

Helium ion radiotherapy: from Berkeley to Heidelberg

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The Lawrence Berkeley National Laboratory (LBNL)



Located in the Berkeley Hills, overlooking UC Berkeley
Established in 1931 by UCB, sponsored by DOE
Founded by Ernest Lawrence
Directed by Lawrence until 1958



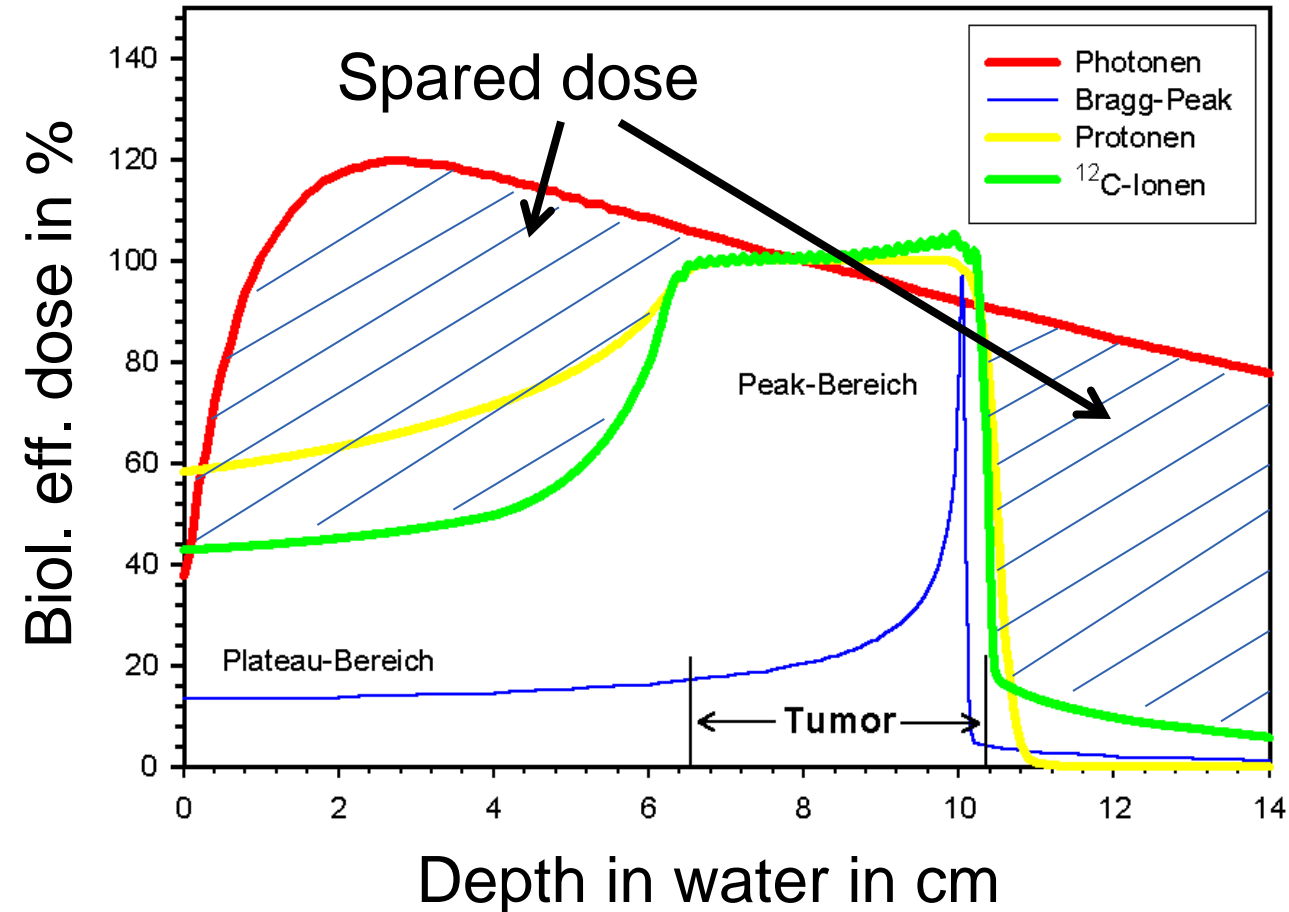
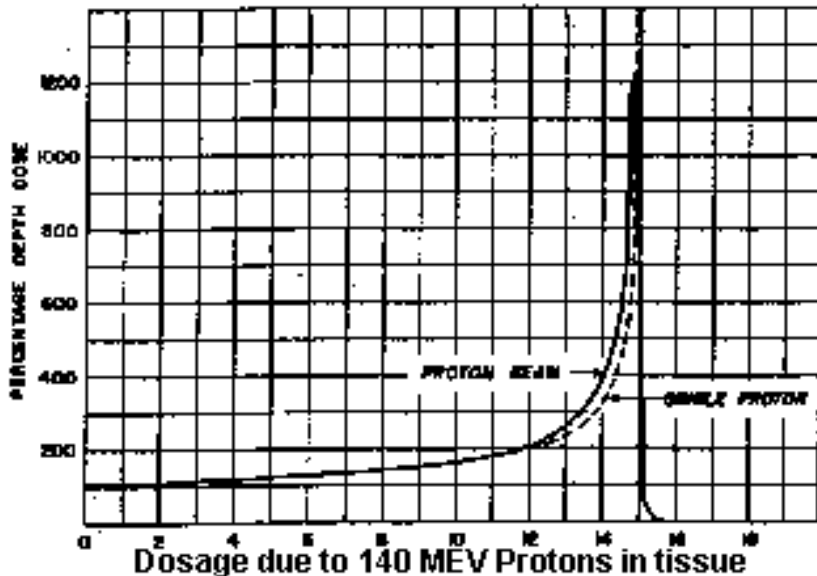
Ernest Lawrence (1901-1959)
1928 Position at UC Berkeley
1929 Invention of the cyclotron
1939 Nobel Prize in physics

Why use protons and ions for radiotherapy?



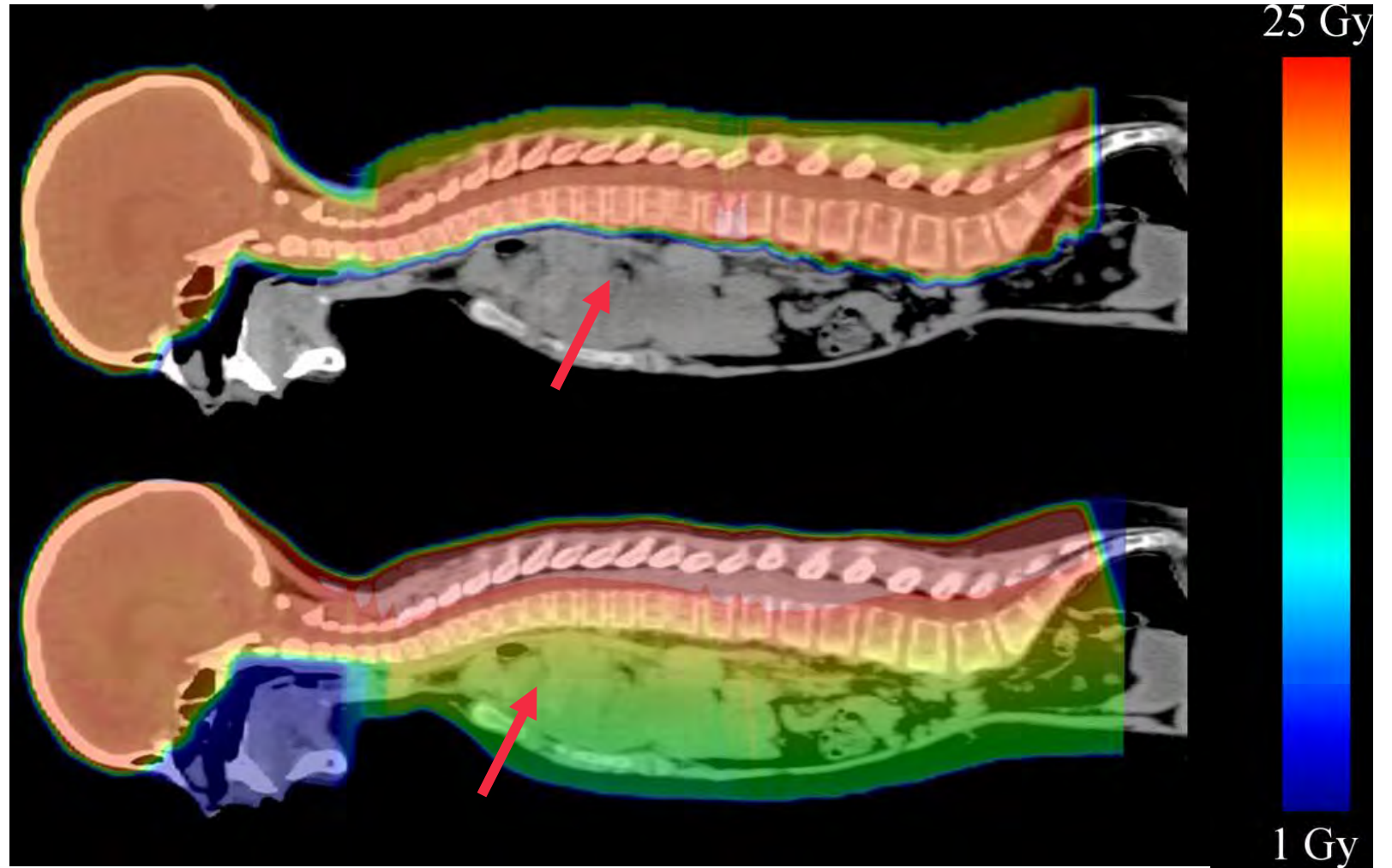
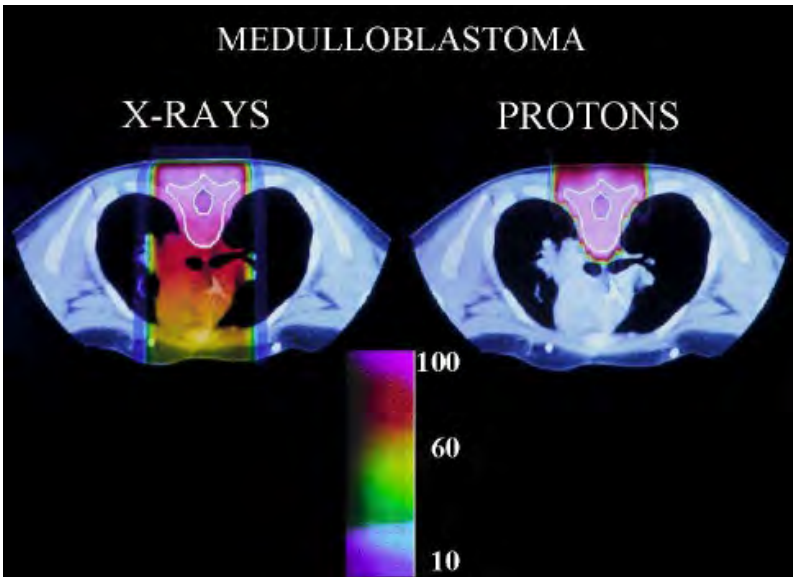
1946 Robert Wilson suggests the use of ion beams for RT.

R. Wilson "Radiological use of fast protons," Radiology 47, 487 (1946).



Significant reduction of dose in normal tissue

Protonentherapie für medulloblastoma patienten



Stokkevåg et al. *Acta Oncol* 2014

No dose in the heart, lung, mediastinum, bowels, ...

The first proton-RT machine in Berkeley: 1946-1992

The 184 inch, 125MeV cyclotron



Ernest and John Lawrence



1946: Ernest Lawrence, Glenn Seaborg, Robert Oppenheimer at the control panel

- 1938: 1. patient treated w. neutrons
- 1954: “ w. protons
- 1956: “ w. Helium ions (> 2000 patients)
- 1977: “ w. Ne, N, O, C, Si, Ar (total 433 pat.)

Helium treatments at LNBL

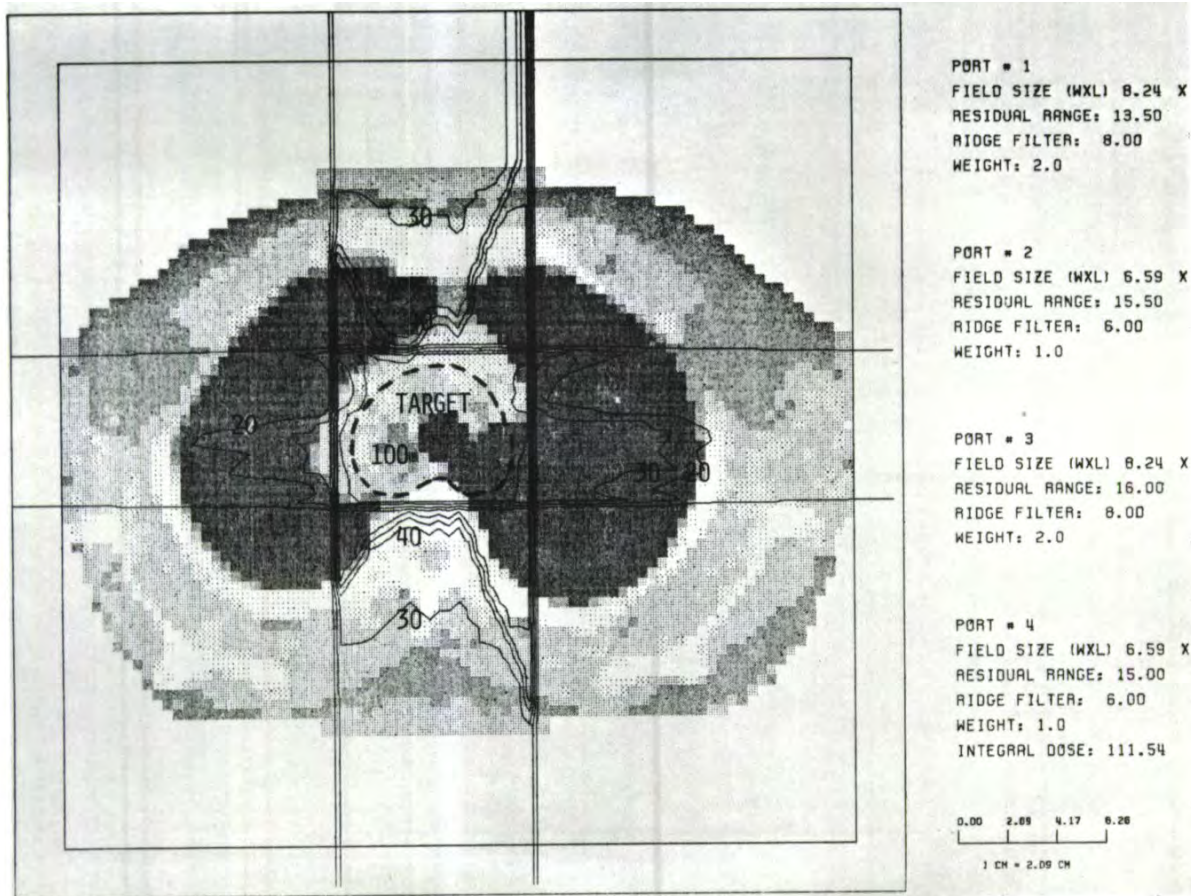


FIGURE 5. Sample biologically corrected isodose plot for helium charged particle therapy of squamous carcinoma of the esophagus. RBE values utilized for helium ranged from 1.2 to 1.4 across the spread Bragg peak.

