

Evolution of Critical Fluctuations / Non-binomial Efficiency correction

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(Osaka U.)

GSI Workshop
Constraining the Phase Boundary with Data from HIC
GSI, Darmstadt, 12/Feb./2018

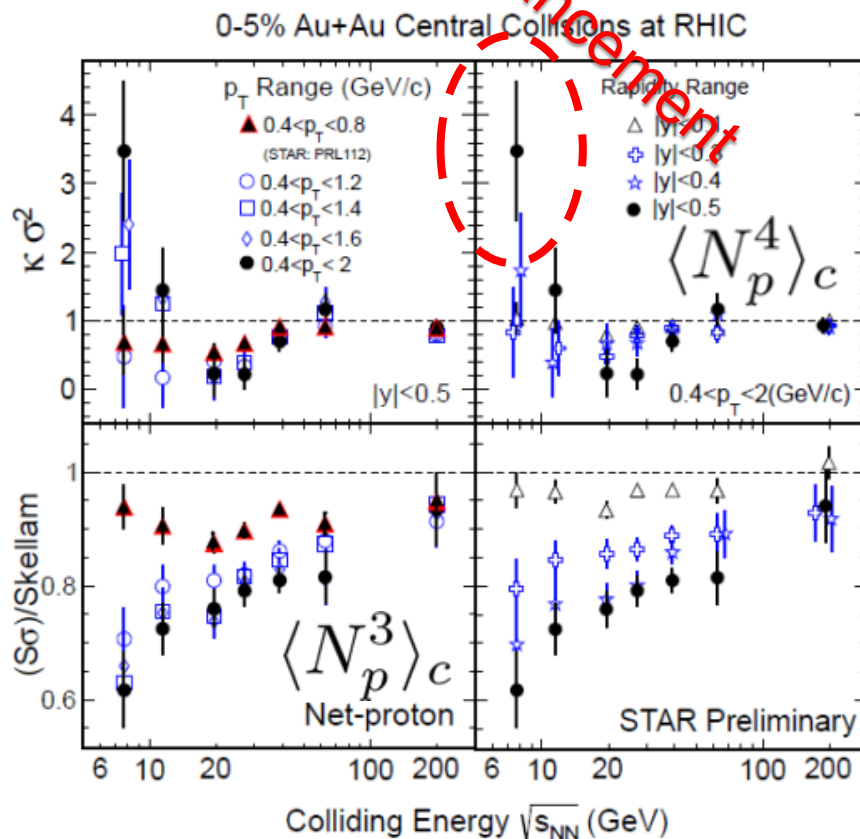
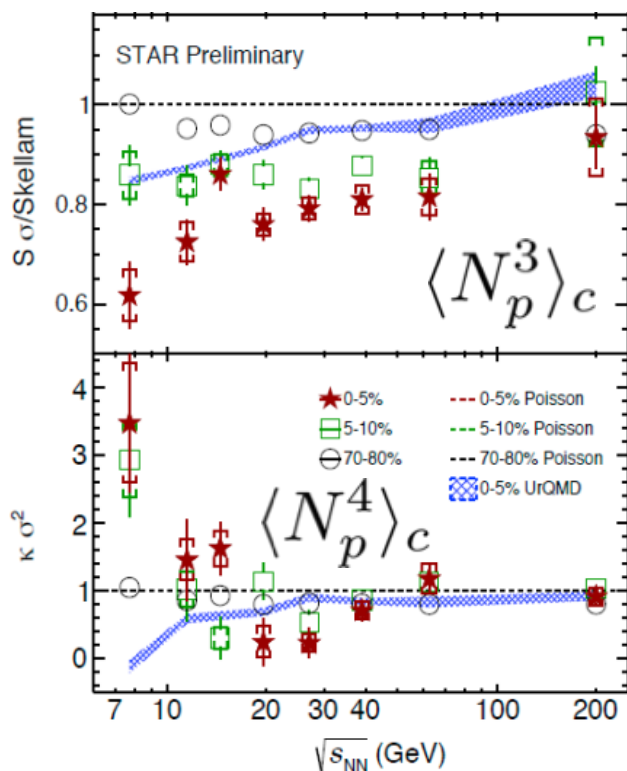
Contents

1. Diffusion
2. Evolution of Critical Fluctuations: 2nd order
3. Evolution of Critical Fluctuations: 3rd order
4. Non-binomial Efficiency Correction
 - Previous methods
 - New general method

General Review: Asakawa, MK, PPNP (2016)

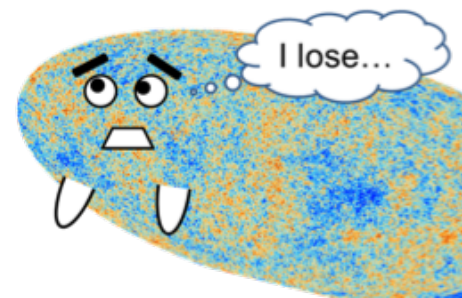
Higher-Order Cumulants

STAR Collab.
2010~



Non-zero non-Gaussian cumulants
have been established!

Have we measured critical fluctuations?



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- Previous methods
- New general method

MK, Asakawa, Ono, PLB 728, 386 (2014)

Sakaida, Asakawa, MK, PRL90, 064911 (2014)

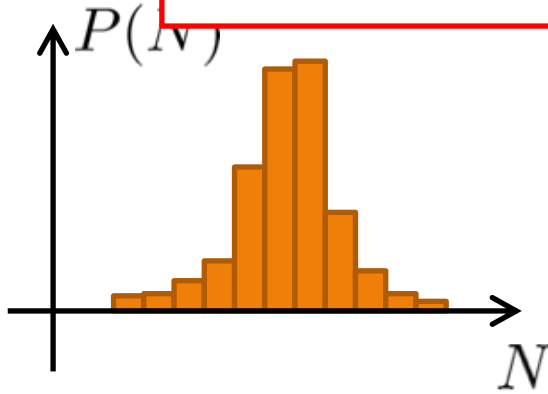
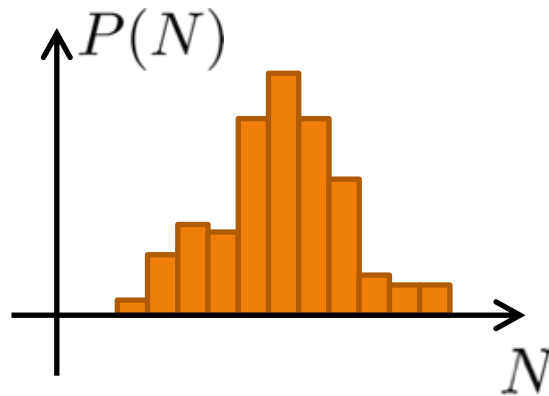
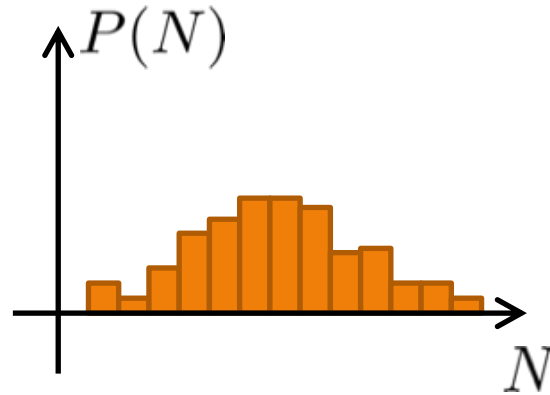
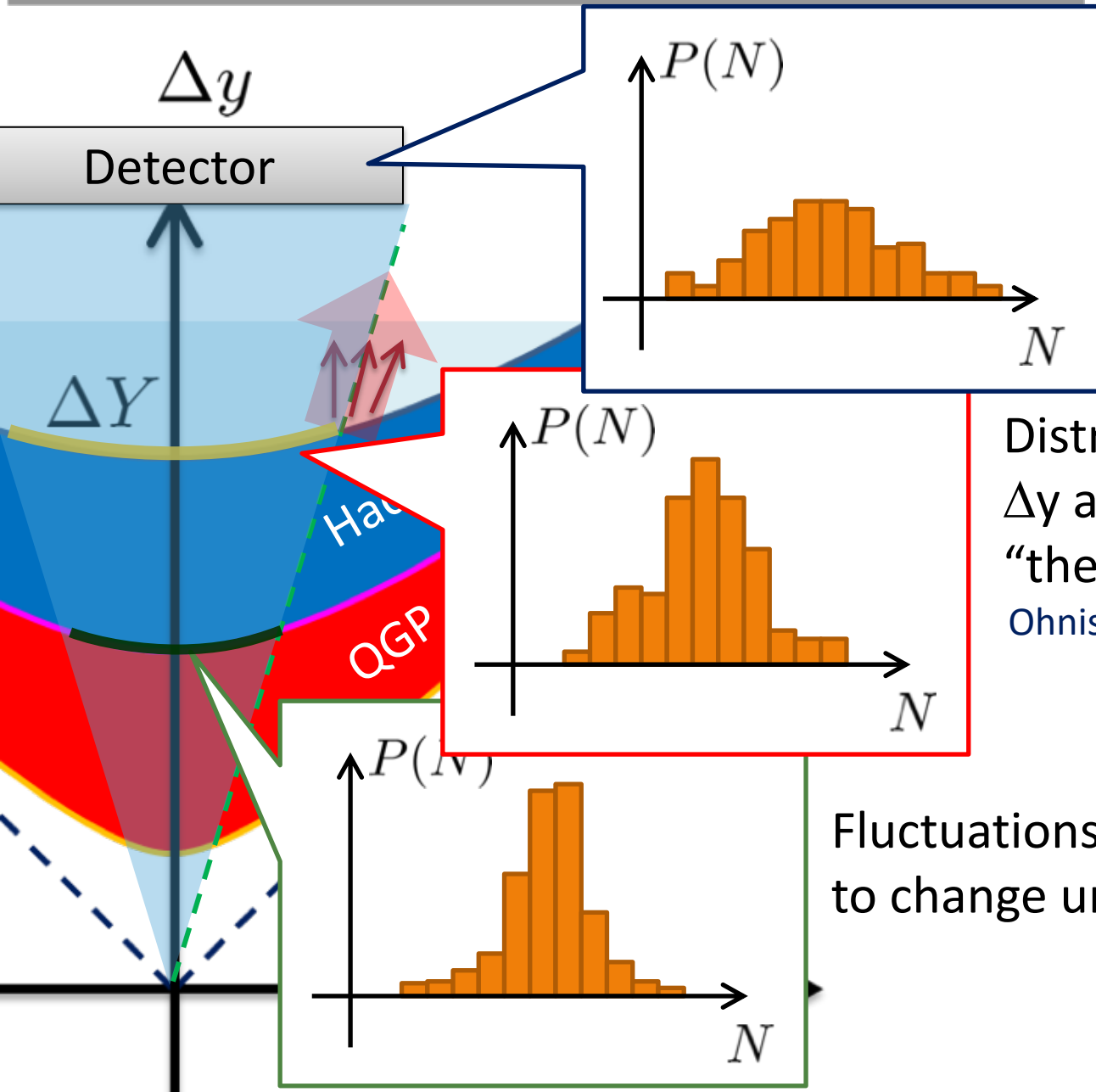
MK, NPA942, 65 (2015)

Time Evolution of Fluctuations

Asakawa, Heinz, Muller (2000)

Jeon, Koch (2000)

Shuryak, Stephanov (2001)

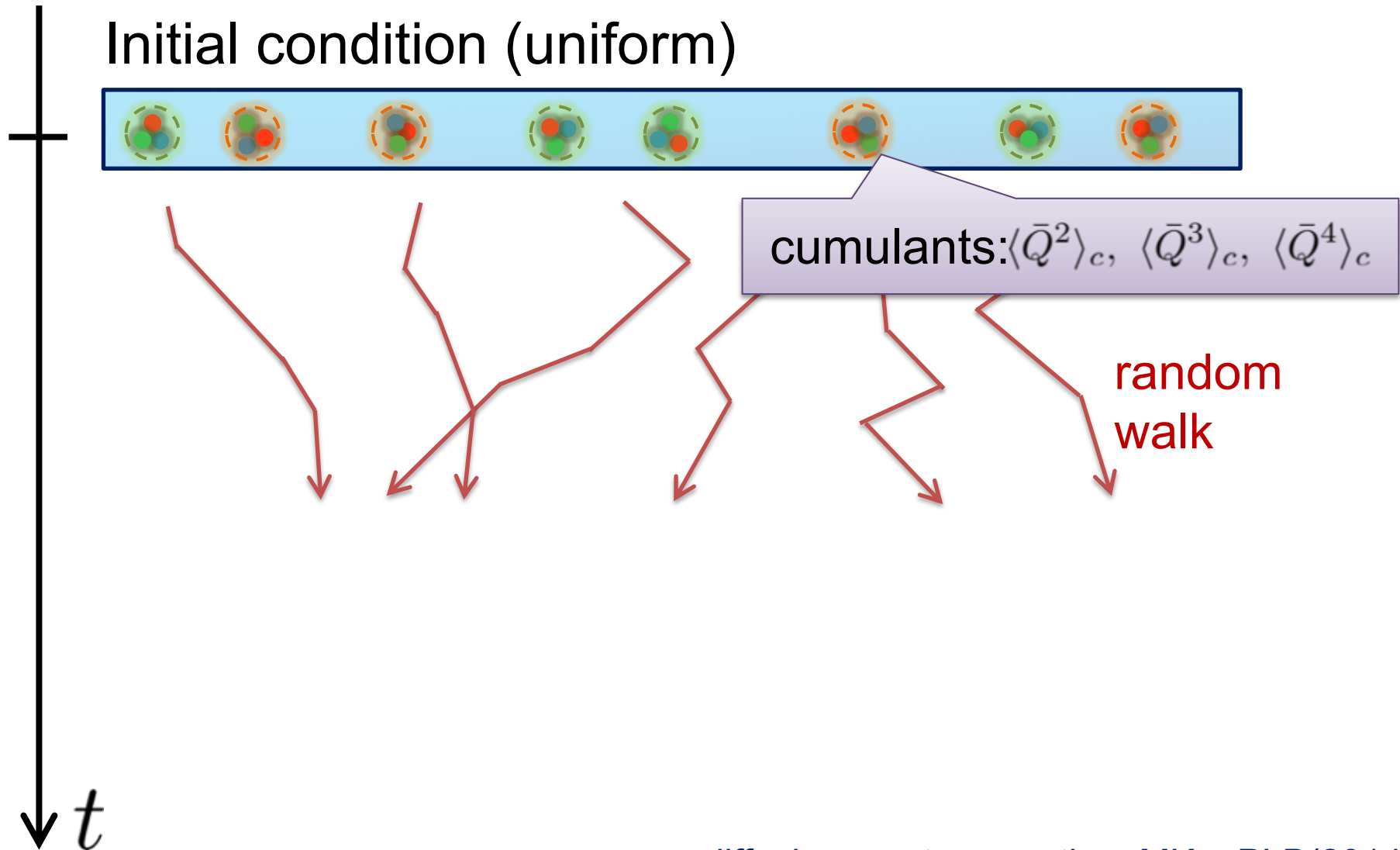


Distributions in ΔY and Δy are different due to "thermal blurring".

