

# FINITE-SIZE EFFECTS VIA VOLUME-DEPENDENT FREE ENERGY

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What are the typical sizes?

- Typical size of the fireball in **heavy ion collisions** is a few fm.
- Neutron stars and **compact stars** built up from strongly interacting matter (with extra structure) with a size  $\sim 10$  km.
- Several **models with finite** (different) size.
- In field theoretical calculations (LSM, NJL, DS, etc): **infinite size**.

Why does it matter?

- The properties of the system can change significantly.
- Criticality in a finite system?
- The CEP and the first-order region might "disappear".

Might be studied in field theoretical models by implementing the finite size effects.

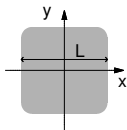
The vicinity of the CEP is accessible with models that are in the thermodynamic limit.

**Finite size** effects without losing the advantages of these models?

Modify only the  
momentum space.

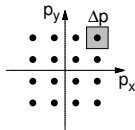
- Discretization:  $\int dp \rightarrow \sum_n$
- Low momentum cutoff:  $\int_0^\infty dp \rightarrow \int_\lambda^\infty dp$

Finite system  
with linear size L

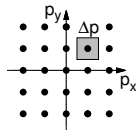


Fourier  
 $\Rightarrow$   
(and simplification)

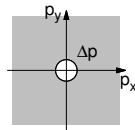
Cubic volume  
with APBC



Cubic volume  
with PBC



Low momentum  
cutoff (spherical)



## **VOLUME DEPENDENCE**

## Beyond momentum space: statistical factor

$$\mathcal{Z} = \int \mathcal{D}\phi e^{iS(\phi)}, \quad S(\phi) = \int d^4x \mathcal{L}(\phi(x), x) \quad (1)$$

Keeping only one mode to integrate: degrees of freedom from  $\infty$  to 1

$$\mathcal{Z} = \int \mathcal{D}\phi e^{-S_E(\phi)} \xrightarrow{\text{single mode}} \int_{-\infty}^{\infty} d\phi e^{-S_E(\phi)} \quad (2)$$

Constant, homogenous field + local Lagrangian:  $\int d^4x = \mathcal{V}_4 \xrightarrow{\text{fin } T} \beta V$

V dependence separates from the potential:  $S_E = \beta V \cdot U(\phi)$

The expectation value:

$$\langle \phi \rangle = \frac{1}{\mathcal{Z}} \int_{-\infty}^{\infty} d\phi \phi e^{-\beta V U(\phi)} \quad (3)$$

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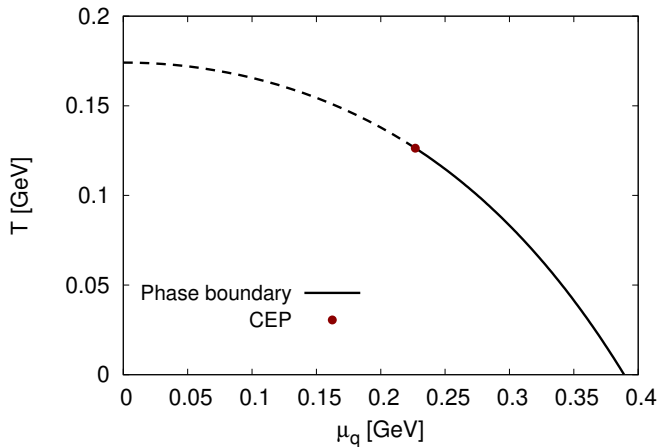
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Simple quark-meson type model (classical potential + fermionic thermal fluct.):

$$U(\phi, T, \mu) = \frac{m^2}{2} \phi^2 + \frac{\lambda}{4} \phi^4 - h\phi + 2TN_c \int_L \frac{dp^3}{(2\pi)^3} \left[ \log(1 + e^{-\beta(E-\mu)}) + \log(1 + e^{-\beta(E+\mu)}) \right] \quad (4)$$

