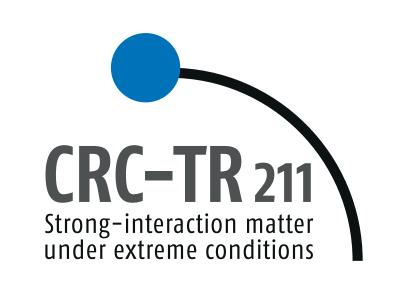
HOT AND DENSE QCD FROM FUNCTIONAL METHODS

Fabian Rennecke



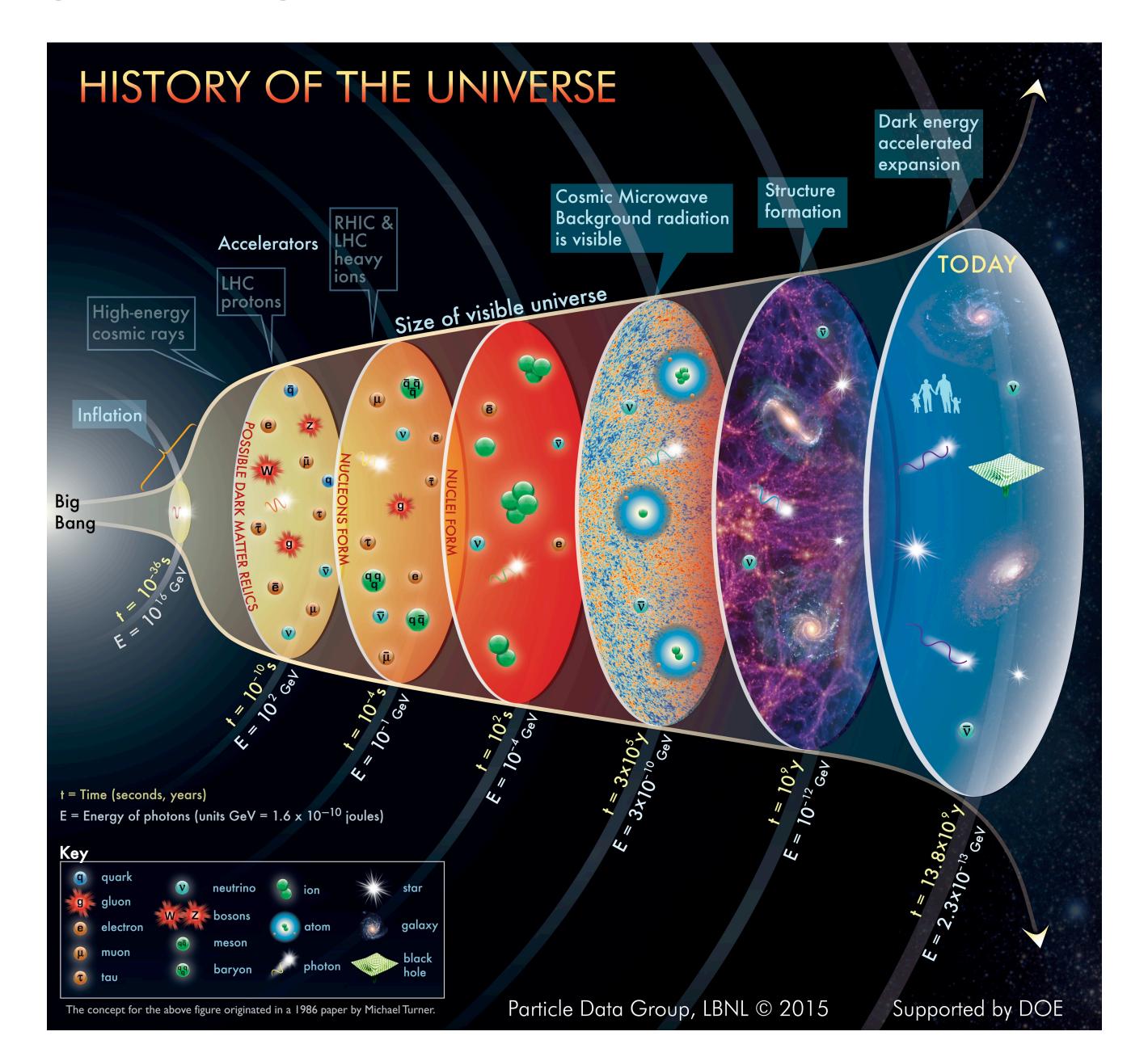




INTERNATIONAL SCHOOL OF NUCLEAR PHYSICS

ERICE - 17/09/2025

UNDERSTAND MATTER IN EXTREME CONDITIONS



universe before the formation of the CMB $(t \lesssim 3 \times 10^5 \, \text{y}, T \gtrsim 1 \, \text{eV})$ is invisible to us; nucleons formed during this time



study hot QCD matter to understand formation of nuclear matter in the universe

UNDERSTAND MATTER IN EXTREME CONDITIONS



neutron stars can reach densities of several times the nuclear saturation density ($n \approx 5n_0$, $\mu_B \approx 1.5 \, \mathrm{GeV}$)

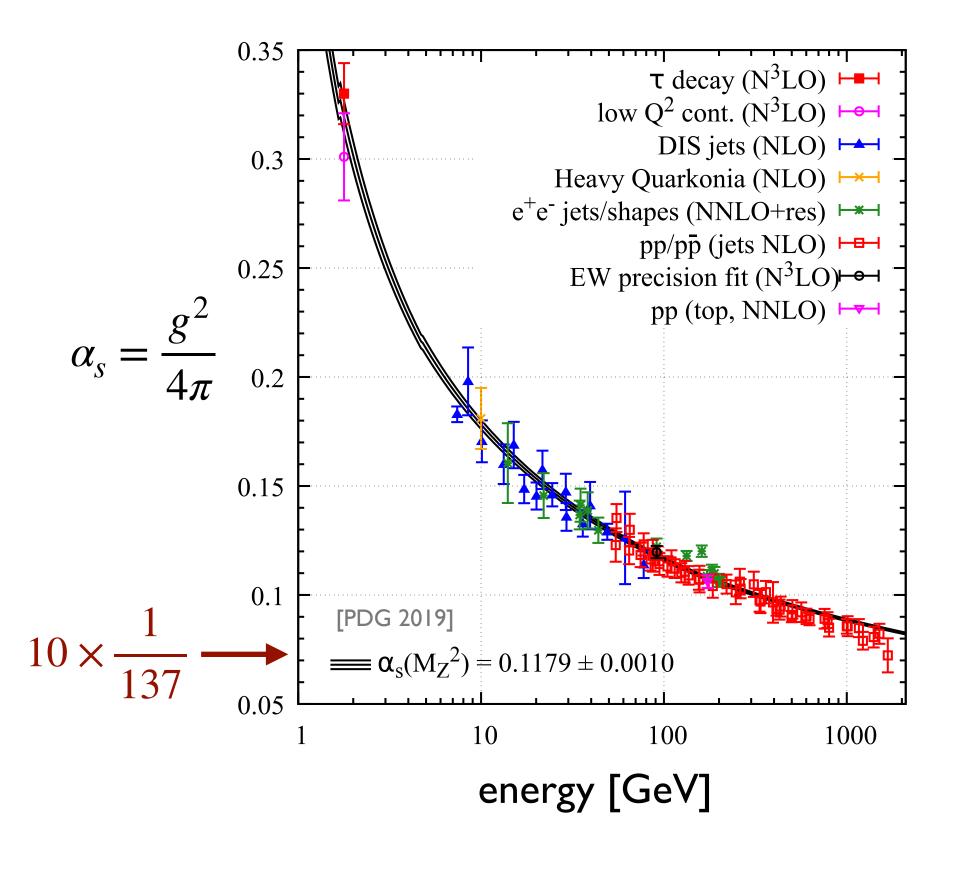
study dense QCD matter to understand neutron stars & their mergers

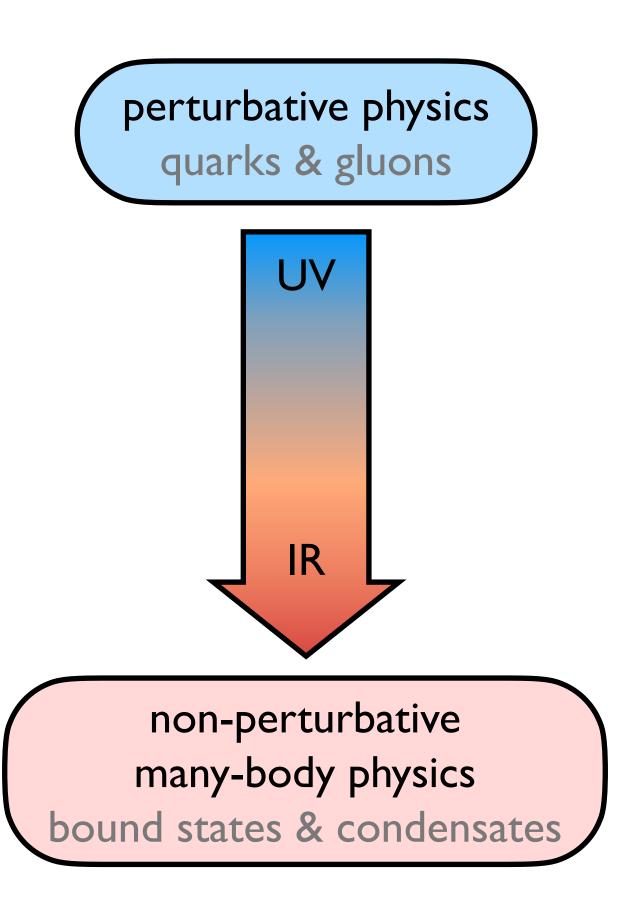
QCD

 $SU(N_c=3)$ gauge theory with $N_f=6$ flavors of quarks

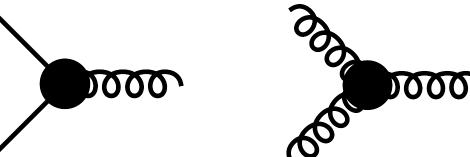
$$\mathcal{L}_{\text{QCD}} = \bar{q} \left(\gamma_{\mu} D_{\mu} - m_q \right) q - \frac{1}{4} F^a_{\mu\nu} F^a_{\mu\nu}$$

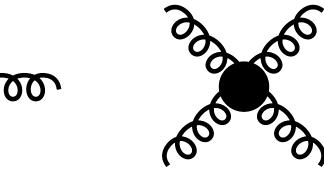
- innocent looking, but still not understood to a large extent
- key feature: asymptotic freedom

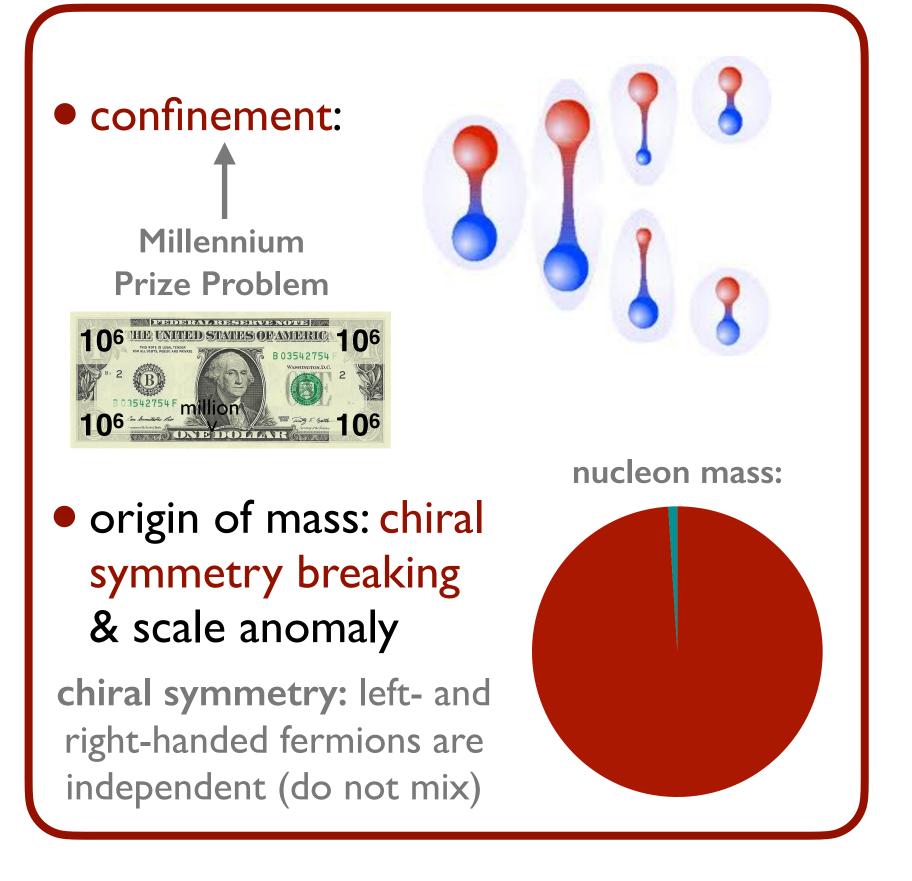




microscopic interactions:

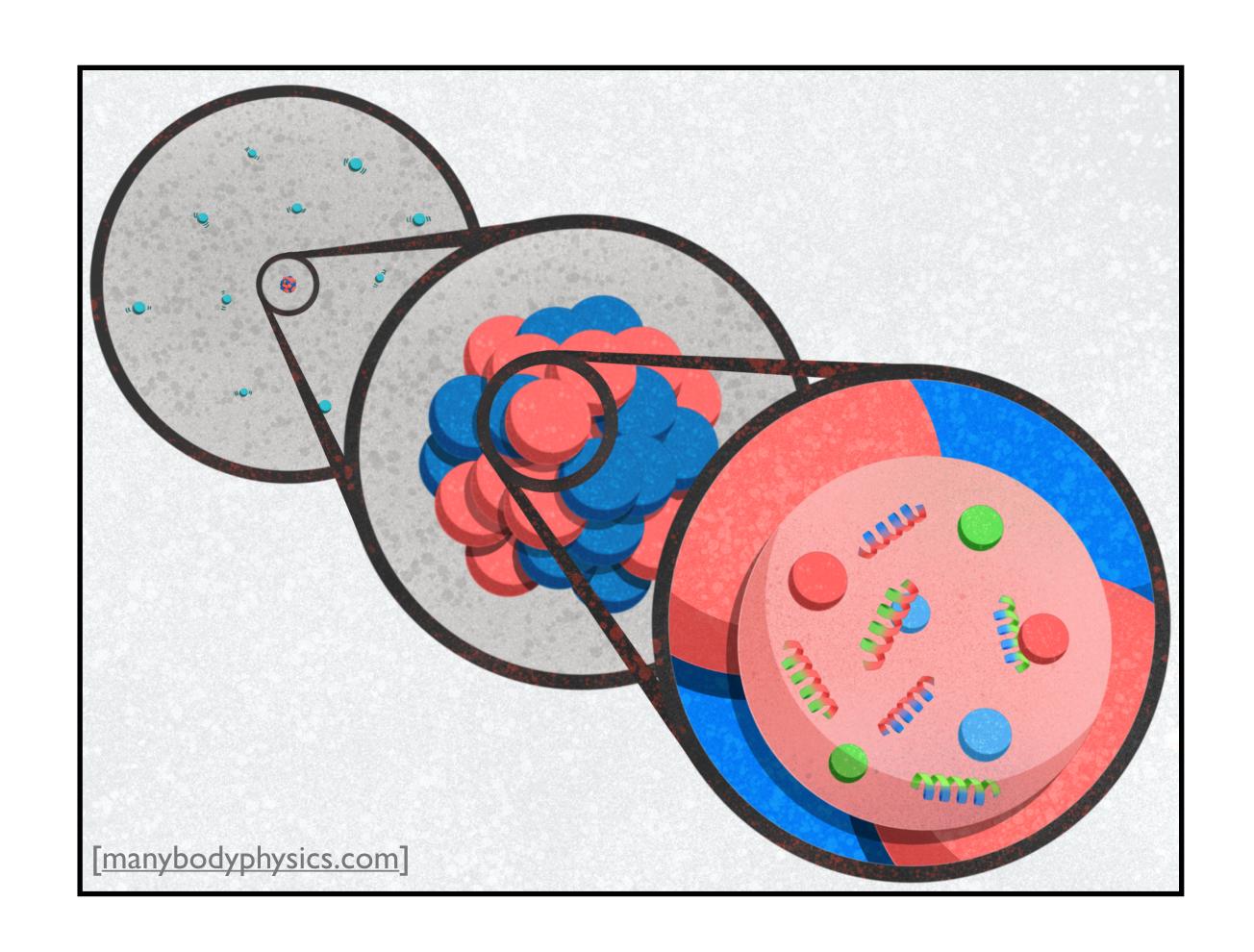






THE PHYSICS OF SCALES

different degrees of freedom at different energy scales



 $\lesssim 1 \, \text{eV:matter}$

 $\approx 1 \, \text{keV}$: atoms

 $\approx 1 \, \text{MeV}$: nuclei

 $\approx 100 \, \text{MeV}$: nucleons/pions (hadrons)

≥ 1 GeV: quarks & gluons

more general: constituents vs. bound states/condensates/collective excitations

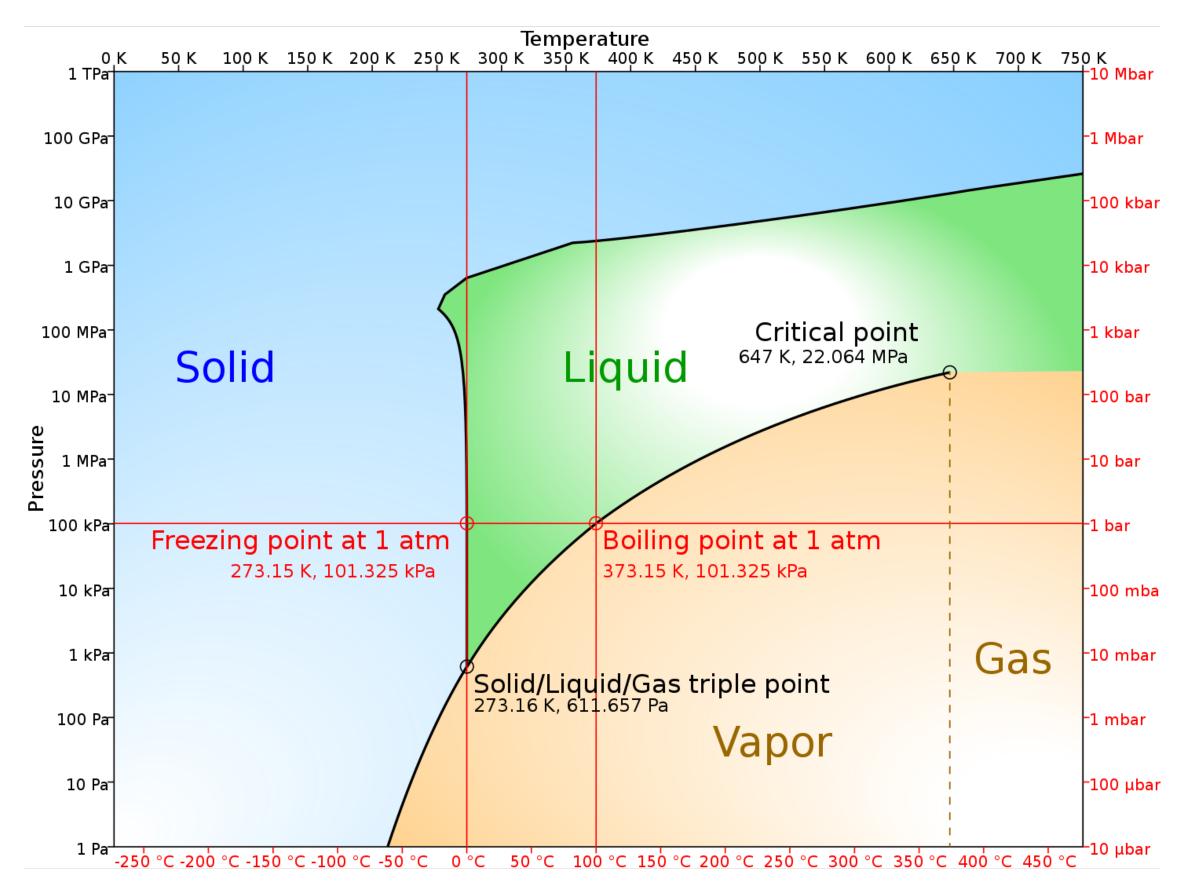
THE QCD PHASE DIAGRAM

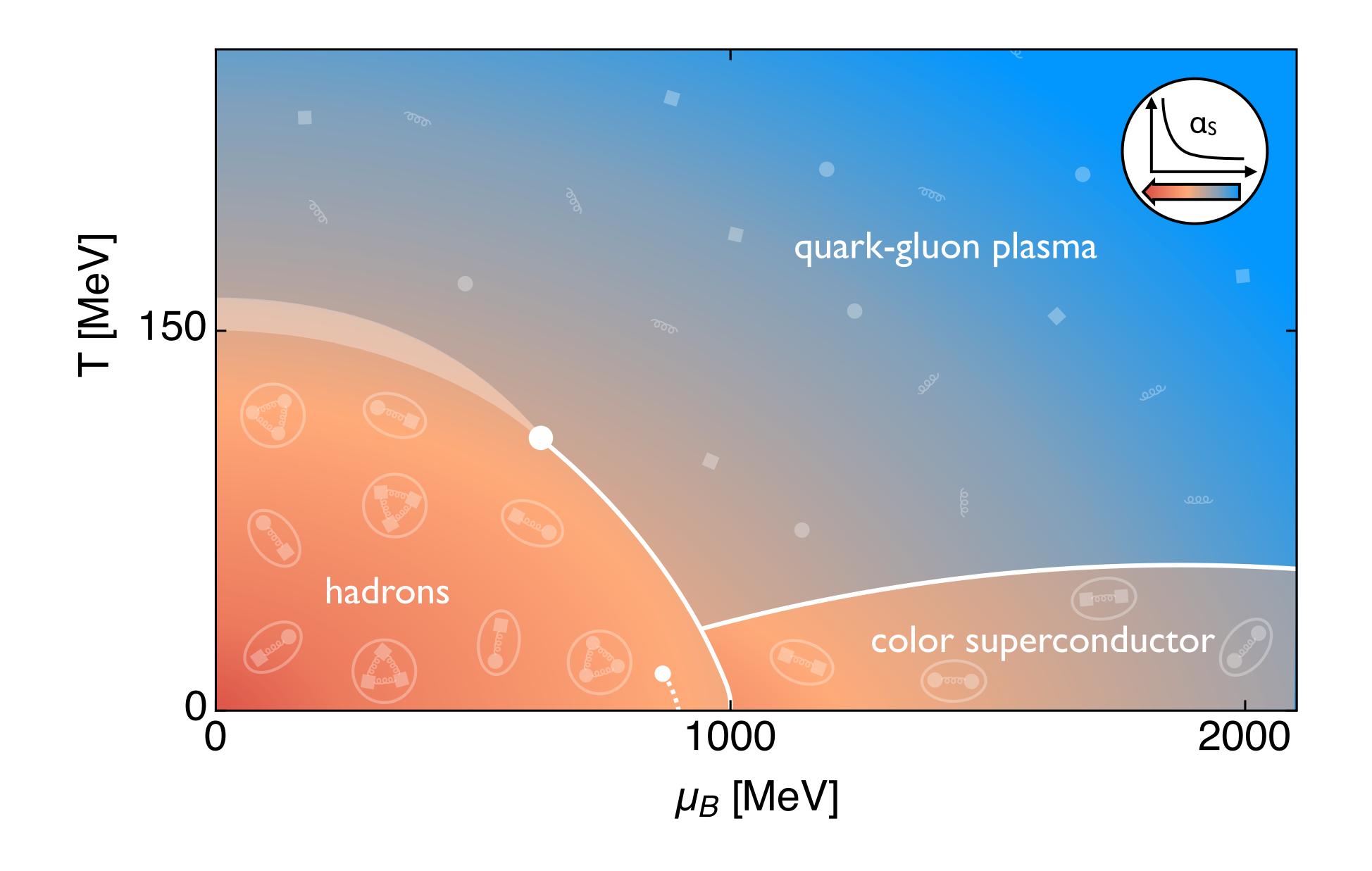
The energy scale can be set by external parameters

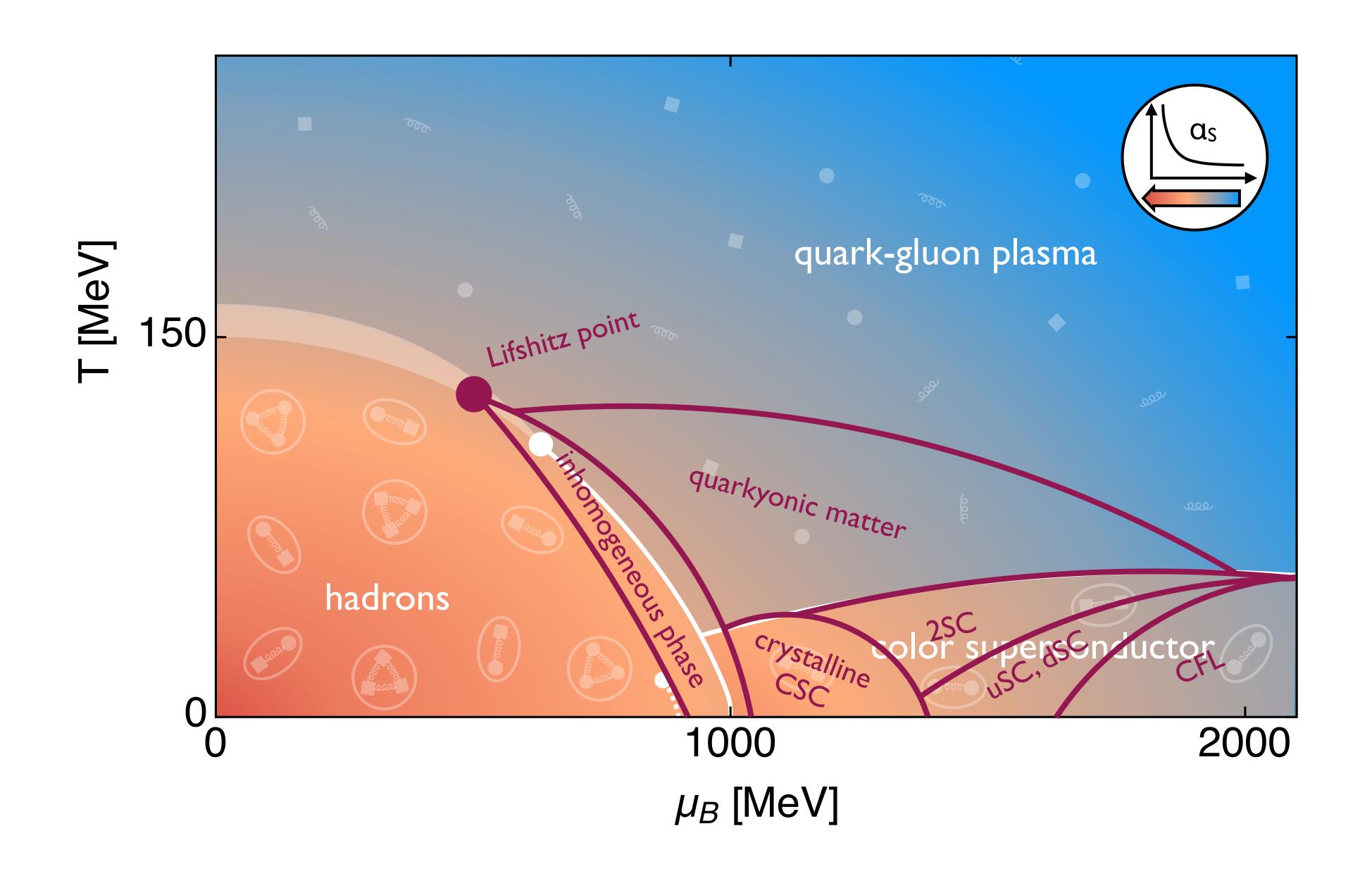
- temperature
- various chemical potentials/densities
- magnetic field
- angular momentum