Ohnishi, MK, Asakawa, PRC(2016)

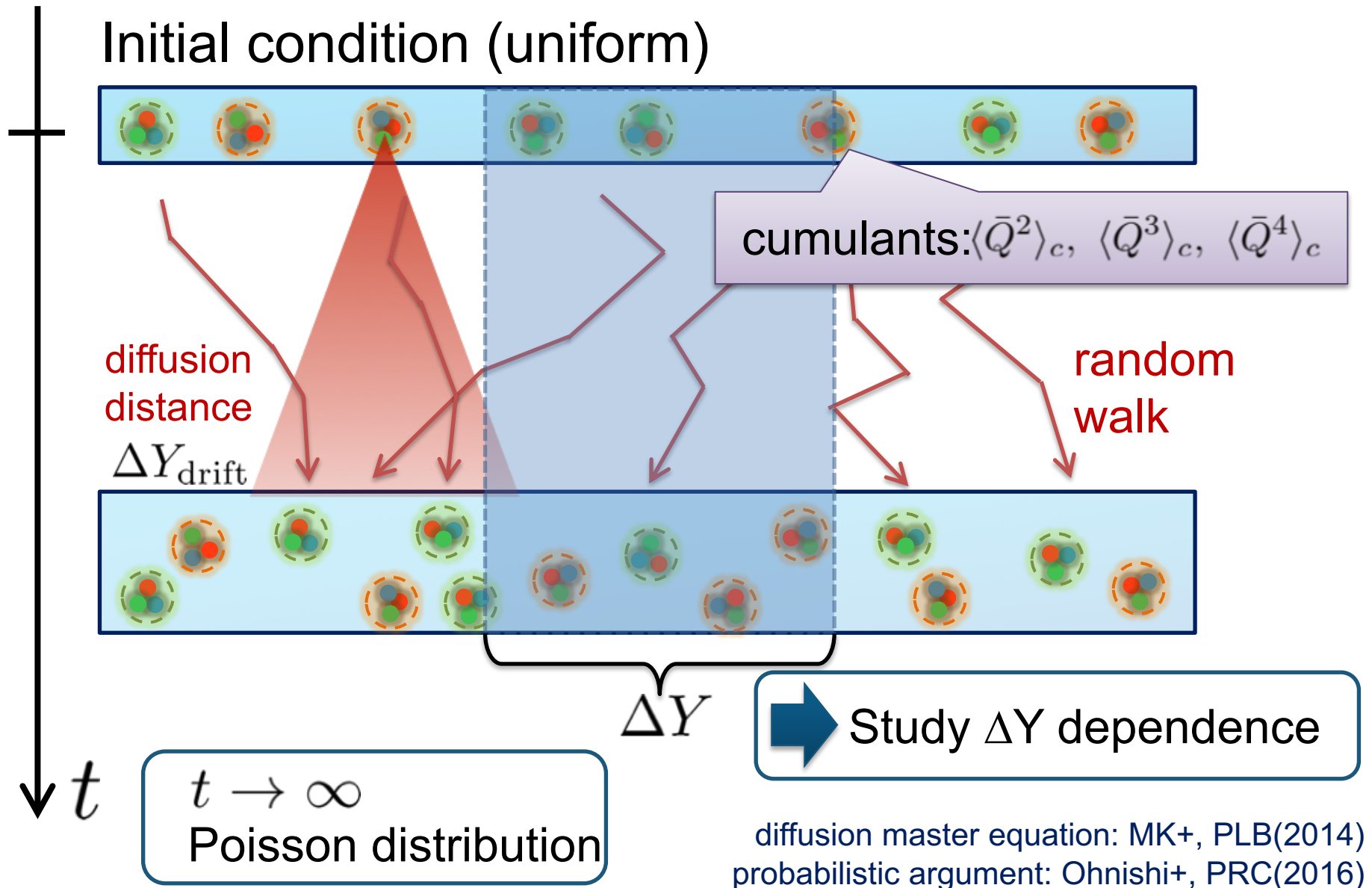
Fluctuations in ΔY continue to change until kinetic f.o.

(Non-Interacting) Brownian Particle Model



diffusion master equation: MK+, PLB(2014)
probabilistic argument: Ohnishi+, PRC(2016)

(Non-Interacting) Brownian Particle Model

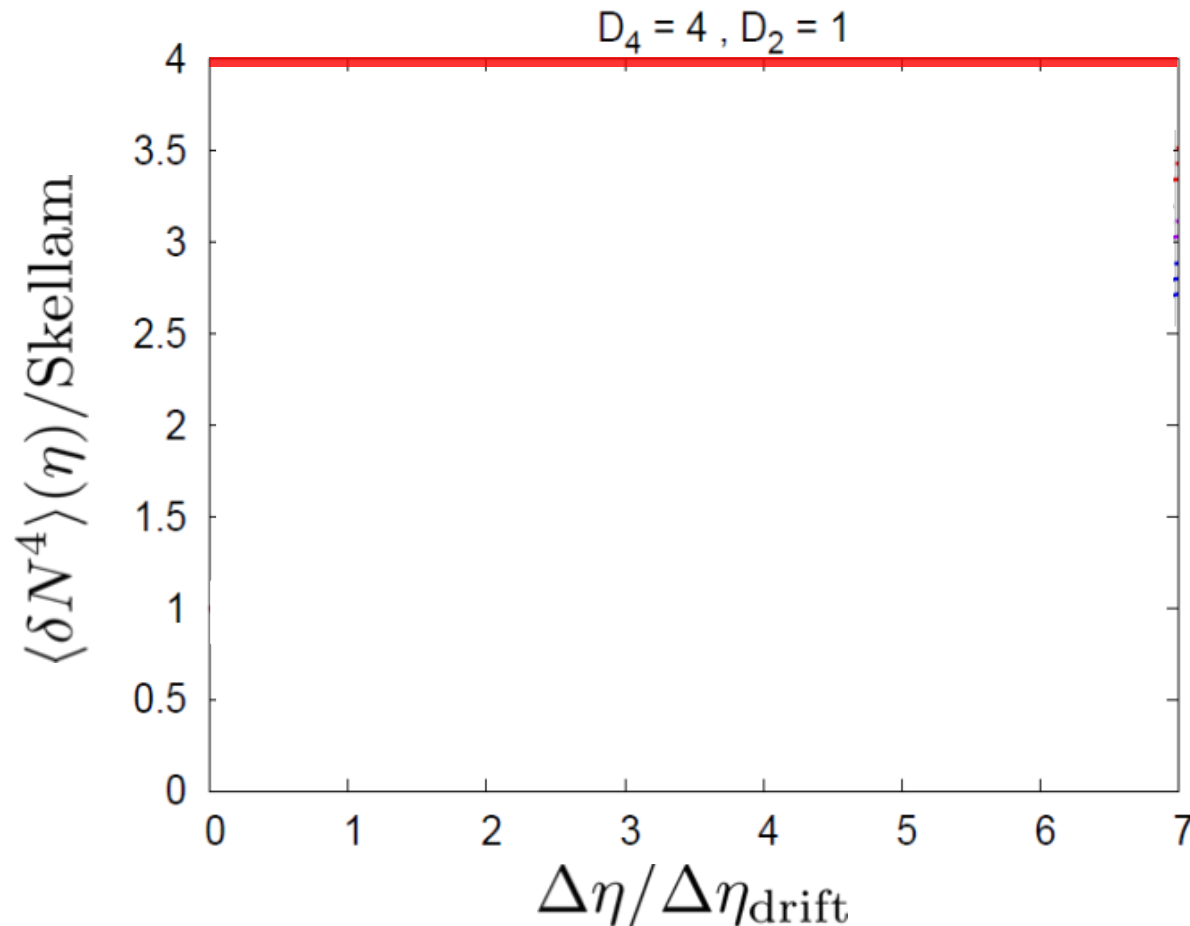


4th Order Cumulant

MK+ (2014)

MK (2015)

Before the diffusion



Initial Condition

$$D_4 = \frac{\langle Q_{(\text{net})}^4 \rangle_c}{\langle Q_{(\text{tot})} \rangle} = 4$$

$$b = \frac{\langle Q_{(\text{net})}^2 Q_{(\text{tot})} \rangle_c}{\langle Q_{(\text{net})} \rangle}$$

$$c = \frac{\langle Q_{(\text{tot})}^2 \rangle_c}{\langle Q_{(\text{tot})} \rangle}$$

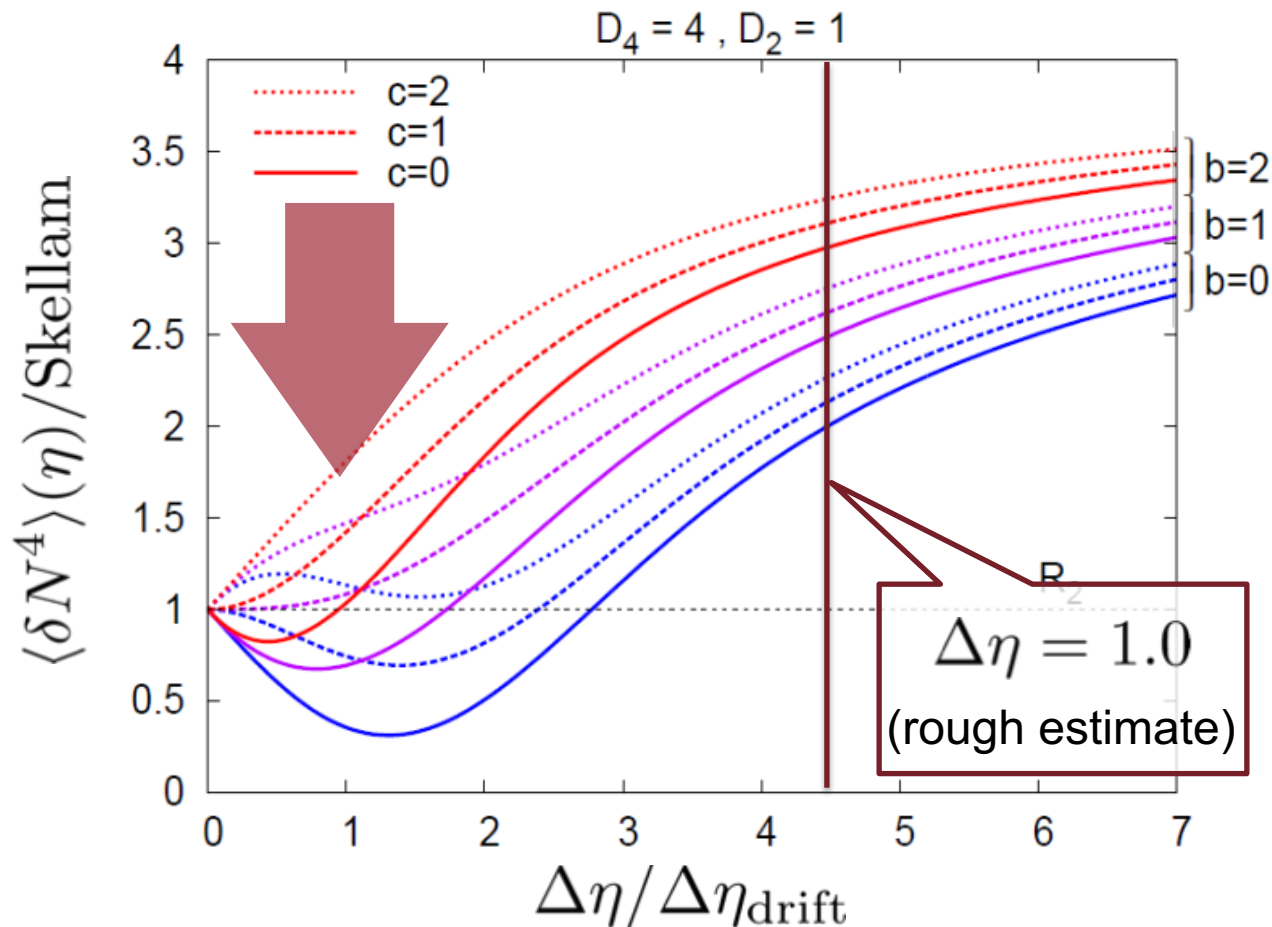
$$D_2 = \frac{\langle Q_{(\text{net})}^2 \rangle_c}{\langle Q_{(\text{tot})} \rangle} = 1$$

4th Order Cumulant

MK+ (2014)

MK (2015)

After the diffusion



Initial Condition

$$D_4 = \frac{\langle Q_{(\text{net})}^4 \rangle_c}{\langle Q_{(\text{tot})} \rangle} = 4$$

