

Similarity Renormalization Group to the nuclear shell model

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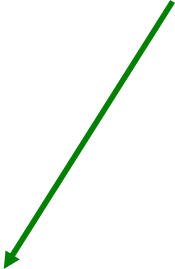
Introduction

One of the major goals of nuclear physics

- To calculate many-body observables quantitatively starting from microscopic NN or NNN interactions.

We don't know exactly the nuclear forces.

=>To understand nucleonic interactions from more fundamental degrees of freedom.



If nucleonic interactions would be given, it is still NOT easy to calculate many-body observable.

Short-distance repulsion in nuclear forces as well as a strong tensor force.

 Highly non-perturbative few- and many-body system

Effective interactions for Nuclear Many-body System

Usually one overcomes this problem by

- Introducing the Brueckner G-matrix

=> Re-summation of in-medium pp scattering.

- Using RG approach, V_{lowk}

- Using unitary transformations

- UCOM

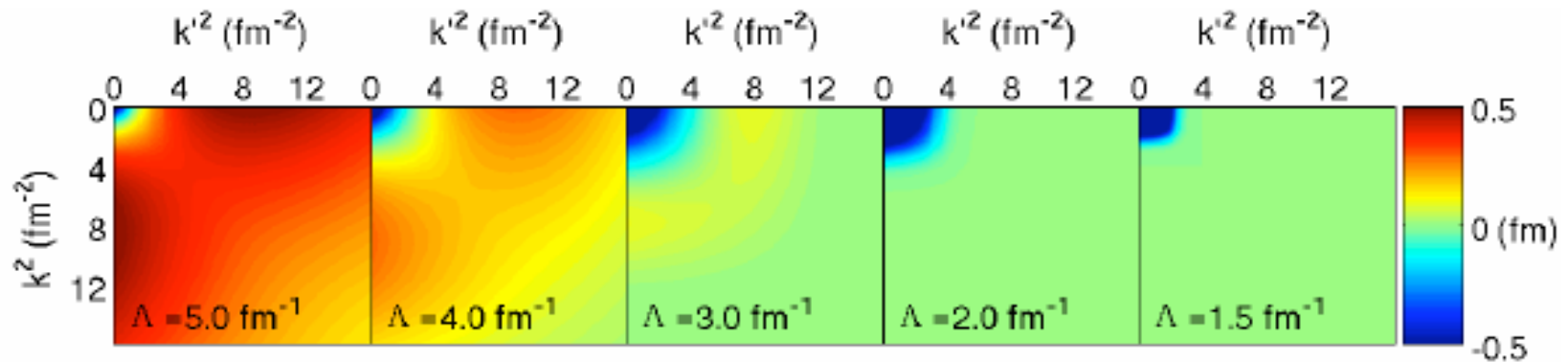
} RG equations

} Unitary transformation

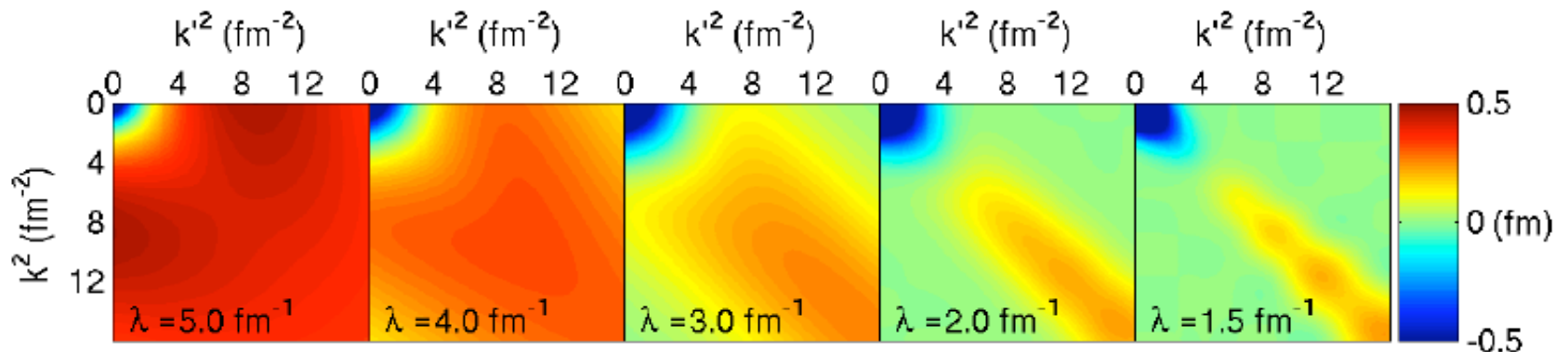
Two Types of RG transformations AV18 3S_1

$$\hbar^2/M = 1$$

- $V_{\text{low-k}}$ lowers a cutoff in (k, k')



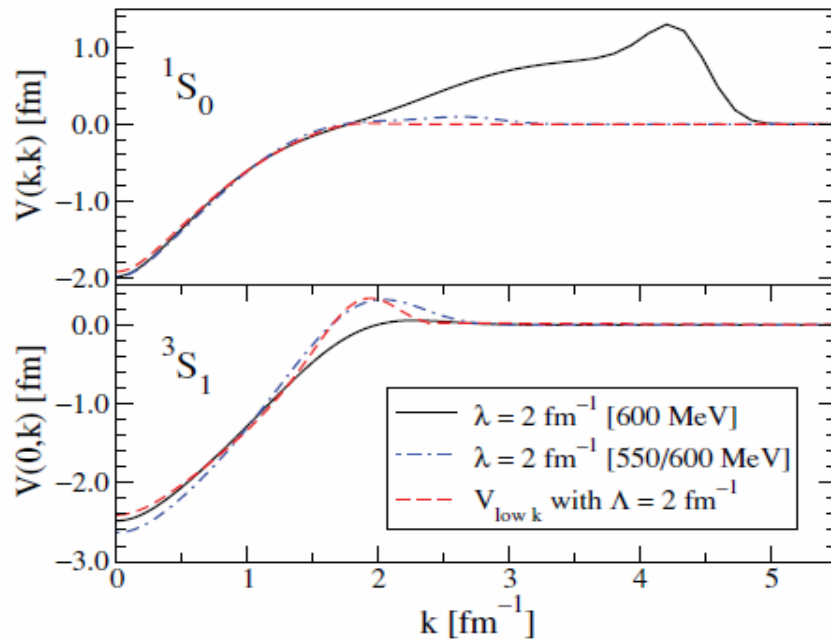
- SRG drives Hamiltonian towards the band-diagonal



