

# The Nature of QCD Phase Transitions

From cumulants to the Metropolis Algorithm

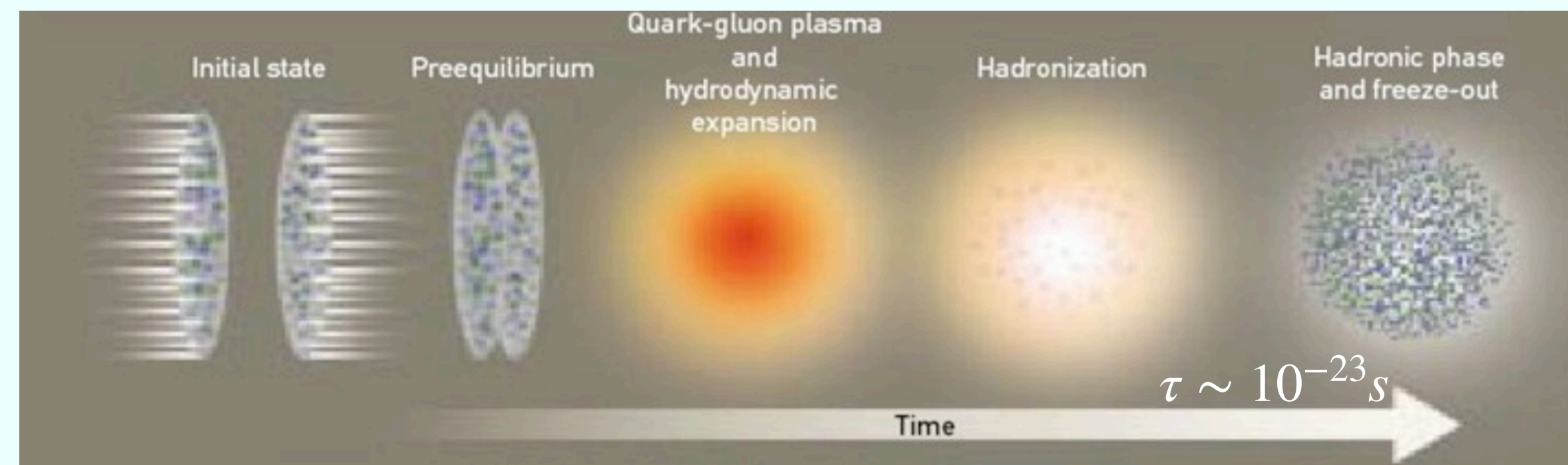
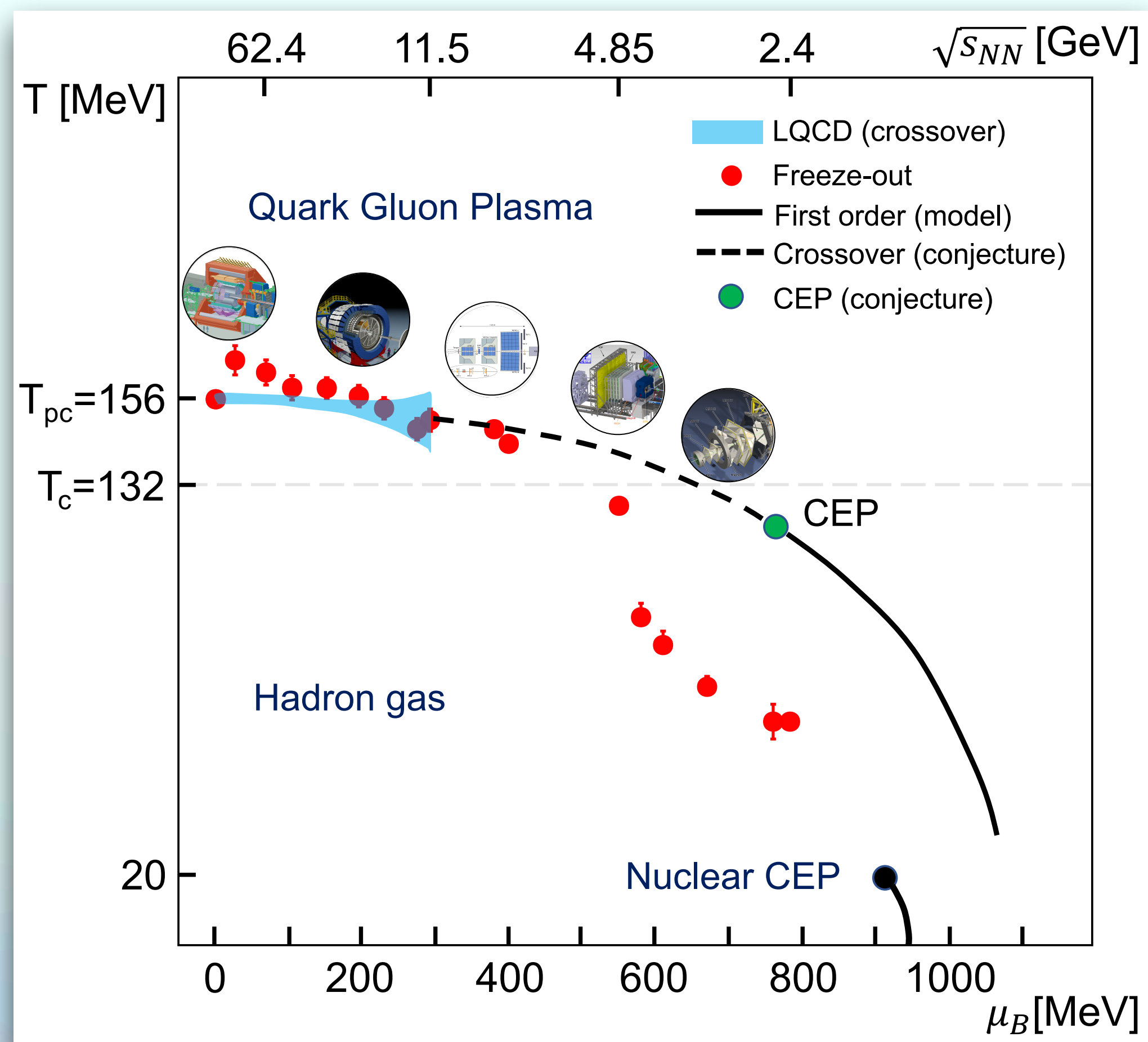
Anar Rustamov



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[a.rustamov@cern.ch](mailto:a.rustamov@cern.ch)

# Phase diagram of QCD matter



$$T_{fo}^{ALICE} = 156.5 \pm 1.5 \pm 3 \text{ MeV (sys)}$$

$$\mu_B \approx 0, \quad V \approx 5280 \text{ fm}^3 \text{ (in one unit of rapidity)} \quad V_{Pb} \approx 1200 \text{ fm}^3$$

$$T_C^{LQCD} = 156.5 \pm 1.5 \text{ MeV}$$

for a thermal system of fixed volume  $V$  and temperature  $T$

$$\frac{\kappa_n(N_B - N_{\bar{B}})}{VT^3} \equiv \frac{1}{VT^3} \frac{\partial^n \ln Z(V, T, \mu_B)}{\partial (\mu_B/T)^n} = \frac{\partial^n P/T^4}{\partial (\mu_B/T)^n} \equiv \hat{\chi}_n^B$$

