

Microscopic description of nuclear structure and reactions with astrophysical applications



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NAVI Annual Meeting
GSI, Darmstadt, Germany
December 16-17, 2013

Overview



Unitary Correlation Operator Method

Fermionic Molecular Dynamics

${}^3\text{He}(\alpha, \gamma){}^7\text{Be}$ Radiative Capture Reaction

- bound and scattering states
- astrophysical S -factor

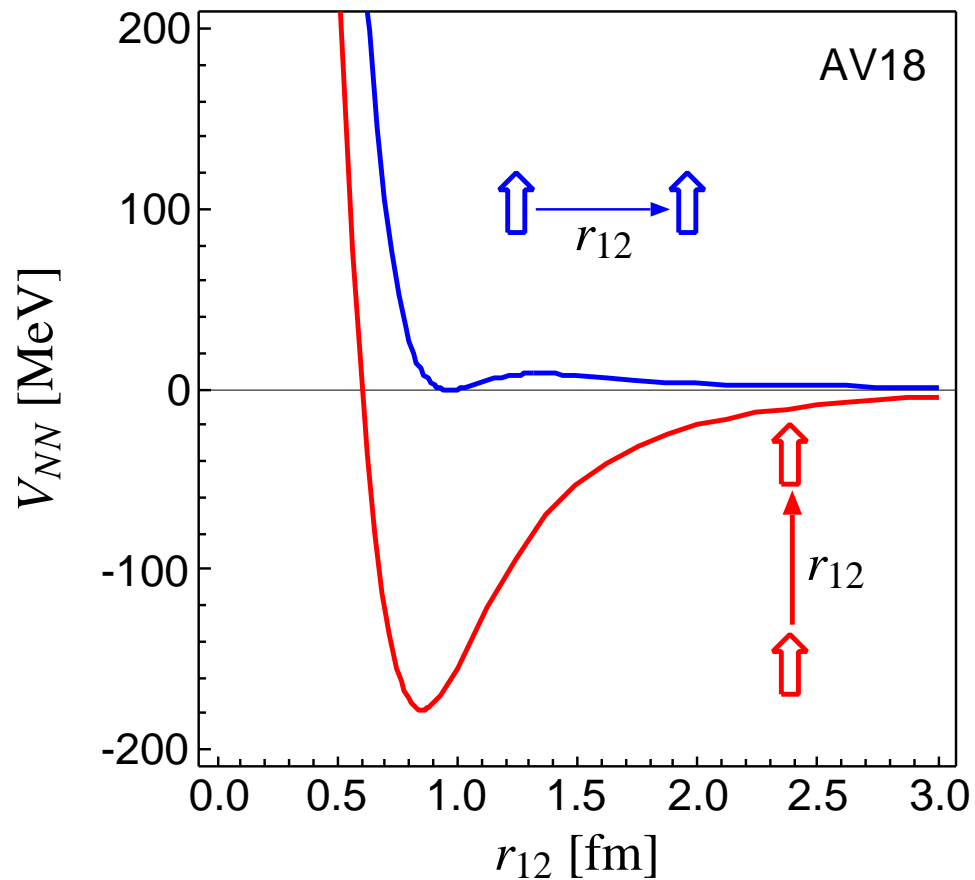
Cluster States in ${}^{12}\text{C}$

- FMD and microscopic cluster model
- electron scattering data – form factors
- include ${}^8\text{Be} + \alpha$ continuum

Nuclear Force

Argonne V18 (T=0)

spins aligned parallel or perpendicular to the relative distance vector



- strong repulsive core: nucleons can not get closer than ≈ 0.5 fm

➔ **central correlations**

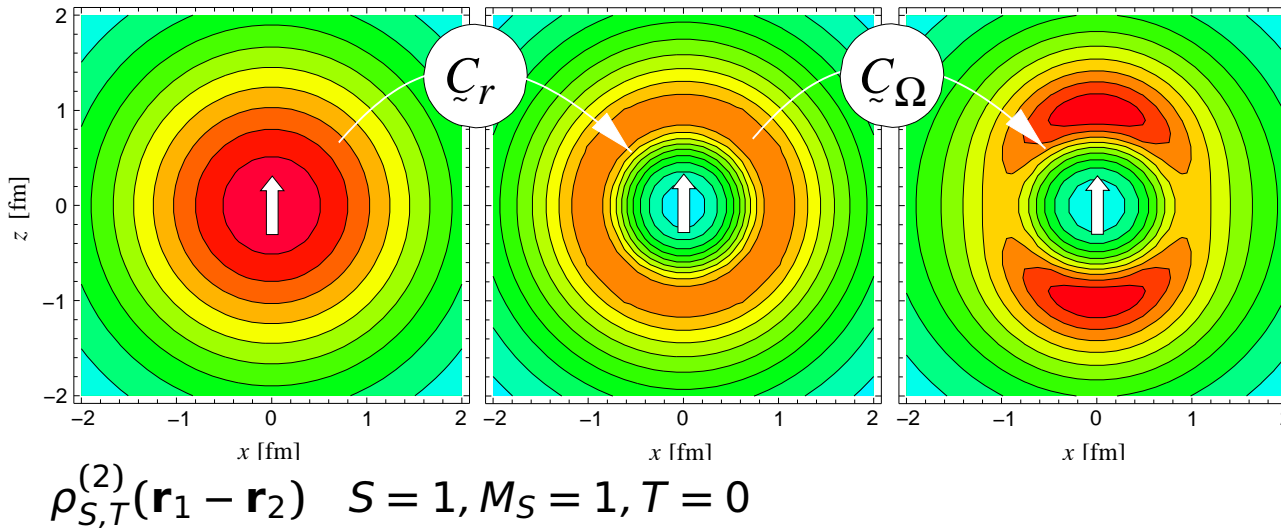
- strong dependence on the orientation of the spins due to the tensor force

➔ **tensor correlations**

the nuclear force will induce **strong short-range correlations** in the nuclear wave function

Unitary Correlation Operator Method Correlations and Energies

two-body densities

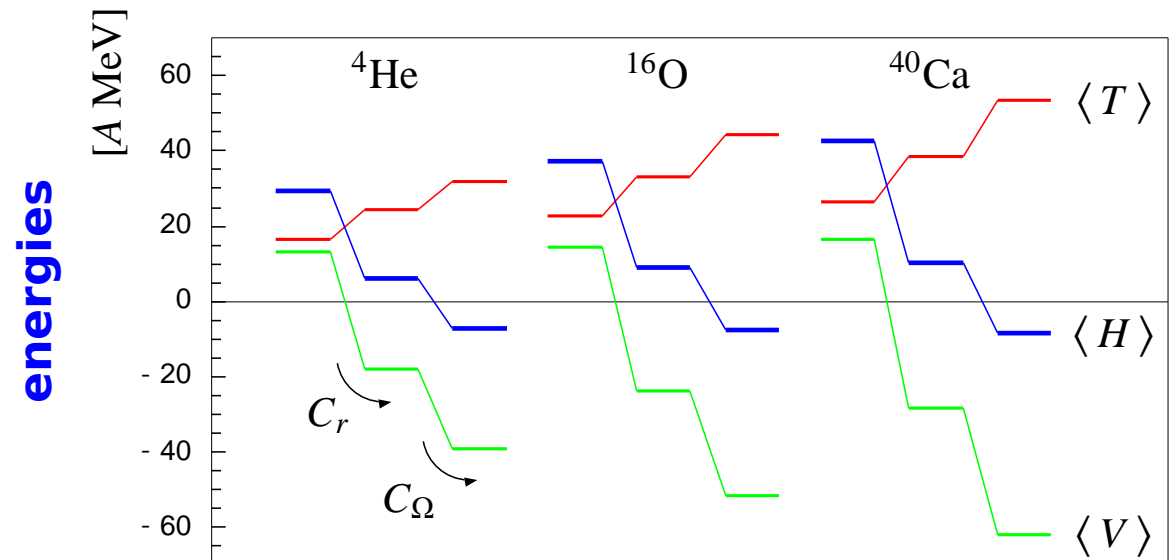


central correlator \tilde{C}_r
shifts density out of
the repulsive core

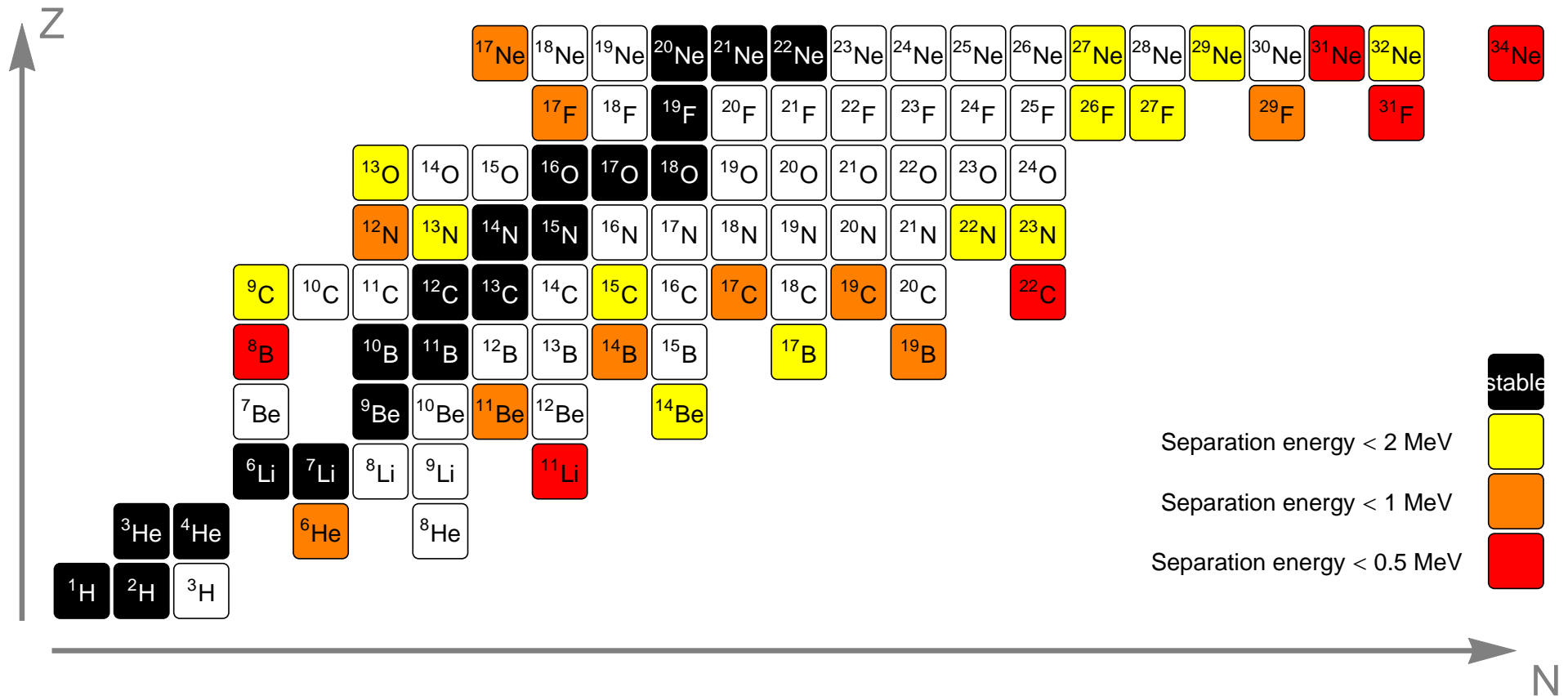
tensor correlator \tilde{C}_Ω
aligns density with spin
orientation

both central
and tensor
correlations are
essential for
binding

$0\hbar\omega$ Harmonic Oscillator



Exotica: Special Challenges



- ➔ states close to one-nucleon, two-nucleon or cluster thresholds can have well developed **halo** or **cluster** structure
- ➔ these are hard to tackle in the harmonic oscillator basis