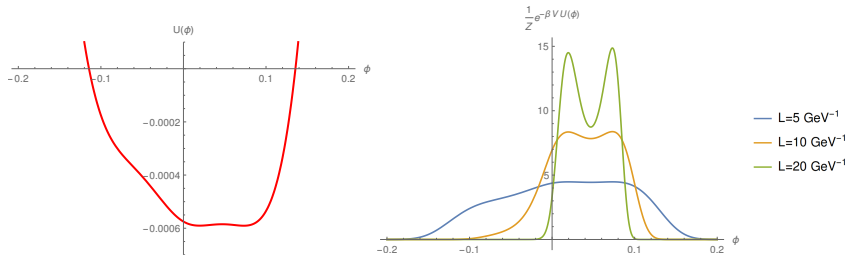
$V \rightarrow \infty, \frac{\partial U(\bar{\phi})}{\partial \bar{\phi}} = 0 \quad \Rightarrow \quad \text{Crossover at } \mu = 0, \text{ 1st order at } T = 0, \text{ CEP at finite } T \text{ and } \mu.$



At finite V:

$$\langle \phi \rangle = \int_{-\infty}^{\infty} d\phi \phi P(\phi, V), \quad P(\phi, V) = e^{-\beta V U(\phi)} / \mathcal{Z} \quad (5)$$

For a fixed  $T$  and  $\mu$  with multiple solutions in MF ( $1 \text{ fm} \approx 5 \text{ GeV}^{-1}$ ):





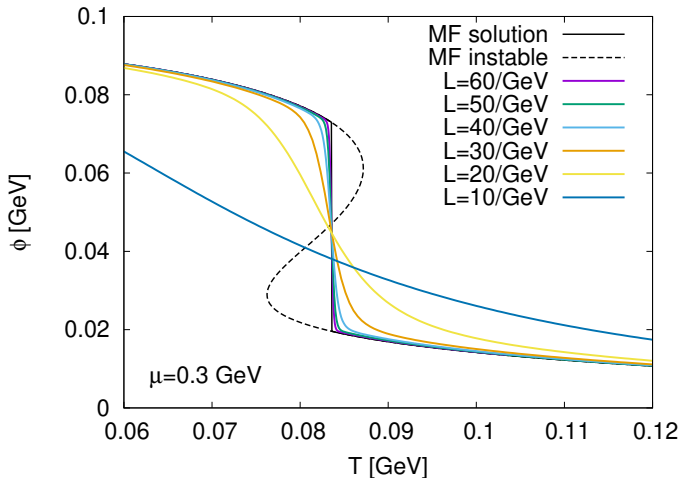
# CORRECT $V$ DEPENDENCE OF THE CONDENSATE (FIRST-ORDER)

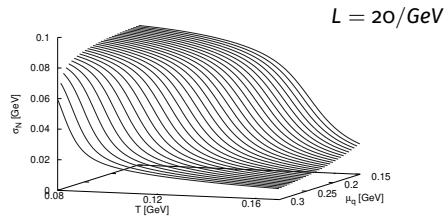
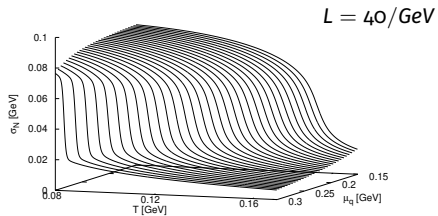
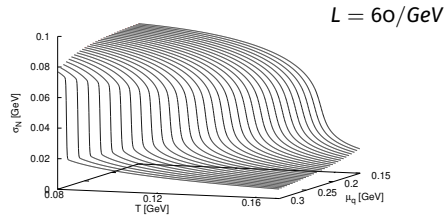
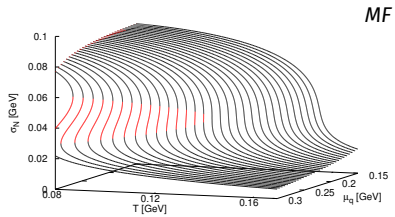
**Mean-field:**

$$\langle \phi \rangle = \bar{\phi} \Leftarrow \frac{\partial U(\bar{\phi})}{\partial \bar{\phi}} = 0$$