**experiment**

**theory**

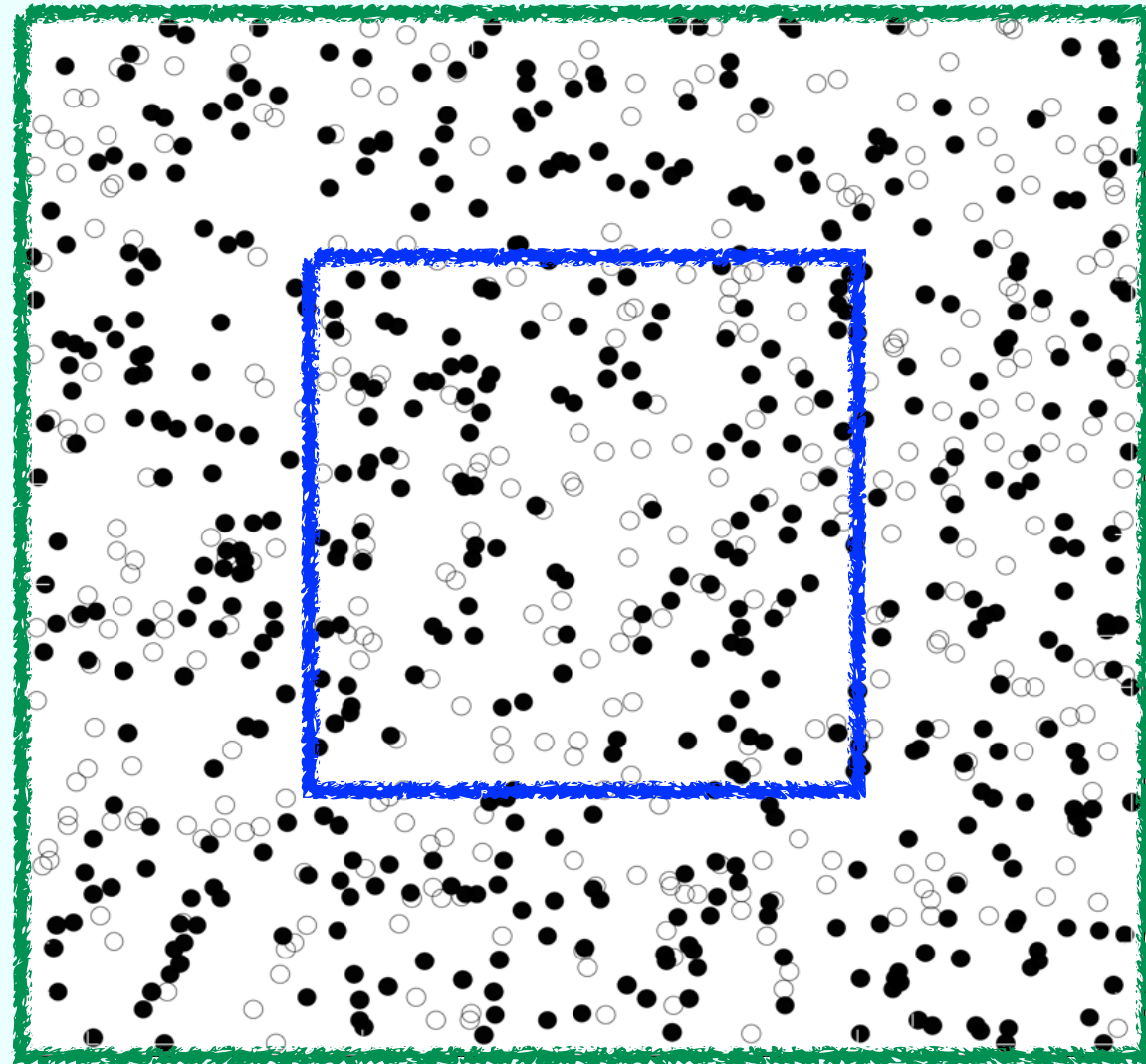
P. Braun-Munzinger, A.R., J. Stachel, 50 years of QCD, EPJ C 83 (2023) 1125

FO: A. Andronic, P. Braun-Munzinger, K. Redlich and J. Stachel, Nature 561, 321–330 (2018)

IQCD: A. Bazavov et al., (HotQCD), PLB 795 (2019) 15-21

A. Rustamov, Never at Rest: A Lifetime Inquiry of QGP, 9-12 February, 2025, Physikzentrum Bad Honnef

# Probing EoS with event-by-event fluctuations

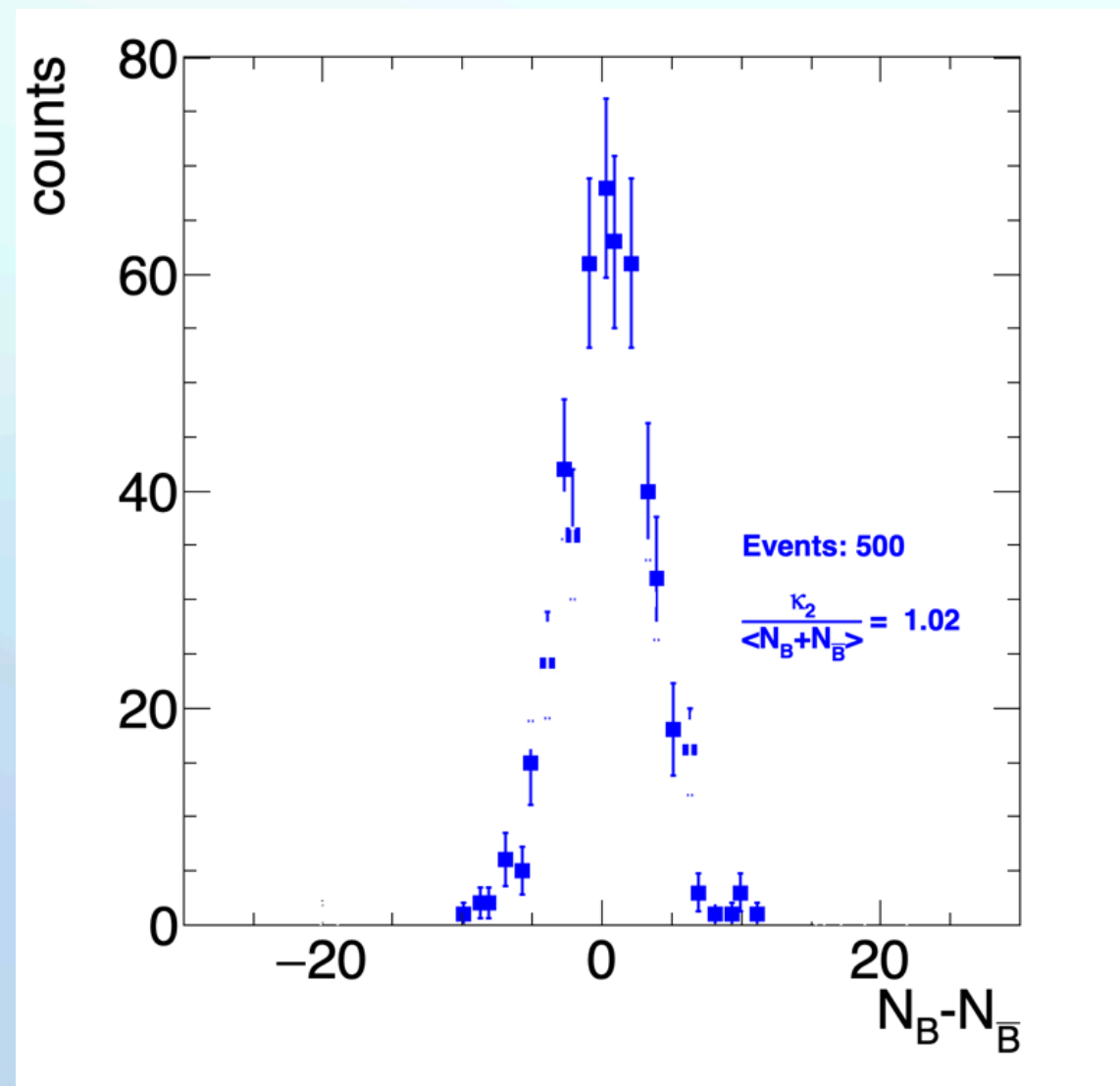


- $\Delta N = N_B - N_{\bar{B}}$  occurs with probability  $p(\Delta N)$  (measured)
- $r^{\text{th}}$  order central moment:  $\mu_r = \sum_{\Delta N} (\Delta N - \langle \Delta N \rangle)^r p(\Delta N)$
- first 4 cumulants:  $\kappa_1 = \langle \Delta N \rangle$ ,  $\kappa_2 = \mu_2$ ,  $\kappa_3 = \mu_3$ ,  $\kappa_4 = \mu_4 - 3\mu_2^2$

$$\frac{\kappa_n(N_B - N_{\bar{B}})}{VT^3} \equiv \frac{1}{VT^3} \frac{\partial^n \ln Z(V, T, \mu_B)}{\partial (\mu_B/T)^n} = \frac{\partial^n P/T^4}{\partial (\mu_B/T)^n} \equiv \hat{\chi}_n^B$$

