INTRODUCTION TO PARTICLES

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UPLIFT School #1, Lyon, 2025-11-18





AGENDA

- Why use particles?
- A little bit of physics
- Delivery techniques
- Dose computation algorithms
- Uncertainties and robustness



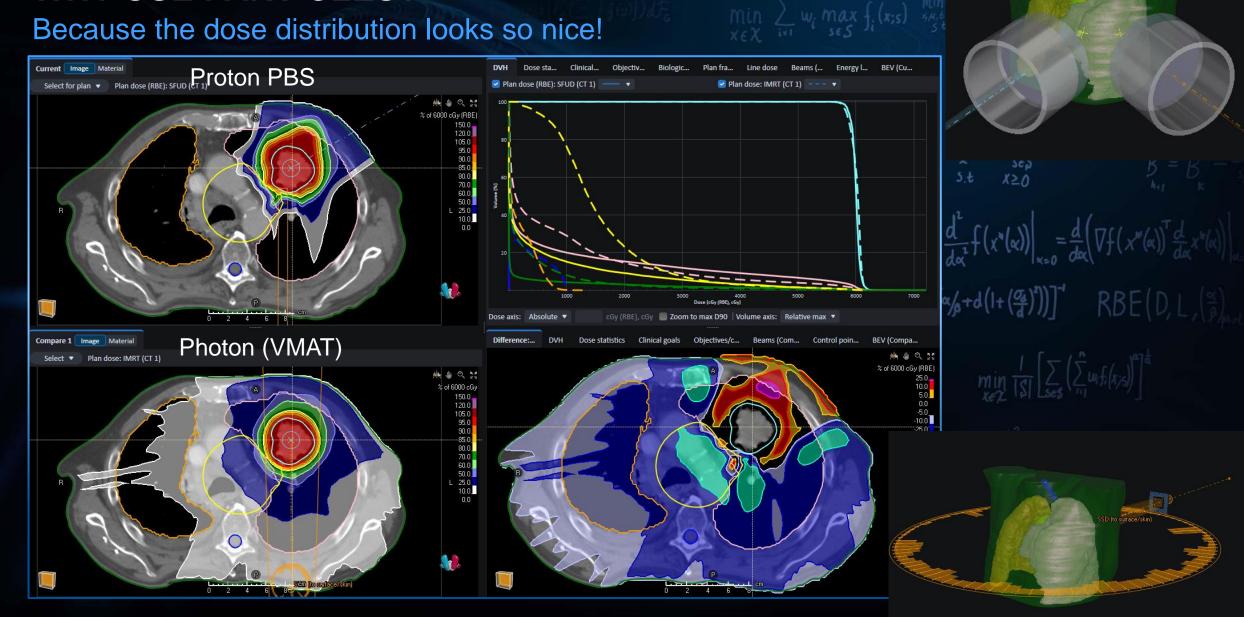


EXTERNAL RADIATION THERAPY AROUND THE WORLD

Modality	Nb of clinics
Conventional radiotherapy (photons and electrons)	~9000
Protons	~150
Helium ions	1
Carbon ions	~15
Oxygen & neon ions	1
Fast neutrons	A few (declining)
Boron neutron capture therapy (BNCT)	~10

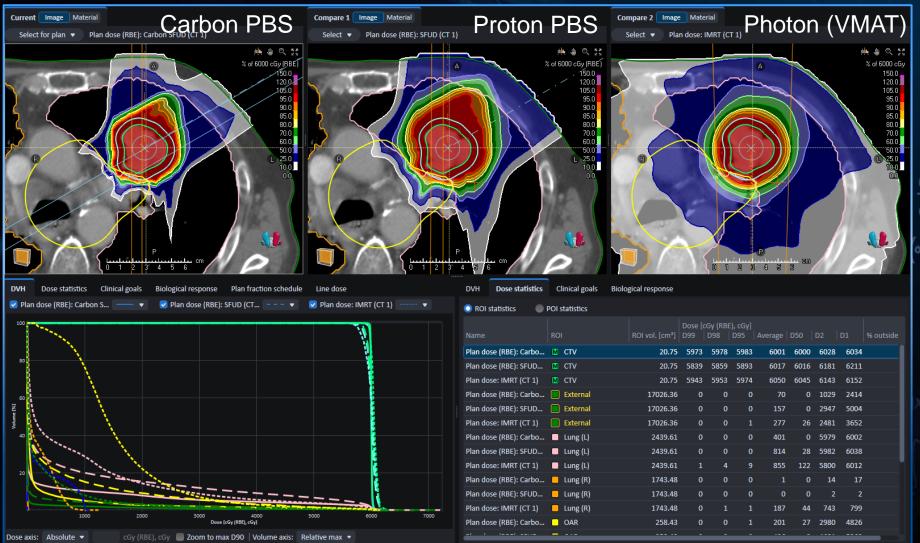


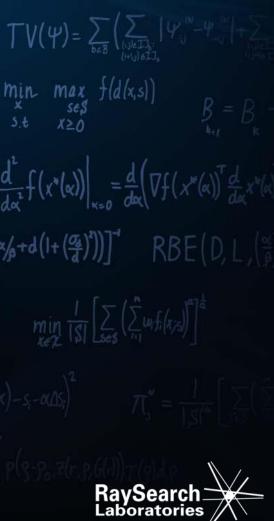
WHY USE PARTICLES?



WHY USE PARTICLES?

Heavier ions look even nicer!

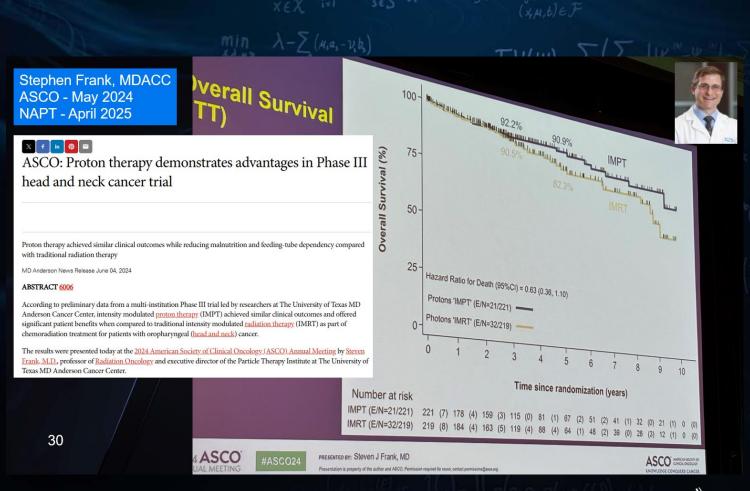




CLINICAL EVIDENCE?

IMPT vs IMRT

- The MD Andersson trial
 - Randomized phase III oropharyngeal cancer trial
 - Non-inferior to IMRT
 - Less side effects (e.g. less need of feeding tubes)
 - Better 5-year overall survival
 - Still not published!?

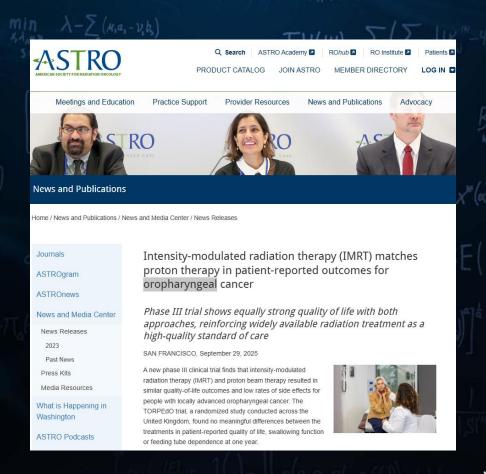




CLINICAL EVIDENCE?

IMPT vs IMRT

- The TORPEdO trial
 - Randomized phase III head and neck trial
 - Does <u>not</u> show a benefit for proton PBS
 - Not as long follow-up as MDA trial (2.6 years)
- There are differences between UK and US and between the trials
- Technology always improving (both for protons and photons)
- Multiple clinical trials coming...



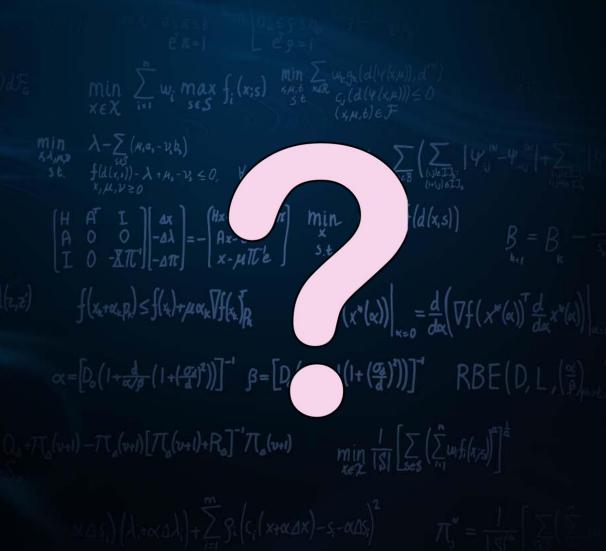


CLINICAL EVIDENCE?

Carbon ions

- What about carbon ions?
 - ETOILE
 - Radioresistant tumors (sarcomas, carcinomas etc.)
 - HIT-1
 - Skull-based chordoma
 - CARE trial
 - Recurrent head and neck cancer

- ...





A BIT OF PHYSICS



DIFFERENCES BETWEEN MODALITIES

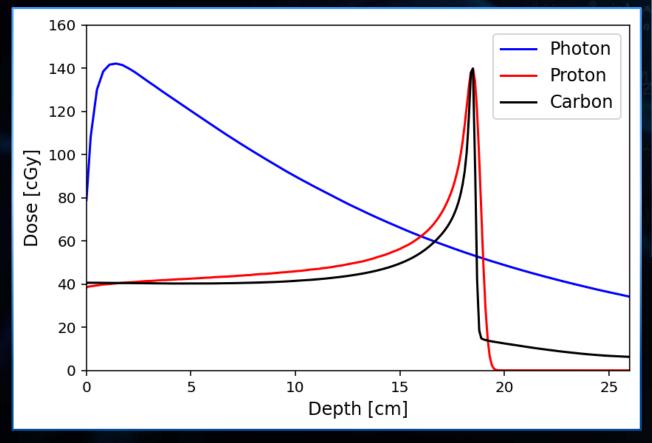
Photons	Protons	Light ions
Neutral	Positively charged	Positively charged
No mass	Medium	Heavy
\$	\$\$	\$\$\$

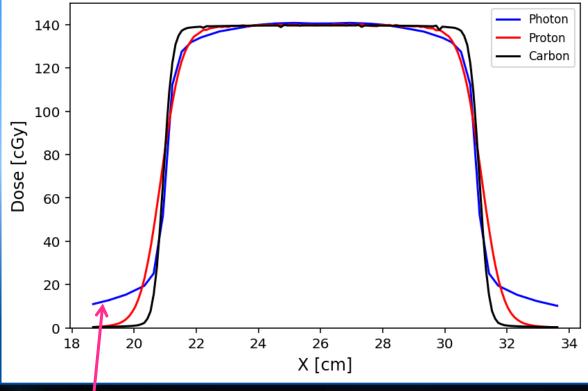


PHYSICAL DOSE CHARACTERISTICS

For a 5x5 cm field

The classic depth dose comparison





The lateral penumbra comparison

MLC leakage



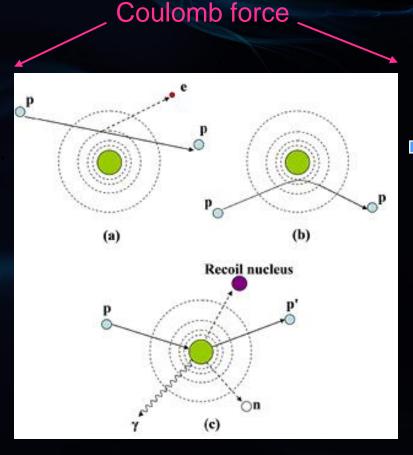
PHYSICAL INTERACTIONS

What happens when we irradiate a target with high energy particles?