Both decouple the high momentum modes, leaving **low energy NN observables unchanged.**

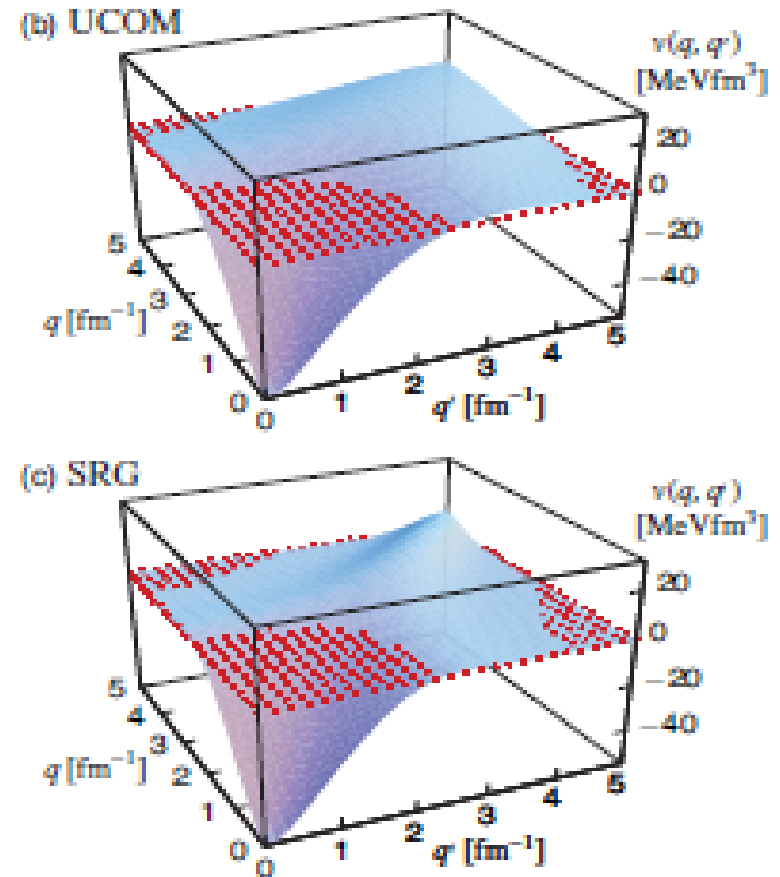
UCOM and SRG and $V_{\text{low}k}$

SRG vs. $V_{\text{low}k}$



S. K. Bogner, R. J. Furnstahl and R. J. Perry
 PRC75, 061001(R), 2007

UCOM vs. SRG



H. Hergert and R. Roth
 PRC75, 051001(R), 2007

Similarity Renormalization Group

Consider a Hamiltonian that we split into diagonal and off-diagonal parts

$$H = H_d + H_{od}$$

The SRG provides a prescription to construct the appropriate unitary transformation.

$$H(s) = U^\dagger(s) H U(s) \equiv H_d(s) + H_{od}(s),$$

$$U(0) = 1, H(0) = H$$

Taking d/ds on both sides then gives

$$\frac{dH(s)}{ds} = [\eta(s), H(s)], \quad \eta(s) = \frac{dU^\dagger}{ds} U = -\eta^\dagger(s)$$

generator of the transformation

We can formally write the unitary transformation as an s-ordered exponential,

$$U(s) = \mathcal{S} \exp \left(- \int_0^s ds' \eta(s') \right).$$

Instead of giving one unitary transformation, infinitesimal representations are given in SRG.

Wegner's Choice

The idea is to drive a Hamiltonian towards the energy diagonal $H_d|i\rangle = \epsilon_i|i\rangle$

$$\eta = [H_d, H] = [H_d, H_{od}].$$

$$\left\{ \begin{array}{l} \text{Tr}(H_d H_{od}) = 0, \\ \text{Tr}\left(\frac{dH_d}{ds} H_{od}\right) = 0 \end{array} \right. \longrightarrow \begin{array}{l} \frac{d}{ds} \text{Tr}(H_{od}^2) = 2 \text{Tr}(\eta^2) \\ = -2 \text{Tr}(\eta^\dagger \eta) \leq 0 \end{array}$$

$\eta^\dagger \eta$ is positive semi-definite

Design η for different things as $s \rightarrow \infty$

$$\eta(s) = [G(s), H(s)]$$

$G(s) = T \longrightarrow H(s)$ driven towards diagonal in k-space

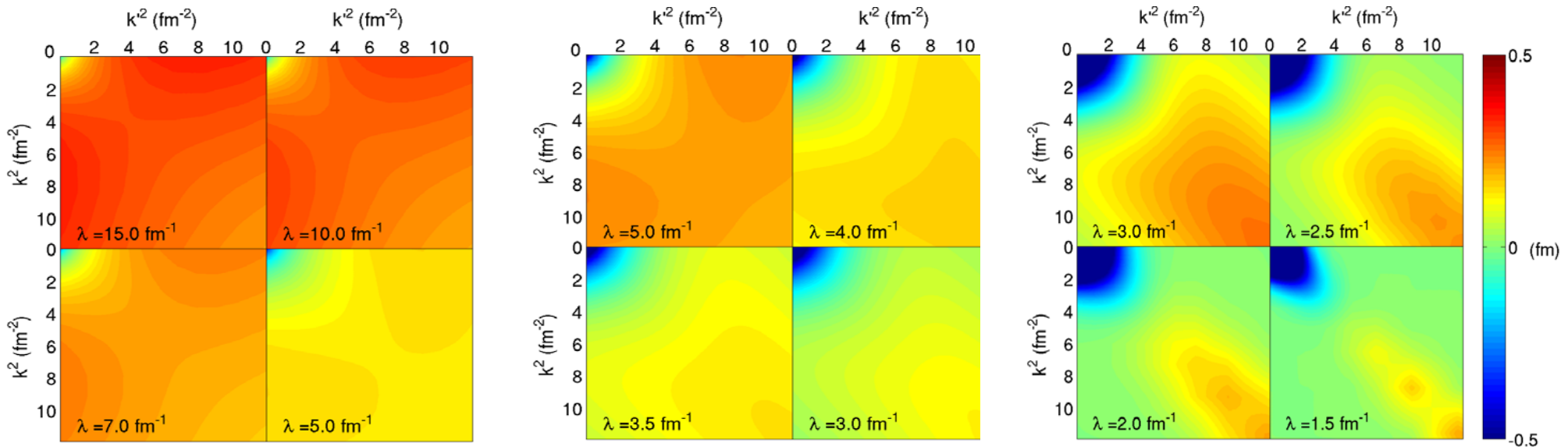
$G(s) = [PH(s)P + QH(s)Q] \longrightarrow H(s)$ driven towards block diagonal