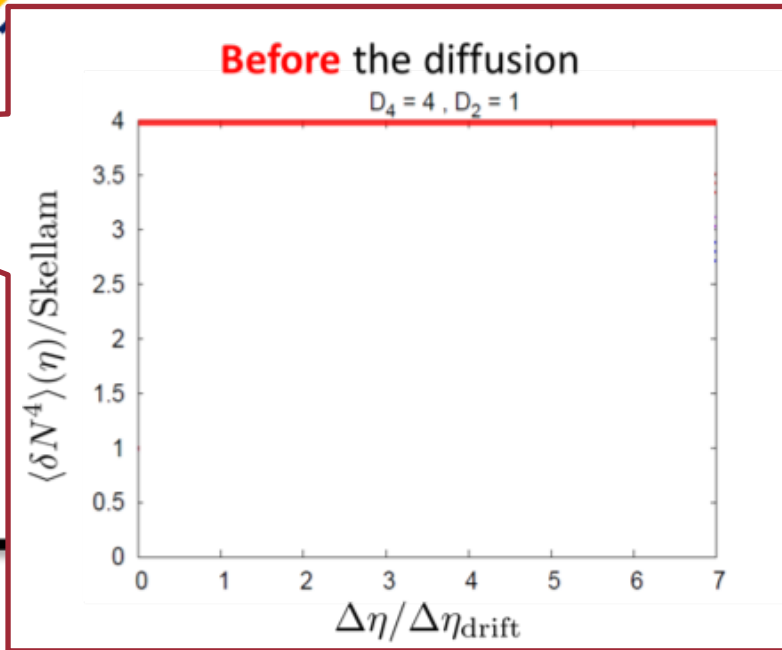
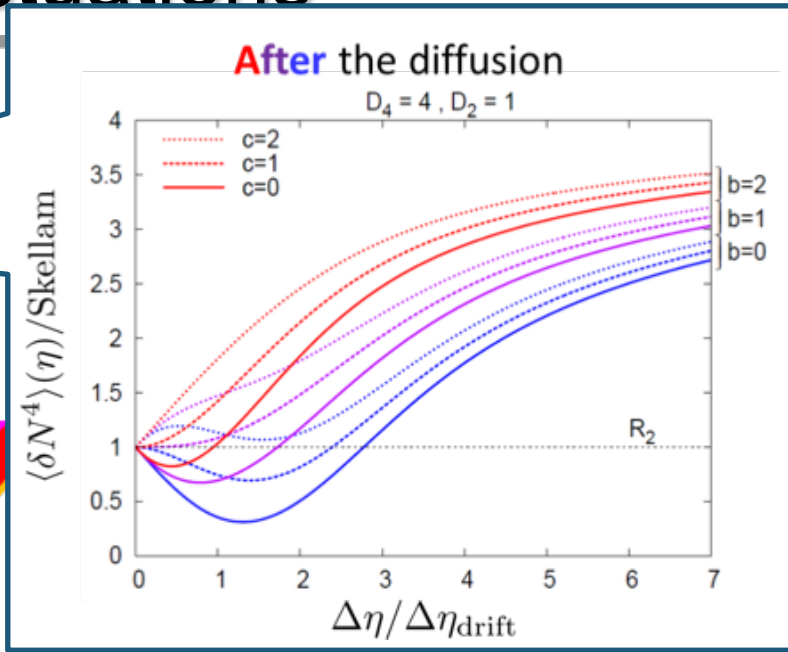
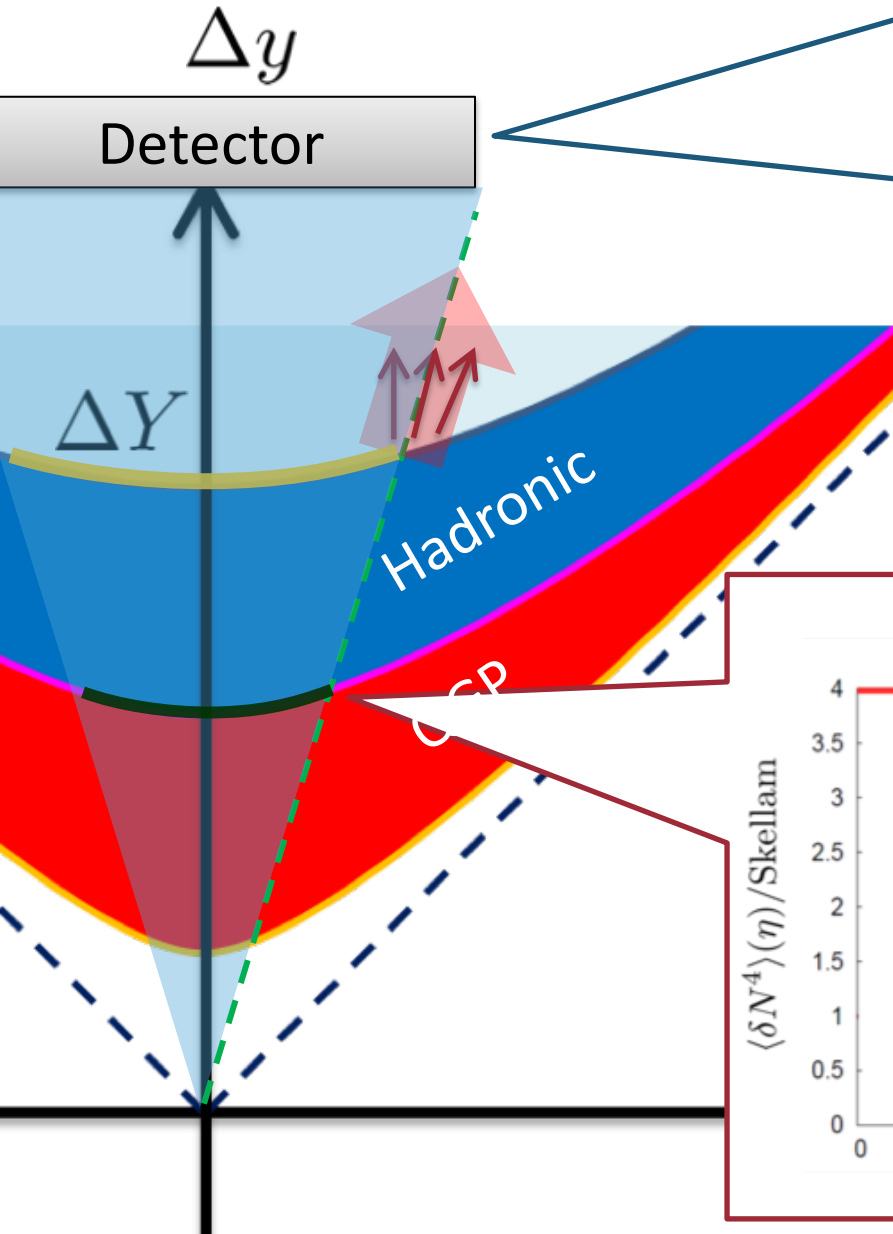
$$b = \frac{\langle Q_{(\text{net})}^2 Q_{(\text{tot})} \rangle_c}{\langle Q_{(\text{net})} \rangle}$$

$$c = \frac{\langle Q_{(\text{tot})}^2 \rangle_c}{\langle Q_{(\text{tot})} \rangle}$$

$$D_2 = \frac{\langle Q_{(\text{net})}^2 \rangle_c}{\langle Q_{(\text{tot})} \rangle} = 1$$

- ❑ Cumulant at small $\Delta\eta$ is modified toward a Poisson value.
- ❑ Non-monotonic behavior can appear.

Time Evolution of Fluctuations



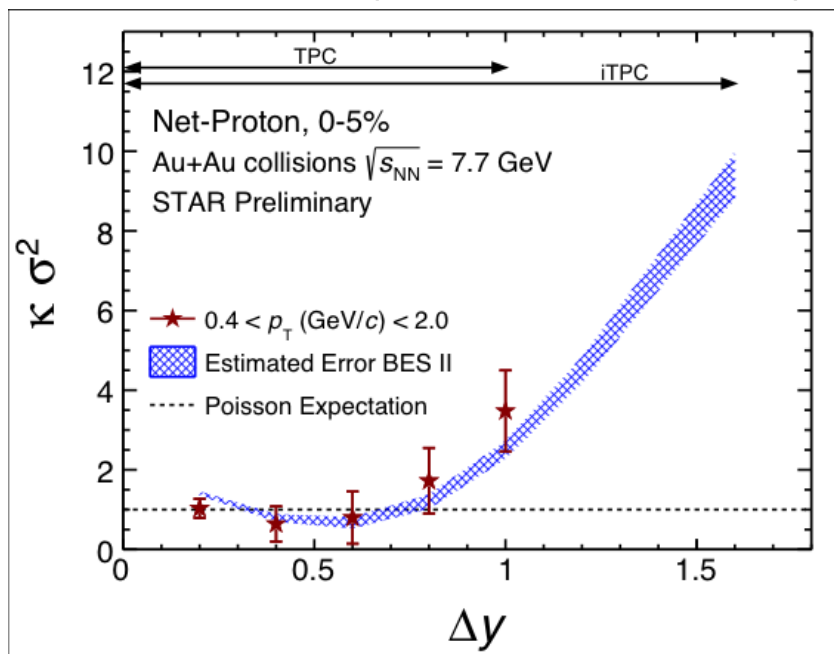
As a result of a simple random walk...

Rapidity Window Dep.

4th-order cumulant

MK+, 2014
MK, 2015

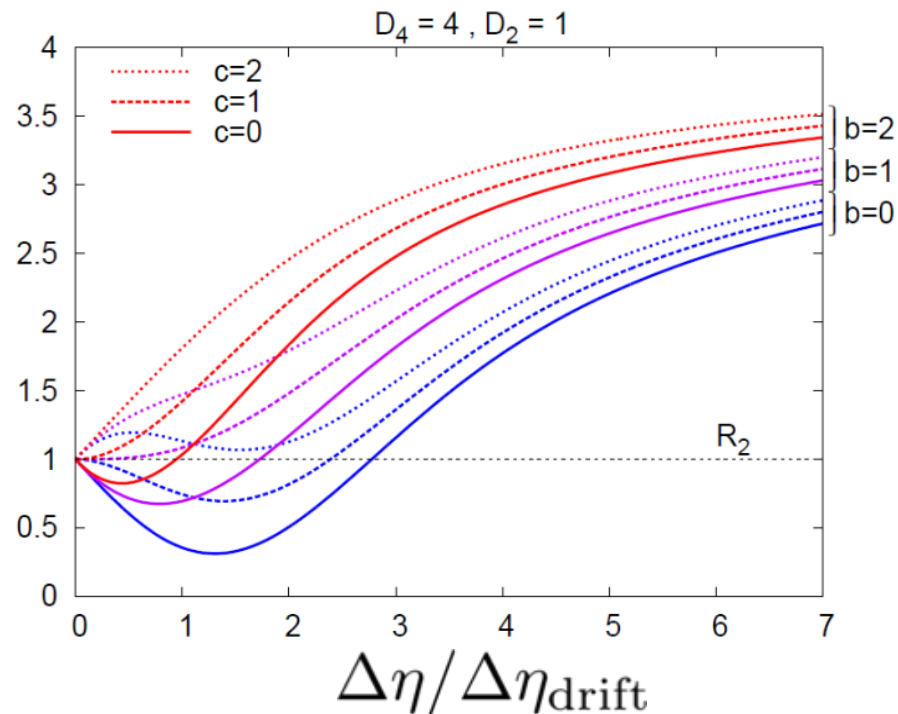
STAR Collab. (X. Luo, CPOD2014)



Initial Conditions

$$D_4 = \frac{\langle Q_{(\text{net})}^4 \rangle_c}{\langle Q_{(\text{tot})} \rangle} \quad b = \frac{\langle Q_{(\text{net})}^2 Q_{(\text{tot})} \rangle_c}{\langle Q_{(\text{net})} \rangle}$$

$$D_2 = \frac{\langle Q_{(\text{net})}^2 \rangle_c}{\langle Q_{(\text{tot})} \rangle} \quad c = \frac{\langle Q_{(\text{tot})}^2 \rangle_c}{\langle Q_{(\text{tot})} \rangle}$$



- Is non-monotonic $\Delta \eta$ dependence already observed?
- Different initial conditions give rise to different characteristic $\Delta \eta$ dependence. \rightarrow Study initial condition

Finite volume effects: Sakaida+, PRC90 (2015)

Contents

1. Diffusion

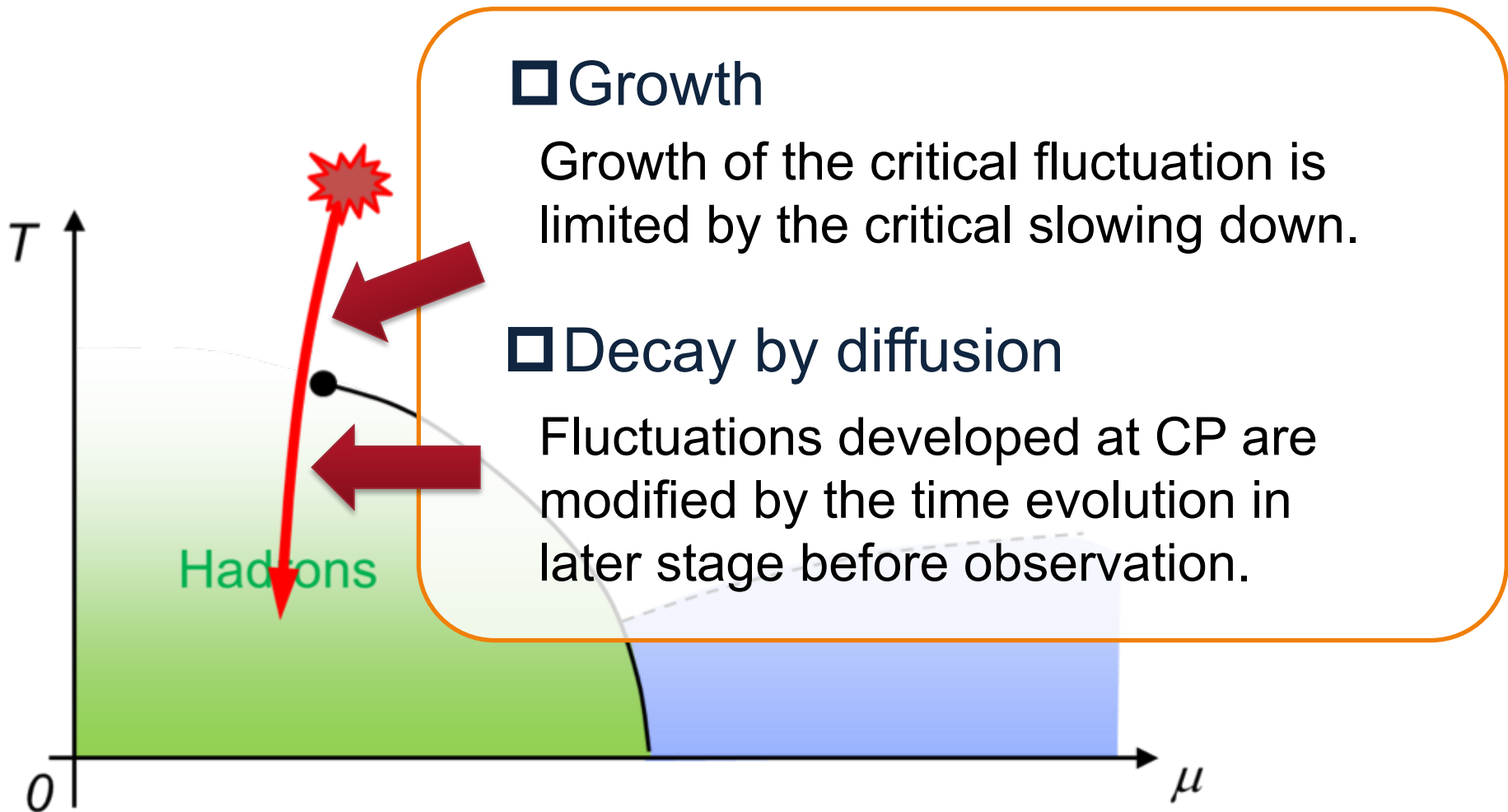
2. Evolution of Critical Fluctuations: 2nd order

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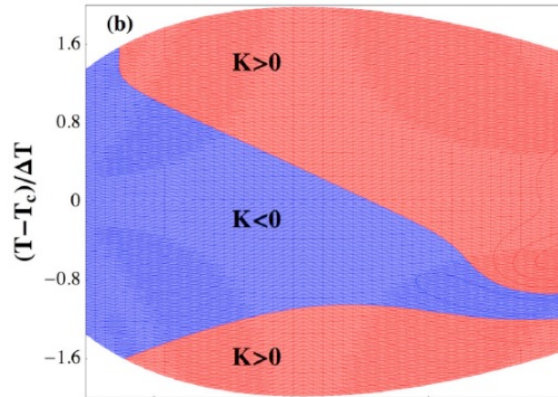
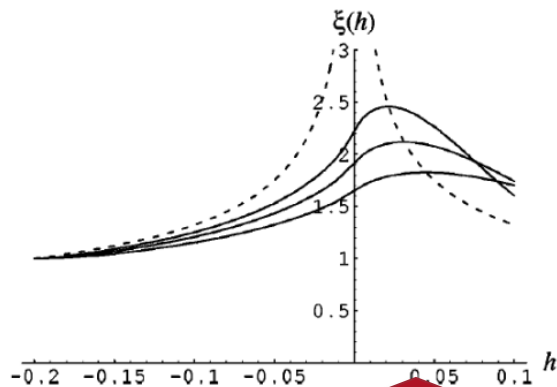
- Previous methods
- New general method

Effect of Dynamical Evolution

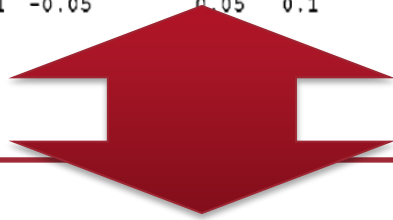


Dynamical Evolution of Critical Fluctuations

□ Evolution of spatially uniform “ σ ” mode



Berdnikov, Rajagopal (2000)
Asakawa, Nonaka (2002)
Mukherjee+ (2015)



THIS STUDY

Evolution of **conserved charge fluctuations**

Sakaida+, PRC2017; Murata, MK, in prep.

