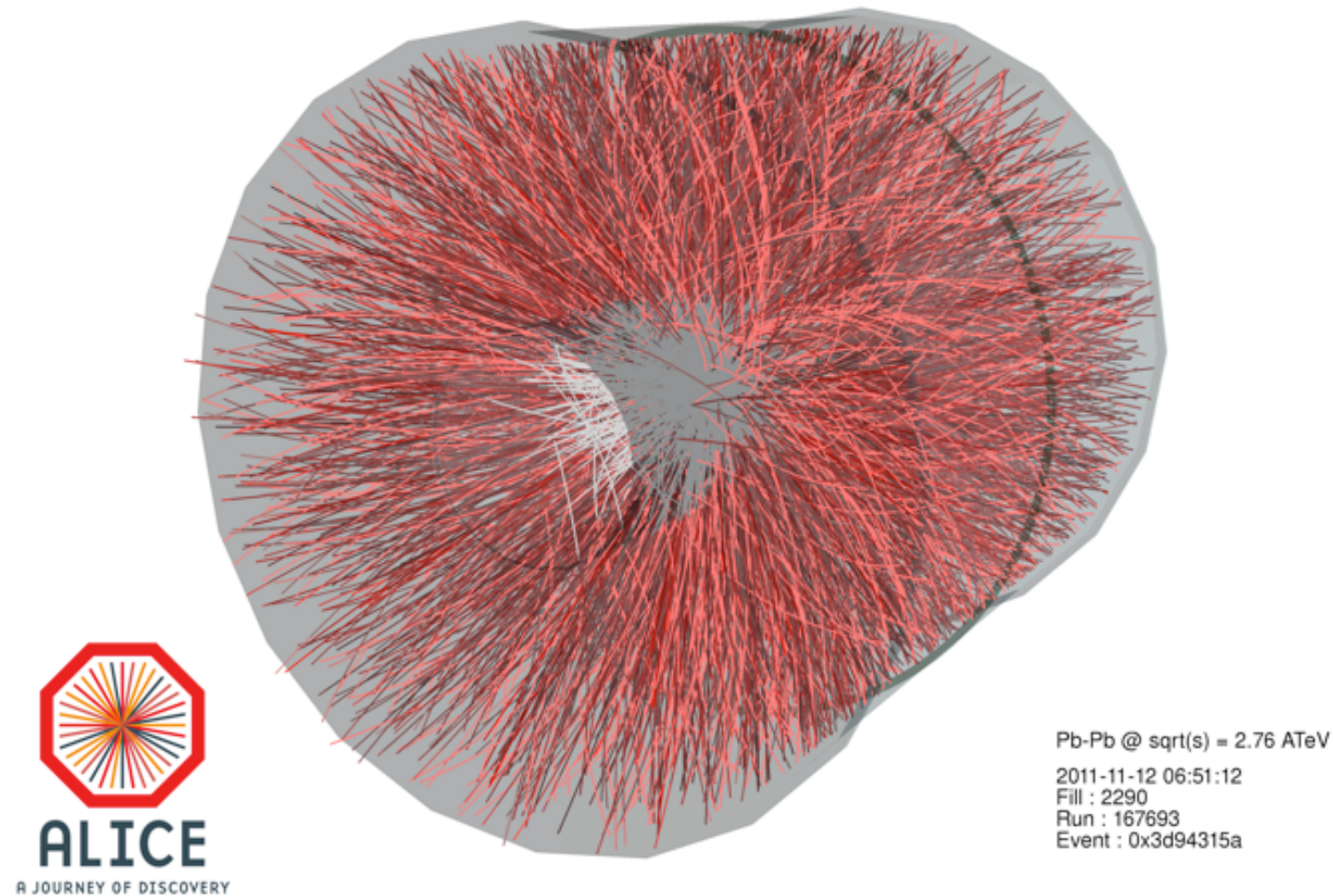


# Measurement of event-by-event fluctuations and chemical freeze-out conditions at LHC energies

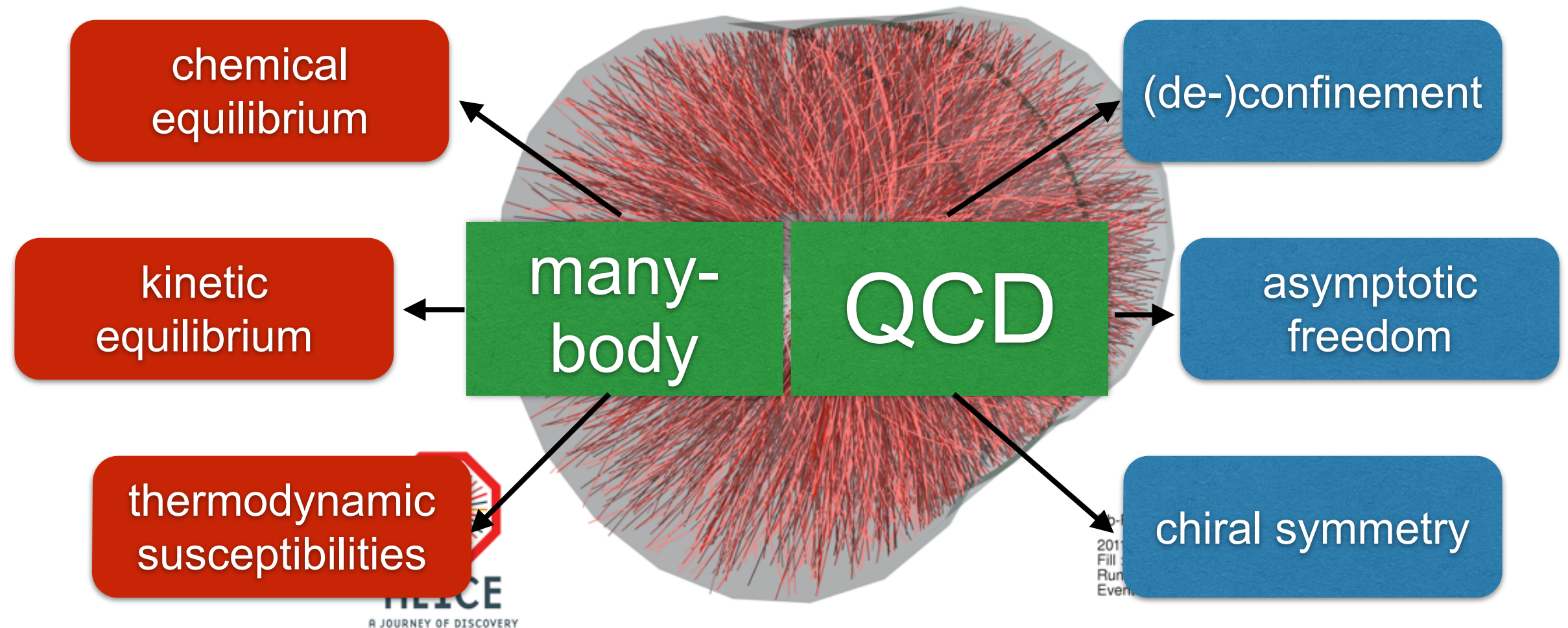
A. Kalweit, *CERN*

# Many-body QCD and light flavor hadron production



central (0-5%) Pb-Pb collisions (LHC):  $dN_{ch}/d\eta \approx 1600$

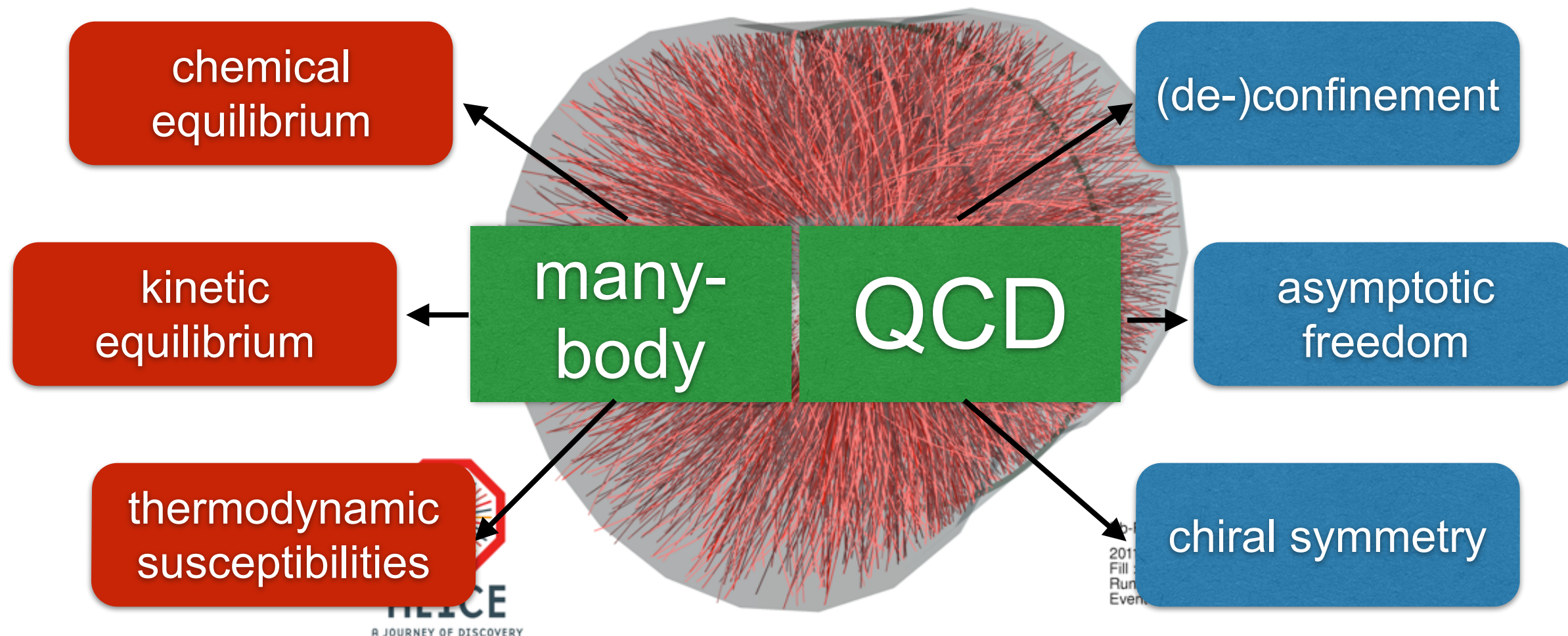
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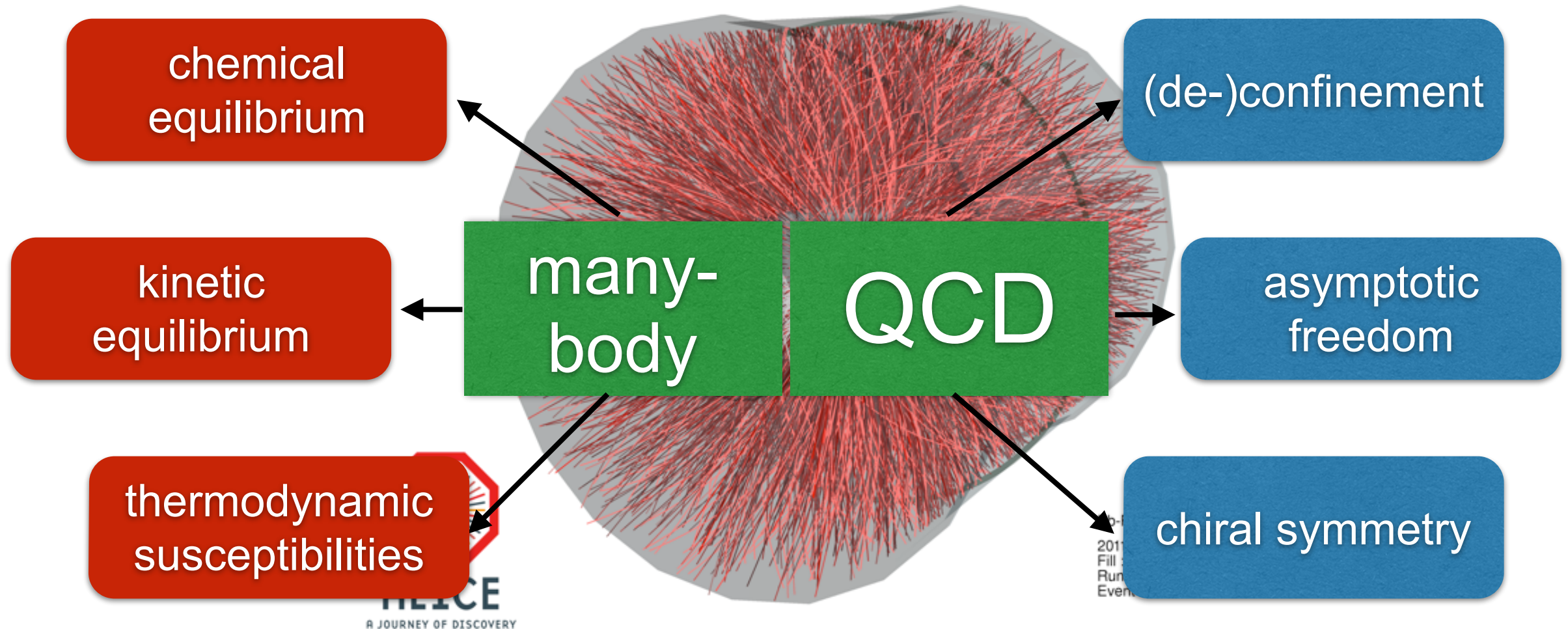


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Light flavor hadrons (u,d,s valence quarks) are produced in apparent chemical ( $T_{chem} \approx 156$  MeV) and kinetic equilibrium ( $T_{kin} \approx 100$  MeV).



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98% of all particles are produced with  $p_T < 2$  GeV/c  $\rightarrow$  thermal particle production in a non-perturbative regime  
 $\Rightarrow$  **thermodynamics**  
 $\Rightarrow$  **LATTICE QCD** calculations

# Introduction

- Measurement of the production *yields* of identified particles and chemical freeze-out conditions:
  - Hadron resonance gas approach in thermal-statistical *models*
  - Reducing the model dependence and towards ab initio approaches
- Measurement of event-by-event *fluctuations* of conserved quantities:
  - net-charge fluctuations
  - plans for future measurements
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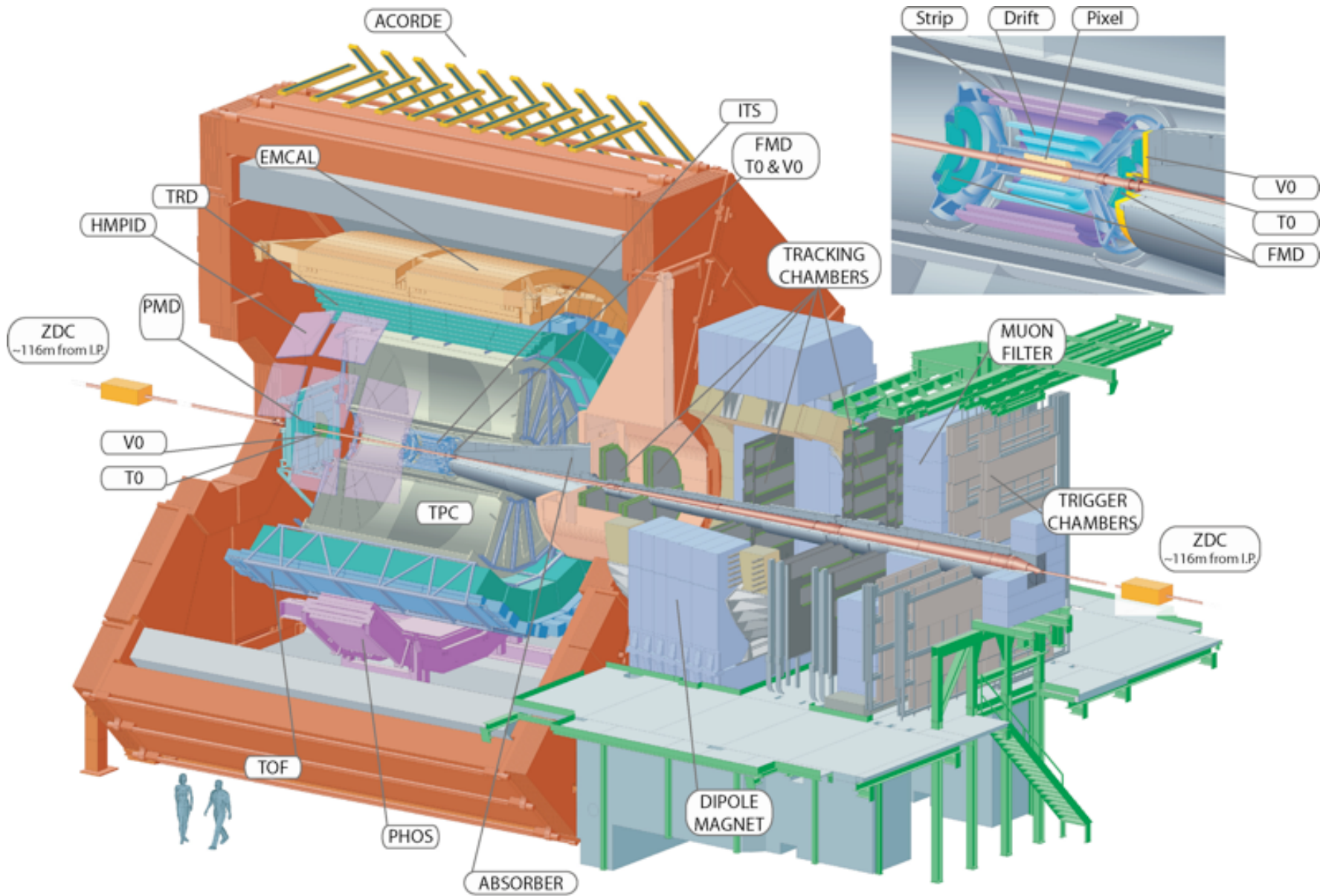
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Very sensitive to critical behavior.

Still a lot of work to do at LHC energies.. Many questions are still open.

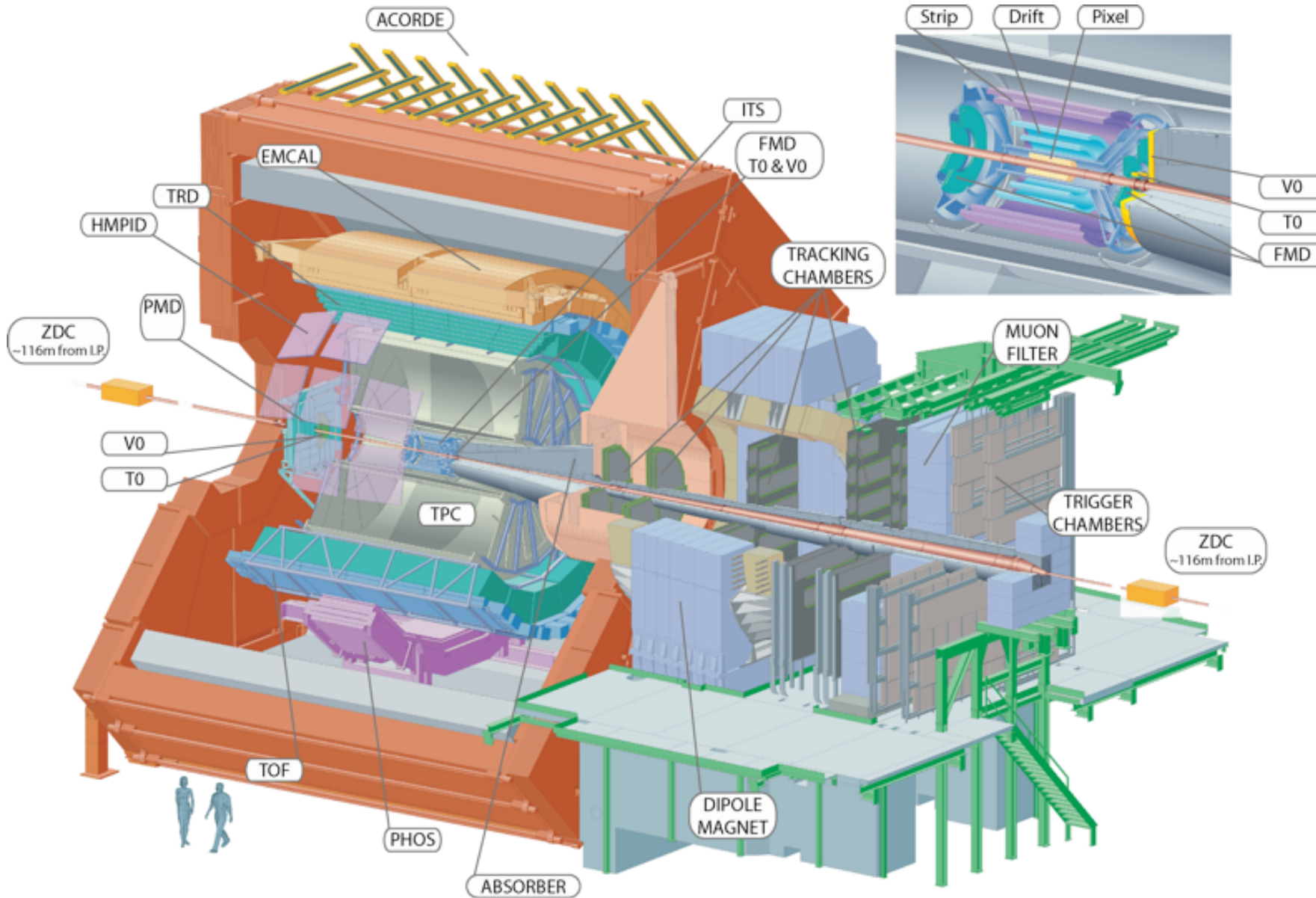


# The ALICE detector



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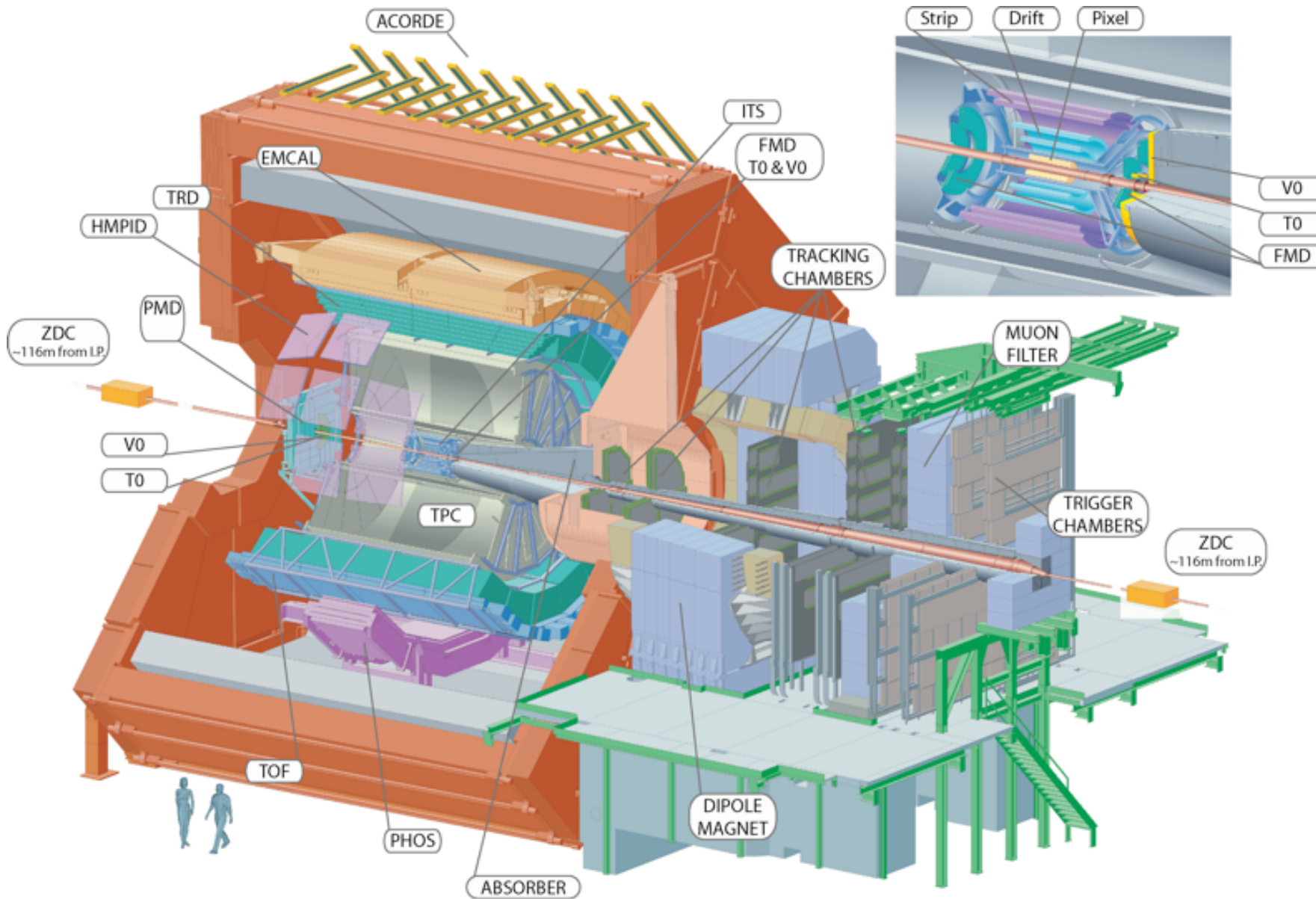
**ITS+TPC+TRD:** excellent track reconstruction capabilities in a high track density environment.



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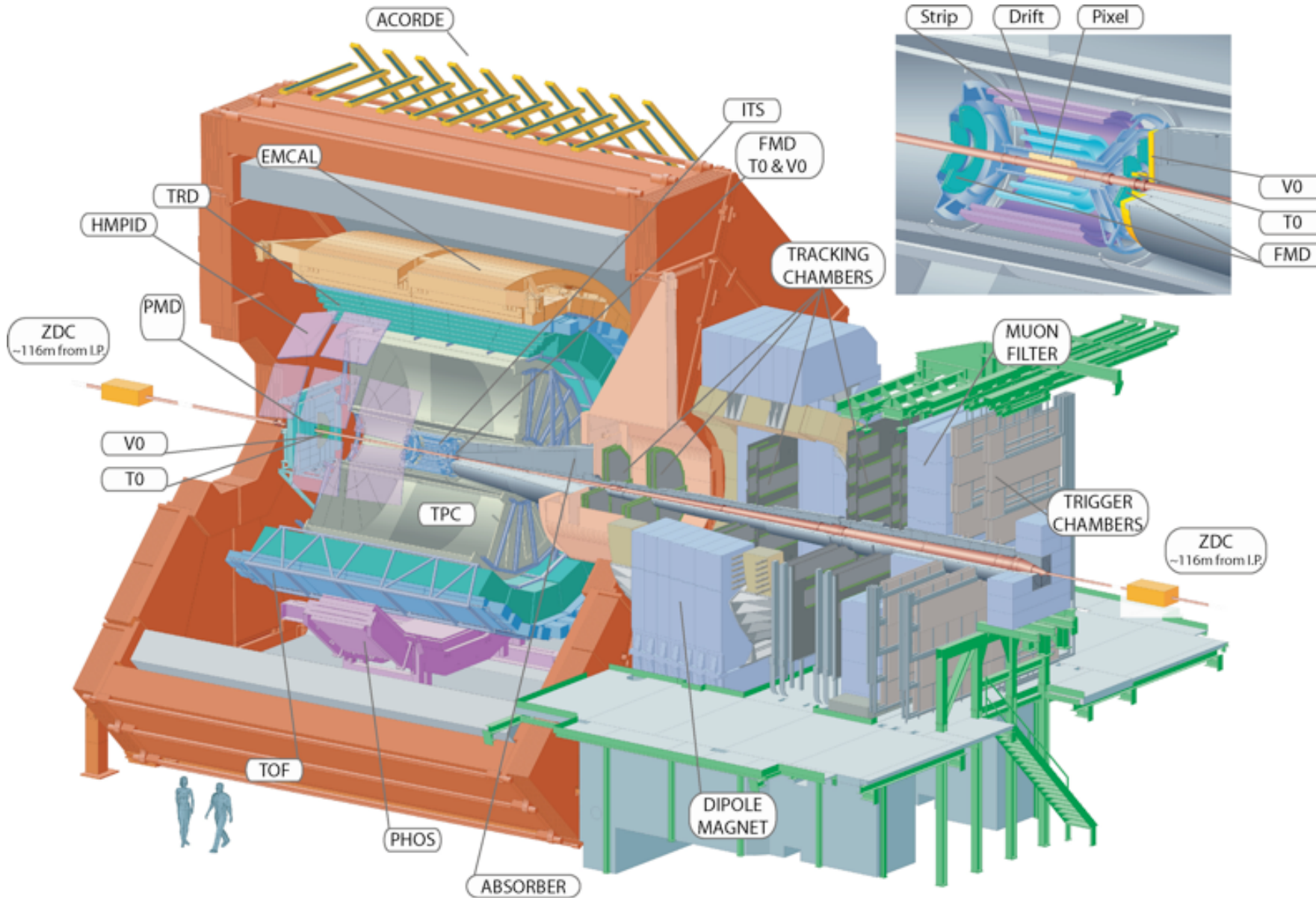
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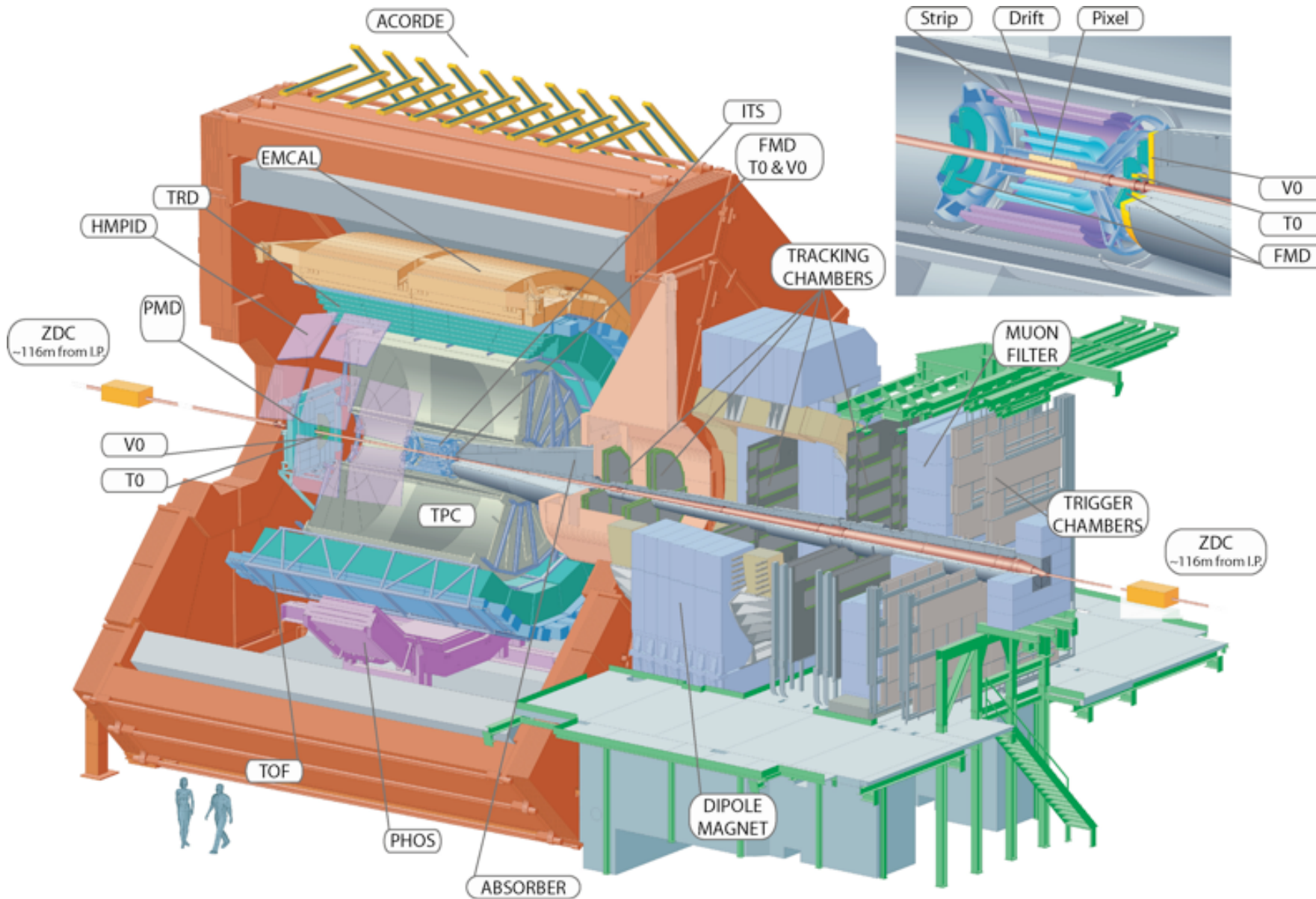


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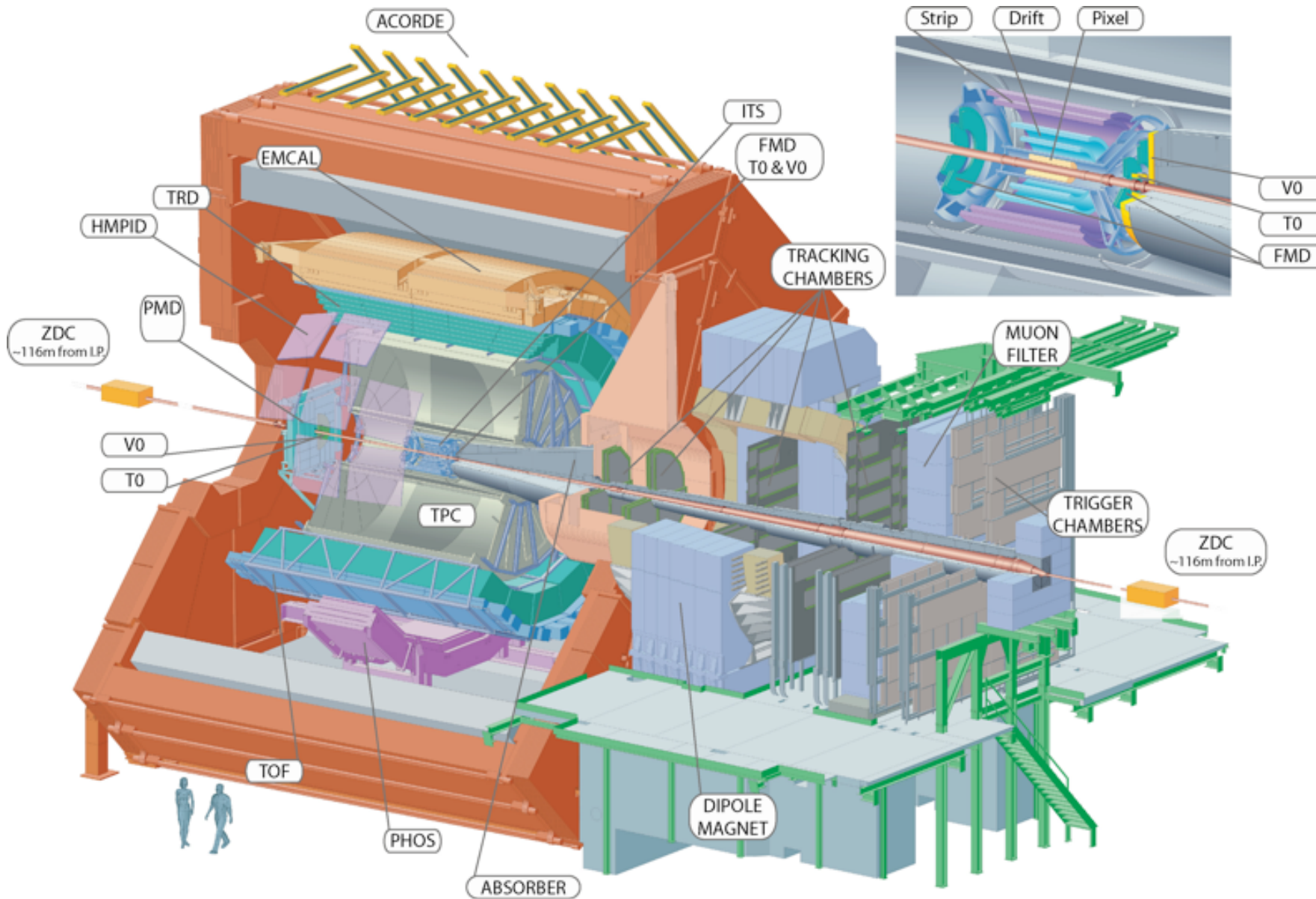
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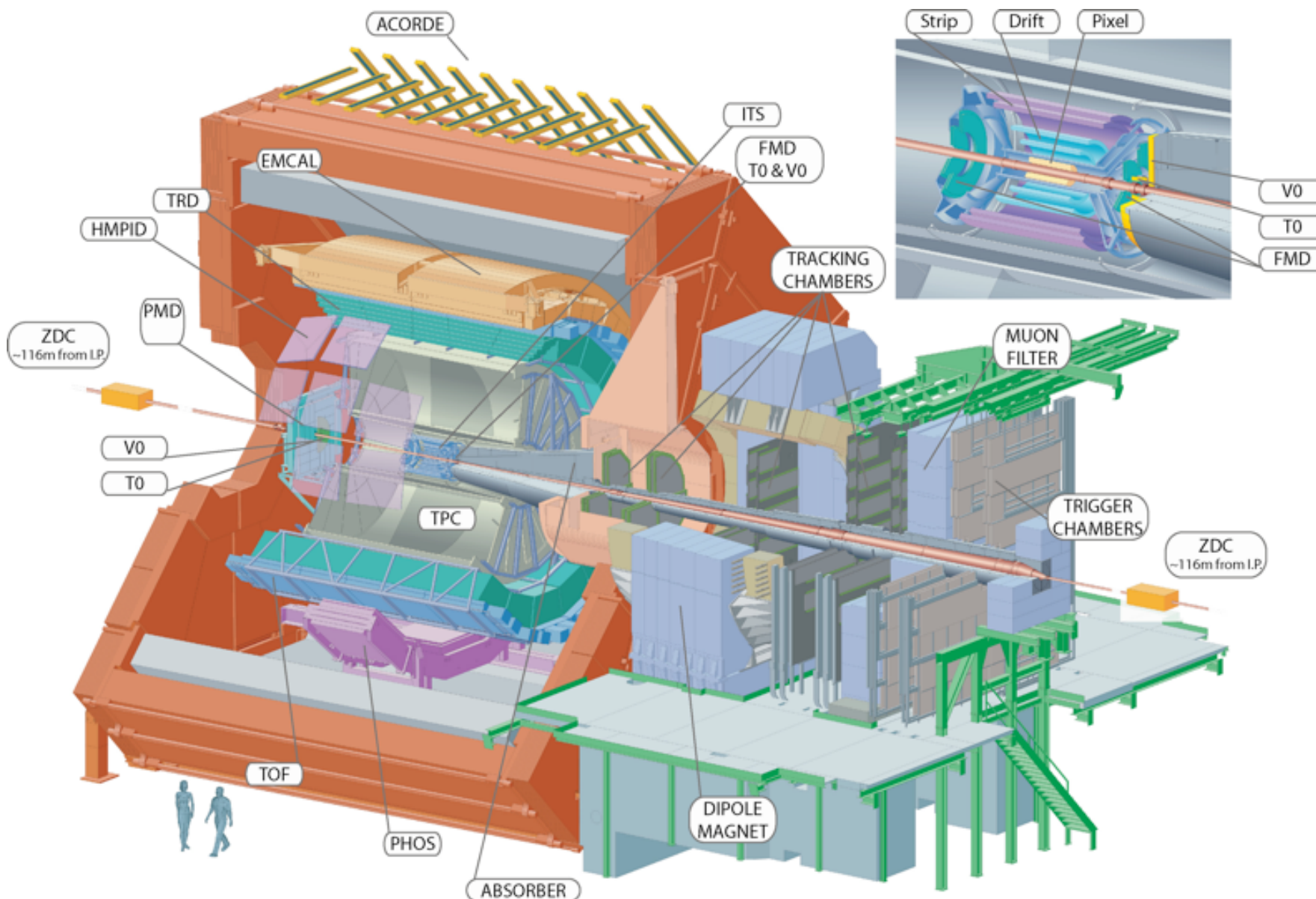
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Excellent particle identification over a wide momentum range ( $\approx 0.1 \text{ GeV}/c$  to  $\approx 30 \text{ GeV}/c$ ).