Free Space SRG

$$\eta = [T, H(s)]$$

In each partial waves with $\hbar^2/M = 1$ and $\lambda = s^{-1/4}$

$$\frac{d}{ds} V_s(k, k') = -(k^2 - k'^2)^2 V_s(k, k') + \frac{2}{\pi} \int_0^\infty dq q^2 (k^2 + k'^2 - 2q^2) V_s(k, q) V_s(q, k').$$



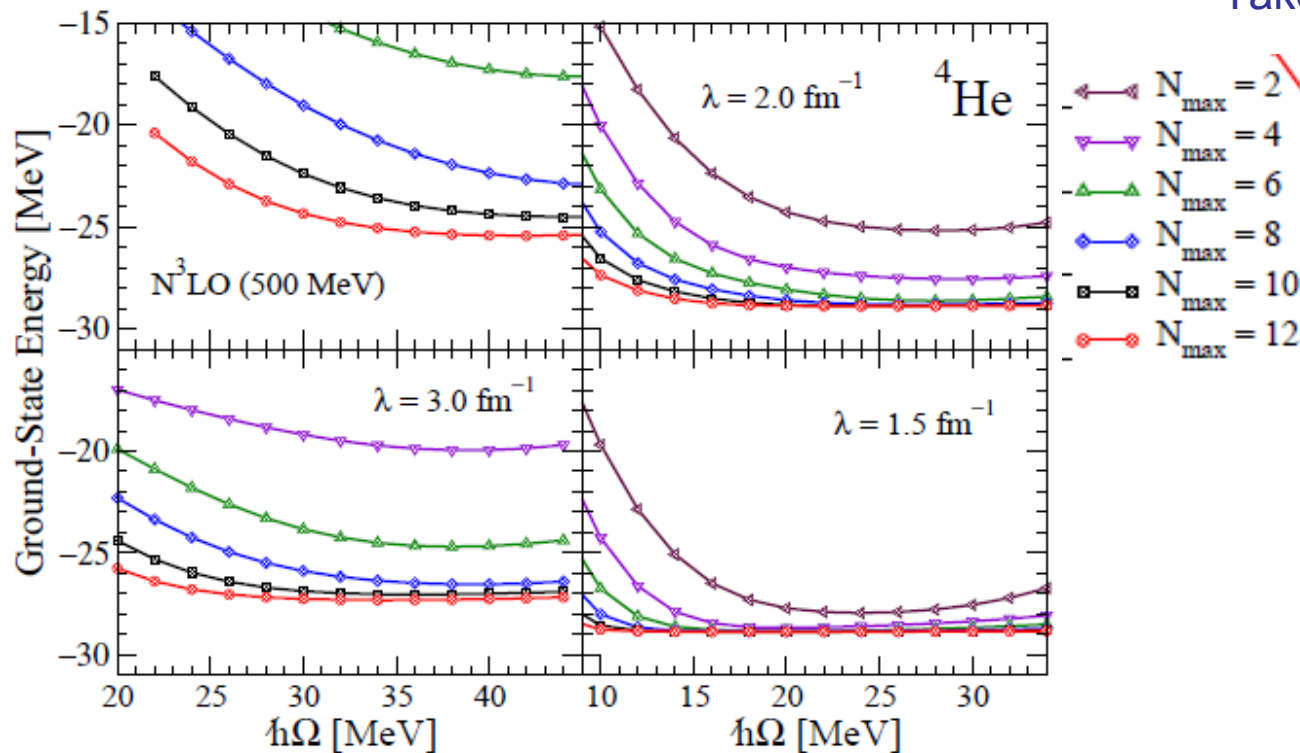
For AV18, 3S_1

Taken from <http://www.physics.ohio-state.edu/~ntg/srg/>

Free Space SRG

Full configuration interaction (FCI) calculation results

Taken by arXiv:0708.3754v2



As λ reduced (s increased) \Rightarrow low-k and high-k decoupled

➔ Convergence is accelerated

But λ -dependent results.

SRG and Many-body forces

SRG generates $\left\{ \begin{array}{l} \text{A unitary transformed Hamiltonian} \\ \text{Exactly the same value for observable} \end{array} \right.$



Only if the entire Hamiltonian is kept.

NN flow is only approximately unitary

But many-body interactions are induced in the flow.

$$\frac{d}{ds} V_s = \left[\left[\sum a^\dagger a, \sum a^\dagger a^\dagger aa \right], \sum a^\dagger a^\dagger aa \right] = \dots + \sum a^\dagger a^\dagger a^\dagger aaa + \dots$$

In principle up to A-body term is generated.

Bare H also have this structure, for instance, $H_{\text{EFT}} = H_{1b} + H_{2b} + H_{3b} + H_{4b} + \dots$

■ For SRG to be useful for nuclear structure, the point is

Maintaining the hierarchy of many-body forces

OK if the induced terms are of natural size.

