

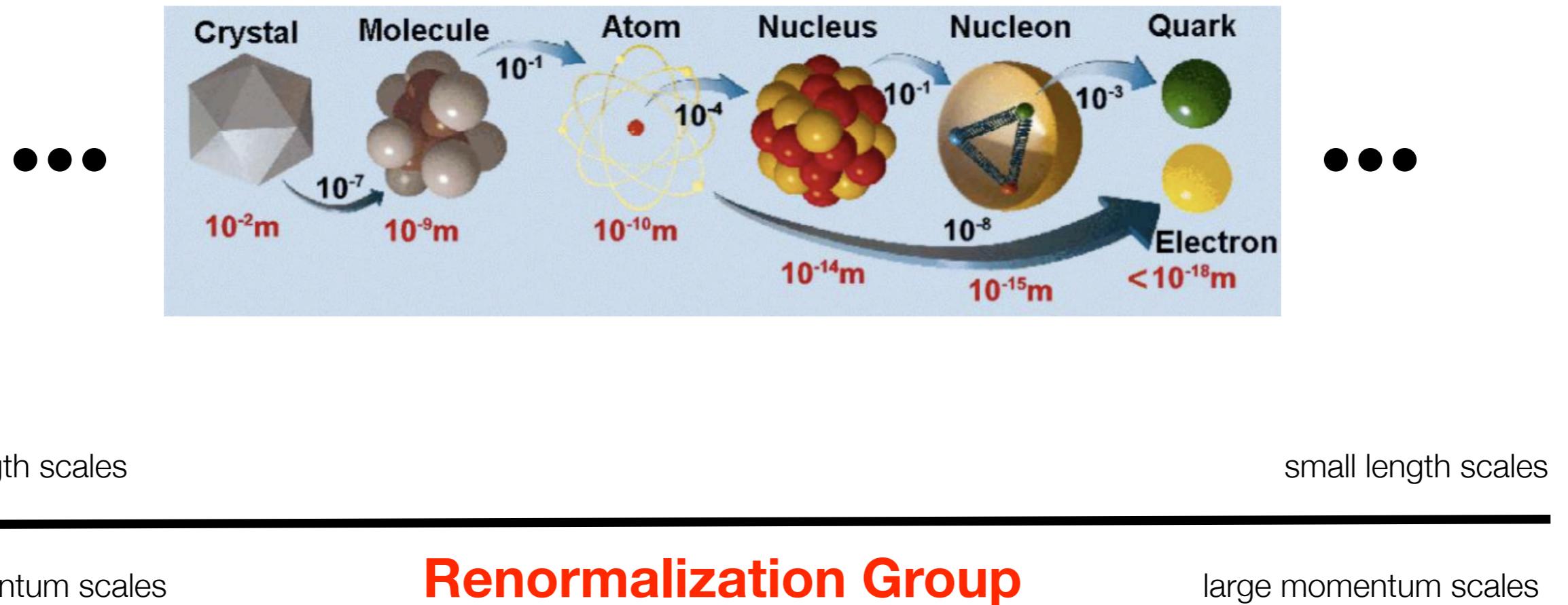
From Quarks and Gluons to Hadrons: Functional RG studies of QCD at finite Temperature and chemical potential

Jens Braun

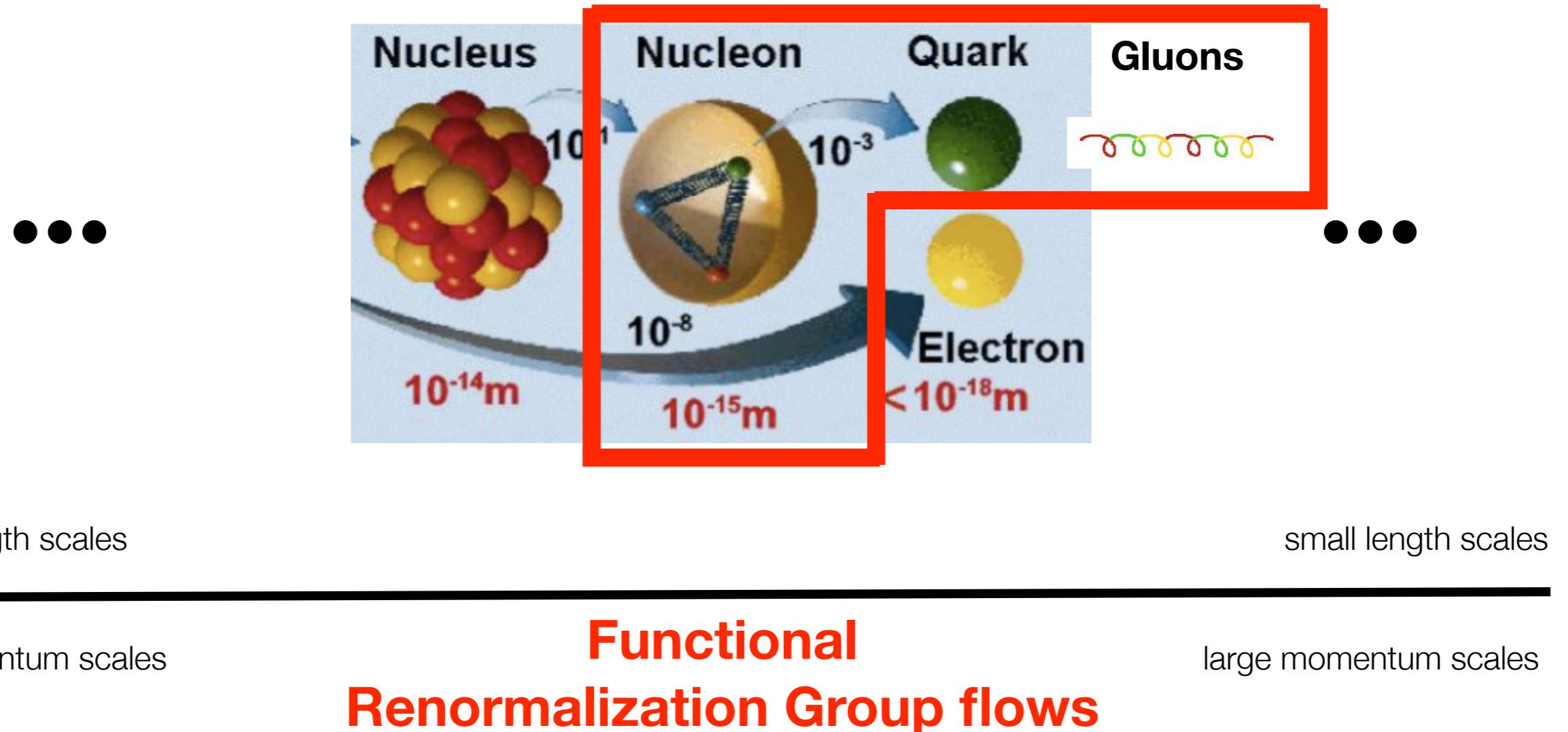
Theoretisch-Physikalisches Institut
Friedrich-Schiller Universität Jena

Quarks, Hadrons & the Phase Diagram of QCD, St. Goar
03/09/2009

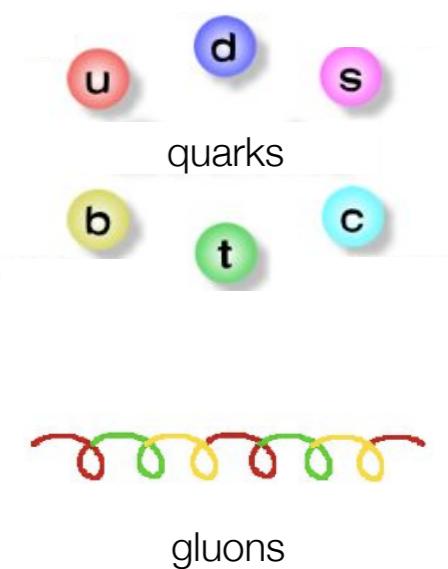
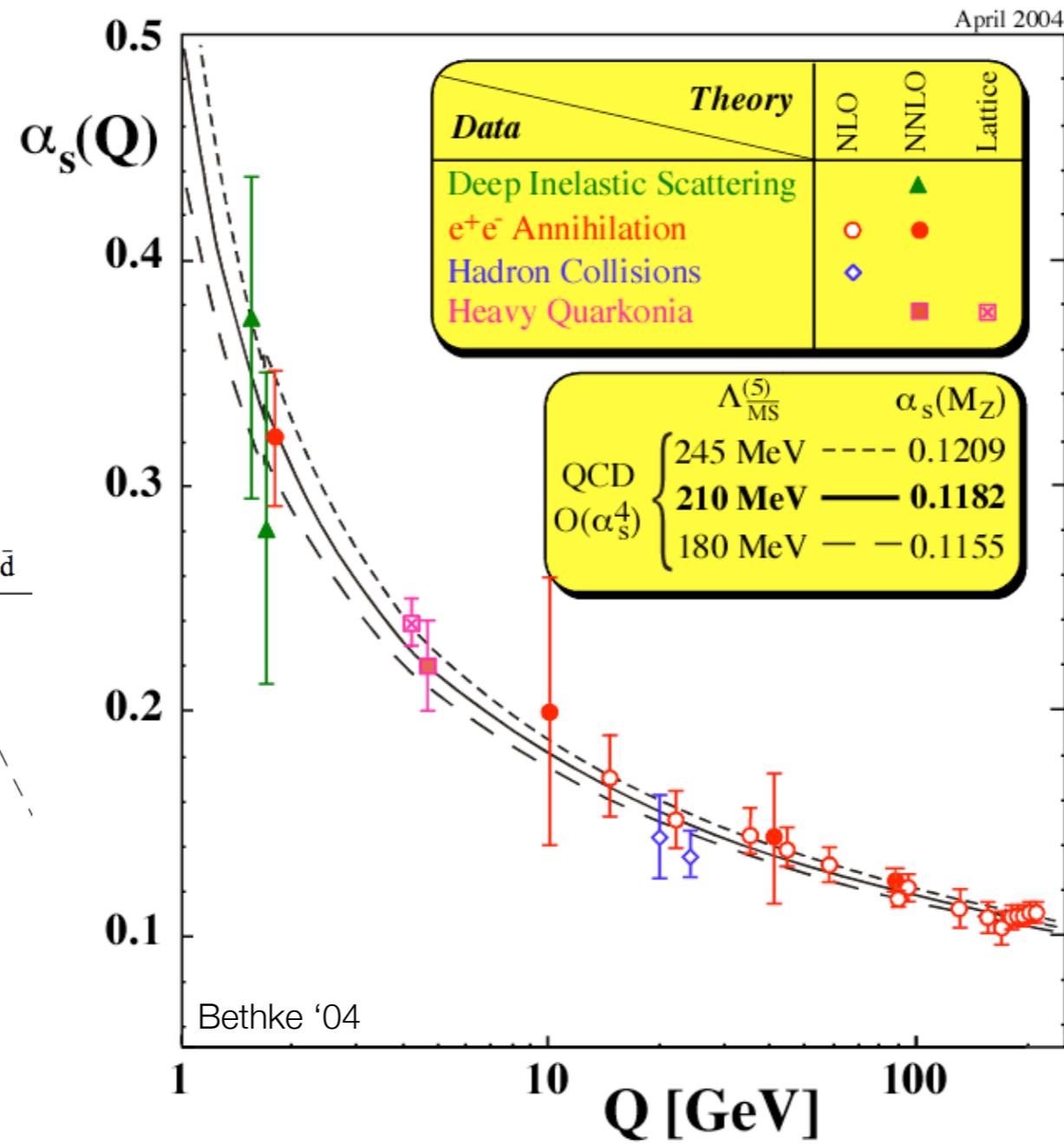
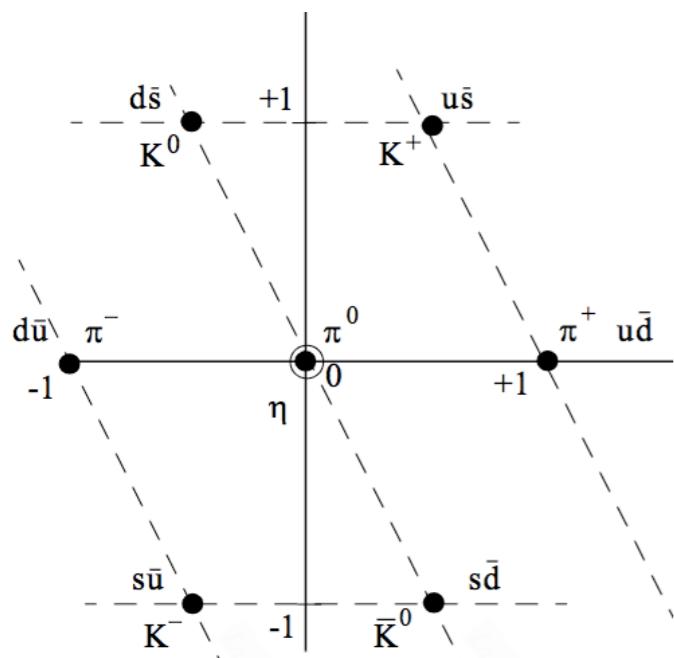
From Microscopic Degrees to Macroscopic DoF



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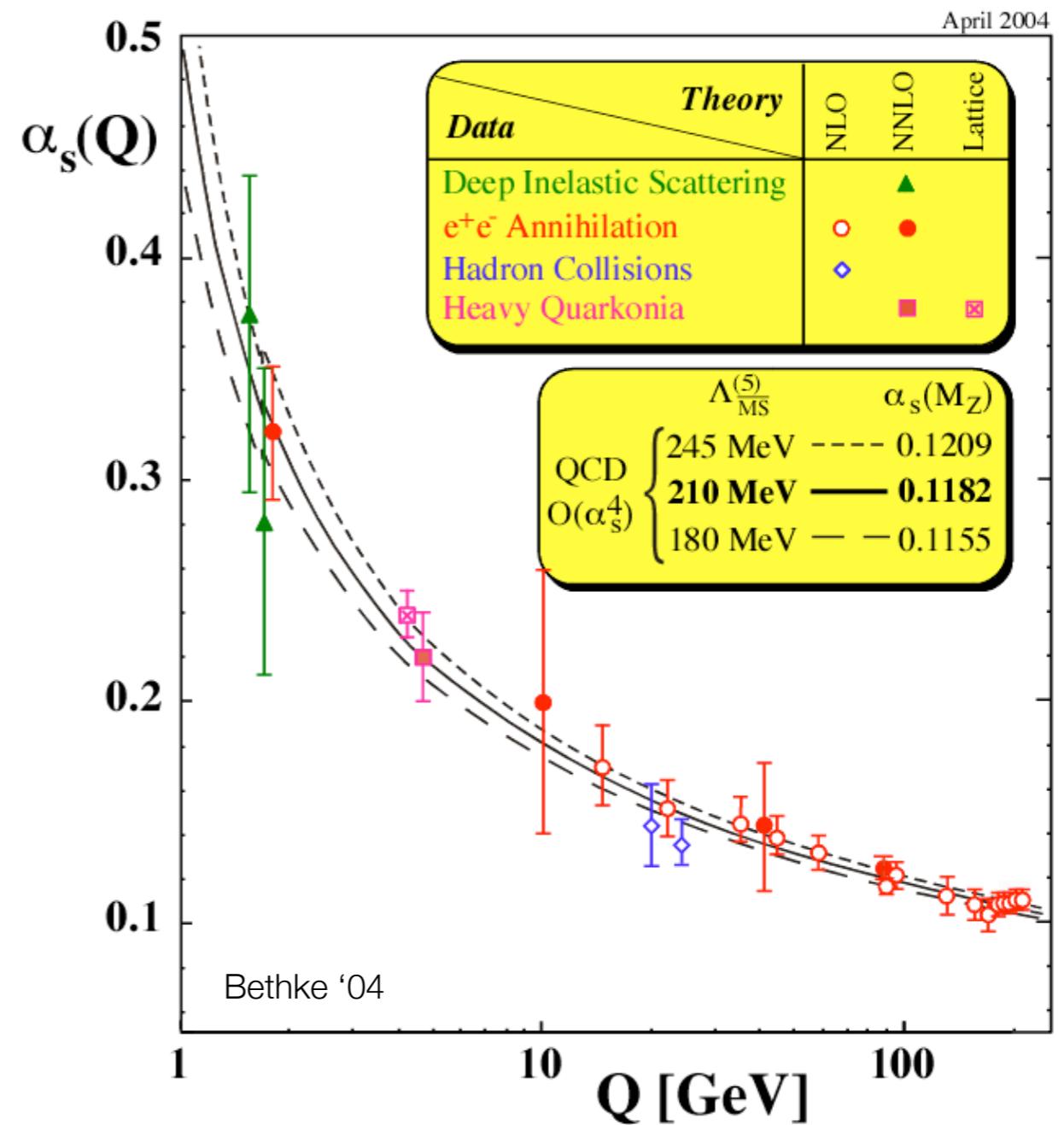


Challenges in QCD

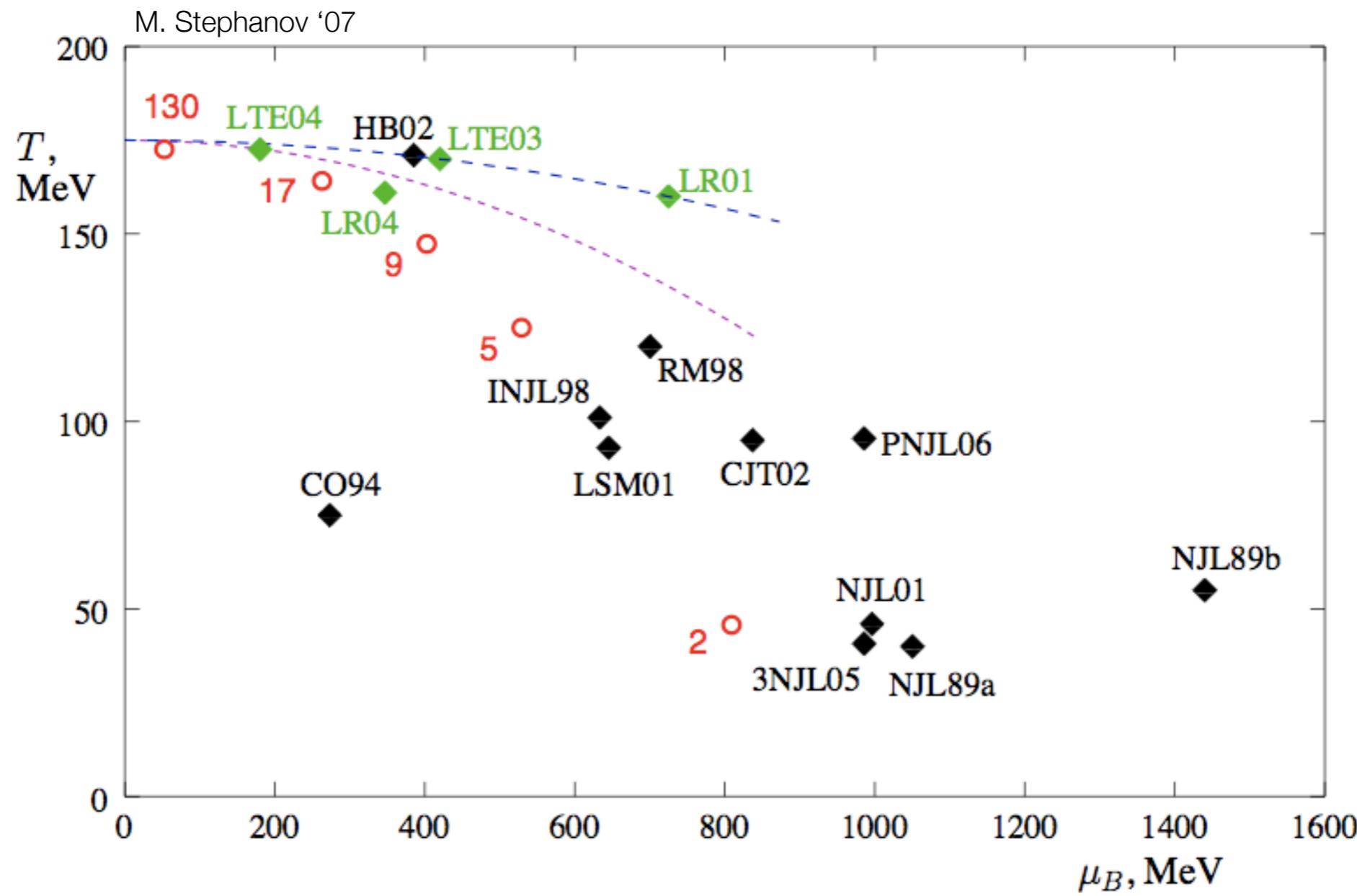


Challenges in QCD

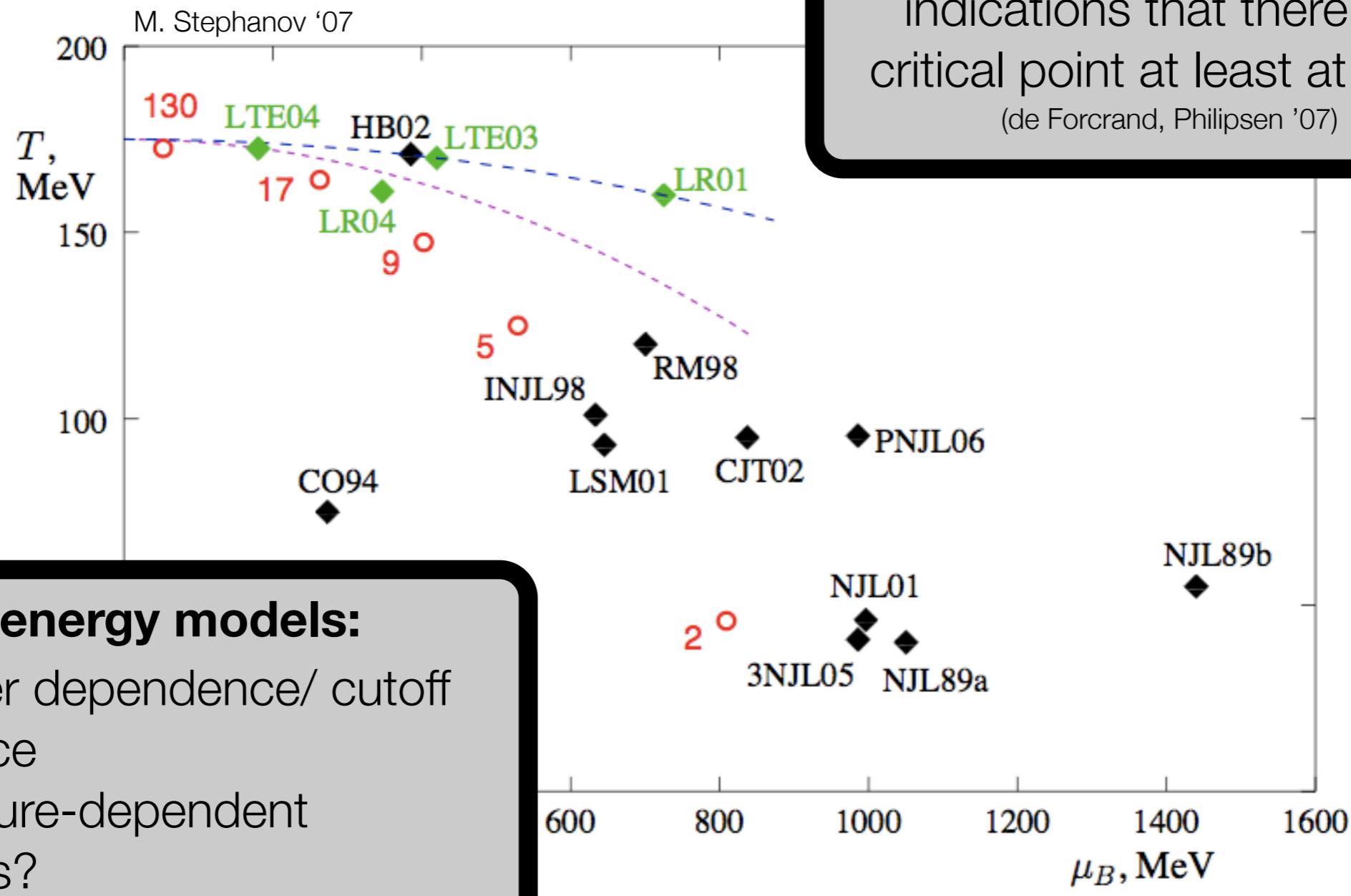
- **Asymptotic freedom** at high momenta (Gross & Wilczek '73, Politzer '73)
- running coupling exhibits Landau pole at **small momenta**
→ pQCD fails
- Understanding of QCD in the mid-momentum regime is needed to study **confinement & chiral symmetry** breaking



