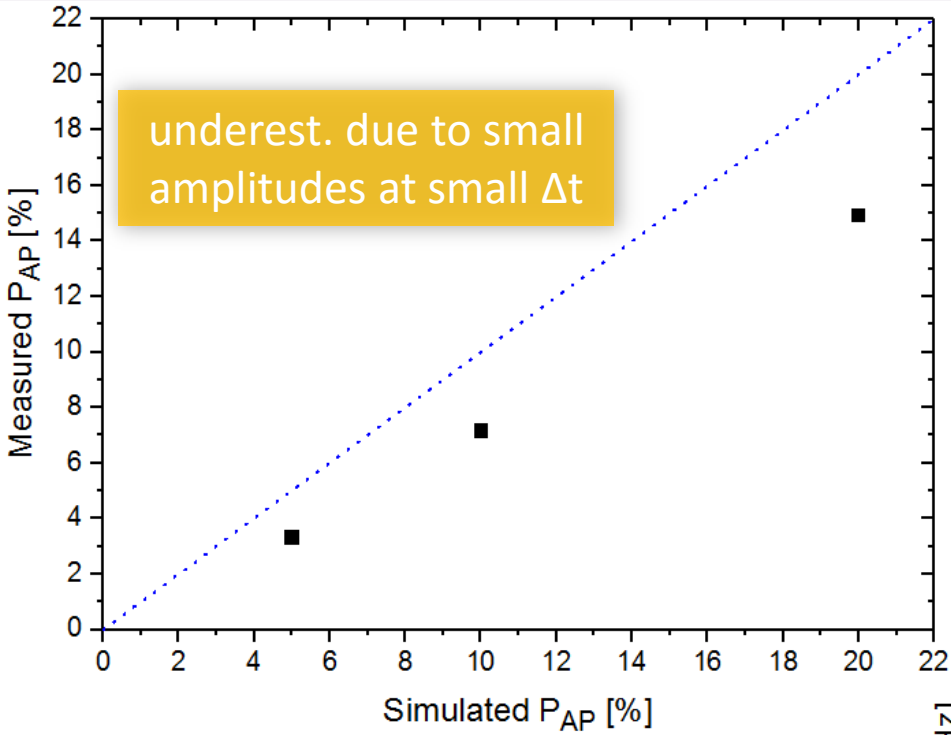
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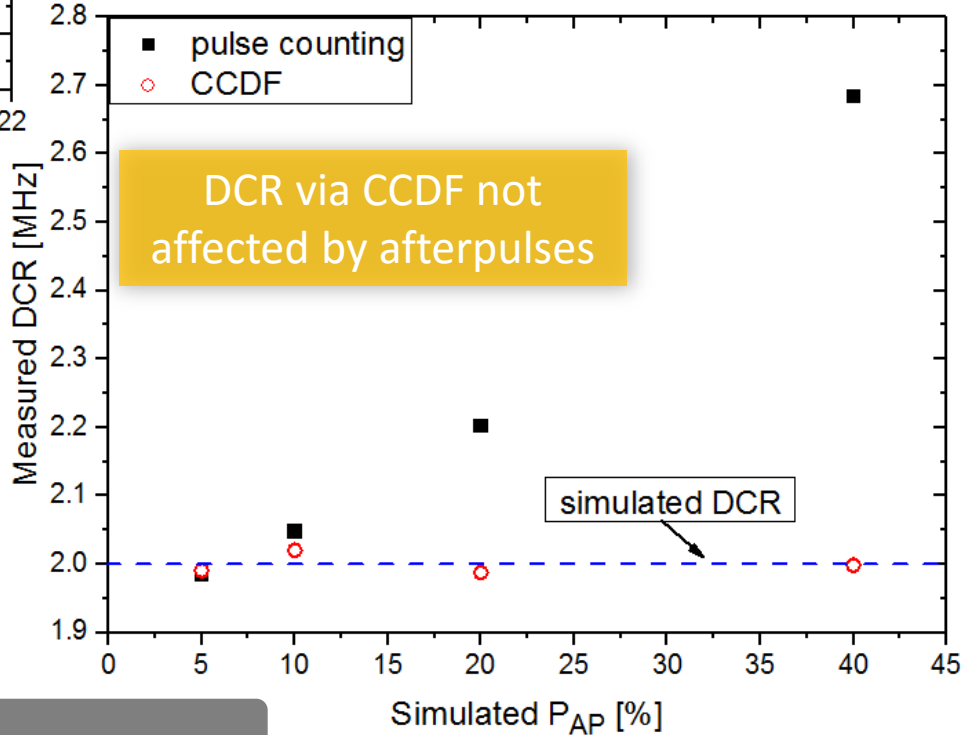
Parameter	Value
DCR [MHz]	2
P_{CT} [%]	9.5
P_{AP} [%]	variable
P_{DCT} [%]	0

