

# Phases of QCD

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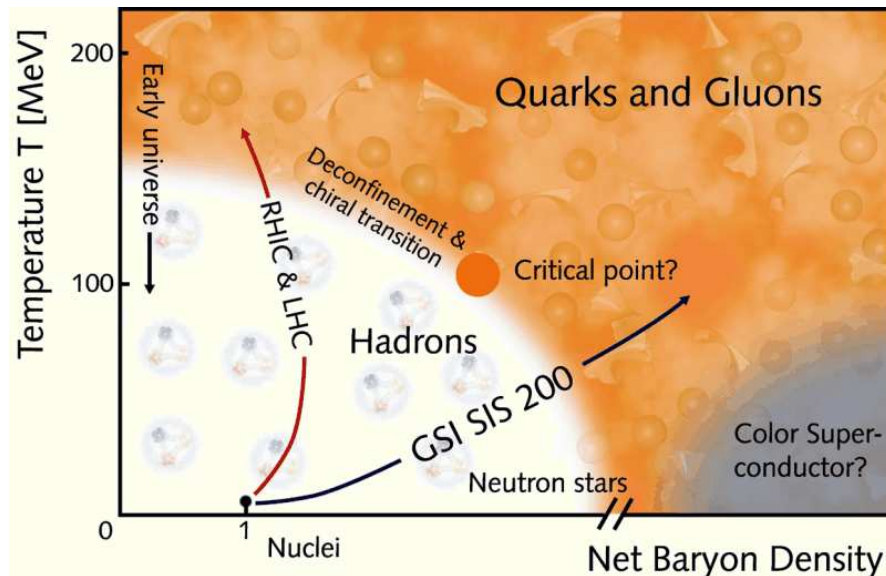
Can results of  
**Lattice QCD Thermodynamics**  
be understood in terms of  
**QUASIPARTICLE**  
degrees of freedom?

$$N_f = 2$$

$$N_f = 2 + 1$$

$$N_f = 3$$

## Introduction



- ❖ QCD has a rich phase structure
- ❖ Many challenging items:
  - ➡ order of the phase transition
  - ➡ critical point
  - ➡ deconfinement and chiral symmetry
  - ➡ colour superconductivity at high  $\mu$

- ❖ Status of **lattice QCD thermodynamics**:
  - ➡ precise data available in the pure gauge sector
  - ➡ quarks easily introduced at  $\mu = 0$
  - ➡ first lattice data at finite (small)  $\mu$  (F. Karsch, Z. Fodor, S. Katz, P. de Forcrand, O. Philipsen, M. D'Elia, M. P. Lombardo).

## PNJL (Polyakov loop extended NJL) model

Starting point: three-flavour NJL model in temporal background gauge field

NJL four-fermion interaction

$$\mathcal{L}_{PNJL} = \bar{\psi} (i\gamma_{\mu} D^{\mu} - \hat{m}_0) \psi + \frac{G}{2} \sum_{f=u,d,s} \left[ (\bar{\psi}_f \psi_f)^2 + (\bar{\psi}_f i\gamma_5 \vec{\lambda} \psi_f)^2 \right] \\ - \frac{K}{2} \left[ \det_{i,j} (\bar{\psi}_i (1 + \gamma_5) \psi_j) + \det_{i,j} (\bar{\psi}_i (1 - \gamma_5) \psi_j) \right] - V(\Phi, T),$$

NJL six-fermion interaction

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NJL six-fermion interaction

Polyakov loop phenomenological potential

Coupling between Polyakov loop and quarks **uniquely determined** by covariant derivative  $D_\mu$ . We recall that:

$$\Phi = \frac{1}{N_c} \text{Tr} \left[ \mathcal{P} \exp \left( i \int_0^\beta A_4 d\tau \right) \right], \quad A^0 = -iA_4.$$

Parameters:  $m_u, m_s, G, K, \Lambda$  fixed in the hadronic sector.

$$N_f = 2$$

## Parameters

$\Lambda$ [GeV]	0.651
$G$ [GeV <sup>-2</sup> ]	10.078
$m_u$ [MeV]	5.5

## Physical quantities

$f_\pi$ [MeV]	92.4
$ \langle \bar{\psi}\psi \rangle ^{1/3}$ [MeV]	247
$m_\pi$ [MeV]	139.3

$$N_f = 2 + 1$$

## Parameters

$\Lambda$ [GeV]	0.6023
$G\Lambda^2$	3.67
$K\Lambda^5$	24.72
$m_u$ [MeV]	5.5
$m_s$ [MeV]	140.7

## Physical quantities

$f_\pi$ [MeV]	92.4
$ \langle \bar{\psi}\psi \rangle_{u,d} ^{1/3}$ [MeV]	241.9
$ \langle \bar{\psi}\psi \rangle_s ^{1/3}$ [MeV]	257.7
$m_\pi$ [MeV]	139.3
$m_K$ [MeV]	497.7

## Polyakov loop potential

- ❖ The Polyakov loop is the **order parameter** related to the  $Z(N_c)$  symmetry

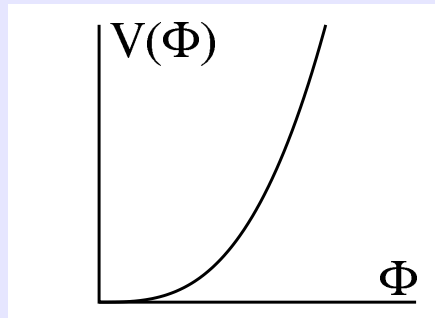
$$\frac{V(\Phi, T)}{T^4} = -\frac{b_2(T)}{2} \Phi^* \Phi - \frac{b_3}{6} (\Phi^3 + (\Phi^*)^3) + \frac{b_4}{4} (\Phi^* \Phi)^2$$

with

$$b_2(T) = a_0 + a_1 \left(\frac{T_0}{T}\right) + a_2 \left(\frac{T_0}{T}\right)^2 + a_3 \left(\frac{T_0}{T}\right)^3$$

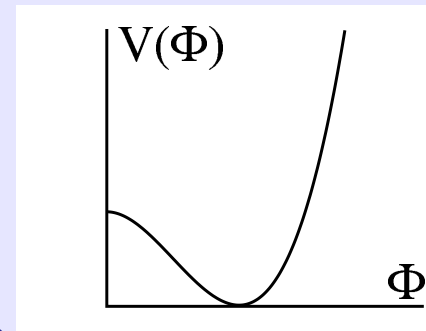
$$T < T_c$$

- color confinement
- $\langle \Phi \rangle = 0 \rightarrow Z(3)$  unbroken



$$T > T_c$$

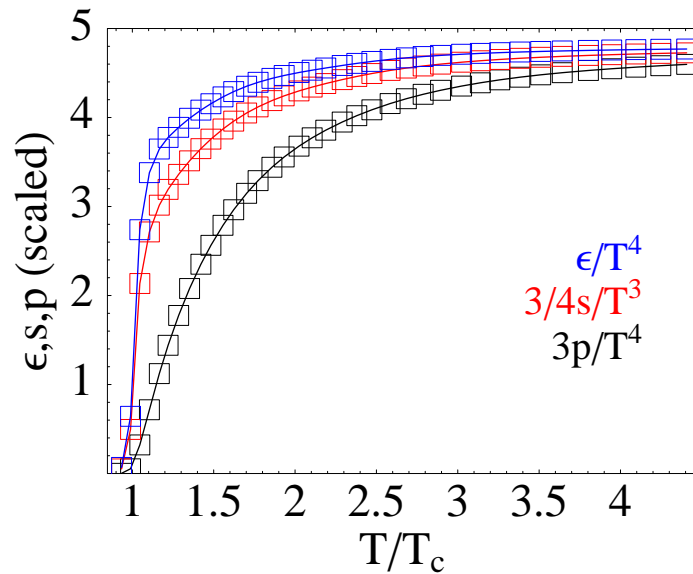
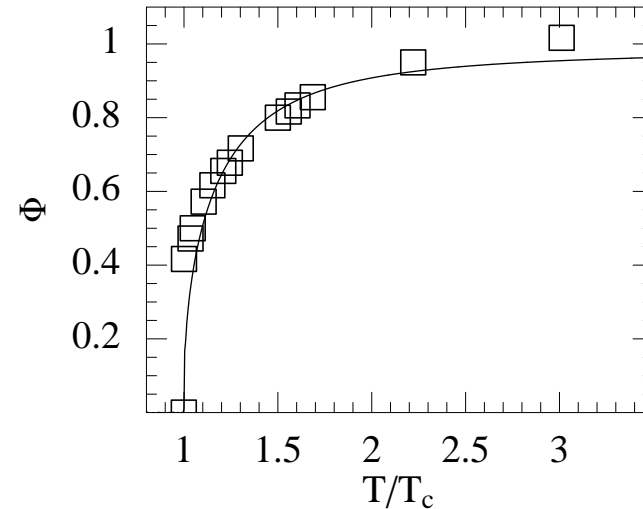
- color deconfinement
- $\langle \Phi \rangle \neq 0 \rightarrow Z(3)$  broken





## Fit of Pure Gauge QCD lattice data

- ◆ Minimization of  $V(\Phi, T)$ : Polyakov loop behaviour as a function of  $T$
- ◆ Comparison with lattice data from [Kaczmarek et al. PLB 543 \(2002\)](#)



