

Statistical uncertainties on the NN interaction and light nuclei

FAIRNESS2016 Workshop

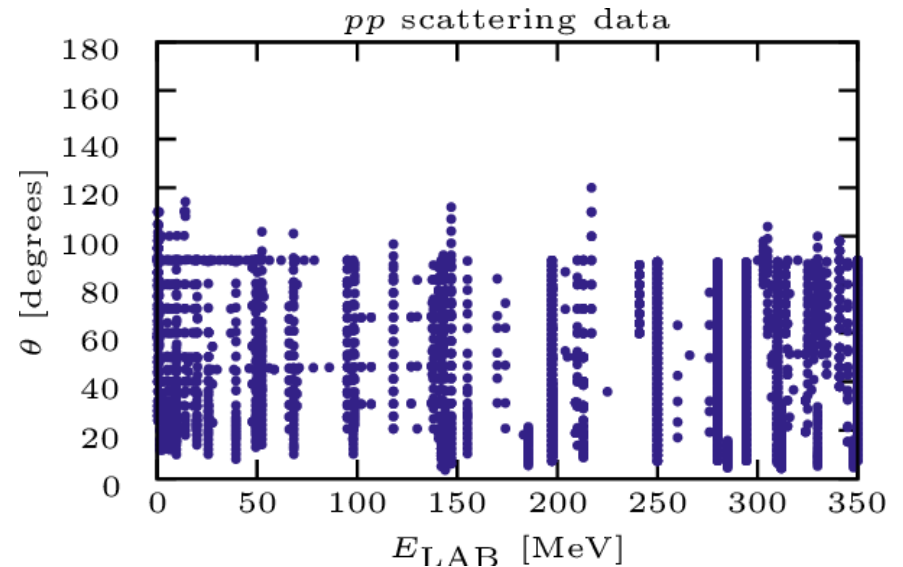
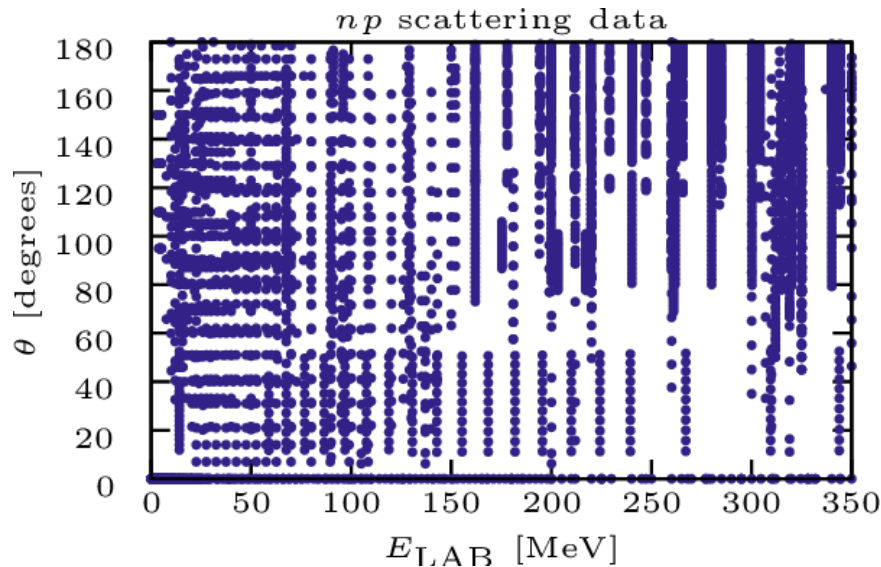
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Enrique Ruiz Arriola (UGR)

Garmisch-Partenkirchen, February 14th-19th 2016



Motivation

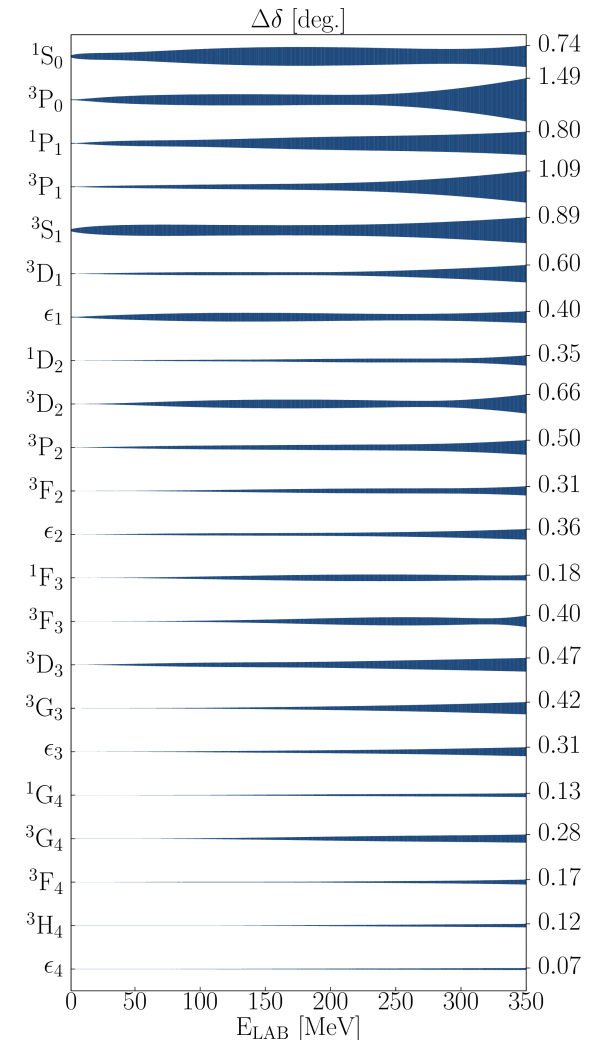
- More than 7800 elastic scattering data since the 1950's
- Several potentials and PWA
 - Hamada Johnston, Yale, Paris, Bonn, Nijmegen, Argonne V18, ...
- $\chi^2/\text{d.o.f.} \approx 1$ possible by 1993



Motivation

The NN interaction has no unique representation

- Different phenomenological potentials
 - Fitted to experimental scattering data
 - High accuracy $\chi^2/\text{d.o.f.} \approx 1$
 - Dispersion in Phaseshifts
 - OPE for the long range interaction
 - ~ 40 parameters for the short and intermediate range
- Repulsive core in most of them
 - Complicates nuclear structure
- Statistical uncertainties are recent



Motivation

Chiral Potentials

- pp PWA by the Nijmegen group
[Rentmeester et al, Phys. Rev. Lett. 82 (1999), 4992]
 - Improvement in the χ^2 value compared to OPE only
- Accurate N3LO chiral potential up to 290 MeV
[Entem & Machleidt, Phys. Rev. C68 (2003), 041001]
- Optimized NNLO (sim, sat)
[Carlson et al, arXiv:1506.02466]
- TPE including Δ resonances up to 300 MeV
[Piarulli et al, Phys. Rev. C91 (2015) 024003]

Reproduction of statistical uncertainties is still in progress

Motivation

Sources of uncertainty

- Numerical (Implementation)
 - Inexact solution method
 - Inherent to any numerical calculation
- Systematic (Model dependence)
 - Any model makes assumptions
 - Different representations for the NN interaction
- Statistical (Fitting bias)
 - Statistical fluctuations in any measurement
 - Uncertainty in data → Uncertainty in parameters

Assuming independence among them

$$(\Delta F)^2 = (\Delta F^{\text{num}})^2 + (\Delta F^{\text{sys}})^2 + (\Delta F^{\text{stat}})^2$$

Anatomy of phenomenological potentials

fitted to the Granada database

Short and Intermediate range

- Delta Shells
 - Coarse grained
 - Simplified calculations
 - High momentum components
- Sum of Gaussian functions
 - Smooth and soft
 - Nuclear structure calculations
 - Not as fast

Long range

- Electromagnetic contributions
 - Small but crucial
- One pion exchange
 - Proper analytic behavior
- Optional
 - Two pion exchange
 - Δ degree of freedom
 - Born approximation