< Treatment for esophagus ca. with He-RT,
30-35 Fx of 2Gy (RBE=1.3), 4 beams

Castro et al. Am. J. Clin. Oncol. '83

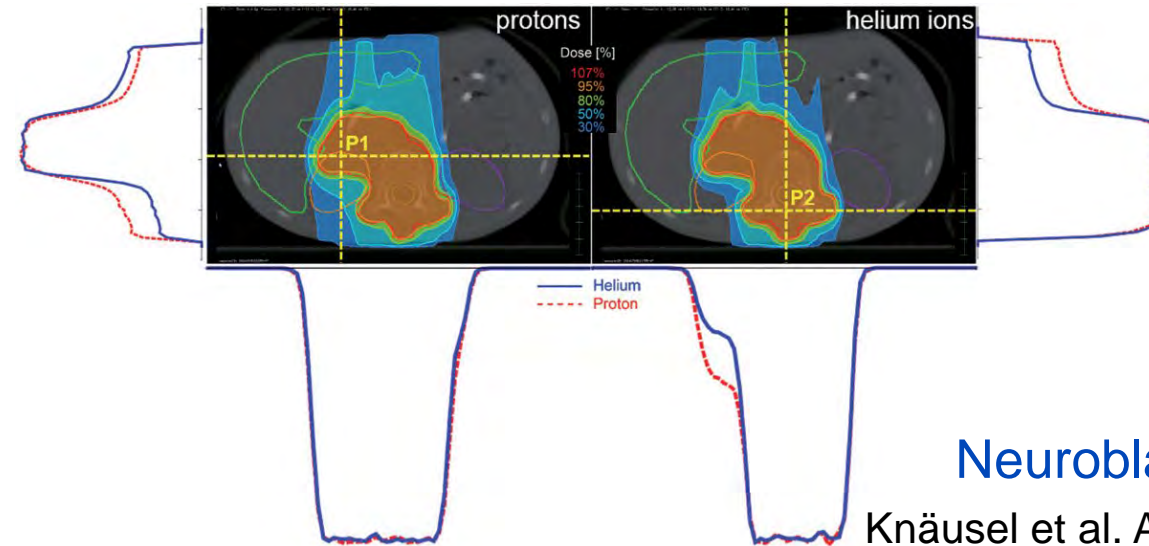
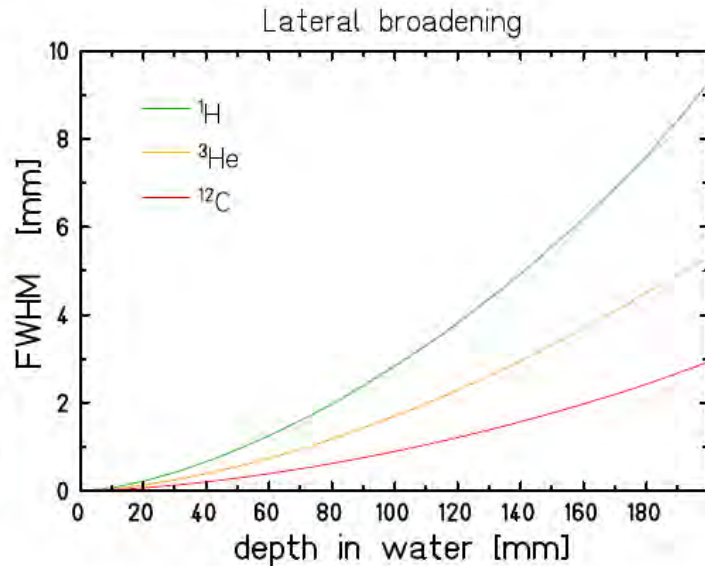
Treatments started already at the old cyclotron in 1956

Continuation at the Bevalac from 1977-1992

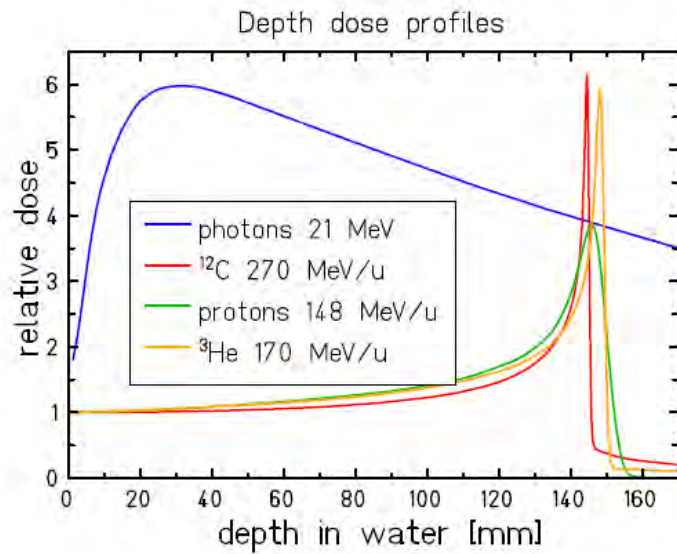
Delivery partially based on a treatment chair

“... helium beam has not shown increased biological potential over low LET photon therapy. In this respect helium seems to be similar to proton therapy.”

Rationale for Helium: dose conformation

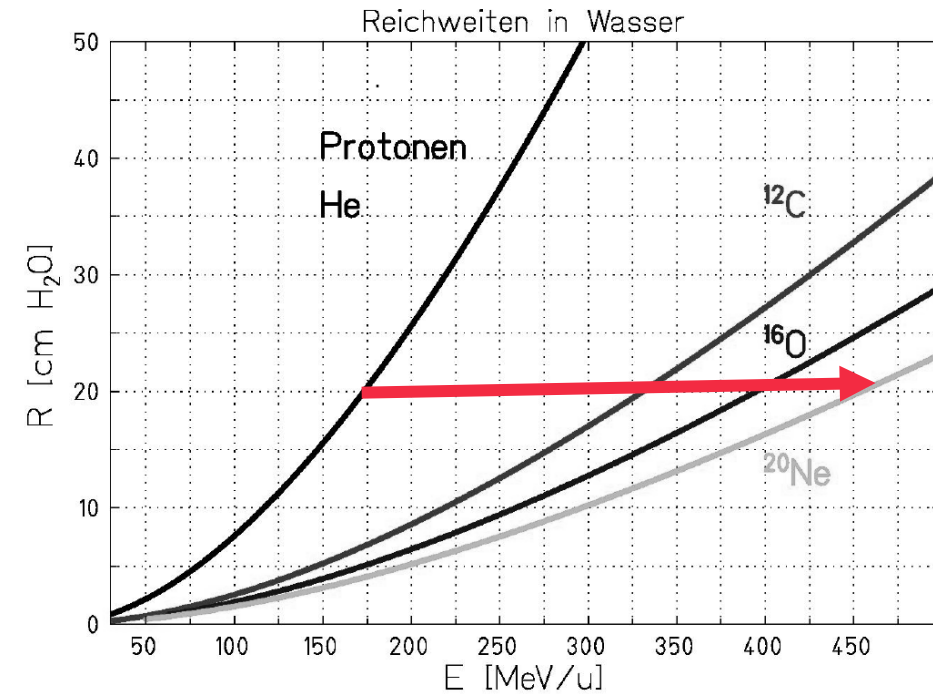
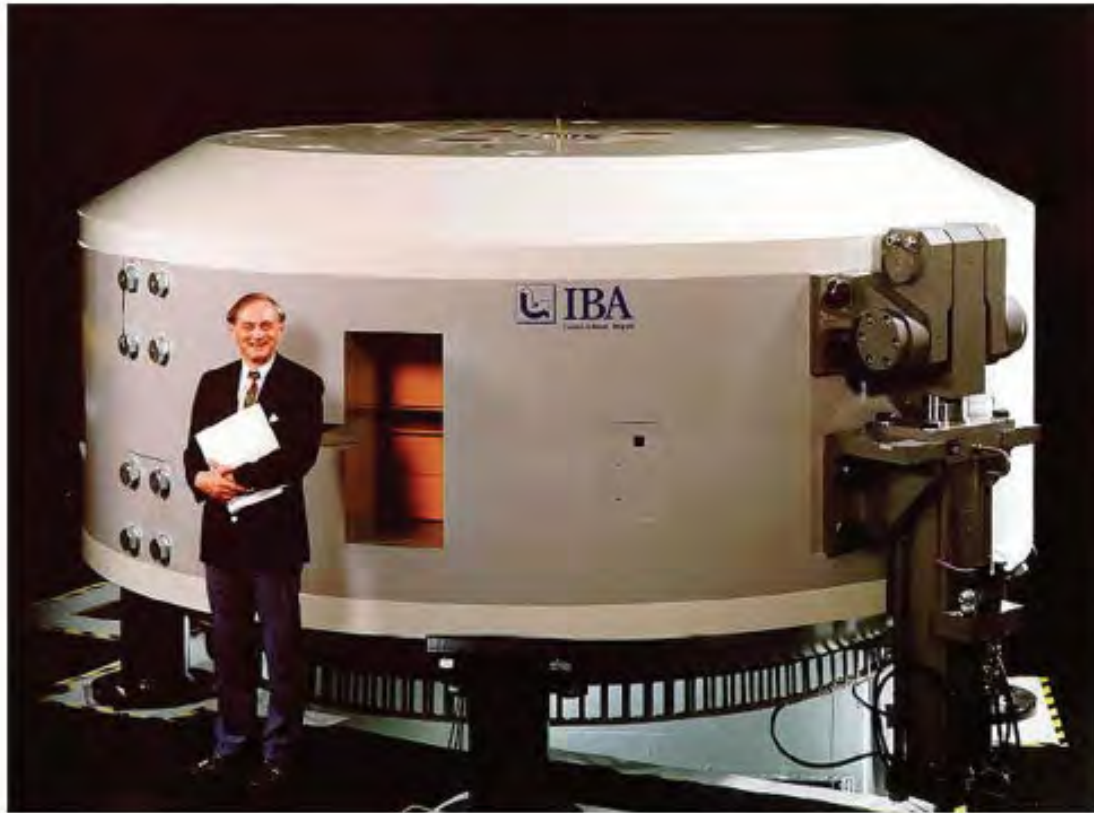


- Sharper lateral penumbra
 - Steeper distal dose fall-off
 - Lower entrance dose
 - No tail dose, less neutrons (vs. C)
 - Little advantage due to RBE
- } vs. p



Krämer et al. Med. Phys. 2016

Energy loss and range of Protons, Helium, Carbon, Oxygen



[courtesy D. Schardt, GSI]

Heavier ions \rightarrow higher energies (higher LET) \rightarrow larger machines
A He-machine may still be quite small and affordable...

Carbon Ion Therapy: Pilot Project at GSI 1997-2008

Cooperation between GSI, Heidelberg University Hospital, DKFZ, HZ Rossendorf

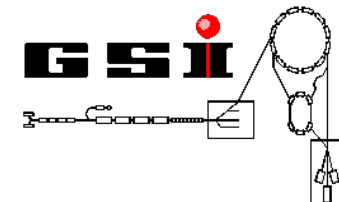


1. Patient treated on
Friday Dec. 13th 1997

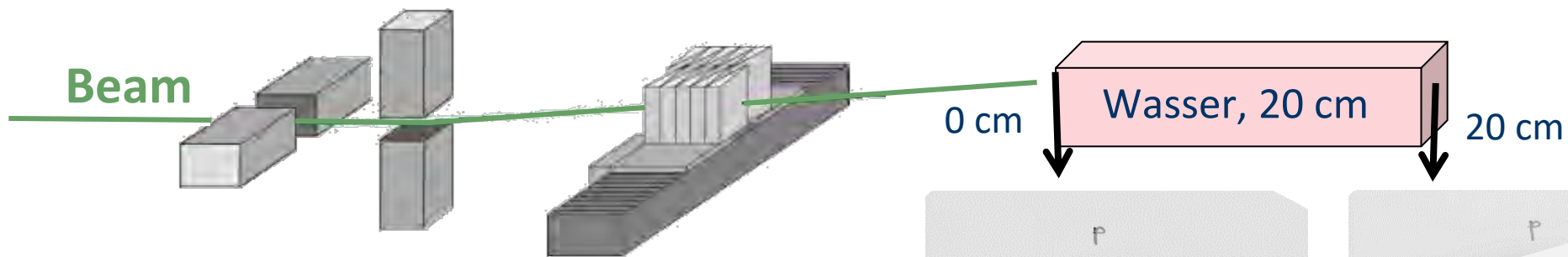


Gerhardt Kraft
Dep. Biophysics

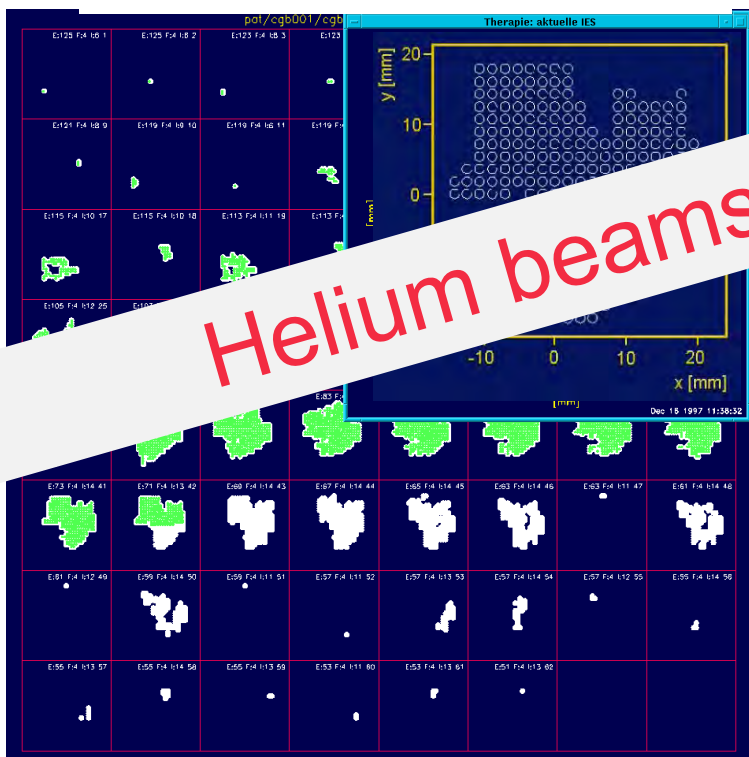
Treatment of 435 patients using a
scanned carbon ion beam



