



ALICE

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The ALICE upgrade for high-rate operation

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Bundesministerium
für Bildung
und Forschung

FSP 201



ALICE



- ALICE upgrade program
- Performance with the ITS upgrade
- Implications of the TPC upgrade
- Reconstruction strategy
- Summary



ALICE upgrade



- 2013: pPb and PbPb initial state effects, shadowing.
- 2013-14: LHC Long Shutdown 1 (LS1)
- 2015-17: **FULL ENERGY !!**
pp @ 7 TeV,
PbPb @ $\sqrt{s_{NN}} = 5.5$ TeV
- 2018: LHC Long Shutdown 2
- **≥ 2019: HIGH LUMINOSITY
50 kHz PbPb collisions**

ALICE UPGRADES

- New vertex detectors
- Faster readout, high level triggers...
- TPC with continuous readout ...

Letter of Intent for the Upgrade of the ALICE Experiment | CERN-LHCC-2012-012 (LHCC-1-022)

ALICE
Letter of Intent

CERN-LHCC-2012-012
(LHCC-1-012)
ALICE-DOC-2012-001
6 September 2012



ALICE

Upgrade of the
ALICE Experiment
Letter of Intent

50



Operation at high-rate

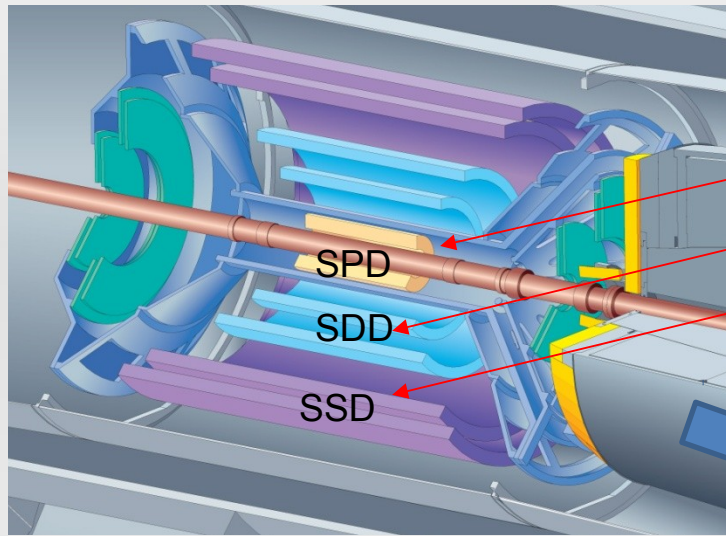


- Operate ALICE at luminosity ($L = 6 \cdot 10^{27} \text{ cm}^{-2}\text{s}^{-1}$)
- Record all minimum bias events
 - 50kHz Pb–Pb collisions \rightarrow 100x higher than present
 - Event pile-up in TPC \rightarrow tracks from 5 interactions
- Large data rate \rightarrow high compression required

Detector	Input to Online System (GByte/s)	Peak Output to Local Data Storage (GByte/s)	Avg. Output to Computing Center (GByte/s)
TPC	1000	50.0	8.0
TRD	81.5	10.0	1.6
ITS	40	10.0	1.6
Others	25	12.5	2.0
Total	1146.5	82.5	13.2

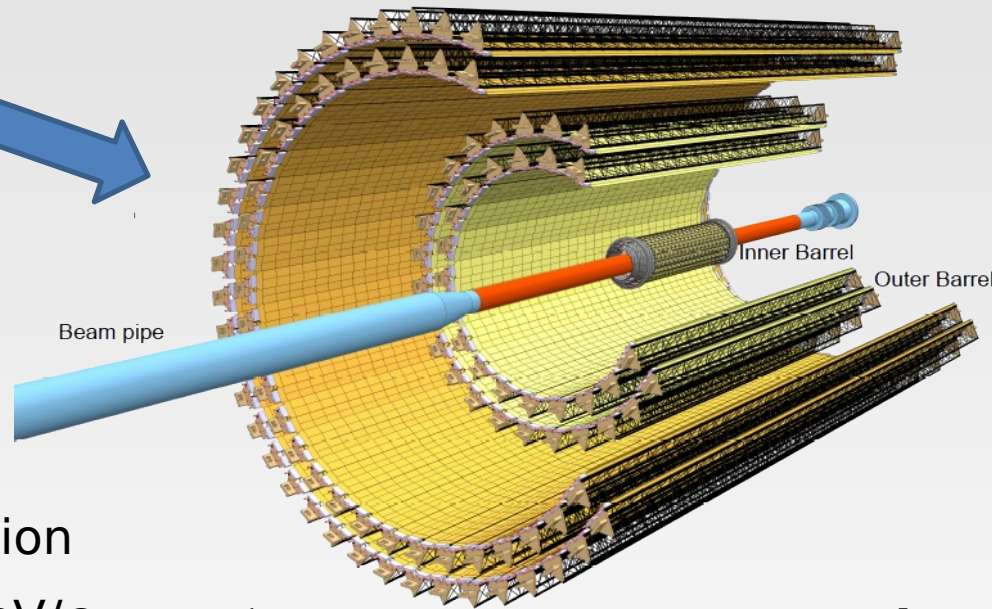


ITS upgrade



Current ITS

- 6 layers, $3.9 < R < 43$ cm
- 2xSPD ($50 \times 425 \mu\text{m}^2$) 1.14 % X/X0 per layer
- 2xSDD ($202 \times 294 \mu\text{m}^2$) 1.3 % ...
- 2xSSD ($95 \times 40000 \mu\text{m}^2$) 0.85% ...



Goal

- Improved track impact resolution
- Extend tracking to $p_T < 100$ MeV/c

ITS Upgrade

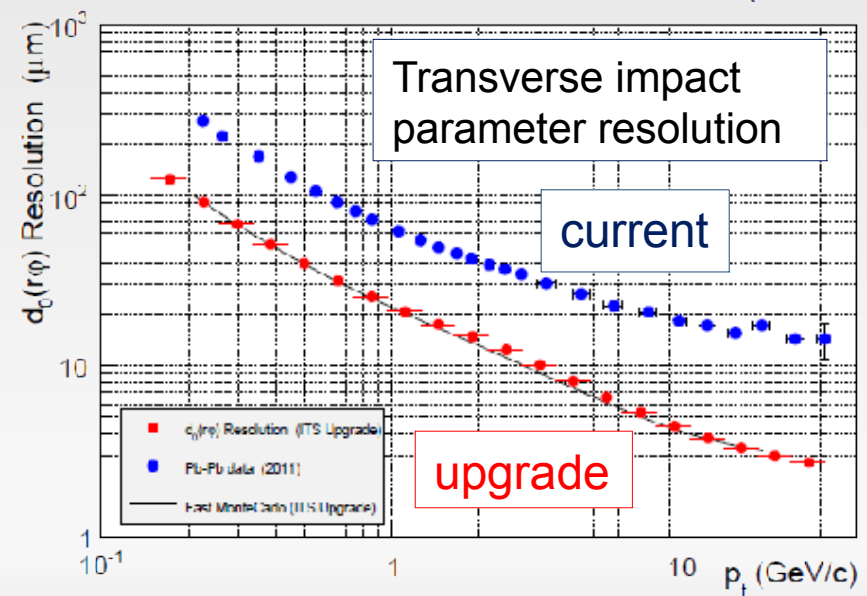
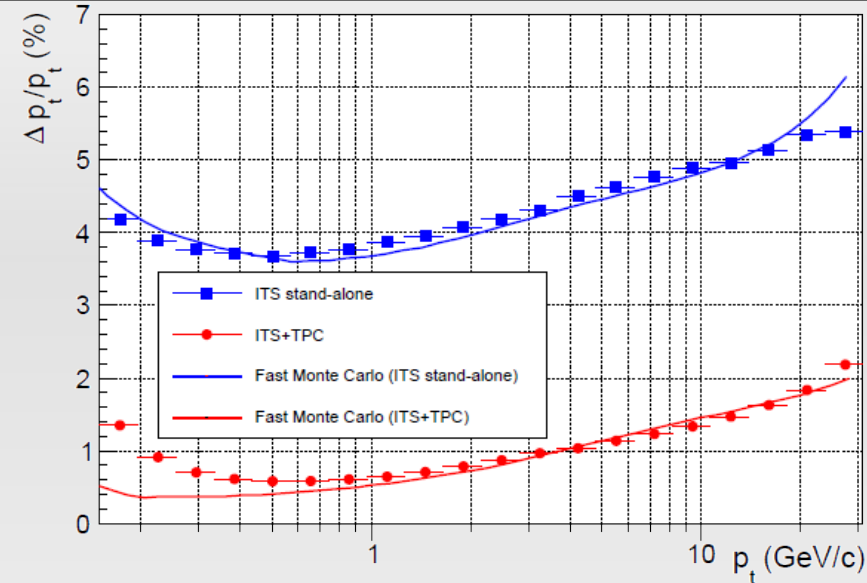
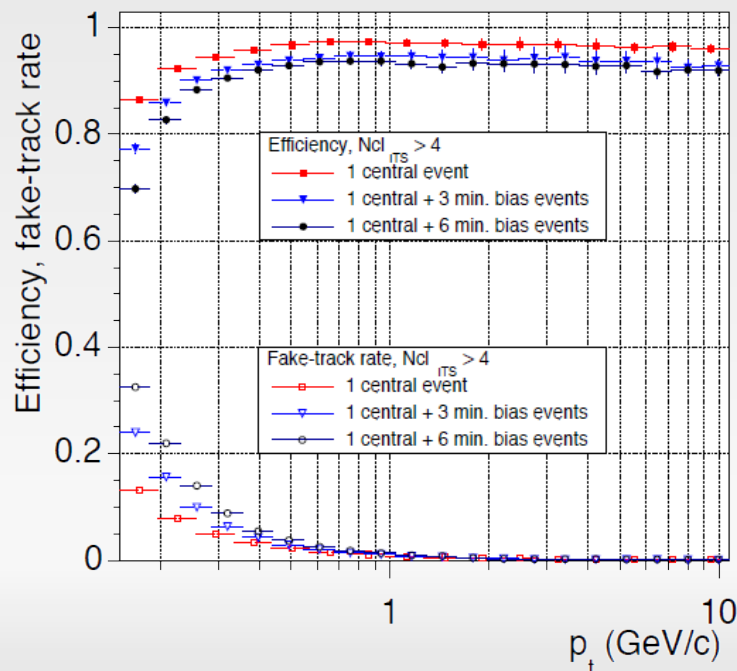
- 7 layers of pixels (MAPS) of 20-30 μm pitch
- $2.2 < R < 40$ cm
- Inner barrel: 0.3% X/X0 per layer
- Outer barrel: 0.8% X/X0 per layer

ITS upgrade



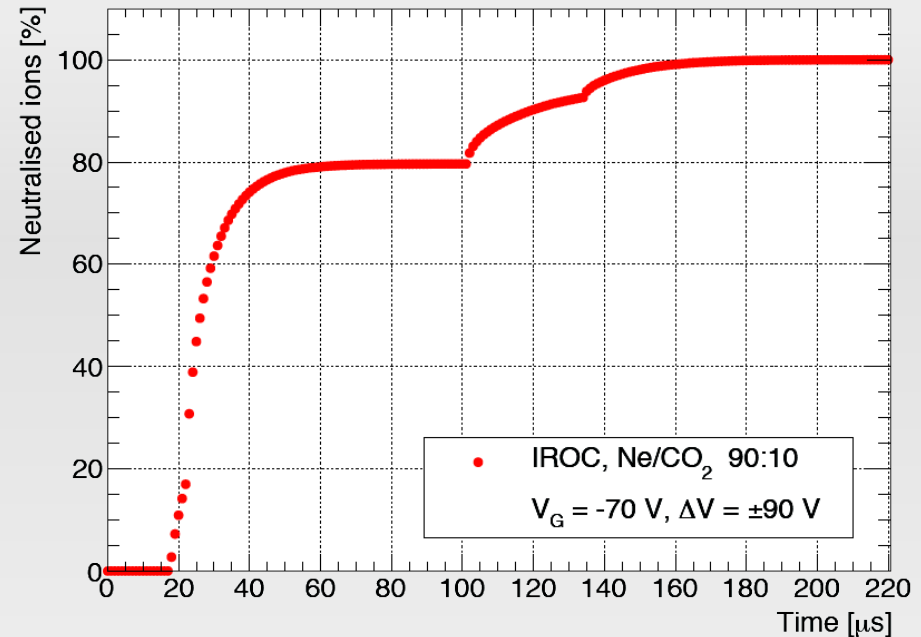
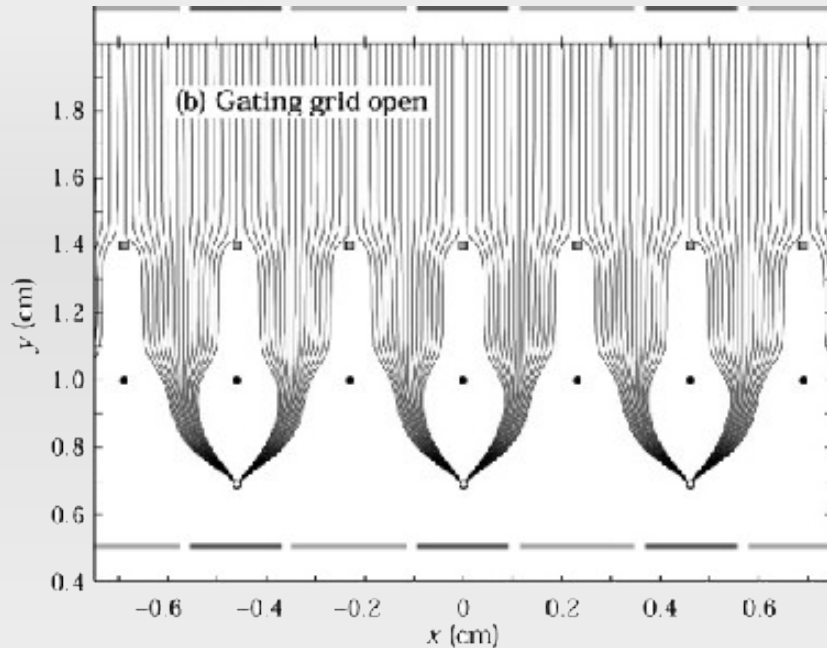
Tracking