Six different phenomenological potentials

Granada database

Search

Search NN provider Start

Channel: pp

Observable: all

Energy (MeV): 0 < E < 350

Write to file: ppdata.txt

Output format: separate data

Order by: energy

Include star (*) data

Include excluded data

- NN scattering data from 1950 to 2013

- <http://nn-online.org/>
- <http://gwdac.phys.gwu.edu/>
- NN Provider for Android

- Google play store

[Amaro, RNP, Ruiz-Arriola]

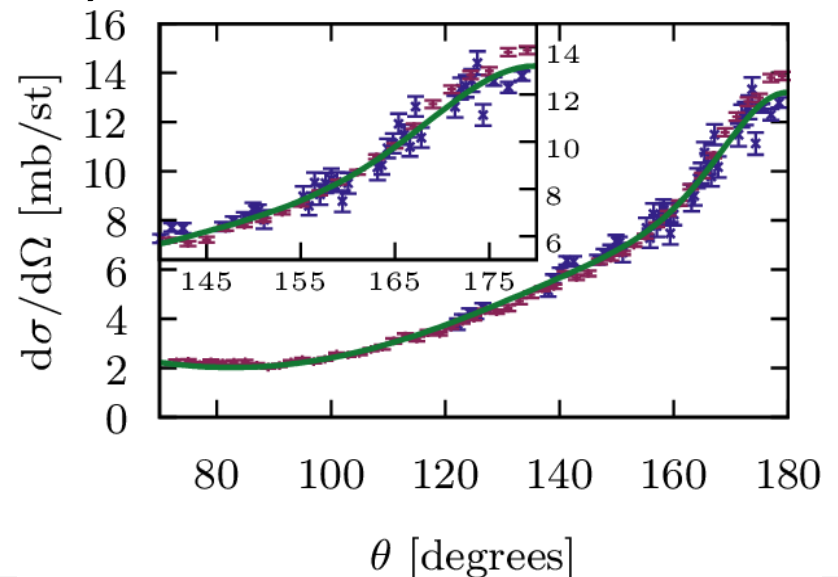
- <http://www.ugr.es/~amaro/nndatabase/>

- 2868 pp and 4991 np data

Fitting NN scattering observables

Selection of data

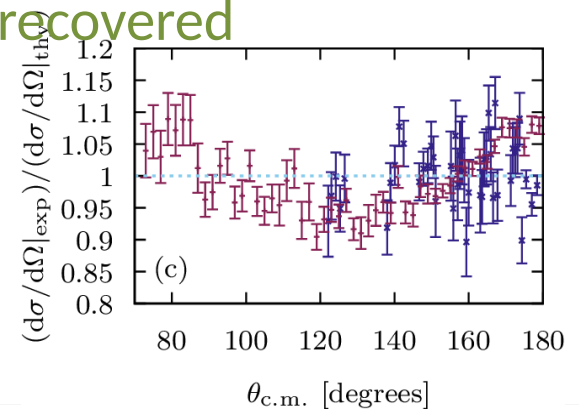
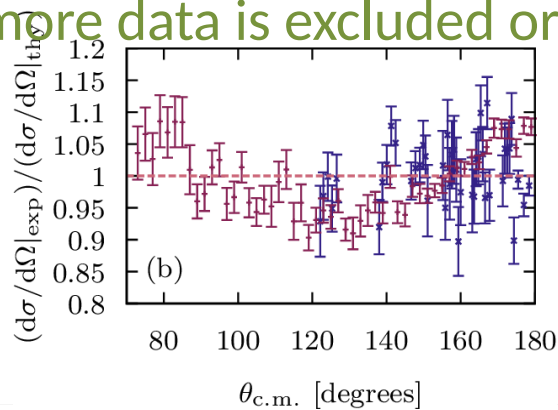
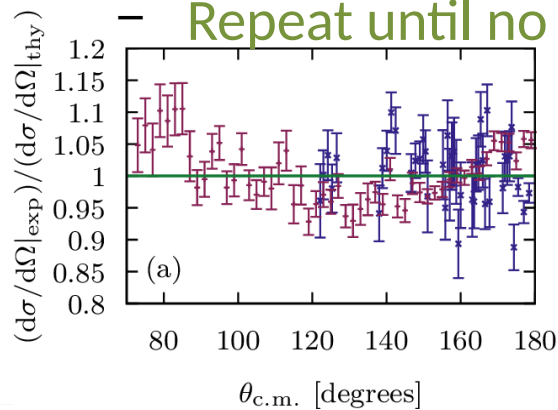
- Direct fits to all data **NEVER** give $\chi^2/\text{d.o.f.} \approx 1$
 - Restrictive model ? \rightarrow Improve model
 - Mutually incompatible data \rightarrow Reject incompatible data
- np $d\sigma/d\Omega$ at 162 MeV
- Statistical and systematic errors may be over or underestimated
- 3σ criterion
 - Fit all data ($\chi^2/\text{d.o.f.} > 1$)
 - Remove sets with improbably high or low χ^2
 - Refit parameters



Fitting NN scattering observables

Recovering data

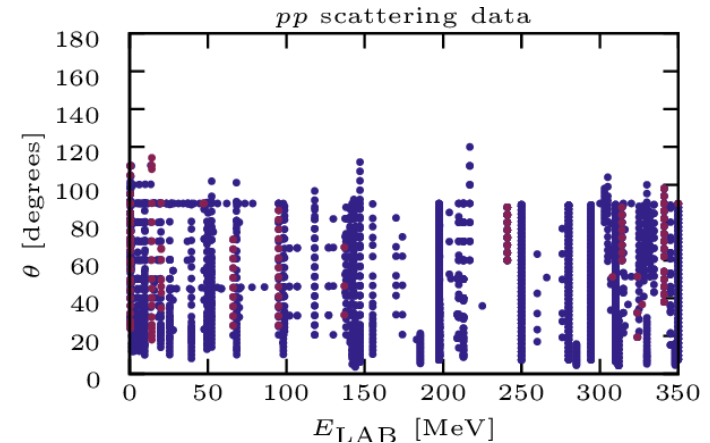
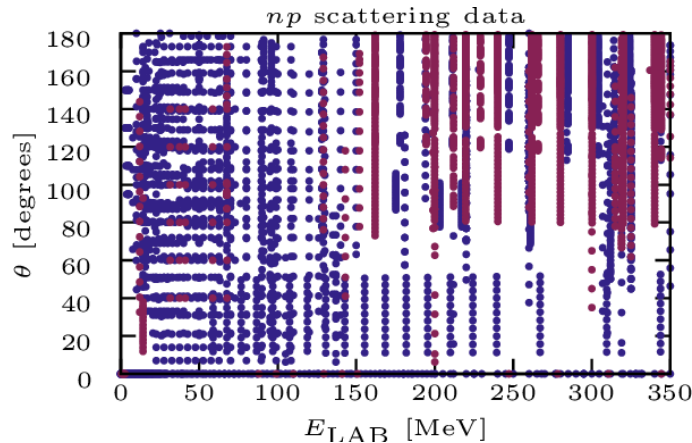
- Mutually incompatible data
 - Which experiment is correct?
 - Is any of the two correct?
 - Maximization of experimental consensus
- Exclude data sets inconsistent with the rest of the database
 - Fit to all data ($\chi^2/\text{d.o.f.} > 1$)
 - Remove data sets with improbably high or low χ^2 (3σ criterion)
 - Refit parameters
 - Re-apply 3σ criterion to all data
 - Repeat until no more data is excluded or recovered



