# Derivation and test of an effective lattice theory for finite density

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# Outline of the talk

#### Derivation

- Definition
- Leading order terms and leading corrections
- Remarks on the expansion of the fermion determinant

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- Tests
  - Correlation functions
  - Polyakov loop susceptibility
  - Free energy density
  - Analytical results
- Improvements
  - Nonperturbative effective couplings

#### Derivation

Definition

$$e^{S_{ ext{eff}}} \equiv \int [dU_k] e^{S_{ ext{QCD}}} \equiv e^{S_0 + S[L]}$$

► S[L] depends on Polyakov loops L = TrW instead of single temporal link variables

$$Z = e^{S_0} \int [dW] e^{S[L]}$$

- S[L] couples all numbers of Polyakov loops to arbitrary powers (or representations) and over arbitrary distances
- ▶ Eff. action inherits center symmetry from pure gauge theory

# Advantages/problems

- Computationally cheap
  - Dimensional reduction  $(3+1)d \rightarrow 3d$
  - Complex numbers instead of group matrices:

$$\int [dW]e^{S[L]} = \int [dL]e^{V[L]+S[L]}$$

- ▶  $N_{ au}$  as a parameter: One 3d simulation ightarrow results for all  $N_{ au}$
- Truncations
  - Number of interaction terms must be finite
  - Series expansions of effective couplings also finite
  - Works better the smaller the lattice coupling β and the heavier the quarks are, i.e. small κ<sub>q</sub>

 $\longrightarrow$  Sign problem ameliorated (solved) in certain (not yet physical) parameter regimes

 $\longrightarrow$  Task: Try to push the valid parameter values as close to physical ones as is possible

### How to compute effective action

Expand gauge part into characters

$$e^{S_g} = \prod_p \left[ 1 + \sum_{r \neq 0} d_r a_r(\beta) \chi_r(U_p) \right] \qquad a_f(\beta) = u(\beta) = \frac{\beta}{18} + \dots$$

Separate Quark determinant into static and kinetic part

$$\begin{aligned} \det[Q] &= \det[1 - T - S] = \det[1 - T] \det[1 - (1 - T)^{-1}S] \\ &\equiv \det[Q_{\text{stat}}] \det[Q_{\text{kin}}] , \end{aligned}$$

and expand the latter in orders of κ<sub>q</sub> (Wilson fermions)
Integration over spatial link variables couples β and κ<sub>q</sub> expansions

$$\int [dU_k] e^{S_g} \det[Q] = e^{S_{\text{eff}}[\beta,\kappa_q,N_\tau,\mu_q,(N_c,d,\Theta),\dots]}$$
$$= \exp\left[\sum_n \left(S_n^s + S_n^a\right)\right]$$

## Graphical expansion

Three parameters and their graphical expression:

- 1.  $u = u(\beta)$ : fundamental plaquette
- 2.  $\kappa$ : single quark hop

3.  $c = (2\kappa e^{a\mu})^{N_{\tau}}$ : Polyakov loop;  $\bar{c} = (2\kappa e^{-a\mu})^{N_{\tau}}$ : Anti-Loop

- The factor c is a fugacity factor connected with the "fermionic" Polyakov loop
- Selection rule: All links that are integrated over, have to be occupied by at least two nontrivial link variables, due to

$$\int dU \,\, U_{ij} = 0$$

Examples: Pure gauge theory





Contributions to nearest-neighbour Polaykoy-Loop interactions





Next-to-nearest-neighbour contributions





Contributions that cancel against each other

# Examples: Including quark hops

Contributions projecting onto single Polyakov loops



Graphs leading to nearest-neighbour quark-quark interactions



Graphs leading to nearest-neighbour quark-antiquark interactions

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### Resummations

It is possible to resum interaction terms and effective coupling contributions:

$$2\lambda_1 \mathrm{Re}L_i L_j^* - \frac{1}{2} \left( 2\lambda_1 \mathrm{Re}L_i L_j^* \right)^2 + \ldots = \ln \left[ 1 + 2\lambda_1 \mathrm{Re}L_i L_j^* \right]$$