**Full  $V$  dependence**

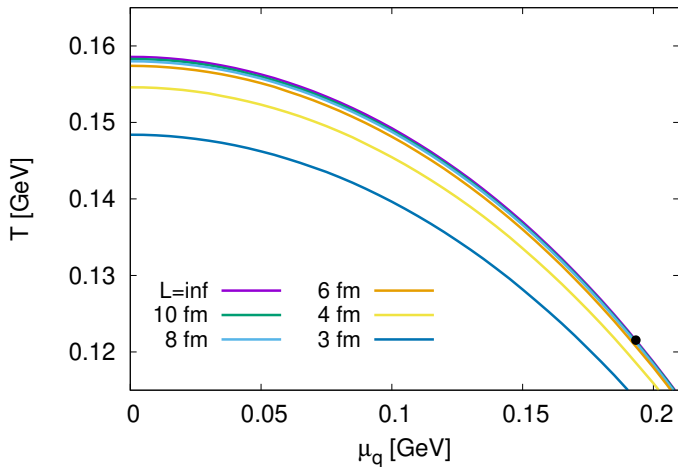
$$\begin{aligned}\langle \phi \rangle &= \frac{1}{\beta V} \frac{\partial \ln \mathcal{Z}}{\partial h} \\ &= \frac{1}{\mathcal{Z}} \int_{-\infty}^{\infty} d\phi \left( -\frac{\partial U}{\partial h} \right) e^{-\beta V U(\phi)} \\ &= \int_{-\infty}^{\infty} d\phi \phi P(\phi, V)\end{aligned}$$





Everything is smooth at finite L

Shift below  $\approx 50/\text{GeV} \approx 10 \text{ fm}$



V dependence of the free energy:  $\Phi = -T \ln \mathcal{Z}$

Expectation value of  $\phi$  and its fluctuations

$$\begin{aligned}\langle \phi \rangle &= \frac{1}{\beta V} \frac{\partial \ln \mathcal{Z}}{\partial h} = -\frac{1}{V} \frac{\partial \Phi}{\partial h}, \\ \chi_2 &= \frac{\partial \langle \phi \rangle}{\partial h} = \frac{1}{\beta V} \left( \frac{1}{\mathcal{Z}} \frac{\partial^2 \mathcal{Z}}{\partial h^2} - \left( \frac{1}{\mathcal{Z}} \frac{\partial \mathcal{Z}}{\partial h} \right)^2 \right) = \beta V (\langle \phi^2 \rangle - \langle \phi \rangle^2) \\ \chi_3 &= \frac{\partial^2 \langle \phi \rangle}{\partial h^2}, \quad \chi_4 = \frac{\partial^3 \langle \phi \rangle}{\partial h^3}\end{aligned}\tag{6}$$

The pressure:  $P = -\frac{\partial \Phi}{\partial V}$ , The particle number:  $\langle N \rangle = -\frac{\partial \Phi}{\partial \mu} = \frac{V}{\mathcal{Z}} \int_{-\infty}^{\infty} d\phi \frac{\partial U}{\partial \mu} e^{-\beta V U}$

Generally:  $\langle \mathcal{A} \rangle = \mathcal{Z}^{-1} \int d\phi \mathcal{A}(\phi) e^{-\beta V U(\phi)}$ , for a general observable  $\mathcal{A}$

# SCALING

Ising temperature ( $\tau$ ), field ( $\eta$ ), and irrelevant/marginal direction ( $u$ ).

The singular part of the free energy scales as

$$f_s(\tau, \eta, u) = L^{-d} \mathcal{F}(\tau L^{1/\nu}, \eta L^{\beta\delta/\nu}, u L^{-\omega}). \quad (7)$$

If  $u$  marginal  $\omega = 0$ , otherwise at leading order

$$f_s(\tau, \eta) = L^{-d} \mathcal{F}(\tau L^{1/\nu}, \eta L^{\beta\delta/\nu}). \quad (8)$$

The "magnetization" density and susceptibilities

$$m = \frac{\partial f_s}{\partial \eta} = L^{\beta\delta/\nu-d} \mathcal{F}(\tau L^{1/\nu}, \eta L^{\beta\delta/\nu}), \quad \chi_n = \frac{\partial^n f_s}{\partial \eta^n} = L^{n\beta\delta/\nu-d} \mathcal{F}(\tau L^{1/\nu}, \eta L^{\beta\delta/\nu}) \quad (9)$$