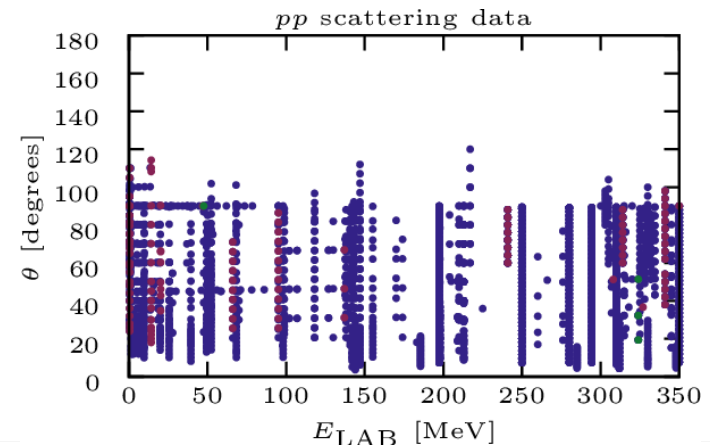
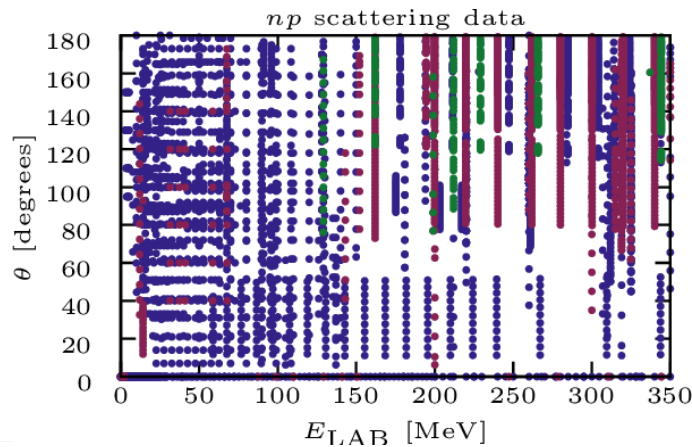
Fitting NN scattering observables

Recovering data

Usual Nijmegen 3σ criterion (**1677 rejected data**)

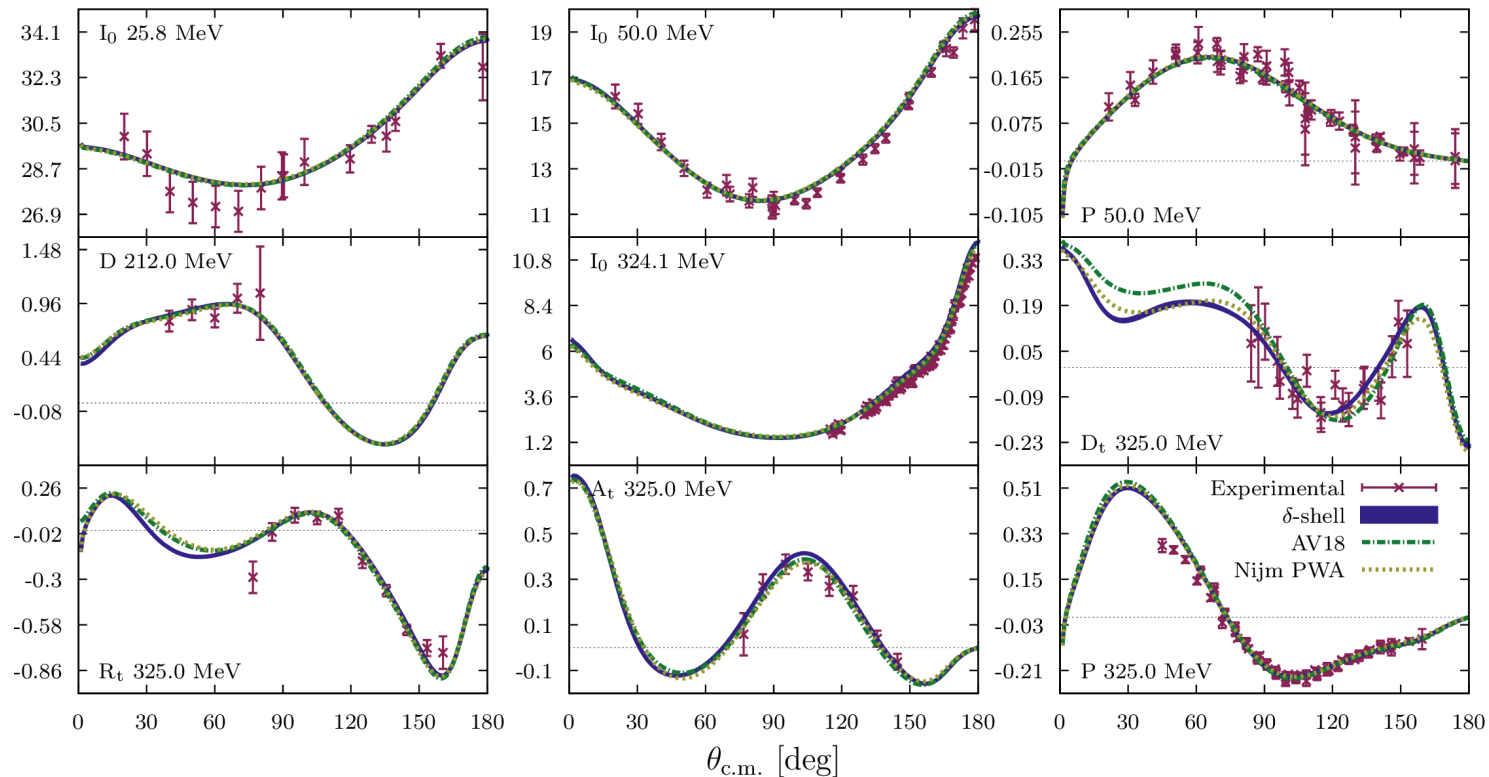


300 recovered data with Granada procedure (**consistent database**)



Fitting NN scattering observables

Comparing with Potentials and Experimental data



$$\chi^2/\text{d.o.f.} = 1.06 \text{ with } N = 2747|_{pp} + 3691|_{np}$$

[RNP, Amaro & Ruiz-Arriola. Phys.Rev.C88 (2013) 024002]

Chiral Two Pion Exchange

- Can χ TPE interaction describe the same data
 - OPE, TPE(NLO) and TPE(NNLO)
 - Different cut radiuses $r_c = 3.0, 2.4, 1.8$ fm
- Fitting the **consistent database**
 - No further data is excluded or added

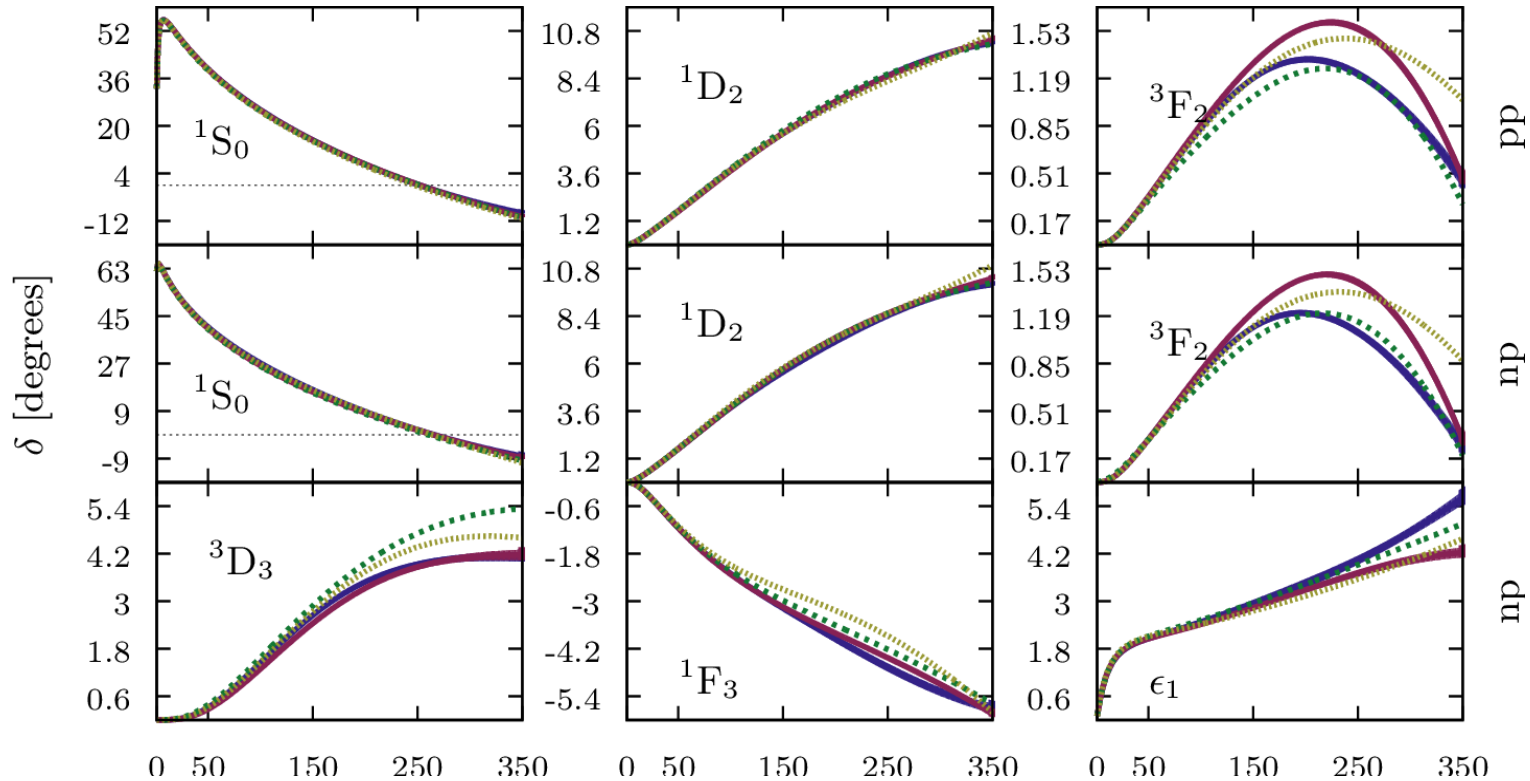
r_c [fm]	1.8 $Np - \chi^2/d.o.f.$	2.4 $Np - \chi^2/d.o.f.$	3.0 $Np - \chi^2/d.o.f.$
OPE	31 - 1.37	39 - 1.09	46 - 1.06
TPE (NLO)	31 - 1.26	38 - 1.08	46 - 1.06
TPE (NNLO)	30+3 - 1.10	38+3 - 1.08	46+3 - 1.06