Fermionic

Slater determinant

$$|Q\rangle = \mathcal{A}\left(|q_1\rangle \otimes \cdots \otimes |q_A\rangle\right)$$

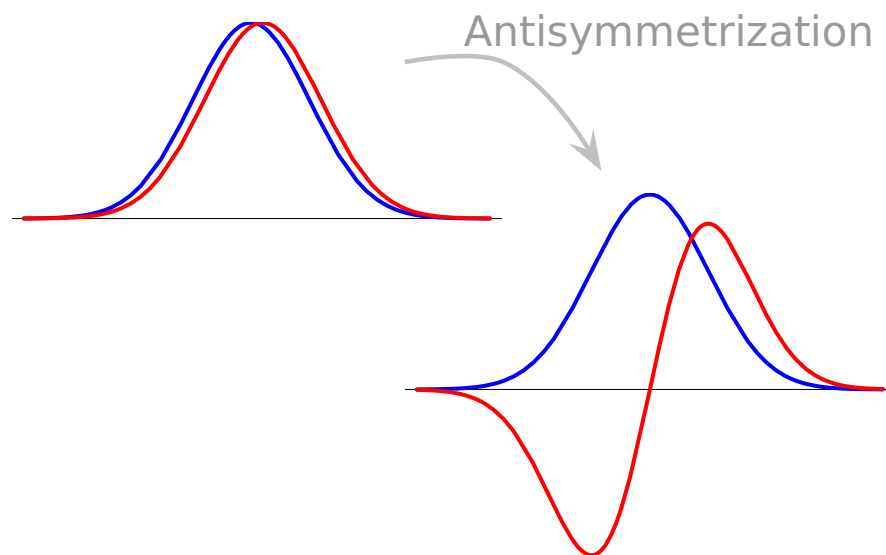
- antisymmetrized A-body state

Molecular

single-particle states

$$\langle \mathbf{x} | q \rangle = \sum_i c_i \exp\left\{-\frac{(\mathbf{x} - \mathbf{b}_i)^2}{2a_i}\right\} \otimes |\chi_i^\uparrow, \chi_i^\downarrow\rangle \otimes |\xi\rangle$$

- Gaussian wave-packets in phase-space (complex parameter \mathbf{b}_i encodes mean position and mean momentum), spin is free, isospin is fixed
- width a_i is an independent variational parameter for each wave packet
- use one or two wave packets for each single particle state



see also
**Antisymmetrized
 Molecular Dynamics**
 Horiuchi, Kanada-En'yo,
 Kimura, ...

Projection After Variation (PAV)

- mean-field may break symmetries of Hamiltonian
- restore inversion, translational and rotational symmetry by projection on parity, linear and angular momentum

$$\tilde{P}^\pi = \frac{1}{2}(1 + \pi\Pi)$$

$$\tilde{P}_{MK}^J = \frac{2J+1}{8\pi^2} \int d^3\Omega D_{MK}^{J*}(\Omega) \tilde{R}(\Omega)$$

Variation After Projection (VAP)

- effect of projection can be large
- **Variation after Angular Momentum and Parity Projection** (VAP) for light nuclei
- combine VAP with **constraints** on **radius**, **dipole** moment, **quadrupole** moment, ... to generate additional configurations

$$\tilde{P}^{\mathbf{P}} = \frac{1}{(2\pi)^3} \int d^3\mathbf{X} \exp\{-i(\tilde{\mathbf{P}} - \mathbf{P}) \cdot \mathbf{X}\}$$

Multiconfiguration Calculations

- **diagonalize** Hamiltonian in a set of projected intrinsic states

$$\left\{ |Q^{(a)}\rangle, \quad a = 1, \dots, N \right\}$$

$$\sum_{K'b} \langle Q^{(a)} | \tilde{H} \tilde{P}_{KK'}^{J\pi} \tilde{P}^{\mathbf{P}=0} | Q^{(b)} \rangle \cdot c_{K'b}^\alpha = E^{J\pi\alpha} \sum_{K'b} \langle Q^{(a)} | \tilde{P}_{KK'}^{J\pi} \tilde{P}^{\mathbf{P}=0} | Q^{(b)} \rangle \cdot c_{K'b}^\alpha$$

${}^3\text{He}(\alpha, \gamma){}^7\text{Be}$ radiative capture

one of the key reactions in the solar pp-chains



Effective Nucleon-Nucleon interaction:

UCOM(SRG) $\alpha = 0.20 \text{ fm}^4 - \lambda \approx 1.5 \text{ fm}^{-1}$

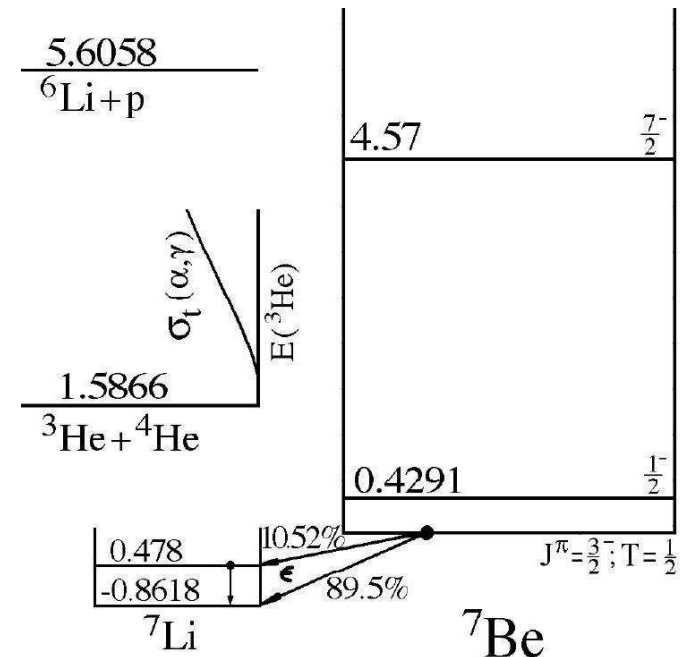
Many-Body Approach:

Fermionic Molecular Dynamics

- Internal region: VAP configurations with radius constraint
- External region: Brink-type cluster configurations
- Matching to Coulomb solutions: Microscopic R -matrix method

Results:

- ${}^7\text{Be}$ bound and scattering states
- Astrophysical S -factor



${}^3\text{He}(\alpha, \gamma){}^7\text{Be}$

FMD model space

Frozen configurations

- 15 antisymmetrized wave function built with ${}^4\text{He}$ and ${}^3\text{He}$ FMD clusters up to channel radius $a=12$ fm

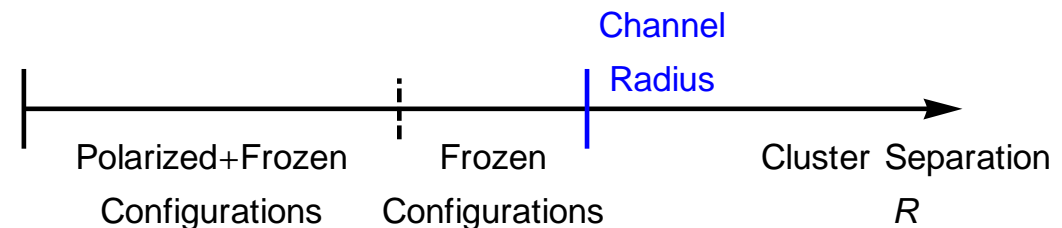
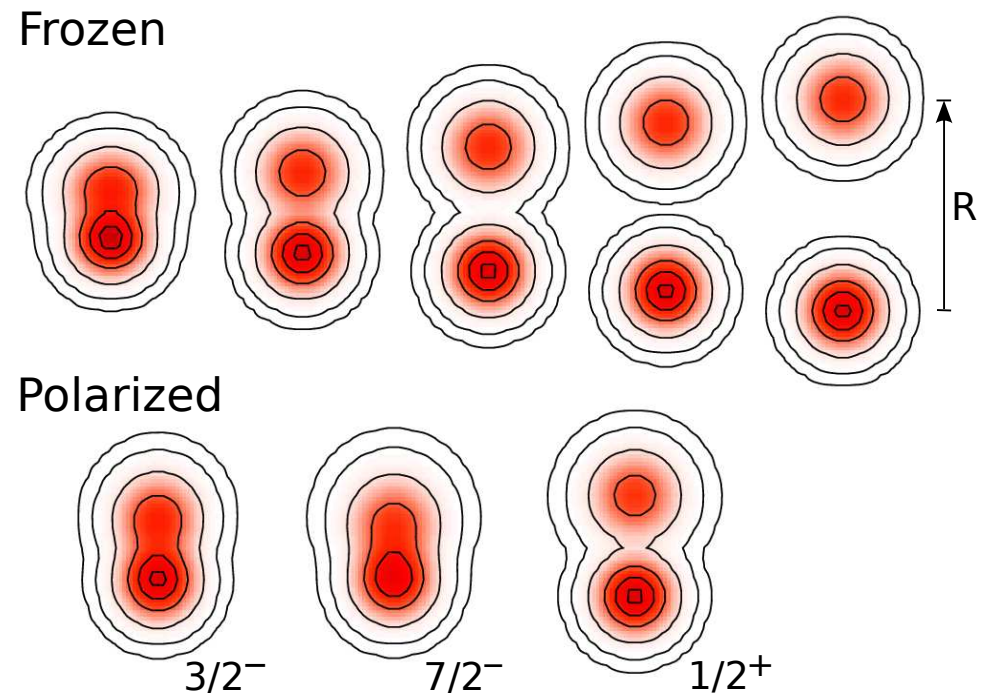
Polarized configurations

- 30 FMD wave functions obtained by VAP on $1/2^-$, $3/2^-$, $5/2^-$, $7/2^-$ and $1/2^+$, $3/2^+$ and $5/2^+$ combined with radius constraint in the interaction region

Boundary conditions

- Match relative motion of clusters at channel radius to Whittaker/Coulomb functions with the **microscopic R-matrix** method of the Brussels group