- Analytical tool for performance checks and layout optimization (prototype from STAR)
- Standalone reconstruction: in development (main candidate: CAT based on CBM code)
- Track following with seeds from TPC (used for physics performance studies)



TPC upgrade

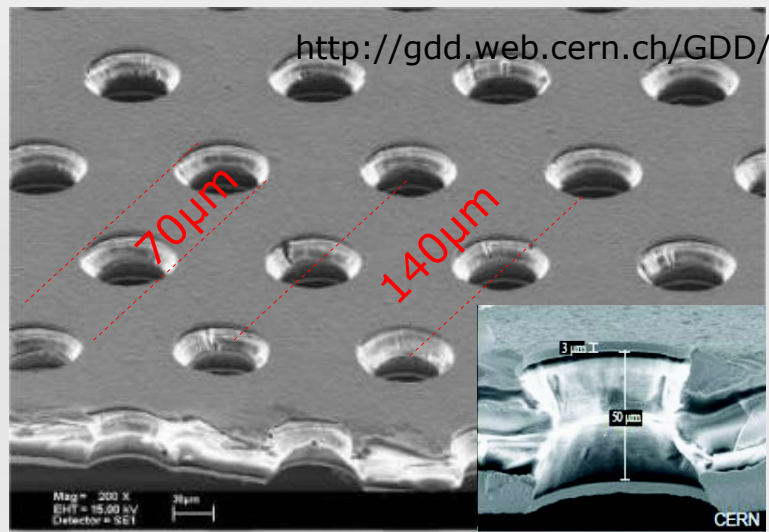
Limitations of the present system



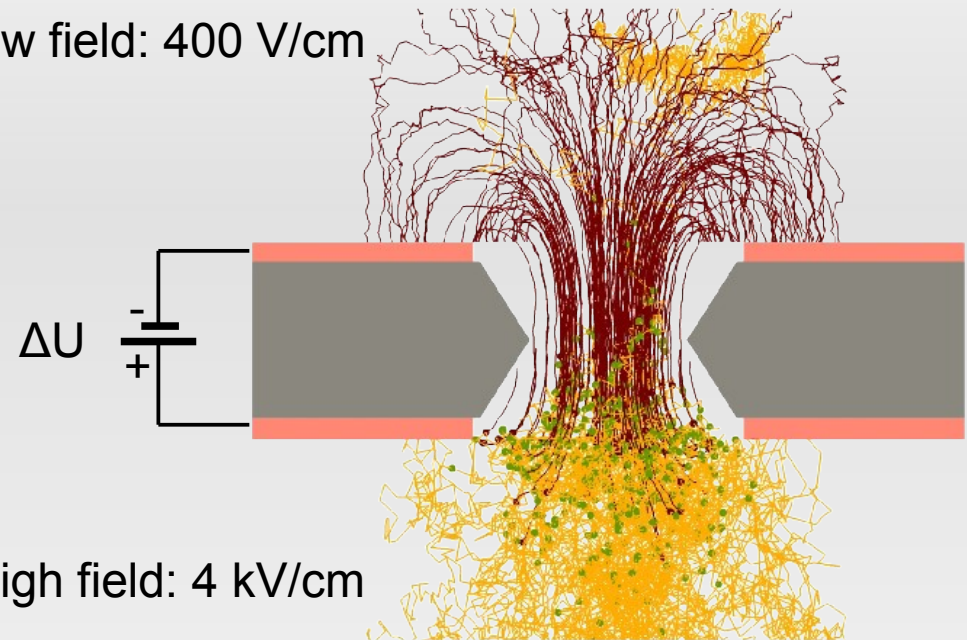
- MWPC with a gating grid (GG) limits operation to ~ 3.5 kHz
- $100\mu\text{s}$ (electron drift) + $200\mu\text{s}$ (GG closing – full ion blocking)
 - Otherwise sizeable distortions due to space charge
- **Novel technology required: Gas Electron Multipliers**
 - **Allows for continuous readout**

GEM detectors

Working Principle



Low field: 400 V/cm



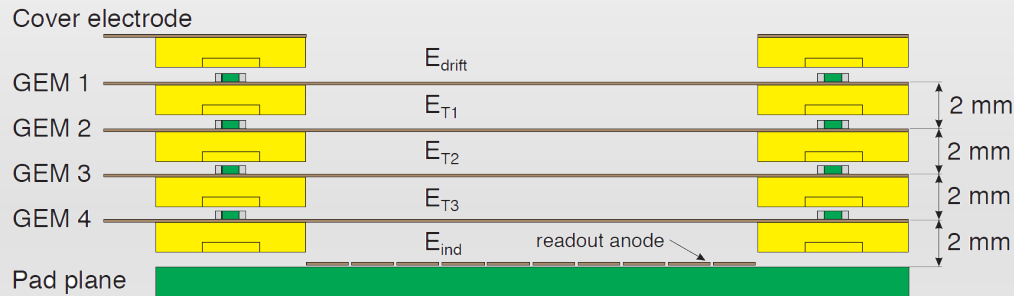
High field: 4 kV/cm

- Gas Electron Multiplier: micro-pattern gas detector
- Holes act as multiplication channels
 - Up to $\Delta U \approx 500\text{V}$
 - Fields up to $\sim 100\text{kV/cm}$
- Sufficient ion blocking with multi-GEM system



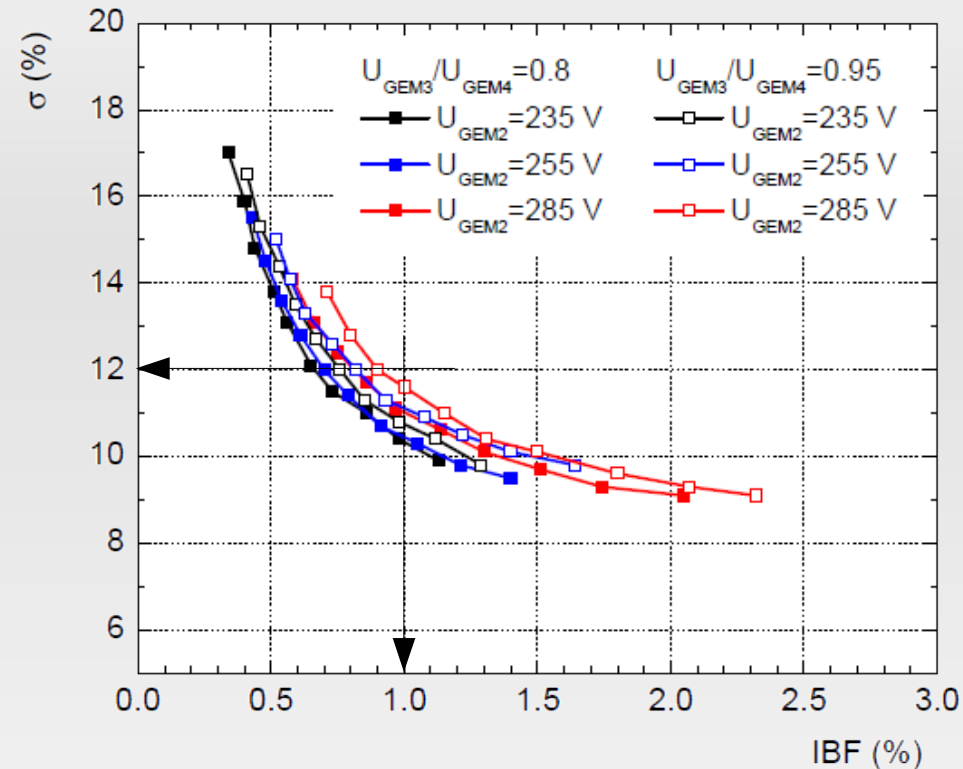
Ion Back Flow (IBF)

Baseline solution: 4-GEM setup



$$n_{\text{tot}} = n_{\text{ion}} * IBF * G_{\text{eff}}$$

$$\varepsilon = IBF * G_{\text{eff}} - 1$$

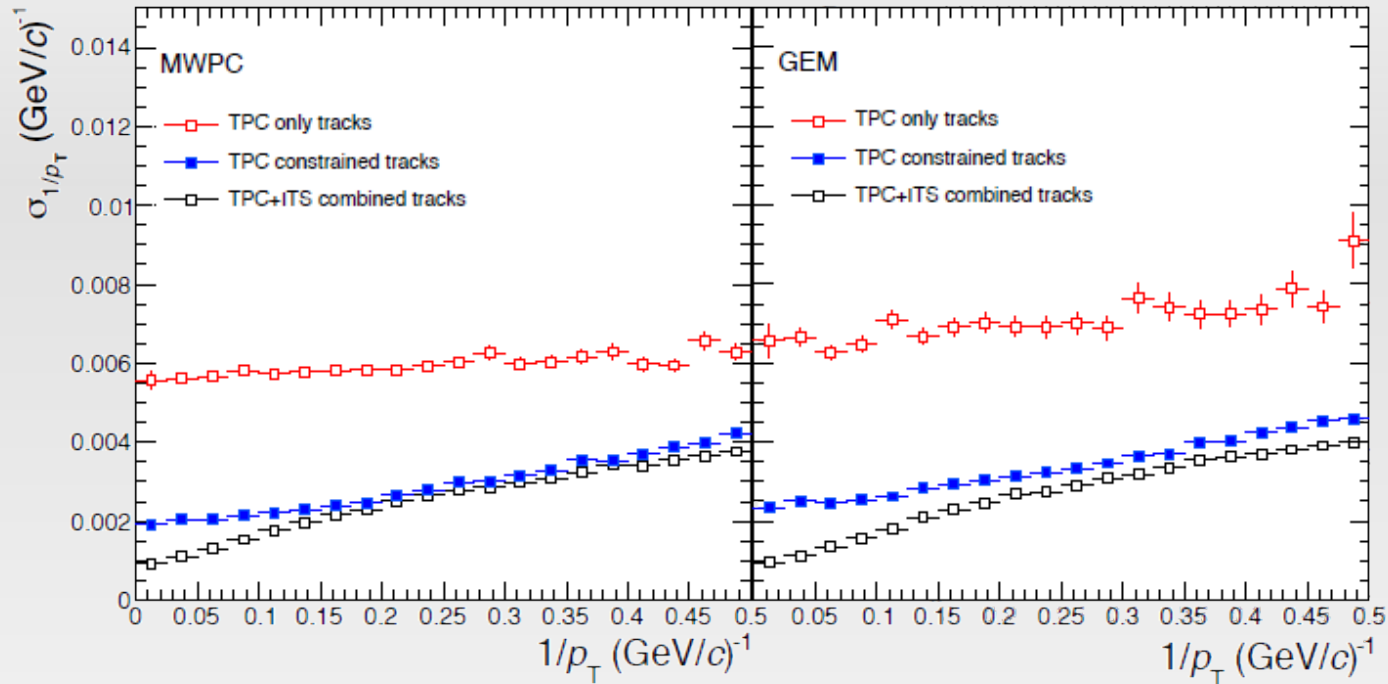
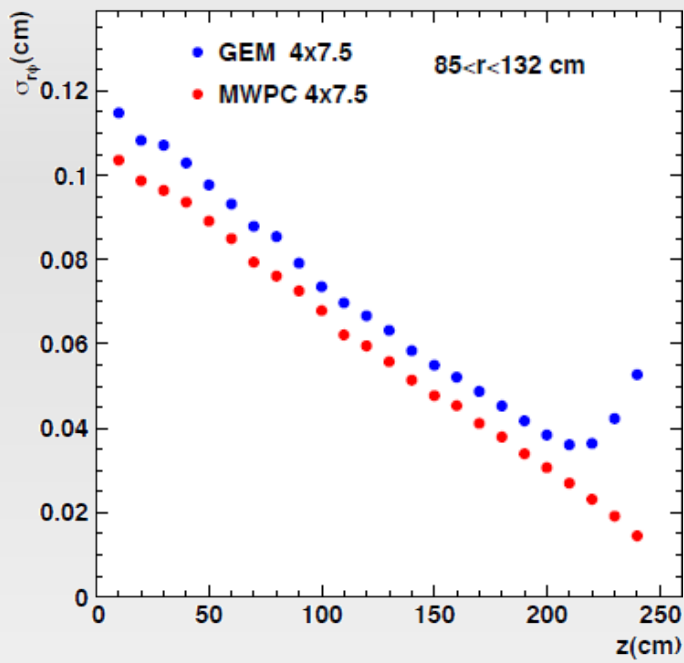