1. Conserved charges are directly observable.
2. Soft mode at QCD-CP is a conserved mode.

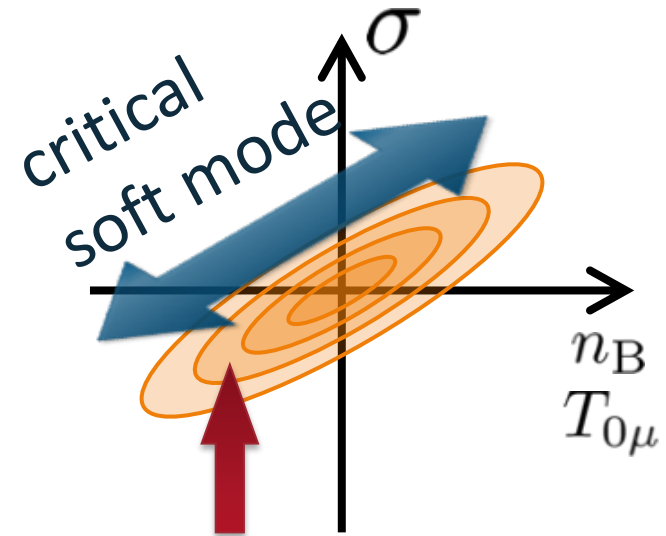
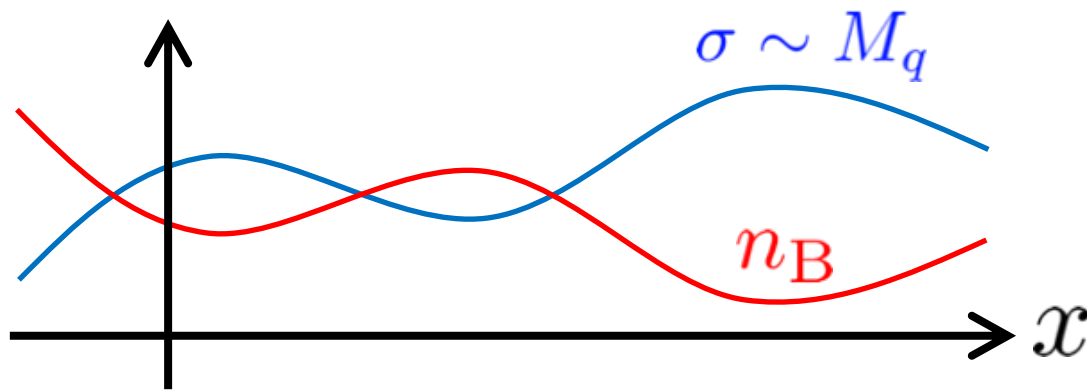
See also, Kapusta, Torres-Rincon (2012); Herold, Nahrgang, ... (2015)

Soft Mode of QCD-CP = Conserved Mode

Fujii 2003; Fujii, Ohtani, 2004; Son, Stephanov, 2004

Fluctuations of σ and n_B are coupled around the CP!

$$\delta\sigma \simeq \delta n_B$$



σ : fast damping

$$F(\sigma, n) = A\sigma^2 + B\sigma n + Cn^2 + \dots$$

Evolution of baryon number density

Stochastic Diffusion Equation

$$\partial_t n = D(t) \partial_x^2 n + \partial_x \xi$$

$$\langle \xi(x_1, t_1) \xi(x_2, t_2) \rangle = \chi_2(t) \delta^{(2)}(1 - 2)$$

$D(t), \chi_2(t)$: parameters characterizing criticality

We study the 2nd order cumulant as well as correlation function.

Our Main Conclusion

Non-monotonicity in
cumulants or correlation func.

=

Signal of
QCD-CP

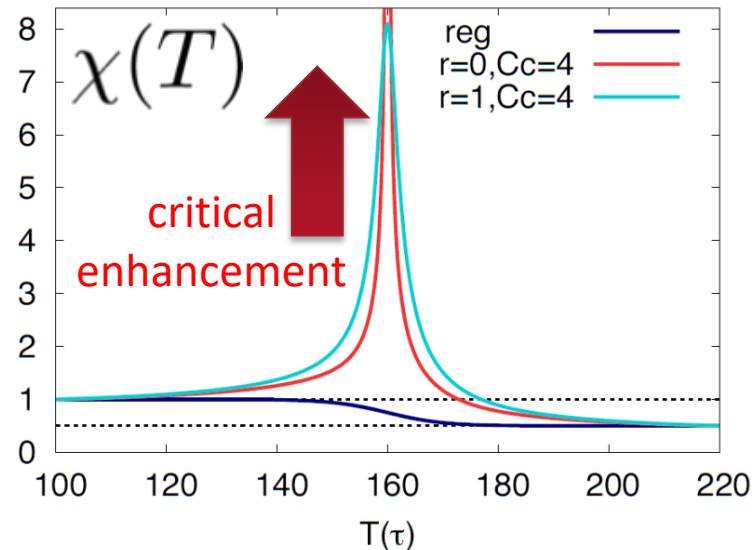
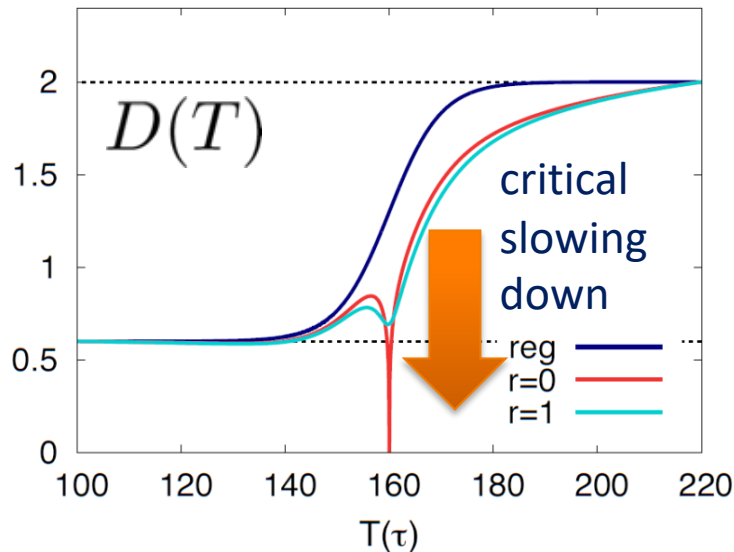
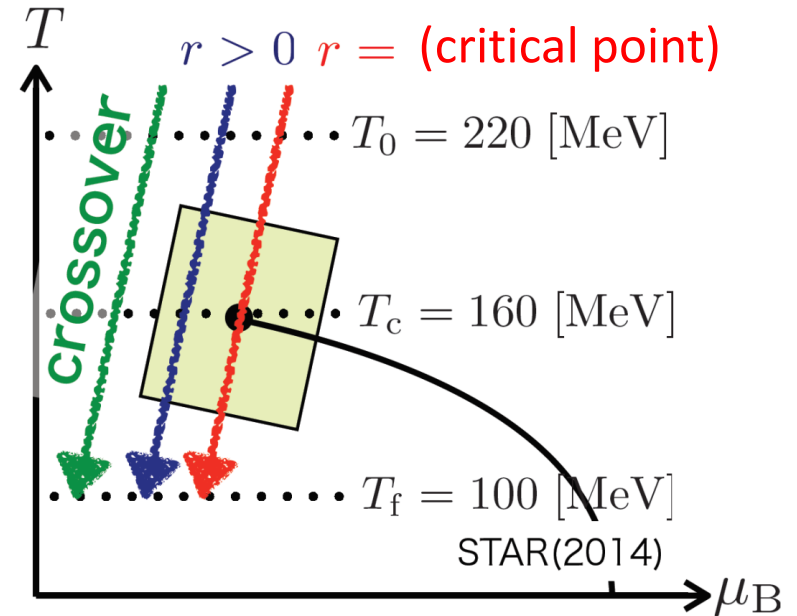
Parametrizing $D(\tau)$ and $\chi(\tau)$

❑ Critical behavior

- 3D Ising (r, H)
- model H

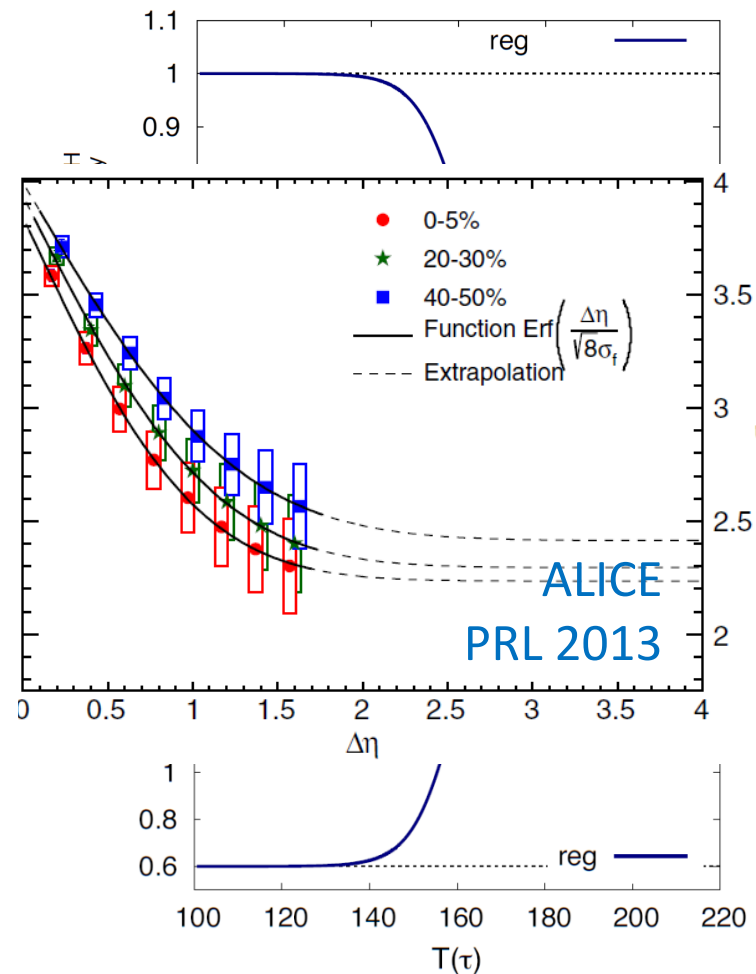
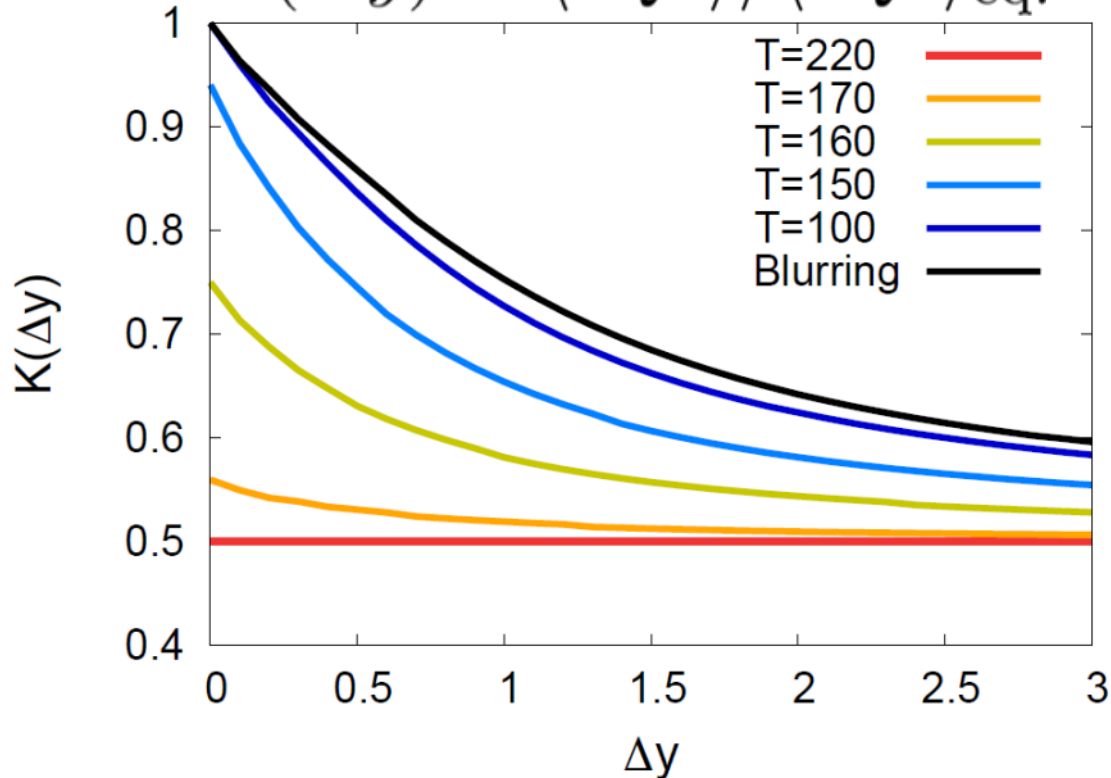
Berdnikov, Rajagopal (2000)
Stephanov (2011); Mukherjee+(2015)

❑ Temperature dep.