Interactions with atomic electrons

particle looses energy (slows down)

electron is ejected (and cause damage)



Elastic scattering on target nuclei

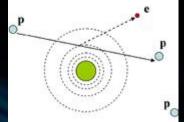
particle changes direction (only slightly)

Inelastic scattering (nuclear interaction)

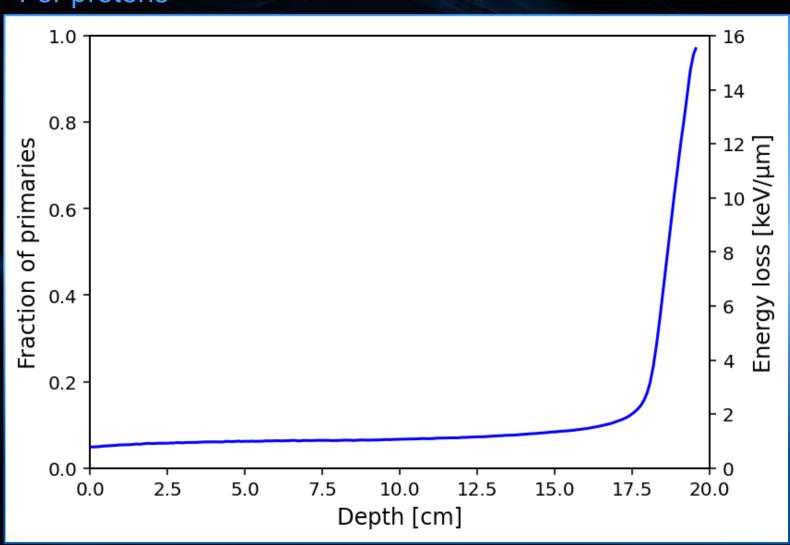
primary particle is "removed" and
fragments are created



$-\left\langle rac{dE}{dx} ight angle =rac{4\pi}{mc^2}\cdotrac{nz^2}{eta^2}\cdot\left(rac{e^2}{4\piarepsilon_0} ight)^2\cdot\left[\ln\!\left(rac{2mc^2eta^2}{I\cdot(1-eta^2)} ight)-eta^2 ight]$



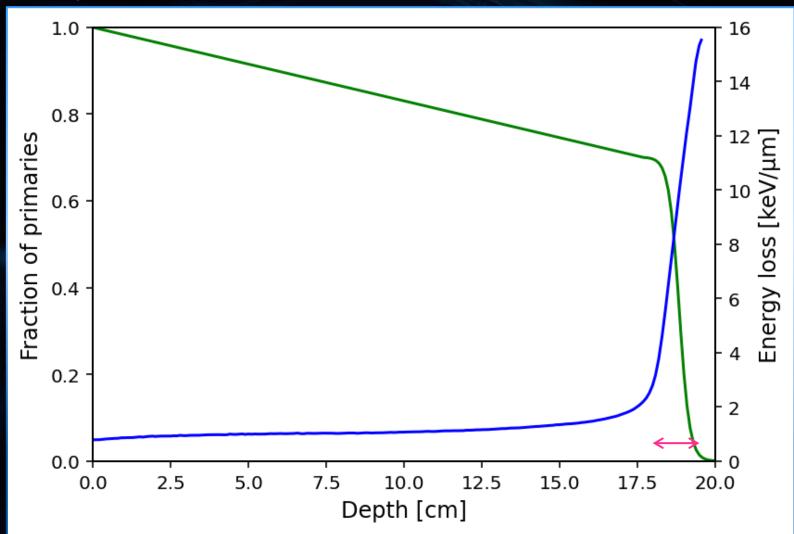
For protons

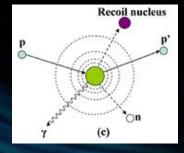


- Energy loss (deposition) increases with decreasing speed (energy)
- Linear energy transfer (LET)
- Bethe-Bloch formula
 - $dE/dx \sim 1/v2$



For protons

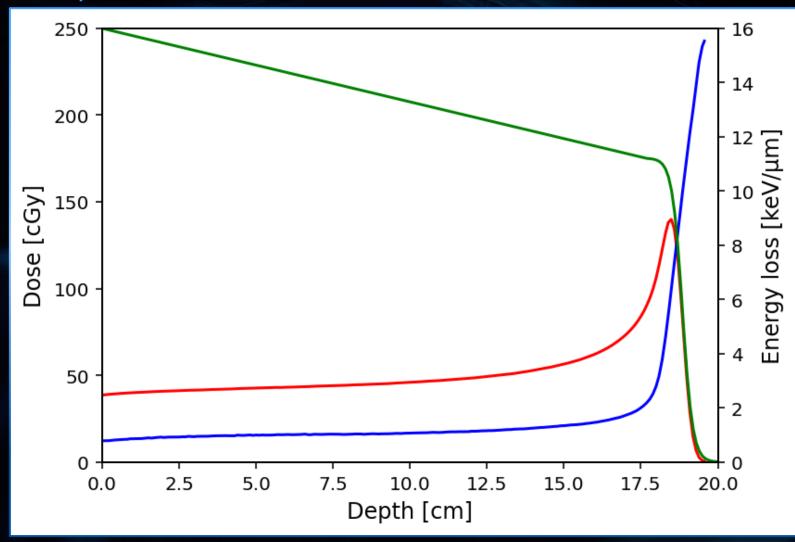




- The number of primary ions (fluence) decreases, until they all stop.
- (Mainly) caused by nuclear interactions (inelastic scattering).
 - Secondary protons, ²H,
 ³H, α etc. are produced
- Note that energy loss is a stochastic process, i.e. all particles don't stop in the same place. This is known as energy/range straggling.

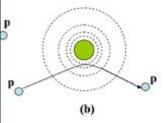


For protons

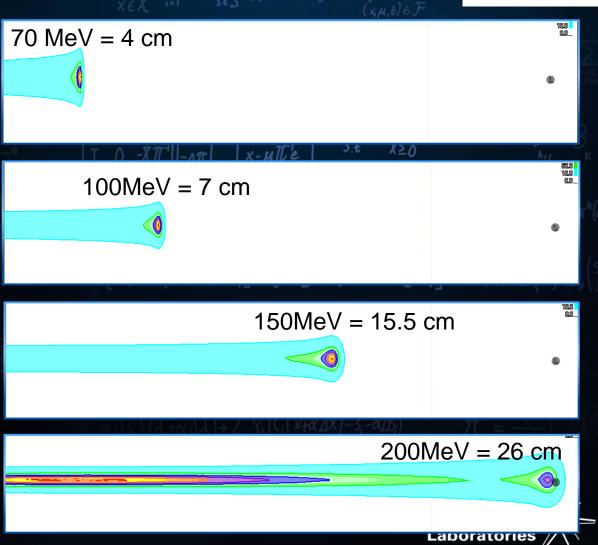


- Dose = deposited energy x fluence / mass
 - [J/kg] = [Gy]

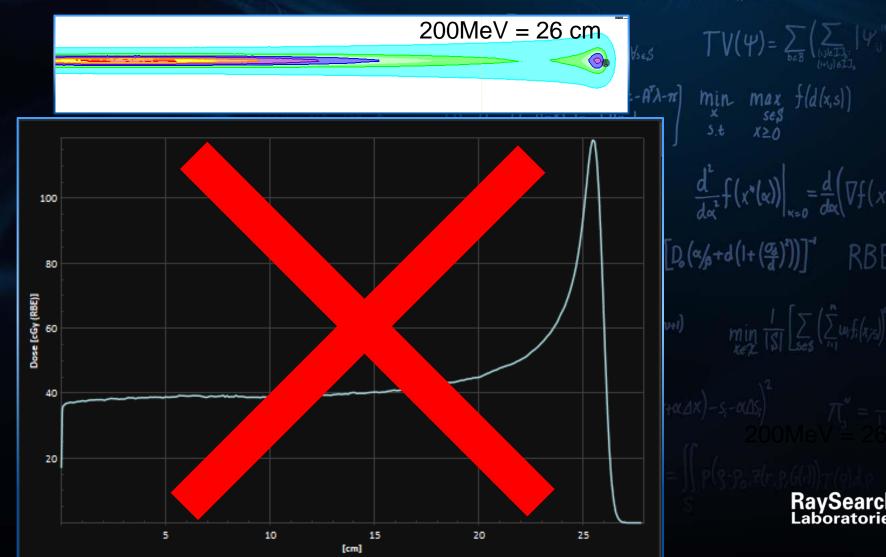


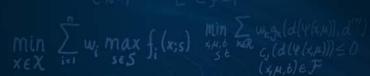


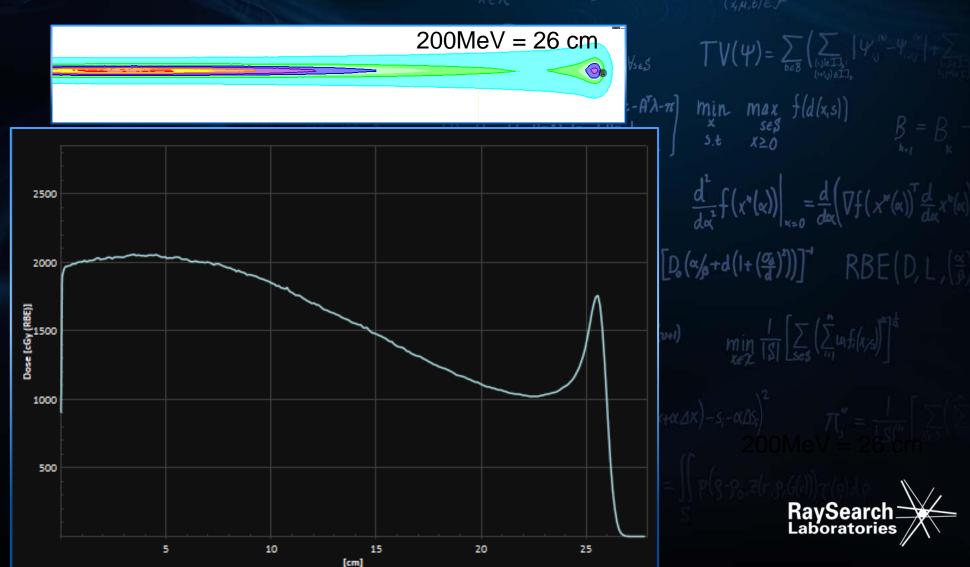
- A proton spot is a thin pencil beam
 - $\sigma = 0.5 2 \text{ cm}$
- The depth is controlled by the energy (velocity)
 - Range shifters are needed for depths smaller than ~4 cm. This significantly increases the spot width!
- The spot is spread out due to multiple Coulomb scattering (MCS) and initial divergence