- Ion blocking not as efficient as GG
- Total ions in drift volume (n_{tot}) strongly depending on IBF
- Requirements: $IBF < 1\%$ & energy resolution (σ) $< 12\%$
- Reached with a 4-GEM setup



Intrinsic performance

Cluster and momentum resolution

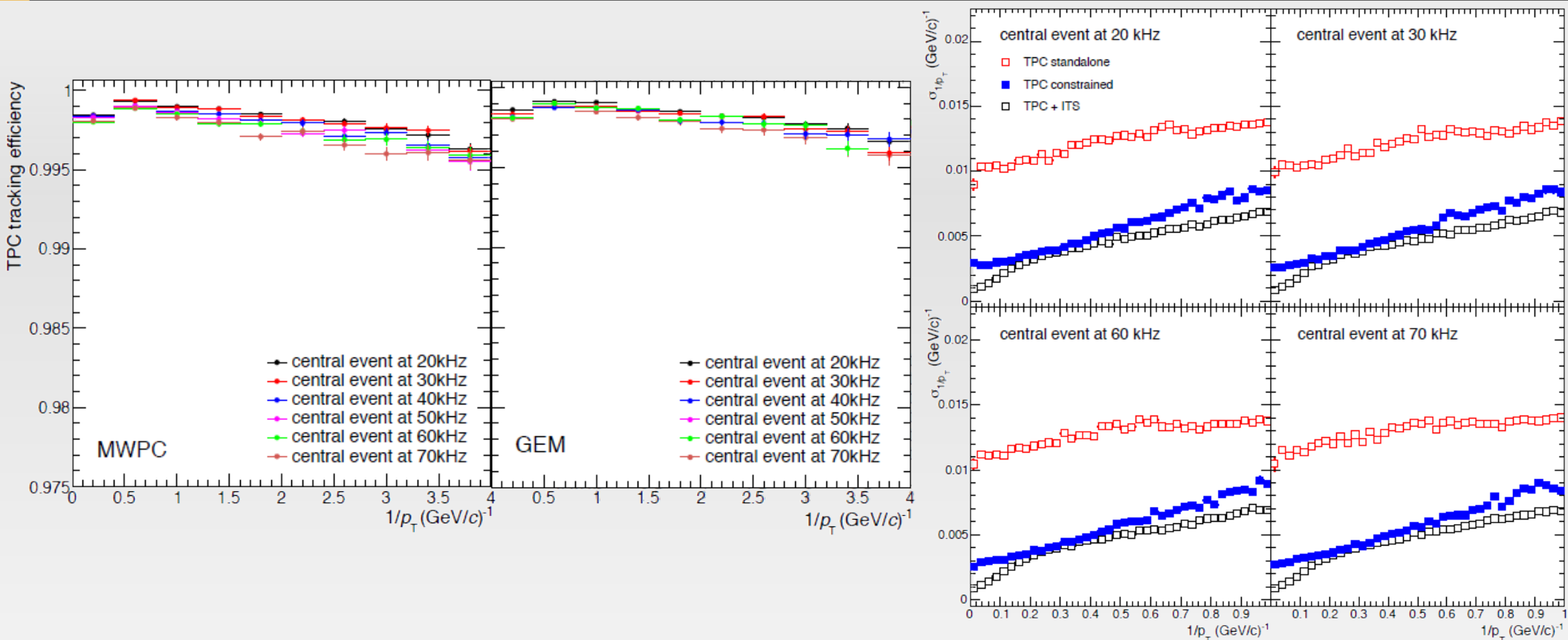


- Full detector simulation (central Pb-Pb event)
- Slightly worse resolution of TPC only tracks (space point resolution)
- Resolution restored matching tracks to the ITS



Performance with pileup

Track reconstruction efficiency and p_T resolution



- On average 5 events overlapping @ 50kHz
- Track reconstruction efficiency not significantly affected by pileup + equal performance for MWPC and GEM
- p_T resolution unaffected

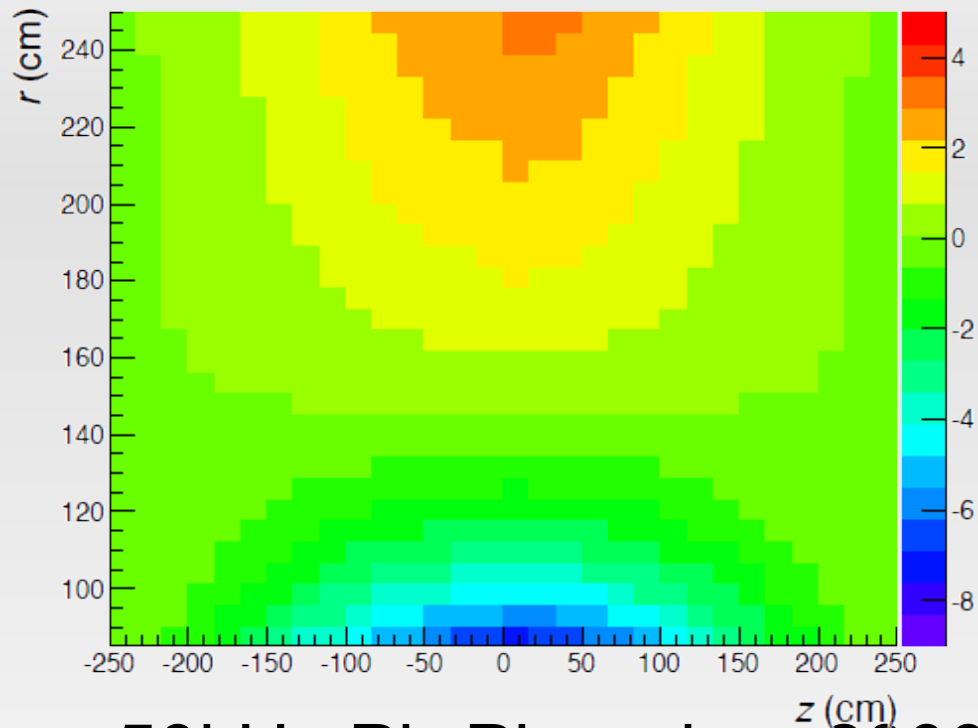


Space charge distortions

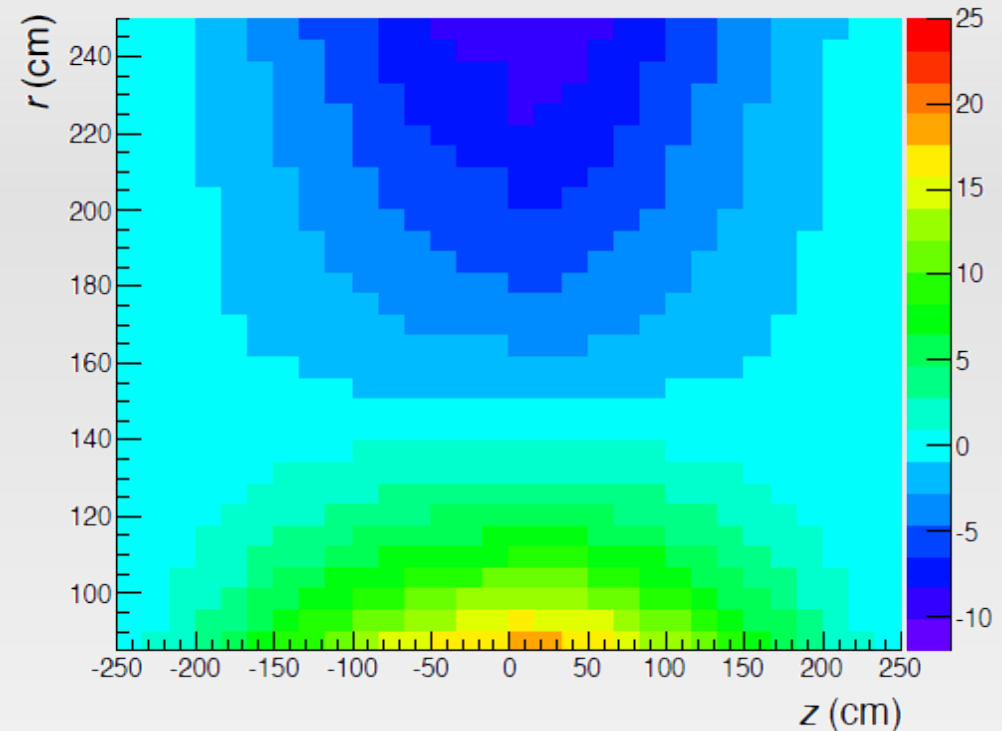
Magnitude of the distortions



$d(r\varphi)$ (cm) for Ne-CO₂-N₂ (90-10-5), 50 kHz, $\epsilon = 20$



dr (cm) for Ne-CO₂-N₂ (90-10-5), 50 kHz, $\epsilon = 20$

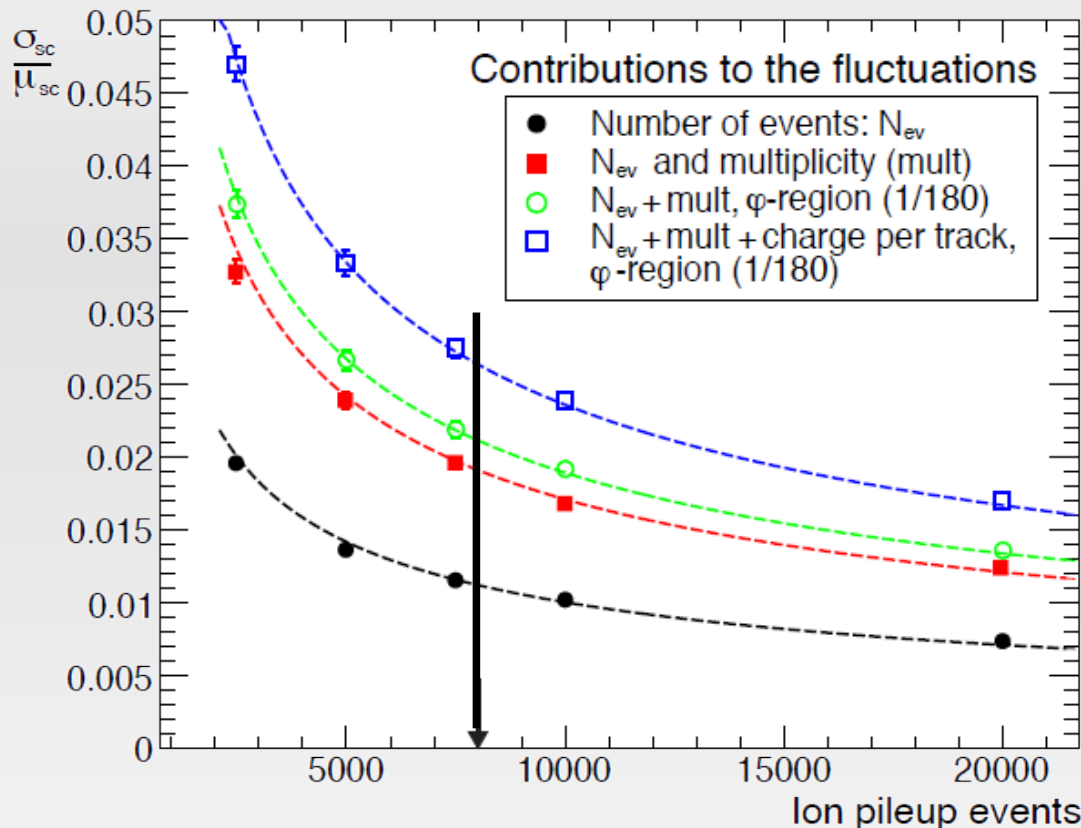


- 50kHz Pb-Pb, gain = 2000, IBF=1% ($\epsilon = 20$)
 - $t_{d,ion} = 160\text{ms} \rightarrow$ ion pileup from 8000 events
- Distortions up to $dr \approx 20\text{cm}$ $dr\varphi \approx 8\text{cm}$ (small r and z)
 - Final calibration to $\sim 10^{-3}$ required



