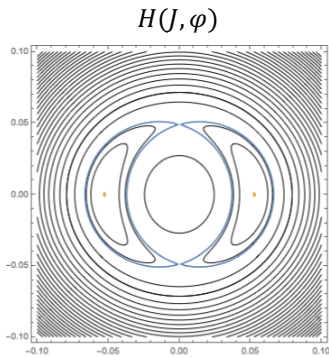
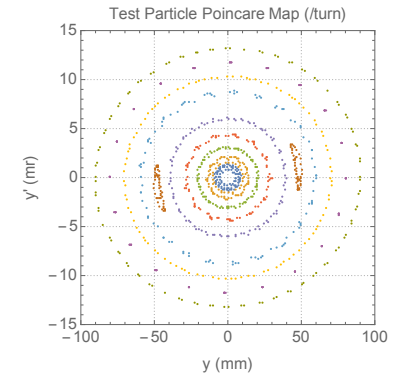




Particle loss near the half integer resonance: transition from frozen space charge to self- consistent models



*C M Warsop,
D J Adams, B Jones, B G Pine,
R E Williamson, A Pertica, C C Wilcox
ISIS, RAL, UK*

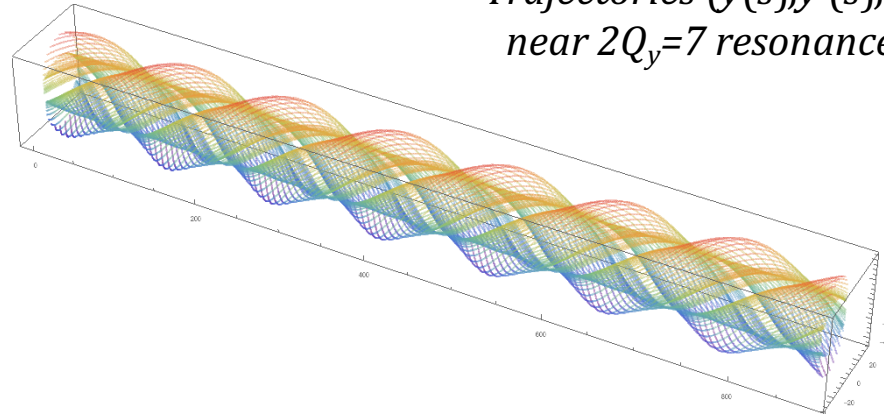


Space Charge 17, GSI Darmstadt, 4-6 October 2017





*Trajectories $(y(s), y'(s), s)$
near $2Q_y=7$ resonance*



Contents

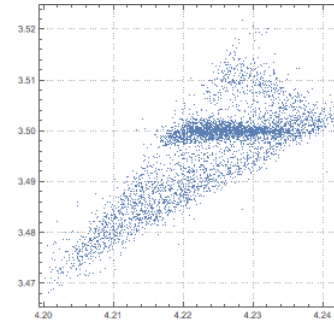
1. Introduction: *What are we doing and why?*
2. Review of space charge experiments and simulations
3. Simple frozen space charge model
4. Self consistent simulation study and comparison with model
5. Application of the model to explain measurements
6. Summary and next steps
7. Acknowledgements



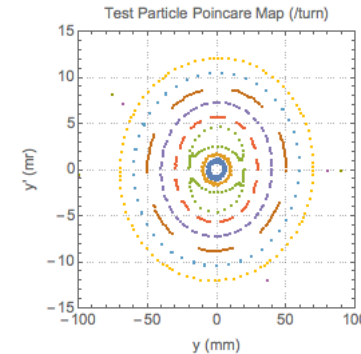
1. Introduction

- Why does the half integer resonance matter?
The high intensity limit \sim how near coherent limit?
Can we understand and minimise its effects?
Run at higher space charge levels – lower linac costs?
- ISIS RCS: half integer important loss mechanism
Early cycle loss:
 - 1 \sim increase $Q_y \rightarrow$ loss due to head-tail instability
 - 2 \sim decrease $Q_y \rightarrow$ loss due to half integer ($\Delta Q_{incoh} \approx 0.5$)Whilst can see 1 directly, 2 is inferred from loss ... how real?
- Undertook experiments to observe more directly
Simplify: RCS \rightarrow SRM, 2D coasting beam; measure loss, profiles
Can we explain what we really see, beyond beam loss?
Explain evolution of beam distributions ...

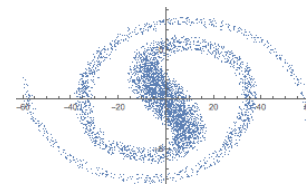
*Incoherent Tunes
(Q_x, Q_y)*



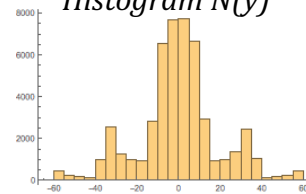
Single Particle (y,y')



Single Particle (y,y')



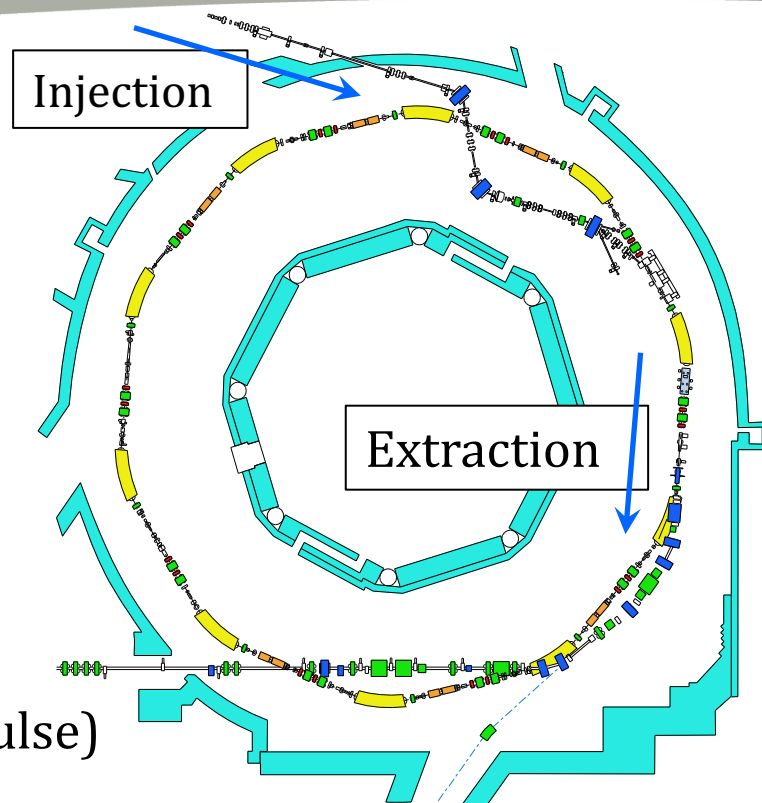
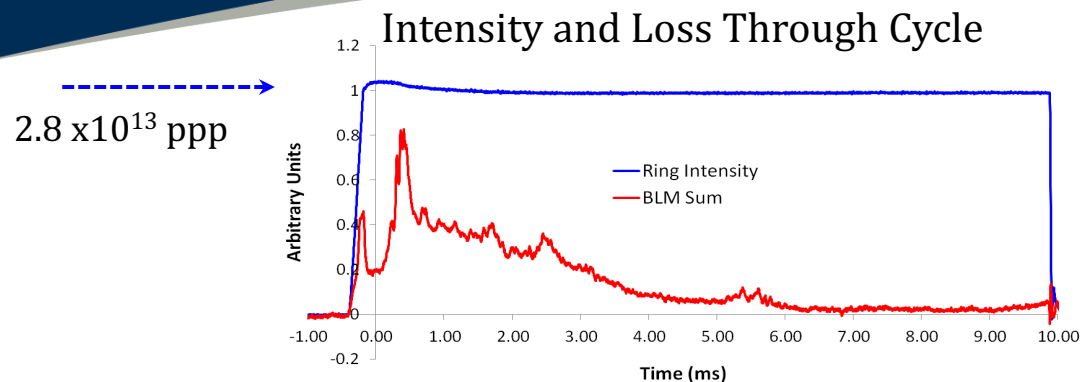
Histogram N(y)



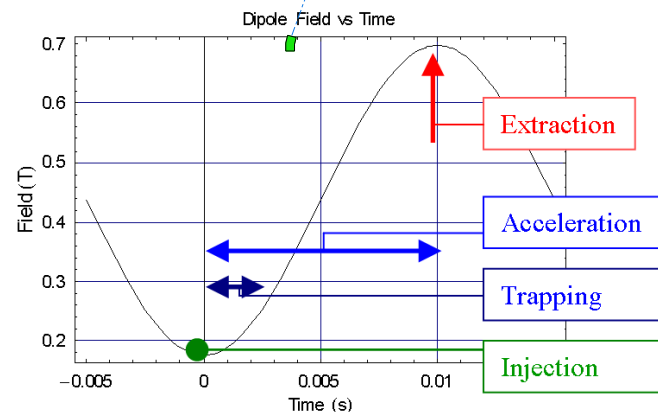
(SRM=Storage Ring Mode)



1. The ISIS Synchrotron



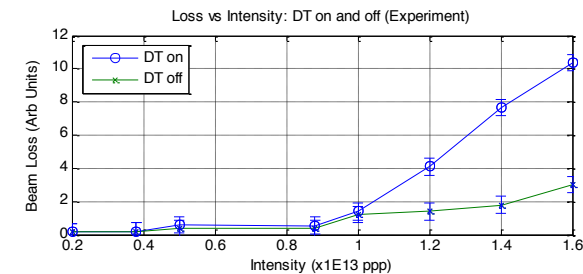
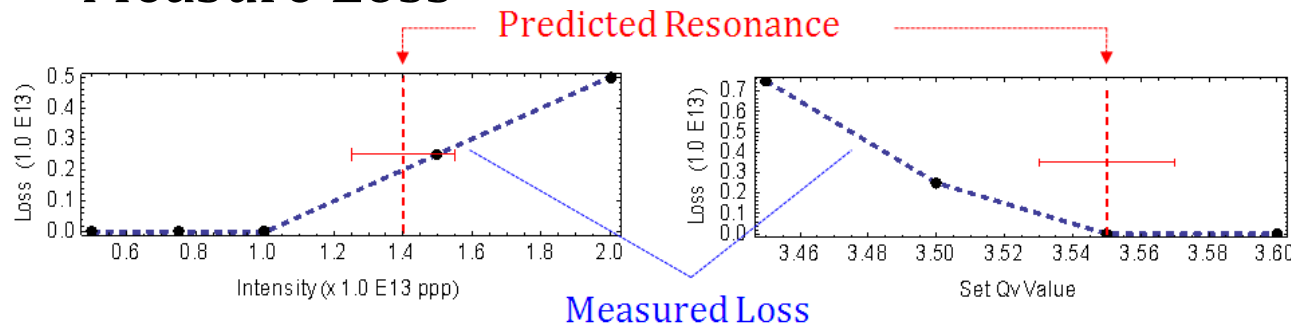
Circumference: 163 m
Energy Range: 70-800 MeV
Rep Rate: 50 Hz
Intensity: 2.5-3.0 x 10¹³ ppp (protons per pulse)
Beam Power: 160-200 kW
Losses: Inj: 2%, Trap: <3%, Acc/Ext <0.5%
Injection: 130 turn, H⁻ charge-exchange
Acceptances: Collimated ~350 π mm mr
RF System: h=2, f₂=1.3-3.1 MHz, V₂ ~160kV/turn
(2 bunches) h=4, f₄=2.6-6.2 MHz, V₄ ~80 kV/turn
Extraction: Single turn, vertical
Tunes: (Q_x, Q_y)=(4.31, 3.83) (*programmable*)





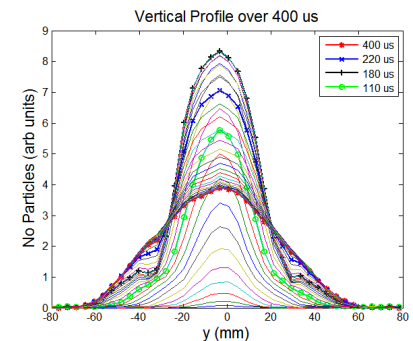
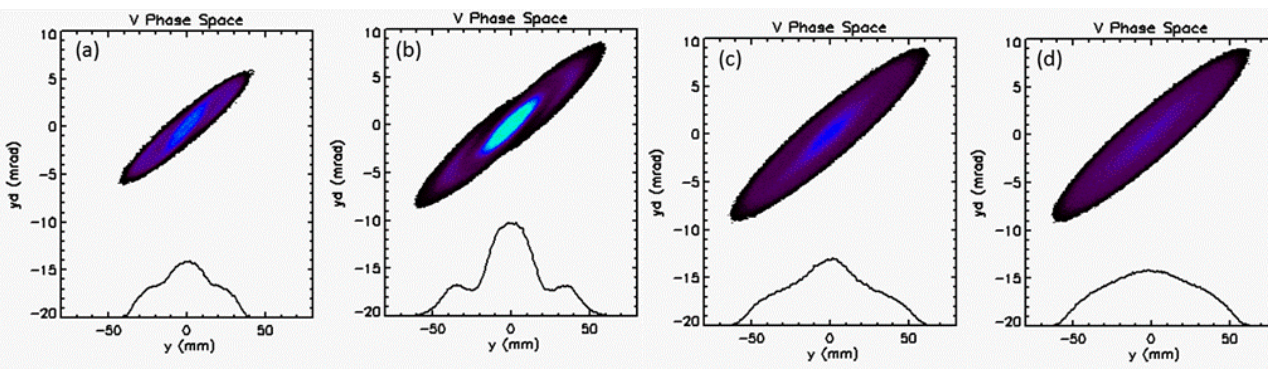
2. Review of Experiments