- ◆  $p(T) = -V(\Phi(T), T)$
- ◆  $s(T) = \frac{dp}{dT} = -\frac{dV(\Phi(T), T)}{dT}$
- ◆  $\epsilon(T) = T \frac{dp}{dT} - p = Ts(T) - p(T)$
- ◆ Comparison with lattice data from [Boyd et al. NPB 469 \(1996\)](#)

## PNJL model at finite temperature and chemical potential

The thermodynamic potential of the system is:

$$\Omega(T, \mu) = V(\Phi, T) + \frac{\sigma_{u,d}^2}{2G} + \frac{\sigma_s^2}{4G} - \frac{K}{4G^3} \sigma_{u,d}^2 \sigma_s - 2 \sum_f T \int \frac{d^3 p}{(2\pi)^3} \left\{ \text{Tr}_c \ln \left[ 1 + L e^{-(E_{p,f} - \mu_f)/T} \right] \right. \\ \left. + \text{Tr}_c \ln \left[ 1 + L^\dagger e^{-(E_{p,f} + \mu_f)/T} \right] + 3 \frac{E_{p,f}}{T} \theta(\Lambda^2 - \vec{p}^2) \right\}.$$

with  $E_{p,f} = \sqrt{p^2 + M_f^2}$  and  $\text{Tr}_c L = \Phi$ ,  $\text{Tr}_c L^\dagger = \Phi^*$ .

Interaction with chiral condensate: quarks develop a **constituent** mass:

$$M_i = m_i - \langle \sigma_i \rangle - \frac{K}{4G^2} \langle \sigma_j \rangle \langle \sigma_k \rangle = m_i - 2G \langle \bar{\psi}_i \psi_i \rangle + K \langle \bar{\psi}_j \psi_j \rangle \langle \bar{\psi}_k \psi_k \rangle \quad i \neq j \neq k$$

Minimization of  $\Omega$ : behaviour of

❖ Quark masses (chiral condensates)

❖ Polyakov loop

as functions of

❖ temperature

❖ quark chemical potential.

Final form for  $\Omega$ 1-quark (antiquark) states, suppressed below  $T_c$ 2-quark (antiquark) states, suppressed below  $T_c$ 

$$\Omega(T, \mu, \sigma, \Phi) = V(\Phi, T) + \frac{\sigma_{u,d}^2}{2G} + \frac{\sigma_s^2}{4G} - \frac{K}{4G^3} \sigma_{u,d}^2 \sigma_s^2$$

$$- 2 \sum_f \int \frac{d^3 p}{(2\pi)^3} \left\{ 3E_p + T \left[ \ln \left[ 1 + 3\Phi e^{-(E_p - \mu)/T} + 3\Phi^* e^{-2(E_p - \mu)/T} + e^{-3(E_p - \mu)/T} \right] \right. \right.$$

$$\left. \left. + \ln \left[ 1 + 3\Phi^* e^{-(E_p + \mu)/T} + 3\Phi e^{-2(E_p + \mu)/T} + e^{-3(E_p + \mu)/T} \right] \right] \right\}$$

3-quark (antiquark) states, not suppressed even below  $T_c$ High temperature limit:  $\Phi \rightarrow 1, \Phi^* \rightarrow 1$ 

We re-obtain the standard NJL formula:

$$\ln \left[ 1 + 3\Phi e^{-(E_p - \mu)/T} + 3\Phi^* e^{-2(E_p - \mu)/T} + e^{-3(E_p - \mu)/T} \right]$$

$$\downarrow T \rightarrow \infty$$

$$\ln \left[ 1 + e^{-(E_p - \mu)/T} \right]^3 = 3 \ln \left[ 1 + e^{-(E_p - \mu)/T} \right]$$

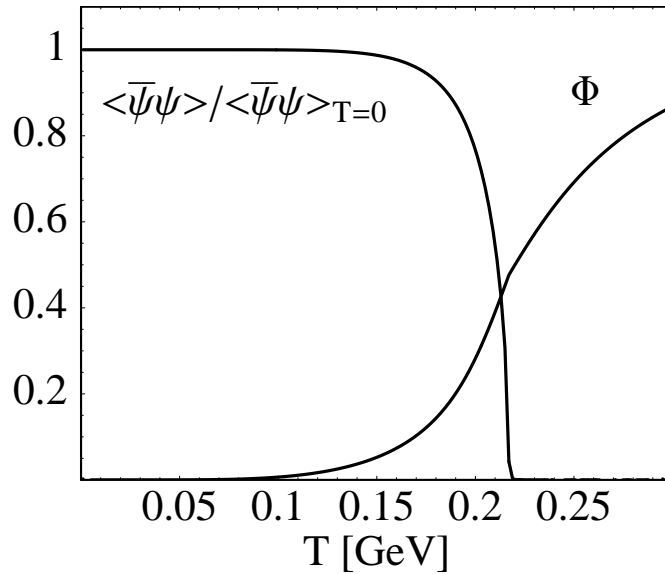
$$N_f = 2 \Leftrightarrow m_s = \infty$$