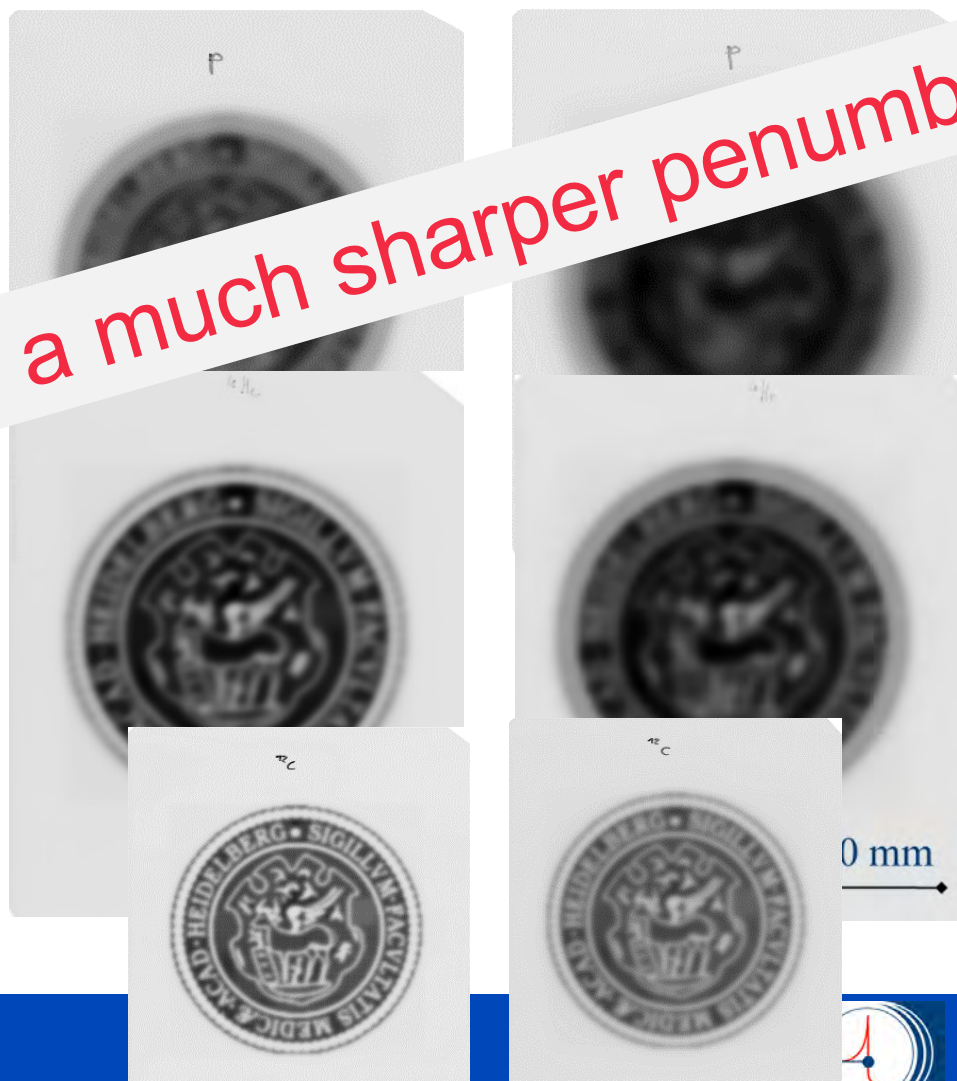
Beam delivery with beam scanning



High precision delivery



Helium beams offer a much sharper penumbra

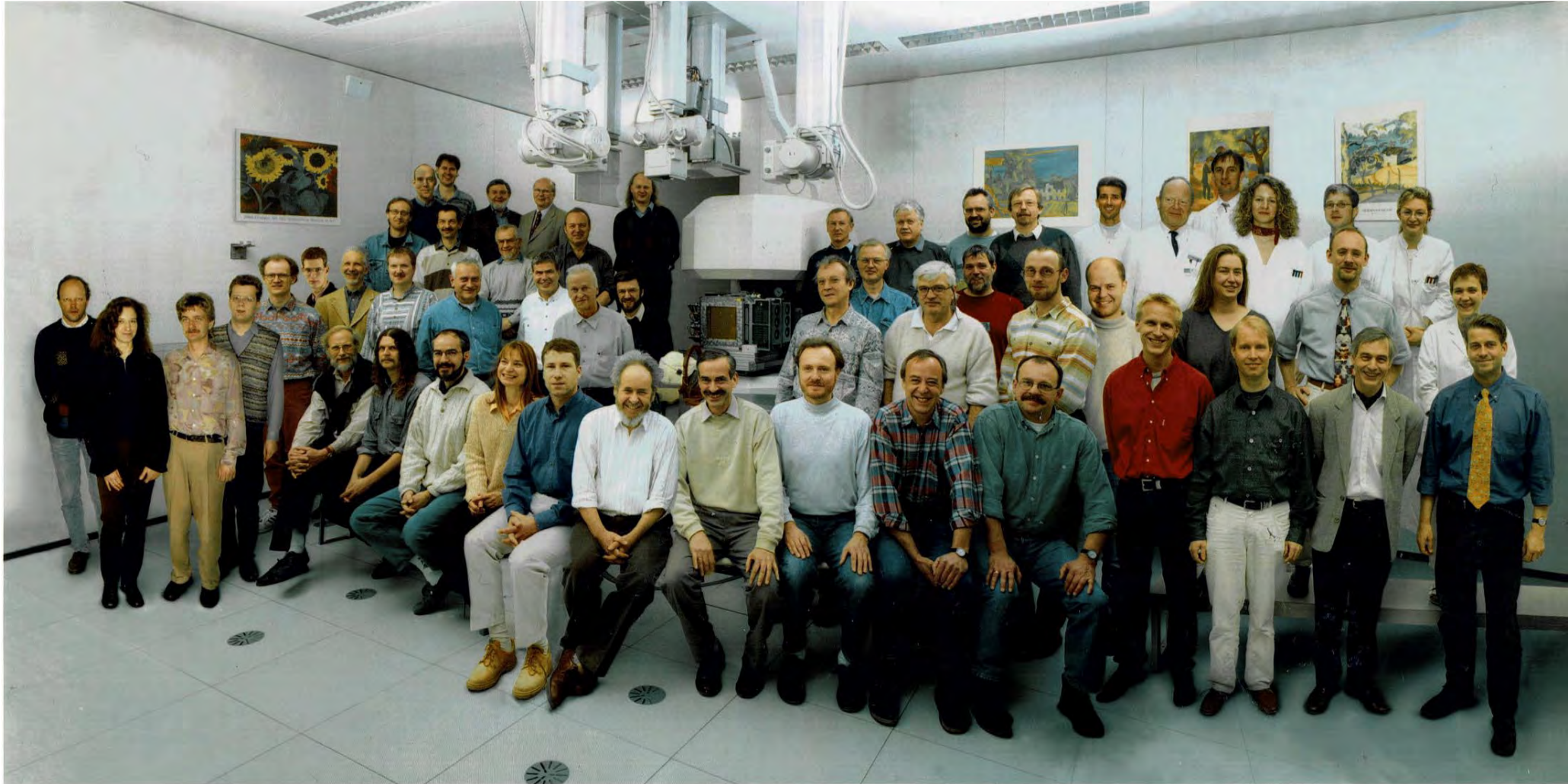


Protons
175 MeV

Helium ions
175 MeV/u

Carbon ions
330 MeV/u

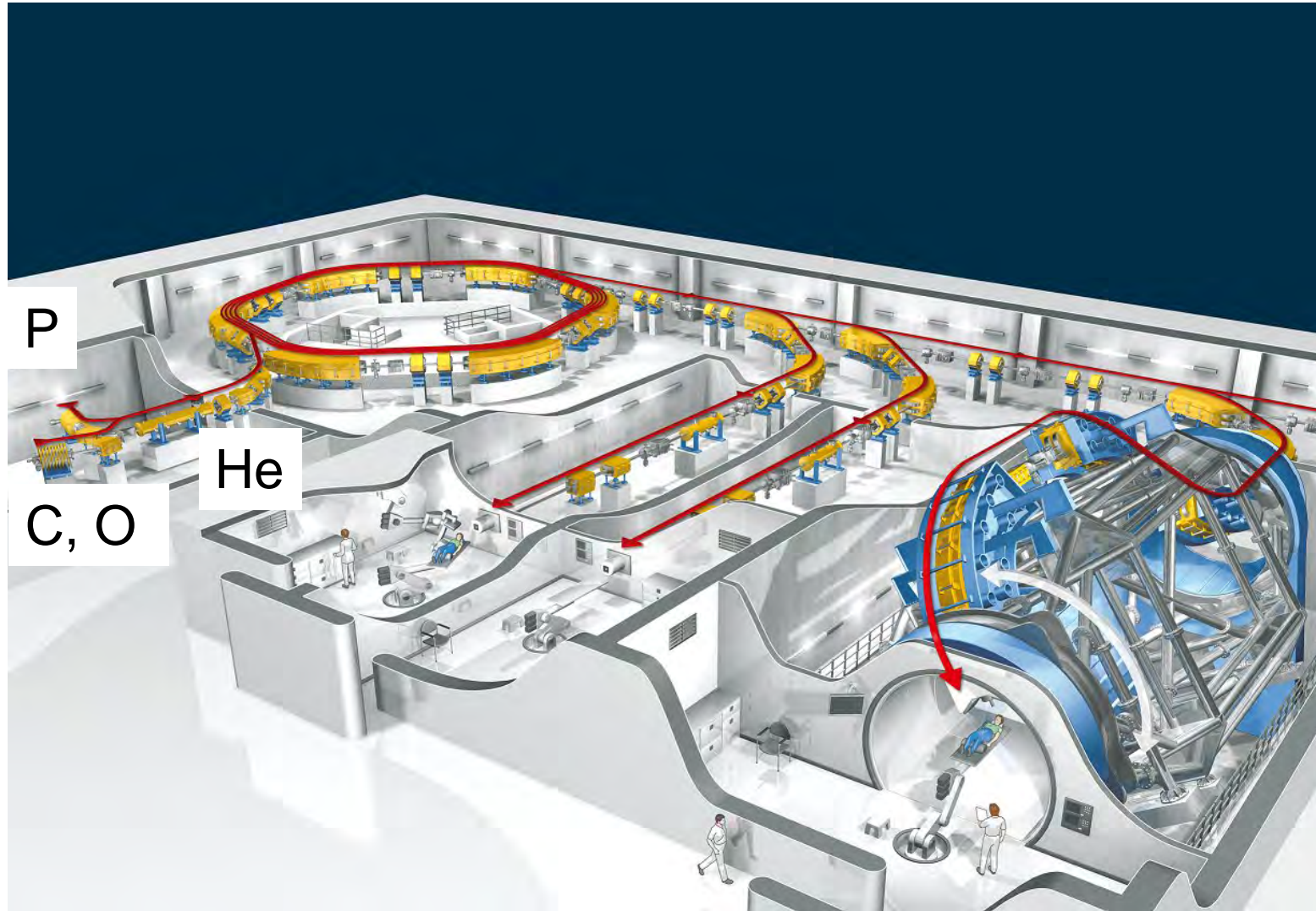
The *beam team* at GSI in 1997



Heavy-Ion Therapy at GSI Dec. 1997

Collaboration: FZ Rossendorf - GSI Darmstadt - Radiol. Klinik Heidelberg - DKFZ Heidelberg

Heidelberg Ion Beam Therapy Center



11/2009: 1. patient RT

10/2012: 1. Gantry pat.

Today: 10'000 patients !



Experimental
area

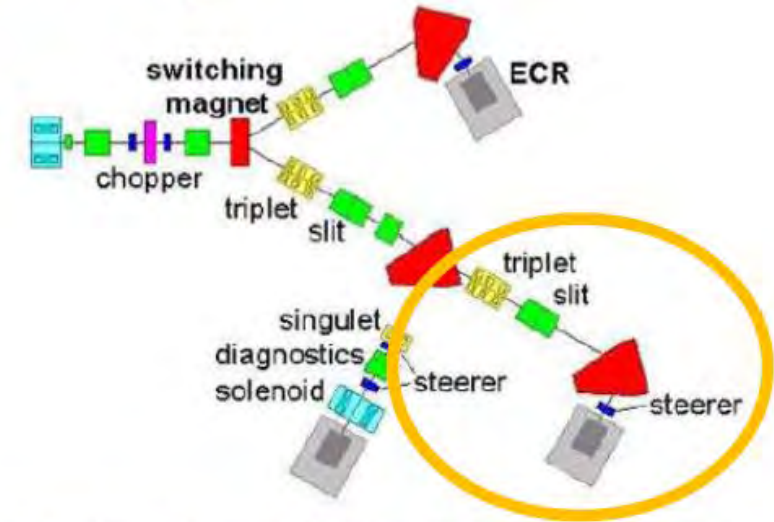
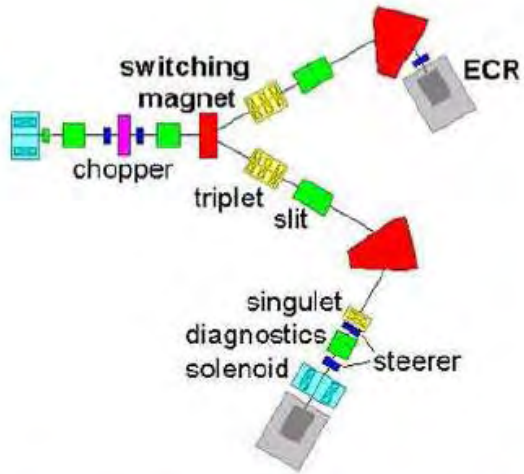
Research Labs