Space charge fluctuations

Magnitude of fluctuations

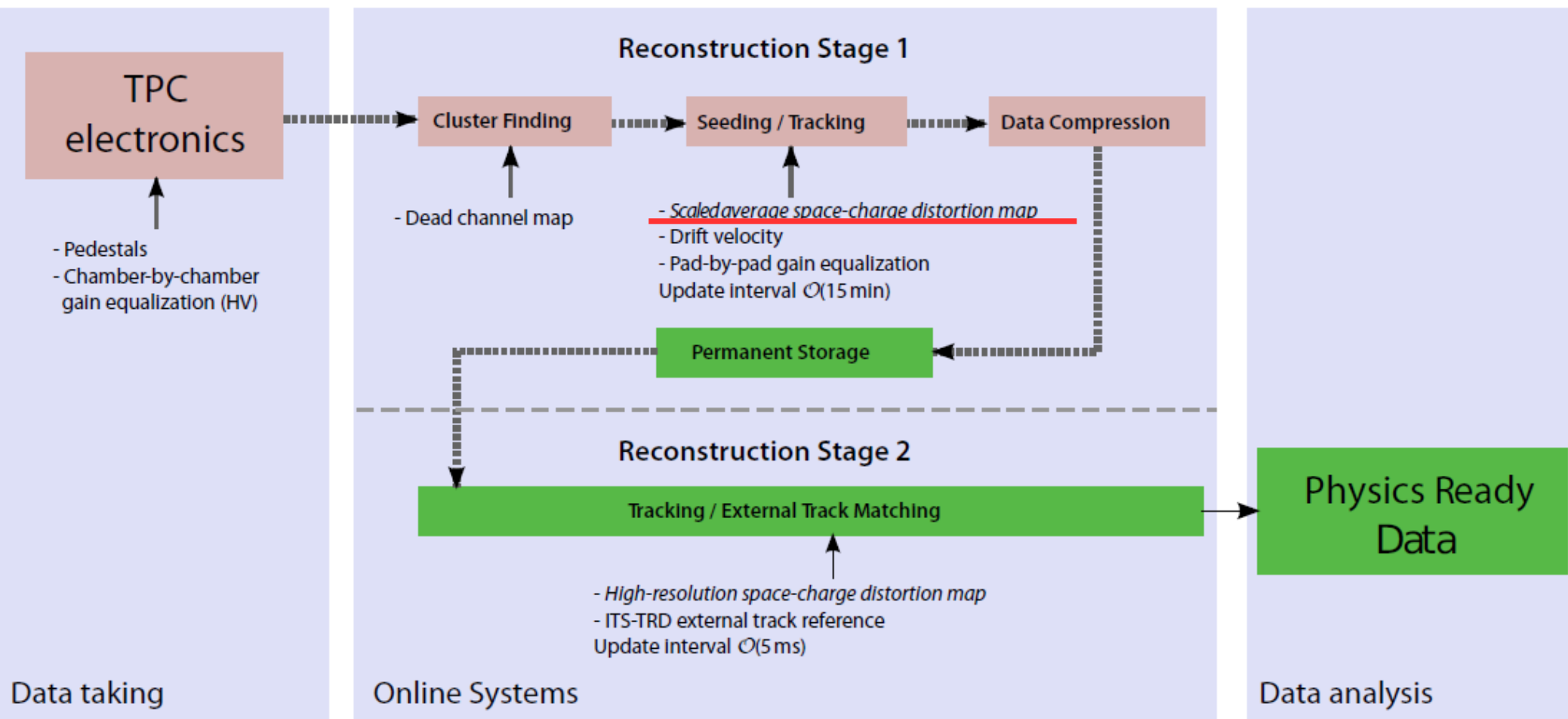


- Space-charge fluctuations at the level of 3%
- With knowledge of the average space-charge density this leads to
 - Max ± 6 mm residual distortion in r
 - Max ± 2.5 mm residual distortion in $r\varphi$

- Space-charge fluctuations are dominated by event and multiplicity fluctuations
- Sets constraints on the update interval for the final calibration: $O(5ms)$

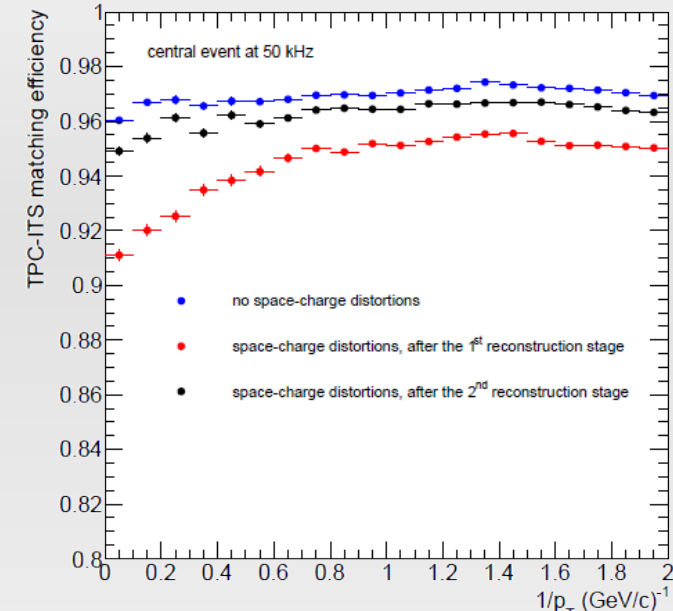
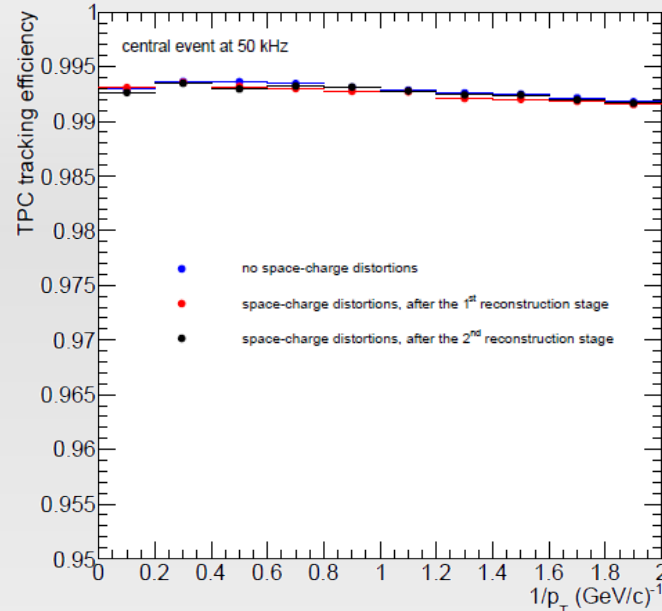
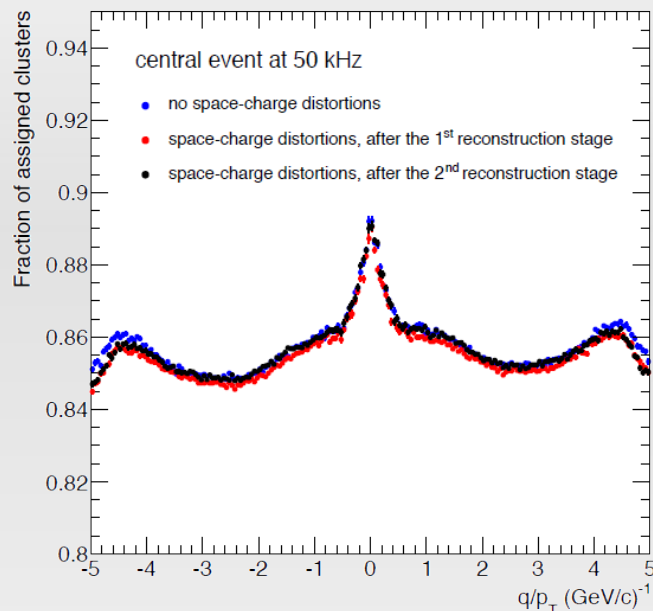


Reconstruction strategy



Performance with distortions

Cluster finding, tracking and matching

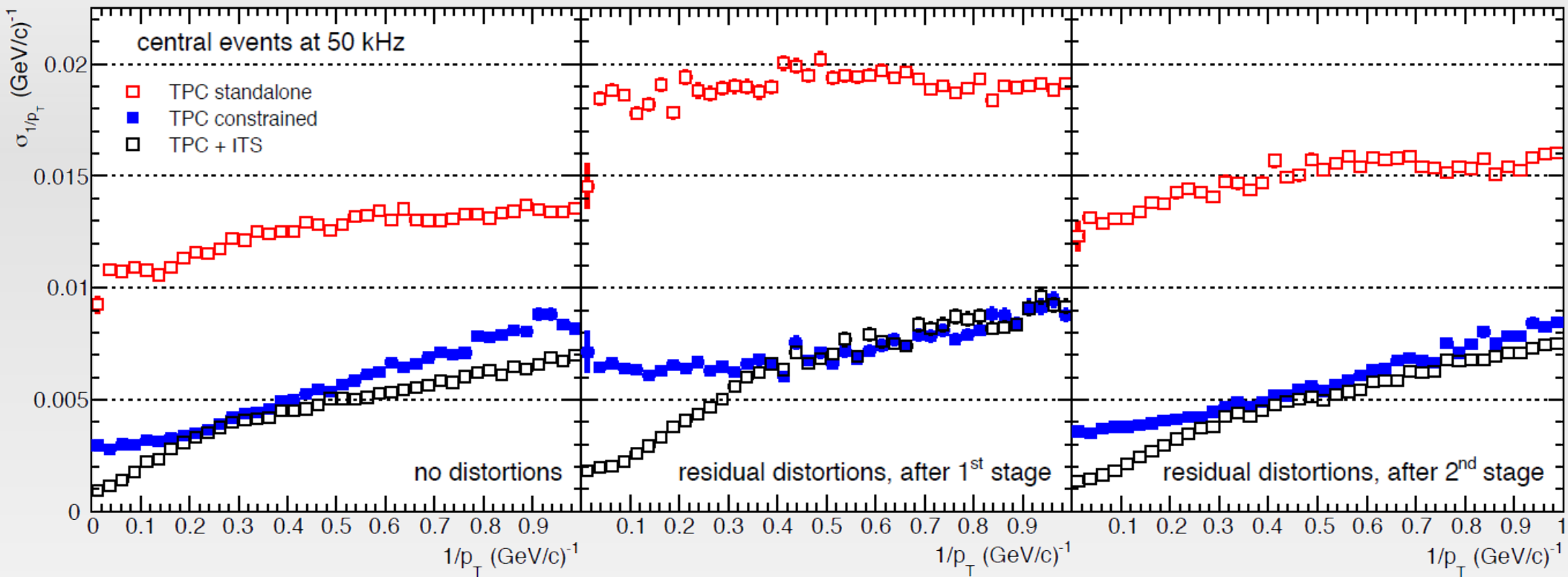


- Cluster finding and tracking efficiency not modified by residual SC distortions
 - Even in the 1st stage with a scaled average correction map
- Matching eff. after 2nd stage close to ideal case w/o distortions
 - Will be fully restored with a better tuning of the algorithm



Performance

Momentum resolution



- Momentum resolution for tracks matched with the ITS practically restored after 2nd reconstruction stage



Continuous readout

Implications and treatment of space-point corrections



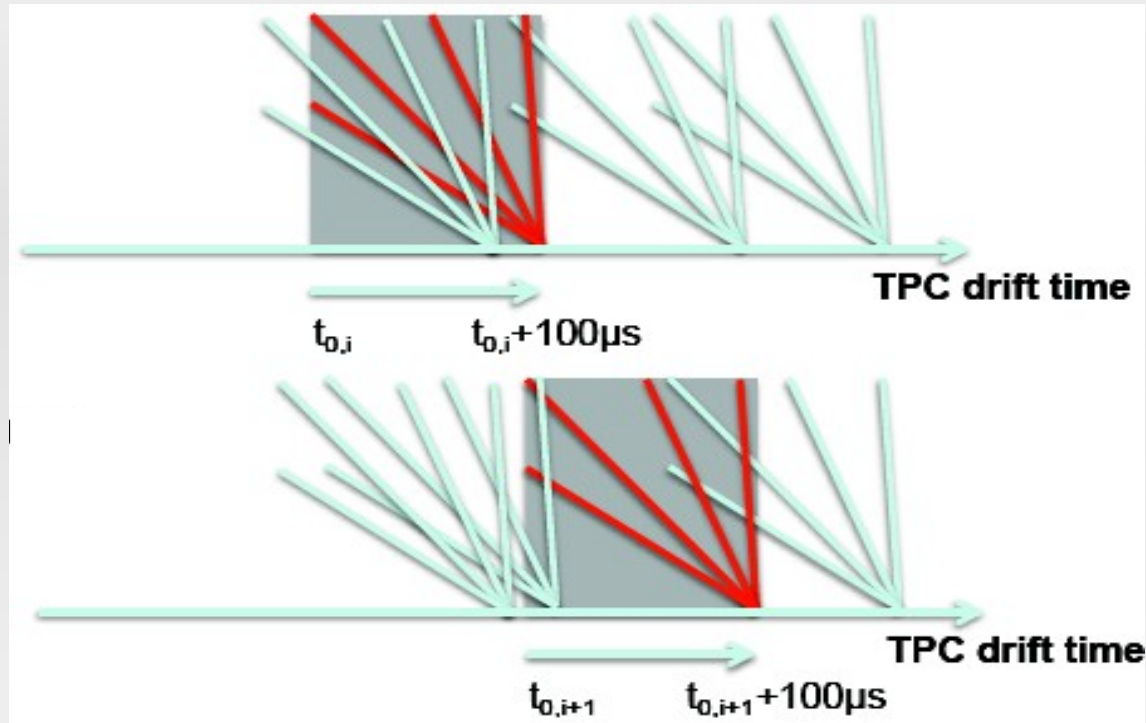
$$\vec{r}_{\text{cls}} = \vec{r}_{\text{ro}} + \int_0^{-t_d} \vec{v}_d(x, y, z) dt$$