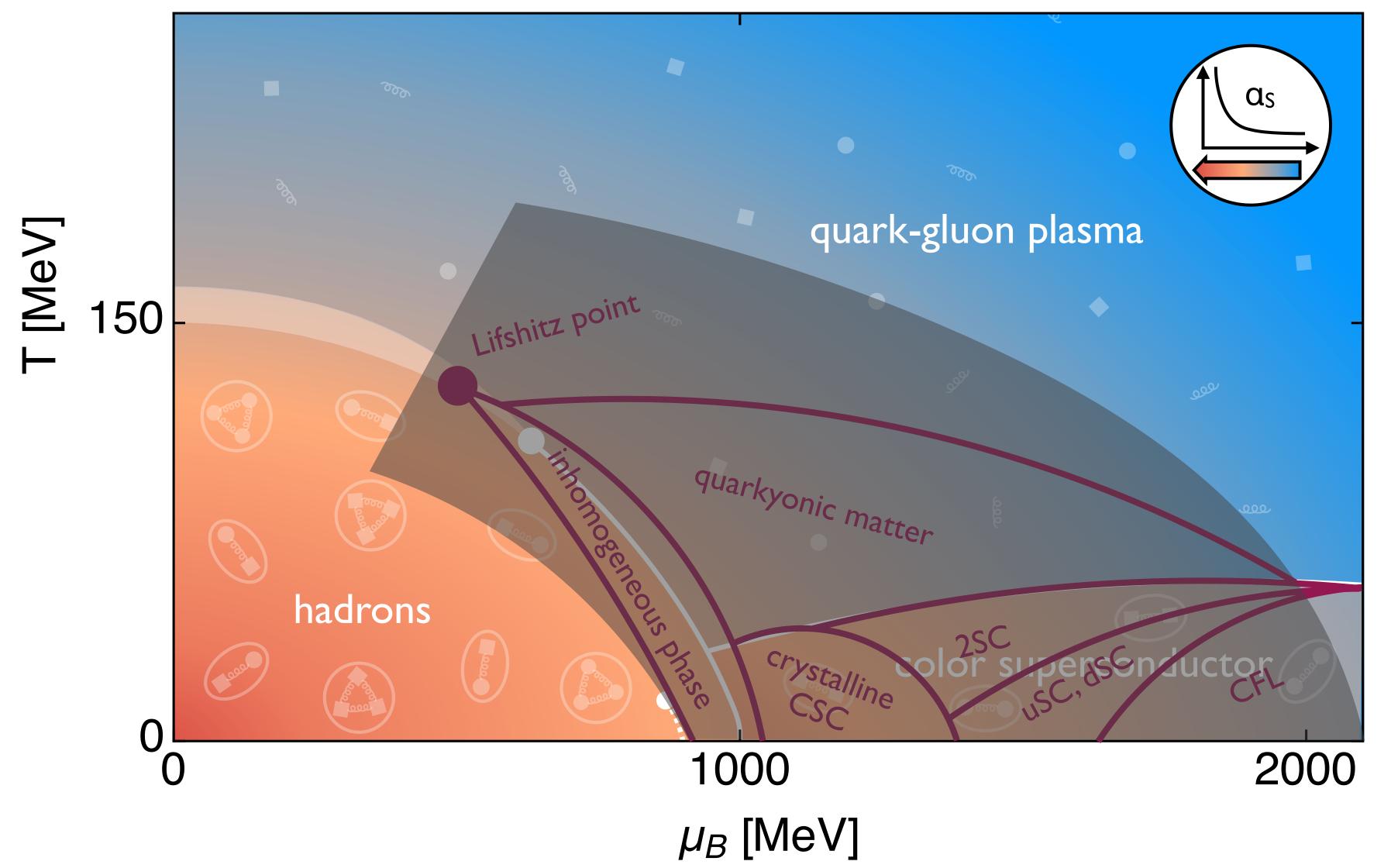


Example: T-P diagram of water









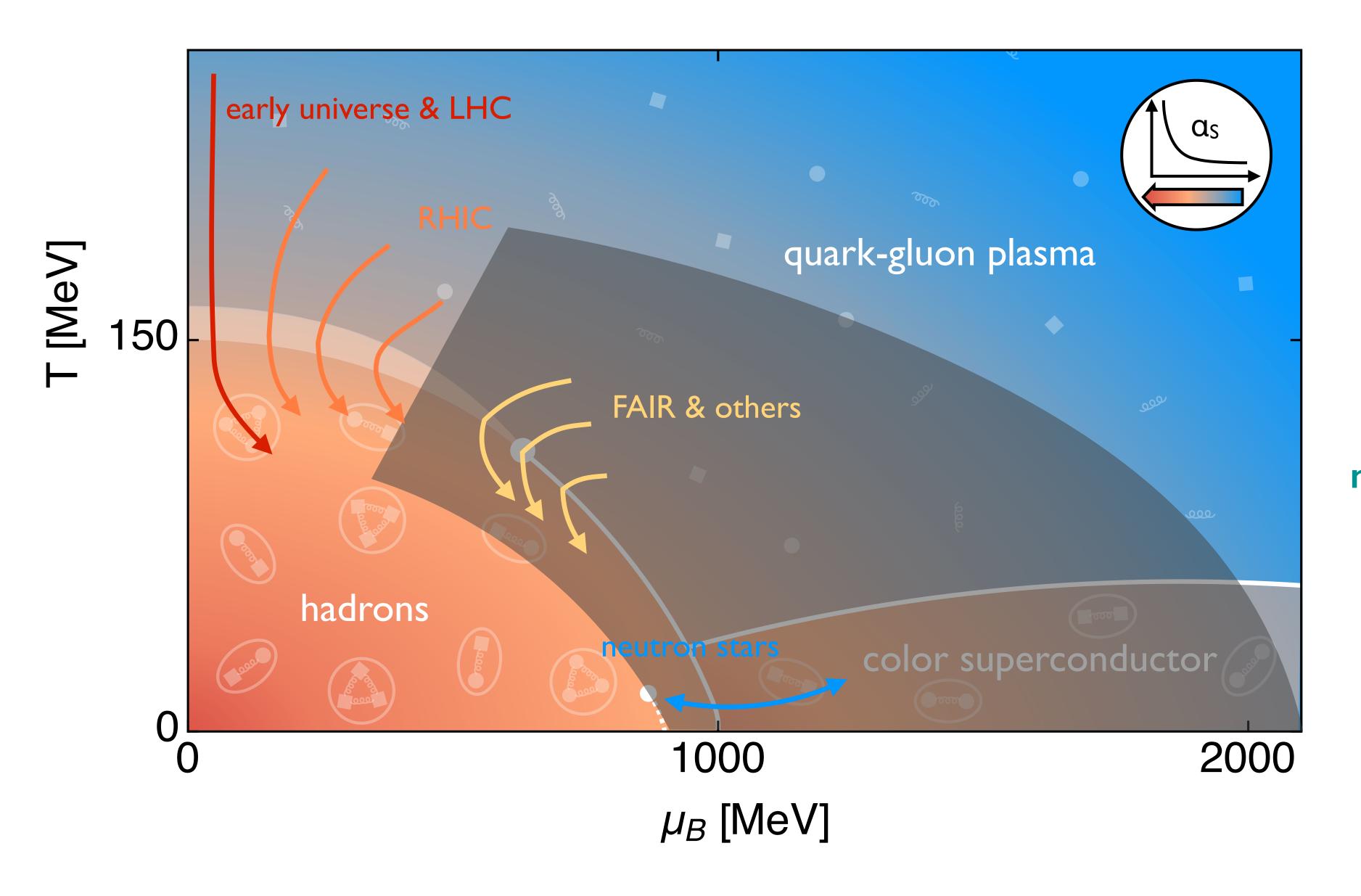
theoretical challenges:

- strong coupling: nonperturbative
- sign problem at finite density: lattice QCD of limited use
- different degrees of freedom in different phases: EFTs of limited use

use functional methods*

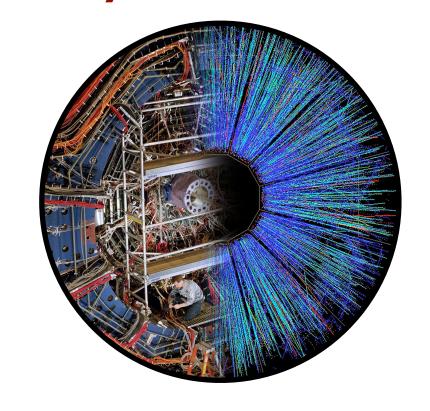
(or wait for quantum computers)

^{*} functional renormalization group (FRG) & Dyson-Schwinger equations (DSE)

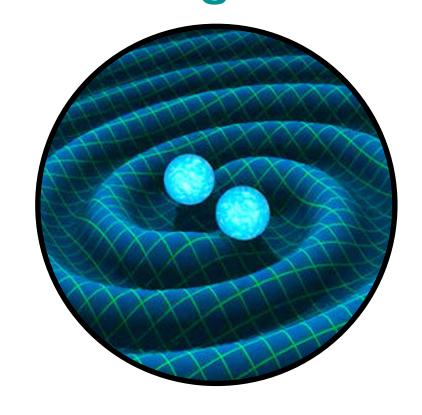


Experiments:

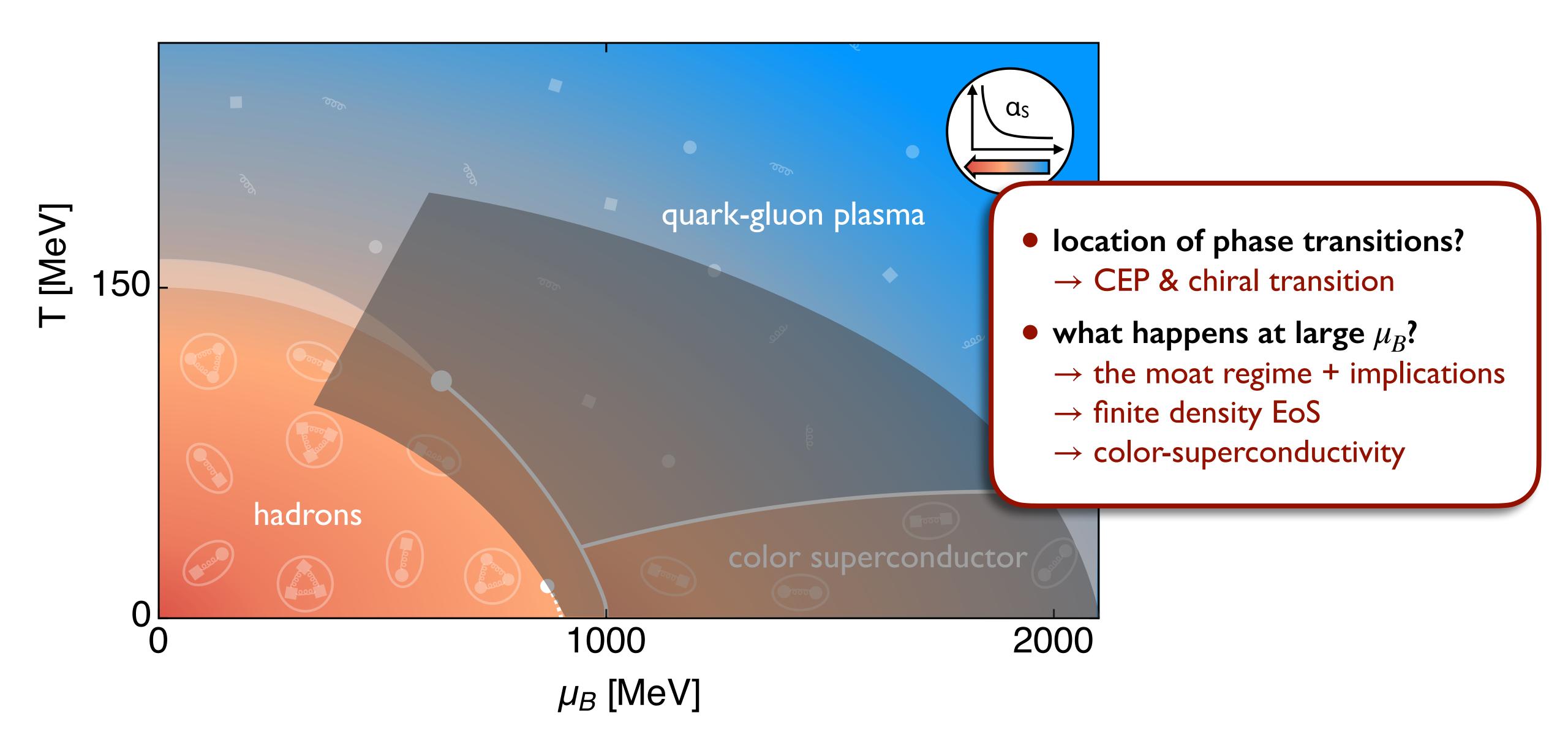
heavy-ion collisions



multi-messenger astronomy



QCD PHASE DIAGRAM IN THIS TALK



The path integral encodes all possible correlation functions of a QFT

$$Z[J] = \int \mathcal{D}\varphi \, e^{iS[\varphi] + i \int_{\mathcal{X}} J(x)\varphi(x)}$$

$$\langle \varphi \cdots \varphi \rangle \sim \frac{\delta}{\delta J} \cdots \frac{\delta}{\delta J} Z[J] \Big|_{J=0}$$

solving a QFT ⇔ knowing all correlation functions

The path integral encodes all possible correlation functions of a QFT

$$Z[J] = \int \mathcal{D}\varphi \, e^{iS[\varphi] + i \int_{\mathcal{X}} J(x)\varphi(x)}$$
$$\langle \varphi \cdots \varphi \rangle \sim \frac{\delta}{\delta J} \cdots \frac{\delta}{\delta J} Z[J]$$

solving a QFT ⇔ knowing all correlation functions

functional methods provide exact relations for correlation functions

Dyson-Schwinger equations (DSE)

"quantum equations of motion"

$$\int \mathcal{D}\varphi \left(\frac{\delta S[\varphi]}{\delta \varphi(x)} + J(x)\right) e^{iS[\varphi] + i\int_x J(x)\varphi(x)} = 0$$

functional renormalization group (FRG)

successively integrate out quantum fluctuations

$$Z_{k}[J] = \int \mathcal{D}\varphi \, e^{i \left(S[\varphi] + \Delta S_{k}[\phi]\right) + i \int_{x} J(x)\varphi(x)}$$

$$\Delta S_{k}[\varphi] = \int_{p} \frac{1}{2} \, \varphi(p) \, R_{k}(p) \, \varphi(-p)$$

specify theory/model by choice of microscopic action $S[\phi]$

 $S[\varphi] = S_{OCD}[q, \bar{q}, A]$: QCD from first principles

- define infinite towers of coupled equations for all correlation functions: truncations necessary
- no small parameter in many cases, but apparent hierarchy from low- to high-order correlations
- no sign problem: finite density, real time and complex parameter spaces are all directly accessible
- one/two-loop exact: both intuition and techniques can be leveraged

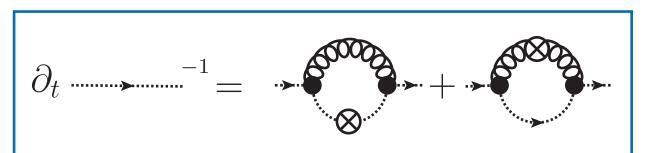
QCD related reviews:

FRG	DSE
[Pawlowski, 05 1226]	[Alkofer, von Smekal, 0007355]
[Gies, 0611146]	[Fischer, 0605173]
[Rosten, 1003.1366]	[Roberts, Schmidt, 0005064]
[Braun, 1108.4449]	[Eichmann at al, 1606.09602]
[Dupuis at al., 2006.04853]	[Fischer, 1810.12938]
[Fu, 2205.00468]	[Huber, 1808.05227]

FUNCTIONAL QCD - A GLIMPSE

What we solve - in the gauge sector

FRG [Cyrol et al, 1605.01856]

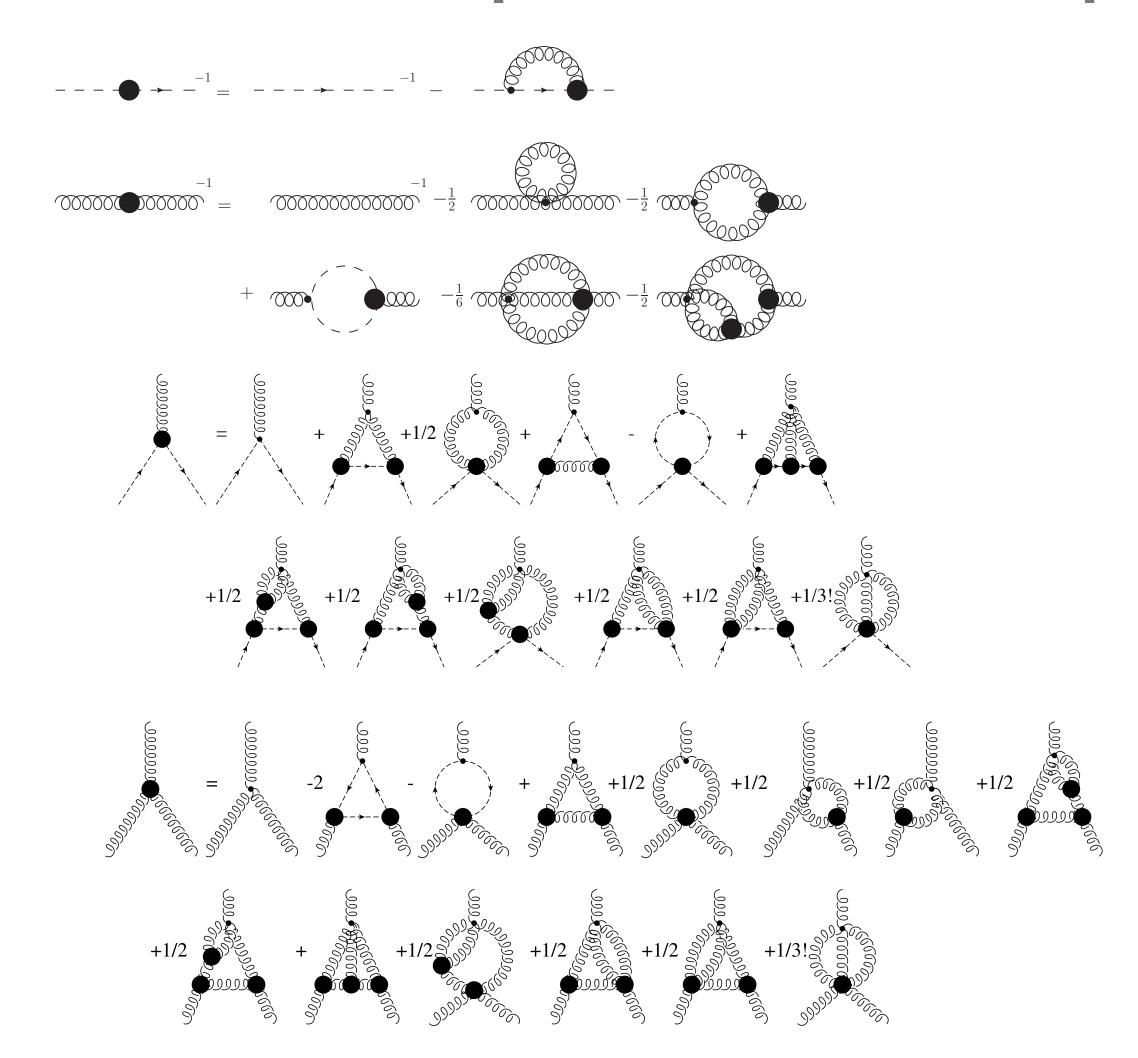


$$\partial_t$$
 coccosing -2 consists $-\frac{1}{2}$

$$\partial_t$$
 = $-$ + perm.

$$\partial_t = -$$

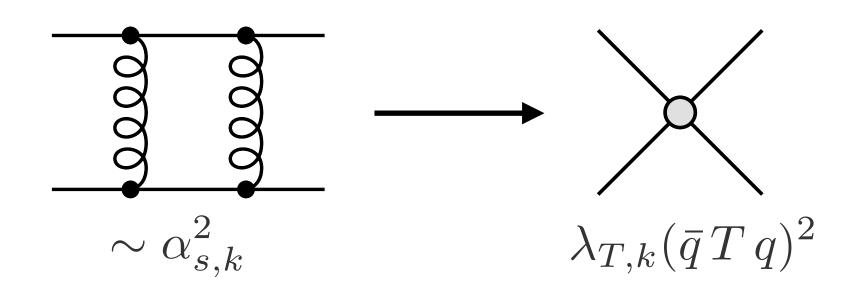
DSE [Huber, Maas, von Smekal, 1207.0222]



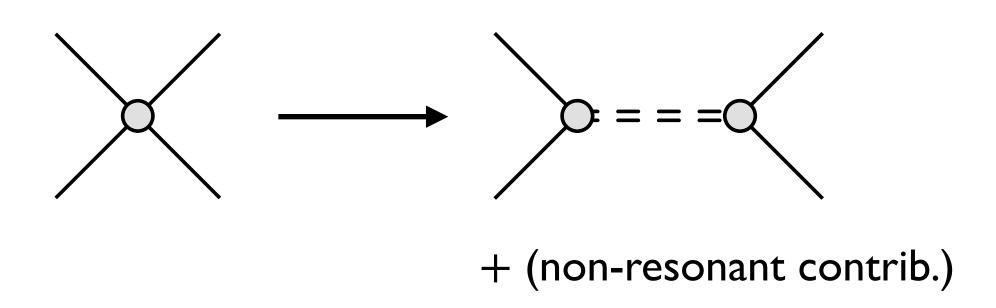
+...+ quark contributions

FUNCTIONAL QCD - EMERGENT ORDER

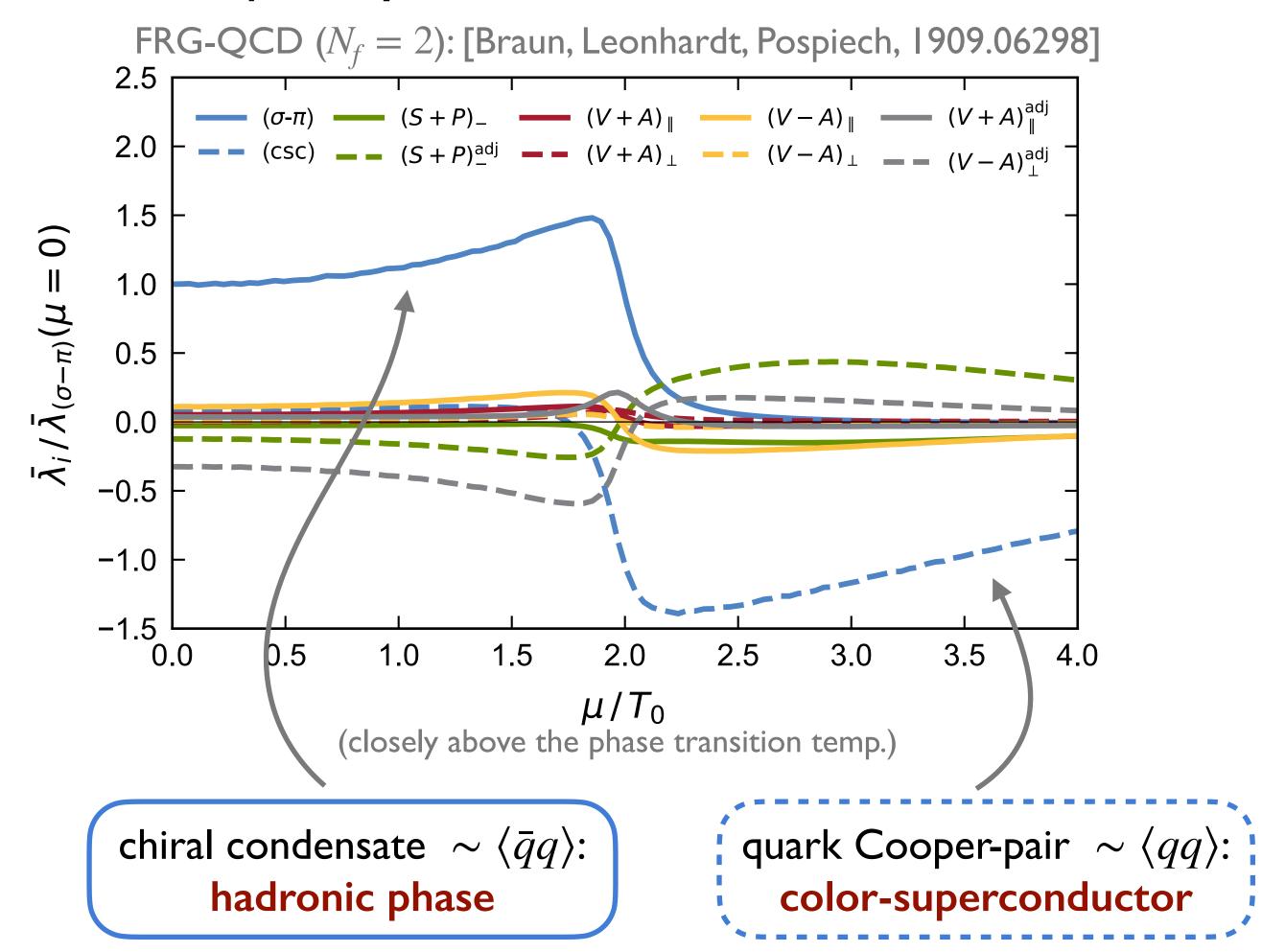
• $q\bar{q}$ -scattering though gluon exchange



 resonant scattering: bound state/ condensate formation



study complete sets of interactions channels



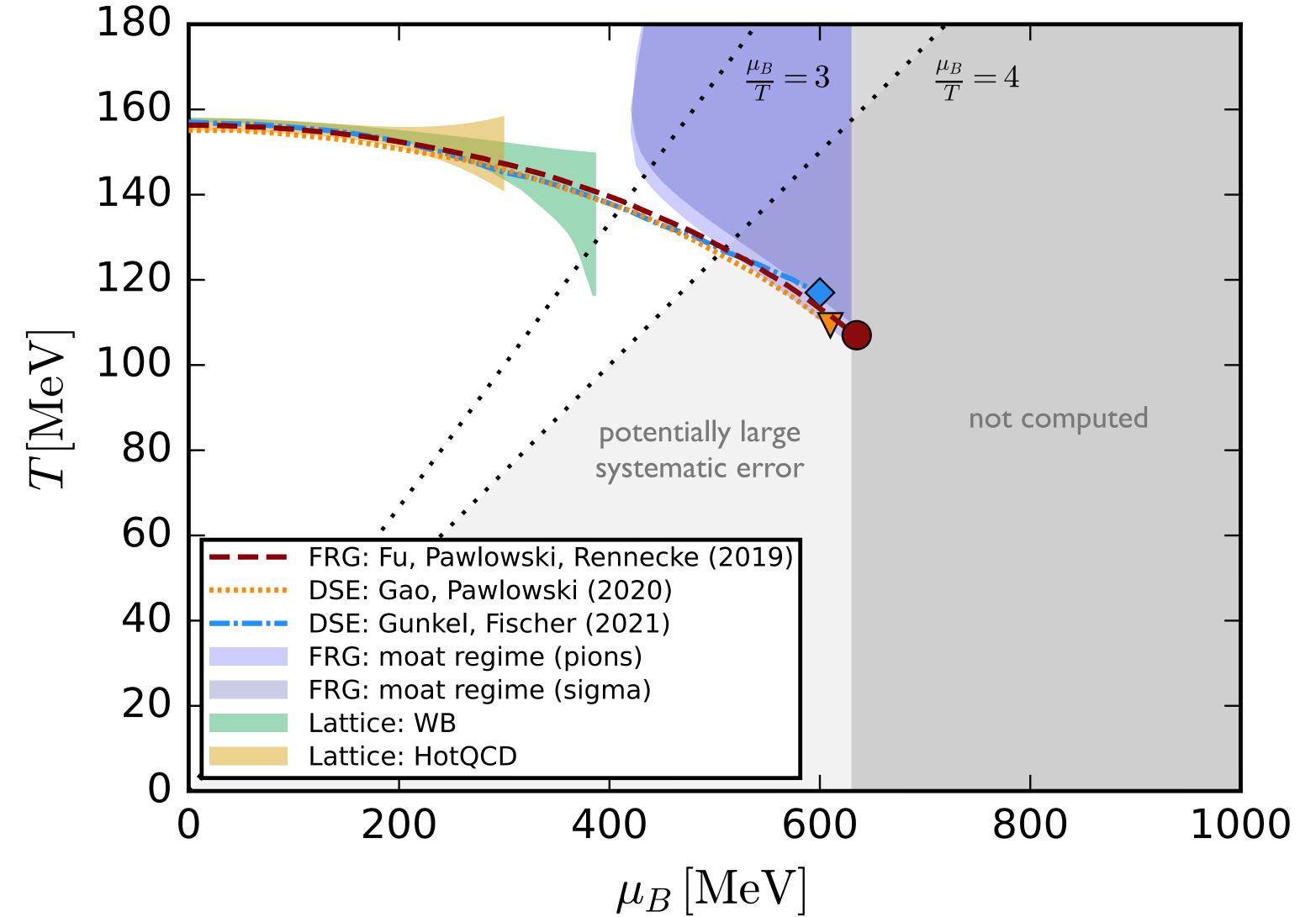
emergent bound states and condensates from elementary correlations

FRG: "dynamical hadronization" [Gies, Wetterich, 0209183; Pawlowski, 0512261; Fu, Pawlowski, FR, 1909.02991; Fukushima, Pawlowski, Strodthoff, 2103.01129] DSE/Bethe-Salpeter/Faddeev equations [Roberts, Schmidt, 0005064; Eichmann et al., 1606.09602; Fischer, 1810.12938]

PHASE TRANSITIONS

QCD PHASE DIAGRAM & THE CEP

Results for the chiral transition from direct computations in QCD



- shows only QCD results that agree with lattice data at $\mu_B=0$
- need to improve/check systematics for $\mu_B/T\gtrsim 4$ (work in progress), but good agreement between different methods and approximations