HIT is routinely operating with **p**, He, **C** and O beams for RT and research

Heidelberger Ionenstrahl Therapiezentrum



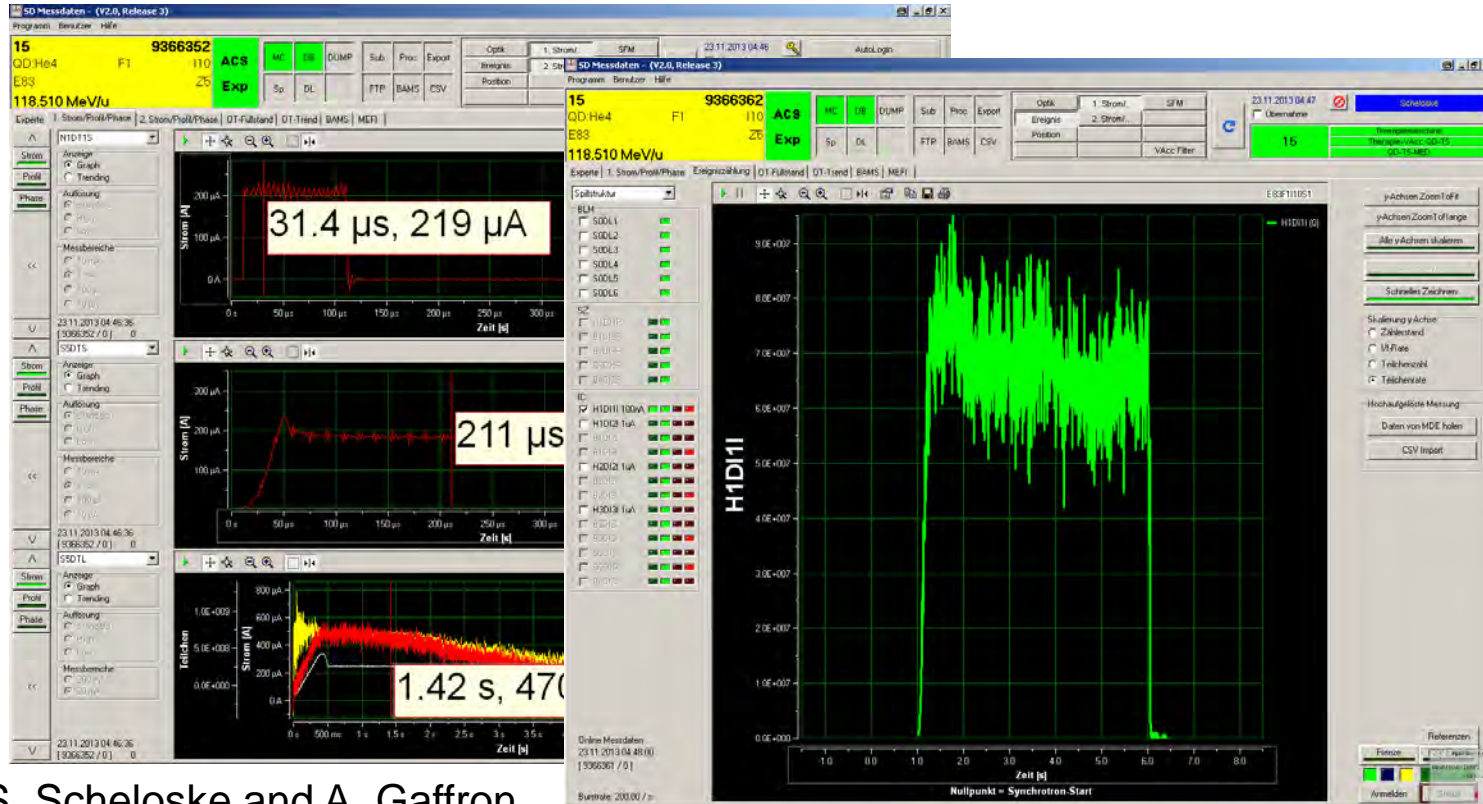
Integration of the Helium source at HIT



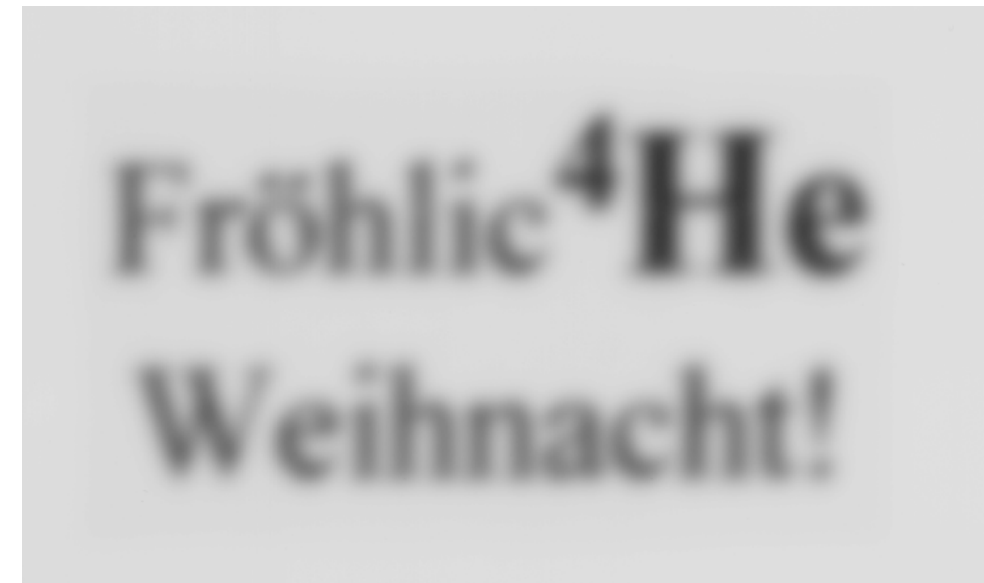
Ion sources can be switched within seconds

Helium beam line tuning

- He source installation in late 2012
- Tuning of LEBT, LINAC, MEBT
- 23.11.2013: 1st extraction from synchrotron
- 13.12.2013: 1st scanned beam QA room



Scanning on radiographic film

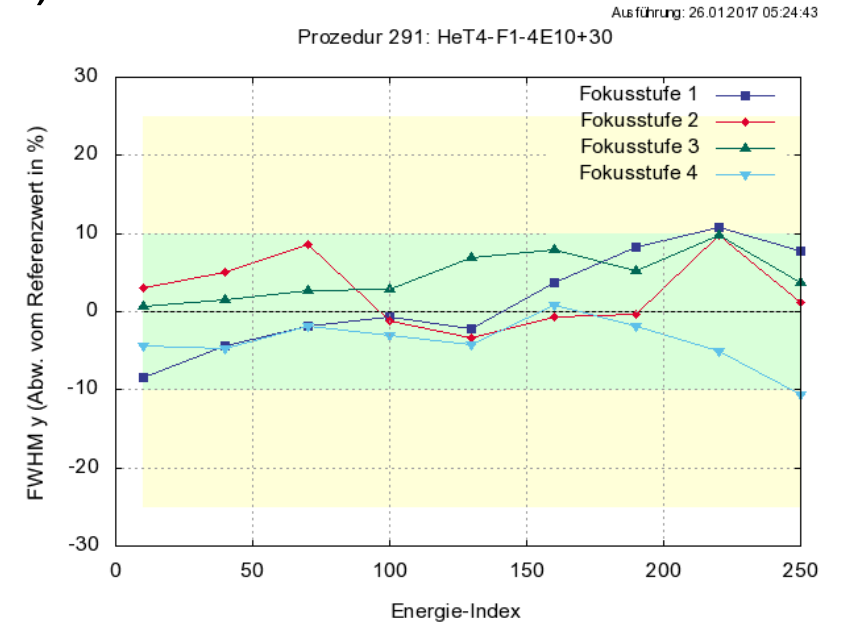
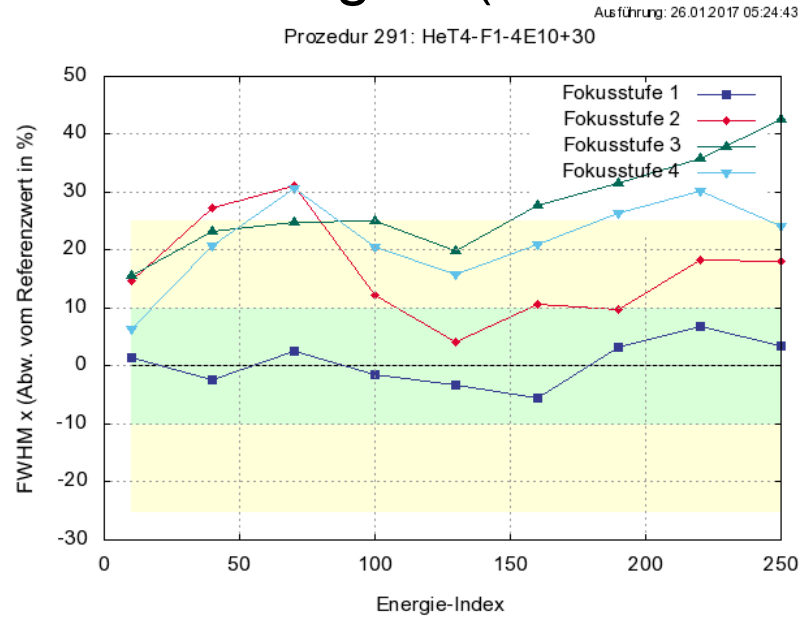


S. Scheloske and A. Gaffron

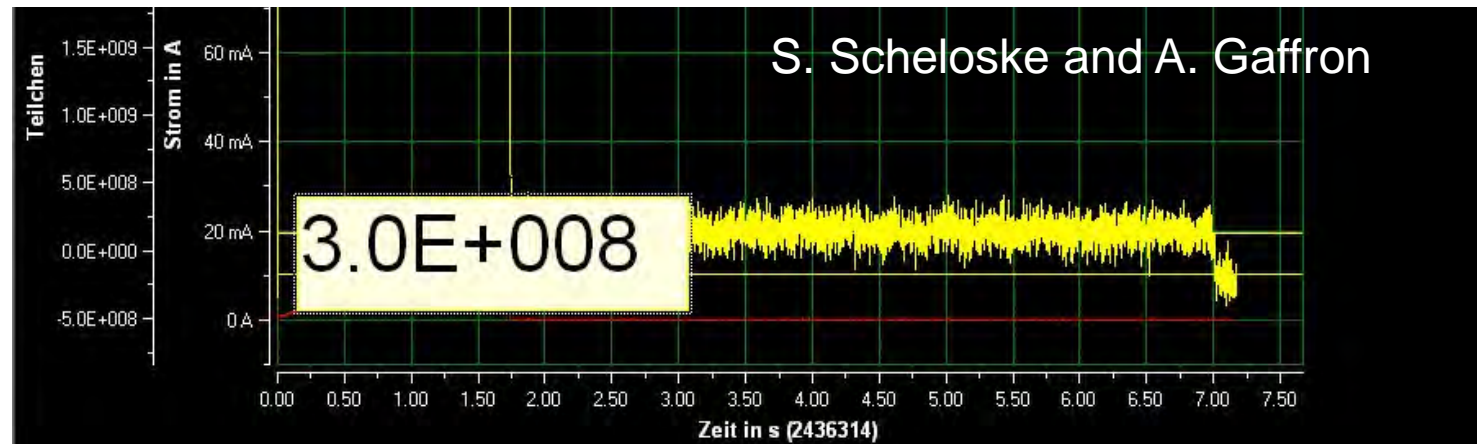
Scanned He beams are being used routinely for research since 2014

Helium HEBT tuning in 2016

- Set-up of 4 focus levels at 255 energies (50-320MeV/u)
- Tuning of focus levels

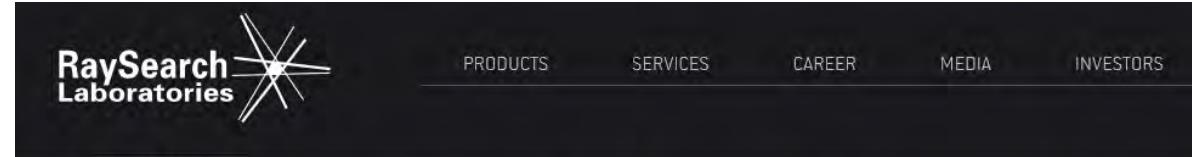


- Optimization of direct intensity control (DIC)
- 10 intensity levels
(max. $9 \cdot 10^8/s$)

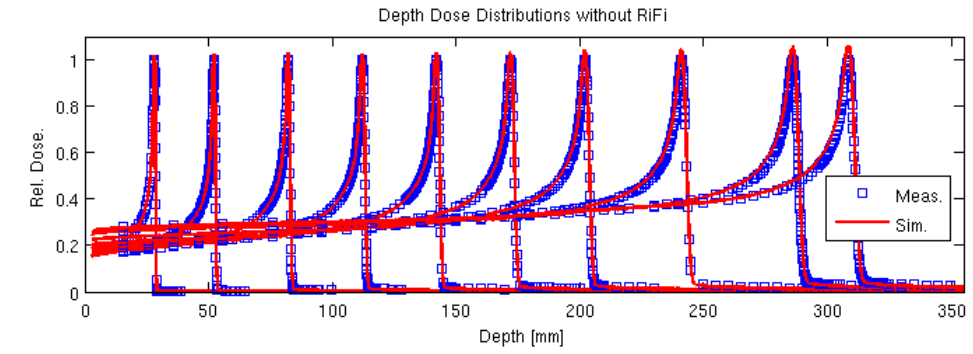
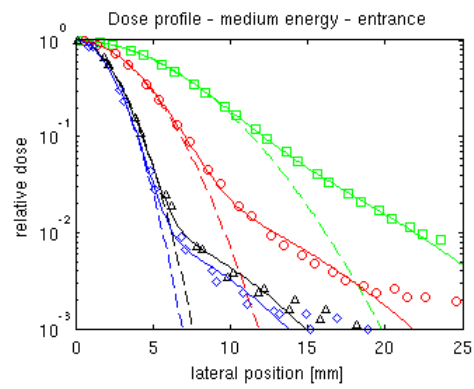


Clinical implementation of Helium RT

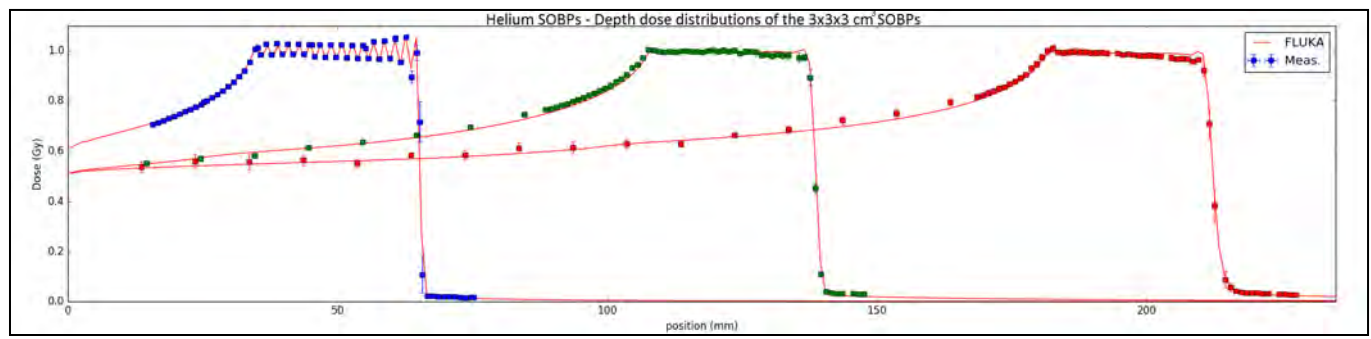
- Commissioning of TPS
- Commissioning of interface to Treatment control system
- Optimize fragmentation model
- Commissioning of He-beams
- Establish QM-program
- RBE model validation in-vitro
- RBE exp. in vivo
- Planning studies