**experiment**

**theory**



- “volume” fluctuates
- exact conservations
- measures **net-protons**

- volume is fixed
- conservations on average
- predicts for **net-baryons**

## Experimental challenges

- Volume fluctuations
- Conservation laws
- First ALICE results

## Experiment vs. Theory

- Canonical Thermodynamics
- Comparison to STAR results
- Metropolis algorithm
- Comparison to ALICE results
- Outlook

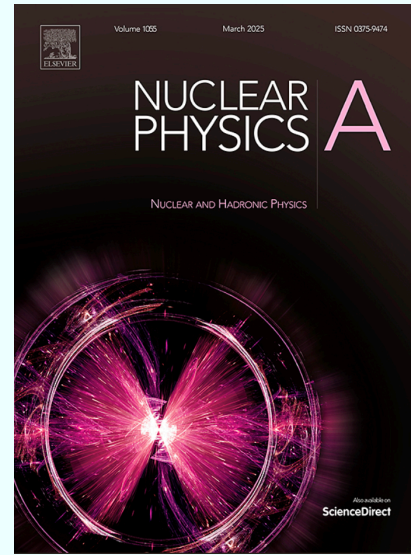
## Experimental challenges

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- Outlook

# Bridging experiment with theory



Bridging the gap between event-by-event fluctuation measurements and theory predictions in relativistic nuclear collisions, [P. Braun-Munzinger, A. Rustamov, J. Stachel, Nucl. Phys. A 960 \(2017\) 114-130.](#)

## fixed volume/sources

$$\langle N \rangle \sim V, \quad \langle N \rangle \sim N_W$$

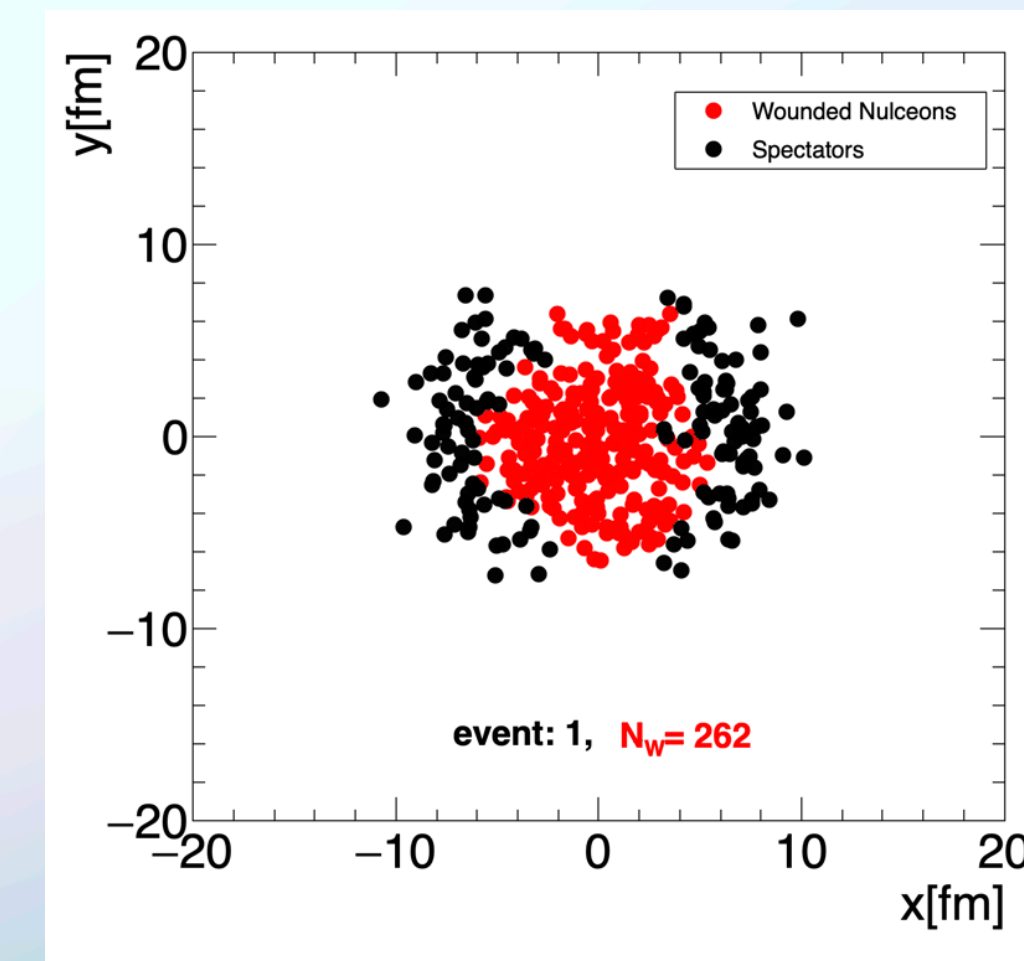
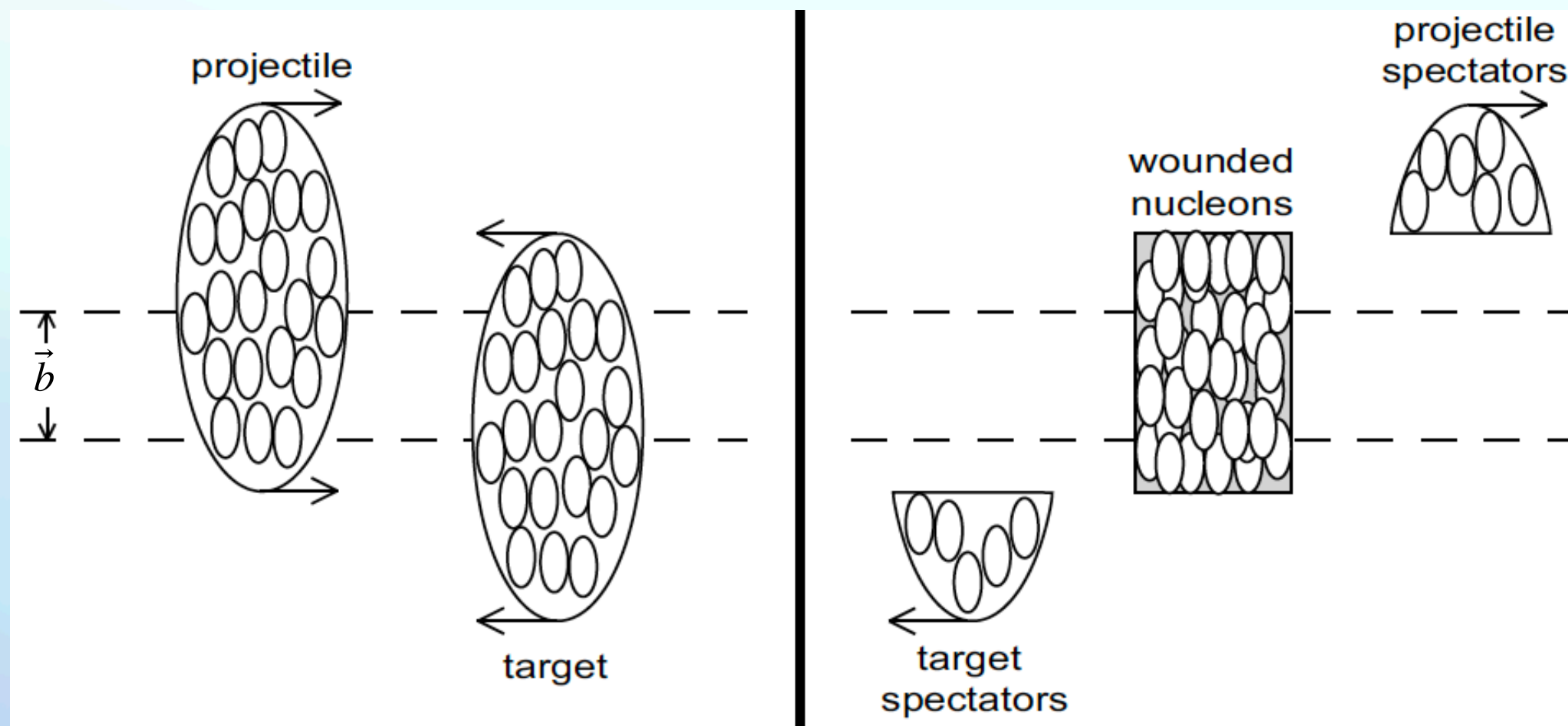
$$\kappa_2(N) \sim V, \quad \kappa_2(N) \sim N_W$$