- Space-point reconstructions requires
 - Drift-velocity, $\vec{v}_d = (0, 0, v_d)$ (ideal case – no distortions)
 - Drift-time, $t_d = t_{\text{digit}} - t_0$
- $\vec{r}_{\text{cls}} = (x_{\text{ro}}, y_{\text{ro}}, z_{\text{roc}} - v_d t_d)$ – no distortions
- In continuous readout mode, t_0 not known a priori
- Distortions treated as effective corrections
 - $\vec{r}_{\text{cls}} = (x_{\text{ro}}, y_{\text{ro}}, z_{\text{ro}}) + \vec{\Delta}(x_{\text{ro}}, y_{\text{ro}}, z_{\text{ro}}) \rightarrow$ requires $t_0!$



Tracking approaches

Straight forward reconstruction

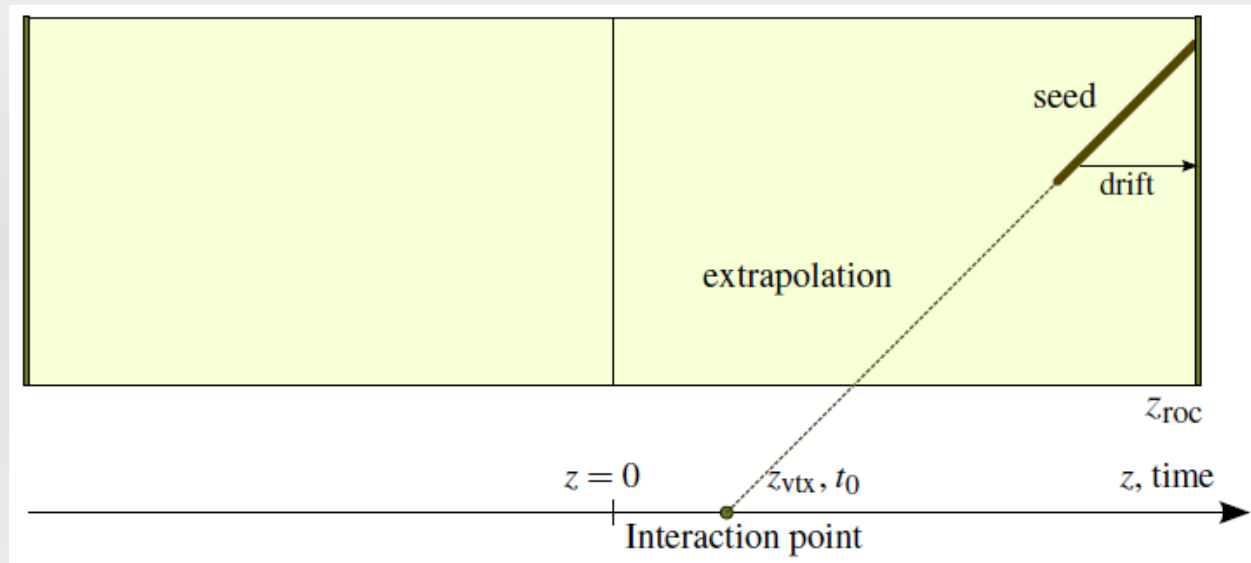


- Scan all $t_{0,i}$ in current TPC drift time \rightarrow external detector
- Apply SCD corrections to all clusters
 - clusters from central interaction will be corrected properly, others are background)
- SCD corr. applied multiple times \rightarrow Computation issue



Tracking approaches

TPC standalone tracking



- Seeding in region with small distortions (ad-hoc SCD corr.)
- Extrapolation to $x=y=0 \rightarrow t_0$ estimate: better SCD corr.
- Track following \rightarrow **Modify search road with SCD estimate**
- Clusters corrected once (fast)
- TPC only information (robust)



Tracking approaches

Further ideas



- Modification of the tracking model
 - Inclusion of t_0
 - Inclusion of the the distortions
- Separate algorithms
 - Fast cluster to track association: Simple helix model
 - Best performance: refit with Kalman-Filter



Summary: ITS upgrade



- ITS upgrade provides major improvements
- Lower material budget
 - better track pointing and secondary vertex resolution
 - improved low- p_T reach
- Better intrinsic resolution
 - improved double track resolution
- ITS upgrade TDR (CERN-LHCC-2013-024)



Summary: TPC upgrade



- TPC data taking at 50kHz Pb–Pb possible using a 4-GEM system
- Major challenges in calibration/reconstruction
 - Continuous readout → Interaction time estimate
 - Fast online reconstruction to perform compression
 - Large distortions due to space-charge (20cm max.)
 - Pile-up: ~5 events overlapping
 - Update of calibration for data in 5ms
- TPC upgrade TDR (CERN-LHCC-2013-020)

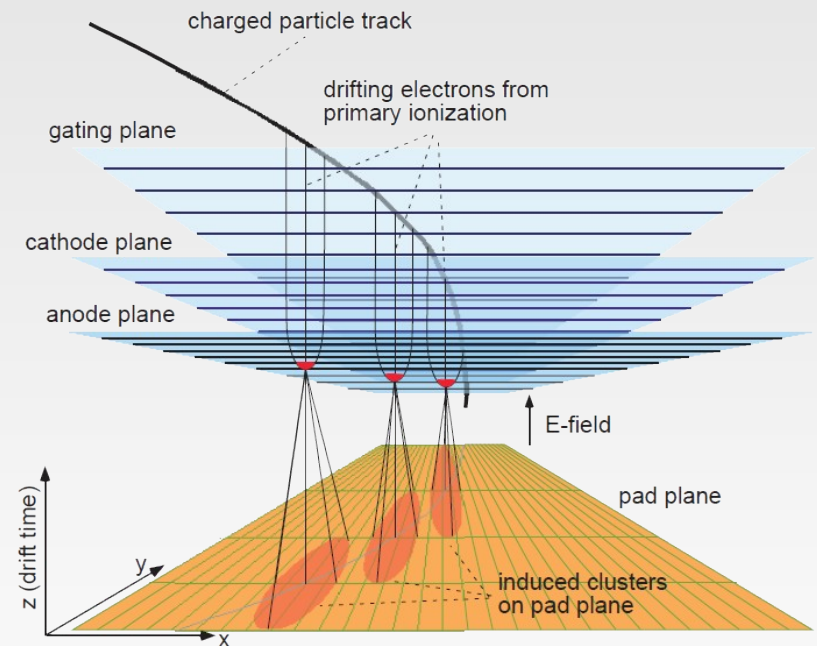
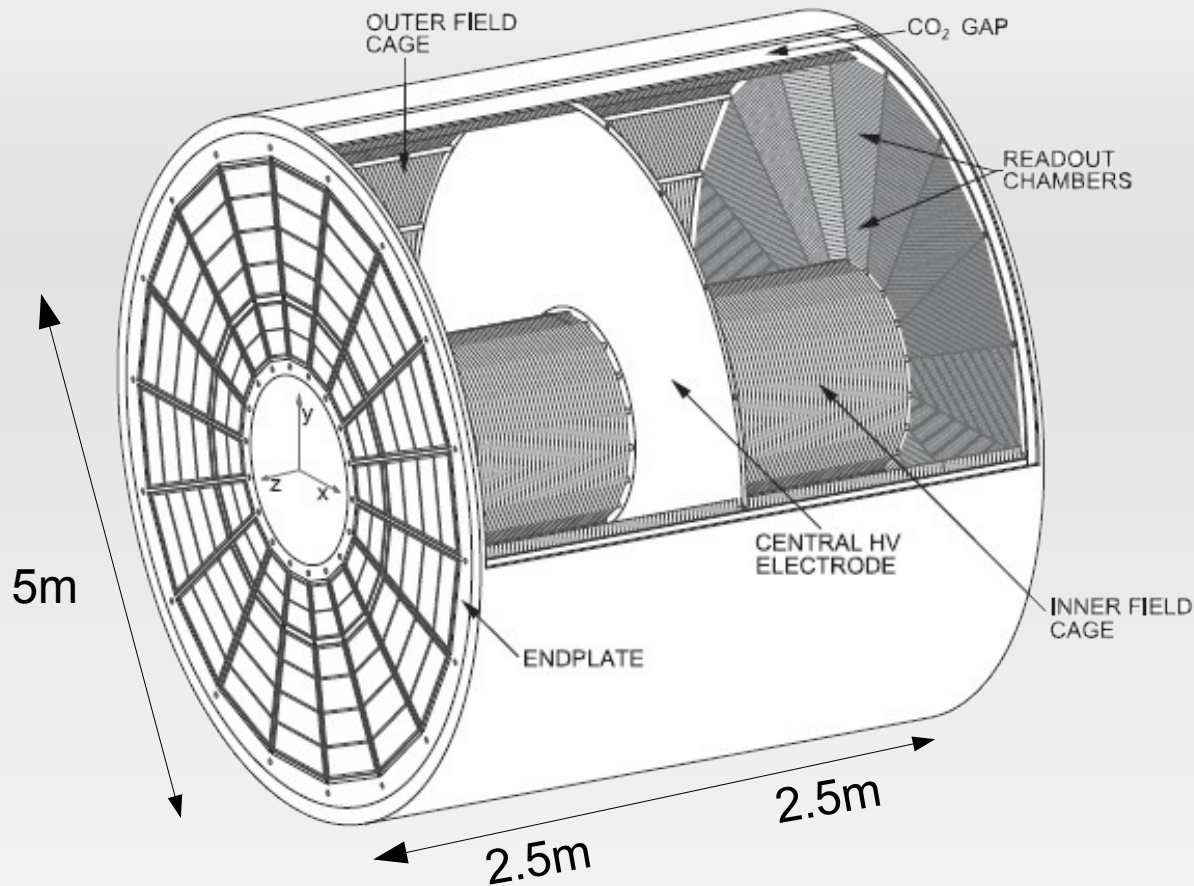