- Experimental studies 2D coasting beam (Storage Ring Mode)
RF off, DC magnet field, inject small beam $\varepsilon_x = \varepsilon_y$, multi-turn injection
 $\varepsilon_{rms} \approx 20 \pi$ mm mr, $2Q_y = 7$ quadrupole driving term ($\Delta k_7 = 0.05$), $Q_y = 3.6$
Ramp intensity ($N_p \sim 1E13$ ppp), ramp tune: push onto coherent resonance
- Measure Loss



- Measure Profiles: Observations agree with ORBIT
ORBIT results

Transverse profile
Measured over 400 μ s





2. Review of Experiments

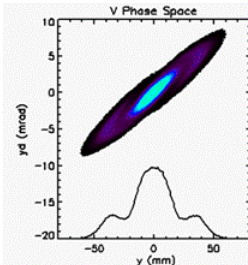
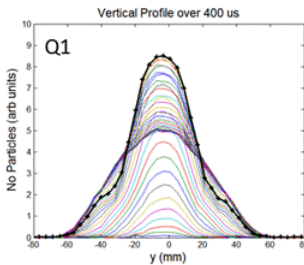
- Experiments characterized profile evolution

Dependence on tune

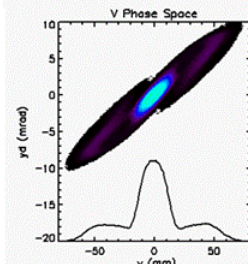
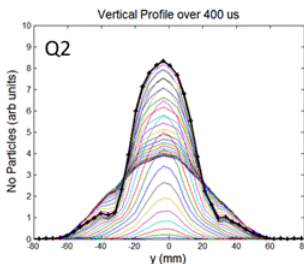
Measured

ORBIT

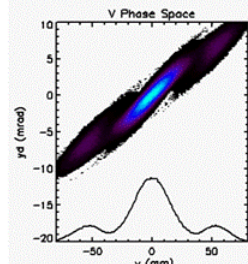
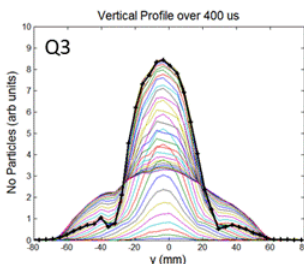
$$Q_1 = 3.71$$



$$Q_2 = 3.67$$



$$Q_3 = 3.63$$

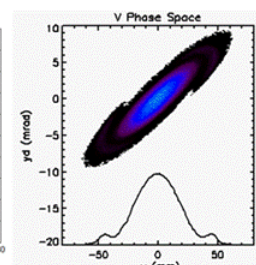
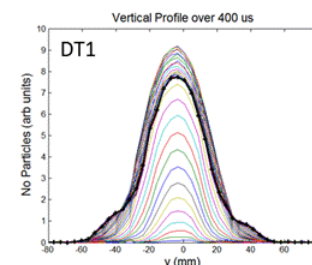


Dependence on driving term

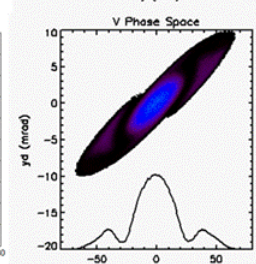
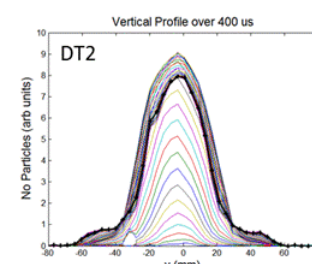
Measured

ORBIT

$$\Delta k_7 = 0.02$$

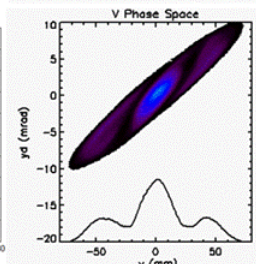
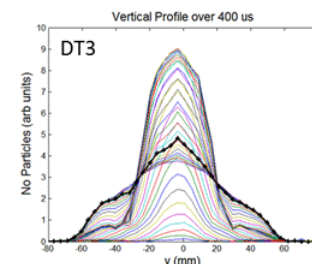


$$\Delta k_7 = 0.03$$



$$\Delta k_7 = 0.05$$

$$\left(\Delta k_7 = \frac{k_7}{k} \right)$$





2. Review of Experiments

- Summary of Experiments
- Loss “brick wall” corresponding to coherent limit
But see slow build up of loss on approach
- Complicated beam profile evolution
Verified, controllable, half integer “lobes”
Characterized behaviour as function of tune, driving term, intensity
- Key questions:
What models can we use to understand the observations?
Can we understand the time evolution of profiles?
How accurate are the profile measurements? [1]



3. Frozen Space Charge Model

Phase Averaged Frozen WB Hamiltonian (1)

- Want to understand evolution of beam distributions
Need understanding of single particle motion ~ start with simple model
- Assume space charge of frozen water bag (WB) distribution
Essentially half integer resonance in non-linear field of WB beam
Frozen WB: no coherent motion, not self consistent
Ignore the fact WB is not stationary: look at “*short-term invariants*”
Follows Venturini *et al.* [1] (but here there is no invariant KV distribution)
Gives idea of particle motion, as a function of tune, intensity, driving term
Here calculations summarised (details in [2])

- Distribution for 4D WB beam, with radius a is

$$n(r) = n_0(1 - \frac{r^2}{a^2}) \text{ for } r \leq a, \text{ zero otherwise) with } r^2 = x^2 + y^2$$

Consider motion in one plane only: $y=0$, $r=x$
(emittance of particle in orthogonal plane zero)

[1] Venturini & Gluckstern,
PRSTAB V3 034203 (2000)

[2] Warsop, Proc. HB2016



3. Frozen Space Charge Model

Phase Averaged Frozen WB Hamiltonian (2)

- Smooth focusing Hamiltonian is:

$$H(x, P_x, s) = \frac{1}{2}P_x^2 + \frac{1}{2}\omega^2 x^2 + K_d(s)x^2 + V(x)$$

- Piecewise potential:

where $k = \frac{q^2 N}{2\pi\epsilon_0 m c^2 \beta^2 \gamma^3}$

$$V(x) = \begin{cases} V_i = -k\left(\frac{x^2}{a^2} - \frac{x^4}{4a^4}\right), & \text{if } x \leq a \\ V_o = -k\left(\frac{3}{4} + \log \frac{|x|}{a}\right), & \text{if } x > a \end{cases}$$

- Use action-angle variables:

$$x = \sqrt{\frac{2J}{\omega}} \sin \phi; \quad P_x = \sqrt{2J\omega} \cos \phi$$

- And evaluate: $\overline{H(\phi, J, s)} = \frac{1}{2\pi} \int_0^{2\pi} H(\phi, J, s) d\phi$

- Assume system near half integer resonance:

$$2Q = l\theta; \quad \theta \equiv \theta(s) = \frac{s}{R}; \quad K_d(s) = k_l \cos l\theta$$

- Do integrals and a canonical transformation ...

- Is complicated by
piecewise potential of WB
- Use phase averaging to
derive “smoothed” motion

q and m the particle charge and mass

N the number line density,

c the speed of light and β and γ relativistic parameters.



3. Frozen Space Charge Model

Phase Averaged Frozen WB Hamiltonian (3)

• The result is $\overline{H} = \overline{H(J, \bar{\phi})} = \delta J + S J \cos 2\bar{\phi} + \overline{V(J)}$

• with $\delta = (\omega - \frac{l}{2R}) = \frac{1}{R}(Q - \frac{l}{2}), \quad S = \frac{k_l}{2\omega}$

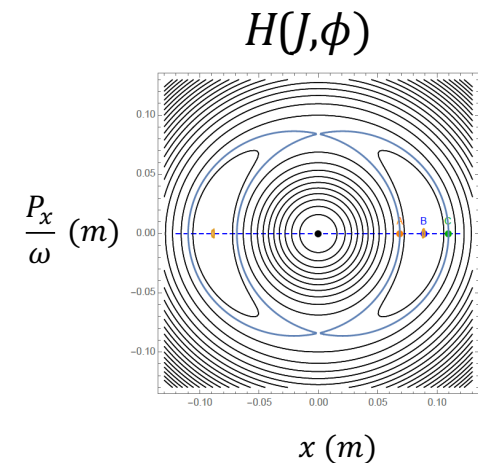
$$\overline{V(J)} = \begin{cases} V_c = I_0 & \text{if } J \leq J_a \quad (\text{core}) \\ V_h = I_1 + I_2 & \text{if } J > J_a \quad (\text{halo}) \end{cases}$$

$$I_0 = k \left[-\frac{1}{a^2 \omega} J + \frac{3}{8} \frac{1}{a^4 \omega^2} J^2 \right]$$

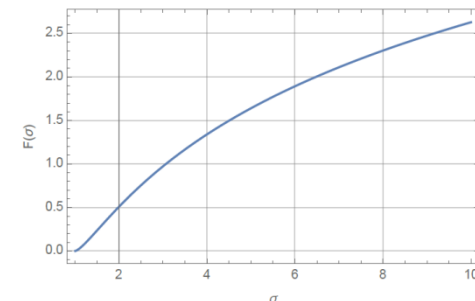
and with $\sin \phi_1 = 1/\sigma$ and $\sigma = x/a = \sqrt{2J/wa^2}$

$$I_1 = -\frac{k}{a^2} \left[\frac{J}{\omega} (\phi_1 - \frac{1}{2} \sin 2\phi_1) - \frac{J^2}{w^2 a^2} (\frac{3}{8} \phi_1 - \frac{1}{4} \sin 2\phi_1 + \frac{1}{32} \sin 4\phi_1) \right]$$

$$I_2 = -k \left[\frac{3}{4} (\frac{\pi}{2} - \phi_1) + F(\sigma) \right] \quad \text{with} \quad F(\sigma) = \int_{\phi_1}^{\pi/2} \log(\sigma |\sin \phi|) d\phi,$$



The Function F(σ)





3. Frozen Space Charge Model

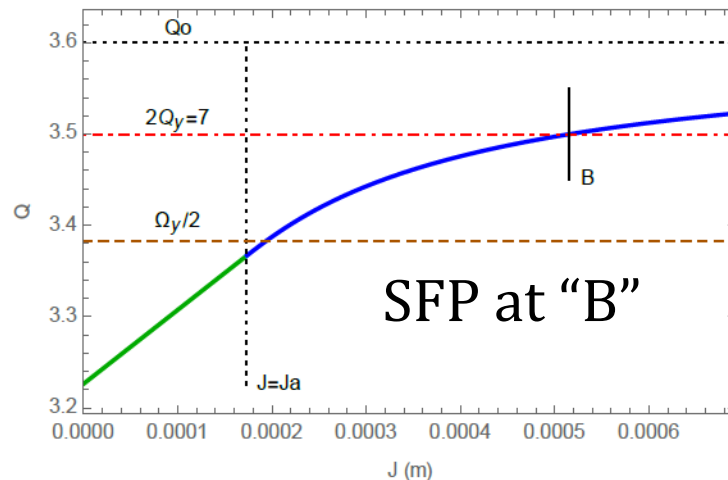
Essentials of Particle Motion

- Example of coasting beam on ISIS $2Q_y = 7$

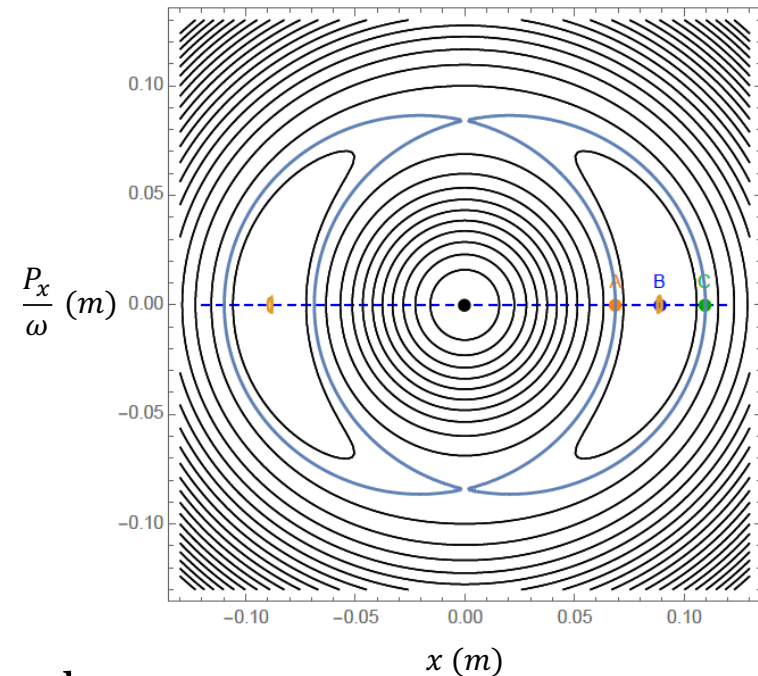
Parameters are: $Q_y = 3.60$, $R = 26$ m, $a = 0.05$ m, $l = 7$, $\Delta k_7 = 0.005$ ($\Delta k_7 = k_7/k = 2k_7/\omega^2$), and $N_p = 4.4 \times 10^{13}$ ppp (protons per pulse, where $N = N_p/(2\pi R)$).