[V. Skokov, B. Friman, and K. Redlich, Phys.Rev. C88 \(2013\) 034911](#)

## fluctuating sources

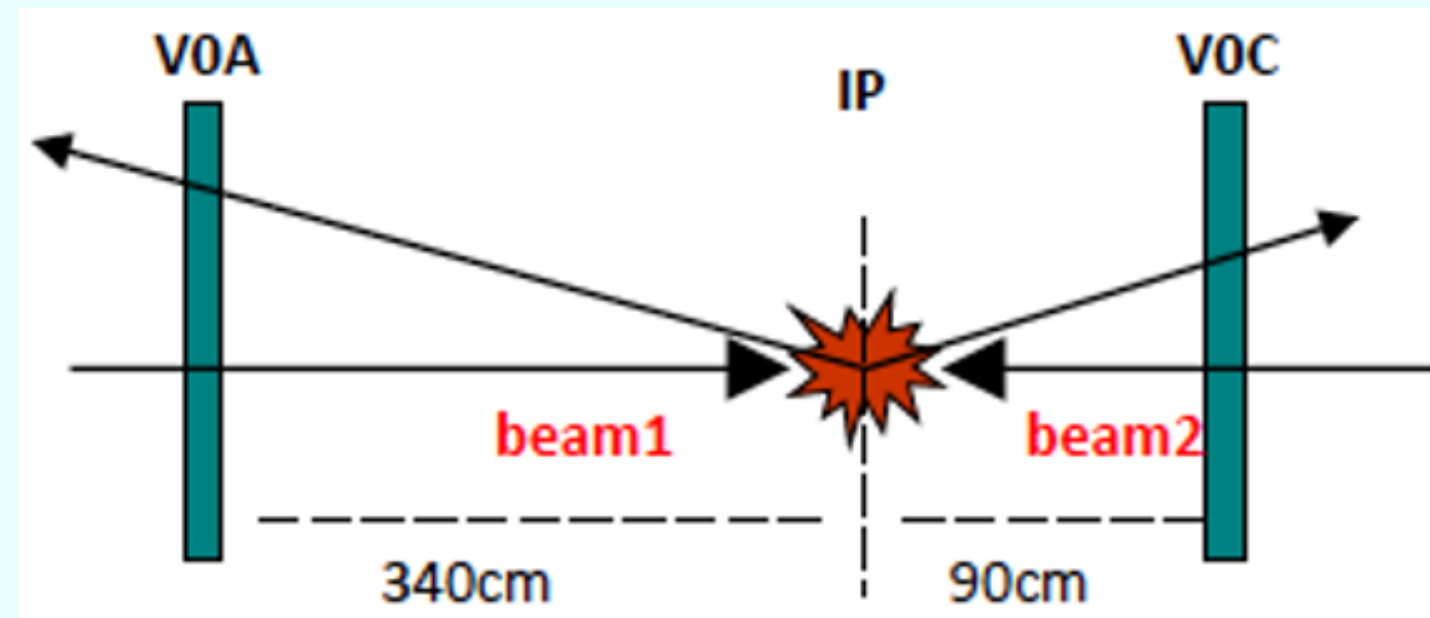
$$\langle N \rangle = \langle n \rangle \langle N_W \rangle$$

$$\kappa_2(N) = \kappa_2(n) \langle N_W \rangle + \langle N \rangle^2 \frac{\kappa_2(N_W)}{\langle N_W \rangle^2}$$



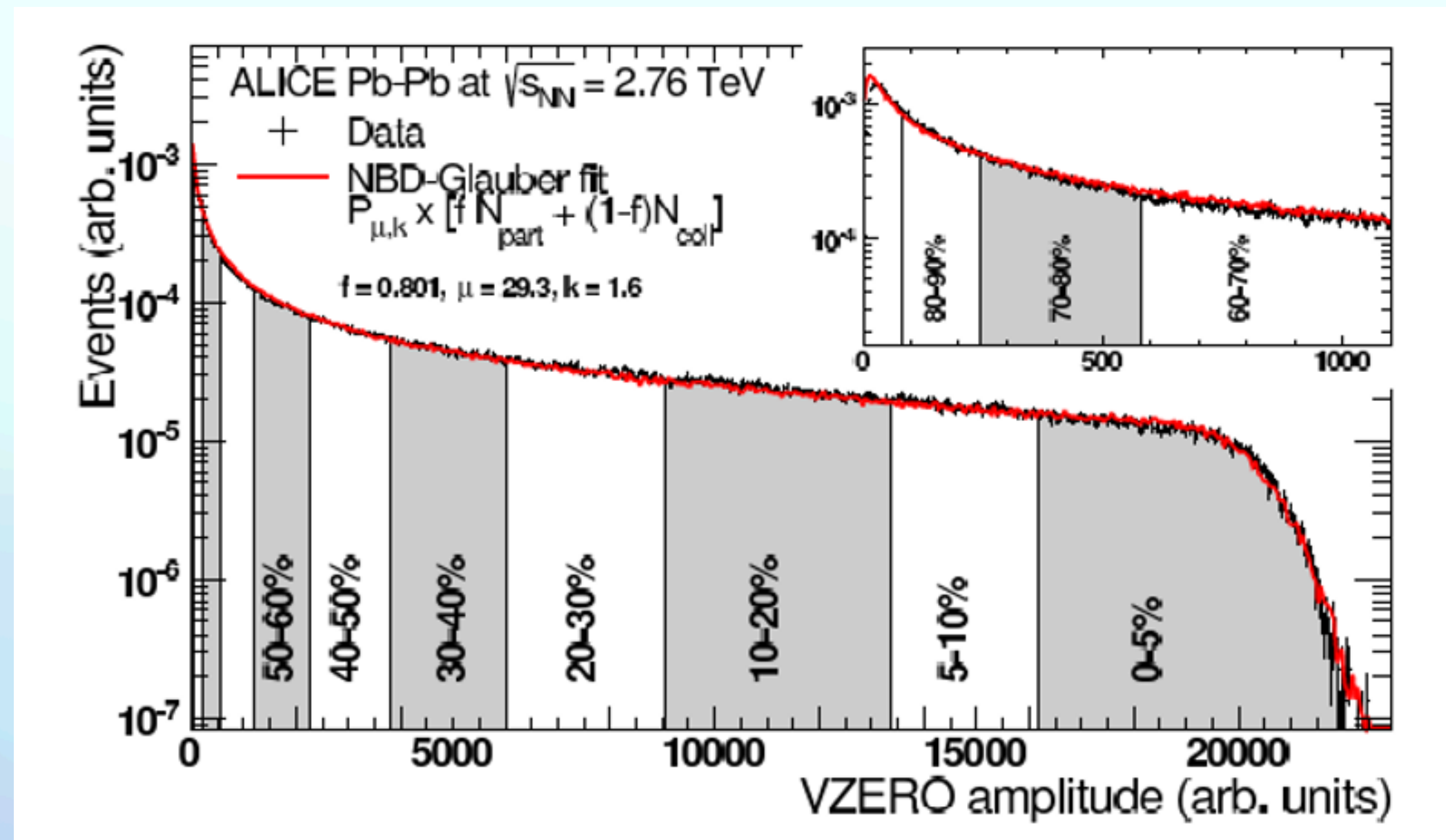
**Wounded nucleons,  $N_W$ : Nucleons which collided at least once inelastically**