June 15, 2020
Germany's Heidelberg University Hospital will become the world's first cancer center to use RayStation for helium ion therapy planning



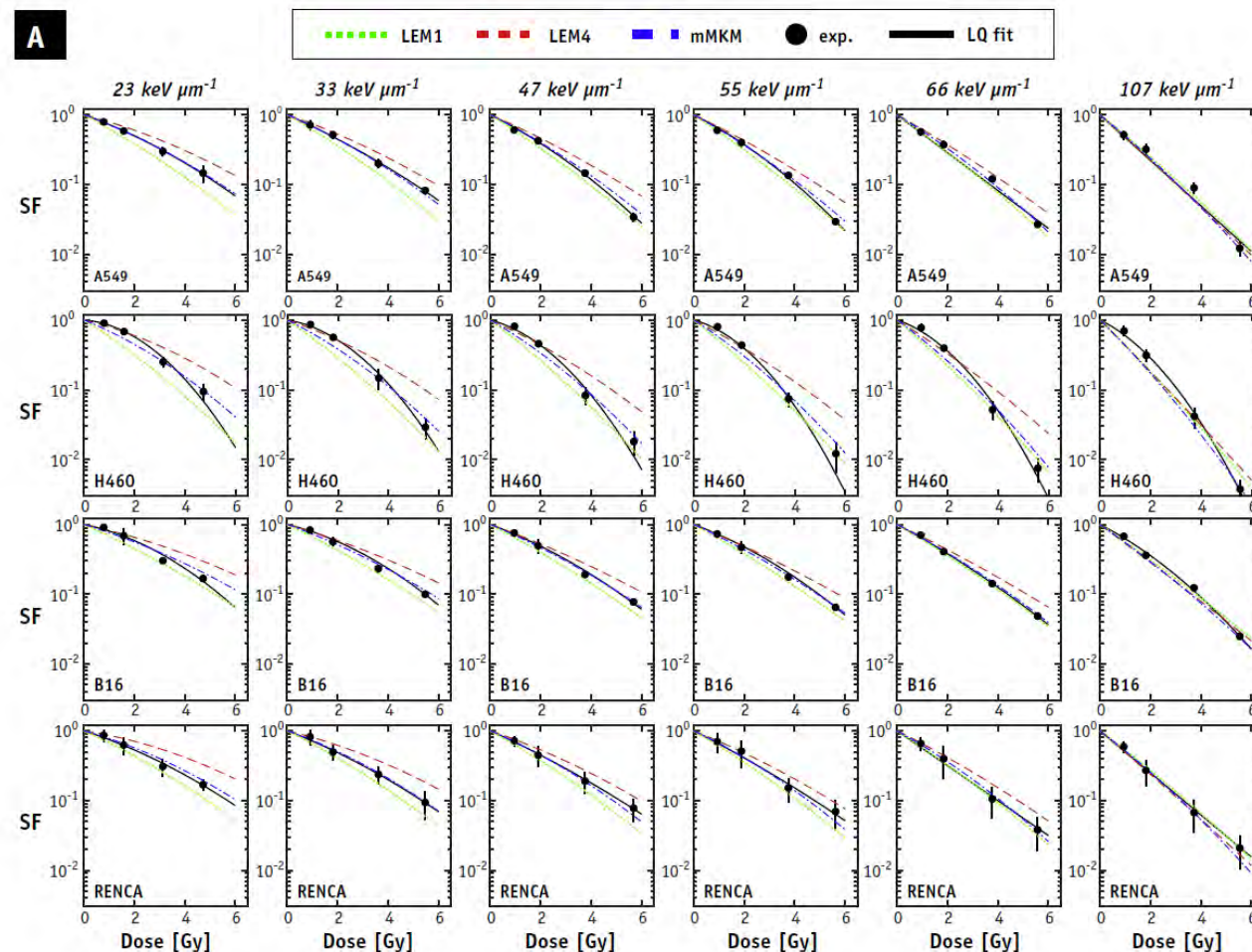
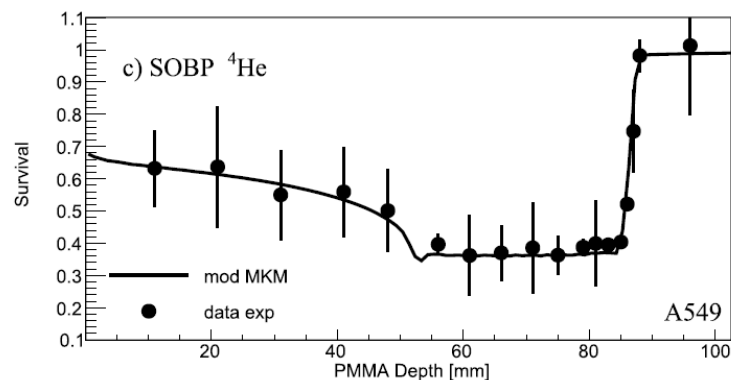
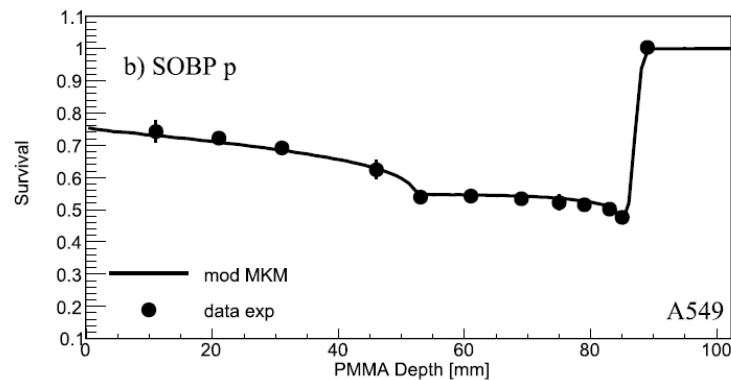
Tessonier et al. Phys. Med. Biol. 2017



RBE modelling: optimization of mMKM

Optimizing the modified microdosimetric kinetic model input parameters for proton and ^4He ion beam therapy application

A Mairani^{1,2}, G Magro¹, T Tessonnier^{3,4}, T T Böhlen⁵,
S Molinelli¹, A Ferrari⁶, K Parodi^{2,3,4}, J Debus^{2,3}
and T Haberer²



Optimizing the mMKM parameters yields a consistent description of $\text{RBE}_{\text{p,He}}$ (LET, D, cell line)

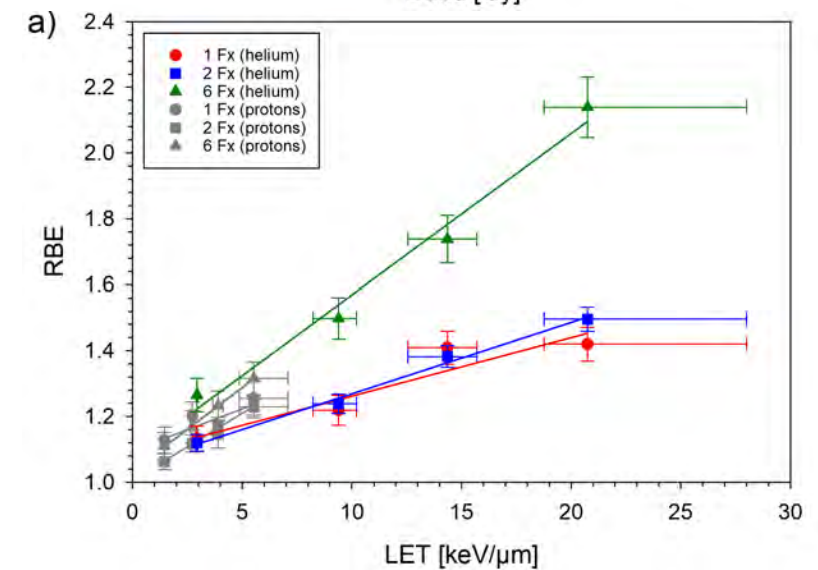
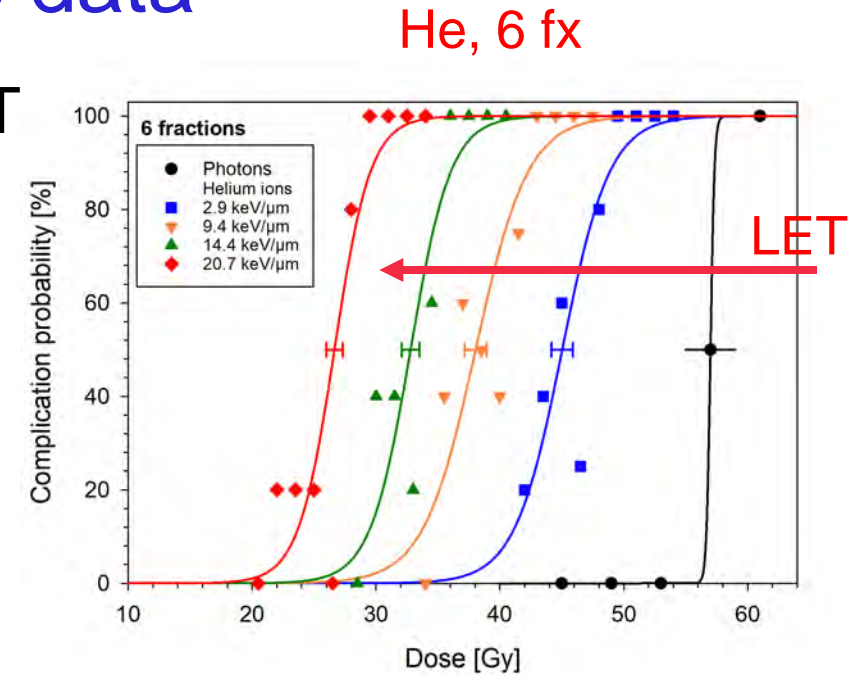
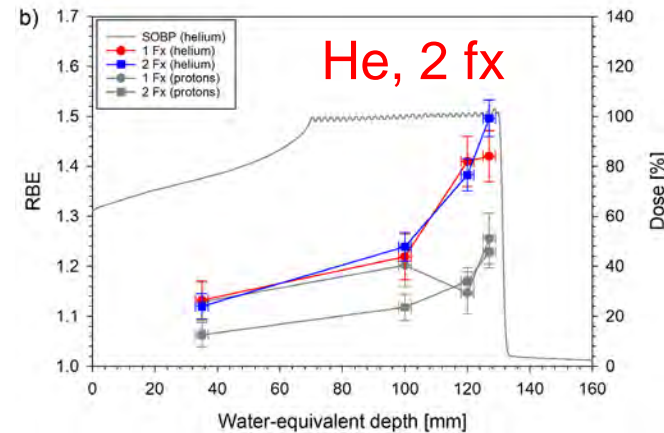
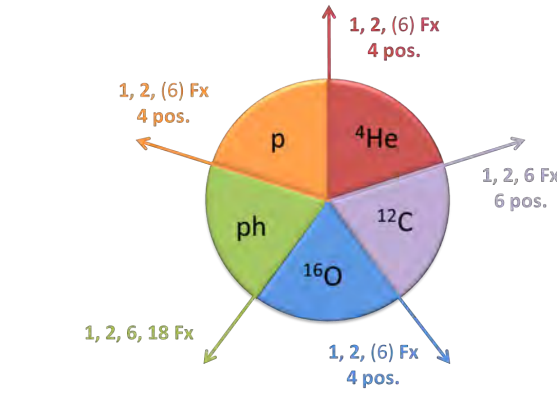
Benchmarking against in-vivo data

RBE of rat spinal cord for various fraction doses and LET



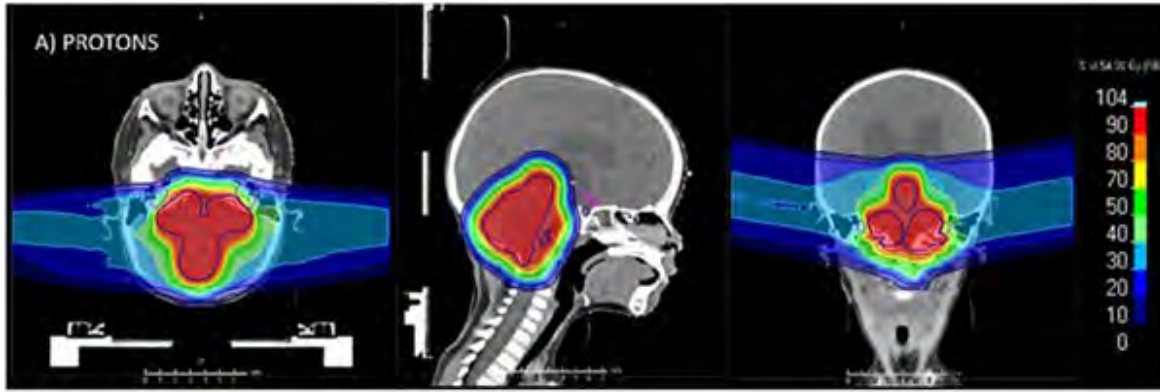
- Hintz et al Radiother. Oncol (2022): He 1 + 2 Fx
- Saager et al. Radioter Oncol 2015, 2018, C 1- 6 Fx
- Karger et al (in preparation): He ions 6Fx
- Mein et al. IJROBP 2020: RBE modelling comparison

Consistent benchmark of RBE models for p, C, He an O in vivo.