- Calculate $Q(J)$ $w(J) = Q(J)/R = \frac{\partial H(J)}{\partial J}$.

Q vs J



- “Short term invariant” H



$\frac{\Omega_y}{2}$ = coherent frequency of KV equivalent beam



3. Frozen Space Charge Model

Predictions of model

- Driving strength
- H for increased driving strength
- Variation of SFP & island limits with driving strength

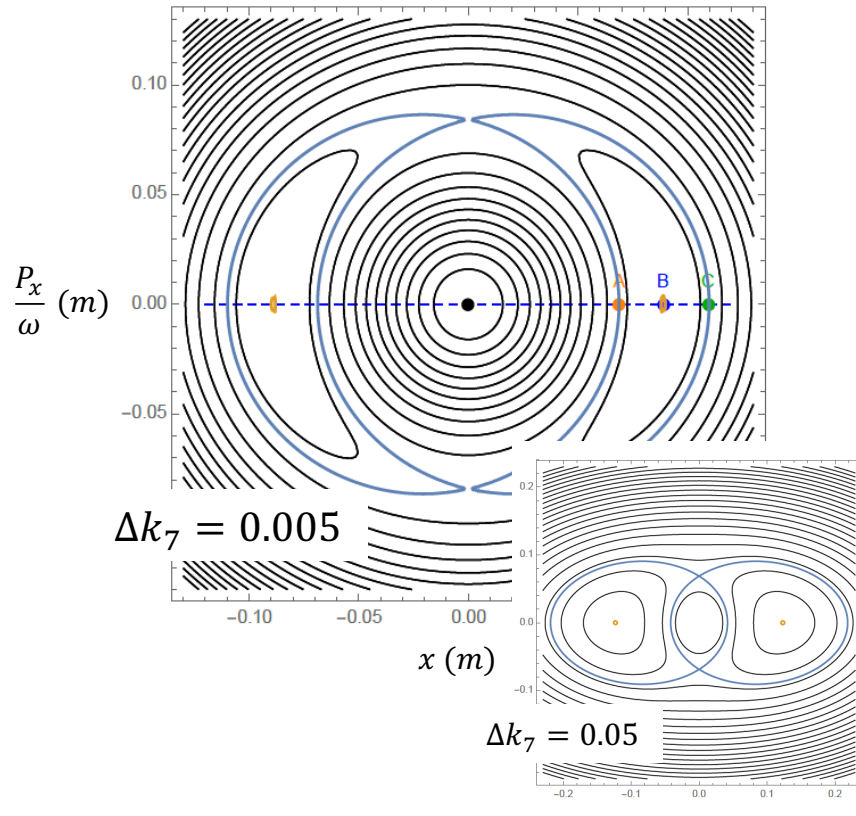


Figure 5: Dependence of island location and size on driving term strength.

$$N_p = 4.4 \times 10^{13} \text{ ppp}$$

- Results confirmed with simple, direct 1D tracking

(SFP=Stable Fixed Point)

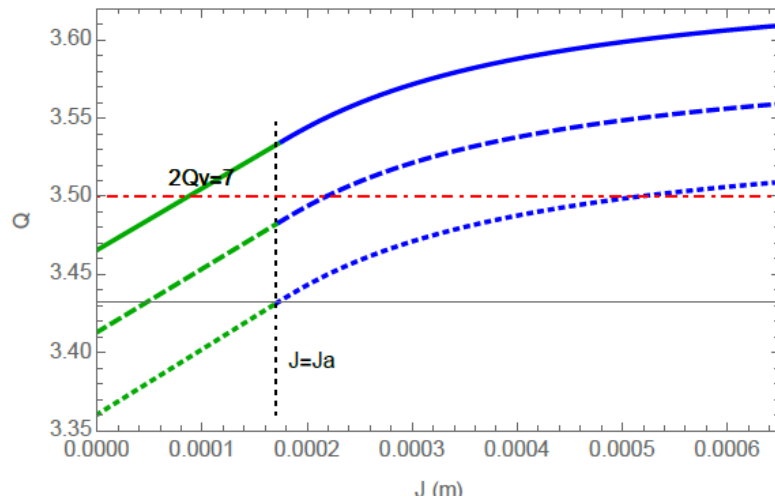


3. Frozen Space Charge Model

Predictions of model

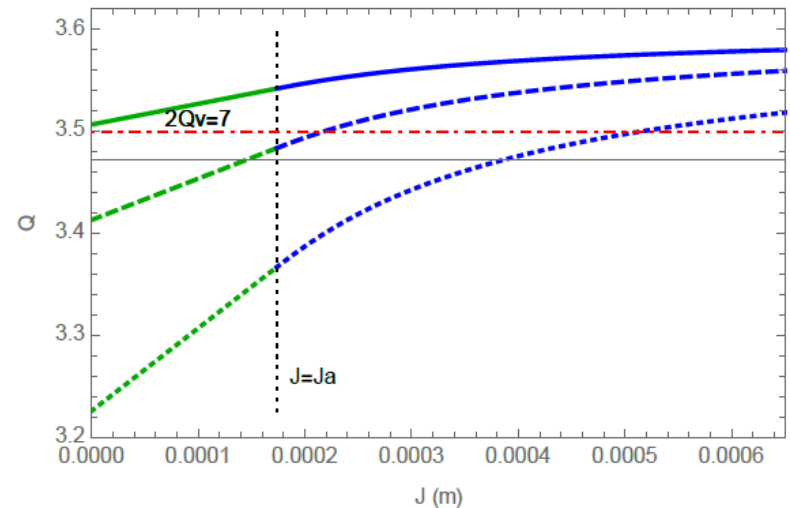
- Variation of $Q(J)$ with tune

$$R = 26 \text{ m}, a = 0.05 \text{ m}, l = 7, N_p = 2.2 \times 10^{13} \text{ ppp.}$$



$Q = 3.65, 3.60, 3.55$
(top to bottom)

- Variation of $Q(J)$ with intensity



$N_p = 1.1, 2.2, 4.4 \times 10^{13} \text{ ppp.}$
(top to bottom)

SFP moves out with decreasing Q

SFP moves out with increasing N_p



4. Comparison with Simulations

4. Self-consistent, 2D PIC code simulations, Set*

- See how theory compares to simulation
- Nominal ISIS like parameters:
 - 70 MeV, $N_p = 1.1\text{--}4.4\text{E}13$ protons per pulse
 - $(Q_x, Q_y) = (4.31, 3.60)$
 - $\varepsilon_{rmsx} \approx \varepsilon_{rmsy} \approx 70 \pi \text{ mm } m r$, adjusted so $a_x = a_y = 0.05 \text{ m}$
 - $2Q_y = 7$ driving term, $\Delta k_7 = \frac{k_7}{k} = 0.01$
 - Smooth focusing approximation
 - 100x100 binning of $5\text{E}5$ macro particles
 - WB distribution, \sim RMS matched, tracked 100 turns
- Run a series of simulations as approach resonance
 - No ramp of N_p or Q_y during run; repeat for different constant values
 - Monitor trajectories of *test particles* inside and outside core

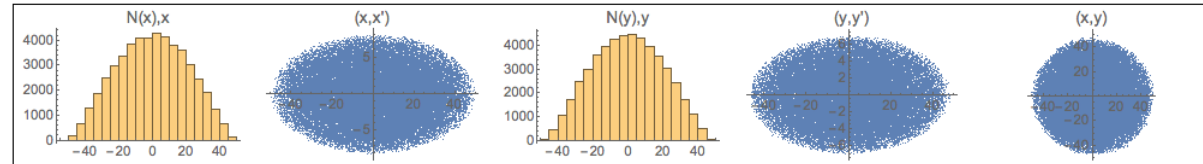
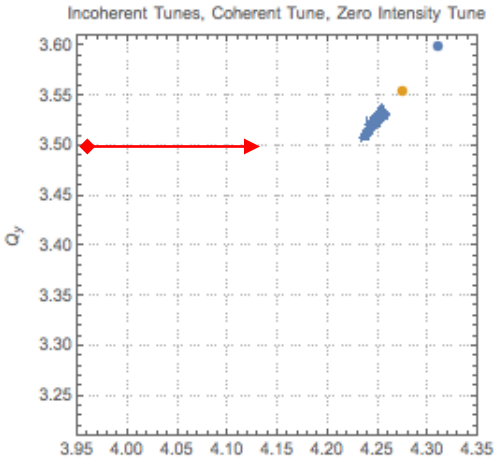


4. Comparison with Simulations

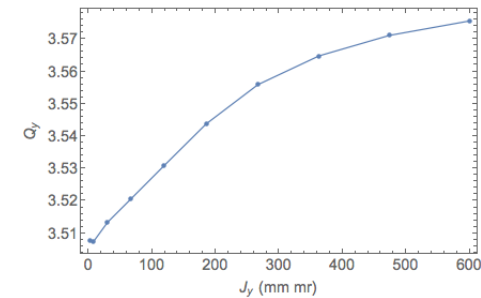
Tunes: incoherent, coherent

$$N_p = 1.10 \text{E}13 \text{ ppp}, Q_y = 3.60, \Delta k_7 = 0.01$$

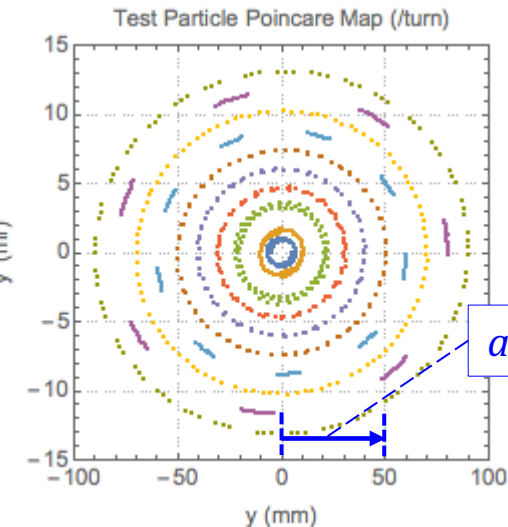
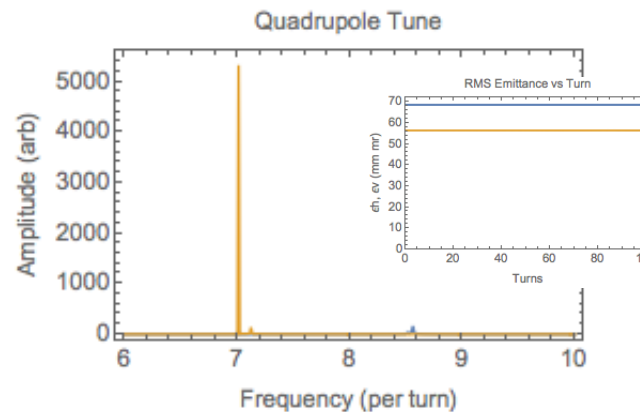
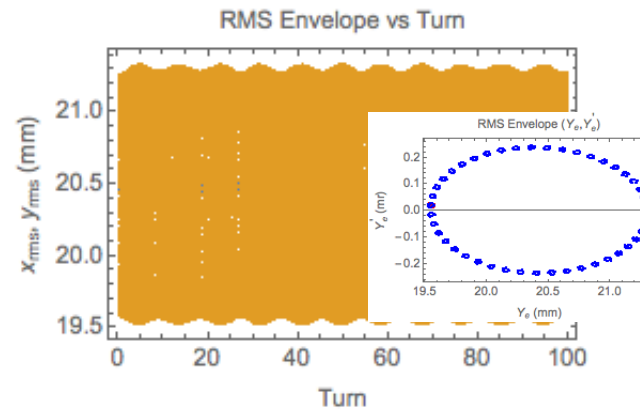
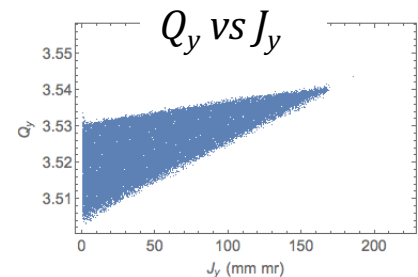
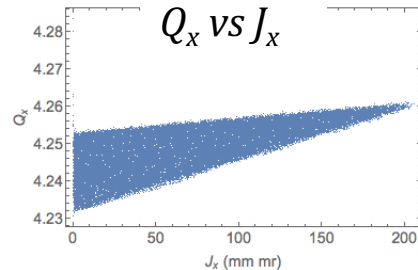
Distributions on 100th turn