[RNP, Amaro & Ruiz Arriola. Phys.Rev.C89 (2014) 024004]

Chiral Two Pion Exchange

Phase-shifts

- Comparison of OPE and χ TPE

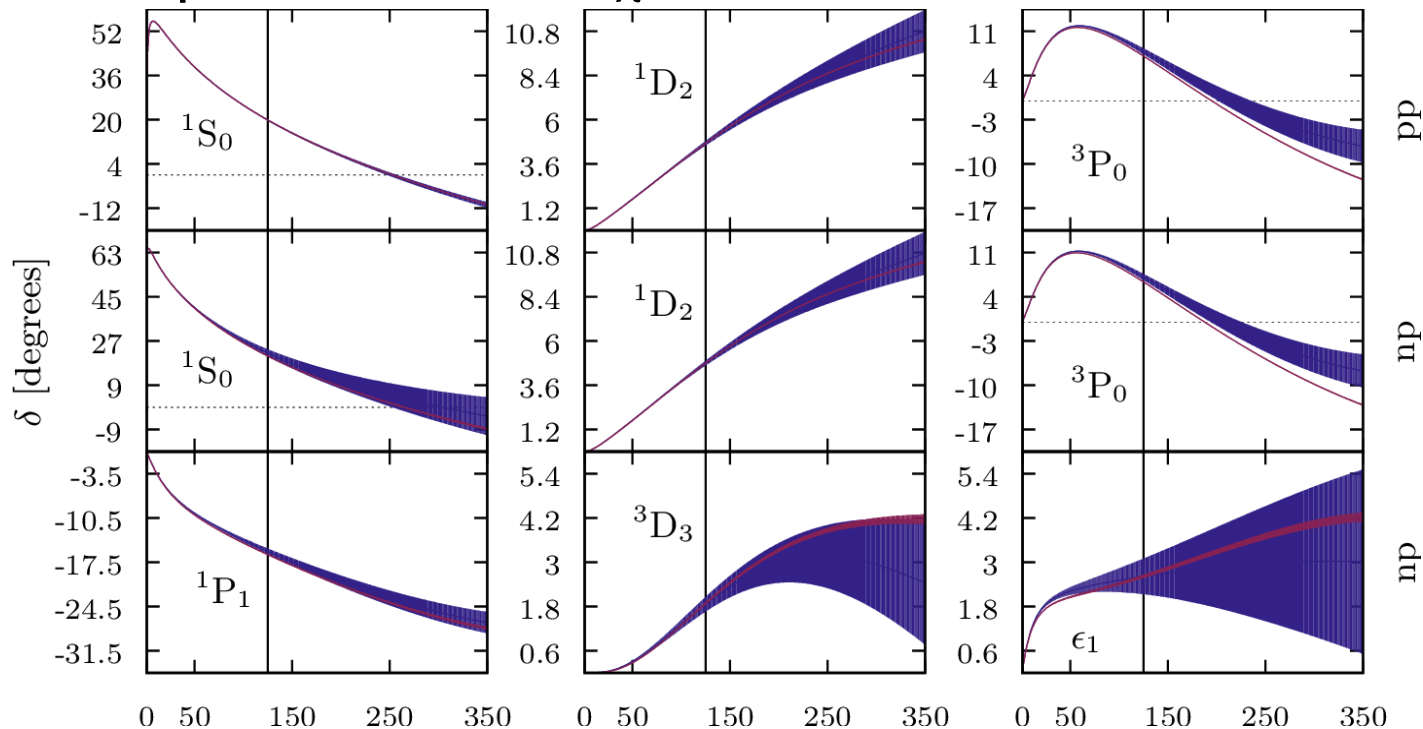


Discrepancies in phase-shifts account for systematic uncertainties

Chiral Two Pion Exchange

Phase-shifts

- Lowering the Energy fitting range from 350 to 125 MeV
 - 20+3 parameters with $\chi^2/\text{d.o.f.} = 1.02$

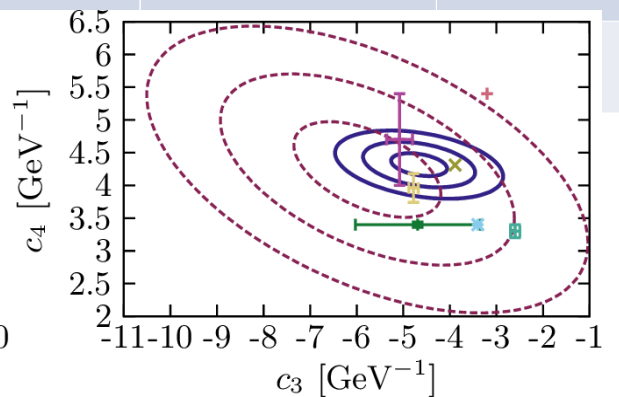
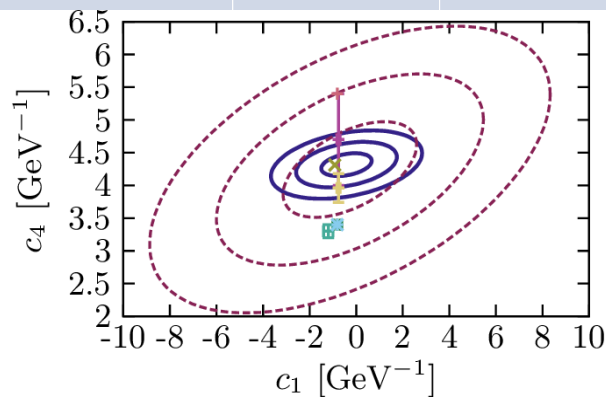
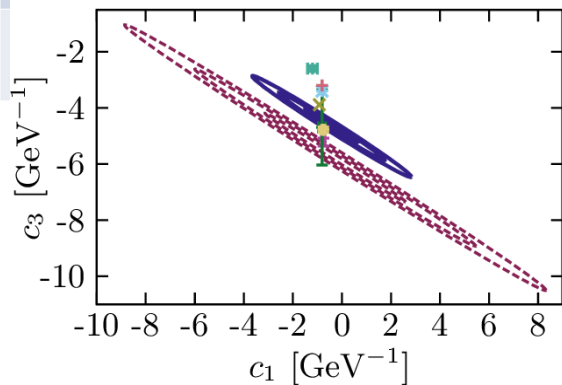


Significant increase of statistical uncertainties

[RNP, Amaro & Ruiz-Arriola Phys.Rev.C91 (2015) 054002]