D. Baye, P.-H. Heenen, P. Descouvemont



• ${}^3\text{He}(\alpha, \gamma){}^7\text{Be}$

• p -wave Bound and Scattering States

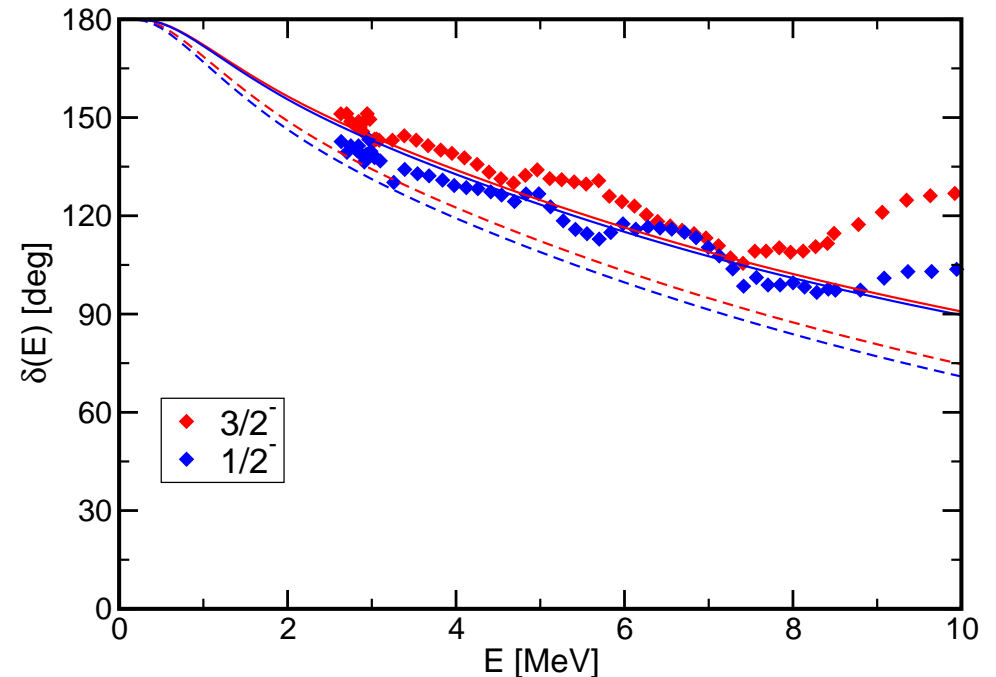
Bound states

		Experiment	FMD
${}^7\text{Be}$	$E_{3/2-}$	-1.59 MeV	-1.49 MeV
	$E_{1/2-}$	-1.15 MeV	-1.31 MeV
	r_{ch}	2.647(17) fm	2.67 fm
	Q	-	-6.83 e fm ²
${}^7\text{Li}$	$E_{3/2-}$	-2.467 MeV	-2.39 MeV
	$E_{1/2-}$	-1.989 MeV	-2.17 MeV
	r_{ch}	2.444(43) fm	2.46 fm
	Q	-4.00(3) e fm ²	-3.91 e fm ²

- centroid of bound state energies well described if polarized configurations included
- tail of wave functions tested by charge radii and quadrupole moments

Phase shift analysis:

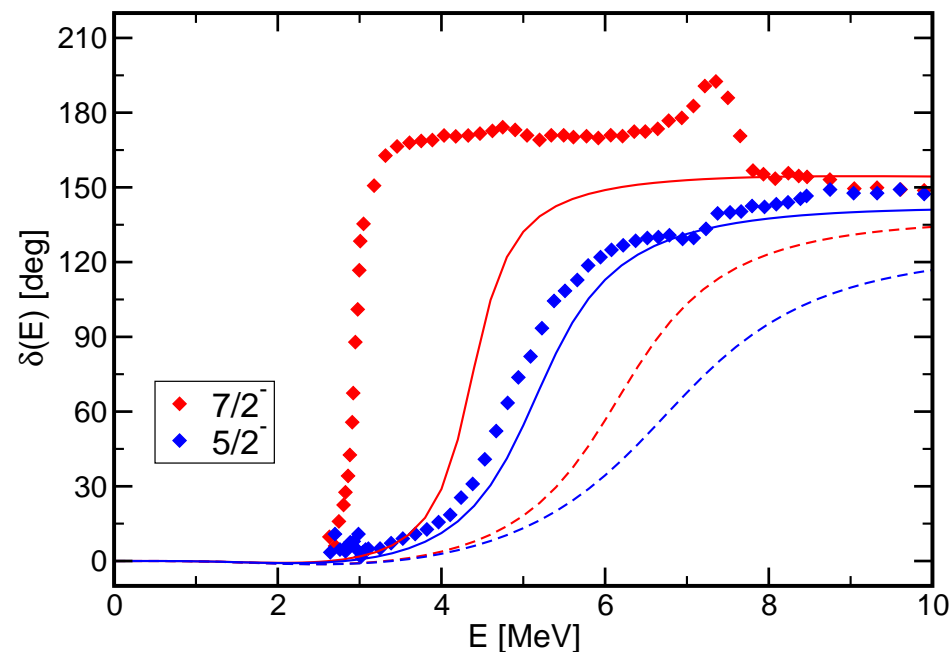
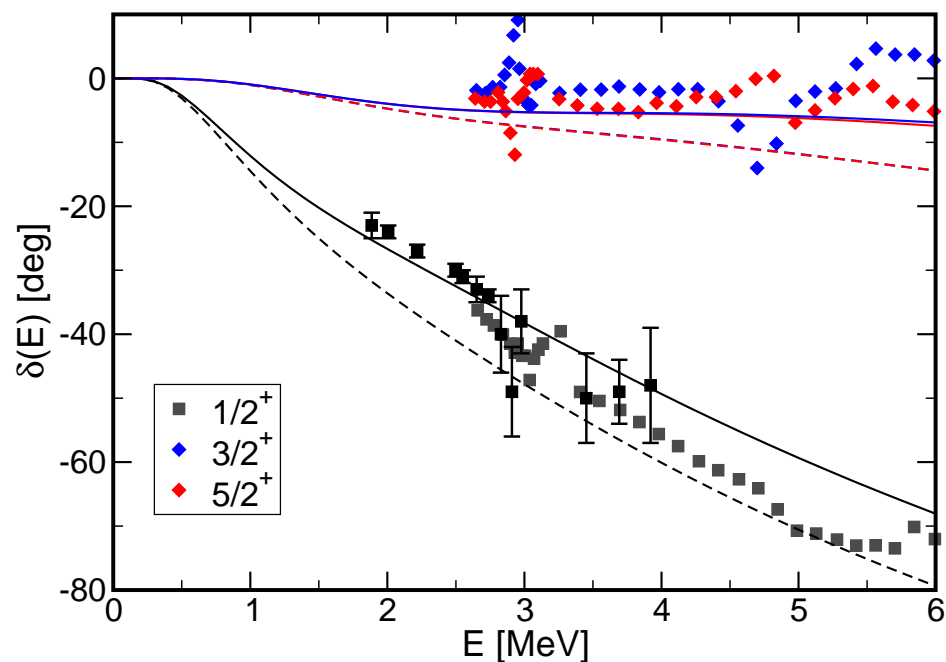
Spiger and Tombrello, PR **163**, 964 (1967)



dashed lines – frozen configurations only
solid lines – polarized configurations in interaction region included

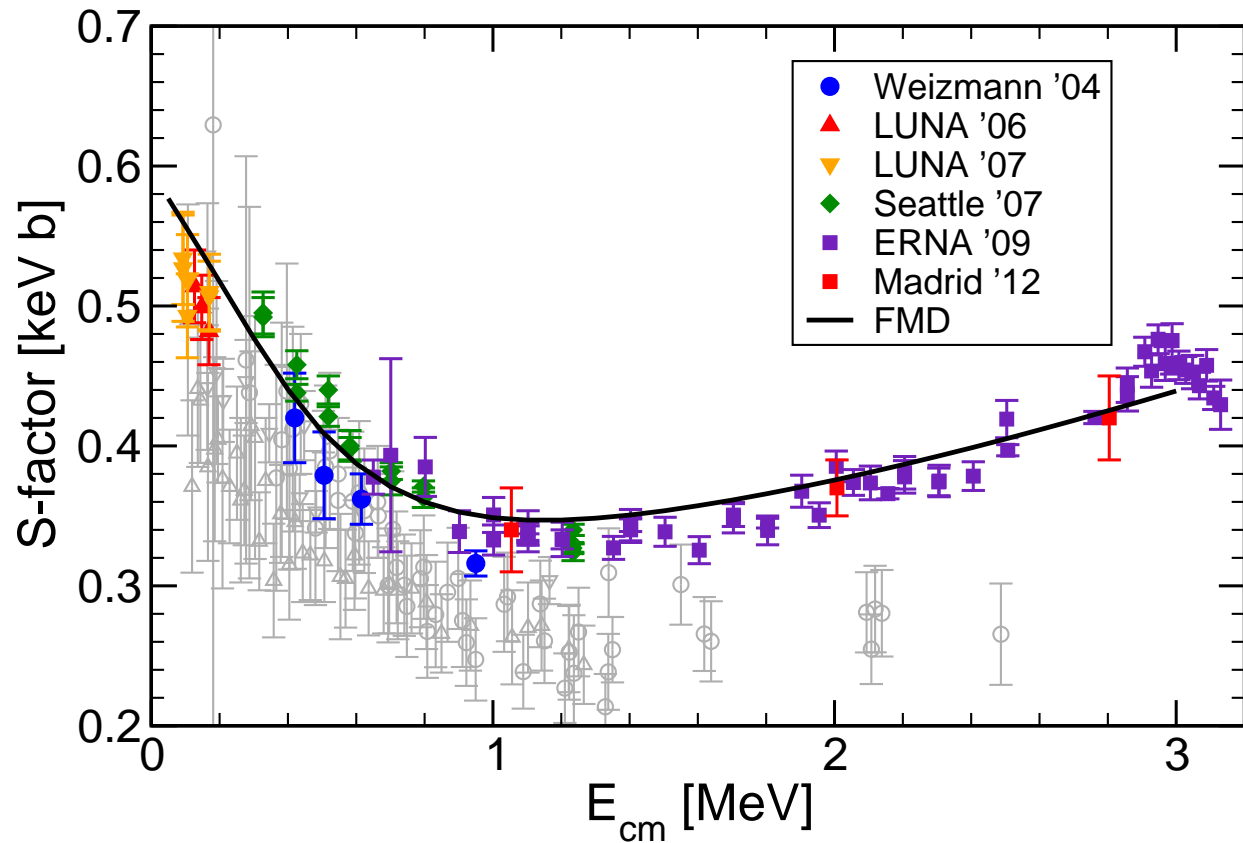
- Scattering phase shifts well described, polarization effects important

- ${}^3\text{He}(\alpha, \gamma){}^7\text{Be}$
- s -, d - and f -wave Scattering States



dashed lines – frozen configurations only – solid lines – FMD configurations in interaction region included

- polarization effects important
- s - and d -wave scattering phase shifts well described
- $7/2^-$ resonance too high, $5/2^-$ resonance roughly right, consistent with no-core shell model calculations