# Contributions from wounded nucleon fluctuations



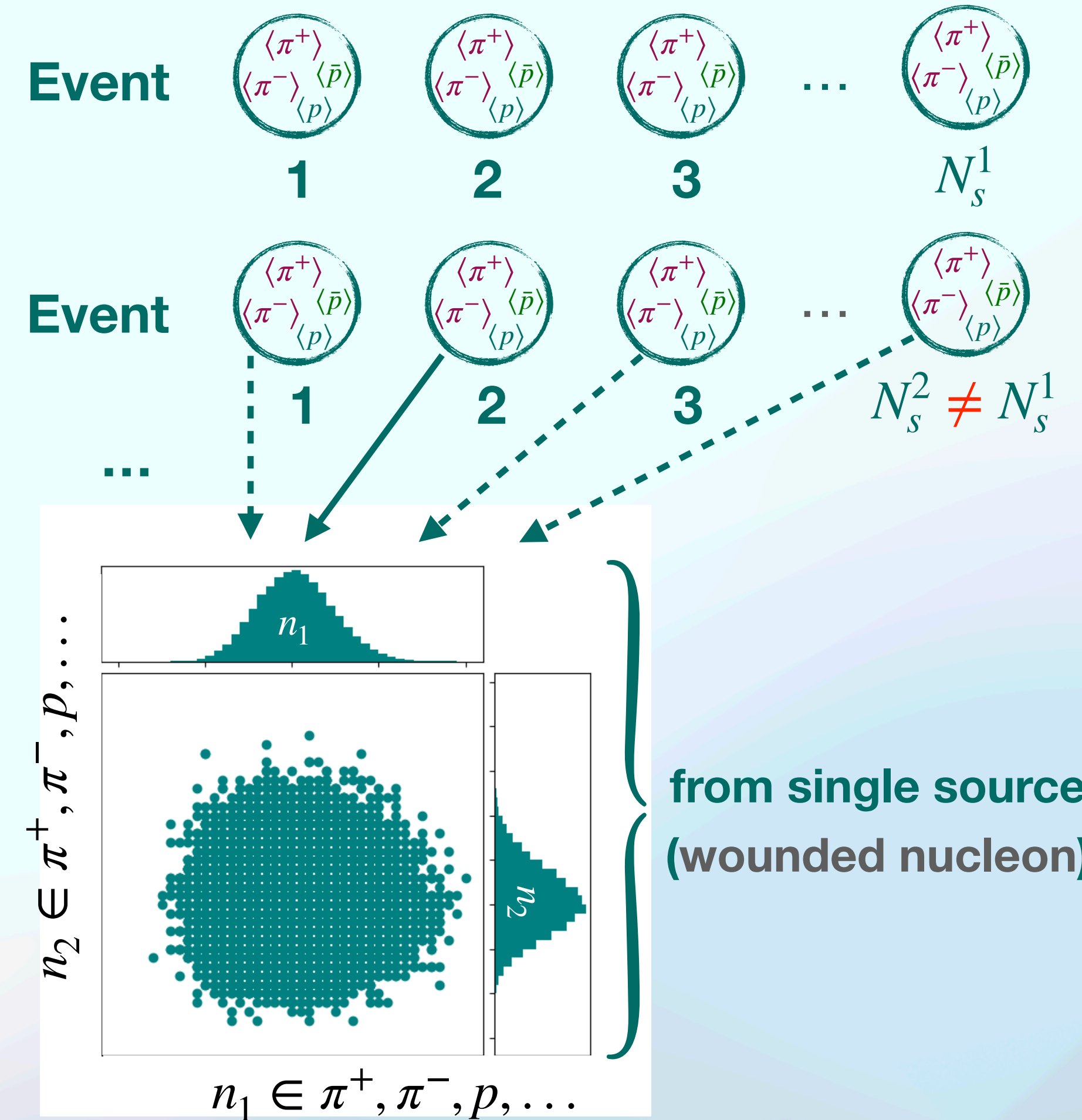
$$2.8 < \eta < 5.1$$

$$-3.7 < \eta < -1.7$$



ALICE Phys.Rev. C88 (2013) no.4, 044909

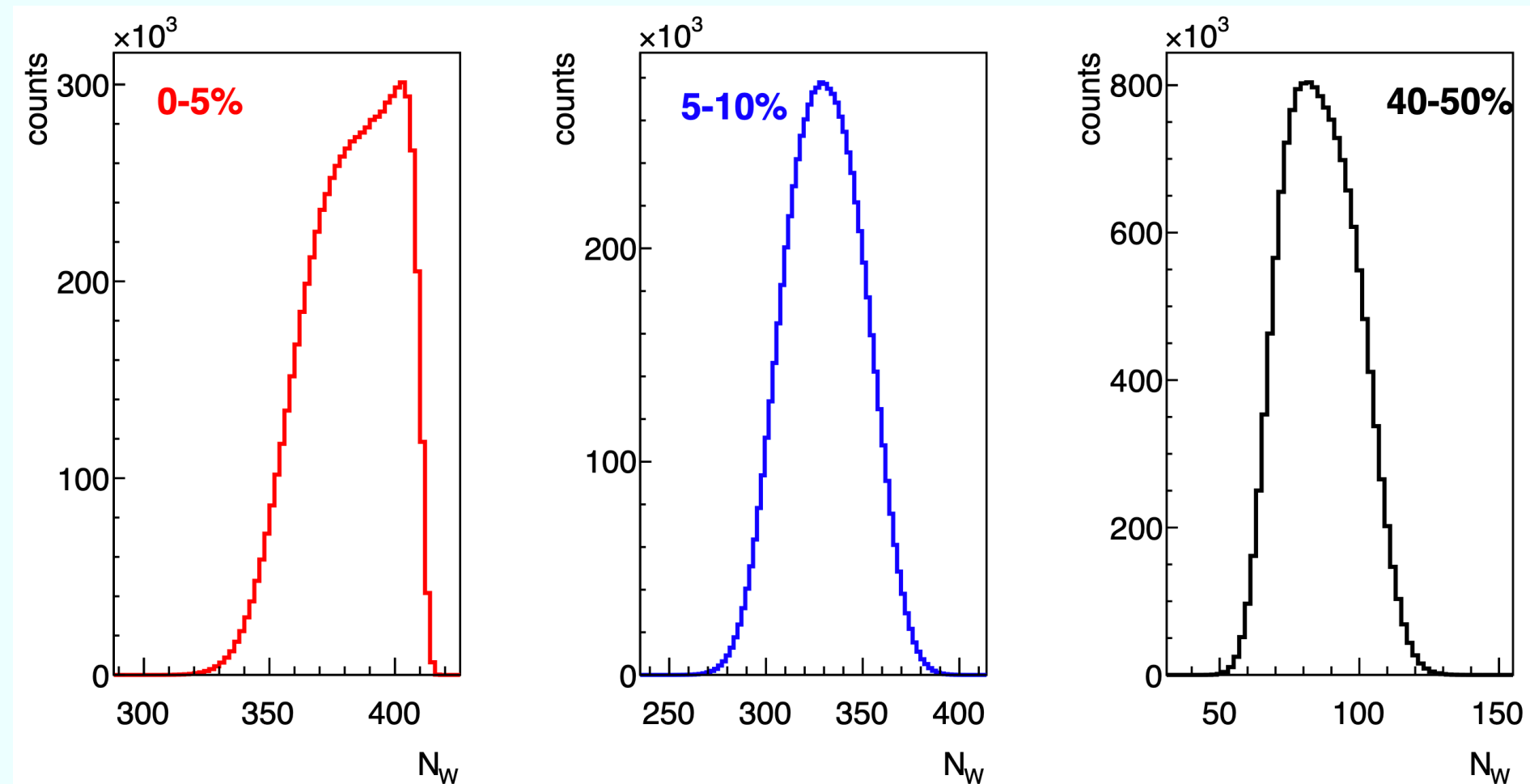
## model of independent sources



A. R., R. Holzmam, J. Stroth, NPA 1034 (2023) 122641