Calculate 3-body sector in the flow . (an advantage of SRG)

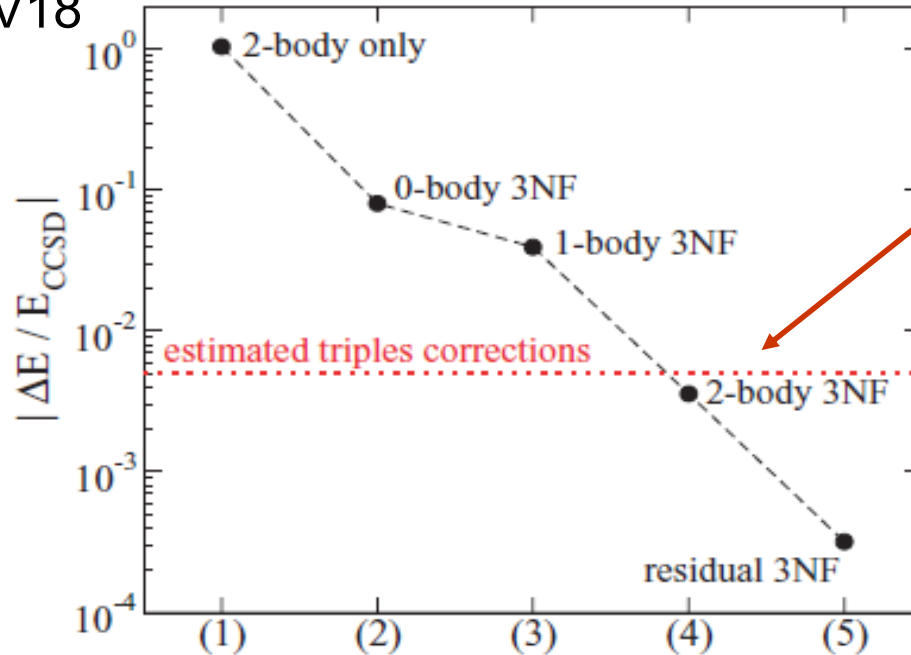
Normal-ordering and truncation **may** be sufficient.

3N Interaction and Normal-Ordering

Hagen, Papenbrock, Dean, Schwenk.. PRC76, 034302 (2007)

$$H = T + V_{\text{lowk}}(\Lambda) + V_{3N}(\Lambda)$$

← Fitted to the data of ${}^3\text{H}$ and ${}^4\text{He}$
from AV18



Error estimation in CCSD

Very small residual 3NF

Normal-ordering **can preserve the hierarchy** of Many-body forces.

In NCSM, density-dependent two-body terms are the most significant contributions of effective 3NF

Navrátil and Ormand in PRL88, 152502(2002)

In-medium SRG

Rewrite a Hamiltonian taking normal-ordering

$$\hat{H} = \sum_{ij} T_{ij} a_i^\dagger a_j + \frac{1}{2!^2} \sum_{ijkl} \langle ij|V_2|kl\rangle a_i^\dagger a_j^\dagger a_l a_k + \frac{1}{3!^2} \sum_{ijklmn} \langle ijk|V_3|lmn\rangle a_i^\dagger a_j^\dagger a_k^\dagger a_n a_m a_l + \dots$$

$$= E_0 + \sum_{kk'} g_{kk'} N(k^\dagger k') + \frac{1}{2!^2} \sum_{kpqr} \Gamma_{kpqr} N(k^\dagger p^\dagger r q) + \frac{1}{3!^2} \sum_{kpqrst} \langle kpq|W|rst\rangle N(k^\dagger p^\dagger q^\dagger t sr)$$

$$E_0 = \langle H \rangle_0 = \sum_k T_{kk} n_k + \frac{1}{2} \sum_{ij} \langle ij|V_2|ij\rangle n_i n_j + \frac{1}{6} \sum_{ijk} \langle ijk|V_3|ijk\rangle n_i n_j n_k$$

$$g_{ij} = T_{ij} + \sum_k \langle ik|V_2|jk\rangle n_k + \frac{1}{2} \sum_{kl} \langle ikl|V_3|jkl\rangle n_k n_l$$

3N, 4N.. forces appear as density dependence in expectation value of a core, s.p.e's and TBME's

$$\langle kp|\Gamma|qr\rangle = \langle kp|V_2|qr\rangle + \frac{1}{4} \sum_i \langle kpi|V_3|qri\rangle n_i$$

Choose generator, following Wegner's idea

$$\hat{\eta} = [\hat{H}^d, \hat{H}^{od}] = [\hat{g}^d + \hat{\Gamma}^d, \hat{g}^{od} + \hat{\Gamma}^{od}]$$

Truncate flow equation up to Two-body normal-ordered operators

Flow equations of In-medium SRG

Generator is written as

$$\begin{aligned}\eta &= \eta^{1b} + \eta^{2b} \\ &= \sum_{k_1, k_2} \eta_{k_1 k_2}^{1b} N(a_1^\dagger a_2) + \frac{1}{4} \sum_{k_1, k_2, k_3, k_4} \eta_{k_1 k_2 k_3 k_4}^{2b} N(a_1^\dagger a_2^\dagger a_4 a_3)\end{aligned}$$

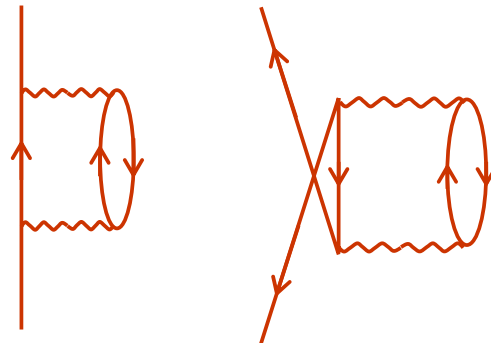
$$\begin{aligned}\eta_{k_1 k_2}^{1b} &= \sum_{k_a} (g_{k_1 k_a}^d g_{k_a k_2}^{od} - g_{k_1 k_a}^{od} g_{k_a k_2}^d) \\ &+ \frac{1}{(2j_1 + 1)} \sum_J (2J + 1) \left[- \sum_{k_a k_b} (n_a - n_b) g_{k_a k_b}^{od} \tilde{\Gamma}_{k_b k_1 k_a k_2}^{d, J} \right. \\ &\left. + \frac{1}{2} \sum_{k_a k_b k_c} \left(\tilde{\Gamma}_{k_c k_1 k_a k_b}^{d, J} \tilde{\Gamma}_{k_a k_b k_c k_2}^{od, J} - \tilde{\Gamma}_{k_c k_1 k_a k_b}^{od, J} \tilde{\Gamma}_{k_a k_b k_c k_2}^{d, J} \right) (\bar{n}_a \bar{n}_b n_c + n_a n_b \bar{n}_c) \right]\end{aligned}$$