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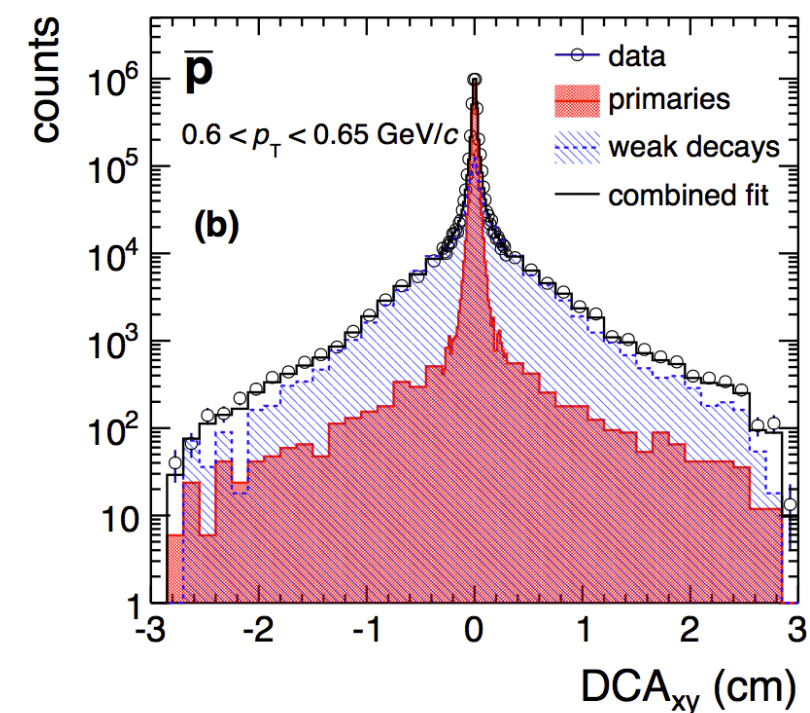
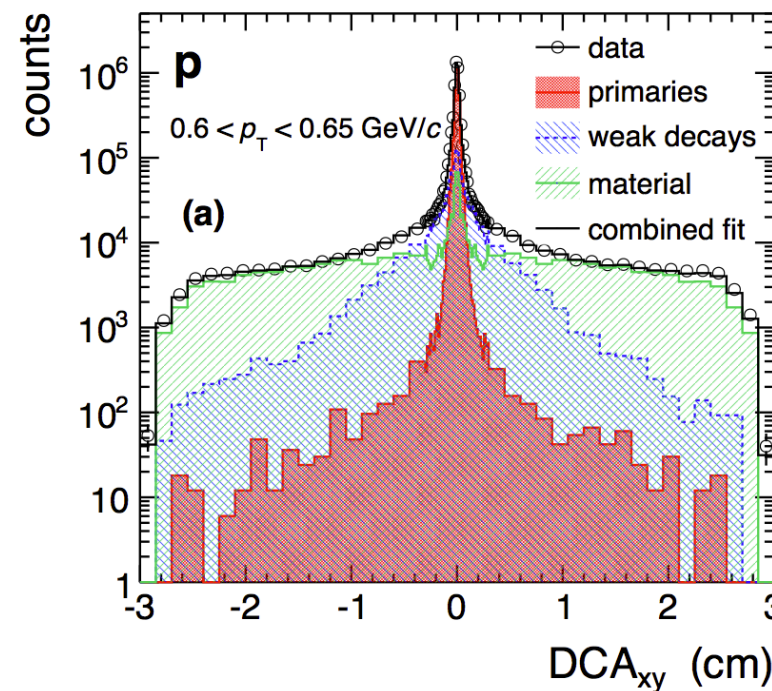
ALICE is ideally suited for the measurement of light flavor hadrons on an event-by-event basis.

# Bulk particle production

- Investigate matter in local thermal equilibrium => Look at the hadrons made up of the most abundantly produced quarks: u,d,s.

$\pi, K, p, \Lambda, \Xi, \Omega, \Phi, K^{*0}, d, {}^3\text{He}, {}^3\Lambda\text{H}, {}^4\text{He}$

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[Phys. Rev. C 88, 044910 (2013)]



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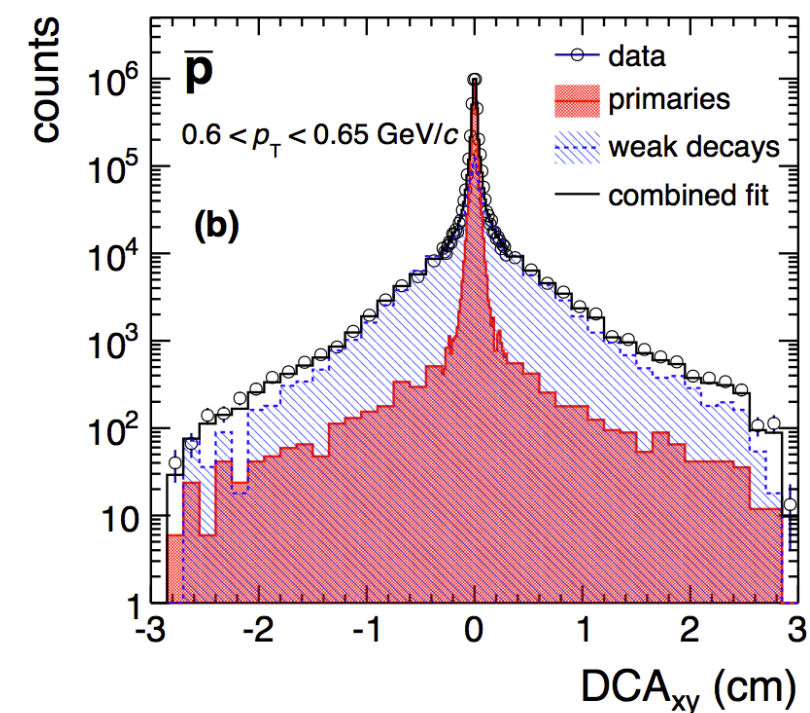
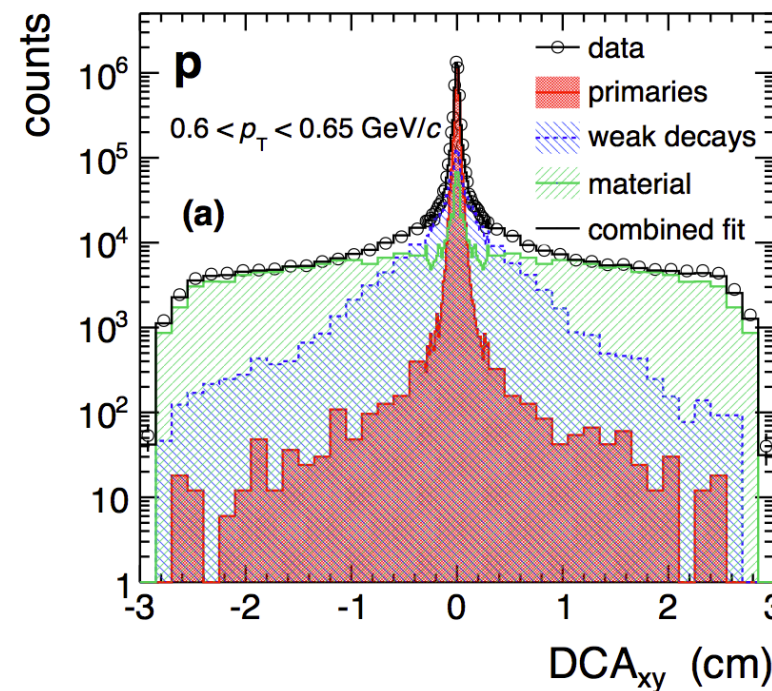
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$\Lambda \rightarrow p \pi$  (63.9 %)  
 $\Xi \rightarrow \Lambda \pi$  (99.87 %)

**(ALICE Definition)** *Primary particles* are defined as prompt particles produced in the collision including all decay products, except products from weak decays of light flavor hadrons and of muons.

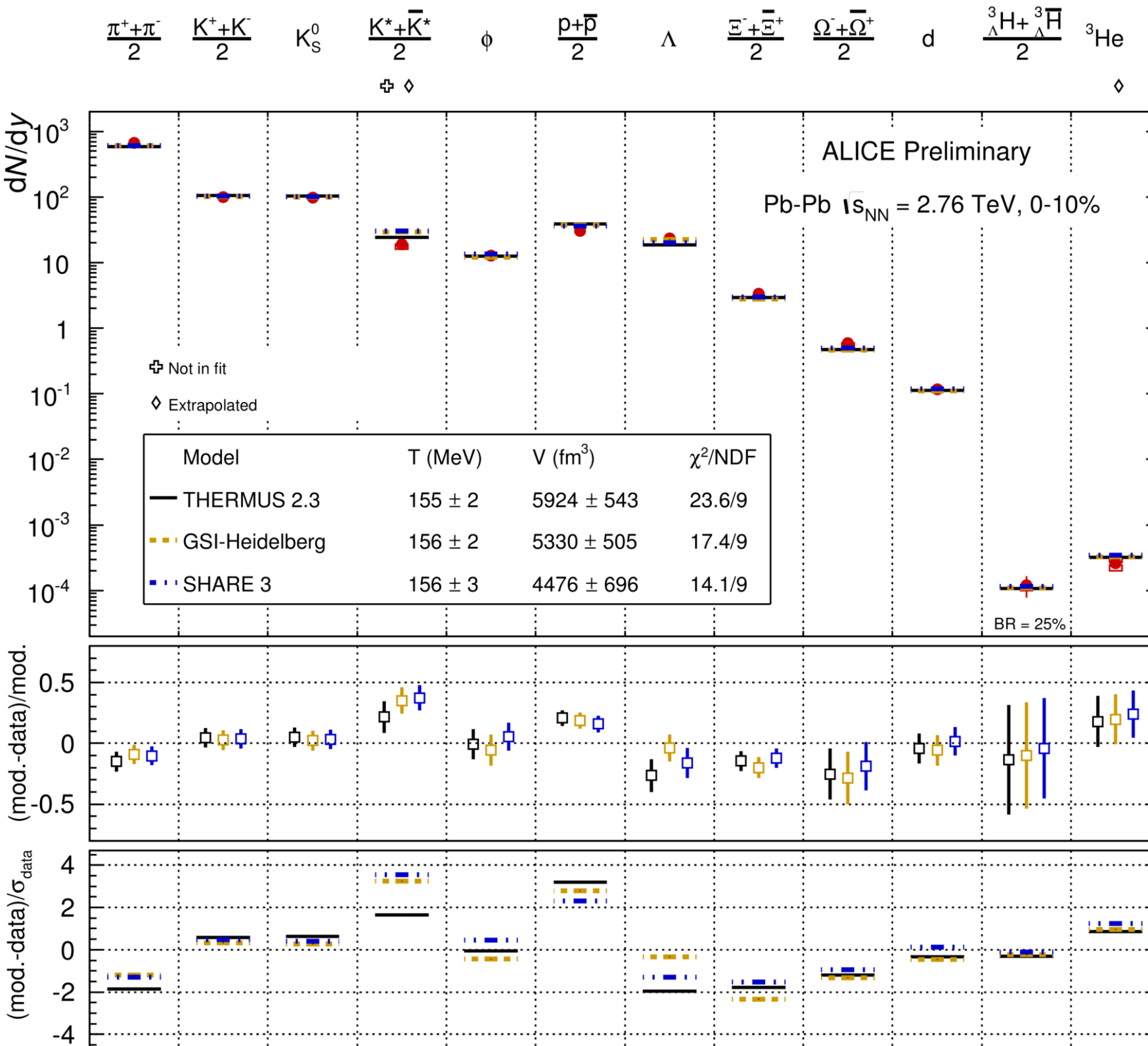


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# **Chemical freeze-out and thermal model calculations**

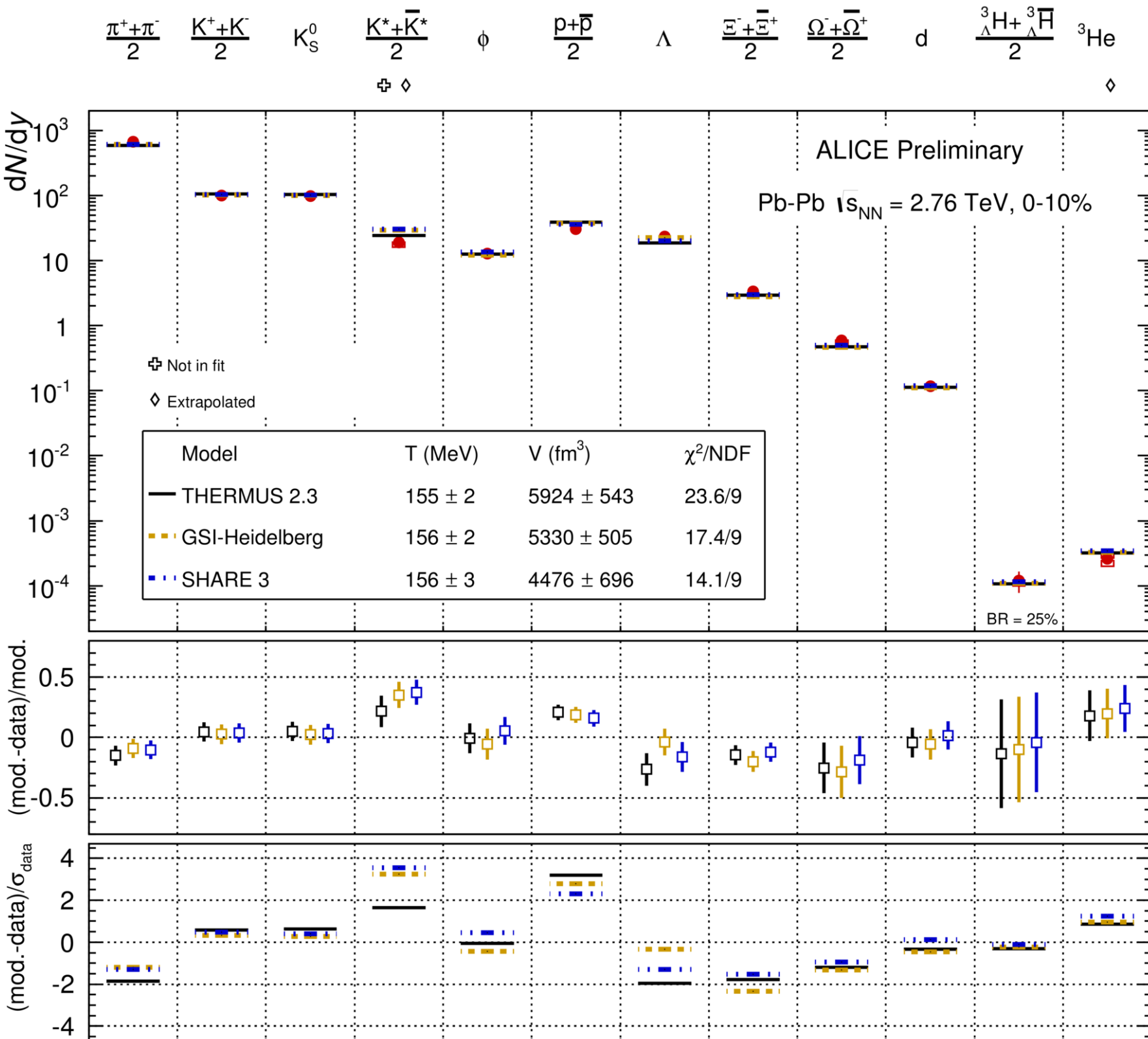


# Pb-Pb: Thermal fits to ALICE data



[Wheaton et al, Comput.Phys.Commun, 180 84]  
 [Petran et al, arXiv:1310.5108]  
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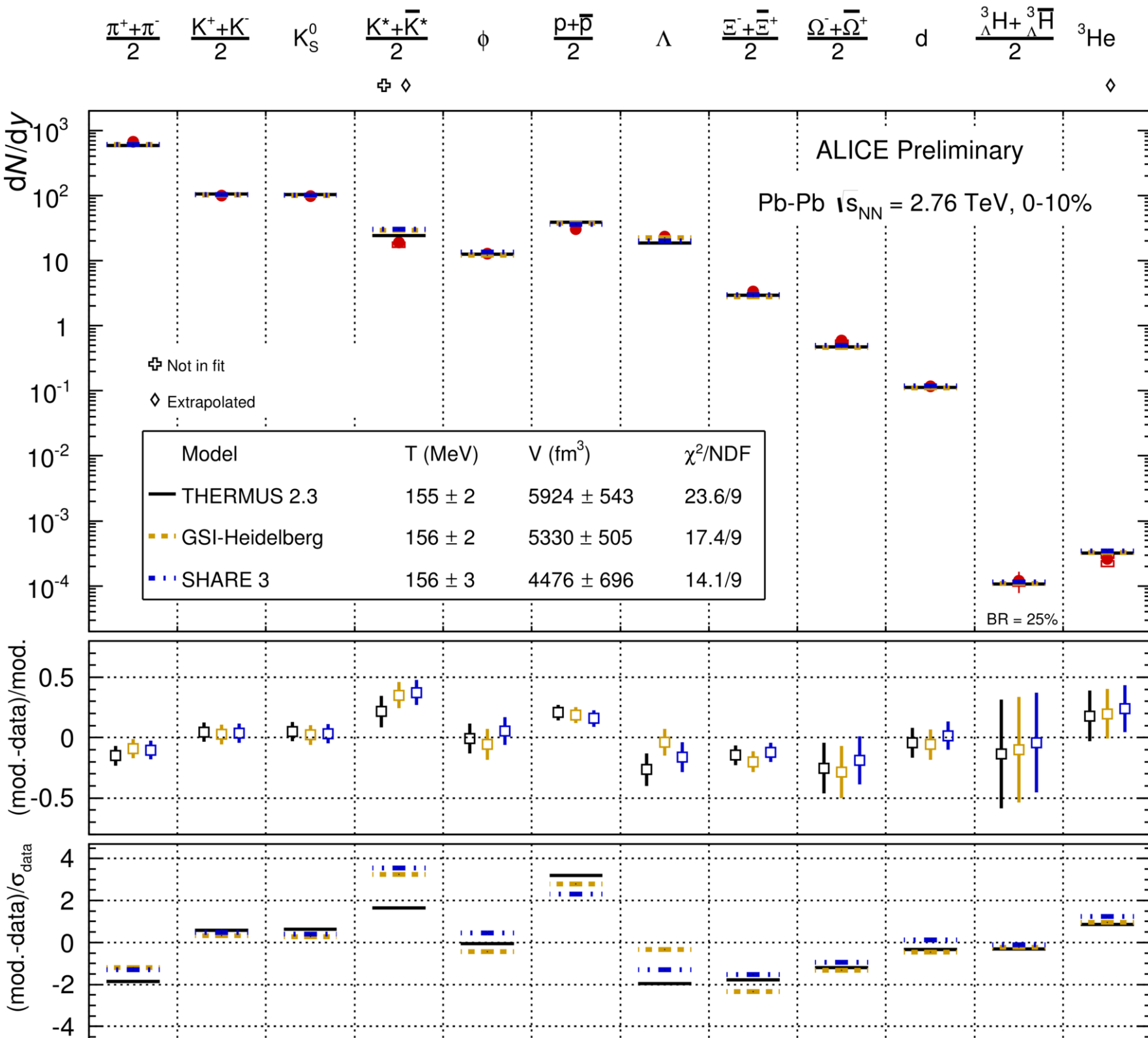
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Particle yields of light flavor hadrons are described over 7 orders of magnitude within 20% (except  $K^{*0}$ ) with a common chemical freeze-out temperature of  $T_{ch} \approx 156$  MeV (prediction from RHIC extrapolation was  $\approx 164$  MeV).

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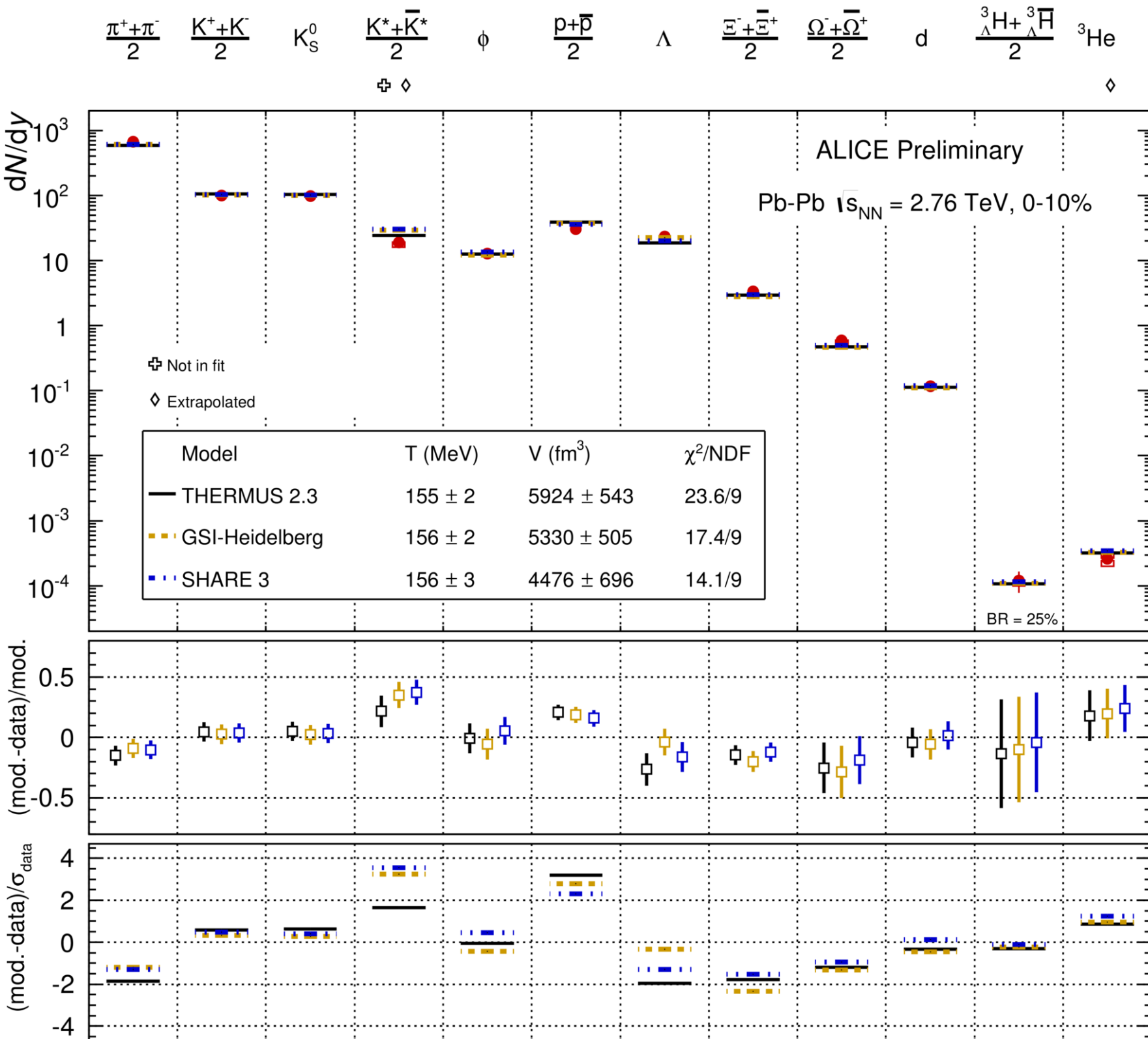


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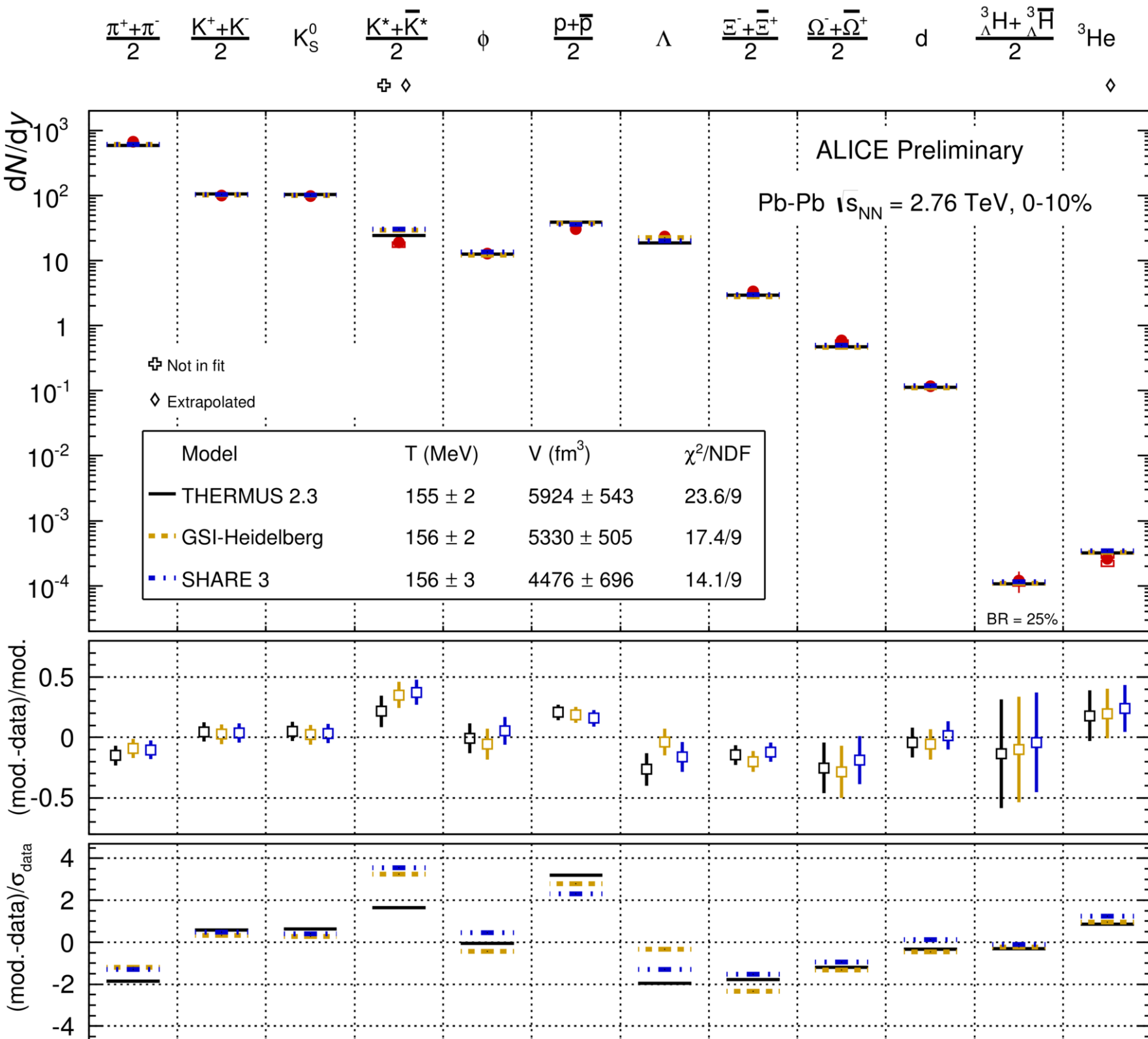
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Largest deviations observed for **protons** (incomplete hadron spectrum, baryon annihilation in hadronic phase,..?) and for  **$K^{*0}$** .

Three different versions of thermal model implementations give similar results.

[Wheaton et al, Comput.Phys.Commun, 180 84]  
 [Petran et al, arXiv:1310.5108]  
 [Andronic et al, PLB 673 142]

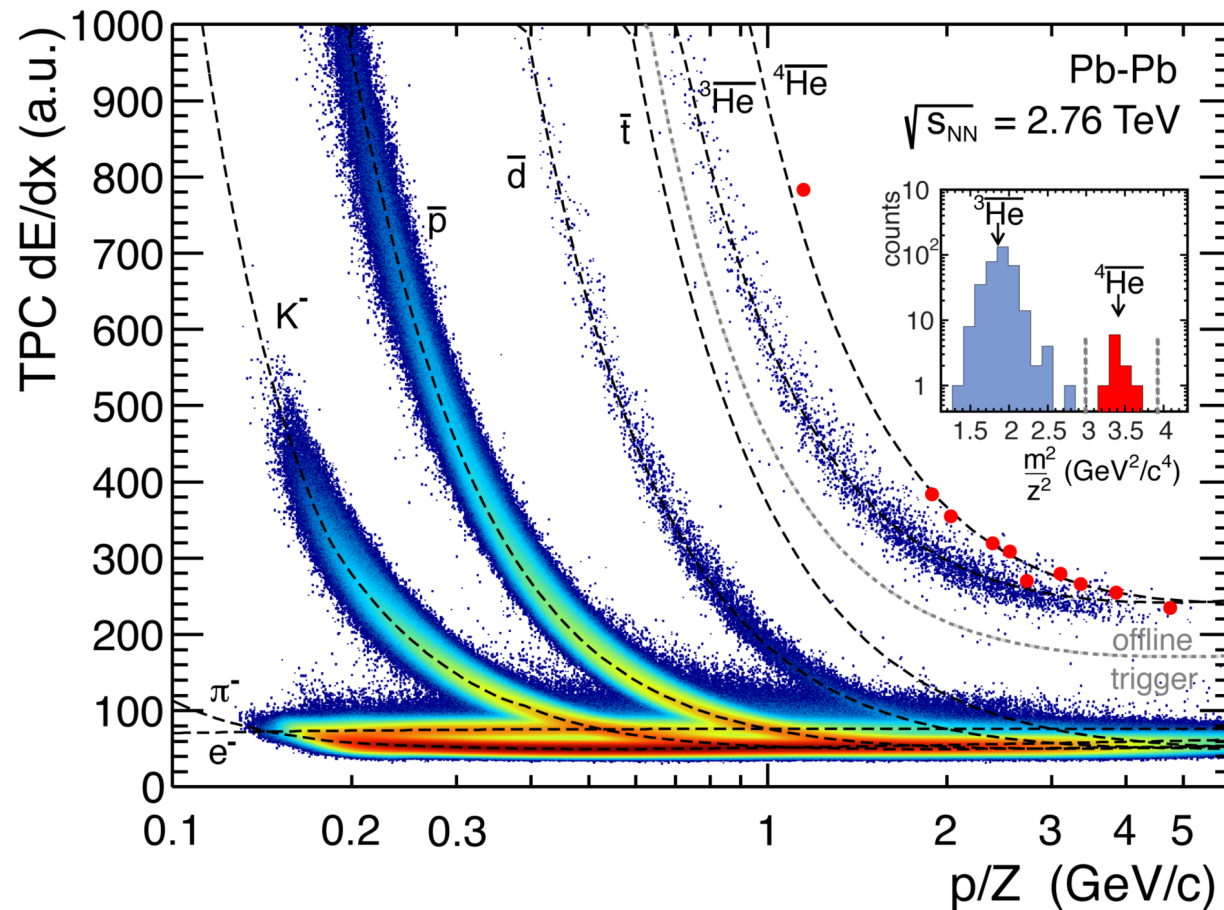
# The importance of (anti-)nuclei

- Also for nuclei, it is a priori not clear that they can be described in a thermal picture:
  - The binding energy of the deuteron is  $E_B = 2.2$  MeV.. In principle, they should immediately dissociate in a medium with  $T_{\text{ch}} \approx 160$  MeV and be suppressed by a large factor.
  - However, it is the entropy per baryon which determines their production yield and this is fixed at chemical freeze-out [1].
- The model gives a very good description of the data. Nuclei yields are very sensitive to the freeze-out temperature due to their large mass:  
yield  $\sim \exp(-m/T_{\text{ch}})$
- Predictions from non-equilibrium models cannot describe the data (disagree up to a factor of five).

