
Open heavy-flavor measurements with ALICE at the LHC

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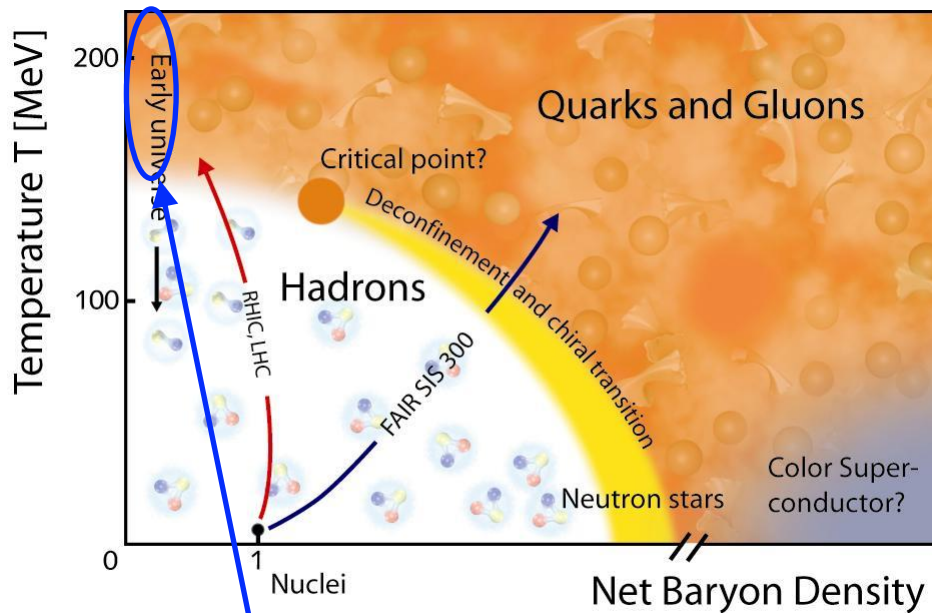
on behalf of the ALICE Collaboration

**HICforFAIR Workshop:
Heavy-Flavor Physics with CBM
FIAS, Frankfurt, Germany
May 26-28, 2014**

QCD matter

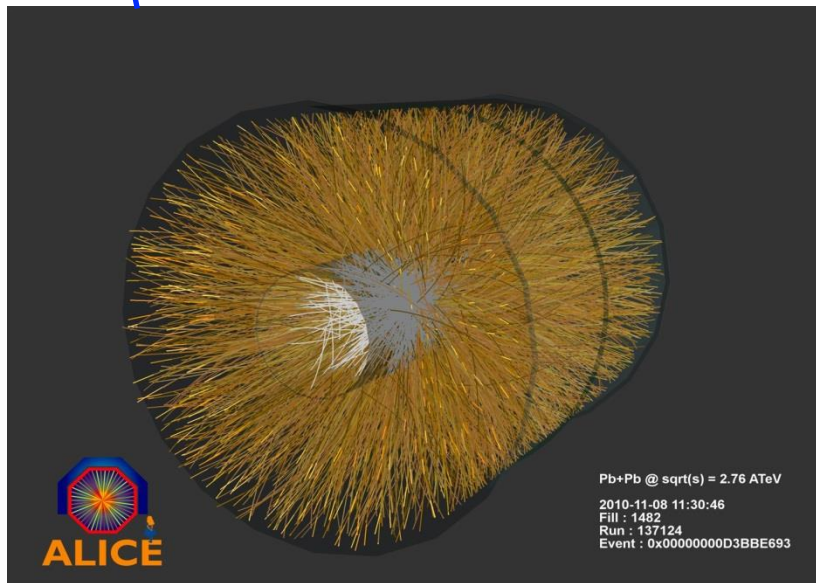


ALICE



- phase diagram of strongly interacting matter
- deconfined QCD matter at high temperature T and/or baryochemical potential μ_B

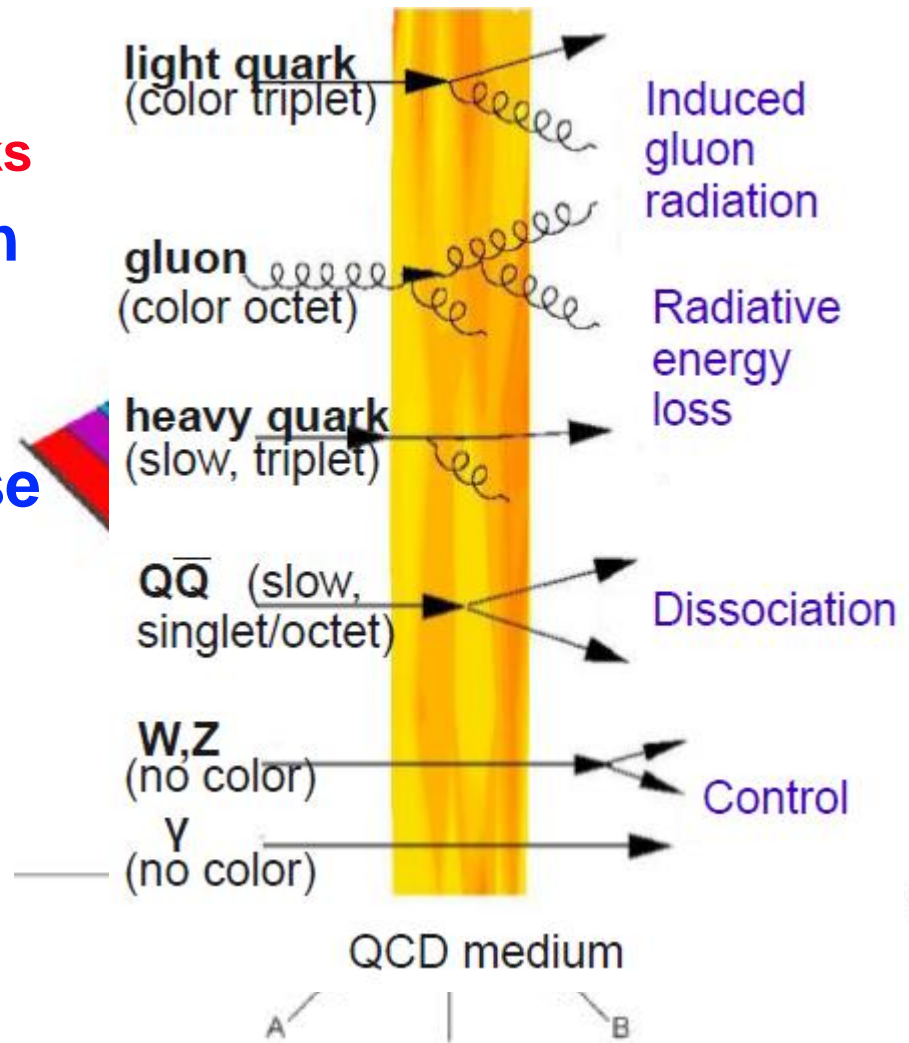
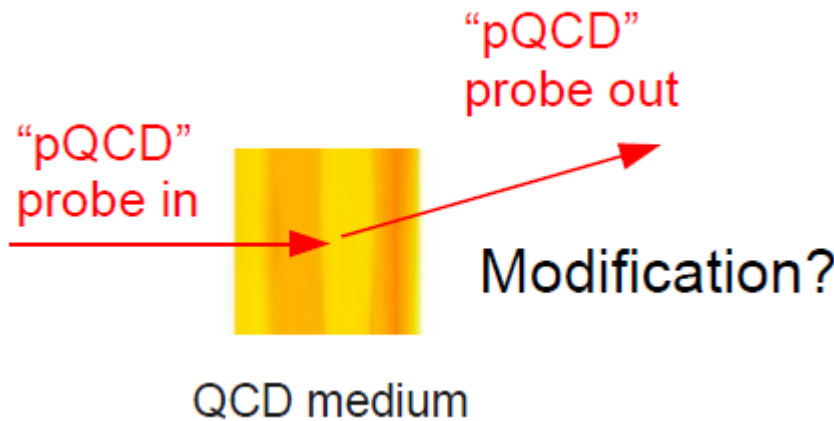
- ALICE is the experiment dedicated to the study of the quark-gluon plasma produced with high T and low μ_B in Pb-Pb collisions at the Large Hadron Collider



Tomography of QCD matter

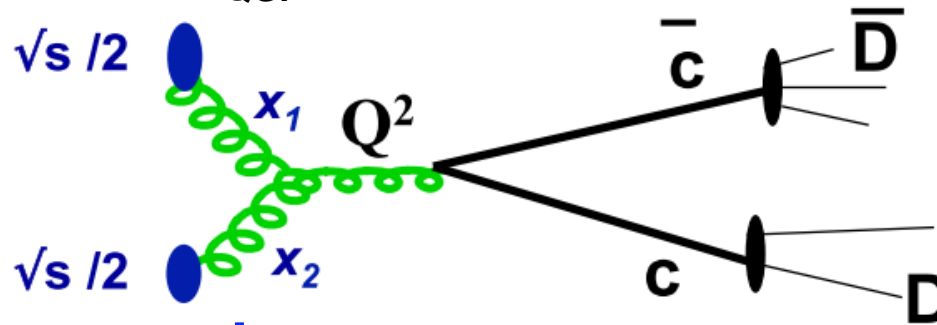


- hard (large Q^2) probes of QCD matter
 - jets, photons, W, Z, heavy quarks
- self generated in the collision at $t \sim 1/Q$ (or $t \sim 1/m$)
- “tomographic” probes of the hottest and densest phase of the collision



Role of heavy quarks

- **heavy quarks:** charm ($m_c \sim 1.5 \text{ GeV}$), beauty ($m_b \sim 5 \text{ GeV}$)
- $m_{c,b} \gg \Lambda_{\text{QCD}}$
→ heavy quarks = genuine hard probes, even at low p_T
(in contrast to jets)
- large mass → short formation time:
 $\tau^{\text{form}} \sim 1/2m \sim 0.1 \text{ fm} \ll \tau_{\text{QGP}} \sim 5\text{-}10 \text{ fm}$

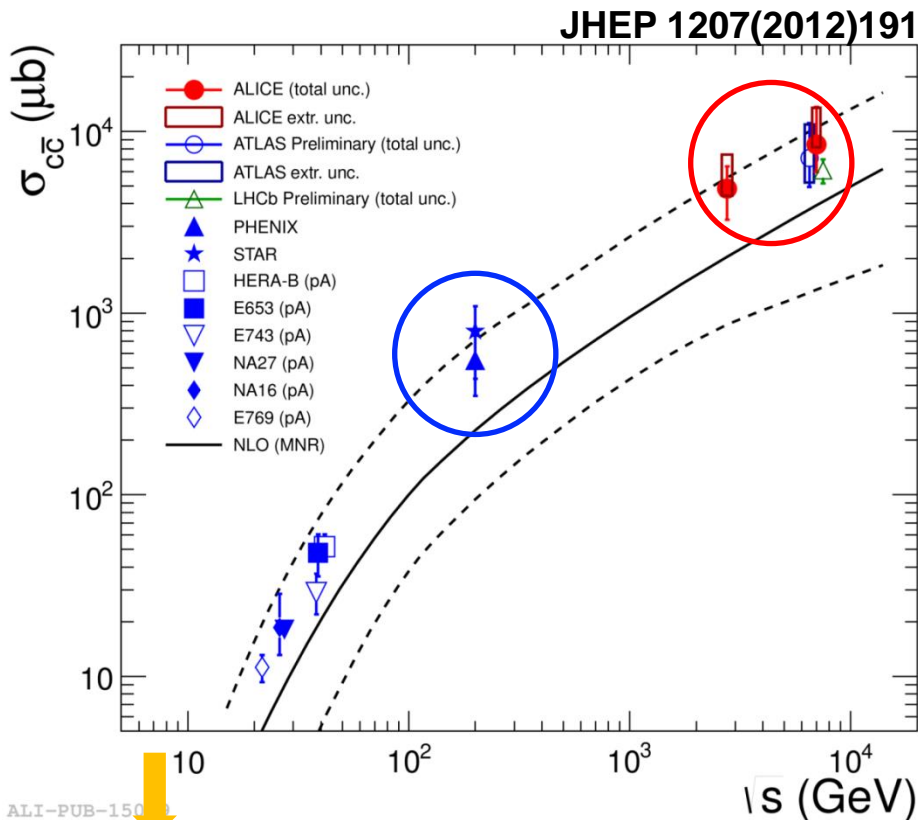


- **heavy quarks are unique**
 - interactions with produced QCD medium don't change the flavor but can modify the phase-space distribution of heavy quarks
 - thermal production rate in the QGP is „small“ (but maybe measurable → T)
→ destruction or creation in the medium is difficult
→ transported through the whole evolution of the system

The LHC: a heavy-quark factory



- charm quark-antiquark production cross section in pp collisions

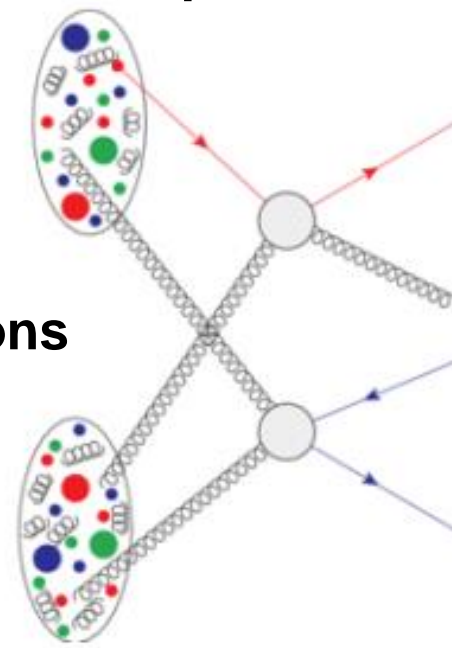


- measured charm cross sections compatible with Next-to-Leading-Order (NLO) perturbative QCD (pQCD) calculations
 - from RHIC to the LHC
 - $\sigma_{cc}(\text{LHC}) \sim 10 \times \sigma_{cc}(\text{RHIC})$
 - $\sigma_{bb}(\text{LHC}) \sim 50 \times \sigma_{bb}(\text{RHIC})$
 - central Pb-Pb collisions at the LHC
 - ~ 100 $c\bar{c}$ pairs
 - ~ 10 $b\bar{b}$ pairs
- charm (and beauty) are NOT rare probes at the LHC and at RHIC, but they are at FAIR!