Determination of Chiral LEC's

	Source	c_1 GeV ⁻¹	c_3 GeV ⁻¹	c_4 GeV ⁻¹
RNP, Amaro and Ruiz-Arriola 350	NN	-0.42±1.08	-4.66±0.60	4.32±0.17
RNP, Amaro and Ruiz-Arriola 125	NN	-0.27±2.87	-5.77±1.58	4.24±0.73
Nijmegen	pp	-0.76±0.07	-5.08±0.28	4.70±0.70
Entem & Machleidt	NN	-0.81	-3.40	3.40
Ekström et. al.	NN	-0.92	-3.89	4.31
Buetikker & Meissner	π N	-0.81±0.15	-4.69±1.34	3.40±0.04



Fitting NN scattering observables

- Different potentials fitted to the *same* database

Potential	T_{LAB}	N_{Data}	$N_{\text{parameters}}$	$\chi^2/\text{d.o.f.}$
DS - OPE	350	6713	46	1.05
DS - χ TPE	350	6712	33	1.08
DS - Δ Born	350	6719	31	1.06
Gauss - OPE	350	6712	42	1.07
Gauss - χ TPE	350	6712	31	1.09
Gauss - Δ Born	350	6712	30	1.14

[RNP, Amaro & Ruiz Arriola. ArXiv:1410.8097v3]

Predictions are different
Source of *systematic* uncertainties

Fitting NN scattering observables

Testing the normality of residuals

- Experiments by counting events → Poissonian statistics
- Large number of events → Normal statistics
- Crucial assumption

$$R_i = \frac{O_i^{\text{exp}} - O_i^{\text{theor}}(p_1, p_2, \dots, p_P)}{\Delta O_i^{\text{exp}}}$$

follows the standard normal distribution

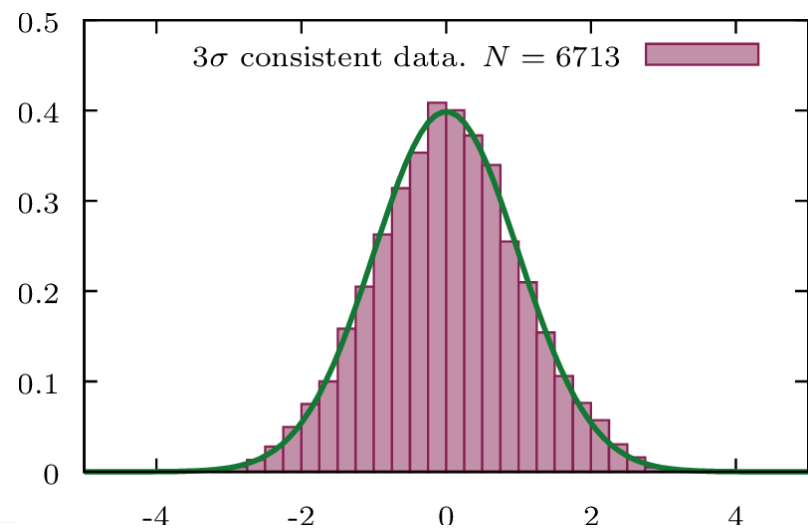
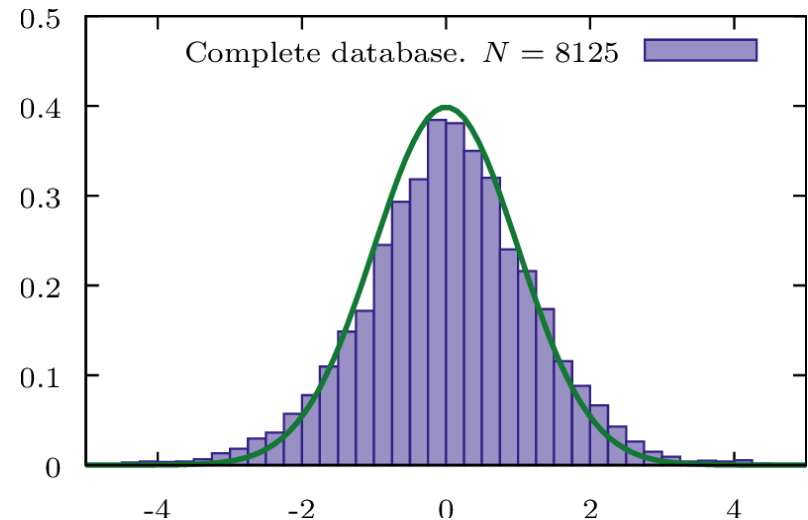
- $\chi^2/\text{d.o.f.} = 1 \pm (2/\text{d.o.f.})^{1/2}$
- Can be different from $N(0,1)$, but it has to be known

Can only be checked *a posteriori*

Fitting NN scattering observables

Testing the normality of residuals

- Empirical distribution P_{emp}
- Normal distribution $N(0,1)$
- Finite size fluctuations
- Discrepancies between P_{emp} and $N(0,1)$
- How large is too large?
- Normality tests
 - Quantifying discrepancies
 - Test statistic T
 - Critical values



Fitting NN scattering observables

Tail Sensitive test

- Quantitative test with a graphical representation

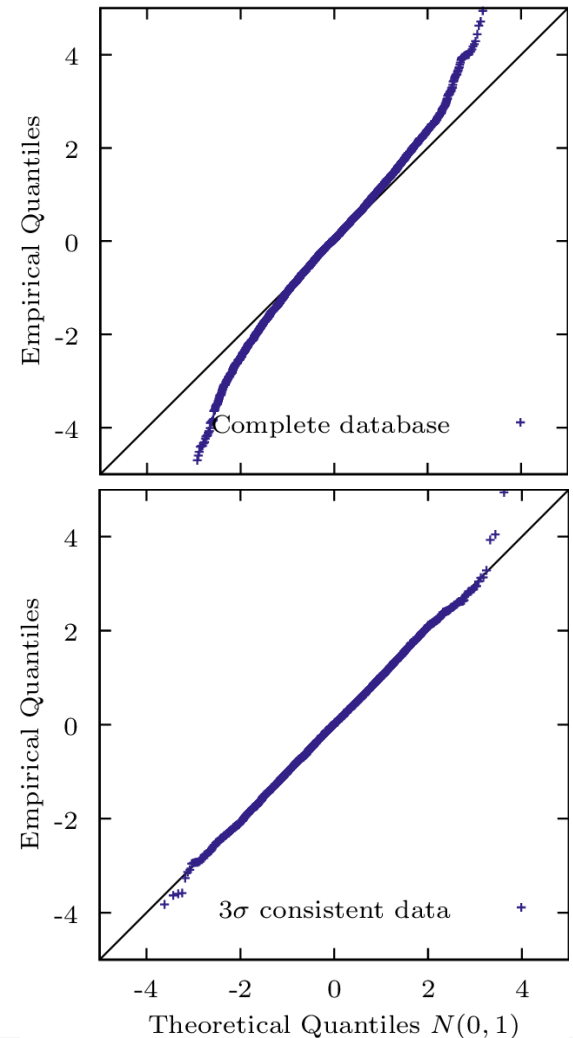
- Quantile-Quantile plot
 - Theoretical quantiles