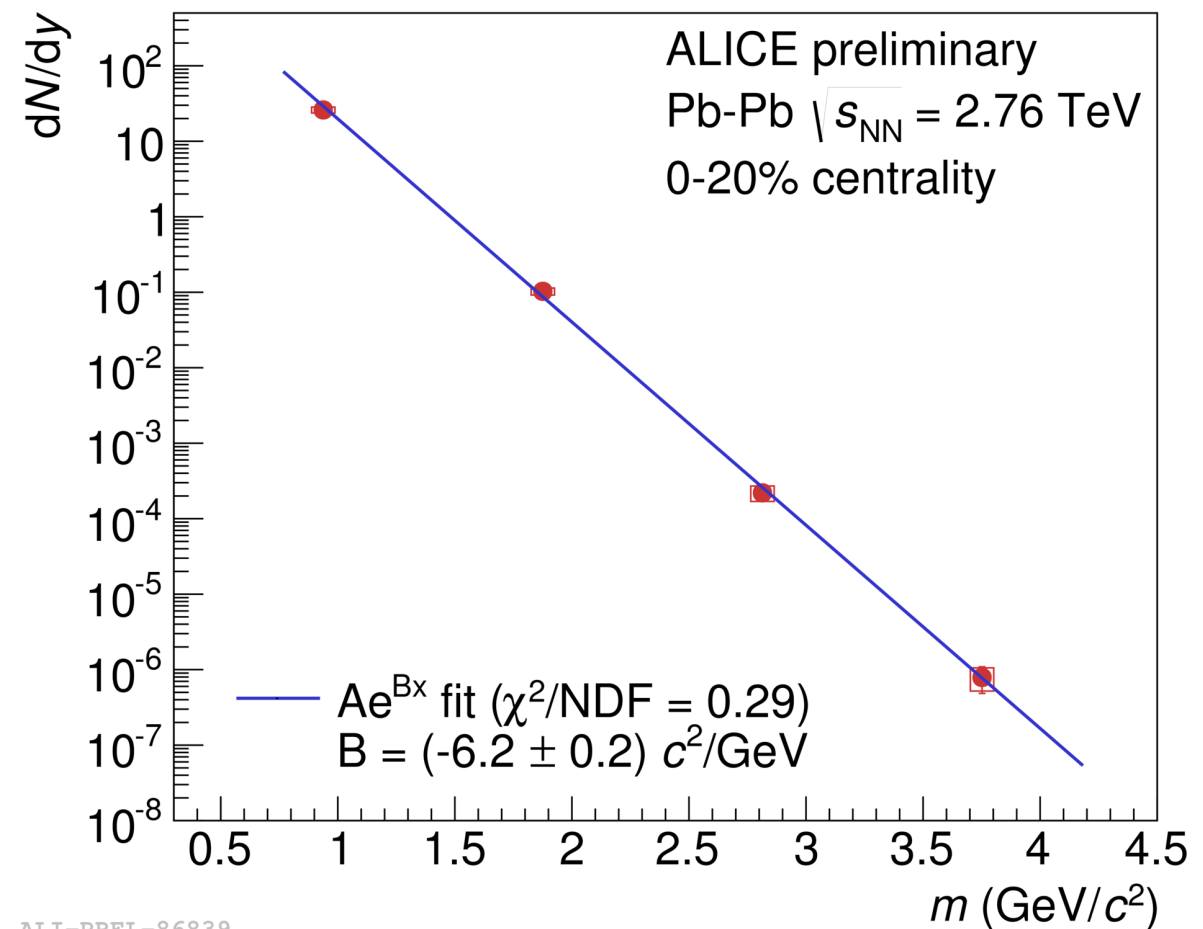
[1] P. Siemens and J. I. Kapusta, Phys.Rev.Lett. 43, 1486 (1979)

# Anti-alpha

- Studies of light (anti-)nuclei production in ALICE have recently been extended to the anti-alpha.
- Also in this case the yield is found to be in agreement with thermal model expectations.



ALI-PUB-72522



ALI-PREL-86839

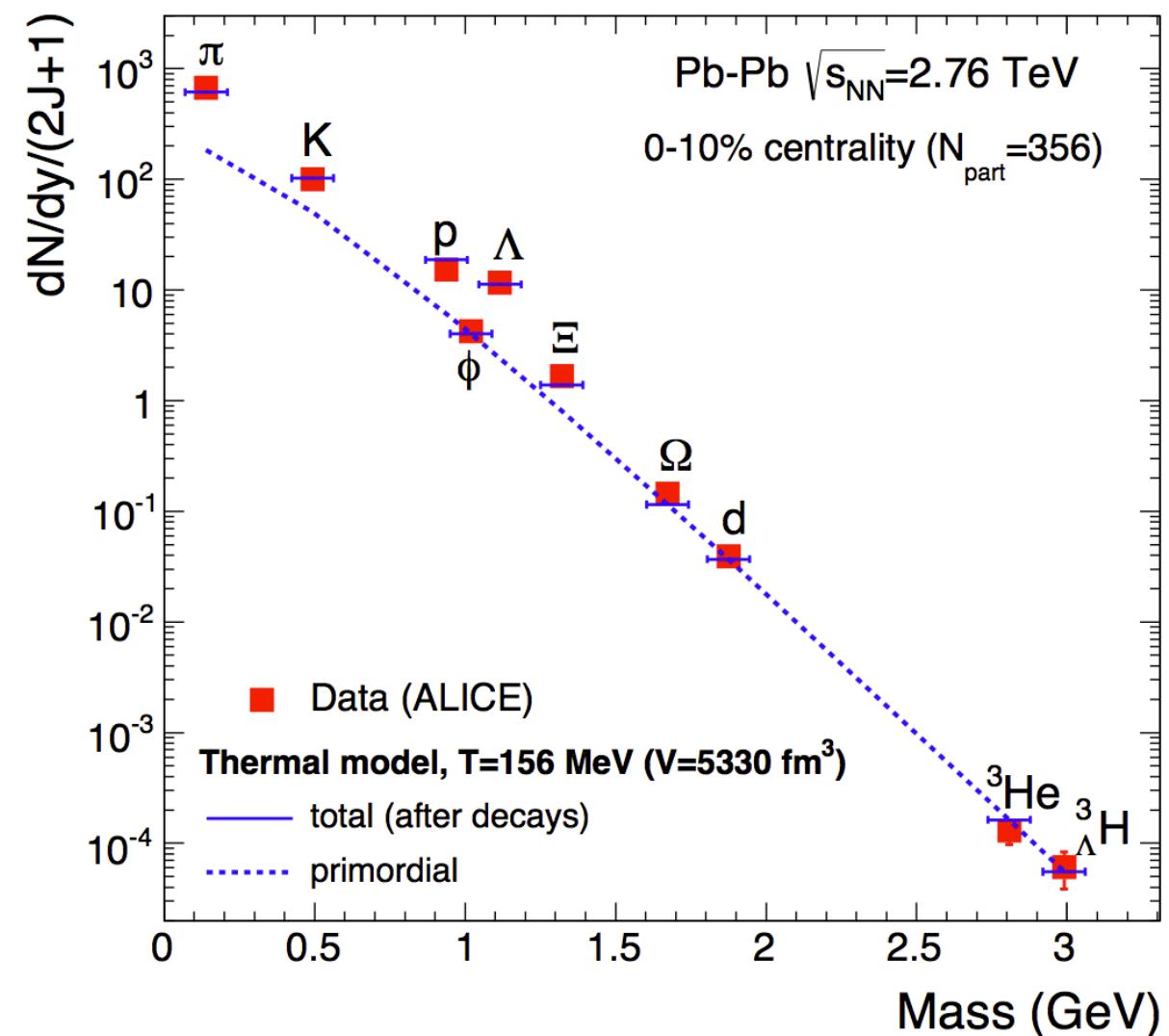
# Light nuclei and resonance feed-down

$$\langle N_i \rangle = V \cdot \left( n_i^{th}(T, \vec{\mu}) + \sum_K \Gamma_{K \rightarrow i} n_i^{th-Res.}(T, \vec{\mu}) \right)$$

[A. Andronic,  
P. Braun-Munzinger,  
J. Stachel]

[1407.5003]

- In a thermal model approach, the production of light (anti-)nuclei is not affected by feed-down from (maybe unknown) resonances.
- Thermal-statistical analysis of light (anti-)nuclei yields reduces the model dependence!
- Can we go even further and use Lattice QCD calculations to deduce the particle yields?  
→ **No.** But see talk by Krzysztof for what can be done in this respect.





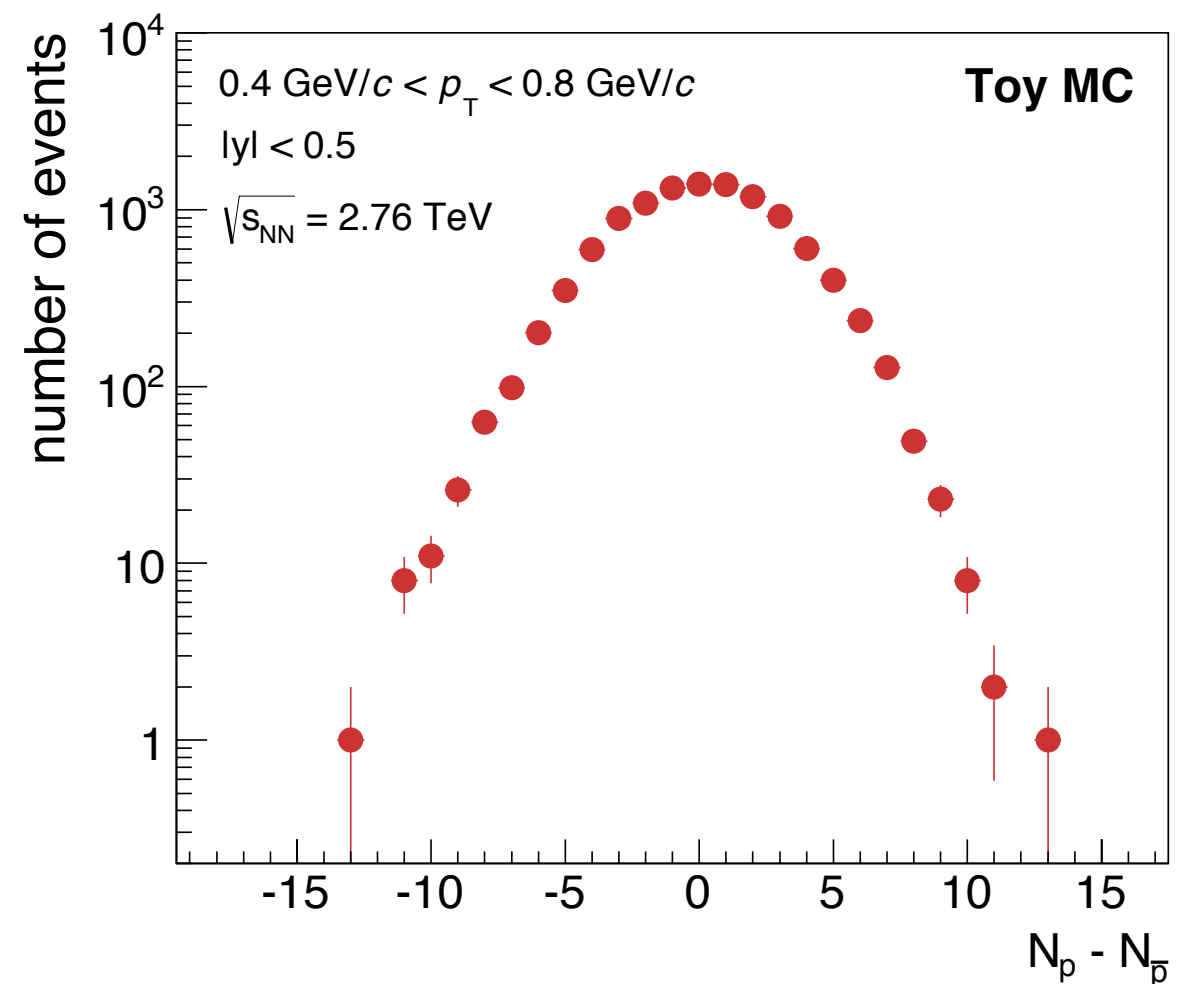
# **Event-by-event fluctuations of conserved quantities**

# Thermodynamic susceptibilities (1)

- Event-by-event fluctuations of the conserved quantities in QCD (*charge*  $Q$ , *baryon number*  $B$ , *strangeness*  $S$ ) correspond to thermodynamic susceptibilities  $\chi$  of the system which can be directly calculated in Lattice QCD or in the Hadron Resonance Gas (HRG) model:

$$\chi_{lmn}^{BSQ} = \frac{\partial^{l+m+n}(P/T^4)}{\partial(\mu_B/T)^l \partial(\mu_S/T)^m \partial(\mu_Q/T)^n}$$

- Statistical distribution of conserved quantities are quantified by their (central) moments or cumulants.



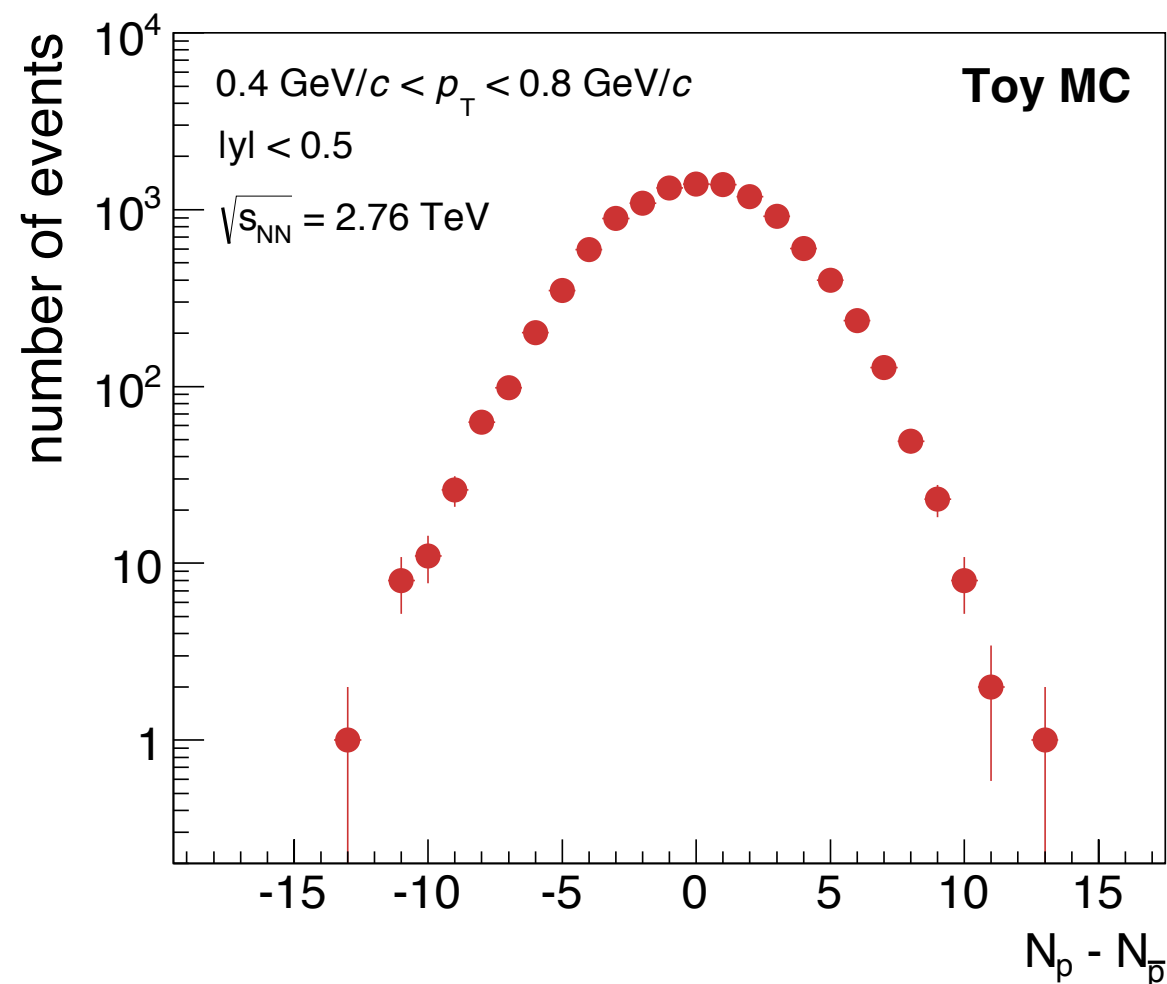
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LHC (ALICE) data allows the most direct comparison to Lattice QCD calculations which correspond to  $\mu_B = 0$ . No extrapolation needed at LHC energies!





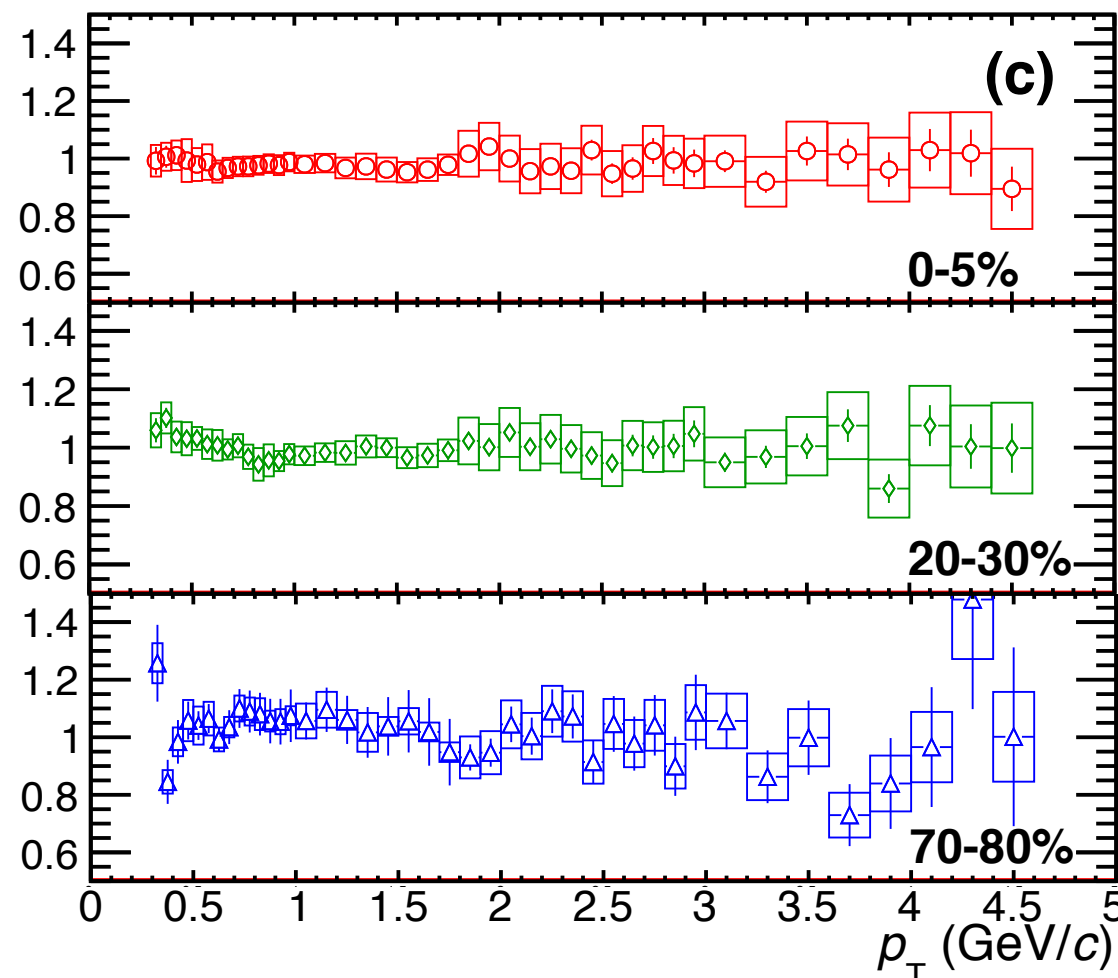
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# Thermodynamic susceptibilities (2)

- Moments  $\mu$  and cumulants  $K$ :

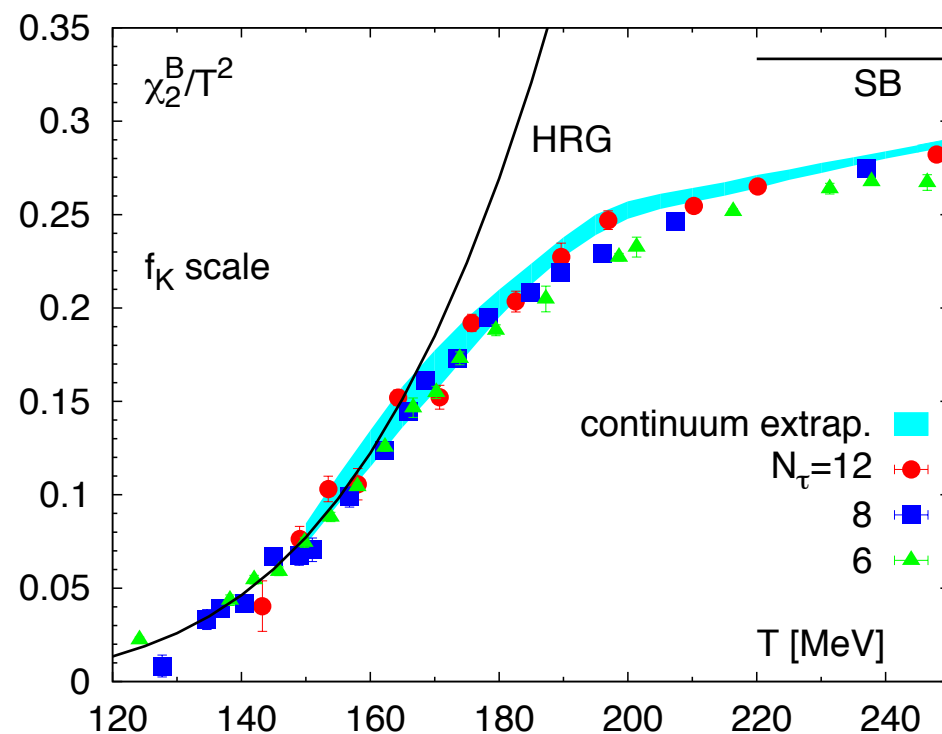
$$\begin{aligned}
 M &= K_1 = \mu = \langle N \rangle = VT^3 \cdot \chi_1 \\
 \sigma^2 &= K_2 = \mu_2 = \langle (\delta N)^2 \rangle = VT^3 \cdot \chi_2 \\
 S &= K_3/\sigma^3 = \mu_3/\sigma^3 = \langle (\delta N)^3 \rangle / \sigma^3 = VT^3 \cdot \chi_3 / (VT^3 \cdot \chi_2)^{3/2} \\
 \kappa &= K_4/\sigma^4 = (\mu_4 - 3\mu_2^2)/\mu_2^2 = \langle (\delta N)^4 \rangle / \sigma^4 - 3 = (VT^3 \cdot \chi_4) / (VT^3 \cdot \chi_2)^2
 \end{aligned}$$

- In ratios of cumulants, the volume dependence cancels:

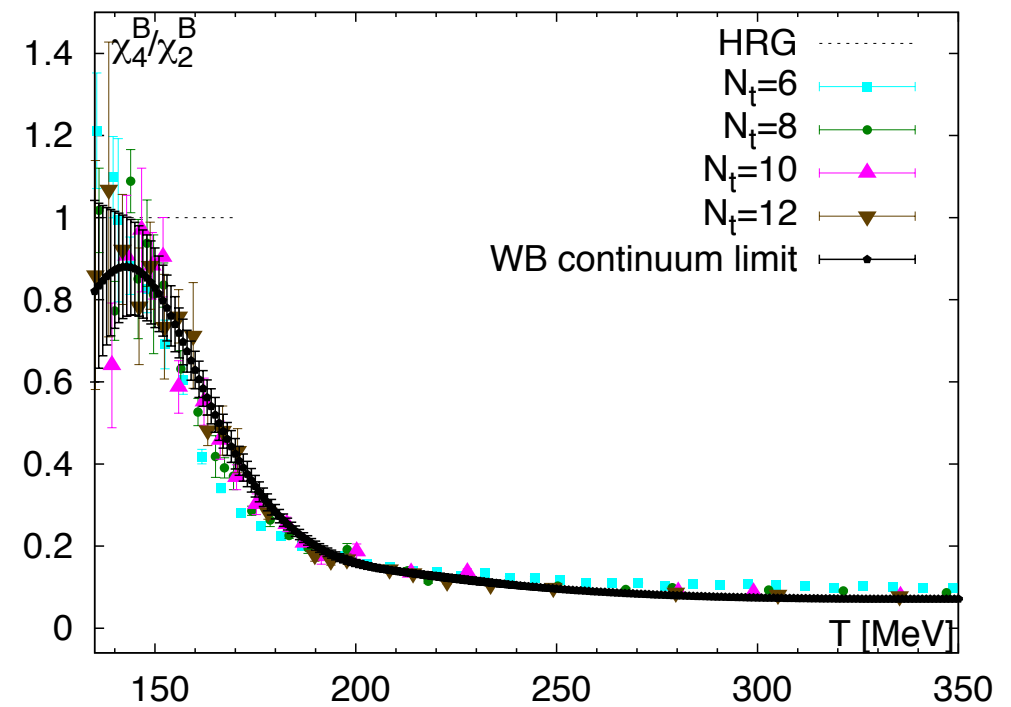
$$\begin{aligned}
 \chi_2/\chi_1 &= K_2/K_1 = \mu_2/\mu = \sigma^2/M \\
 \chi_3/\chi_1 &= K_3/K_1 = \mu_3/\mu = S \cdot \sigma^2/M \\
 \chi_3/\chi_2 &= K_3/K_2 = \mu_3/\mu_2 = S \cdot \sigma \\
 \chi_4/\chi_2 &= K_4/K_2 = (\mu_4 - 3\mu_2^2)/\mu_2 = \kappa \cdot \sigma^2 \\
 \chi_6/\chi_2 &= K_6/K_2 = (\mu_6 - 15\mu_4\mu_2 - 10\mu_3^2 + 30\mu_2^3)/\mu_2 \quad .
 \end{aligned}$$

# Fluctuations and lattice QCD

- Thermodynamic susceptibilities at  $\mu_B = 0$  can be directly calculated in lattice QCD.



[1203.0784]



[1305.5161]

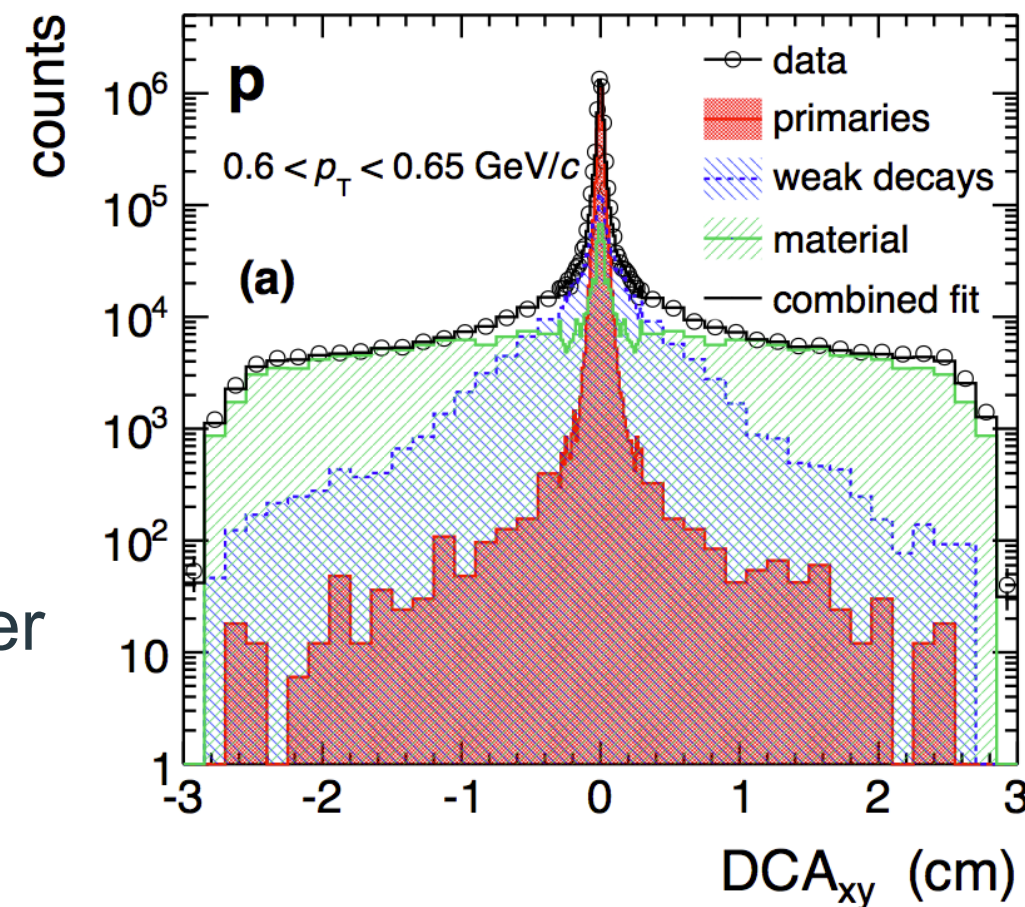
- The HRG is a very good approximation below  $T_c$ , but significant deviations at  $T_c$  are expected with increasing order of the moments due to remnants of the critical chiral behavior:

$$\chi_6/\chi_2 < 0 \text{ at } T_c \text{ in Lattice QCD and } \chi_6/\chi_2 = 1 \text{ in HRG}$$

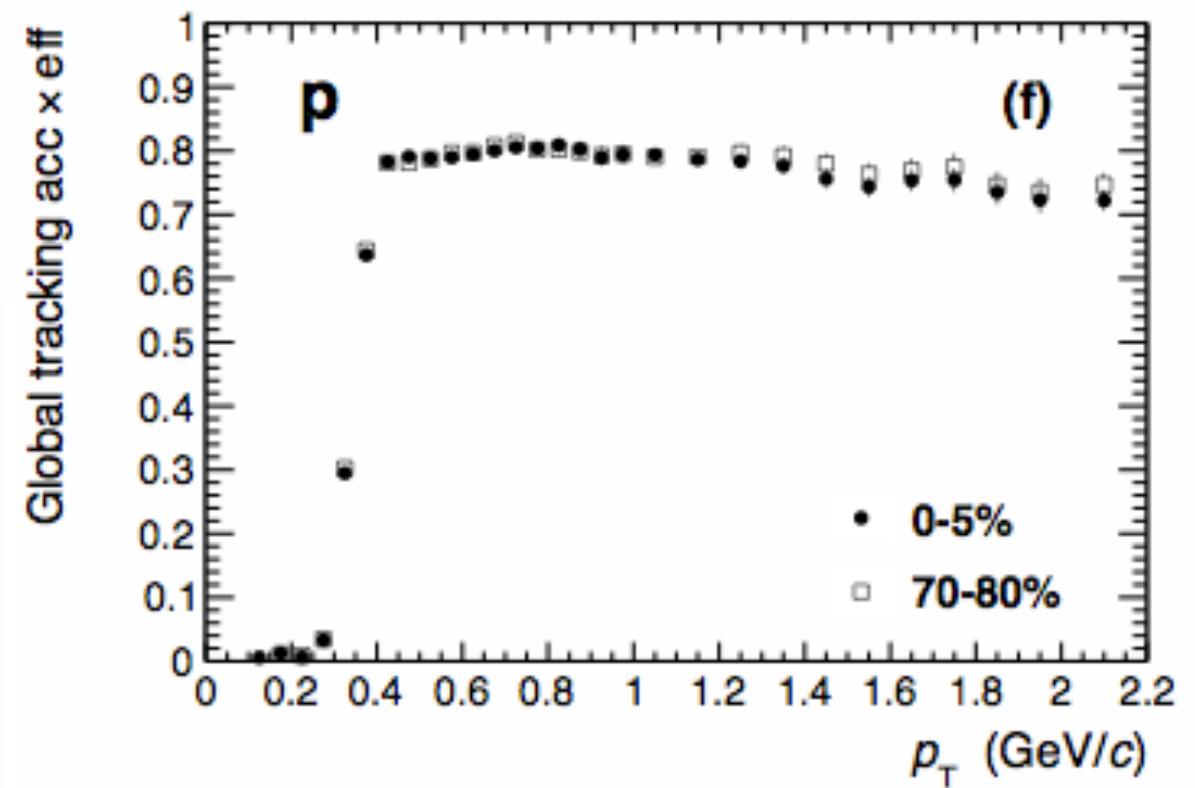
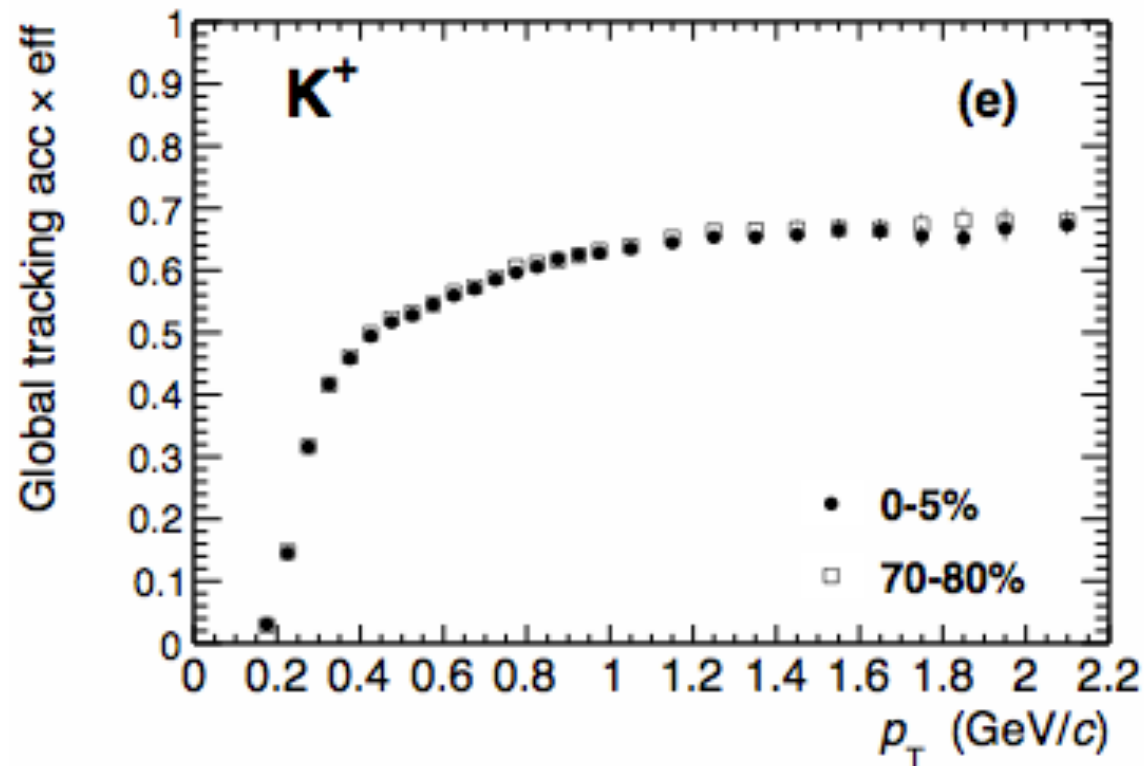
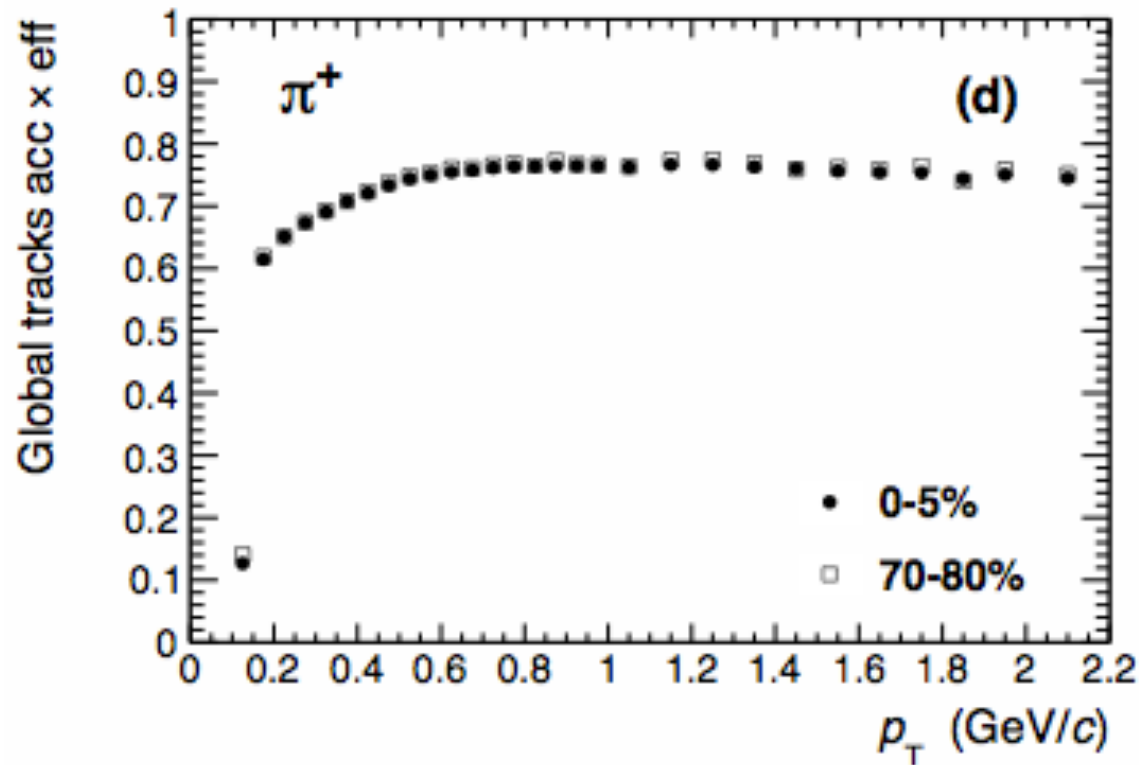


# Towards a measurement of net-baryon number at LHC... (1)

- The measurement is experimentally very challenging:
  - Correction for detector efficiency (N.B.: efficiencies differ for protons and anti-protons due to absorption).
  - Auto-correlations with centrality estimator.
  - Contamination from protons from material.
  - Contamination from weak decays:
    - Does the inclusion of them bring us closer to the total baryon number  $B$ ?
    - Can one separate cleanly  $\chi_B$  and  $\chi_S$ ?
  - Misidentified particles.