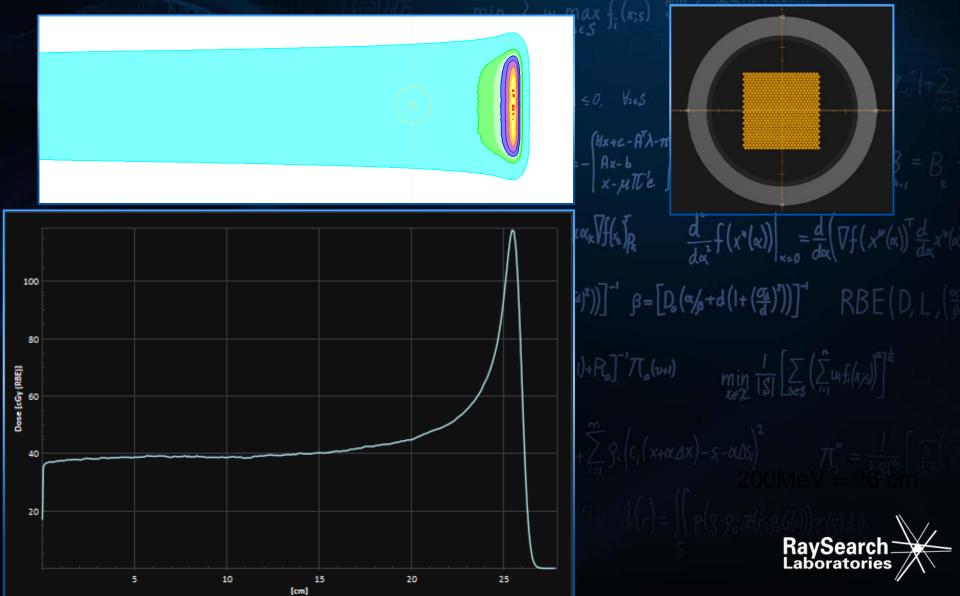




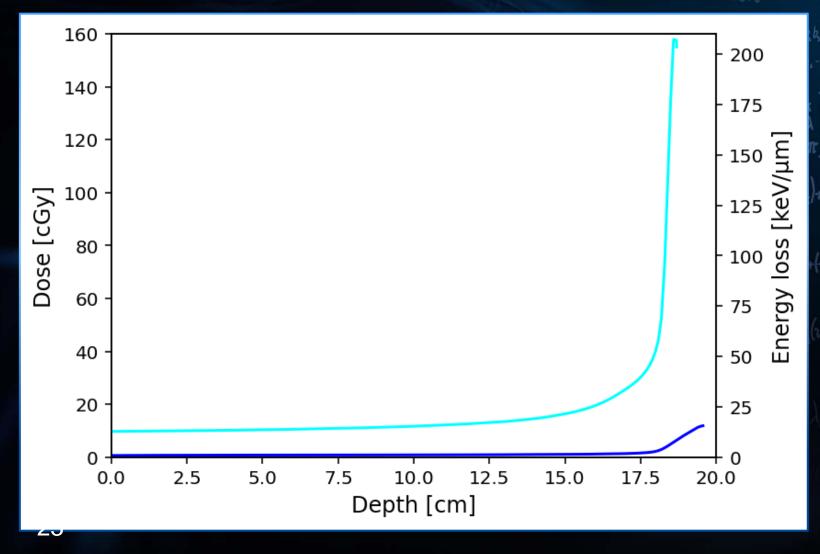








For carbon ions



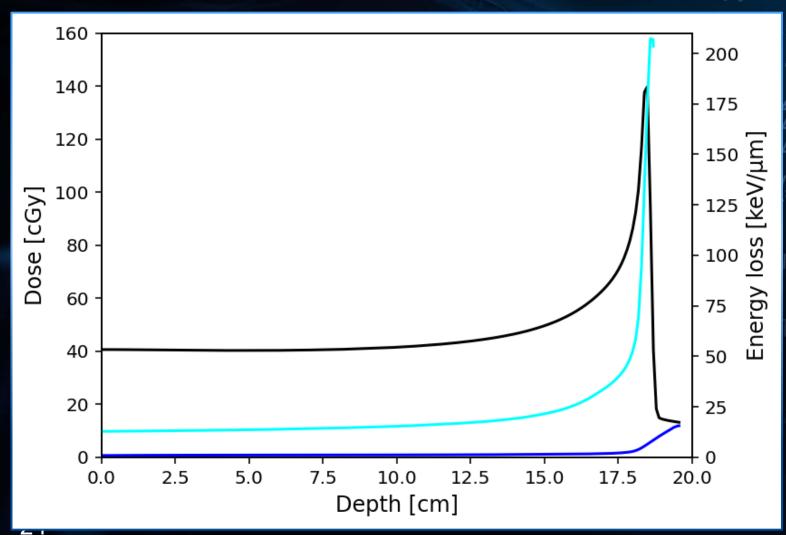
Carbon ions are 12 timesheavier than protons





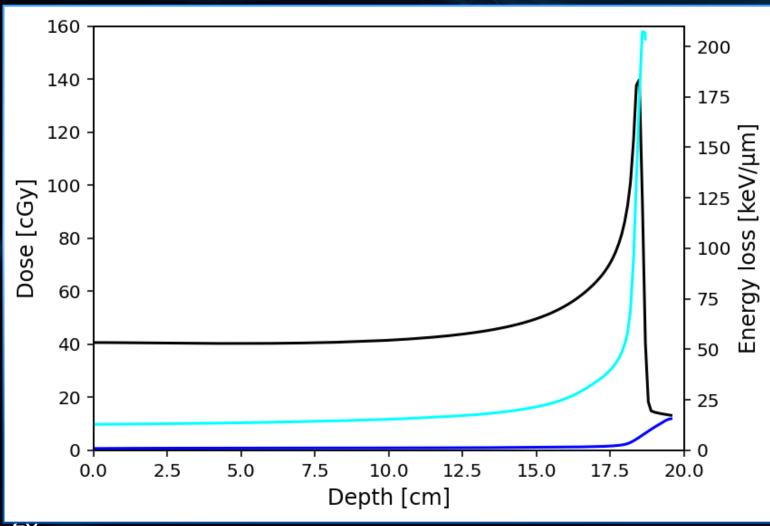
For carbon ions

It's actually even sharper than this. So-called ripple filters are used to spread out the Bragg peak to reduce the number of energy layers.

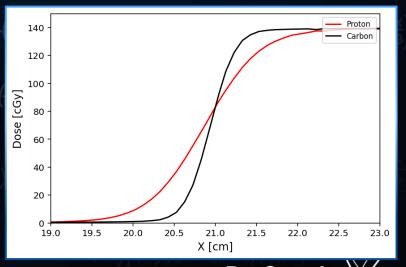


- Carbon ions are 12 timesheavier than protons
- LET significantly higher
- Sharper Bragg peak

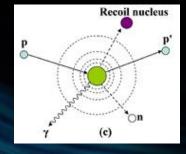
For carbon ions

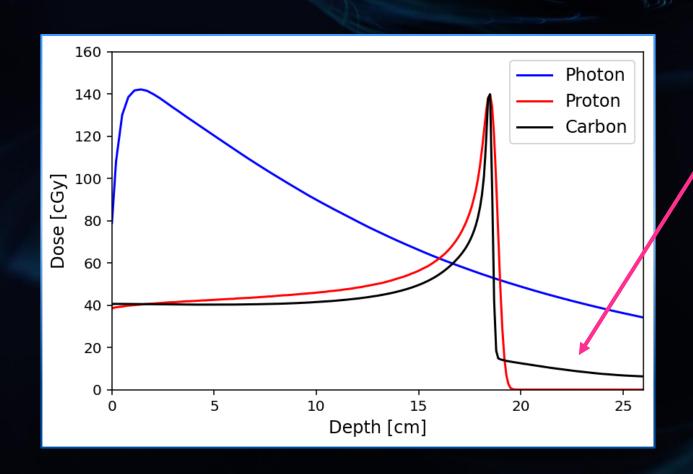


- Carbon ions are 12 times
 heavier than protons
- LET significantly higher
- Sharper Bragg peak
- Sharper penumbra



THE FRAGMENT TAIL

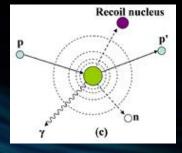




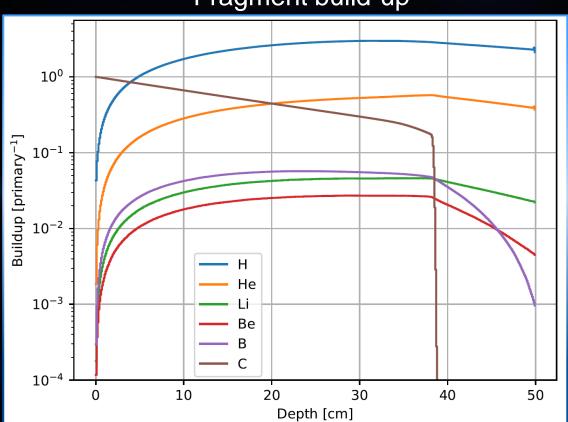
The fragment tail, caused by light fragments from nuclear interactions with the target material



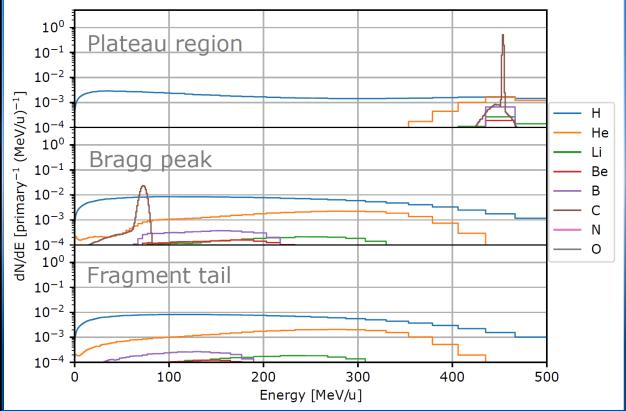
THE FRAGMENT TAIL



Fragment build-up



Differential energy spectra





RADIOBIOLOGY

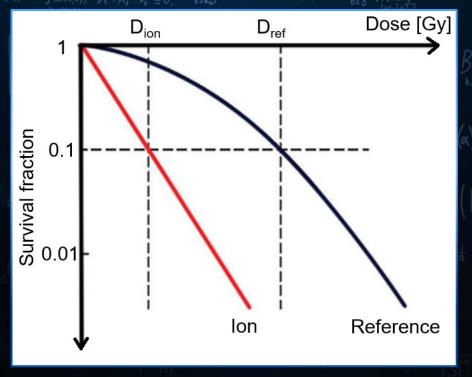
Do we know what is going on now???