Lattice vs. PNJL model

**Chiral and Deconfinement transitions**

**Zero and finite chemical potential**

## Confinement and chiral symmetry breaking: chiral limit ( $m_u = 0$ )



$T_c \simeq 270$  MeV in pure gauge

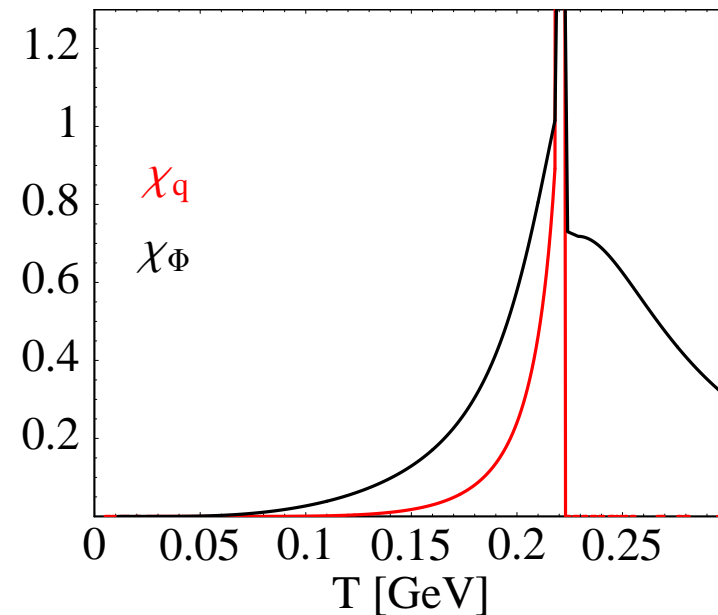


$T_c \simeq 210$  MeV with quarks

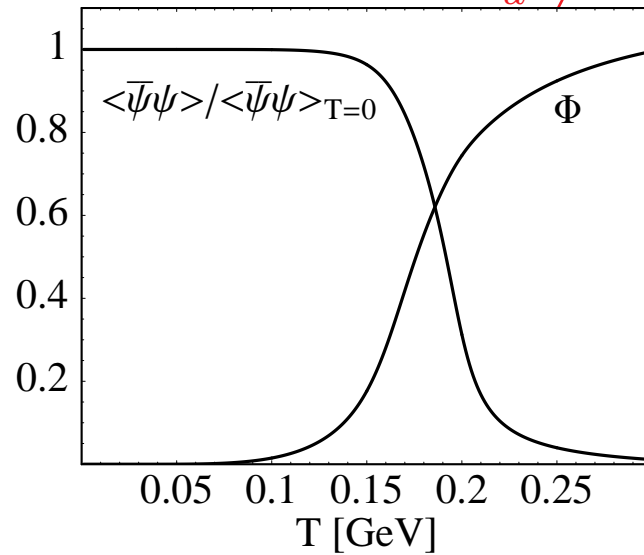
**CHIRAL and DECONFINEMENT**

transitions coincide in the

**CHIRAL LIMIT!**



$m_u \neq 0, \mu = 0$  predictions



$T_c \simeq 210$  MeV

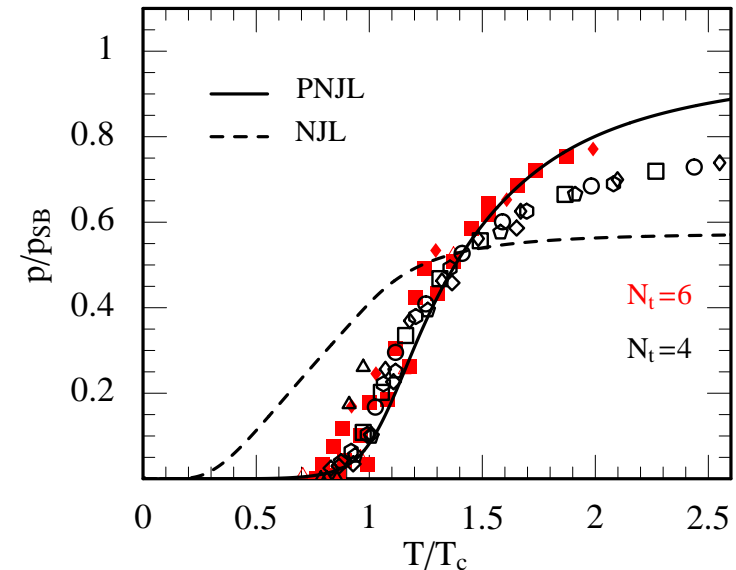


$T_c \simeq 170$  MeV

- ◆ Scaled pressure as a function of  $T/T_c$

$$\frac{p(T, \mu = 0)}{T^4} = - \frac{\Omega(T, \mu = 0)}{T^4}$$

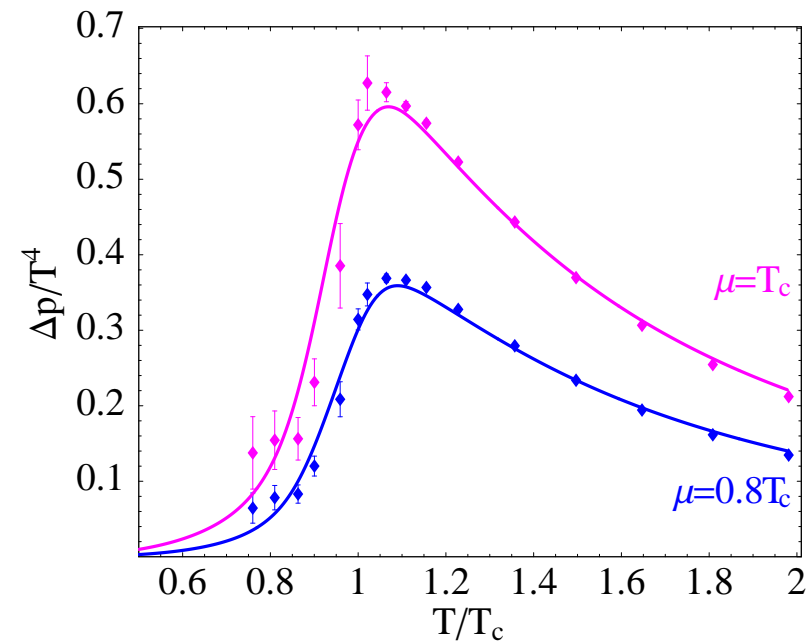
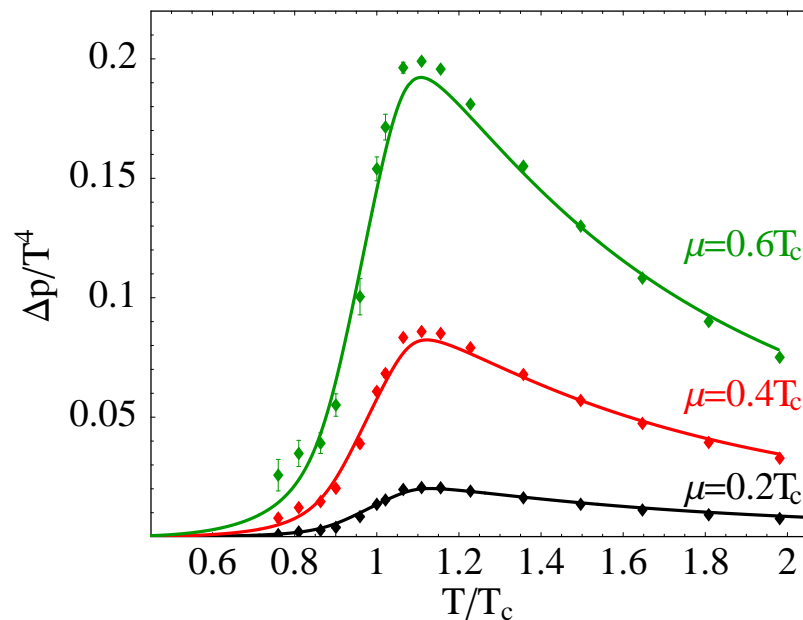
- ◆ Comparison with lattice data from CP-PACS collaboration (2001)



Finite  $\mu$  predictions: pressure difference

- ◆ Scaled pressure difference as a function of  $T/T_c$

$$\frac{\Delta p(T, \mu)}{T^4} = \frac{p(T, \mu) - p(T, \mu = 0)}{T^4}$$

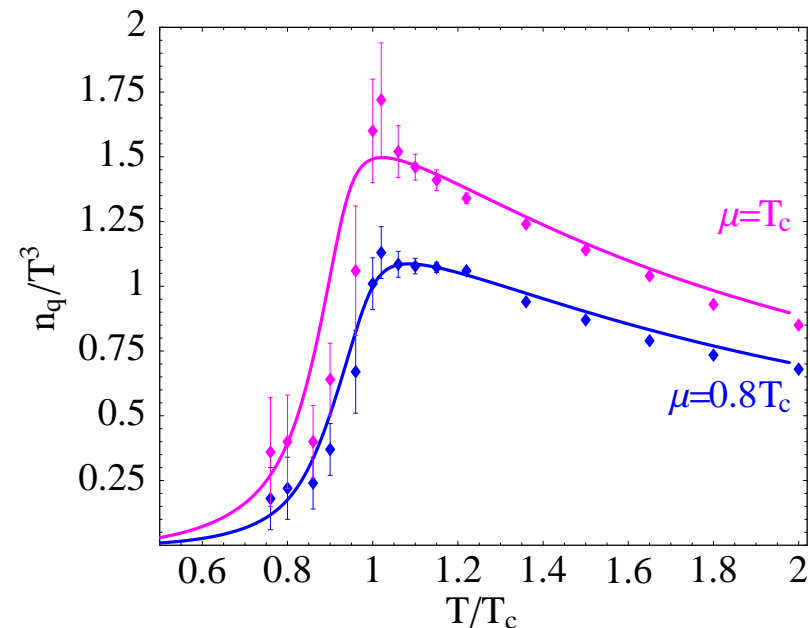
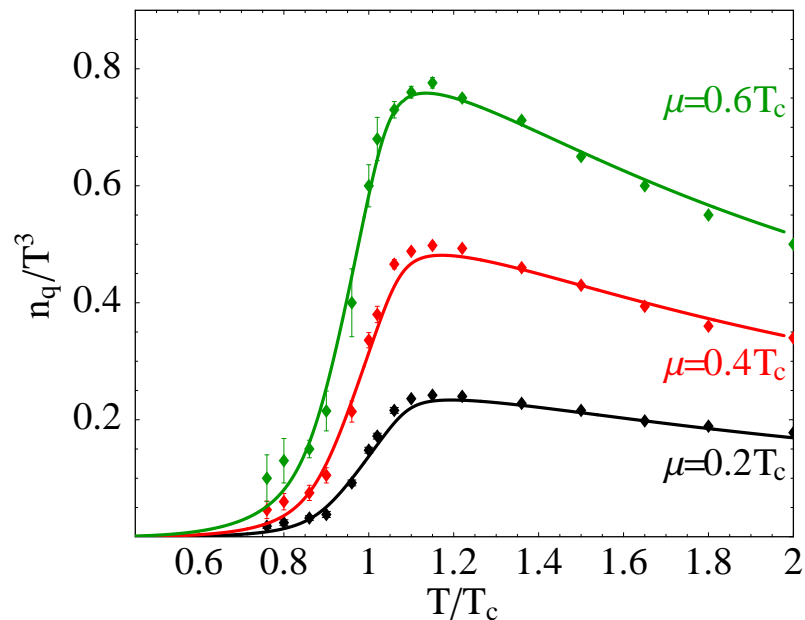


Scaled pressure difference as a function of the temperature at different values of the chemical potential, compared to the corresponding lattice data taken from [Allton \*et al.\*, PRD 68 \(2003\)](#). PNJL model results from: [C.R., M. A. Thaler and W. Weise, PRD 73 \(2006\)](#).