$$\frac{i}{N+1} = \int_{-\infty}^{x_i^{th}} N(t) dt$$

- Empirical Quantiles

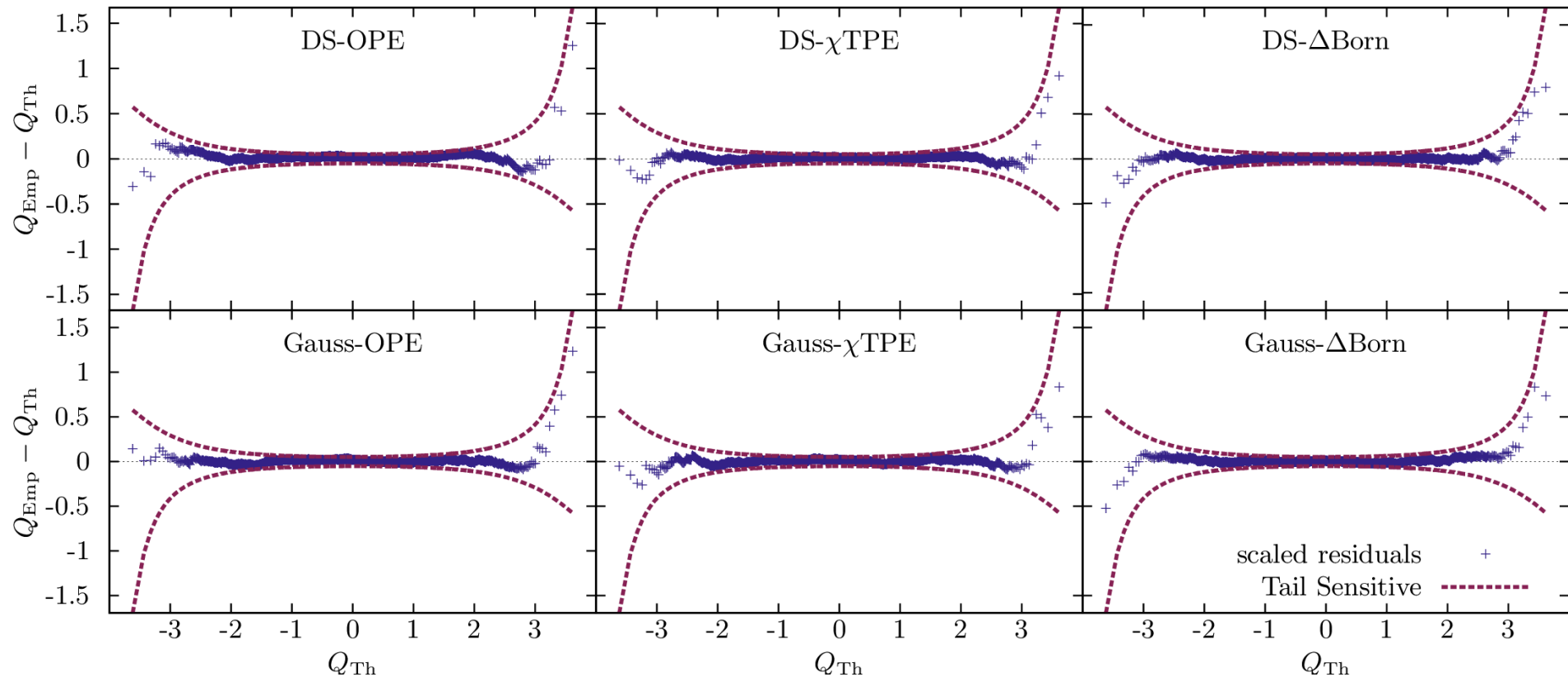
$$x_1^{emp} < x_2^{emp} < \dots < x_N^{emp}$$

- Mapping (x_i^{th}, x_i^{emp})
- $\lim_{N \rightarrow \infty} (x_i^{emp} - x_i^{th}) = 0$
- Confidence bands



Fitting NN scattering observables

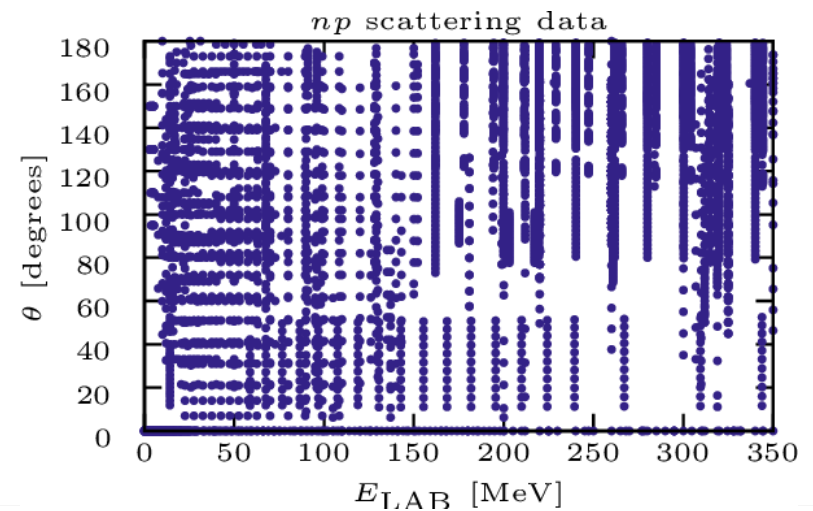
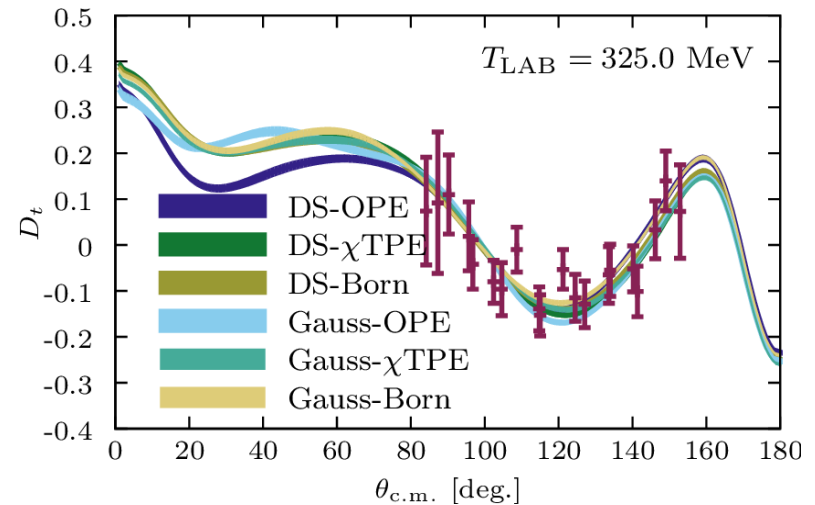
Testing the normality of residuals



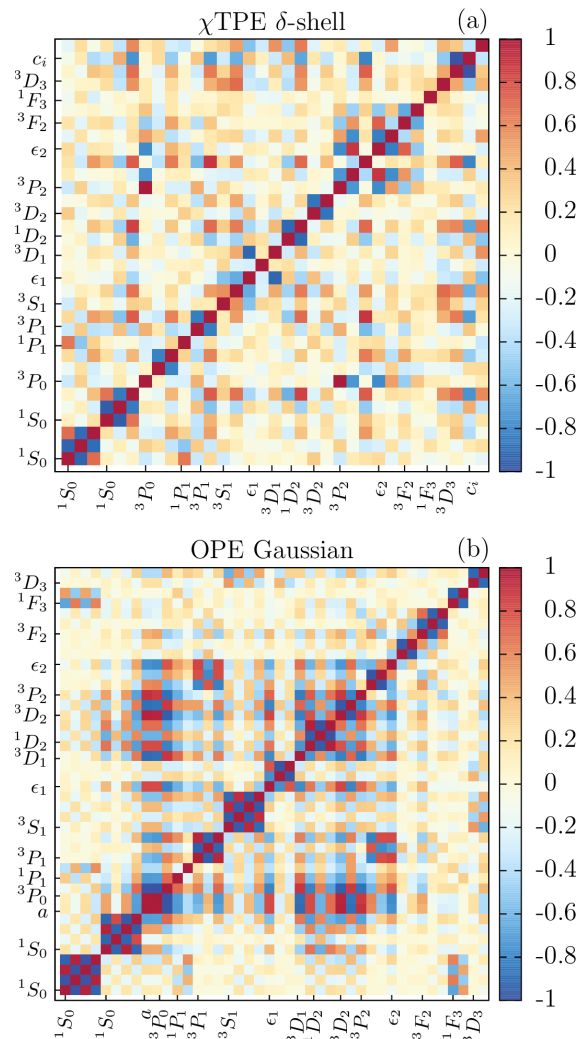
Six statistically equivalent representations of the NN interaction
Their discrepancies won't come from the data

NN Systematic Uncertainty

- Data is unevenly distributed on the $(T_{\text{LAB}}, \theta_{\text{c.m.}})$
- Same description in probed regions
- Incompatible predictions in unexplored areas
- A uniform experimental exploration is necessary but unlikely