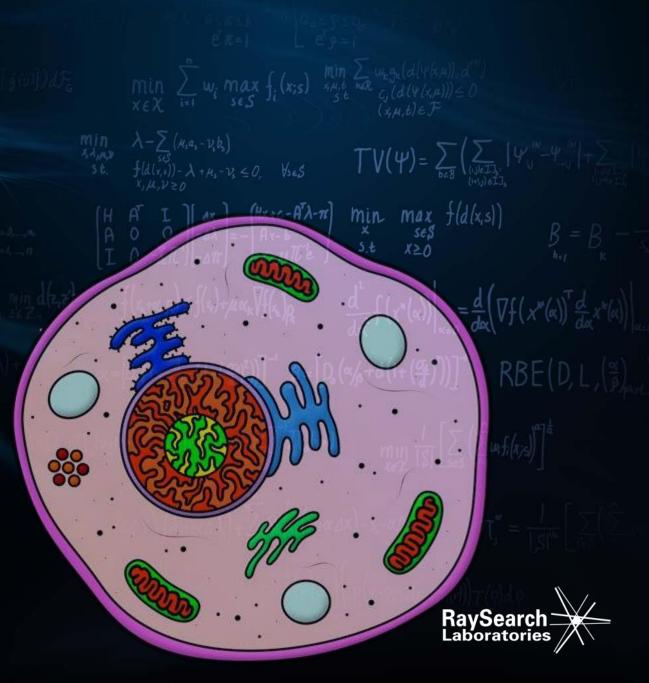
RADIOBIOLOGY

- Radiobiology is largely based on clinical experience from 100+ years of radiotherapy
- Protons are about 10% more effective at killing cells compared to photon radiation
- Carbon (and other high LET particles) are much more effective compared to low LET radiation

Linear quadratic (LQ) model Survival $S = e^{-\alpha D - \beta D^2}$

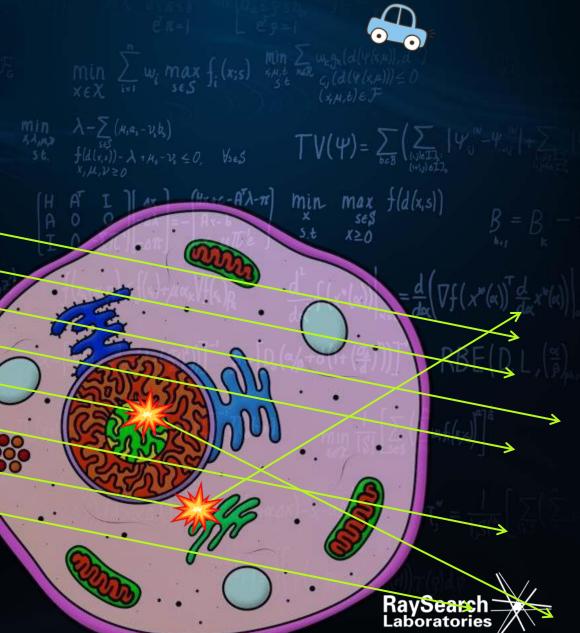




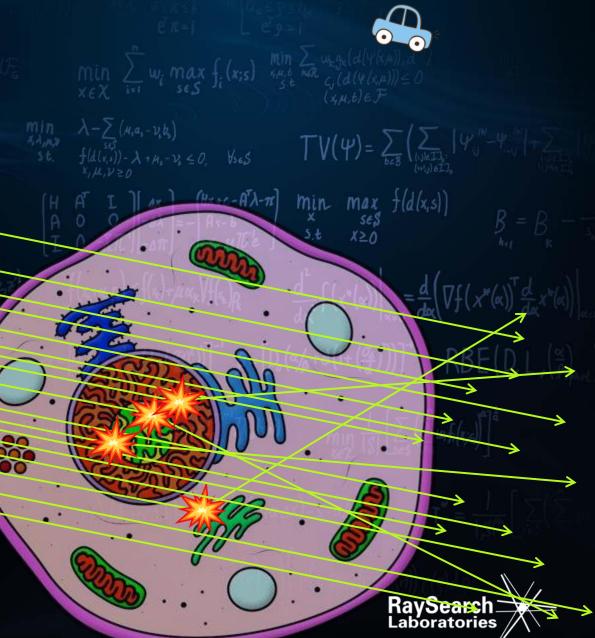


Photon radiation

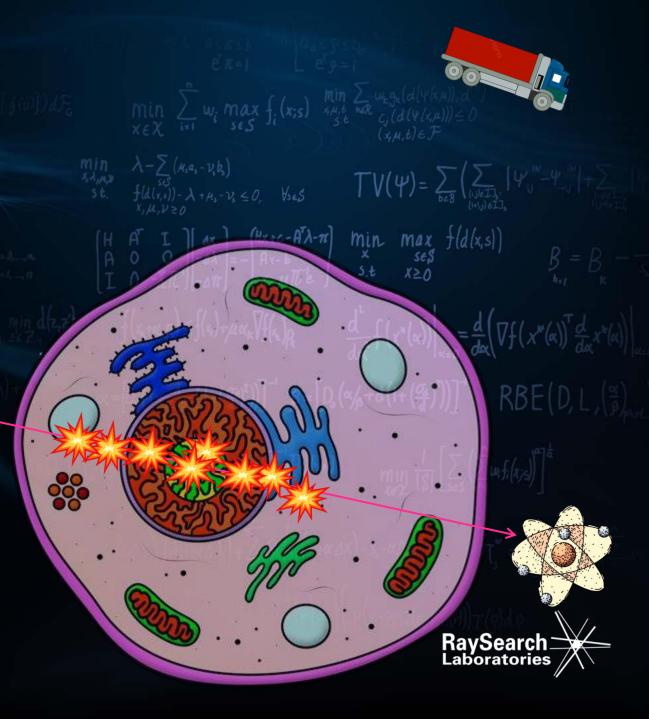




Photon radiation



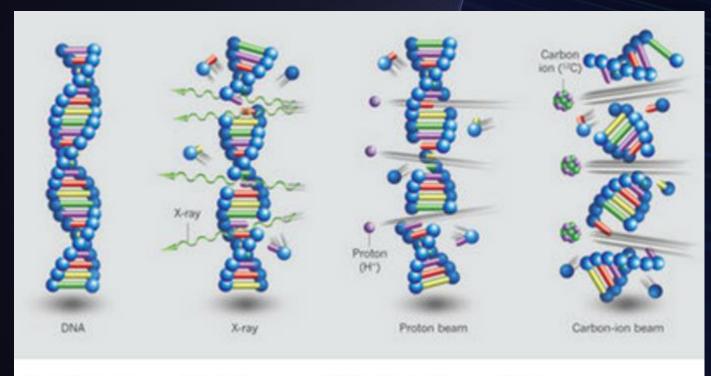
Ion radiation





Local dose extremely high => more complex damage => cell death

lon radiation Dose distribution used in the local effect model (LEM) RaySearch Laboratories



Radiation types and their damage to DNA; Photo Source: Nature

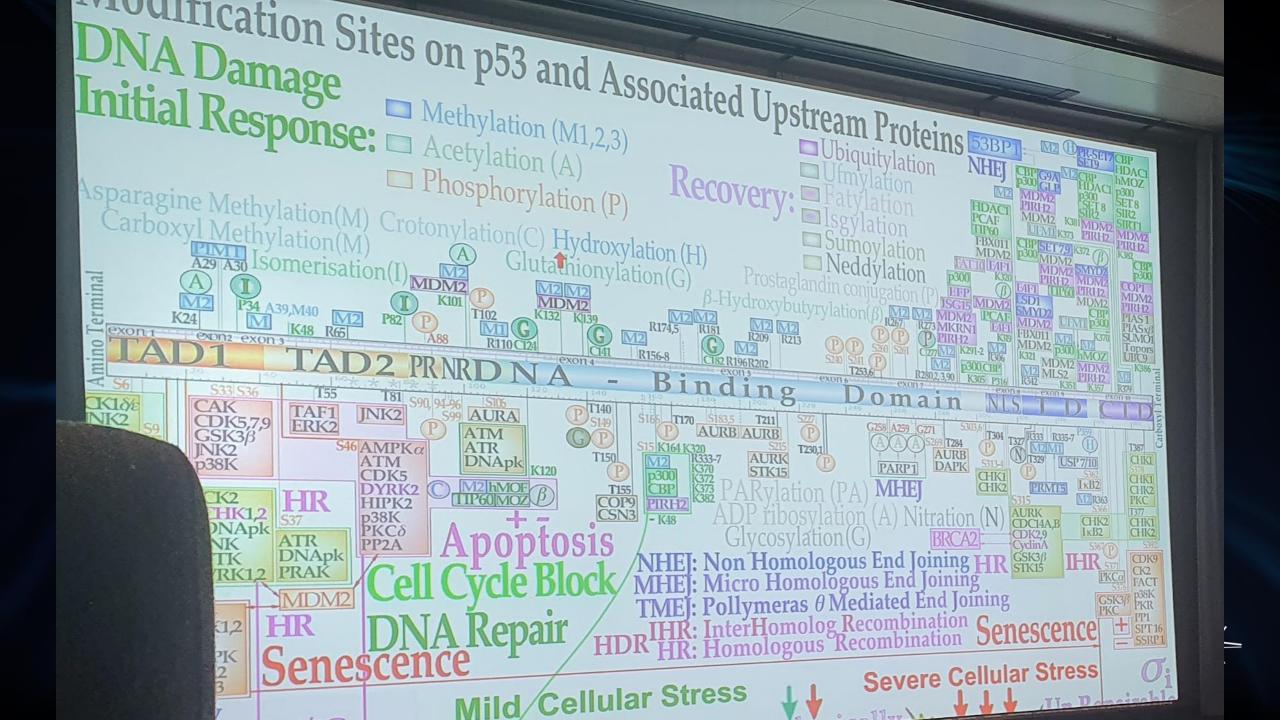




RADIOBIOLOGY

So how does it actually work???

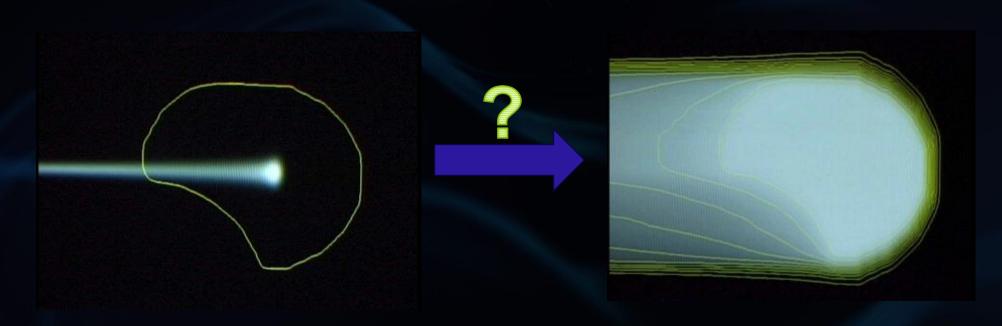




PENCIL BEAM SCANNING



HOW TO CREATE A UNIFORM DOSE IN THE TARGET?



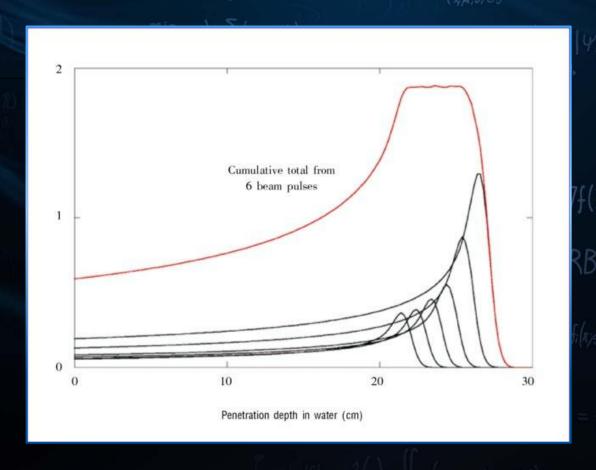
The particles have to be spread out laterally and in depth to cover the entire target.



HOW TO CREATE A UNIFORM DOSE IN THE TARGET?

Uniform dose in depth

- A single Bragg peak is too narrow to cover the target
- By combining several energy layers with different weight, a spread out Bragg peak (SOBP) is created.
- Entrance dose is increased (but there is still an advantage compared to photons)!