Finite  $\mu$  predictions: quark number density

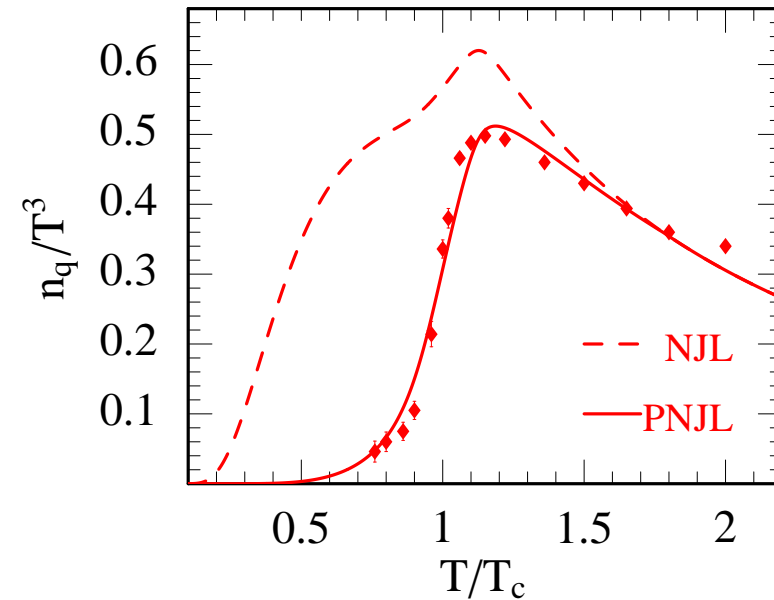
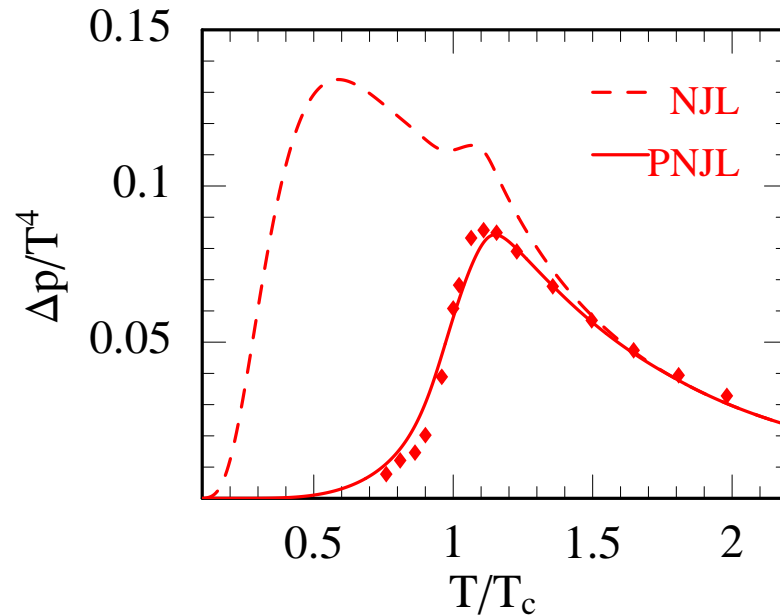
- ◆ Scaled quark number density as a function of  $T/T_c$

$$\frac{n_q(T, \mu)}{T^3} = -\frac{1}{T^3} \frac{\partial \Omega(T, \mu)}{\partial \mu}$$



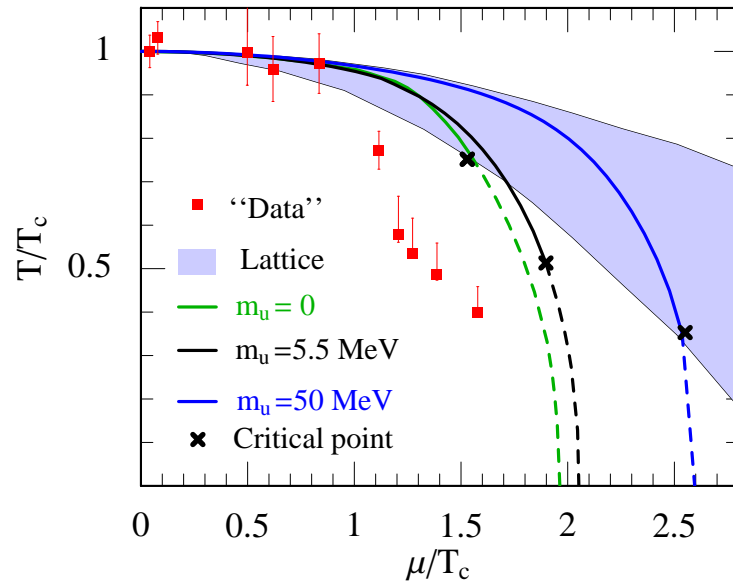
Scaled quark number density as a function of the temperature at different values of the chemical potential, compared to the corresponding lattice data taken from [Allton \*et al.\*, PRD 68 \(2003\)](#). PNJL model results from: [C.R., M. A. Thaler and W. Weise, PRD 73 \(2006\)](#).



Finite  $\mu$  results in the standard NJL model

- ❖ Comparison between PNJL and standard NJL model results at finite  $\mu$
- ❖ NJL model alone fails in reproducing lattice data
- ❖ Incorporation of confinement **crucial** to reproduce lattice results

## Towards the PHASE DIAGRAM ( $N_f = 2$ )

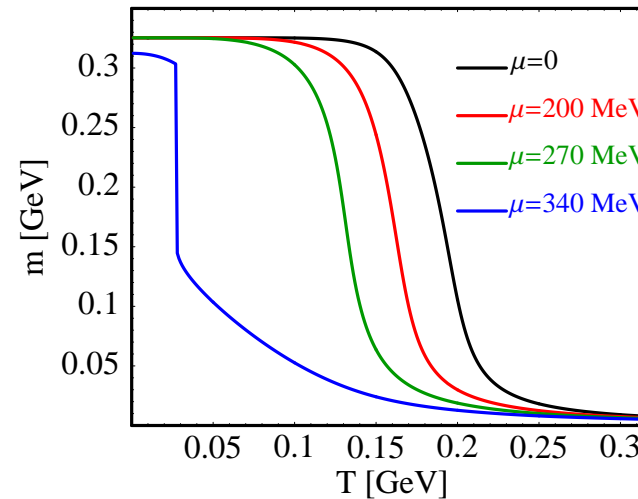


Therm. fit data from Andronic *et al.* (2005)  
 Lattice data from Allton *et al.* (2002)

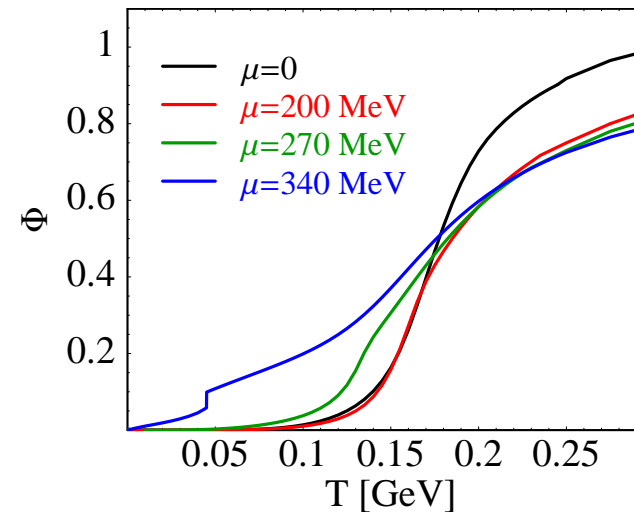
**First order transition  
 at large chemical potential!**

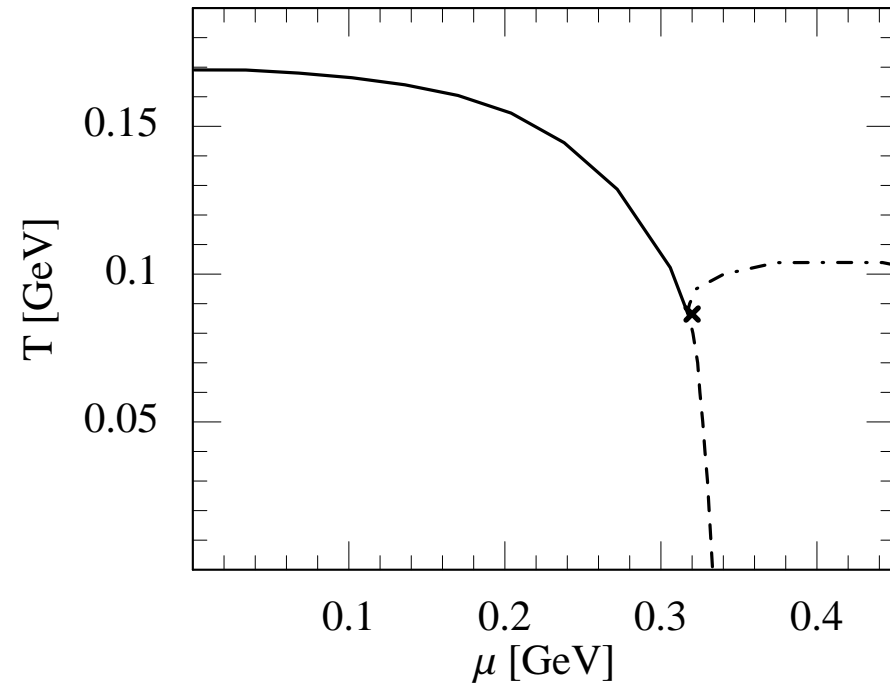
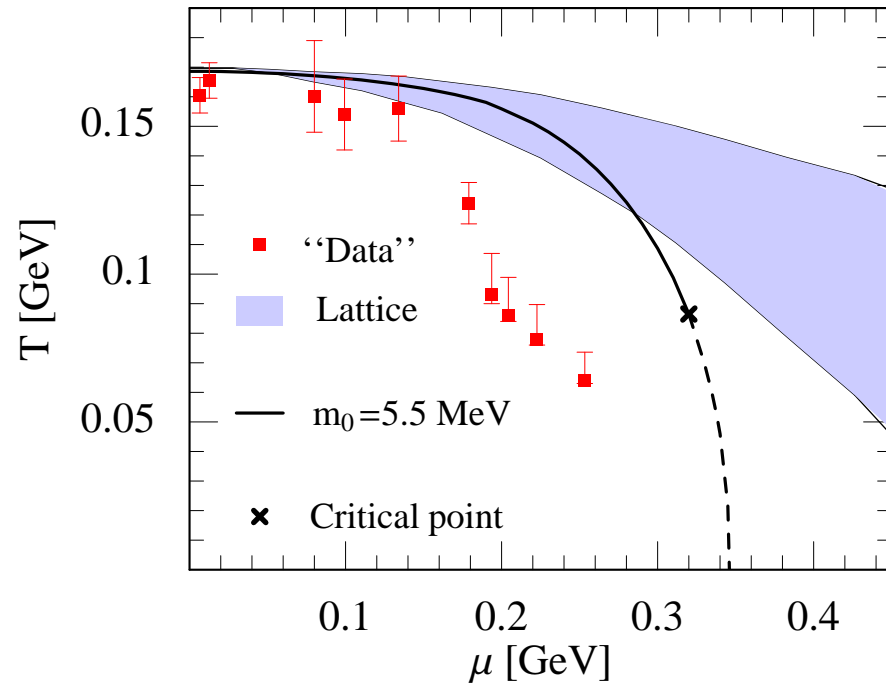
C. R., S. Rößner, W. Weise, in preparation