Test particles Q_y vs J_y
 $J_x=0, J_y=0-600 \pi \mu \text{ m r}$



Tune vs initial action



$a = 0.05 \text{ m}$

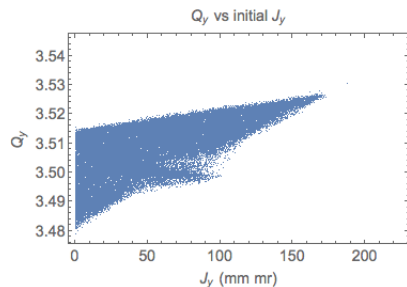
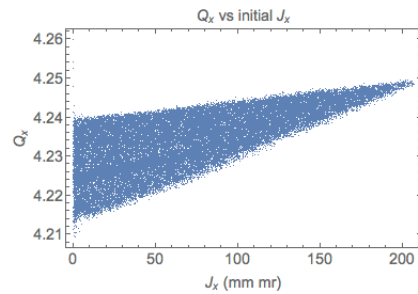
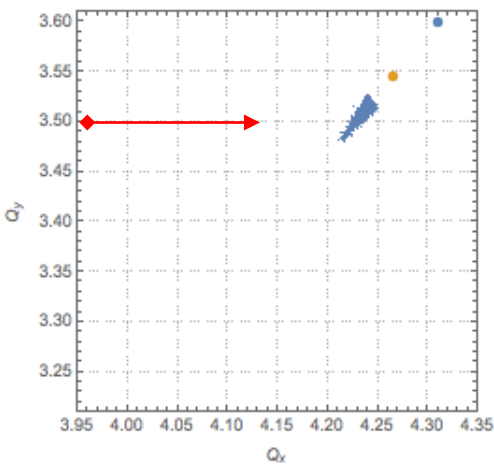
$$(\Delta k_7 = \frac{k_7}{k})$$



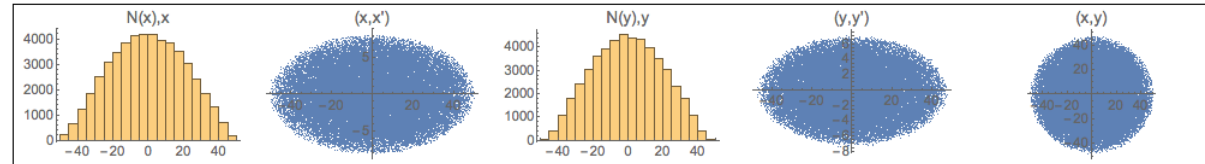
4. Comparison with Simulations

$$N_p = 1.38 \text{E}13 \text{ ppp}, Q_y = 3.60, \Delta k_7 = 0.01$$

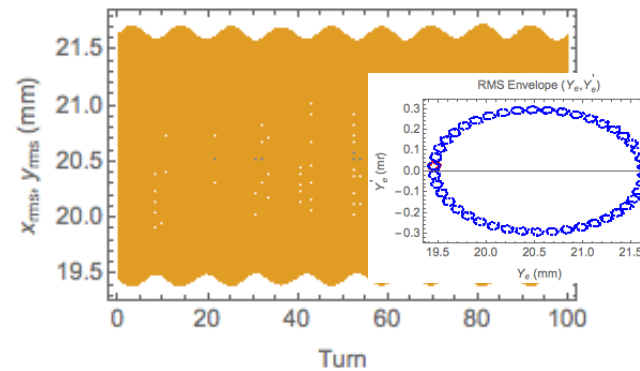
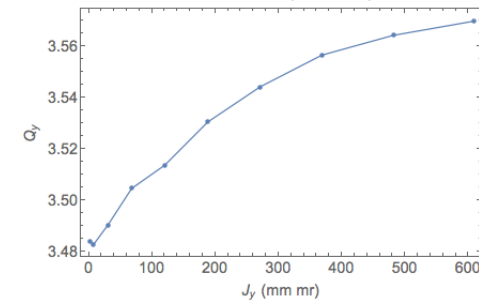
Incoherent Tunes, Coherent Tune, Zero Intensity Tune



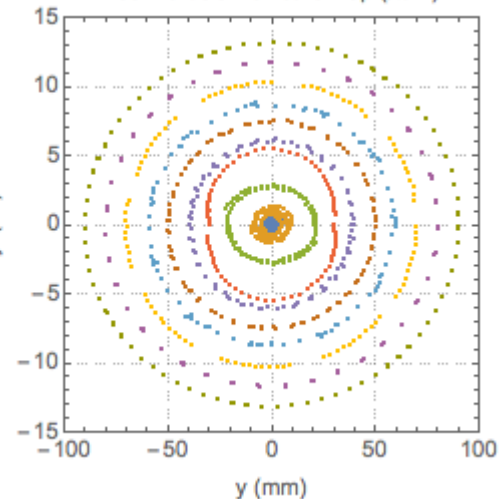
Distributions on 100th Turn



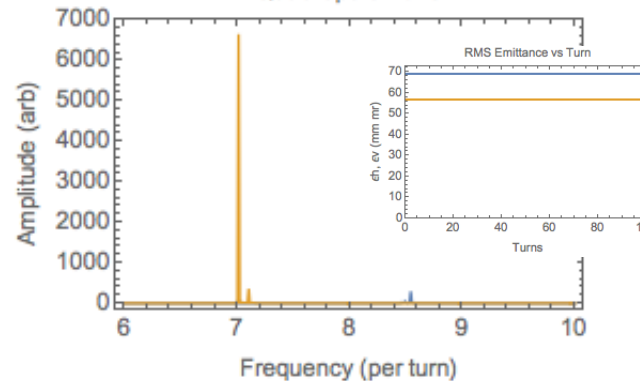
RMS Envelope vs Turn

Test Particle: Q_y vs initial J_y 

Test Particle Poincare Map (/turn)



Quadrupole Tune

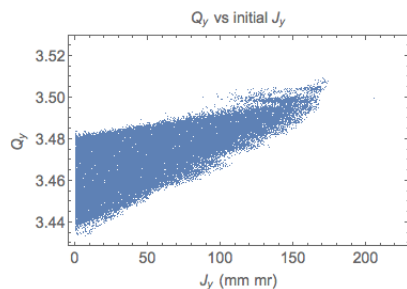
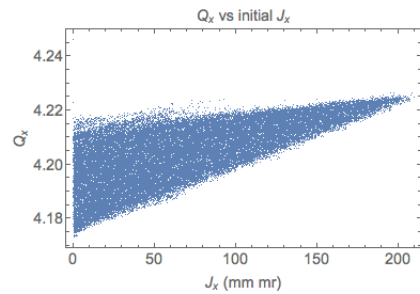
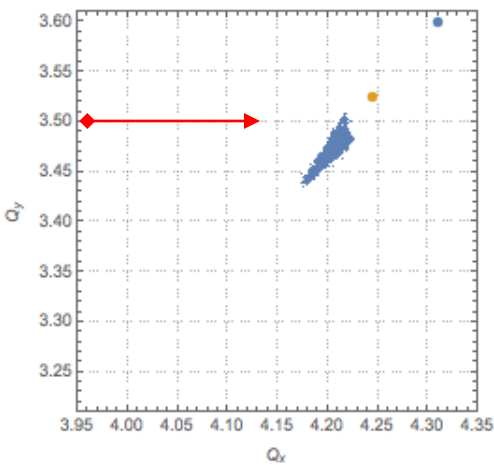




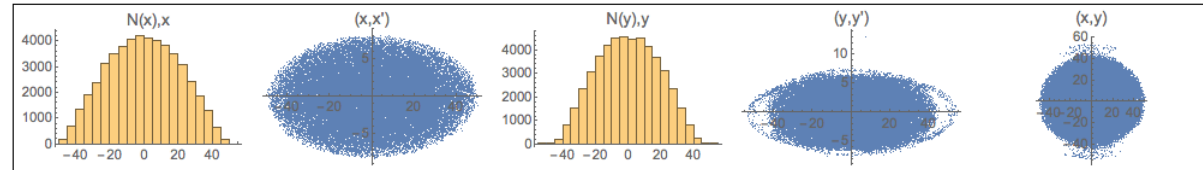
4. Comparison with Simulations

$$N_p = 1.93 \text{E}13 \text{ ppp}, Q_y = 3.60, \Delta k_7 = 0.01$$

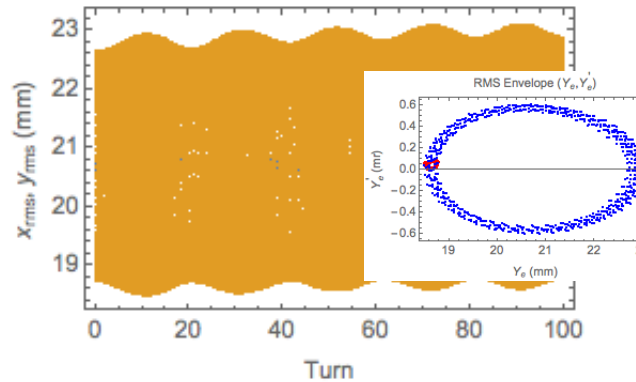
Incoherent Tunes, Coherent Tune, Zero Intensity Tune



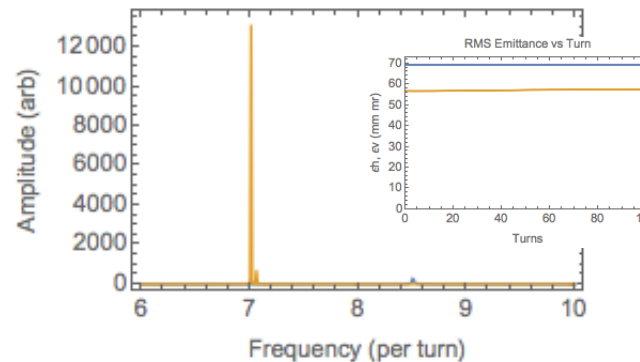
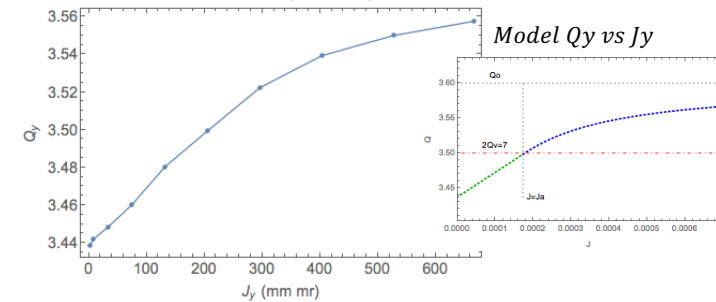
Distributions on 100th Turn



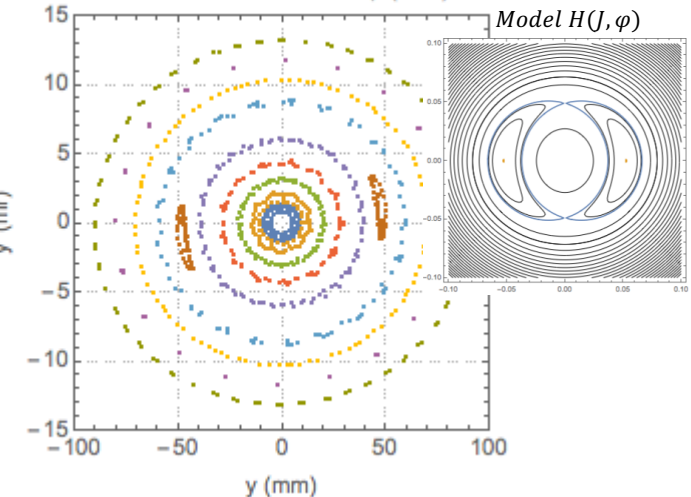
RMS Envelope vs Turn



Quadrupole Tune

Test Particle: Q_y vs initial J_y 

Test Particle Poincare Map (/turn)

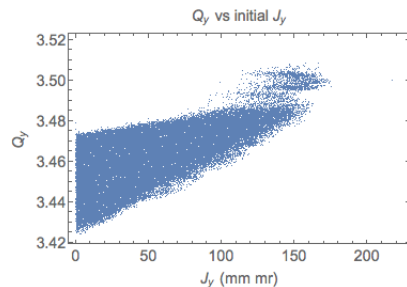
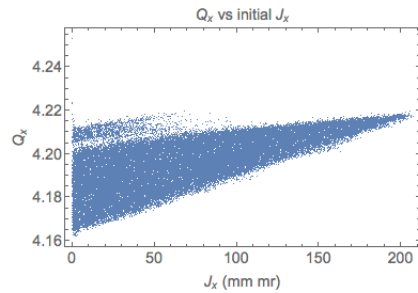
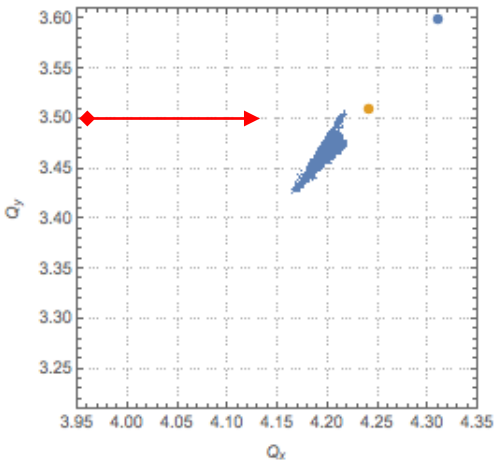