A FEW HISTORICAL TECHNIQUES

That we don't really need to care about

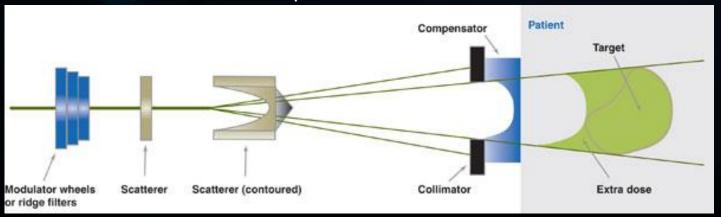
- Broad beam techniques
 - Single scattering (non-uniform fluence)
 - Double scattering (uniform fluence)
 - Uniform scanning
- Energy layers
 - Range modulator or ridge filter
- Beam shape
 - Aperture block or MLC
- Distal edge
 - Range compensator





8=[D,(%+d(1+(%)))] RBE(D,L,(%)

Passive proton beamline



A FEW HISTORICAL TECHNIQUES

That we don't really need to care about

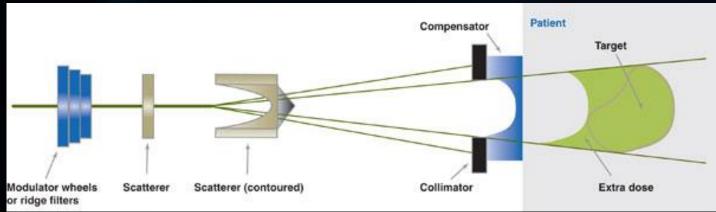
- Broad beam techniques
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 - Range compensator





 $dx^{2} \left(\left(\frac{\alpha}{a} \right)^{2} \right) \right) = \left[D_{o} \left(\frac{\alpha}{a} + d \left(1 + \left(\frac{\alpha}{a} \right)^{2} \right) \right) \right]^{-1} \quad RBE \left(D_{o} L_{o} \right) \right]$

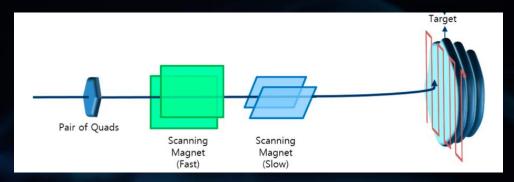
Passive proton beamline



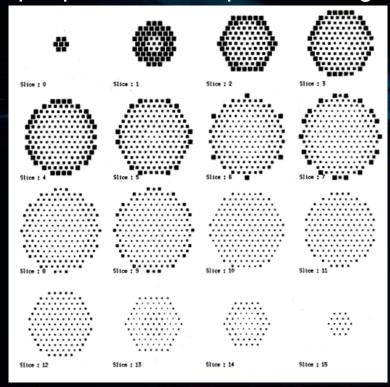
PENCIL BEAM SCANNING

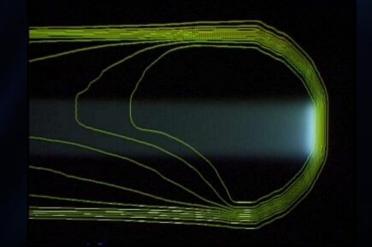
Almost exclusively used today

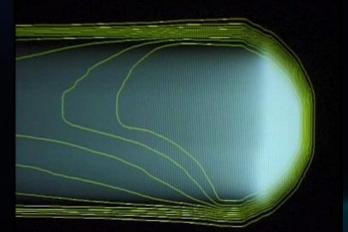
The pencil beam or spot is scanned (using bending magnets) over the tumor one energy at the time

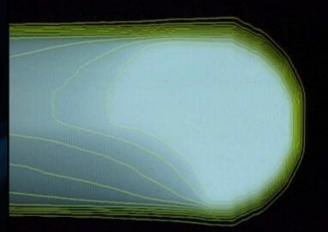








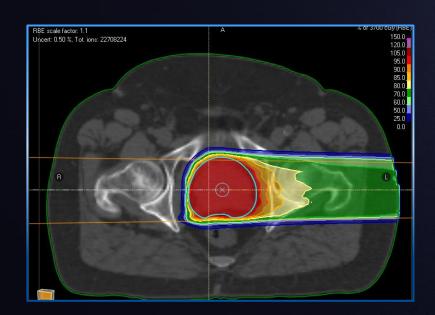


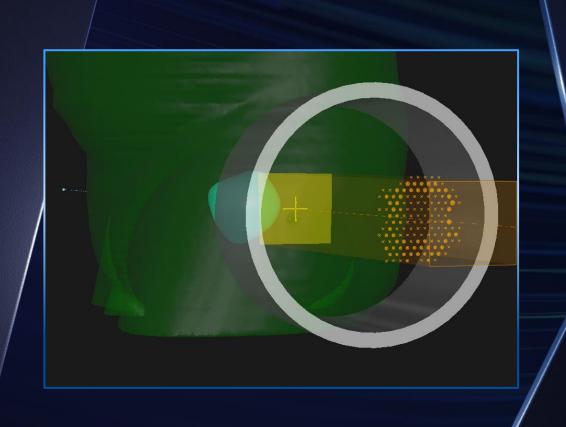


PENCIL BEAM SCANNING

Planning aspects

- Treatment planning system (TPS)
 - Select energy layers for each beam
 - Select initial spot pattern for each layer
 - Optimize spot weights
 - Plan evaluation (robustness analysis)







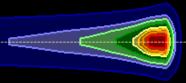


DOSE COMPUTATION



ANALYTICAL DOSE COMPUTATION ALGORITHMS

Pencil beam dose approximation

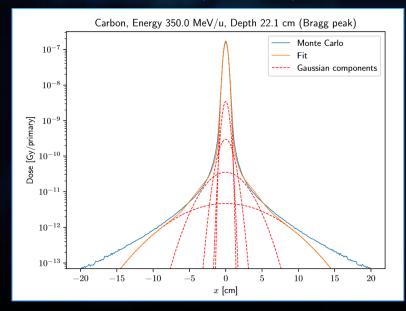


Depth in patient

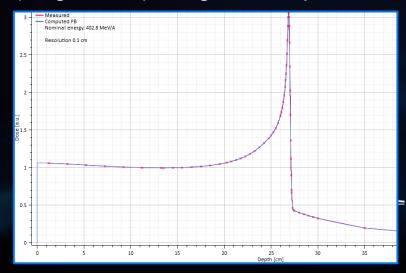
Distance to central axis

$$dose(z,r) = \Phi(z,r)IDD(z)$$

Lateral fluence (cylinder symmetric)



(longitudinal) Integrated Depth Dose



ANALYTICAL DOSE COMPUTATION ALGORITHMS

Pencil beam dose approximation

 Total dose computed as sum of all spots in all energies in all beams

$$d(x, y, z) = \sum_{b} \sum_{e} \sum_{j} w_{bej} dose_{bej}(x, y, z)$$

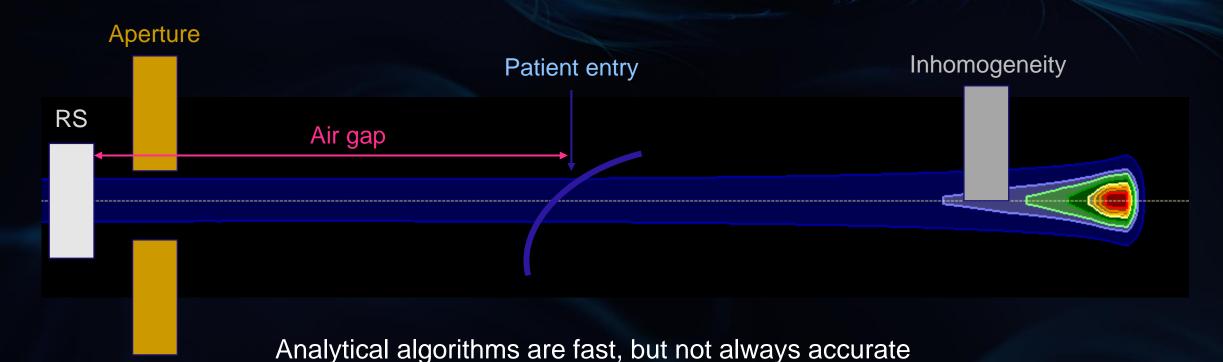
b – beame – energy layerj – spot

 Note! Do not confuse the pencil beam approximation with pencil beam scanning



ANALYTICAL DOSE COMPUTATION ALGORITHMS

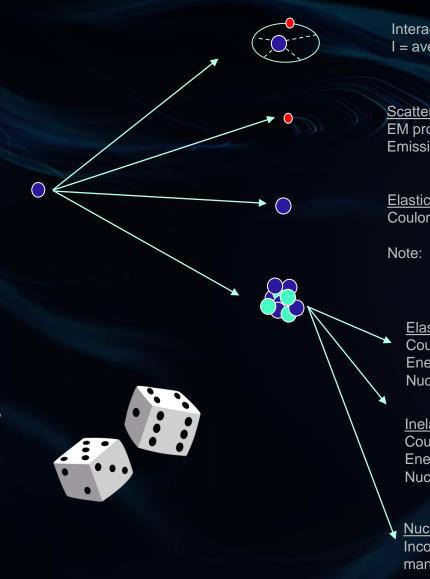
Pencil beam dose approximation



- Cylinder symmetry disregards lateral inhomogeneities
- Analytical models not accurate e.g. in large air gaps



- Tracking of individual particles using random sampling (throwing dice)
- Very accurate, but potentially slow
 - General purpose MC (FLUKA, Geant) can take hours or even days
- Commonly used for proton planning
- Not (yet) clinically available for light ions
 - Complicated nuclear interactions
 - RBE



Interaction with bound electrons -> Ionisation
I = average ionisation potential = <optical dipole o

Scattering on quasi "free" atomic electrons

EM process described by Rutherford or Bhabha cr

Emission of recoil electron → "Delta rays"

Elastic p+p scattering
Coulomb force + nuclear force

Note: Threshold for reaction $p+p \rightarrow p + p + \pi^0$ is $p+p \rightarrow p+p+\gamma$ bremstrahlung cross section

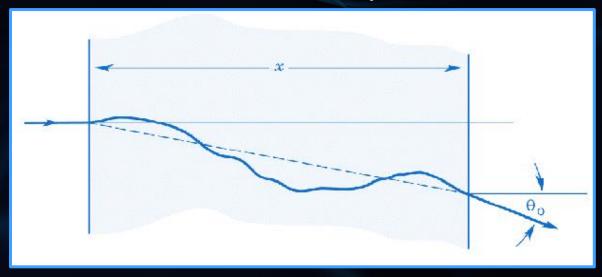
Elastic p+A scattering
Coulomb + nuclear force
Energy transferred to recoil nucleus according t
Nucleus left in ground state

Inelastic p+A scattering
Coulomb + nuclear force
Energy transferred to recoil nucleus according to
Nucleus left in excited state

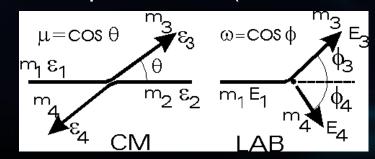
<u>Nuclear reaction ("Non-elastic")</u> Incoming energy transferred to recoil and ejectil manner.