Backup



The ALICE detector

Time Projection Chamber



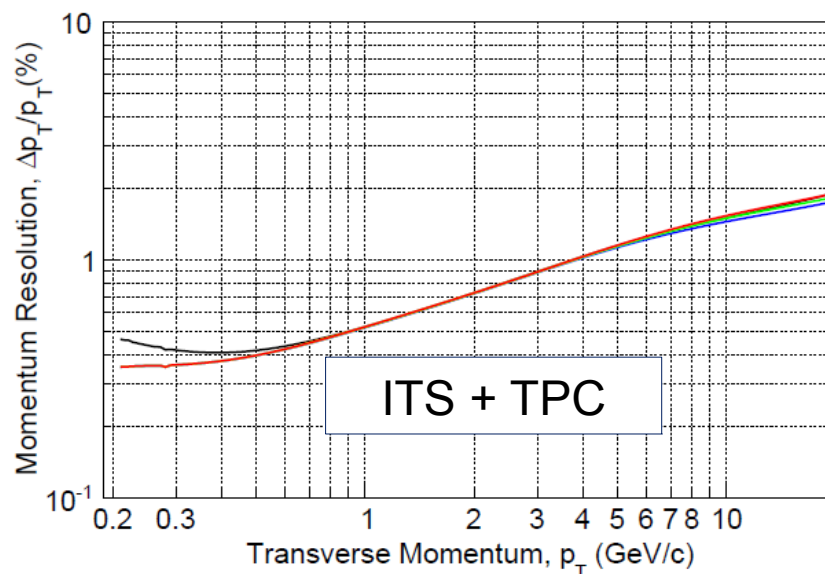
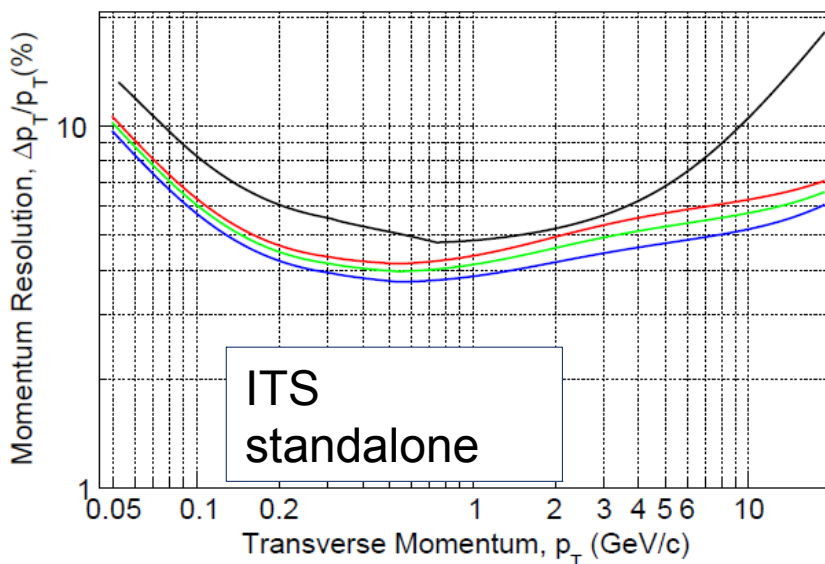
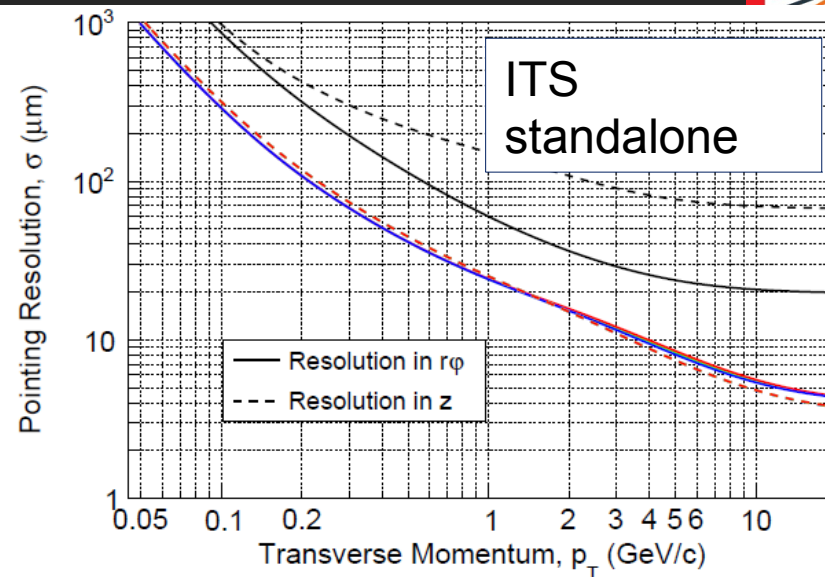
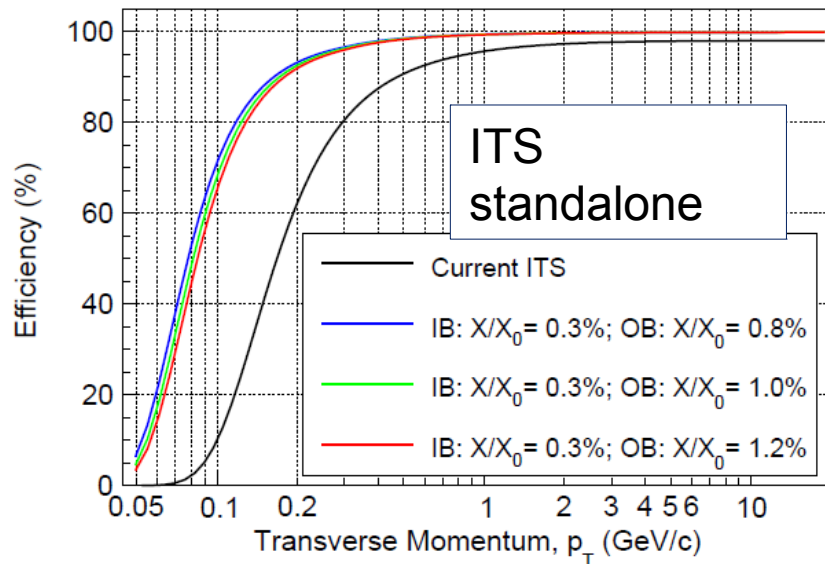
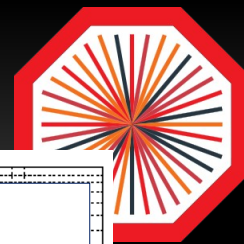
Volume: $\sim 90\text{m}^3$

Gas: Ne-CO₂-N₂ (90-10-5)

Drift voltage: 100kV, 94 μs drift time

72 MWPCs with 557768 readout pads



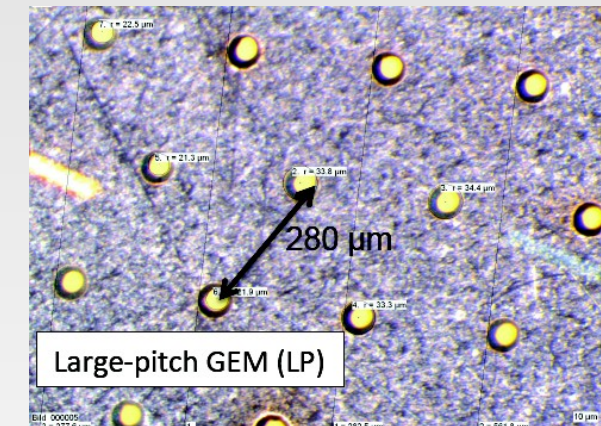
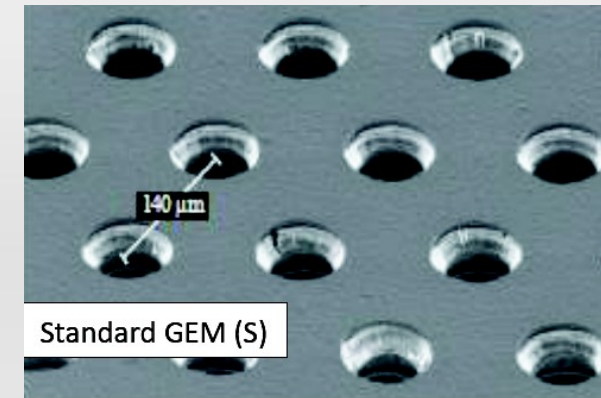
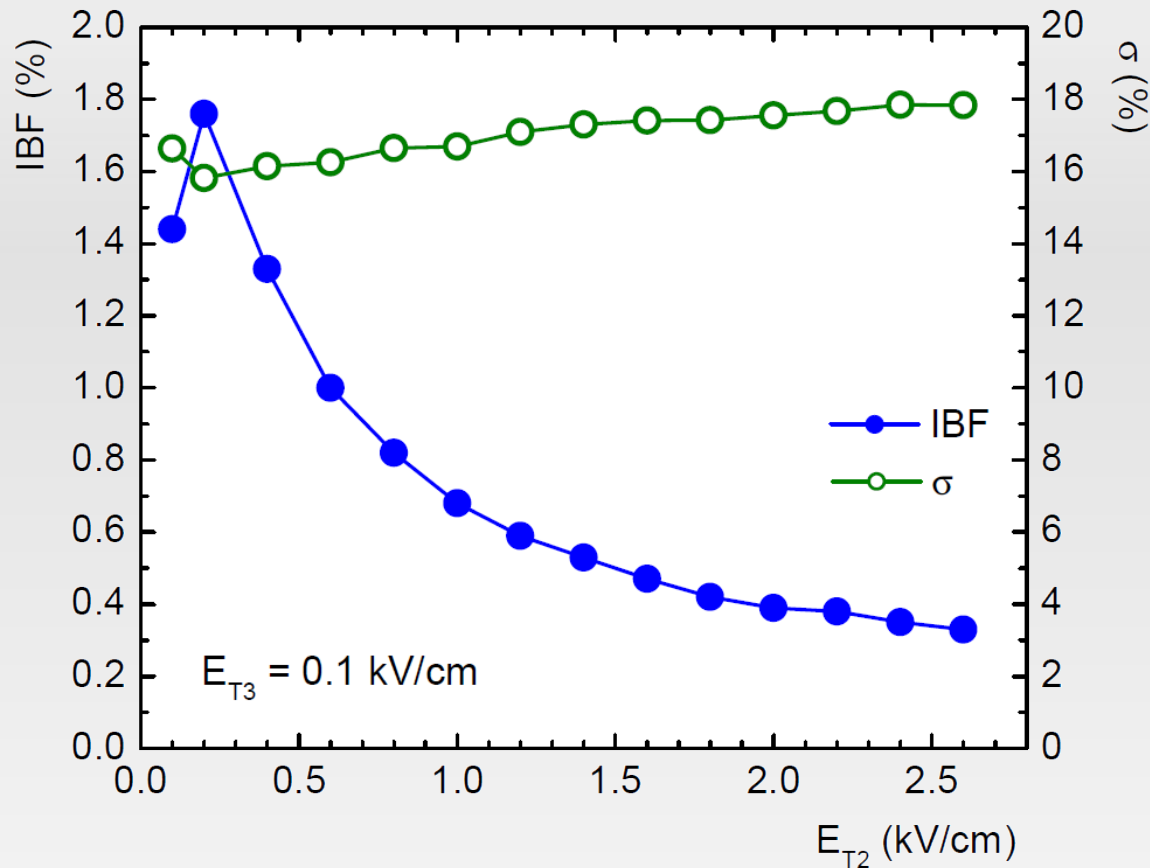


Performance for different material-budget options for the upgraded detector compared with current ITS



IBF optimisation

4 GEM system – S-LP-LP-S

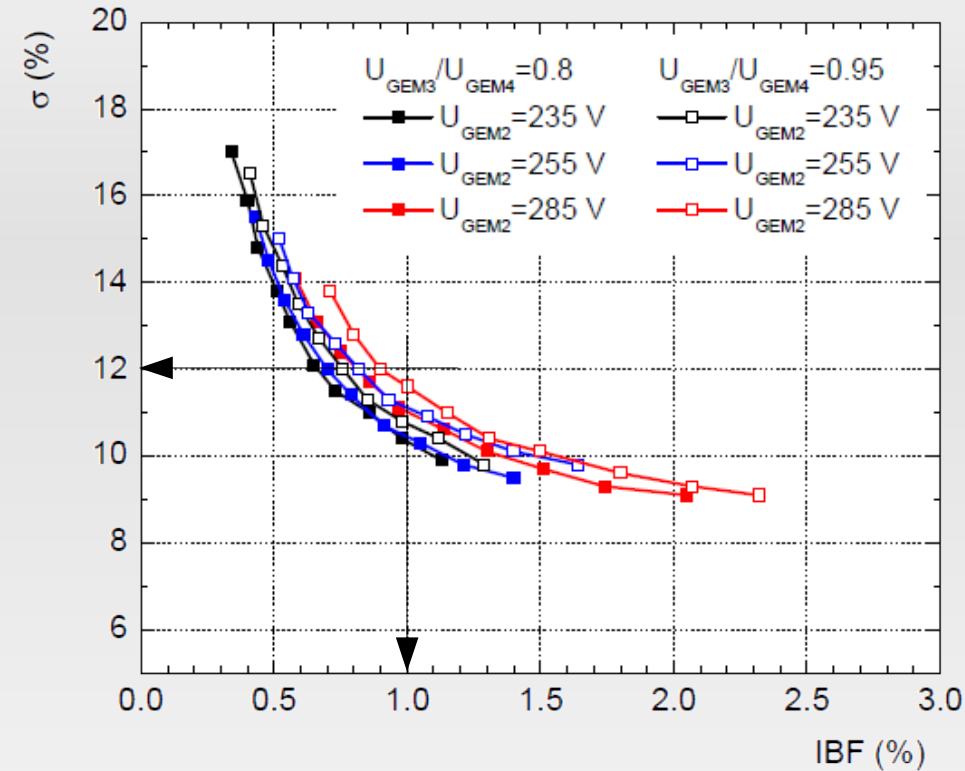
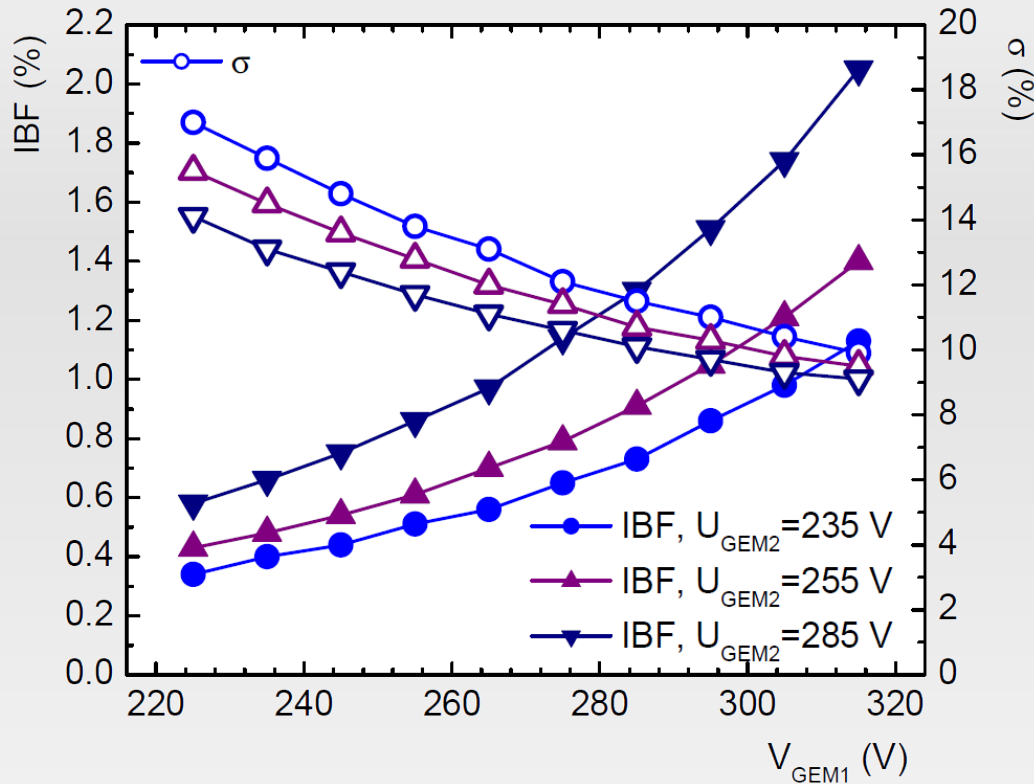