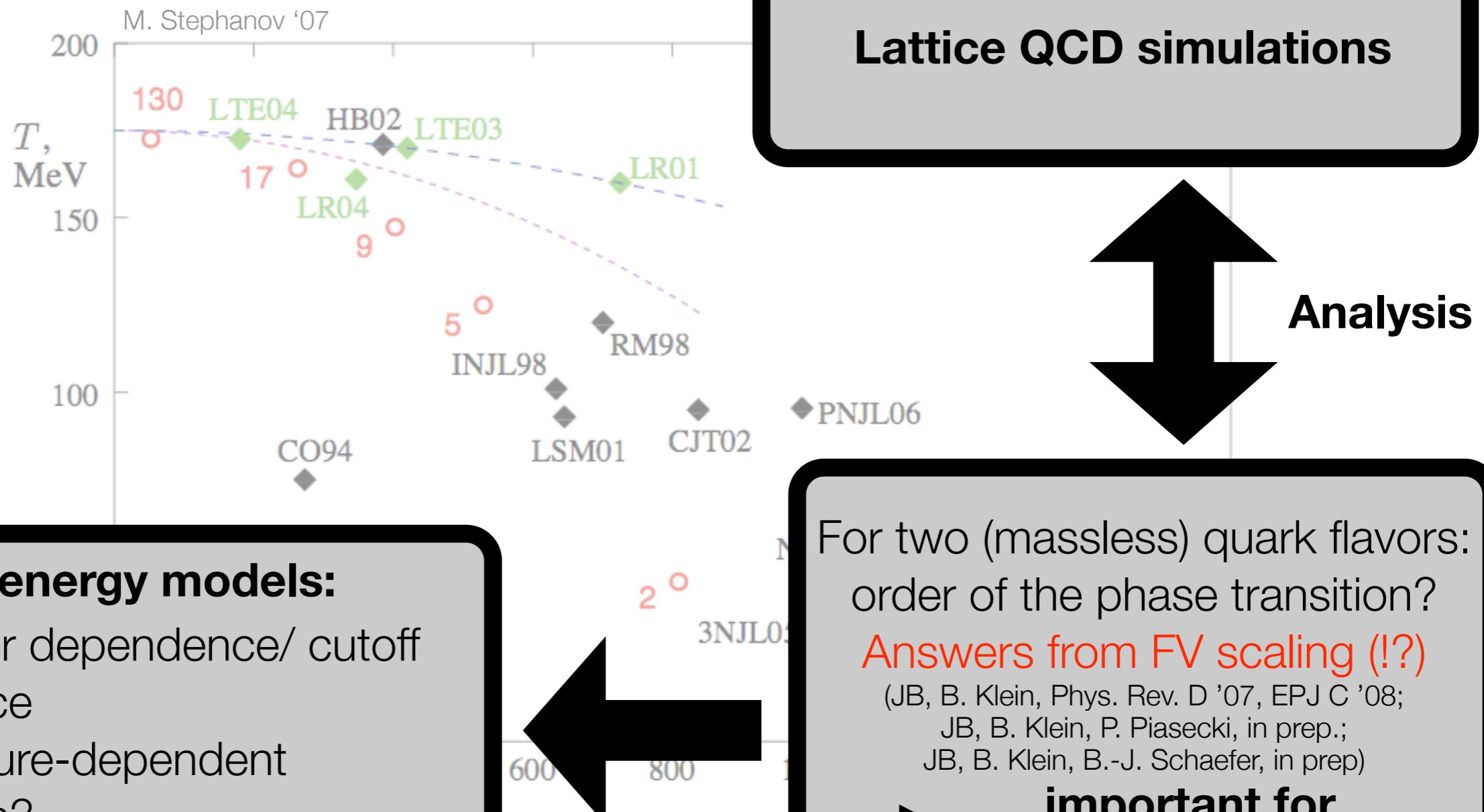
QCD phase diagram? Puzzles ...



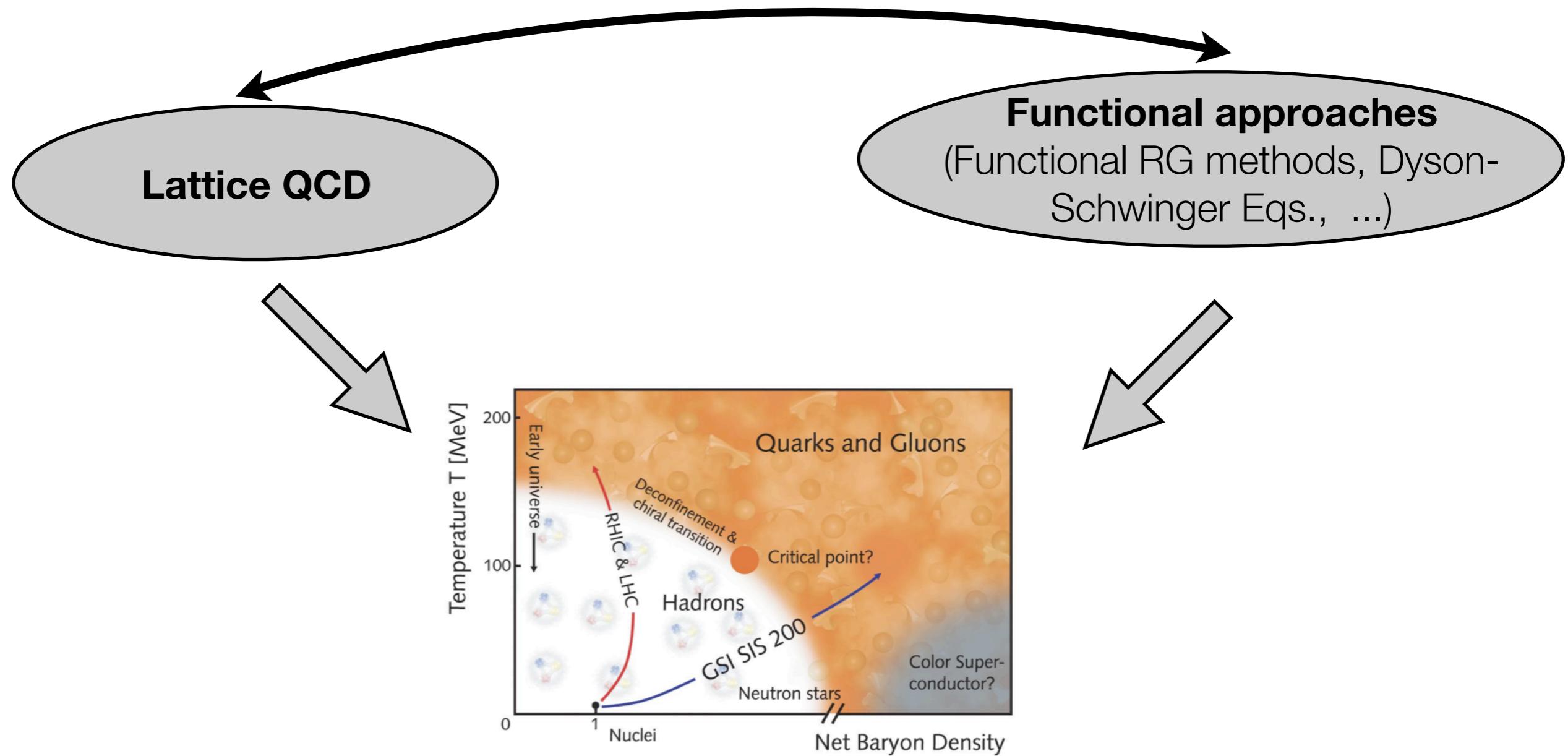
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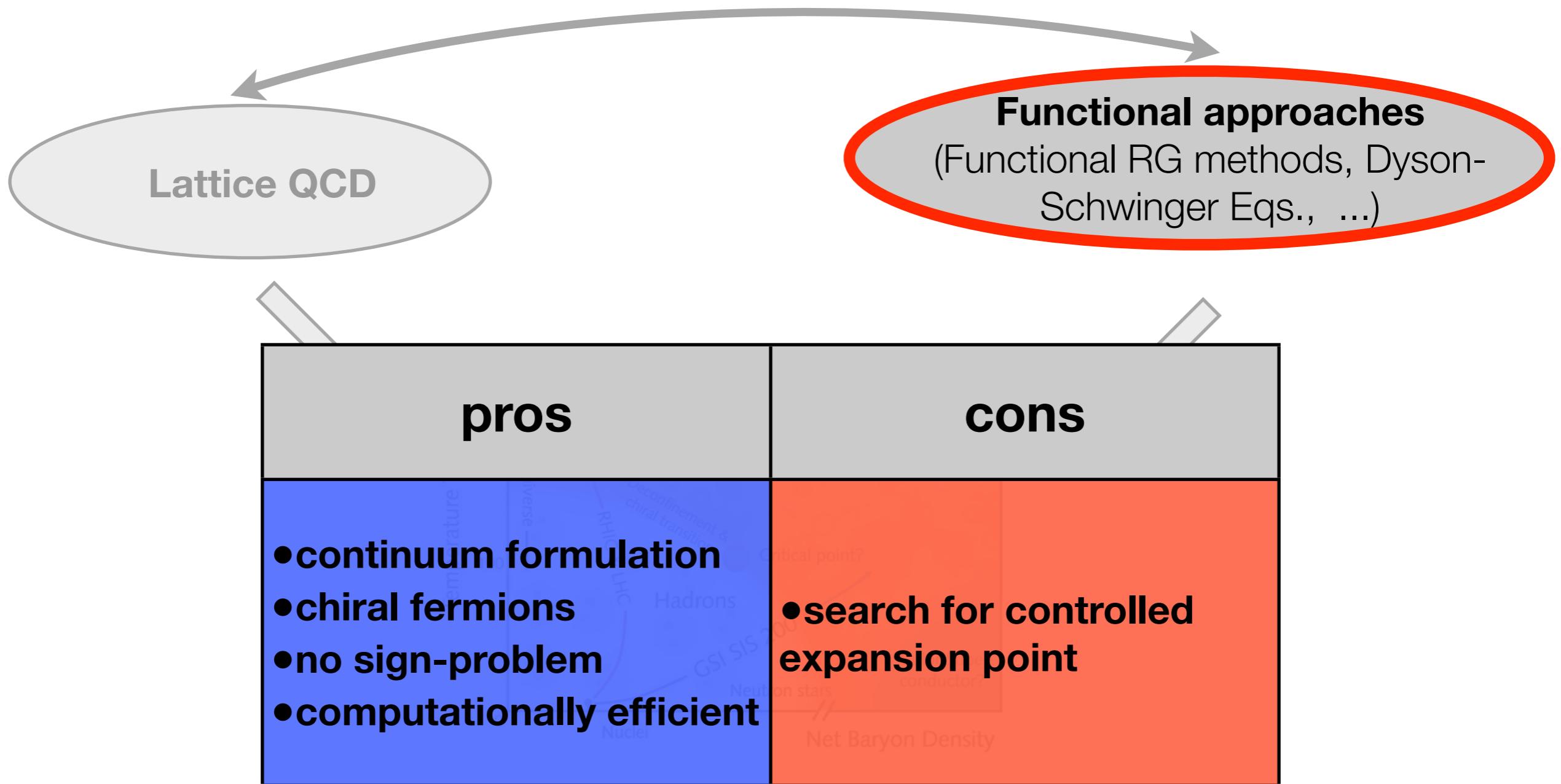
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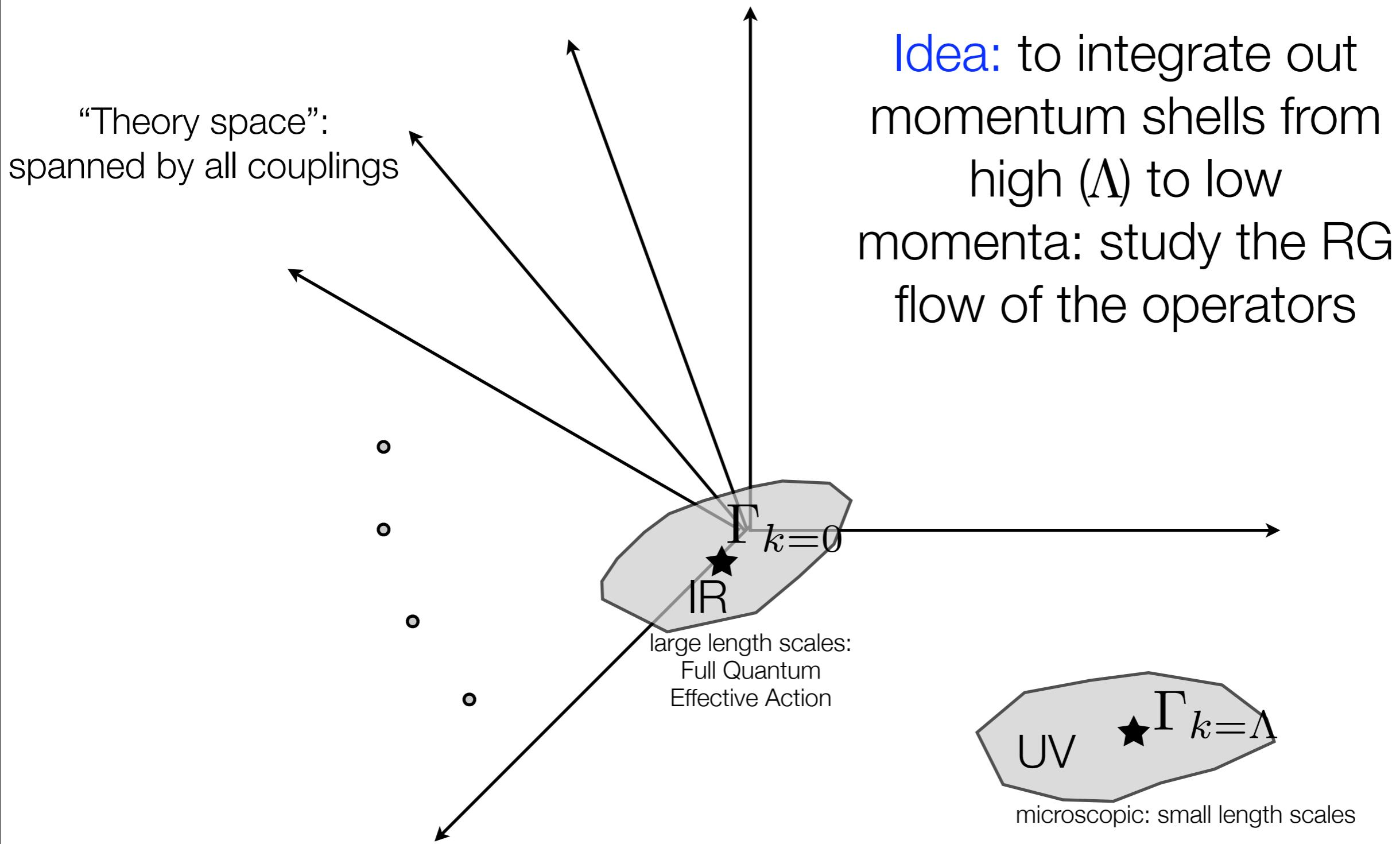
How to tackle QCD at finite temperature?



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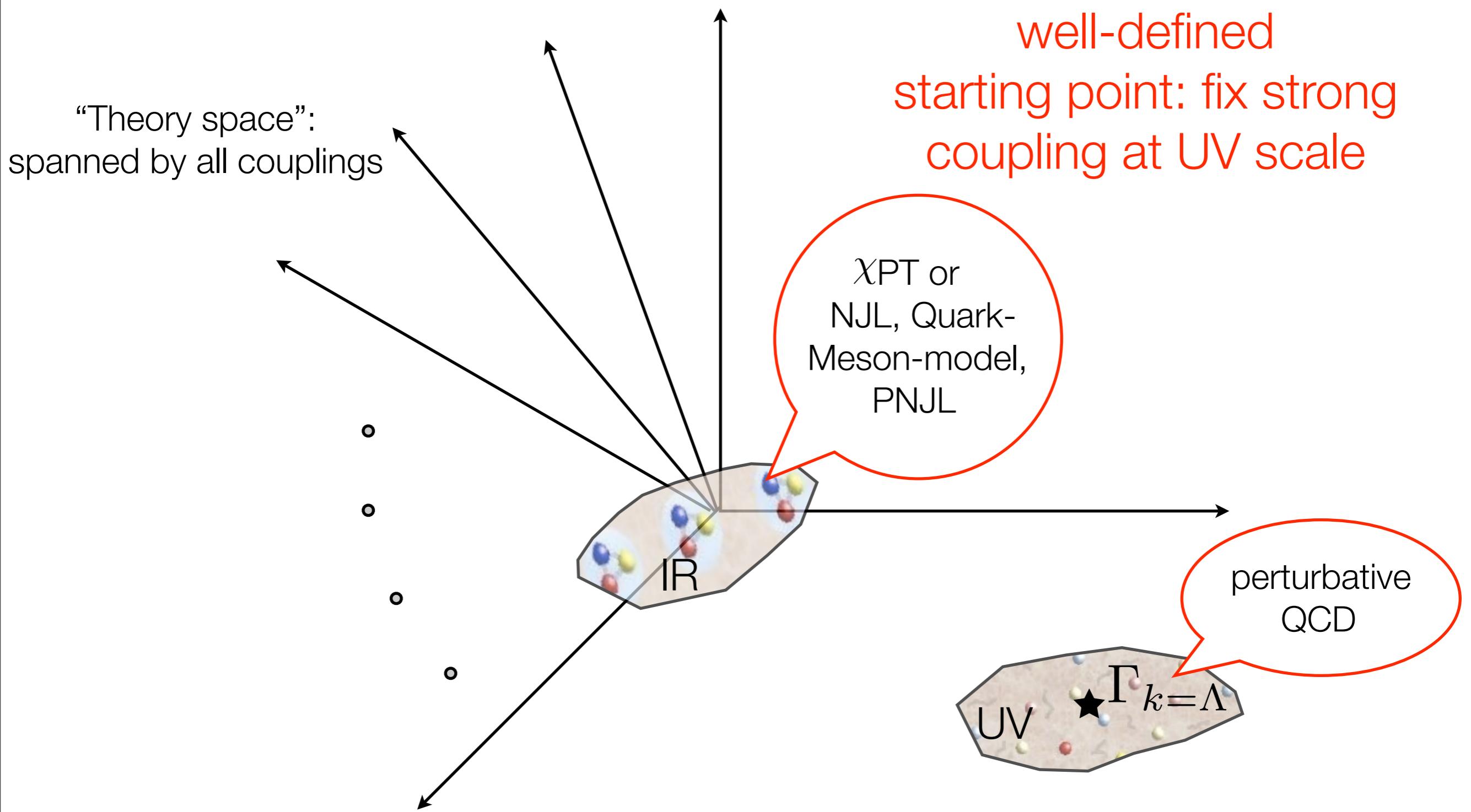


Functional Renormalization Group



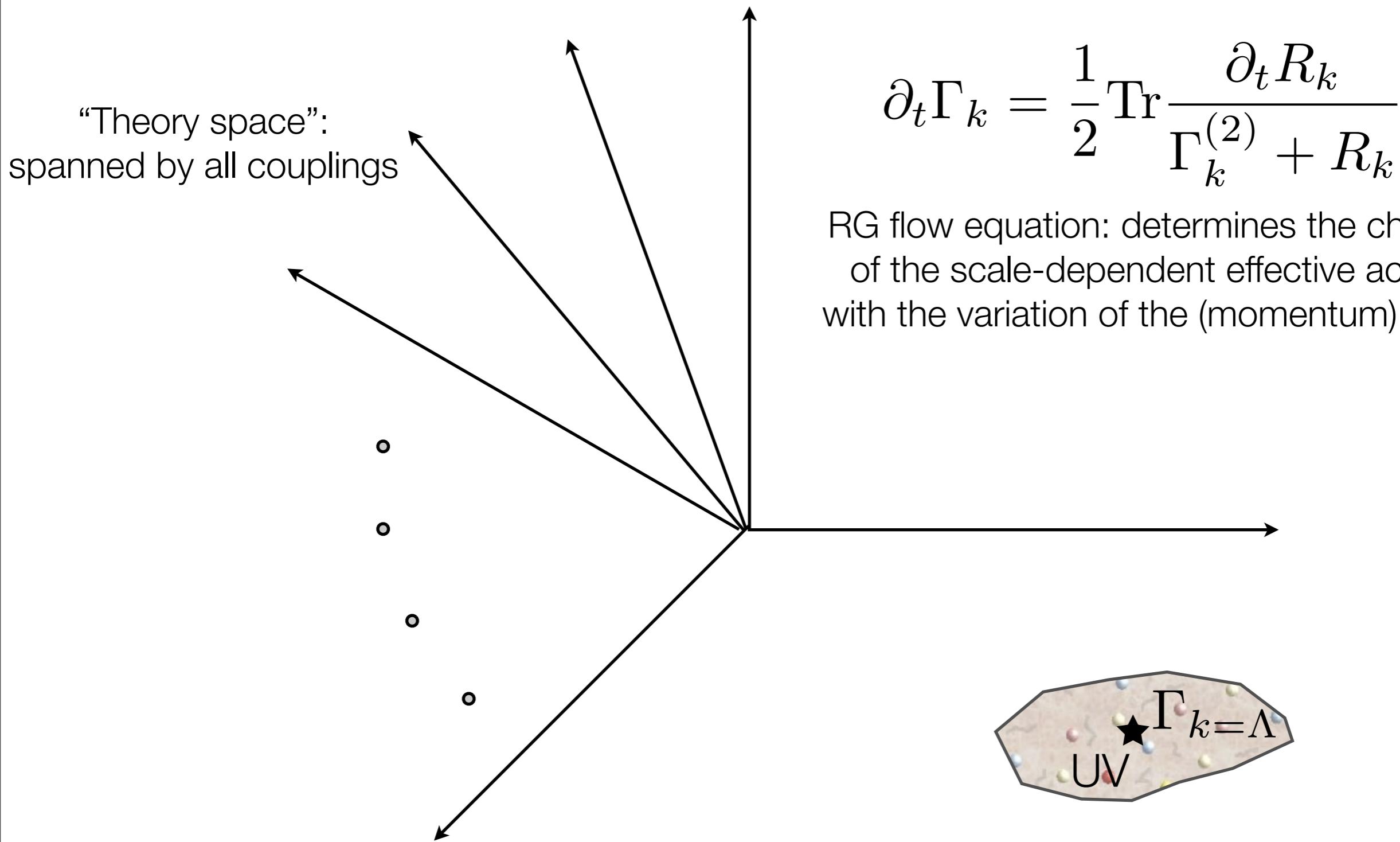
Functional Renormalization Group - QCD

(C. Wetterich '92)