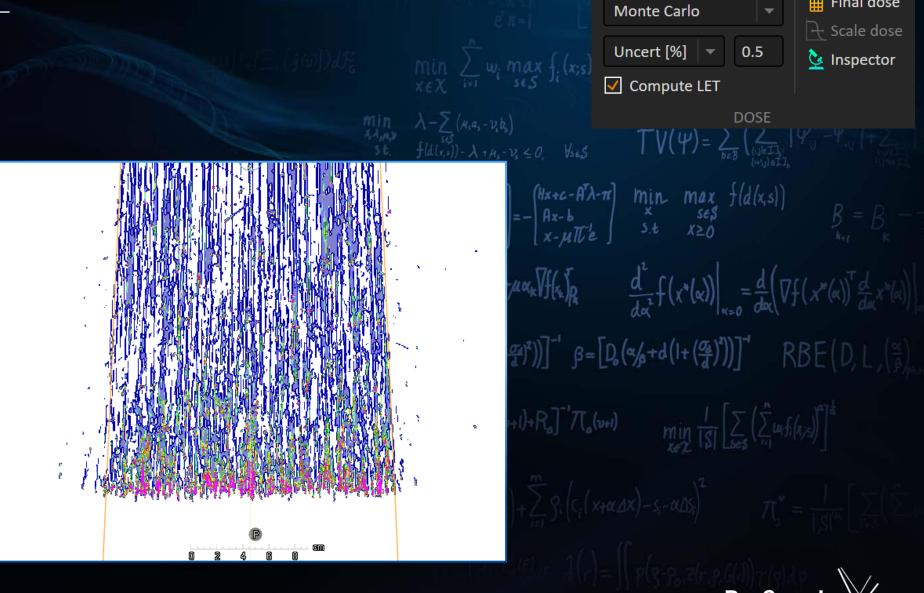
Class II condensed history Monte Carlo



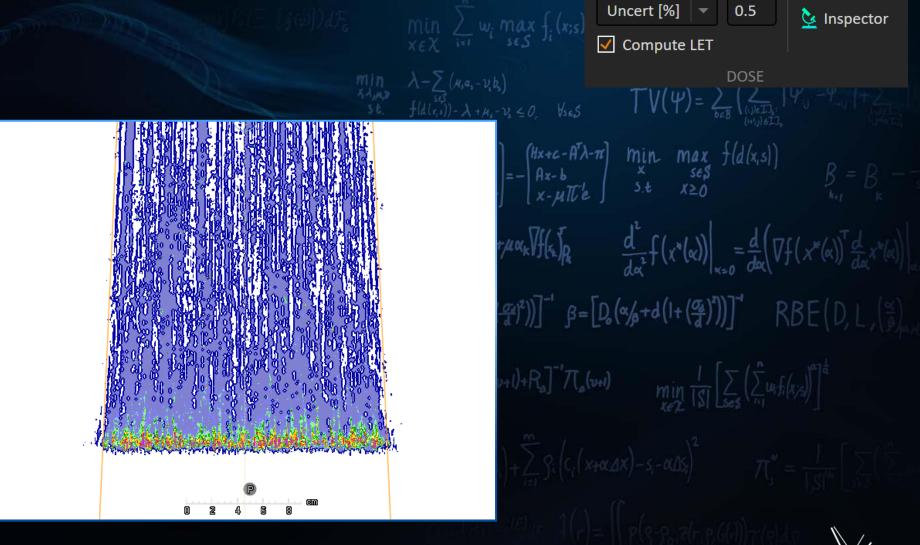
With catastrophic events (nuclear interactions)





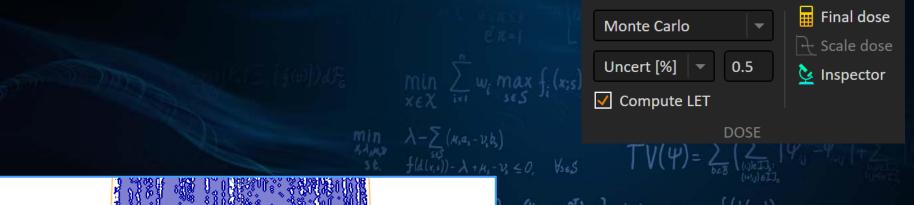


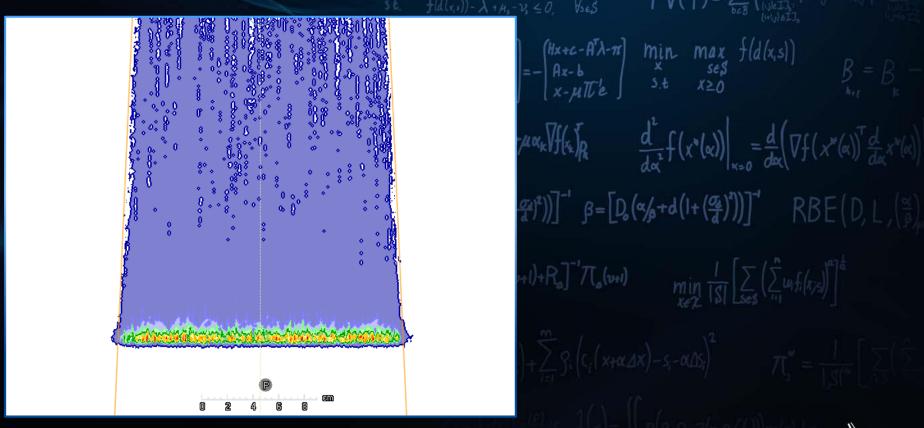
Final dose

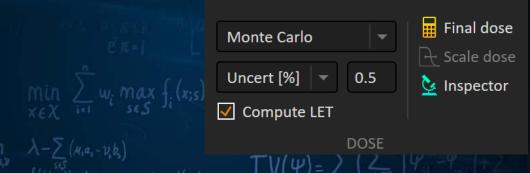


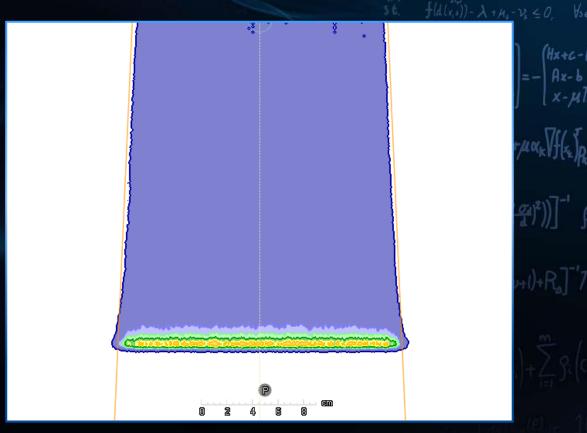
Final dose

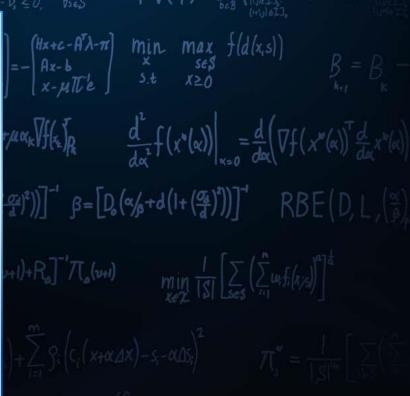
Monte Carlo



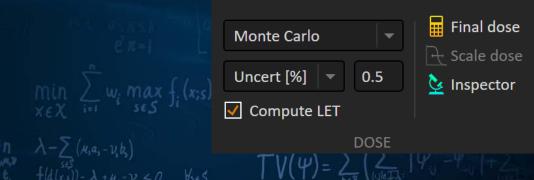


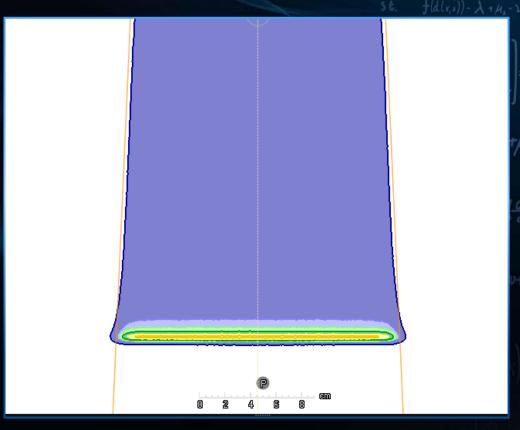






RaySearch: Laboratories







[]²))] - β=[D,(α/β+d(I+(3)))] RBE(D,L)

ROBUSTNESS IN PARTICLE THERAPY

Dealing with uncertainties



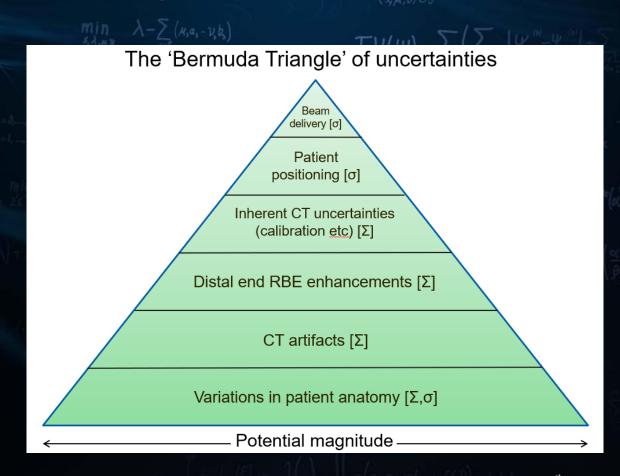
UNCERTAINTIES IN RADIOTHERAPY

The Bermuda triangle of uncertainties

Also:

- Dose computation accuracy
- Biological uncertainties
 - RBE very uncertain for light ions
- Human errors (e.g. contouring)

•





UNCERTAINTIES IN RADIOTHERAPY

The Bermuda triangle of uncertainties

Also:

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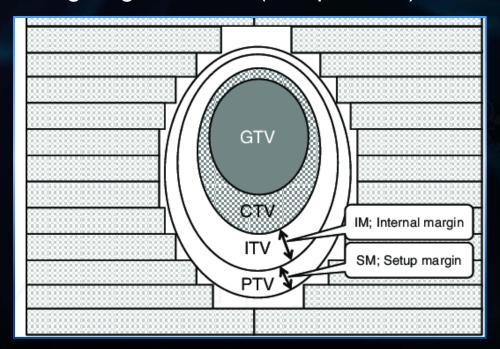
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UNCERTAINTIES IN RADIOTHERAPY

The van Herk recipe

- GTV gross target volume (what you can "see")
- CTV clinical target volume (microinfiltration of tumor)
- ITV integrated target volume (organ motion)
- PTV planning target volume (setup errors)



PTV margin recipe for dose - probability

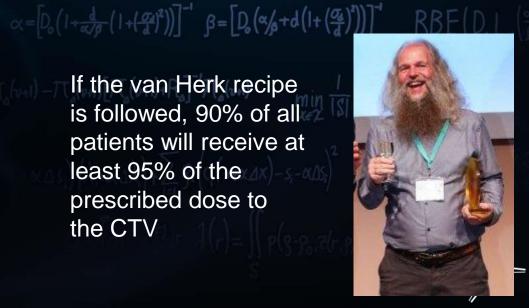
of the patients must get a minimum CTV isodose of 95%:

PTV margin =
$$2.5 \Sigma_p + 0.7 \sigma_p$$

Add margin random variation so that CTV+ first margin lies within the 95%.