### Constituent quark mass



### Polyakov loop



Phase diagram at large  $\mu$  (preliminary)

◆ Inclusion of diquark condensation does not seem to affect the critical point position

$$N_f = 2 + 1 \Leftrightarrow m_s \neq m_u$$

Lattice vs. PNJL model

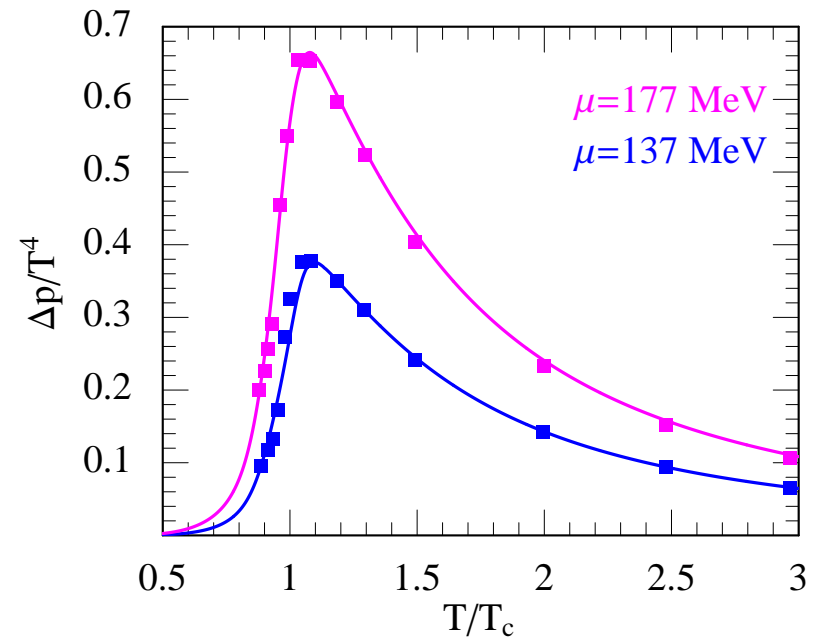
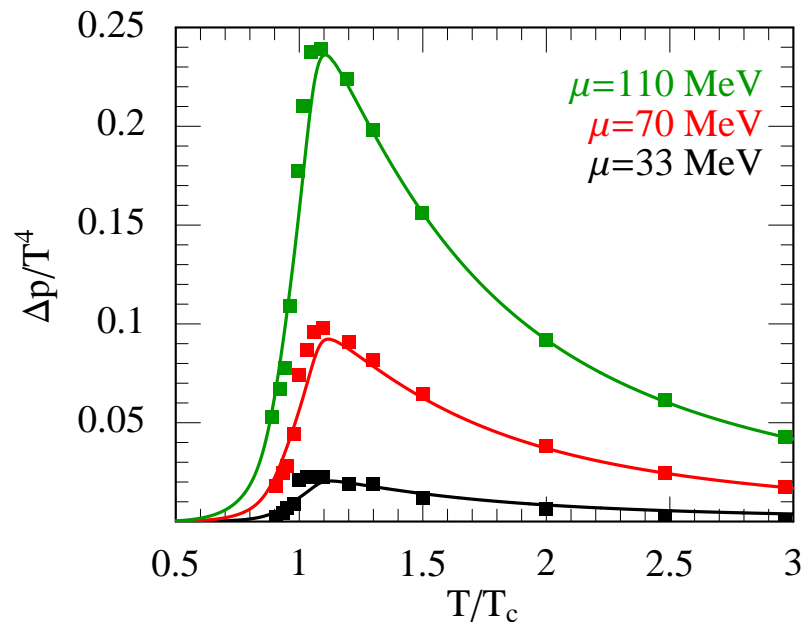
**Finite chemical potential**

C. R., M. A. Thaler and W. Weise, in preparation

## Finite $\mu$ predictions: pressure difference ( $\mu_s = 0$ )

- ◆ Scaled pressure difference as a function of  $T/T_c$

$$\frac{\Delta p(T, \mu)}{T^4} = \frac{p(T, \mu) - p(T, \mu = 0)}{T^4}$$

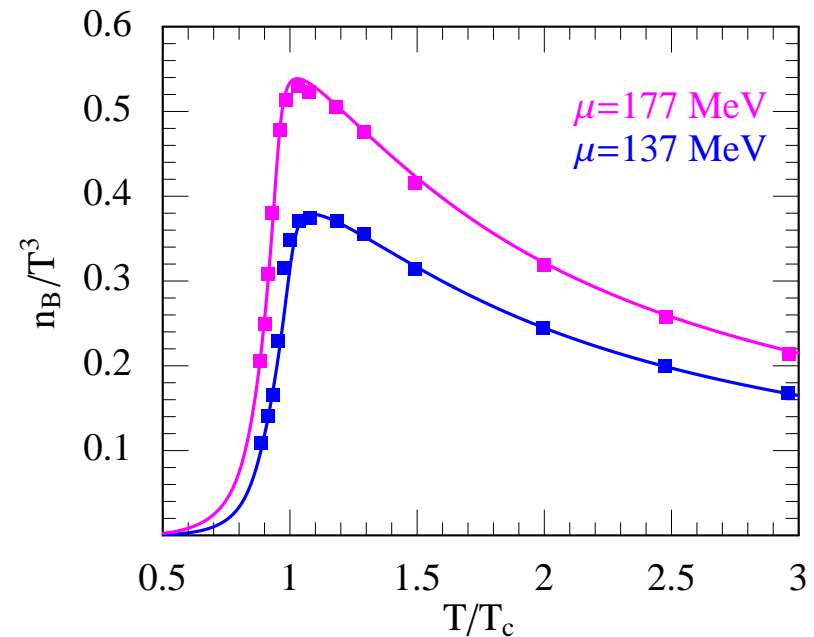
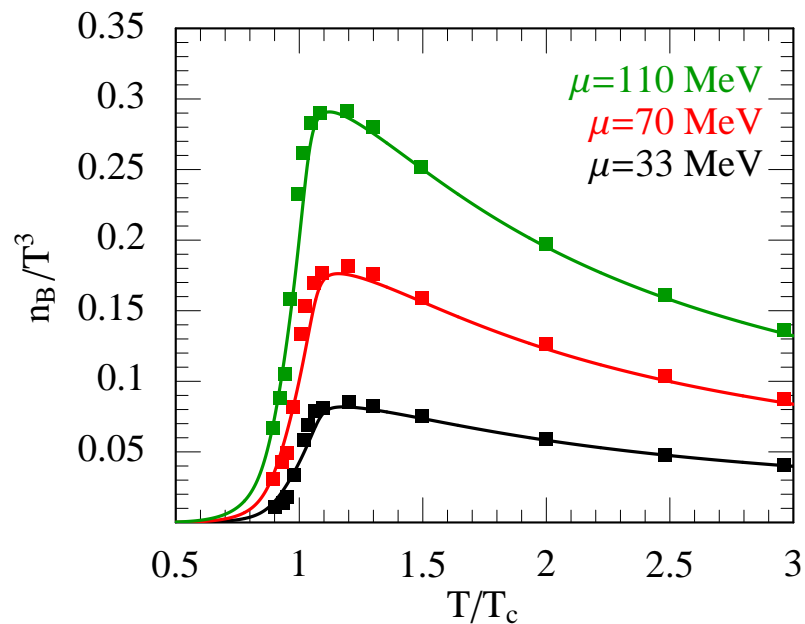


Scaled pressure difference as a function of the temperature at different values of the chemical potential, compared to the corresponding lattice data taken from [Z. Fodor \*et al.\*, PLB 568 \(2003\)](#).