Crossover / Cumulant

$$K(\Delta y) = \langle \delta Q^2 \rangle / \langle \delta Q^2 \rangle_{\text{eq.}}$$



□ monotonically decreasing

Analytic
result

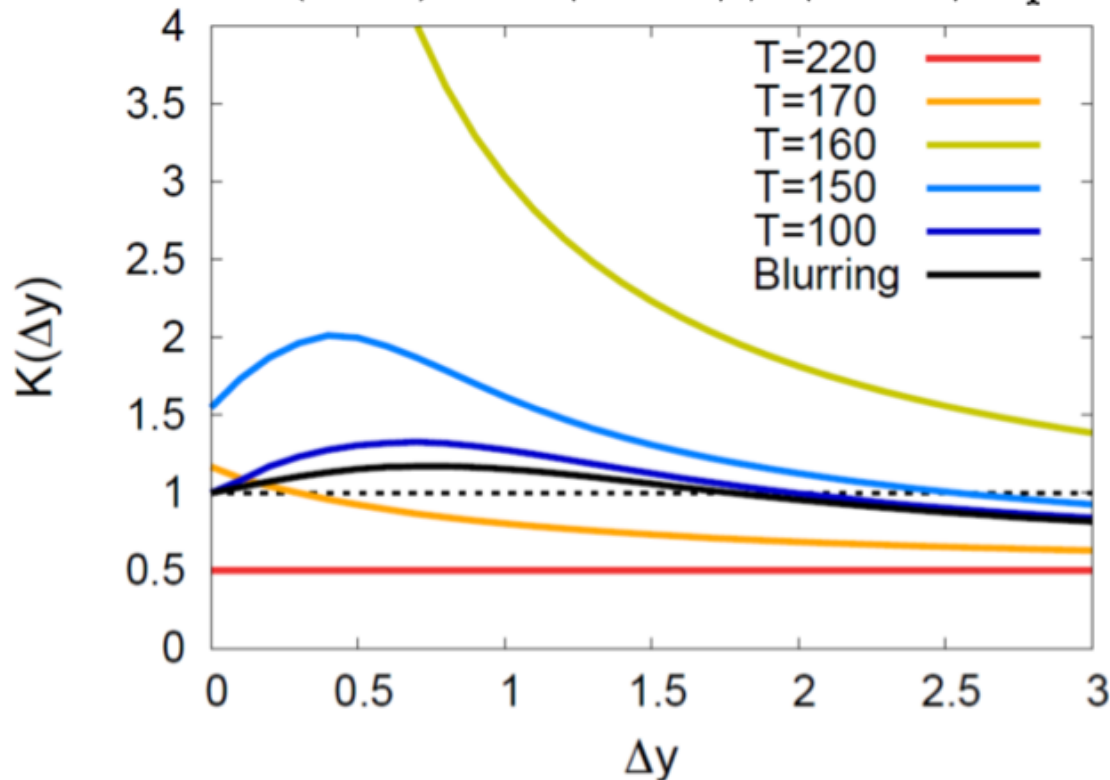
$\chi(\tau)$
monotonically
increasing



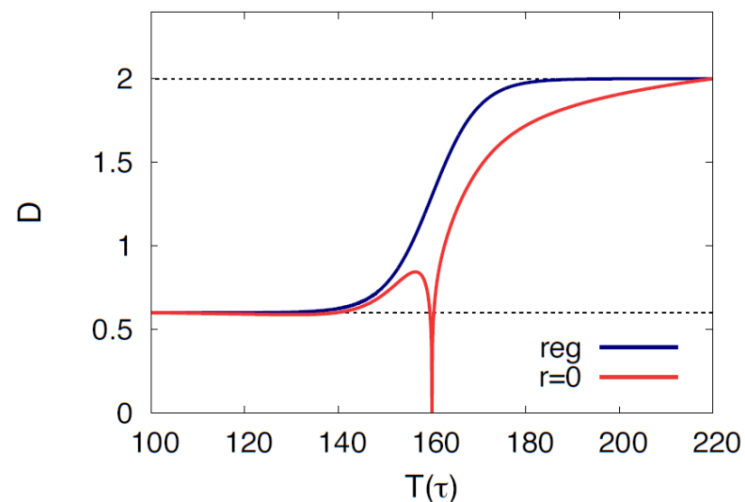
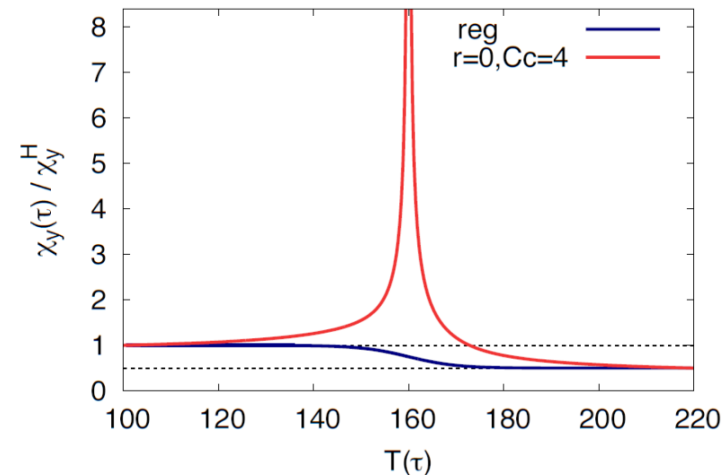
$K(\Delta y)$
monotonically
decreasing

Critical Point / Cumulant

$$K(\Delta y) = \langle \delta Q^2 \rangle / \langle \delta Q^2 \rangle_{\text{eq.}}$$



□ non-monotonic Δy dep.



Analytic
result

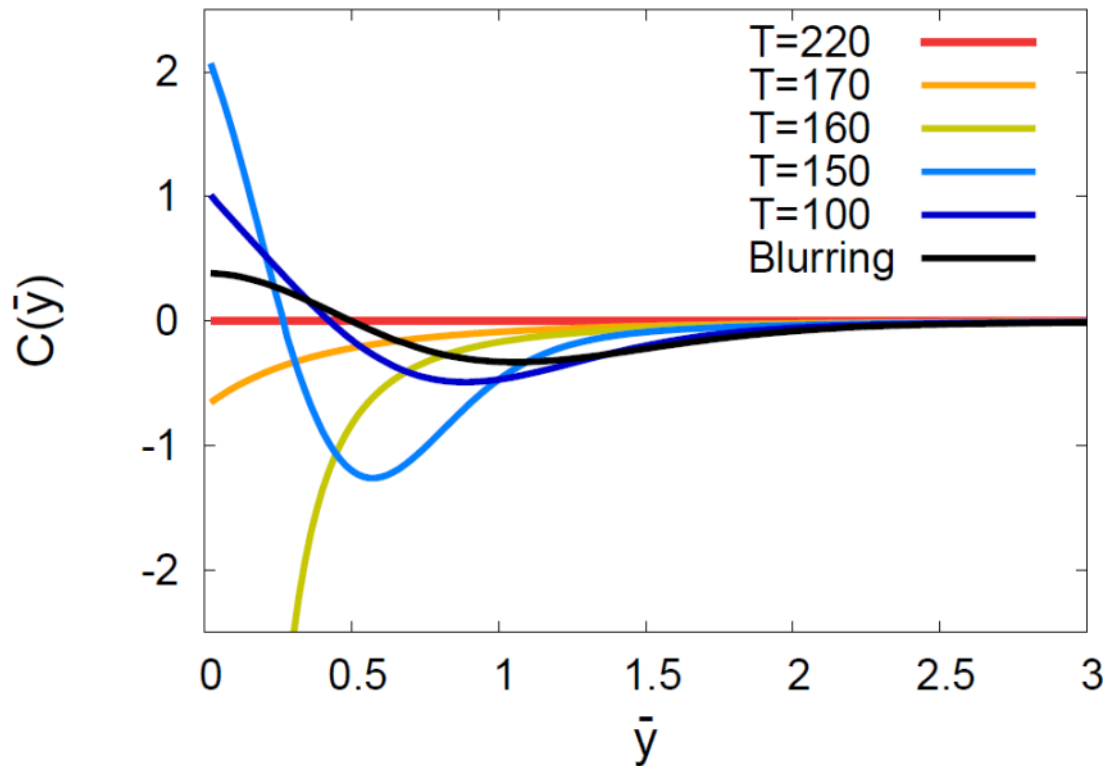
$K(\Delta y)$
non-monotonic



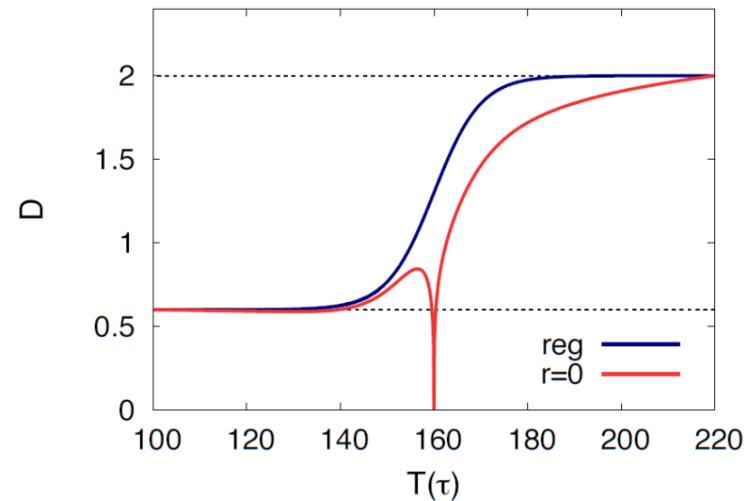
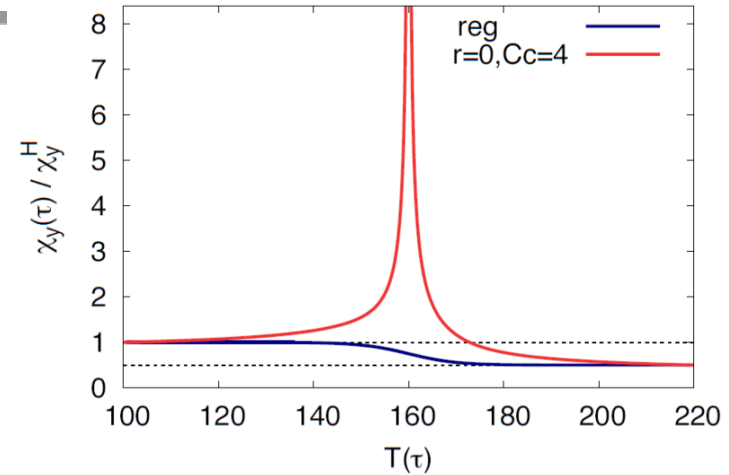
$\chi(\tau)$
non-monotonic

Criticap Point / Correlation Func.

$$C(\bar{y}) = \langle \delta n(\bar{y}) \delta n(0) \rangle / \chi_{\text{hadron}}$$



□ non-monotonic Δy dep.



Analytic
result

$C(\Delta y)$
non-monotonic



$\chi(\tau)$
non-monotonic

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Murata, MK, in preparation

Analysis of 3rd-order Cumulant

Murata, MK
in preparation

SDE: Higher order cumulants vanish in equi.

Include a non-linear effect into SDE

$$\partial_t n = D(t) \partial_x^2 \frac{\delta \Omega[n]}{\delta n(x)} + \partial_x \xi$$

$$\Omega[n] = \int dx (\lambda_2 n(x)^2 + \lambda_3 n(x)^3)$$

See, Nahrgang, QM2017

$$\lambda_3 = \frac{\chi_3}{\chi_2^3}$$

$$\lambda_3 = 0$$

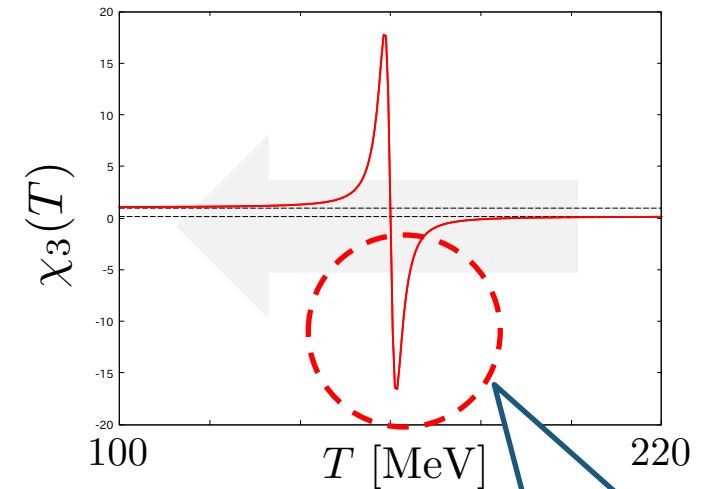
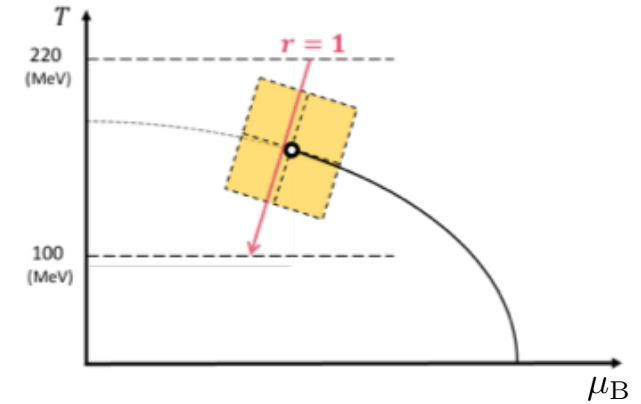
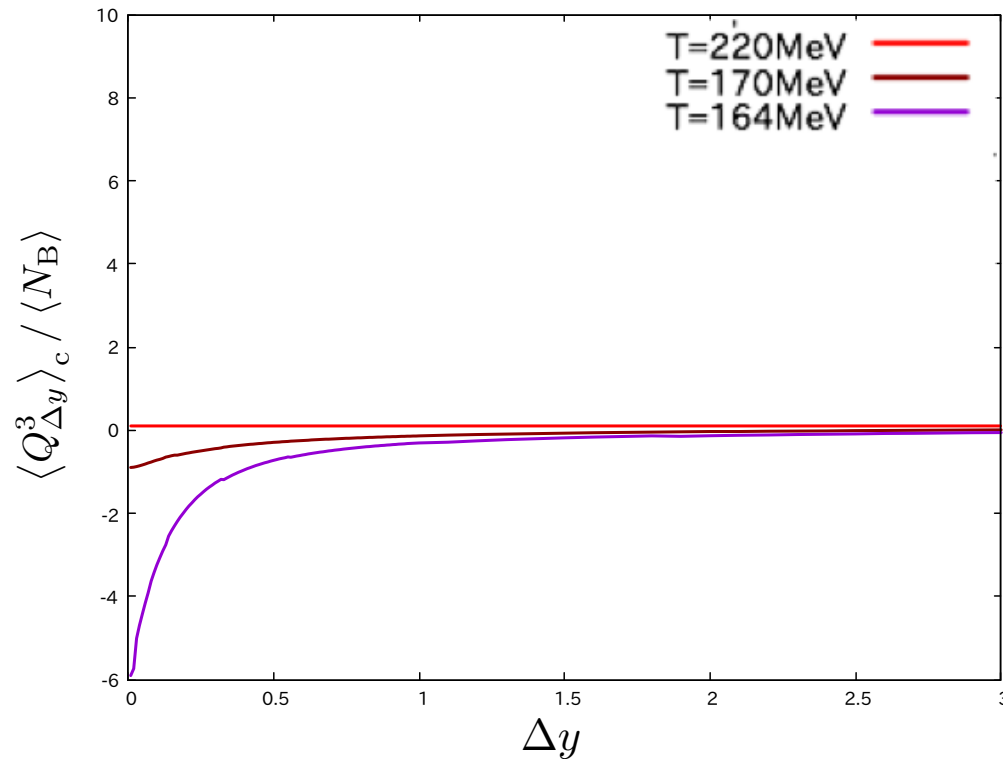
SDE