$\sigma_{cc}(\text{FAIR}) \sim 10^{-1} \mu\text{b}$

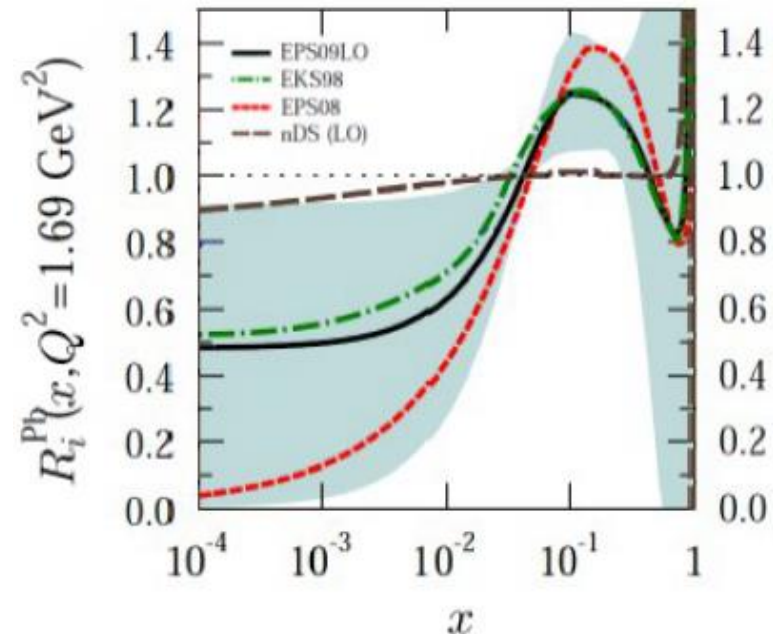
- **test understanding of heavy-quark production**

- **what are the relevant production mechanisms on the parton level**
 - LO contributions:
gluon fusion, quark-antiquark annihilation
 - NLO contributions:
gluon splitting, flavor excitation
 - or even more complex,
e.g. Multi Parton Interactions (MPI)
- **testing ground for perturbative QCD calculations**
 - theoretical uncertainties are driven by
 - renormalization and factorization scales
 - quark masses
- **investigate production mechanisms via more differential measurements**
 - multiplicity dependence of heavy-flavor cross sections
 - D meson – hadron correlation measurements
- **reference for p-Pb and Pb-Pb collisions**



- quantify cold nuclear matter effects

- nuclear modification of Parton Distribution Functions
 - shadowing: K.J. Eskola et al., JHEP 0904(2009)65
 - gluon saturation, Color Glass Condensate: H. Fuji & K. Watanabe, NPA 915(2013)1
- k_T broadening
- energy loss in cold nuclear matter: I. Vitev et al., PRC 75(2007)064906
- multiple binary collisions: A.M. Glenn et al., PLB 644(2007)119
- reference for Pb-Pb collisions



- study the interaction of heavy quarks with the medium

- parton energy loss via radiative and collisional processes

- depends on
 - color charge
 - quark mass
 - path length in the medium
 - medium density

→ expect: $\Delta E_g > \Delta E_{u,d,s} > \Delta E_c > \Delta E_b$

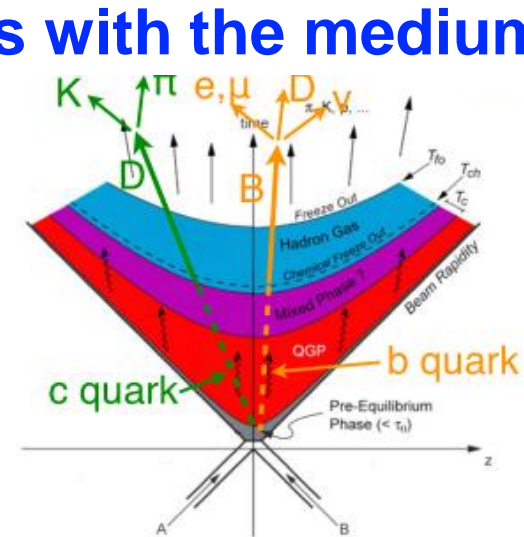
- might translate into a hierarchy of nuclear modification factors (see caveats later):

$$R_{AA}^\pi, R_{AA}^D, R_{AA}^B$$

$$R_{AA} = \frac{dN_{AA} / dp_T}{\langle N_{coll} \rangle \times dN_{pp} / dp_T} = \frac{dN_{AA} / dp_T}{\langle T_{AA} \rangle \times d\sigma_{pp} / dp_T}$$

- collectivity in the QGP

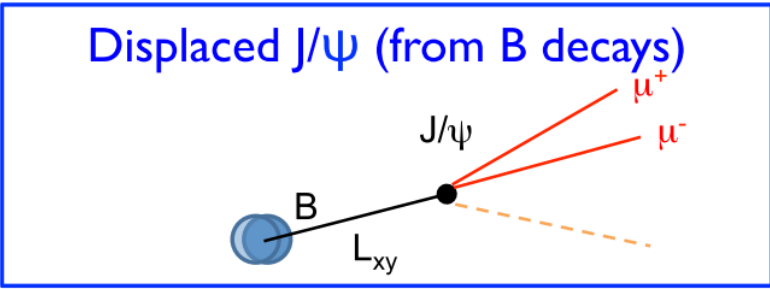
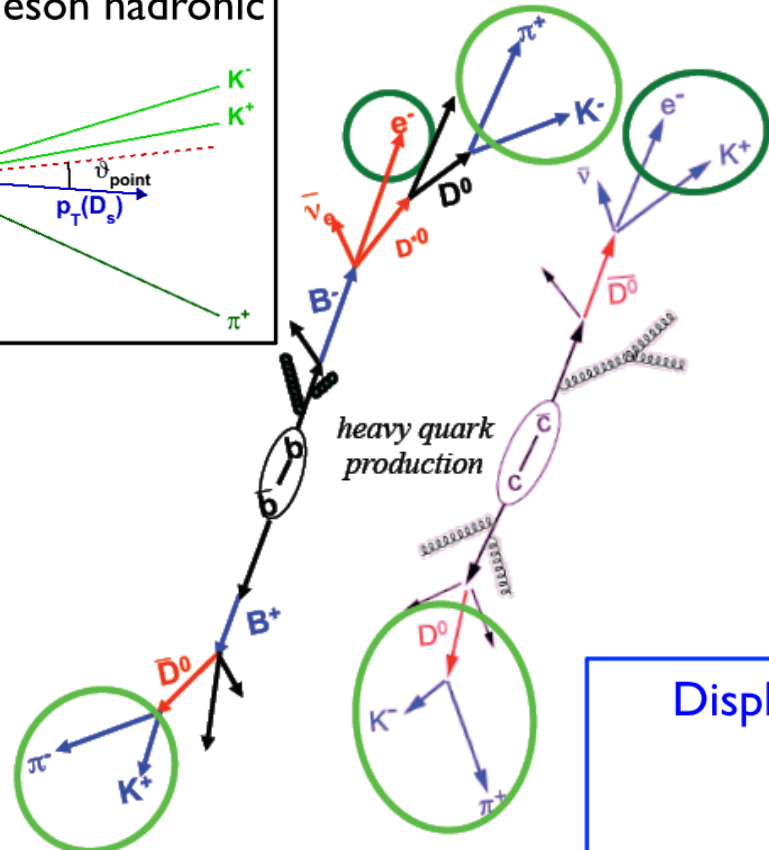
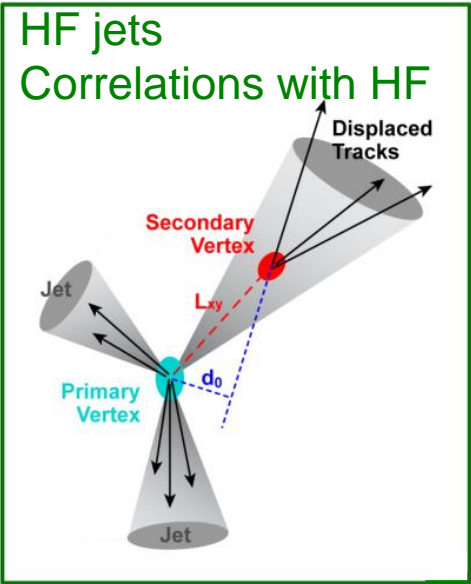
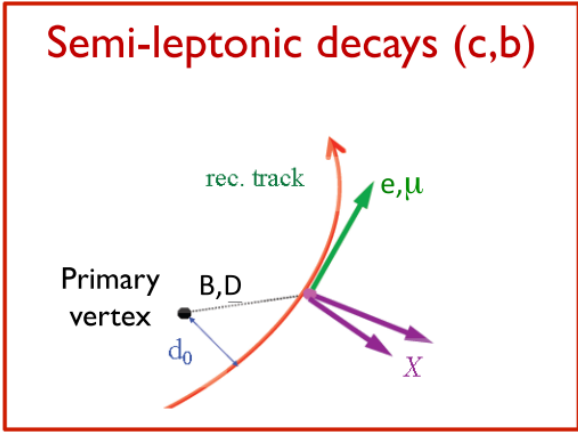
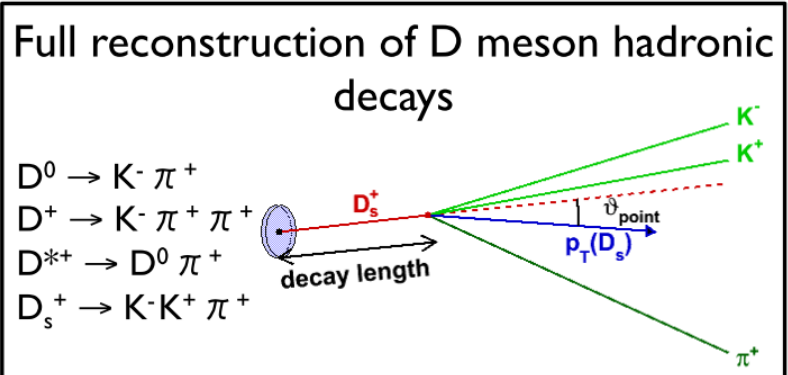
- in general: initial spatial asymmetry
→ azimuthal asymmetry of particle emission in momentum space
- heavy quarks participate in collectivity of the medium in case of sufficient re-scattering → approach to thermalization
- high p_T : path length dependence of energy loss introduces azimuthal asymmetry as well