- 4 GEM S-LP-LP-S system allows for $IBF < 1\%$
- Additional requirement: Energy resolution (σ)
 - \rightarrow Small σ required for efficient PID



IBF optimisation

4 GEM system – S-LP-LP-S

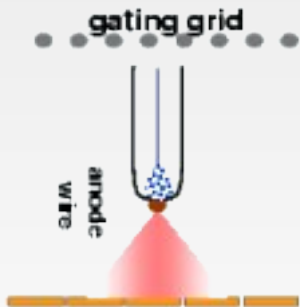
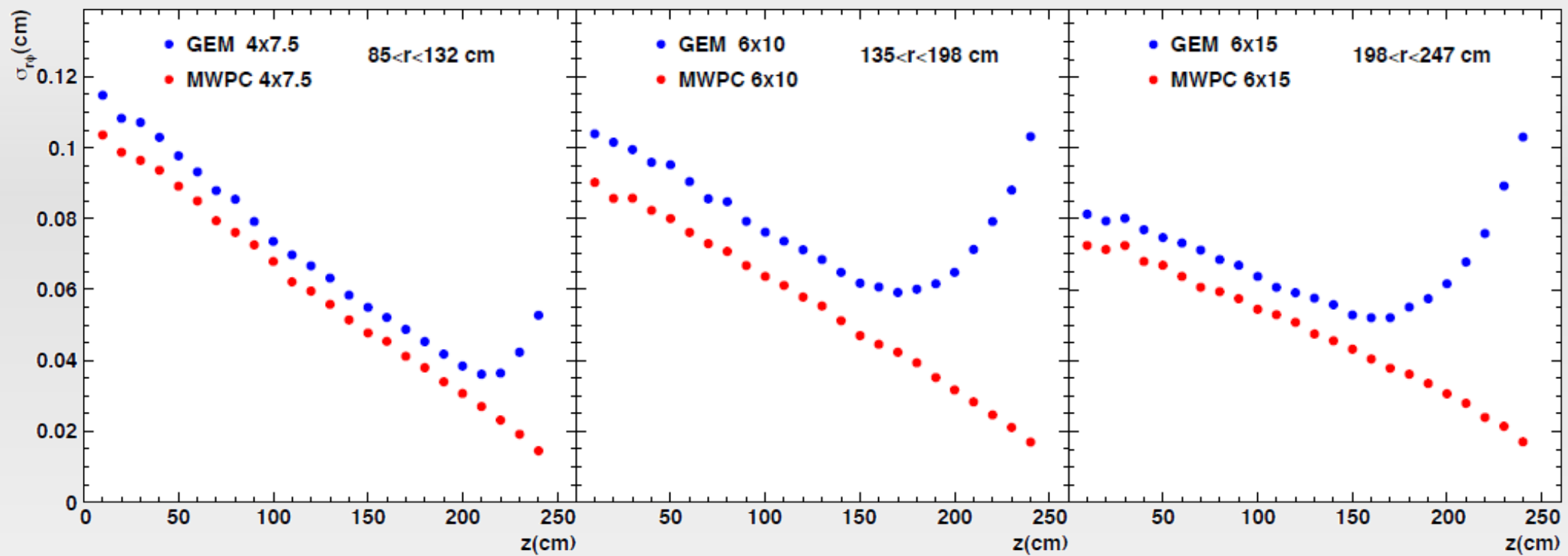


- Requirements: IBF < 1% & energy resolution (σ) < 12%
- Large parameter space available
 - → Allows for further optimisation



Intrinsic performance

Space point resolution

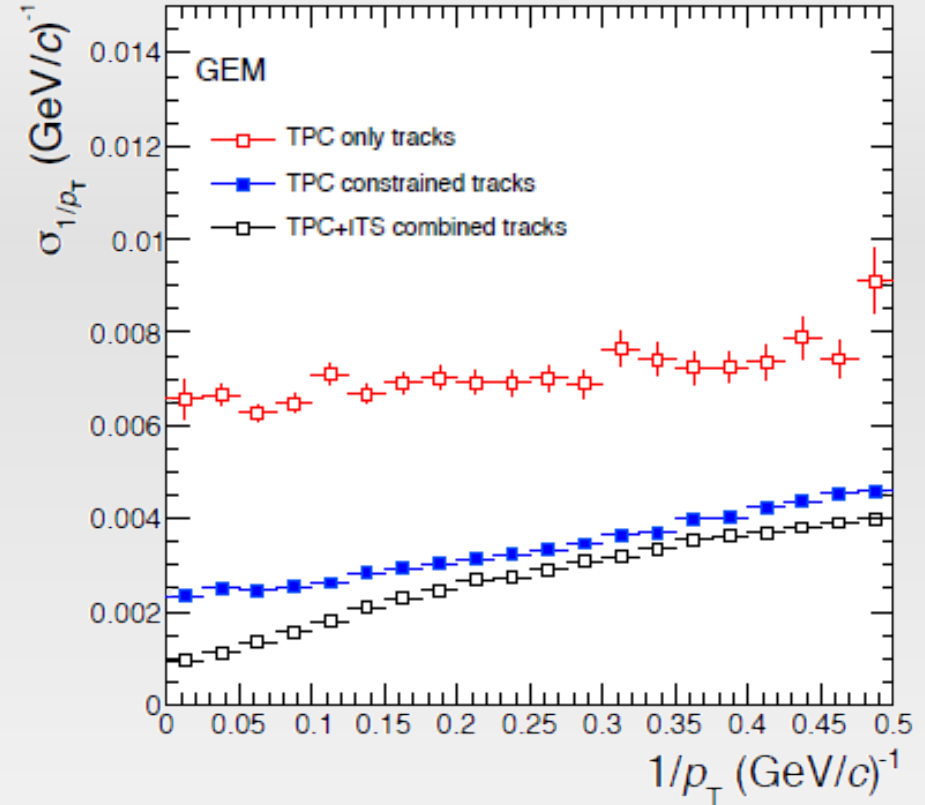
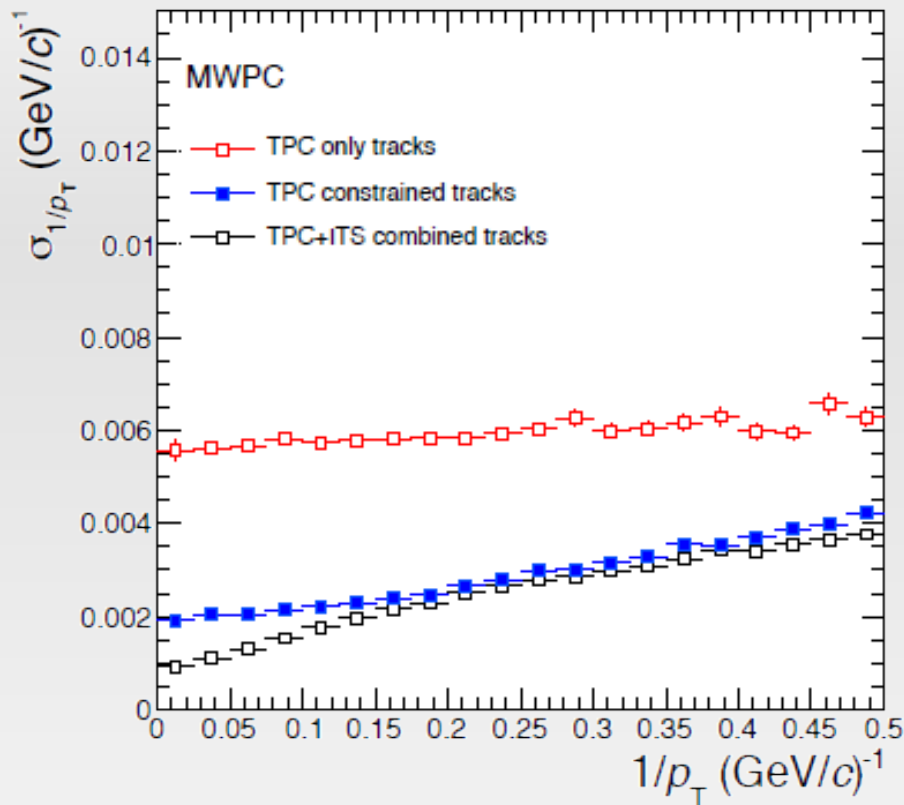


- Optimised Pad Response Function for MWPCs
- PRF of GEMs very narrow → diffusion helps to spread signal over several pads
- Slightly worse overall resolution with GEMs



Intrinsic performance

Momentum resolution

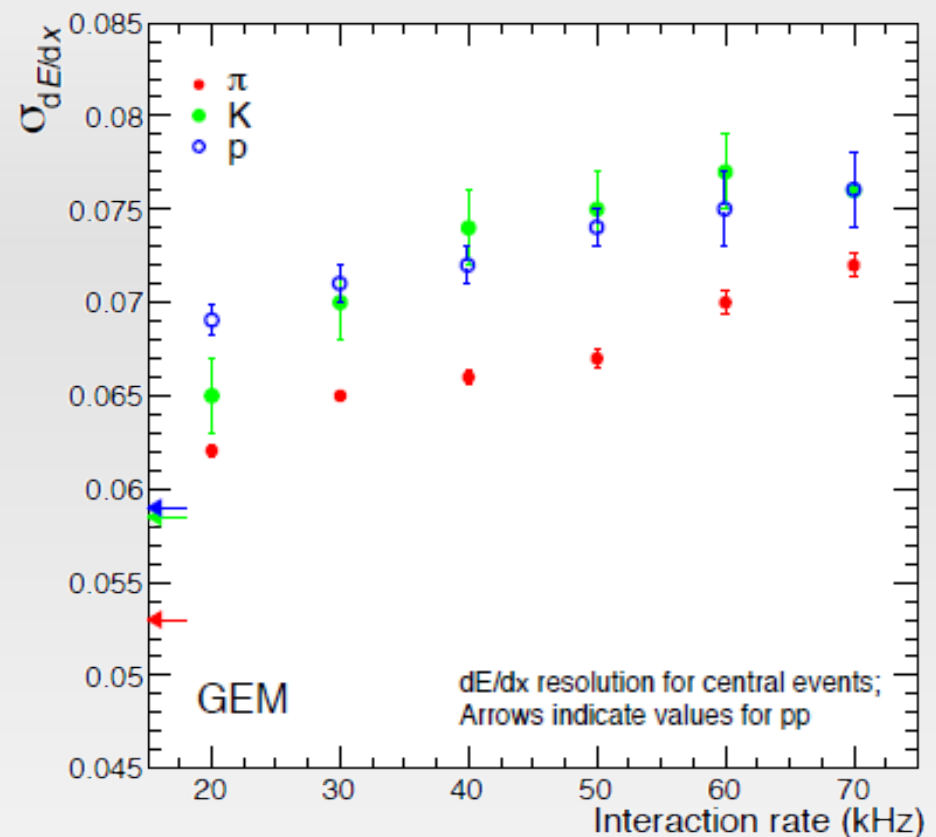
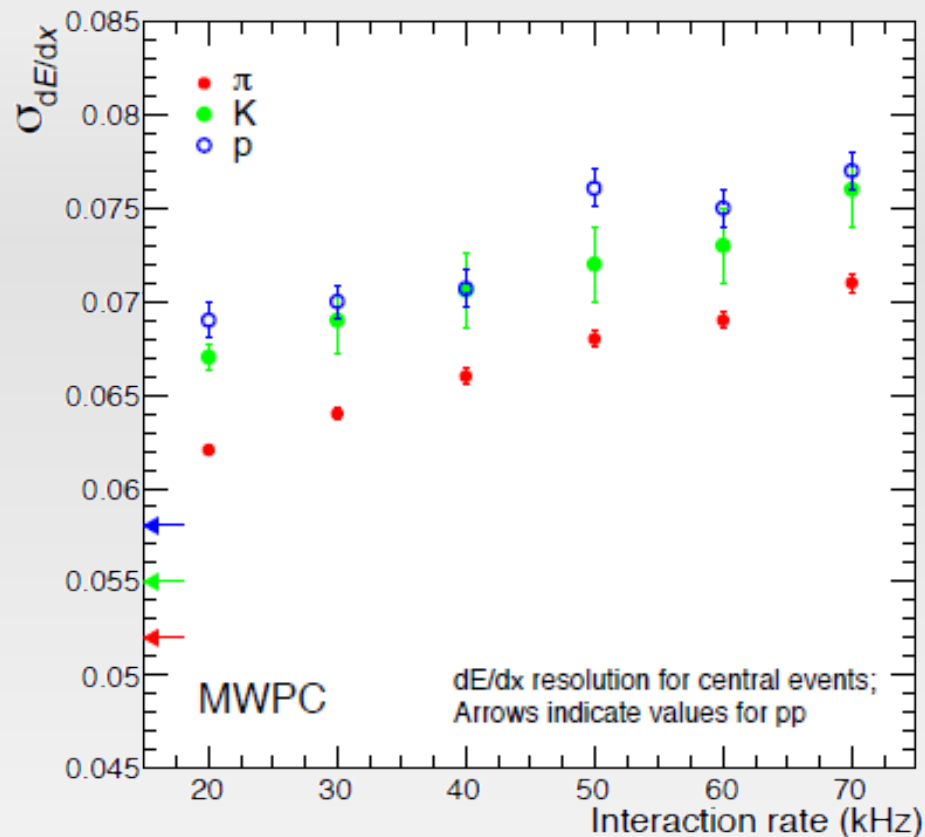