Analytic solution at the leading order in λ_3 for

$$\langle N^3 \rangle_c, \quad \langle \delta n(x_1) n(x_2) n(x_3) \rangle$$

Time Evolution: Near CP

Murata, MK
in preparation

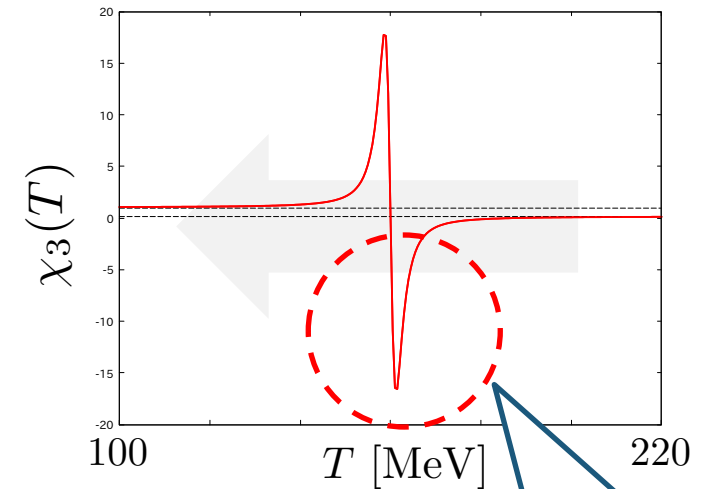
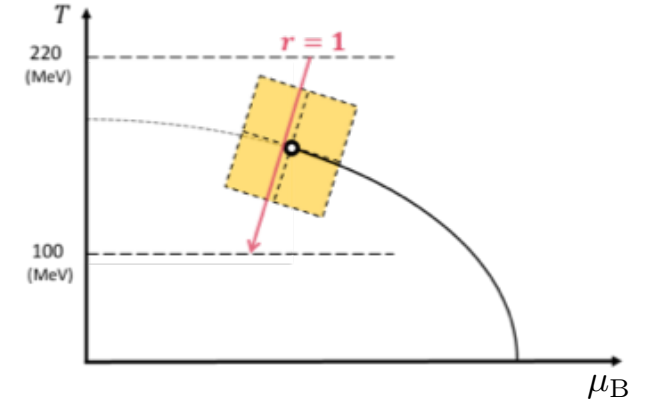
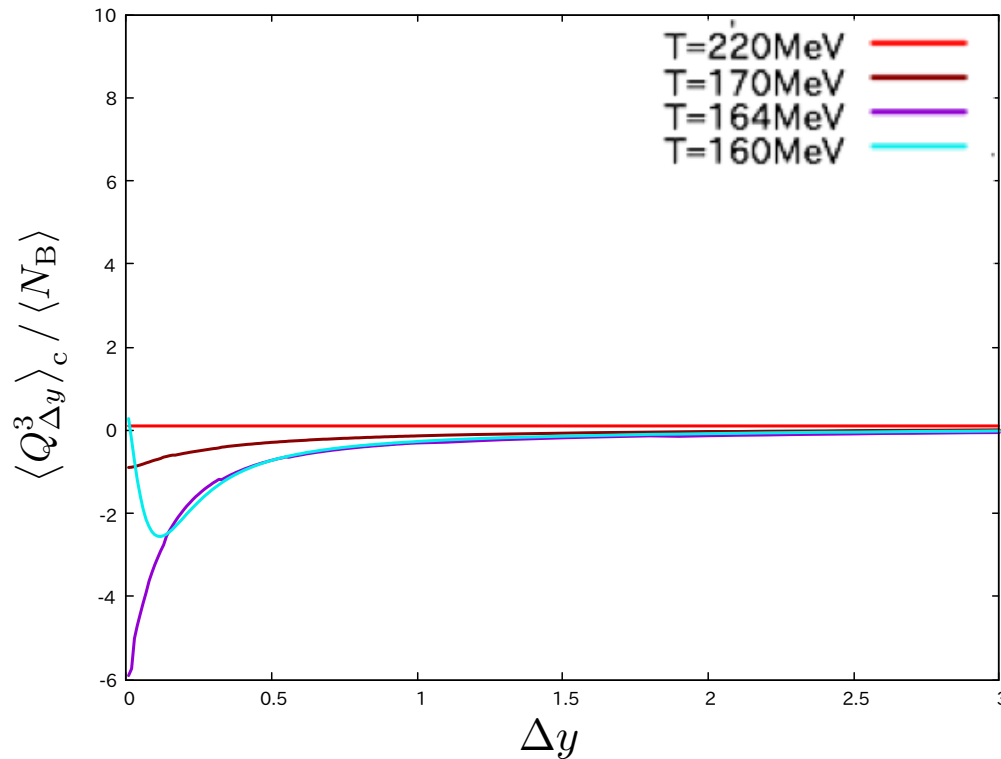


negative

Asakawa, Ejiri, MK
(2009)

Time Evolution: Near CP

Murata, MK
in preparation

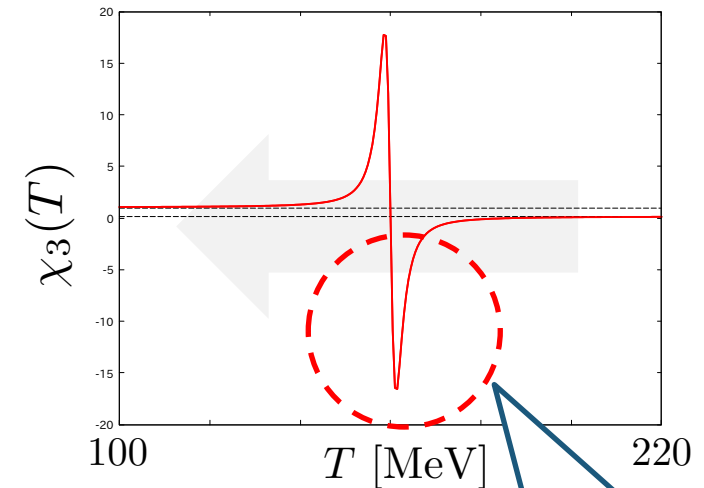
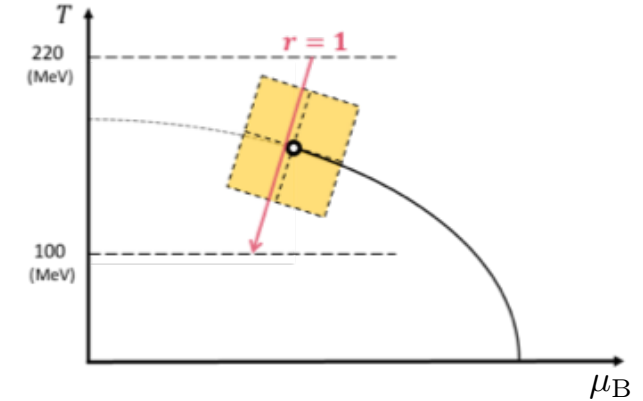
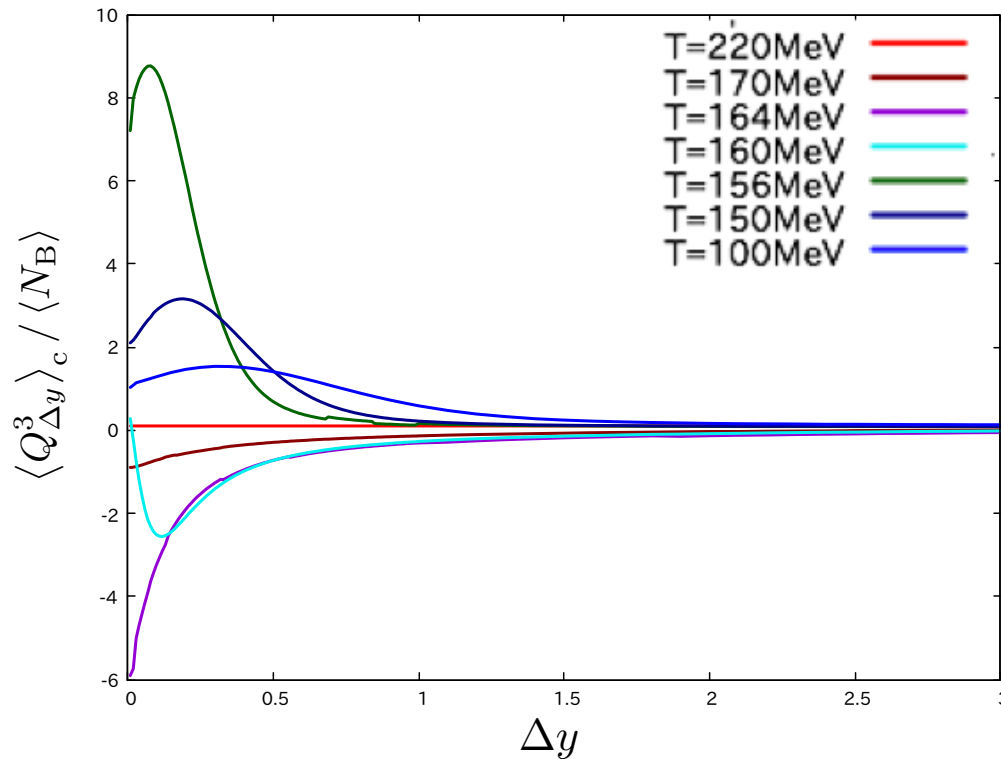


negative

Asakawa, Ejiri, MK
(2009)

Time Evolution: Near CP

Murata, MK
in preparation



- Sharp peak survives as a remnant of criticality
- Negative 3rd cumulant is buried by the diffusion.

negative
Asakawa, Ejiri, MK
(2009)

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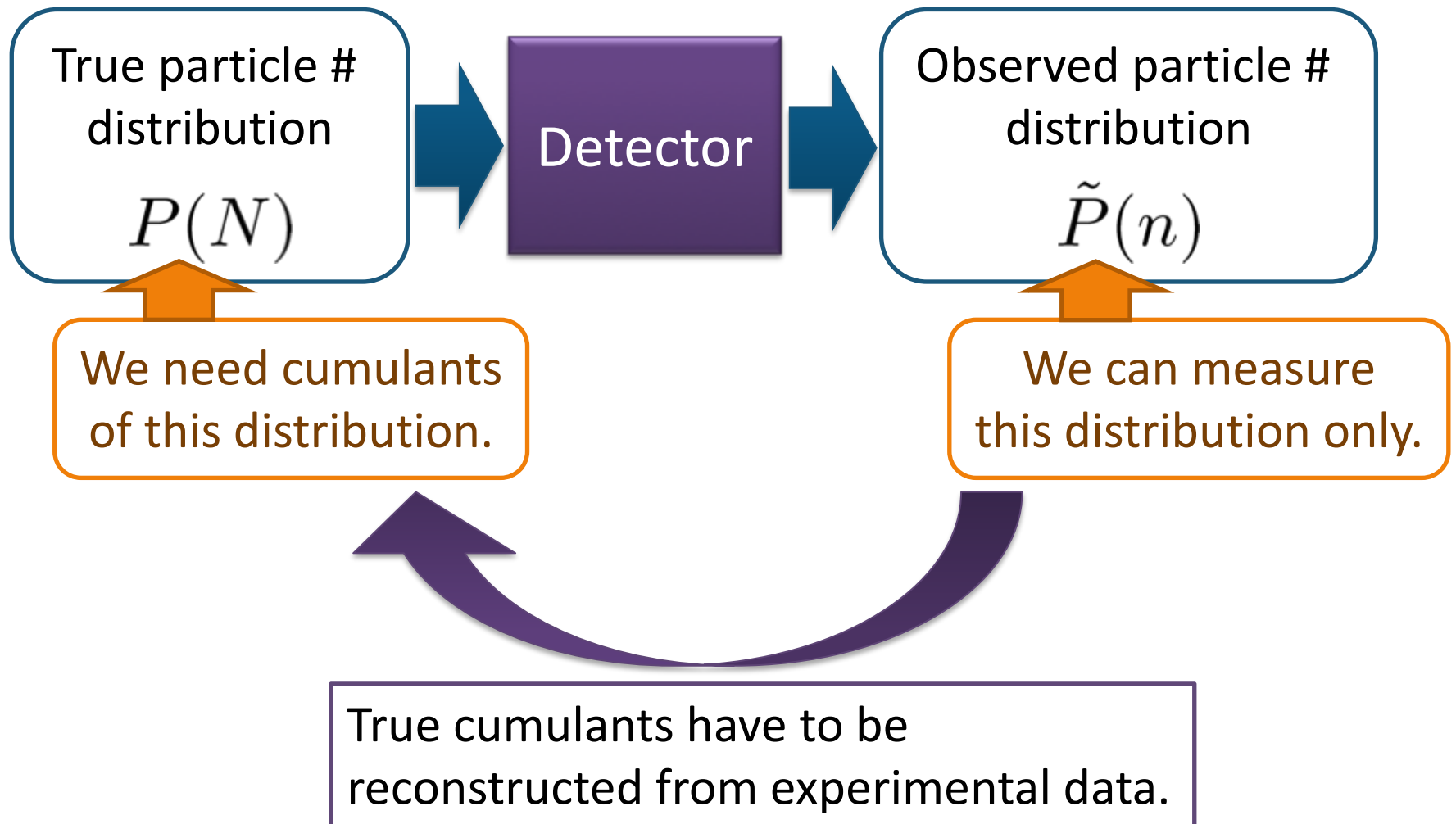
4. Non-binomial Efficiency Correction

- Previous methods
- New general method

Nonaka, Esumi, MK, to appear soon

Efficiency / Efficiency Correction

Experimental detectors have miscounting & misidentification...



Response Matrix

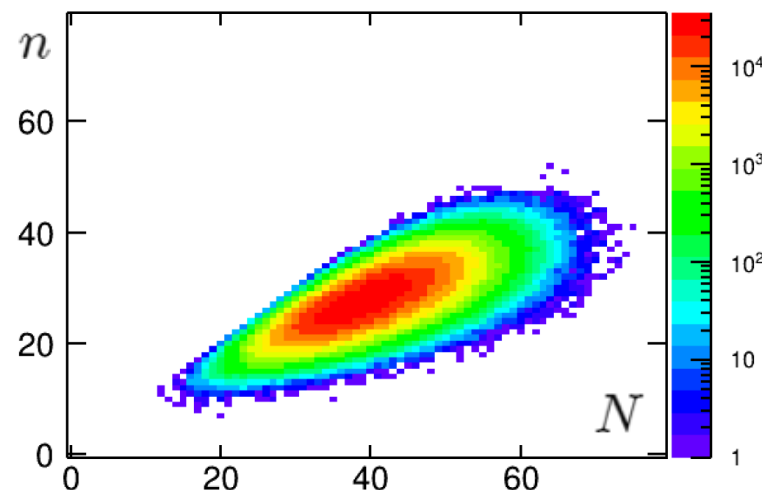


If each measurement is uncorrelated...

$$\tilde{P}(n) = \sum_N \mathcal{R}(n; N) P(N)$$

$\mathcal{R}(n; N)$

Response matrix
model of detector



Efficiency Correction

□ Binomial model

Independence of efficiency loss for individual particles

➡ $\mathcal{R}(n; N)$: Binomial distribution func.



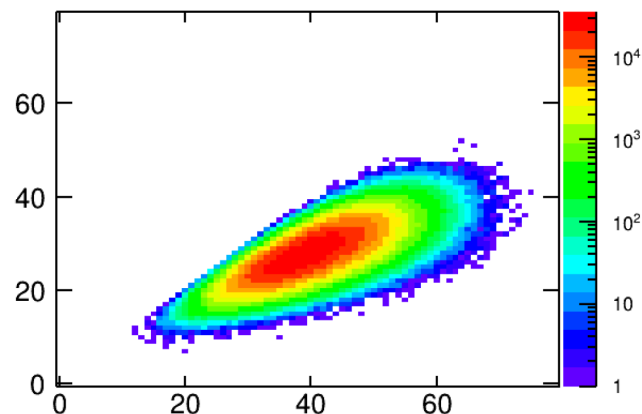
Cumulants of n can be represented by those of N

Bialas, Peschanski (1986); MK, Asakawa (2012); Bzdak, Koch (2012)

□ Unfolding

□ Construct true distribution func.