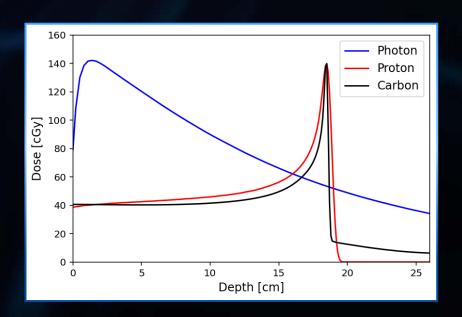


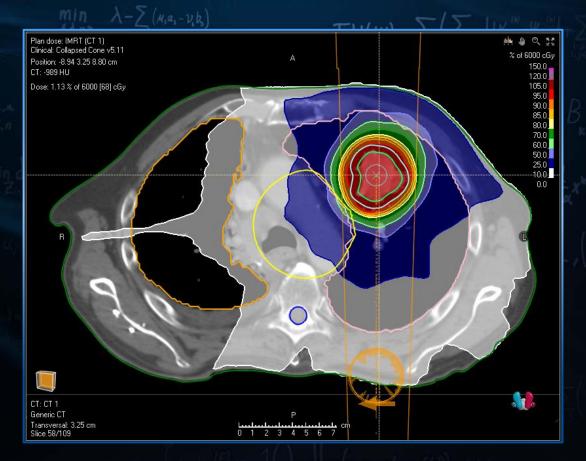
If the van Herk recipe is followed, 90% of all patients will receive at least 95% of the prescribed dose to the CTV



CREATING A ROBUST PLAN

- Conventional treatment planning typically performed on the PTV
- Photon radiation not so sensitive to range errors

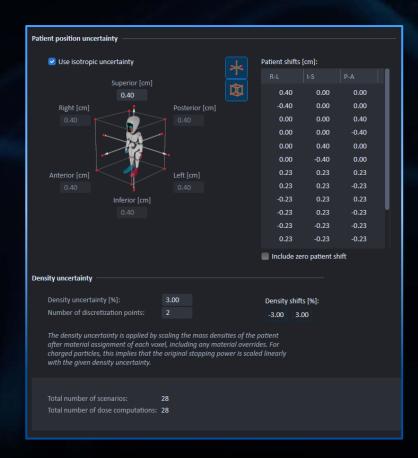


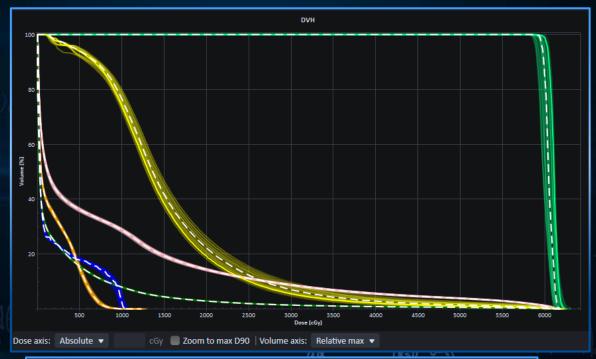


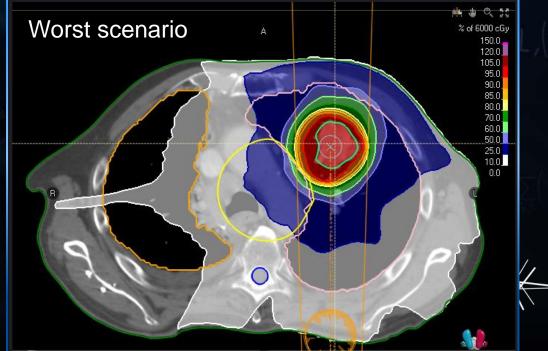


ROBUST EVALUATION

 Robust evaluation assuming 4 mm setup and 3% range uncertainty (28 dose computations)







THE PROBLEM OF ROBUSTNESS

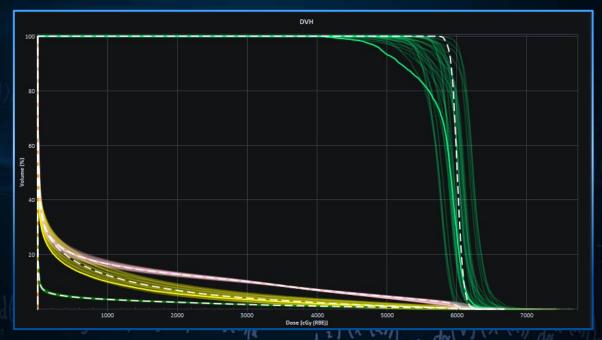
The good thing about particles is that they stop! The problem is that we don't know where...



ROBUST EVALUATION

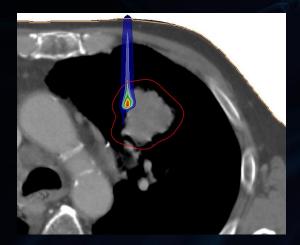
Lets do a similar robust evaluation for the proton plan

 Large cold spots in the target for a majority of the error scenarios

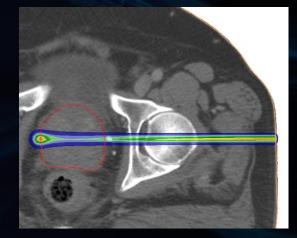




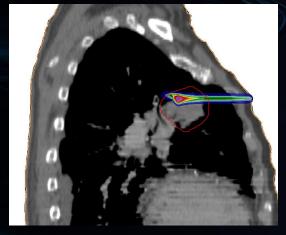
THE EFFECTS OF ERRORS ON A PBS BEAM



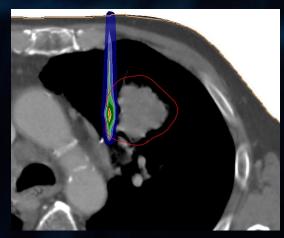
(a) Nominal setup



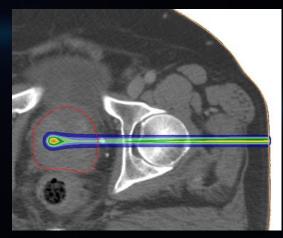
(c) Nominal density



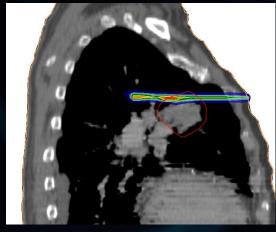
(e) Nominal tumor position



(b) Shifted setup



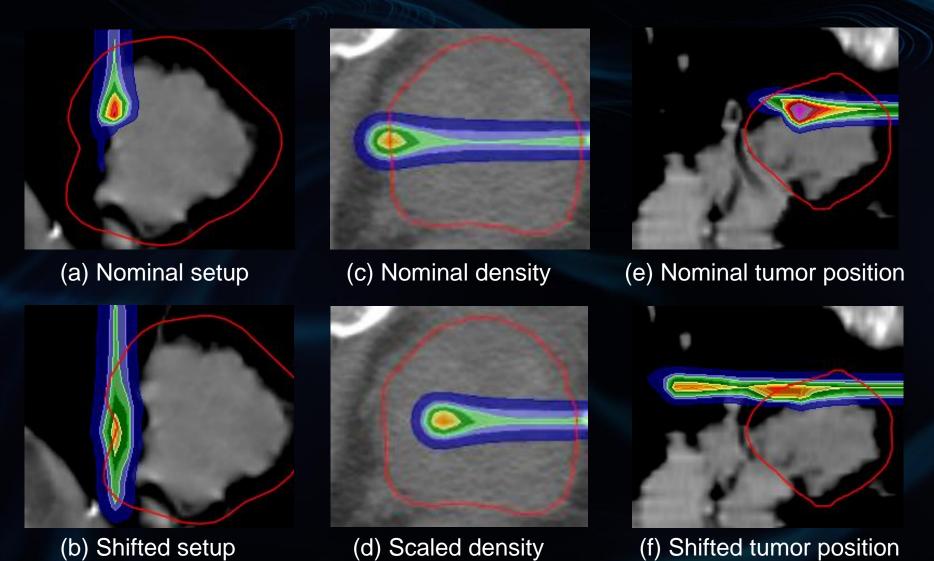
(d) Scaled density



(f) Shifted tumor position



THE EFFECTS OF ERRORS ON A PBS BEAM

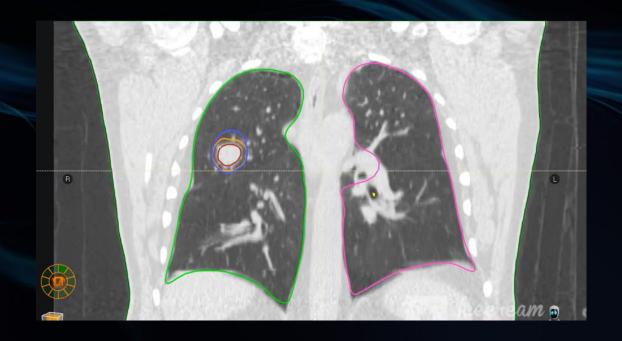


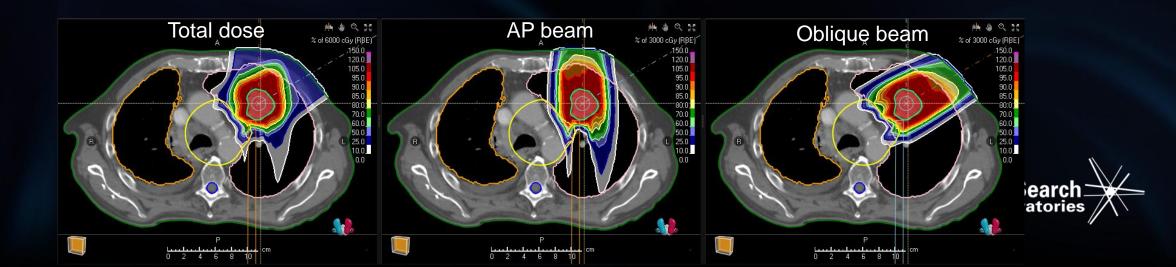


CREATING A ROBUST PLAN

A suboptimal workflow

- So how do we solve this problem?
 - ITV + PTV margins
 - Material override in PTV GTV
 - Which we remove after optimization
 - Single field uniform dose (SFUD)
 - Each beam completely covers the target by itself
 - Dose computation on average CT

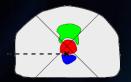


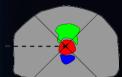


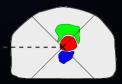
ROBUST 4D OPTIMIZATION

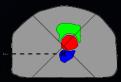
A better solution

- Composite worst-case optimization (minimax)
- Scenario based optimization
 - Patient position
 - Density uncertainty
 - Patient image (4D)
- Dose is computed and evaluated in all scenarios during plan optimization
 - Can take a long time for many scenarios



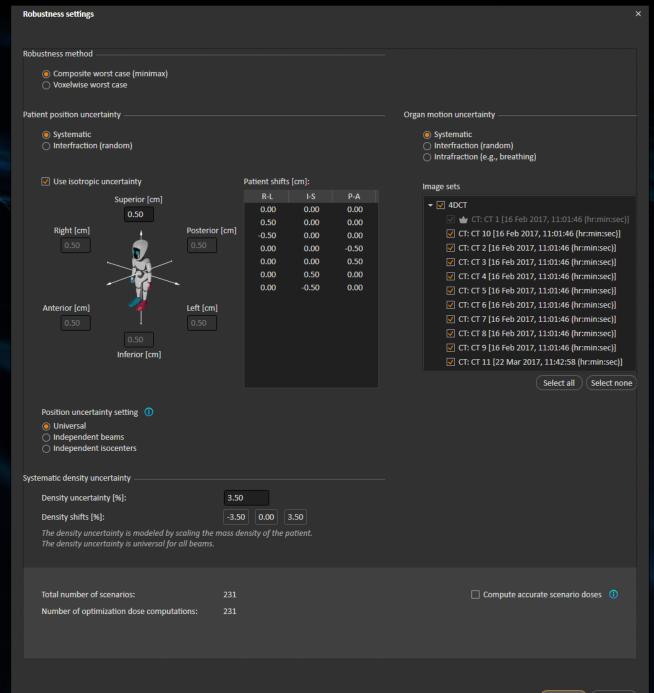






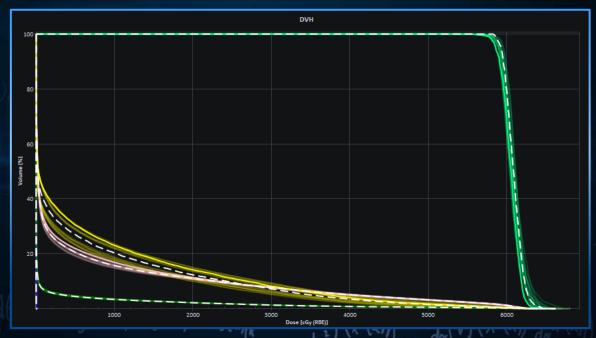
Shifted setup + Scaled density + Patient image