$$\begin{split} \lambda_1 &= u^{N_{\tau}} \left[ 1 + 4N_{\tau} u^4 + \frac{1}{2} 4N_{\tau} (4N_{\tau} - 3) u^8 + \dots \right] \\ &= u^{N_{\tau}} \exp \left[ 4N_{\tau} u^4 + \dots \right] \\ h_1 &= (2\kappa e^{a\mu})^{N_{\tau}} \left[ 1 + 6N_{\tau} \kappa^2 u + \frac{1}{2} 6N_{\tau} (6N_{\tau} - 3) \kappa^4 u^2 + \dots \right] \\ &= (2\kappa e^{a\mu})^{N_{\tau}} \exp \left[ 6N_{\tau} \kappa^2 u + \dots \right] \end{split}$$

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### Effective partition function

$$Z = \int [dW] \prod_{i} \det \left[ 1 + h_1 W_i \right]^2 \left[ 1 + \bar{h}_1 W_i^{\dagger} \right]^2 \prod_{\langle ij \rangle} \left[ 1 + 2\lambda_1 \operatorname{Re} L_i L_j^* \right]$$

Effective couplings

$$h_{1} = c \exp \left[ N_{\tau} \left( 6\kappa^{2}u + \ldots \right) \right]$$
  
$$\lambda_{1} = u^{N_{\tau}} \exp \left[ N_{\tau} \left( 4u^{4} + \ldots \right) \right]$$

Higher order interaction terms

$$\begin{split} e^{S_2^s} &= \prod_{\langle ij \rangle} \left[ 1 - h_2 \operatorname{Tr} \frac{c W_i}{1 + c W_i} \operatorname{Tr} \frac{c W_j^{\dagger}}{1 + c W_j^{\dagger}} \right] \\ e^{S_2^s} &= \prod_{[kl]} \left[ 1 + 2\lambda_2 \operatorname{Re} L_k L_l^* \right] \end{split}$$

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# Critical couplings *SU*(2)

Receipt: Solve eff. theory for critical  $(\lambda_i^c(\mu), h_i^c(\mu))$  and convert back to critical  $(\beta_c(\mu, N_\tau), \kappa_c(\mu, N_\tau))$  via known relations

$N_{ au}$	3d Eff. Th. $\beta_c$	4d YM $\beta_c$
2	2.1929(13)	2.1768(30)
4	2.3102(08)	2.2991(02)
6	2.4297(05)	2.4265(30)
8	2.4836(03)	2.5104(02)
12	2.5341(02)	2.6355(10)
16	2.5582(02)	2.7310(20)

4d Monte Carlo results taken from [Fingberg et al. (1992), Bogolubsky et al. (2004) and Velytsky (2007)]

# Critical couplings *SU*(3)

$N_{\tau}$	3d Eff. Th. $\beta_c$	4d YM $\beta_c$
2	5.1839(2)	5.10(5)
4	6.09871(7)	5.6925(2)
6	6.32625(4)	5.8941(5)
8	6.43045(3)	6.001(25)
12	6.52875(2)	6.268(12)
16	6.57588(1)	6.45(5)

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4d Monte Carlo results taken from [Fingberg et al. (1992)]

### Comparison of correlators at $\beta = 5.0$



### Comparison of correlators at $\beta = 5.4$



# Polyakov Loop Susceptibility

$$\chi_L(\beta) = \frac{1}{2} N_s^3 \left[ \left\langle L^2 \right\rangle - \left\langle L \right\rangle^2 \right] \qquad L = \frac{1}{N_s^3} \sum_i \left[ L_i + L_i^* \right]$$