# Detector efficiencies



# Towards a measurement of net-baryon number at LHC... (2)

- The choice of the rapidity and momentum window is crucial:
  - It needs to be systematically studied (see recent STAR results): larger than typical correlation length, but small enough that requirements of a grand-canonical ensemble are still fulfilled (global conservation of conserved quantity suppresses the signal).
- The statistic requirements depend crucially on the maximum window. Assuming a Skellam-Distribution, we obtain in the delta-theorem that

$$\Delta K_6 \propto \sigma^4 \propto (\langle N_p \rangle + \langle N_{p^-} \rangle)^2$$

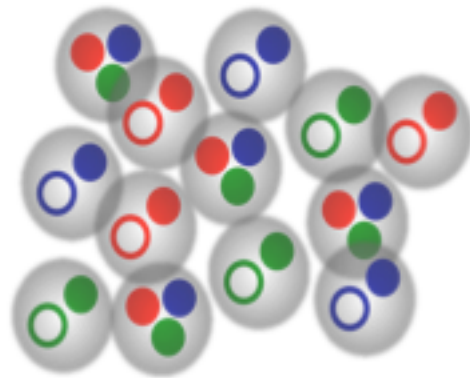
- Current estimates indicate that between  $10^8$  and  $10^{10}$  central events are needed to measure sixth order moments depending on the acceptance window and the size of the expected effect.

# Net charge fluctuations



# Net charge fluctuations — introduction

- So far, only a net-charge measurements corresponding has been finalized at LHC energies: **[Phys. Rev. Lett. 110, 152301]**.
- Simplified picture:



Hadronic phase:  
 $q = \pm 1$   
 $\Rightarrow q^2 = \pm 1$



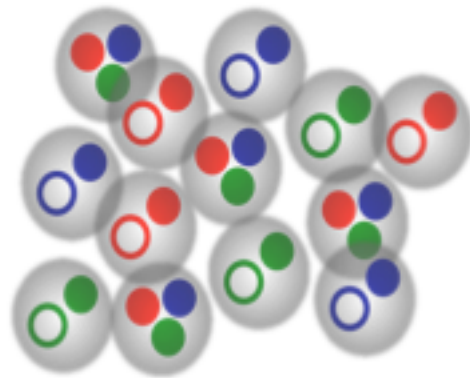
Partonic phase:  
 $q = \pm(2/3), \pm(1/3)$   
 $\Rightarrow q^2 = \pm(4/9), \pm(1/9)$

- $v_{\text{dyn}}$  as robust variable to quantify dynamical fluctuations and to identify relevant charge carriers:

$$v_{(+-, \text{dyn.})} = \frac{\langle N_+(N_+ - 1) \rangle}{\langle N_+ \rangle^2} + \frac{\langle N_-(N_- - 1) \rangle}{\langle N_- \rangle^2} - 2 \frac{\langle N_+ N_- \rangle}{\langle N_+ \rangle \langle N_- \rangle}$$

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Substantially smaller value of the correlation function is expected in the QGP phase than in the hadronic phase.

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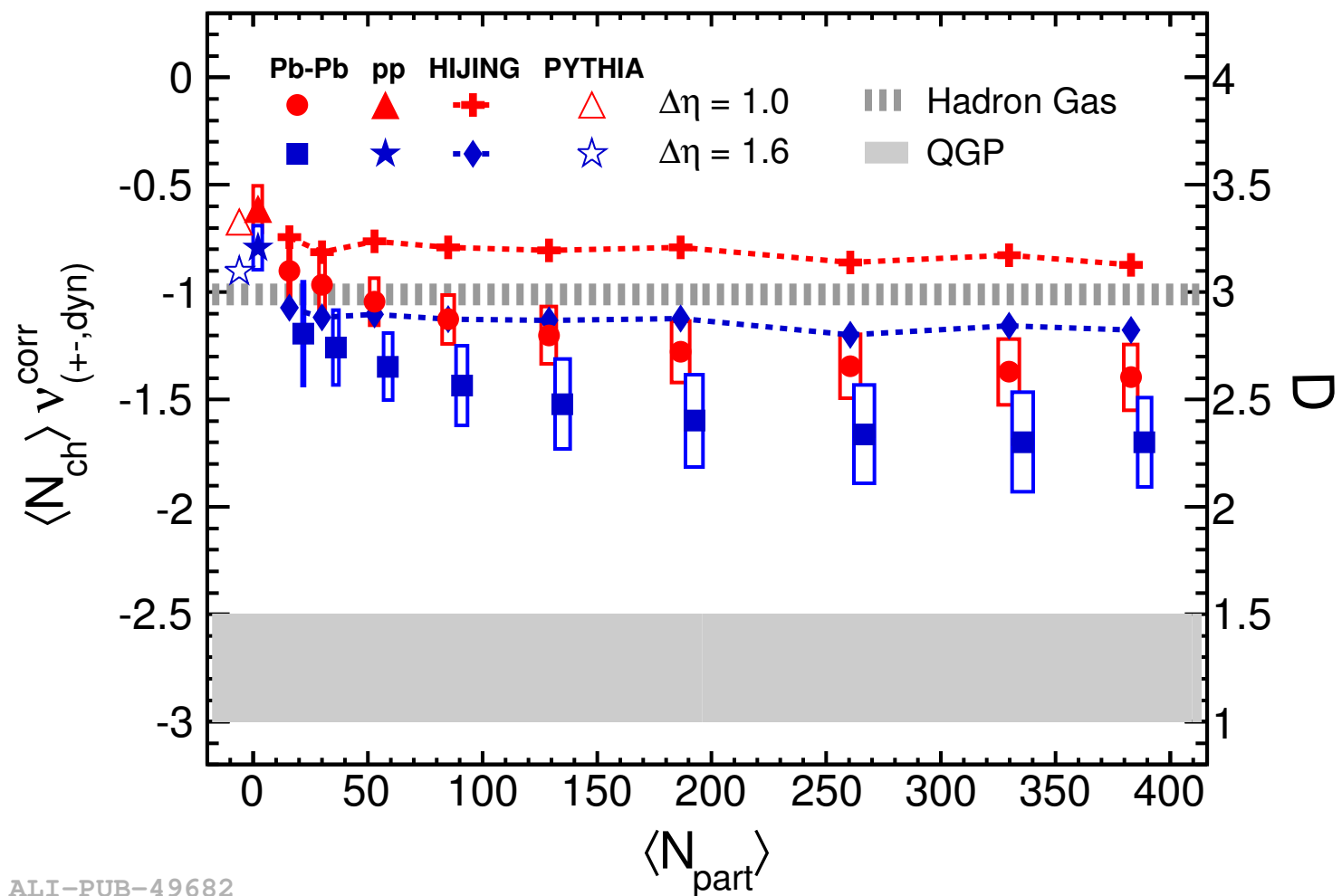
# D-measure of net-charge fluctuations

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ALI-PUB-49682

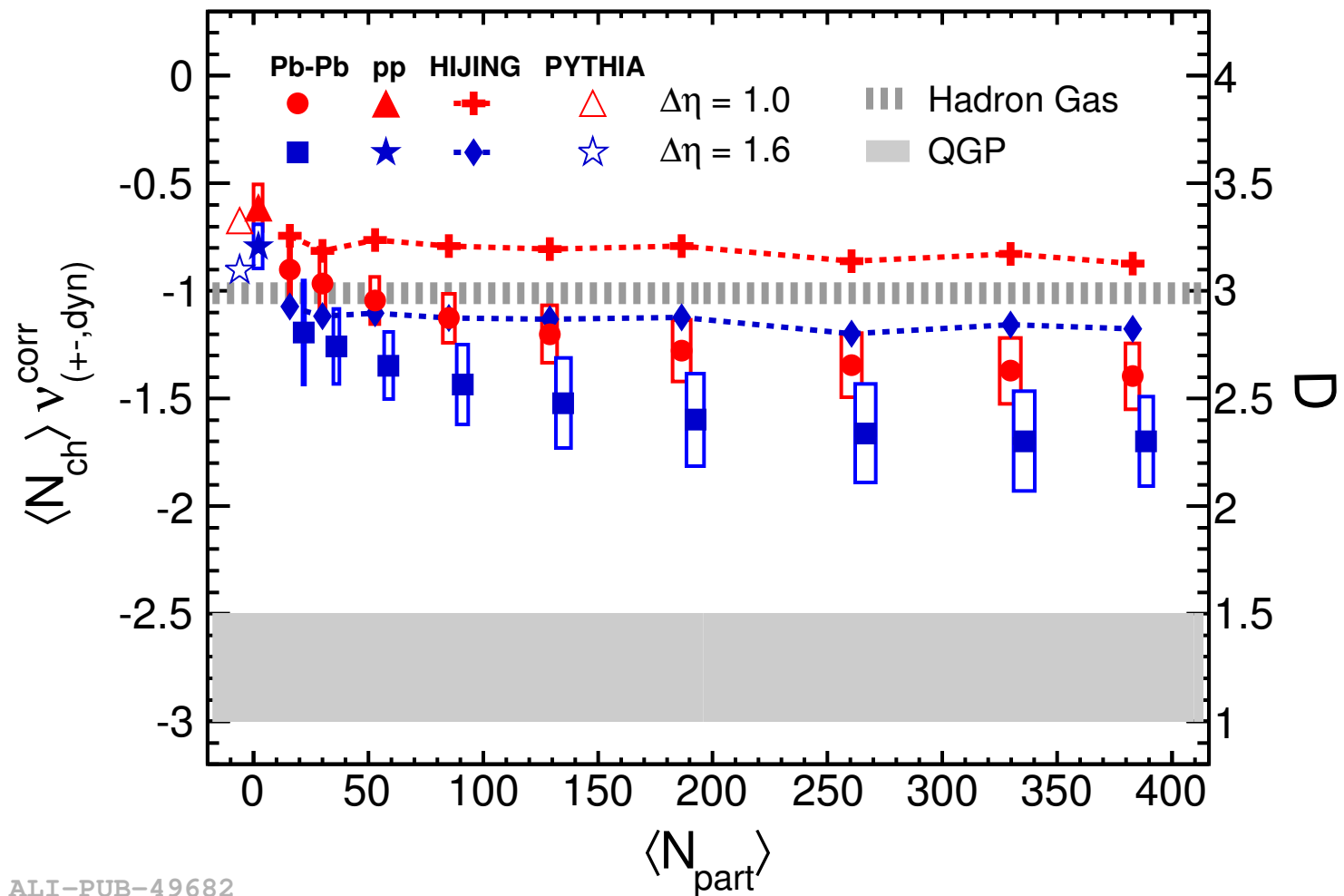
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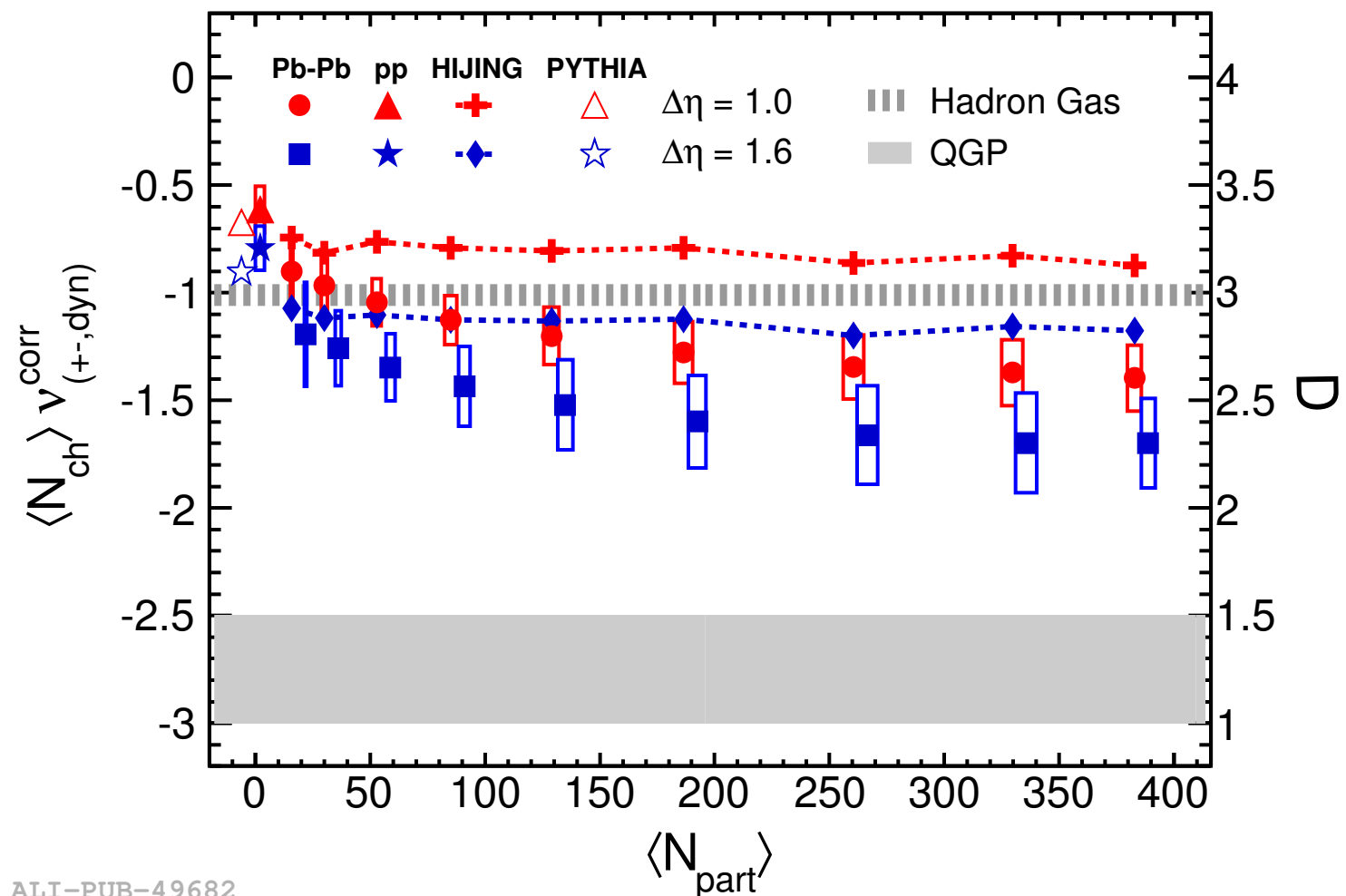
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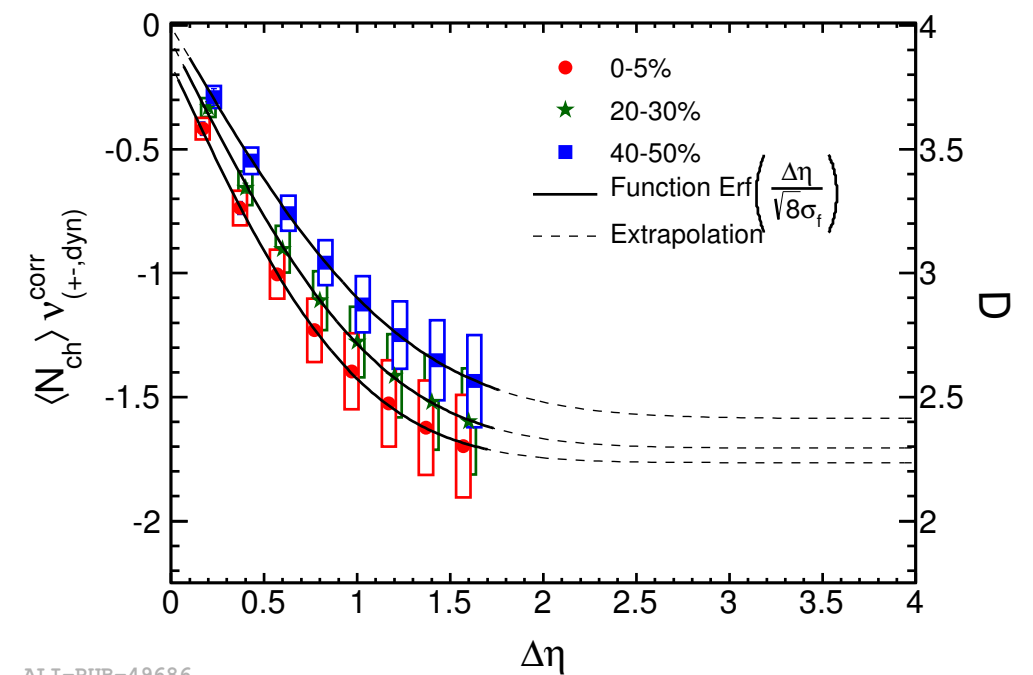
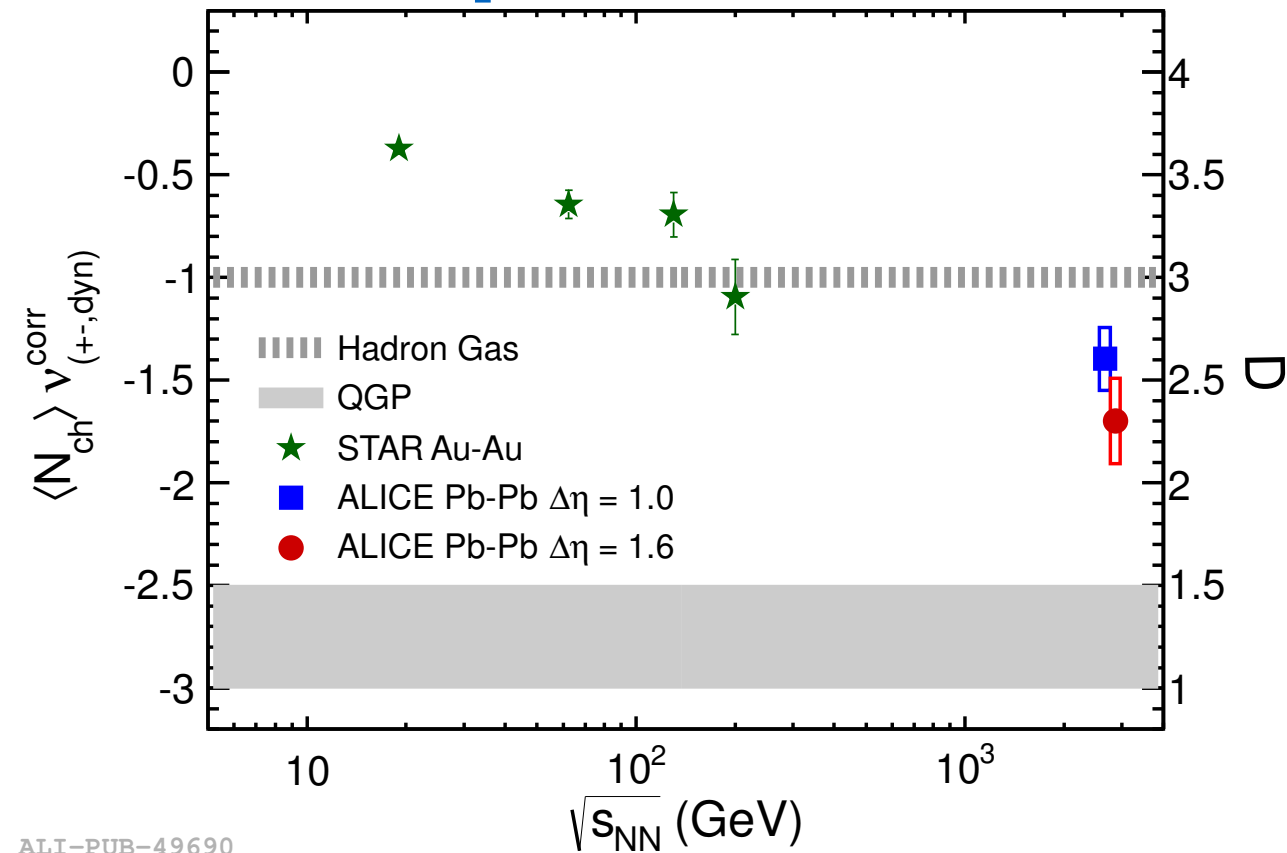
D decreases slightly with centrality and shows values between HRG and QGP expectation.

HIJING shows no centrality dependence and larger values than the data.



# Energy and rapidity window dependence

- Results are shown for 0-5% most central collisions.
- Decreasing trend with increasing center-of-mass energy is observed.
- ALICE values significantly lower than the hadron gas expectation while RHIC measurements are still compatible.
- Strong dependence on rapidity window observed which saturates above  $\Delta\eta \approx 2.3$ . Initial fluctuations are diluted by final state interactions and limited experimental acceptance.



# Summary & conclusion

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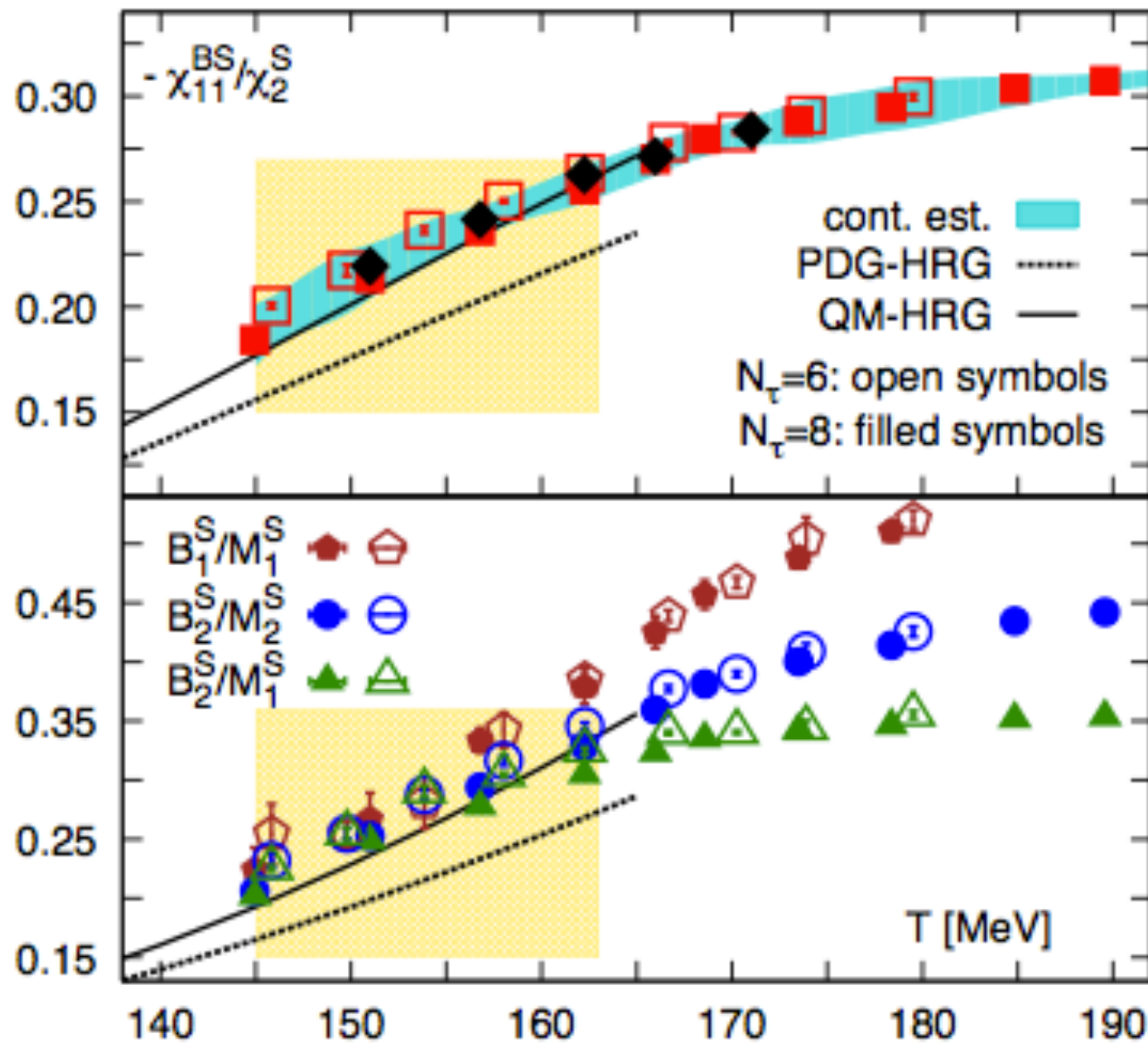
- Light flavor hadron yields at LHC energies can be described in a thermal fit based on the hadron resonance gas model with a chemical freeze-out temperature of  $T_{\text{chem}} = 156 \text{ MeV}$ .
- Production of light (anti-)nuclei is found to be in agreement with this temperature. Within thermal-statistical models, their yield is independent of feed-down from (unknown) resonances.
- In order to find deviations from HRG, the measurements of event-by-event fluctuations of conserved quantities (charge, baryon number, strangeness) are on their way...
- Measurements of net-charge fluctuations indicate a reduction of fluctuations from RHIC to LHC (as expected), but also emphasize the importance of systematic studies w.r.t. to the acceptance window etc.



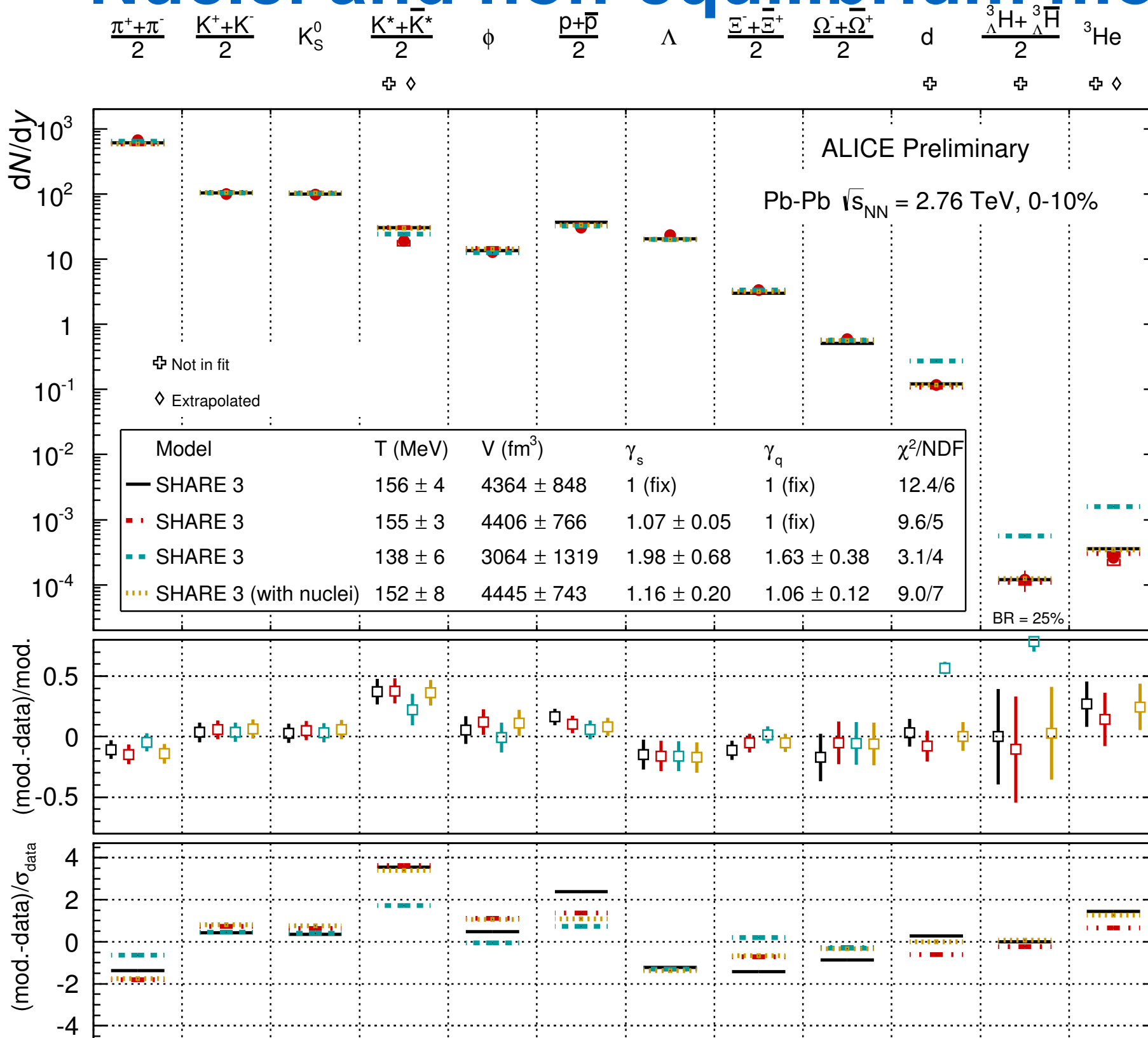
# **SUPPORTING SLIDES**

# Missing strange resonances (Lattice QCD)

[1404.6511]