- underestimation of P_{AP} due to inefficient detection of fast afterpulses



Parameter	Value
DCR [MHz]	2
P_{CT} [%]	9.5
P_{AP} [%]	variable
P_{DCT} [%]	0

- underestimation of P_{AP} due to inefficient detection of fast afterpulses
- overestimation of DCR with pulse counting method
- CCDF method is recommended in case of high P_{AP}



$$P_{\text{tot}}^*(\Delta t) = \exp(-DCR \cdot \Delta t) \cdot P_{\text{corr}}^*(\Delta t)$$

$$P_{\text{tot}}^*(\Delta t) = \exp(-DCR \cdot \Delta t) \cdot P_{\text{corr}}^*(\Delta t)$$

$$P_{\text{corr}}(\Delta t) = P_C \left(1 - \exp \left[-\frac{\Delta t}{\tau_{\text{corr}}} \right] \right)$$

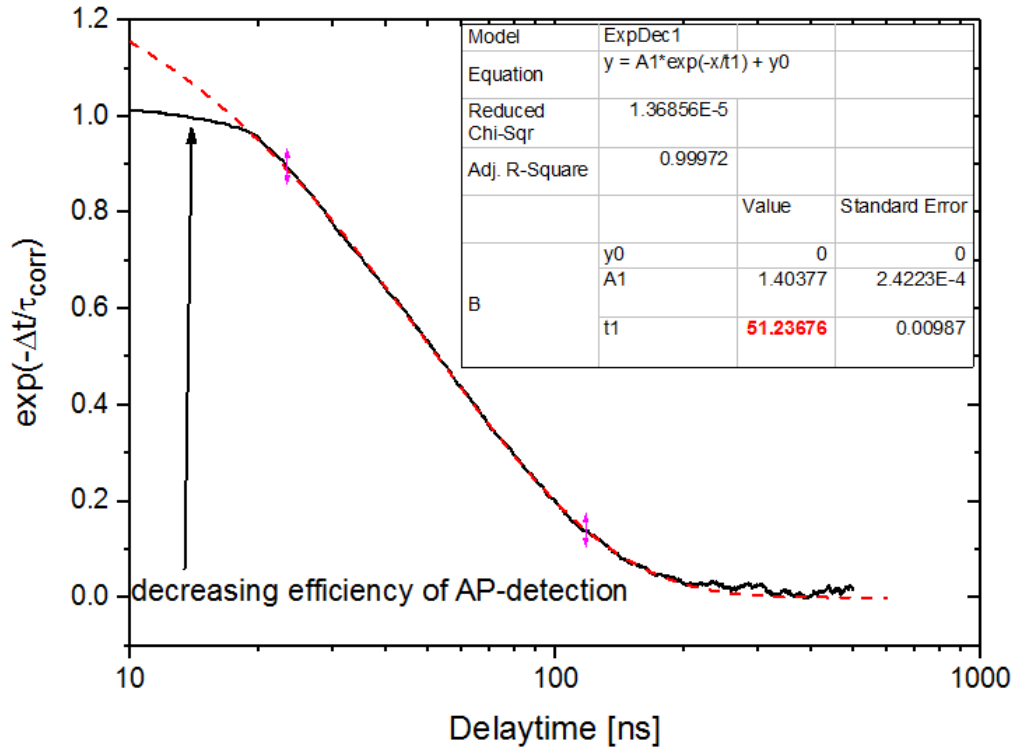
commonly used model

$$P_{\text{tot}}^*(\Delta t) = \exp(-DCR \cdot \Delta t) \cdot P_{\text{corr}}^*(\Delta t)$$