Our phase diagram: CEP at finite  $T, \mu_q$ , and  $h$

Critical line in the  $T, \mu_q, h$  space

**The  $T, \mu_q$ , and  $h$  direction show field-like scaling**  $\sim$  Nonzero overlap with  $\eta$

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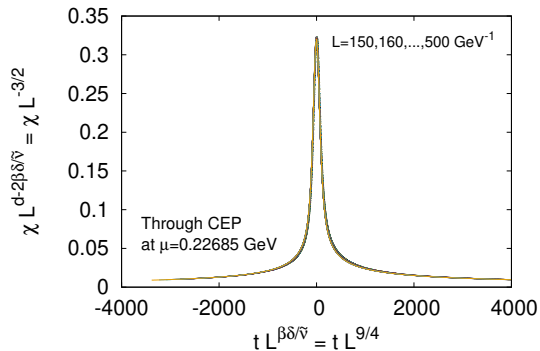
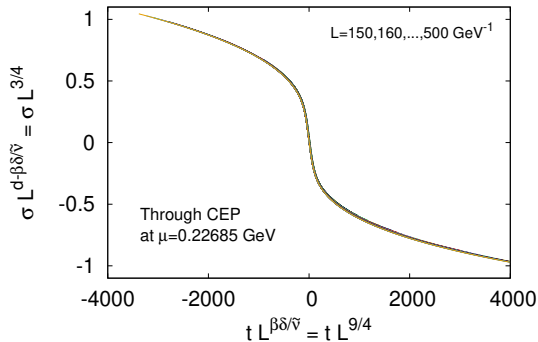
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Mean-field approximation in 3D: hyperscaling violation

Use  $\tilde{\nu} = (\gamma + 2\beta)/d = 2/3$  (c.f.  $\nu = 1/2$  for MF)

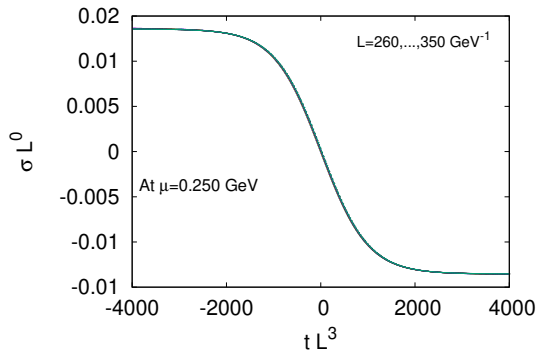
Expectation:  $\sigma(tL^{\beta\delta/\tilde{\nu}}) \propto L^{\beta\delta/\tilde{\nu}-d}$ ,  $\chi(tL^{\beta\delta/\tilde{\nu}}) \propto L^{2\beta\delta/\tilde{\nu}-d}$

$$\tilde{\nu} = (\gamma + 2\beta)/d = 2/3$$

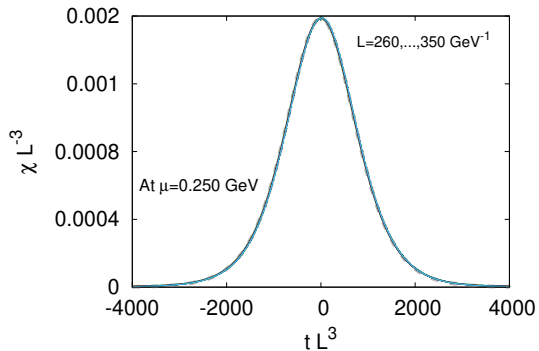




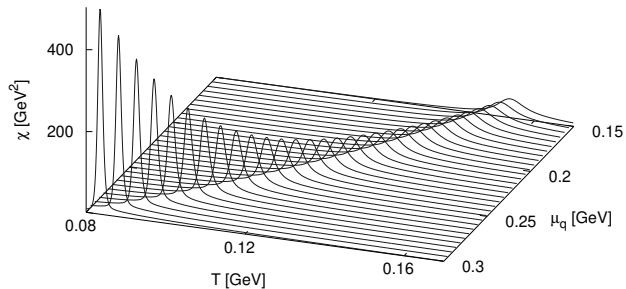
Expectation:  $\sigma(tL^3) \propto L^0$ ,  $\chi(tL^3) \propto L^3$



coexistence:  $\chi = V\chi_\delta + (\chi_1 + \chi_2)/2$

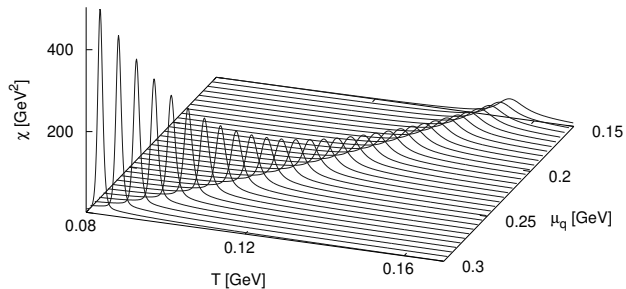


In the 1st order region  $\chi$  keeps increasing. E.g. at  $L = 40/\text{GeV}$ :