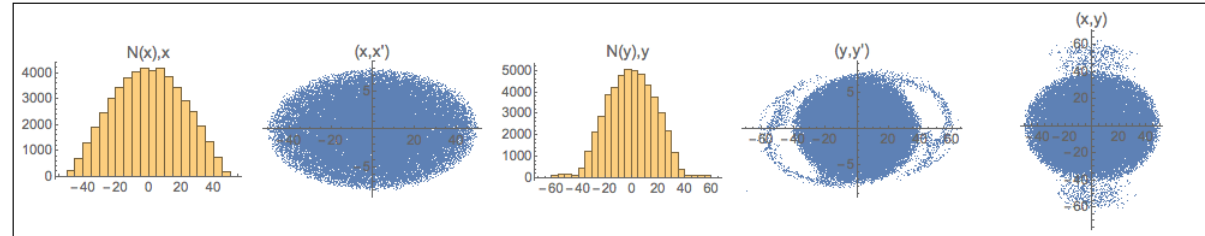
4. Comparison with Simulations

$$N_p = 2.09 \text{E}13 \text{ ppp}, Q_y = 3.60, \Delta k_7 = 0.01$$

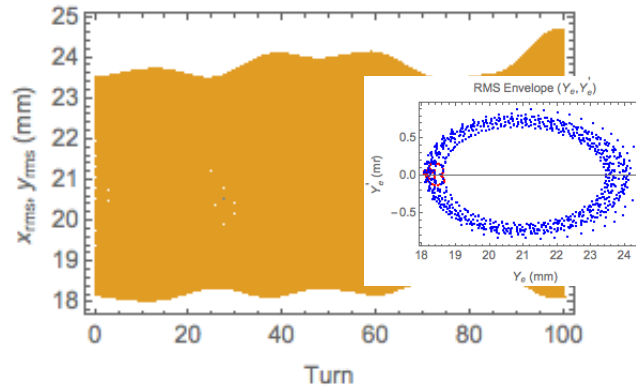
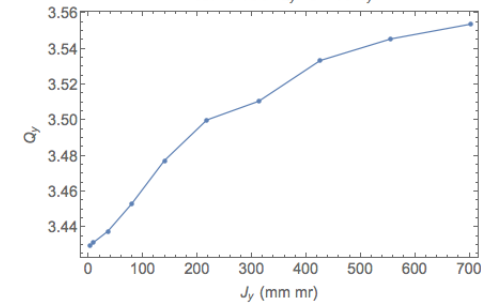
Incoherent Tunes, Coherent Tune, Zero Intensity Tune



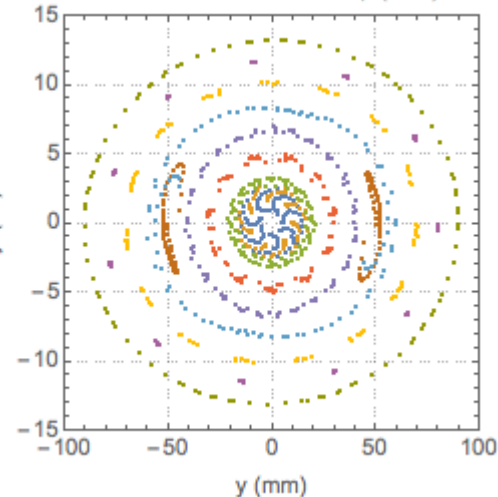
Distributions on 100th Turn



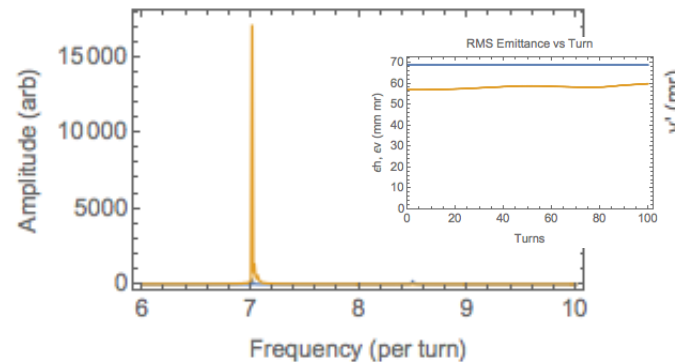
RMS Envelope vs Turn

Test Particle: Q_y vs initial J_y 

Test Particle Poincare Map (/turn)



Quadrupole Tune

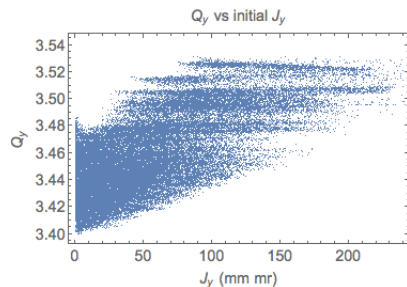
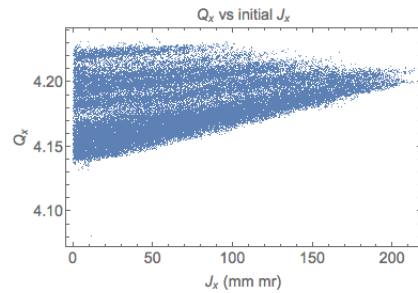
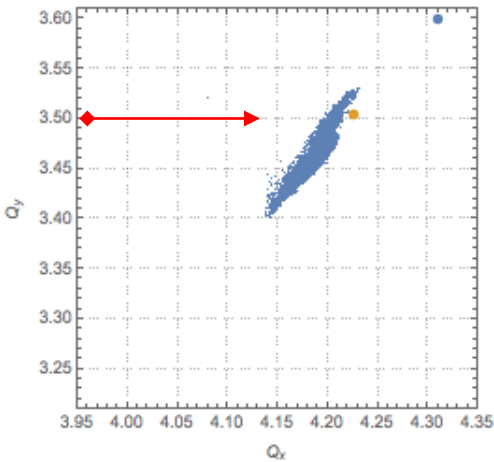




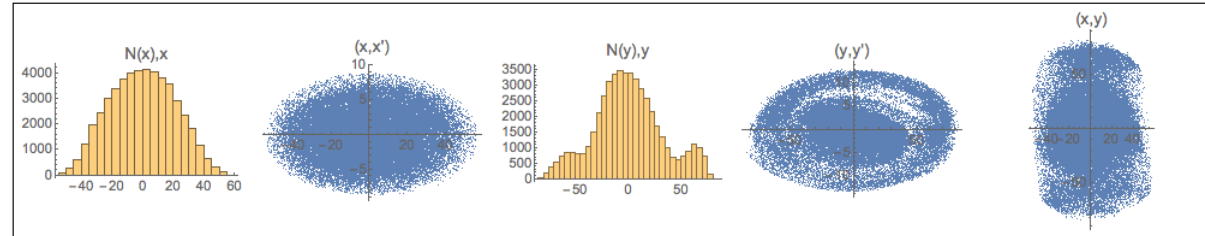
4. Comparison with Simulations

$$N_p = 2.75 \text{E}13 \text{ ppp}, Q_y = 3.60, \Delta k_7 = 0.01$$

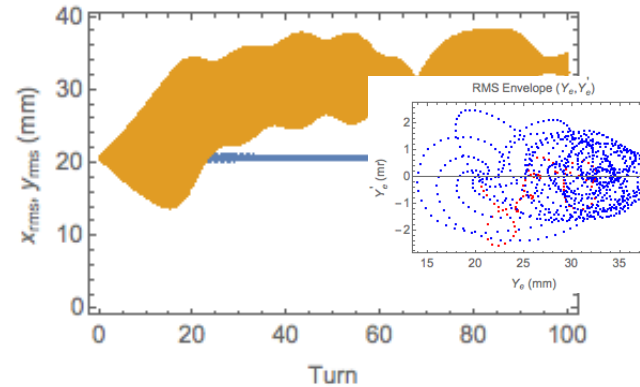
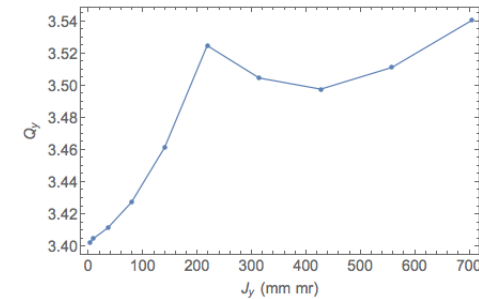
Incoherent Tunes, Coherent Tune, Zero Intensity Tune



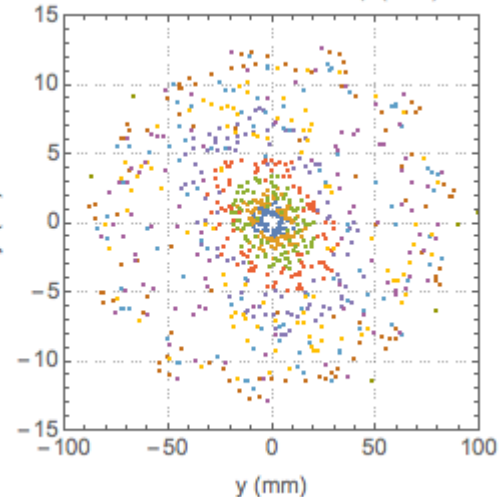
Distributions on 100th Turn



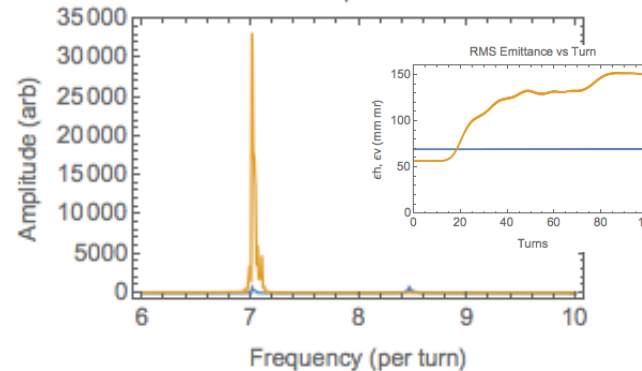
RMS Envelope vs Turn

Test Particle: Q_y vs initial J_y 

Test Particle Poincare Map (/turn)



Quadrupole Tune

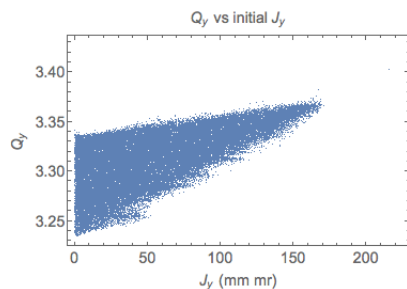
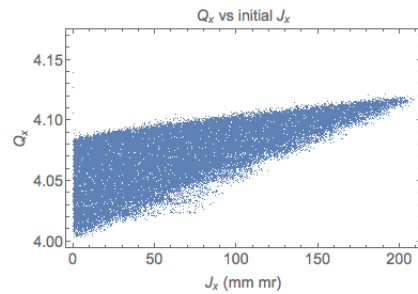
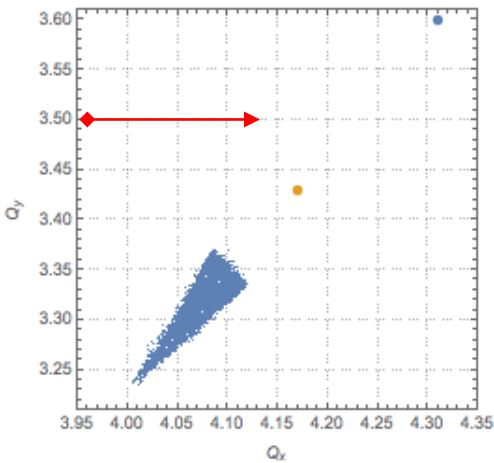




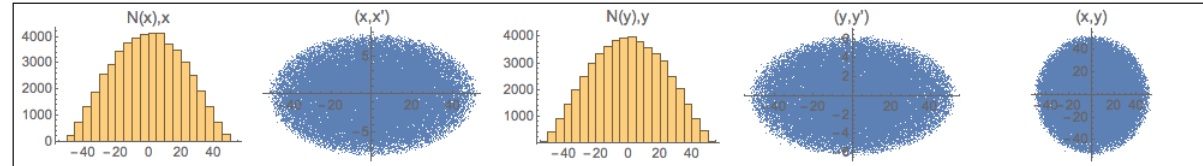
4. Comparison with Simulations

$$N_p = 4.40 \text{E}13 \text{ ppp}, Q_y = 3.60, \Delta k_7 = 0.01$$

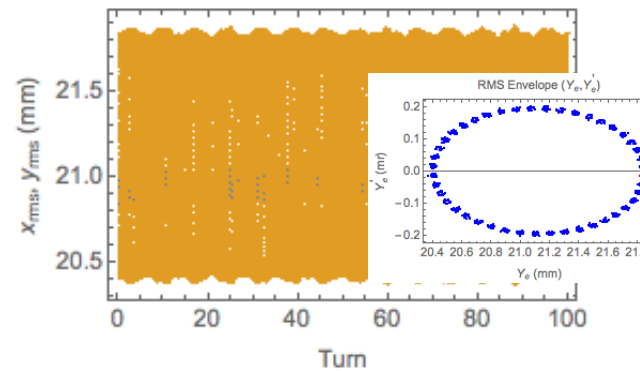
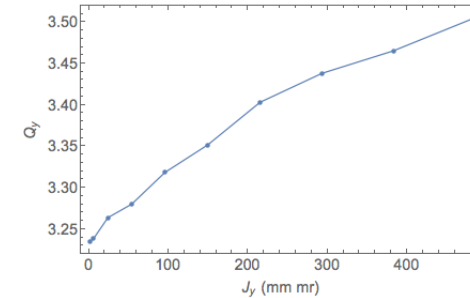
Incoherent Tunes, Coherent Tune, Zero Intensity Tune