**S-factor:**

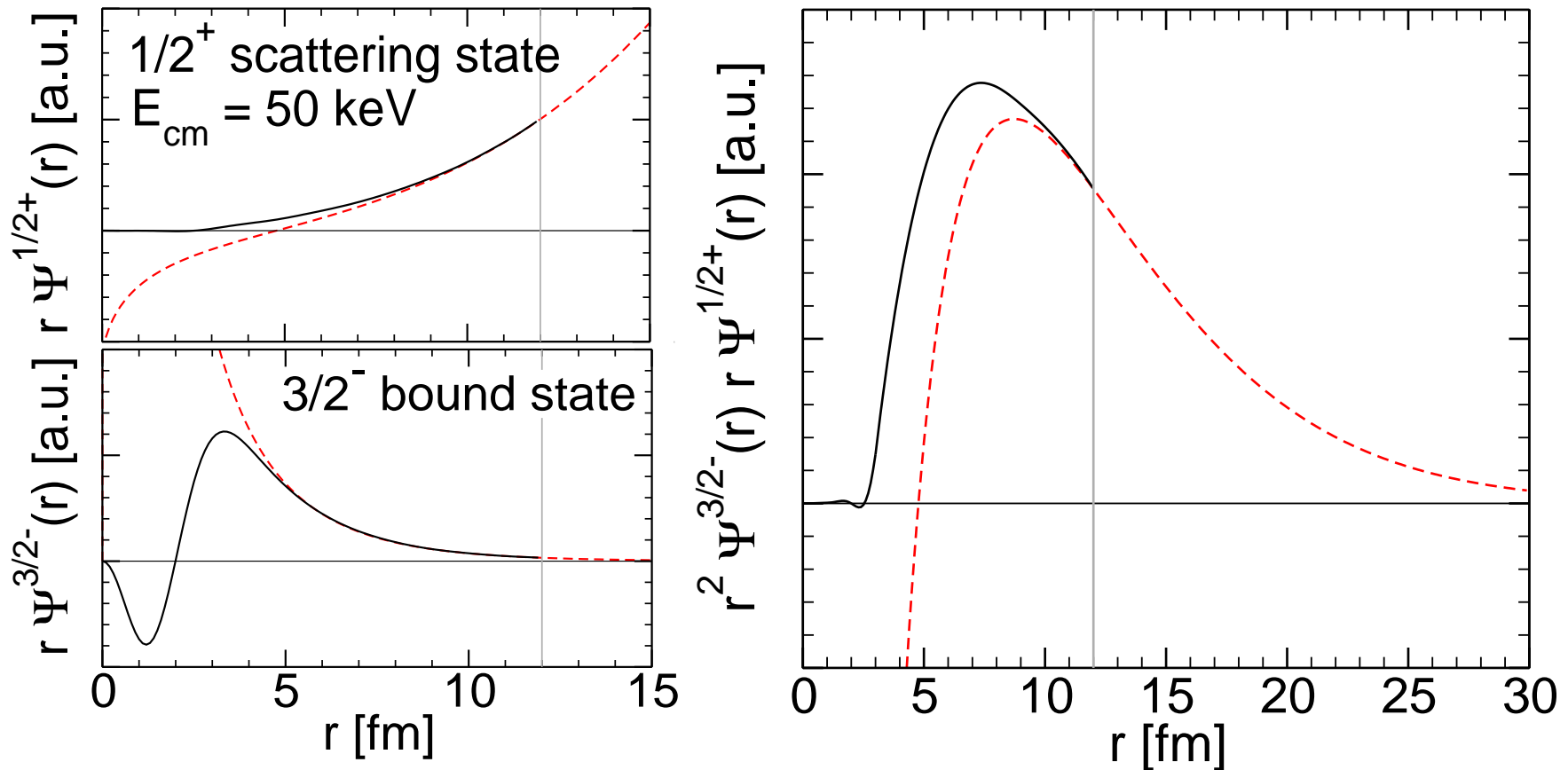
$$S(E) = \sigma(E)E \exp\{2\pi\eta\}$$

$$\eta = \frac{\mu Z_1 Z_2 e^2}{k}$$

Nara Singh *et al.*, PRL **93**, 262503 (2004)
Bemmerer *et al.*, PRL **97**, 122502 (2006)
Confortola *et al.*, PRC **75**, 065803 (2007)
Brown *et al.*, PRC **76**, 055801 (2007)
Di Leva *et al.*, PRL **102**, 232502 (2009)
Carmona-Gallardo *et al.*,
PRC **86**, 032801(R) (2012)

- dipole transitions from $1/2^+$, $3/2^+$, $5/2^+$ scattering states into $3/2^-$, $1/2^-$ bound states
- ➔ FMD is the only model that describes well the energy dependence and normalization of new high quality data
- ➔ fully microscopic calculation, bound and scattering states are described consistently

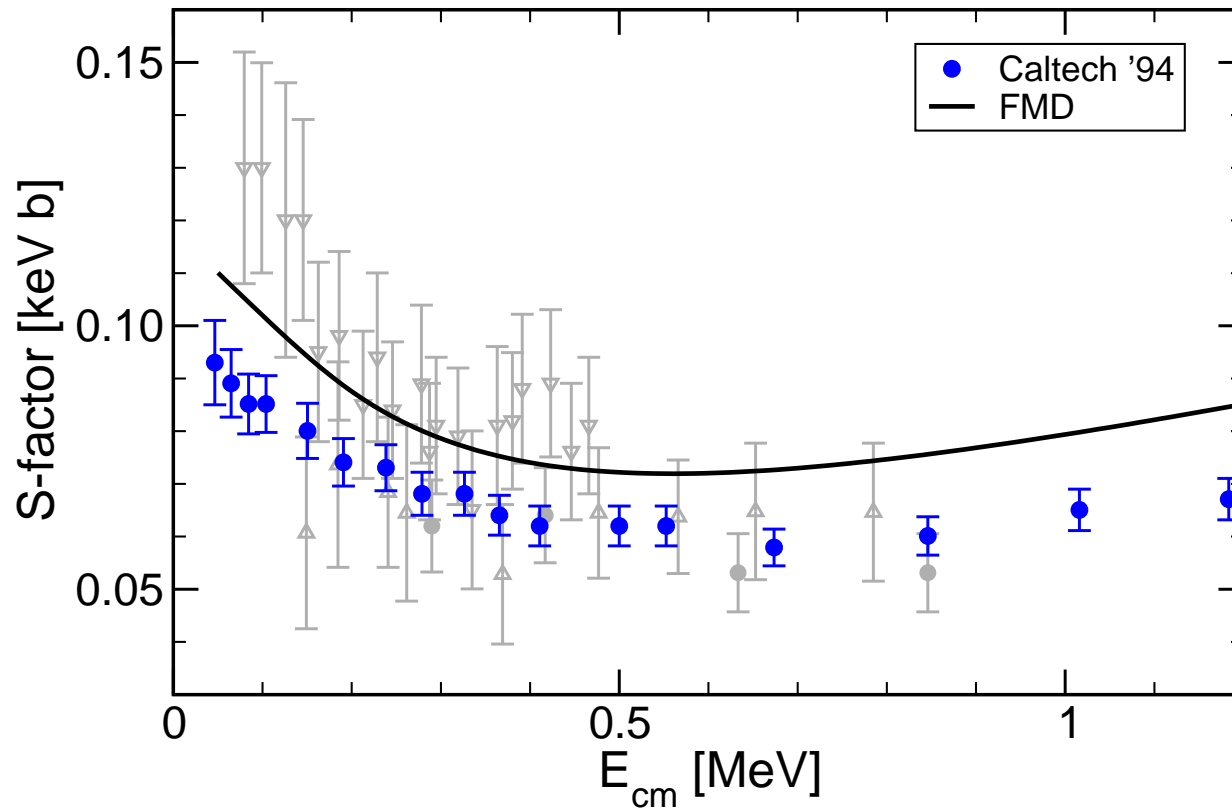
Overlap Functions and Dipole Matrixelements



- Overlap functions from projection on RGM-cluster states
- Coulomb and Whittaker functions matched at channel radius $a=12$ fm
- Dipole matrix elements calculated from overlap functions reproduce full calculation within 2%
- cross section depends significantly on internal part of wave function, description as an “external” capture is too simplified

${}^3\text{H}(\alpha, \gamma){}^7\text{Li}$

S-Factor



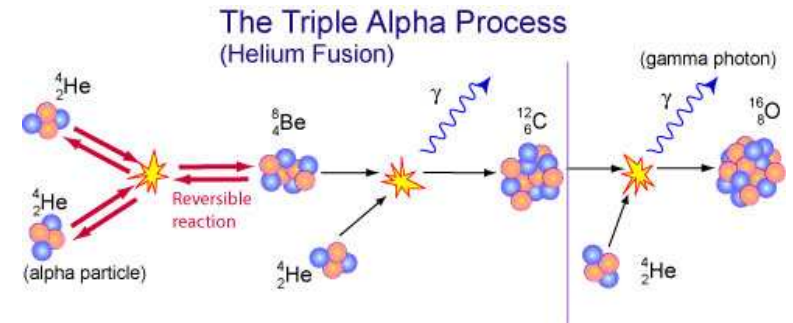
S-factor:

$$S(E) = \sigma(E)E \exp\{2\pi\eta\}$$
$$\eta = \frac{\mu Z_1 Z_2 e^2}{k}$$

Brune *et al.*, PRC **50**, 2205 (1994)

- isospin mirror reaction of ${}^3\text{He}(\alpha, \gamma){}^7\text{Be}$
- ${}^7\text{Li}$ bound state properties and phase shifts well described
- ➔ FMD calculation describes energy dependence of Brune *et al.* data but cross section is larger by about 15%

Cluster States in ^{12}C

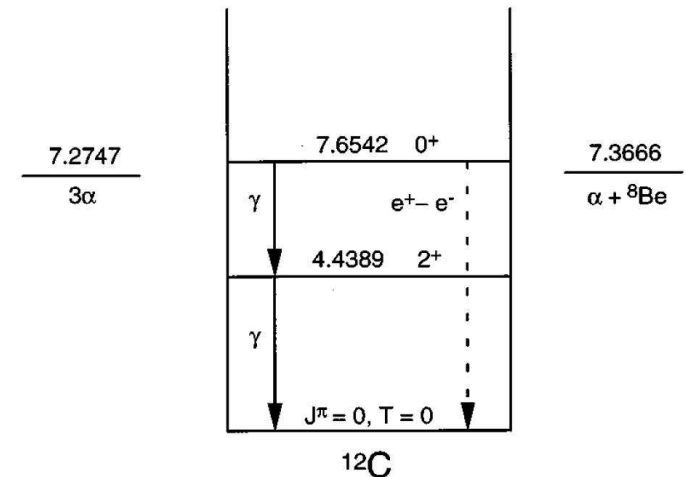


Astrophysical Motivation

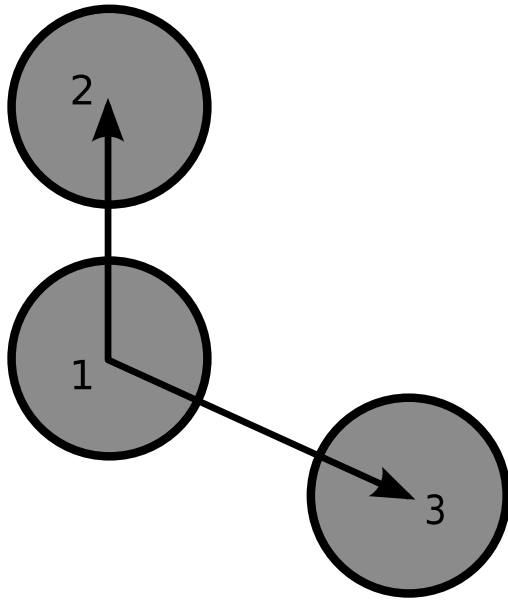
- Helium burning: triple alpha-reaction

Structure

- Is the Hoyle state a pure α -cluster state ?
- Other excited 0^+ and 2^+ states
- ➔ Compare FMD results to microscopic α -cluster model
- ➔ Intrinsic structure from two-body densities
- ➔ Analyze wave functions in harmonic oscillator basis



Microscopic α -Cluster Model



$$R_{12} = (2, 4, \dots, 10) \text{ fm}$$

$$R_{13} = (2, 4, \dots, 10) \text{ fm}$$

$$\cos(\vartheta) = (1.0, 0.8, \dots, -1.0)$$

altogether 165 configurations

Basis States

- describe Hoyle State as a system of 3 ^4He nuclei

$$|\Psi_{3\alpha}(\mathbf{R}_1, \mathbf{R}_2, \mathbf{R}_3); JMK\pi\rangle = P_{MK}^J P^\pi \mathcal{A} \{ |\psi_\alpha(\mathbf{R}_1)\rangle \otimes |\psi_\alpha(\mathbf{R}_2)\rangle \otimes |\psi_\alpha(\mathbf{R}_3)\rangle \}$$

Volkov Interaction

- simple central interaction
- parameters adjusted to give reasonable α binding energy and radius, $\alpha - \alpha$ scattering data, adjusted to reproduce ^{12}C ground state energy

✗ only reasonable for ^4He , ^8Be and ^{12}C nuclei

'BEC' wave functions

- interpretation of the Hoyle state as a Bose-Einstein Condensate of α -particles by Funaki, Tohsaki, Horiuchi, Schuck, Röpke
- same interaction and α -cluster parameters used