CEP at
$$(T, \mu_B) \approx (110, 630)$$
 MeV

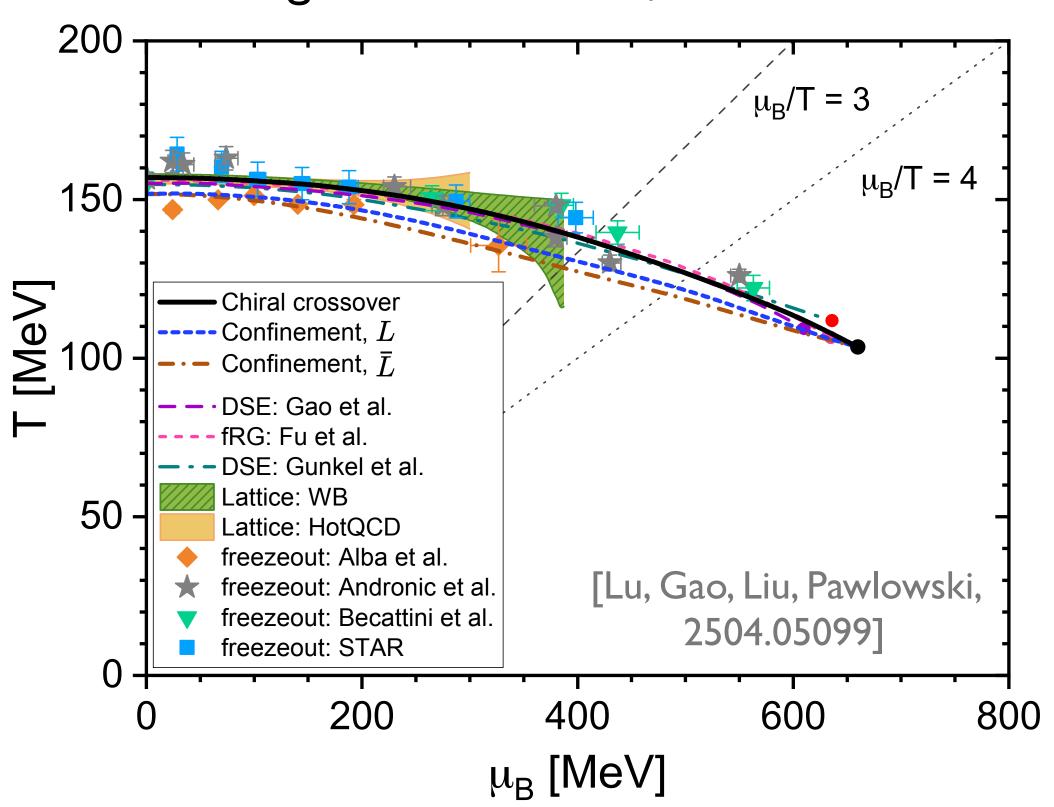
 indications for a new feature: the moat regime (more on that later)

```
[Fu, Pawlowski, FR, 1909.02991]
[Gao, Pawlowski, 2010.13705]
[Gunkel, Fischer, 2106.08356]
[Fu, Pawlowski, Pisarski, FR, Wen, Yin, 2412.15949]
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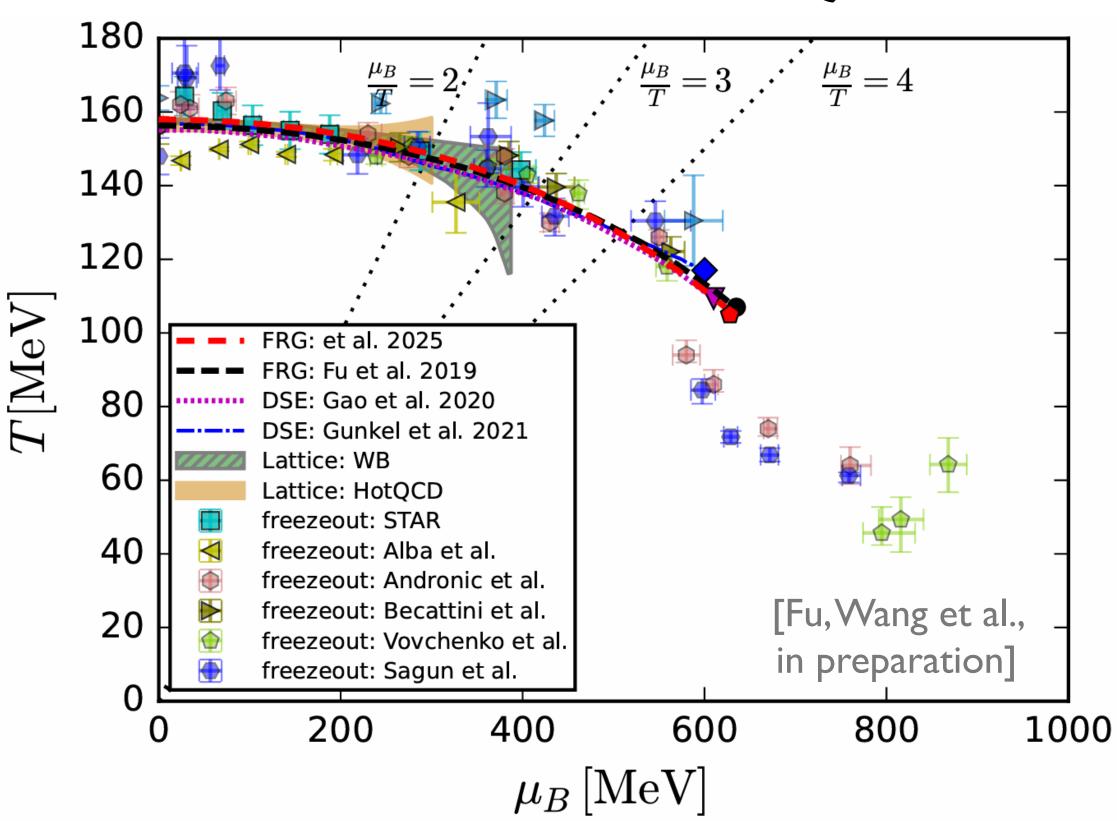
CEP SYSTEMATICS

Examples for tests of systematic errors in CEP location

 effect of nonzero temporal gluon background in DSE-QCD



 Effect of I0 (instead of just I) four-quark interaction channels in FRG-QCD

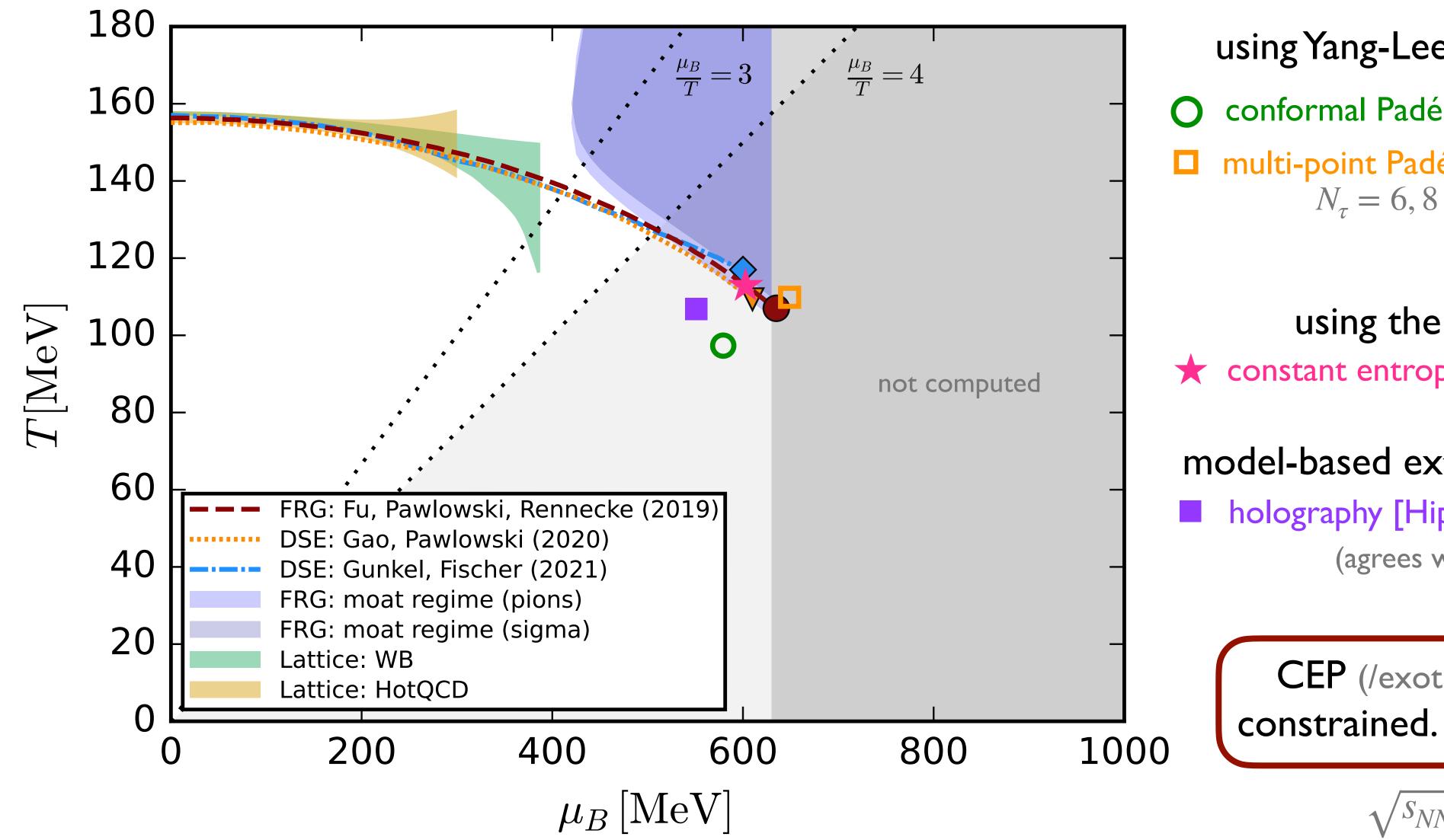


 \longrightarrow strong indication for systematic errors $\lesssim 10\,\%$ on CEP location from functional QCD

Note: not all scenarios where chiral transition/CEP are superseded by other phase (e.g. inhom. phase/Lifshitz point) can be excluded yet, but something is cooking at $\mu_B \gtrsim 600\,\mathrm{MeV}$

QCD PHASE DIAGRAM & THE CEP

FRG & DSE results corroborated by subsequent "extrapolations" of lattice data



using Yang-Lee edge singularities:

- O conformal Padé [Basar, 2312.06952]
- multi-point Padé [Clarke et al., 2405.10196] $N_{\tau} = 6,8$ results + continuum estimate [Schmidt, 2504.00629]

using thermodynamics:

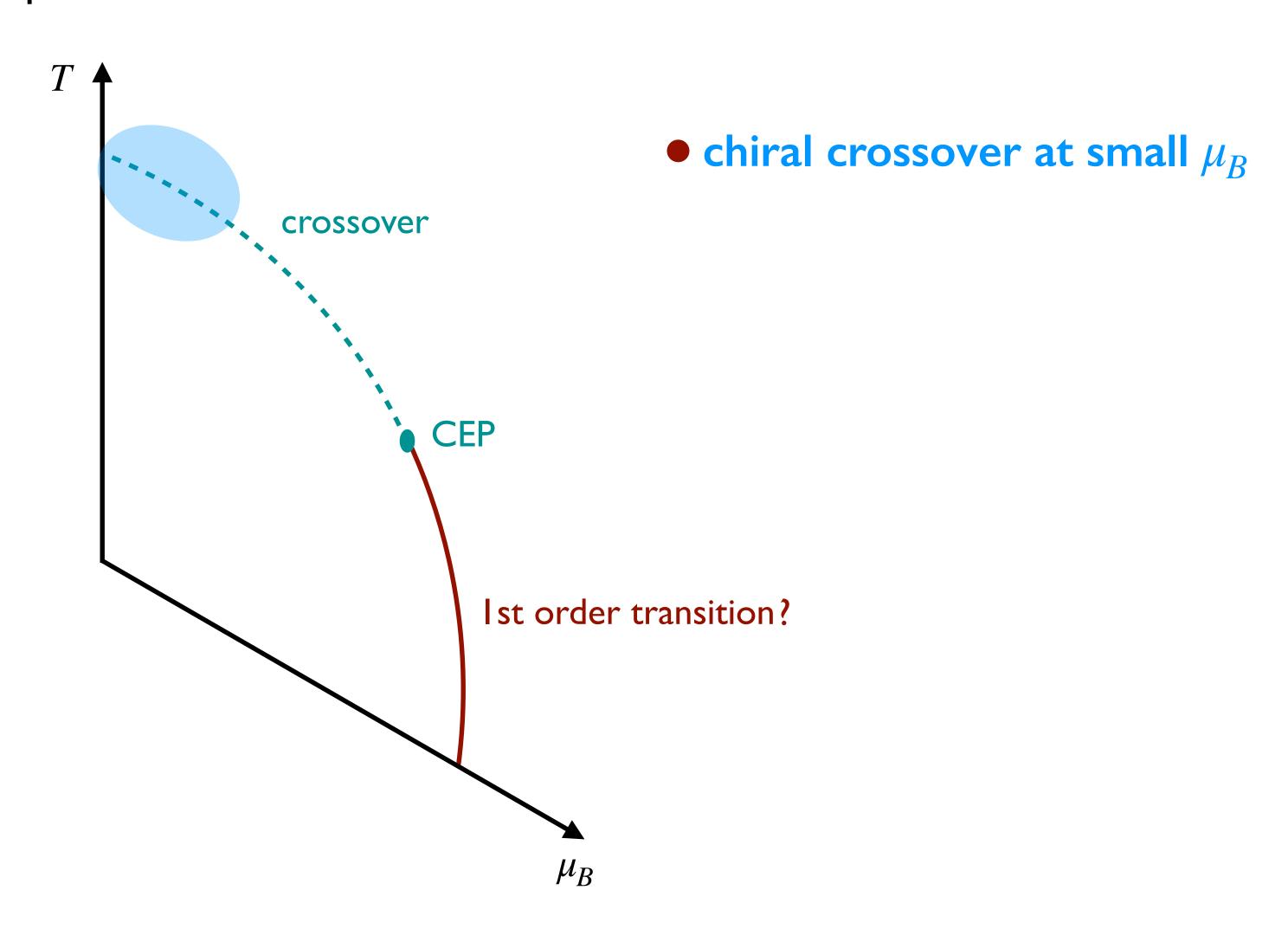
constant entropy density [Shah et al. 2410.16206]

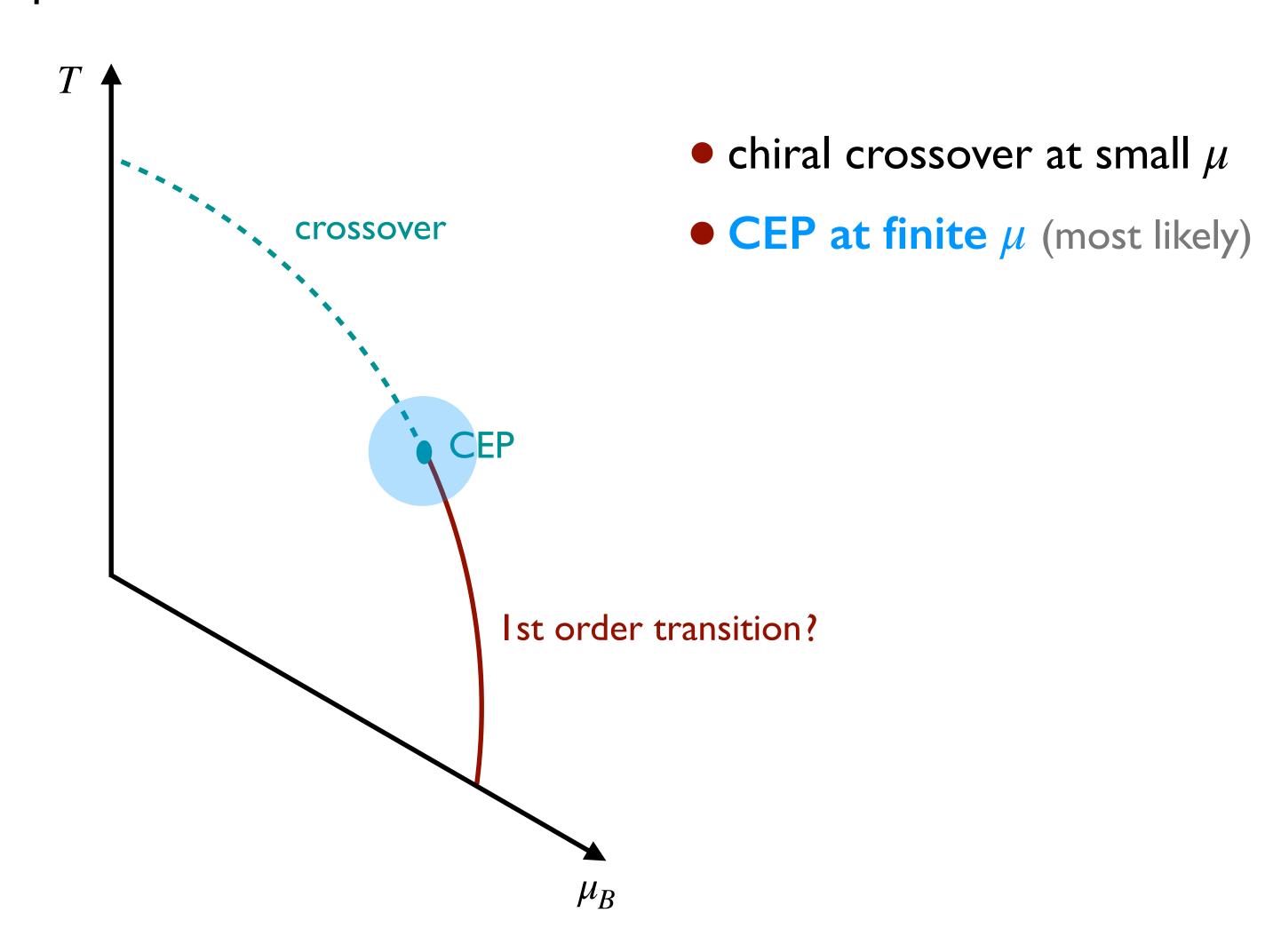
model-based extrapolation (tiny selection):

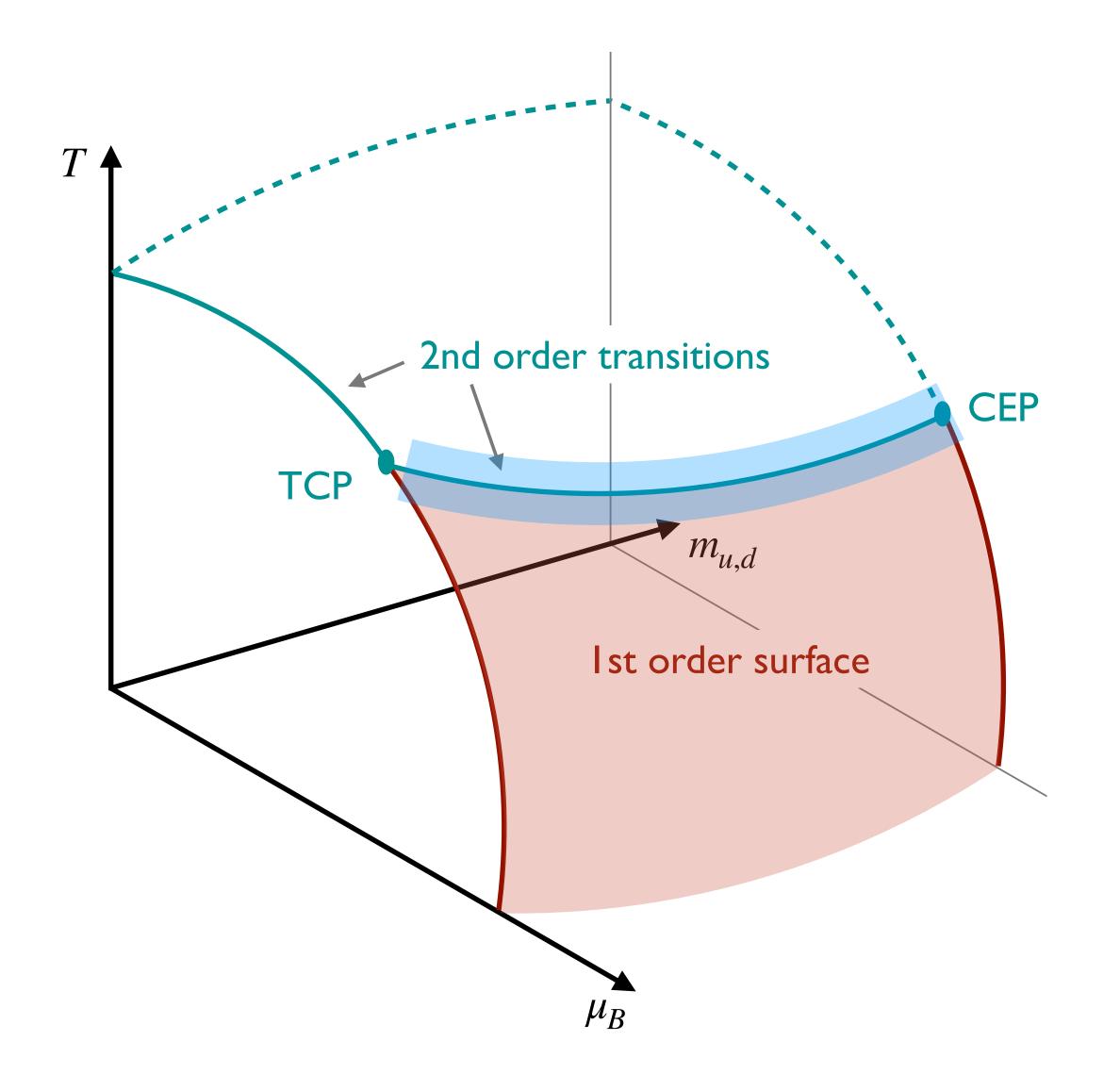
holography [Hippert et al., 2309.00579] (agrees with [Cai et al., 2201.02004])

CEP (/exotic physics) location well constrained. And it's in FAIR range!

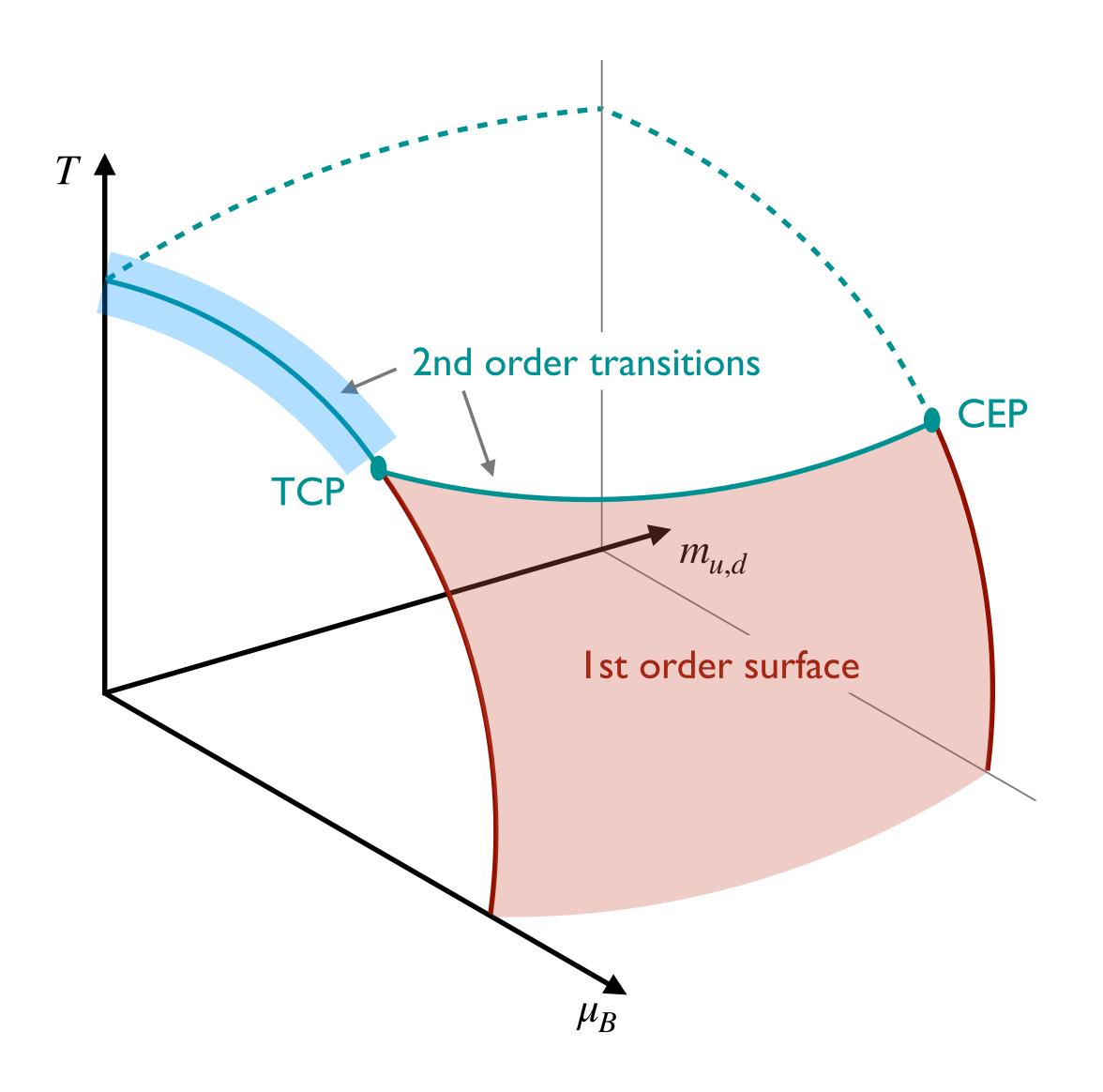
$$\sqrt{s_{NN}} \approx 3.6 - 4.1 \,\mathrm{GeV}$$





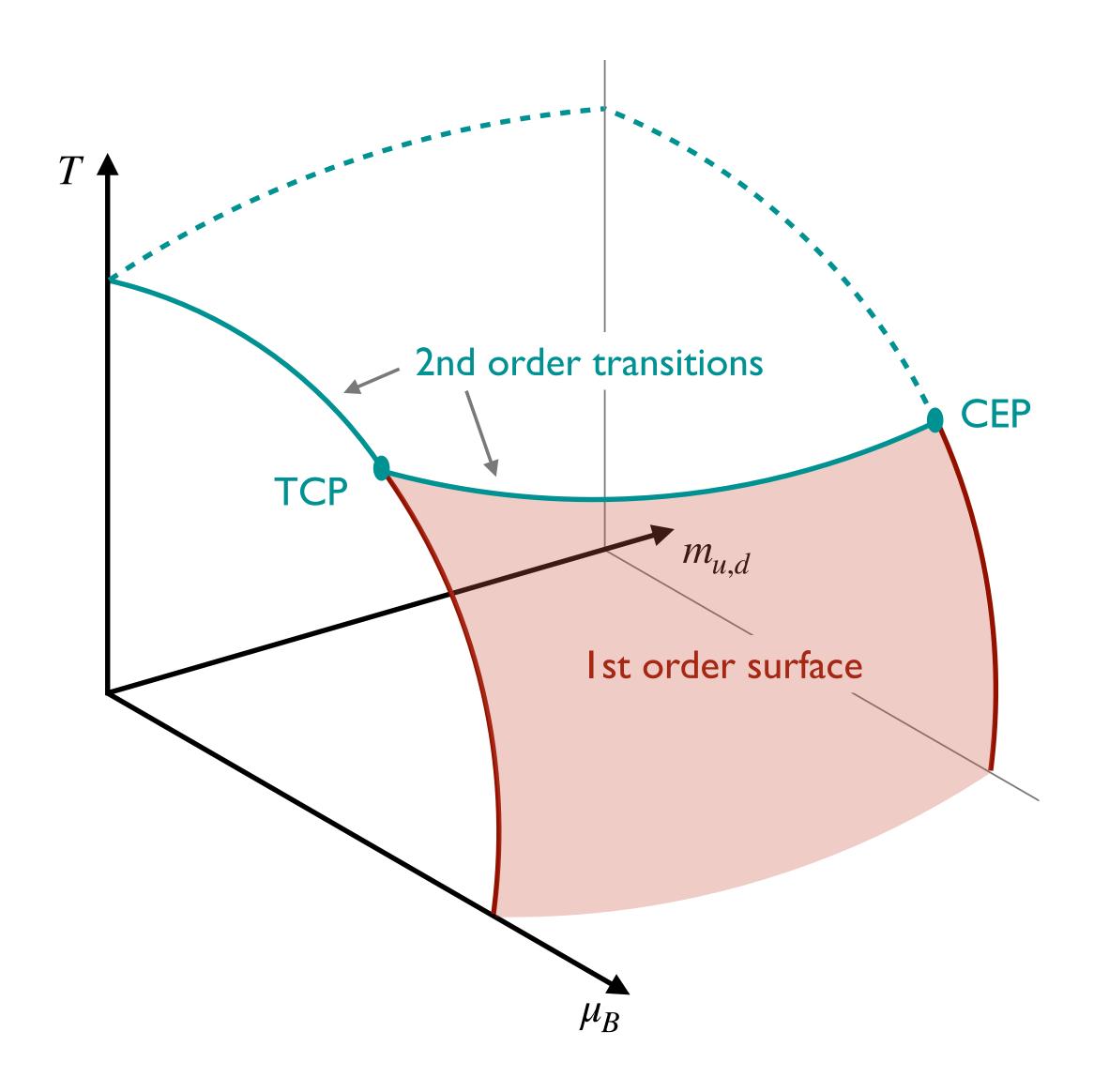


- ullet chiral crossover at small μ
- CEP at finite μ (most likely)
- extends into light quark mass direction



- ullet chiral crossover at small μ
- CEP at finite μ (most likely)
- extends into light quark mass direction
- second order transition at $m_{u,d} = 0$ (most likely)

Where can we have actual phase transitions in QCD?



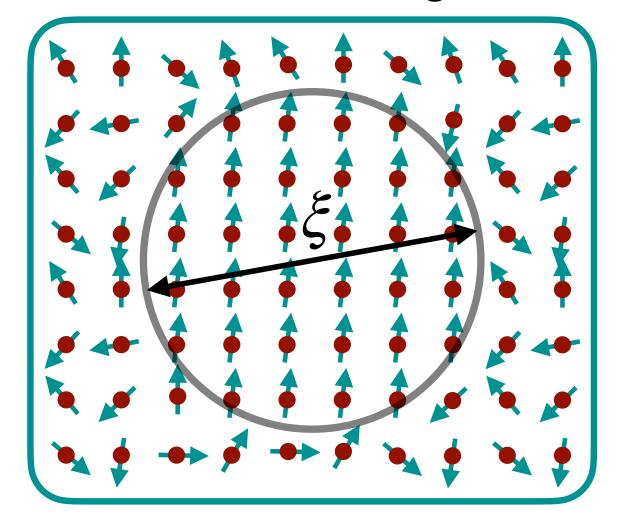
- chiral crossover at small μ
- CEP at finite μ (most likely)
- extends into light quark mass direction
- second order transition at $m_{u,d} = 0$ (most likely)



exploit special features of 2nd order transitions: critical phenomena

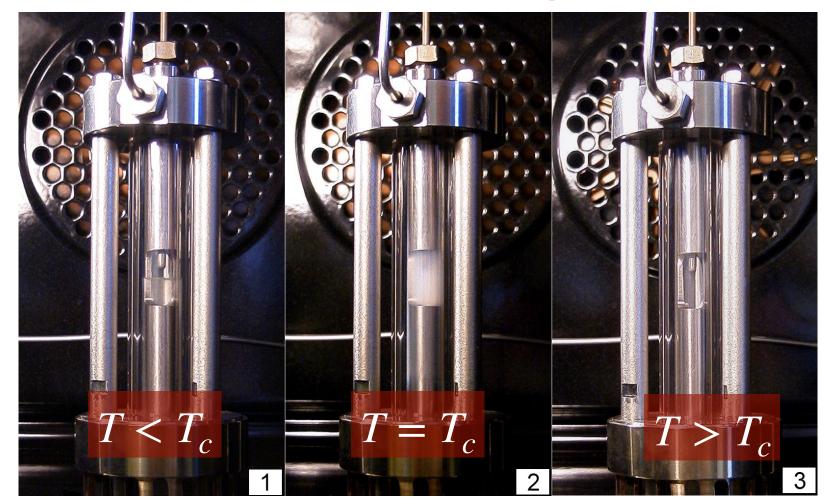