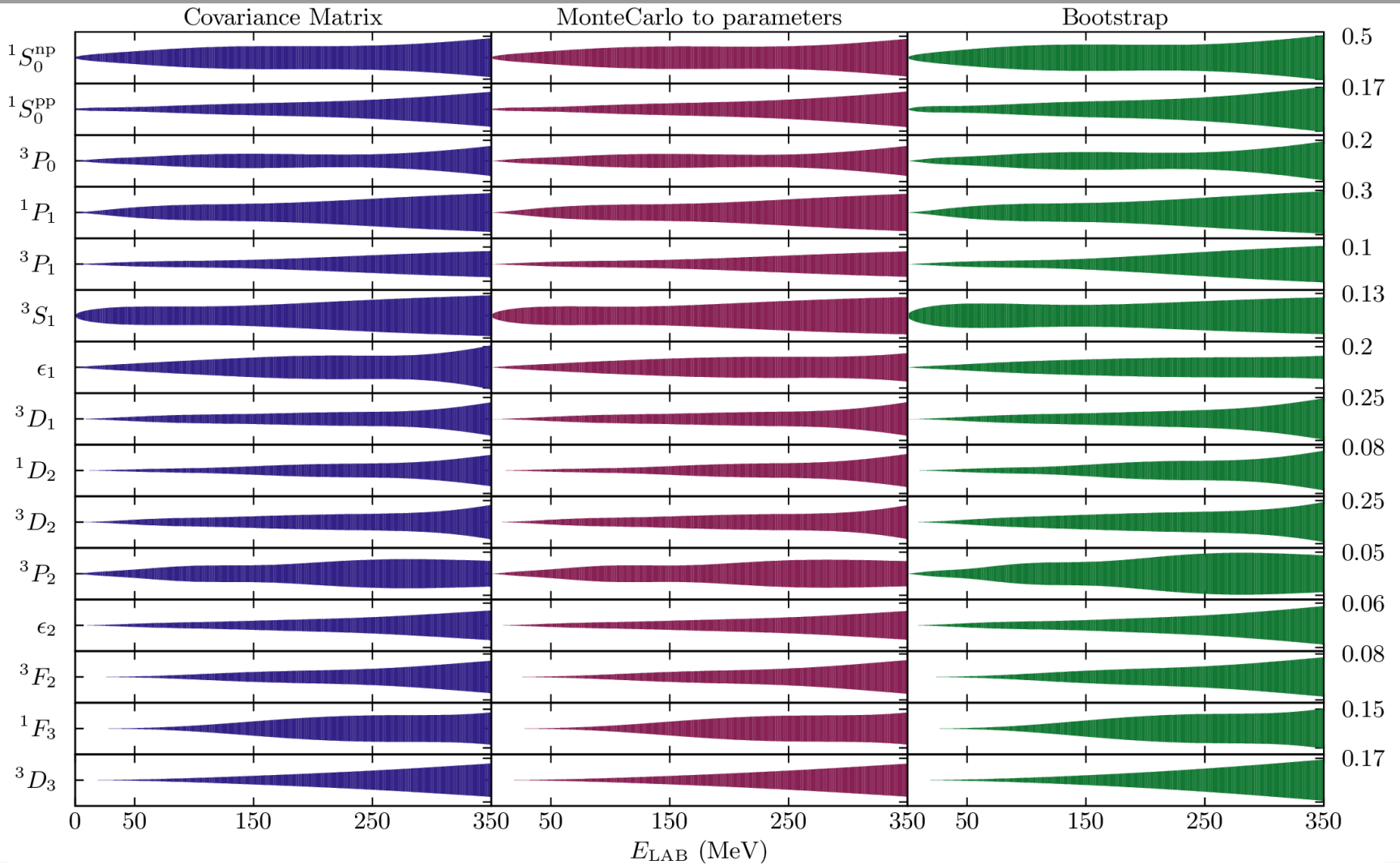


Reproducing NN uncertainties from NN data



- Propagation with covariance matrix
 - Requires to calculate derivatives
- Monte-Carlo family of potentials
- Bootstrap the data
 - Simulate data $\sim N(O_i, \Delta o_i)$
 - Refit parameters
- Replicate parameters correlations
 - Simulate parameters
 - Faster, but real distribution may differ

Reproducing NN uncertainties from NN data



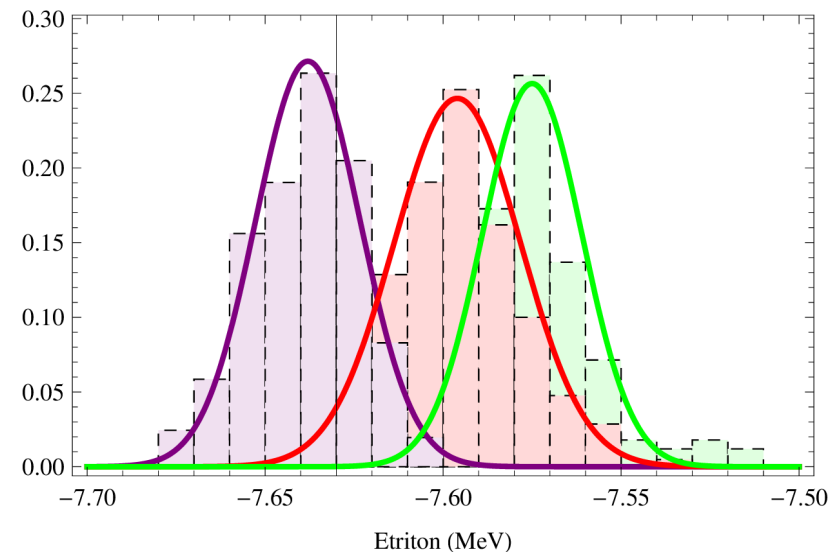
Triton Binding Energy

Hyperspherical Adiabatic Expansion Method

- Monte-Carlo simulation of $N = 250$ potentials
- Error estimates in nuclear structure calculations
- $\Delta B_t^{\text{stat}} = 15(1) \text{ KeV}$, $\Delta B_t^{\text{num}} = 1 \text{ KeV}$

[RNP, Garrido, Amaro & Ruiz-Arriola. Phys.Rev.C99 (2014) 047001]

- $N \sim 30$ gives a fairly good estimate
- Reduction of target accuracy is possible
- ΔB_t^{sys} is even larger

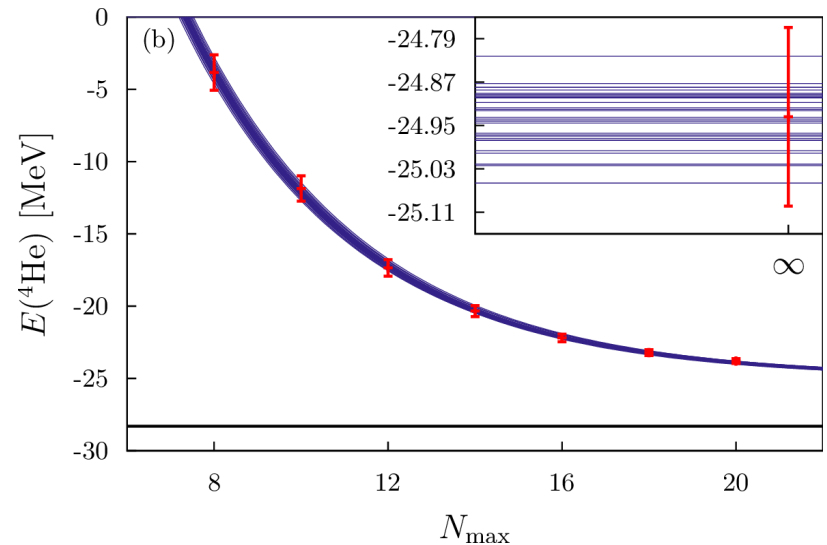
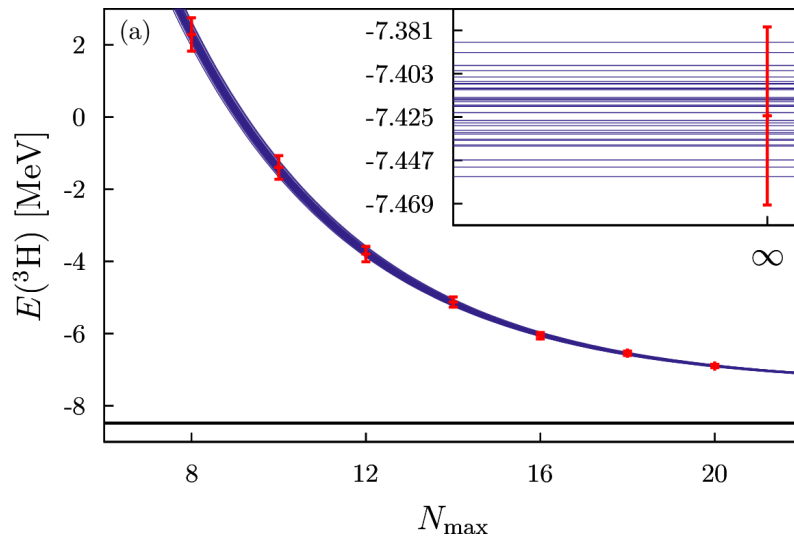