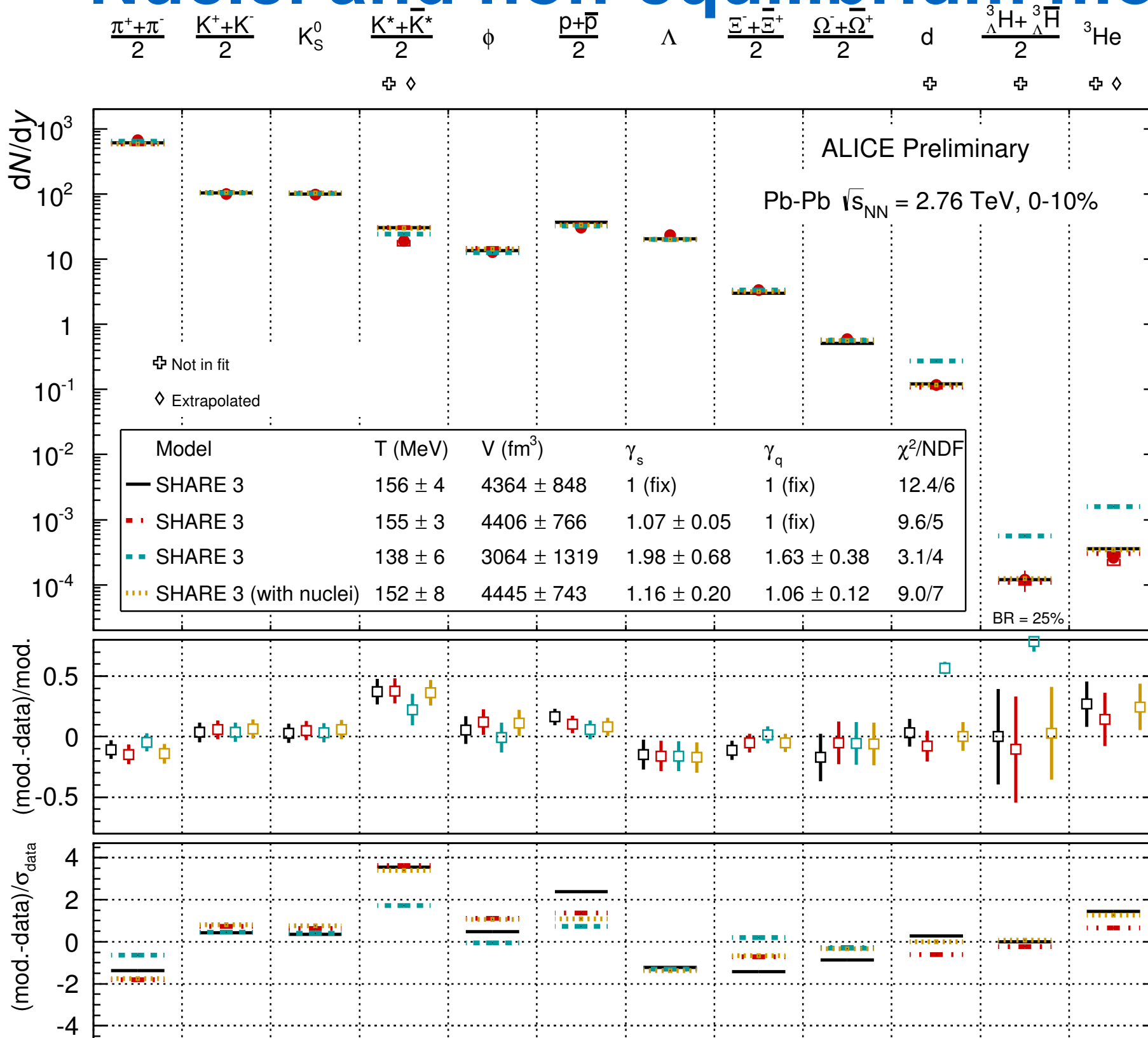


# Nuclei and non-equilibrium models



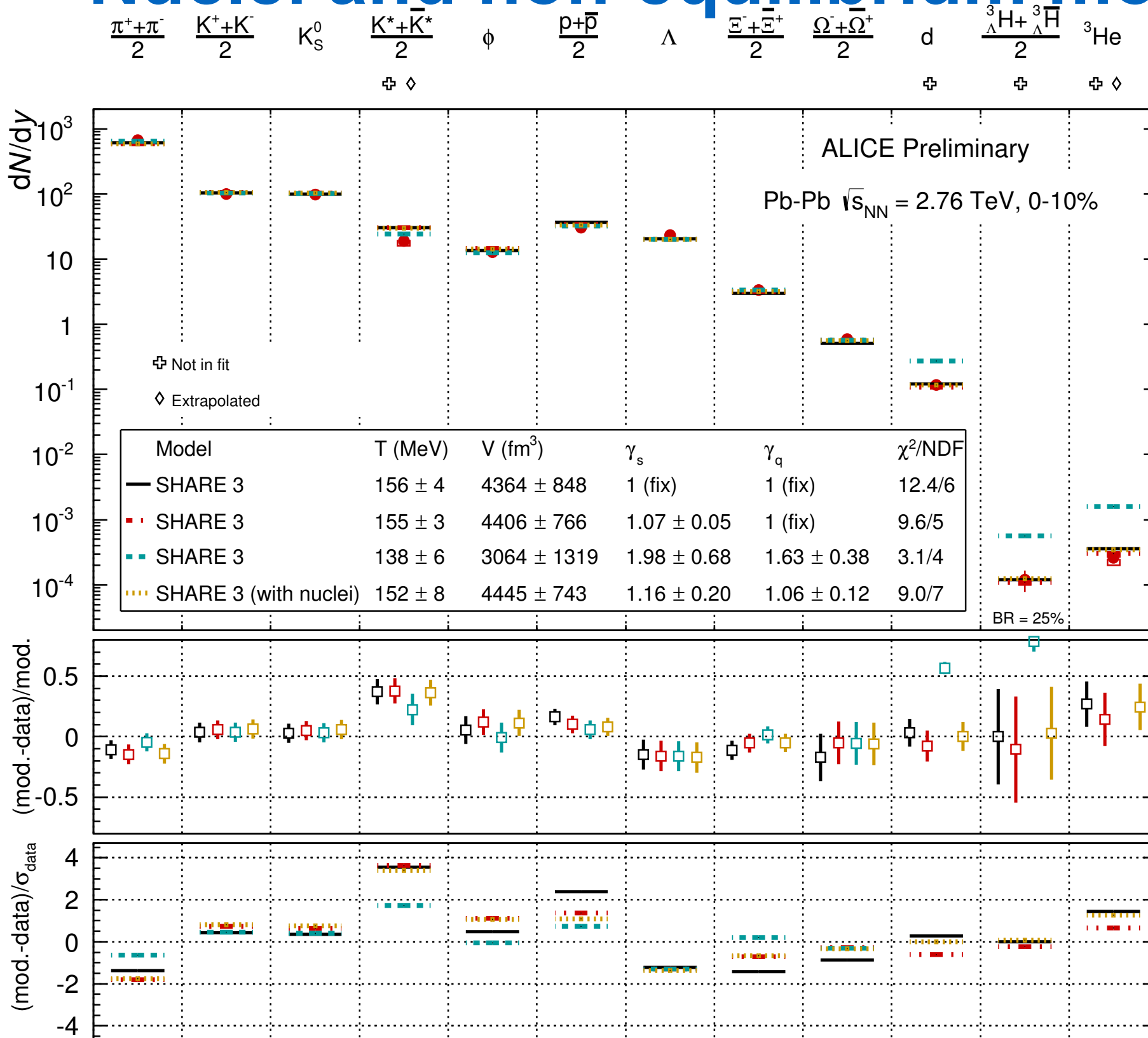
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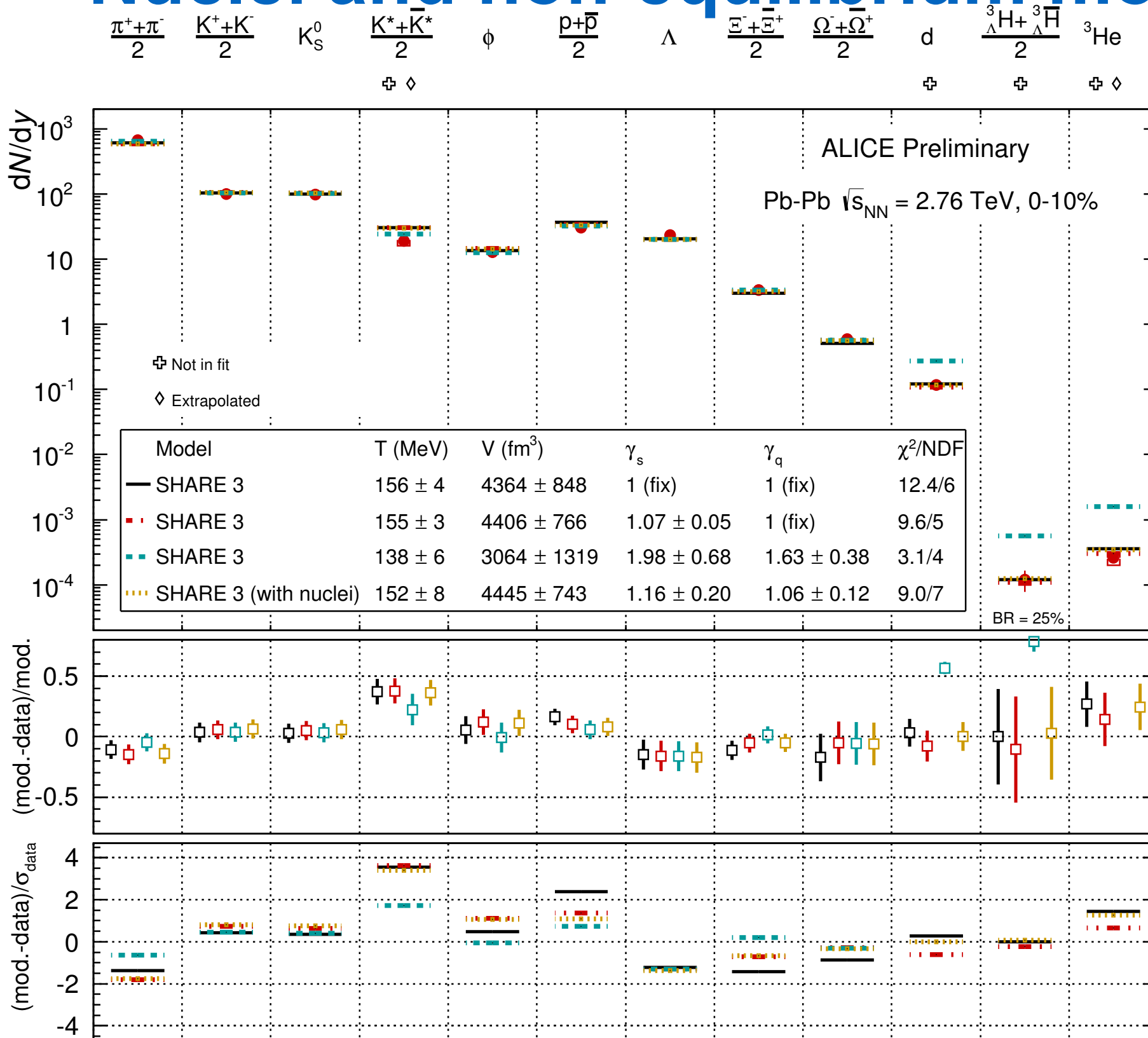
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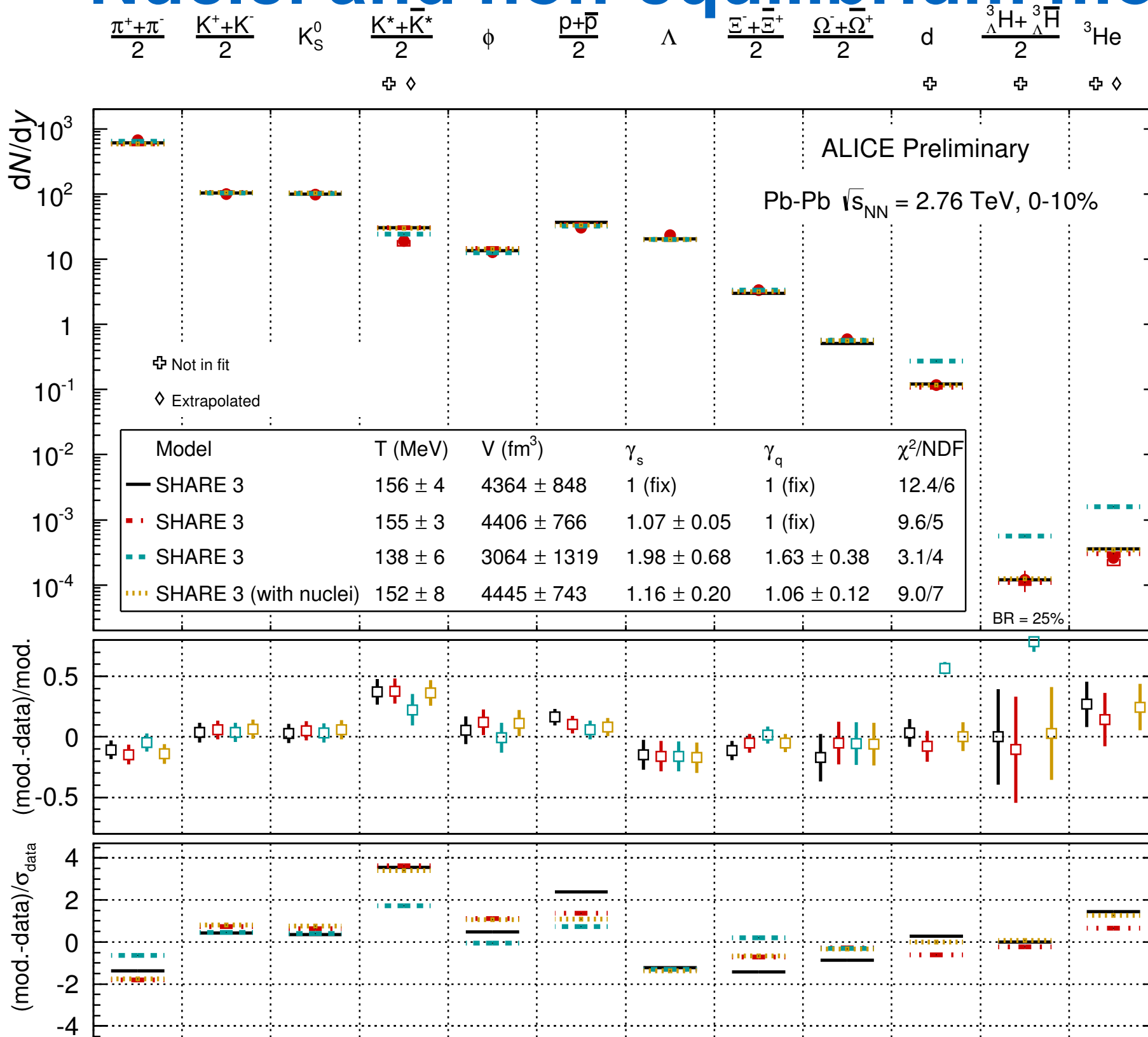


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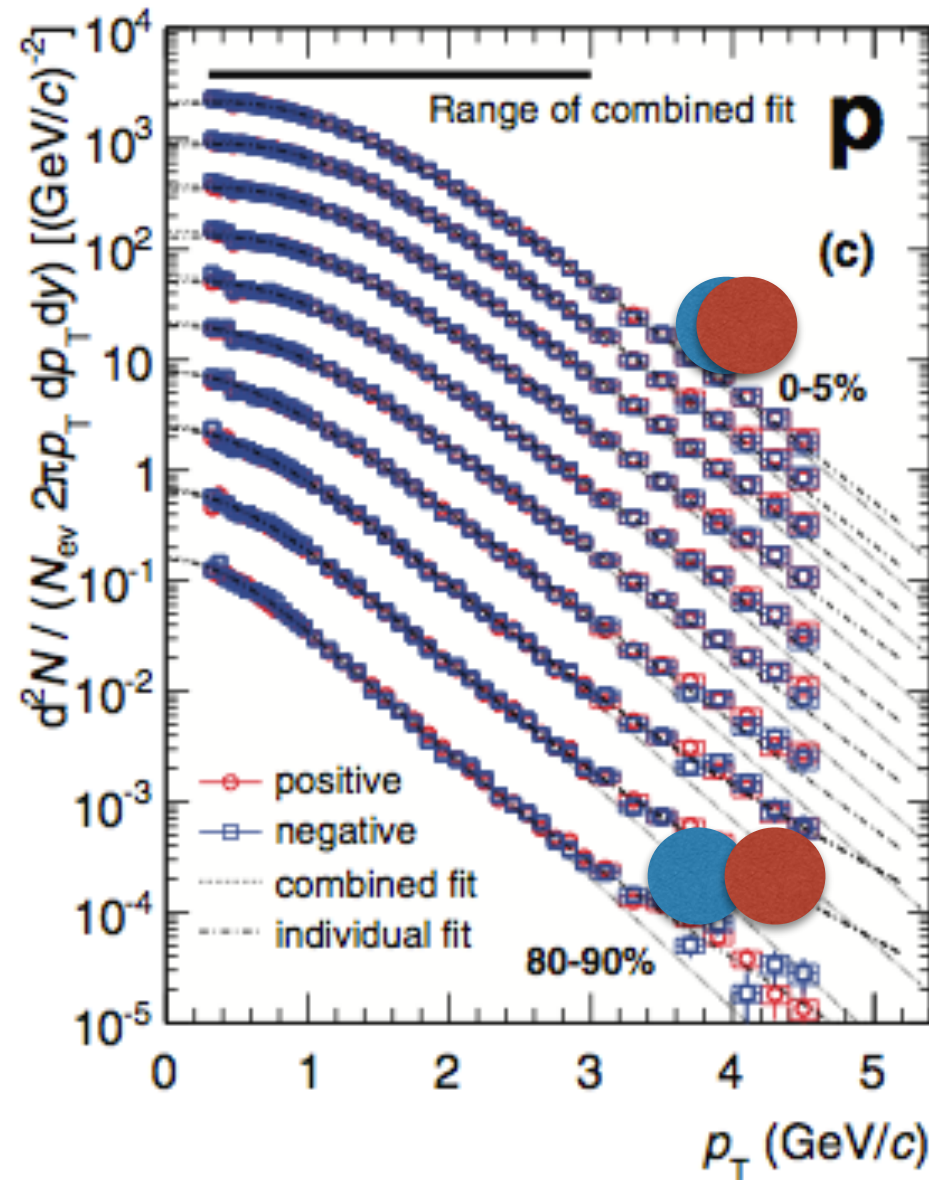
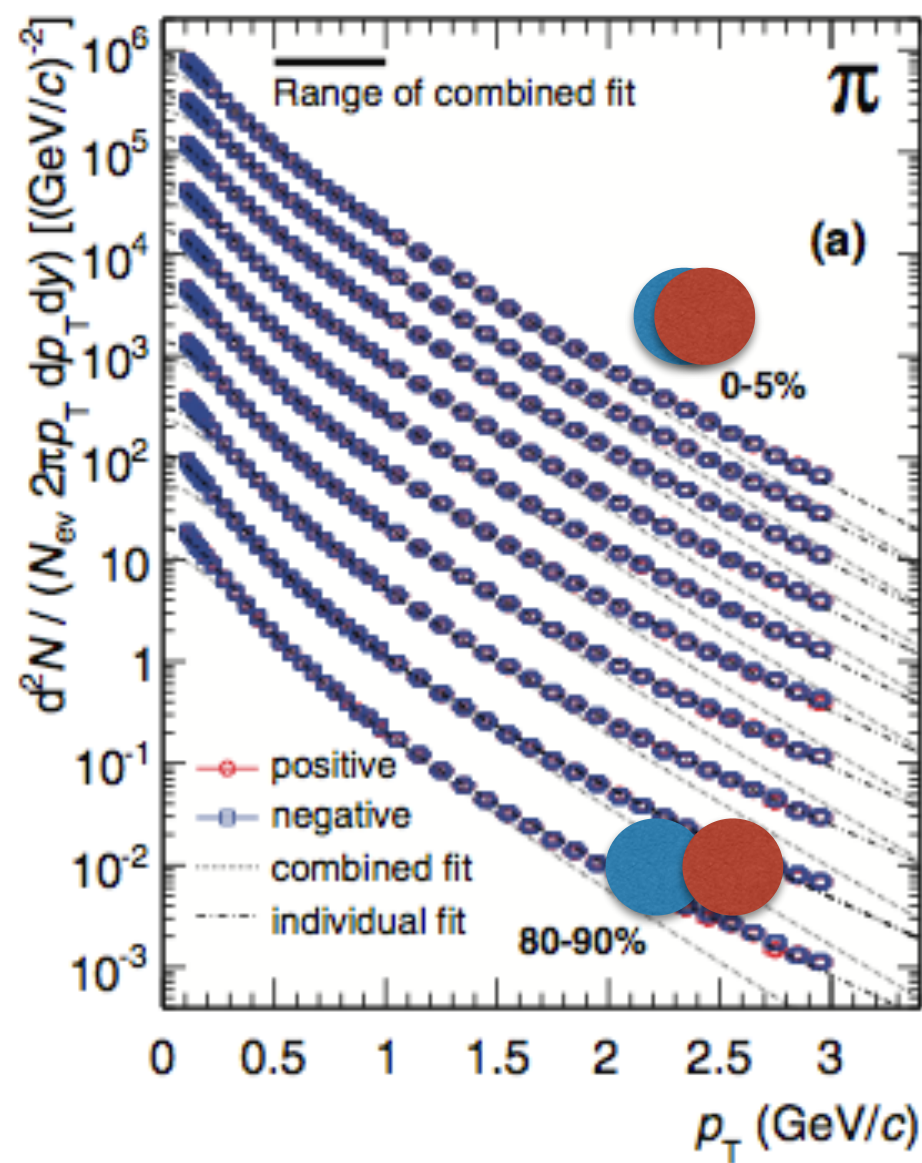
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# Radial flow in Pb-Pb

## • Mass ordering

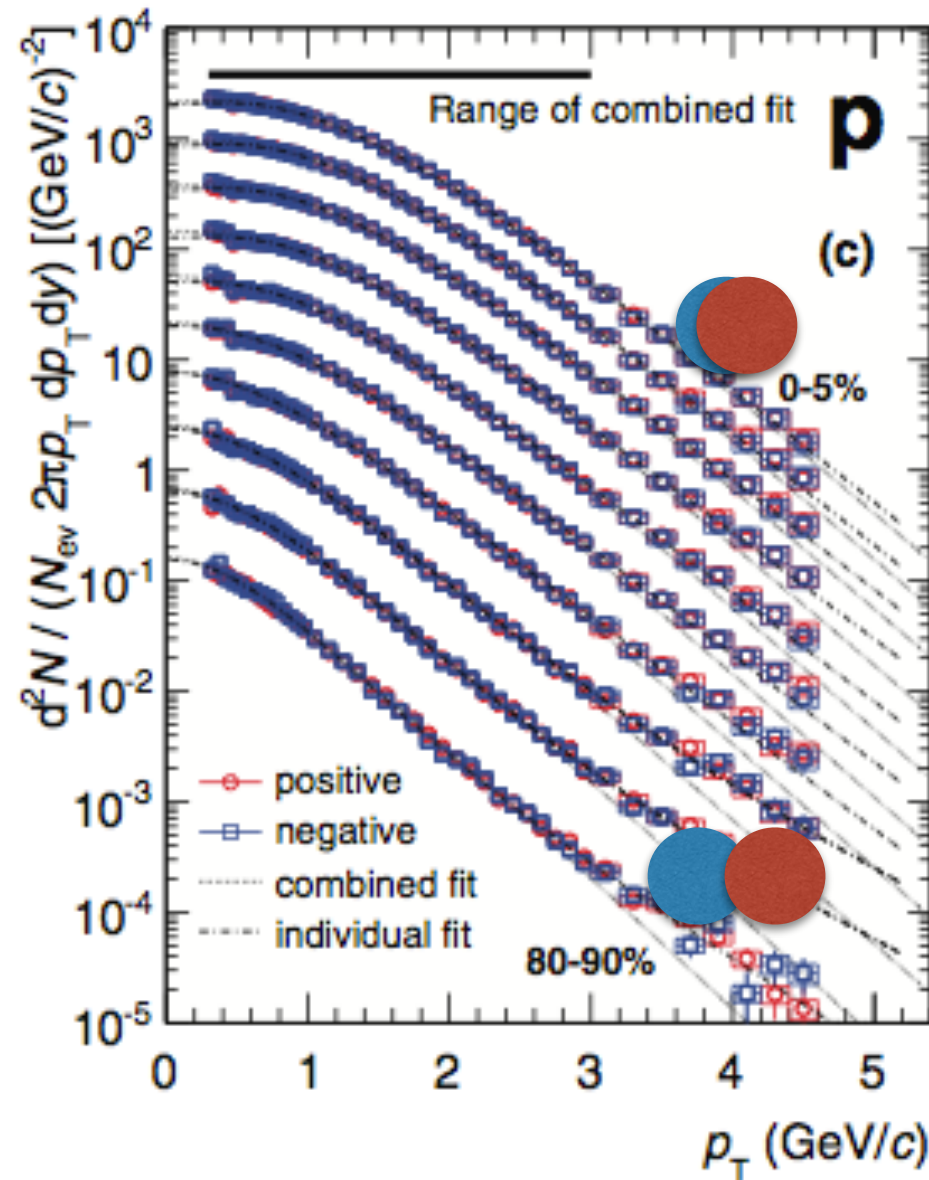
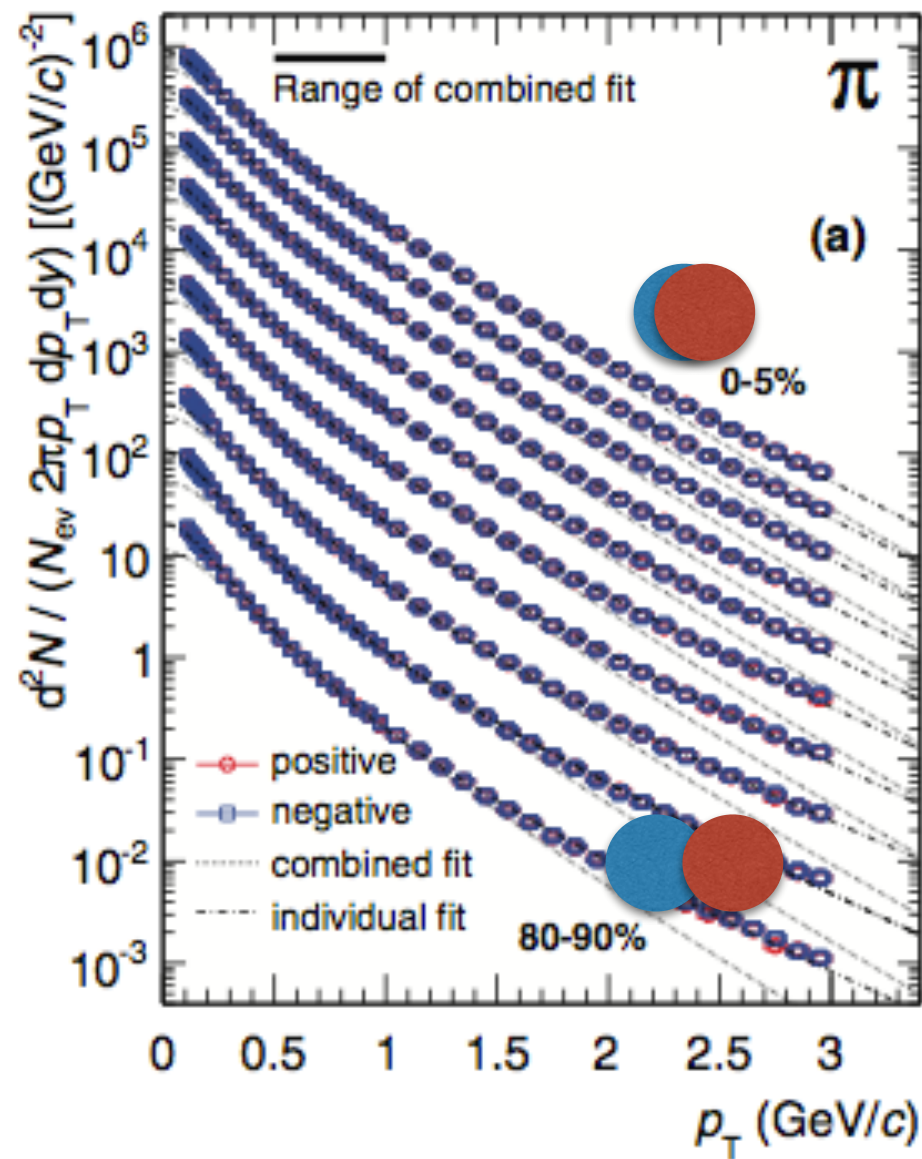


[Phys. Rev. C 88, 044910 (2013)]



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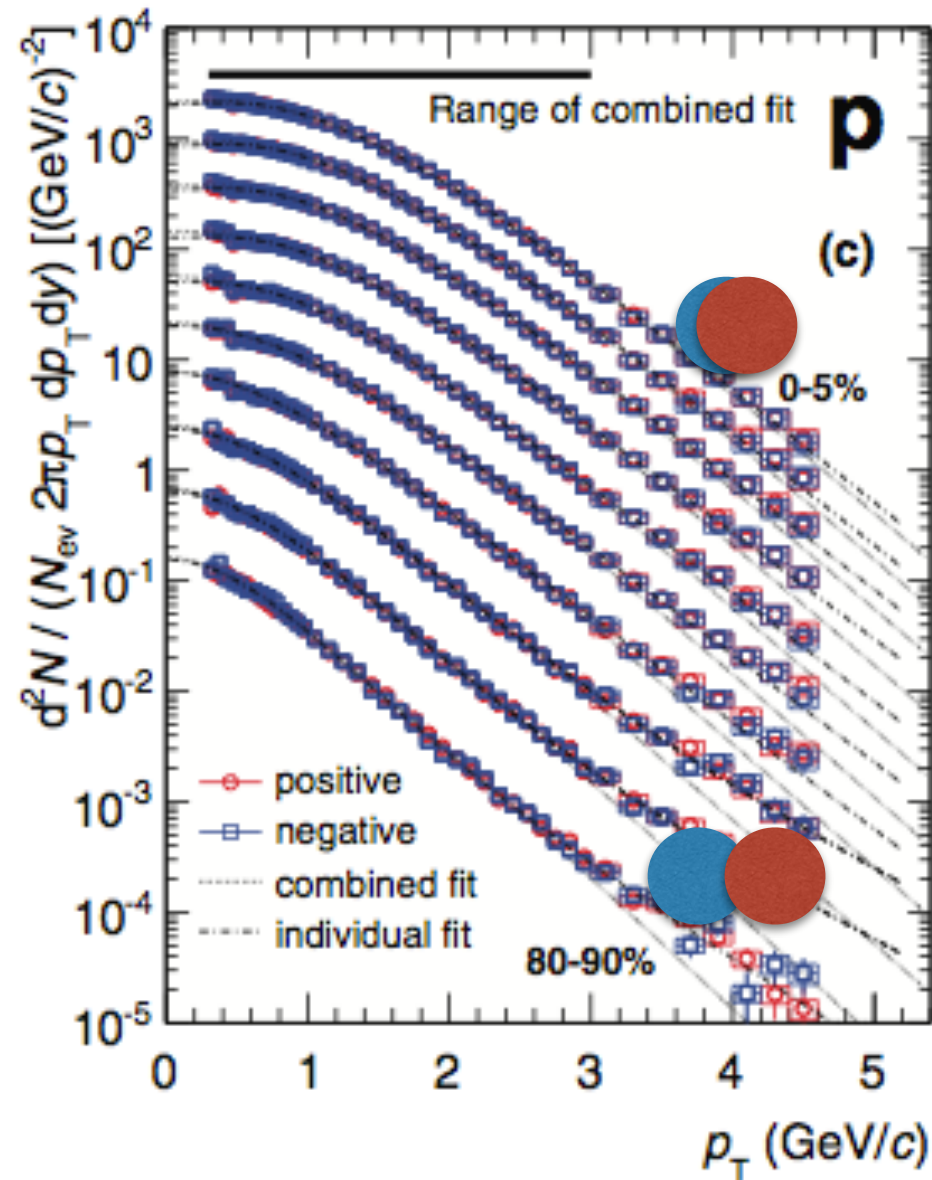
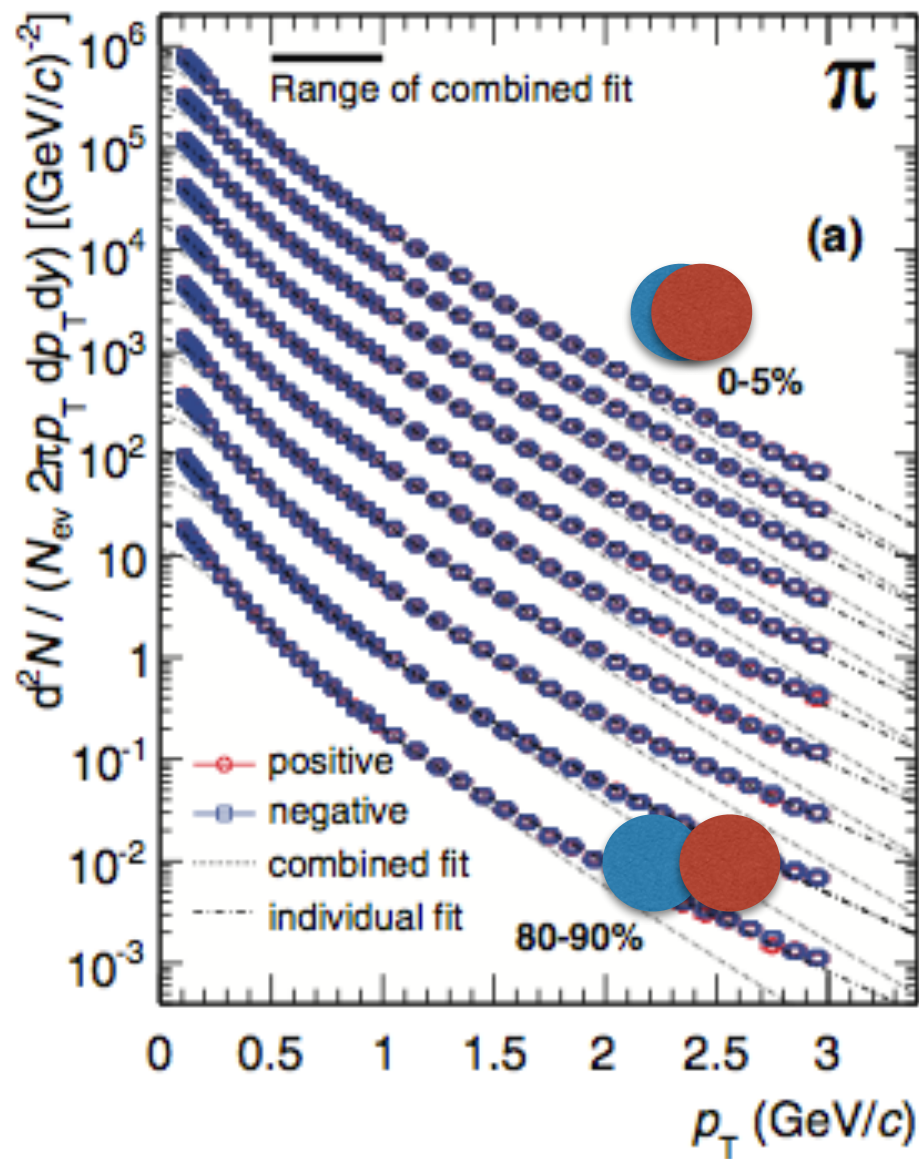


Characteristic hardening of the spectrum with increasing centrality. It is more pronounced for the heavier protons than for pions.  
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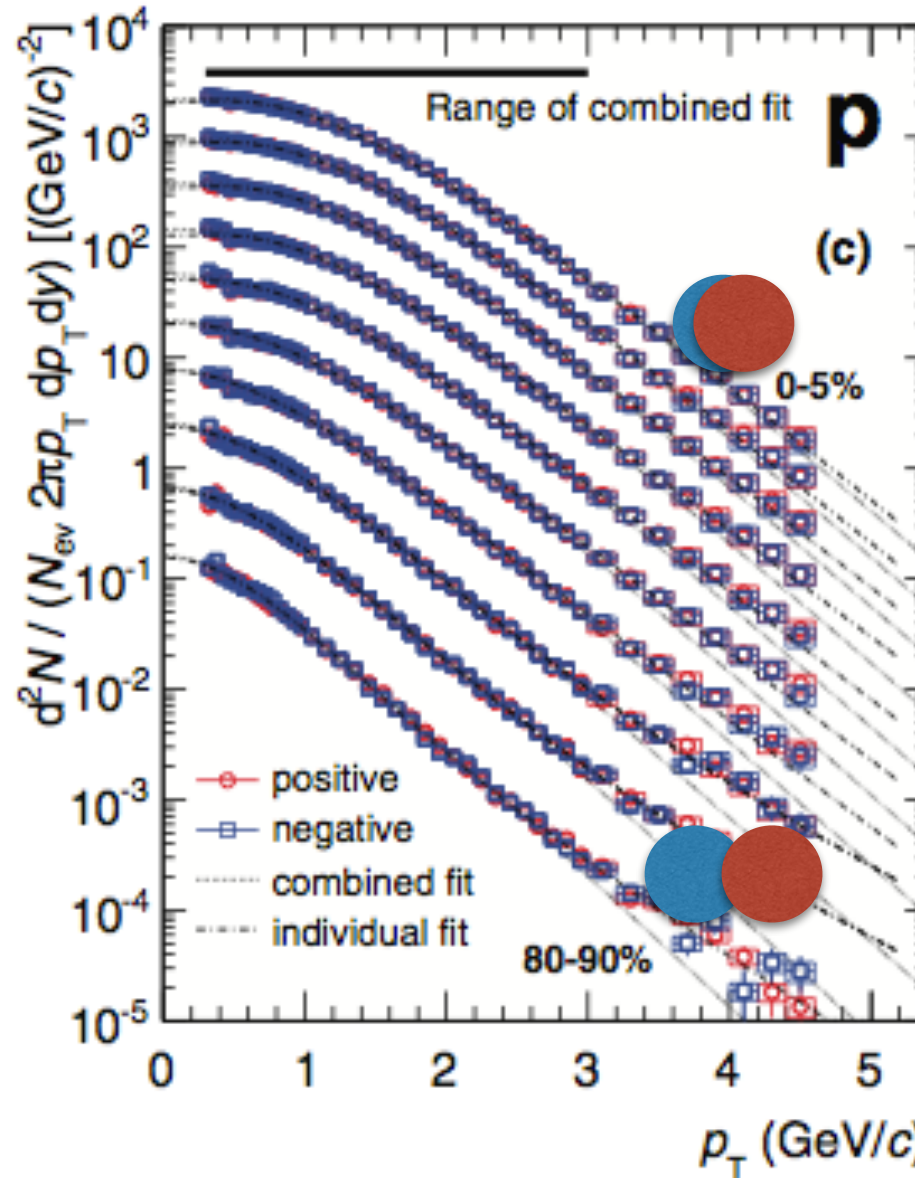
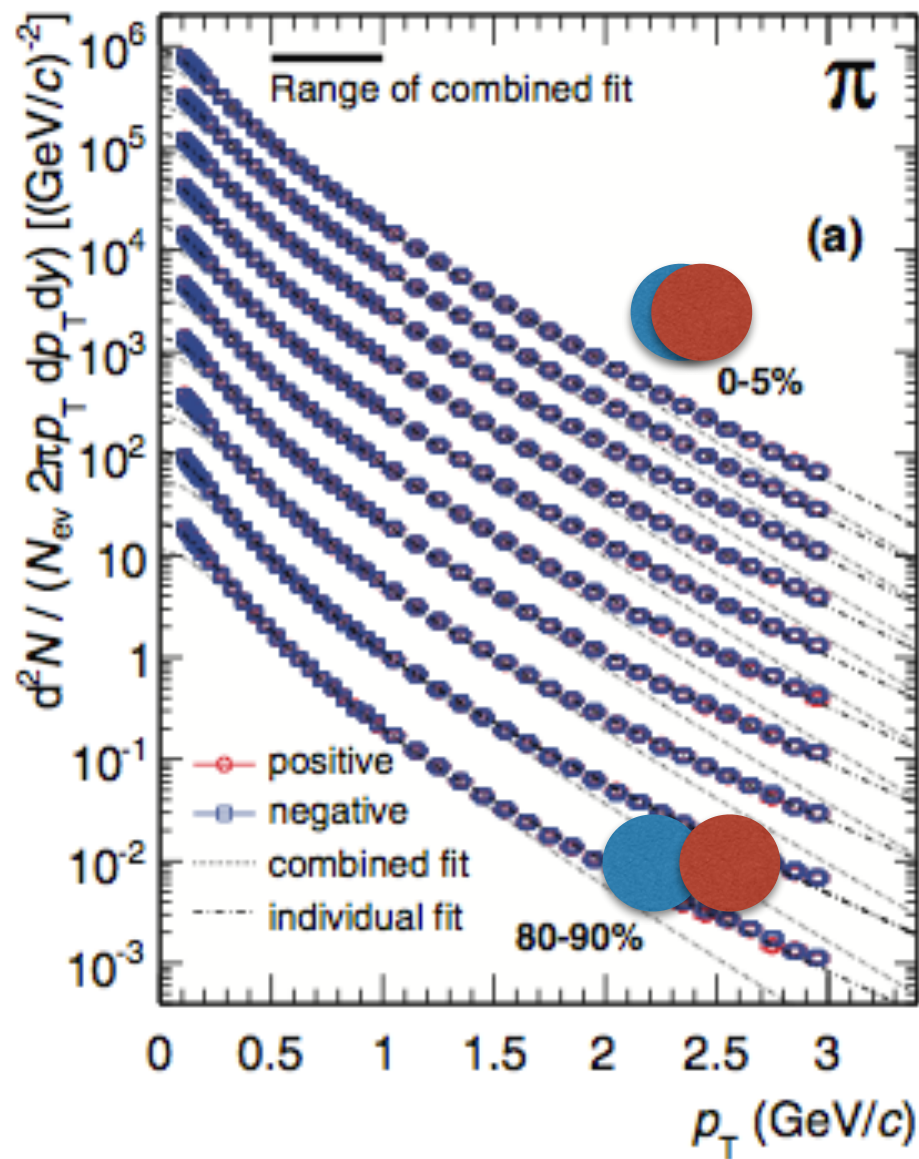
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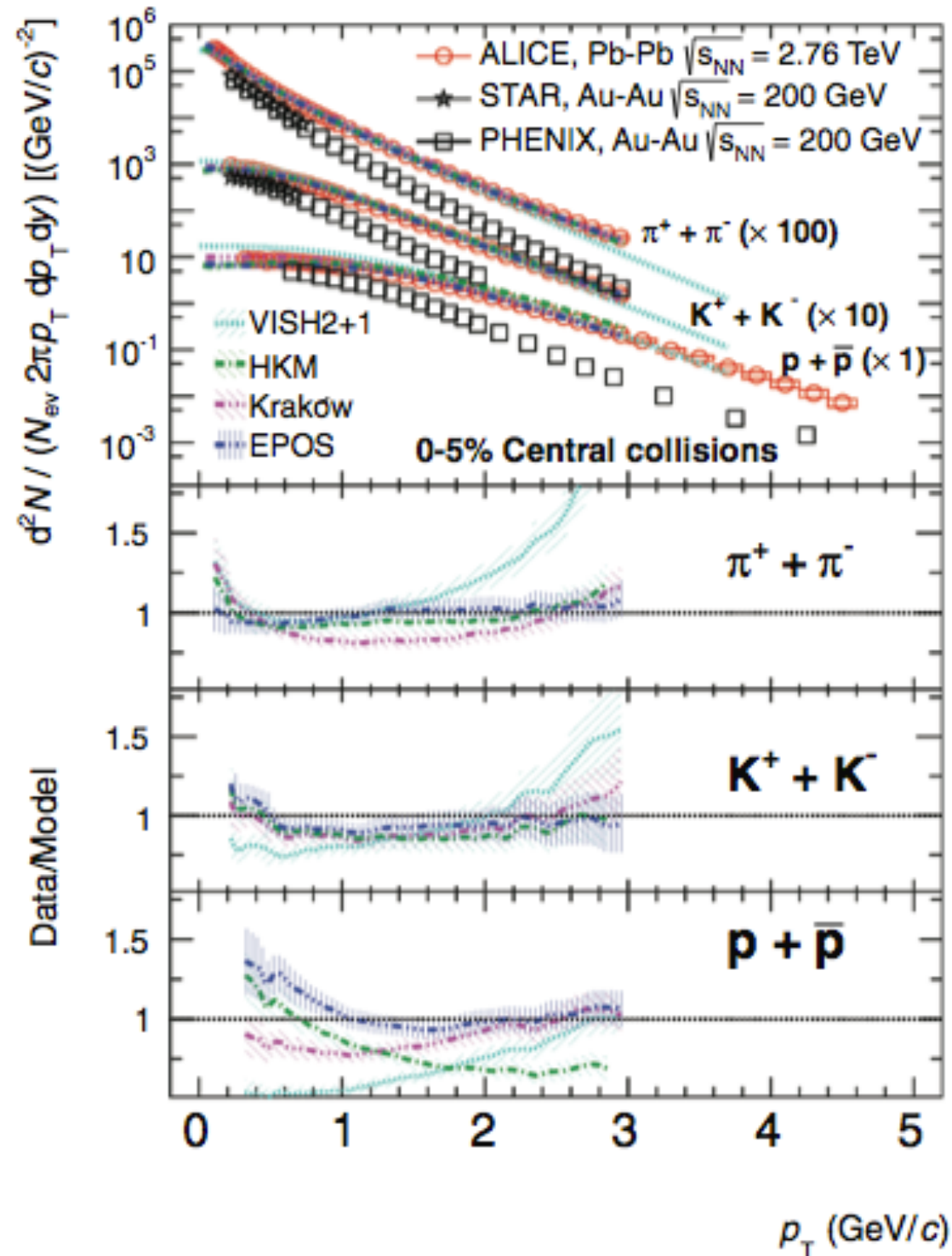
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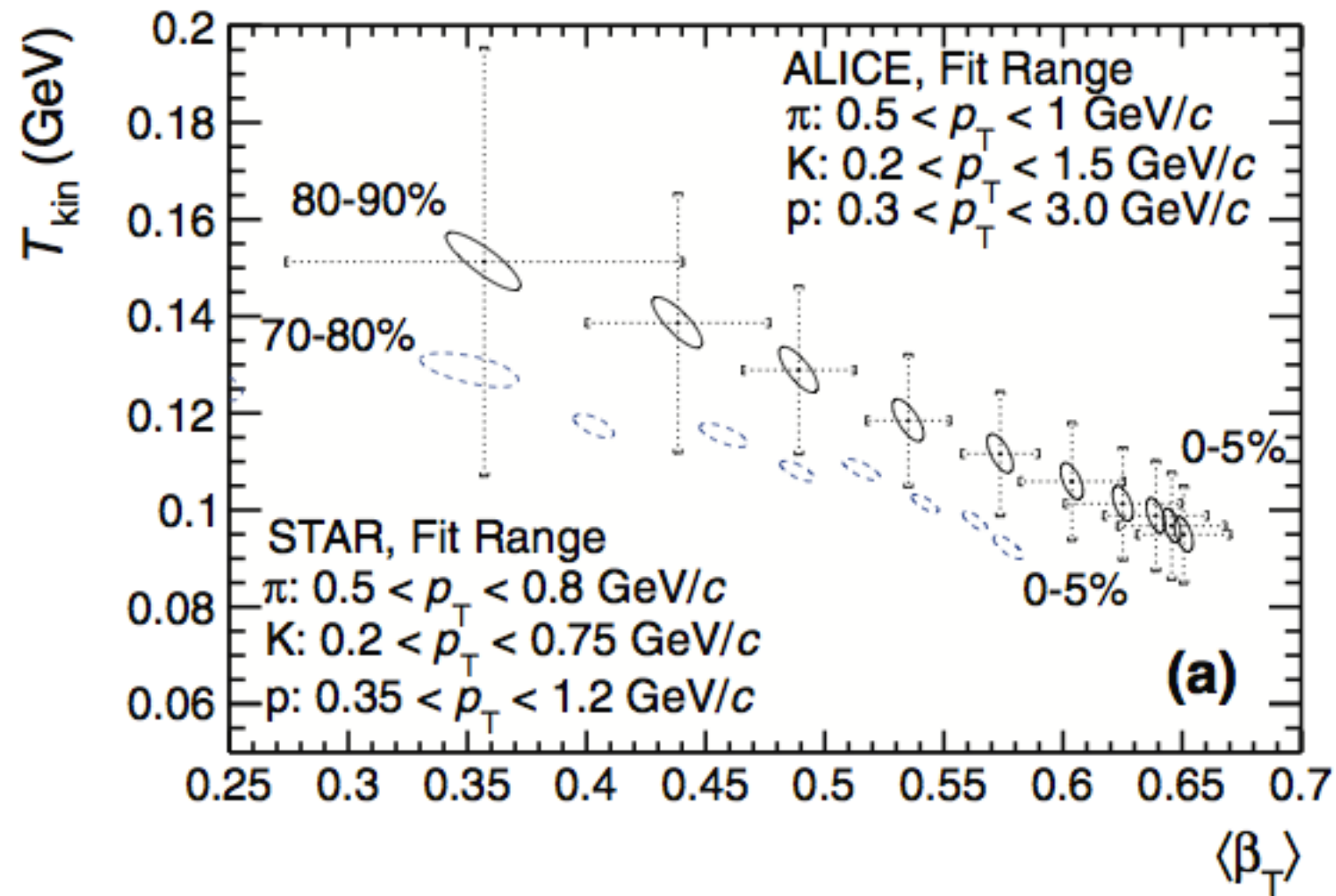
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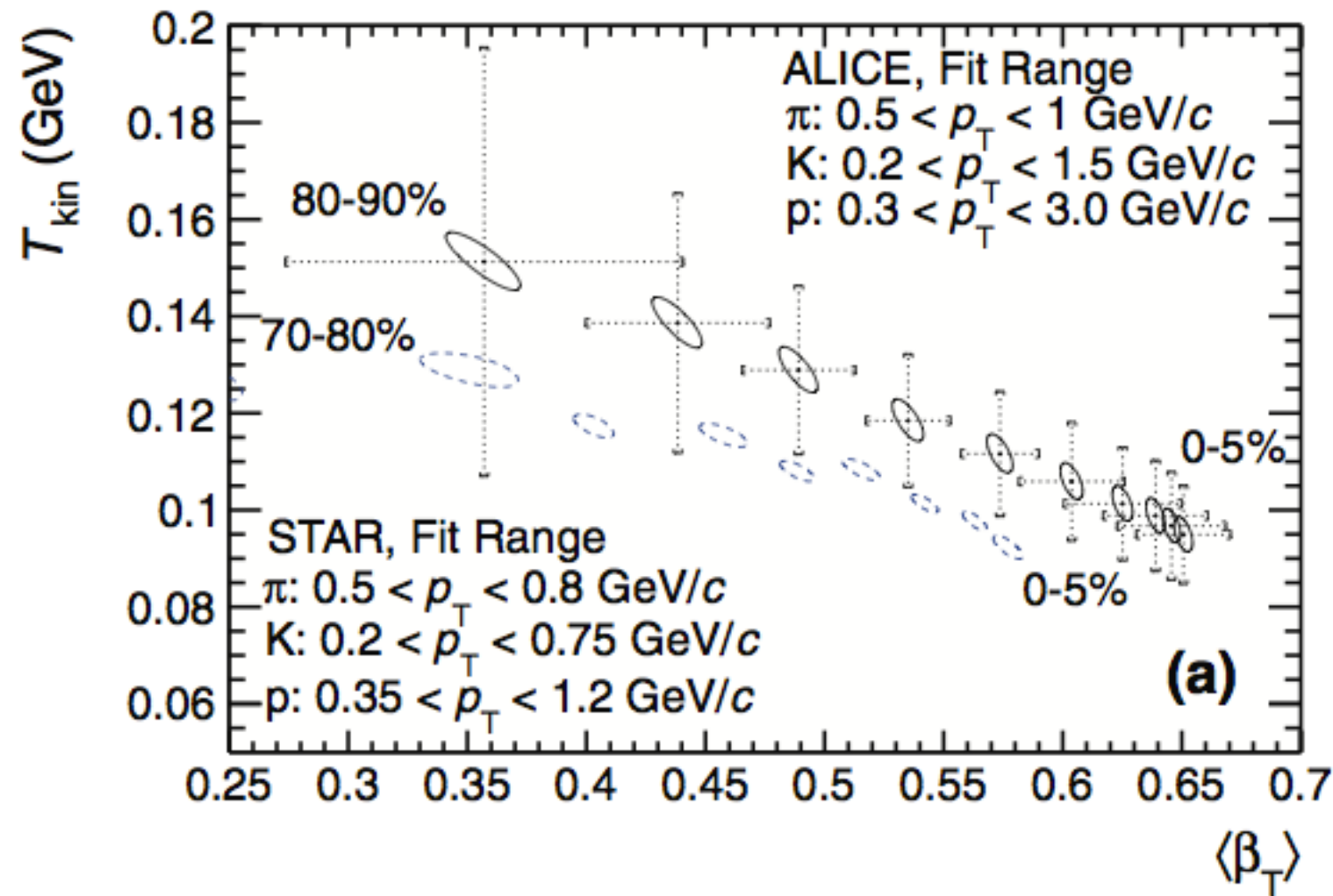