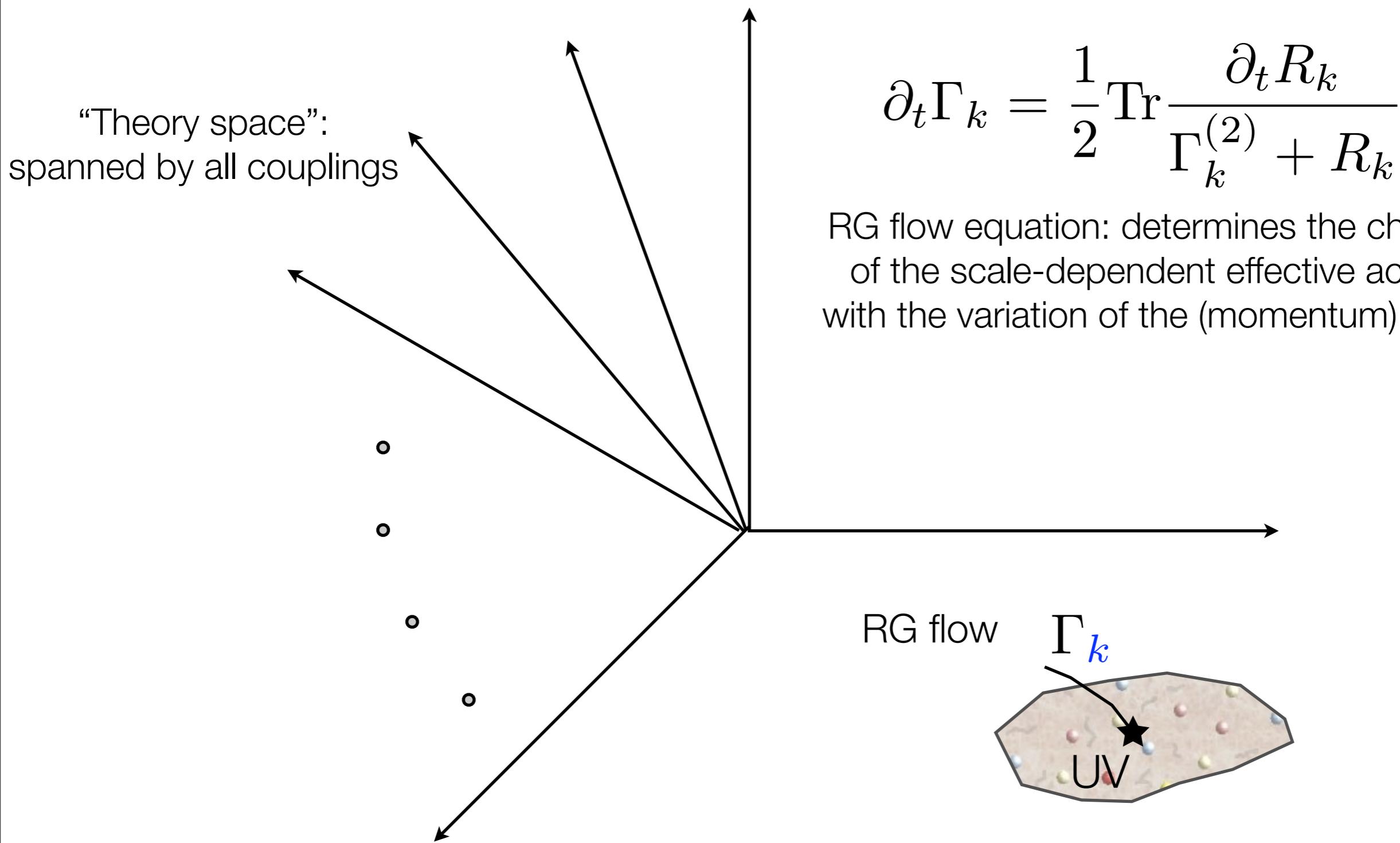
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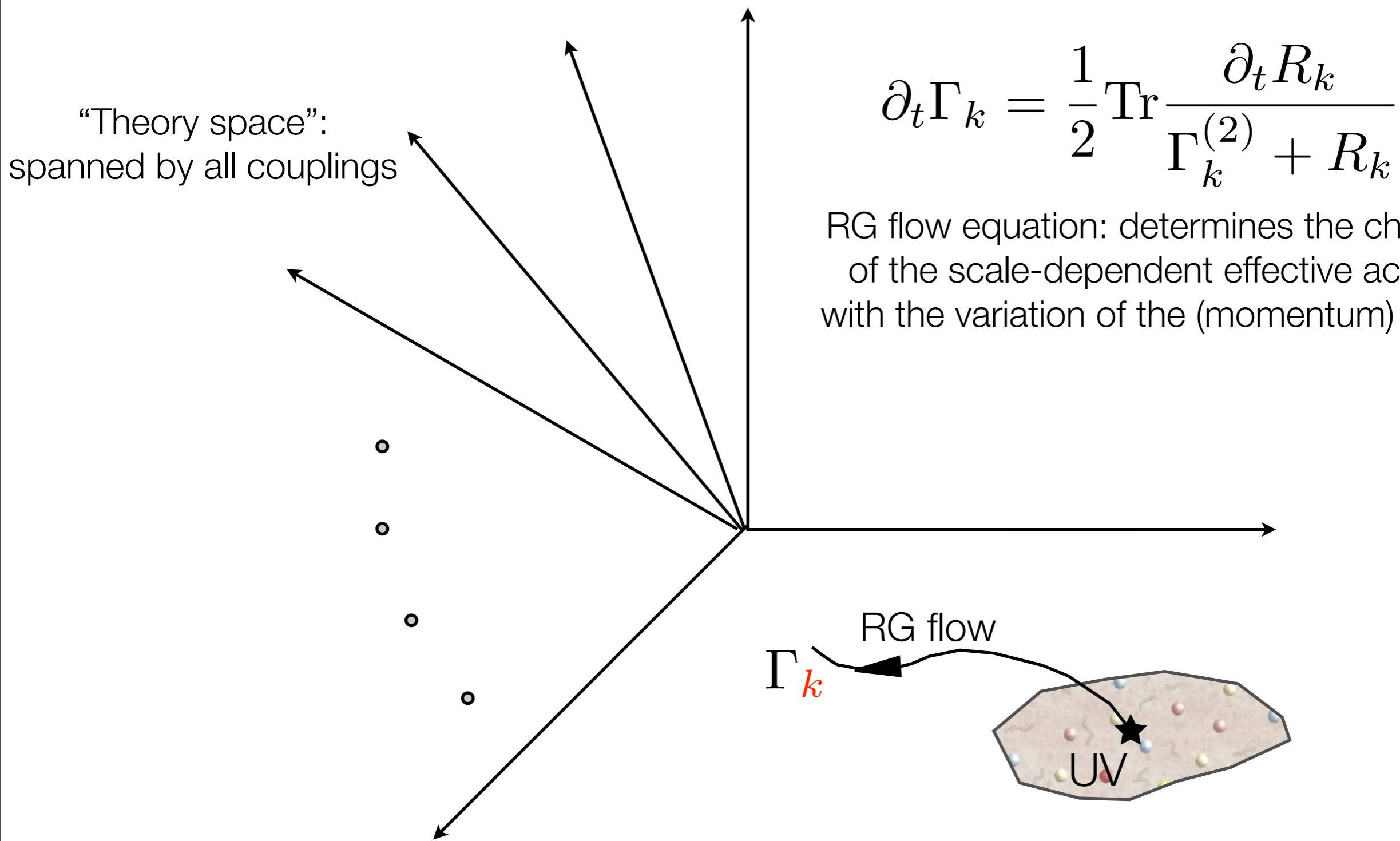
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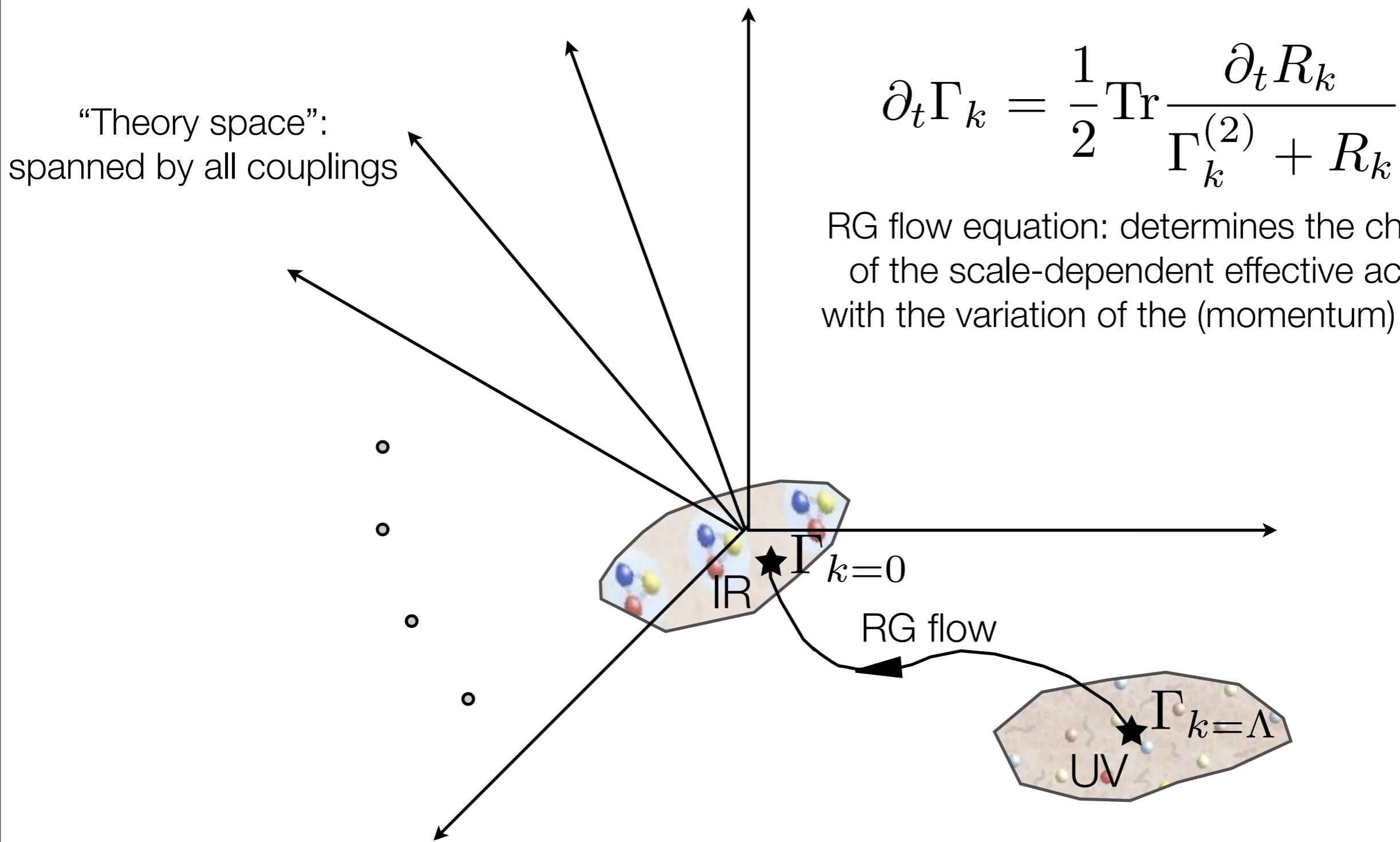
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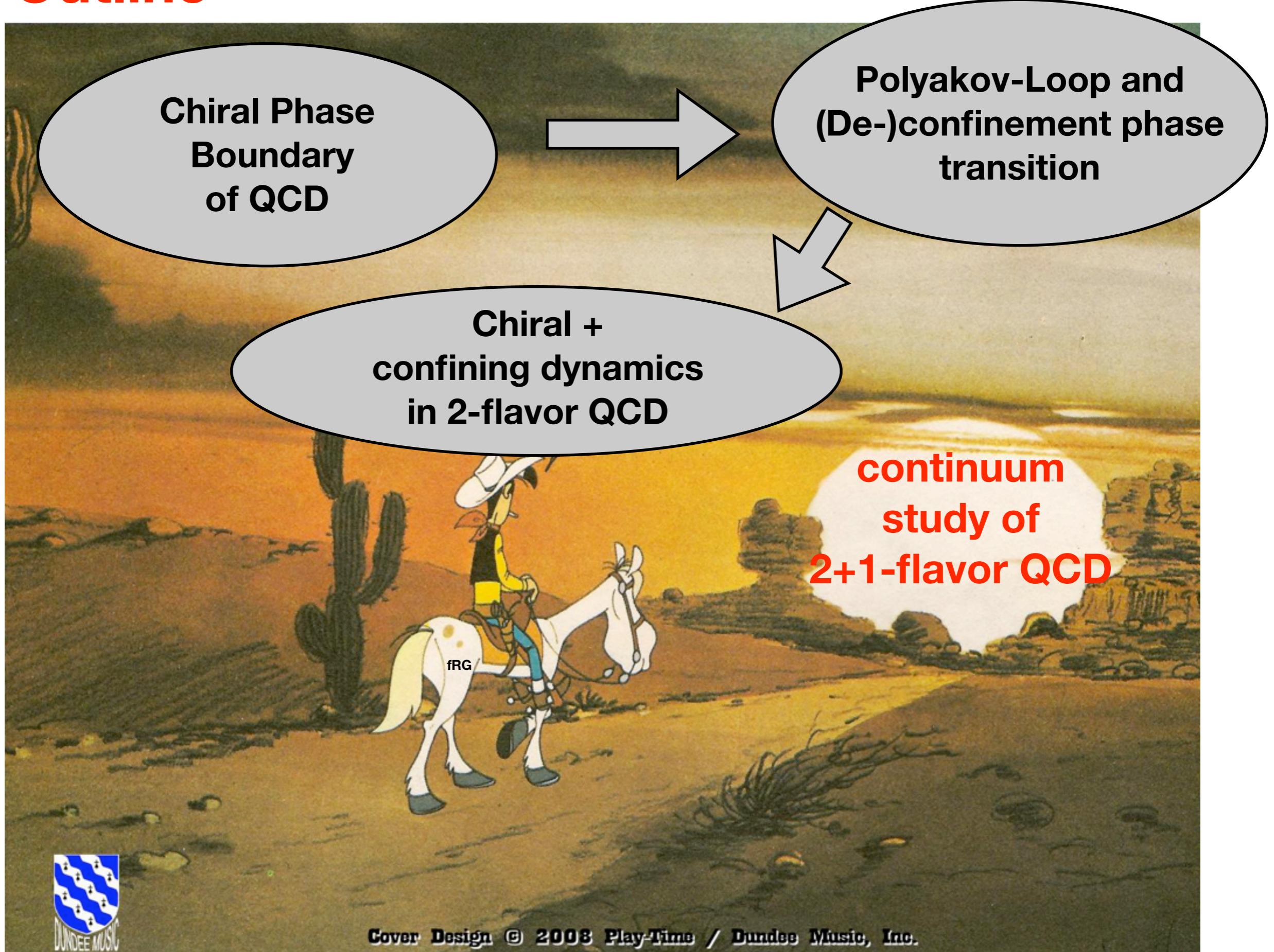


Functional Renormalization Group - QCD

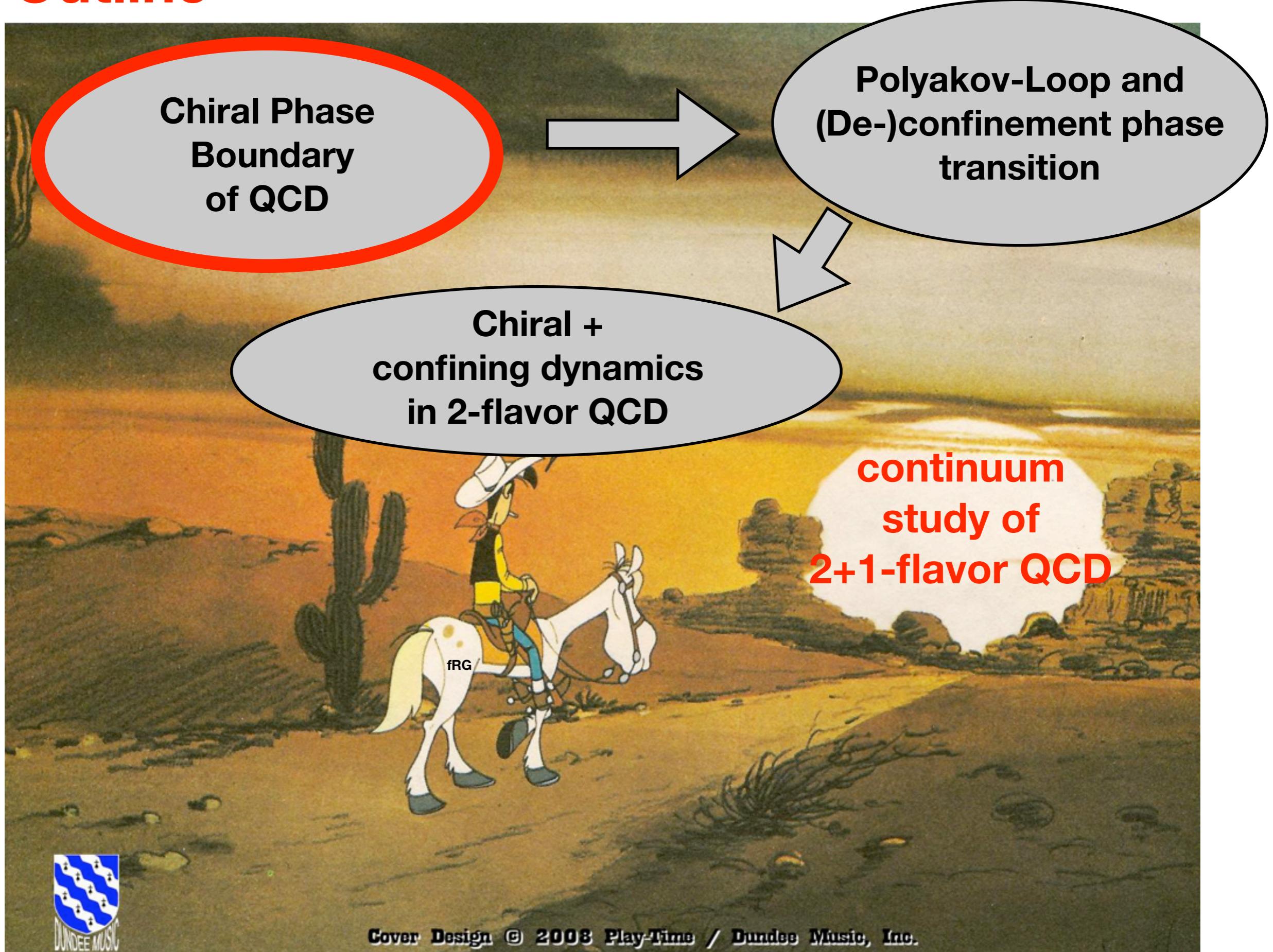
(C. Wetterich '92)



Outline



Outline



Aspects of the NJL model

(Y. Nambu, G. Jona-Lasinio '61)

- classical action of the NJL model:

$$S = \int_x \{ \bar{\psi} i\partial^\mu \psi + \bar{\lambda}_\sigma [(\bar{\psi}\psi)^2 - (\bar{\psi}\gamma_5\psi)^2] \}$$

- spontaneous symmetry breaking if quark condensate is non-vanishing: $\langle \bar{\psi}\psi \rangle \neq 0$

Aspects of the NJL model

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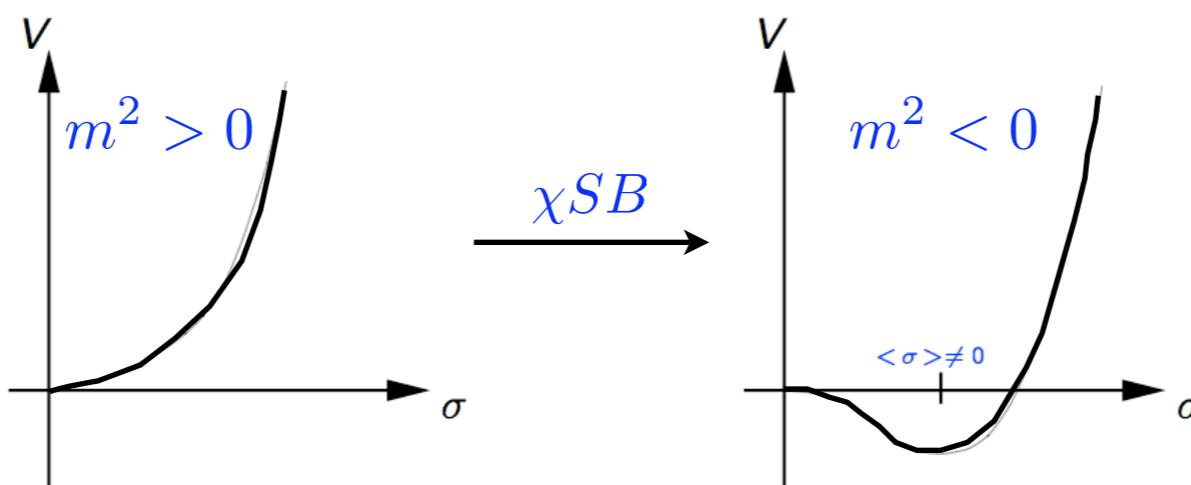
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$$S = \int_x \{ \bar{\psi} i\partial^\mu \psi + \bar{\lambda}_\sigma [(\bar{\psi} \psi)^2 - (\bar{\psi} \gamma_5 \psi)^2] \}$$

- bosonization of the NJL model yields $(\sigma = -2\bar{\lambda}_\sigma \bar{\psi} \psi, \pi = -2\bar{\lambda}_\sigma \bar{\psi} \gamma_5 \psi)$

$$S = \int_x \left\{ \bar{\psi} i\partial^\mu \psi + \bar{\psi} (\sigma + i\gamma_5 \pi) \psi - \frac{1}{\bar{\lambda}_\sigma} (\sigma^2 + \pi^2) \right\} \quad (\text{“Quark-Meson model”})$$

➡ $\bar{\lambda}_\sigma$ is inverse proportional to the scalar mass parameter, $m^2 \propto \frac{1}{\bar{\lambda}_\sigma}$



Four-Fermion Interactions in QCD

- at the UV scale ($k = \Lambda \gg \Lambda_{\text{QCD}}$):