Flow equations of In-medium SRG

$$\begin{aligned}
 \tilde{n}_{k_1 k_2 k_3 k_4}^{2b,J} &= \sum_{k_a} \left(g_{k_1 k_a}^d \tilde{\Gamma}_{k_a k_2 k_3 k_4}^{od,J} + g_{k_2 k_a}^d \tilde{\Gamma}_{k_1 k_a k_3 k_4}^{od,J} - g_{k_a k_3}^{d,1b} \tilde{\Gamma}_{k_1 k_2 k_a k_4}^{od,J} - g_{k_a k_4}^d \tilde{\Gamma}_{k_1 k_2 k_3 k_a}^{od,J} \right) \\
 &- \sum_{k_a} \left(g_{k_1 k_a}^{od} \tilde{\Gamma}_{k_a k_2 k_3 k_4}^{d,J} + g_{k_2 k_a}^{od} \tilde{\Gamma}_{k_1 k_a k_3 k_4}^{d,J} - g_{k_a k_3}^{od} \tilde{\Gamma}_{k_1 k_2 k_a k_4}^{d,J} - g_{k_a k_4}^{od} \tilde{\Gamma}_{k_1 k_2 k_3 k_a}^{d,J} \right) \\
 &+ \frac{1}{2} \sum_{k_a k_b} (1 - n_a - n_b) \left(\tilde{\Gamma}_{k_1 k_2 k_a k_b}^{d,J} \tilde{\Gamma}_{k_a k_b k_3 k_4}^{od,J} - \tilde{\Gamma}_{k_1 k_2 k_a k_b}^{od,J} \tilde{\Gamma}_{k_a k_b k_3 k_4}^{d,J} \right) \\
 &- \sum_{k_a k_b} (n_a - n_b) \sum_{J_1 J_2} (2J_1 + 1)(2J_2 + 1)(-1)^{j_1 + j_3 + J_1 - J_2} \\
 &\times \left[(-1)^J \begin{Bmatrix} j_a & j_4 & J_1 \\ j_1 & J & j_2 \\ J_2 & j_3 & j_b \end{Bmatrix} \left(\tilde{\Gamma}_{k_b k_2 k_a k_4}^{d,J_1} \tilde{\Gamma}_{k_a k_1 k_b k_3}^{od,J_2} - \tilde{\Gamma}_{k_b k_2 k_a k_4}^{od,J_1} \tilde{\Gamma}_{k_a k_1 k_b k_3}^{d,J_2} \right) \right. \\
 &\left. - \begin{Bmatrix} j_a & j_4 & J_1 \\ j_2 & J & j_1 \\ J_2 & j_3 & j_b \end{Bmatrix} \left(\tilde{\Gamma}_{k_a k_2 k_b k_3}^{d,J_2} \tilde{\Gamma}_{k_b k_1 k_a k_4}^{od,J_1} - \tilde{\Gamma}_{k_a k_2 k_b k_3}^{od,J_2} \tilde{\Gamma}_{k_b k_1 k_a k_4}^{d,J_1} \right) \right]
 \end{aligned}$$

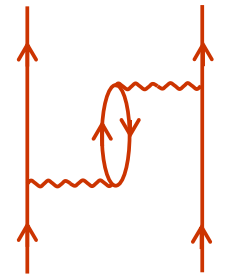
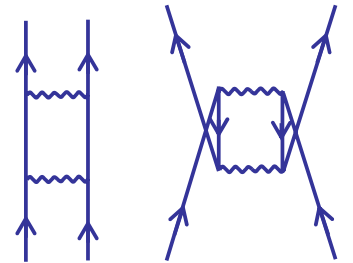
Flow equations of In-medium SRG

$$\begin{aligned} \frac{d}{ds} E_0 &= \frac{1}{2} \sum_{k_1 k_2 k_3 k_4} \sum_J (2J+1) \tilde{\eta}_{k_1 k_2 k_3 k_4}^{2b,J} \tilde{\Gamma}_{k_1 k_2 k_3 k_4}^{2b,J} n_1 n_2 \bar{n}_3 \bar{n}_4 + \sum_{k_1 k_2} (2j_1+1) \eta_{k_1 k_2}^{1b} g_{k_1 k_2}^{od} (n_1 - n_2) \\ \frac{d}{ds} g_{k_1 k_2} &= \underbrace{\sum_{k_a} \left(\eta_{k_1 k_a}^{1b} g_{k_a k_2} - g_{k_1 k_a} \eta_{k_a k_2}^{1b} \right)}_{\text{Diagram: vertical line with a loop}} \\ &+ \frac{1}{(2j_1+1)} \sum_J (2J+1) \left[\underbrace{\sum_{k_a k_b} (n_a - n_b) \left\{ \eta_{k_a k_b}^{1b} \tilde{\Gamma}_{k_b k_1 k_a k_2}^J - g_{k_a k_b}^{od} \tilde{\eta}_{k_b k_1 k_a k_2}^{2b,J} \right\}}_{\text{Diagram: vertical line with a loop}} \right. \\ &\left. \frac{1}{2} \sum_{k_a k_b k_c} \left(\tilde{\eta}_{k_c k_1 k_a k_b}^{2b,J} \tilde{\Gamma}_{k_a k_b k_c k_2}^J - \tilde{\Gamma}_{k_c k_1 k_a k_b}^J \tilde{\eta}_{k_a k_b k_c k_2}^{2b,J} \right) (\bar{n}_a \bar{n}_b n_c + n_a n_b \bar{n}_c) \right] \end{aligned}$$