CRITICAL PHENOMENA & UNIVERSALITY

correlation length



2nd order transition: $\xi \to \infty$

fluctuations on all length scales

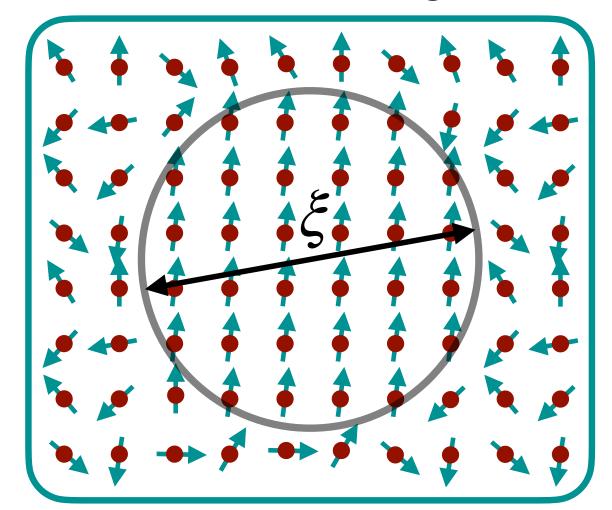


critical opalescence of ethane [Wikipedia]

Near the critical point the system is scale invariant and microscopic details are irrelevant

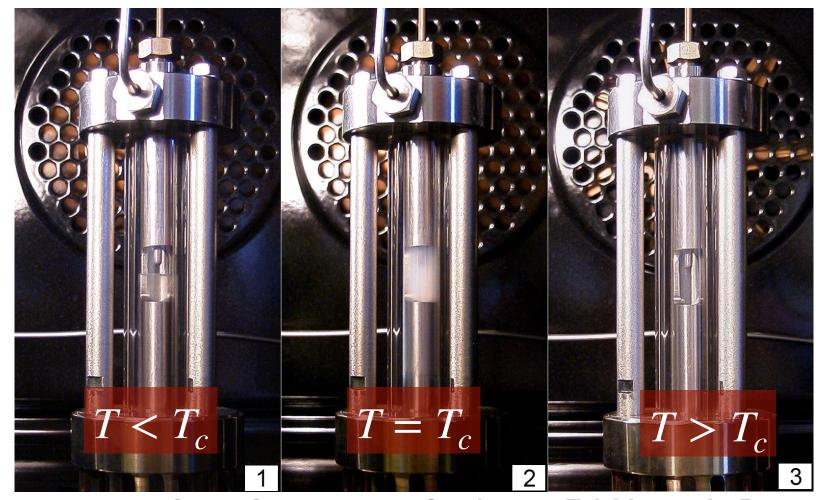
CRITICAL PHENOMENA & UNIVERSALITY

correlation length



2nd order transition: $\xi \to \infty$

fluctuations on all length scales

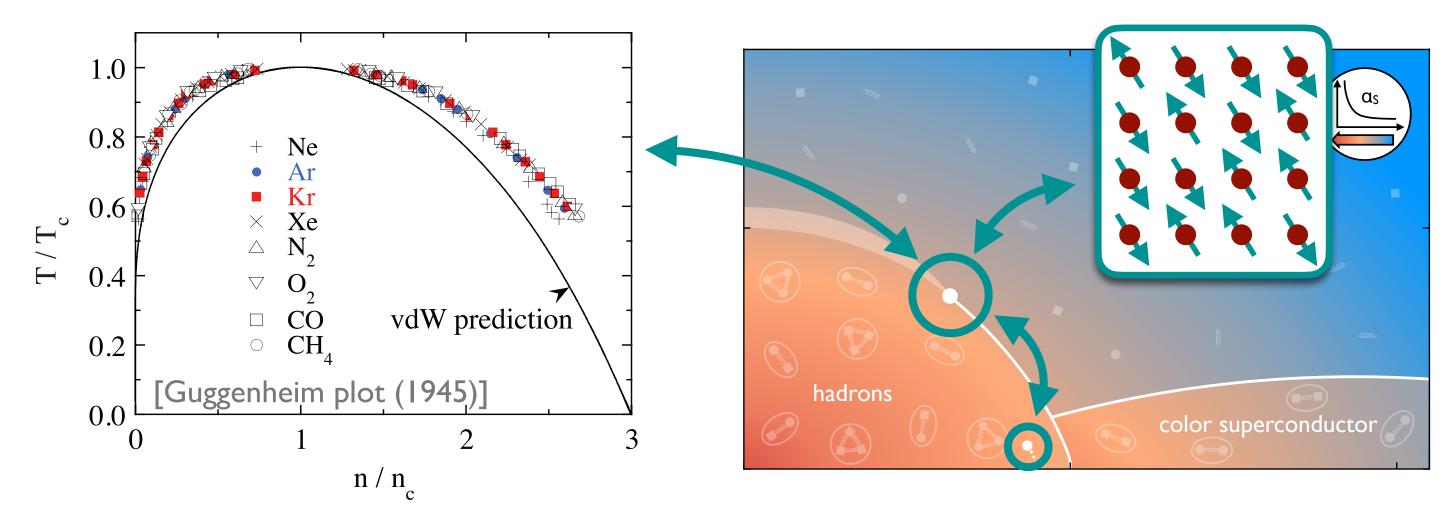


critical opalescence of ethane [Wikipedia]

Near the critical point the system is scale invariant and microscopic details are irrelevant

Universality: main features of the system are described by universal critical exponents, e.g., $\xi \sim (T-T_c)^{-\nu}$

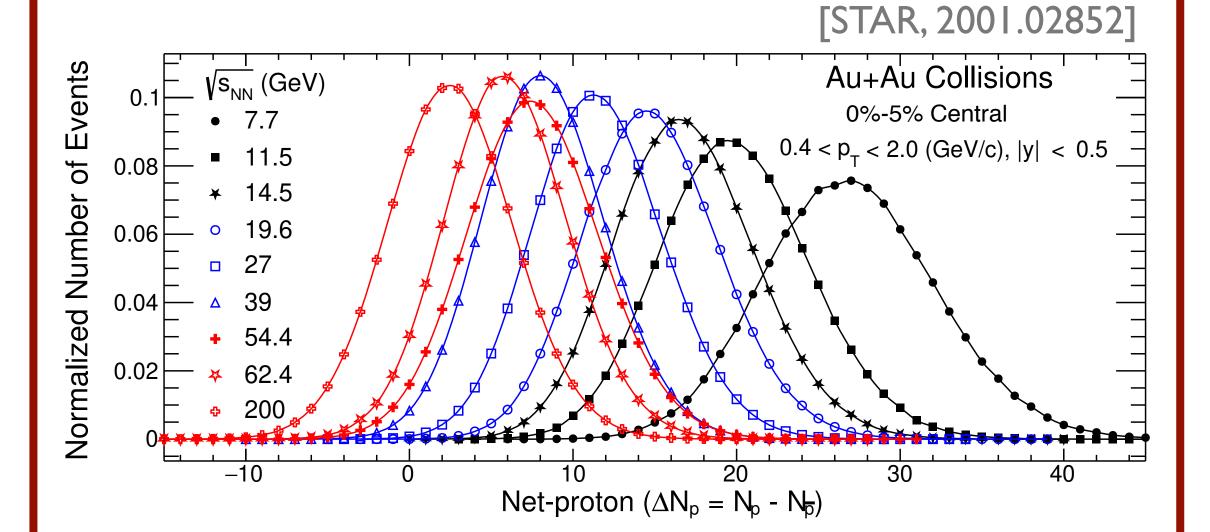
example:
liquid-gas transition
=
3d Ising
=
QCD CEP



CAN WE EXPLOIT UNIVERSALITY TO FIND THE CEP?

experiment: heavy-ion collisions

• measure net-proton distributions $P(N_P)$



net-proton susceptibilities from the distribution

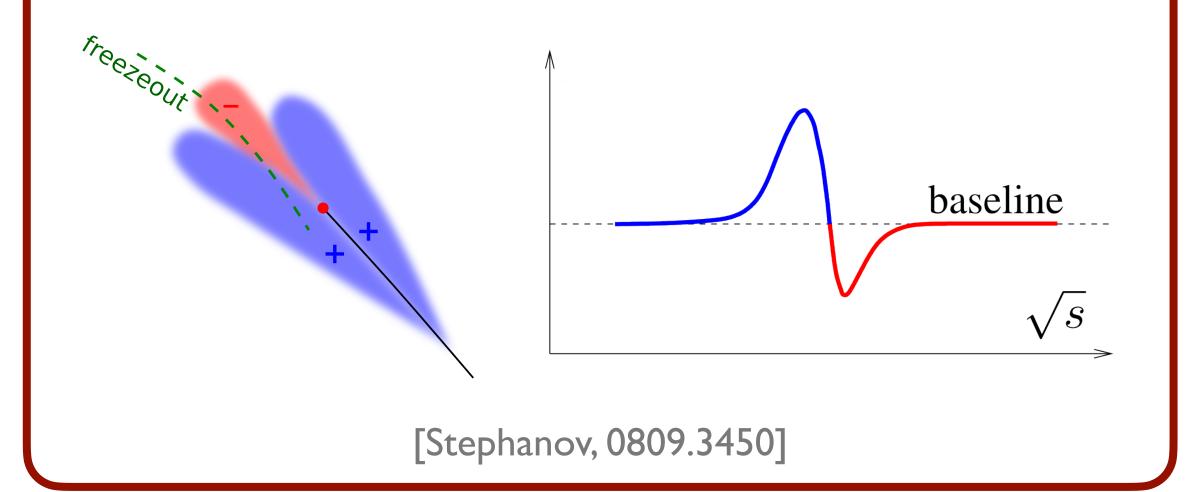
$$\chi_n^P \sim \sum_{N_P} \left[\left(N_P - \langle N_P \rangle \right)^n + \cdots \right] P(N_P)$$

theory

net-baryon susceptibilities from the pressure

$$\chi_n^B = T^{n-4} \frac{\partial^n p}{\partial \mu_B^n}$$

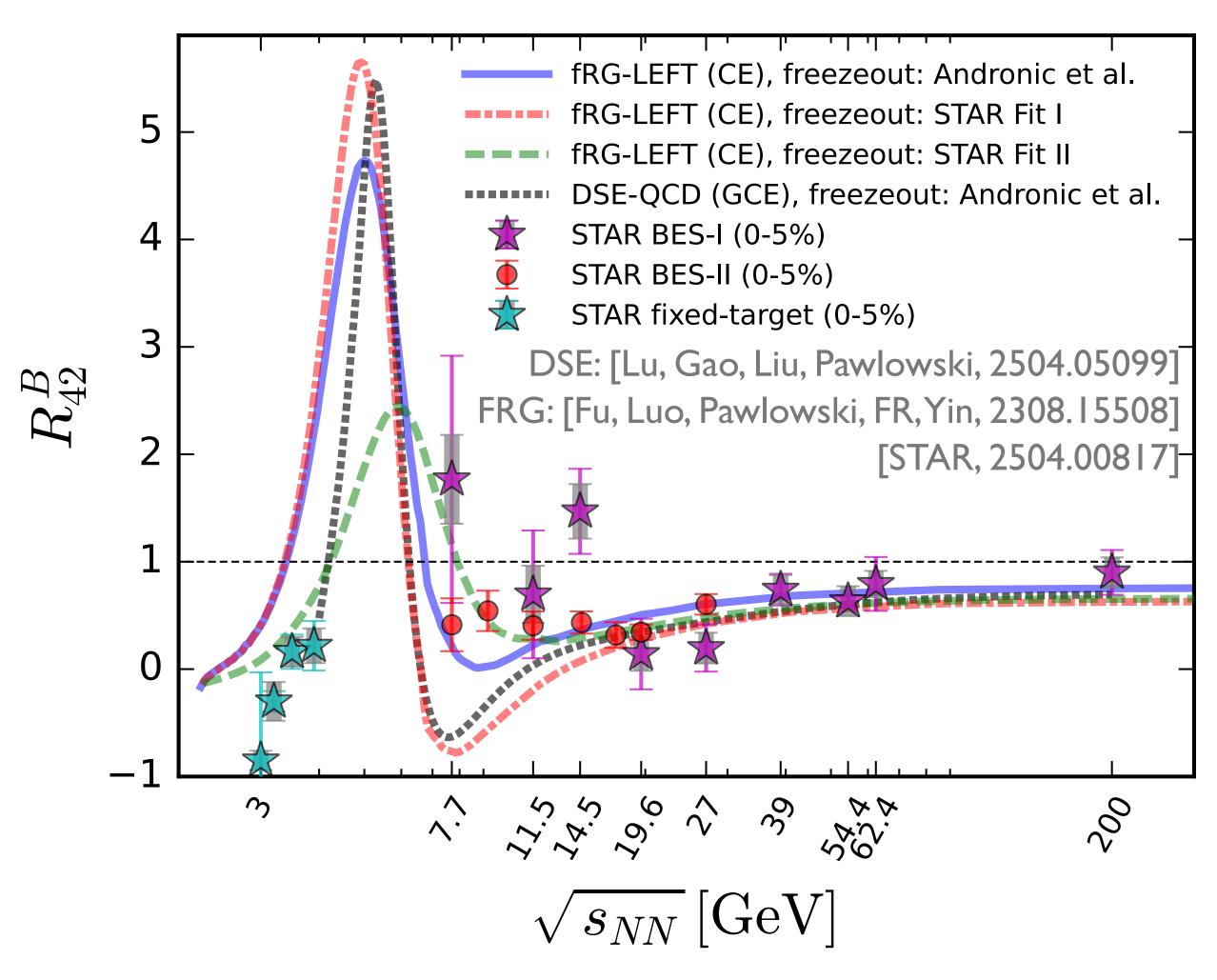
- χ_n show universal scaling near CEP, e.g., $\chi_4 \sim \xi^7$
- scaling near the CEP: non-monotonic beamenergy dependence of kurtosis $\sim R_{42}^B = \chi_4/\chi_2$



measurements can be sensitive to critical fluctuations, but there are many caveats and subtleties!

RIPPLES OF THE CEP

net-baryon fluctuations in QCD vs net-protons from STAR



apples to half-apples comparison! [Vovchenko, QM2023] qualitative features matter here!

- direct calculations: non-monotonicity at low beam-energies
- no signs of critical scaling seen along freeze-out
 - direct signal of narrowed chiral crossover;

 CEP location encoded in peak height

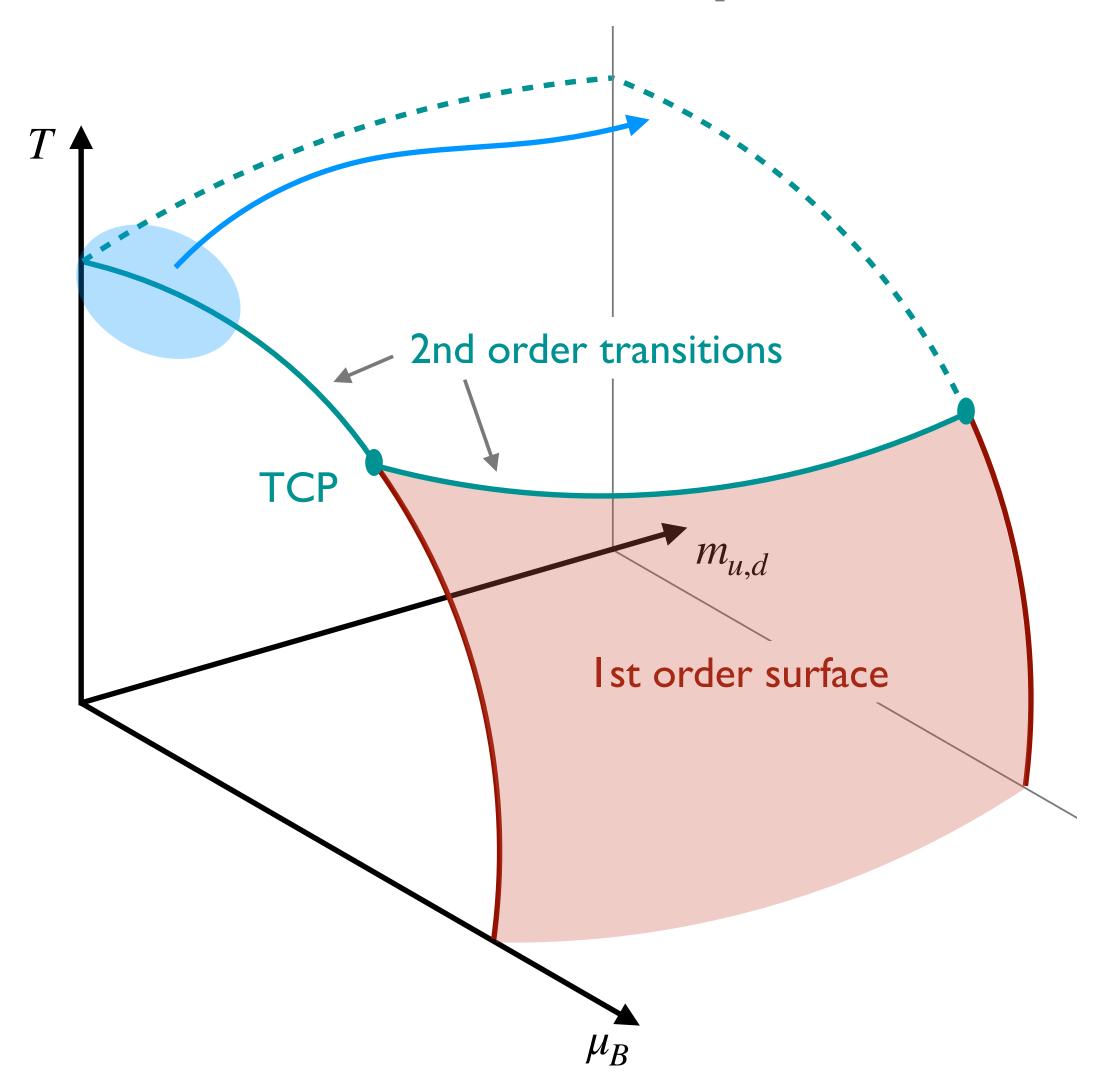
[Fu, Luo, Pawlowski, FR, Yin, 2308. I 5508]

 \rightarrow data between $\sqrt{s_{NN}} = 4 - 8 \,\text{GeV}$ will be crucial!

CHIRAL CROSSOVER VS CHIRAL TRANSITION

Is universality in the chiral limit relevant for the chiral crossover at small μ_B ?

- signature in high-order susceptibilities? [Friman et al., 1103.3511; Fu et al., 2101.06035]
- relevant for low-pT pions? [Grossi et al., 2101.10847, 2504.03516, 2504.03514]