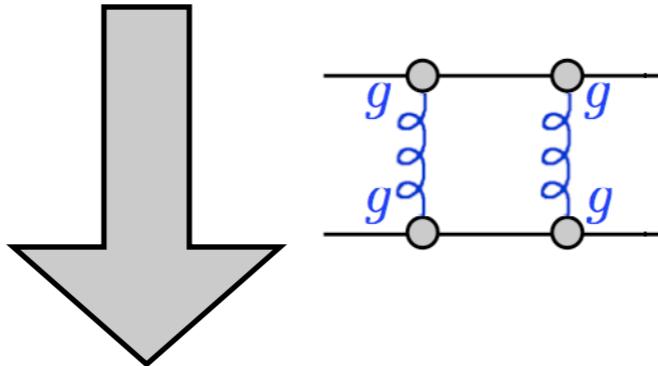
$$\Gamma_{\Lambda} = \int_x \left\{ \frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a + \bar{\psi} (i\partial + \bar{g} A) \psi \right\}$$

Four-Fermion Interactions in QCD

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$$k = \Lambda - \delta k$$



$$\Gamma_{\Lambda - \delta k} = \int_x \left\{ \frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a + \bar{\psi} (i\partial + \bar{g} A) \psi + \frac{\lambda_\sigma}{2k^2} [(\bar{\psi}^a \psi^b)^2 - (\bar{\psi}^a \gamma_5 \psi^b)^2] + \dots \right\}$$

- quark-gluon dynamics generate four-fermion interactions

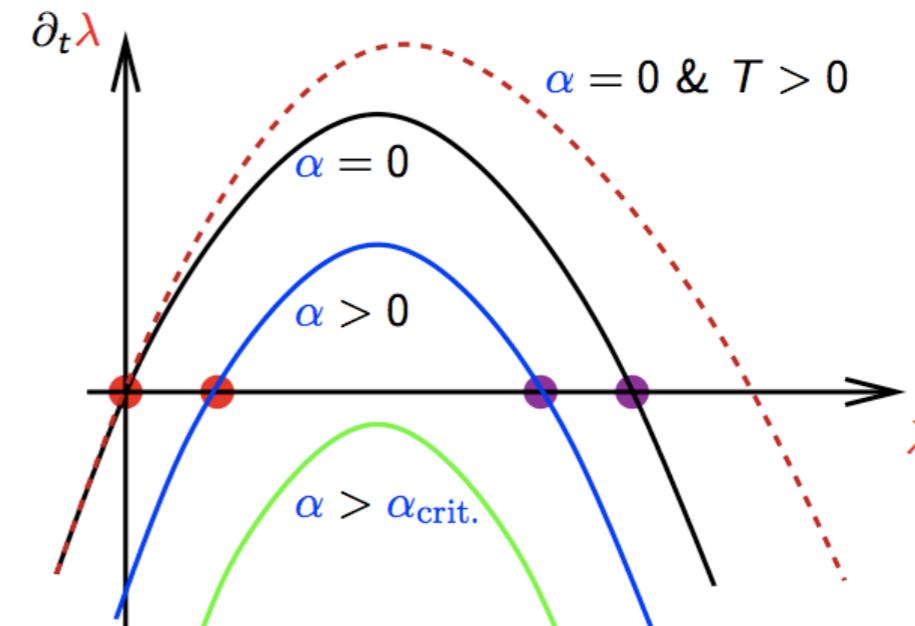
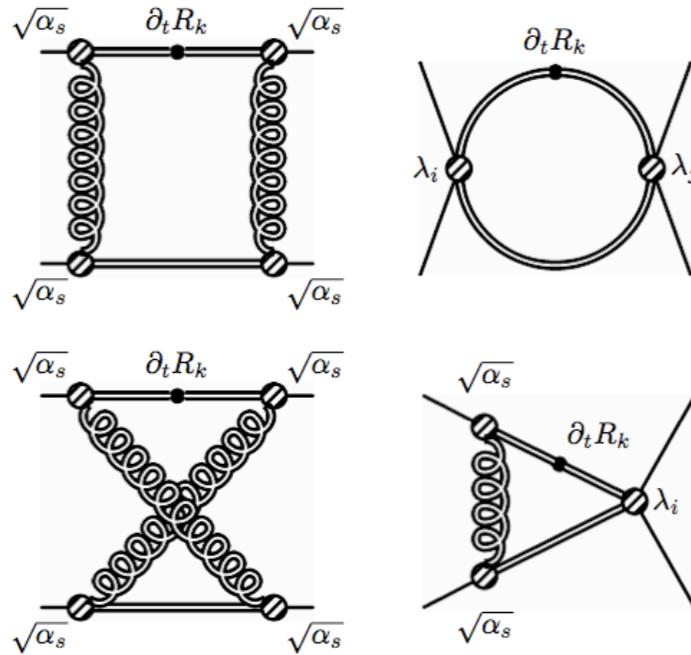
RG flow for the chiral QCD sector

- effective action:

$$\begin{aligned}\Gamma_k = & \int_x \left\{ \frac{\bar{g}^2}{g^2} F_{\mu\nu}^a F_{\mu\nu}^a + w_2 (F_{\mu\nu}^a F_{\mu\nu}^a)^2 + w_3 (F_{\mu\nu}^a F_{\mu\nu}^a)^3 + \dots \right\} \\ & + \int_x \left\{ \bar{\psi} (\mathrm{i} Z_\psi \not{\partial} + Z_1 \bar{g} \not{A}) \psi + \frac{1}{2} \left[\frac{\lambda_-}{k^2} (\mathrm{V} - \mathrm{A}) + \frac{\lambda_+}{k^2} (\mathrm{V} + \mathrm{A}) \right. \right. \\ & \left. \left. + \frac{\lambda_\sigma}{k^2} (\mathrm{S} - \mathrm{P}) + \frac{\lambda_{\mathrm{VA}}}{k^2} [2(\mathrm{V} - \mathrm{A})^{\mathrm{adj}} + (1/N_c)(\mathrm{V} - \mathrm{A})] \right] \right\}\end{aligned}$$

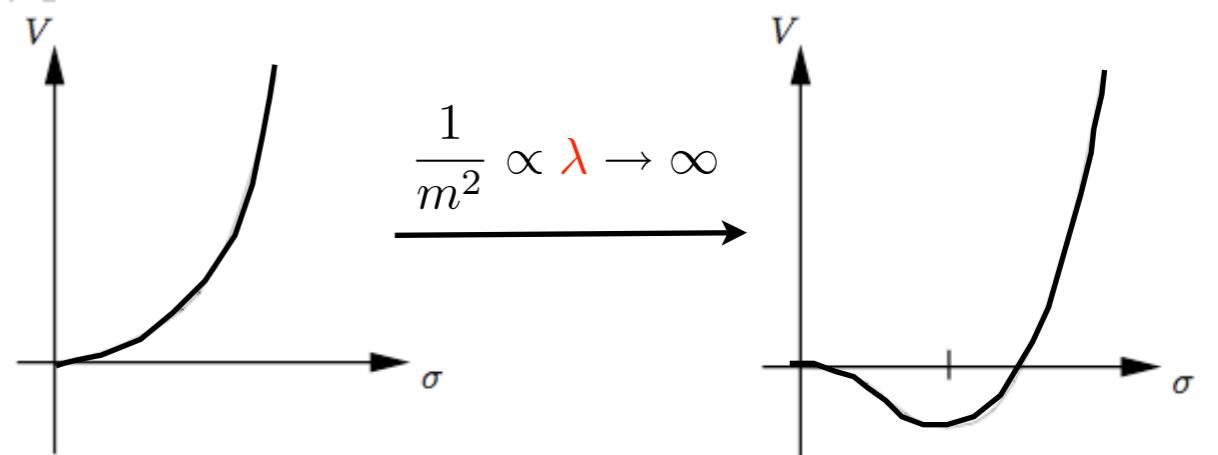
- no Fierz-ambiguity
- four-fermion interactions ($\lim_{\Lambda \rightarrow \infty} \lambda_i = 0$)
- truncation checks: momentum dependencies, regulator dependencies, higher order interactions (H. Gies, J. Jaeckel, C. Wetterich '04, H. Gies, C. Wetterich '02, JB '08)

“Criticality” at zero and finite temperature

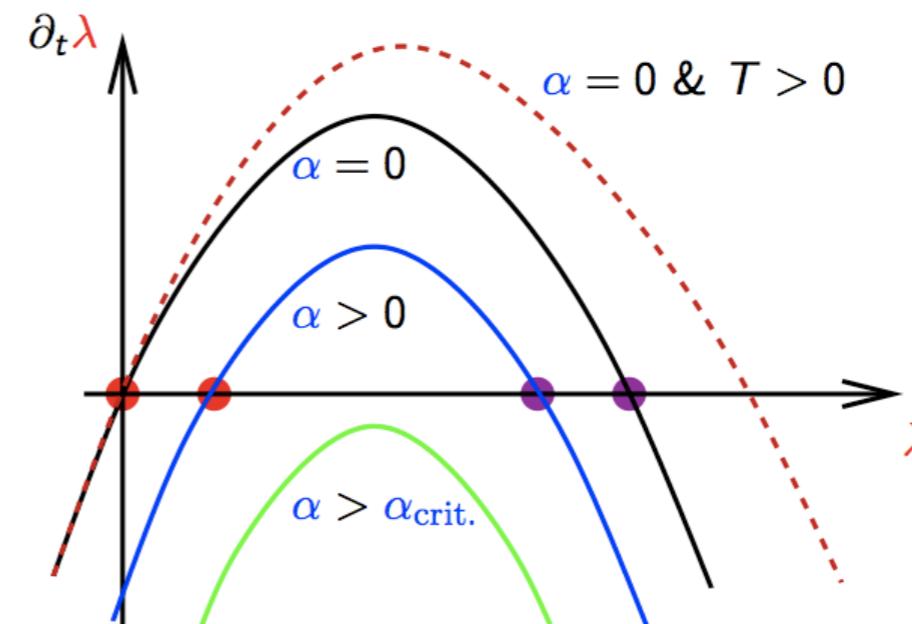
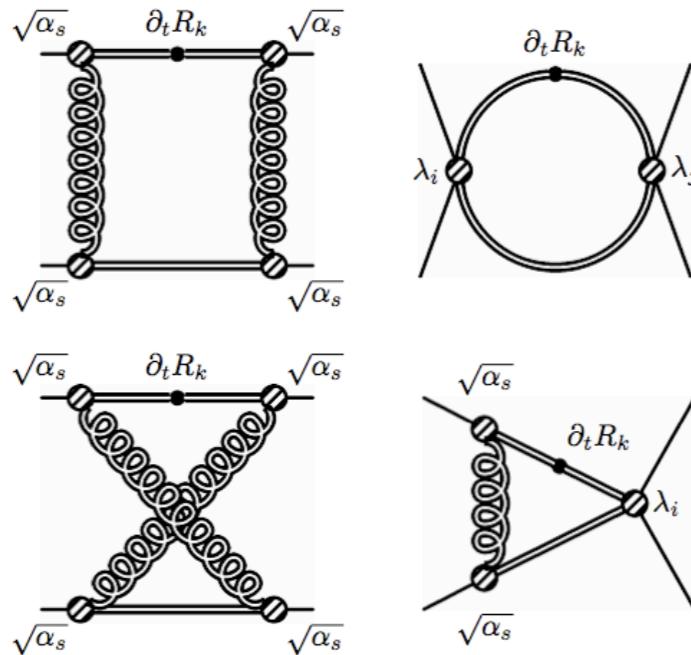


- flow of four-fermion couplings:

$$\partial_t \lambda = 2\lambda - \lambda A(\frac{T}{k})\lambda - b(\frac{T}{k})\lambda \alpha_s - c(\frac{T}{k})\alpha_s^2$$



“Criticality” at zero and finite temperature

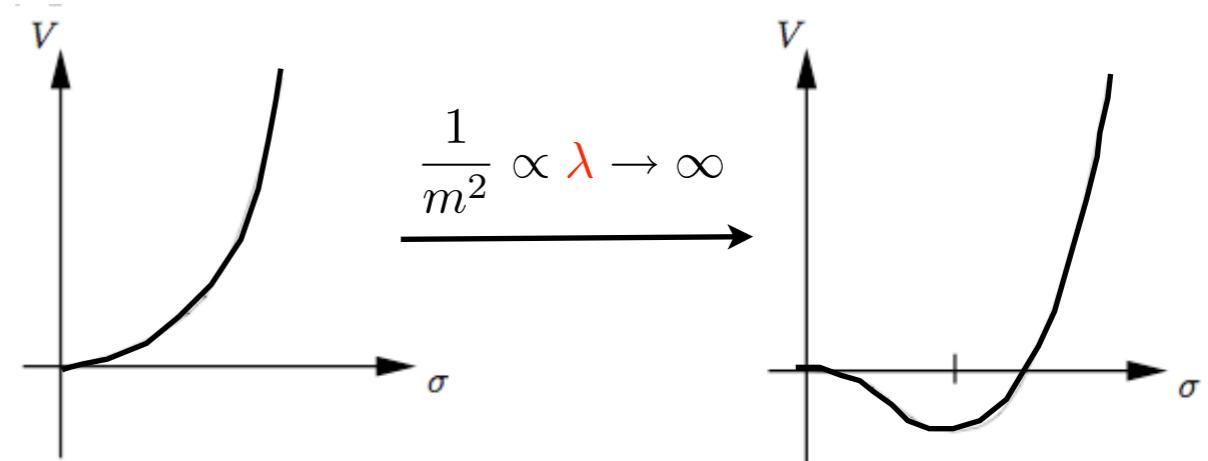


- critical gauge coupling α_{cr} :

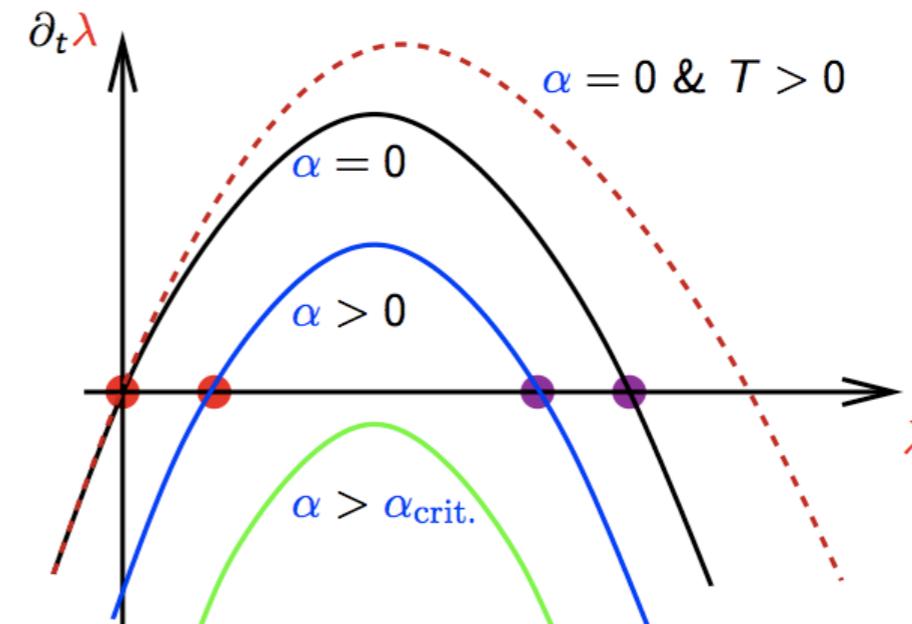
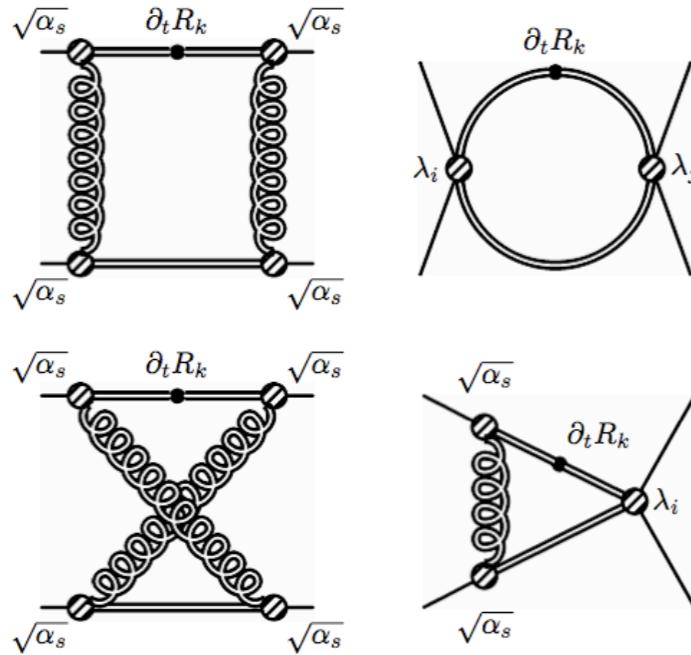
if $\alpha_s > \alpha_{cr}$ \rightarrow no fixed points $\rightarrow \chi SB$

- at zero temperature: (H. Gies, J. Jaeckel '05)

$$\alpha_{cr} \approx 0.85$$



“Criticality” at zero and finite temperature



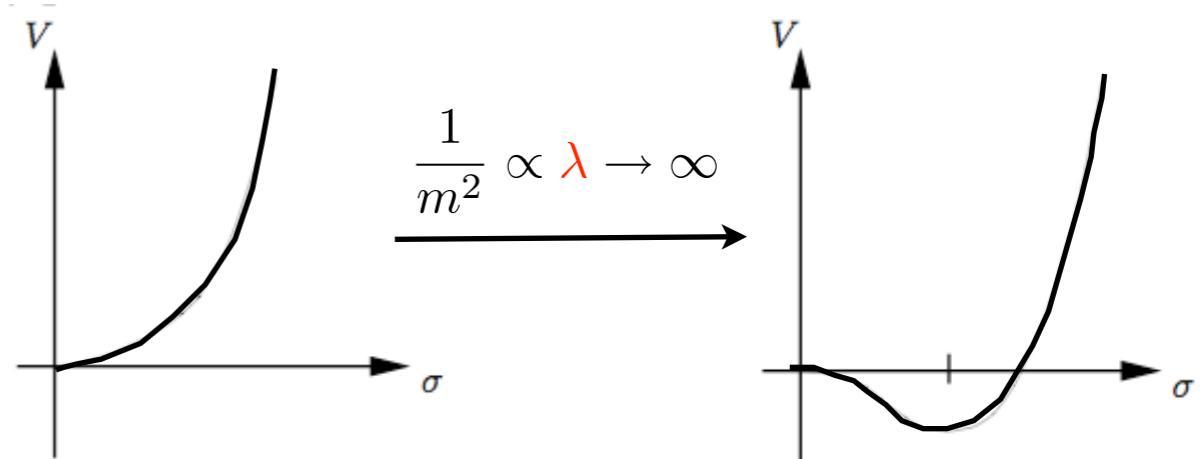
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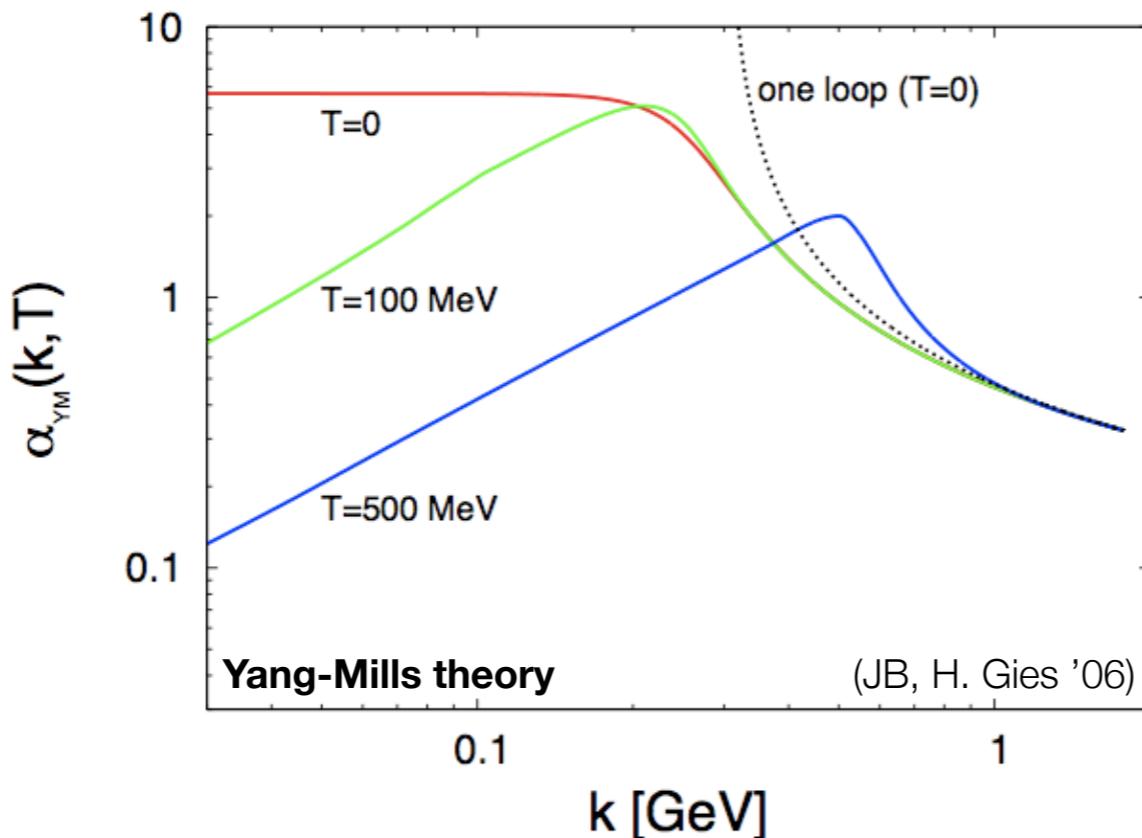
- at finite temperature: (JB, H. Gies '05)

$$\alpha_{cr}(T/k) > \alpha_{cr}(T = 0)$$

quarks acquire a thermal mass



RG flow of gluodynamics



cf. vertex expansion in **Landau-gauge QCD**:

SDE: v. Smekal et al. '97, Fischer et al. '02;

RG: Pawłowski et al. '03, Fischer&Gies '04; Gies '02; Gies&Braun '05/'06

Lattice: e. g. Sternbeck et al. '05; ...