Flow equations of In-medium SRG

$$\begin{aligned}
 \frac{d}{ds} \tilde{\Gamma}_{k_1 k_2 k_3 k_4}^J &= \sum_{k_a} \left(\eta_{k_1 k_a}^{1b} \tilde{\Gamma}_{k_a k_2 k_3 k_4}^J + \eta_{k_2 k_a}^{1b} \tilde{\Gamma}_{k_1 k_a k_3 k_4}^J - \eta_{k_a k_3}^{1b} \tilde{\Gamma}_{k_1 k_2 k_a k_4}^J - \eta_{k_a k_4}^{1b} \tilde{\Gamma}_{k_1 k_2 k_3 k_a}^J \right) \\
 &- \sum_{k_a} \left(g_{k_1 k_a} \tilde{\eta}_{k_a k_2 k_3 k_4}^{2b,J} + g_{k_2 k_a} \tilde{\eta}_{k_1 k_a k_3 k_4}^{2b,J} - g_{k_a k_3} \tilde{\eta}_{k_1 k_2 k_a k_4}^{2b,J} - g_{k_a k_4} \tilde{\eta}_{k_1 k_2 k_3 k_a}^{2b,J} \right) \\
 &+ \frac{1}{2} \sum_{k_a k_b} (1 - n_a - n_b) \left(\tilde{\eta}_{k_1 k_2 k_a k_b}^{2b,J} \tilde{\Gamma}_{k_a k_b k_3 k_4}^J - \tilde{\Gamma}_{k_1 k_2 k_a k_b}^J \tilde{\eta}_{k_a k_b k_3 k_4}^{2b,J} \right) \\
 &- \sum_{k_a k_b} (n_a - n_b) \sum_{J_1 J_2} (2J_1 + 1)(2J_2 + 1) (-1)^{j_1 + j_3 + J_1 - J_2} \\
 &\times \left[(-1)^J \begin{Bmatrix} j_a & j_4 & J_1 \\ j_1 & J & j_2 \\ J_2 & j_3 & j_b \end{Bmatrix} \left(\tilde{\eta}_{k_b k_2 k_a k_4}^{2b,J_1} \tilde{\Gamma}_{k_a k_1 k_b k_3}^{J_2} - \tilde{\Gamma}_{k_b k_2 k_a k_4}^{J_1} \tilde{\eta}_{k_a k_1 k_b k_3}^{2b,J_2} \right) \right. \\
 &\left. - \begin{Bmatrix} j_a & j_4 & J_1 \\ j_2 & J & j_1 \\ J_2 & j_3 & j_b \end{Bmatrix} \left(\tilde{\eta}_{k_a k_2 k_b k_3}^{2b,J_2} \tilde{\Gamma}_{k_b k_1 k_a k_4}^{J_1} - \tilde{\Gamma}_{k_a k_2 k_b k_3}^{J_2} \tilde{\eta}_{k_b k_1 k_a k_4}^{2b,J_1} \right) \right]
 \end{aligned}$$



Perturbative content of SRG

Example: homogeneous system like NM

In momentum basis, g is diagonal \rightarrow Flow eqs. can be simplified

$$g_{ab} = \delta_{ab} g_{aa} = \delta_{ab} f_a$$

The flow equations at leading order are

$$\left\{ \begin{array}{l} \eta_{k_1 k_2 k_3 k_4}^{2b,J} = (f_1 + f_2 - f_3 - f_4) \tilde{\Gamma}_{k_1 k_2 k_3 k_4}^J \\ \frac{d}{ds} E_0(s) = \frac{1}{2} \sum_{k_1 k_2 k_3 k_4} (2J+1) \tilde{\eta}_{k_1 k_2 k_3 k_4}^{2b,J} \tilde{\Gamma}_{k_1 k_2 k_3 k_4}^{2b,J} n_1 n_2 \bar{n}_3 \bar{n}_4 \\ \frac{d}{ds} f_a(s) = 0 \\ \frac{d}{ds} \tilde{\Gamma}_{k_1 k_2 k_3 k_4}^J = -(f_1 + f_2 - f_3 - f_4)^2 \tilde{\Gamma}_{k_1 k_2 k_3 k_4}^{od,J} \end{array} \right.$$

Solution for E_0 up to 2nd order in the bare coupling.

$$E_0(s) = E_0(0) + \frac{1}{2} \sum_J (2J+1) \sum_{k_1 k_2 k_3 k_4} \frac{|\tilde{\Gamma}_{k_1 k_2 k_3 k_4}^{od,J}|^2}{f_1 + f_2 - f_3 - f_4} \left(1 - e^{-(f_1 + f_2 - f_3 - f_4)^2 s} \right) n_1 n_2 \bar{n}_3 \bar{n}_4$$

Correlation energy

As s increases, correlation energy is shuffled into non-interacting VEV (HF).