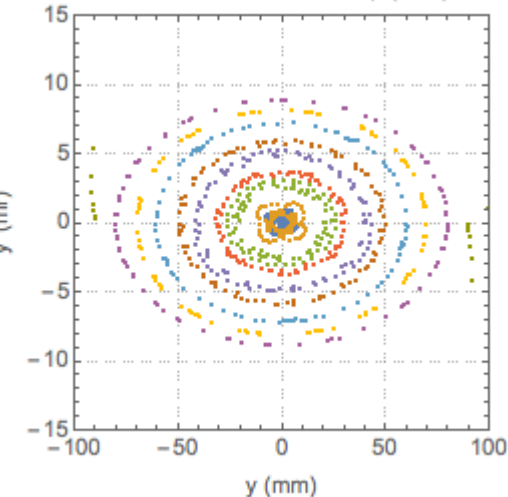
Distributions on 100th Turn



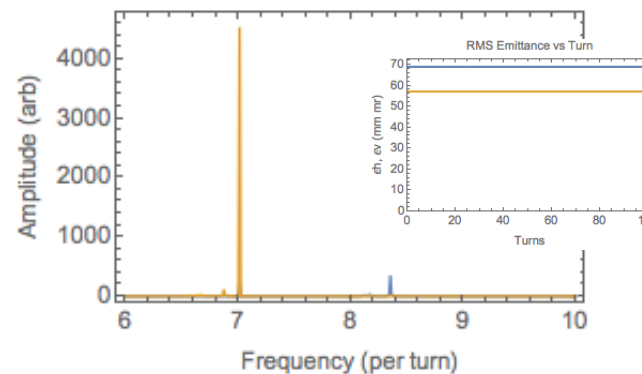
RMS Envelope vs Turn

Test Particle: Q_y vs initial J_y 

Test Particle Poincare Map (/turn)



Quadrupole Tune





4. Comparison with Simulations

Summary of results and interpretation

- The model seems to qualitatively describe motion:
 - A “reasonable distance” from coherent resonance
 - At larger J (“halo”), with moderate envelope oscillations
 - SFP are roughly where expect (reduced by coherent motion?)
 - Possible incoherent loss: resonant islands pulling particles from core?*
- Intuitively expect in a self consistent WB beam:
 - ~ central core: coherent cancellation of driving term (like KV)
 - ~ outer beam: less coherent effect, more incoherent behaviour
- The model is unhelpful at, or very near, coherent resonance
 - But once beam has redistributed, stabilised, it may be useful



4. Ideas for a better model

Future ideas for next level of approximation a “less-frozen” model

- Effect of envelope oscillation [$a \rightarrow a(1 + \delta a \sin l\theta)$]
Quadrupole field terms oppose driving term (cancel for KV)
 - ~ varying “cancellation effect” with J (core→”halo”)
 - ~ explore effect for oscillation of RMS equivalent beamModulation of octupole (and other) driving terms
- Effect of more realistic beam distributions
Properties of different distributions (e.g. width, type)
Time dependence: do quasi-static models predict evolution?
- Plus
Motion in orthogonal plane; coupling and 2D effects ...
Other relevant coherent, incoherent resonances, instabilities (AG, 3D ...)
Identify mechanisms for ε_{rms} growth



5. Application to Measurements

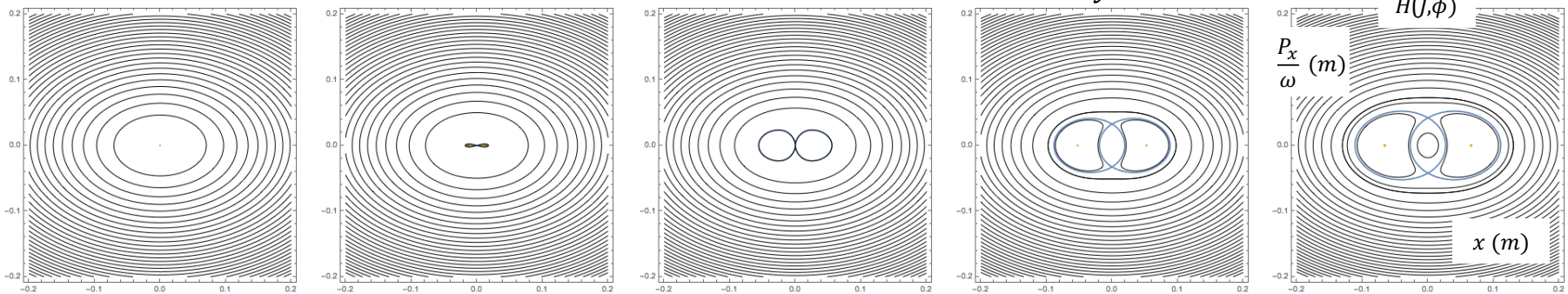
Can the frozen model tell us anything about the experiments?

- Experiment is more complicated than the simulations above
Machine parameters constant, but ...
 - Intensity ramped with multi-turn injection
 - Beam distribution accumulates and evolves
- Contours of “short-term invariant” give useful guide
Interpretation is work in progress, presently *a little speculative!*



5. Application to Measurements

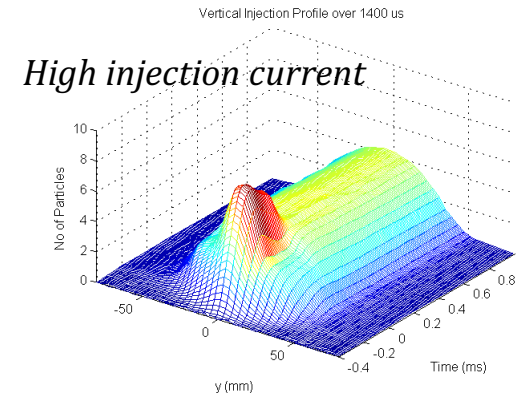
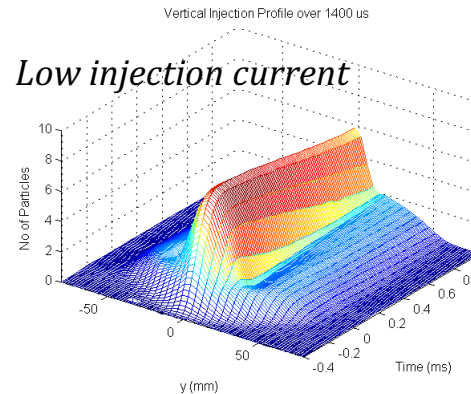
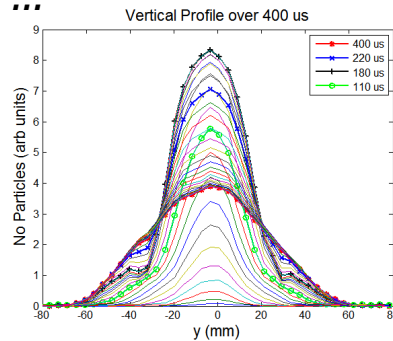
- Calculate "invariant" for experimental values
 $Q_y=3.60$, $\varepsilon_{rmsx} = \varepsilon_{rmsy} = 20 \pi \text{ mm mr}$, $\Delta k_7 = 0.05$, $a_x=a_y=28 \text{ mm}$
 For increasing intensity: $N_p=0.10, 0.25, 0.50, 1.0, 1.5 \text{ E13 ppp}$
 Equivalent to time snapshots through injection (a_x, a_y constant)



- Helps explain measured profiles ...*
 Consistent with broadening, core and lobes:

Evolution of profile with time

Measured profiles ...



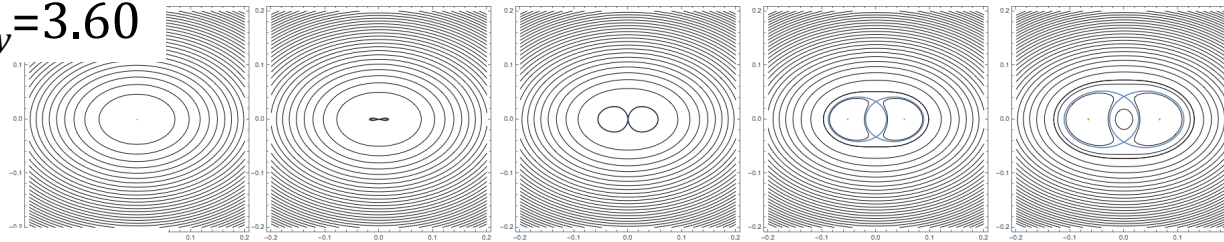
Plus effects of coherent resonance, beam redistribution ...



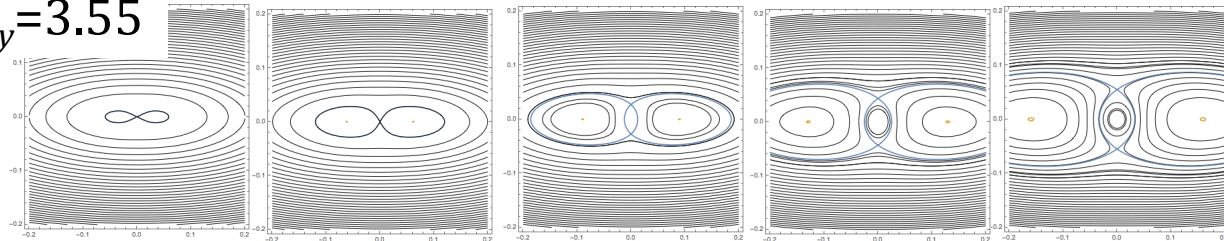
5. Application to Measurements

- Calculate "invariant" for experiment with $Q_y=3.60, 3.55$
For intensities: $N_p=0.10, 0.25, 0.50, 1.00, 1.50$ E13 ppp
Other parameters as above

$Q_y=3.60$



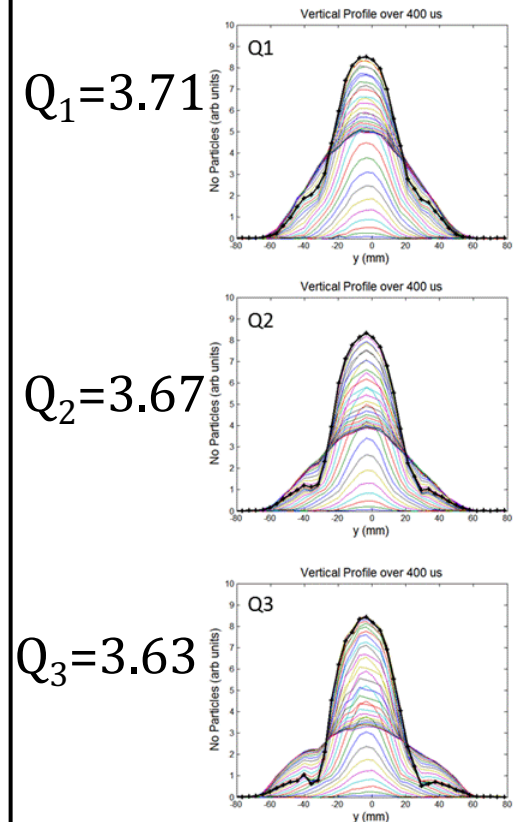
$Q_y=3.55$



- Helps explain profile variation with Q*
Lobes move away from centre as Q drops

Plus effects of coherent resonance, beam redistribution ...

Measurements





6. Summary and Next Steps

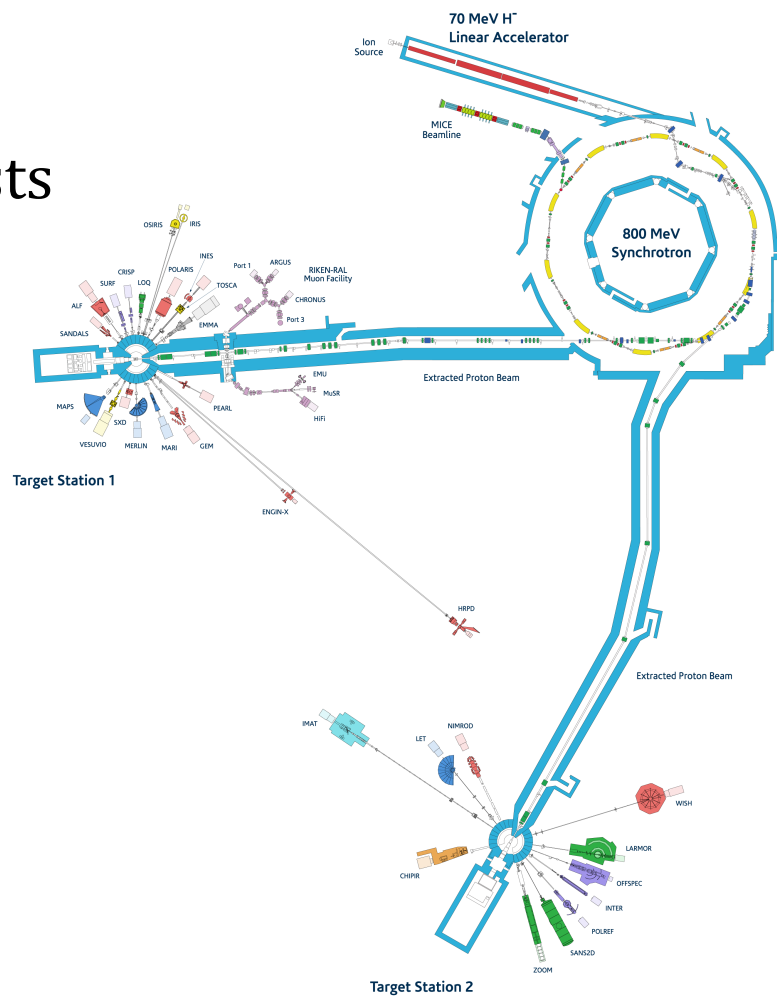
- The frozen model is useful guide to help understand observations, but needs more development
- Next some simple approximations will be added to include *effects of coherent motion* and look at *variations with beam distribution*
- These ideas will be tested against simulation and observation
- Development of beam experiments continues
 - More detailed exploration of time dependence
 - Exploit improved understanding of profile monitors
 - Next look at bunched storage ring mode, then accelerated beams (RCS)
- Thus establish a fuller understanding of beam loss on ISIS ...