^3H and ^4He Binding Energy

No Core Full Configuration Method

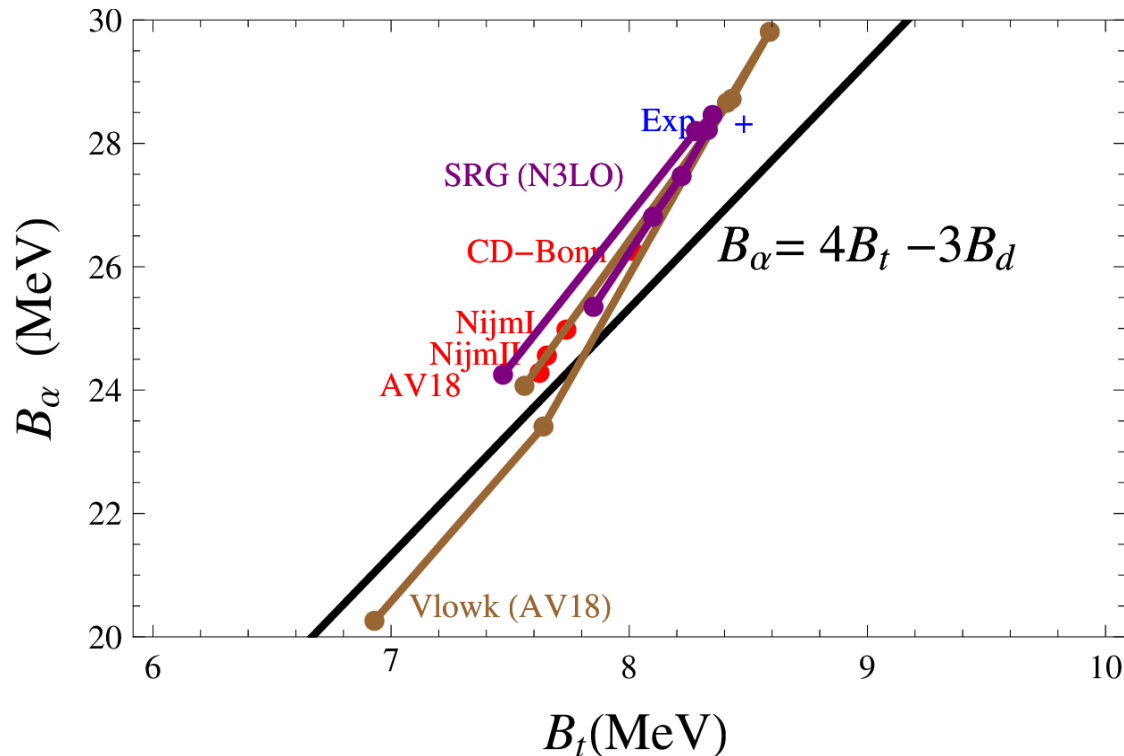
- Sum of Gaussians potential
- 33 Monte-Carlo potentials
- $\Delta(^3\text{H})_t^{\text{stat}} = 15 \text{ KeV}$, $\Delta(^4\text{He})_t^{\text{stat}} = 55 \text{ KeV}$

[RNP, Amaro, Ruiz-Arriola, Maris & Vary. Phys.Rev.C92 (2015) 064003]



Tjon Line correlation

- Empirical correlation between binding energy calculations



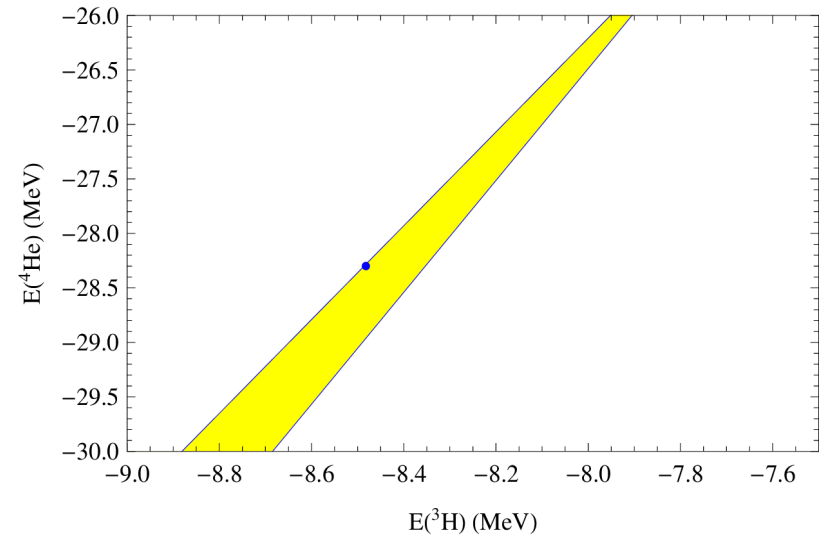
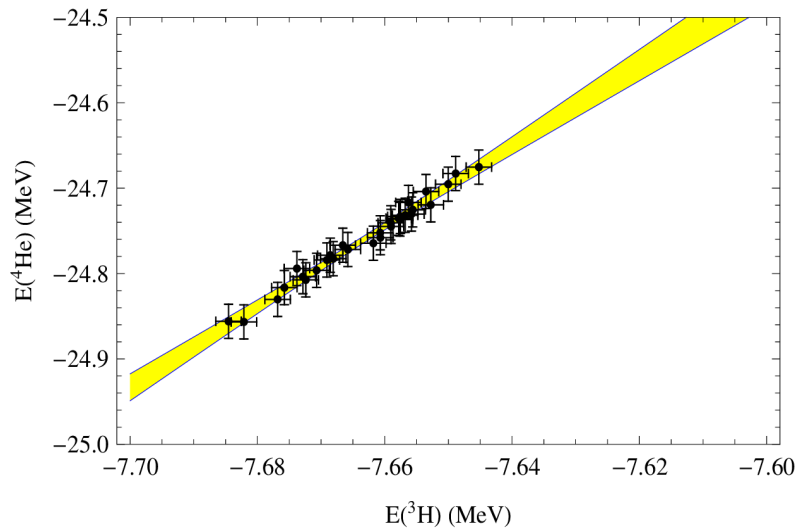
Similarity Renormalization Group: $B_\alpha = 4B_t + 3B_d$

[Ruiz-Arriola, Szpiegel & Timoteo. Few Body Syst. 55 (2014) 971-975]

Tjon Line Correlation

Numerical accuracy.

- Preliminary Results
- $\Delta(^3\text{H})_t^{\text{num}} = 1 \text{ KeV}$, $\Delta(^4\text{He})_t^{\text{num}} = 20 \text{ KeV}$



4-Body forces are masked by the numerical noise in 3 and 4 body calculations

Summary

- Determination of the NN interaction is not unique
- Fit to NN scattering data (on a desktop computer)
 - Good description of scattering observables (over 6400)
 - 6 different and statistically equivalent interactions
- Normality of residuals is crucial for a reliable error propagation
- Error propagation into bound states
 - Monte Carlo Simulation
 - 3H: $\Delta B^{stat} = 15$ KeV
 - 4He: $\Delta B^{stat} = 55$ KeV

Numerical accuracy in nuclear structure can be tailored to statistical and systematic uncertainties