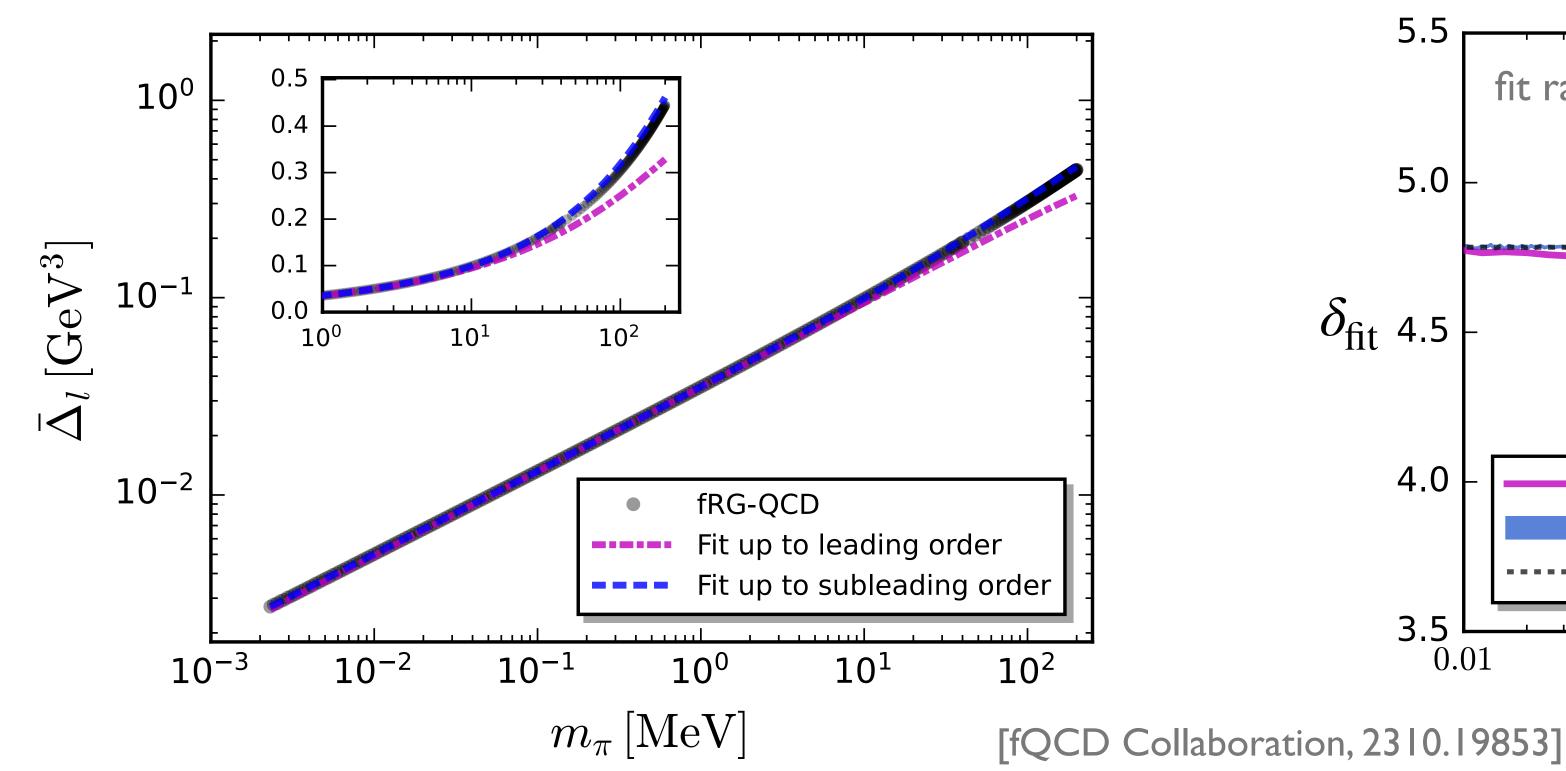


CHIRAL CROSSOVER VS CHIRAL TRANSITION

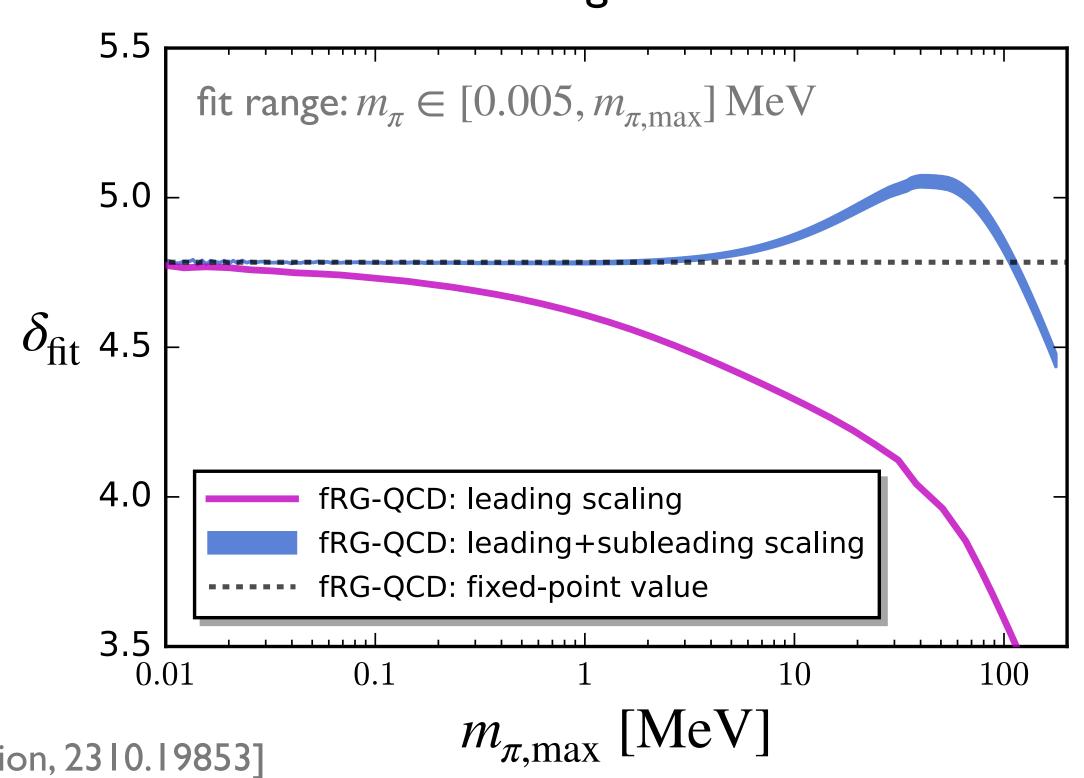
Is universality in the chiral limit relevant for the chiral crossover at small μ_B ?

Study the size of the scaling region using the chiral condensate, $\langle \bar{q}q \rangle (T,m_\pi) \sim m_\pi^{2/\delta} f_G(z) + f_{\rm reg}(T,m_\pi)$

• chiral condensate for different $m_{u,d}$ at T_c



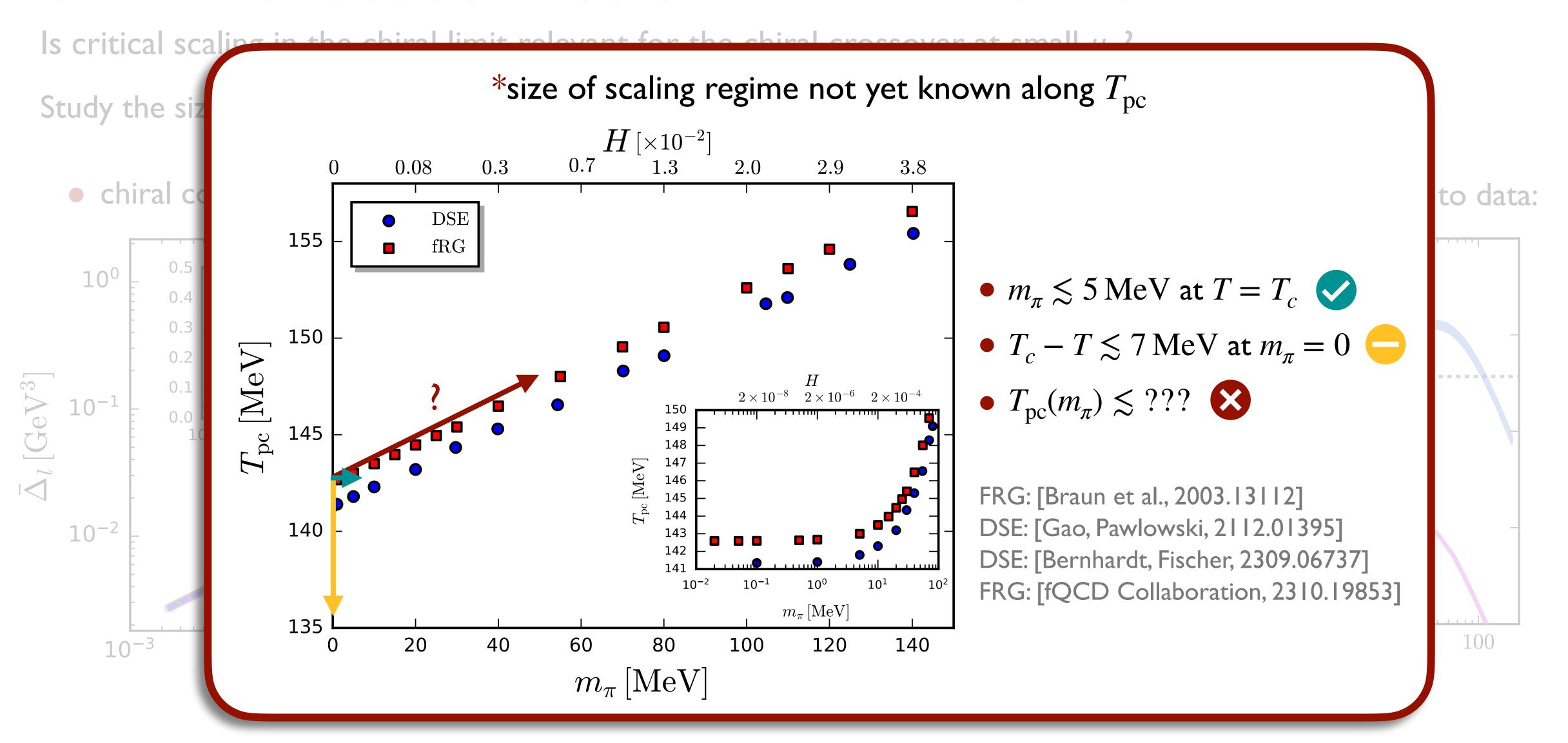
• infer breakdown of scaling from critical fit to data:



ightharpoonup no universality for $m_\pi \gtrsim 5\,{
m MeV}$: critical physics irrelevant* for physical quark masses at small μ_B

fits of the form $\bar{\Delta}_l(m_\pi) = B_c \, m_\pi^{2/\delta} \big(1 + a_m m_\pi^{2\theta_H} \big) + c_1 \, m_\pi^2 + c_2 \, m_\pi^4$ break down for $m_\pi \gtrsim 25 \, {\rm MeV}$

CHIRAL CROSSOVER VS CHIRAL TRANSITION



lacktriangle no universality for $m_\pi \gtrsim 5\,\mathrm{MeV}$: critical physics irrelevant* for physical quark masses at small μ_B

fits of the form $\bar{\Delta}_l(m_\pi) = B_c \, m_\pi^{2/\delta} \big(1 + a_m m_\pi^{2\theta_H} \big) + c_1 \, m_\pi^2 + c_2 \, m_\pi^4$ break down for $m_\pi \gtrsim 25 \, {\rm MeV}$

THE MOAT REGIME

EXCITATIONS AT ZERO DENSITY

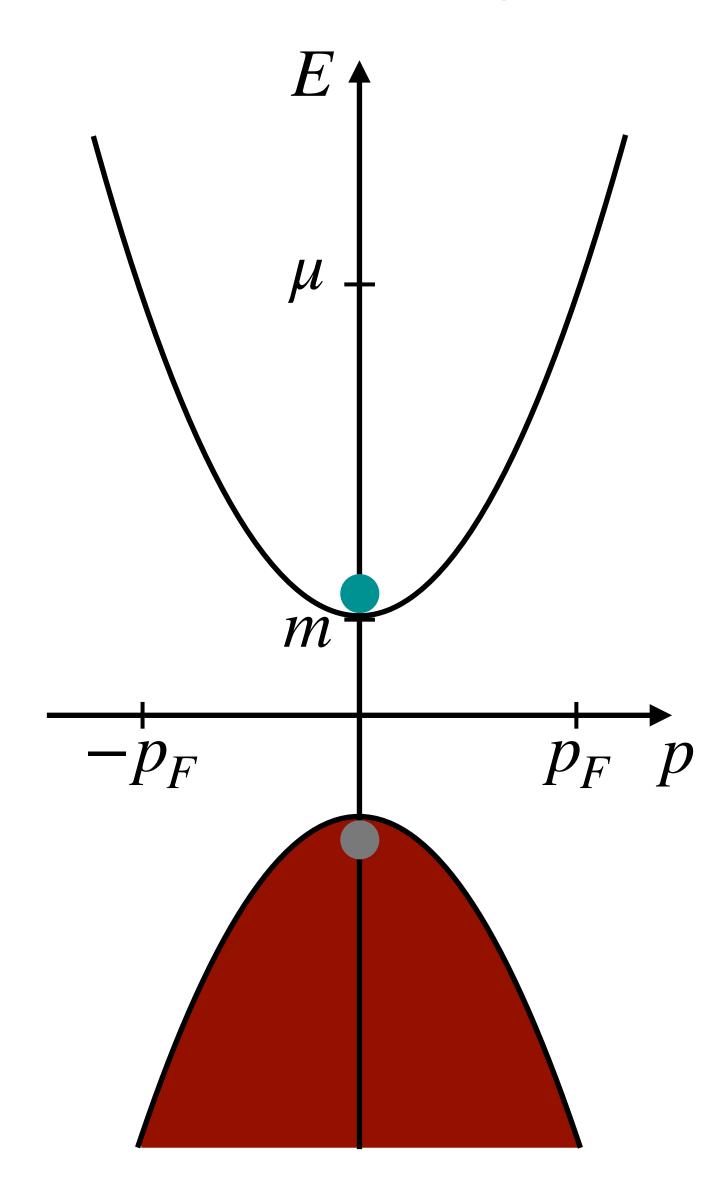
What kind of excitation do we expect? Look at Dirac cone at $\mu = 0$

• energy of a relativistic particle

$$E(p) = \pm \sqrt{\mathbf{p}^2 + m^2}$$

• Fermi momentum $E(p_F) = \mu$

$$p_F = \sqrt{\mu^2 - m^2}$$



small- μ excitations

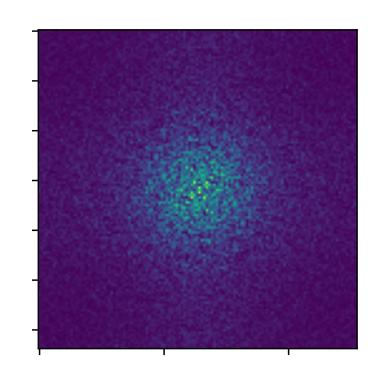
particle-antiparticle

$$\bar{\psi}_{+}(0)\,\psi_{-}(0)$$



zero net-momentum homogeneous excitation

(→ chiral condensate)



EXCITATIONS AT NONZERO DENSITY

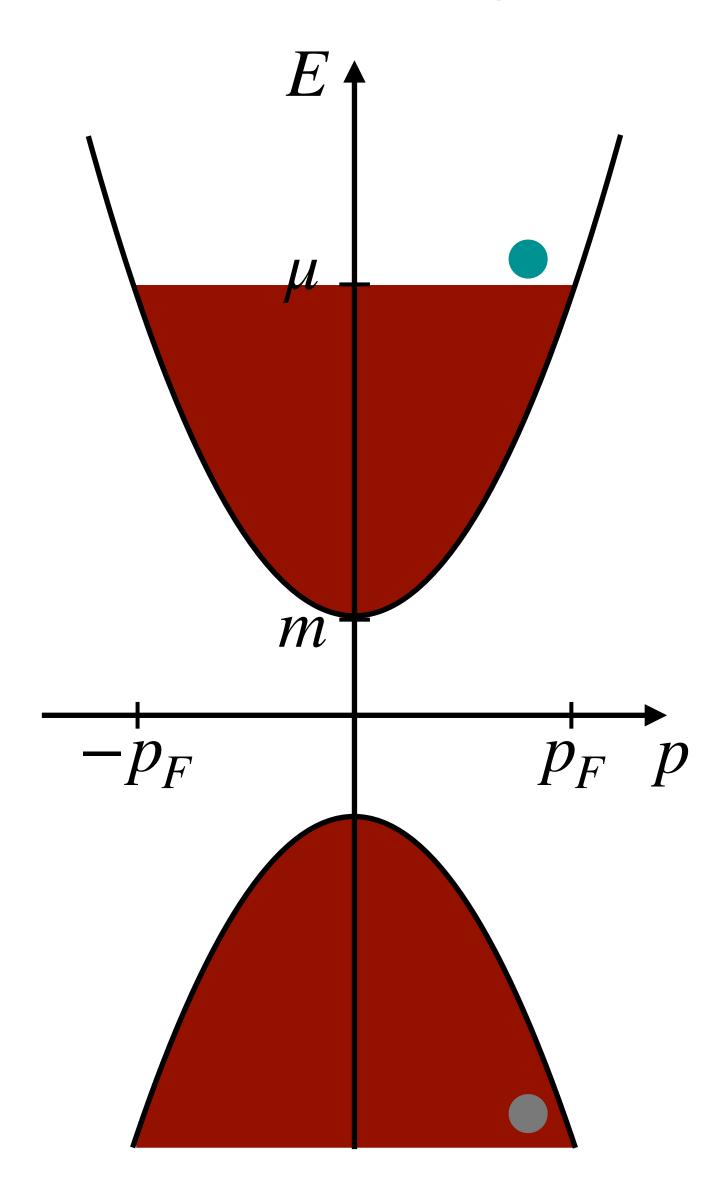
What kind of excitation do we expect? Look at Dirac cone at $\mu > 0$

• energy of a relativistic particle

$$E(p) = \pm \sqrt{\mathbf{p}^2 + m^2}$$

• Fermi momentum $E(p_F) = \mu$

$$p_F = \sqrt{\mu^2 - m^2}$$



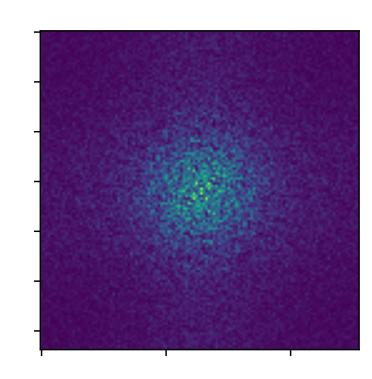
large- μ excitations

particle-antiparticle

$$\bar{\psi}_+(p_F)\,\psi_-(p_F)$$

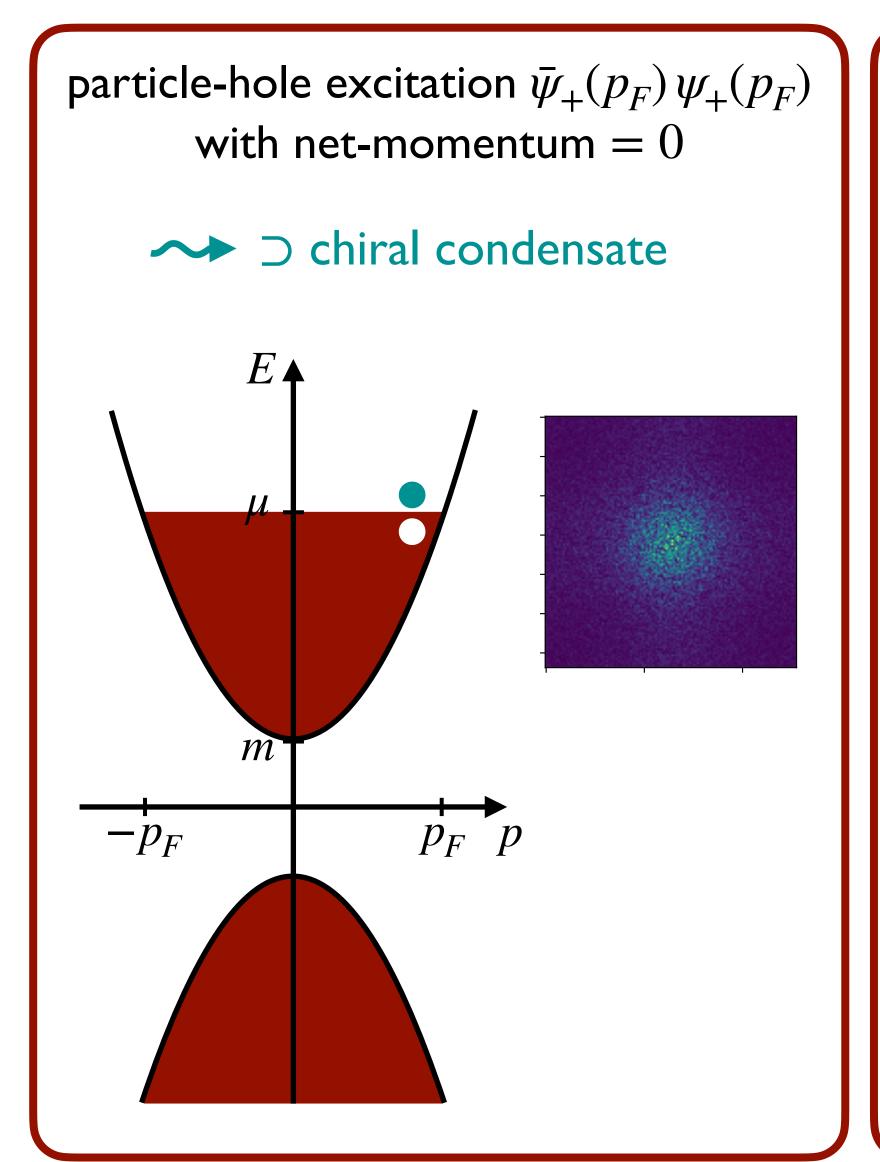


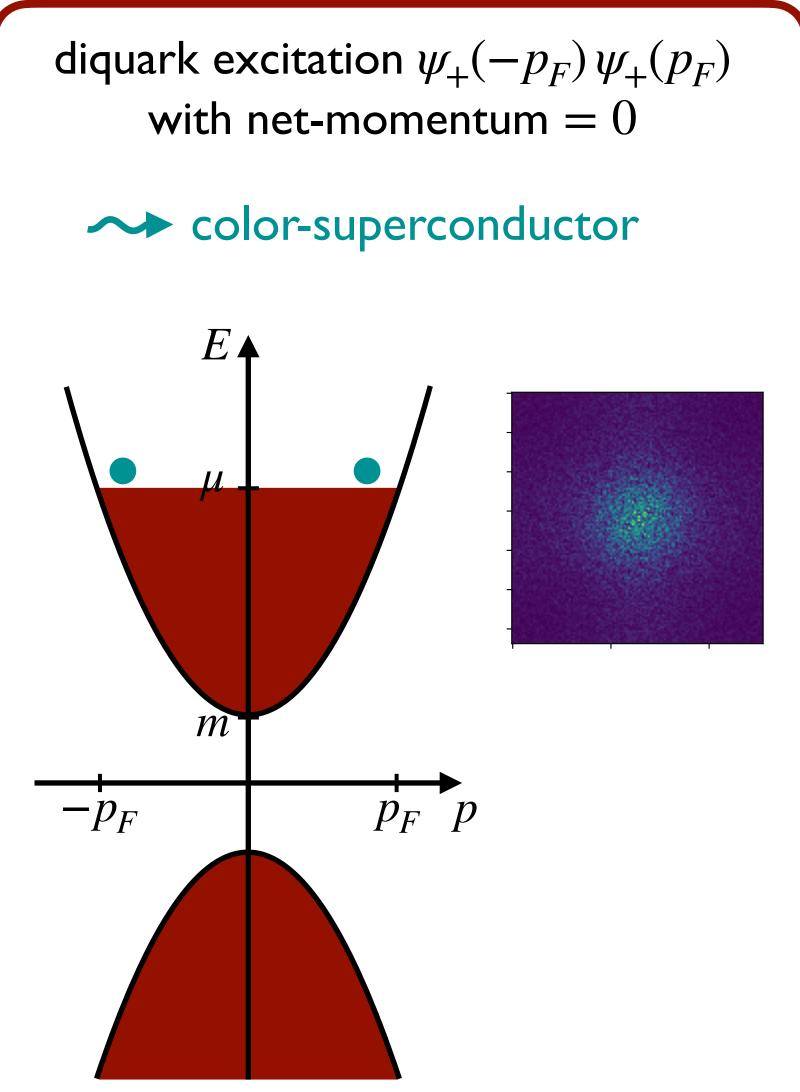
zero net-momentum, but energy penalty $\Delta E \approx 2\mu$: homogeneous excitation disfavored at large μ

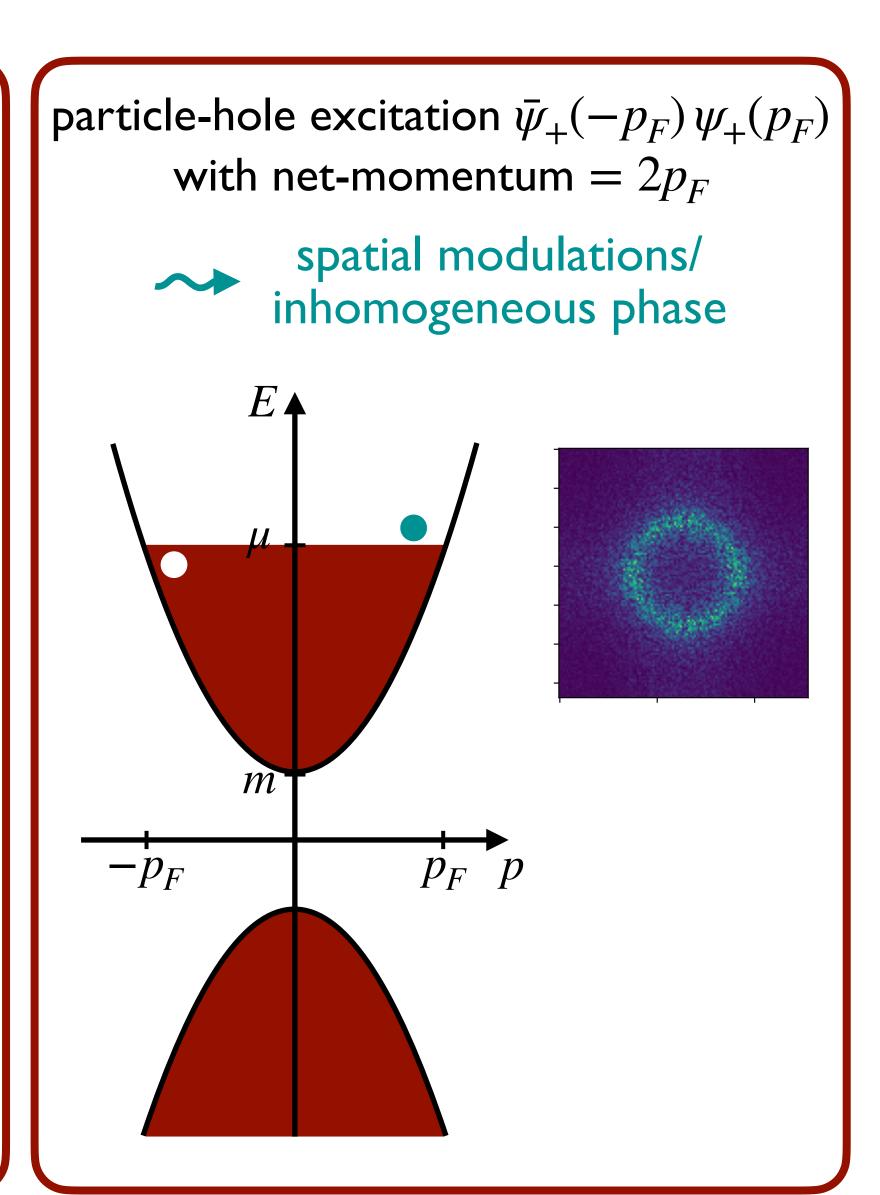


EXCITATIONS AT NONZERO DENSITY

What kind of excitation do we expect? Look at Dirac cone at $\mu > 0$