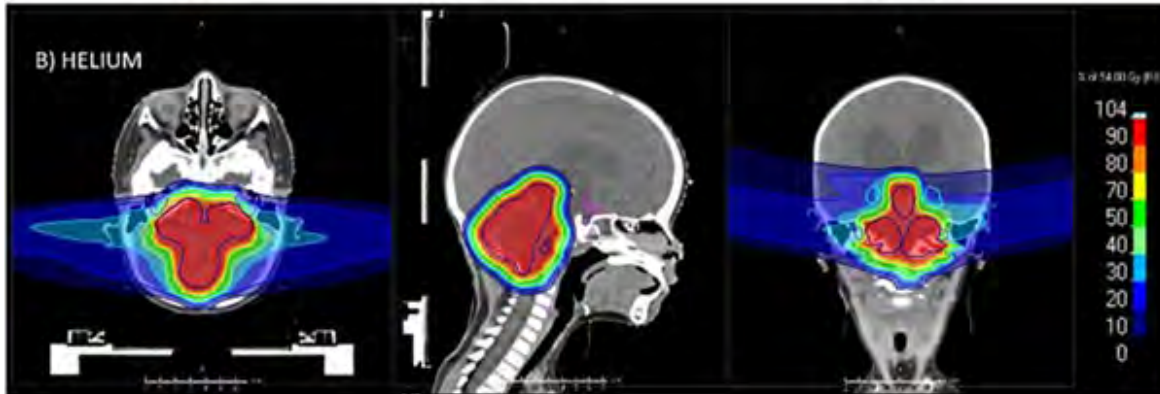


Treatment planning study: He vs. Protons

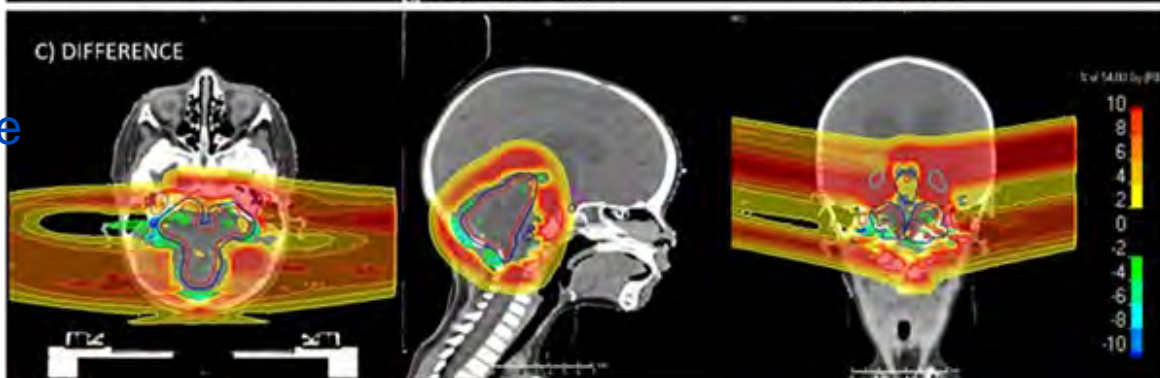
Protons



Helium



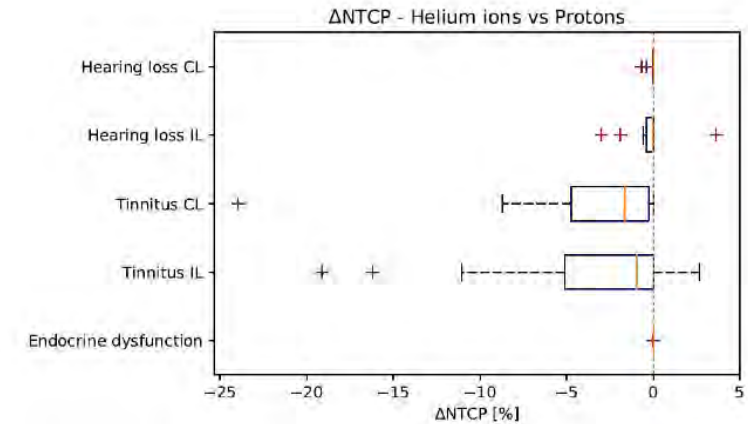
Difference



15 Ependymoma patients, Wickert, Cancers 2022

Doses reduce by up to 10% (5,4Gy RBE)

Reduced NTCP for endocrine & neurocognitive outcome

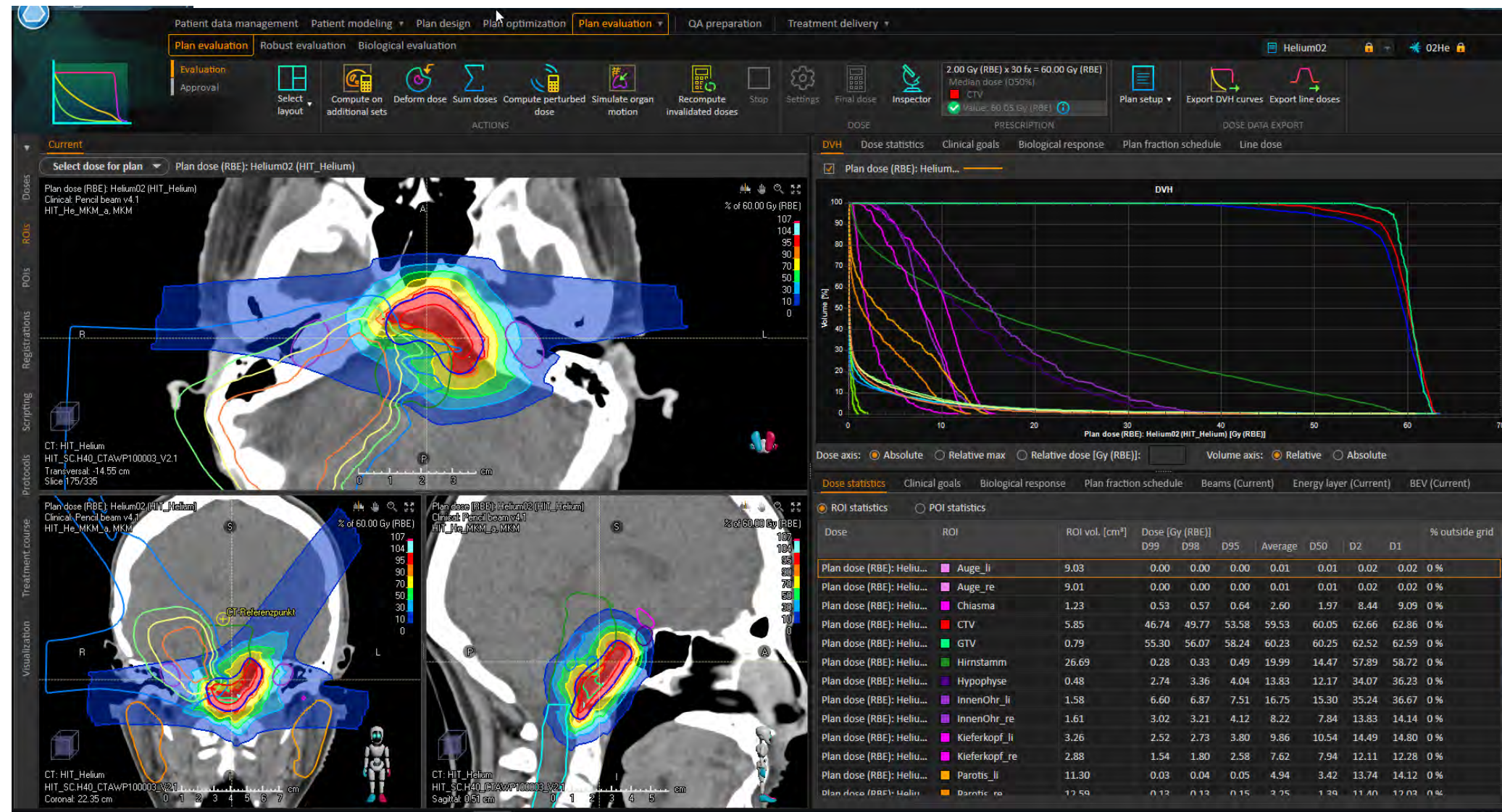


Better sparing of brain stem, cochleae, pituitary gland, hippocampi

Mairani et al. *Phys. Med. Biol.* **67** 2022

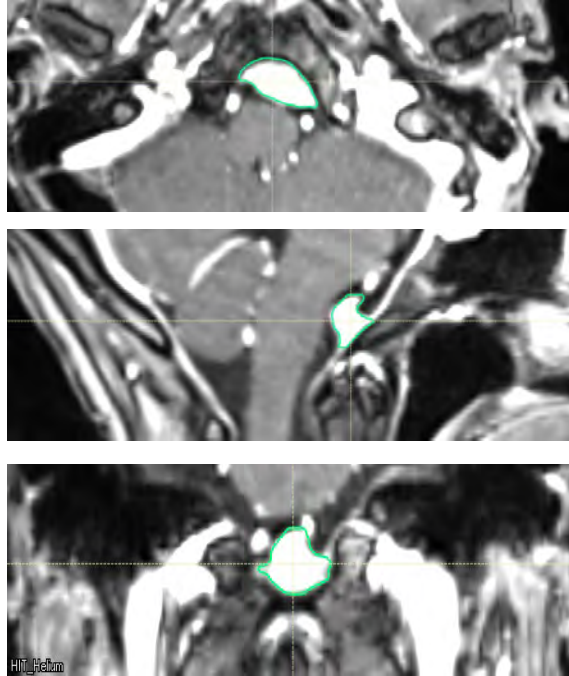
1st Helium RT with a scanned beam at HIT (July 20th 2021)

- 30y old patient
- **Recurrent solitary fibrous tumour of the dura**
- 20 x 2Gy (RBE)
- RBE: 1.4-2.1
- p-RT 2015: 30 x 2Gy (RBE)
- **stable tumor remission > 2yr**



Follow-up of first Hel-RT patient at HIT

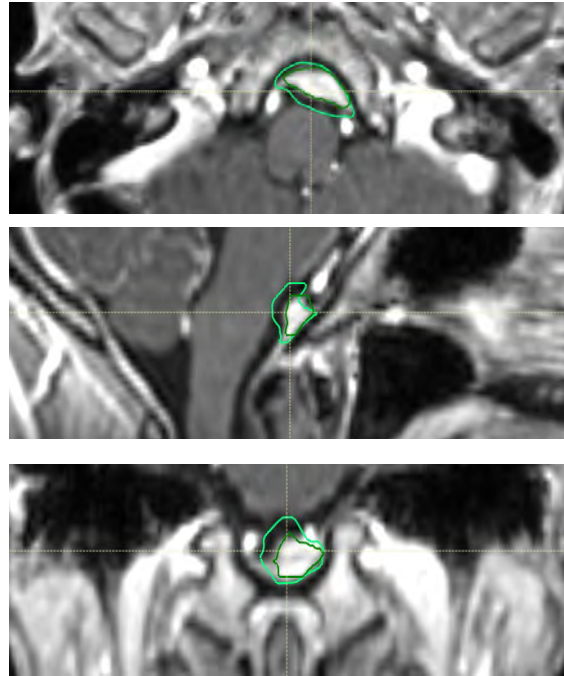
Baseline Planning MRT



GTV pre RT
0,8 ml

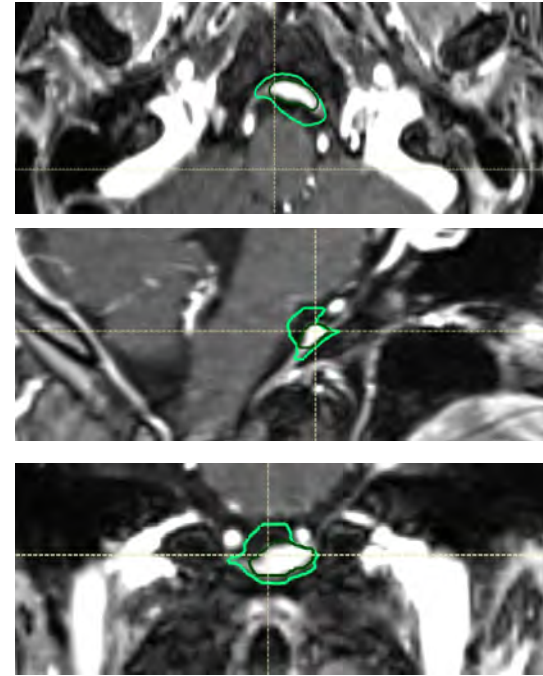
Helium RT

1. controle at 6 weeks



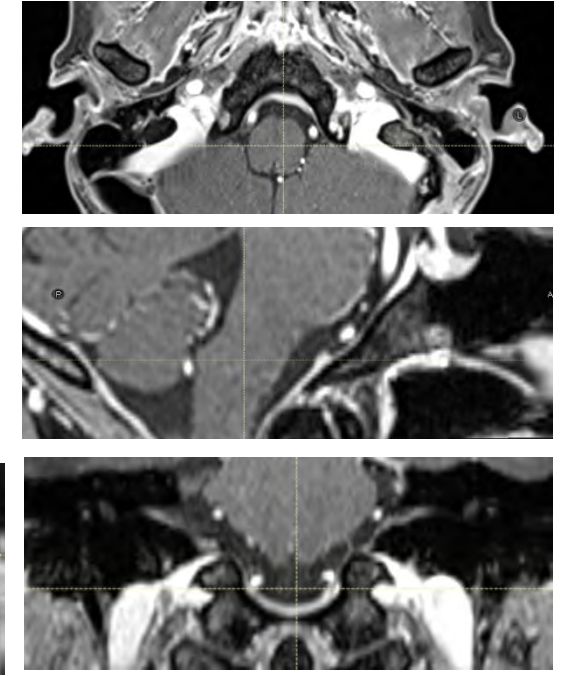
GTV post RT
0,3 ml

2. Control at 5 months



GTV post RT
0,2 ml

4. Control at 1 year



**komplette
Remission**

Stable remission until > 2 years

Significant experience with He-RT at LNBL

including several phase I/II trials for skull base and cervical chordoma/chondrosarcoma, paraspinal tumors, uveal melanoma, pituitary gland, pancreas, esophagus, ...

● Original Contribution

CHARGED PARTICLE IRRADIATION OF CHORDOMA AND CHONDROSARCOMA OF THE BASE OF SKULL AND CERVICAL SPINE: THE LAWRENCE BERKELEY LABORATORY EXPERIENCE

ANTHONY M. BERSON, M.D.,^{1,2} JOSEPH R. CASTRO, M.D.,^{1,2} PAULA PETTI, PH.D.,¹ THEODORE L. PHILLIPS, M.D.,^{1,2} GRANT E. GAUGER, M.D.,³ PHILIP GUTIN, M.D.,³ J. MICHAEL COLLIER, PH.D.,¹ SHERI D. HENDERSON, PH.D.¹ AND KARI BAKEN, RTT¹

¹Research Medicine/Radiation Biophysics Division, University of California Lawrence Berkeley Laboratory, Berkeley, CA; ²Department of Radiation Oncology, and ³Department of Neurosurgery, University of California, San Francisco, San Francisco, CA

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Pergamon

0360-3016(94)00155-13

● Clinical Original Contribution

EXPERIENCE IN CHARGED PARTICLE IRRADIATION OF TUMORS OF THE SKULL BASE: 1977-1992

JOSEPH R. CASTRO, M.D., DAVID E. LINSTADT, M.D., JEAN-PAUL BAHARY, M.D., PAULA L. PETTI, PH.D., INDER K. DAFTARI, PH.D., J. MICHAEL COLLIER, PH. D., PHILIP H. GUTIN, M.D., GRANT GAUGER, M.D. AND THEODORE L. PHILLIPS, M.D.