**No maximum as apparent CEP**

In the 1st order region  $\chi$  keeps increasing. E.g. at  $L = 40/\text{GeV}$ :



**Thy system does not stay there long in reality**

Using the double Gaussian approximation

$$P(\phi) = \frac{A}{(2\pi\tau)^{1/2}} \left[ e^{-((\phi-\sigma_1)^2)-2\chi_1\phi\eta)L^d/(2\tau\chi_1)} + e^{-((\phi-\sigma_2)^2)-2\chi_2\phi\eta)L^d/(2\tau\chi_2)} \right] \quad (10)$$

with A is for the proper normalization  $\int d\phi P(\phi) = 1$

Using  $\langle\phi\rangle = \int d\phi \phi P(\phi)$ ,  $\langle\phi^2\rangle = \int d\phi \phi^2 P(\phi)$ , and  $\chi = V/\tau(\langle\phi^2\rangle - \langle\phi\rangle^2)$  gives

$$\langle\phi\rangle = \lambda_1 U_1 + \lambda_2 U_2 \quad (11)$$

$$\chi = \chi_1 U_1 + \chi_2 U_2 + \frac{L^d}{\tau} (\lambda_1 - \lambda_2)^2 U_1 U_2 \quad (12)$$

width introducing  $U_i = W_i/(W_1 + W_2)$ ,  $W_i = \chi_i^{1/2} e^{\eta L^d (\chi_i \eta + 2\sigma_i)/(2\tau)}$ ,  $\lambda_i = \sigma_i + \eta \chi_i$

With  $\sigma_{1/2} = \bar{\sigma} \pm \delta\sigma$ ,  $\chi_{1/2} = \bar{\chi} \pm \delta\chi$ , and assuming  $\delta\chi$  is small:

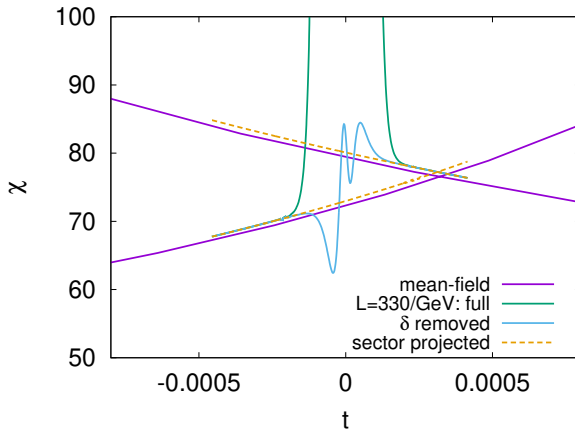
$$\chi|_{\delta\chi \rightarrow 0} = \bar{\chi} + \frac{L^d}{\tau} (\lambda_1 - \lambda_2)^2 \frac{1}{4} \cosh^{-2} \left( \eta L^d (\sigma_1 - \sigma_2)/(2\tau) \right) = \bar{\chi} + \frac{L^d}{\tau} \delta\sigma^2 \cosh^{-2} \left( \eta L^d \delta\sigma/\tau \right) \quad (13)$$

Binder: Lect. Notes Phys. 409, 59 (1992)

Peak from coexistence  
is clearly visible

The sector projected  
curves tend to MF

One may fit the  $\chi_\delta$  part  
and remove it from full  
(rough approximation)

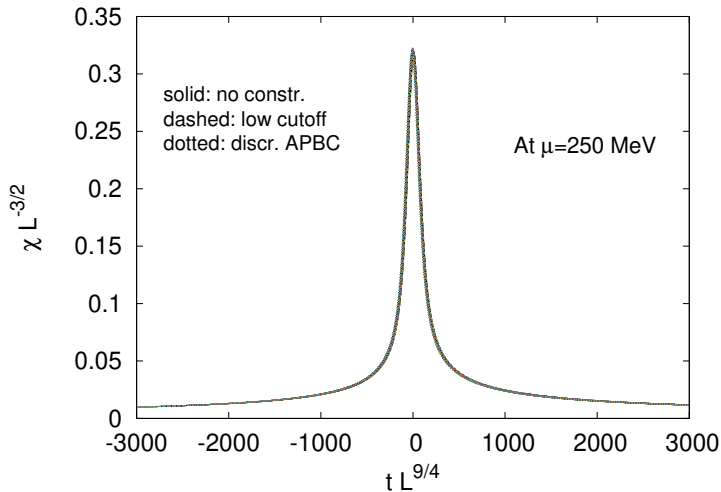


## ADDING MOMENTUM SPACE CONSTRAINTS: SCALING

Constraints:

- low momentum cutoff
- discretization with PBC/APBC

No change in the scaling for large  $V$ , as expected.