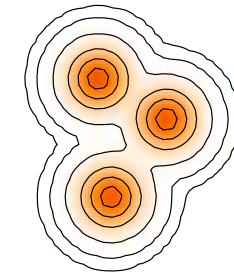
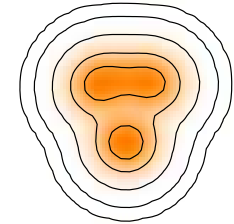
Cluster States in ^{12}C FMD

Basis States

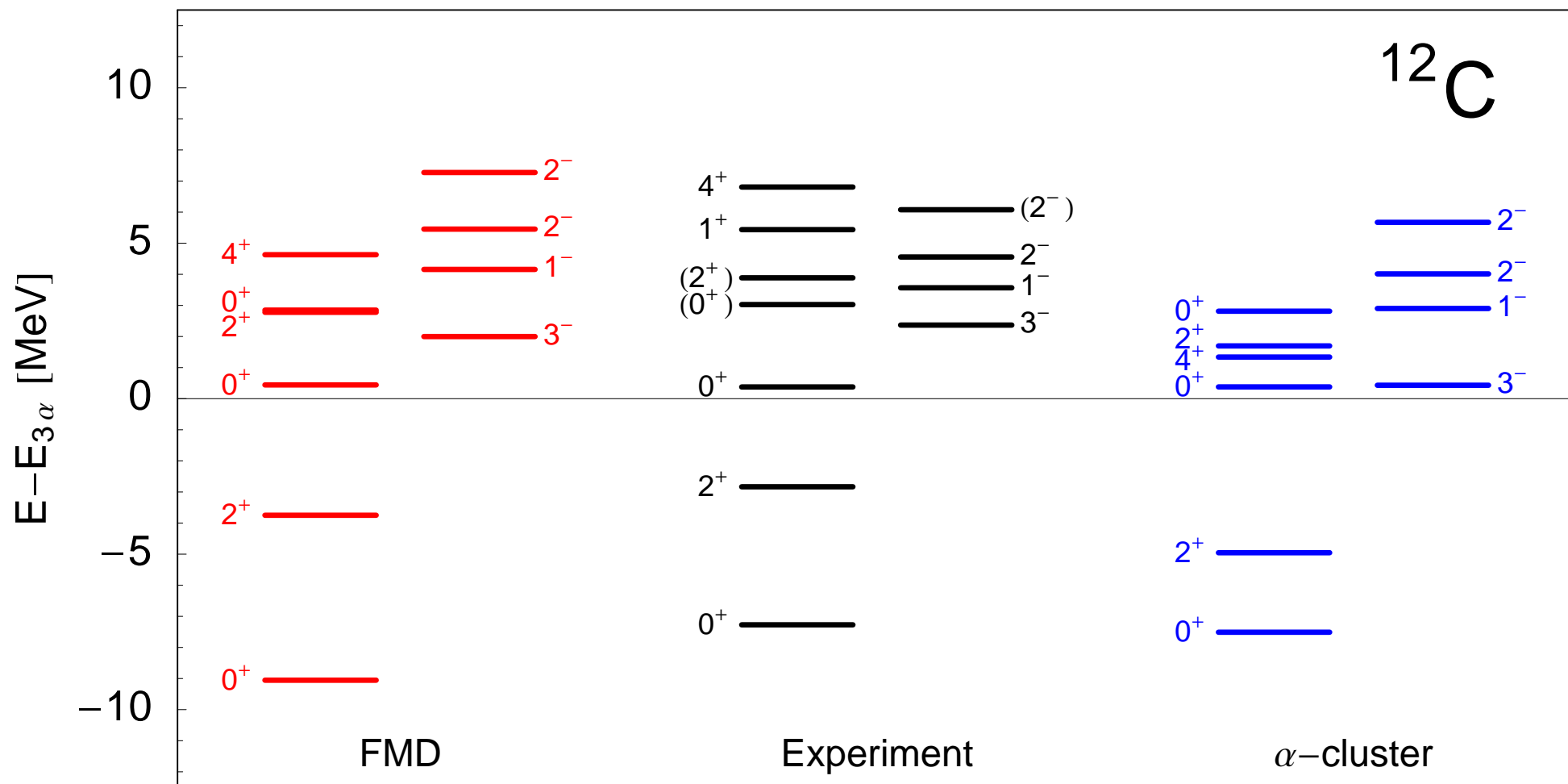
- 20 FMD states obtained in Variation after Projection on 0^+ and 2^+ with constraints on the radius
- 42 FMD states obtained in Variation after Projection on parity with constraints on radius and quadrupole deformation
- 165 α -cluster configurations
- ➔ projected on angular momentum and linear momentum

Interaction

- UCOM interaction ($I_9=0.30 \text{ fm}^3$ with phenomenological two-body correction term (momentum-dependent central and spin-orbit) fitted to doubly-magic nuclei
- not tuned for α - α scattering or ^{12}C properties



Cluster States in ^{12}C Comparison



Cluster States in ^{12}C Comparison

	Exp ¹	Exp ²	FMD	α -cluster	'BEC' ³
$E(0_1^+)$	-92.16		-92.64	-89.56	-89.52
$E^*(2_1^+)$	4.44		5.31	2.56	2.81
$E(3\alpha)$	-84.89		-83.59	-82.05	-82.05
$E(0_2^+) - E(3\alpha)$	0.38		0.43	0.38	0.26
$E(0_3^+) - E(3\alpha)$	(3.0)	2.7(3)	2.84	2.81	
$E(2_2^+) - E(3\alpha)$	(3.89)	2.76(11)	2.77	1.70	
$r_{\text{charge}}(0_1^+)$	2.47(2)		2.53	2.54	
$r(0_1^+)$			2.39	2.40	2.40
$r(0_2^+)$			3.38	3.71	3.83
$r(0_3^+)$			4.62	4.75	
$r(2_1^+)$			2.50	2.37	2.38
$r(2_2^+)$			4.43	4.02	
$M(E0, 0_1^+ \rightarrow 0_2^+)$	5.4(2)		6.53	6.52	6.45
$B(E2, 2_1^+ \rightarrow 0_1^+)$	7.6(4)		8.69	9.16	
$B(E2, 2_1^+ \rightarrow 0_2^+)$	2.6(4)		3.83	0.84	
$B(E2, 2_2^+ \rightarrow 0_1^+)$		0.73(13)	0.46	1.99	

experimental situation
for 0^+ and 2^+ states
above threshold still
not completely settled

calculated in bound
state approximation

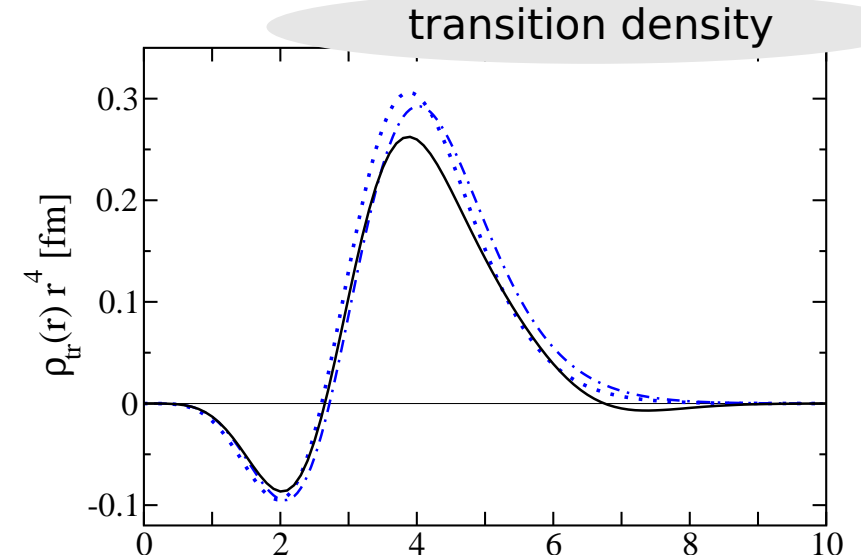
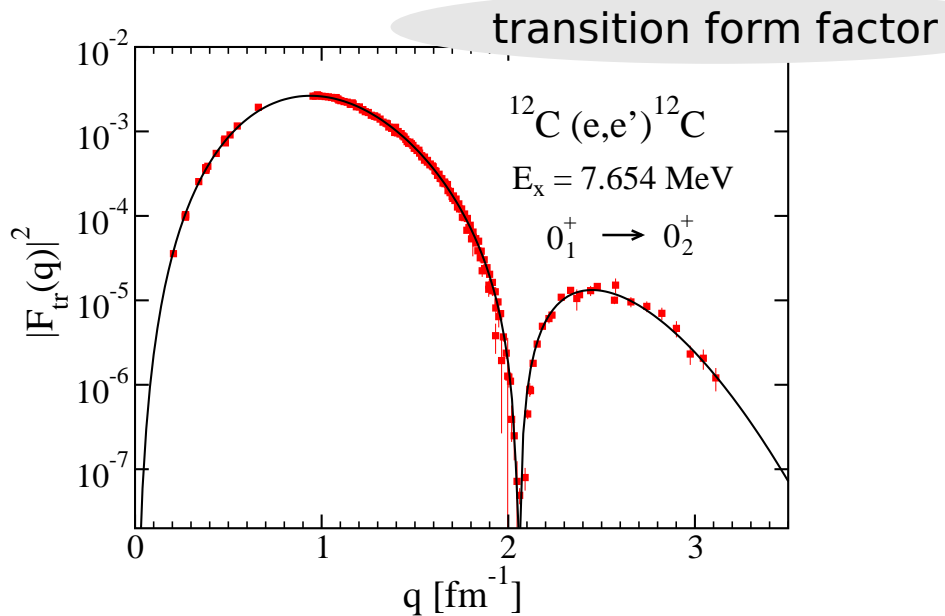
¹ Ajzenberg-Selove, Nuc. Phys. **A506**, 1 (1990)

² Itoh et al., Nuc. Phys. **A738**, 268 (2004), Zimmermann et al., Phys. Rev. Lett. **110**, 152502 (2013)

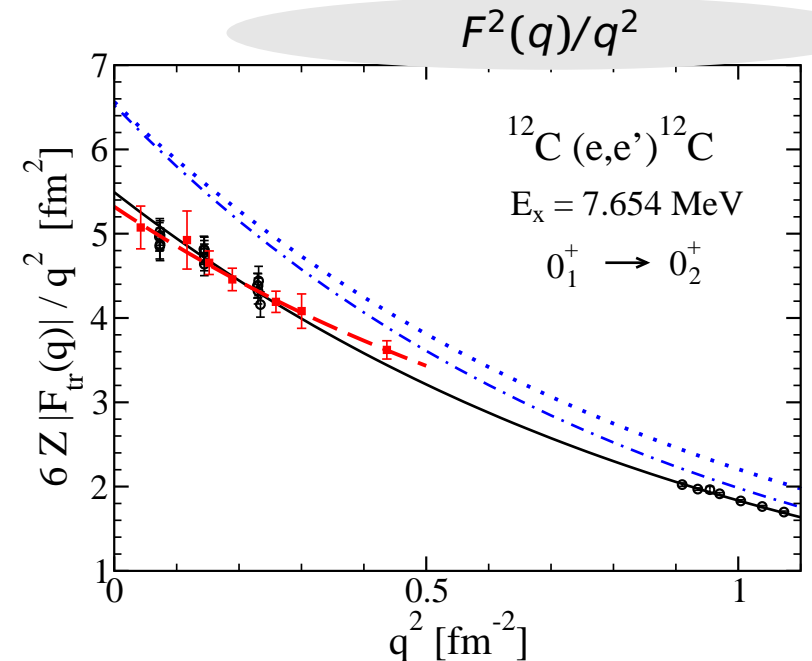
³ Funaki et al., Phys. Rev. C **67**, 051306(R) (2003)