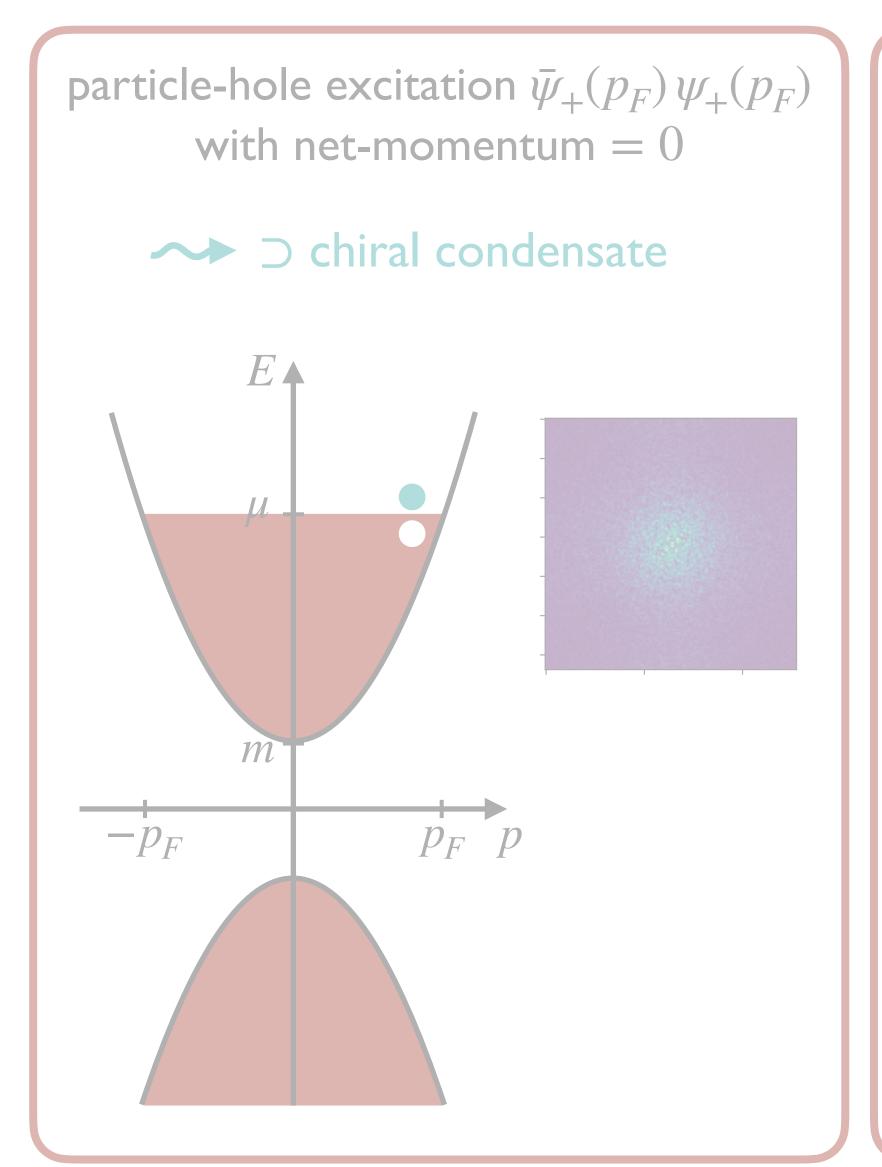


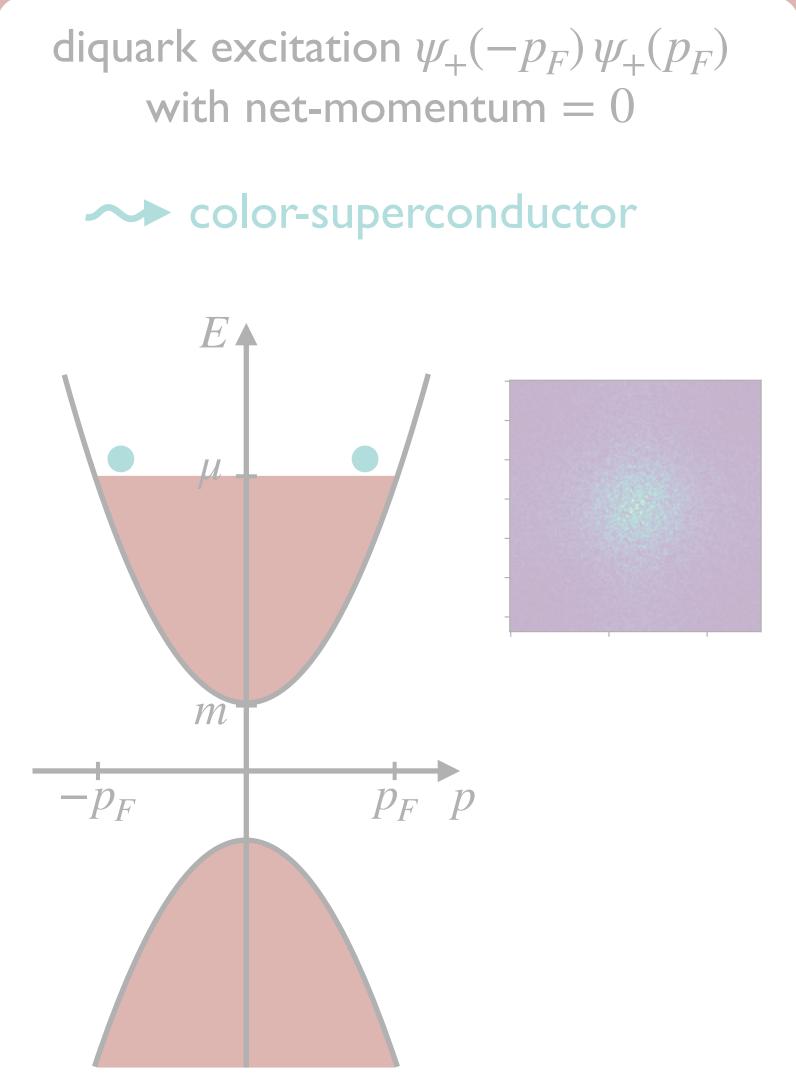


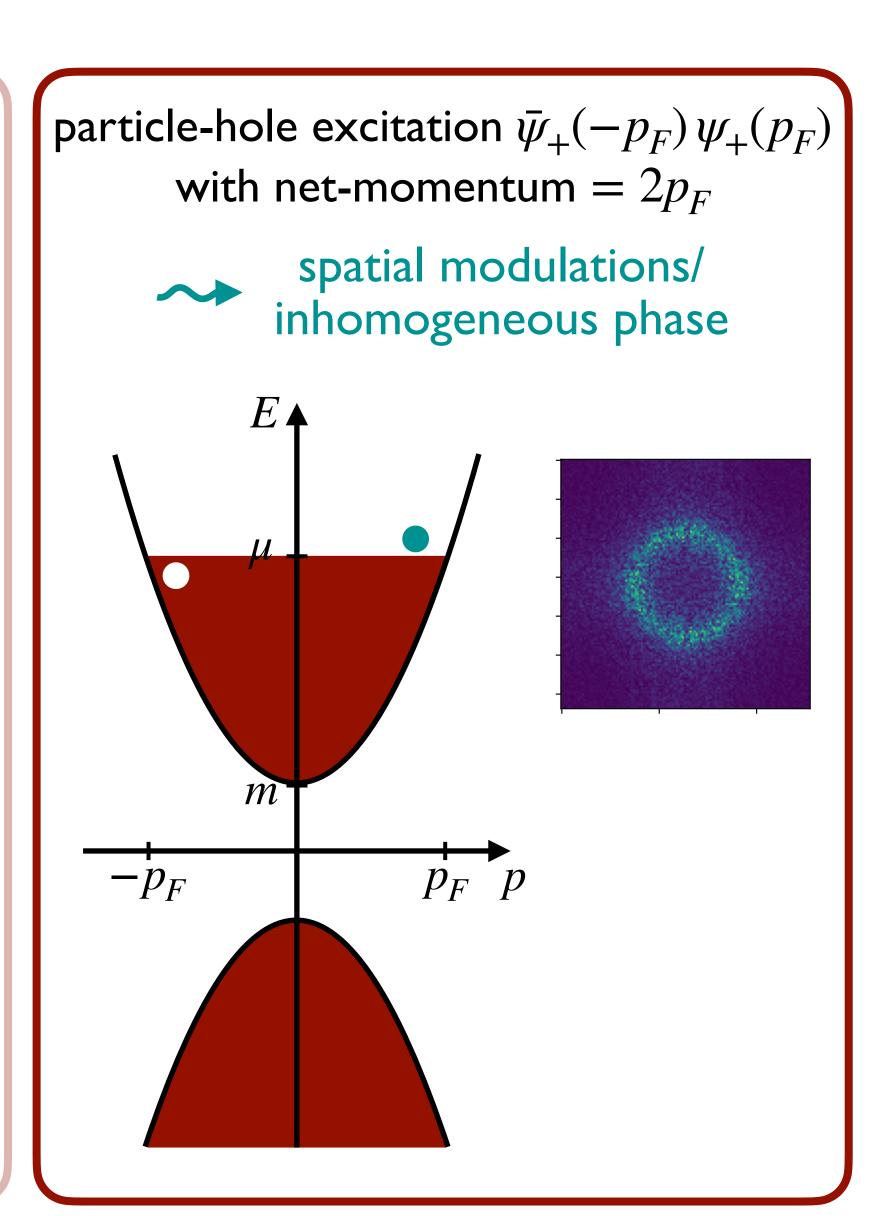


EXCITATIONS AT NONZERO DENSITY

What kind of excitation do we expect? Look at Dirac cone at $\mu > 0$







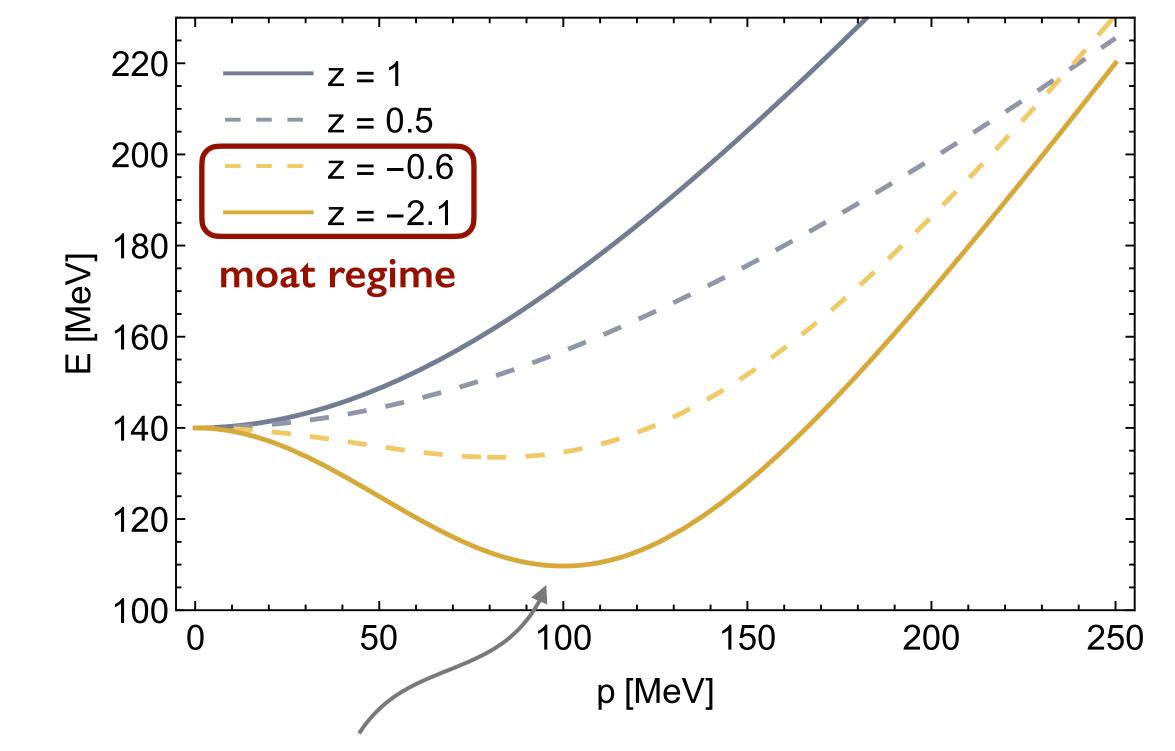
THE MOAT REGIME

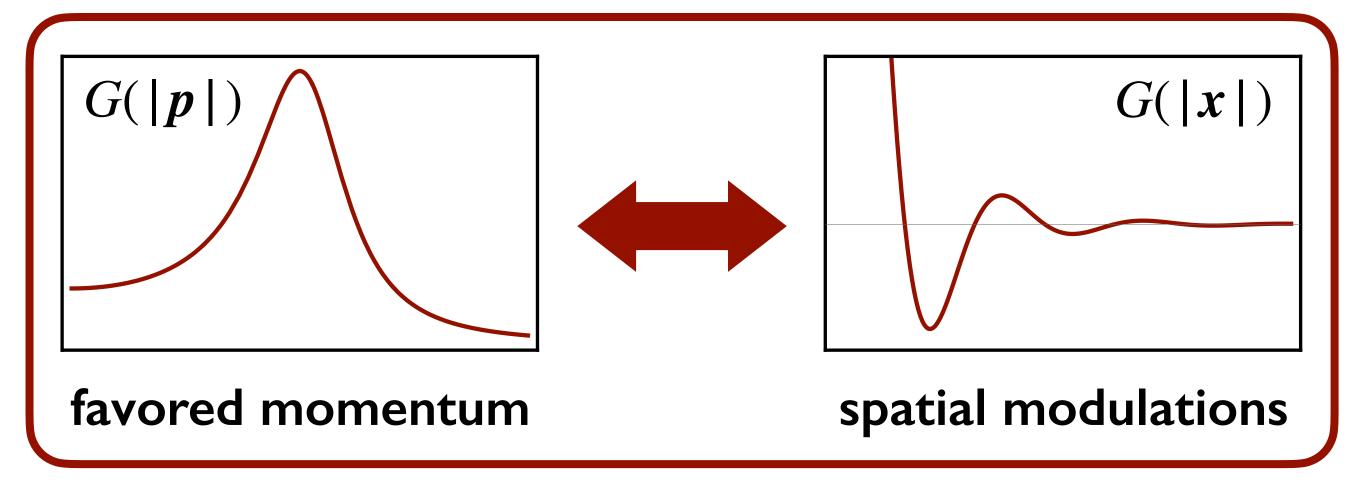


[source: Simon Ledingham]

moat: (static) boson dispersion is minimal at nonzero momentum

$$\sqrt{1/G(p_0 = 0, p^2)} = E(\mathbf{p}^2) = \sqrt{Z(\mathbf{p}^2) \, \mathbf{p}^2 + m^2} \approx \sqrt{z \, \mathbf{p}^2 + w \mathbf{p}^4 + \mathcal{O}(\mathbf{p}^6) + \bar{m}^2}$$

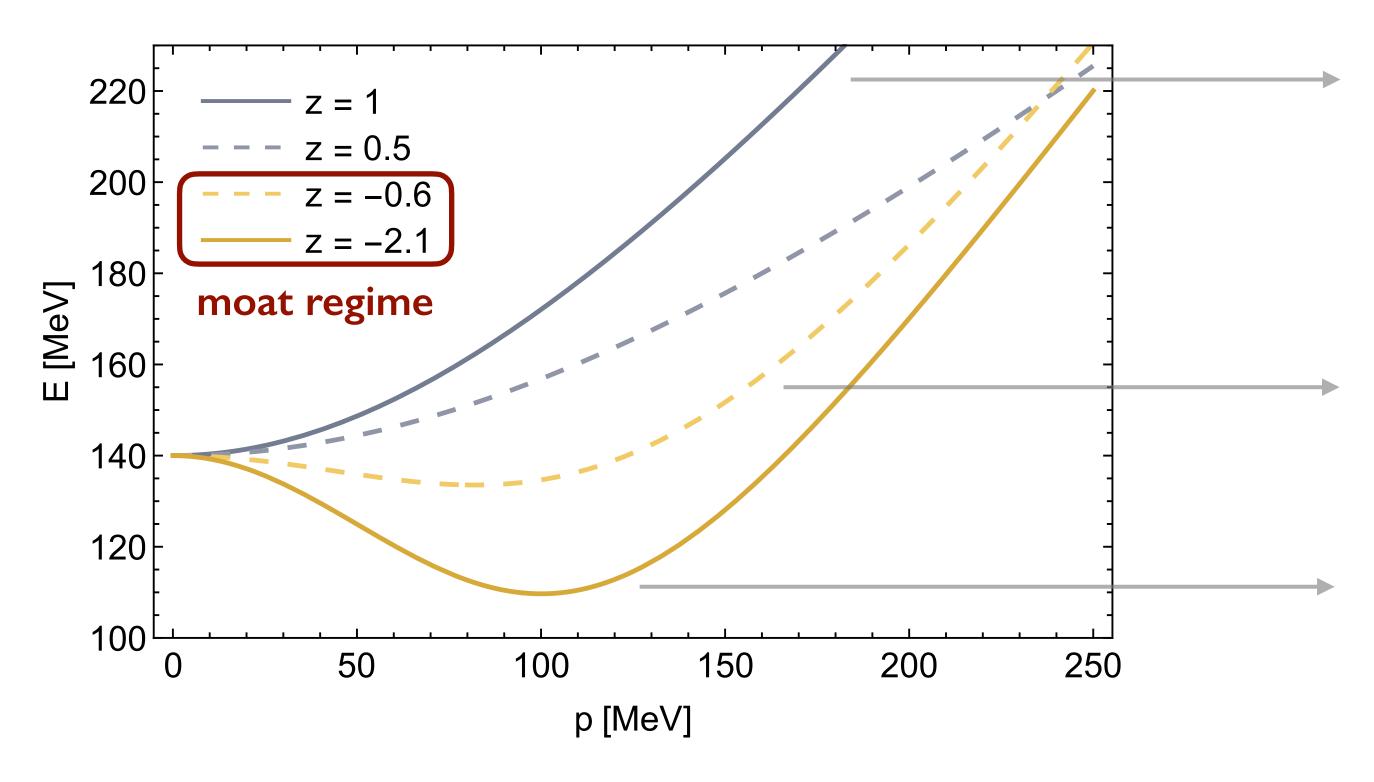




[&]quot;gain energy by going faster"

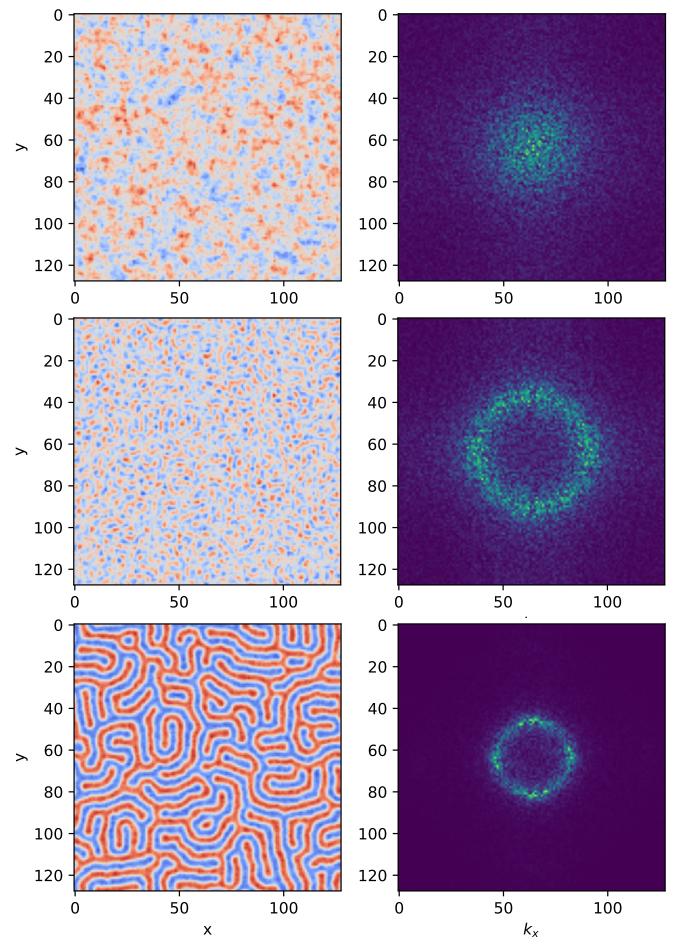
MOAT REGIME & PATTERNS

$$E(\mathbf{p}^2) = \sqrt{Z(\mathbf{p}^2)\,\mathbf{p}^2 + m^2} \approx \sqrt{z\,\mathbf{p}^2 + w\mathbf{p}^4 + \mathcal{O}(\mathbf{p}^6) + \bar{m}^2}$$



moat regime pattern formation

2d Ising model with different bare z

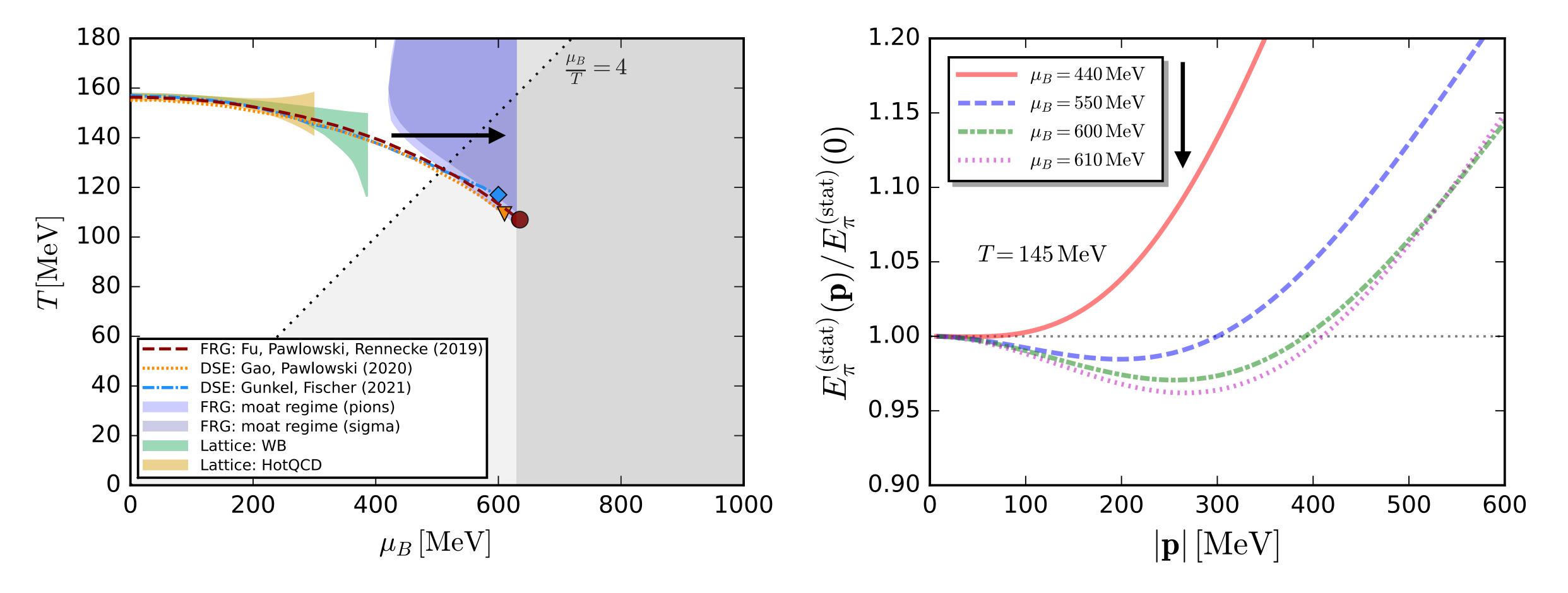


[Harhoff, FR, Riedel, Schlichting (in preparation)] see also: [Schindler et al., 1906.07288; Valgushev, Winstel, 2403.18640]

THE MOAT REGIMES IN QCD

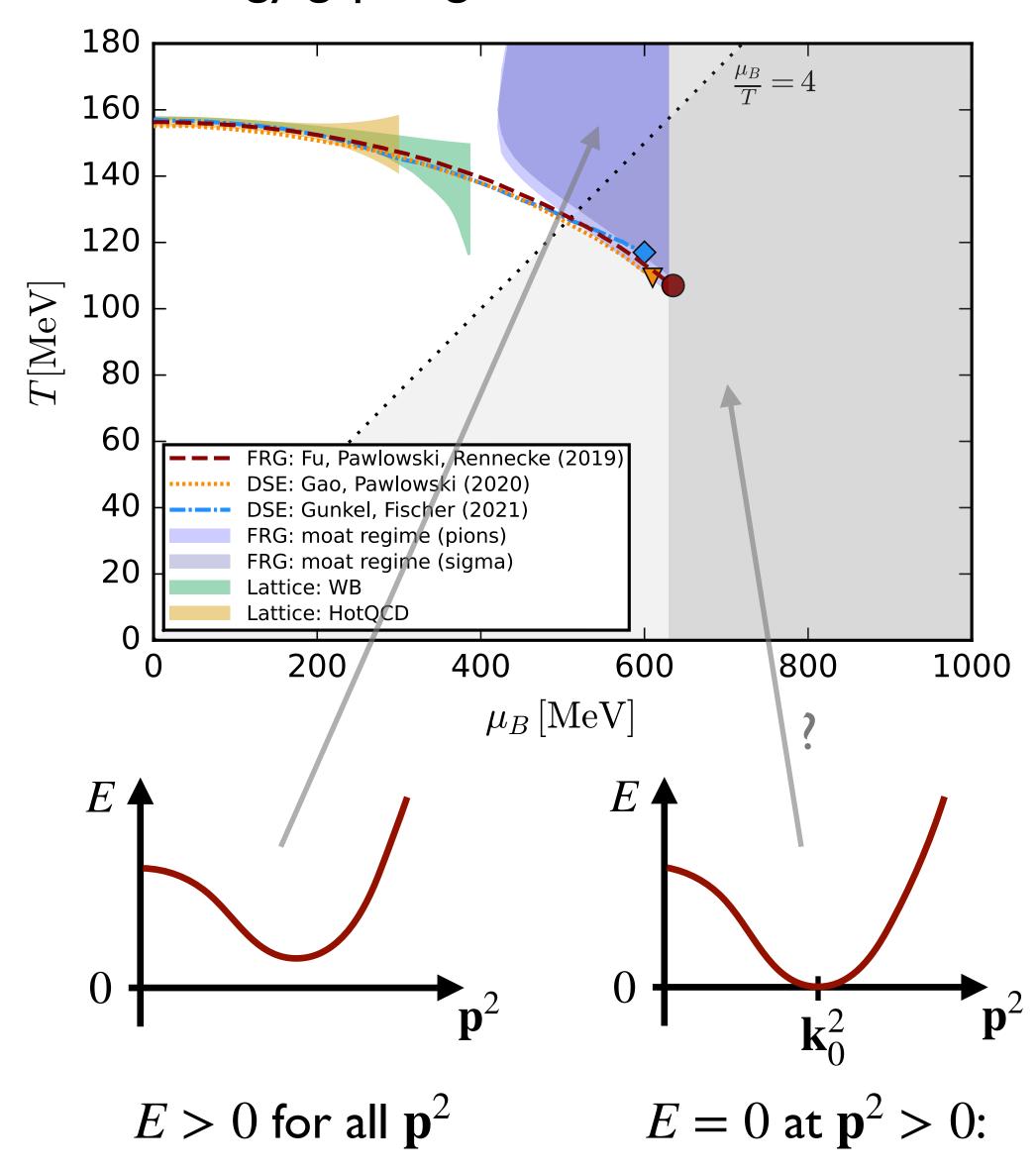
- moat regime appears to depend on the species
- the lighter the meson, the stronger the signal \rightarrow pions are good probes

[Töpfel, Pawlowski, Braun, 2412.16059; Cao, 2504.18874; FR, Yin (in preparation)]

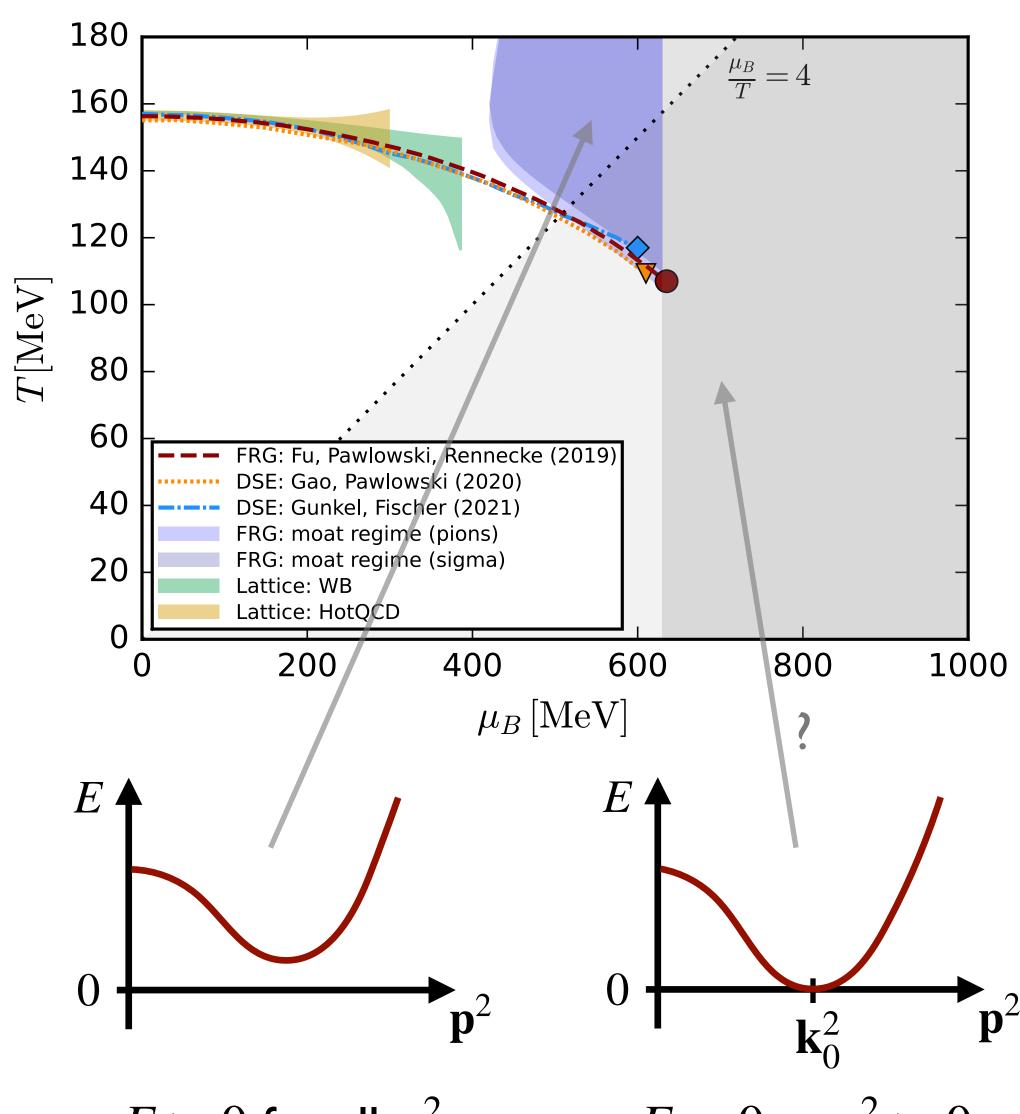


evidence for spatial modulations & pattern formation in the phase diagram

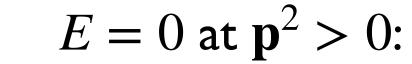
The energy gap might close:



The energy gap might close:



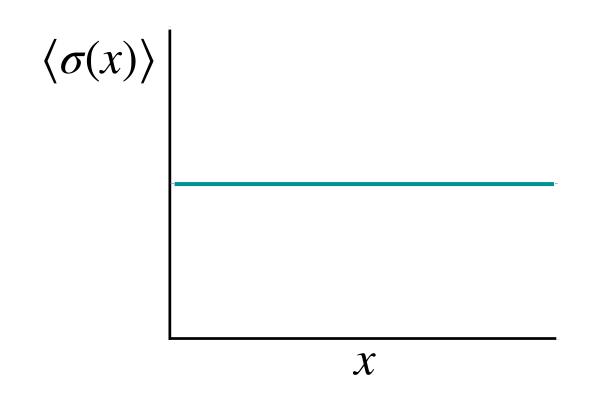
E > 0 for all \mathbf{p}^2



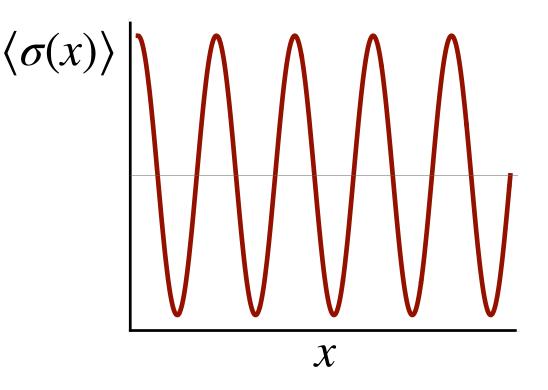
DSE: [Motta, Buballa, Fischer; *PRD* (2023-25)] FRG: [Fu, Pawlowski, Pisarski, FR, Wen, Yin; PRD (2024)]

instability towards formation of an inhomogeneous condensate

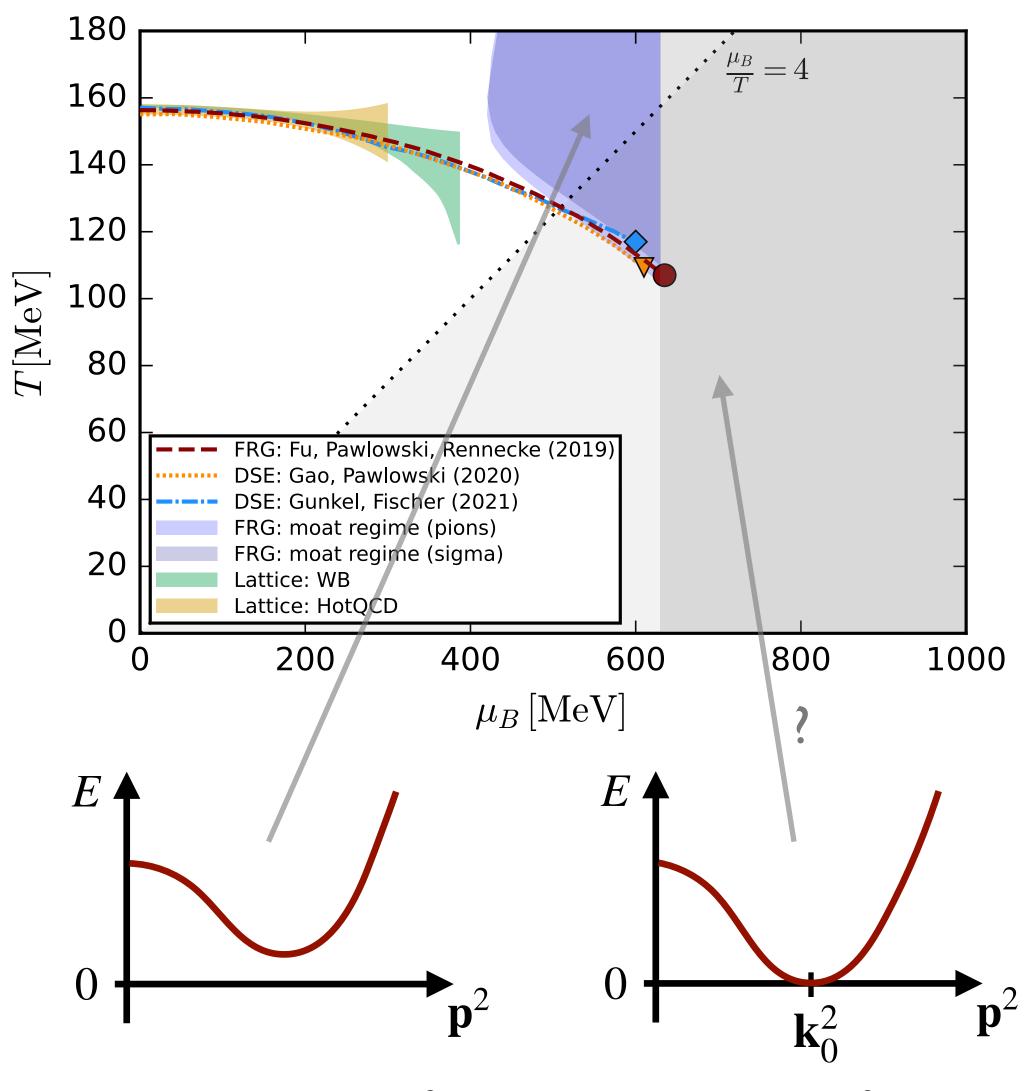




inhomogeneous



The energy gap might close:



E > 0 for all \mathbf{p}^2

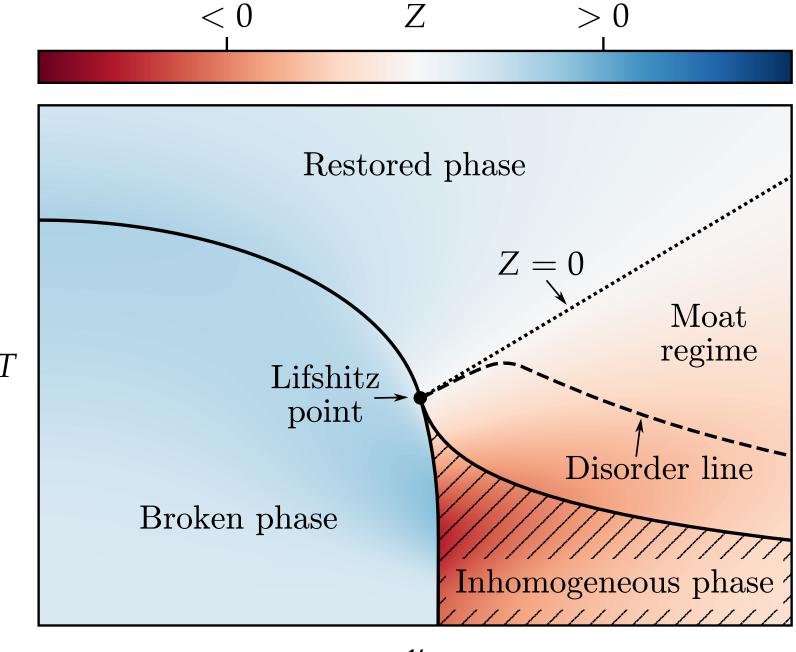
E = 0 at $p^2 > 0$:

DSE: [Motta, Buballa, Fischer; *PRD* (2023-25)] FRG: [Fu, Pawlowski, Pisarski, FR, Wen, Yin; PRD (2024)]

instability towards formation of an inhomogeneous condensate

common feature of lowenergy models,

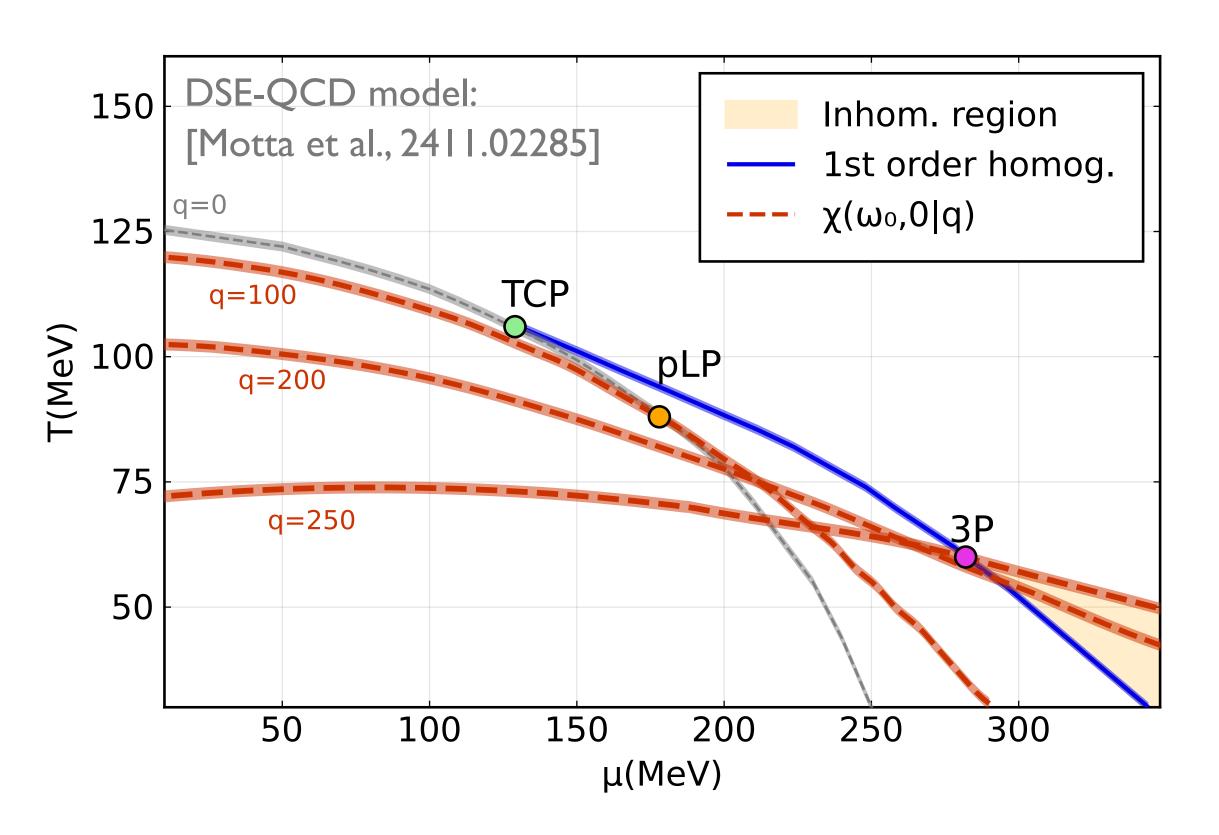
[Nussinov, Ogilvie, Pannullo, Pisarski, FR, Schindler, Winstel, 2410.22418]