V. Koch, R. Holzmam, A. R., J. Stroth, Nucl.Phys.A 1050 (2024) 122924

# Volume fluctuations



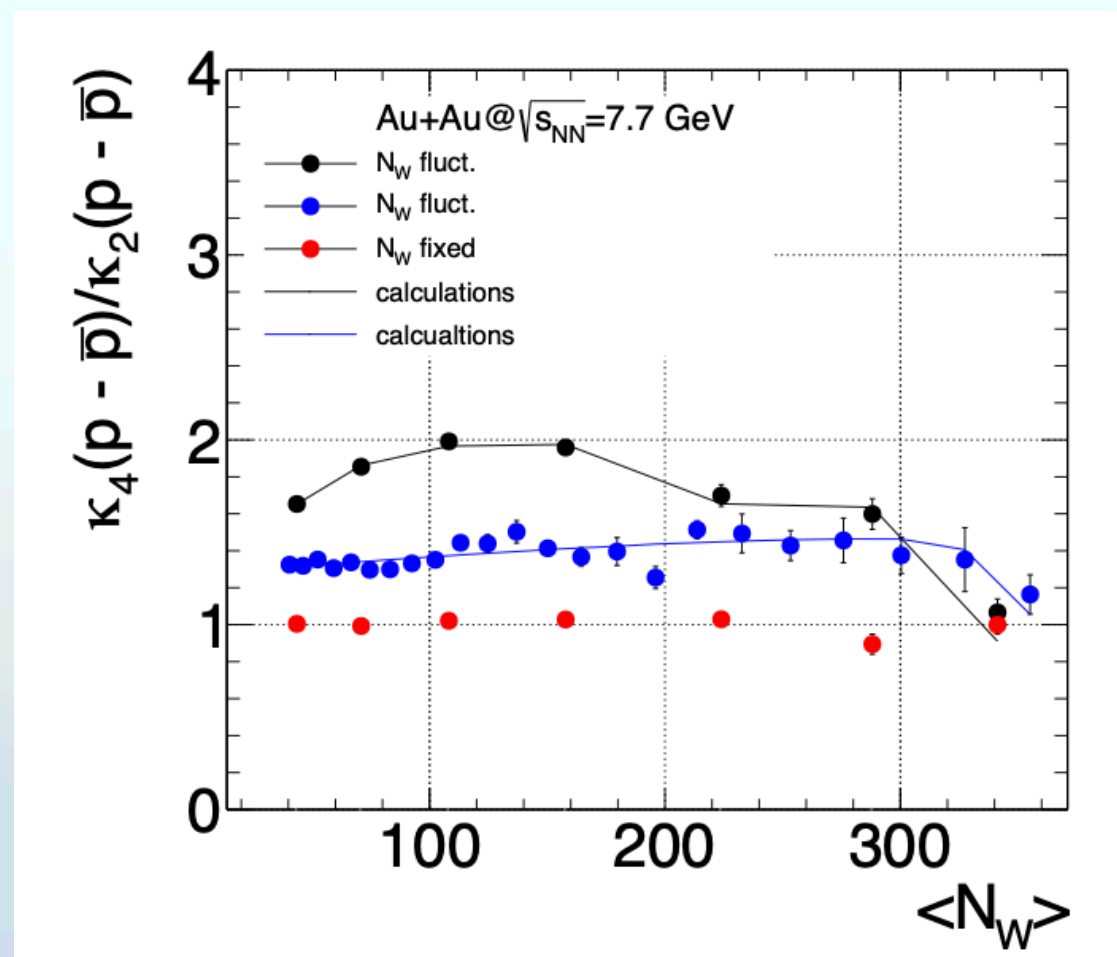
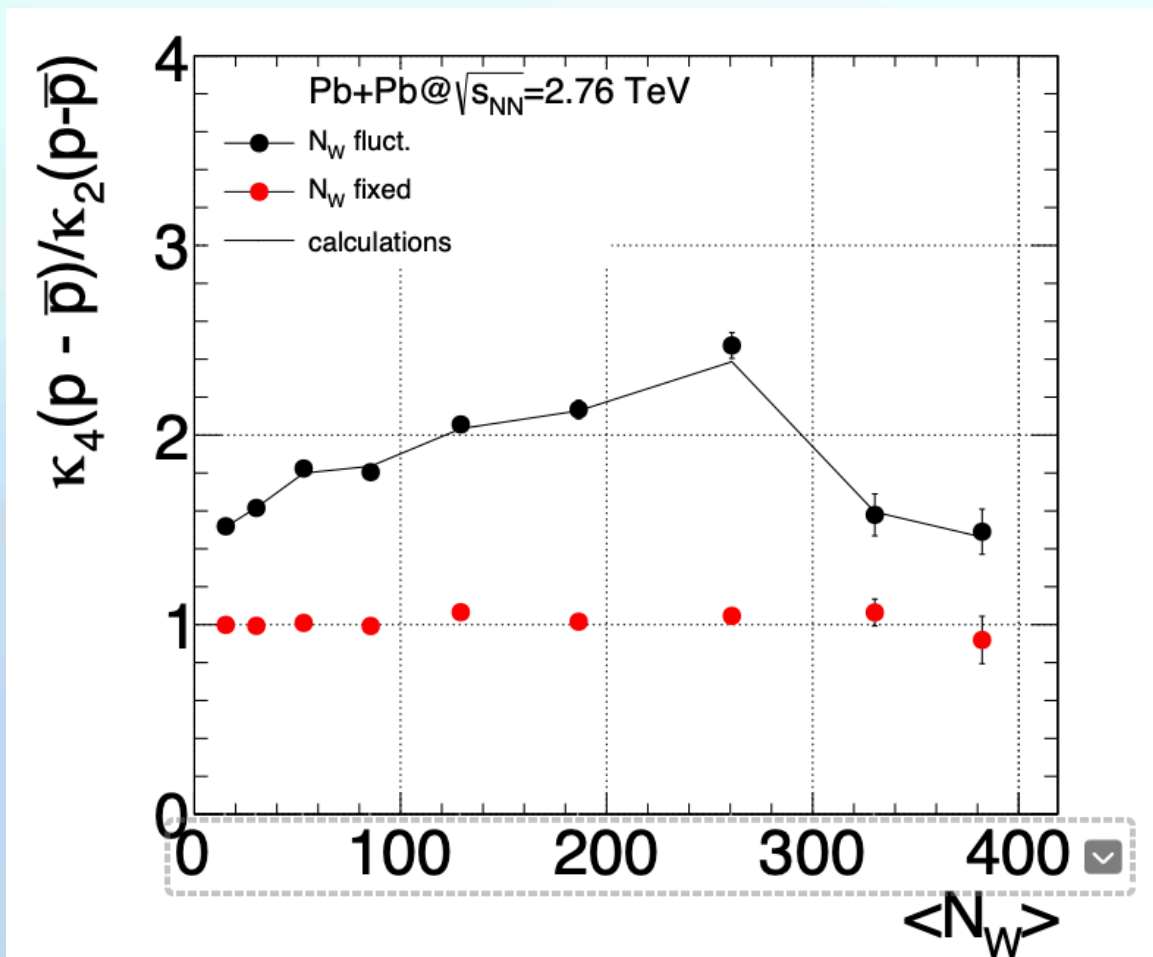
$$\kappa_2(N - \bar{N}) = \kappa_2(n - \bar{n})\langle N_W \rangle + \langle N - \bar{N} \rangle^2 \frac{\kappa_2(N_W)}{\langle N_W \rangle^2}$$