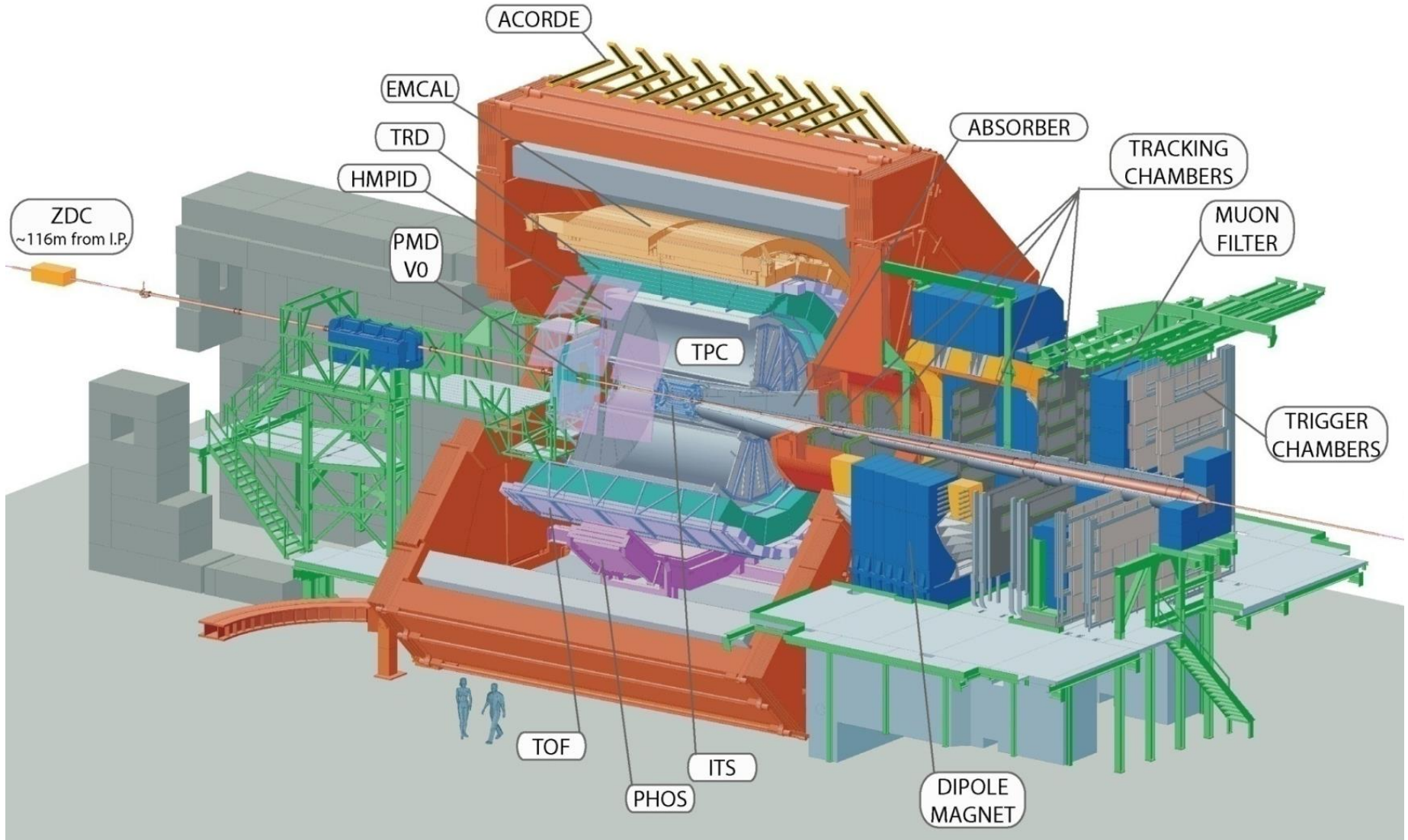
How to measure open heavy flavor?

ALICE

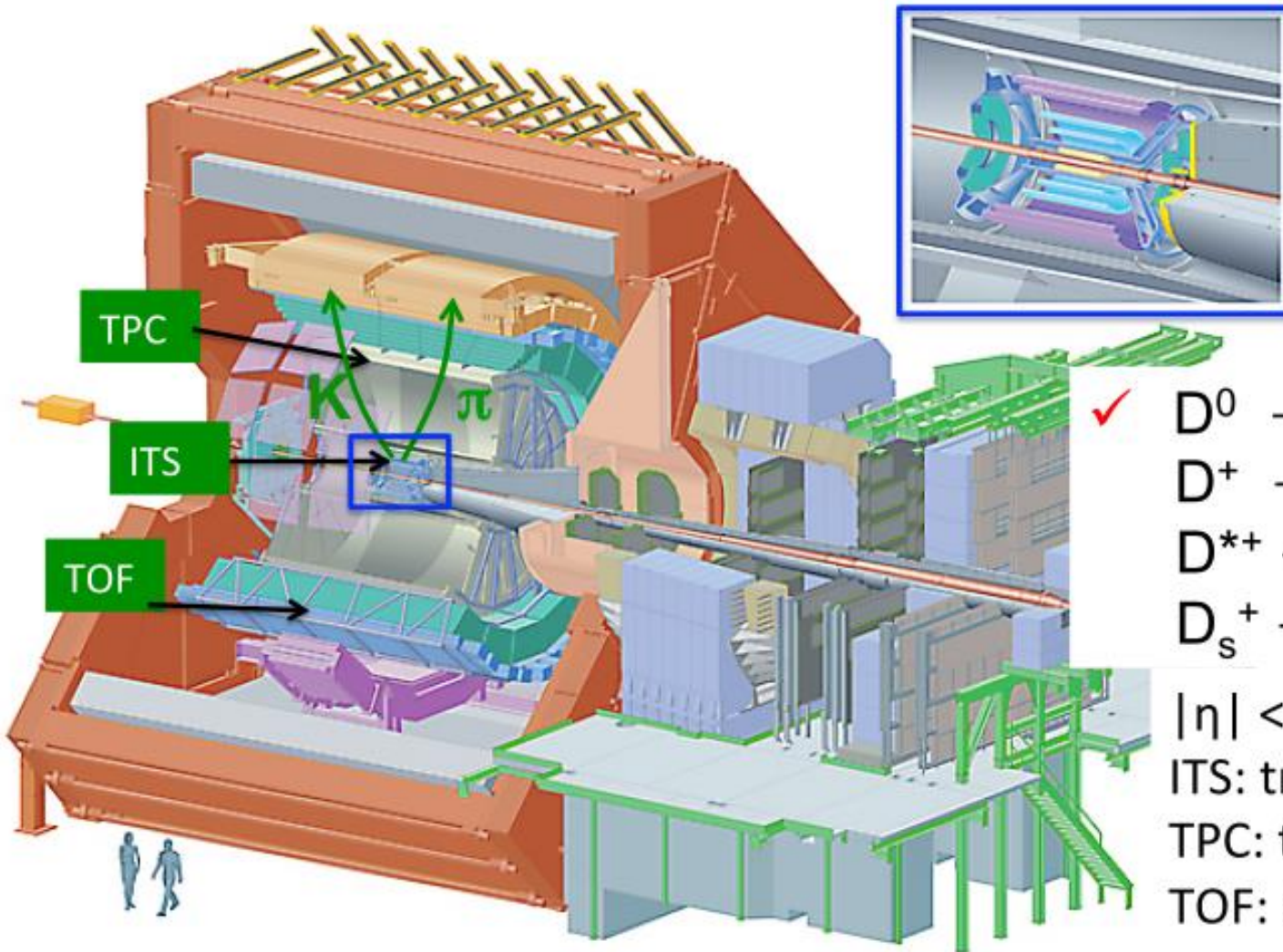
- heavy-flavor hadrons decay via weak interaction:
lifetimes $c\tau \sim \text{few } 100 \mu\text{m} \rightarrow \text{measure decay products}$



A Large Ion Collider Experiment



ALICE: D-meson measurement



- ✓ $D^0 \rightarrow K^- \pi^+$
- $D^+ \rightarrow K^- \pi^+ \pi^+$
- $D^{*+} \rightarrow D^0 \pi^+$
- $D_s^+ \rightarrow \phi \pi^+ \rightarrow K^- K^+ \pi^+$

$|\eta| < 0.9$

ITS: tracking, vertexing

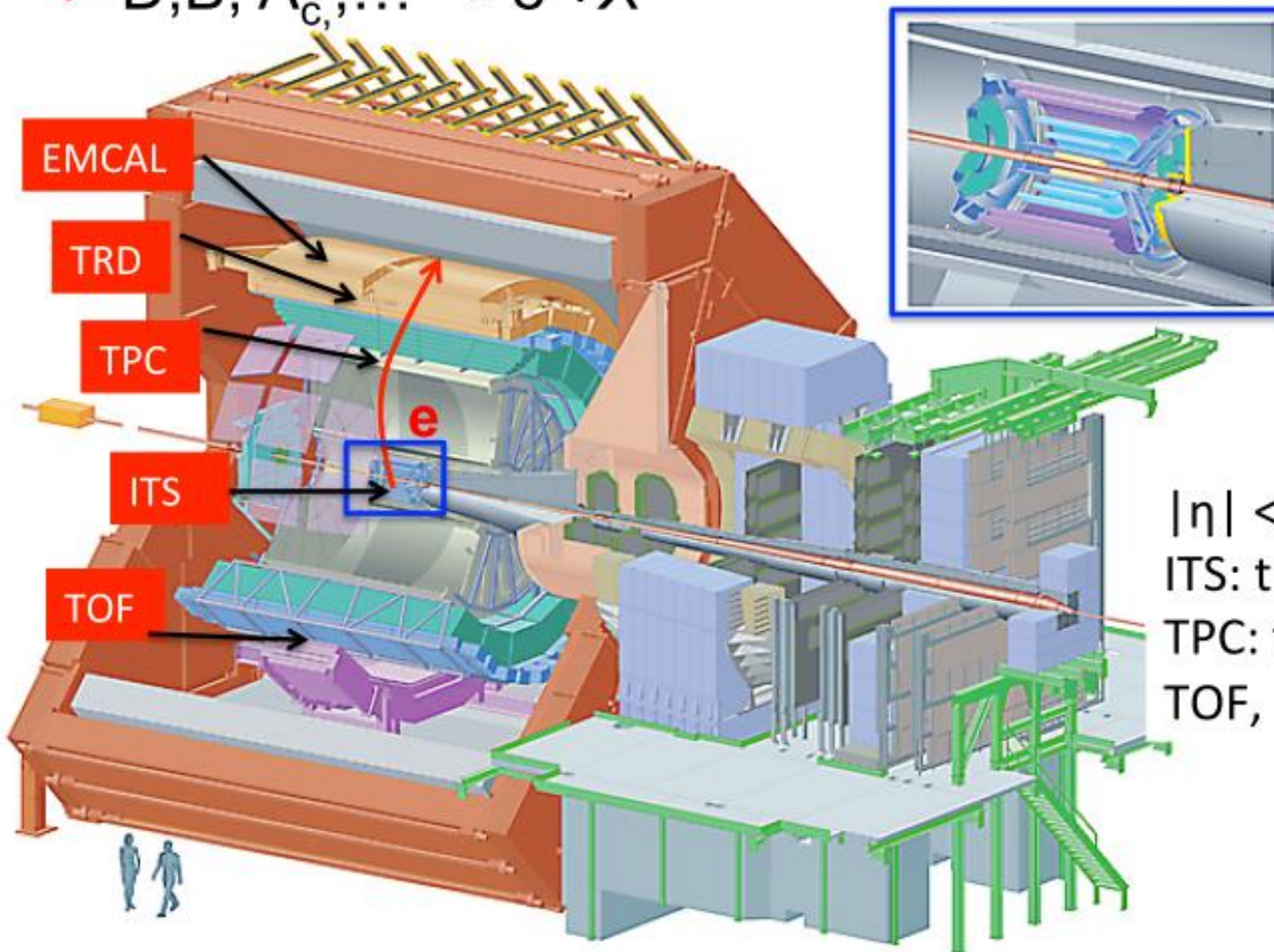
TPC: tracking, PID

TOF: K-ID

ALICE: electron measurement



✓ $D, B, \Lambda_c, \dots \rightarrow e + X$



$|\eta| < 0.9$
ITS: tracking, vertexing
TPC: tracking, PID
TOF, EMCAL, TRD: e-ID

ALICE: muon measurement



VZERO scintillators detector:
trigger, centrality determination*

Absorber

✓ $D, B, \Lambda_c, \dots \rightarrow \mu + X$

Tracking Chambers

Muon spectrometer:
 μ -ID via tracks
matched with and
trigger system
 $-4 < \eta < -2.5$