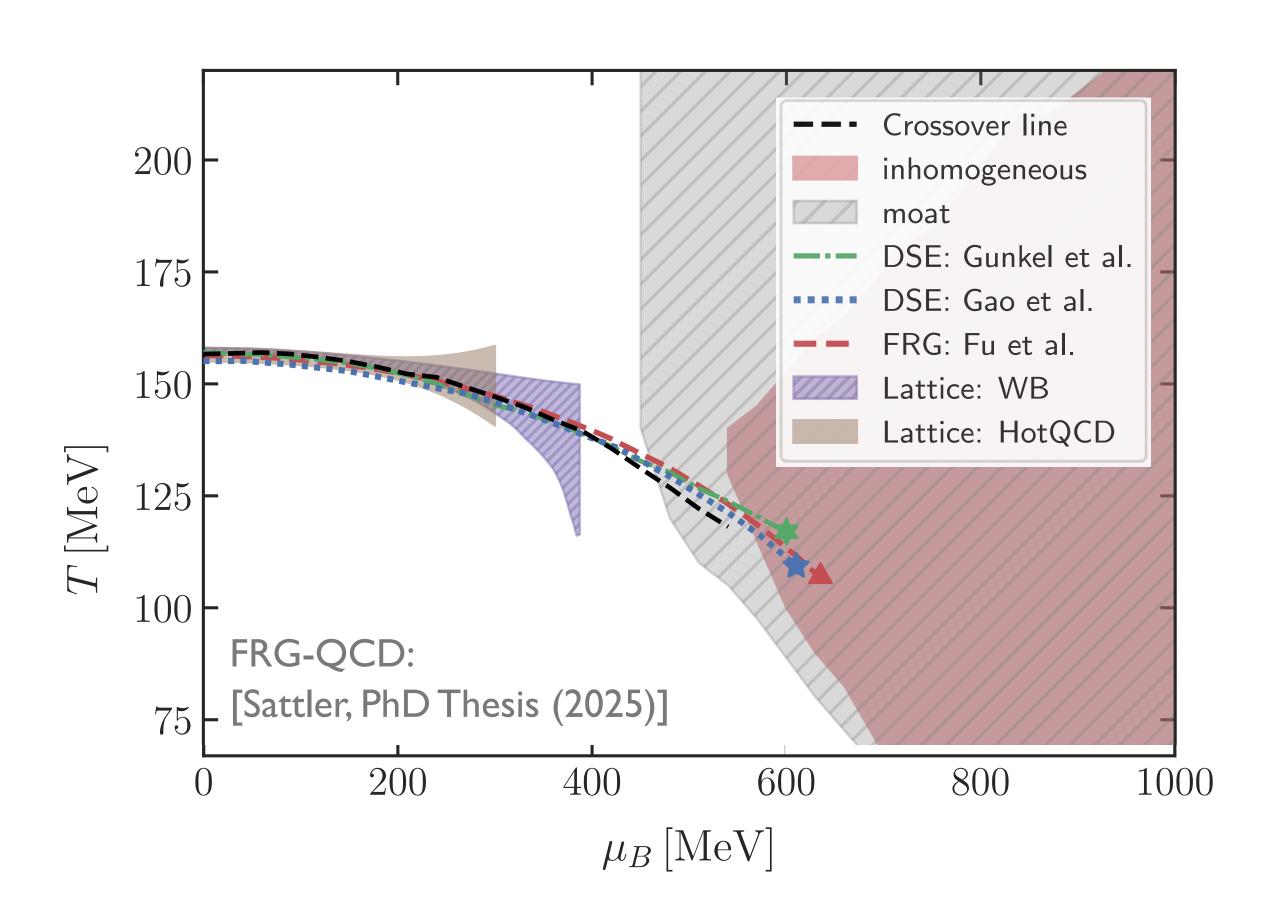


adapted from [Koenigstein et al., JPA 55 (2022)]

- many types of inhomogeneous phases possible (crystals, liquid crystals, ... depends on which spatial symmetries are broken)
- in any case, they are always accompanied by a moat regime

First hints for inhomogeneous phases from exploratory/preliminary QCD studies:





FRG: instability at finite length scale?

→ liquid crystal?

[Lee et al., 1504.03185] [Hidaka et al., 1505.00848]

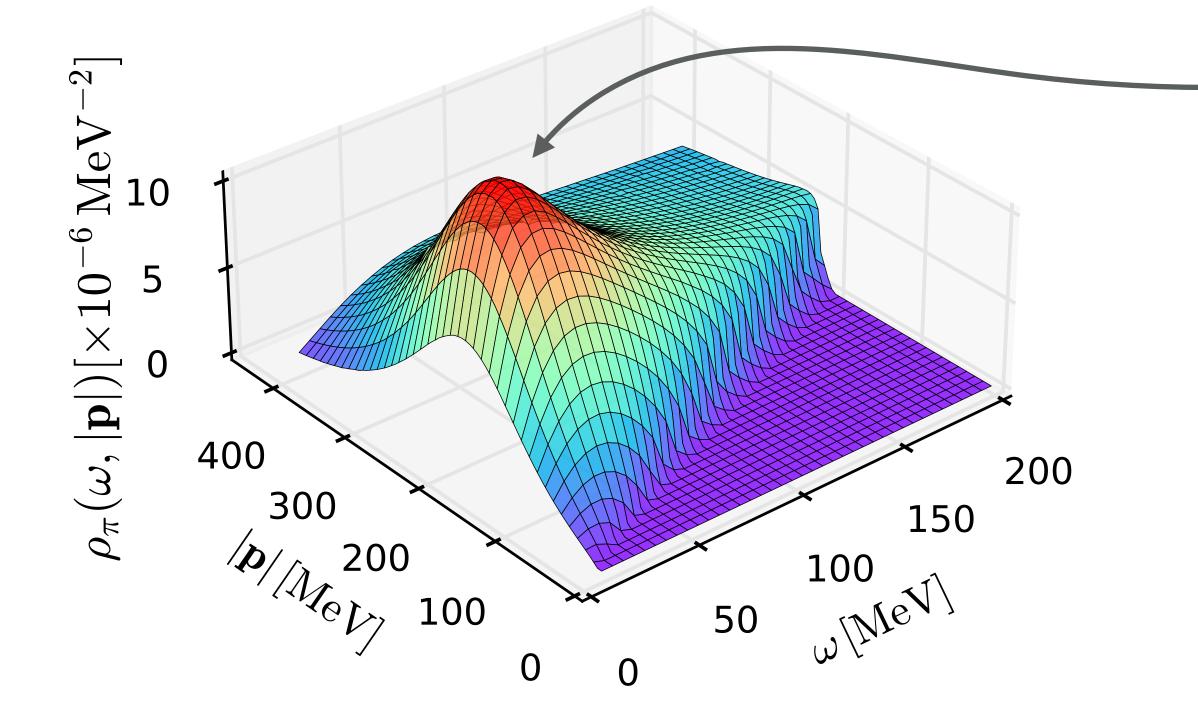
SEARCH FOR MOATS IN HICS

intuitive idea

Characteristic feature of moats: particles with minimal energy at nonzero momentum

modified particle production at nonzero momentum

- description of particle production requires knowledge of real-time correlation functions
- directly accessible with the FRG [Floerchinger; JHEP (2012), Kamikado, Strodthoff, von Smekal, Wambach; EPJ C (2014), ...MANY more...]

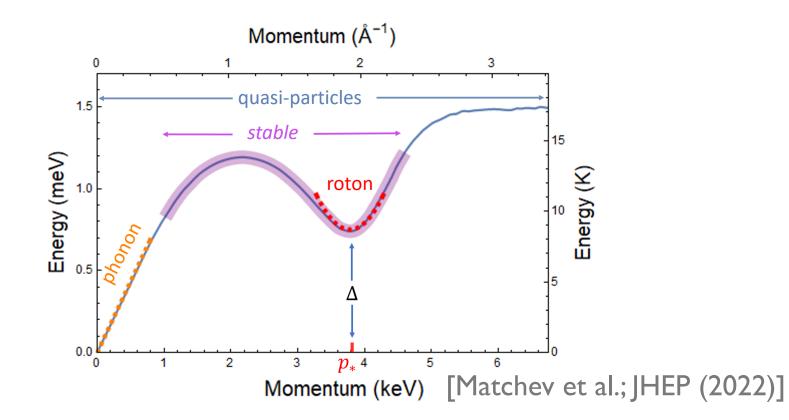


pion spectral function in the moat regime quasi-particle like peak in the spacelike region:

the "moaton"

[Fu, Pawlowski, Pisarski, FR, Wen, Yin; PRD (2025)]

inspired by the roton in superfluid Helium II



SEARCH FOR MOATS IN HICS

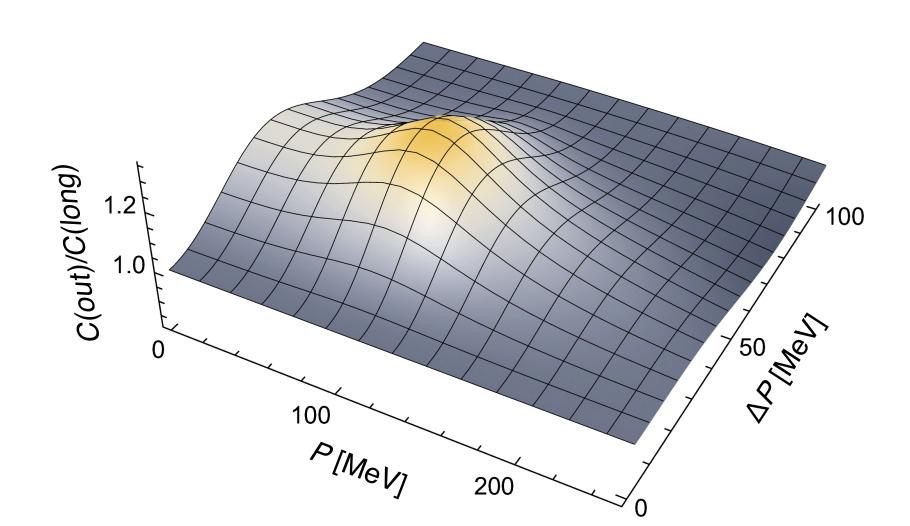
intuitive idea

Characteristic feature of moats: particles with minimal energy at nonzero momentum

modified particle production at nonzero momentum

HBT correlations

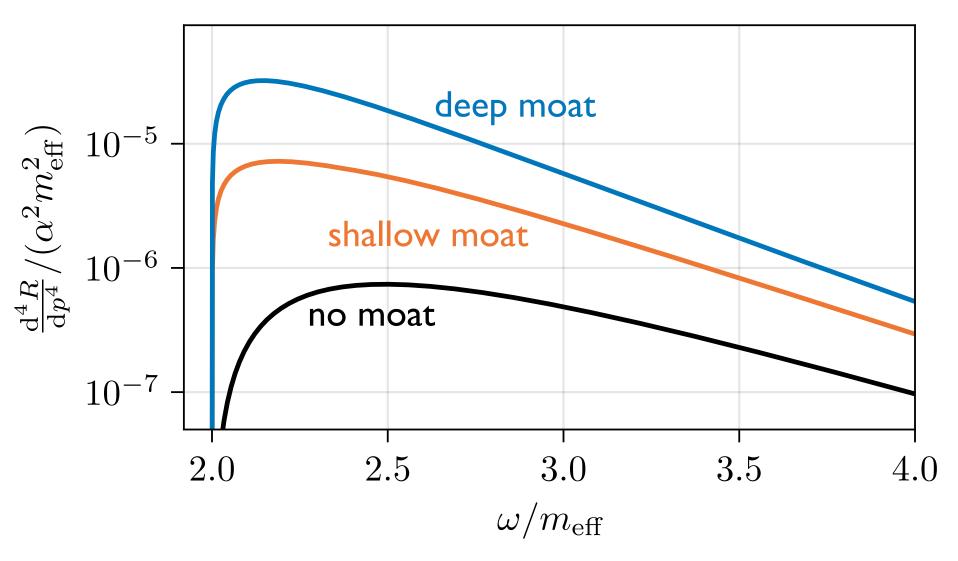
[FR, Pisarski, Rischke, 2301.11484]



characteristic peak at nonzero average pair momentum

dilepton production

[Nussinov, Ogilvie, Pannullo, Pisarski, FR, Schindler, Winstel, 2410.22418]



enhanced dilepton rate from "moaton threshold"

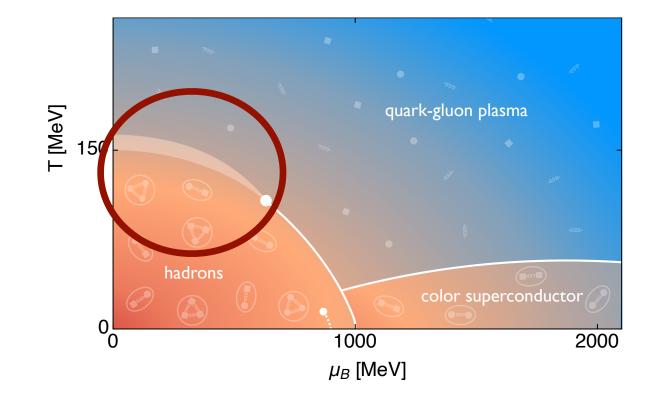
signals of inhomogeneous phases: [Fukushima et al., 2306.17619; Hayashi, Tsue, 2407.08523]

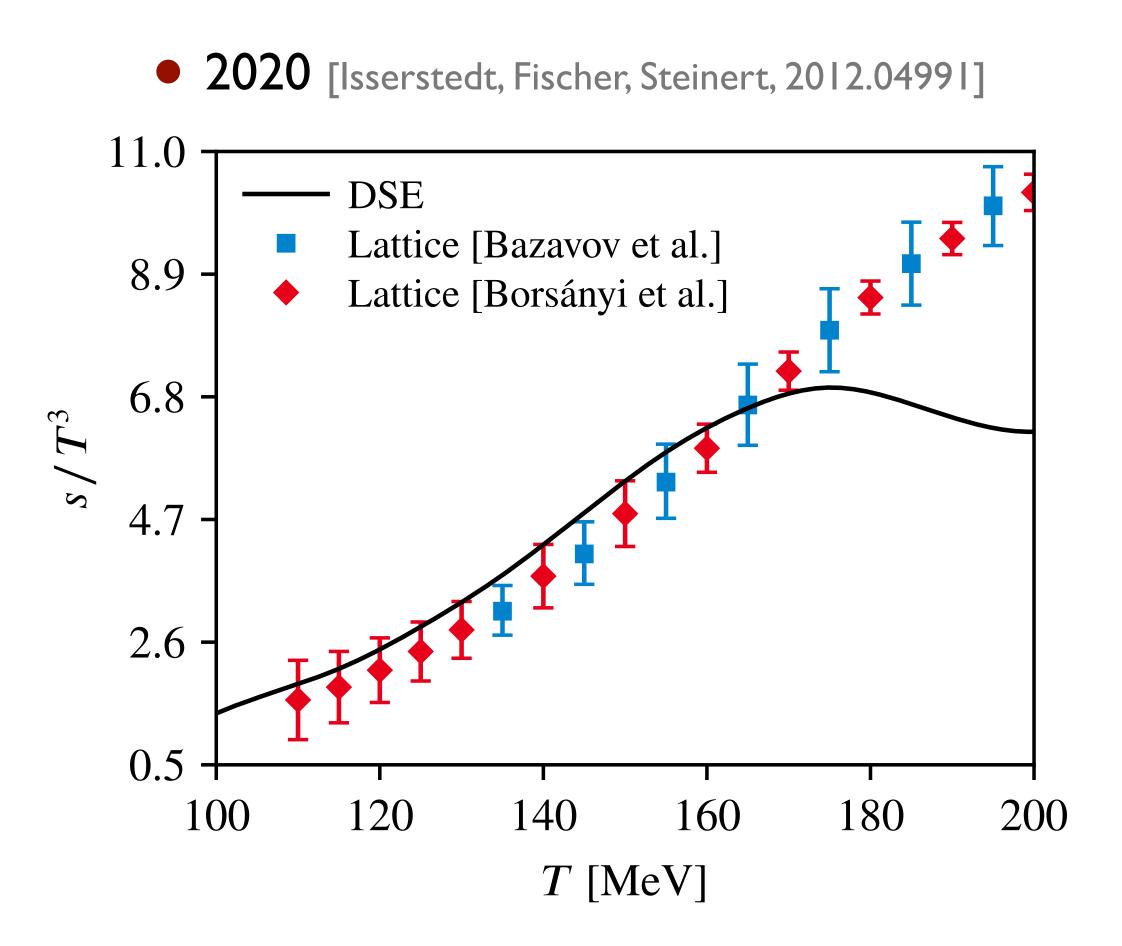
so far, predictions are based on very simplistic models, not QCD!

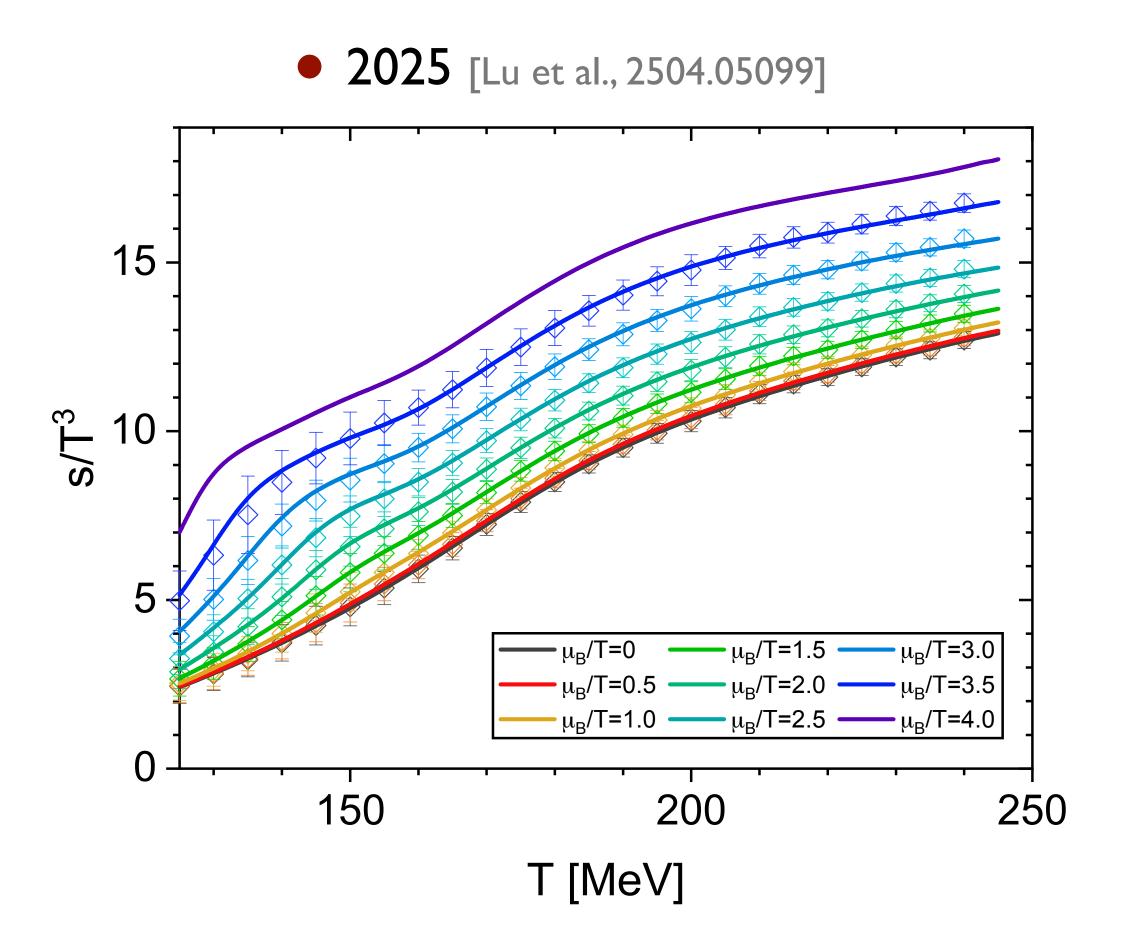
EOS OF DENSE QCD MATTER

HOT AND SOMEWHAT DENSE EOS

Rapid progress in the past years. Example: entropy density

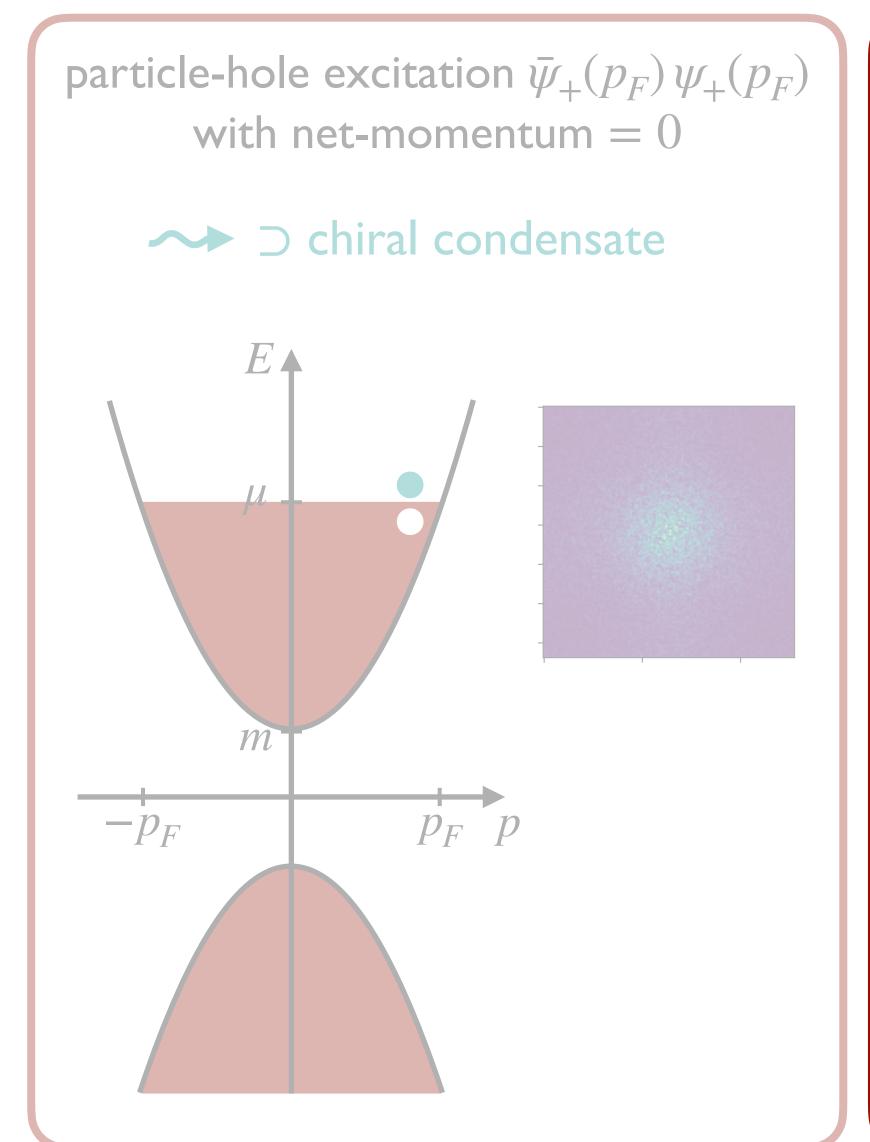


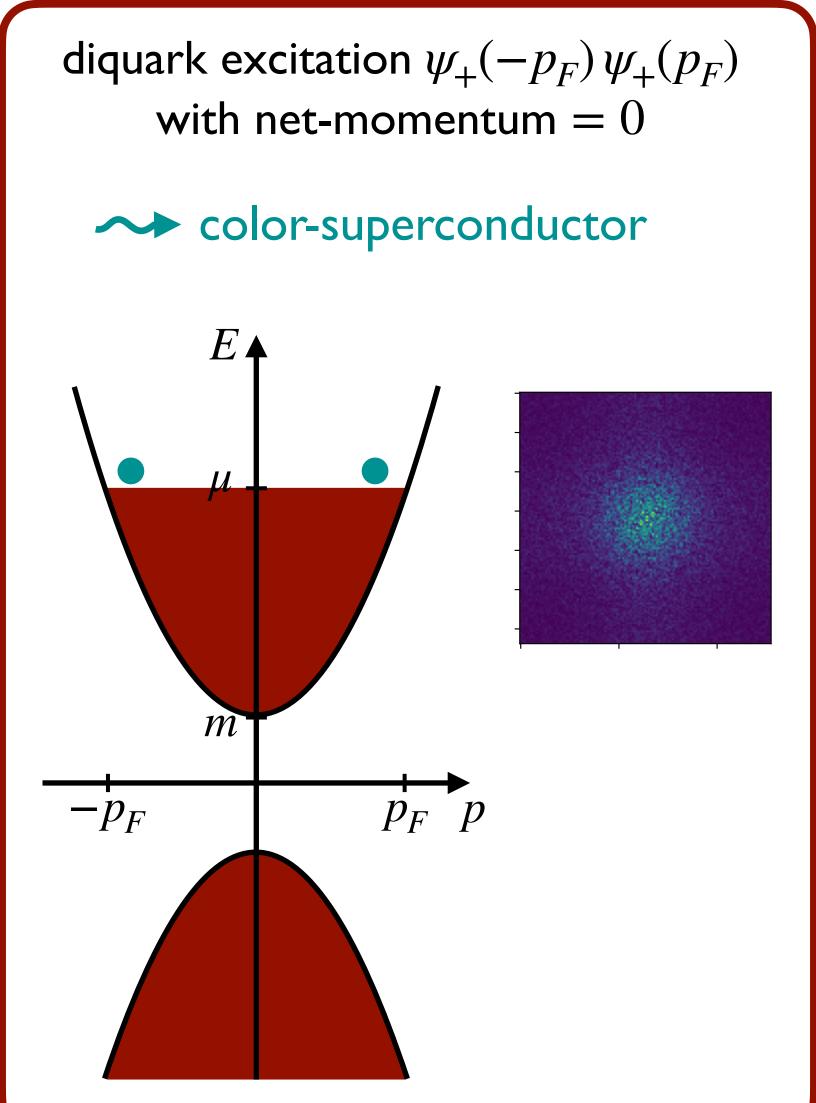


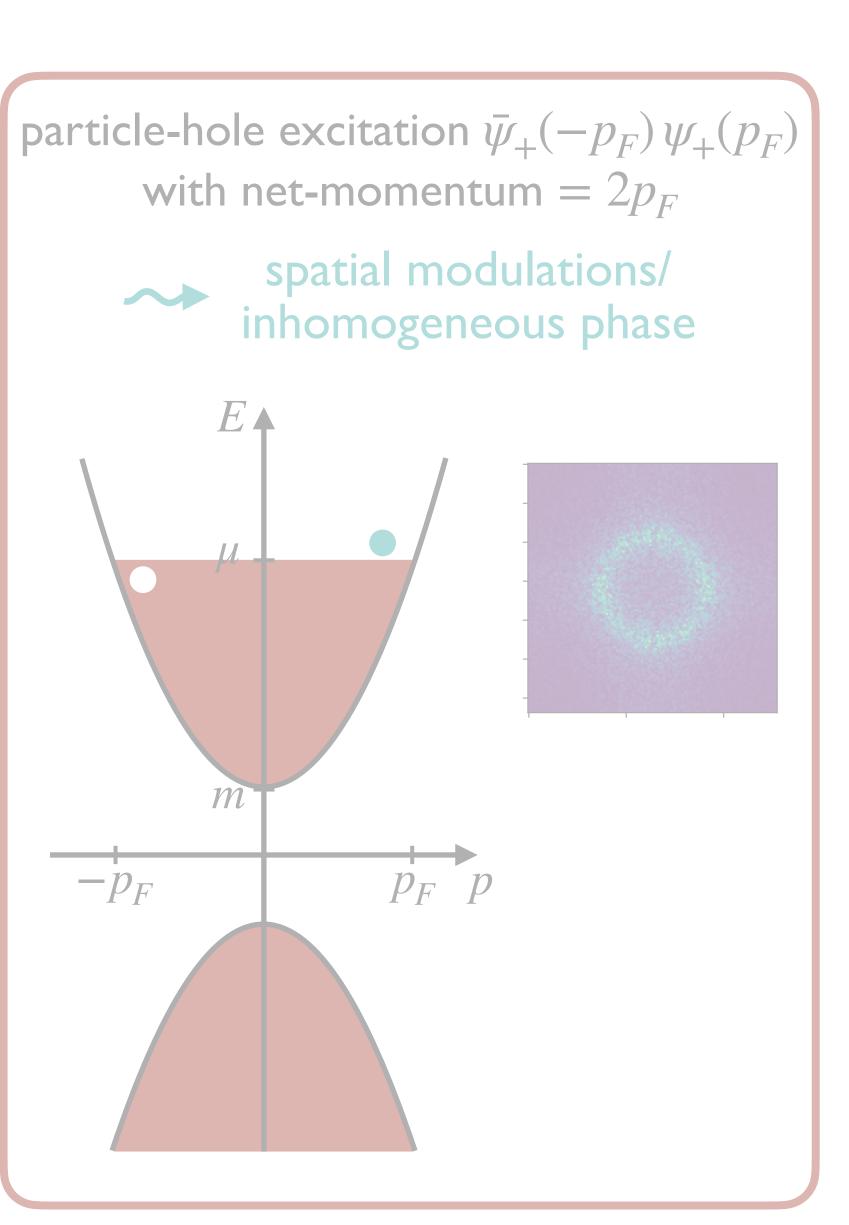


EXCITATIONS AT NONZERO DENSITY

What kind of excitation do we expect? Look at Dirac cone at $\mu > 0$

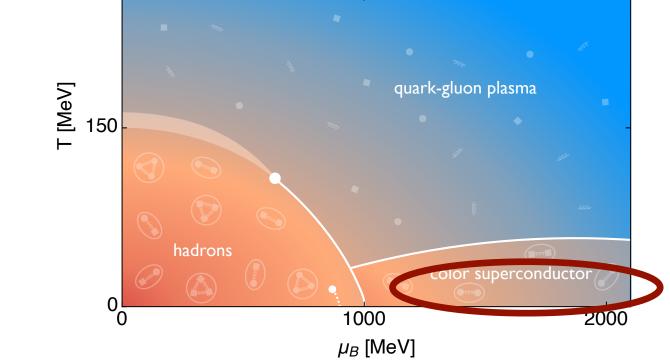


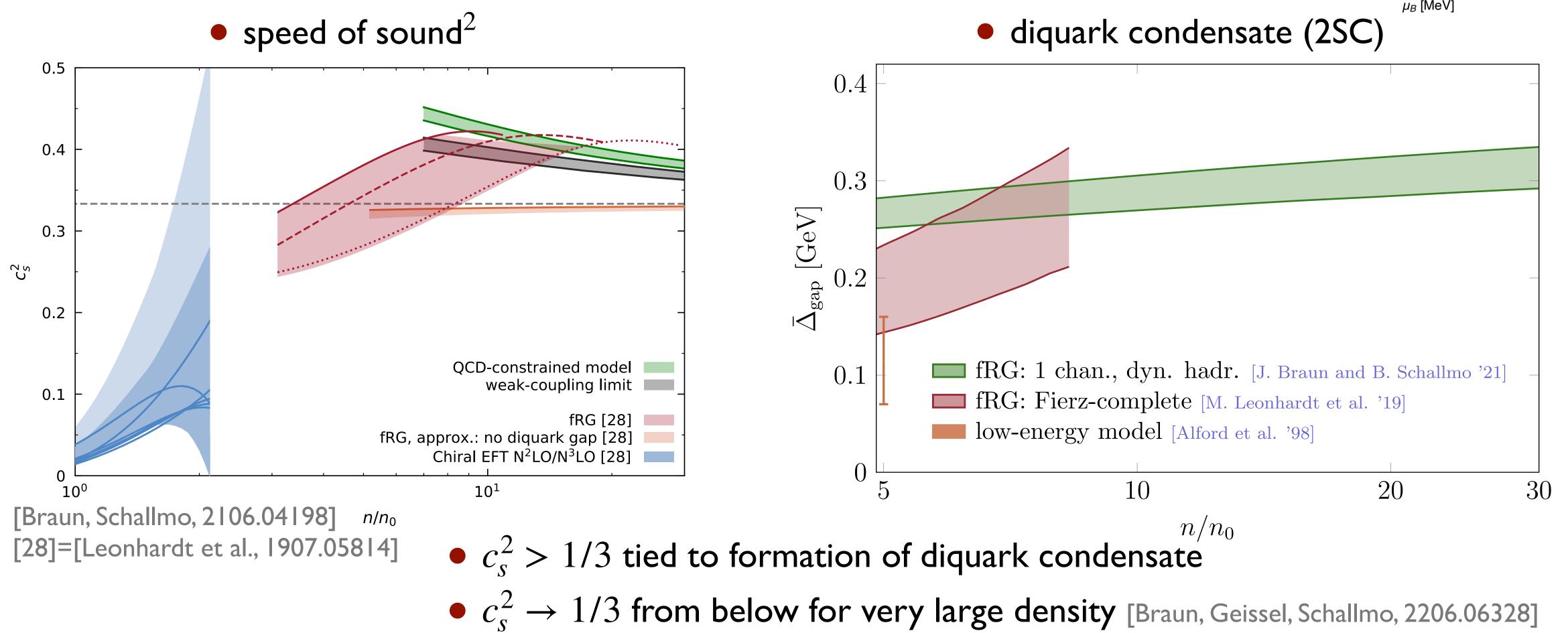




COLD AND VERY DENSE EOS