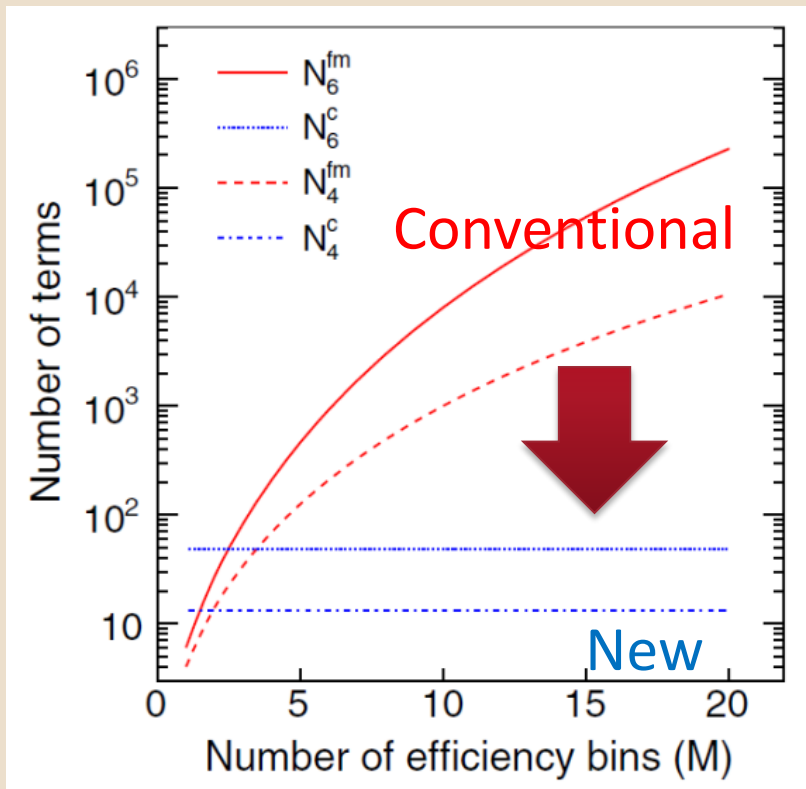
□ Numerically demanding



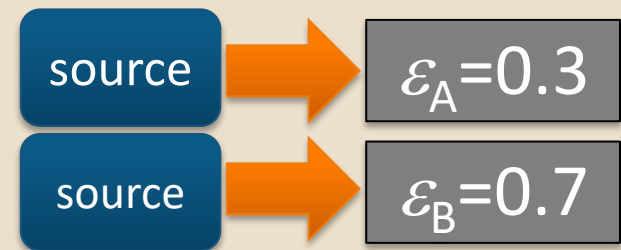
Binomial Model

An efficient algorithm for multi-variable system
Nonaka, MK, Esumi, PRC2017

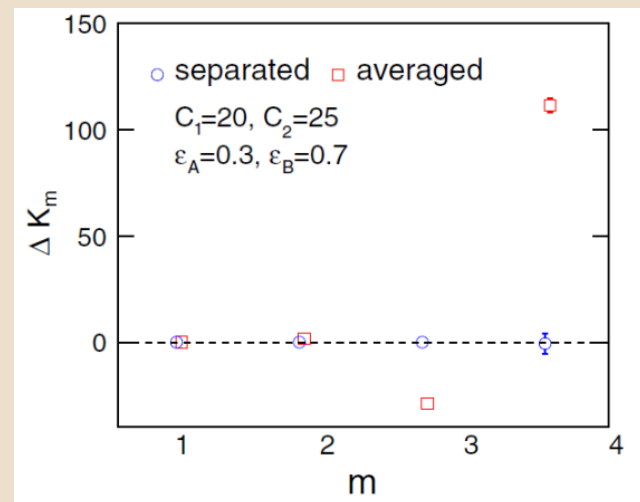
Numerical Cost



A Toy Model Test



deviation from true cumulant



General Efficiency Correction

Nonaka, Esumi, MK,
to appear soon

Use moments of $R(n;N)$

$$\langle n^m \rangle_R = \sum_n n^m \mathcal{R}(n; N)$$

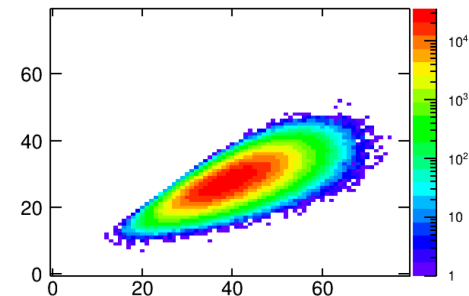
Taylor expand

$$\langle n^m \rangle_R = r'_{m0} + r'_{m1}(N - N_0) + r'_{m2}(N - N_0)^2 + \dots$$

Relation b/w true and observed moments

$$\begin{bmatrix} \langle n \rangle \\ \langle n^2 \rangle \\ \vdots \end{bmatrix} = R \begin{bmatrix} \langle N \rangle \\ \langle N^2 \rangle \\ \vdots \end{bmatrix} \quad \Rightarrow \quad \begin{bmatrix} \langle N \rangle \\ \langle N^2 \rangle \\ \vdots \end{bmatrix} = R^{-1} \begin{bmatrix} \langle n \rangle \\ \langle n^2 \rangle \\ \vdots \end{bmatrix}$$

By truncating the Taylor exp. at m th order,
“true” moments up to m th order are obtained.



A Toy-Model Analysis

Nonaka, Esumi, MK
to appear soon

Binomial model w/ multiplicity-dependent efficiency

$$\epsilon(N) = \epsilon_0 + (N - N_{\text{ave}})\epsilon'$$

Holtzman, Bzdak,
Koch (16)

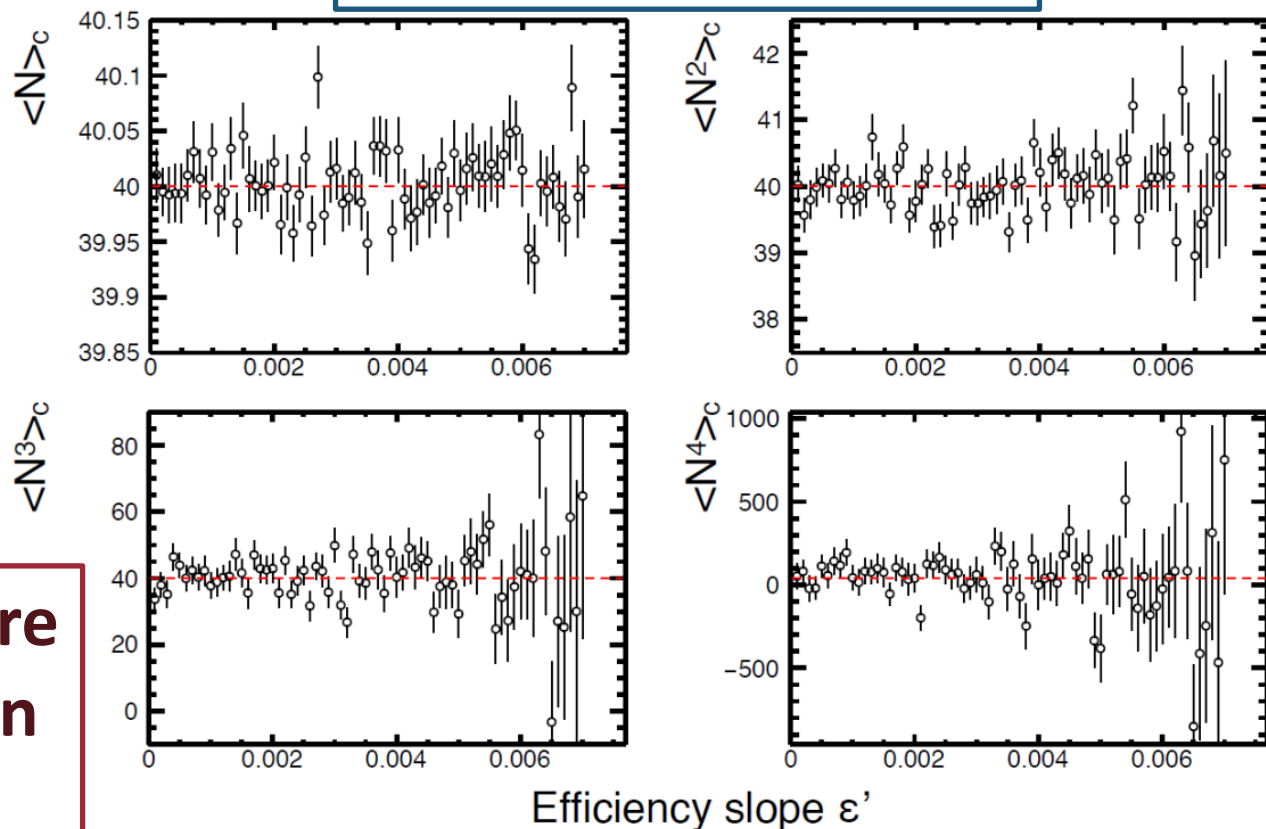
Reconstructed cumulants

Input $P(N)$:
Poisson($\lambda=40$)

$$\epsilon_0 = 0.7$$

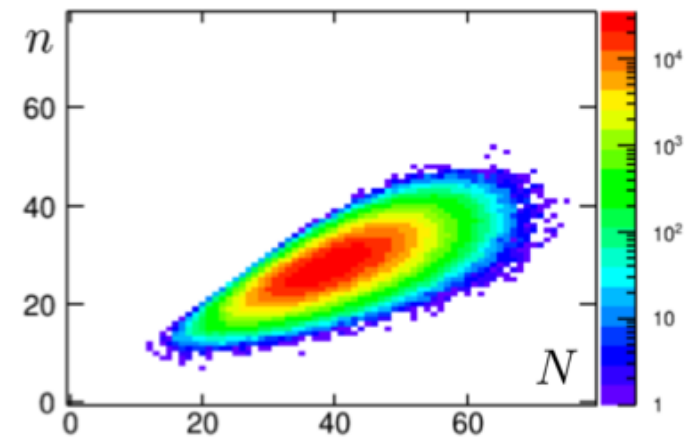
Red:
true cumulant

**True cumulants are
reproduced within
statistics!**



Comments

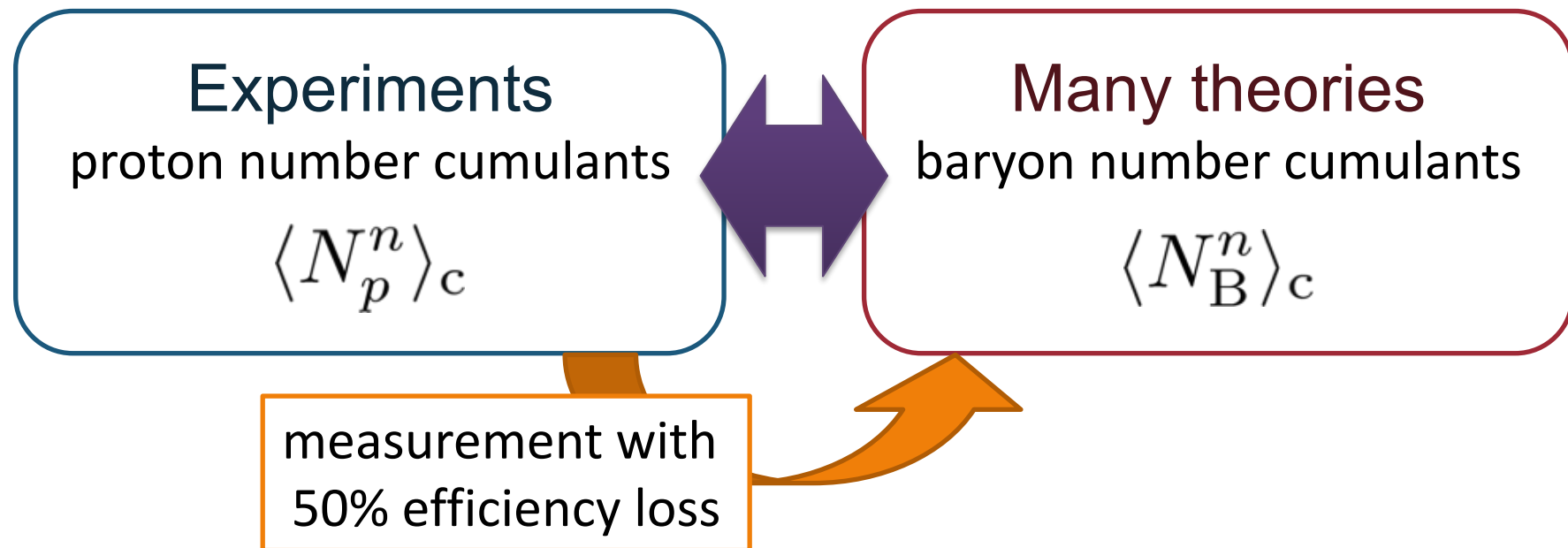
□ $\langle n^m \rangle_R = \sum_n n^m \mathcal{R}(n; N)$
can be obtained from $R(n; N)$



- The truncation has to be well justified.
- Some distributions are automatically truncated.
 - Correct efficiency correction is possible.
 - binomial, hyper-geometric, beta-binomial, ... ,
binomial with fluctuating probability [He, Luo, last Friday](#)
- Compared to unfolding method,
 - numerically cheaper and would be more stable
 - origin of error is more apparent
- Extension to multi-variable case is straightforward.

Proton v.s. Baryon Number Cumulants

MK, Asakawa, 2012; 2012



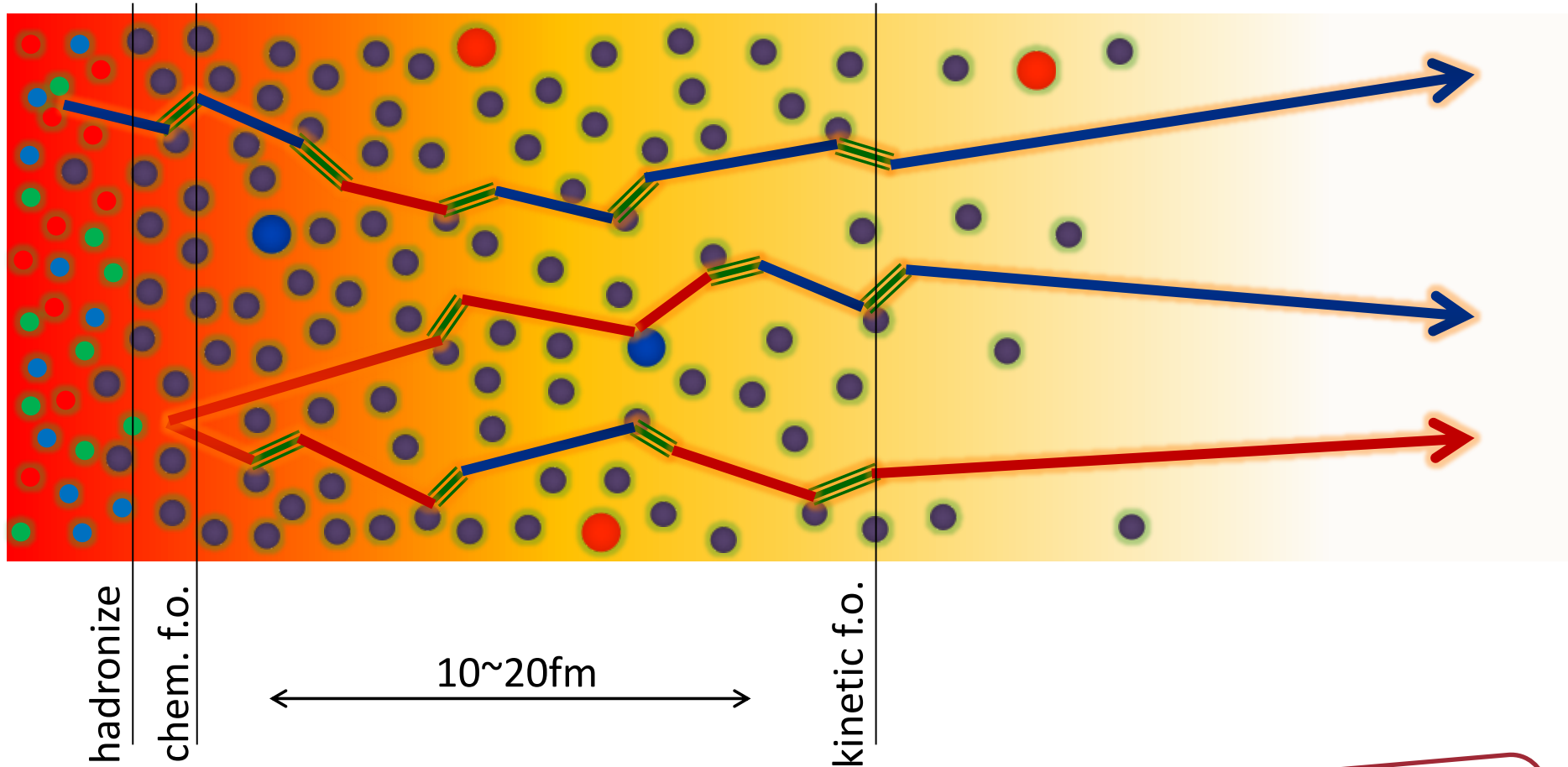
- ❑ The difference would be large.
- ❑ Reconstruction of $\langle N_B^n \rangle_c$ is possible using the binomial model.
- ❑ The use of binomial model is justified by “isospin randomization.”
- ❑ And the loss due to momentum cut...