Dipole
Magnet

Trigger Chambers

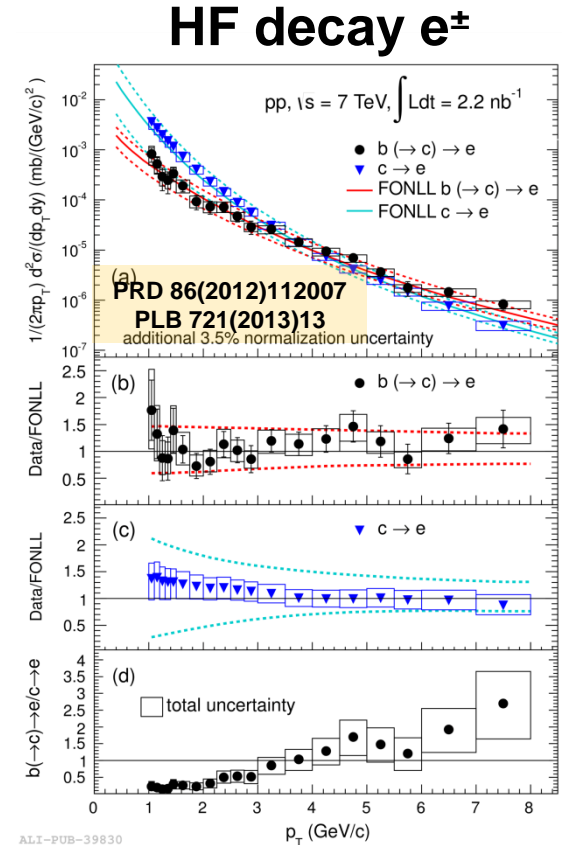
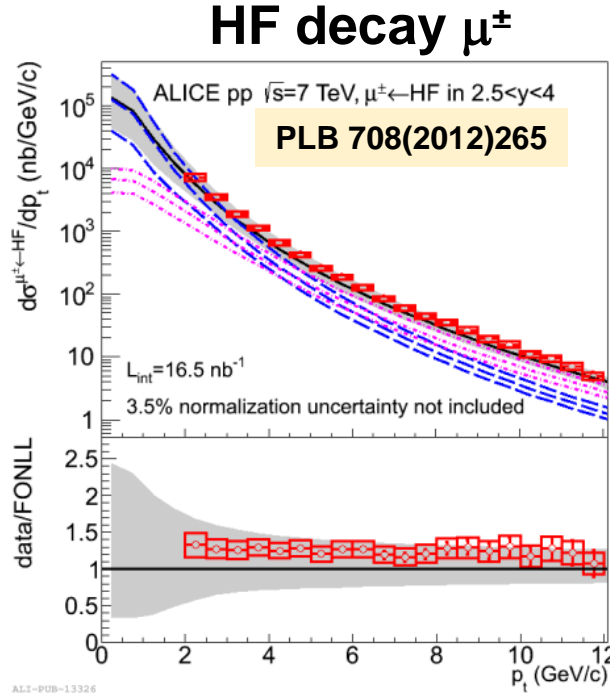
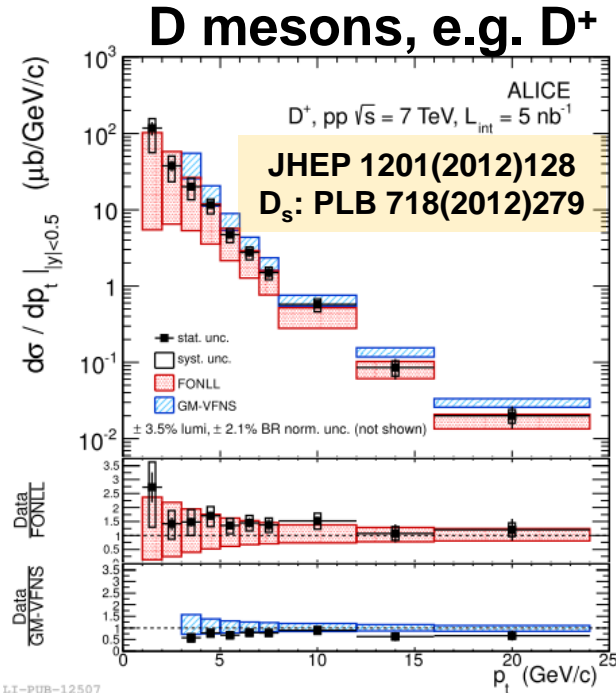
* common for all analyses

The pp reference

pp collisions at $\sqrt{s} = 7$ TeV



• p_T -differential cross sections in all channels



• pQCD calculations in reasonable agreement with all cross sections

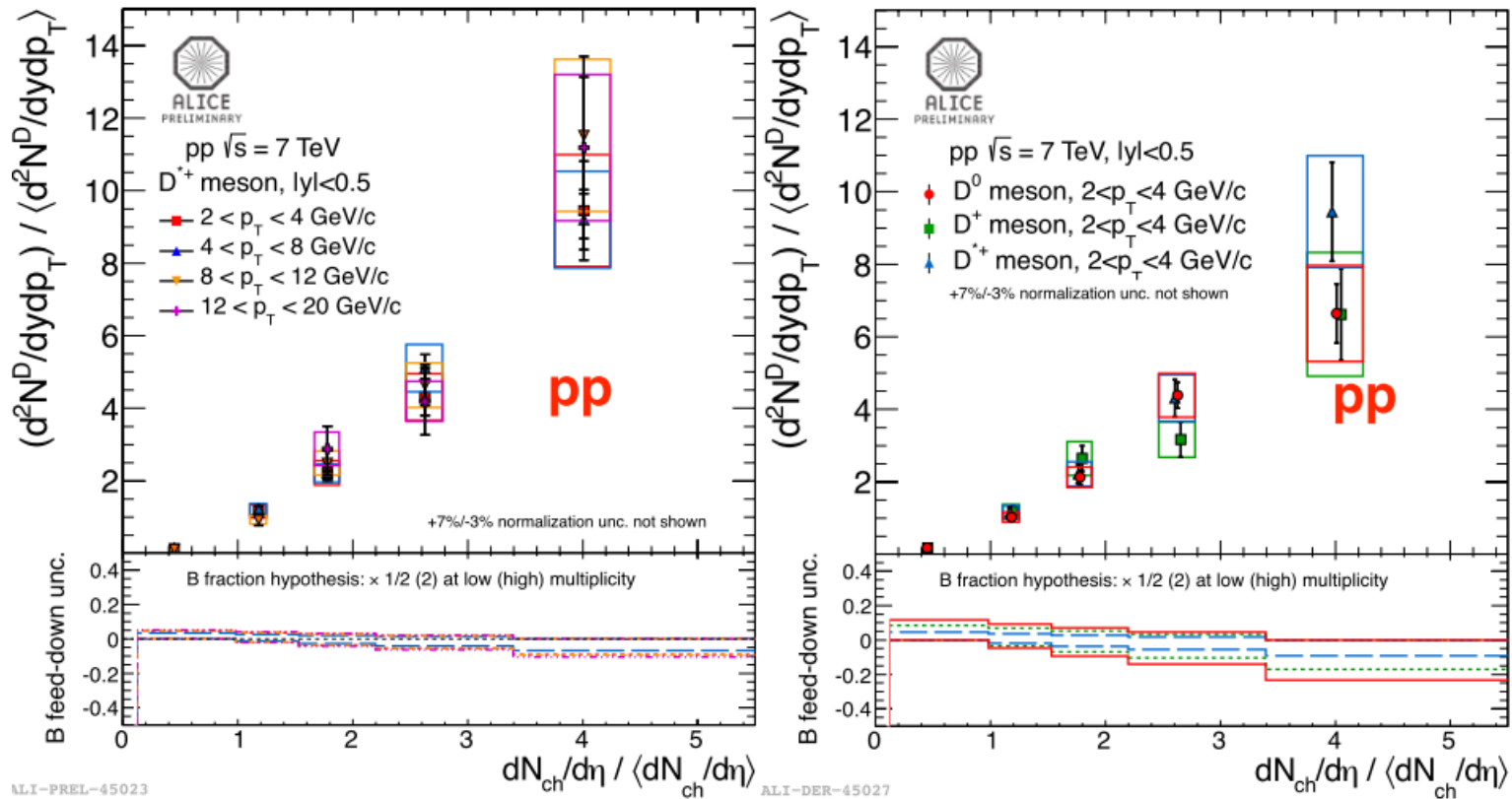
- FONLL: JHEP 1210(2012)37
- GM-VFNS: EPJ C72(2012)2082
- k_T factorization: arXiv:1301.3033

• similar situation at $\sqrt{s} = 2.76$ TeV

- extended to higher p_T via measurement of secondary vertex and via e-h correlations

Multiplicity dependence

- self-normalized D-meson yields vs. charged particle multiplicity in pp collisions at $\sqrt{s} = 7$ TeV



→ yields of all D-meson species increase with multiplicity without a significant p_T dependence

- indication for MPI on a hard scale?

D – hadron correlations



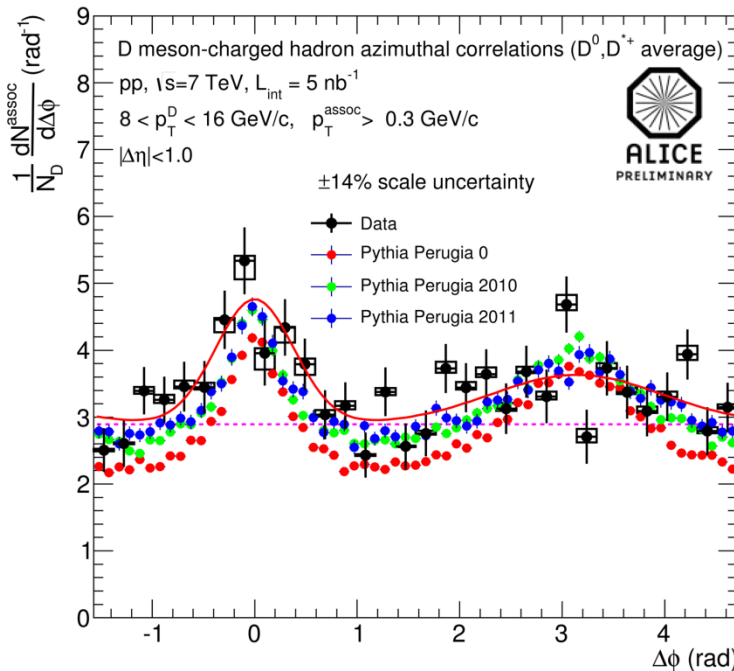
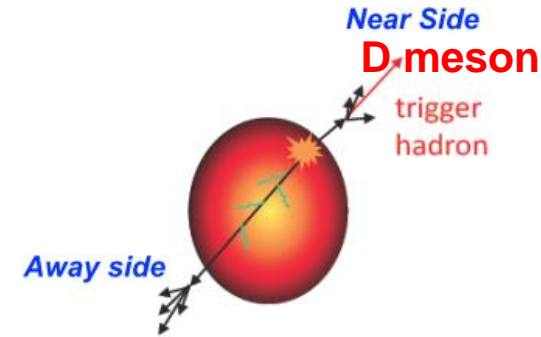
ALICE

- goals

- study charm production mechanisms (pp, p-Pb)
- study possible modification of charm-jet properties and path-length dependence of energy loss (Pb-Pb)

- approach

- measurement of the associated hadron yields on the near and away sides in azimuth and pseudorapidity



$$\frac{1}{N_{trig}} \frac{d^2 N_{assoc}}{d\Delta\varphi d\Delta\eta} = \frac{S(\Delta\varphi, \Delta\eta)}{B(\Delta\varphi, \Delta\eta)}$$

- PYTHIA calculations agree with correlation measurement within large statistical and systematic uncertainties
- precision measurement expected from Run-II at the LHC

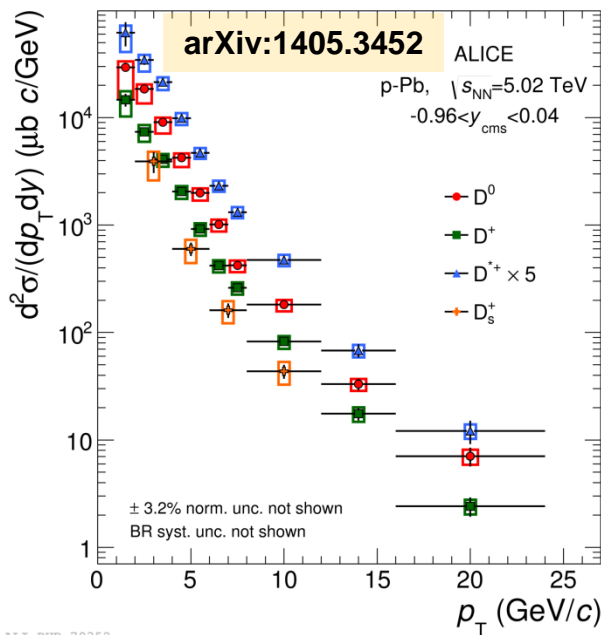
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Cold nuclear matter effects in p-Pb collisions