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commonly used model

$$\exp \left[-\frac{\Delta t}{\tau_{\text{corr}}} \right] = 1 - \frac{\left(1 - \frac{P_{\text{tot}}^*}{\exp[-DCR \cdot \Delta t]} \right)}{P_C}$$

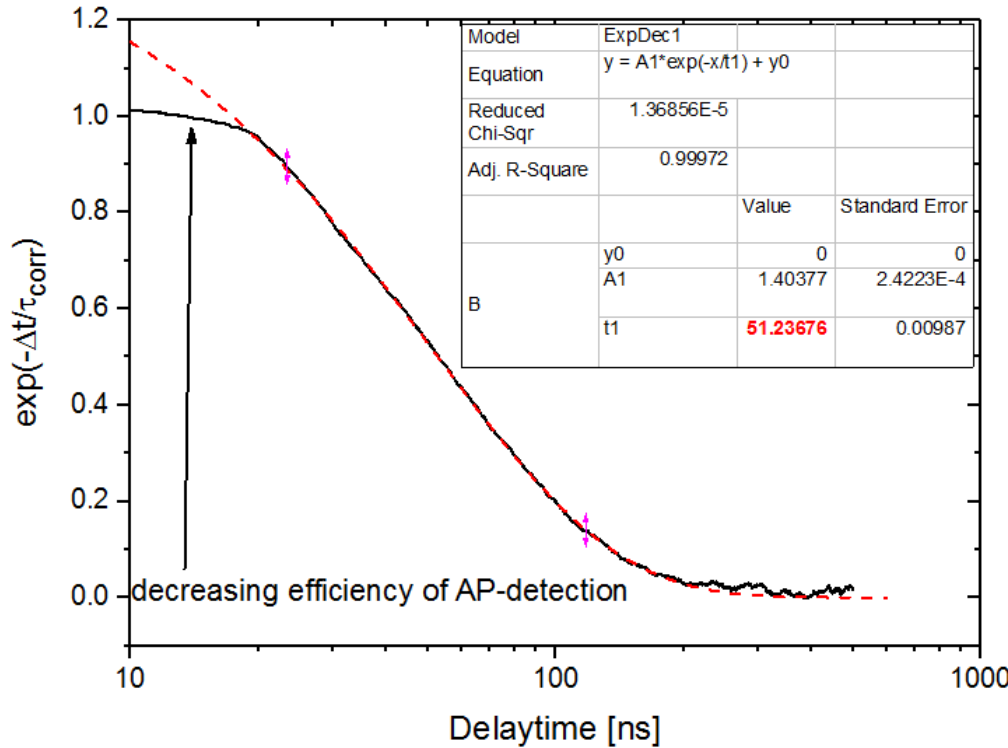


$$P_{\text{tot}}^*(\Delta t) = \exp(-DCR \cdot \Delta t) \cdot P_{\text{corr}}^*(\Delta t)$$

$$P_{\text{corr}}(\Delta t) = P_C \left(1 - \exp \left[-\frac{\Delta t}{\tau_{\text{corr}}} \right] \right)$$

commonly used model

$$\exp \left[-\frac{\Delta t}{\tau_{\text{corr}}} \right] = 1 - \frac{\left(1 - \frac{P_{\text{tot}}^*}{\exp[-DCR \cdot \Delta t]} \right)}{P_C}$$



$\tau_{corr} \approx 51$ ns is in good agreement with simulated 50ns!