## SCALING ALONG SPECIAL LINES

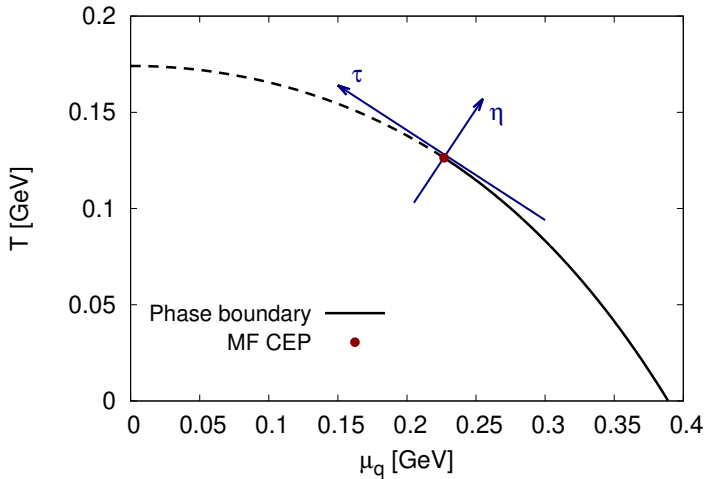
Along the boundary  $\tau$  direction with  $\tau = \text{sgn}(T - T_C) \sqrt{(T - T_C)^2 + (\mu - \mu_C)^2}$