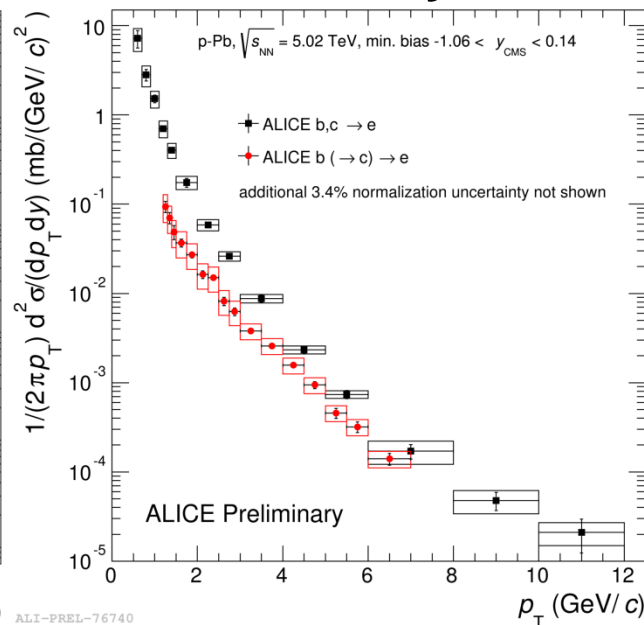
p_T -differential cross sections



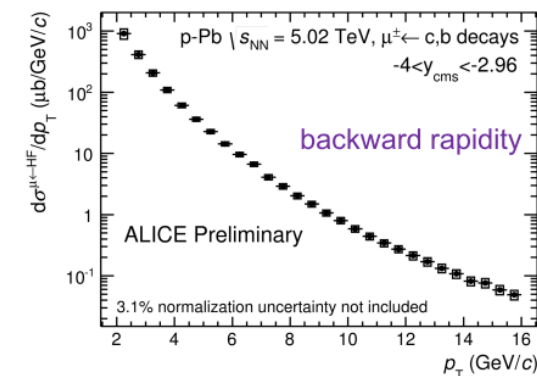
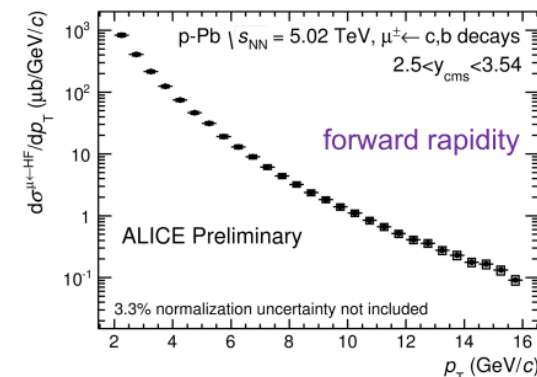
D mesons



HF decay e^\pm



HF decay μ^\pm



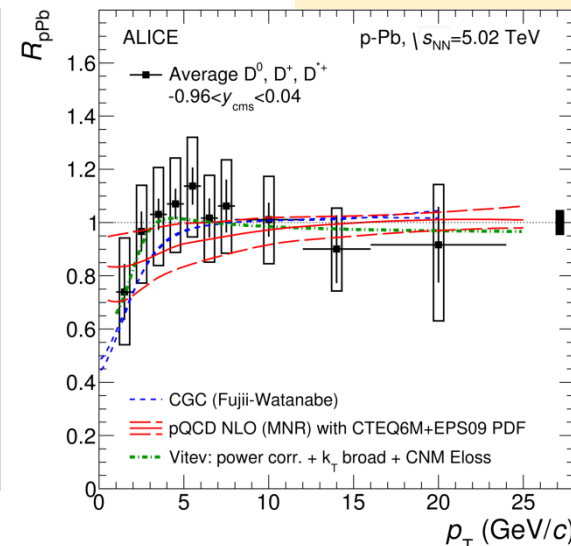
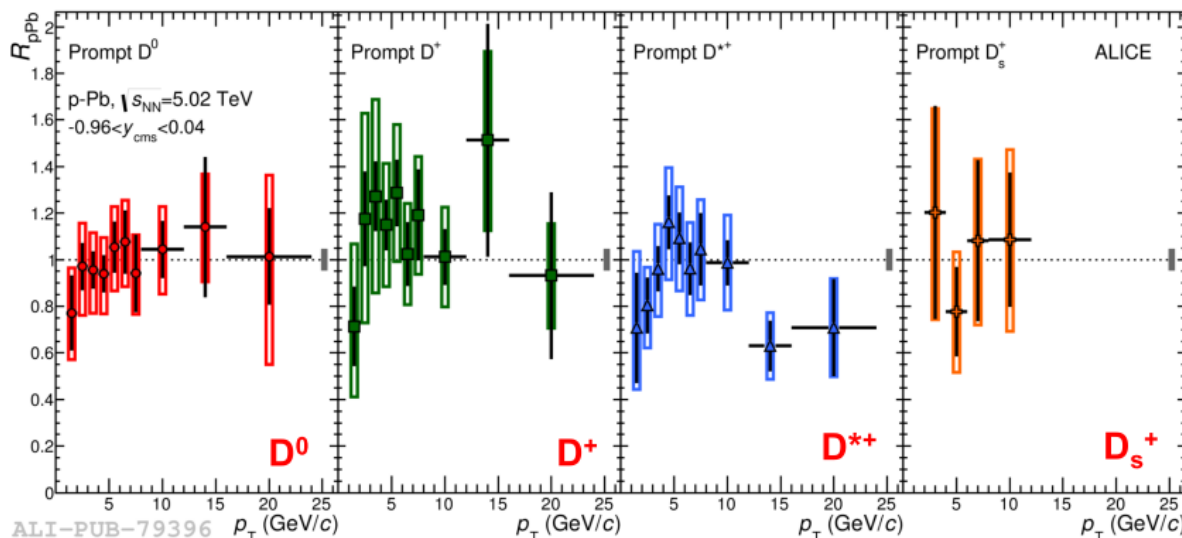
- heavy-flavor production in p-Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV: all channels are measured!

D mesons: p_T -differential R_{pPb}



$$R_{pA} = \frac{1}{\langle T_{pA} \rangle} \frac{dN_{pA}/dp_T}{d\sigma_{pp}/dp_T} = \frac{1}{A} \frac{d\sigma_{pA}/dp_T}{d\sigma_{pp}/dp_T}$$

arXiv:1405.3452



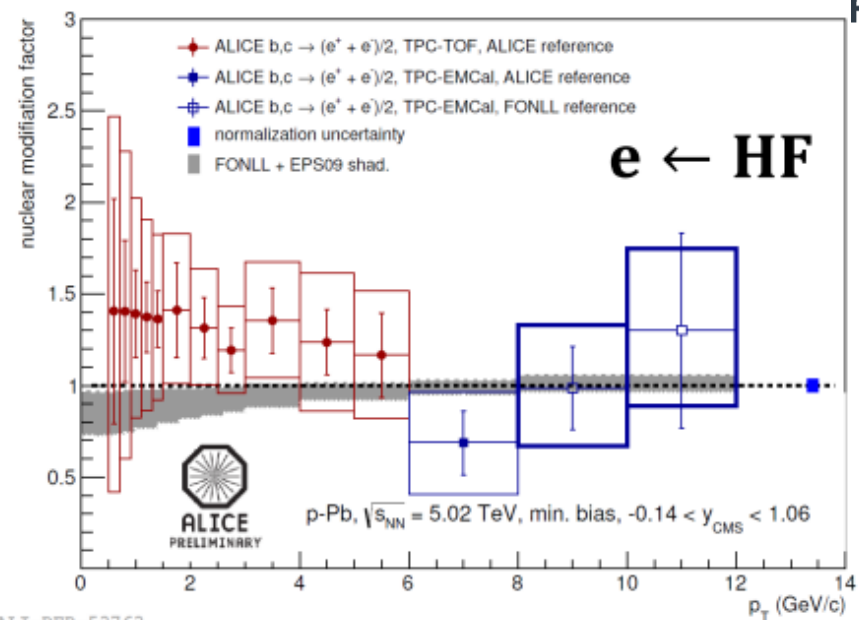
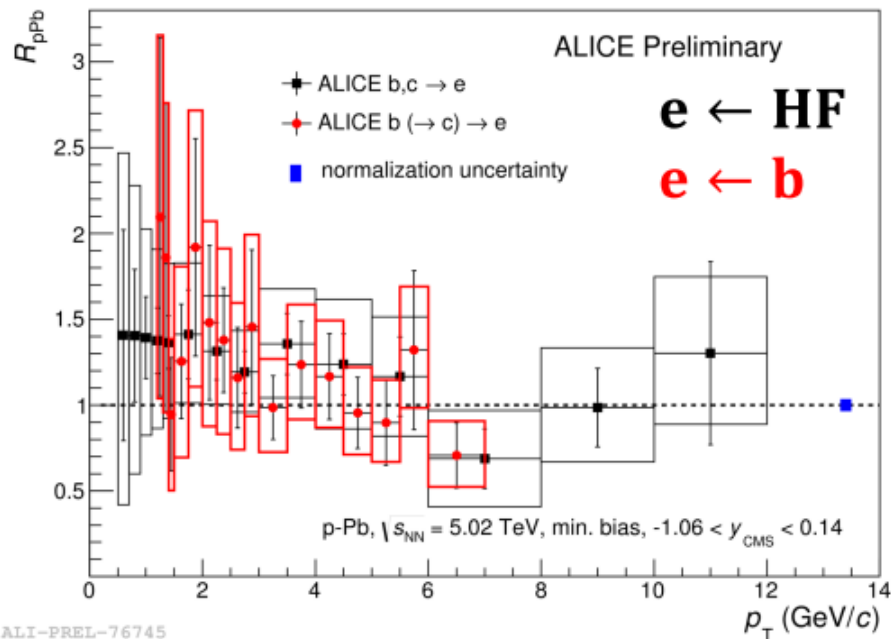
- R_{pPb} consistent with unity for all D-meson species
- D-meson R_{pPb} can be described by
 - Color Glass Condensate (CGC) calculations (arXiv:1308.1258)
 - MNR pQCD calculations (NPB 373(1992)295) with EPS09 nuclear PDF (JHEP 04(2009)065)
 - model including energy loss in cold nuclear matter, nuclear shadowing, and k_T broadening (PRC 75(2007)064906)

→ cold nuclear matter effects are small!

Electrons from heavy-flavor decays



ALICE



- R_{pPb} consistent with unity for electron from heavy-flavor hadron decays and from beauty-hadron decays
- electron R_{pPb} can be described by
 - FONLL pQCD calculations (JHEP 006(2001)0103) with EPS09 nuclear PDF (JHEP 04(2009)065)

→ cold nuclear matter effects are small!

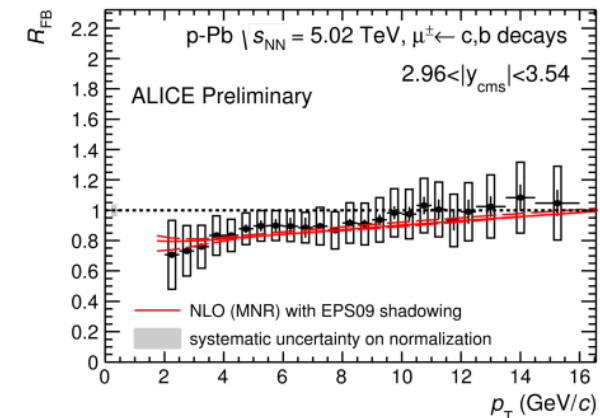
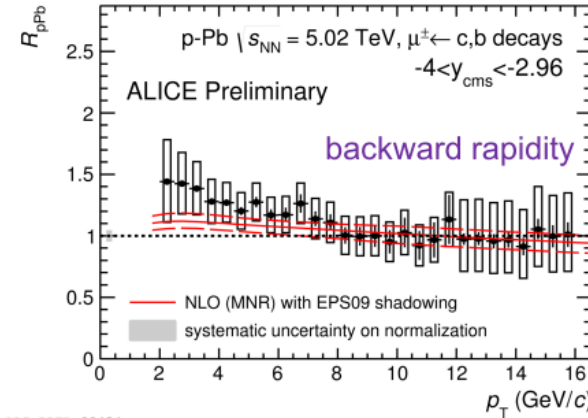
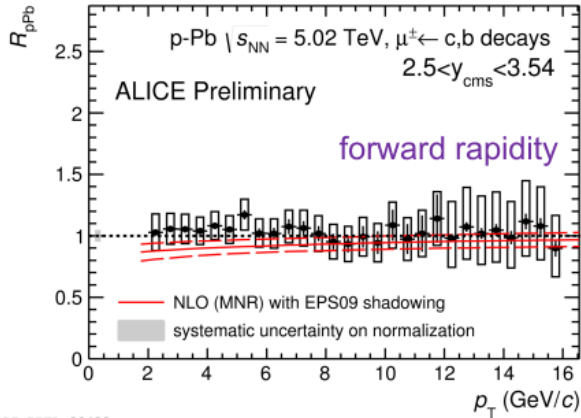
Muons from heavy-flavor decays



ALICE



forward/backward



- muon R_{pPb} consistent with unity at forward rapidity (p going direction)
- muon R_{pPb} slightly larger than unity in the range $2 < p_T < 4$ GeV/c at backward rapidity (Pb going direction)
- muon R_{pPb} and forward/backward ratio R_{FB} can be described by
 - MNR pQCD calculations (NPB 373(1992)295) with EPS09 nuclear PDF (JHEP 04(2009)065)