- $k_{max} \propto T$ decoupling of hard gluonic modes → “finite-size” effect:

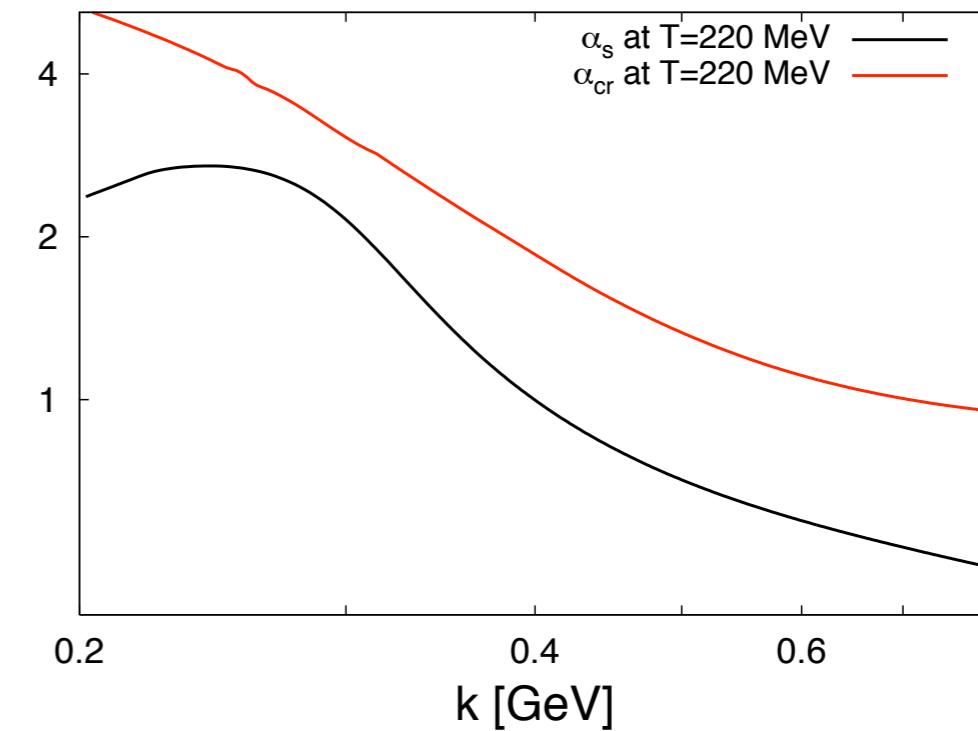
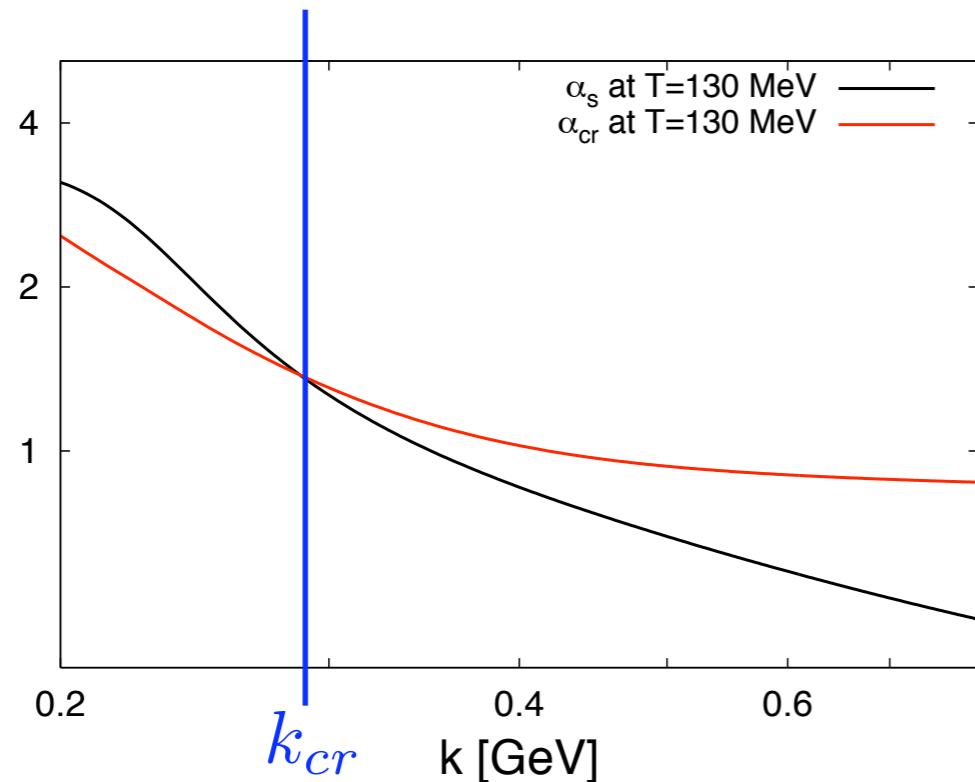
$$p_{g,0}^2 \equiv \omega_n^2 = 4n^2\pi^2 T^2 \quad \rightarrow \quad \omega_0^2 = 0$$

- decrease for $T \gtrsim k$ due to existence of a non-trivial **IR fixed point** in 3d
Yang-Mills theory: **strong interactions at high temperatures** (JB, H. Gies '06; Lattice: Cucchieri et al. '07)

$$\alpha_{4D} \approx \alpha_{3D}^* \frac{k}{T} + \mathcal{O}((k/T)^2) \quad \text{with} \quad \alpha_{3D}^* \approx 2.7; \eta_{3d} \rightarrow 1$$

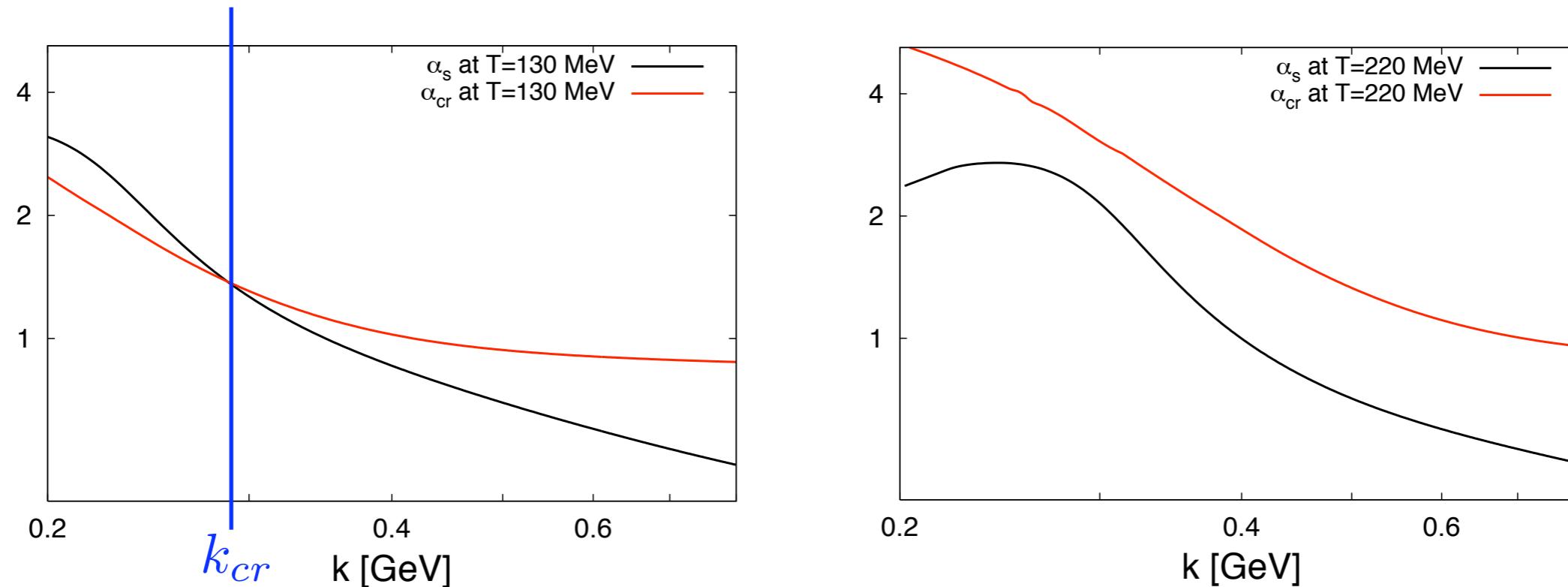
Chiral Phase Transition in QCD

- study: $\alpha_{cr}(T/k)$ vs. $\alpha_s(T/k)$
- intersection point of α_{cr} and α_s indicates onset of χSB



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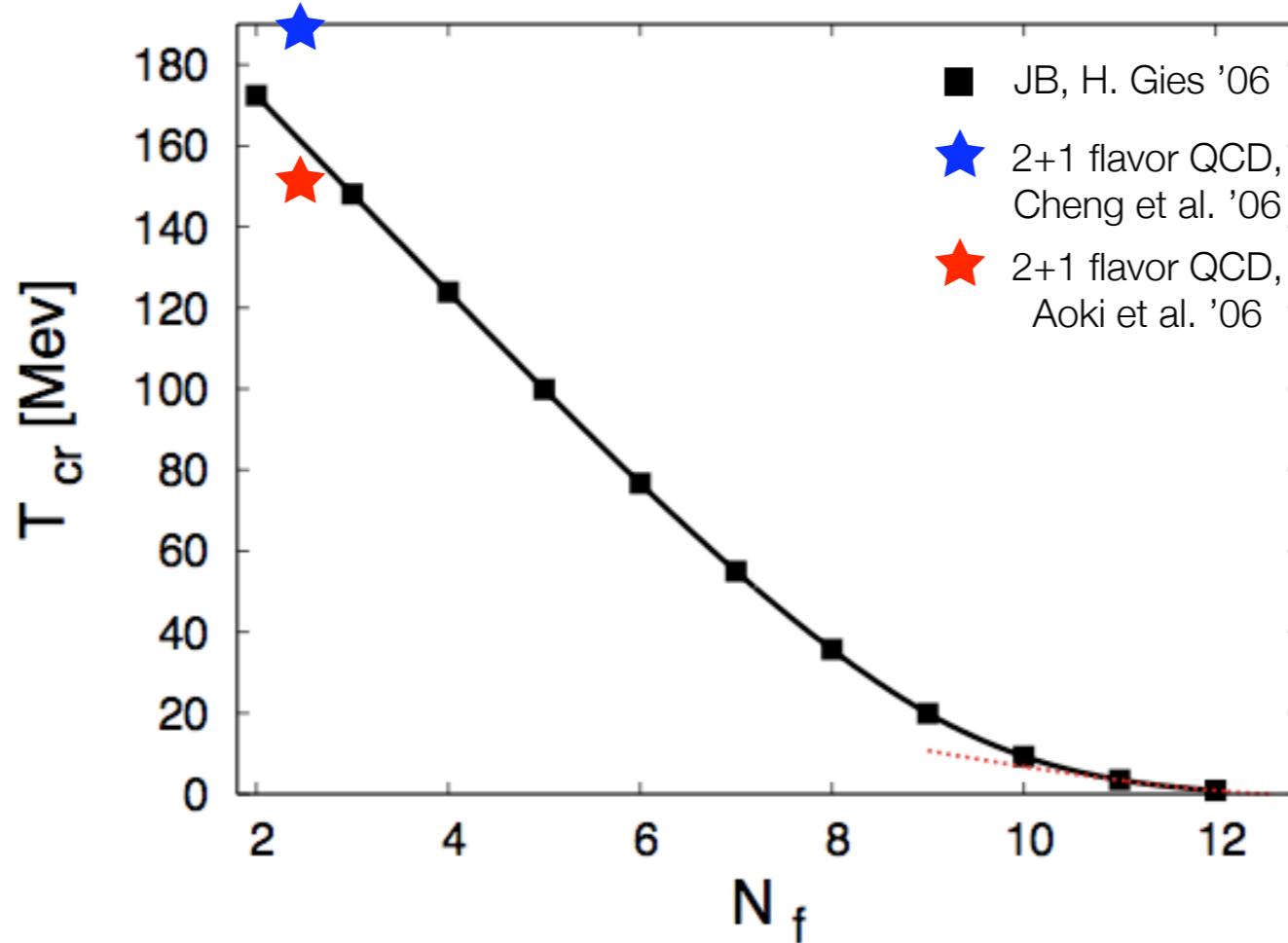
- single input parameter: $\alpha_s(m_\tau) = 0.322$

N_f	T_{cr}
2	172 MeV
3	148 MeV

(JB, H. Gies '06)

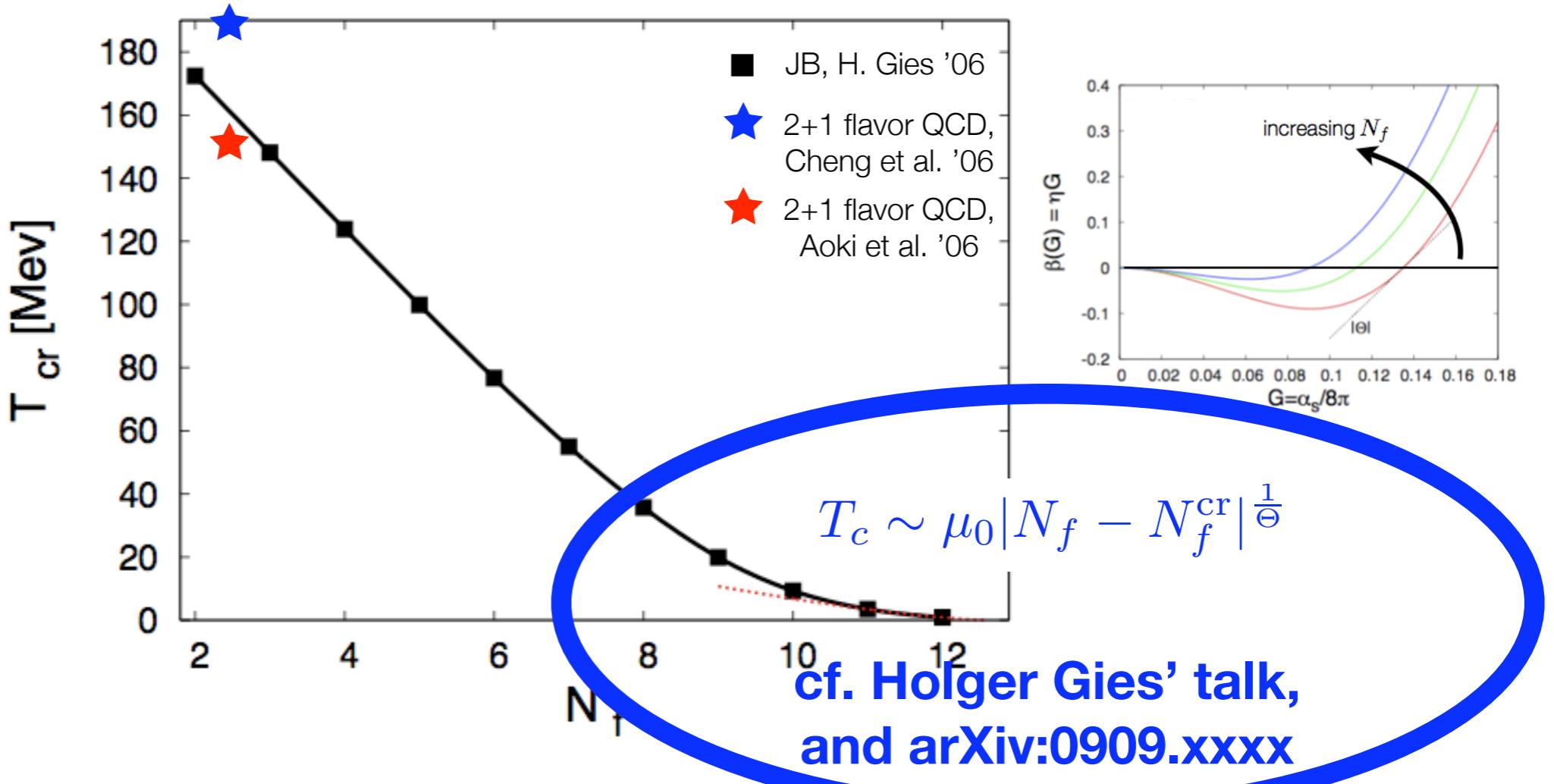
$N_f = 2 + 1$	T_{cr}
Lattice (Cheng et al. '06)	192 MeV
Lattice (Aoki et al. '06)	151 MeV

Many-flavor QCD



- small N_f : fermionic screening
- critical number of quark flavors: $N_{f,cr} \approx 12$ (cf. e. g. Appelquist et al. '07 & '96)
- “conformal phase” for $N_{f,cr} < N_f < 16.5$: asymptotic freedom but no χSB

Many-flavor QCD

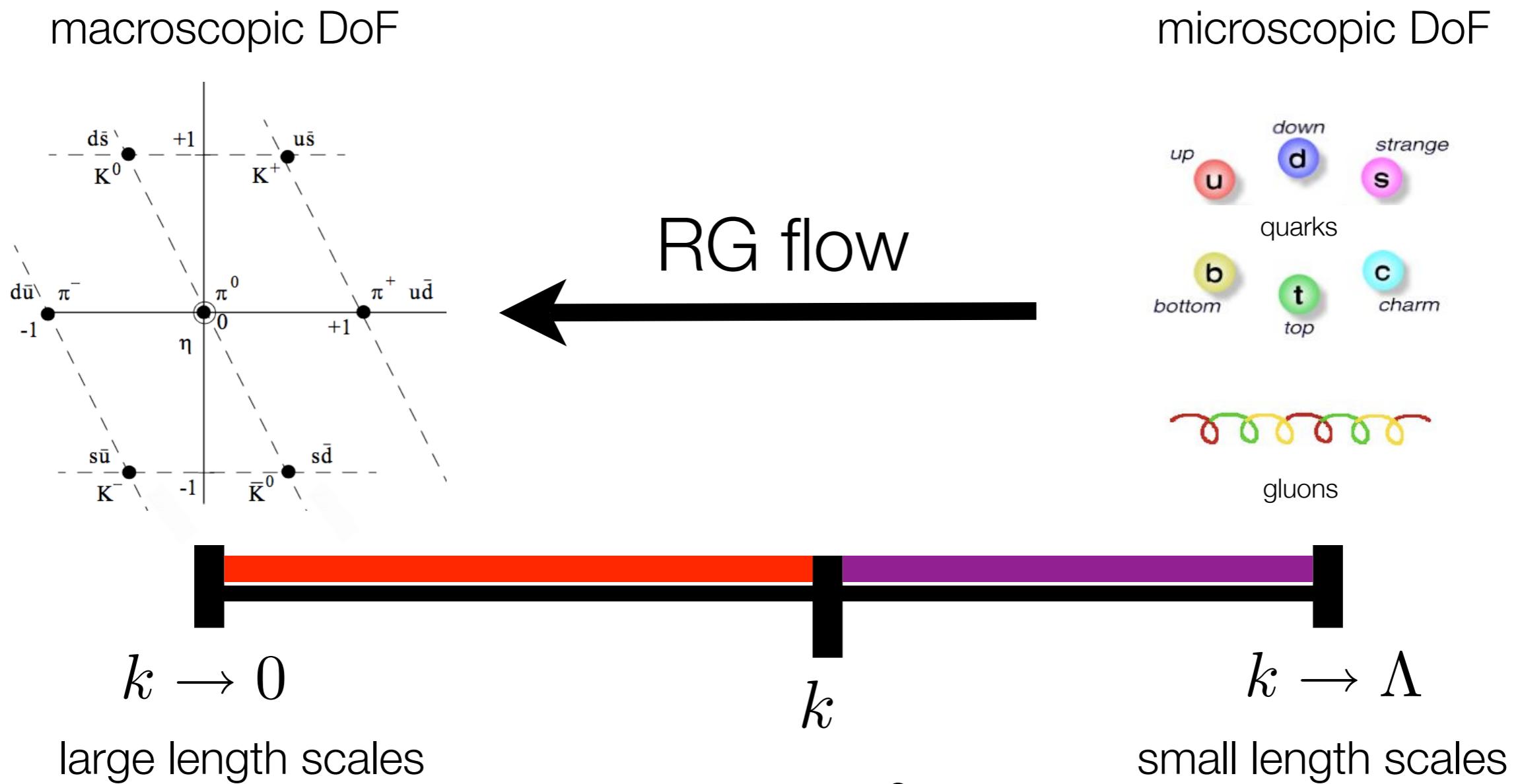


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Challenge:

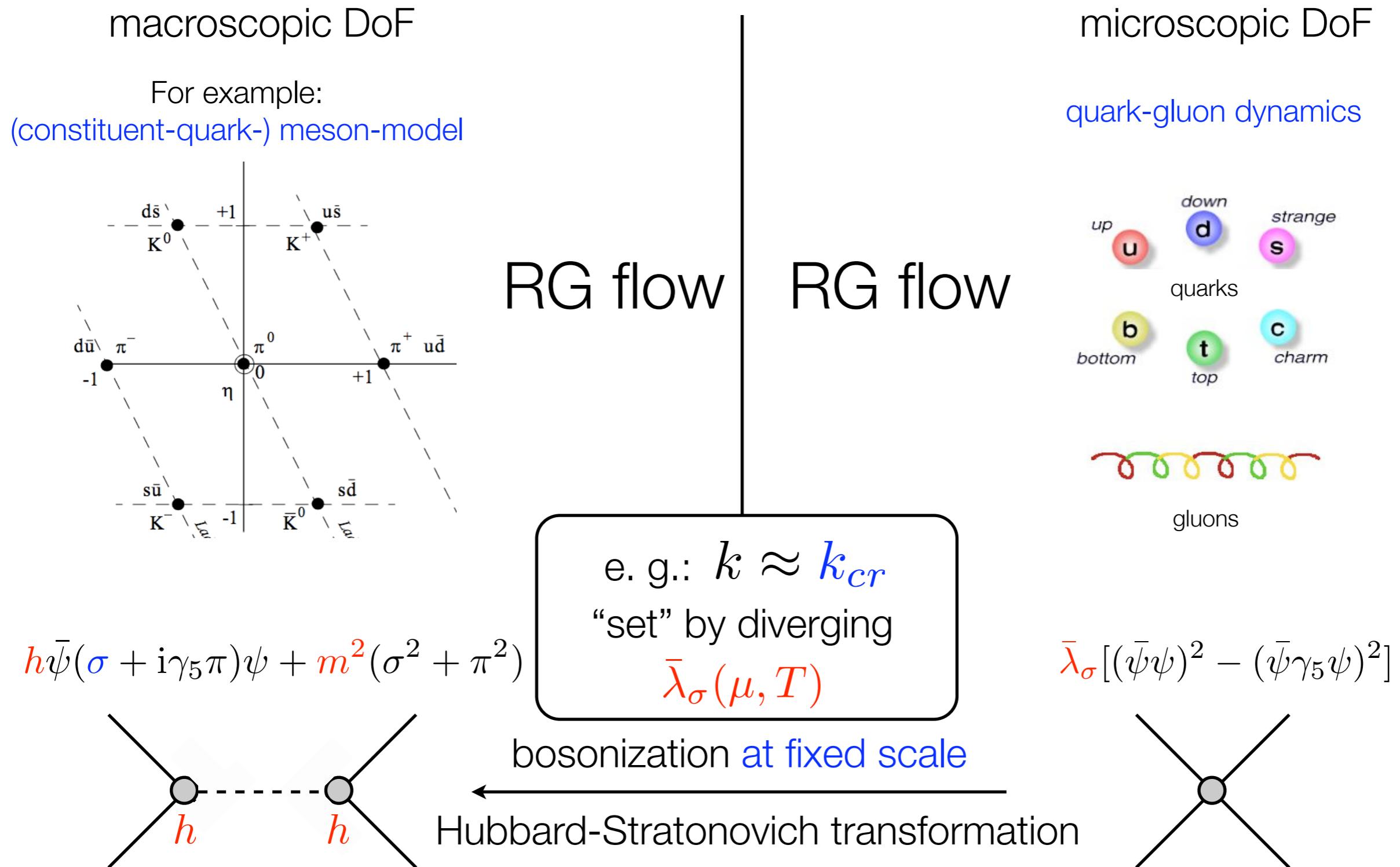
How to penetrate the phase boundary in
order to get access to
the low-energy observables
from first principles?