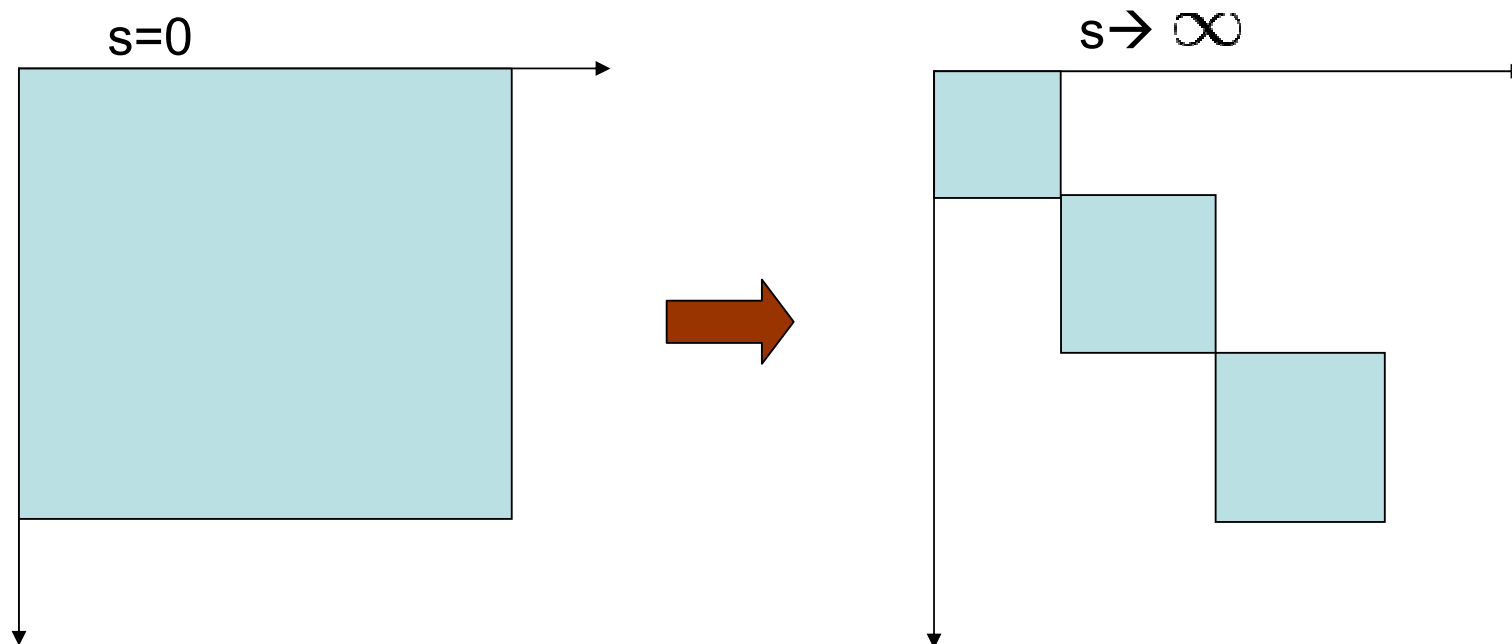
Preliminary calculations

Wegner's choice for the generator

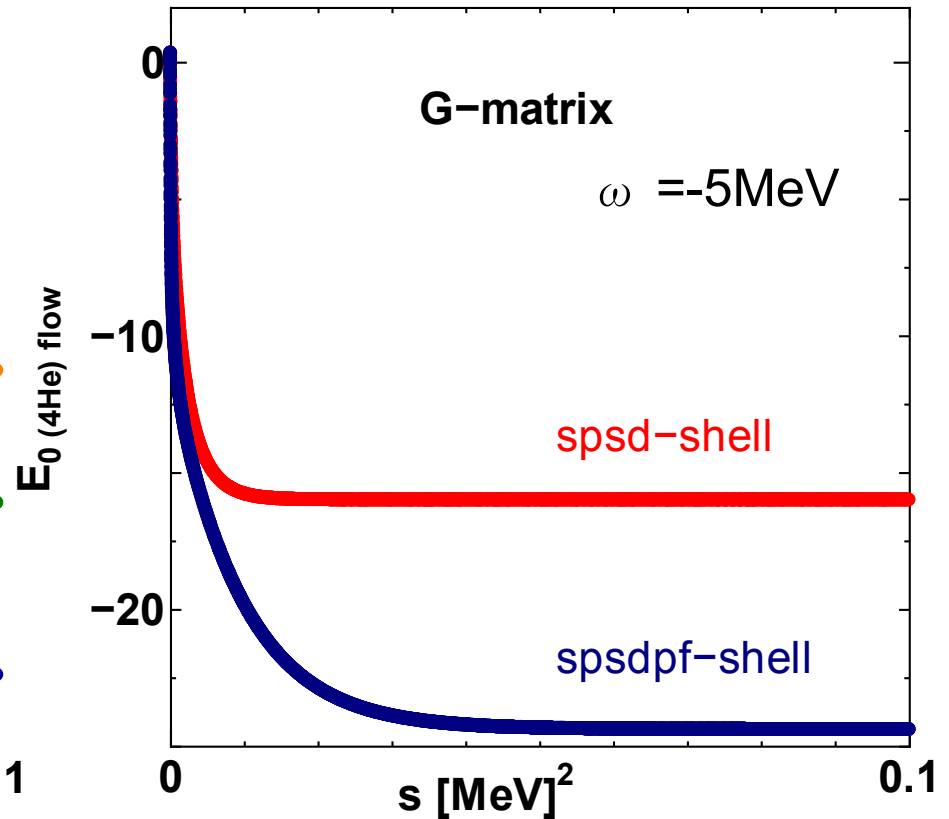
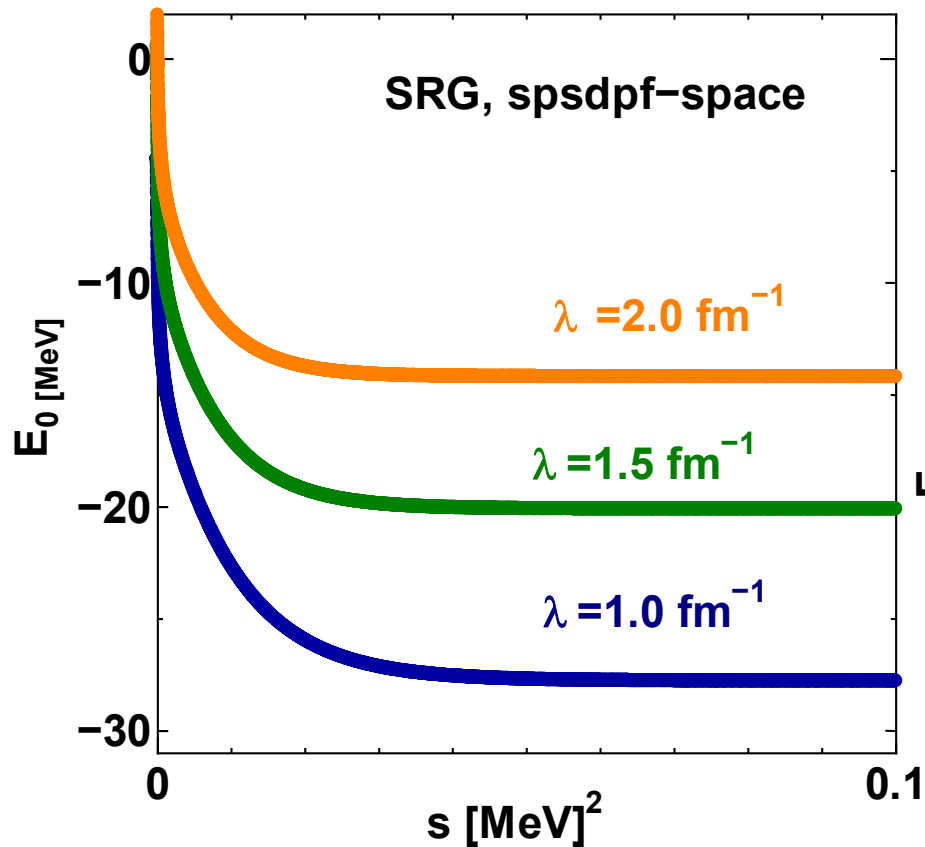
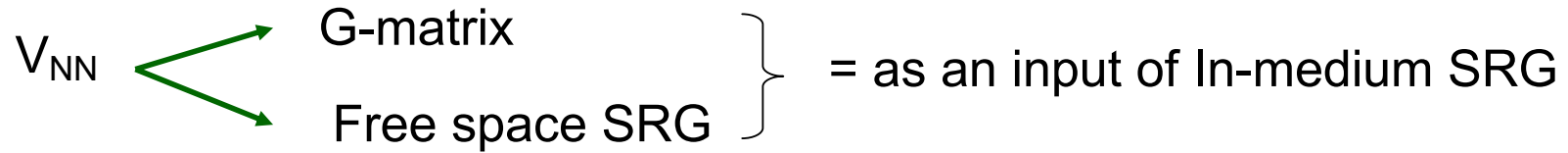
$$\hat{\eta} = [\hat{H}^d, \hat{H}^{od}] = [\hat{g}^d + \hat{\Gamma}^d, \hat{g}^{od} + \hat{\Gamma}^{od}]$$