The phase boundary  
almost straight  
in such a small section

**Binder cumulant:**

$$\kappa_B = \frac{\chi_4}{L^d \chi_2^2}$$

$$\kappa_B \propto L^0, \tau \propto L^{-1/\tilde{\nu}}$$





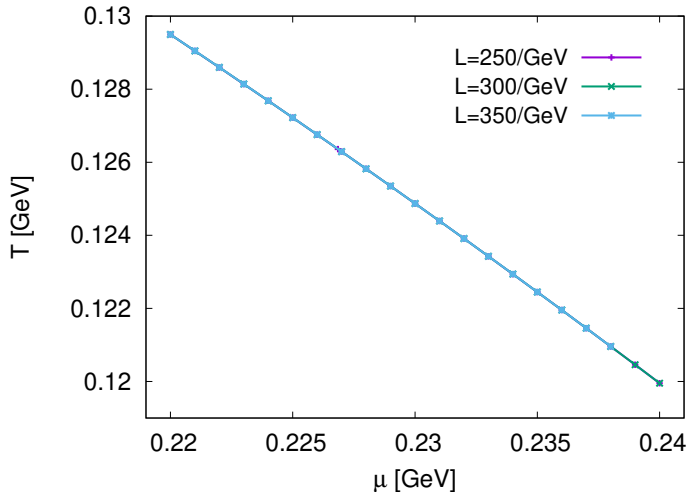
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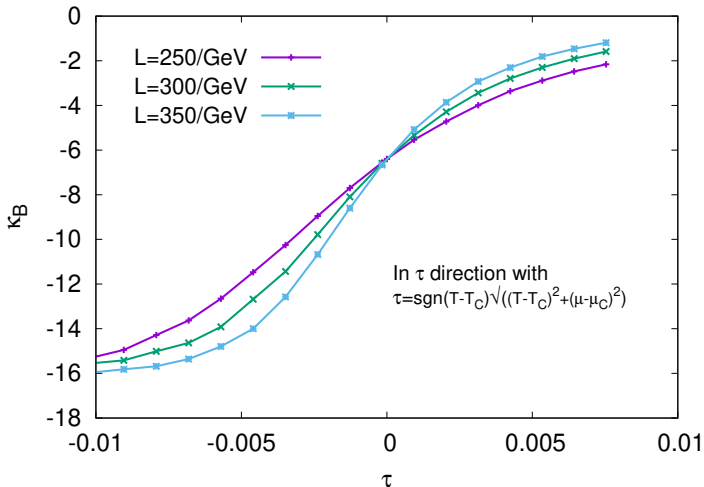
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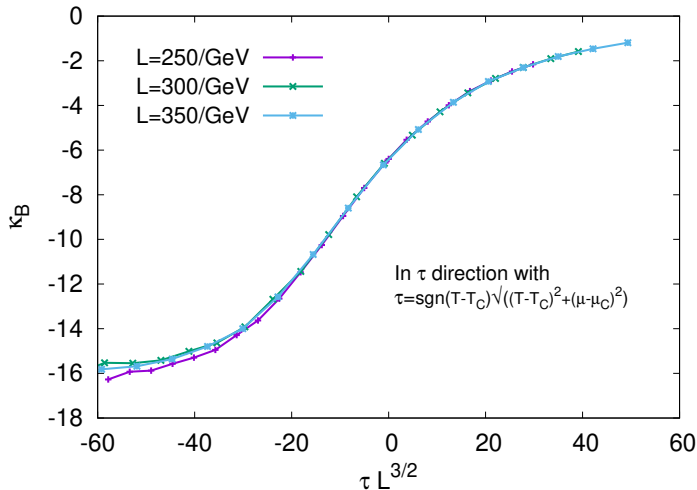
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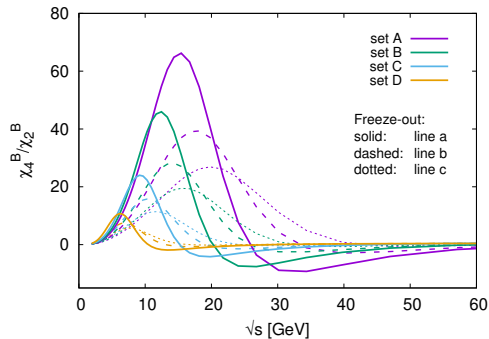
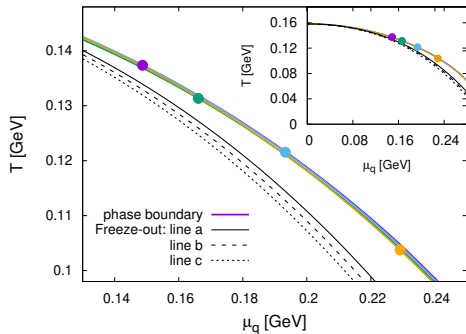
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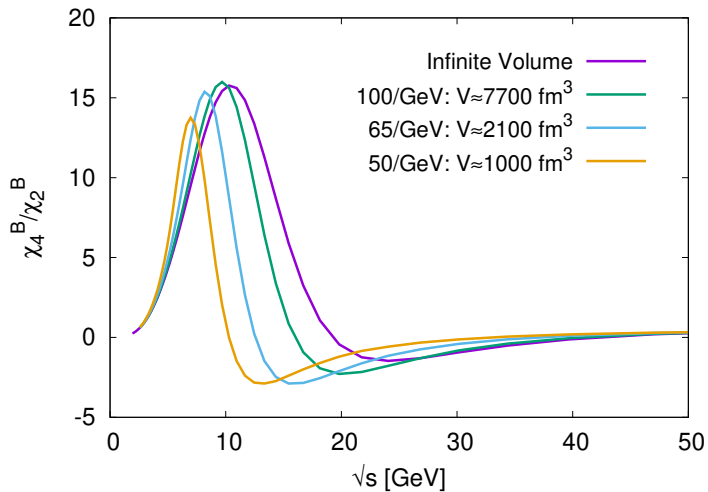


# FLUCTUATIONS ALONG A “FREEZE-OUT”



Very narrow window for  $\chi_4^B/\chi_2^B < 0$  (model dependent, might be much wider)

## FLUCTUATIONS ALONG A “FREEZE-OUT”



- Simple approach for finite size effects in MF.
- Scaling properties can be reproduced
- FSS above  $L \approx 20$  fm linear size
- No apparent CEP can be deduced as a maximum in the fluctuations.
- Along the transition through CEP:  $\chi_4/(V\chi_2^2)$ ; Along the freeze-out line:  $\chi_4/\chi_2$
- Many other details....

THANK YOU!