### Free energy density

How to compute it in a MC Simulation [1312.7823]

$$a^4 f \Big|_{\beta_0}^{\beta} = - \int_{\beta_0}^{\beta} d\beta' \Delta S(\beta') ,$$

with the interaction measure

$$\Delta S(\beta) = \frac{6}{N_c} \left( \left\langle \operatorname{ReTr} U_P \right\rangle \Big|_T - \left\langle \operatorname{ReTr} U_P \right\rangle \Big|_{T=0} \right)$$

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### Free energy density

Interaction measure  $2 \times 8^3$ 



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### Free energy density

Interaction measure  $4 \times 16^3$ 



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Analytic tests: Static strong coupling limit

 $\blacktriangleright$  Consider only static determinant for large  $\mu$ 

$$Z(N_f) = \int [dW] \prod_{i,f} \det \left[1 + c_f W_i\right]^2$$

Single-site problem, exactly solvable

$$Z(1) = \left[1 + 4c^3 + c^6\right]^{N_s^3}$$
$$a^3 n_q(1) = \frac{1}{N_\tau N_s^3} \frac{\partial}{\partial a\mu} \ln Z = \frac{12c^3 + 6c^6}{1 + 4c^3 + c^6}$$

Saturation density is 2N<sub>c</sub> quarks per site

• 
$$T = 0$$
 onset transition at c=1, i.e.  $\mu = \ln(2\kappa) = \frac{m_b^{\text{stat}}}{3}$ 

### Analytic tests: Beyond the static limit

• Consider the following variant of the eff. th.

$$Z = \int [dW] \prod_{i} \det \left[1 + cW_{i}\right]^{2} \prod_{\langle ij \rangle} \left[1 + 2\lambda_{1} \operatorname{Re}L_{i}L_{j}^{*}\right]$$
$$\times \prod_{\langle ij \rangle} \left[1 - h_{2} \operatorname{Tr}\frac{cW_{i}}{1 + cW_{i}} \operatorname{Tr}\frac{cW_{j}^{\dagger}}{1 + cW_{j}^{\dagger}}\right]$$

Good approximation for heavy quarks, small temperatures and large chemical potentials.

In leading orders it is

$$\frac{1}{N_s^3} \ln Z = \ln z_0 + 6\lambda_1 \frac{z_1 z_2}{z_0^2} - 6h_2 \frac{z_3^2}{z_0^2}$$
$$z_0 = 1 + 4c^3 + c^6 \qquad z_1 = 3c^2 + 2c^5$$
$$z_2 = 2c + 3c^4 \qquad z_3 = 6c^3 + 3c^6.$$

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Analytic tests: Beyond the static limit

$$E = -\frac{\partial}{\partial\beta} \ln Z \bigg|_{z}$$

Lattice version of the dimensionless energy density

$$a^4 e = -\frac{a}{N_\tau N_s^3} \left(\frac{\partial h_1}{\partial a}\right) \bigg|_z \frac{\partial}{\partial h_1} \ln Z + \frac{6a}{N_\tau} \left(\frac{\partial h_2}{\partial a}\right) \left(\frac{z_3}{z_0}\right)^2$$

After a few more steps

$$a^4e = am_b a^3n_b - \frac{am_m}{2}e^{-am_m}\left(\frac{z_3}{z_0}\right)^2$$

Binding energy density

$$a^4 e_B = -\frac{am_m}{2}e^{-am_m}\left(\frac{z_3}{z_0}\right)^2$$

### Nonperturbative effective couplings

Completely general Ansatz for SU(N) effective theory

$$e^{S_{\text{eff}}} = \sum_{\{r_i\}} \tilde{\lambda}(\{r_i\}) \prod_i \chi_{r_i}(W_i)$$

• Determine  $\tilde{\lambda}_i$  nonperturbatively by computing correlation functions

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More information: See Georg Bergner's Poster

Polyakov Loop Susceptibility with nonperturbatively improved effective coupling



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# Conclusions/Summary

- Presented derivation and several tests of effective PL action
- Effective theory able to describe physics in the confinement phase up to the transition region
- Works best for local observables
- Room for improvements: going from Taylor expansions to nonperturbatively computed effective couplings

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