From microscopic to macroscopic DoFs

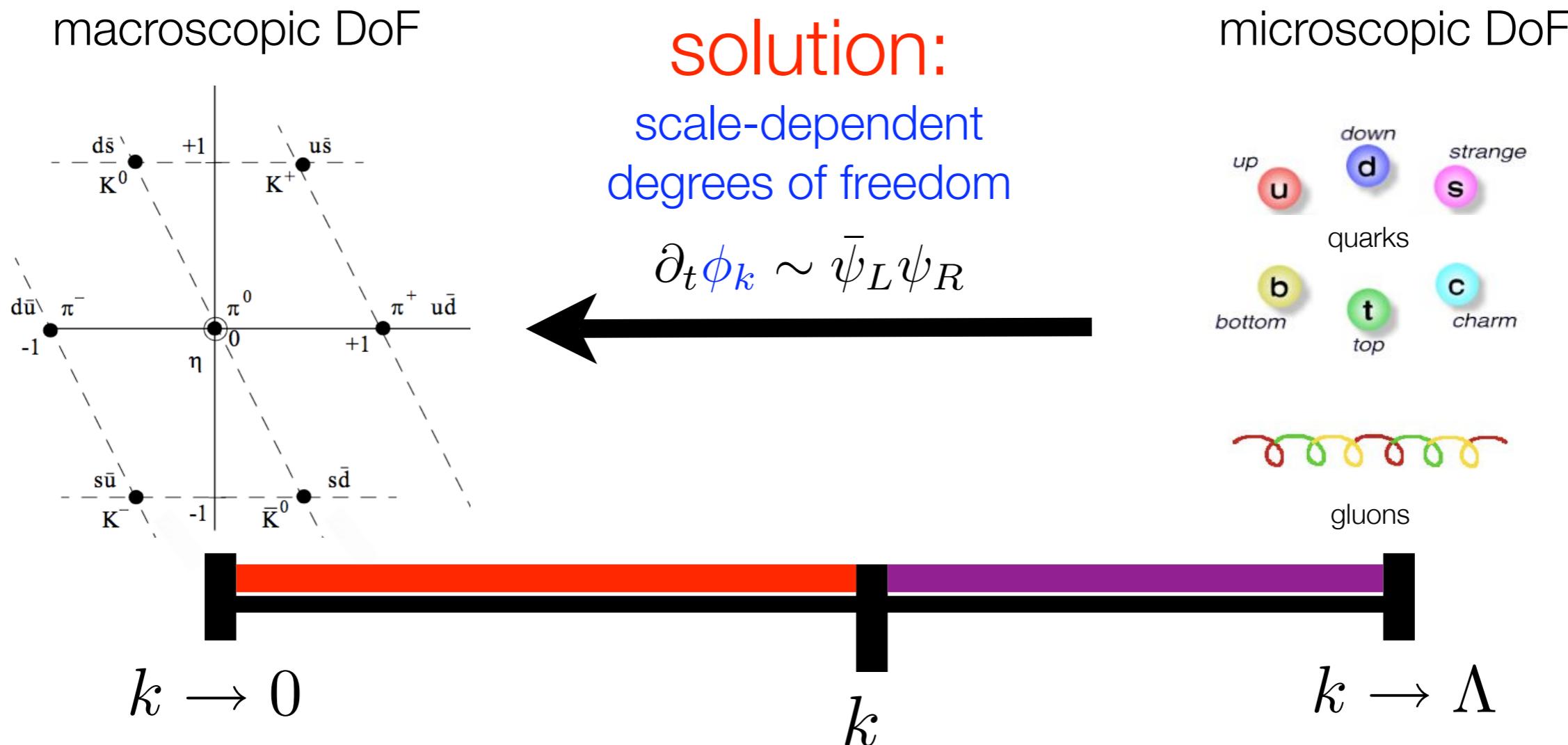


$$\partial_t \Gamma_k[\phi] = \frac{1}{2} \text{Tr} \frac{\partial_t R_k}{\Gamma_k^{(2)}[\phi] + R_k}$$

From microscopic to macroscopic DoFs: Do it by hand ...



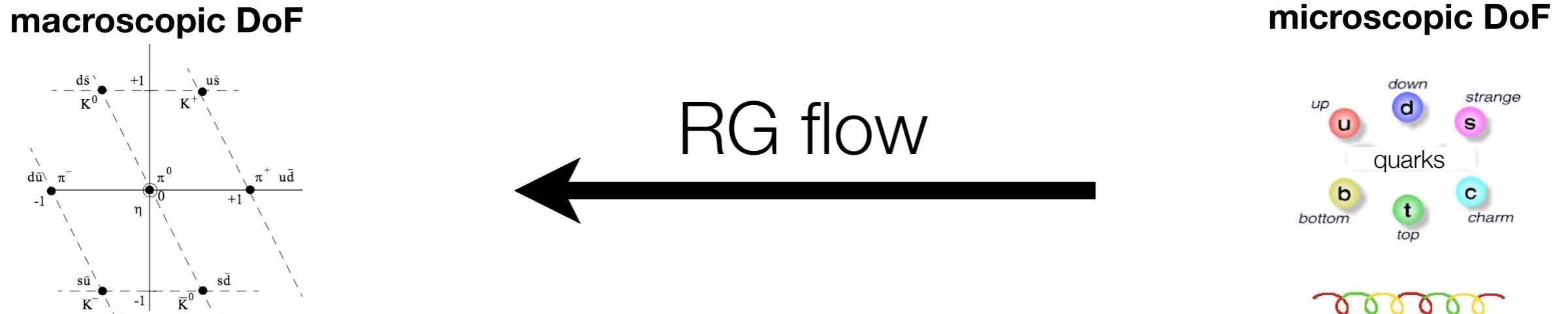
From microscopic to macroscopic DoFs



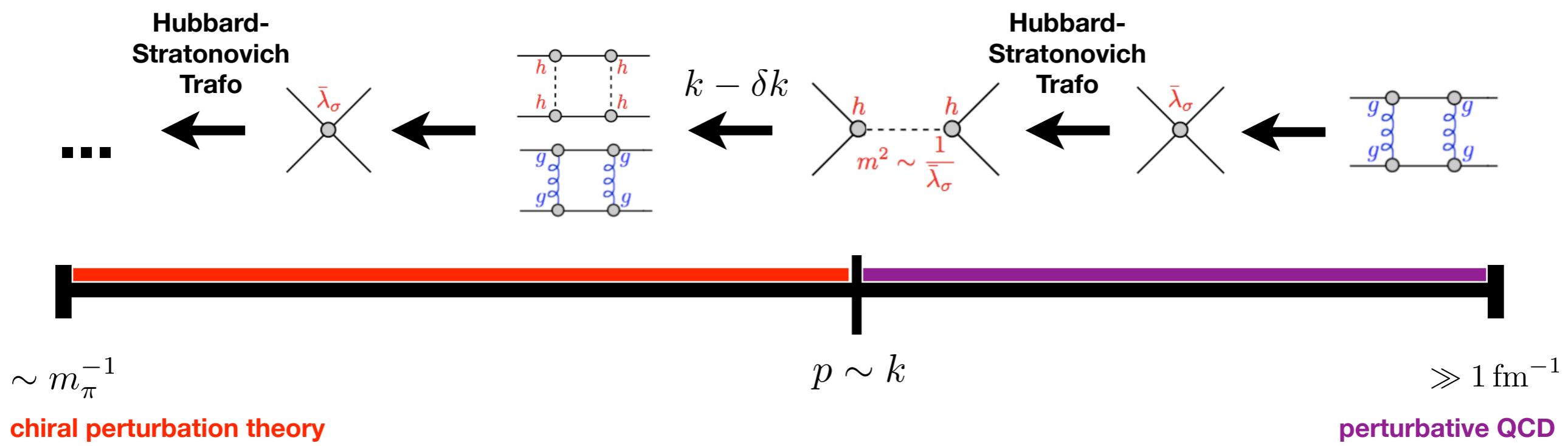
$$\partial_t \Gamma_k[\phi_k] = \frac{1}{2} \text{Tr} \frac{\partial_t R_k}{\Gamma_k^{(2)}[\phi_k] + R_k} - \int_x \frac{\delta \Gamma_k[\phi_k]}{\delta \phi_k} \partial_t \phi_k$$

(H. Gies, C. Wetterich '01, '02; J. M. Pawłowski '05)

From microscopic to macroscopic DoFs



- **solution:** cont. Hubbard-Stratonovich transformations



QCD with one quark flavor: setting up the stage



- (re-)bosonization technique allows to include (momentum-dependent) four-fermion interactions to arbitrary order
- ansatz: (mean-field)

$$\Gamma_k = \int_x \left\{ \bar{\psi} (i\partial + i\gamma_0 \mu_q) \psi + \frac{m^2}{2} \phi^2 + [(\bar{\psi}_R \psi_L) \phi - (\bar{\psi}_L \psi_R) \phi^*] \right\}$$

QCD with one quark flavor: setting up the stage



- dynamical hadronization allows to include (momentum-dependent) four-fermion interactions to arbitrary order
- ansatz: (mean-field + bosonic fluctuations)

$$\Gamma_k = \int_x \left\{ Z_\psi \bar{\psi} (\mathrm{i}\cancel{\partial} + \mathrm{i}\gamma_0 \mu_{\textcolor{red}{q}}) \psi + Z_\phi \partial_\mu \phi^* \partial_\mu \phi + \frac{m^2}{2} \phi^2 + \frac{\lambda}{4} \phi^4 + h [(\bar{\psi}_R \psi_L) \phi - (\bar{\psi}_L \psi_R) \phi^*] \right\}$$

QCD with one quark flavor: setting up the stage



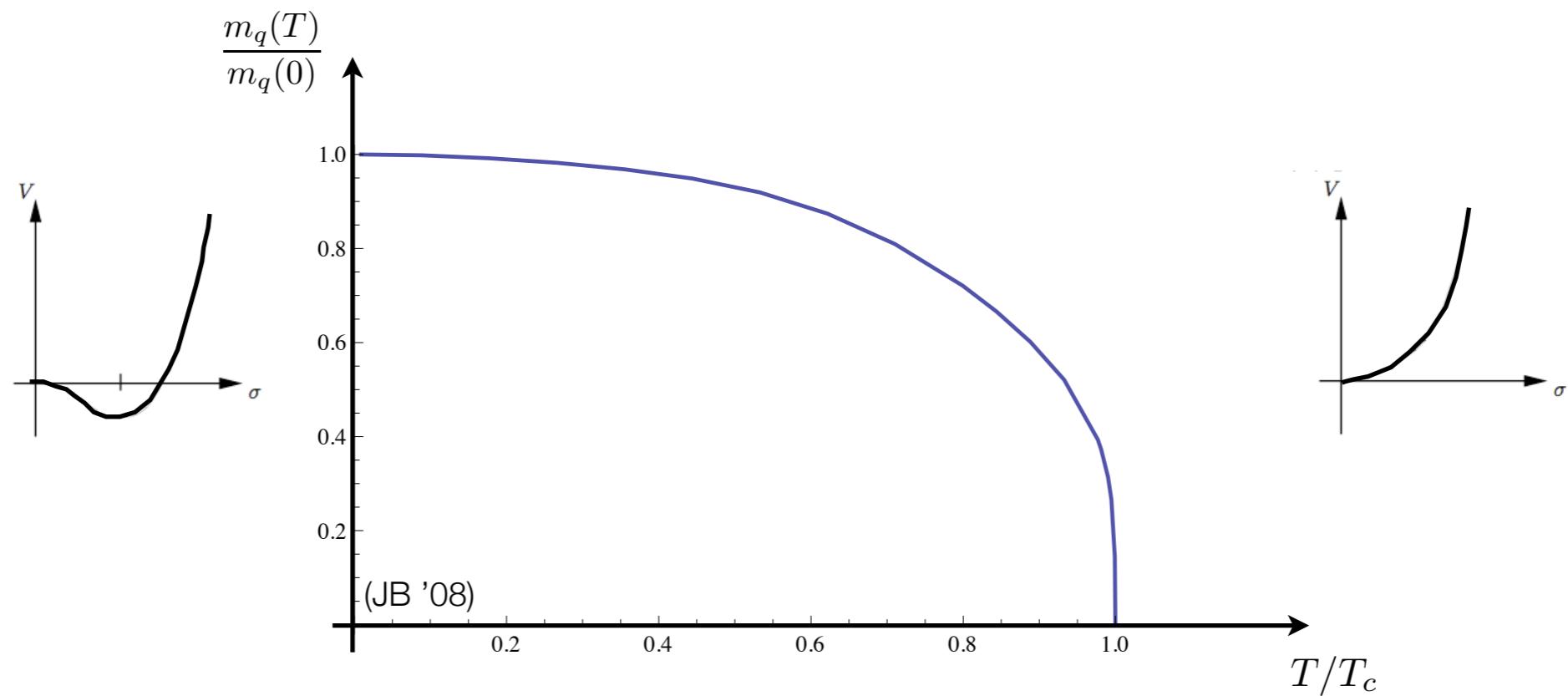
- dynamical hadronization allows to include (momentum-dependent) four-fermion interactions to arbitrary order
- ansatz: (mean-field + bosonic fluctuations + gauge field fluctuations) (JB '08,'09)

$$\Gamma_k = \int_x \left\{ \bar{\psi} (\mathrm{i} \not{D} + \mathrm{i} \gamma_0 \boldsymbol{\mu}_q) \psi + \frac{\bar{\lambda}_\sigma}{2} [(\bar{\psi} \psi)^2 - (\bar{\psi} \gamma_5 \psi)^2] + Z_\phi \partial_\mu \phi^* \partial_\mu \phi + U(\phi^2) + \bar{h} [(\bar{\psi}_R \psi_L) \phi - (\bar{\psi}_L \psi_R) \phi^*] \right\} + \Gamma_{gauge}$$

- initial conditions: $\bar{\lambda}_\sigma|_\Lambda = 0$, $\bar{\lambda}_\phi|_\Lambda = 0$, $\bar{h}|_\Lambda = 0$, $Z_\phi|_\Lambda = 0$, $\alpha_s(M_Z) = 0.118$

$$\Gamma_\Lambda = \int_x \left\{ \frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a + \bar{\psi} (\mathrm{i} \not{D} + \mathrm{i} \gamma_0 \boldsymbol{\mu}_q) \psi \right\}$$

QCD with one quark flavor



- with global $U_A(1)$ symmetry: 2nd order phase transition
- anomalously broken $U_A(1)$: crossover

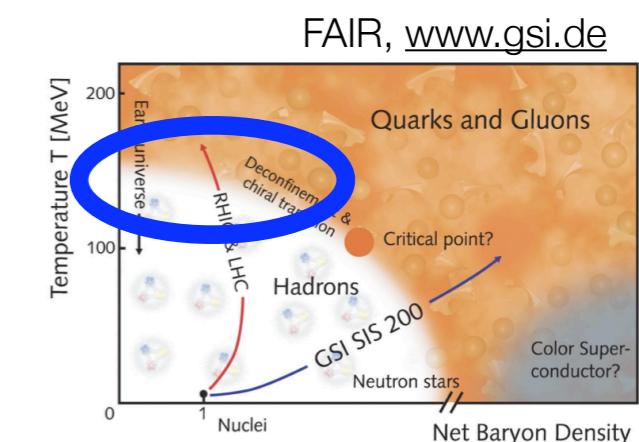
QCD phase boundary at small chemical potentials

(J. Braun, accepted for publication in EPJ C)

$$\frac{T_c(\mu_q)}{T_c(0)} = 1 - \textcolor{red}{t}_2 \left(\frac{\mu_q}{\pi T_c(0)} \right)^2 + \dots$$

- large N_c expansion: $\textcolor{red}{t}_2 \sim \frac{N_f}{N_c}$ (D. Toublan '05, J. Braun '08)

- results from different approaches:



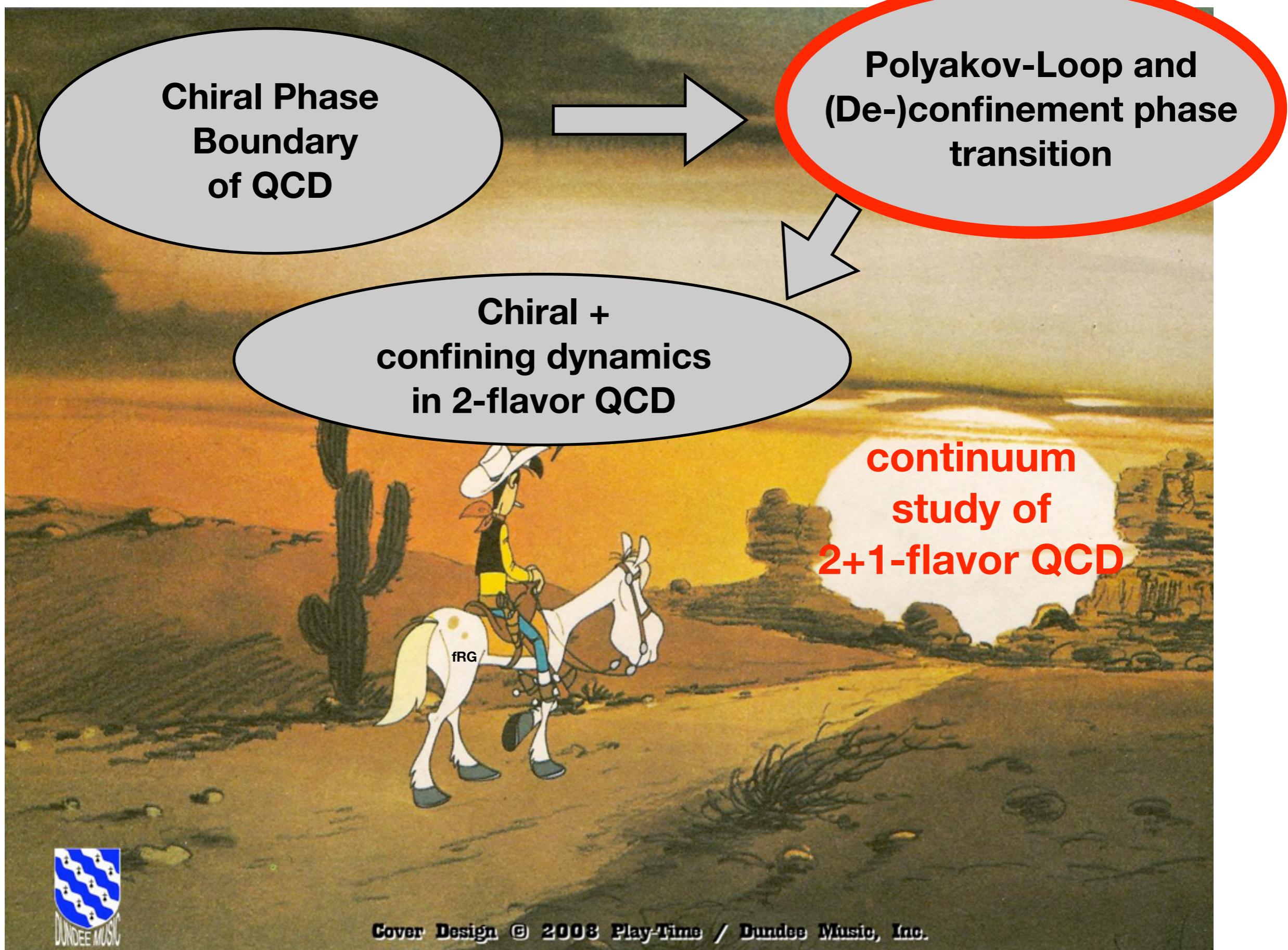
Method	$N_f = 1$	$N_f = 2$	$N_f = 3$	
FRG: QCD flow	0.97*	0.4**	---	(JB '08)
Lattice: imag. μ	---	0.500(54)	0.667(6)	(de Forcrand et al. '02, '06)
Lattice: Taylor+Rew.	---	---	1.13(45)	(Karsch et al. '03)

*with global $U_A(1)$ symmetry

** anomalously broken $U_A(1)$ symmetry (lower bound)

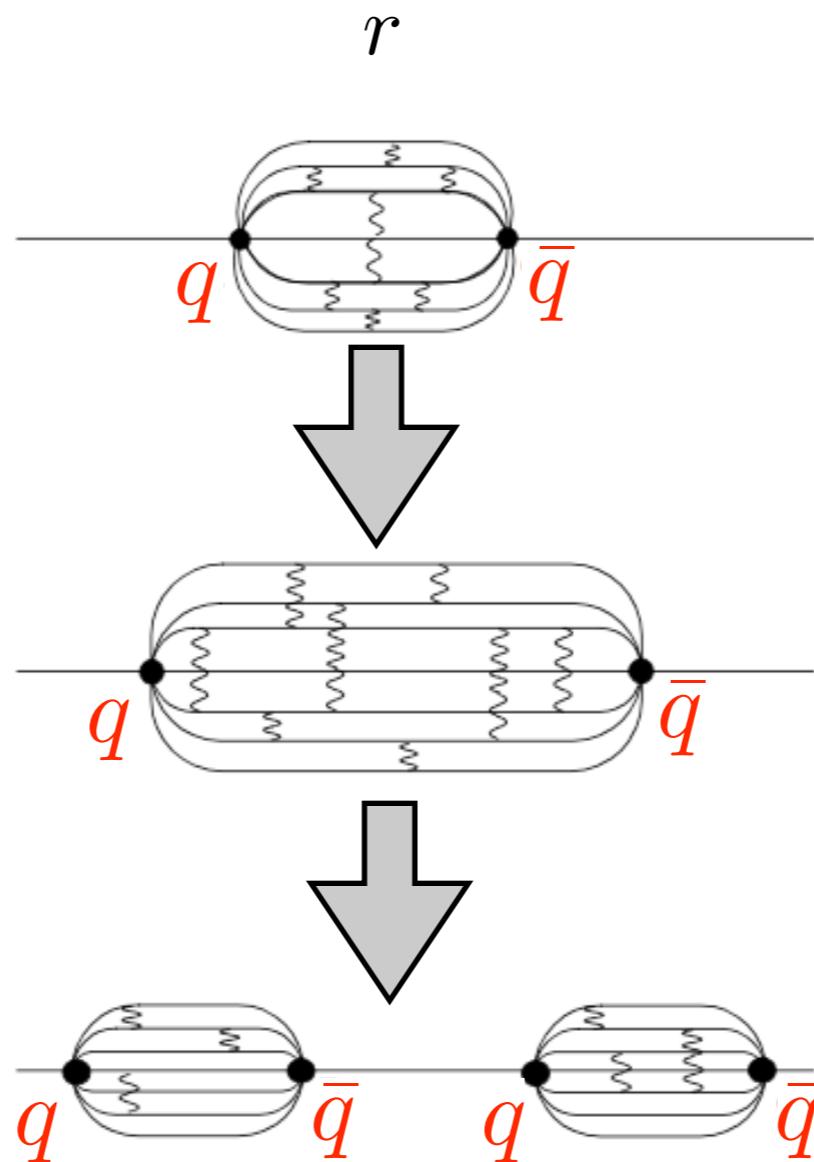
- no parameters, relies solely on physical coupling: $\alpha_s(M_Z)$

Outline



Confinement at zero temperature

potential of a quark-antiquark pair: $\mathcal{F}_{q\bar{q}}(r) \propto \sigma r$