The University of California Lawrence Berkeley Laboratory and The University of California, Medical Center, San Francisco, CA

**Helium-Ion Radiation Therapy at the Lawrence Berkeley Laboratory¹
Recent Results of a Northern California Oncology
Group Clinical Trial**

WILLIAM SAUNDERS,*† J. R. CASTRO,*† G. T. Y. CHEN,* J. M. COLLIER,
S. R. ZINK,† S. PITLUCK,† T. L. PHILLIPS,†‡ D. CHAR,§ P. GUTIN,||
G. GAUGER,|| C. A. TOBIAS,* AND E. L. ALPEN*

*Division of Biology and Medicine, Lawrence Berkeley Laboratory

†Department of Radiation Oncology, University of California, San Francisco

‡Northern California Oncology Group

§Ocular Oncology Unit, University of California, San Francisco

||Department of Neurological Surgery, University of California, San Francisco

**Heavy-Charged-Particle
Radiosurgery of the Pituitary
Gland: Clinical Results of 840
Patients**

Levy R.P. · Fabrikant J.J. · Frankel K.A. · Phillips M.H. · Lyman J.T. · Lawrence J.H. · Tobias C.A.

☒ Author affiliations

● Original Contribution

CHARGED PARTICLE RADIOTHERAPY FOR LESIONS ENCIRCLING THE BRAIN STEM OR SPINAL CORD

JOSEPH R. CASTRO, M.D., J. MICHAEL COLLIER, PH.D., PAULA L. PETTI, PH.D., VALERIE NOWAKOWSKI, M.D., GEORGE T. Y. CHEN, PH.D., JOHN T. LYMAN, PH.D., DAVID LINSTADT, M.D., GRANT GAUGER, M.D., PHILIP GUTIN, M.D., MARY DECKER, M.D., THEODORE L. PHILLIPS, M.D. AND KARI BAKEN, R.T.T.
Department of Radiation Oncology, University of California, San Francisco School of Medicine, and Research Medicine and Radiation Biophysics Division, University of California Lawrence Berkeley Laboratory

● Clinical Investigation

15 YEARS EXPERIENCE WITH HELIUM ION RADIOTHERAPY FOR UVEAL MELANOMA

JOSEPH R. CASTRO, M.D.,*† DEVRON H. CHAR, M.D.,* PAULA L. PETTI, PH.D.,*† INDER K. DAFTARI, PH.D.,*† JEANNIE M. QUIVRY, M.D.,* RAJINDAR P. SINGH, PH.D.,‡ ELEANOR A. BLARELY, PH.D.‡ AND THEODORE L. PHILLIPS, M.D.,*†

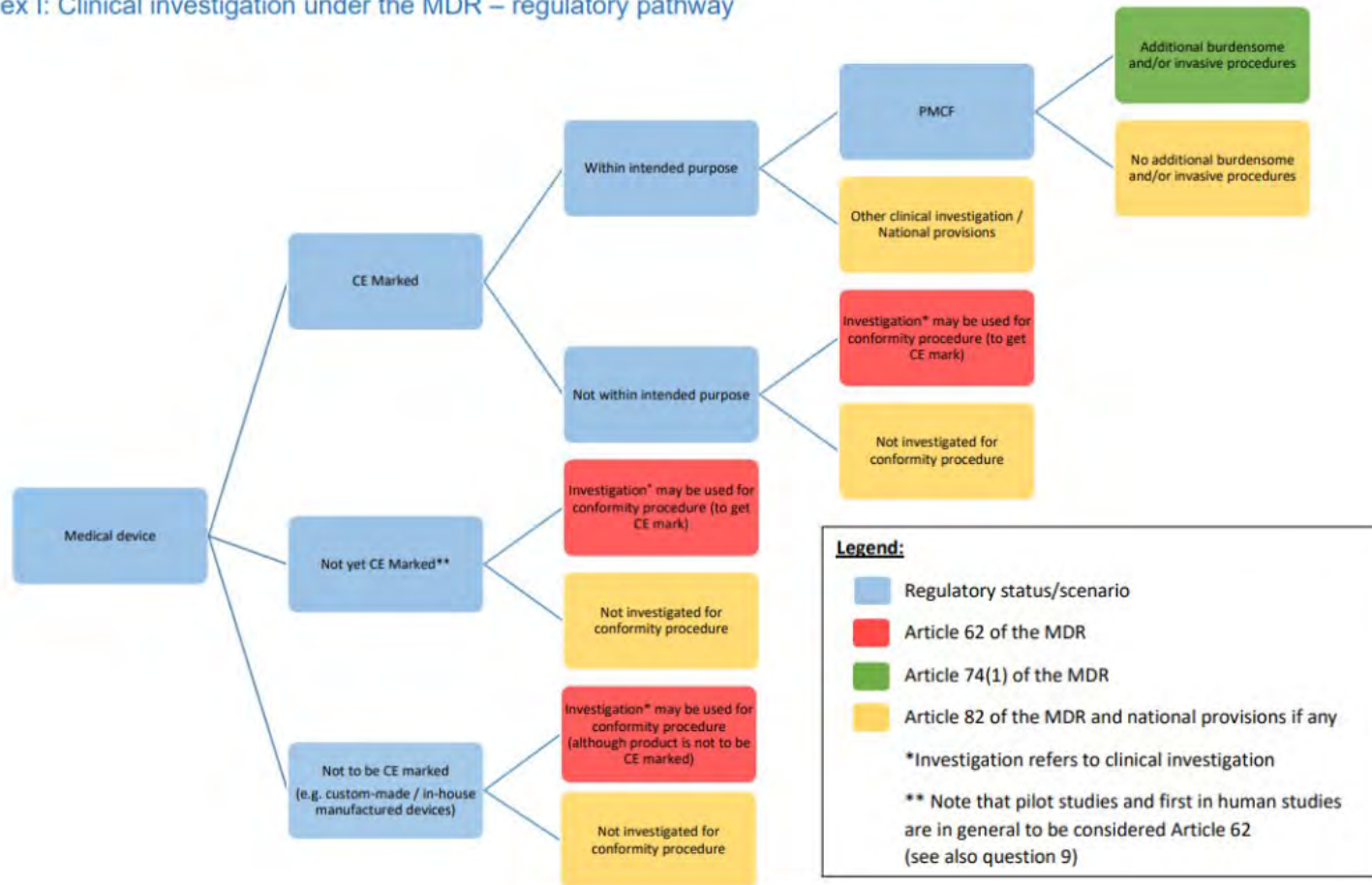
*The University of California, San Francisco, Medical Center and †The University of California Lawrence Berkeley National Laboratory, Berkeley, CA



Unfortunately all with passive beam delivery

Navigating the Medical Device Regulation

Annex I: Clinical investigation under the MDR – regulatory pathway



Requirements regarding other clinical investigations

1. Clinical investigations, not performed pursuant to any of the purposes listed in Article 62(1), shall comply with the provisions of Article 62 (2) and (3), points (b), (c), (d), (f), (h), and (l) of Article 62(4) and Article 62(6).
2. In order to protect the rights, safety, dignity and well-being of subjects and the scientific and ethical integrity of clinical investigations not performed for any of the purposes listed in Article 62(1), each Member State shall define any additional requirements for such investigations, as appropriate for each Member State concerned.

Germany: §47 MPDG (Medizinproduktedurchführungsgesetz)

Navigating the German Medical Product Regulation

Requirements:

- Conduct a trial „**Other clinical investigation**“
- Preparation/submission of **49 documents** to federal bureau for drugs and medical products (BfARM)
- IRB vote (local ethics committee)
- Application for a trial involving radiation at federal bureau for radiation protection (BfS)
- Answer 25 questions, iterate, more questions ...
- Preparation of docs in 2023, submission in 12/2023; approval in 10/2024
- 3months FU after RT and submission of report
- **No changes of the system during trial!!**

Prüfplan - 01_PP_01_PP.docx
Synopsis des Prüfplans in Deutsch - siehe Prüfplan, Abschnitt 1
Beschreibung Prozedur / Untersuchungsmethoden - siehe Prüfplan, Abschnitt 6.6.3
Präklinische Bewertung - 06_PB_06_PB.pdf
Gebrauchsanweisung - 07_GA_07_GA_RS.pdf
Gebrauchsanweisung - 07_GA_07_GA_MTS.pdf
Bewertung Risiken - 08_RN_08_RN.pdf
- Versicherung grundlegende Sicherheits- und Leistungsanforderungen - 09_VGA_09_VGA.docx
Plan Weiterbehandlung Probanden - 10_WB_10_WB.docx
Ablehnende Bewertungen Ethik-Kommissionen EU/EWR - nicht zutreffend
Vollmacht für Vertreter - nicht zutreffend
Ergebnisse biologische Sicherheitsprüfung - siehe Handbuch (Kapitel 4, Biologische Sicherheit)
Nachweis sicherheitstechnische Unbedenklichkeit - siehe Handbuch (Kapitel 5, Sicherheitstechnische Unbedenklichkeit)
Funktionsweise MP/Informationen zum MP - siehe Gebrauchsanweisung(en)
Risikoanalyse und -bewertung einschl. Restrisiken - siehe Bewertung Risiken
Liste grundlegende Sicherheits- und Leistungsanforderungen - 17_LGA_17_LGA.pdf
Sicherheitsberichterstattung (SAE-Meldepflichten) - siehe Prüfplan, Abschnitt 12.2
Qualifikation sonstige Personen - siehe Anlagen Prüfer
Probanden-/Patienteninformation, Einverständniserklärung - 23_PE_23_PE.docx
Rechtfertigung Einbeziehung besonders vulnerabler Personen - nicht zutreffend
Versicherungsnachweis - 25_NV_25_NV.pdf
Erklärung Einbeziehung abhängiger Personen - nicht zutreffend
Erklärung Datenschutz - siehe Prüfplan, Abschnitt 8.4
Finanzierung der Prüfung/wesentliche Vertragsinhalte - 28_VVF_28_VVF.docx
Kriterien bzw. Bedingungen und Verfahren für Aussetzen oder Abbruch - 29_KUA_29_KUA.docx
Handbuch klinischer Prüfer - 02_HB_02_HB.docx
Inhaltsverzeichnis - 33_IV_20231221_EK_00015094_Inhaltsverzeichnis.pdf
Nachweise/Angaben für steril angewendete MP bzw. Sterilisationsvalidierungen - nicht zutreffend
Pdf Formular - 50_PDF_20231221_EK_00015094_Formular.pdf
Abschlussbericht der Prüfung - nicht zutreffend
Begleitschreiben - 53_B_53_B.pdf
Rechnungsadresse - siehe Prüfplan (Seite 2, Verantwortlichkeiten)
Nachweis CE-Kennzeichnung - nicht zutreffend
Plan zur klinischen Bewertung - nicht zutreffend
Informationen zum Arzneimittel, Gewebe oder Derivat - nicht zutreffend
Technische Dokumentation - 62_ID_62_TD.docx
Angabe der Ignition Prüfstelle - 20_AEP_20_AEP.docx
Qualifikation sonstige Personen - 21_QP_21_QP.docx
Qualifikation Prüfer - 22_LP_22_LP.docx
Lebenslauf Prüfer - 40_LL_40_LLJD.pdf
Lebenslauf Prüfer - 40_LL_40_LLLKH.pdf
Lebenslauf Prüfer - 40_LL_40_LLSH.pdf
Nachweis Erfahrungen Anwendungsbereich MP - 41_NE_41_NE_JD.pdf
Nachweis Erfahrungen Anwendungsbereich MP - 41_NE_41_NE_KH.pdf
Nachweis Erfahrungen Anwendungsbereich MP - 41_NE_41_NE_SH.pdf
Nachweis Vertrautheit mit Grundzügen des MP-Rechts - 42_NVR_42_NVR.pdf
Nachweis Vertrautheit mit rechtl. / wiss. Grundlagen von KP/LP - 43_NVG_43_NVG.pdf
Nachweis Vertrautheit mit Prüfplan / Handbuch klinischer Prüfer - 44_NVP_44_NVP.pdf
Nachweis Vertrautheit mit Prüfplan / Handbuch klinischer Prüfer - 44_NVP_45_NVE.pdf

Other clinical investigation for He-RT

Aim: demonstrate the safety and performance of the product to obtain permission to conduct regular clinical trials with Helium ions to answer scientific questions

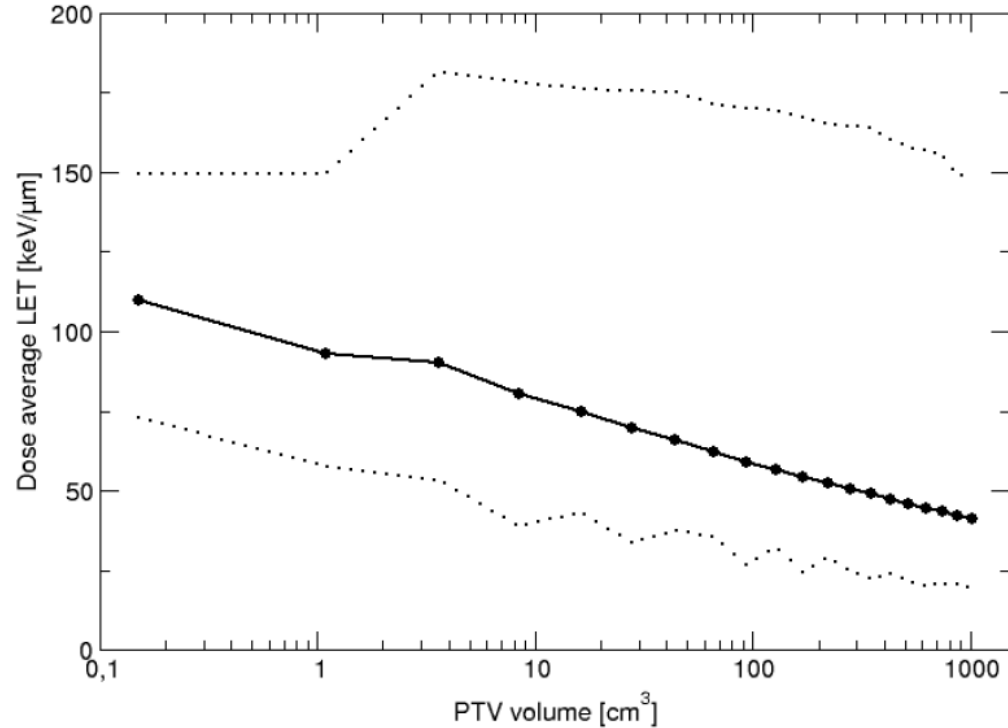
- Primary endpoint: toxicity \geq CTC ≥ 3 ;
- Patient number: n=29 (brain, skull base, thoracic, prostate, ...)
- **Weekly clinical exams during RT**
- 3months FU
- **Inclusion criteria:** Patients with tumor disease and indication for radiotherapy;
KI >60% oder ECOG 0/1; Age > 18 yrs; ability to consent; written consent