## Finite $\mu$ predictions: quark number density ( $\mu_s = 0$ )

- ◆ Scaled quark number density as a function of  $T/T_c$

$$\frac{n_B(T, \mu)}{T^3} = -\frac{1}{3} \frac{1}{T^3} \sum_f \frac{\partial \Omega(T, \mu_f)}{\partial \mu_f}$$



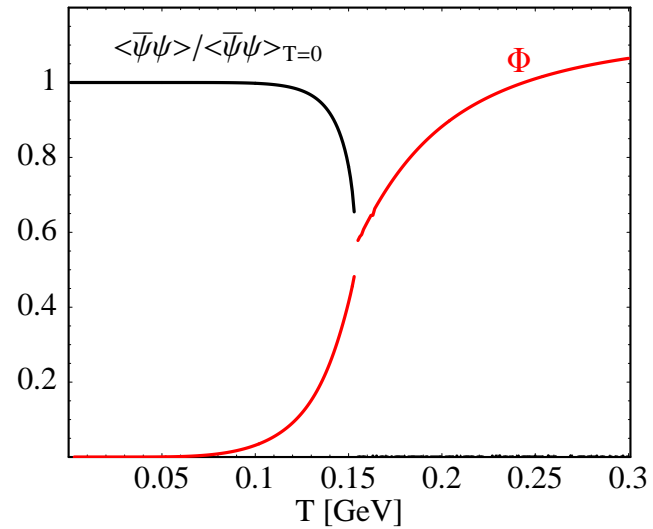
Scaled quark number density as a function of the temperature at different values of the chemical potential, compared to the corresponding lattice data taken from [Z. Fodor \*et al.\*, PLB 568 \(2003\)](#).

$$N_f = 3 \Leftrightarrow m_s = m_u = 0$$

Phase diagram

**Influence of 't Hooft interaction**

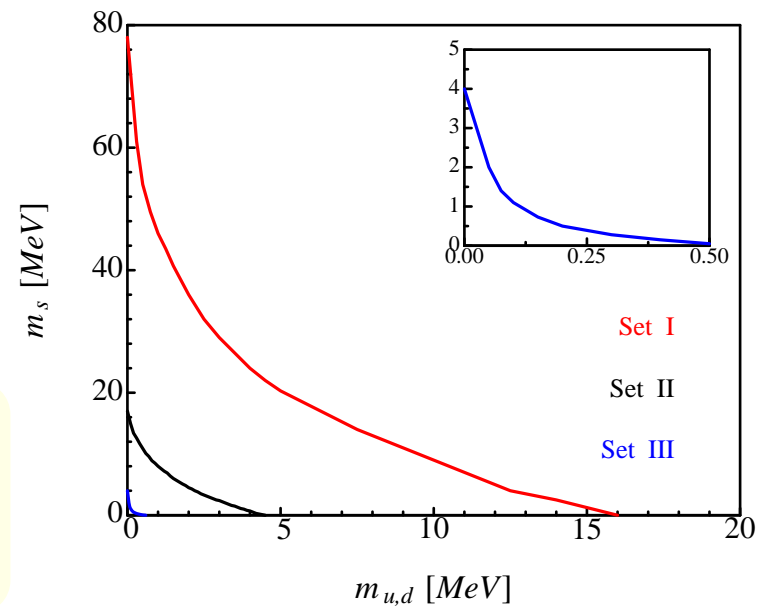
C. R., S. Rößner, M. A. Thaler and W. Weise, in preparation



First order phase transition  
 for three massless flavours  
 at  $\mu = 0$

	$\Lambda$ [GeV]	$G\Lambda^2$	$K\Lambda^5$
set I	0.6023	3.072	40.00
set II	0.6023	3.67	24.72
set III	0.6023	4.2426	10.00

't Hooft six-fermion interaction responsible  
 for first-order phase transition!

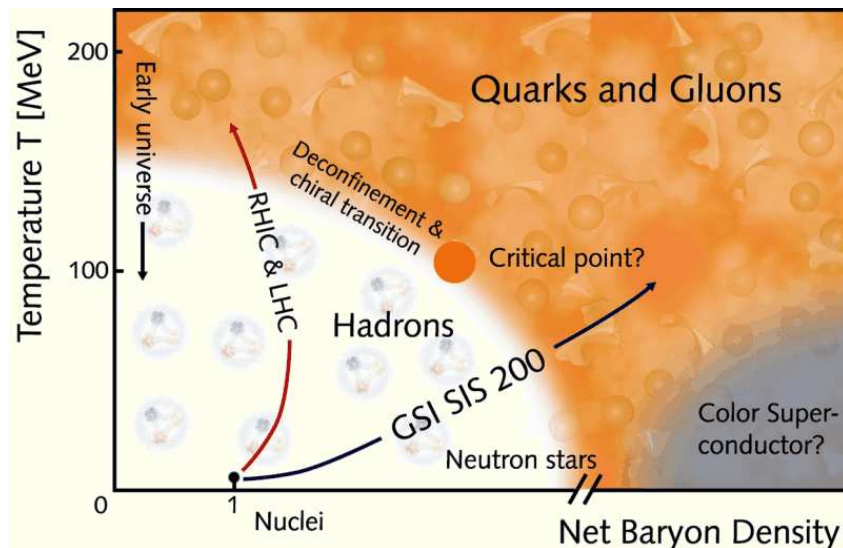




## Conclusions

- ❖ The **standard NJL model** fails in reproducing QCD thermodynamics
- ❖ PNJL model as a minimal synthesis of confinement and chiral symmetry breaking
- ❖ A description of QCD thermodynamics with our simple model works very well
- ❖  $N_f = 2$ 
  - $\mu = 0$
  - $\mu \neq 0$
- ❖  $N_f = 2 + 1$
- ❖  $N_f = 3$

## Outlook



- ❖ Exploration of the phase diagram and its quark mass dependence for  $N_f = 2 + 1$  and  $N_f = 3$
- ❖ Improvement of Polyakov loop potential
- ❖ Improvement of approximation: going beyond mean field approximation

## Improving the Polyakov-loop potential

- ❖ Present Polyakov loop potential:

$$\frac{V(\Phi, T)}{T^4} = -\frac{b_2(T)}{2} \Phi^* \Phi - \frac{b_3}{6} (\Phi^3 + \Phi^{*3}) + \frac{b_4}{4} (\Phi^* \Phi)^2$$

- ❖ We **IMPOSED** (by proper parameter choice) that

$$\Phi \rightarrow 1 \quad \text{for} \quad T \rightarrow \infty \quad \text{in pure gauge}$$

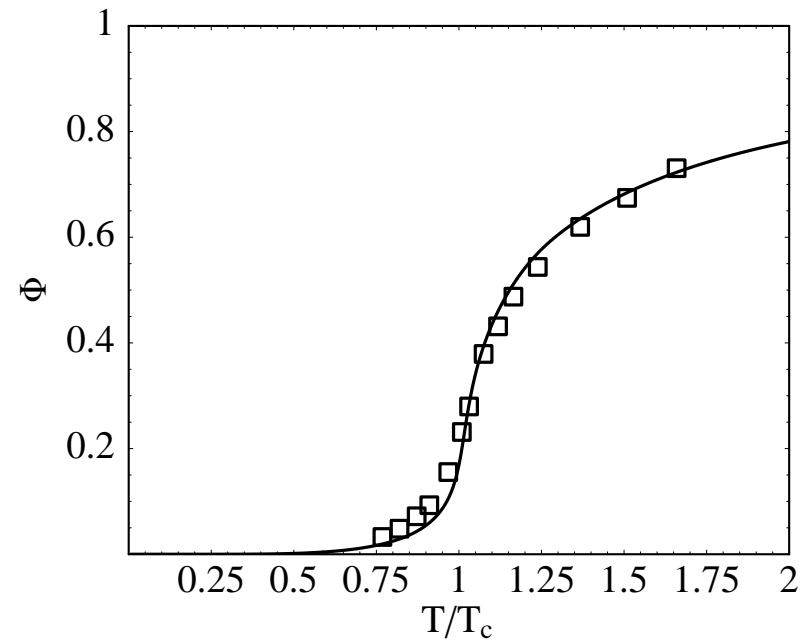
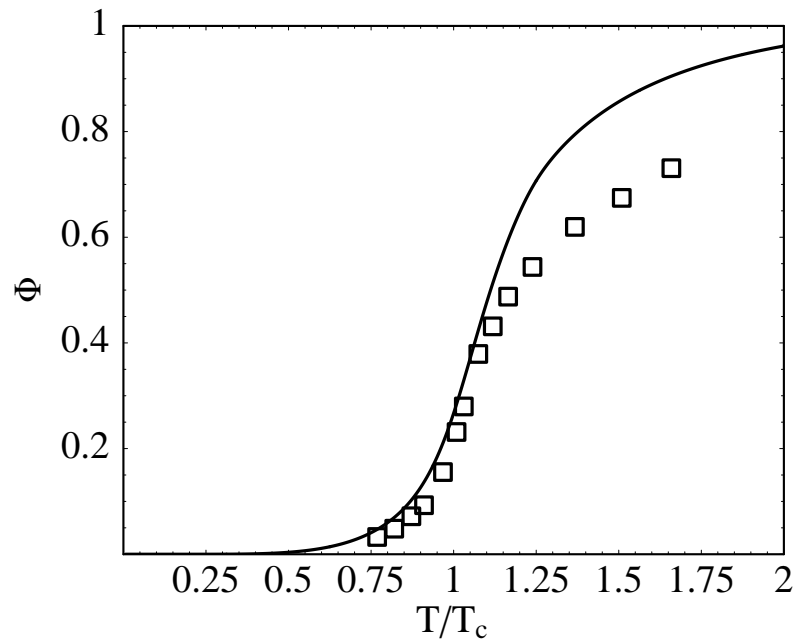
- ❖ Nothing prevents  $\Phi$  from going above 1 in the system of quarks and gluons
- ❖ The potential can constraint the Polyakov loop to stay **always** below 1

$$\frac{V(\Phi, T)}{T^4} = -\frac{b_2(T)}{2} \Phi^2 - b_4 \left( \frac{T_0}{T} \right)^3 \ln[(-(-1 + \Phi)^3)(1 + 3\Phi)]$$