vanishes for ALICE

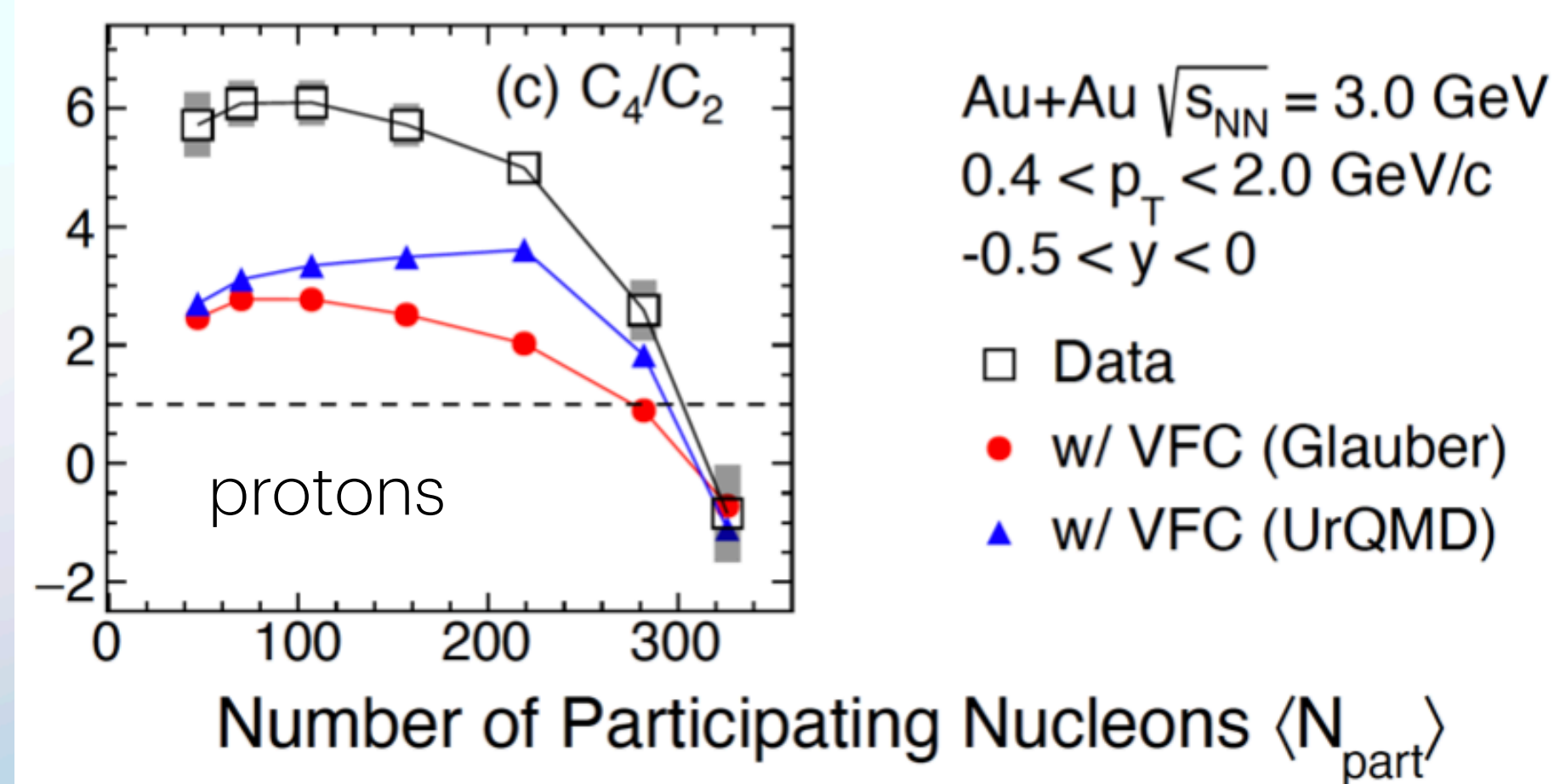
$$\begin{aligned} \kappa_4(\Delta N) = & \langle N_W \rangle \kappa_4(\Delta n) + 4 \langle \Delta n \rangle \kappa_3(\Delta n) \kappa_2(N_W) \\ & + 3\kappa_2^2(\Delta n) \kappa_2(N_W) + 6 \langle \Delta n \rangle^2 \kappa_2(\Delta n) \kappa_3(N_W) + \langle \Delta n \rangle^4 \kappa_4(N_W) \end{aligned}$$

may be negative

## Some predictions P. Braun-Munzinger, A. R., J. Stachel, NPA 960 (2017) 114-130



## STAR experiment PRL. 128 (2022) 20, 202303





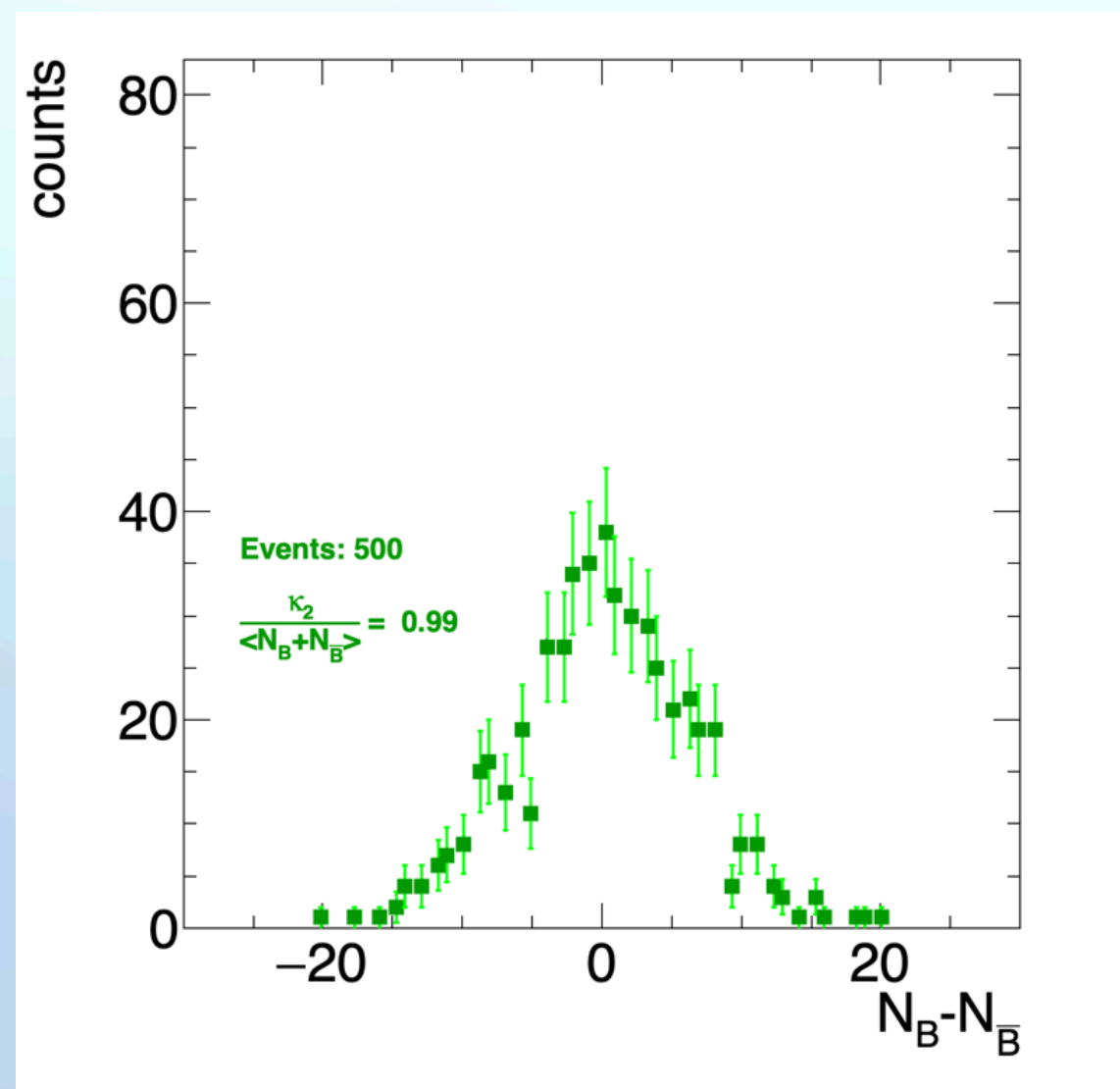
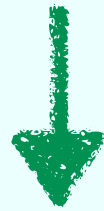
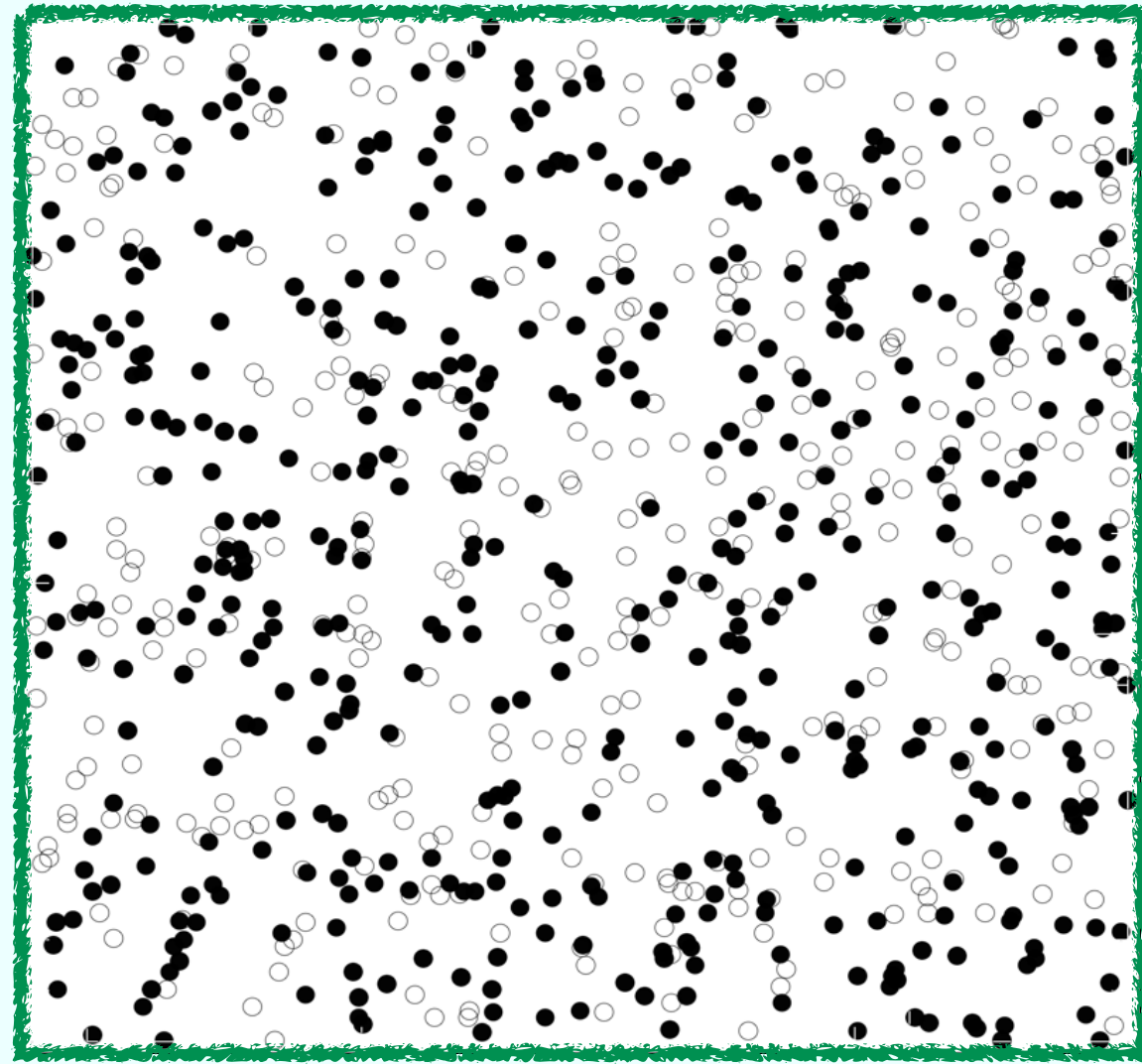
## Experimental challenges