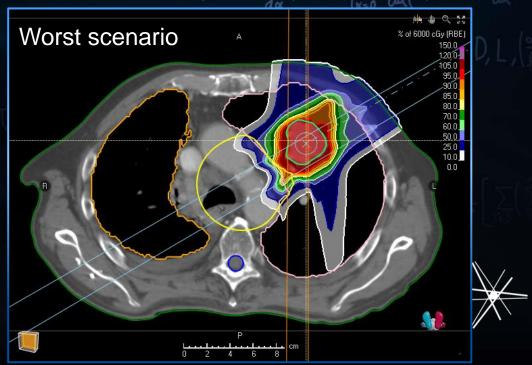
Scenario



ROBUST EVALUATION

- A similar robust evaluation for the robustly optimized proton plan have sufficient target coverage in all scenarios.
- Dose to OARs is slightly higher. Robustness always comes with a price.

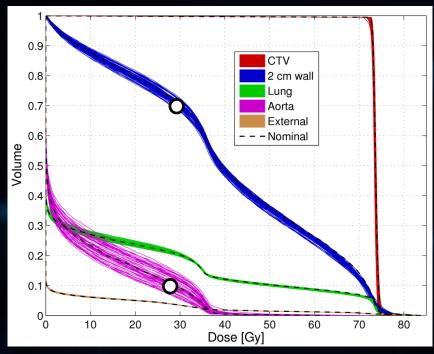




CONVENTIONAL VS ROBUST OPTIMIZATION

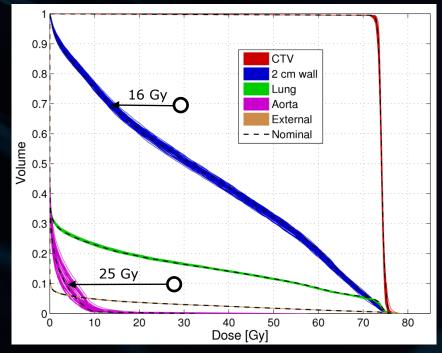
For a similar lung case

Evaluation over 50 scenarios:



Conventional planning

- Single field uniform dose
- Material override
- Margins for setup errors
- ITV for breathing motion



Robust optimization

 4D-CT, range, and setup scenarios



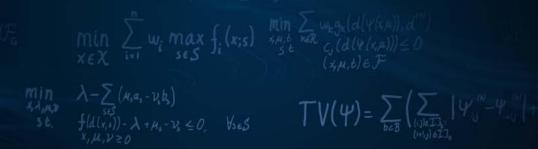
INTERPLAY ROBUSTNESS

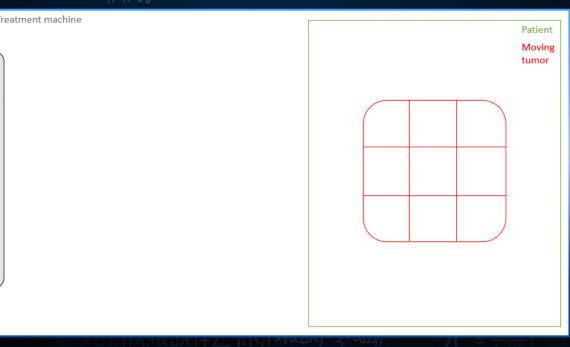
Are we done yet?



THE INTERPLAY EFFECT

- A particle beam is not delivered instantaneously, but spot by spot
 - Time to deliver spots and redirect the beam between spots
 - Time to switch energy on the machine (~2 s)
- The interplay between the time structure of the machine and the breathing cycle affects the dose delivered to the patient
 - Some spots are delivered in during inhale, some during exhale and so on
- Note that 4D optimization does <u>not</u> take this into account

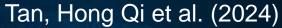


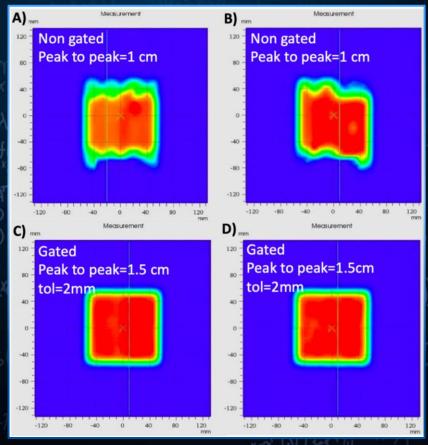




THE INTERPLAY EFFECT

- Mitigation techniques:
 - Gating (only deliver the beam during a part of the breathing cycle)
 - Breath hold
 - Repainting deliver each energy layer
 - Fractionation (interplay effect is random)
- Robust optimization with time structures





> Med Phys. 2018 Jul 16. doi: 10.1002/mp.13094. Online ahead of print.

4D robust optimization including uncertainties in time structures can reduce the interplay effect in proton pencil beam scanning radiation therapy

Erik Engwall ¹, Albin Fredriksson ¹, Lars Glimelius ¹

Interplay-robust optimization for treating irregularly breathing lung patients with pencil beam scanning

Ivar Bengtsson*
1,2, Anders Forsgren
1, Albin Fredriksson², and Ye ${\rm Zhang}^3$

November 26, 2024

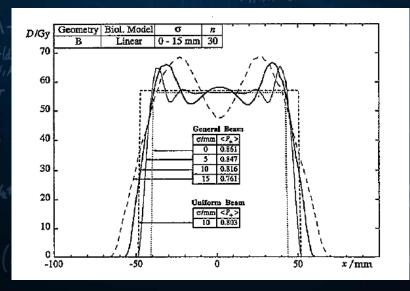
SOME FINAL WORDS ABOUT ROBUSTNESS

- Robust planning is needed for particles
- But don't be overly robust. Assuming large systematic errors in all fractions may cause unnecessary dose to the OARs.
- Interfractional robustness simulates the treatment course, and can handle random errors and reduce margins.
 - Although don't forget about biological effects
 - Typically not used clinically





Fraction 1



Löf et al. (1995)



Fraction *n*

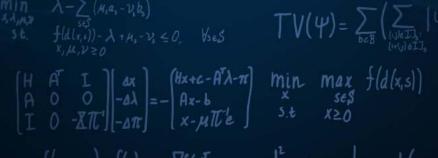


SOME FINAL WORDS ABOUT ROBUSTNESS

Instead of creating a plan that is robust against all uncertainties for 30 consecutive days of treatments, perhaps we can change the plan instead?

Adaptive radiotherapy

- Offline reoptimize plan between fractions
 - In regular clinical use
- Online reoptimize plan just before treatment
 - In clinical use at PSI
- Realtime reoptimize plan during treatment



A MARIE SKŁODOWSKA-CURIE INNOVATIVE TRAINING NETWORK (ITN)

Real-Time Adaptive Particle Therapy Of Cancer (RAPTOR)

RAPTOR brings together 13 Beneficiaries and 15 partner organizations with one aim in common: To bring adaptive particle therapy to the clinic.



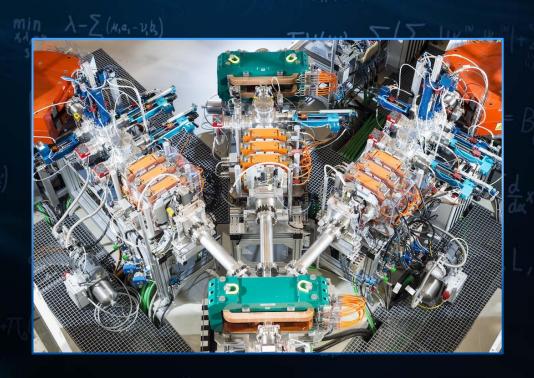
THANK YOU FOR YOUR ATTENTION

lars.glimelius@raysearchlabs.com



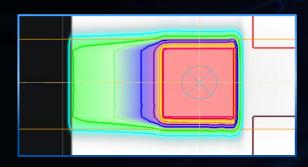
MULTI IONS

- Support for multiple ions
 - Proton validation in progress ∧
 - Helium in clinical use ✓
 - Carbon in clinical use ✓
 - Oxygen validation in progress
 - Neon implementation in progress ∧
- Co-optimized and delivered in the same fraction



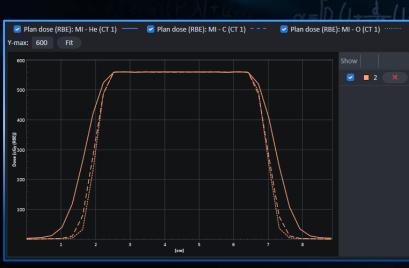


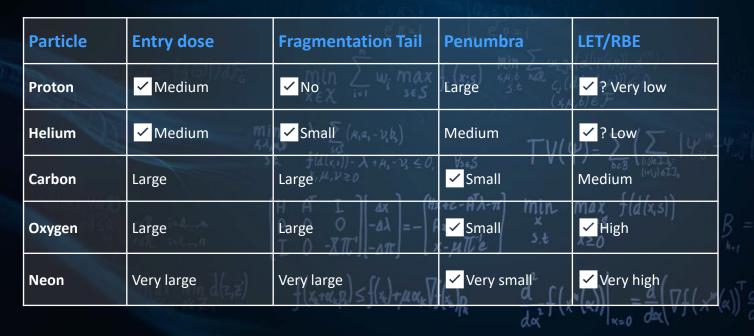
MULTI IONS

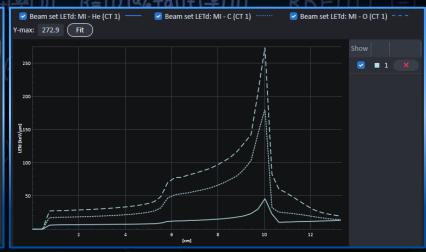




C	
✓ Plan dose (RBE): MI - He (CT 1) — ✓ Plan dose (RBE): MI - C (CT 1) Y-max: 600 Fit	
500	Show
400	
1688 1 09 300 2 100 300	





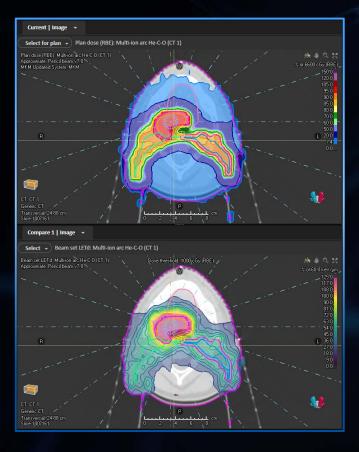


Depth dose

Lateral penumbra

Dose-averaged LET

MULTI ION ARCS



- Helium carbon oxygen
- 3 x 10 discrete arc directions
- LET-boosted primary CTV: 80 keV/um