7. Acknowledgements

Many thanks to ...

- ISIS Synchrotron Machine Physicists
- ISIS Diagnostics
- ISIS Operations Crew
- ISIS Intense Beams Group





Science & Technology Facilities Council

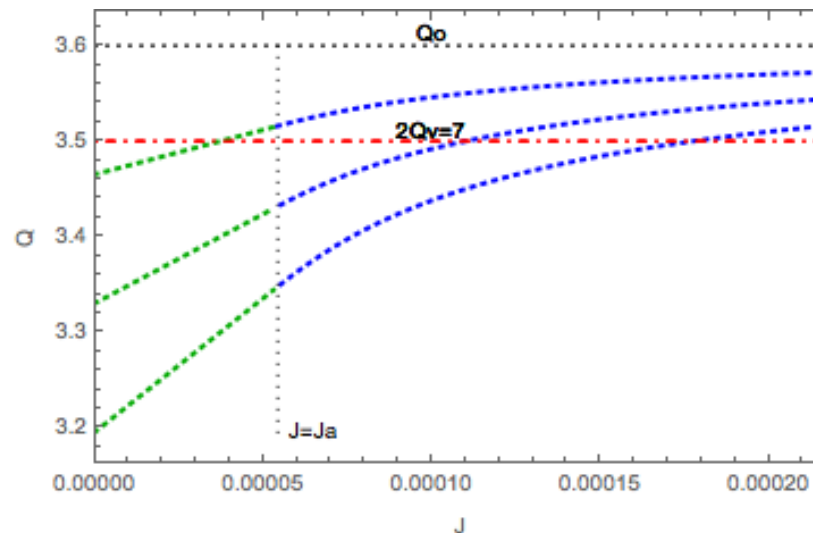
ISIS

Additional Material



5a. Application to Measurements

- Frozen model calculation of Q for the experiment (slide 24)
- Calculate Q vs J for the $a=0.028$ m beam at 0.5, 1.0, 1.5 E13 ppp

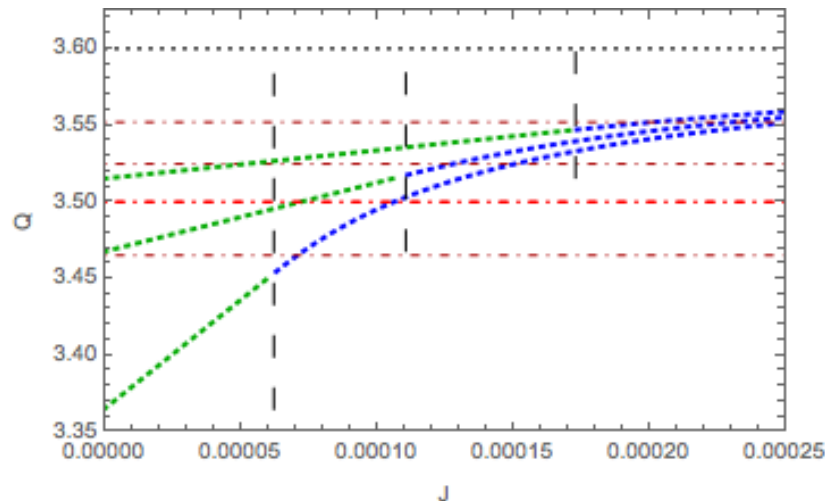


(* $a=0.028, N_p=0.5, 1.0, 1.5\text{E}13$ ppp, $Q_y=3.60$ *)



5a. Application to Measurements

- Recalculating the frozen model for different beam sizes at the same intensity can also give an idea of how a growing beam might behave ...
- From the model: Q vs J for same intensity but different beam size

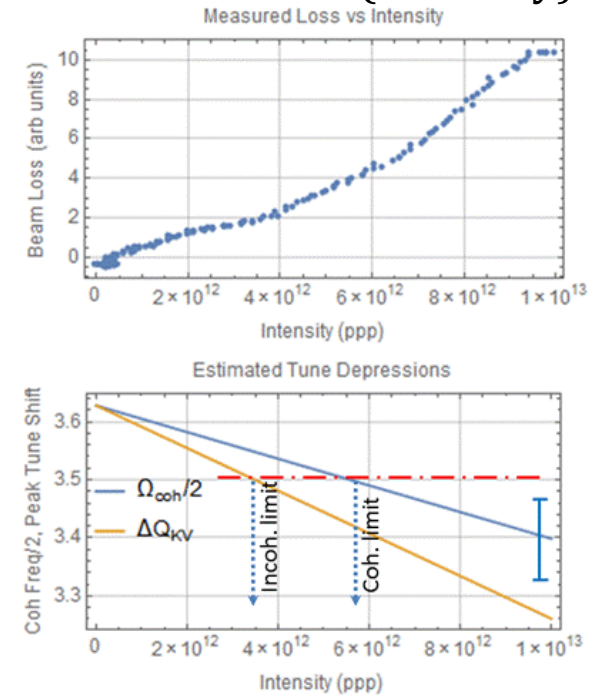
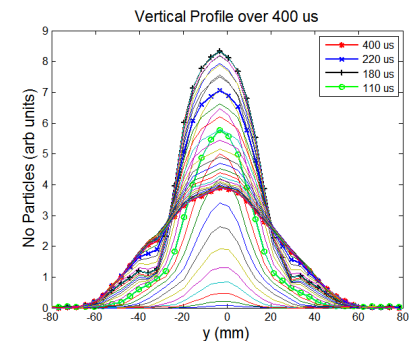


(*a=0.03 m, 0.04 m, 0.05 m , $N_p=1E13$ ppp, $Q_y=3.6$ *)

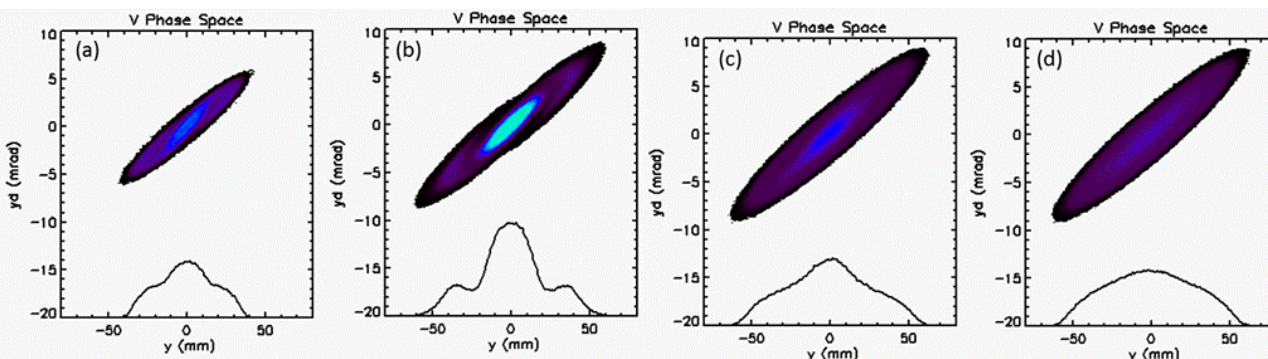
- Would have a similar curve for most “sensible” distributions



Loss & Tune vs time (intensity)

Transverse profile
Measured over 400 μ s

ORBIT results



Half integer resonance with space charge

- Key loss mechanism

Can we understand, predict evolution of halo, loss?

- Experimental studies 2D coasting beam

RF off, DC field, inject small beam $\epsilon_x = \epsilon_y$

$\epsilon_{rms} \approx 20 \pi$ mm mr, $2Q_y = 7$ driving term, $Q_y = 3.6$

Ramp intensity ($1E13$ ppp), push onto resonance

- Study evolution of profile

Observations agree with ORBIT models

Clear formation of core and lobes



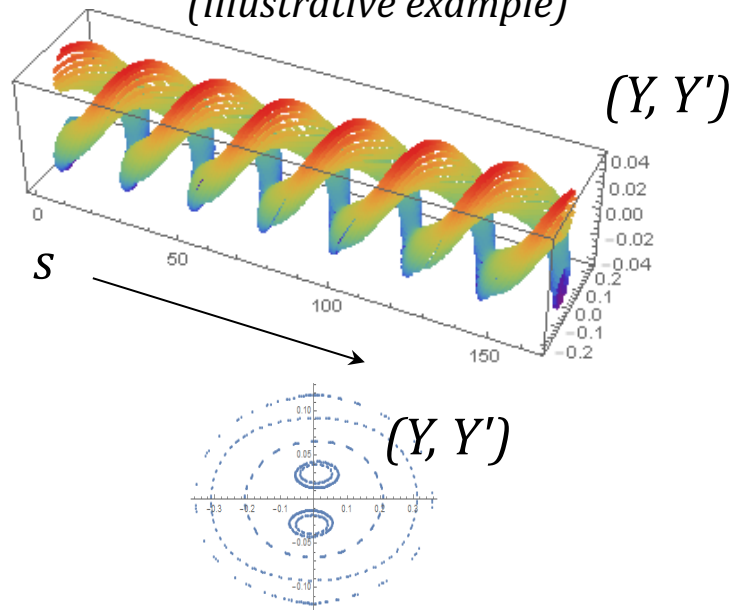
Half integer resonance

- Previous work: agreement measurement-simulation
- Rotation of half integer “lobes”

Control with driving term

$$\Delta k(\theta) = k_0 \cos(2Q_y \theta + \phi)$$

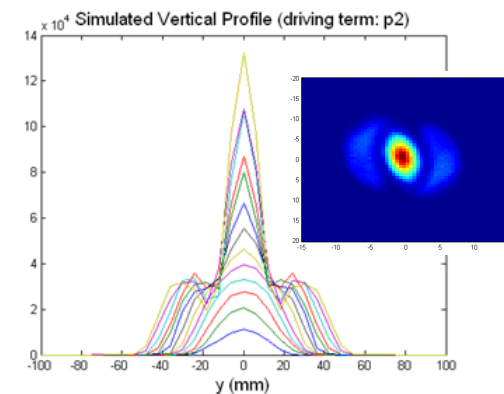
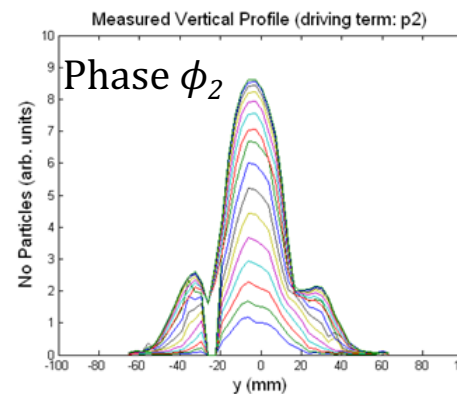
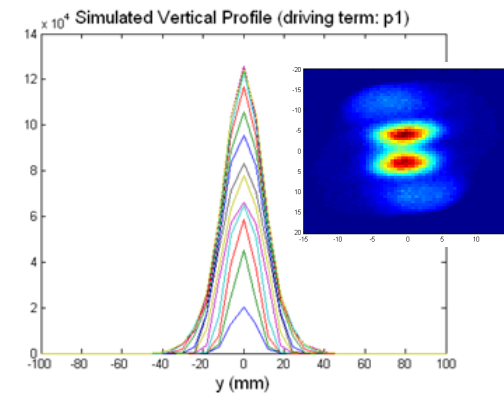
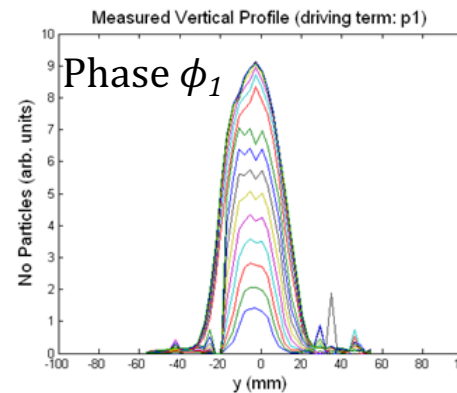
Expected motion around ring
at half integer resonance
(illustrative example)