EoS and color-superconducting gap in the non-perturbative high-density regime



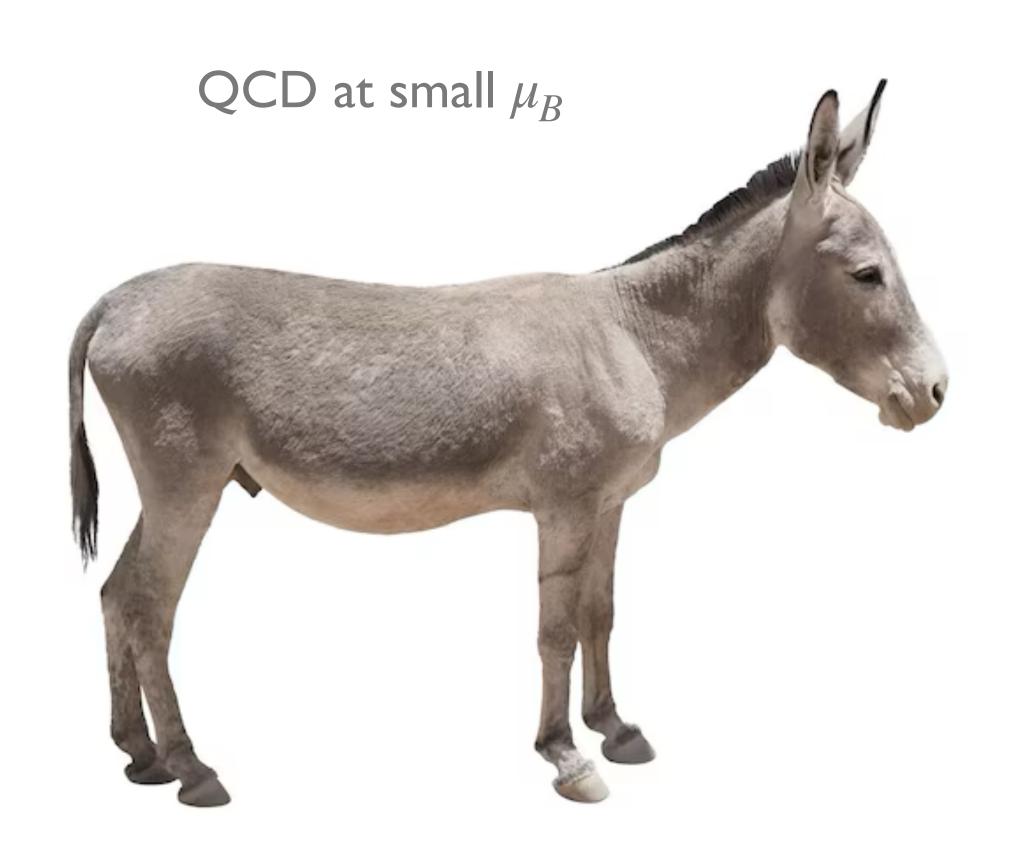


DSE: [Müller, Buballa, Wambach, 1303.2693; Müller, Buballa, Wambach, 1603.02865] more FRG: [Braun, Schallmo, 2204.00358; Braun, Geissel, Gorda, 2403.18010]

CONCLUSION

functional methods can be used to study the QCD from first principles

→ solid predictions, rapid progress & new features at finite density



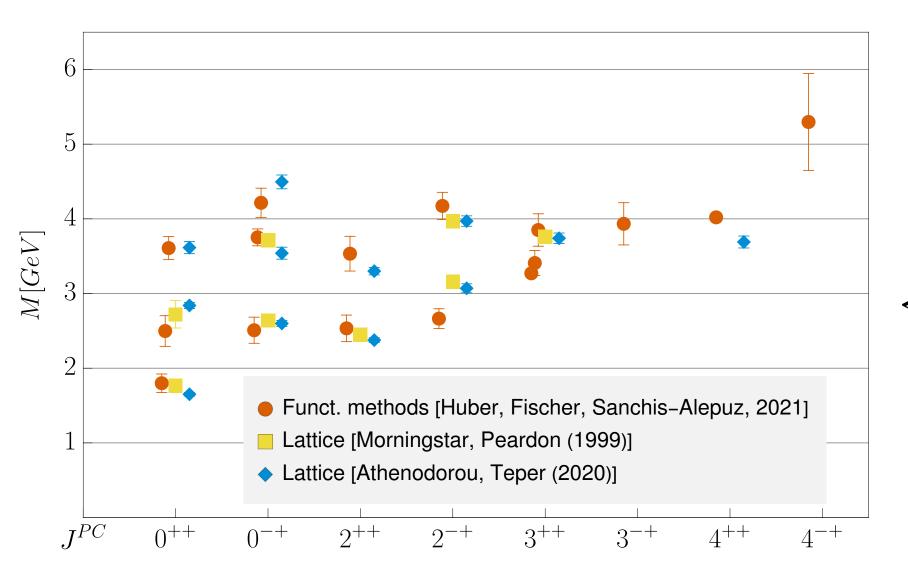


BACKUP

FUNCTIONAL QCD - SOME BENCHMARKS

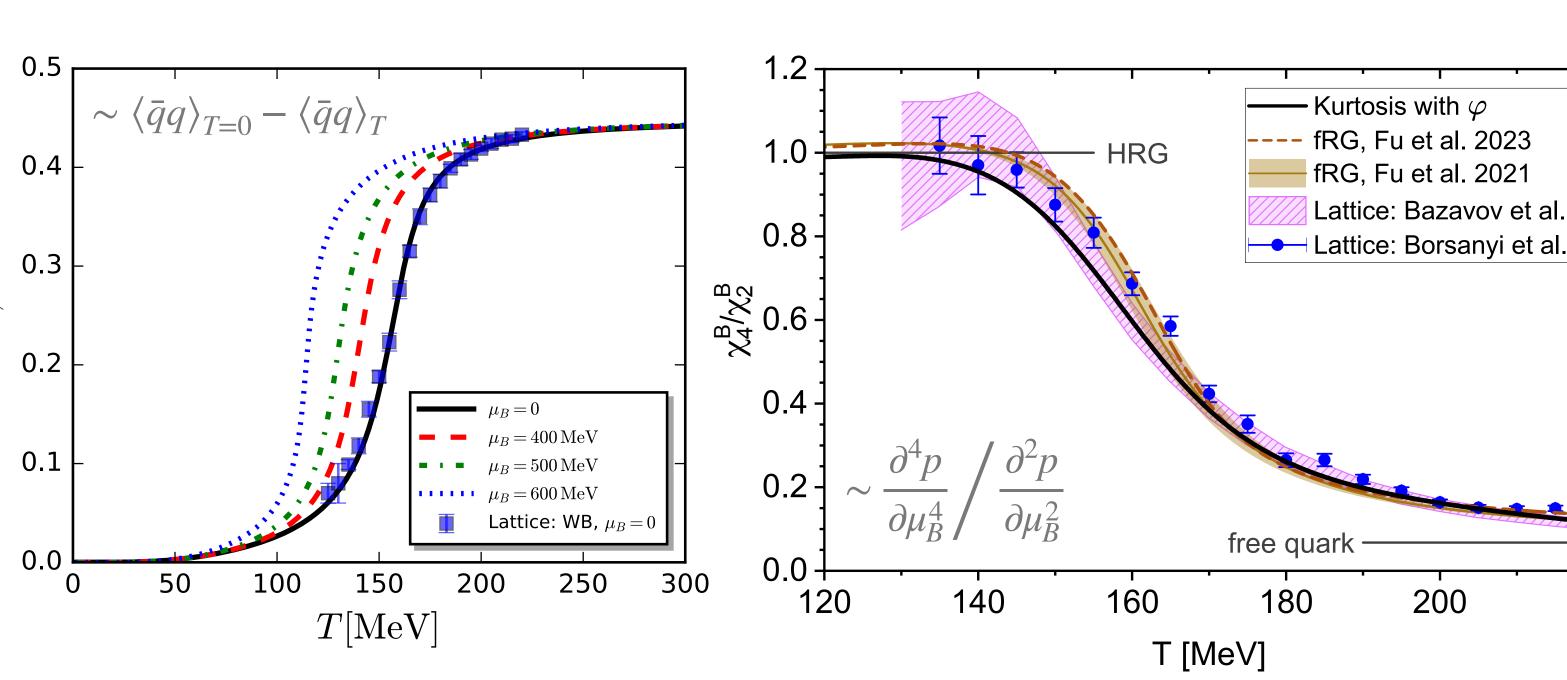
Comparison with lattice results:





[Fu, Pawlowski, FR, 1909.02991]

(renormalized) chiral kurtosis of net-baryon condensate in QCD distribution (EoS)



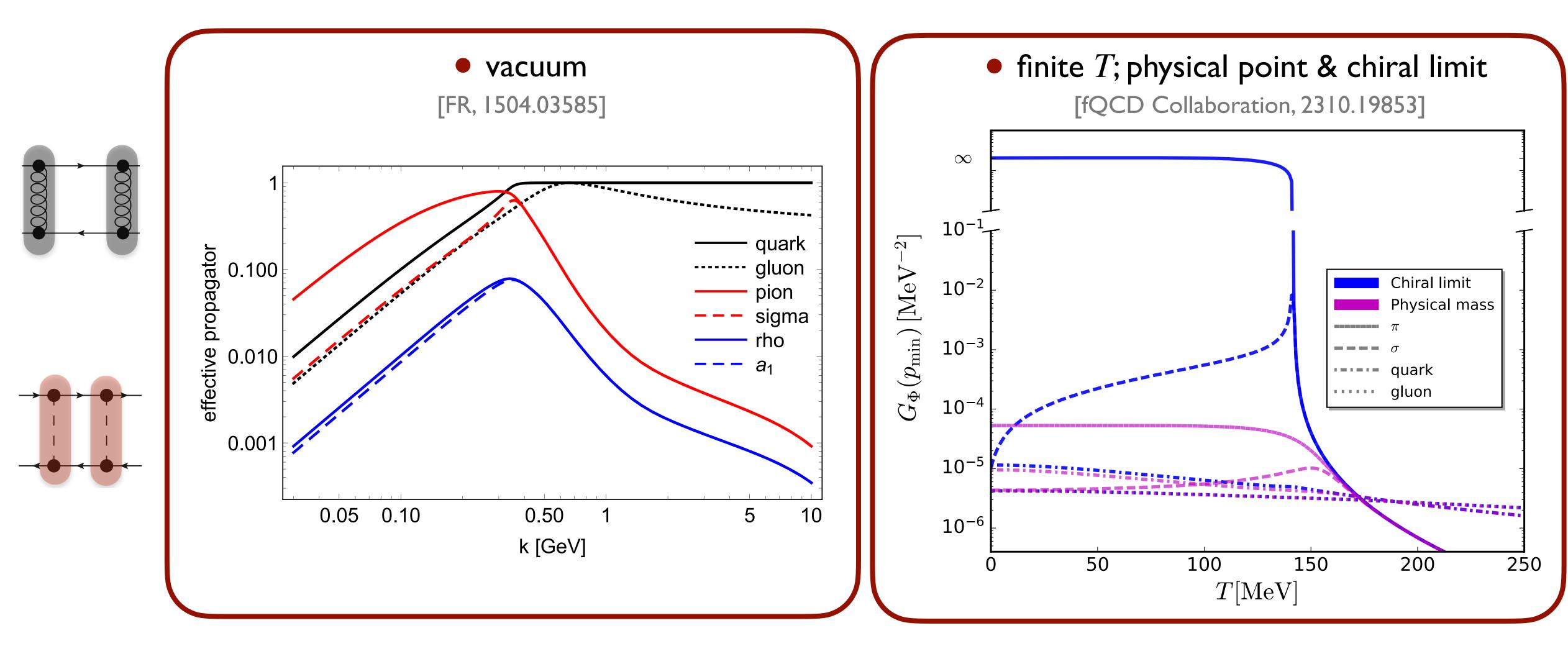
DSE-QCD:[Lu, Gao, Liu, Pawlowski, 2504.05099]
FRG-LEFT: [Fu, Luo, Pawlowski, FR, Wen, 2101.06035]

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[Huber, Fischer, Sanchis-Alepuz, 2110.09180]

EMERGENT LOW-ENERGY PHYSICS

Different dominant degrees of freedom at different energy scales

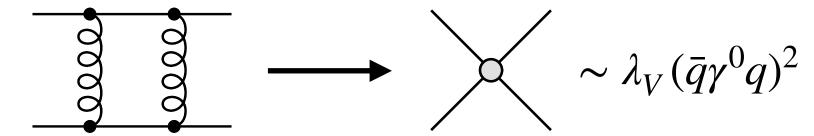


 \rightarrow soft modes at low energies: emergent EFTs (e.g. χ PT)

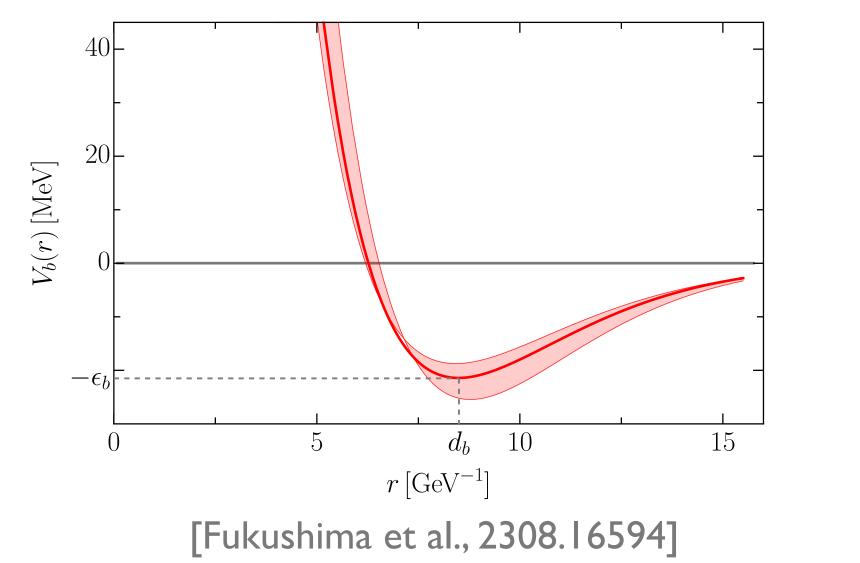
TOWARDS NUCLEAR MATTER FROM FIRST PRINCIPLES

Important benchmark: nuclear liquid-gas transition from quark-gluon correlations. First exploratory studies available (involving some modeling)

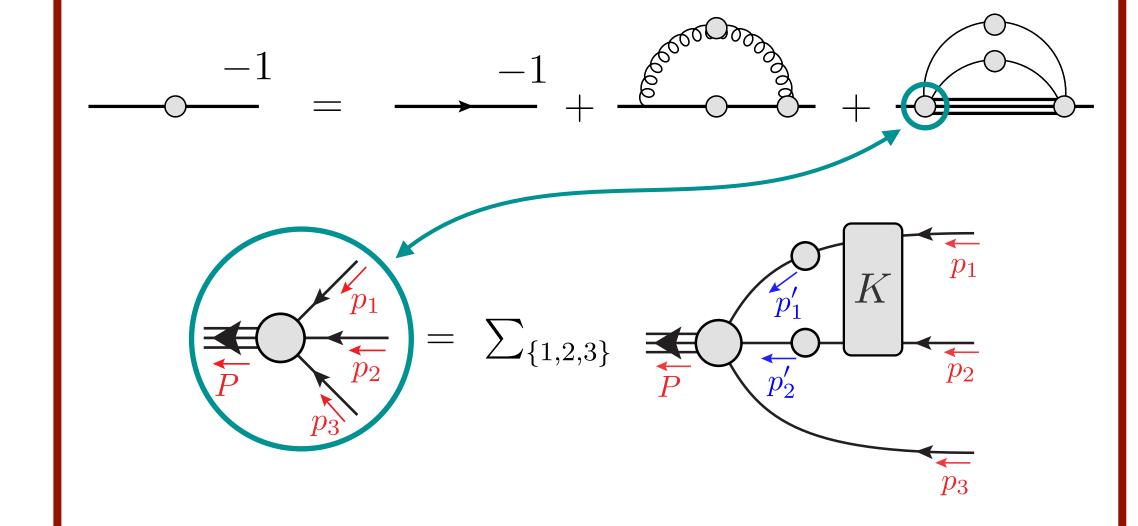
FRG: density-channel interactions



- short-distance repulsion from 1st principles
- first estimates for nuclear matter properties encouraging ($n_0 \approx 0.21(16) \, \mathrm{fm}^{-3}$, $\epsilon_b \lesssim 21(5) \, \mathrm{MeV}$)



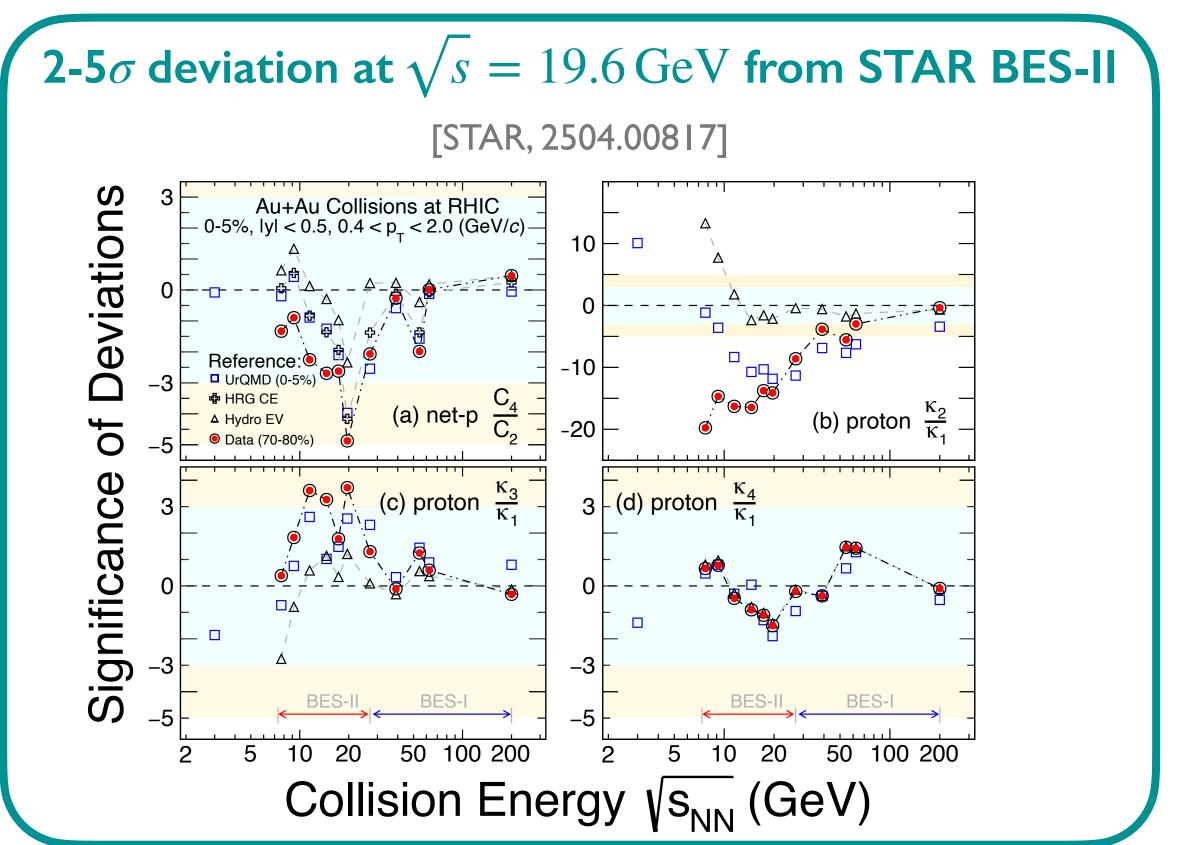


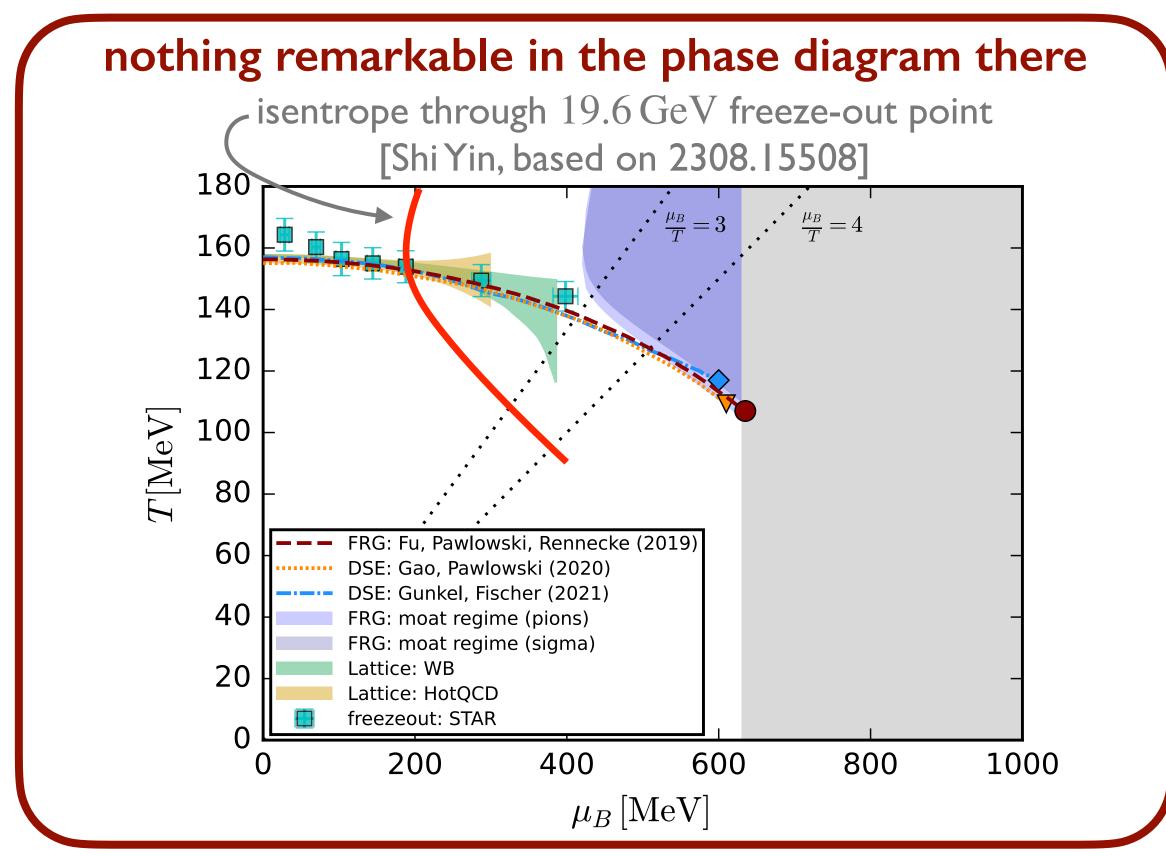


- saturation density: $n_0 \approx 0.15 \, \mathrm{fm}^{-3}$
- binding energy: $\epsilon_b \approx 15.9 \, \mathrm{MeV}$

[Gao et al., 2504.00539]

WHAT'S GOING ON AT 19.6 GEV?





from the abstract of [STAR, 2504.00817]

at $\sim 2-5\sigma$. In addition, deviations from non-critical baselines around the same collision energy region are also seen in proton factorial cumulant ratios, especially in κ_2/κ_1 and κ_3/κ_1 . Dynamical model calculations including a critical point are called for in order to understand these precision measurements.

- phase diagram well understood in this region from lattice, FRG & DSE
- too far away from CEP for dynamical critical phenomena to be relevant