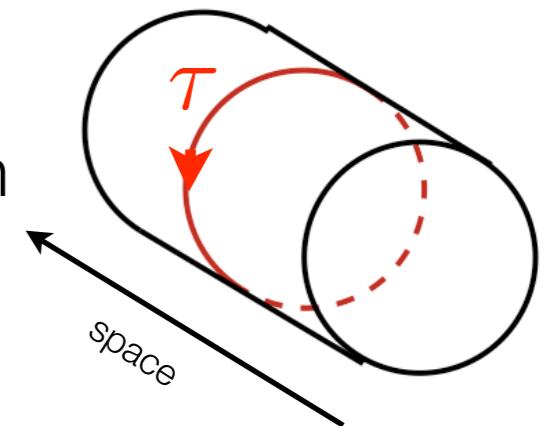


confinement at finite temperature

- infinitely heavy quark moving in Euclidean time direction:

$$\frac{\partial \Psi_q}{\partial \tau} = i\bar{g} A_0 \Psi_q \quad \longrightarrow \quad \Psi_q(\vec{x}, \tau) = \left[\text{P exp} \left(i\bar{g} \int_0^\tau dt A_0 \right) \right] \Psi_q(\vec{x}, 0)$$

infinitely heavy quark propagating in (Euclidean) time direction



- Polyakov-Loop: $\tau = \beta = 1/T$ (Polyakov '78, Susskind '79)

$$\mathcal{P}(\vec{x}) = \frac{1}{N_c} \text{P exp} \left(i\bar{g} \int_0^\beta dt A_0(t, \vec{x}) \right)$$

confinement at finite temperature

- expectation value of Polyakov-loop is related to the quark free energy \mathcal{F}_q :

$$\langle \text{Tr}_F \mathcal{P}(\vec{x}) \rangle \sim \int \mathcal{D}A \text{Tr}_F \mathcal{P}(\vec{x}) e^{-S} \sim e^{-\beta \mathcal{F}_q}$$

→ deconfinement:

$$\mathcal{F}_q \text{ finite} \iff \langle \text{Tr}_F \mathcal{P}(\vec{x}) \rangle \neq 0$$

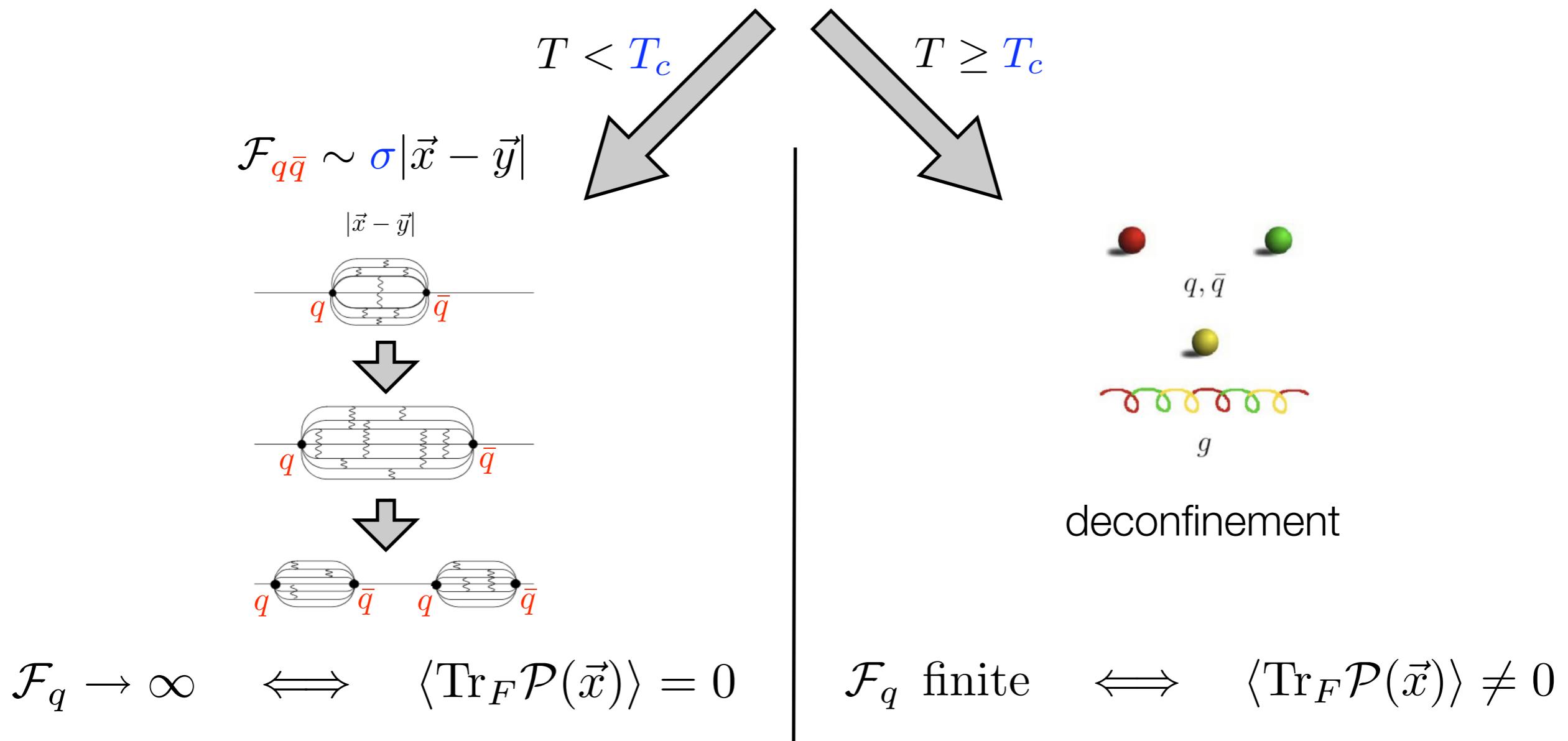
→ confinement:

$$\mathcal{F}_q \rightarrow \infty \iff \langle \text{Tr}_F \mathcal{P}(\vec{x}) \rangle = 0$$

confinement at finite temperature

- quark-antiquark correlator:

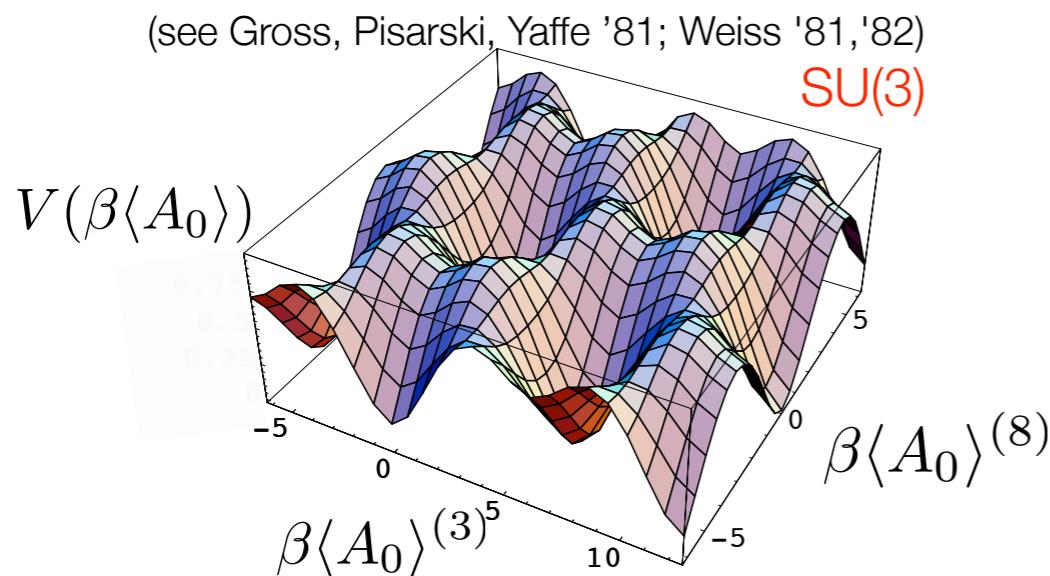
$$\lim_{|\vec{x} - \vec{y}| \rightarrow \infty} e^{-\beta \mathcal{F}_{q\bar{q}}} \sim \lim_{|\vec{x} - \vec{y}| \rightarrow \infty} \langle \text{Tr } \mathcal{P}(\vec{x}) \cdot \text{Tr } \mathcal{P}^\dagger(\vec{y}) \rangle \leq |\langle \text{Tr } \mathcal{P} \rangle|^2 \sim |e^{-\beta \mathcal{F}_q}|^2$$



perturbative Polyakov-Loop potential

(JB, H. Gies, J. M. Pawłowski '07)

- perturbative Polyakov-loop potential in background-field gauge, $A_\mu = \delta_{\mu 0} \langle A_0 \rangle$



minimum at $\beta\langle A_0 \rangle = 0$:
deconfinement (broken Z_3 -symmetry)

$$\mathcal{F}_q \text{ finite} \iff \langle \text{Tr}_F \mathcal{P}(\vec{x}) \rangle \neq 0$$

Landau-gauge propagators & color confinement

(JB, H. Gies, J. M. Pawłowski '07)

- Polyakov-loop potential:

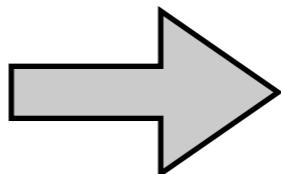
$$V(\beta \langle A_0 \rangle) = \frac{1}{\Omega T^4} \left(\frac{1}{2} \text{Tr} \ln \Gamma_A^{(2)}[\beta \langle A_0 \rangle] - \text{Tr} \ln \Gamma_{gh}^{(2)}[\beta \langle A_0 \rangle] \right)$$

- low-temperature:

$$(\Gamma_A^{(2)}) \sim (D^2[\langle A_0 \rangle])^{1+\kappa_A}, \quad (\Gamma_{gh}^{(2)}) \sim (D^2[\langle A_0 \rangle])^{1+\kappa_{gh}}$$

- what if ...

$$3\kappa_A - 2\kappa_{gh} < -2$$



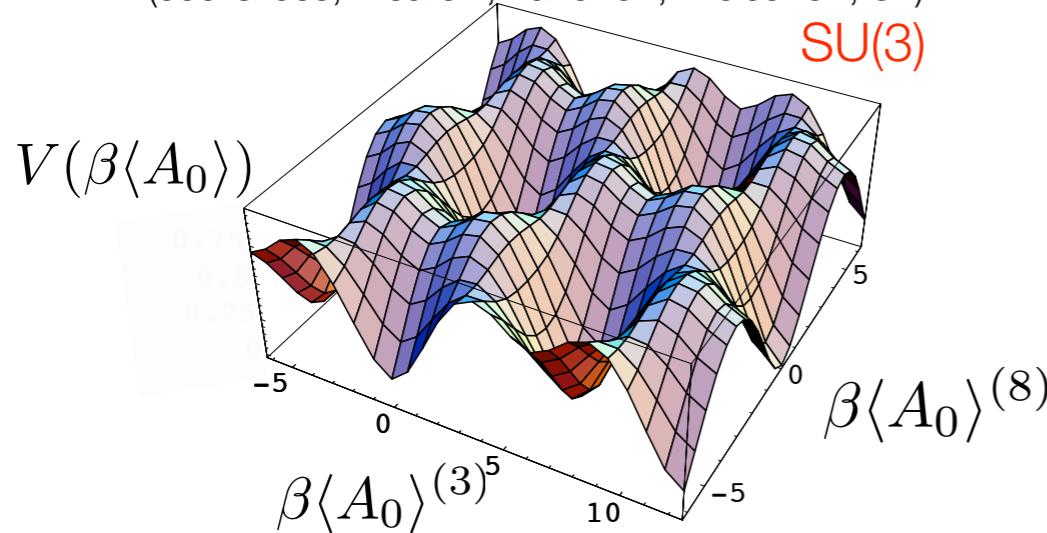
$$\kappa_{gh} > \frac{d-3}{4}$$

perturbative Polyakov-Loop potential

(JB, H. Gies, J. M. Pawłowski '07)

- perturbative Polyakov-loop potential in background-field gauge, $A_\mu = \delta_{\mu 0} \langle A_0 \rangle$

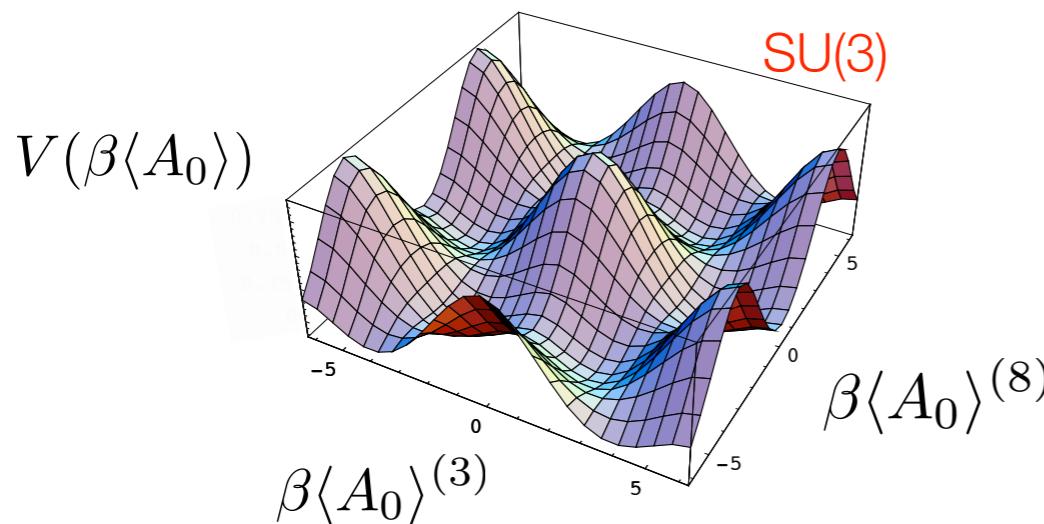
(see Gross, Pisarski, Yaffe '81; Weiss '81, '82)



minimum at $\beta\langle A_0 \rangle = 0$:
deconfinement (broken Z_3 -symmetry)

$$\mathcal{F}_q \text{ finite} \iff \langle \text{Tr}_F \mathcal{P}(\vec{x}) \rangle \neq 0$$

- for $T < T_c$



minimum at $\beta\langle A_0 \rangle = (2/3)2\pi$:
deconfinement (broken Z_3 -symmetry)

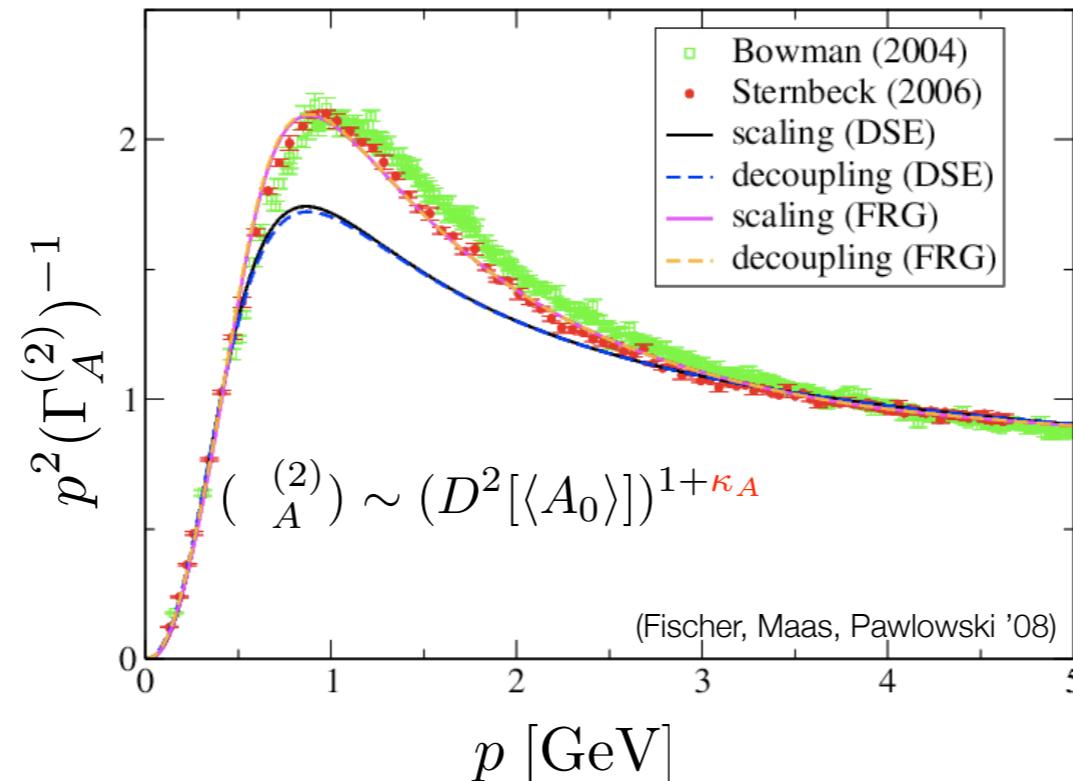
$$\mathcal{F}_q \rightarrow \infty \iff \langle \text{Tr}_F \mathcal{P}(\vec{x}) \rangle = 0$$

Landau-gauge propagators & color confinement

(JB, H. Gies, J. M. Pawłowski '07)

- Polyakov-loop potential:

$$V(\beta \langle A_0 \rangle) = \frac{1}{\Omega T^4} \left(\frac{1}{2} \text{Tr} \ln \Gamma_A^{(2)}[\beta \langle A_0 \rangle] - \text{Tr} \ln \Gamma_{gh}^{(2)}[\beta \langle A_0 \rangle] \right) + \mathcal{O}(\partial_t \Gamma^{(2)}) + \mathcal{O}(V'')$$



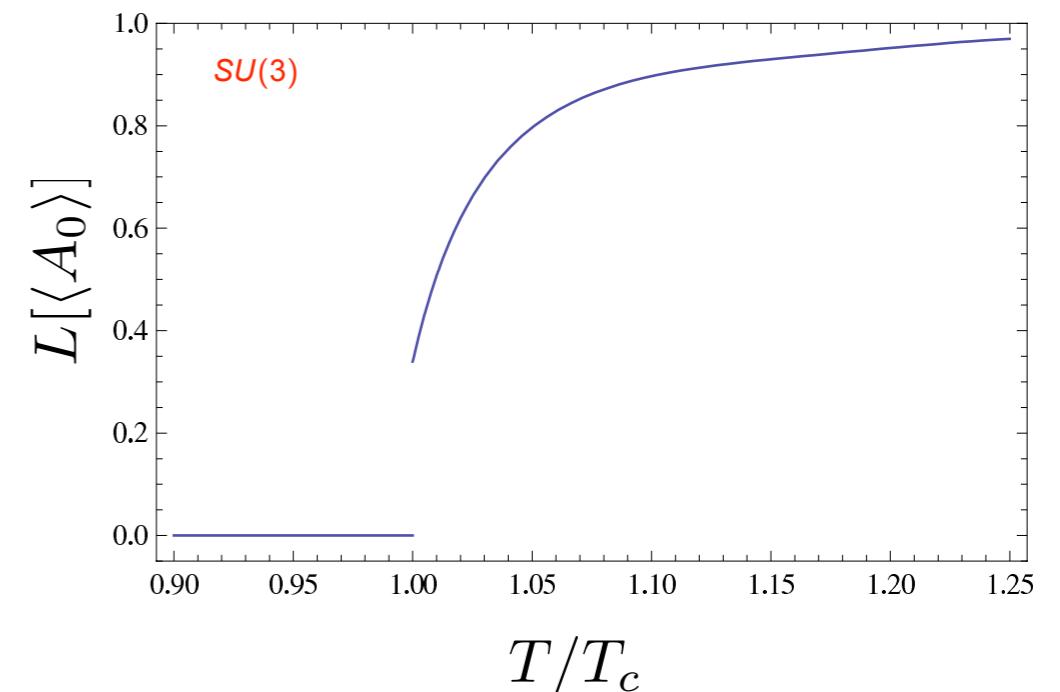
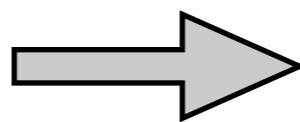
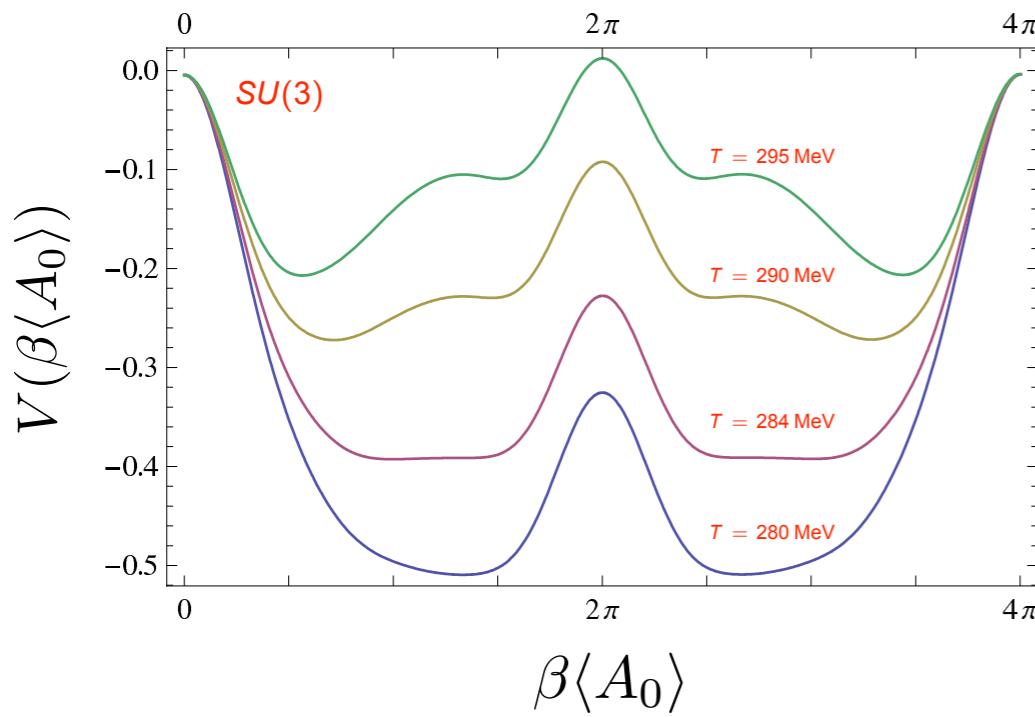
- quark confinement criterion (Landau gauge):

$$\langle \text{Tr}_F \mathcal{P}(\vec{x}) \rangle = 0 : 3\kappa_A - 2\kappa_{gh} < -2$$

Polyakov-Loop Potential in Landau-gauge

(JB, H. Gies, J. M. Pawłowski '07)

- order parameter $L[\langle A_0 \rangle] = \frac{1}{N_c} \text{Tr}_F \exp \left(i \int_0^\beta dt \langle A_0 \rangle \right)$



- first order phase transition for $SU(3)$ (and second order for $SU(2)$)

- $SU(3)$: $T_c = 284$ MeV ($= 0.646\sqrt{\sigma}$) Lattice QCD: $T_c = 0.646\sqrt{\sigma}$ (Kaczmarek et al.)