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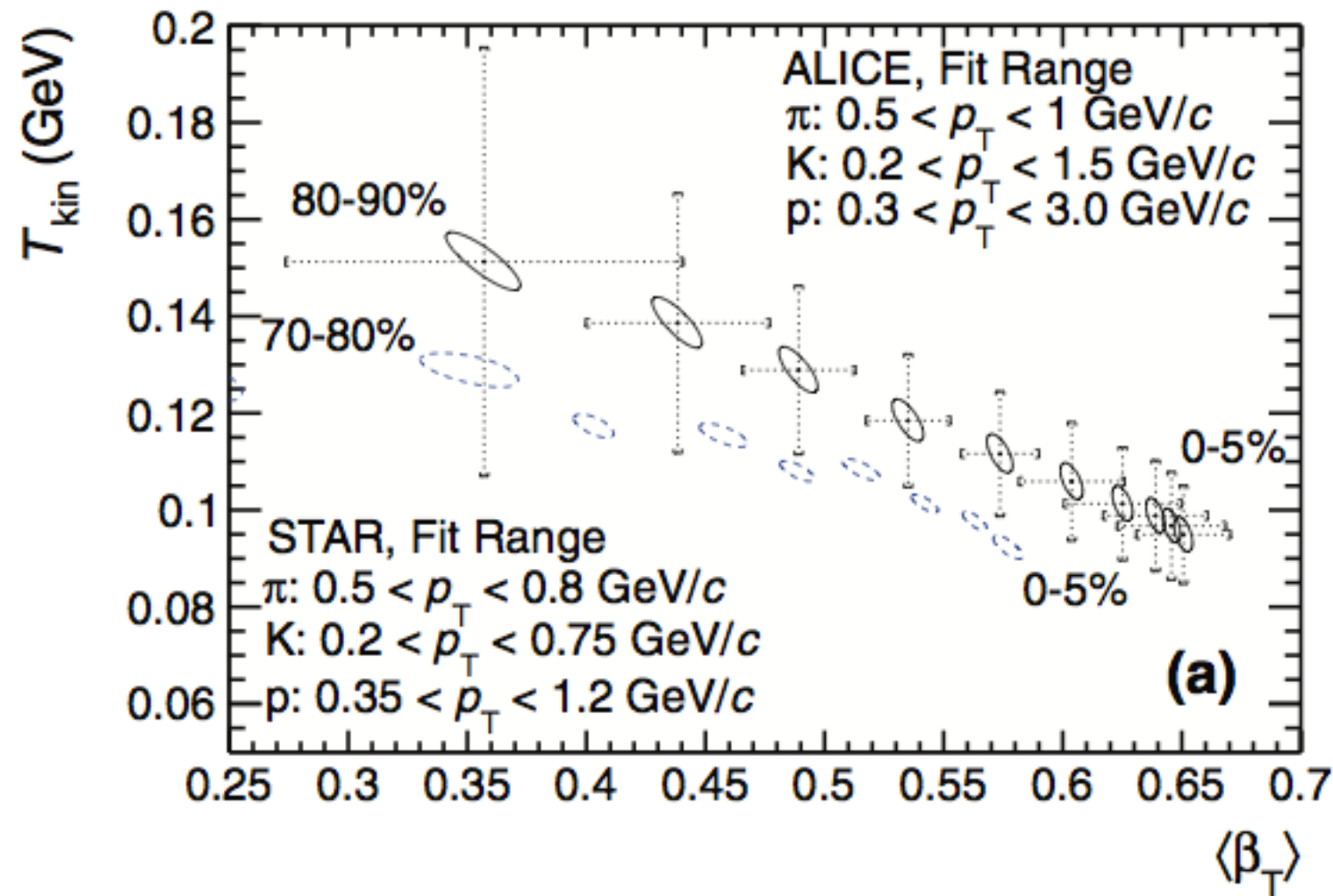
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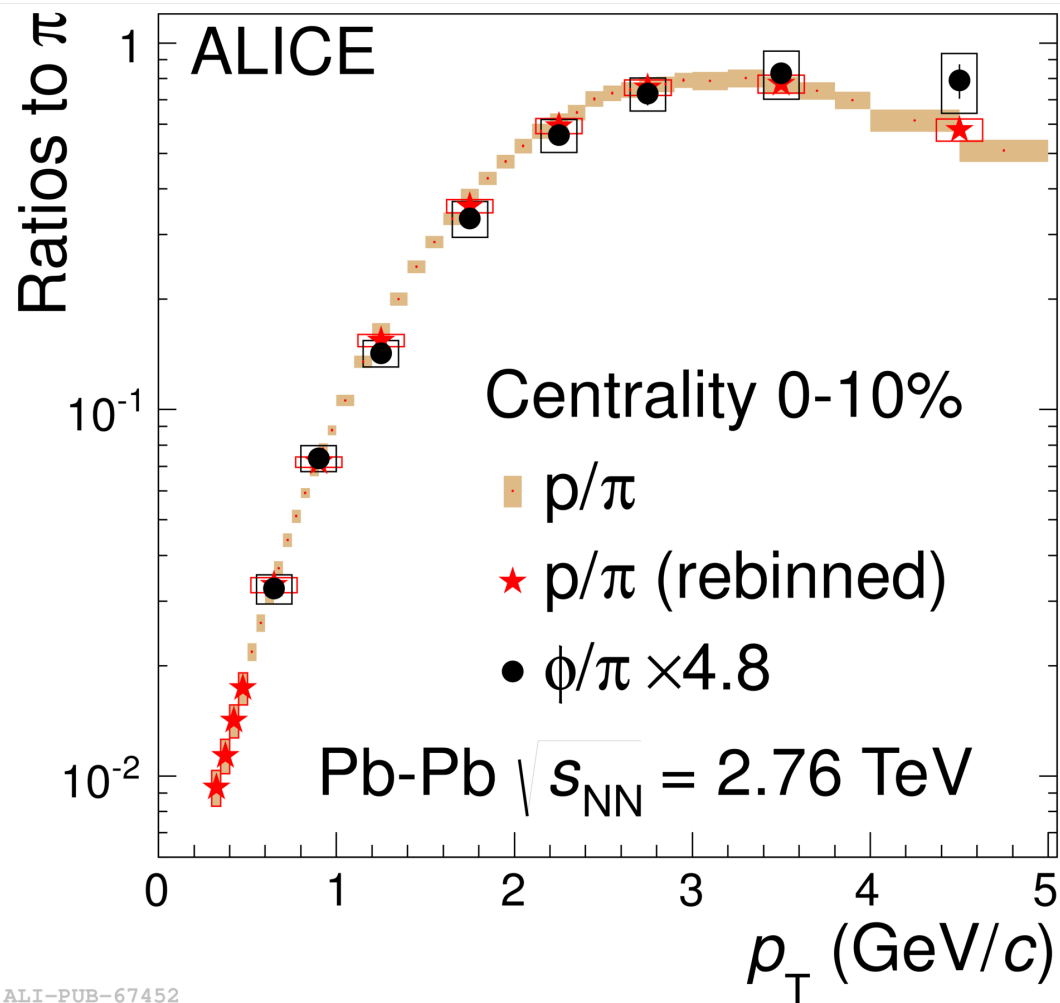
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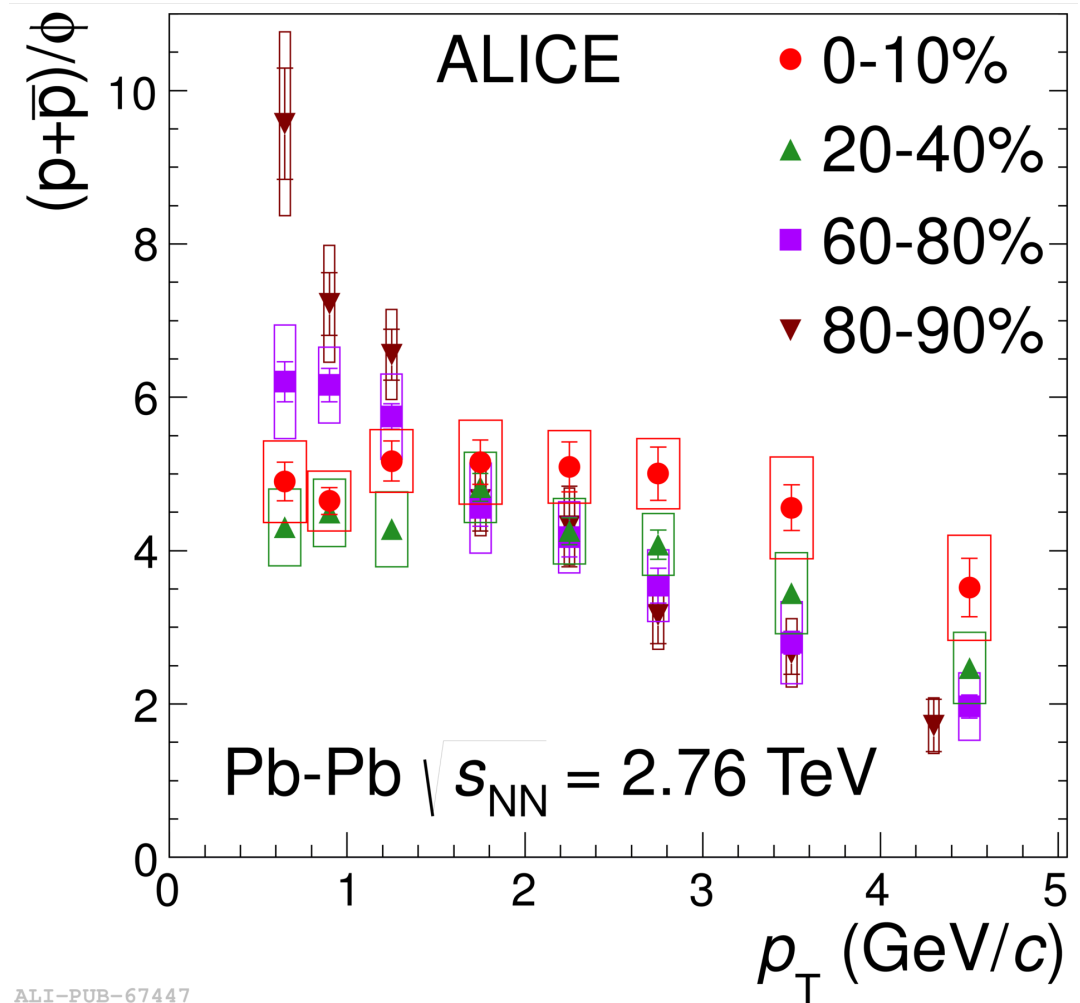
Within the severe limitations of the blast-wave model one finds:  $T_{\text{kin}} \approx 100$  MeV significantly smaller than  $T_{\text{chem}} \approx 156$  MeV and an average transverse expansion velocity around  $\langle \beta_T \rangle \approx 0.65$  for most central Pb-Pb collisions.

# $\Phi/\pi$ and $\Phi/p$ ratios

- The mass ordering can be best validated by looking simultaneously at  $\Phi$ -mesons and protons, because of their similar mass.



ALI-PUB-67452



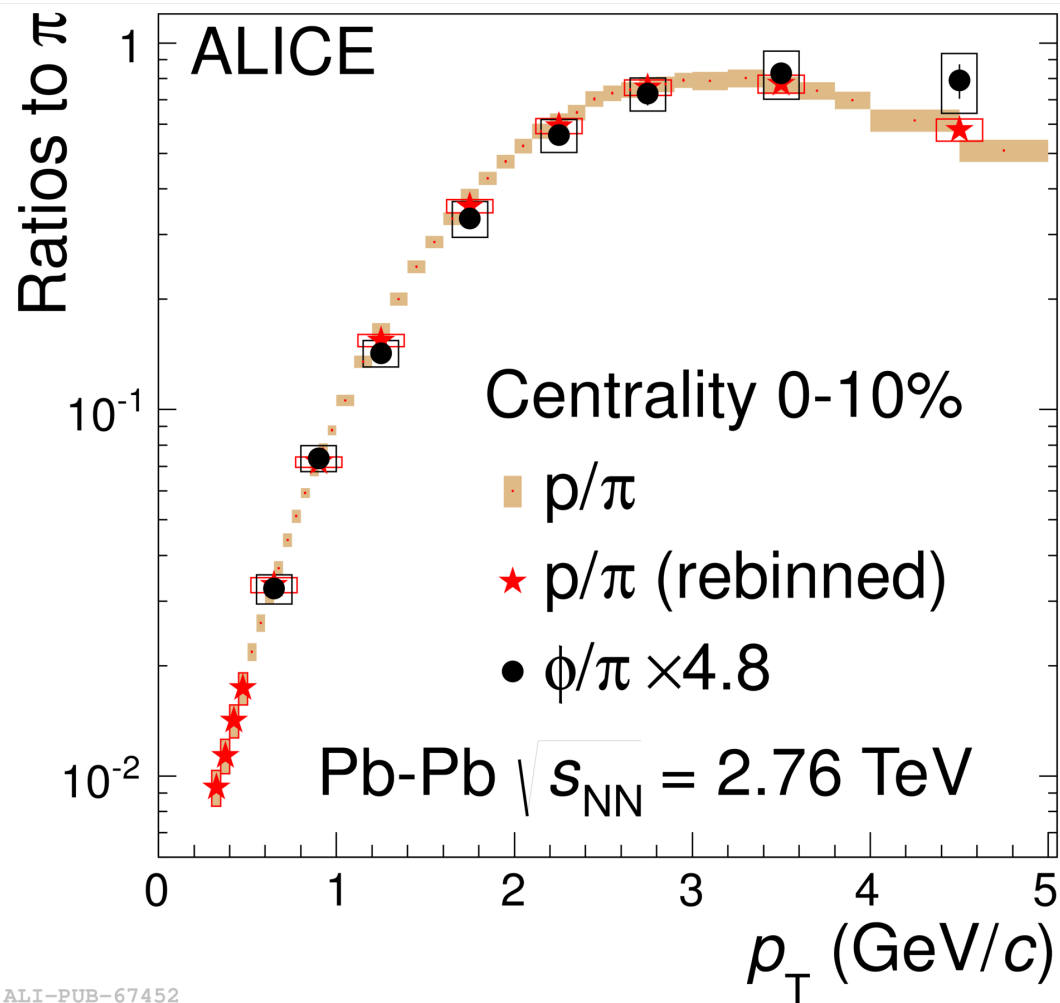
ALI-PUB-67447

[1404.0495]

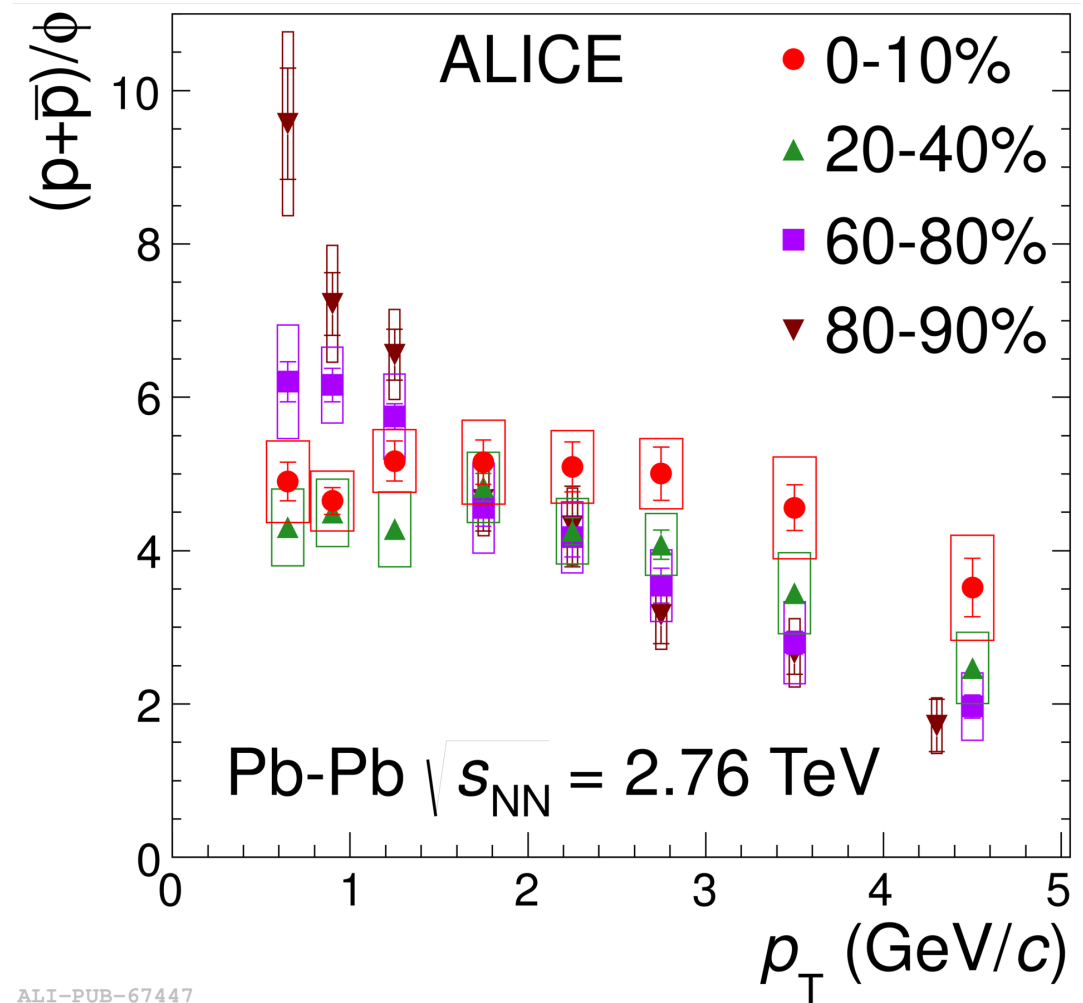


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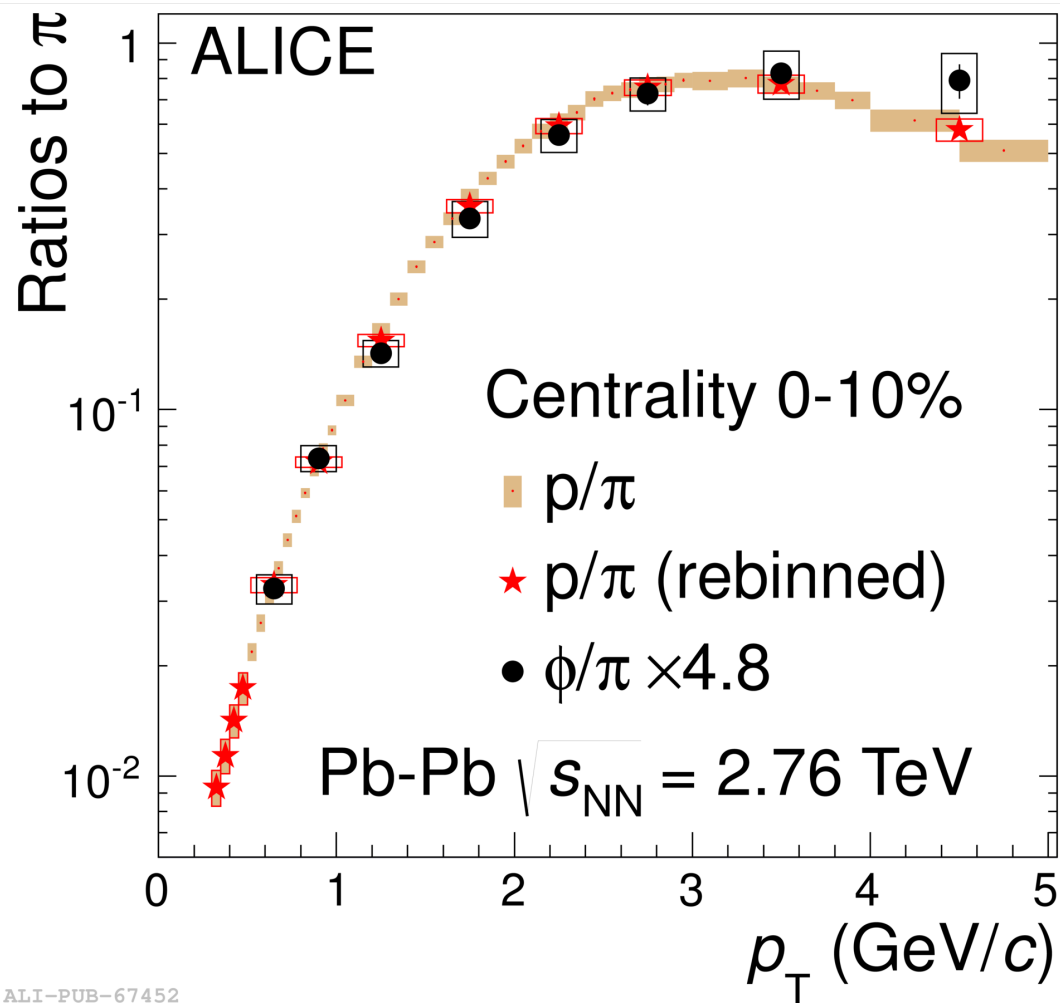
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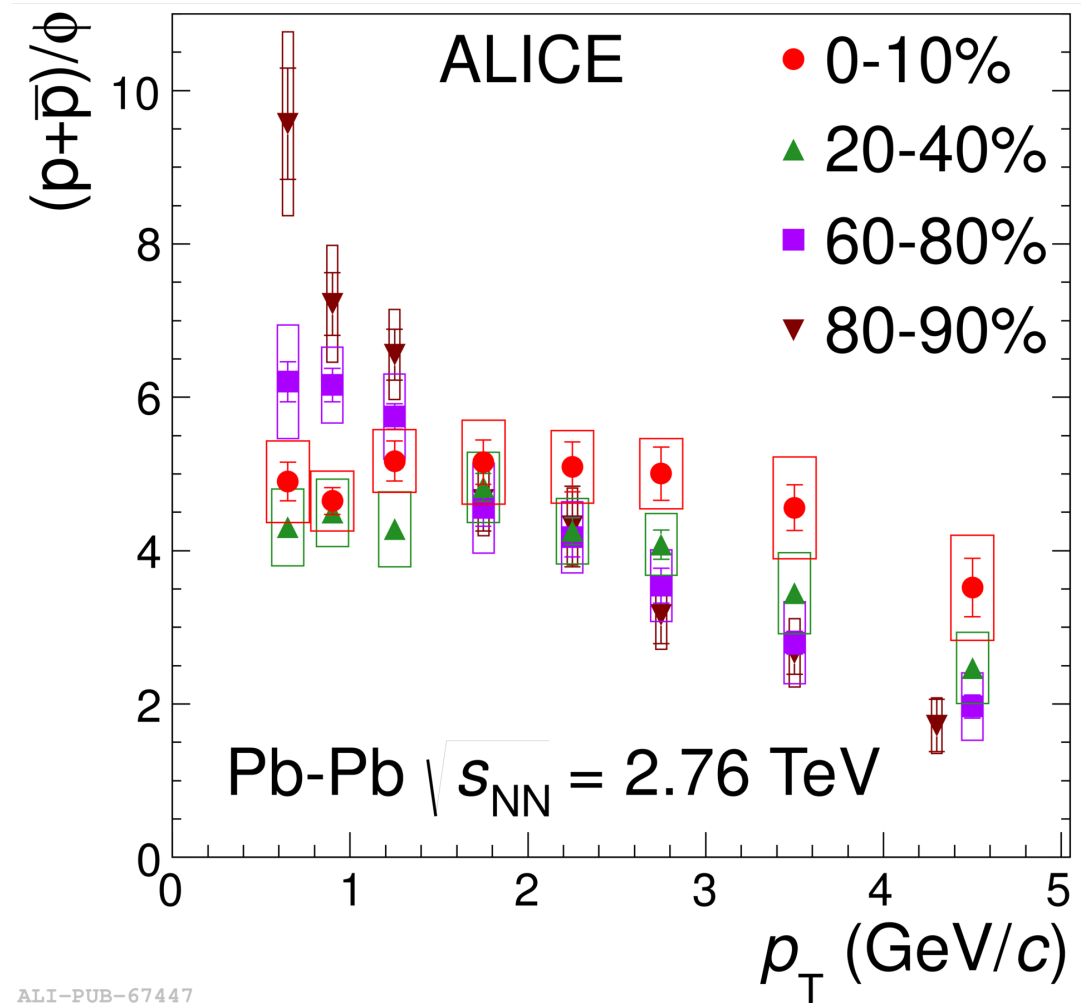
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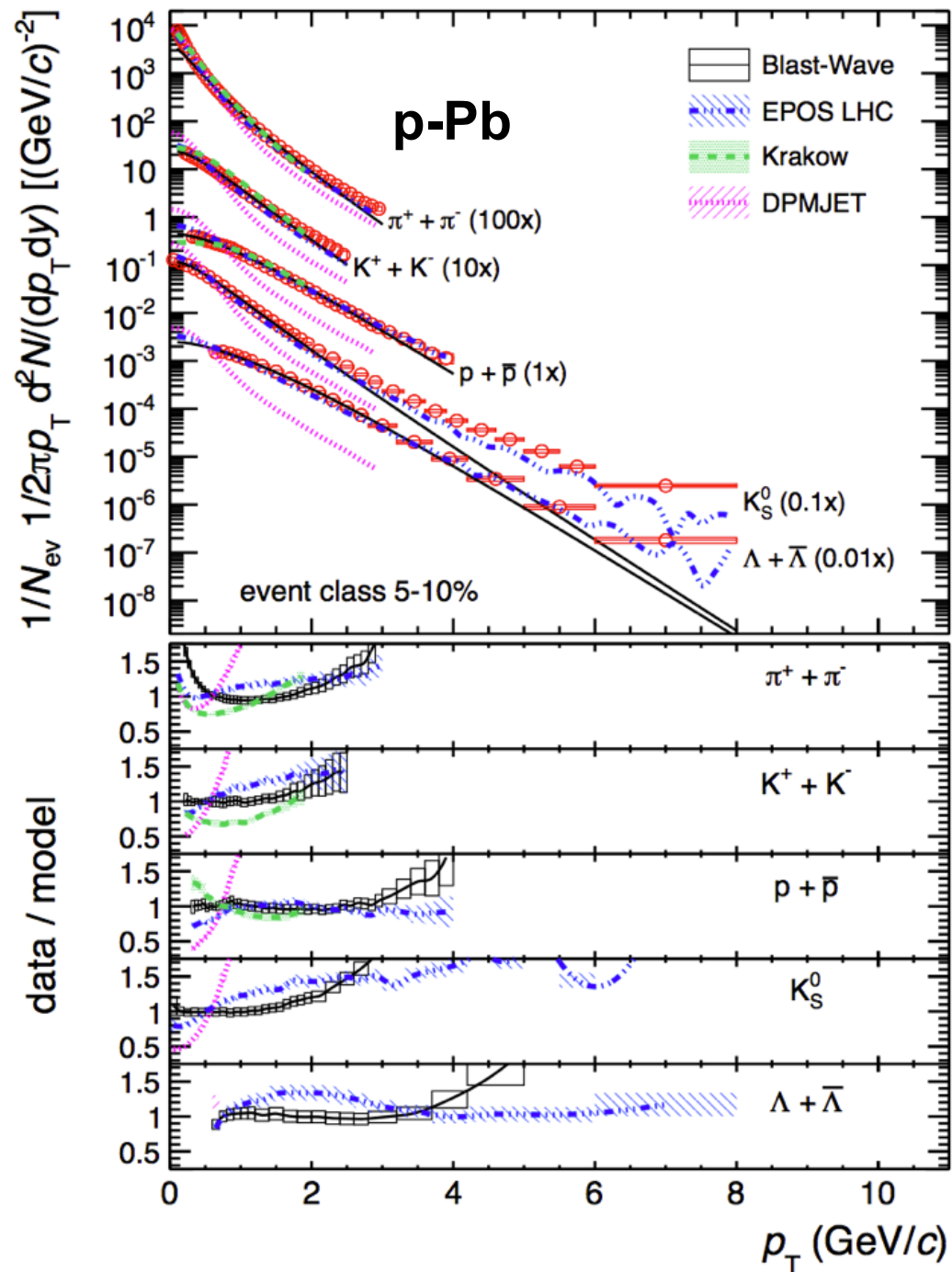
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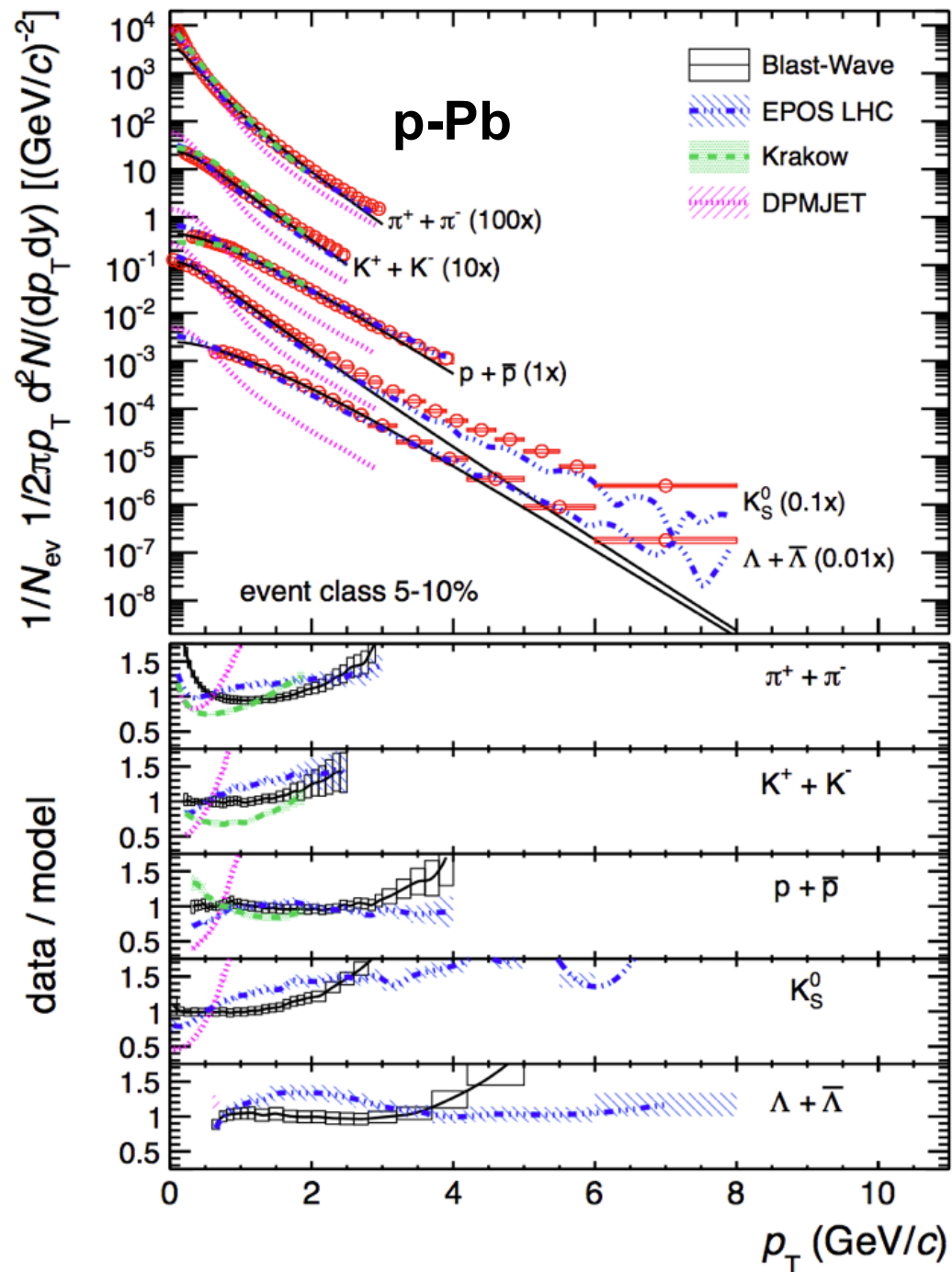
This suggests a clean mass dependency (not number of valence quarks) at low  $p_T$  in central Pb-Pb, consistent with the hydrodynamic picture.