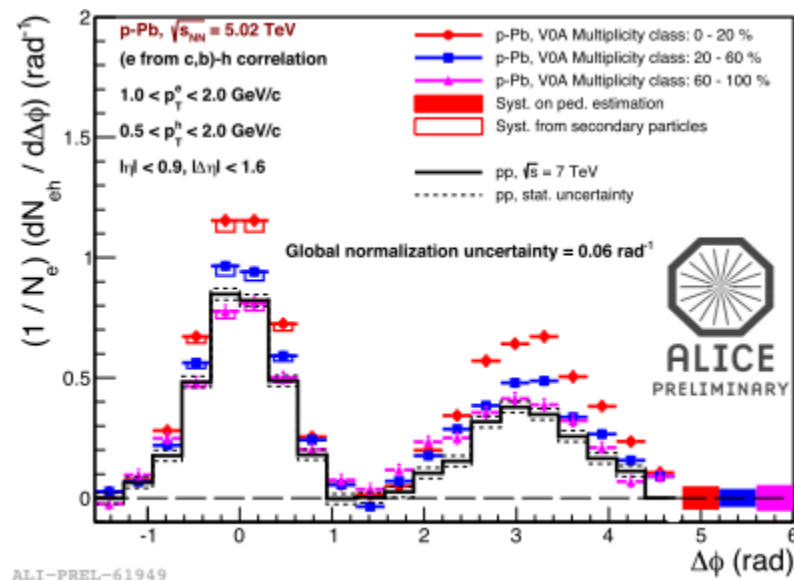
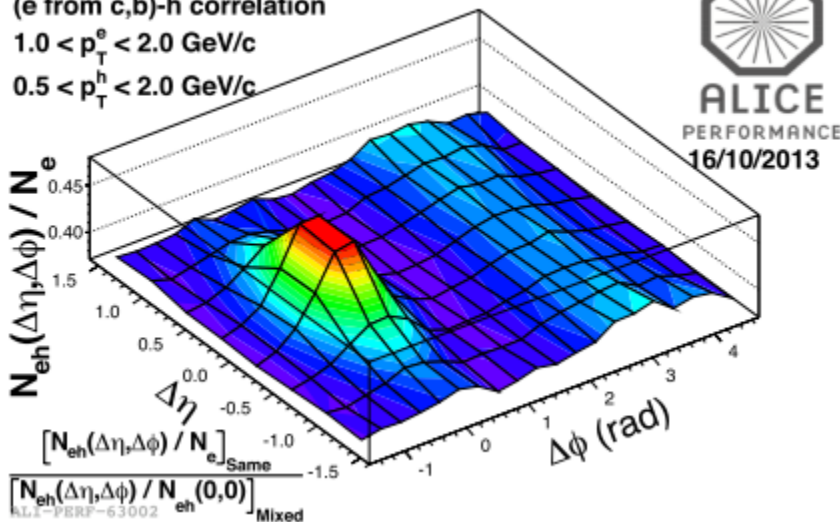
→ cold nuclear matter effects are small!

HF e-h correlations in p-Pb collisions



- study of the correlation function between
 - trigger particles (electrons from heavy-flavor hadron decays)
 - associated particles (charged hadrons)
- analysis performed in 3 p-Pb multiplicity classes

p-Pb, $\sqrt{s_{NN}} = 5.02$ TeV, 0-20% (VOA multiplicity class)
 (e from c,b)-h correlation
 $1.0 < p_T^e < 2.0$ GeV/c
 $0.5 < p_T^h < 2.0$ GeV/c



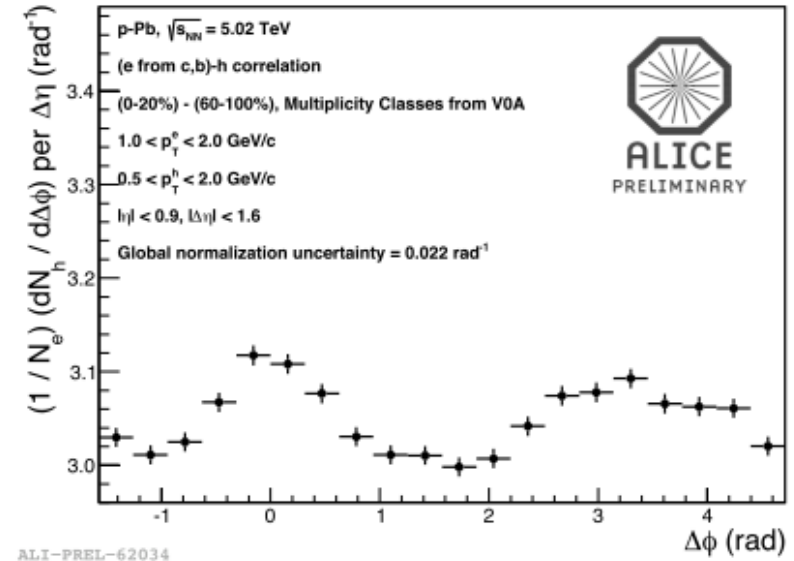
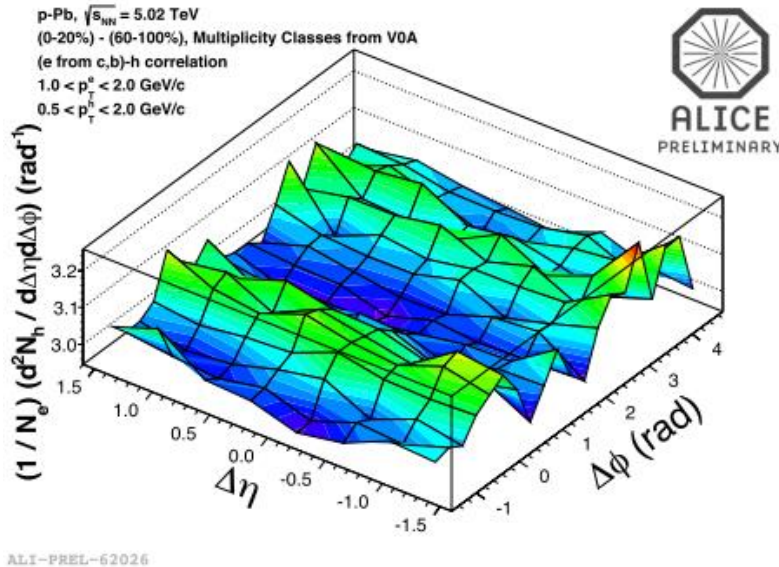
- low- p_T trigger particle: enhancement of the associated yields in the near- and away side peaks for the highest multiplicity collisions (no enhancement observed for intermediate- p_T trigger particles)

HF e-h correlations in p-Pb collisions



ALICE

- difference of correlation functions in high- and low-multiplicity p-Pb collisions to remove correlations due to jets



- double-ridge structure emerges
- also observed in hadron-hadron correlations in the light-quark sector
- description possible with models based on
 - CGC → related to initial conditions?
 - hydrodynamics → indication for collectivity in p-Pb collisions?

Hot QCD matter effects in Pb-Pb collisions

Nuclear modification factor R_{AA}



$$R_{AA}(p_T) = \frac{1}{\langle T_{AA} \rangle} \frac{dN_{AA}/dp_T}{d\sigma_{pp}/dp_T}$$

$R_{AA} = 1$: binary scaling

$R_{AA} \neq 1$: medium effect

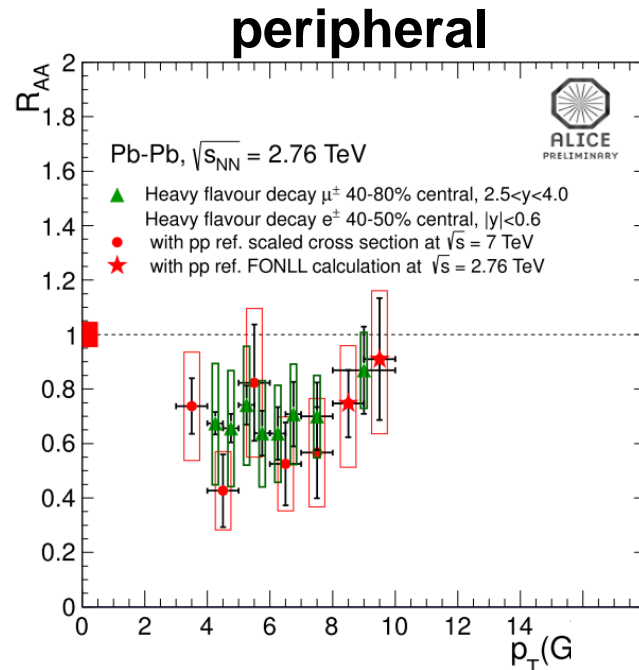
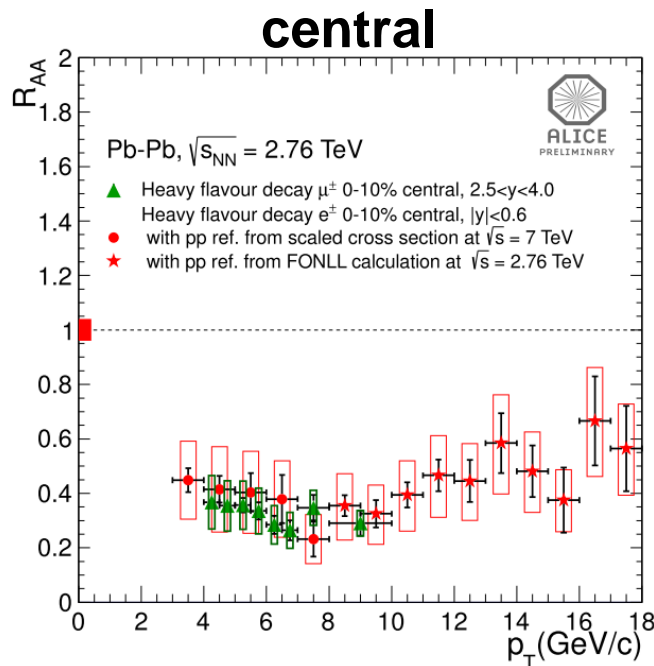
- in-medium energy loss leads to $R_{AA} < 1$
- QCD-based models with in-medium radiative or collisional energy loss (Dokshitzer, Kharzeev, PLB 519(2001)199; Armesto et al., PRD 69(2004)114003; Djordjevic et al., NPA 783(2007)493)
 - $\Delta E(g) > \Delta E(u,d,s) > \Delta E(c) > \Delta E(b)$
 - $R_{AA}(\text{light hadrons}) < R_{AA}(c) < R_{AA}(b)$, but with caveats:
 - different shapes of the p_T distributions in pp collisions
 - different fragmentation functions
 - role of soft particle production at low p_T

R_{AA} : leptons from HF decays



- R_{AA} in central and peripheral Pb-Pb collisions

- **electrons** at mid-rapidity
- **muons** at forward rapidity



→ indication for larger suppression in central collisions

→ R_{AA} for **electrons** and **muons** comparable

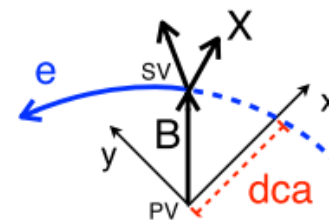
- $R_{pPb} \sim 1$

→ suppression in Pb-Pb due to hot medium effects!

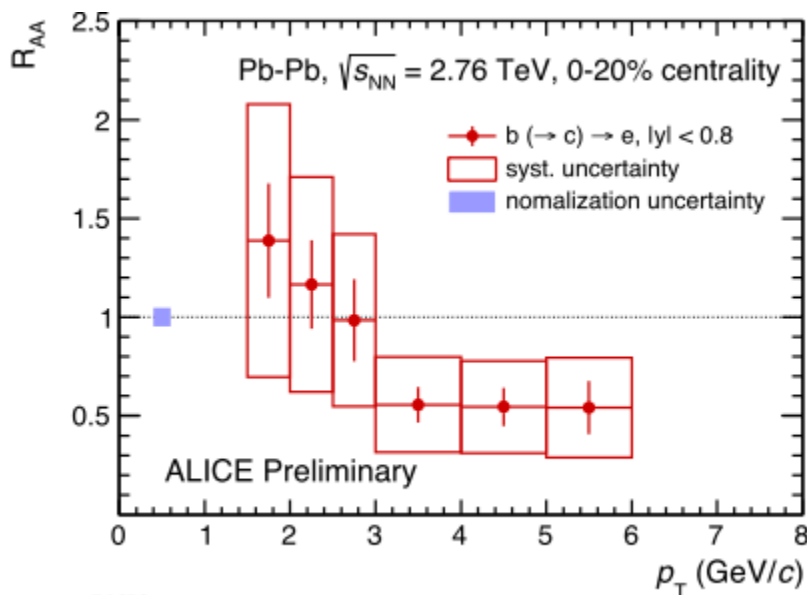
R_{AA} : electrons from beauty decays



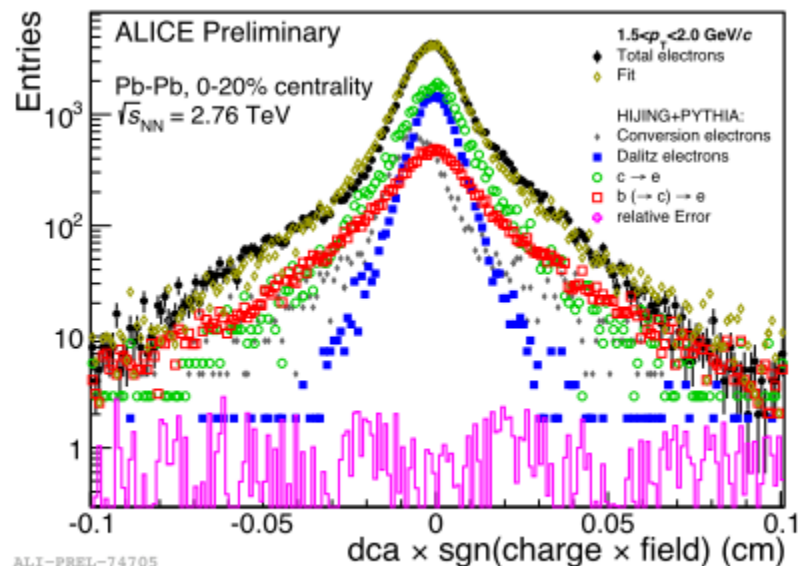
- R_{AA} of electrons from beauty decays in 0-20% central Pb-Pb collisions