## Improving the Polyakov-loop potential (II)

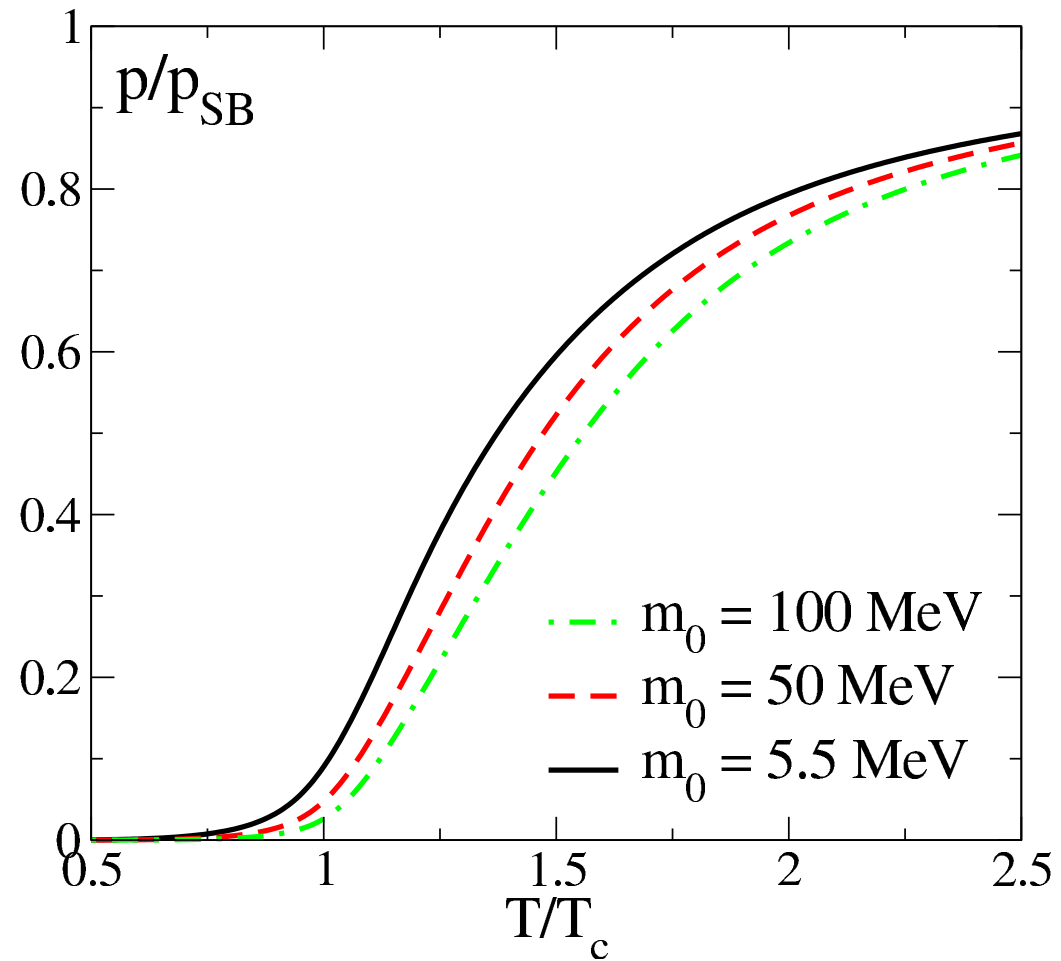
- ❖ We can do better than this: the potential can constrain the Polyakov loop to stay **always** below 1

$$\frac{V(\Phi, T)}{T^4} = -\frac{b_2(T)}{2}\Phi^2 - b_4\left(\frac{T_0}{T}\right)^3 \ln[(-(-1 + \Phi)^3)(1 + 3\Phi)]$$