# Blast-wave fits to p-Pb data



[Phys. Lett. B 728 (2014) 25-38]

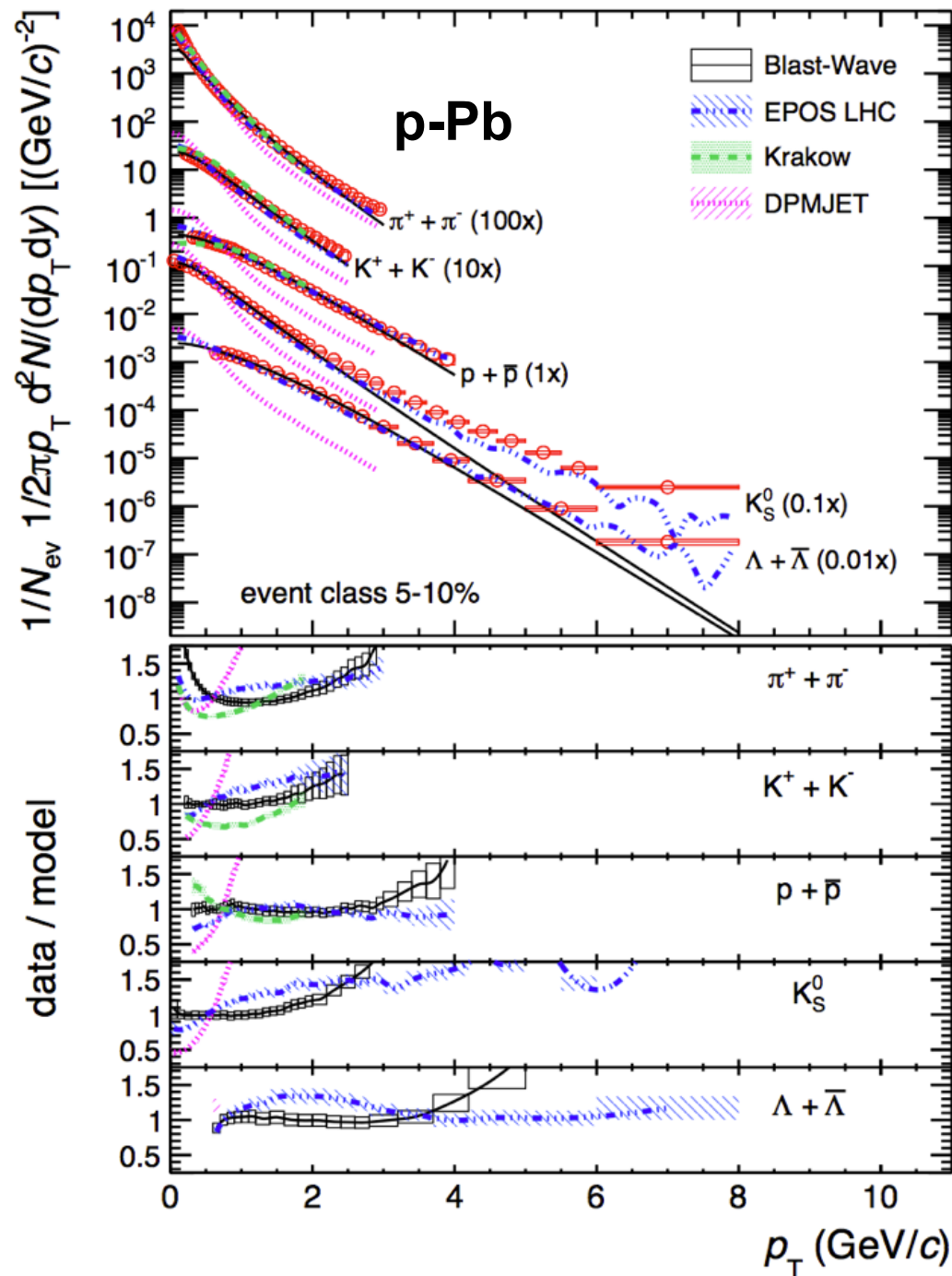
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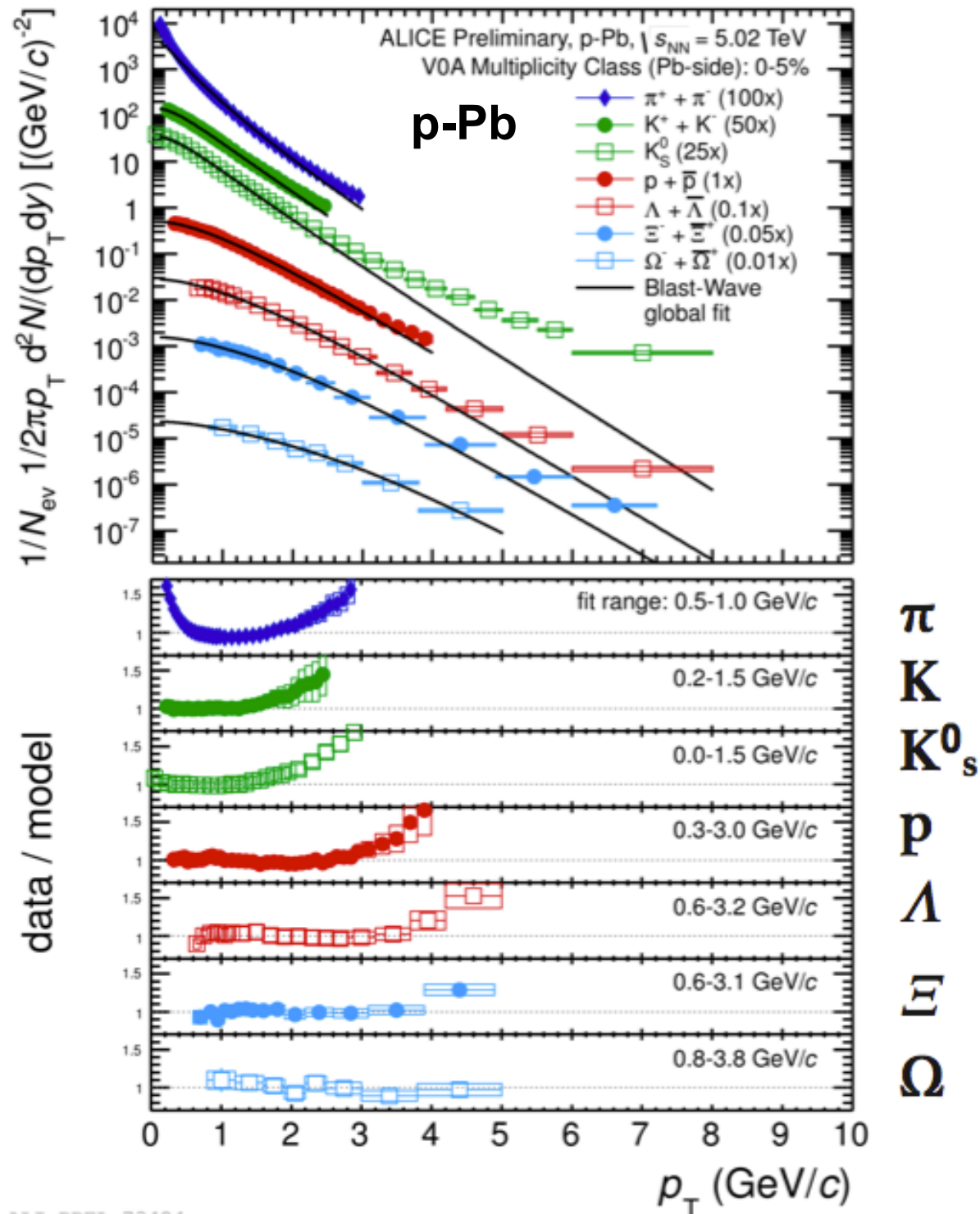
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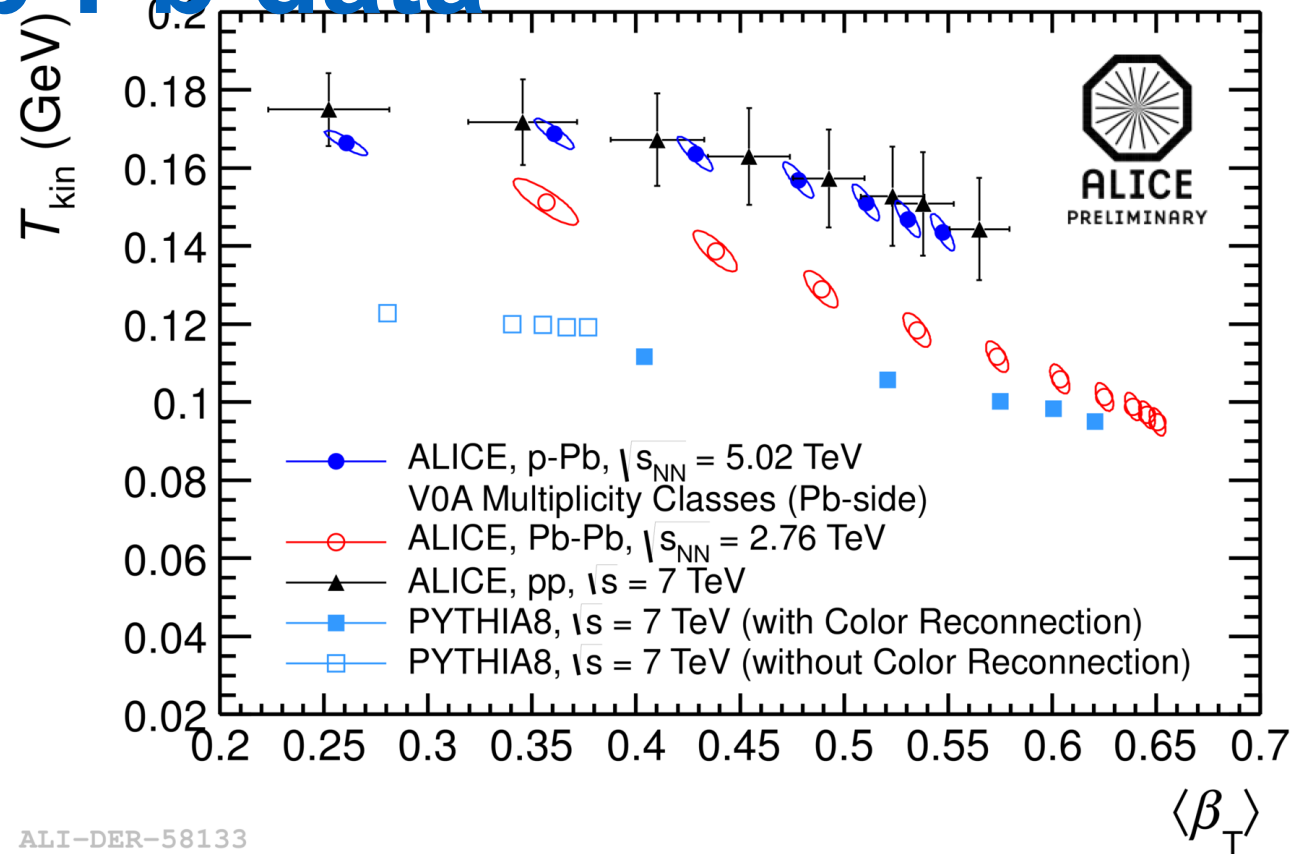
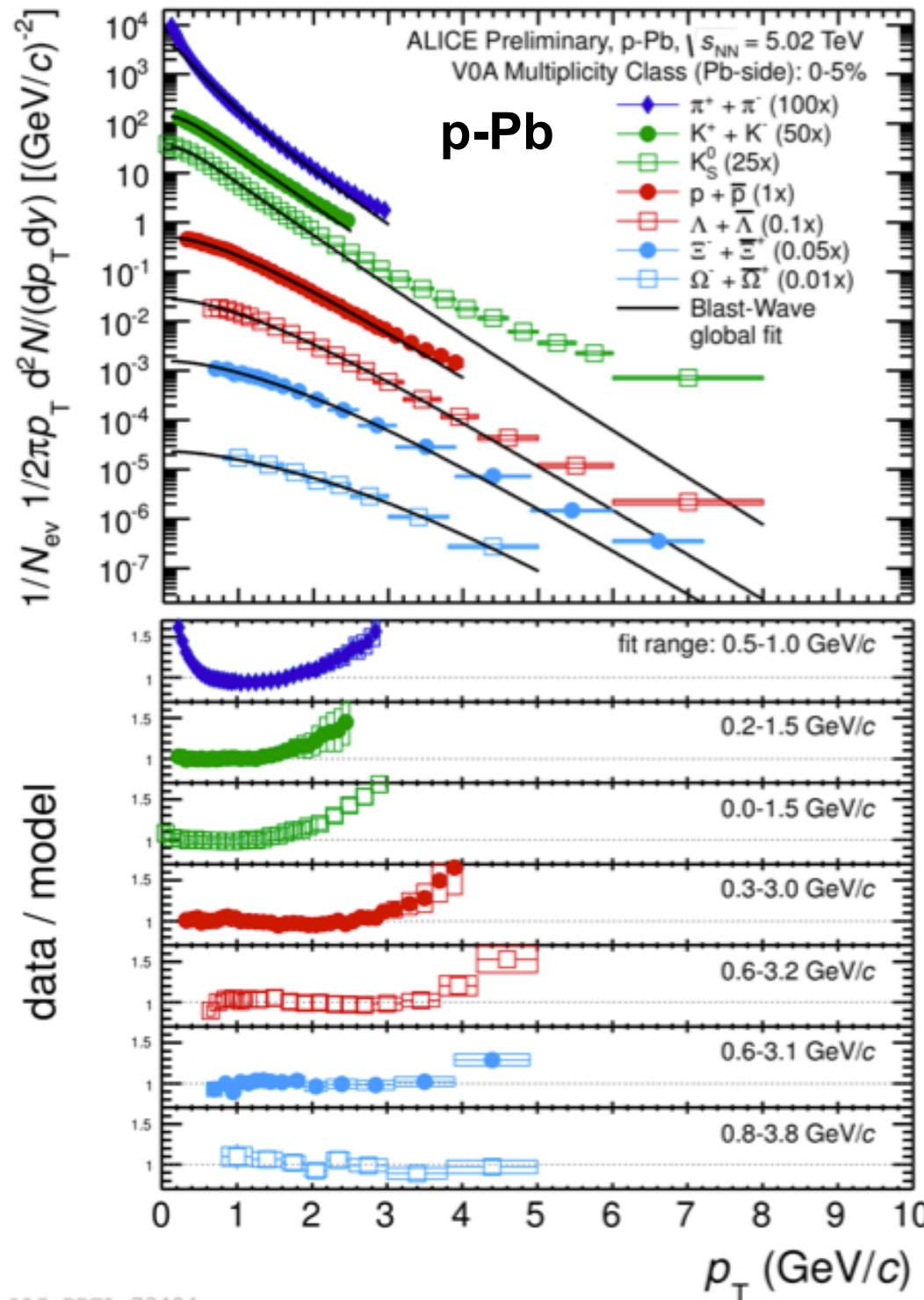
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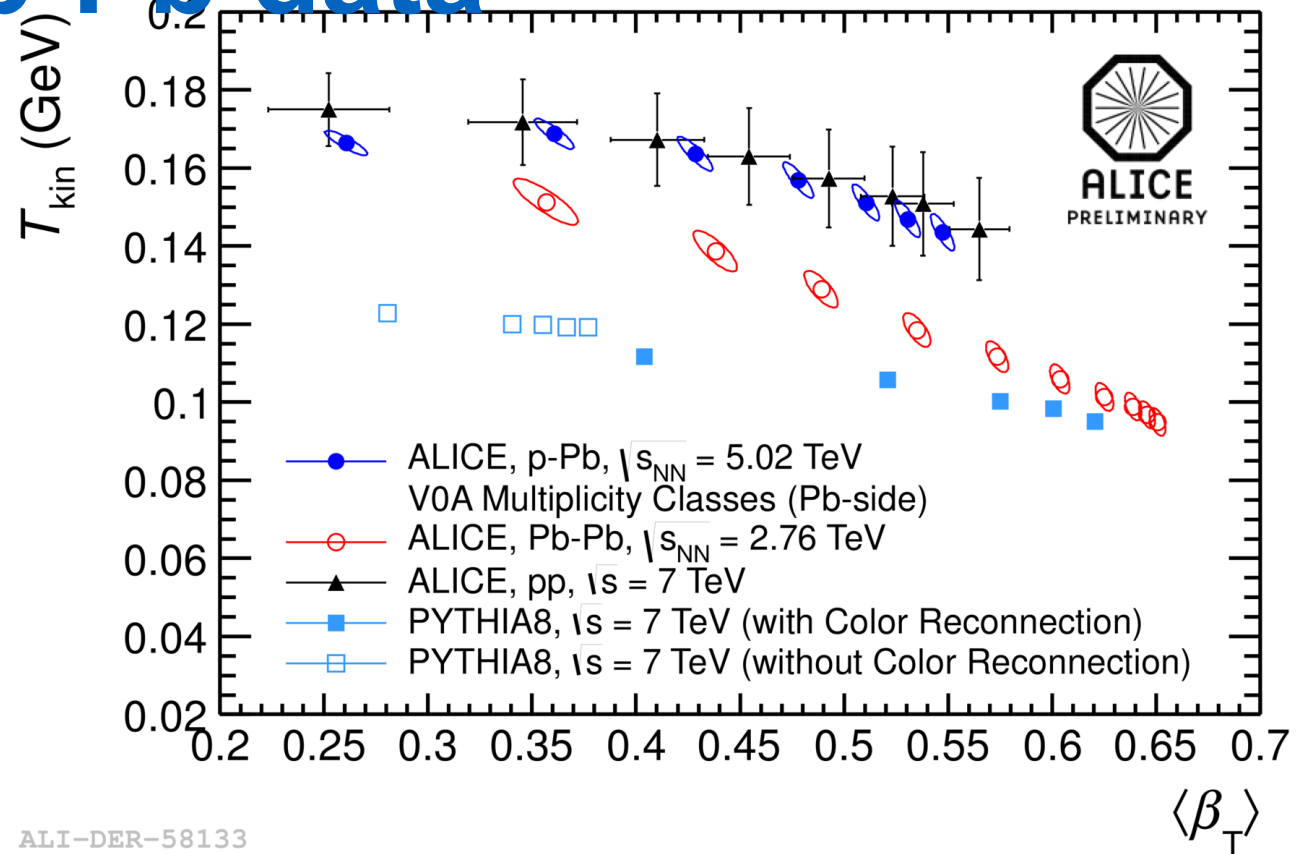
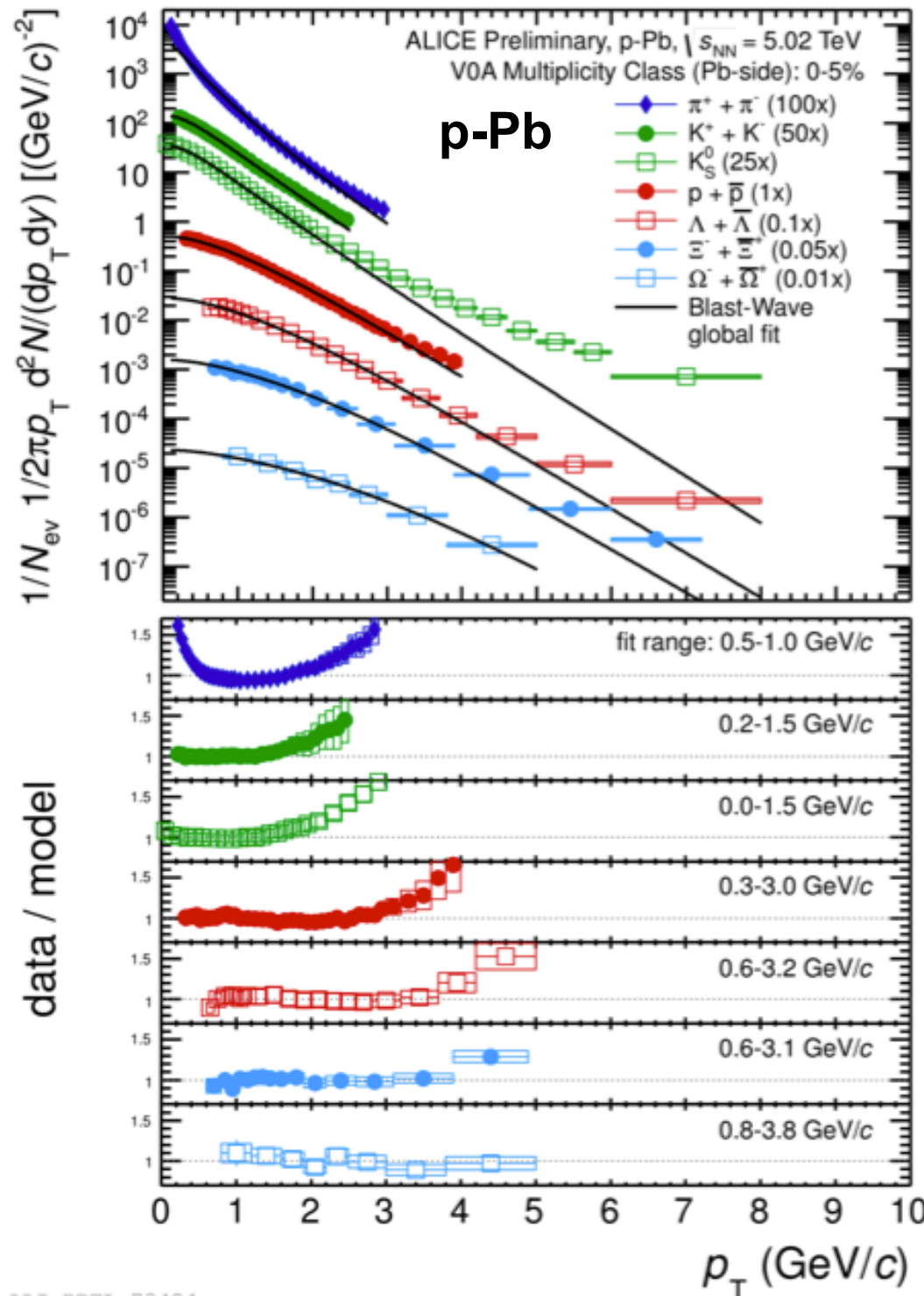


$\pi$   
 $K$   
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ALI-DER-58133

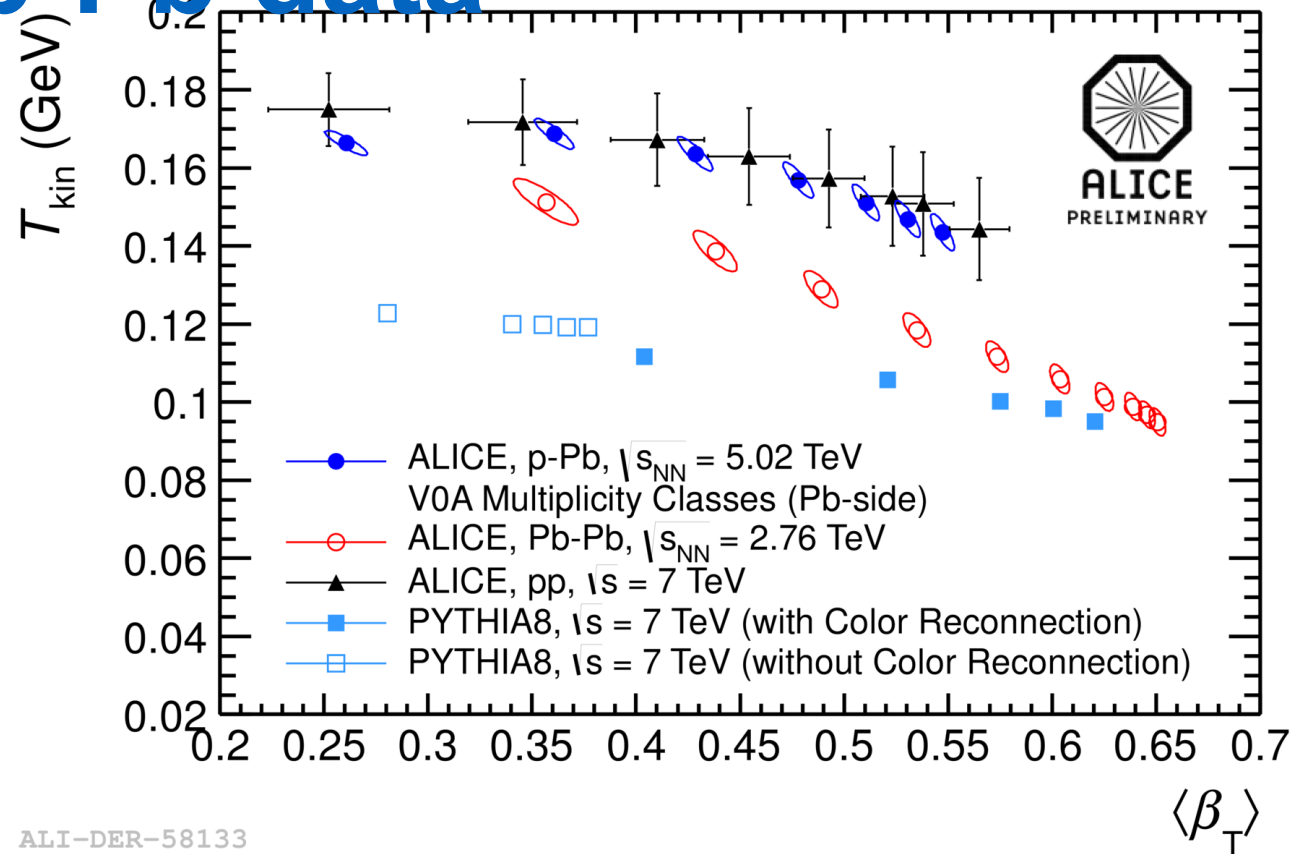
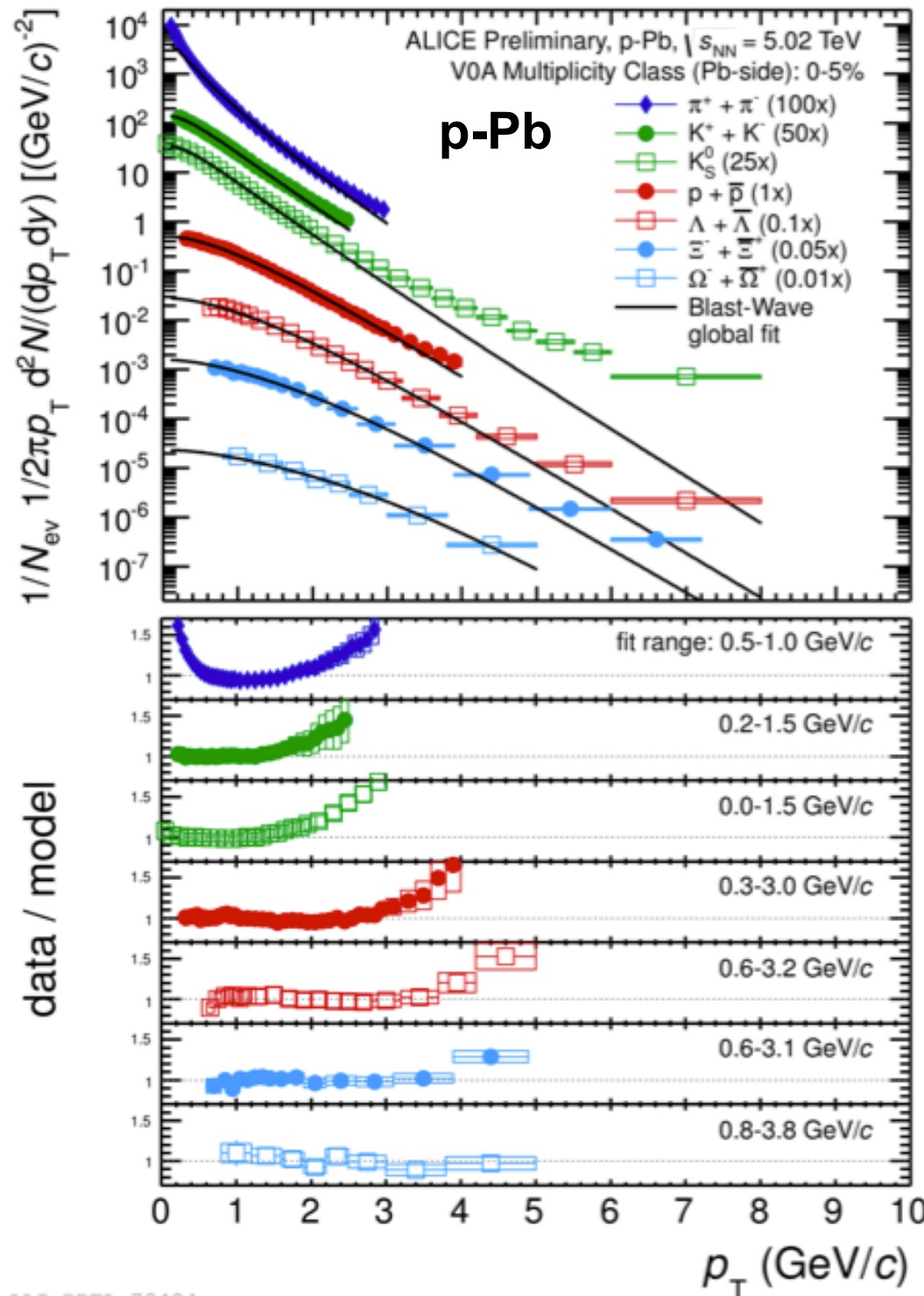
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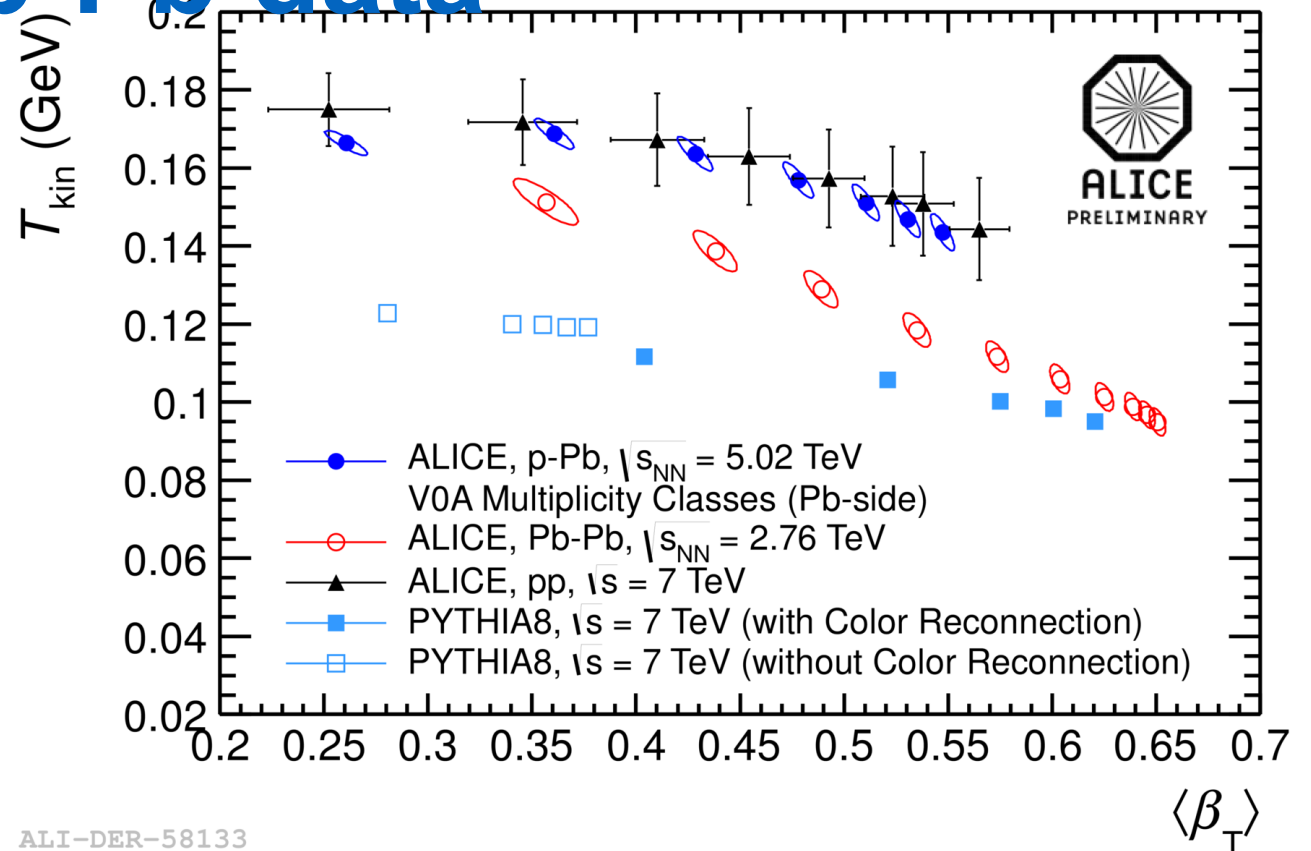
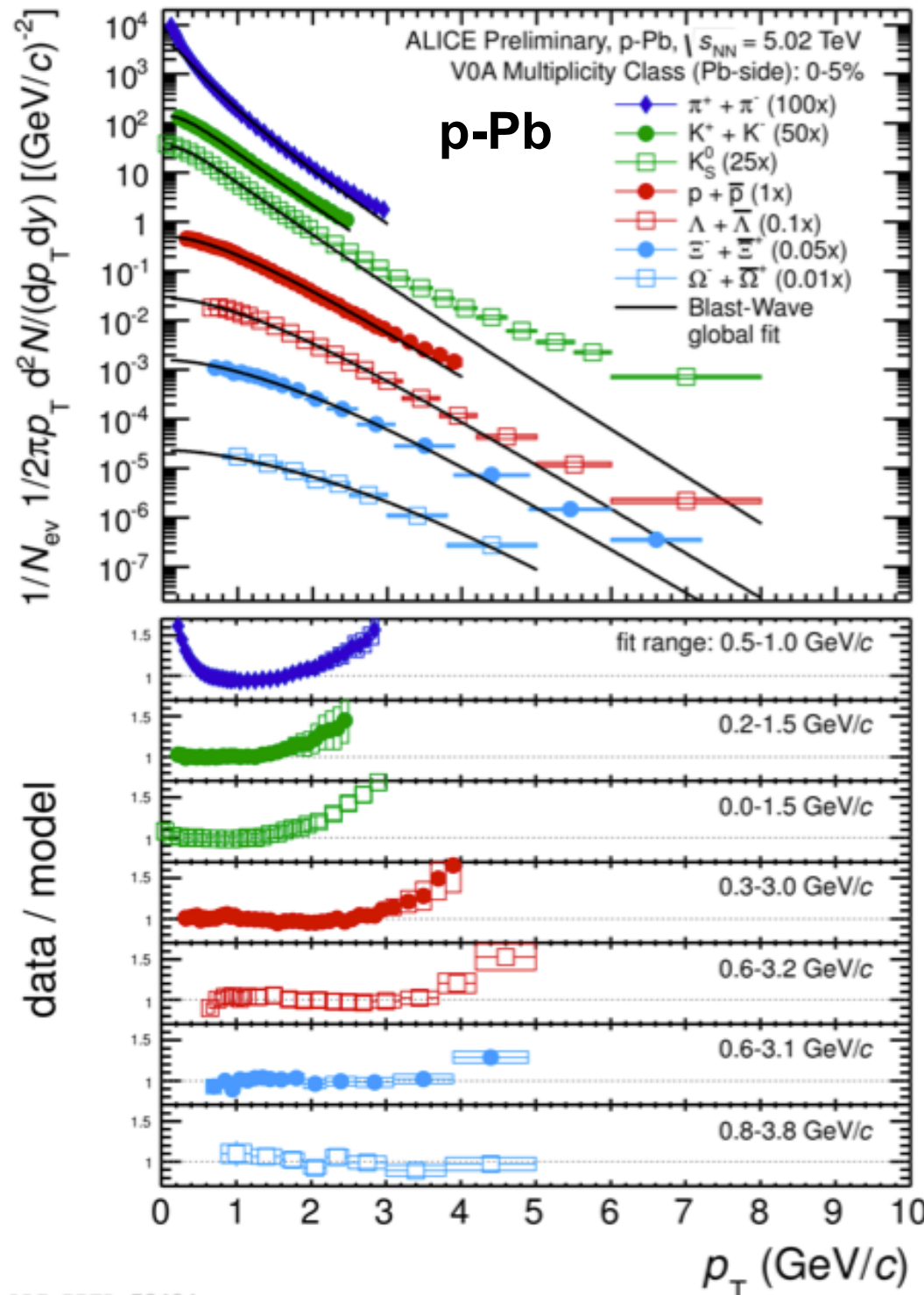
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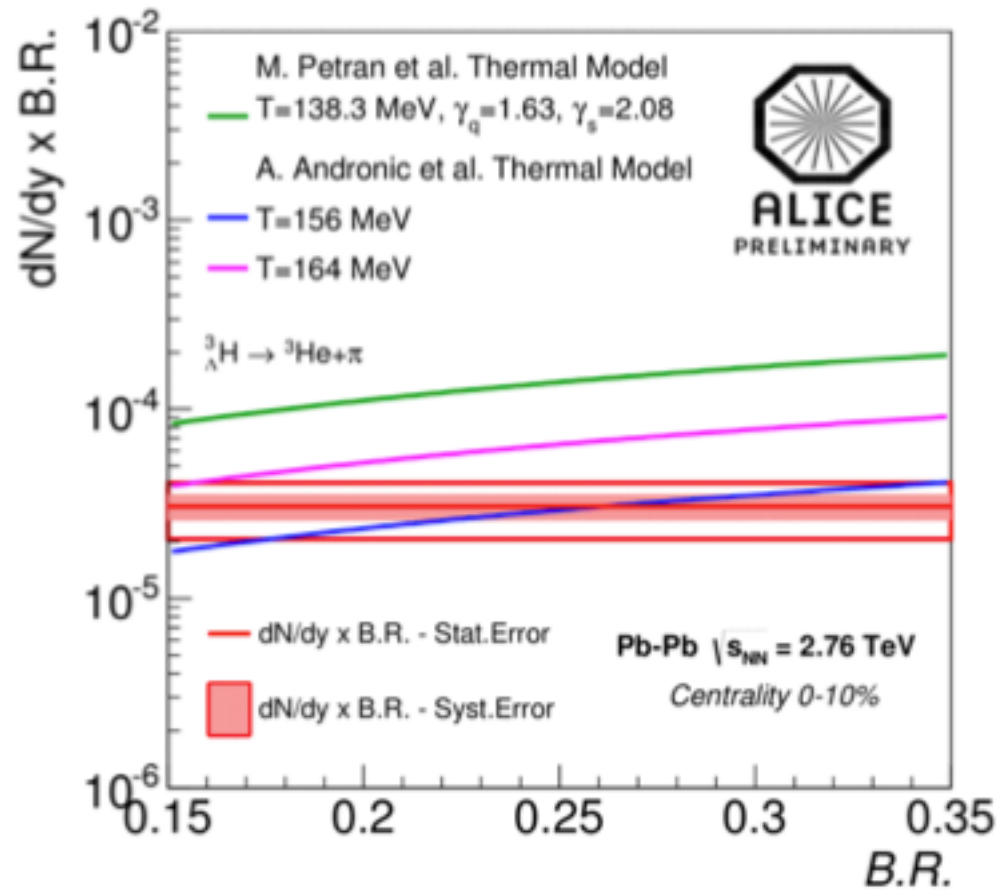
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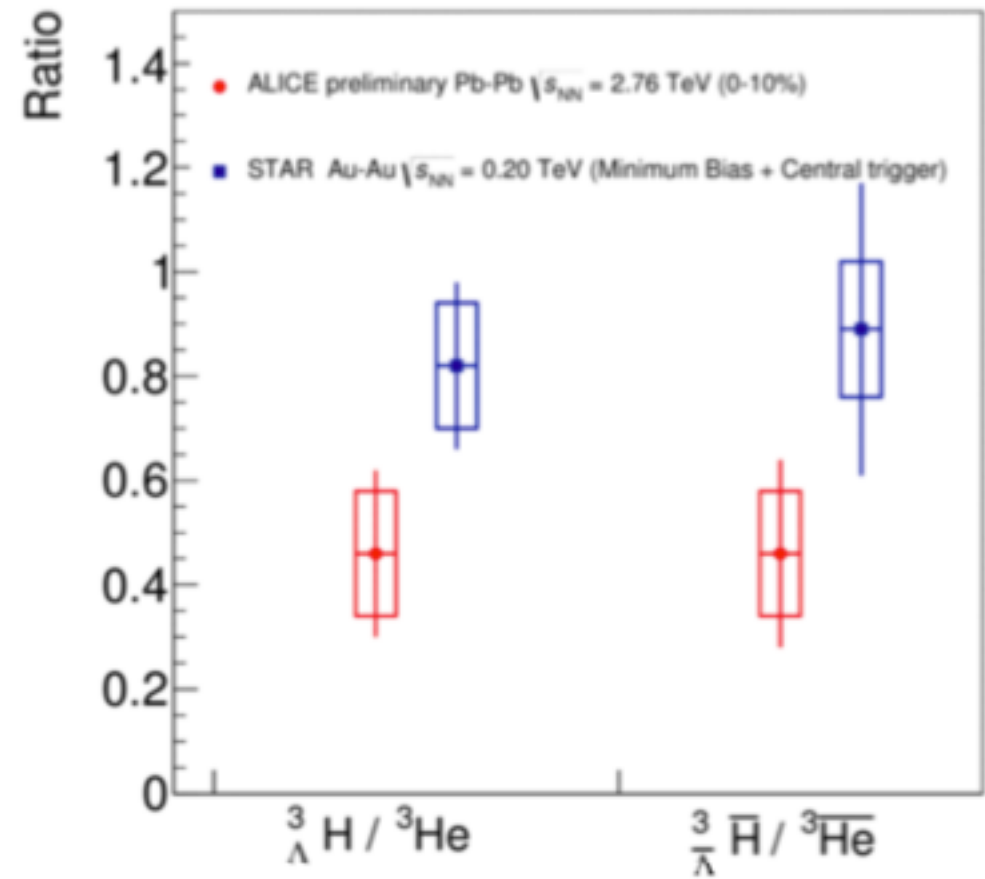
**Other effects can mimic flow-like patterns!** And also pp data shows a similar trend..