$$\Gamma_{1234}^d = 0 \quad (\epsilon_1 + \epsilon_2 \neq \epsilon_3 + \epsilon_4), \quad \Gamma_{1234}^{od} = 0 \quad (\epsilon_1 + \epsilon_2 = \epsilon_3 + \epsilon_4),$$
$$g_{12}^d = 0 \quad (\epsilon_1 \neq \epsilon_2), \quad g_{12}^{od} = 0 \quad (\epsilon_1 = \epsilon_2).$$

$$\epsilon_a = \epsilon_{n_a l_a j_a} = \hbar\omega(2n_a + l_a + 3/2)$$

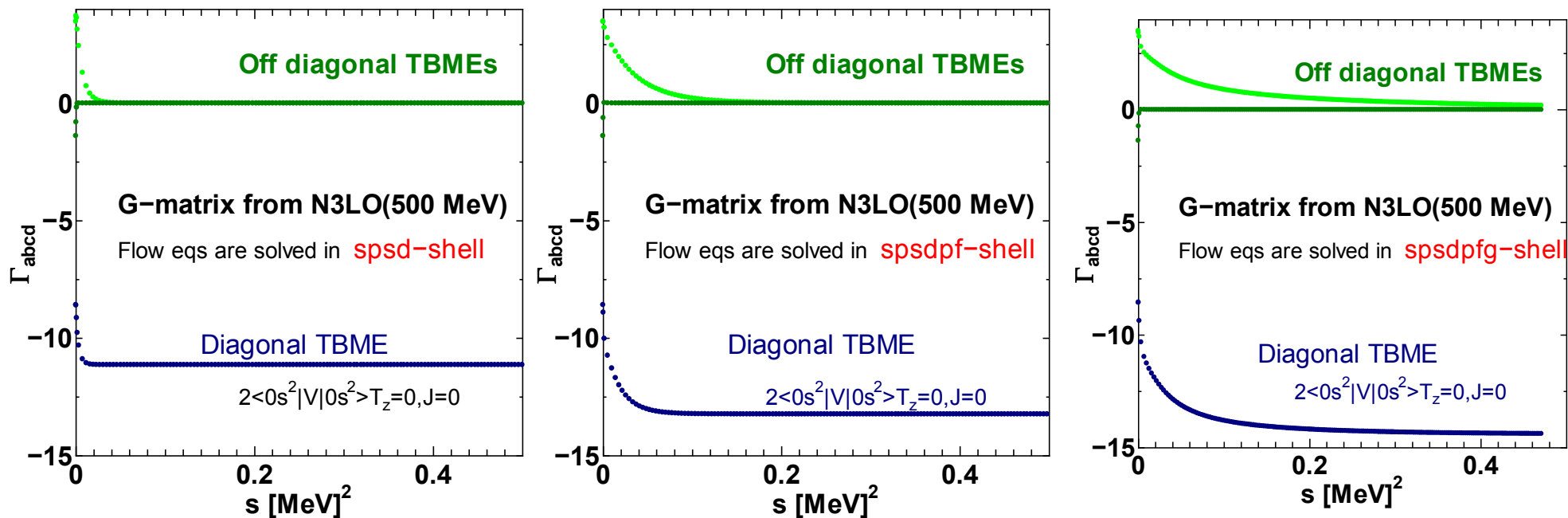


E_0 (^4He) flow (solved in small spaces) [N3LO(500MeV)]



We need larger space to make the flow more reliable.

Observation



Energy off-diagonal matrix elements vanish after the flow.

$$\Gamma_{1234} \rightarrow 0 \quad \text{if } \epsilon_1 + \epsilon_2 \neq \epsilon_3 + \epsilon_4$$

Observations

We don't have to solve cluster problems.

Energy off-diagonal matrix elements vanish after the flow.

$$\Gamma_{1234} \rightarrow 0 \quad \text{if } \epsilon_1 + \epsilon_2 \neq \epsilon_3 + \epsilon_4$$

There are some cross-shell TBME's after the flow

$$\langle 0s1p|V|0s1p\rangle \quad \langle 0s1s|V|0s1s\rangle \quad \langle 0s0dV|0s0d\rangle \dots$$

Initial value-dependent (due to the small space flow?)

Summary

- We have derived the flow equations for In-medium SRG in M- and J-scheme basis.
 - Non-perturbative path to the nuclear shell model.
 - Current choice of the generator can get stable flow.
 - Energy off-diagonal M.E's are well suppressed after the flow.
 - HF covers the dominant part of the correlation energy → Shell Model
 - There are some cross-shell TBME's after the flow → physical meaning
-

- We need large space flow to see the convergence.
 - direct connection between bare nuclear forces and the nuclear shell model.
- 3NF in the initial Hamiltonian
- 3NF in the normal-ordered Hamiltonian → the magnitude of the induced 3NF
- In-medium SRG for effective operator O_{eff} as well as H_{eff} .

Collaborators

- Achim Schwenk (TRIUMF)
- Scott Bogner (MSU)
- Takaharu Otsuka (Tokyo)