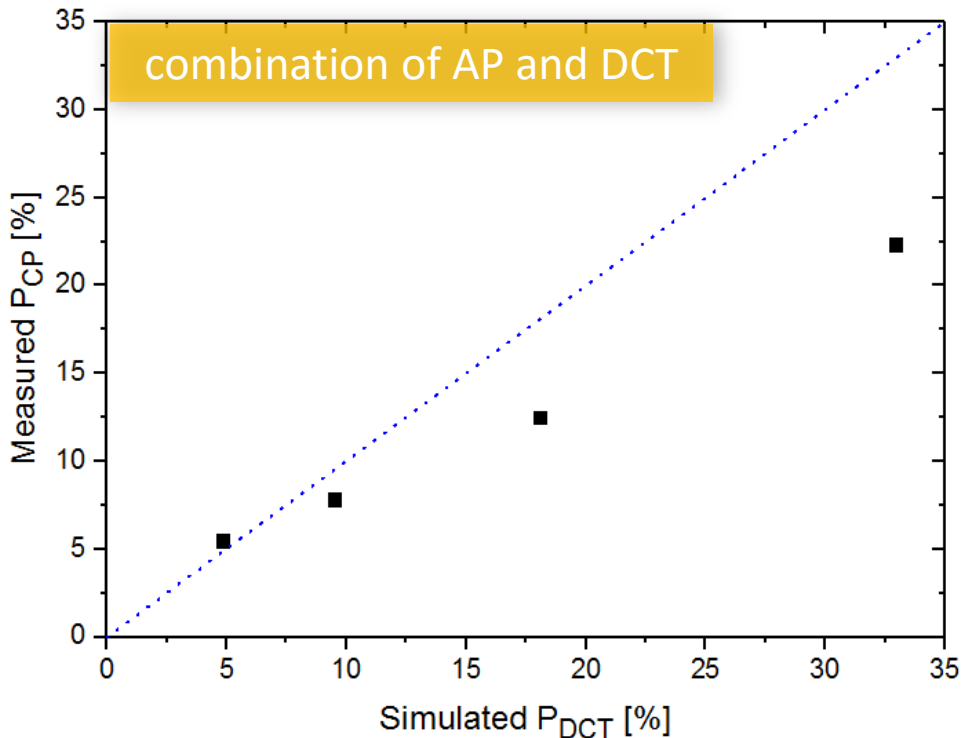
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$$P_{corr}(\Delta t) = P_C \left(1 - \exp\left[-\frac{\Delta t}{\tau_{corr}}\right] \right)$$

commonly used model

$$\exp\left[-\frac{\Delta t}{\tau_{corr}}\right] = 1 - \frac{\left(1 - \frac{P_{tot}^*}{\exp[-DCR \cdot \Delta t]}\right)}{P_C}$$

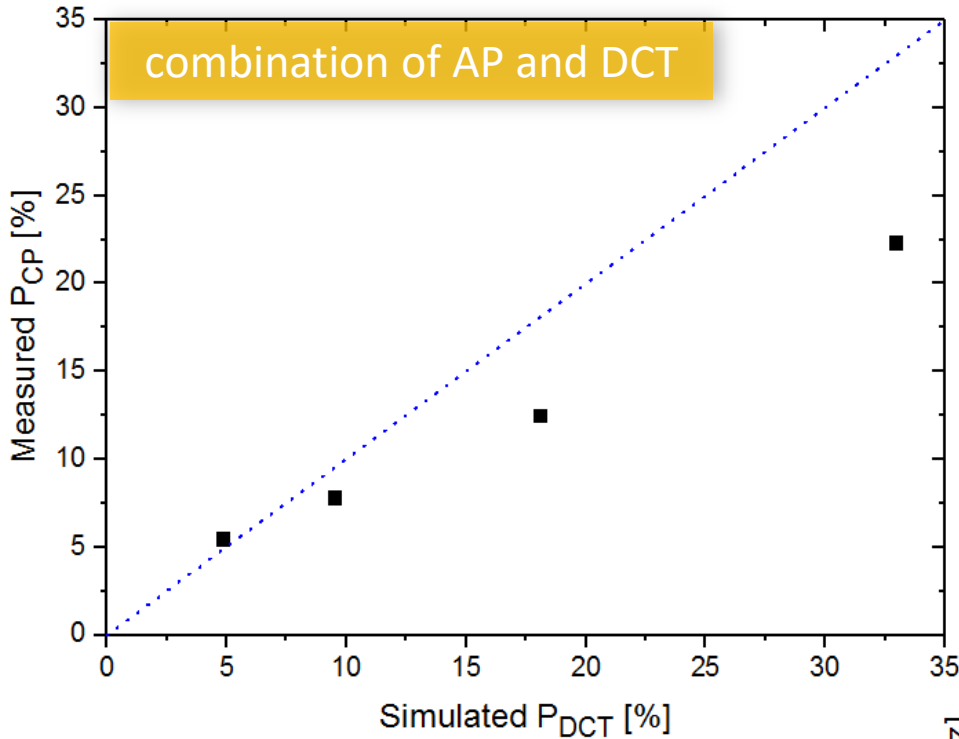
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Parameter	Value
DCR [MHz]	2
P_{CT} [%]	9.5
P_{AP} [%]	5
P_{DCT} [%]	variable