# Hyper-Triton

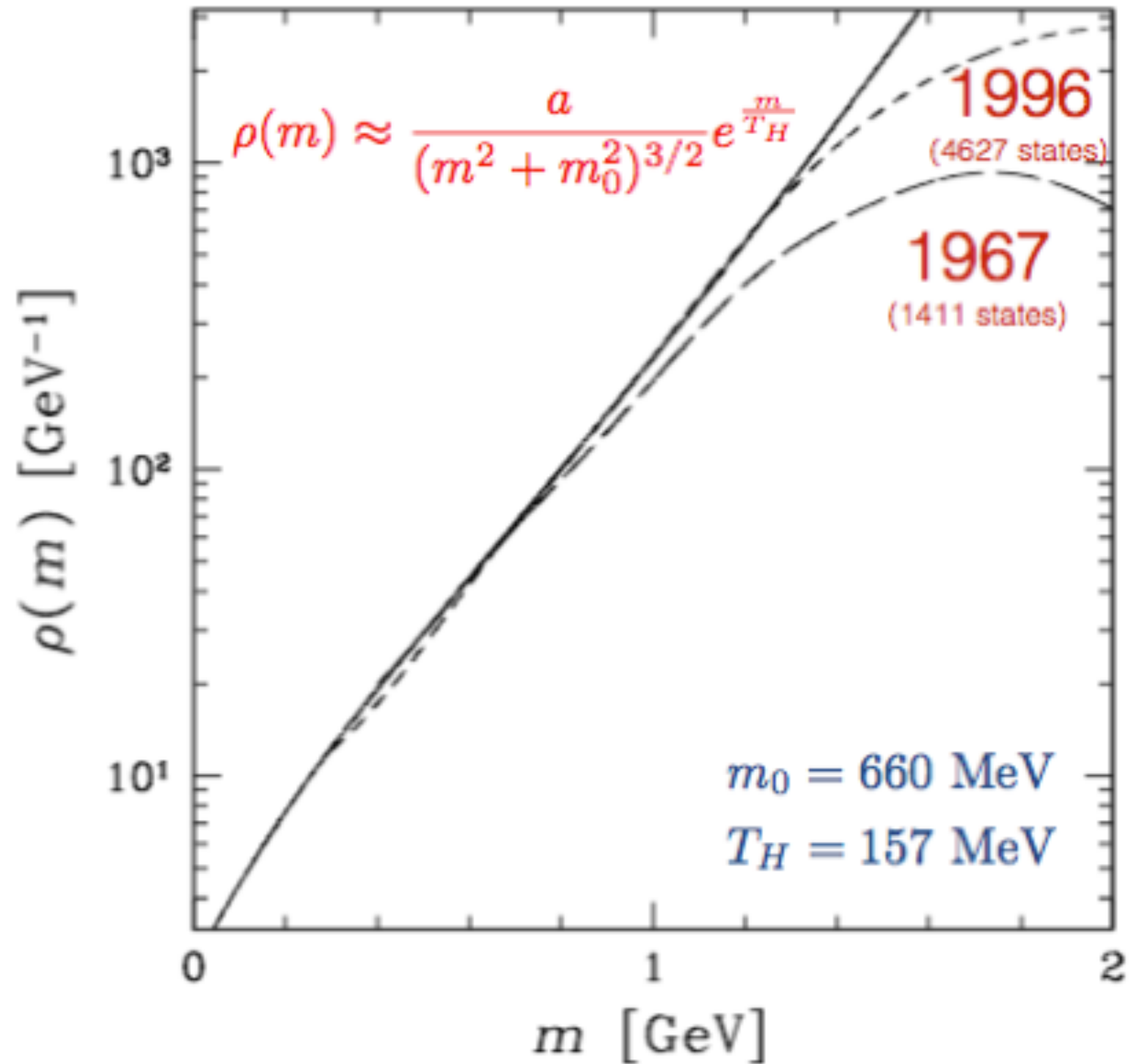


ALI-PREL-54321

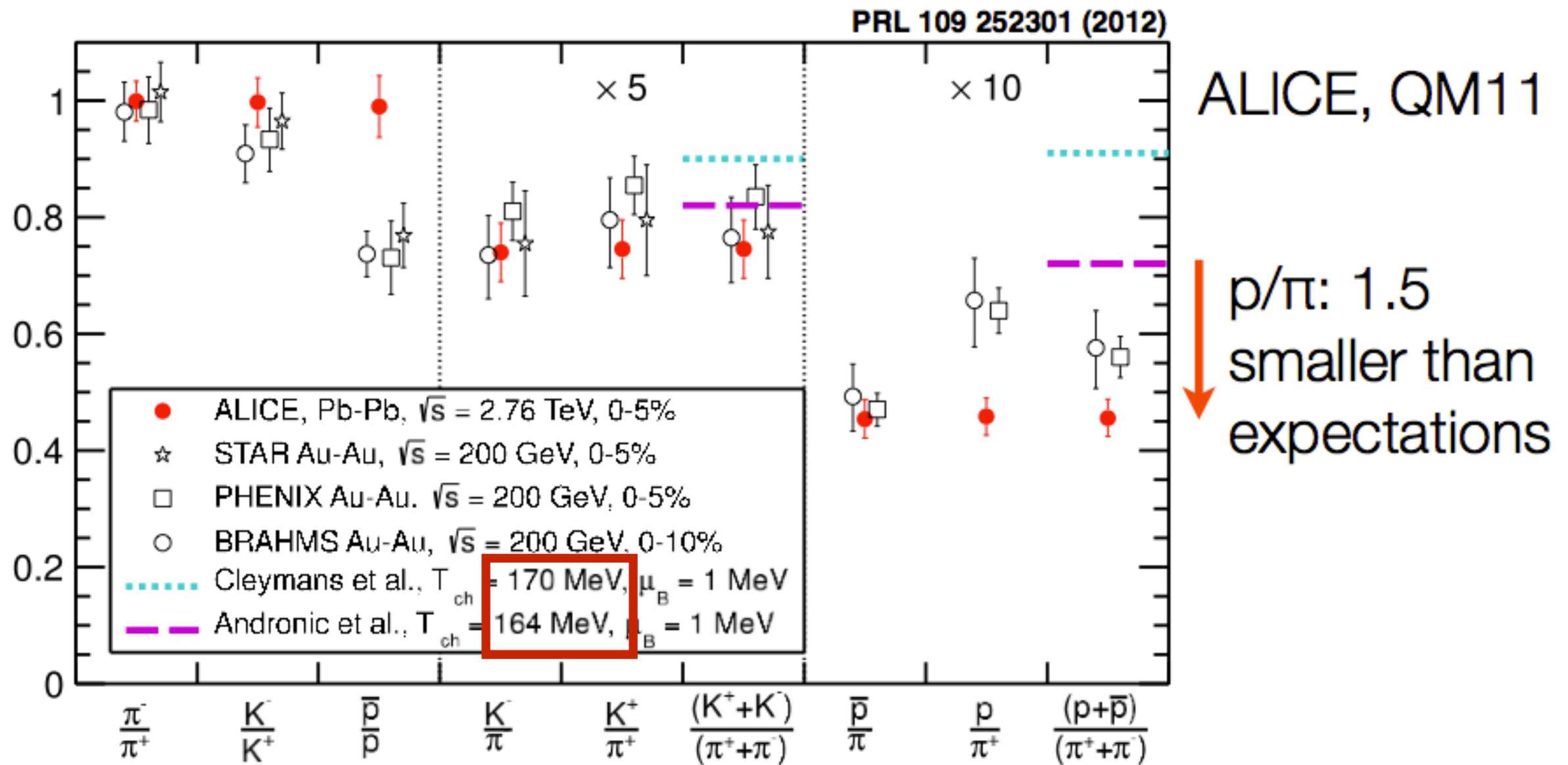


ALI-PREL-81005

# Hagedorn spectrum



# Protons



# Thermodynamics and hadron chemistry



# Short introduction to statistical thermodynamics (B)

- We therefore distinguish three different *statistical ensembles*:

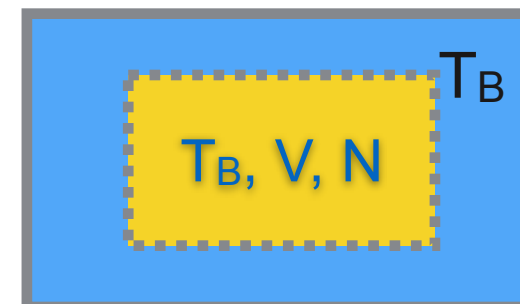
(i) micro-canonical:  $E, V, N$  fix



Statistical model for  $e^+e^-$  collisions.

(ii) canonical:  $T, V, N$  fix

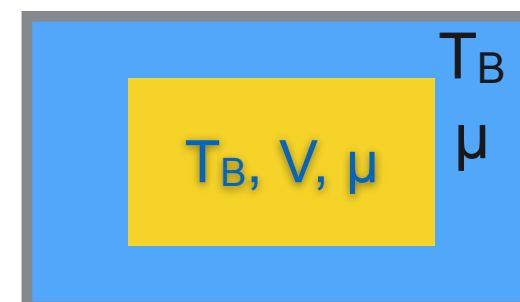
→ given volume element is coupled to a heat bath



Strangeness conservation in peripheral HI collisions.

(iii) grand-canonical:  $T, V, \mu$  fix

→ given volume element can also exchange particles with its surrounding (heat bath and particle reservoir)



Central relativistic heavy-ion collisions.

# Short introduction to statistical thermodynamics (C)

- A small example: barometric formula (density of the atmosphere at a fixed temperature).
- Probability to find a particle on a given energy level  $j$ :

$$P_j = \frac{e^{-\frac{E_j}{k_B T}}}{Z}$$

Boltzmann factor  
 Partition function  $Z$   
 (*Zustandssumme* = “sum over states”)

$$\frac{n(h_1)}{n(h_0)} = \frac{NP(h_1)}{NP(h_0)} = e^{-\frac{\Delta E_{pot}}{k_B T}}$$

$$= e^{-\frac{Mg}{RT} \Delta h}$$

# Thermal model for heavy-ion collisions (A)

- Starting point: grand-canonical partition function for an *relativistic ideal quantum gas of hadrons* of particle type  $i$  ( $i = \text{pion, proton, ...} \rightarrow \text{full PDG!}$ ):

$$\ln Z_{GK_i} = \pm g_i \frac{V}{2\pi^2 \hbar^3} \int_0^\infty dp p^2 \ln (1 \pm e^{-\beta(\epsilon(p) - \mu_i)})$$


- Once the partition function is known, we can calculate all other thermodynamic quantities:

$$n = \frac{1}{V} \frac{\partial(T \ln Z)}{\partial \mu} \quad P = \frac{\partial(T \ln Z)}{\partial V} \quad s = \frac{1}{V} \frac{\partial(T \ln Z)}{\partial T}$$

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(-) for bosons, (+) for fermions  
(quantum gas)

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# Thermal model for heavy-ion collisions (A)

- Starting point: grand-canonical partition function for an *relativistic ideal quantum gas of hadrons* of particle type  $i$  ( $i = \text{pion, proton, ...} \rightarrow \text{full PDG!}$ ):

(-) for bosons, (+) for fermions  
(quantum gas)

$$\ln Z_{GK_i} = \pm g_i \frac{V}{2\pi^2 \hbar^3} \int_0^\infty dp p^2 \ln (1 \pm e^{-\beta(\epsilon(p) - \mu_i)})$$

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Partition function shown here is only valid in the resonance gas limit (HRG), i.e. relevant interactions are mediated via resonances, and thus the non-interacting hadron resonance gas can be used as a good approximation for an interacting hadron gas.

# Thermal model for heavy-ion collisions (B)

- We thus arrive at a set of formulas:

$$\begin{pmatrix} n_i \\ \varepsilon_i \\ s_i \\ P_i \end{pmatrix} = \frac{g_i}{2\pi^2} \begin{pmatrix} \int_0^\infty \frac{p^2 dp}{e^{(E_i(p)-\mu_i)/T} \pm 1} \\ \int_0^\infty \frac{p^2 dp}{e^{(E_i(p)-\mu_i)/T} \pm 1} E_i(p) \\ \pm \int_0^\infty dp p^2 \left( \ln (1 \pm e^{-(E_i(p)-\mu_i)/T}) \pm \frac{E_i(p) - \mu_i}{T(e^{(E_i(p)-\mu_i)/T} \pm 1)} \right) \\ \pm \int_0^\infty dp p^2 \ln (1 \pm e^{-(E_i(p)-\mu_i)/T}) \end{pmatrix}$$

$$\mu_i = \mu_B B_i + \mu_S S_i + \mu_{I_3} I_{3i} + \mu_C C_i$$

$$E_i = \sqrt{p^2 + m_i^2}$$

# Thermal model for heavy-ion collisions (C)

- Baryon number conservation: 
$$V \sum_i n_i B_i = Z + N$$
- Initial system has no charm or strangeness: 
$$\sum_i n_i(\mu_S) S_i = 0$$
  
$$\sum_i n_i(\mu_C) C_i = 0$$
- Third component of isospin: 
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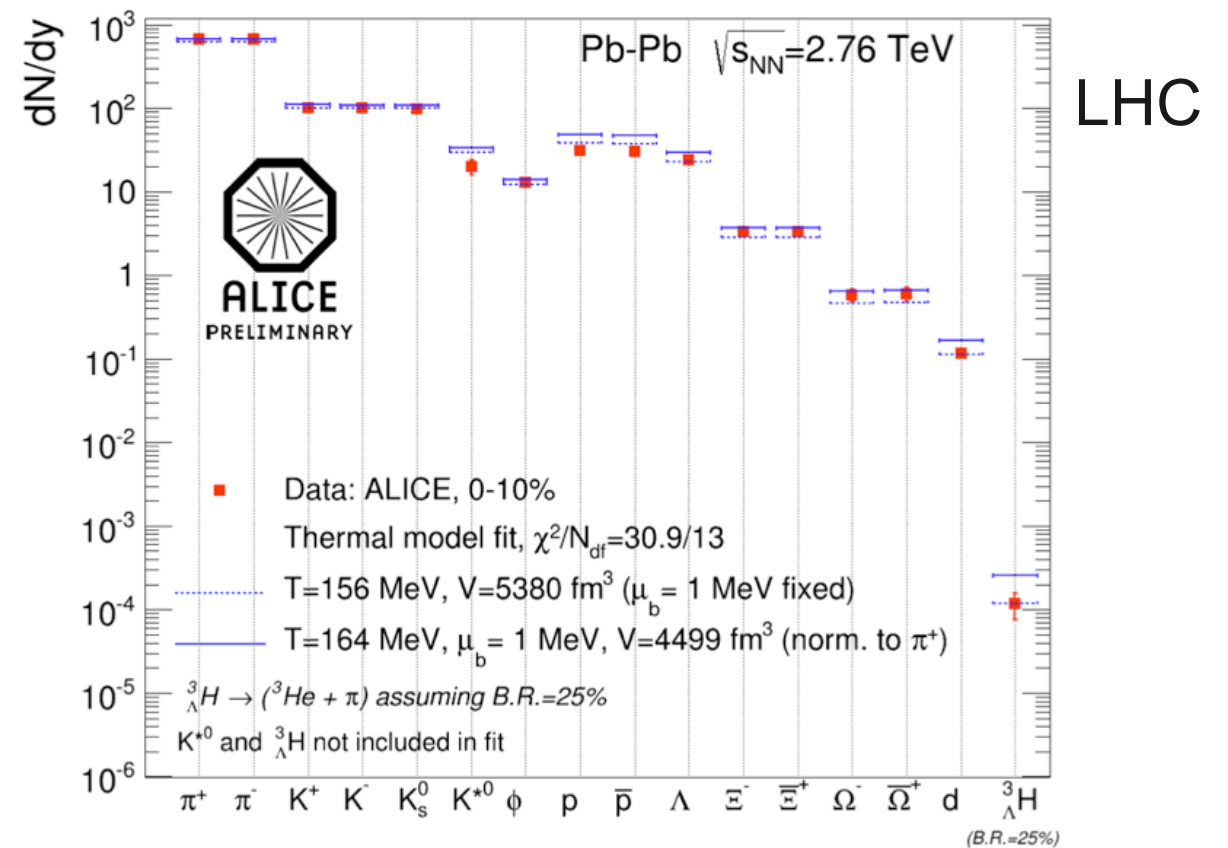
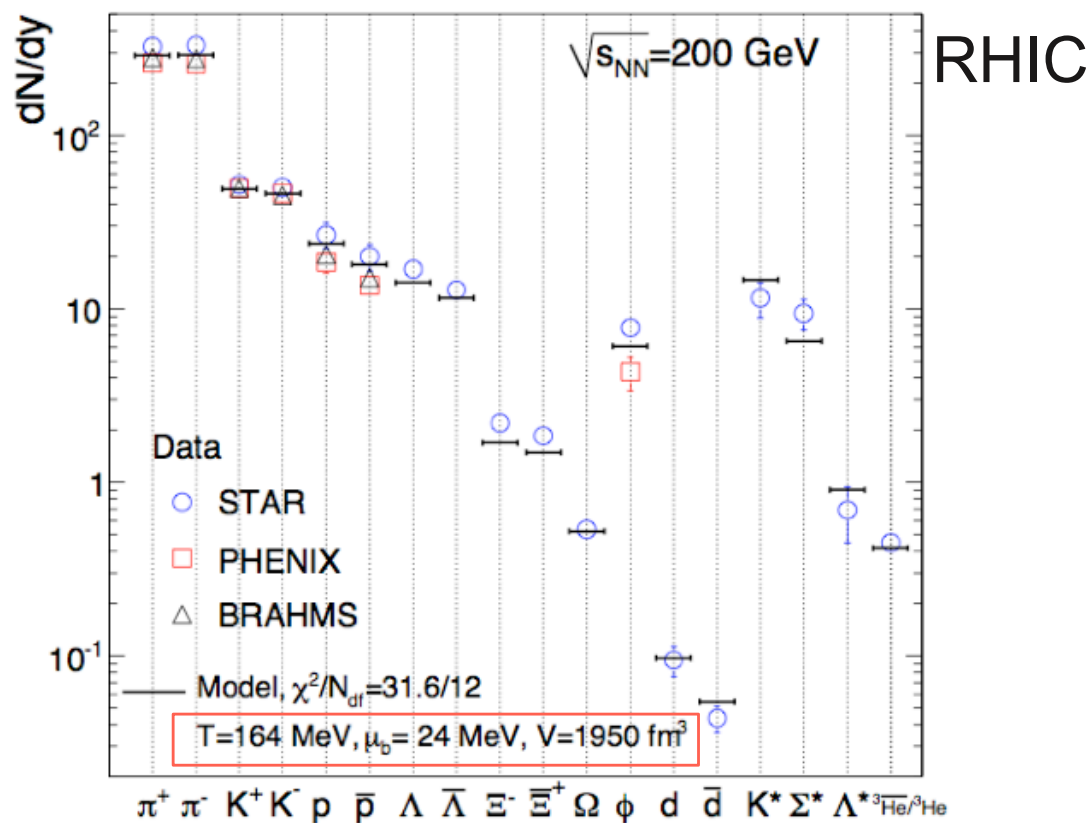
Sum over all known states from the PDG!

Final results of a thermal model calculation depend on the used hadron list (resonance spectrum)!



# Thermal fits and comparison to data

- Non-stable particles (resonances) are decayed and the result is compared to the experimentally accessible particles. The procedure is iterated for different sets of  $(T, \mu_B, V)$  until the residuals between data and model are minimized.



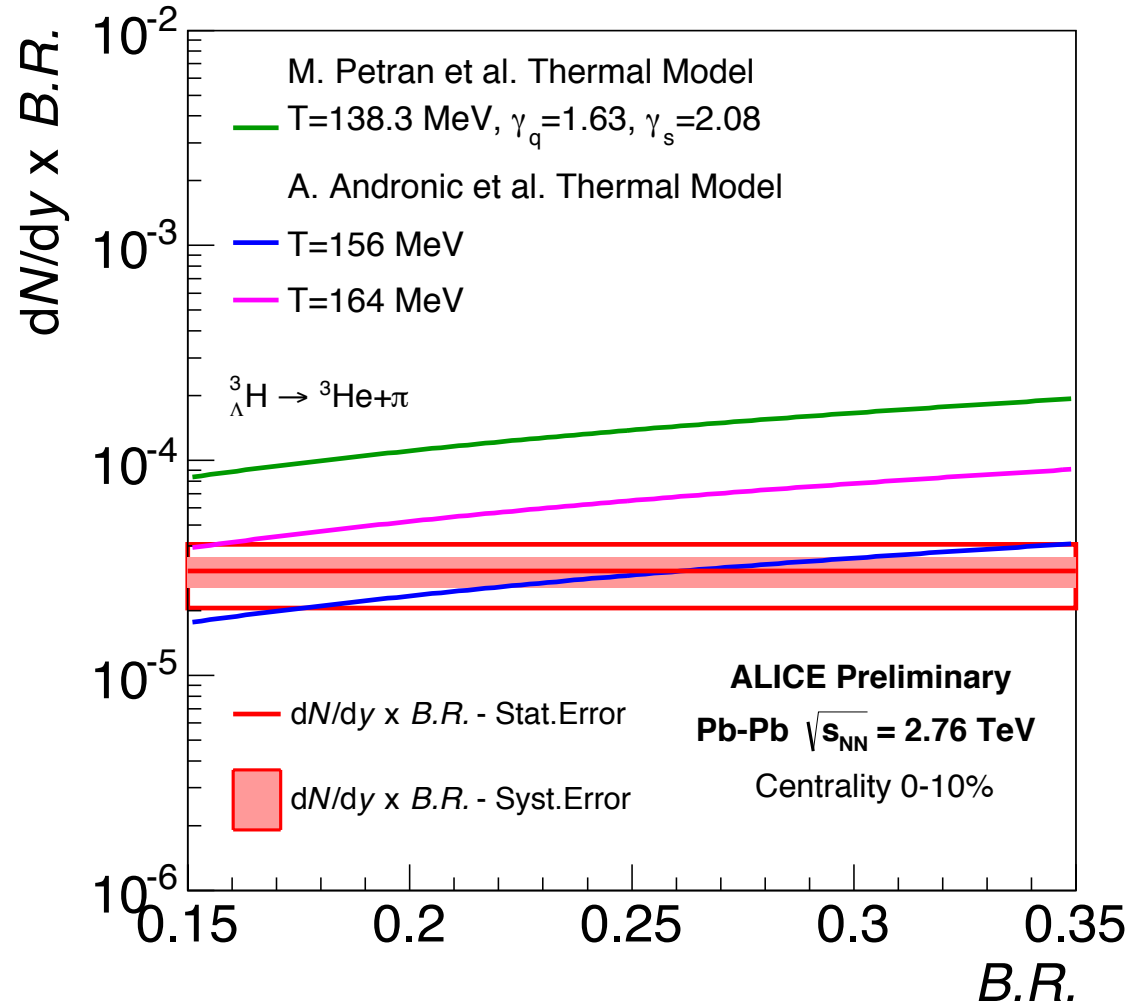
(1) A. Andronic et al., Phys.Lett.B673:142-145,2009

Thermal model from:  
A.Andronic, P. Braun-Munzinger, J. Stachel, Nucl. Phys.A 772 (2006) 167

# Implementations of statistical models

- Original ideas go back to Pomeranchuk (1950s) and Hagedorn (1970s).
- Precise implementations and also interpretations differ from group to group (no details in this lecture!):
  - K. Redlich
  - P. Braun-Munzinger, J. Stachel, A. Andronic (GSI)
    - Eigen-volume correction: ideal gas  $\rightarrow$  Van-der-Waals gas
    - emphasis on complete hadron list
  - F. Becattini
    - non-equilibrium parameter  $\gamma_S^N$
  - J. Rafelski (SHARE)
    - non-equilibrium parameter  $\gamma_S^N$  and  $\gamma_q^N$
  - J. Cleymans (THERMUS)
    - Allows also canonical suppression in sub-volumes of the fireball
  - W. Broniowski, W. Florkowski (THERMINATOR)
    - space time evolution,  $p_T$ -spectra, HBT, fluctuations

# Hypertriton branching ratio



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PHYSICAL REVIEW D

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## Properties of ${}_{\Lambda}\text{H}^3$

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(Received 29 September 1969)

The properties of the hypernucleus  ${}_{\Lambda}\text{H}^3$  were measured in an analysis of helium-bubble-chamber pictures taken at the Argonne ZGS. Some 90 examples of the production reaction  $K^- + \text{He}^4 \rightarrow {}_{\Lambda}\text{H}^3 + p + \pi^-$  were found in which the  $K^-$  stopped and the  ${}_{\Lambda}\text{H}^3$  decayed via  $\pi^-$  emission. Twenty-seven events were observed to decay via the two-body mode  ${}_{\Lambda}\text{H}^3 \rightarrow \pi^- \text{He}^3$ , with the remaining events decaying to  $\pi^- pd$  or  $\pi^- ppn$ . The production rate was measured to be  $(1.8_{-0.6}^{+0.7}) \times 10^{-3}$  per stopping  $K^-$ . The mean life of the hyperfragment was measured from the two-body decay mode as  $(2.64_{-0.52}^{+0.84}) \times 10^{-10}$  sec. The lifetime obtained from the three-body decays was consistent with this value, after the elimination of a serious source of background. The binding energy of  ${}_{\Lambda}\text{H}^3$  was measured to be  $0.25 \pm 0.31$  MeV. The decay ratio  $R_3 = \Gamma({}_{\Lambda}\text{H}^3 \rightarrow \pi^- \text{He}^3) / \Gamma({}_{\Lambda}\text{H}^3 \rightarrow \text{all } \pi^-)$  was measured to be  $0.36_{-0.06}^{+0.08}$ , in agreement with values from previous experiments and with the value calculated for spin  $\frac{1}{2}$  for the  ${}_{\Lambda}\text{H}^3$  hypernucleus.

TABLE I. Partial and total mesonic and nonmesonic decay rates and corresponding lifetimes.

| Channel  | $\Gamma$ [sec $^{-1}$ ] | $\Gamma / \Gamma_{\Lambda}$ | $\tau = \Gamma^{-1}$ [sec]           |
|--|-------------------------|-----------------------------|--------------------------------------|
| ${}^3\text{He} + \pi^-$ and ${}^3\text{H} + \pi^0$ | $0.146 \times 10^{10}$  | 0.384                       | $0.684 \times 10^{-9}$               |
| $d + p + \pi^-$ and $d + n + \pi^0$                | $0.235 \times 10^{10}$  | 0.619                       | $0.425 \times 10^{-9}$               |
| $p + p + n + \pi^-$ and $p + n + n + \pi^0$        | $0.368 \times 10^8$     | 0.0097                      | $0.271 \times 10^{-7}$               |
| All mesonic channels                               | $0.385 \times 10^{10}$  | 1.01                        | $0.260 \times 10^{-9}$               |
| $d + n$  | $0.67 \times 10^7$      | 0.0018                      | $0.15 \times 10^{-6}$                |
| $p + n + n$  | $0.57 \times 10^8$      | 0.015                       | $0.18 \times 10^{-7}$                |
| All nonmesonic channels                            | $0.64 \times 10^8$      | 0.017                       | $0.16 \times 10^{-7}$                |
| All channels                                       | $0.391 \times 10^{10}$  | 1.03                        | $2.56 \times 10^{-10}$               |
| Expt. [6]  |                         |                             | $2.64 + 0.92 - 0.54 \times 10^{-10}$ |
| Expt. (averaged) [11]                              |                         |                             | $2.44 + 0.26 - 0.22 \times 10^{-10}$ |