- analysis based on the measured electron impact parameter distribution
- first measurement indicates $R_{AA} < 1$ for $p_T > 3$ GeV/c



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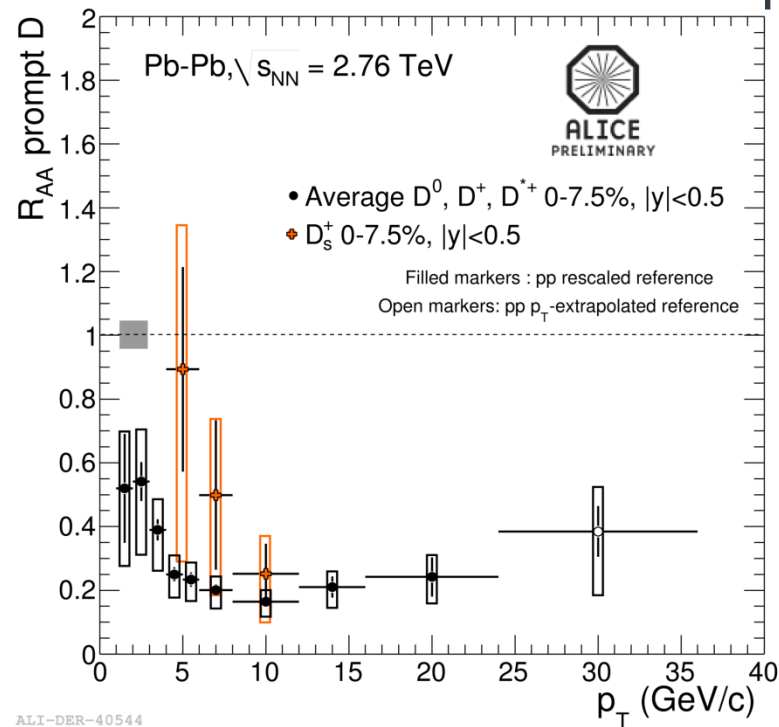
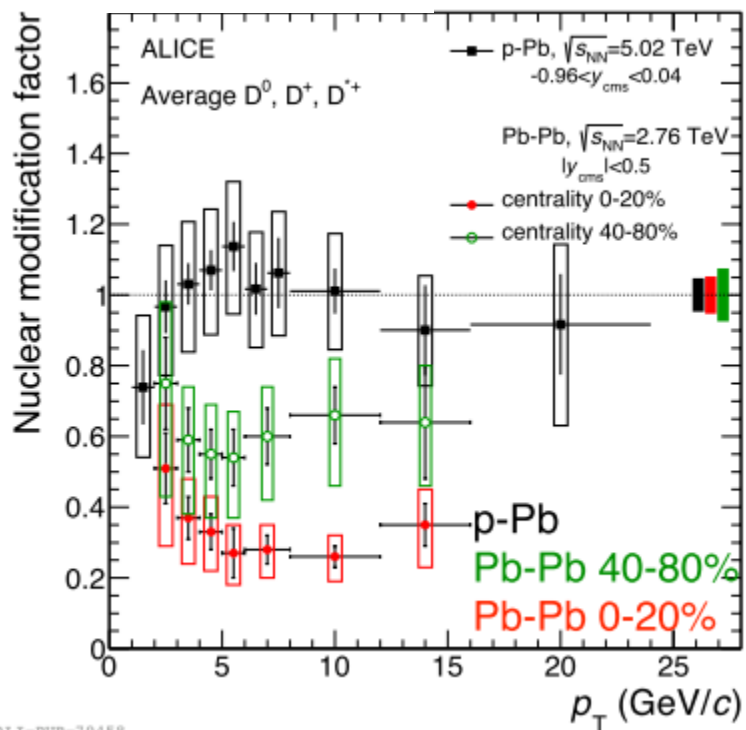


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- $R_{pPb} \sim 1$

→ suppression in Pb-Pb due to hot medium effects!

D-meson R_{AA} and R_{pPb} vs. p_T

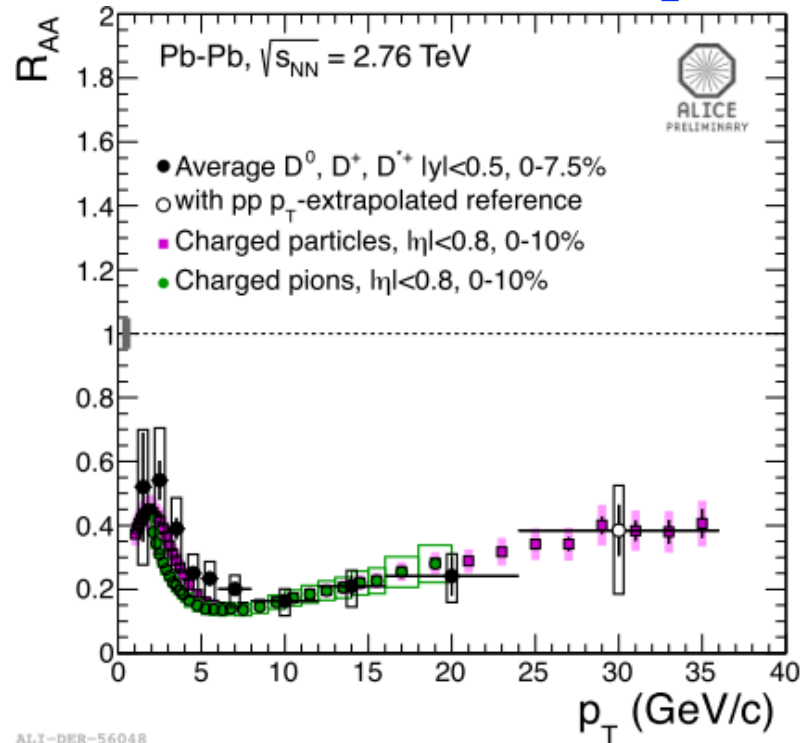


- observed suppression in central Pb-Pb collisions is due to the strong interaction of charm quarks with the hot partonic medium

- D_s^+ is suppressed as other D mesons for $8 < p_T < 12$ GeV/c
- more statistics needed at low p_T where enhancement of D_s^+/D due to recombination is predicted:

Kuznetsova, Rafelski EPJ C 51 (2007) 113
 He et al. PRL 110 (2013) 112301
 Andronic et al. PLB 659 (2008) 149

Comparison with pion R_{AA}

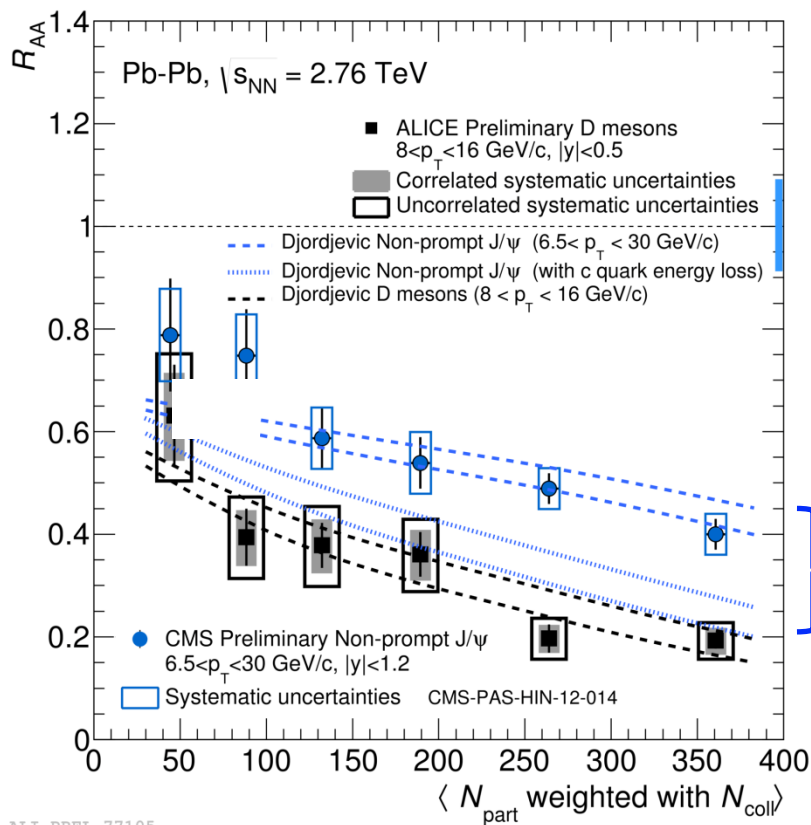


- D-meson and pion R_{AA} as a function of p_T are compatible with each other in agreement with models considering
 - energy loss hierarchy: $\Delta E_g > \Delta E_{u,d,s} > \Delta E_c > \Delta E_b$
 - different shapes of the p_T distributions
 - different fragmentation functions

Djordjevic, arXiv:1307.4098

Wicks, Horowitz, Djordjevic Nucl. Phys. A 872 (2011) 265

Comparison with beauty R_{AA}



- similar $\langle p_T \rangle$ for D and B mesons (~ 10 GeV/c) but slightly different rapidity range
- indication for $R_{AA}(D) < R_{AA}(B)$ in central Pb-Pb collisions

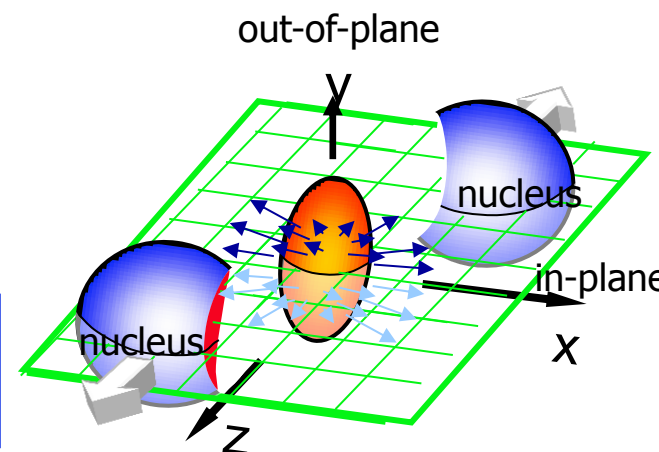
consequence of mass difference in pQCD based model calculation (Djordjevic, arXiv:1307.4098)

- pQCD model including mass-dependent energy loss predicts a differences between the R_{AA} of D mesons and non-prompt J/ψ similar to the observation
- similar for other calculations (BAMPS, WHDG, Vitev et al.)