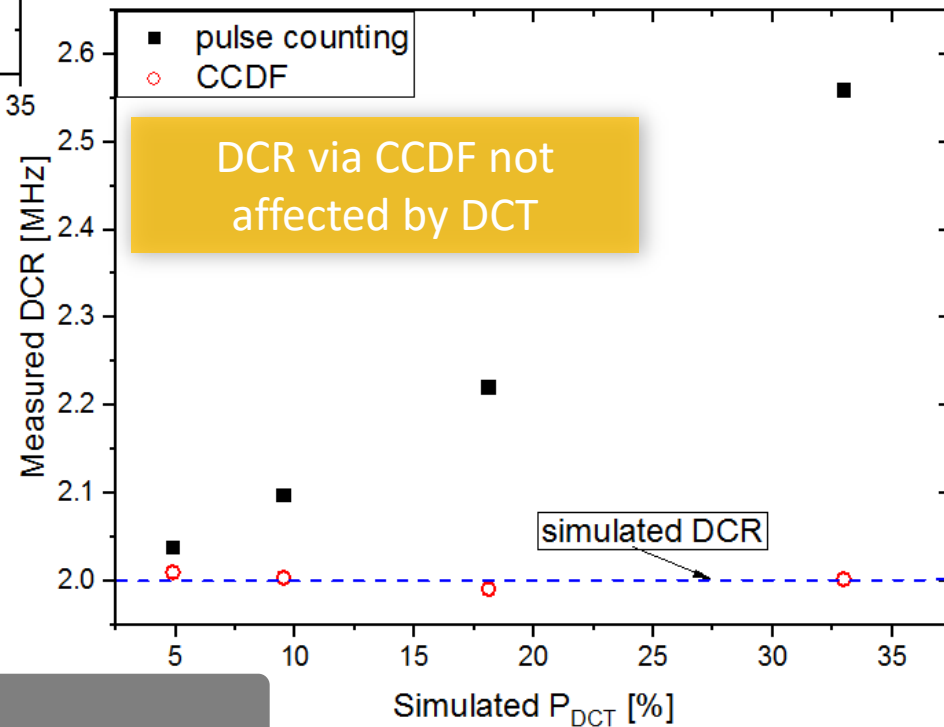
- similar problems as for pure afterpulsing
- underestimation of P_{CP} due to inefficient detection of fast pulses