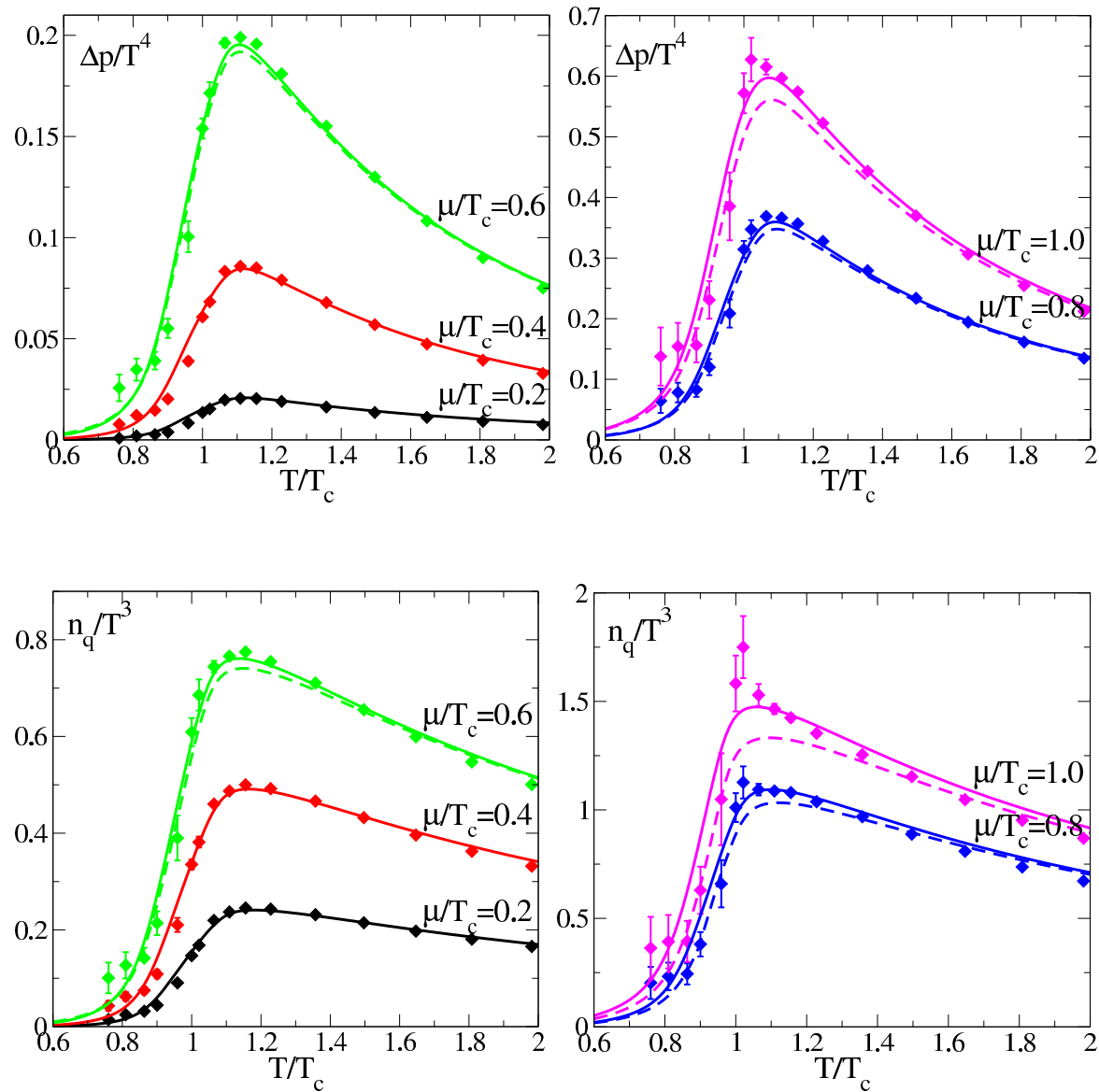


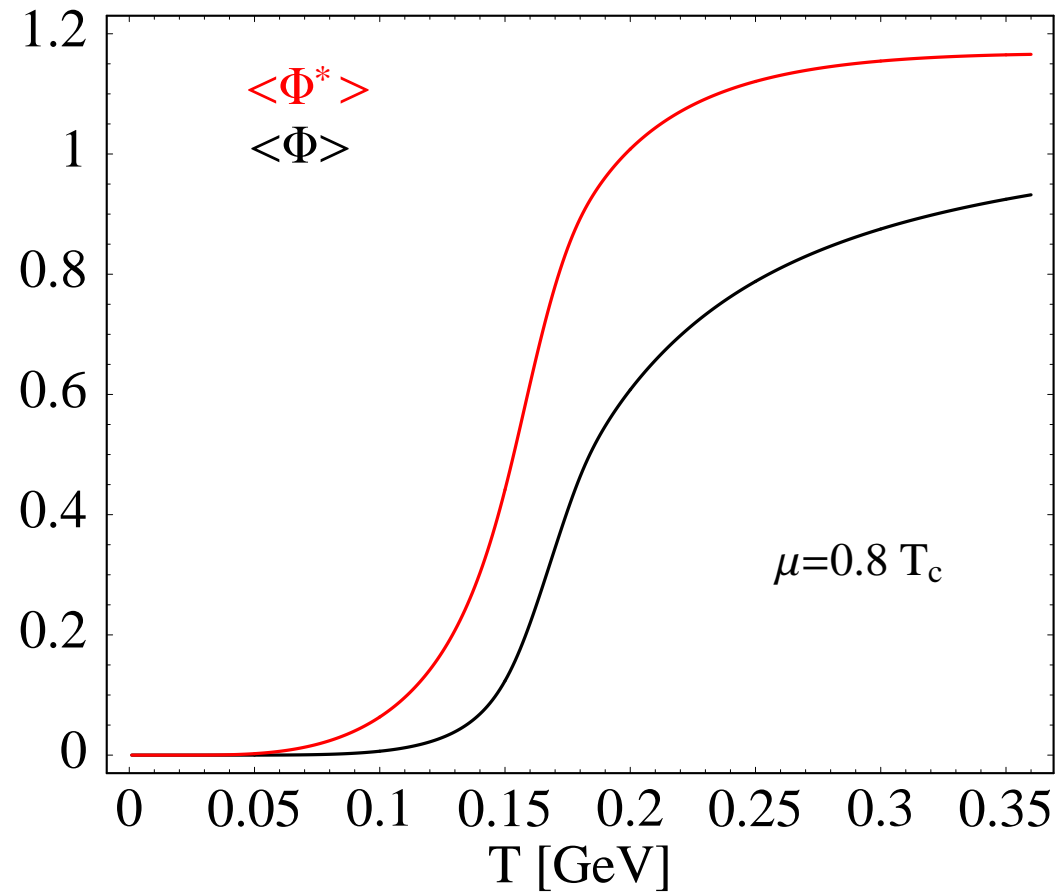
Backup slides

## Quark mass dependence



## Taylor expansion



Finite  $\mu$  results

## Fixing the parameters

❖ In the Polyakov loop potential there are **7** parameters:

→  $a_0, a_1, a_2, a_3, b_3, b_4, T_0$

but **only 3** are free

❖ There are 4 constraints:

→  $T_0$  is fixed to **270 MeV**, the known critical temperature for the pure gauge system

→ The Polyakov loop must tend to **1** as  $T \rightarrow \infty$

→  $p(T) = -V(\Phi(T), T)$  tends to the ideal gas limit as  $T \rightarrow \infty$

→ At  $T = T_0$  the absolute minimum of  $V(\Phi, T)$  must jump from  $\Phi = 0$  to a **finite  $\Phi$**

❖ The remaining three parameters are fixed to reproduce the pure gauge lattice data



## Parameter fixing

We have three free parameters in the model:  $m_0$ ,  $\Lambda$ ,  $G$ . They are fixed by:

- ❖ The pion decay constant  $f_\pi$  is evaluated in the NJL model through the following relation:

$$f_\pi^2 = 4m^2 I_\Lambda^{(1)}(m) \quad \text{where} \quad I_\Lambda^{(1)}(m) = -iN_c \int \frac{d^4p}{(2\pi)^4} \frac{\theta(\Lambda^2 - \vec{p}^2)}{(p^2 - m^2 + i\epsilon)^2}.$$

The empirical value is  $f_\pi = 92.4 \text{ MeV}$ .

- ❖ The quark condensate becomes

$$\langle \bar{\psi}_u \psi_u \rangle = -4m I_\Lambda^{(0)}(m) \quad \text{with} \quad I_\Lambda^{(0)}(m) = iN_c \int \frac{d^4p}{(2\pi)^4} \frac{\theta(\Lambda^2 - \vec{p}^2)}{p^2 - m^2 + i\epsilon}.$$

Its “empirical” value derived from QCD sum rules is

$$\langle \bar{\psi}_u \psi_u \rangle^{1/3} \simeq \langle \bar{\psi}_d \psi_d \rangle^{1/3} = -(240 \pm 20) \text{ MeV}.$$

- ❖ The current quark mass  $m_0$  is fixed from the Gell-Mann, Oakes, Renner (GMOR) relation:

$$m_\pi^2 = \frac{-m_0 \langle \bar{\psi} \psi \rangle}{f_\pi^2}.$$

## Thermodynamic potential

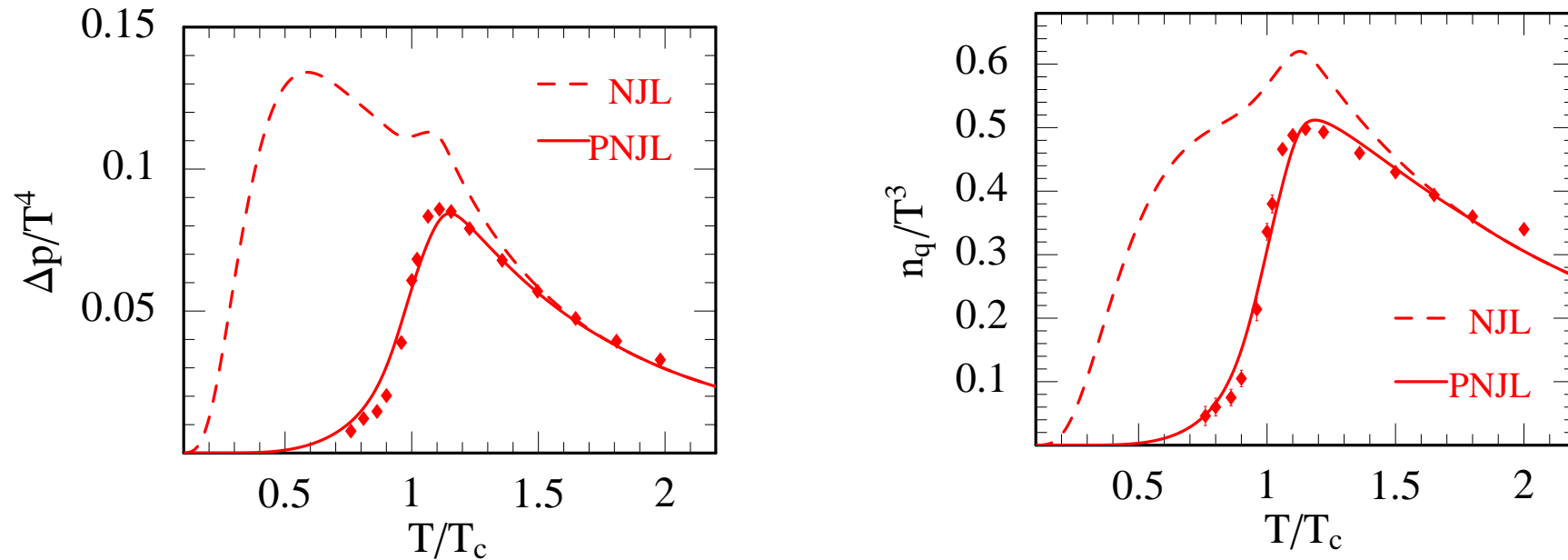
The final form of the thermodynamic potential is

$$\Omega(T, \mu, \sigma, \Phi, \Phi^*) = V(\Phi, \Phi^*, T) + \frac{\sigma^2}{2G} - 2N_c N_f \int \frac{d^3p}{(2\pi)^3} \left\{ E_p + \frac{T}{3} \left[ \ln \left[ 1 + 3 \left( \Phi + \Phi^* e^{-(E_p - \mu)/T} \right) e^{-(E_p - \mu)/T} + e^{-3(E_p - \mu)/T} \right] + \ln \left[ 1 + 3 \left( \Phi^* + \Phi e^{-(E_p + \mu)/T} \right) e^{-(E_p + \mu)/T} + e^{-3(E_p + \mu)/T} \right] \right] \right\}$$

with  $E_p = \sqrt{p^2 + m^2} = \sqrt{p^2 + (m_0 - \sigma)^2}$ .

Field equations:

$$\frac{\partial \Omega(T, \mu, \sigma, \Phi, \Phi^*)}{\partial \sigma} = 0, \quad \frac{\partial \Omega(T, \mu, \sigma, \Phi, \Phi^*)}{\partial \Phi} = 0, \quad \frac{\partial \Omega(T, \mu, \sigma, \Phi, \Phi^*)}{\partial \Phi^*} = 0$$

Finite  $\mu$  results in the standard NJL model

- ❖ Comparison between PNJL and standard NJL model results at finite  $\mu$
- ❖ NJL model alone fails in reproducing lattice data
- ❖ Incorporation of confinement **crucial** to reproduce lattice results

$$N_f = 2$$

## Parameters

$\Lambda$ [GeV]	0.651
$G$ [GeV <sup>-2</sup> ]	10.078
$m_0$ [MeV]	5.5

## Physical quantities

$f_\pi$ [MeV]	92.4
$ \langle \bar{\psi}\psi \rangle ^{1/3}$ [MeV]	247
$m_\pi$ [MeV]	139.3

$$N_f = 2 + 1$$

## Parameters

$\Lambda$ [GeV]	0.6023
$G\Lambda^2$	3.67
$K\Lambda^5$	24.72
$m_{0u,d}$ [MeV]	5.5
$m_{0s}$ [MeV]	140.7

## Physical quantities

$f_\pi$ [MeV]	92.4
$ \langle \bar{\psi}\psi \rangle_{u,d} ^{1/3}$ [MeV]	241.9
$ \langle \bar{\psi}\psi \rangle_s ^{1/3}$ [MeV]	257.7
$m_\pi$ [MeV]	139.3
$m_K$ [MeV]	497.7