Cluster States in ^{12}C

Monopole Matrix Element revisited

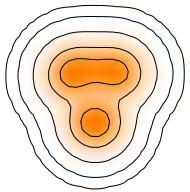


- $M(E0)$ determines the pair decay width
- model-independent self-consistent determination of transition form-factor/density in DWBA
- data at high momentum transfer necessary to constrain matrix element
 $M(E0) = 5.47 \pm 0.09 e^2 \text{fm}^2$

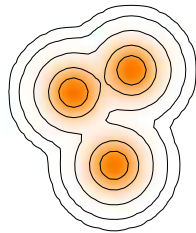


Cluster States in ^{12}C Important Configurations

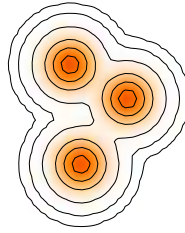
- Calculate the overlap with FMD basis states to find the most important contributions to the Hoyle state



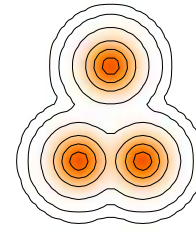
$$\begin{aligned} |\langle \cdot | 0_1^+ \rangle| &= 0.94 \\ |\langle \cdot | 2_1^+ \rangle| &= 0.93 \end{aligned}$$



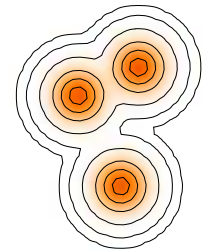
$$|\langle \cdot | 0_2^+ \rangle| = 0.72$$



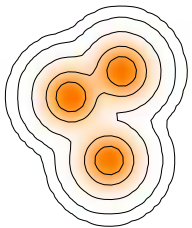
$$|\langle \cdot | 0_2^+ \rangle| = 0.71$$



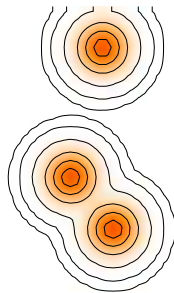
$$|\langle \cdot | 0_2^+ \rangle| = 0.61$$



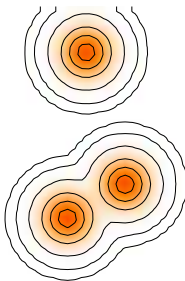
$$|\langle \cdot | 0_2^+ \rangle| = 0.61$$



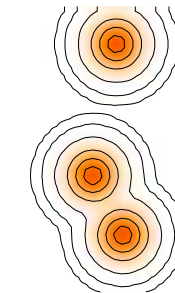
$$|\langle \cdot | 3_1^- \rangle| = 0.83$$



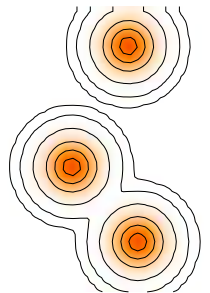
$$|\langle \cdot | 0_3^+ \rangle| = 0.50$$



$$|\langle \cdot | 0_3^+ \rangle| = 0.49$$



$$|\langle \cdot | 0_3^+ \rangle| = 0.44$$



$$|\langle \cdot | 0_3^+ \rangle| = 0.41$$

FMD basis states are not orthogonal!

0_2^+ and 0_3^+ states have no rigid intrinsic structure

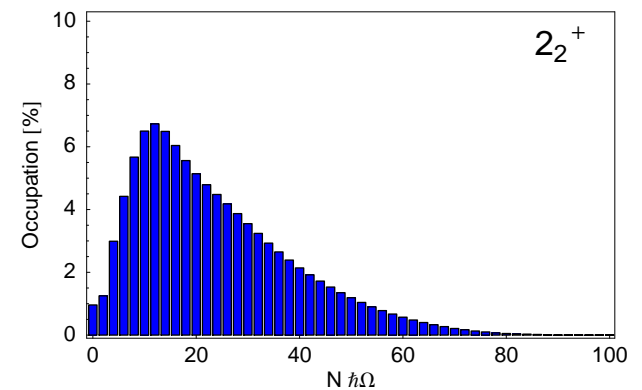
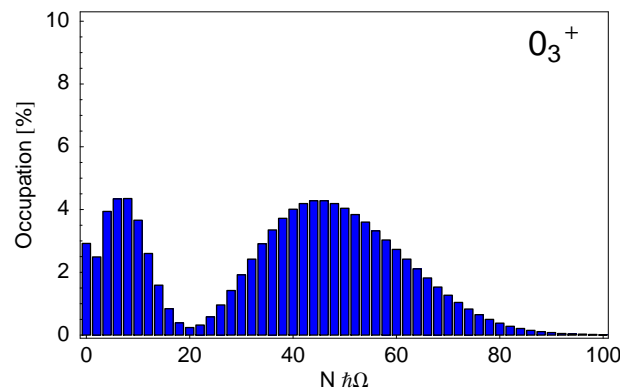
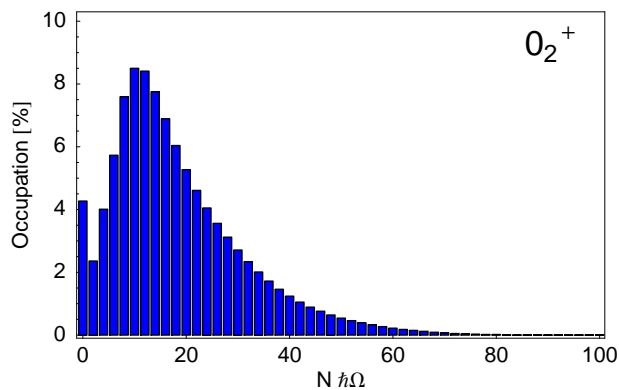
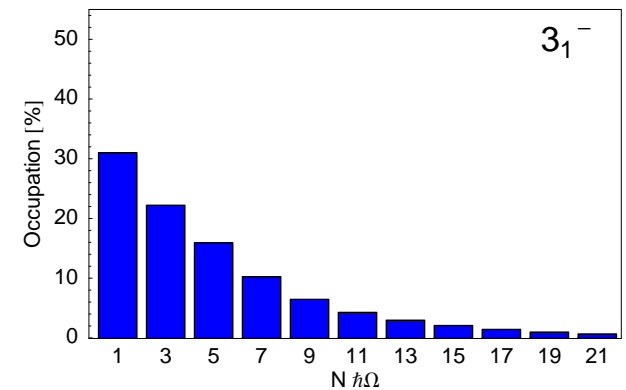
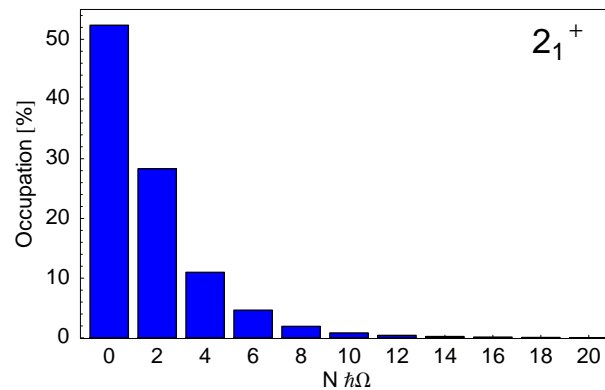
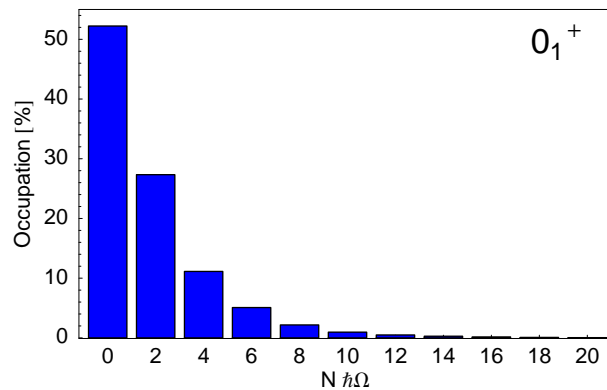
Cluster States in ^{12}C

Harmonic Oscillator $N\hbar\Omega$ Excitations

Y. Suzuki *et al*, Phys. Rev. C **54**, 2073 (1996).

$$\text{Occ}(N) = \langle \Psi | \delta \left(\sum_i (H_i^{HO} / \hbar\Omega - 3/2) - N \right) | \Psi \rangle$$

Cluster Model



Preliminary:
Include ${}^8\text{Be}-\alpha$ continuum



How to treat the ${}^{12}\text{C}$ continuum above the $3-\alpha$ threshold ?

- **In principle it should be described as a three-body continuum**
- **However ${}^8\text{Be}+\alpha$ states are lower in energy than $3-\alpha$ configurations up to pretty large hyperradii**
- **Approximation: consider ${}^8\text{Be}(0^+)$ and ${}^8\text{Be}(2^+)$ as bound states**
- **Could be considered as a microscopic CDCC approach**

Cluster Model: ${}^8\text{Be}$ - α Continuum

${}^8\text{Be}$ - α wave functions

alpha-cluster model calculations with continuum:

Descouvemont, Baye, Phys. Rev. **C36**, 54 (1987)

Arai, Phys. Rev. **C74**, 064311 (2006)

Vasilevsky *et al.*, Phys. Rev. **C85**, 034318 (2012)

${}^8\text{Be}$ wave functions

- α - α configurations up to 9 fm distance, project on 0^+ and 2^+ , $M = 0, 1, 2$

$$|{}^8\text{Be}_{I,K}\rangle = P_{K0}^I \sum_i \{ |{}^4\text{He}(-R_i/2\mathbf{e}_z)\rangle \otimes |{}^4\text{He}(R_i/2\mathbf{e}_z)\rangle \} c_i^I$$

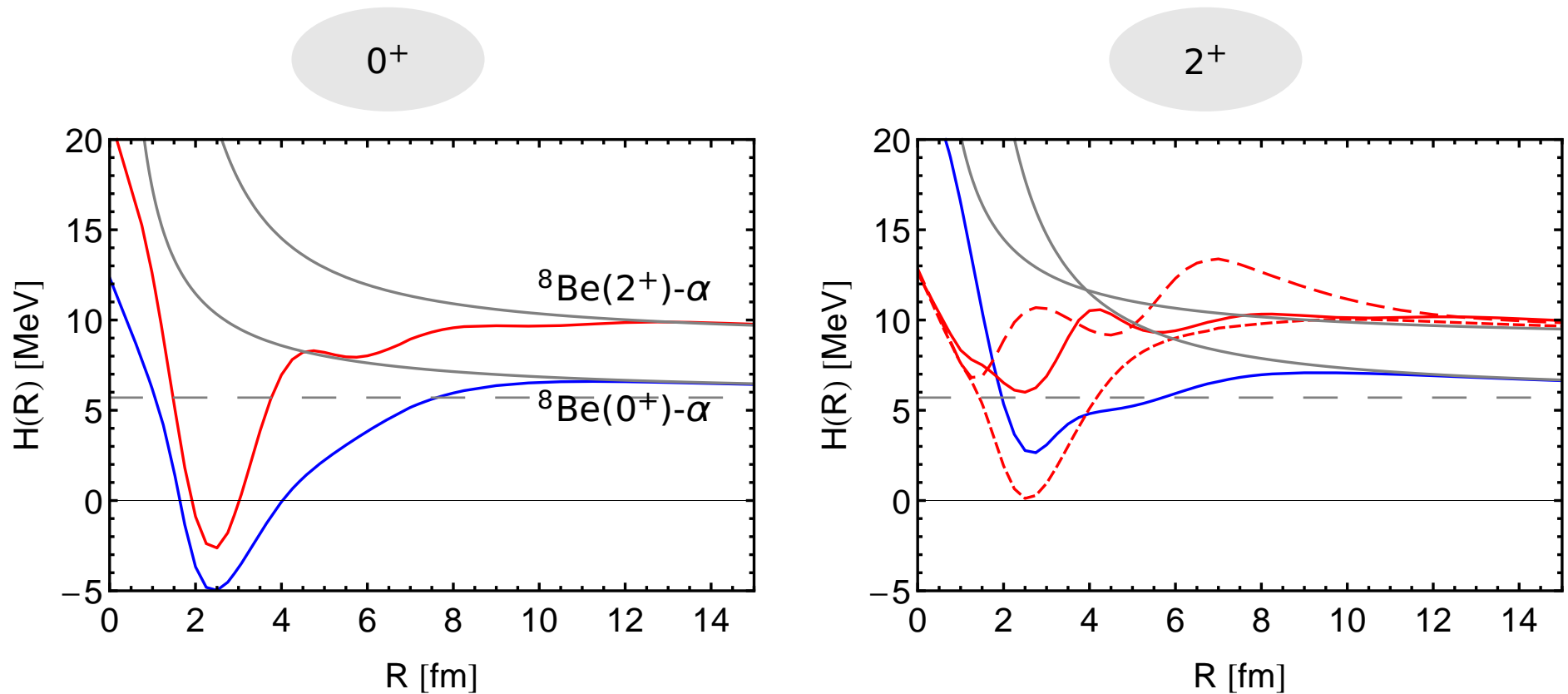
- reproduces ground state energy within 50 keV compared to full calculation