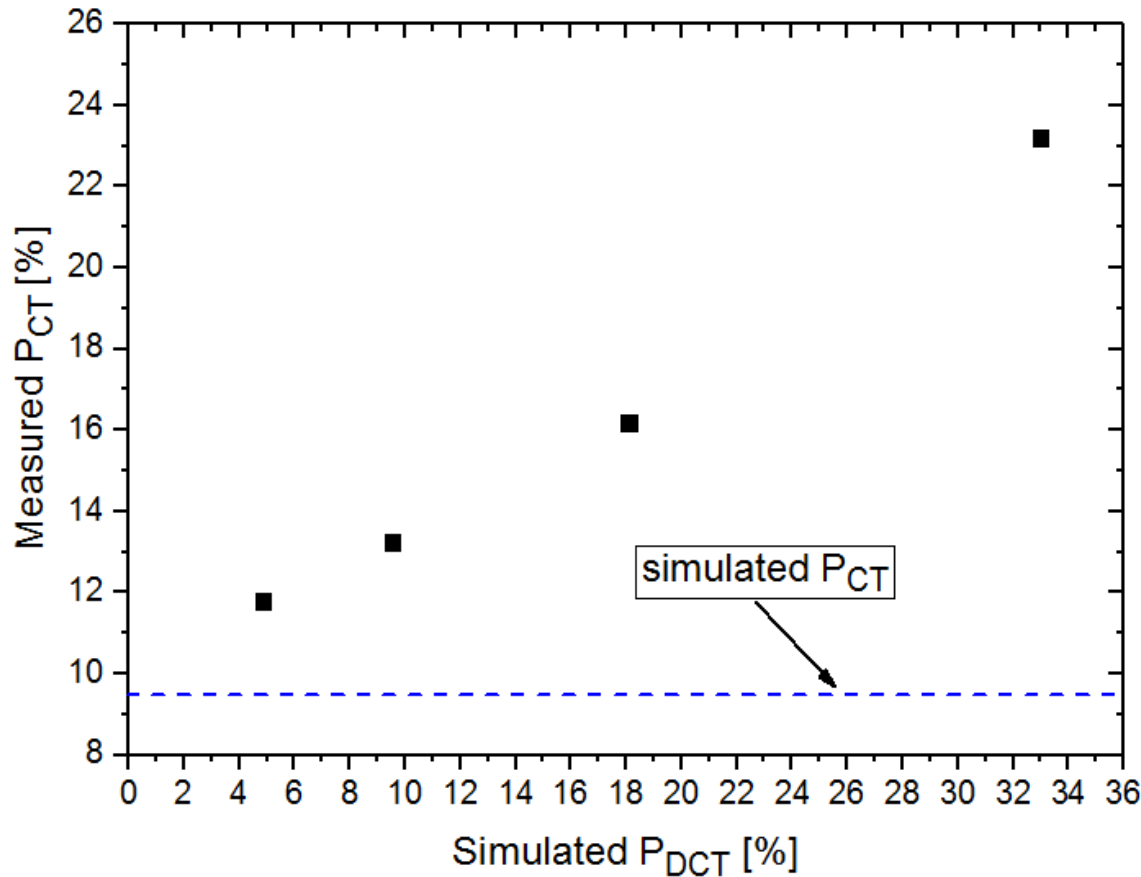
Variation of DCT



Parameter	Value
DCR [MHz]	2
P _{CT} [%]	9.5
P _{AP} [%]	5
P _{DCT} [%]	variable

- similar problems as for pure afterpulsing
- underestimation of P_{CP} due to inefficient detection of fast pulses
- overestimation of DCR by pulse counting method
- CCDF method is recommended in case of high P_{CP}





Parameter	Value
DCR [MHz]	2
P_{CT} [%]	9.5
P_{AP} [%]	5
P_{DCT} [%]	variable

- overestimation of P_{CT} increases with P_{DCT}
- not clear how to separate fast DCT and CT
- is a temperature sweep a possible solution?

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- Where to set threshold for detection of afterpulses?
 - datasheets are not comparable otherwise
- Shall afterpulses be weighted according to their amplitude?
- How to distinguish between DCT and AP?
 - amplitude is only a workaround, cannot be applied for fast recovery
 - use special structures with varying quenching resistors?
- How to distinguish between CT and fast DCT?
 - DCT is based on diffusion
 - time-constant of DCT should vary with T
 - CT shows no/weak T dependence