Azimuthal anisotropy

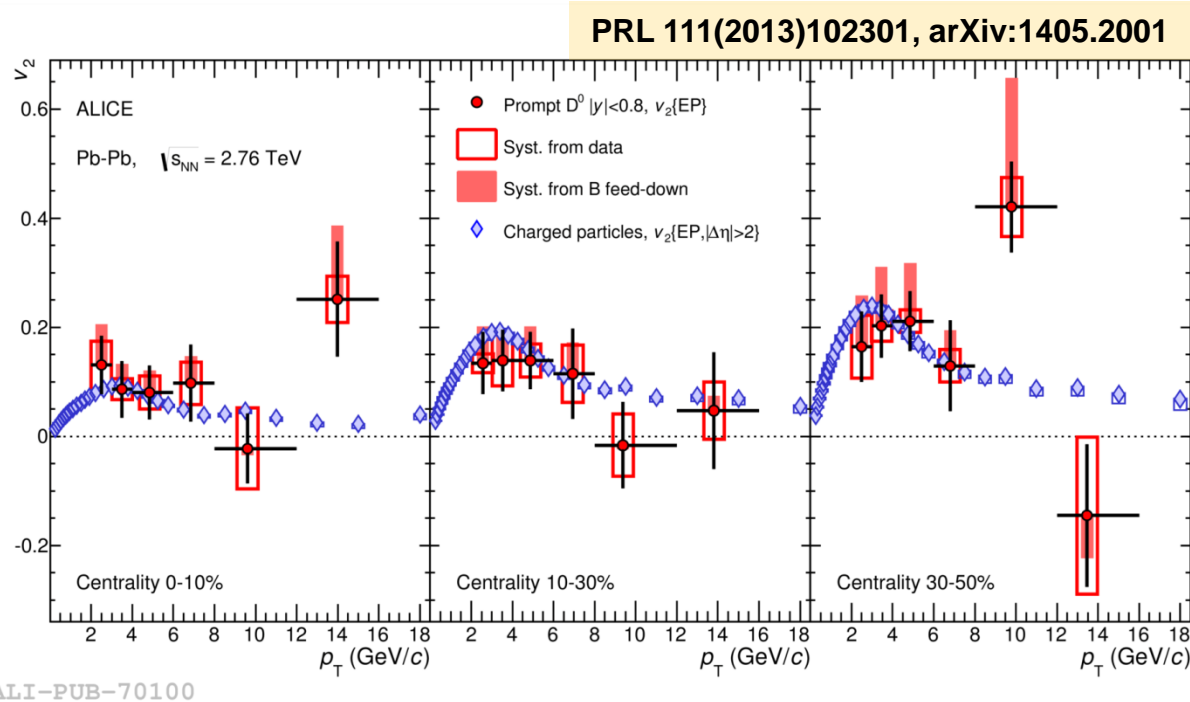
- initial spatial anisotropy
→ anisotropy of particle emission in momentum space
- quantified via a Fourier expansion in azimuthal angle with respect to the reaction/symmetry planes

$$\frac{dN}{d\varphi} = \frac{N_0}{2\pi} (1 + 2v_1 \cos(\varphi - \Psi_1) + 2v_2 \cos(\varphi - \Psi_2) + \dots)$$



- various methods are available to evaluate v_2
 - event plane
 - 2-particle cumulants (QC, SP methods)
 - 4-particle cumulants (applied to muon measurement only)
- ... not discussed in any detail

D-meson elliptic flow

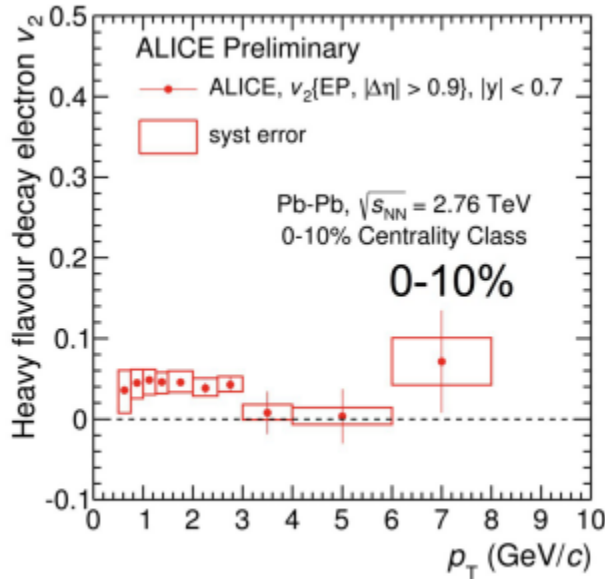


- positive D-meson v_2 similar to charged-particle v_2
 - hint for increasing flow with decreasing centrality
 - confirmation of significant interaction of charm quarks with the medium
- collective motion of low- p_T charm quarks with the medium

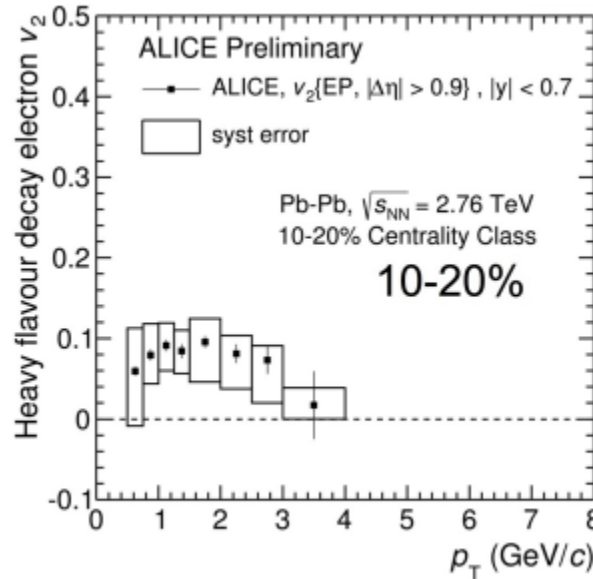
Elliptic flow of leptons from HF decays



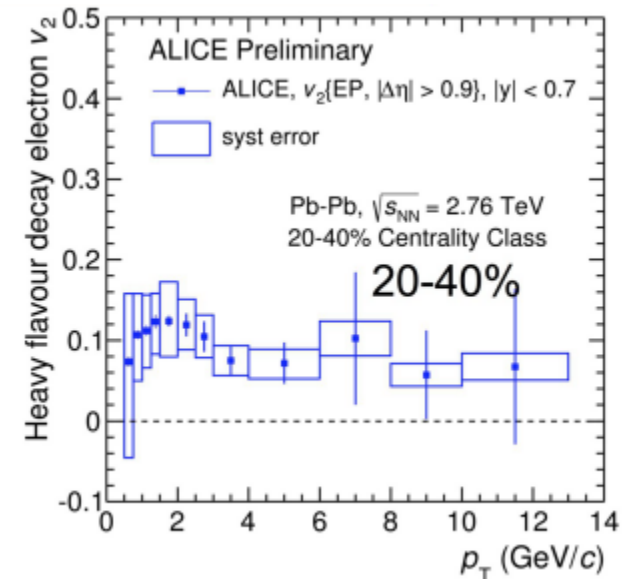
ALICE



ALI-PREL-77413



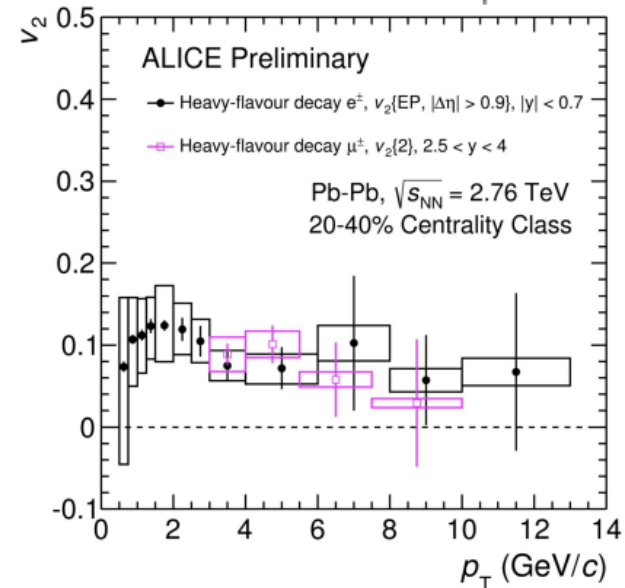
ALI-PREL-77418



ALI-PREL-77628

- electrons and muons from heavy-flavor hadron decays exhibit positive v_2 with similar centrality dependence as observed for D mesons

→ collective motion of low- p_T charm quarks with the medium



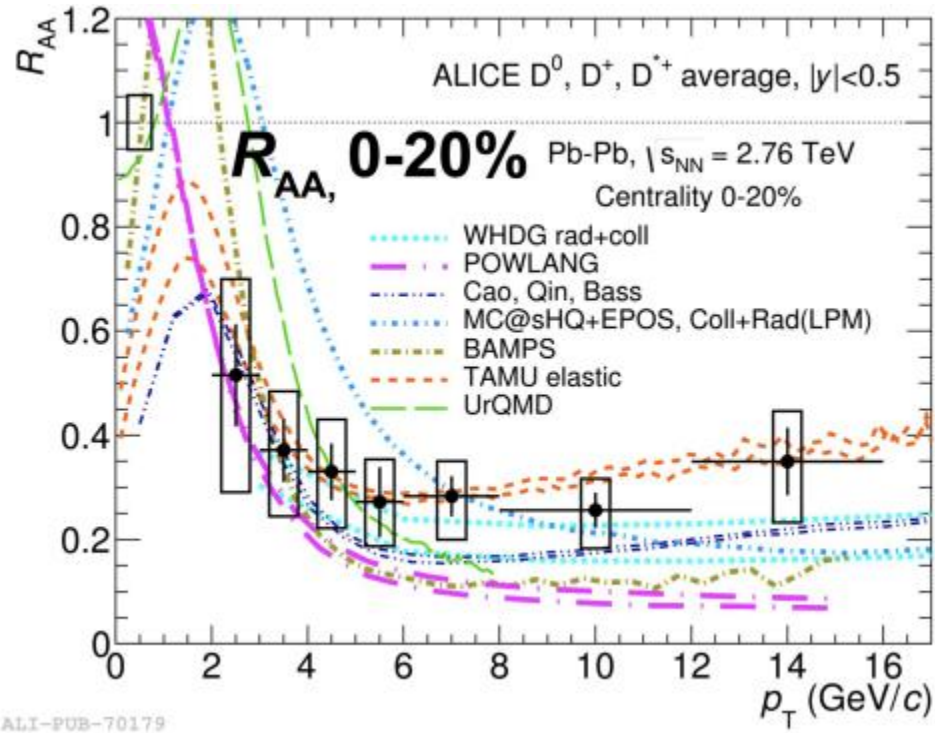
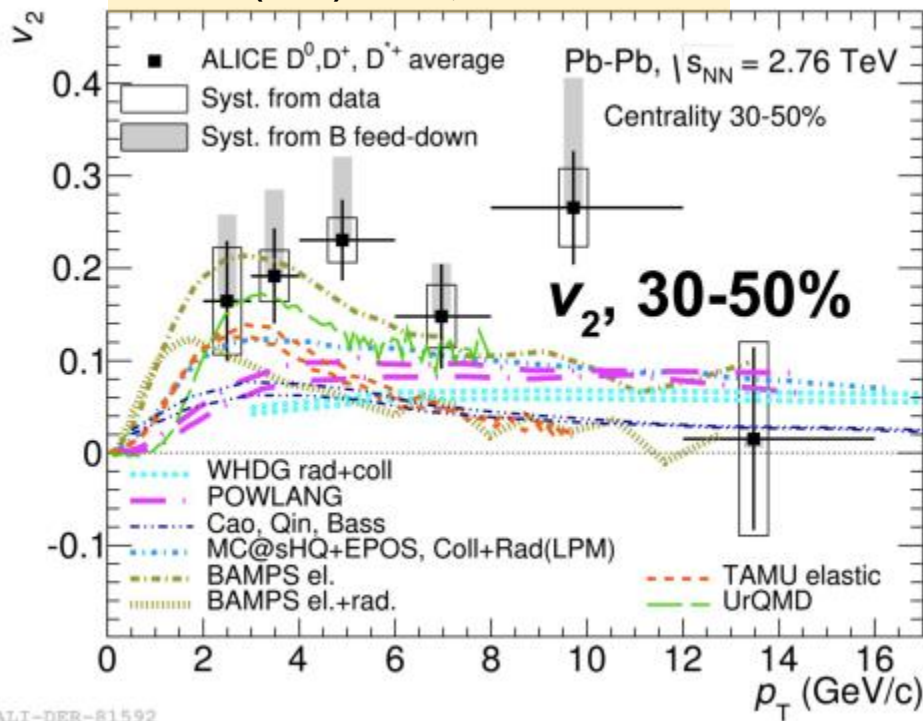
ALI-PREL-77628

D-meson R_{AA} and v_2 vs. models



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PRL 111(2013)102301, arXiv:1405.2001



- simultaneous reproduction of R_{AA} and v_2 challenging for models
- task for us: reduction of stat. and sys. uncertainties of data
- e^\pm and μ^\pm from heavy-flavor decays: similar situation

WHDG: Nucl. Phys. A 872 (2011) 265; MC@sHQ+EPOS, Coll+Rad(LPM): Phys. Rev. C 89 (2004) 014905; TAMU elastic: arXiv:1401.3817 [nucl-th]; POWLANG: Eur. Phys. J. C 71 (2011) 1666, J.Phys. G 38 (2011) 124144; BAMPS: Phys. Rev. C 84 (2011) 024908; J. Phys. G 38 (2011) 124152 Phys. Lett. B 717 (2012) 430; arXiv:1310.3597v1[hep-ph]; UrQMD: arXiv:1211.6912[hep-ph]; J. Phys.Conf. Ser. 426 (2013) 012032; Cao, Qin, Bass: Phys. Rev. C 88 (2013) 044907

Summary



- **ALICE at the LHC: new quality of heavy-flavor measurements in heavy-ion collisions**
- **pp collisions**
 - pQCD calculations describe the data well
- **p-Pb collisions**
 - results consistent with pQCD plus shadowing/saturation
- **Pb-Pb collisions**
 - strong interaction of heavy quarks with the medium
 - suppression of yields at high p_T
 - indication for charm participating in the collective expansion of the medium

further progress requires much more statistics
→ Run-II will be a crucial step forward at the LHC