${}^{12}\text{C}$ configurations

- ${}^8\text{Be}(0^+, 2^+)$ and α at distance R
- ${}^8\text{Be}(2^+)$ can have different orientations with respect to distance vector
- ${}^8\text{Be}(0^+, 2^+) + \alpha$ configurations have to be projected on total angular momentum

$$|{}^8\text{Be}_{I,K}, {}^4\text{He}; R; JM\rangle = P_{MK}^J \{ |{}^8\text{Be}_{I,K}(-1/3R\mathbf{e}_z)\rangle \otimes |{}^4\text{He}(2/3R\mathbf{e}_z)\rangle \}$$

Cluster Model: $^8\text{Be}-\alpha$ Continuum GCM Energy Surfaces



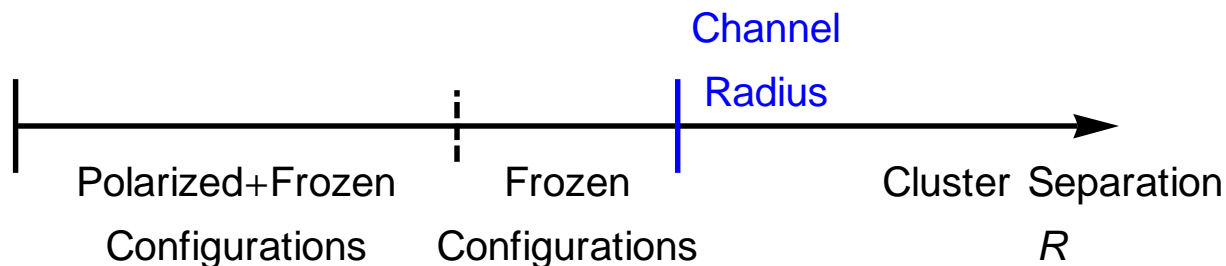
- energy surfaces contain localization energy for relative motion of ^8Be and α
- 2^+ energy surface depends strongly on orientation of ^8Be 2^+ state – $M = 2$ most attractive

Cluster Model: $^8\text{Be}-\alpha$ Continuum

Full calculation: Microscopic R -matrix Method

Model Space

- Internal region in the cluster model: $3-\alpha$ configurations on a grid
- External region: $^8\text{Be}(0^+, 2^+)-\alpha$ configurations
- Channel radius has to be large: only Coulomb interaction between ^8Be and α and Coulomb coupling between different ^8Be channels should be small
- Check that results are independent from channel radius: used $a = 16.5$ fm here



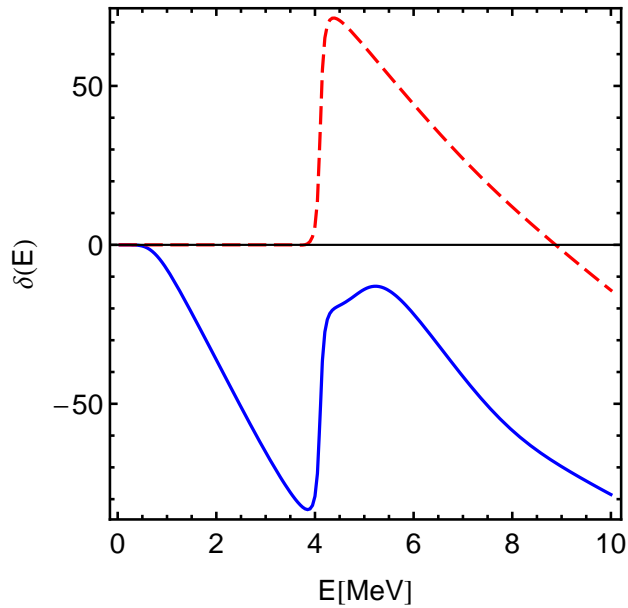
Scattering Solutions

- Obtain scattering matrix using multichannel microscopic R -matrix approach
Descouvemont, Baye, Phys. Rept. 73, 036301 (2010)
- Diagonal phase shifts and inelastic parameters: $S_{ii} = \eta_i \exp\{2i\delta_i\}$
- Eigenphases: $S = V^{-1}DV$, $D_{\alpha\alpha} = \exp\{2i\delta_\alpha\}$

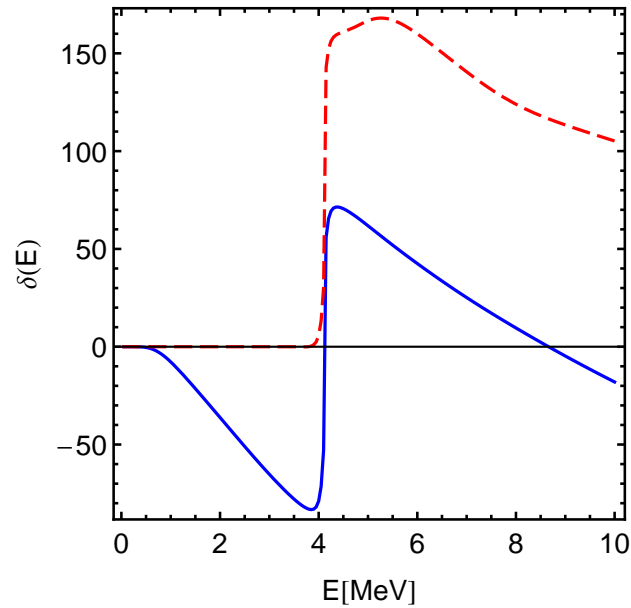
Cluster Model: ${}^8\text{Be}-\alpha$ Continuum

0^+ Phase shifts

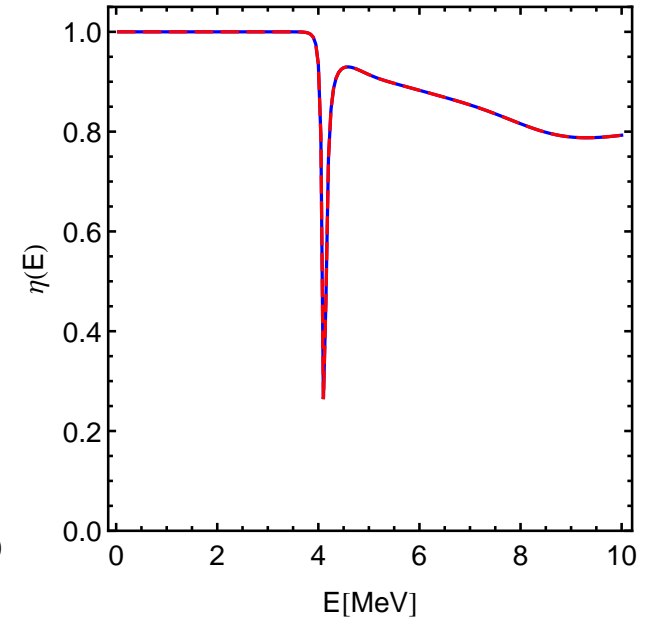
Eigenphaseshifts



Phaseshifts



Inelasticities



Gamow states

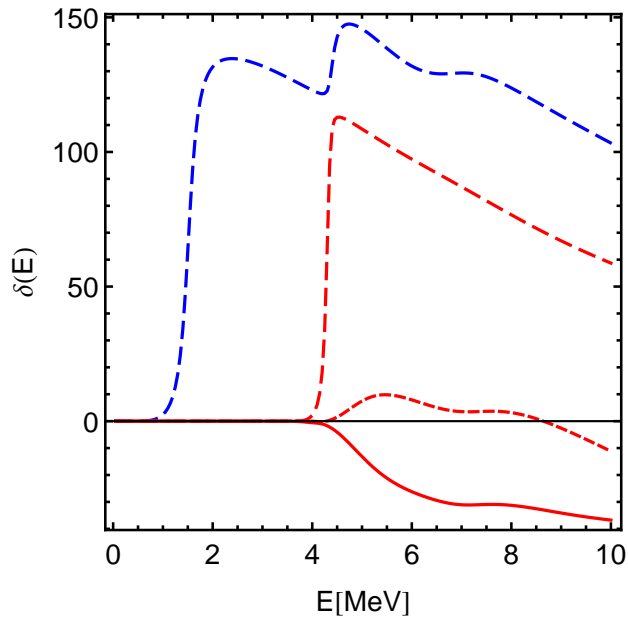
	E [MeV]	Γ [MeV]	
0_2^+	0.29	$1.78 \cdot 10^{-5}$	
0_3^+	4.11	0.12	
0_4^+	4.76	1.57	(?)

- non-resonant background
- strong coupling between ${}^8\text{Be}(0^+)$ and ${}^8\text{Be}(2^+)$ channel at 4.1 MeV
- Hoyle state not resolved in phase shifts
- stability of broad resonance with respect to channel radius ?