Dependence on driving term phase

Measured

ORBIT





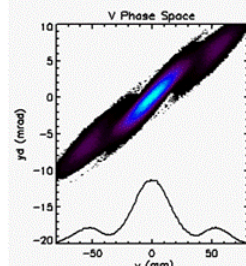
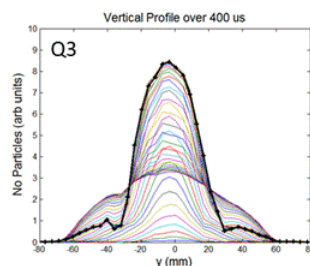
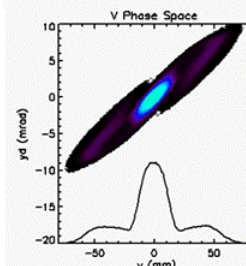
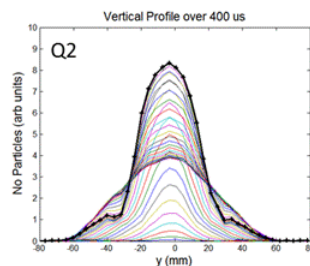
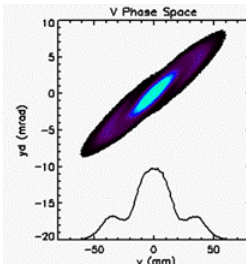
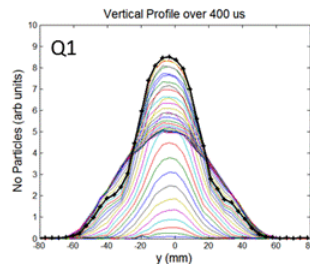
Half integer resonance

- Recent work: agreement measurement-simulation
- Measure as a function of tune and driving term

Dependence on tune

Measured

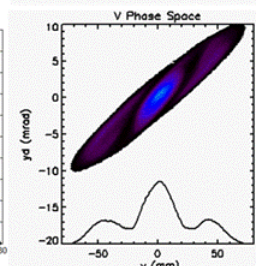
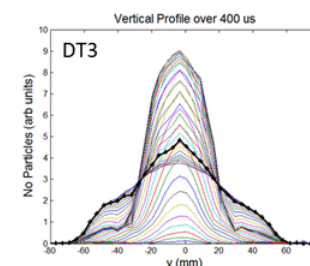
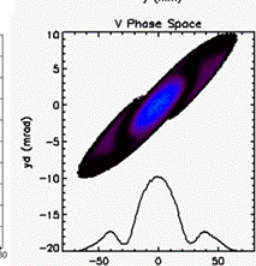
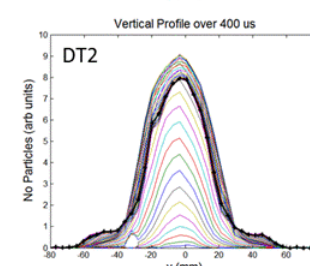
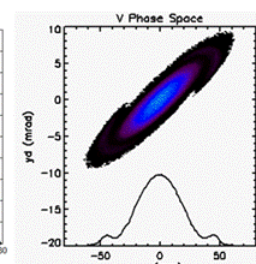
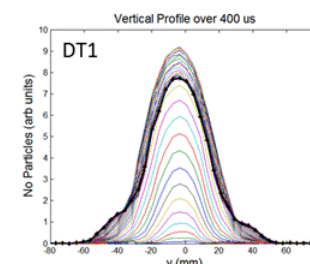
ORBIT



Dependence on driving term

Measured

ORBIT



$$Q_1=3.71$$

$$Q_2=3.67$$

$$Q_3=3.63$$

$$DT_1=0.02$$

$$DT_2=0.03$$

$$DT_3=0.06$$

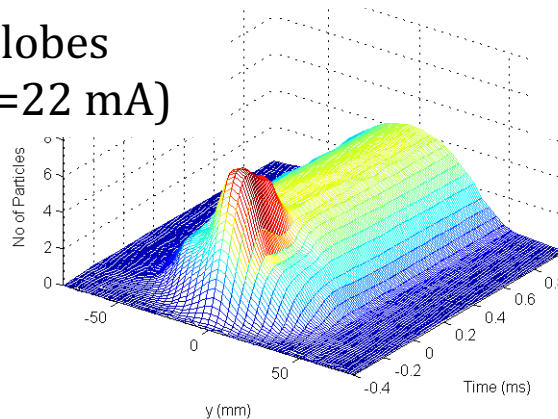


Half integer resonance

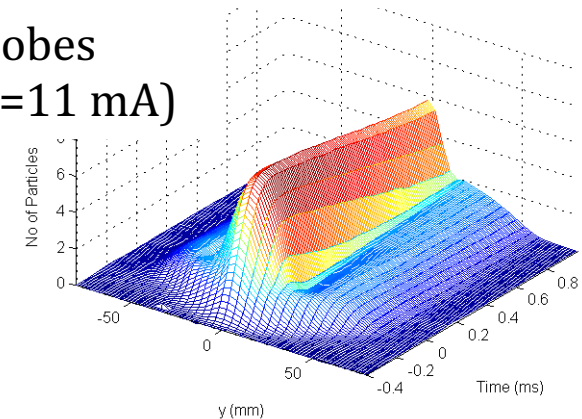
- Recent work: Observation of “stationary” distributions
- Slower accumulation of beam – formation of stable “lobes”

Measured transverse profiles over 1 ms

Short lived lobes
~50 turns ($I_{inj}=22$ mA)

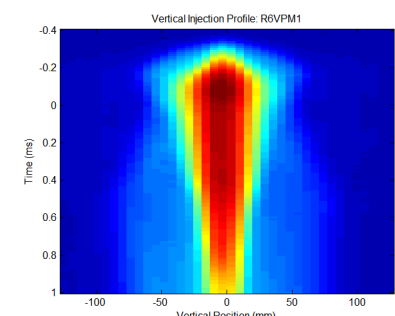
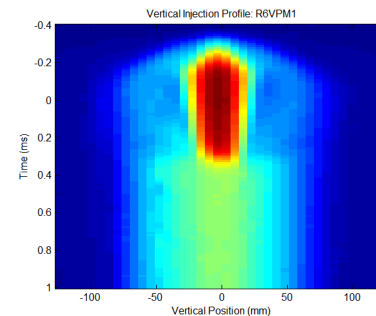
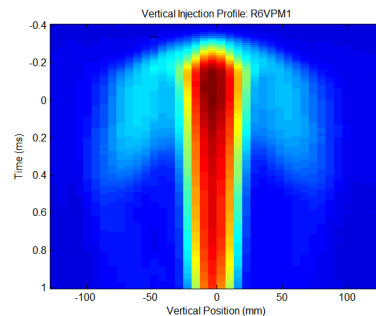
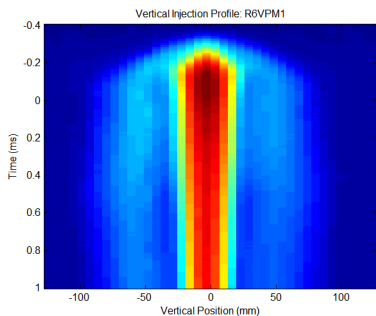


Long lived lobes
~500 turns ($I_{inj}=11$ mA)



Initial experiments on stable halo (profiles now shown as colour contour)

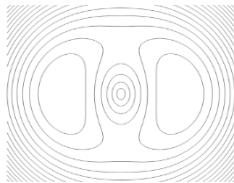
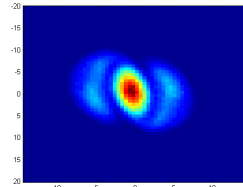
(i) Constant (as above) (ii) Ramp Q down (iii) Ramp Q down/up (iv) Rotate phase



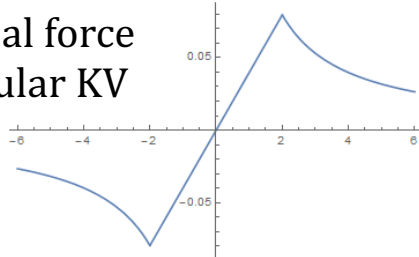
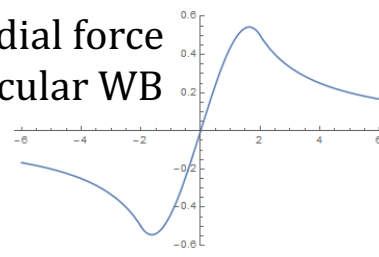
*Speculation & work in progress!*

- Models to explain observations?
Coherent model limited: coherent limit
Approach from incoherent direction?
- Simplest 1D single particle model

$$H(J, \varphi) = \delta J + G_2 J \cos(2\varphi) + G_4 J^2 \quad \text{"Observation"}$$

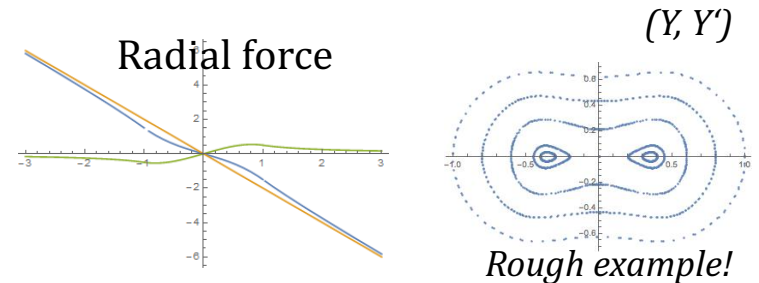
 (Y, Y') 

- Here have space charge potential
1st guess usually KV model
Linear motion: cannot describe growth
2nd guess WB model (*non-stationary*)
Non-linear motion: predict halo?

Radial force
circular KVRadial force
circular WB

Half integer resonance

- Total radial force
Focussing + space charge



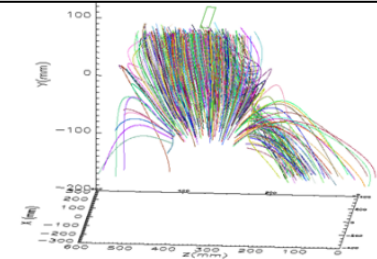
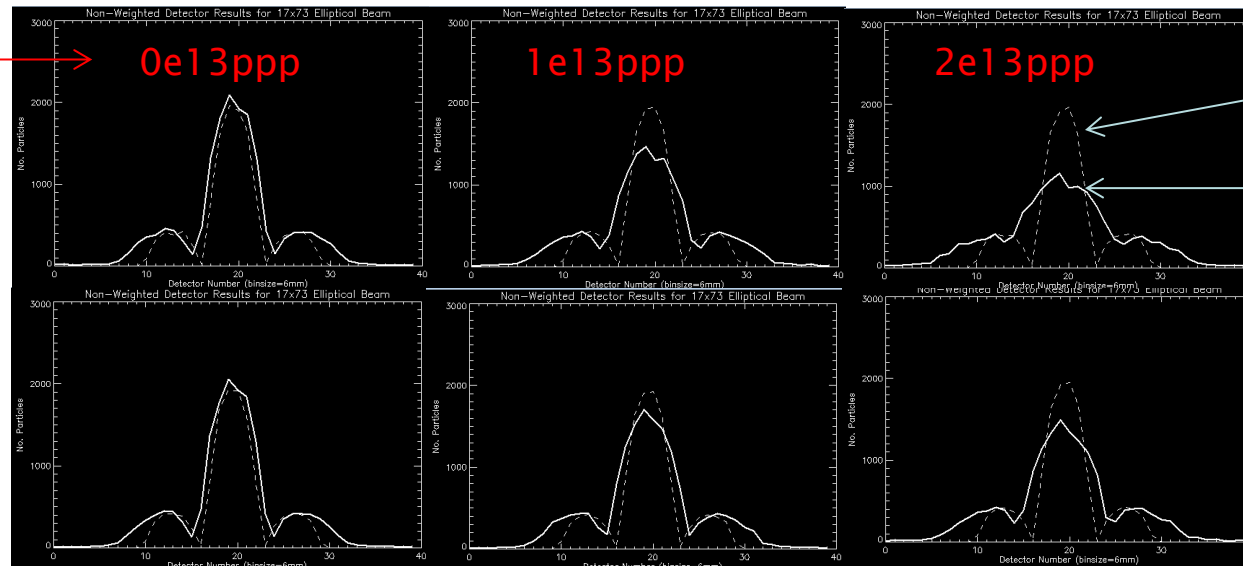
- Simple simulations
Driving term, fixed potential
Non-linear motion ~ edge of core
Complicated ~ *still studying ...*
"Incoherent" model of halo?
- Next add coherent motion?
RMS envelope → modify halo
"Coherent" model of halo?
- May be a useful idea ...
Different KV-WB coherent motion?



R&D for transverse profile measurements

- Good transverse profile measurements essential
 - Detailed models of ISIS residual gas ionisation monitors
 - CST fields solvers and “in-house” code tracks ion trajectories
 - Allow for non-linearities and space charge.
- Recent results checking halo measurements
 - Input distributions predicted by ORBIT
 - Check behaviour as function of drift field and intensity

Simulation of Ion tracks

*Intensity**Drift field
15 kV**Drift field
30 kV**Input profile**Predicted
measured
profile*