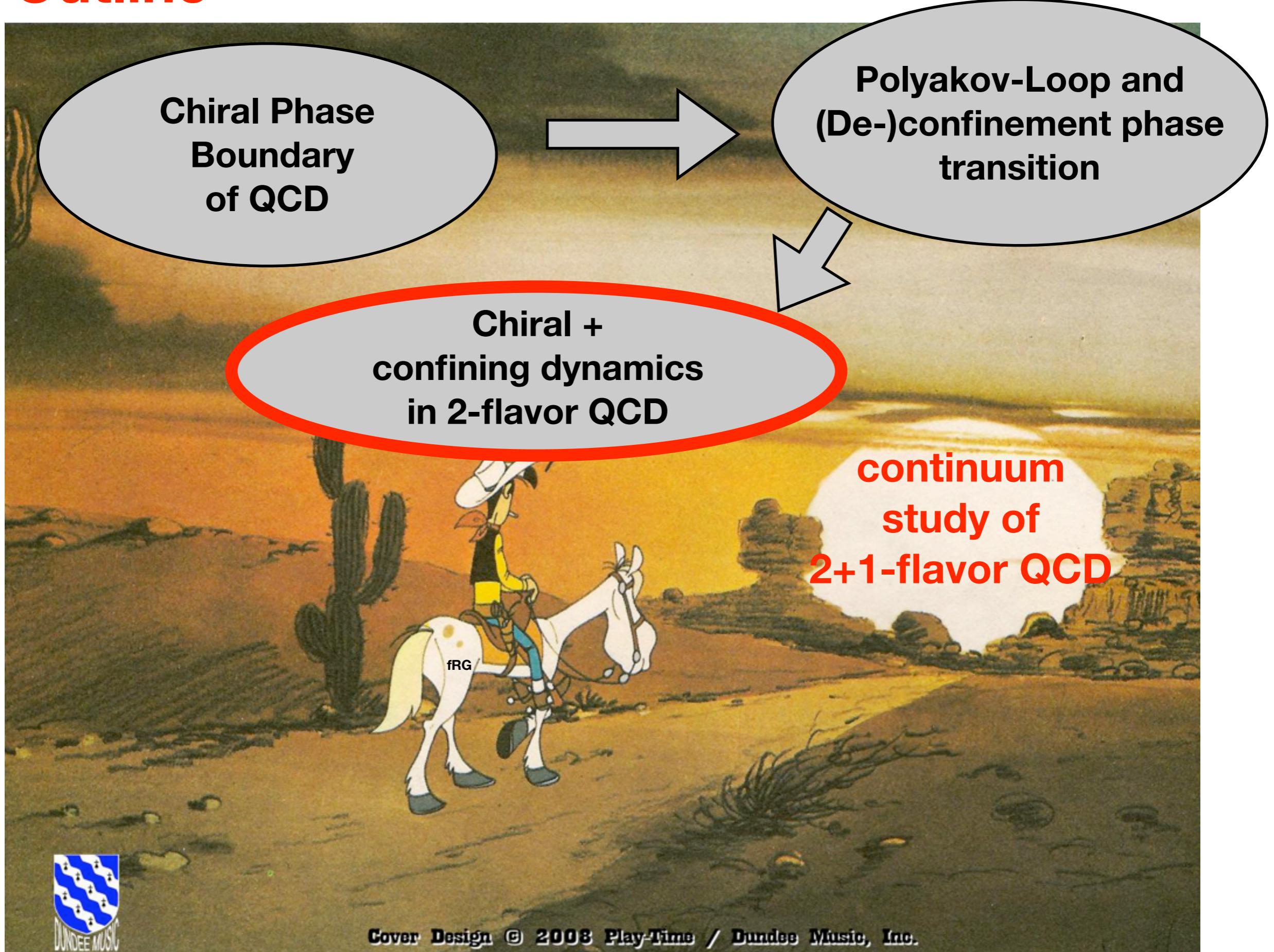
- more gauge groups: (JB, A. Eichhorn, H. Gies, J. Pawłowski, in prep.)

► $SU(N)$ for $N=4, 5, 6, 7, 8$: first order

► symplectic group $Sp(2)$: first order (in agreement with Holland, Pepe, Wiese '04)

► exceptional group $G(2)$ and others are under investigation ...

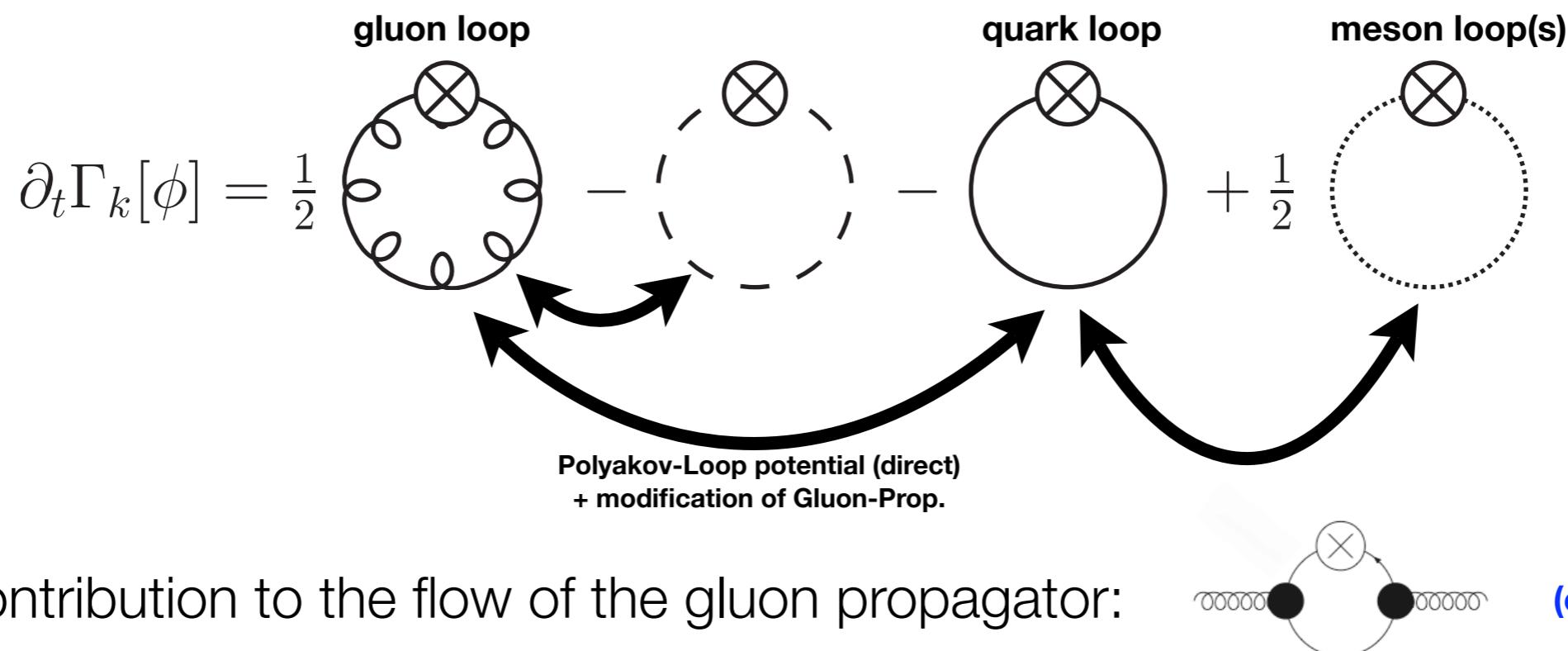
Outline



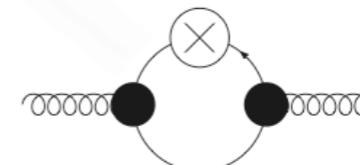
2-flavor QCD study in the chiral limit

(JB, L. Haas, F. Marhauser, J. M. Pawłowski '09)

- RG flow of the effective action

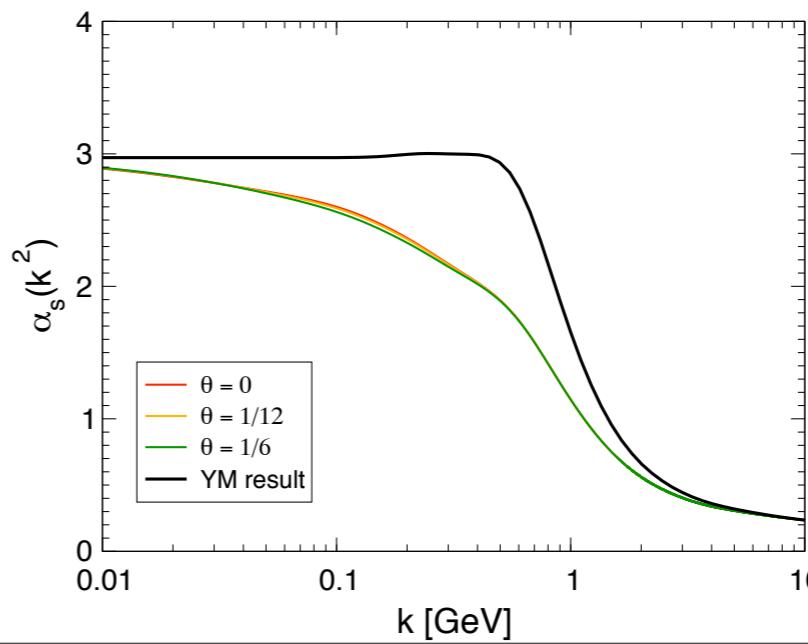


- quark contribution to the flow of the gluon propagator:



(cf. L. Haas' talk)

- running coupling at zero temperature:

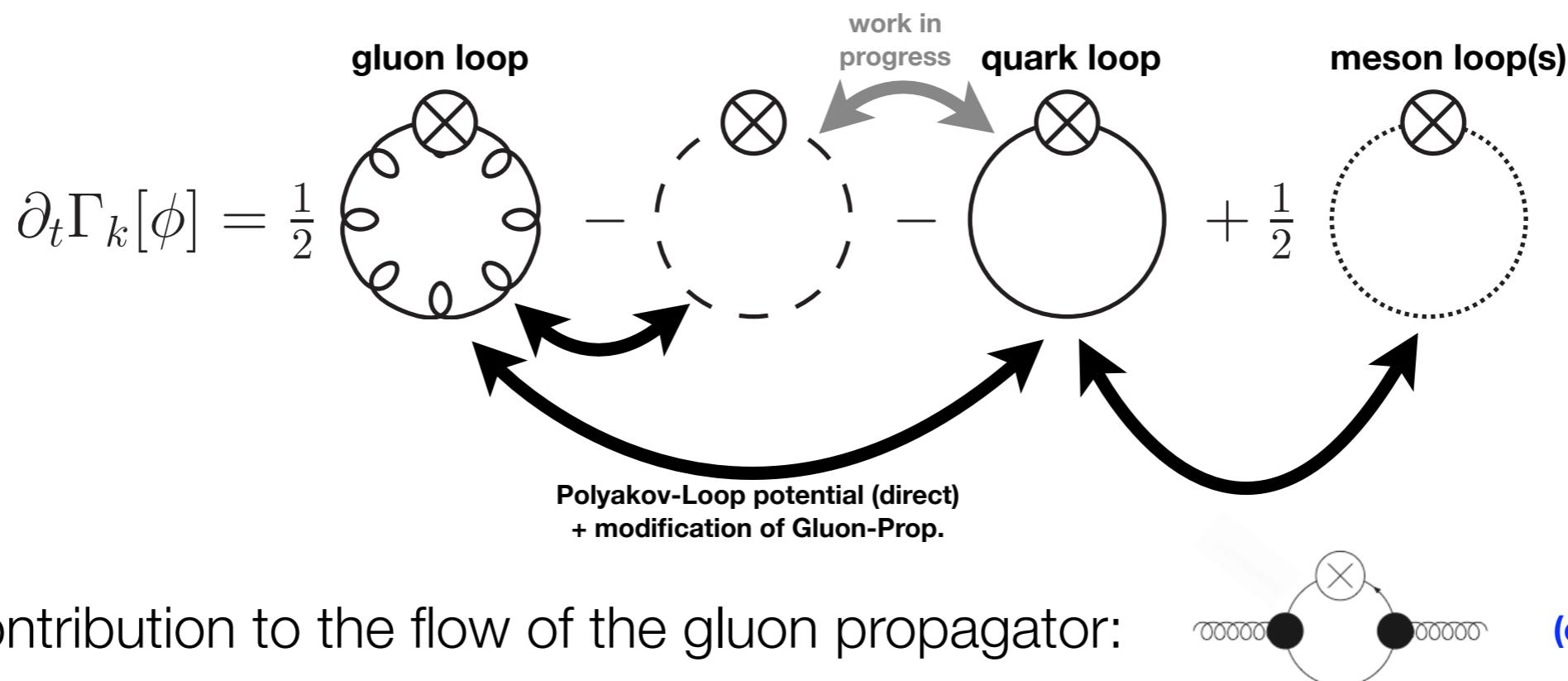


(see also JB, Gies '05, '06)

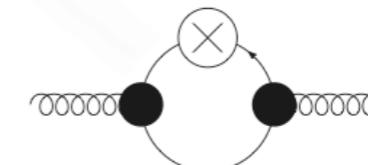
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- RG flow of the effective action

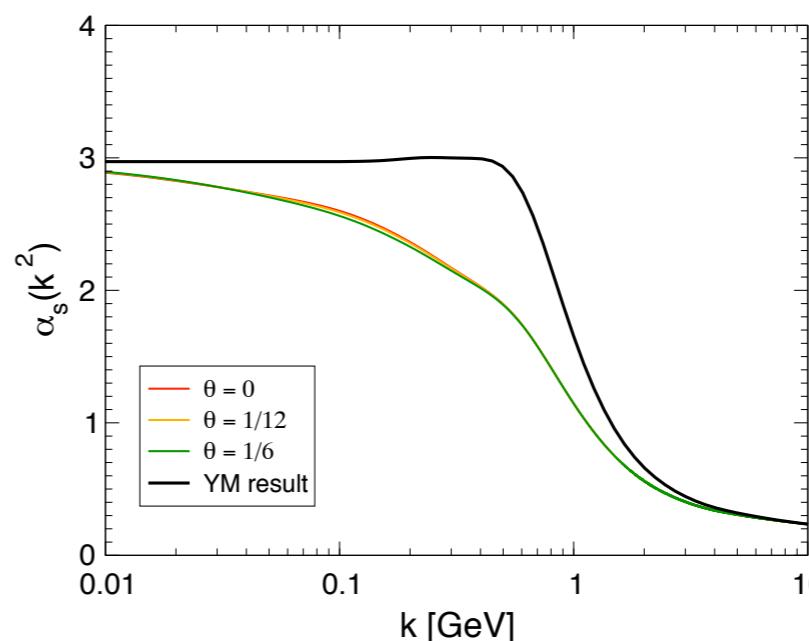


- quark contribution to the flow of the gluon propagator:



(cf. L. Haas' talk)

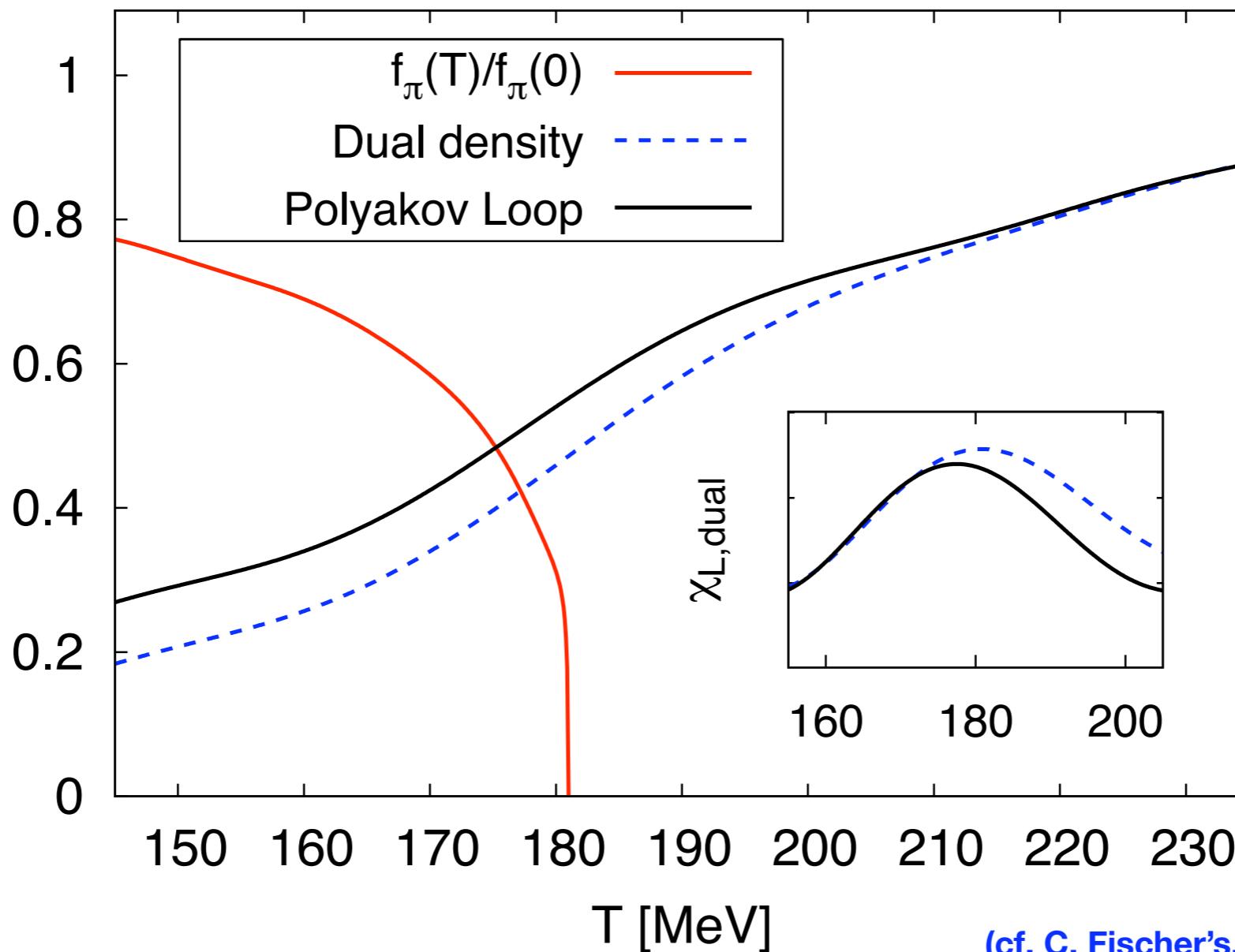
- running coupling at zero temperature:



(see also JB, Gies '05, '06)

Chiral and Confinement order parameters for vanishing chemical potential

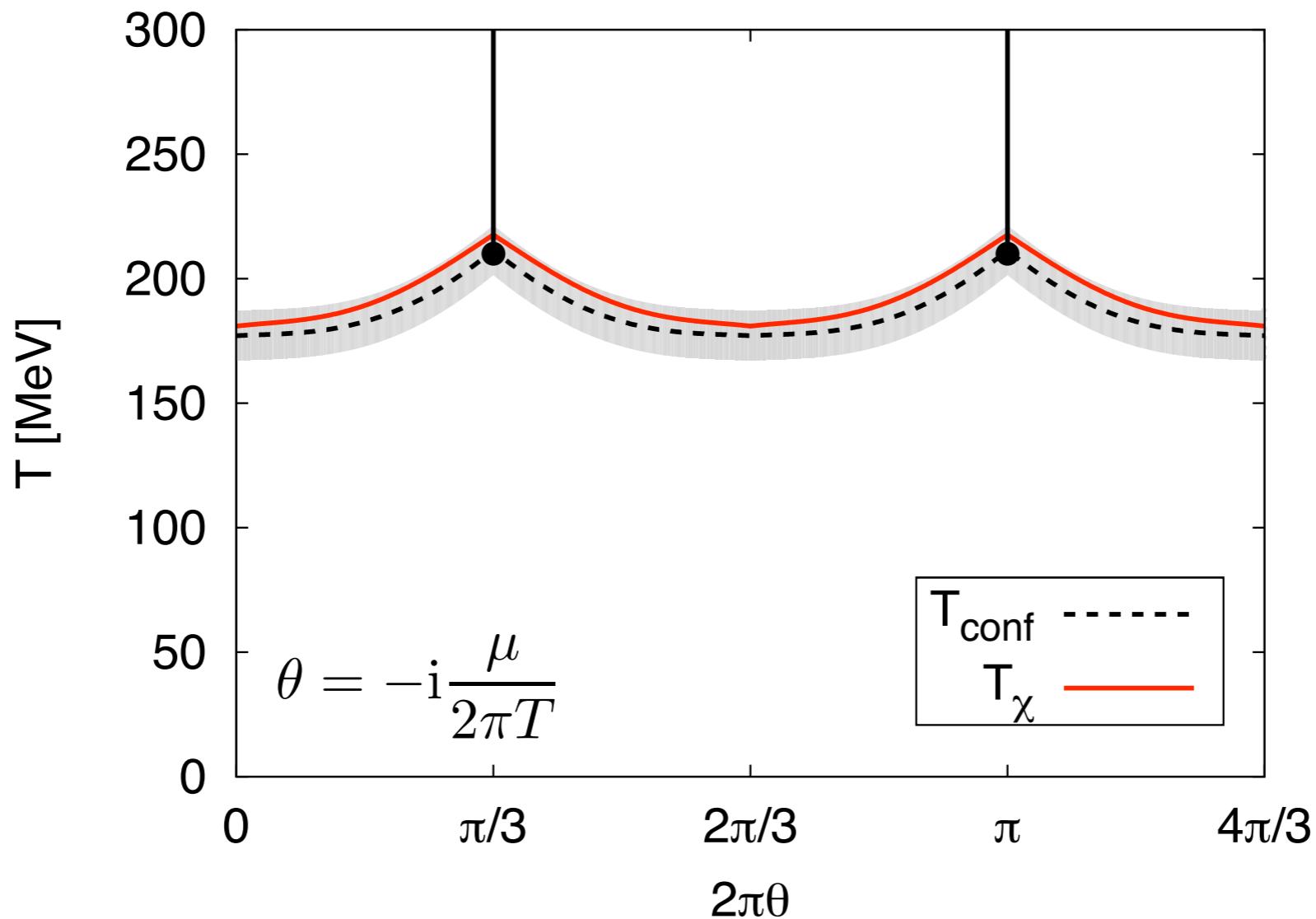
(JB, L. Haas, F. Marhauser, J. M. Pawłowski '09)



(cf. C. Fischer's,
J. M. Pawłowski's and
& A. Wipf's talk
for a discussion of dual
observables)

Roberge-Weiss phase diagram

(JB, L. Haas, F. Marhauser, J. M. Pawłowski '09)



- chiral phase transition and deconfinement crossover temperature follow each other closely (in accordance with Lattice QCD, see Kratochvíla et al. '06 & Wu et al. '06)
- PNJL model studies agree with lattice QCD if an additional $\phi^4 \sim \psi^8$ -coupling is introduced in the PNJL model (Sakai et al. '09)

Conclusions

- FRG allows to bridge the gap between regimes with different DoF
- good agreement with Lattice QCD studies for **chiral** as well as **deconfinement phase transition**
- criterion for quark confinement & studies of the Polyakov loop dynamics in various gauge groups
- critical number of quark flavors for SU(3): $N_{f,cr} \approx 12$
- shape of the phase boundary near $N_{f,cr}$ is determined by the underlying IR fixed point scenario (**testable prediction!**)
- promising results for finite chemical potential, including a relation of QCD at imaginary chemical potential and dual observables

Outlook

- quantitative study of the effect of anomalously broken $U_A(1)$ on the phase boundary (together with L. Haas, F. Marhauser and J. M. Pawłowski)
- RG analysis of lattice data and the nature of the chiral transition?
(insights from finite-volume scaling (?), together with B. Klein and P. Piasecki)
- study finite (real) chemical potential for $N_f = 2$ and $N_f = 3$:
radius of convergence?
- study of thermodynamic observables
- successive improvement of truncations (include dynamical hadronization in 2-flavor QCD study)