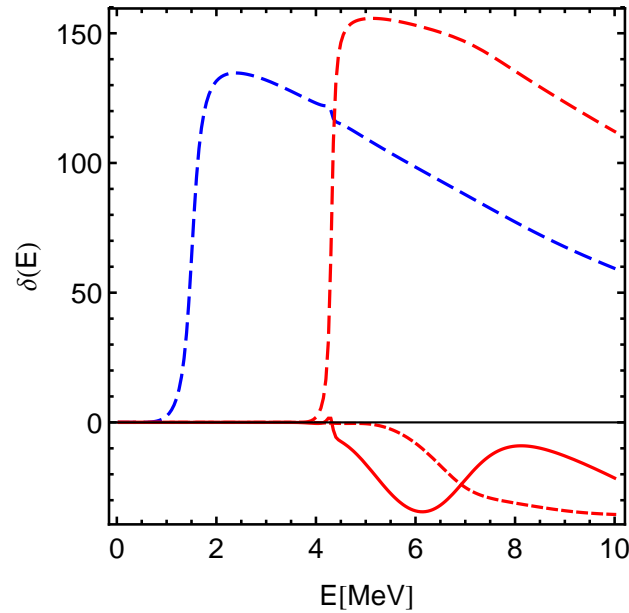
Cluster Model: ${}^8\text{Be}-\alpha$ Continuum

2^+ Phase shifts

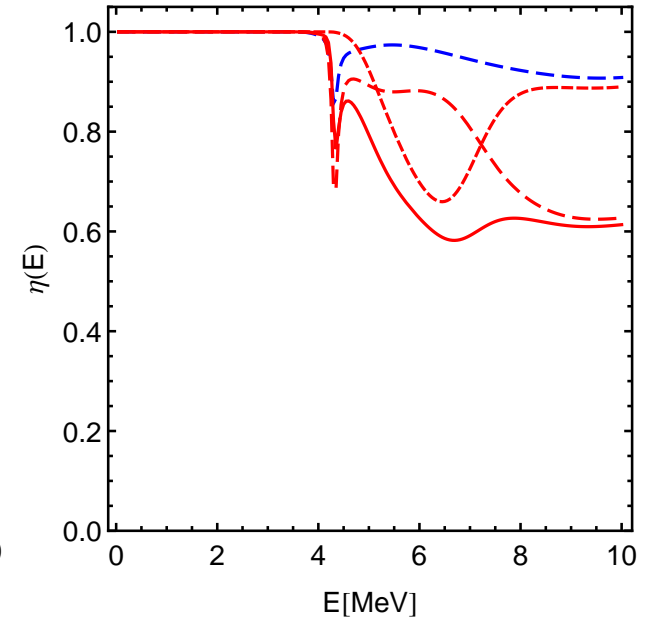
Eigenphaseshifts



Phaseshifts



Inelasticities



Gamow states

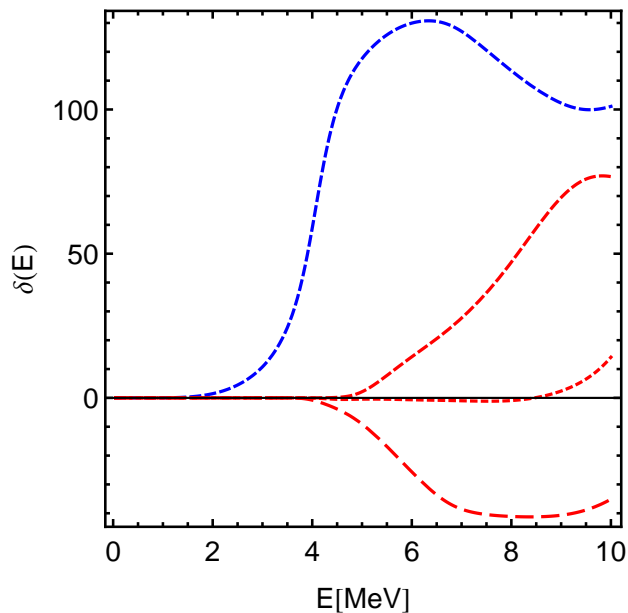
	E [MeV]	Γ [MeV]
2_2^+	1.51	0.32
2_3^+	4.31	0.14
...		

- non-resonant background
- strong $L = 2$ ${}^8\text{Be}(0^+)$ and ${}^8\text{Be}(2^+)$ resonances

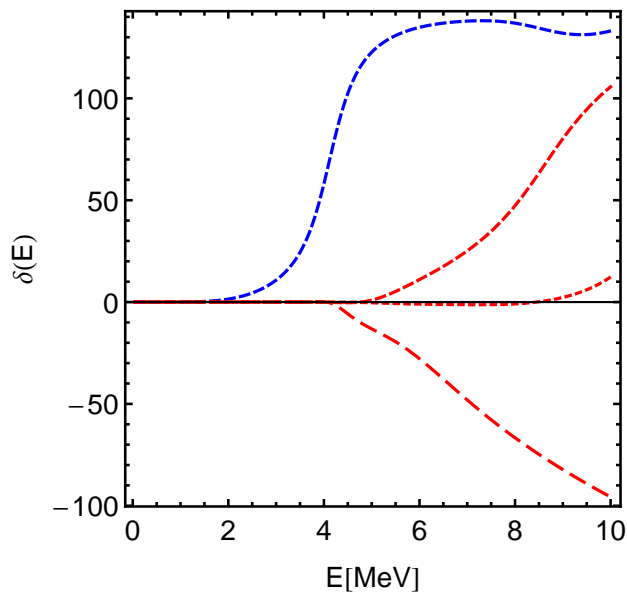
Cluster Model: ${}^8\text{Be}-\alpha$ Continuum

4^+ Phase shifts

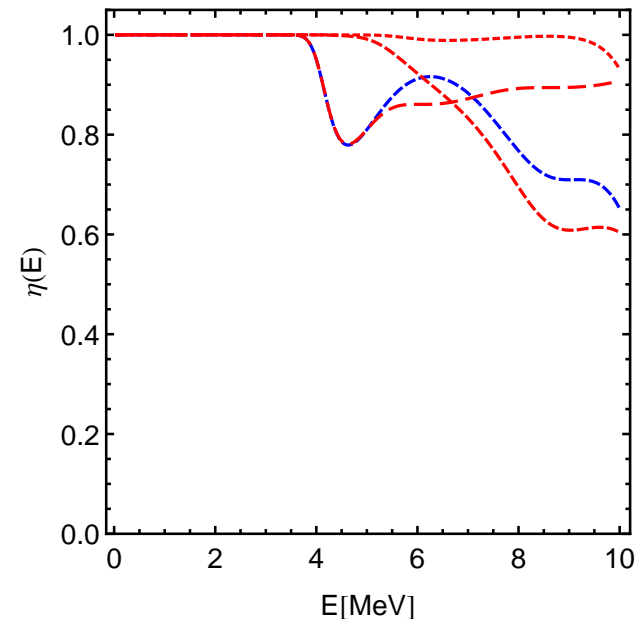
Eigenphaseshifts



Phaseshifts



Inelasticities



Gamow states

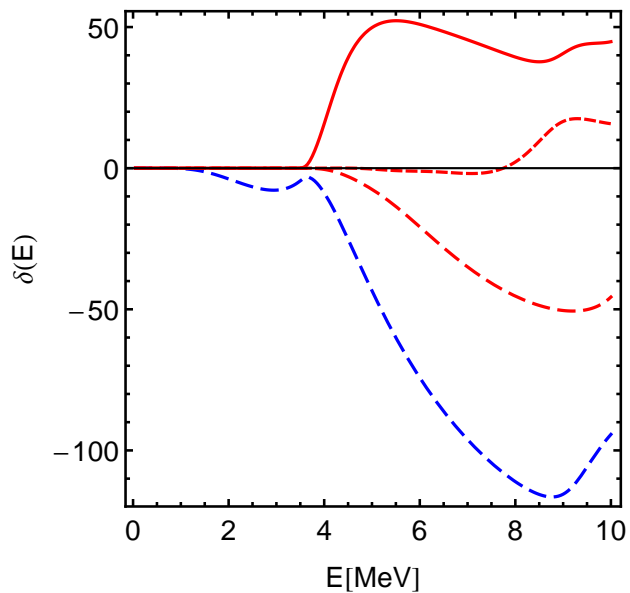
	E [MeV]	Γ [MeV]
4_1^+	1.17	$8.07 \cdot 10^{-6}$
4_2^+	4.06	0.98
...		

- 4_1^+ state very narrow, not resolved in phase shifts
- 4_2^+ state mostly ${}^8\text{Be}(0^+)$

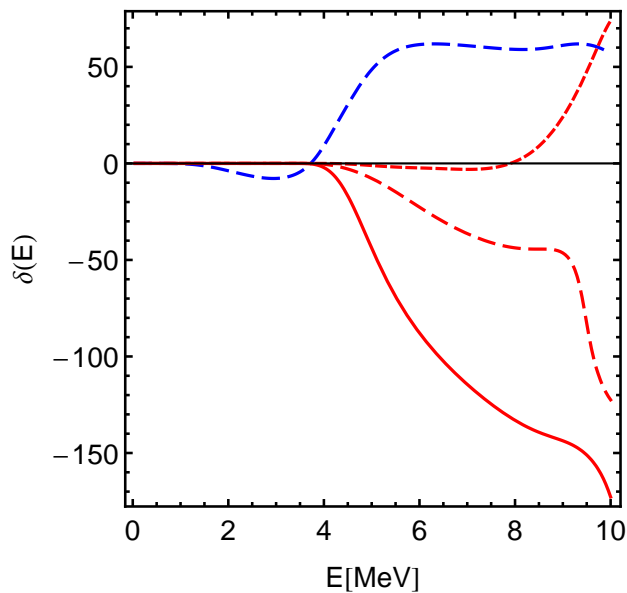
Cluster Model: ${}^8\text{Be}-\alpha$ Continuum

3^- Phase shifts

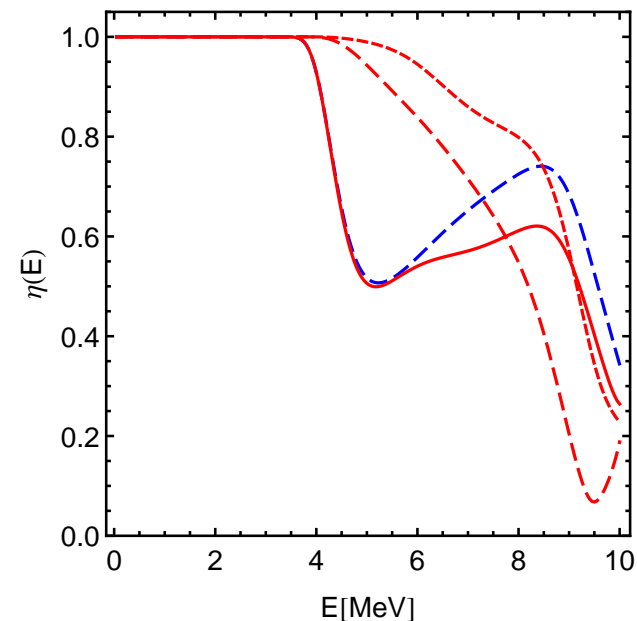
Eigenphaseshifts



Phaseshifts



Inelasticities



Gamow states

	E [MeV]	Γ [MeV]
3_1^+	0.54	$4.46 \cdot 10^{-6}$
...		

- 3_1 state very narrow, not resolved in phase shifts

Work in Progress: FMD calculation with $^8\text{Be}-\alpha$ Continuum



UCOM interaction

- Correlation functions from SRG
- Modify strength of spin-orbit force to account for omitted three-body forces

$^8\text{Be}-\alpha$ Continuum

- To get a reasonable description of ^8Be it is essential to include polarized configurations
- Calculate strength distributions
- Investigate non-cluster states: non-natural parity states, $T = 1$ states, M1 transitions, ^{12}B and ^{12}N β -decay into ^{12}C , ...

Summary

Unitary Correlation Operator Method

- Explicit description of short-range central and tensor correlations

Fermionic Molecular Dynamics

- Gaussian wave-packet basis contains HO shell model and Brink-type cluster states

${}^3\text{He}(\alpha, \gamma){}^7\text{Be}$ Radiative Capture

- Bound states, resonance and scattering wave functions
- S-Factor: energy dependence and normalization

Cluster States in ${}^{12}\text{C}$

- Consistent description of ground state band and clustered states including the Hoyle state
- A proper treatment of the continuum above the 3- α threshold is necessary – first results with ${}^8\text{Be}(0^+, 2^+) + \alpha$ continuum in the cluster model

Thanks to my collaborators:

Hans Feldmeier (GSI), Wataru Horiuchi (Hokkaido), Karlheinz Langanke (GSI), Robert Roth (TUD), Yasuyuki Suzuki (Niigata), Dennis Weber (GSI)