- **RT from Oct 24 – Dec 24**
- End of FU in mid March 2025 (end of RT, 90days, 3months)
- Helium is now used regularly as standard of care since autumn 2025

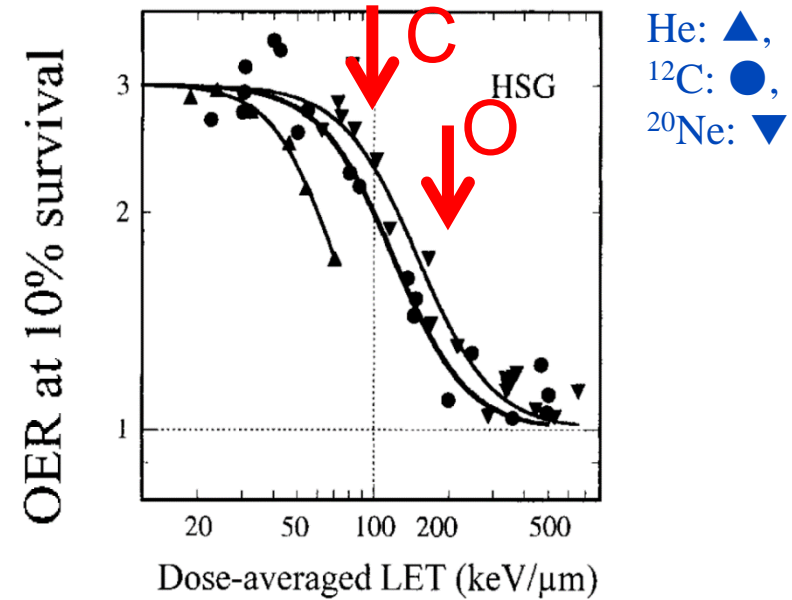
Technically no difference compared to p-RT (or carbon) with curative intent, w.r.t. indications, treatment planning, prescription dose, fractionation, image guidance, follow-up

What's next at HIT?

Is Carbon really high LET radiation?



N. Bassler, O. Jäkel, et. al., Acta Oncologica 49 (2010), 1170



Commissioned 2015

**In larger volumes, LET is diluted and Oxygen may be a suitable solution
Implementation at HIT not foreseen before 2030!**

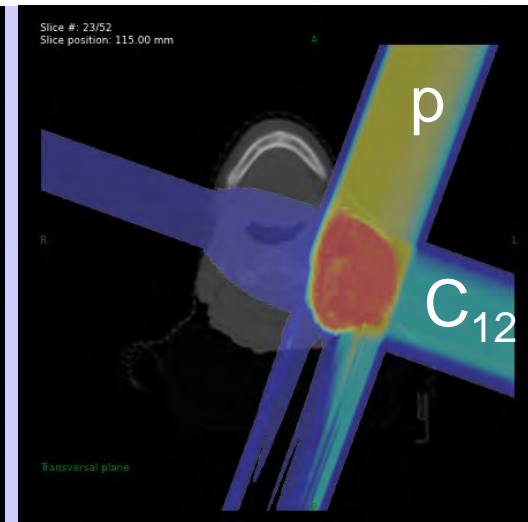
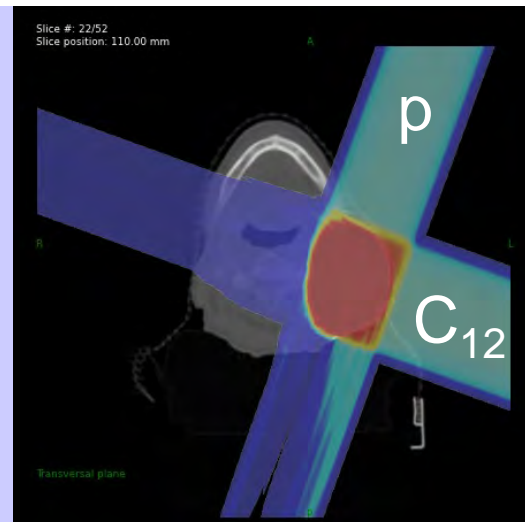
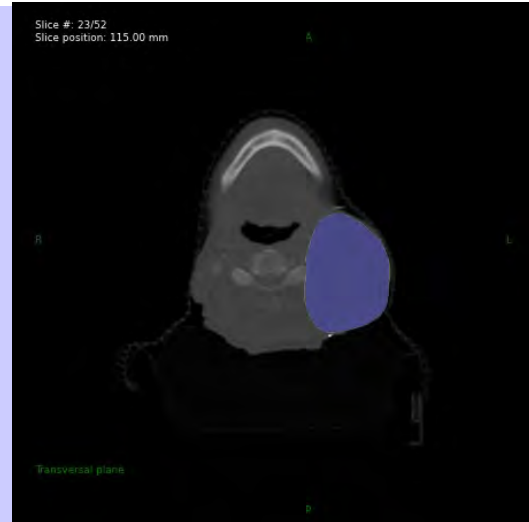
LET Painting for hypoxic tumors

Planned target

SFUD

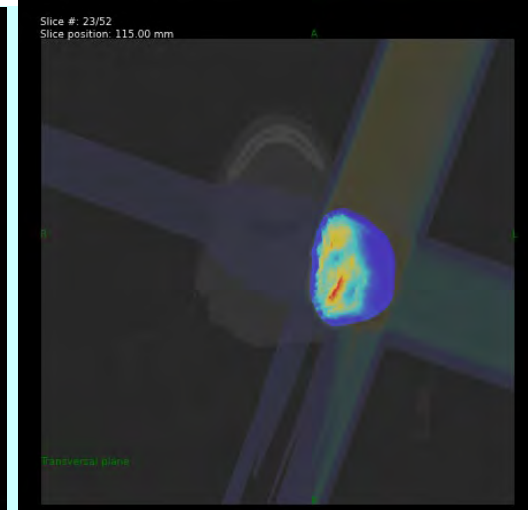
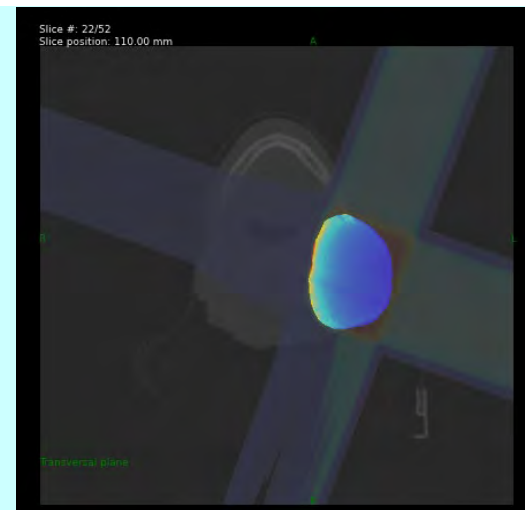
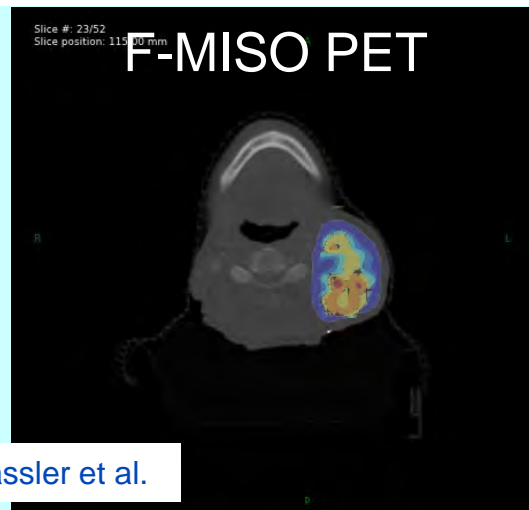
LET-Painting

DOSE



LET

F-MISO PET

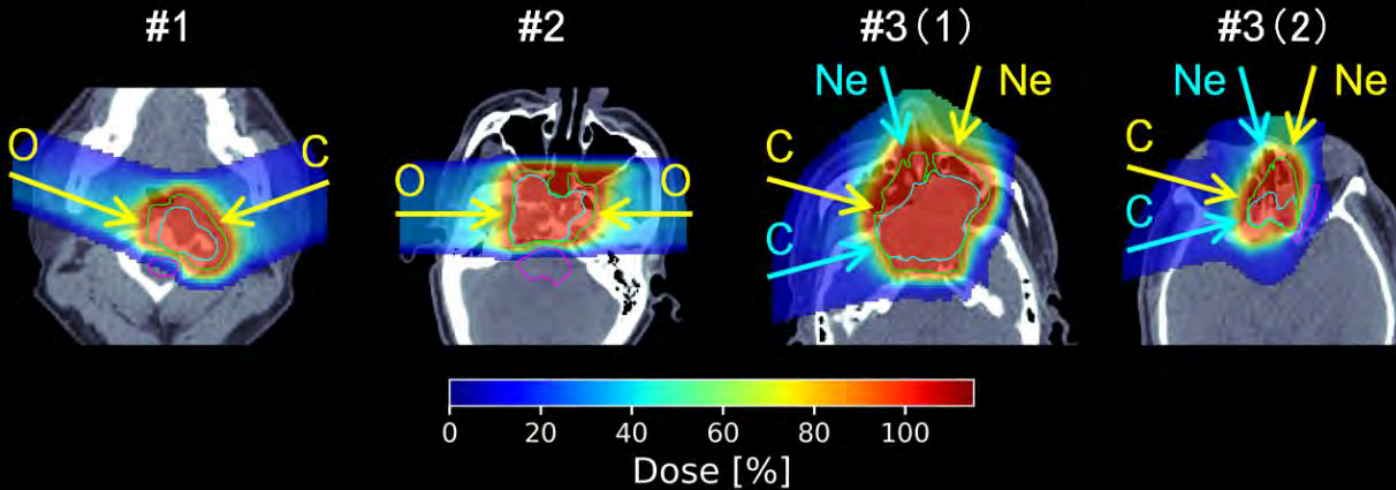


Courtesy of N. Bassler et al.

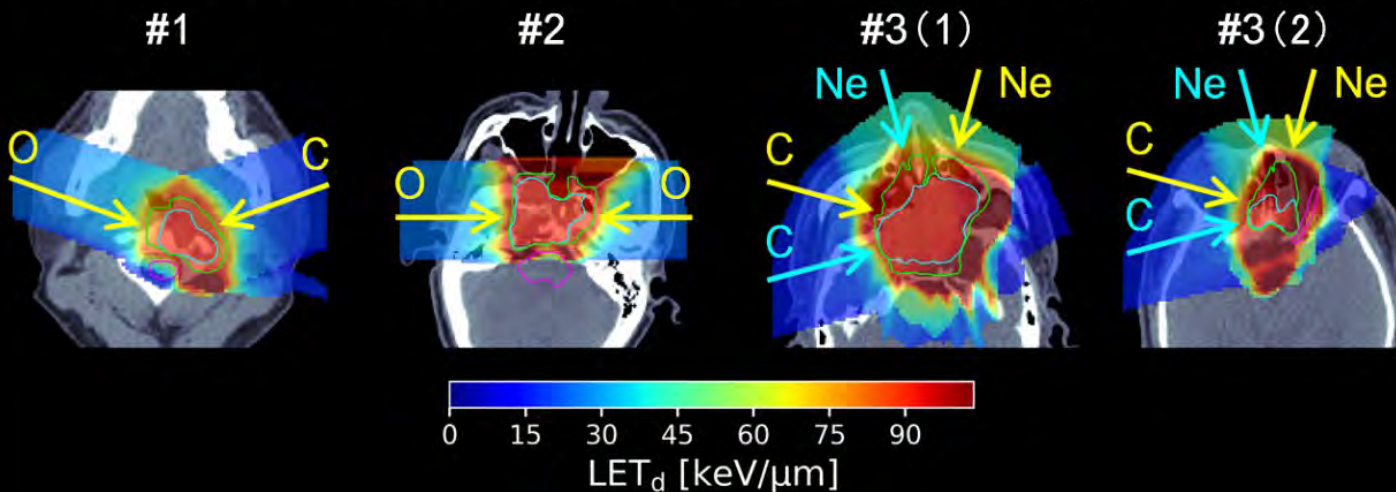
Scanning/IMPT offers additional degrees of freedom for adapting high LET

QST (NIST) in Japan started Multi-Ion Therapy

Reference dose distributions for multi-ion therapy ($L_{\text{target}}: 90 \text{ keV}/\mu\text{m}$)



Reference LET_d distributions for multi-ion therapy ($L_{\text{target}}: 90 \text{ keV}/\mu\text{m}$)



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<https://doi.org/10.1088/1361-6560/ae387b>

Physics in Medicine & Biology

IPEM
Institute of Physics and
Engineering in Medicine

PAPER

Robustness of LET_d-optimized multi-ion therapy against range and setup uncertainties: evaluation and enhancement with carbon-, oxygen-, and neon-ion beams

Takamitsu Masuda^{1,*}, Hiroaki Ikawa², Makoto Shinoto², Masashi Koto^{2,3}, Koki Kasamatsu¹, Yusuke Nomura¹, Nobuyuki Kanematsu¹ and Taku Inaniwa¹

BMJ Journals

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Home Archive Volume 15, Issue 10

Radiology and imaging
Protocol

Dose-averaged LET escalation with multi-ion therapy for head and neck cancers: a phase I study protocol for a prospective, open-label, single-arm, single-centre trial (MULTI-ION-HN-I)

Hiroaki Ikawa¹, Makoto Shinoto¹, Masashi Koto², Takamitsu Masuda³, Taku Inaniwa³, Hirotohi Takiyama¹, Tetsuro Isozaki¹, Shigeru Yamada¹, Hitoshi Ishikawa¹



Conclusions



Oral Scientific Session

Back to the Future: Helium Ion Therapy 2020

- Implementation and Commissioning finalized
- Regulatory issues solved
- Trial applications submitted
- ~120 patients have been treated (brain tumors)
- Indications:
 - Difficult p-RT indications, recurrent tumors,
 - trials for pediatric patients, pregnant patients (registry trial already initiated)
 - radiosurgery..
- Facility will undergo an upgrade: HIT 2.0 until 2030



A big team effort:

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Ivana Dokic
Klaus Herfarth
Line Höltgen
Stewart Mein
Julia Bauer

Thank you for your attention!