- Full detector simulation (central Pb-Pb event)
- Slightly worse resolution of TPC only tracks (space point resolution)
- Resolution restored matching tracks to the ITS



Performance with pileup

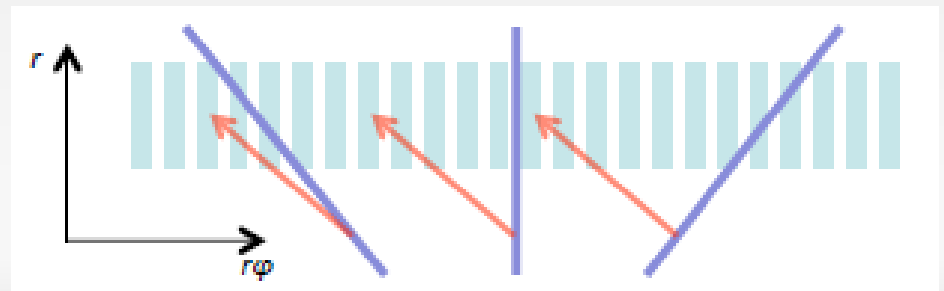
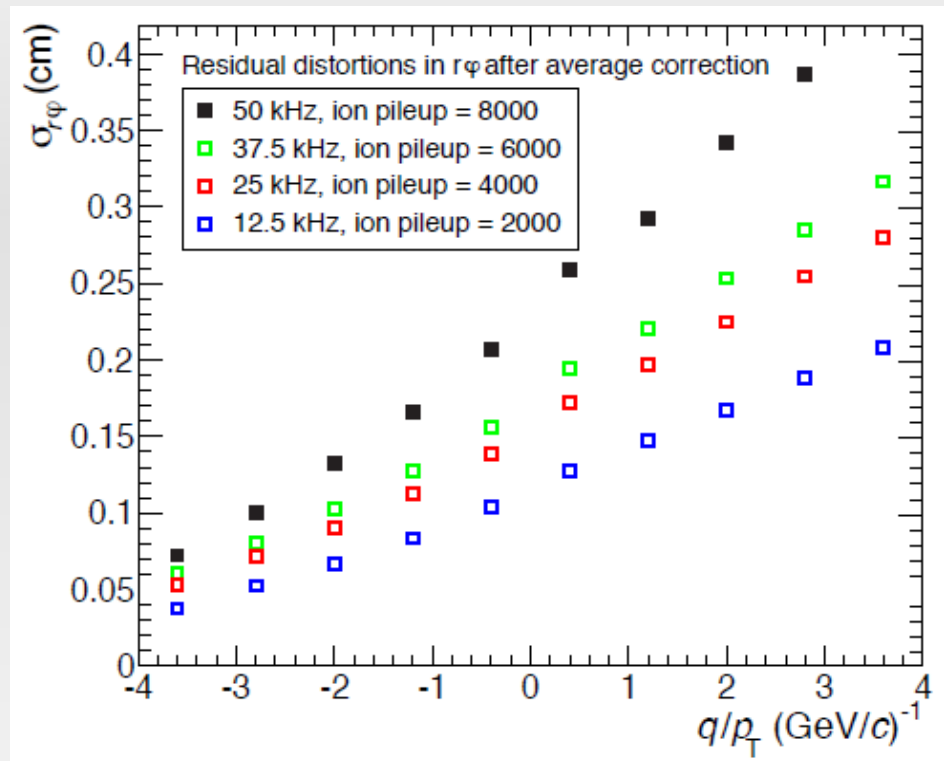
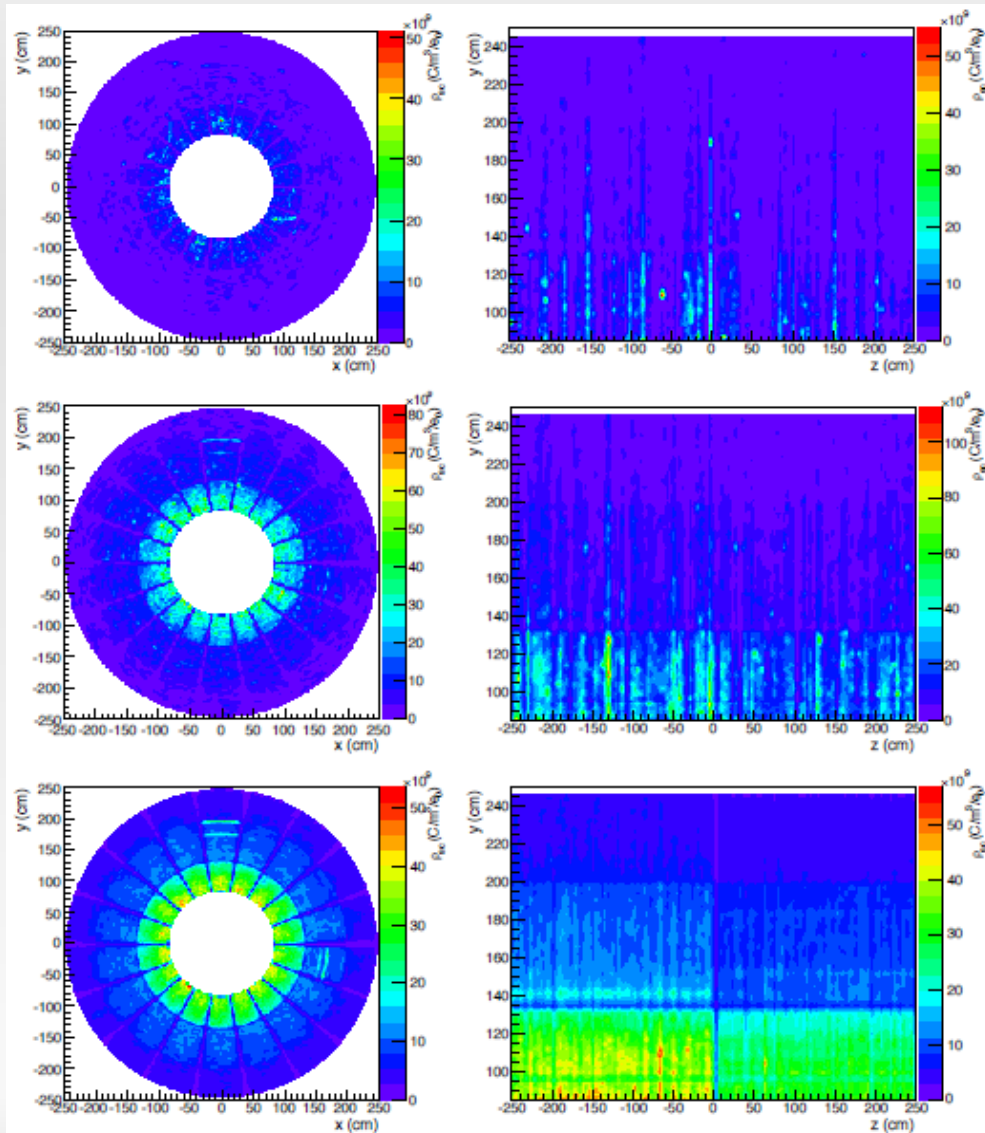
dE/dx resolution



- Moderate worsening with increasing pileup (cluster merging)
- No difference between MWPC and GEM system

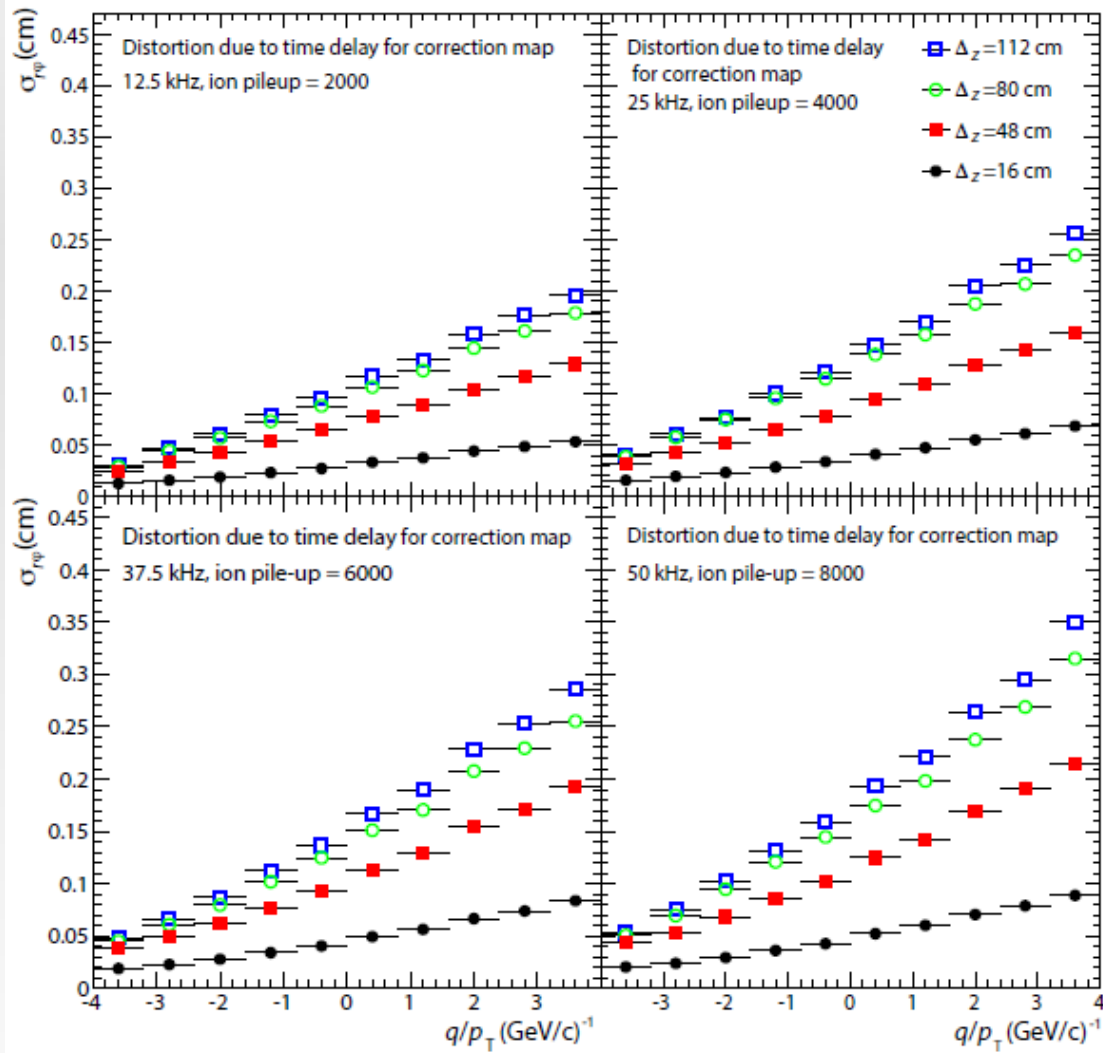


Space charge fluctuation



Space-charge fluctuation

Required update interval



Implications for the TPC

High rate operation



- High interaction rate: 50kHz
- New detector technology required
 - Allows for continuous readout
 - Results in space-point distortion due to space charge
- Huge data rate
 - Requires online compression
 - Sufficient suppression only feasible with online reconstruction
- Several events piling up in the TPC
 - Efficient reconstruction algorithm