Summary

- ❑ Understanding dynamical aspects of fluctuations is important!
- ❑ Plenty information in Δy dependence of cumulants:
 - ❑ Higher order cumulants can behave non-monotonically.
 - ❑ \rightarrow can be used for constraining parameters.
 - ❑ Non-monotonicity in 2nd order cumulant is an experimental signal for the existence of the QCD-CP.
- ❑ A general algorithm for the efficiency correction:
 - ❑ Correct reconstruction for non-binomial response.
 - ❑ Smaller numerical cost than unfolding methods.
- ❑ Reconstructing baryon # cumulants is important!
- ❑ Let's continue the search for the QCD-CP!

Baryons in Hadronic Phase

time →

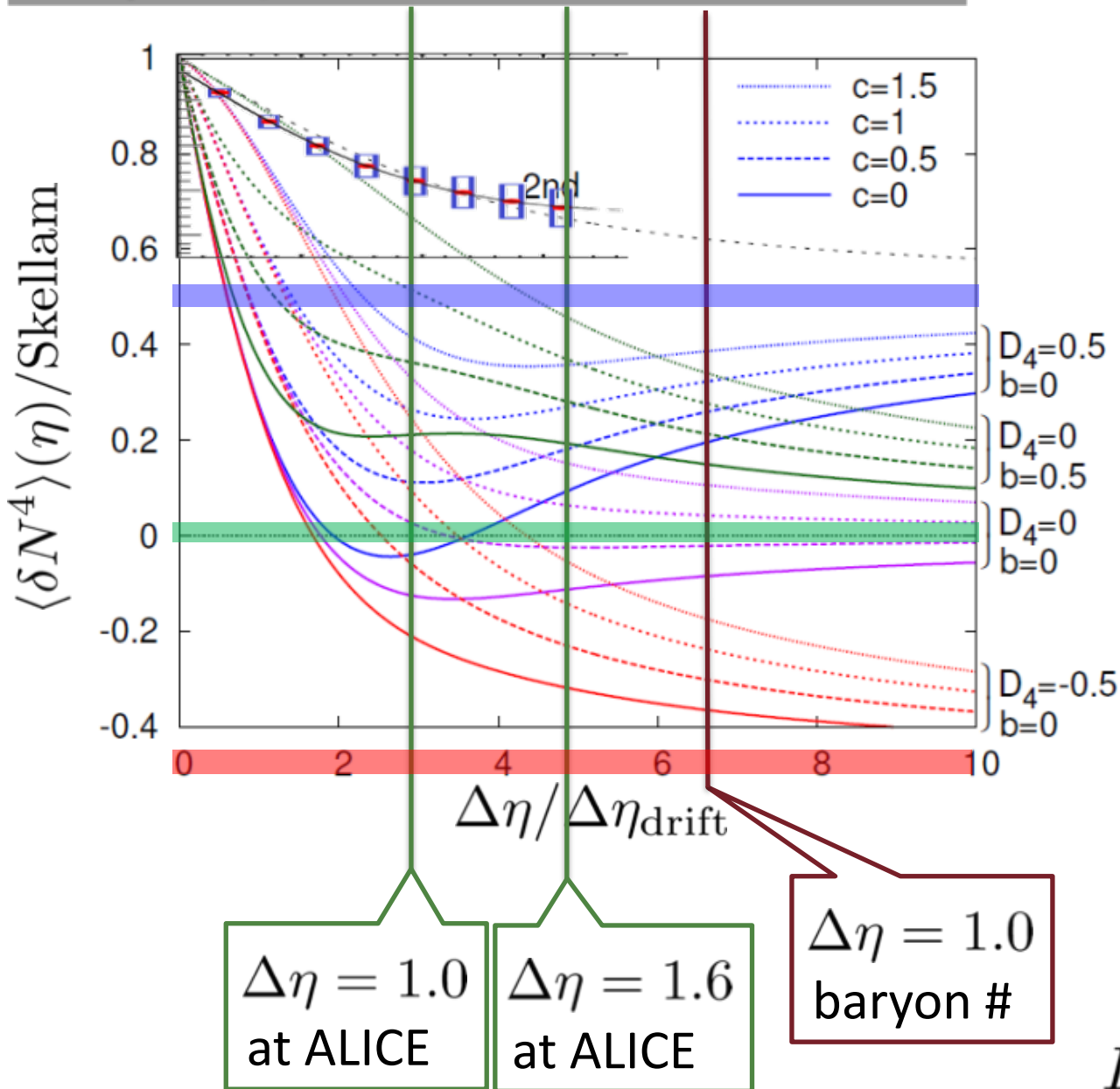


- p, \bar{p}
- n, \bar{n}
- $\Delta(1232)$
- mesons
- baryons

Baryons behave like
Brownian pollens in water

$\Delta\eta$ Dependence: 4th order

MK, NPA(2015)



Initial Condition

$$D_4 = \frac{\langle Q_{(\text{net})}^4 \rangle_c}{\langle Q_{(\text{tot})} \rangle}$$

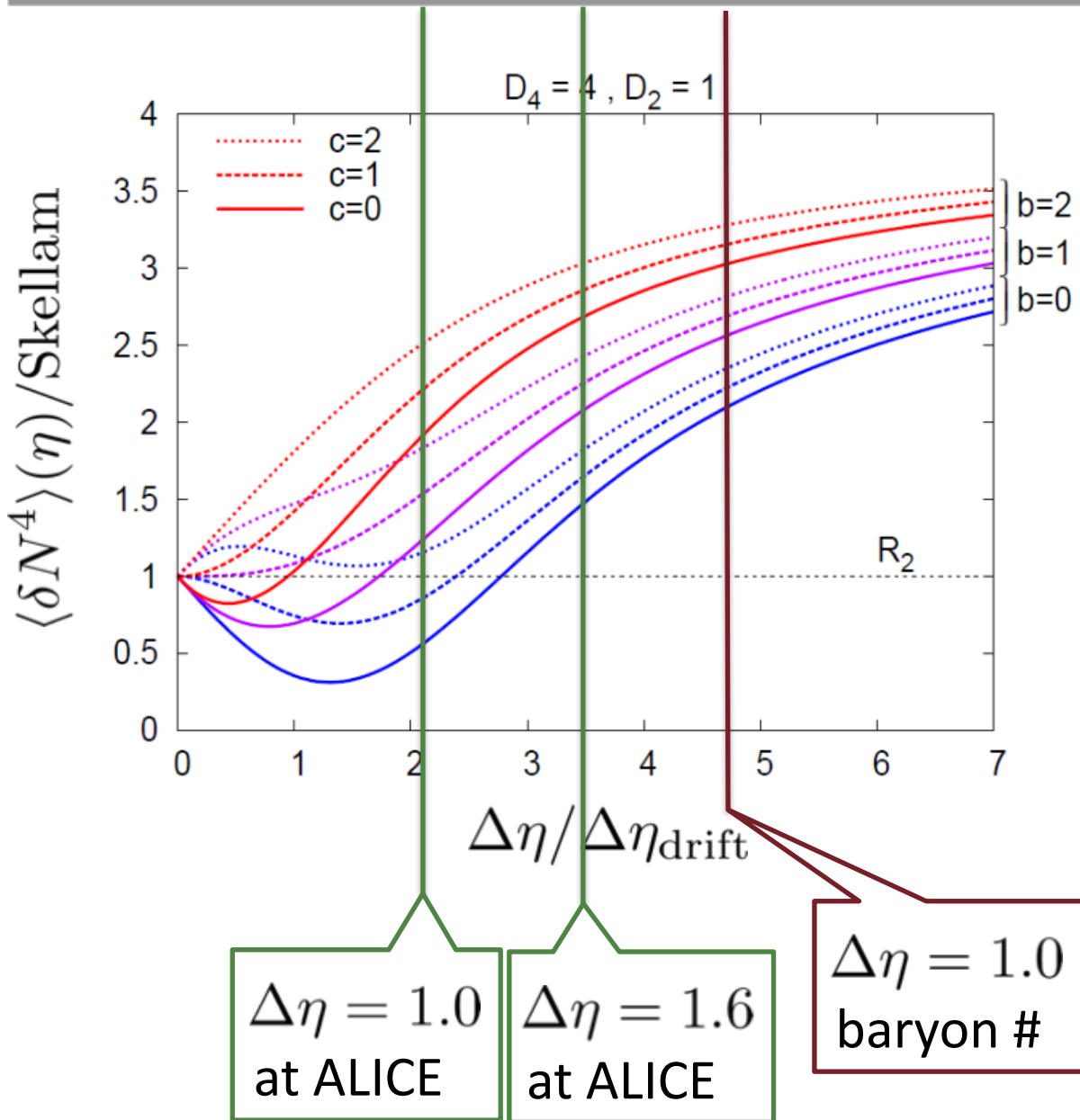
$$b = \frac{\langle Q_{(\text{net})}^2 Q_{(\text{tot})} \rangle_c}{\langle Q_{(\text{net})} \rangle}$$

$$c = \frac{\langle Q_{(\text{tot})}^2 \rangle_c}{\langle Q_{(\text{tot})} \rangle}$$

$$D_2 = \frac{\langle Q_{(\text{net})}^2 \rangle_c}{\langle Q_{(\text{tot})} \rangle} = 0.5$$

$$D \sim M^{-1}$$

4th order : w/ Critical Fluctuation



Initial Condition

$$D_4 = \frac{\langle Q_{(\text{net})}^4 \rangle_c}{\langle Q_{(\text{tot})} \rangle} = 4$$

$$b = \frac{\langle Q_{(\text{net})}^2 Q_{(\text{tot})} \rangle_c}{\langle Q_{(\text{net})} \rangle}$$

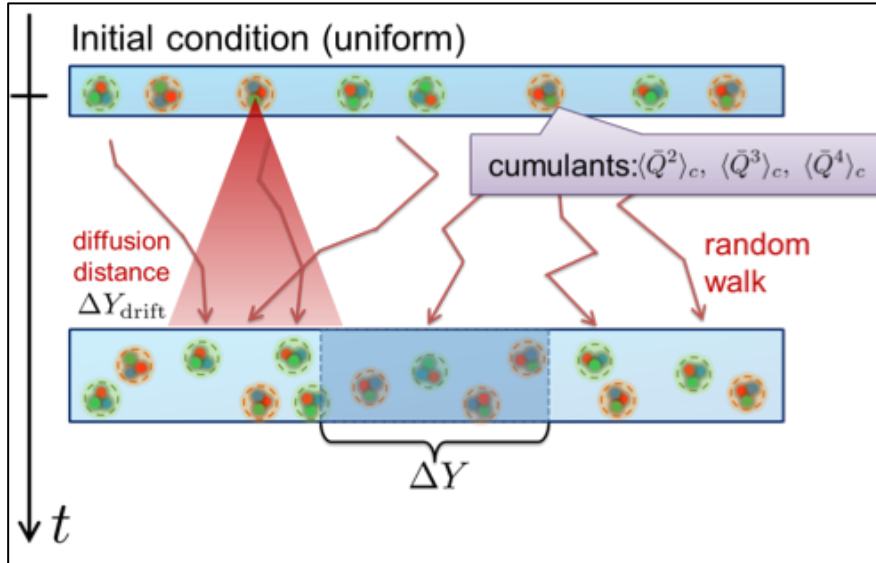
$$c = \frac{\langle Q_{(\text{tot})}^2 \rangle_c}{\langle Q_{(\text{tot})} \rangle}$$

$$D_2 = \frac{\langle Q_{(\text{net})}^2 \rangle_c}{\langle Q_{(\text{tot})} \rangle} = 1$$

$$D \sim M^{-1}$$

Translating Languages

Brownian particle model

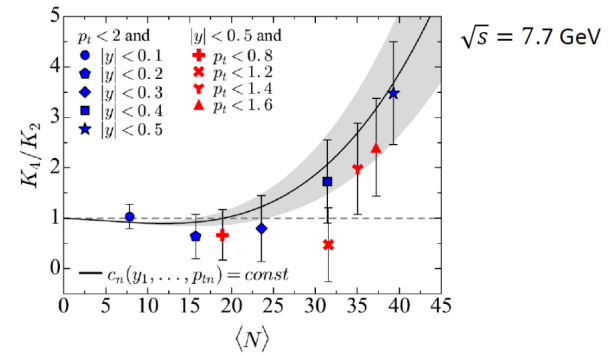


From Bzdak's talk

Constant correlation

$$c_2 = \frac{\int \rho(y_1) \rho(y_2) c_2(y_1, y_2) dy_1 dy_2}{\int \rho(y_1) \rho(y_2) dy_1 dy_2}$$

$$c_n(y_1, p_{t1}, \dots, y_n, p_{tn}) = c_n^0 = \text{const} \rightarrow c_n = c_n^0$$



AB, V. Koch, 1707.02640

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$$\langle n^m \bar{n}^{\bar{m}} \rangle_{\text{fc}} = \kappa_{m\bar{m}} \Delta y F_{m+\bar{m}}(\Delta y/d)$$

$$\begin{aligned} c_{m\bar{m}}^0 &= \frac{1}{2} \frac{\partial^2}{\partial \Delta y^2} \langle n^m \bar{n}^{\bar{m}} \rangle_{\text{fc}} \Big|_{\Delta y \rightarrow 0} = \kappa_{m\bar{m}} \frac{\partial}{\partial \Delta y} F_{m+\bar{m}}(\Delta y/d) \Big|_{\Delta y \rightarrow 0} \\ &= \frac{\kappa_{m\bar{m}}}{d} \frac{1}{\sqrt{(m+\bar{m})(2\pi)^{m+\bar{m}-1}}} \end{aligned}$$

$\kappa_{m\bar{m}}$: F cumulants at initial condition
 d : diffusion distance