- Volume fluctuations
- Conservation laws
- First ALICE results

## Experiment vs. Theory

- Canonical Thermodynamics
- Comparison to STAR results
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# Ideal Gas in GCE



$$\frac{\kappa_n(N_B - N_{\bar{B}})}{VT^3} = \frac{1}{VT^3} \frac{\partial^n \ln Z(V, T, \mu_B)}{\partial (\mu_B/T)^n} \equiv \hat{\chi}_n^B$$

## Ideal Gas in Grand Canonical Ensemble

**particles**

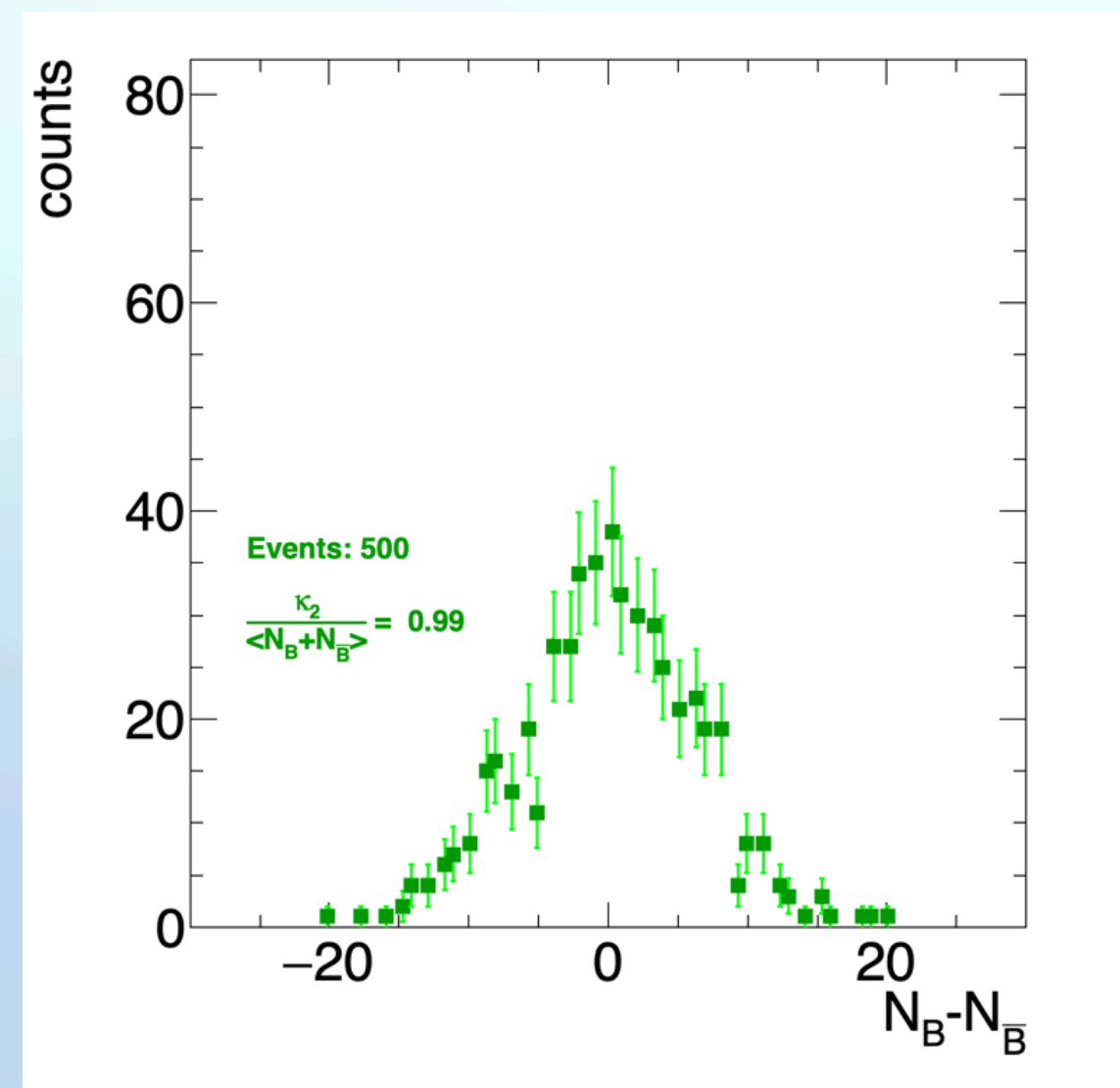
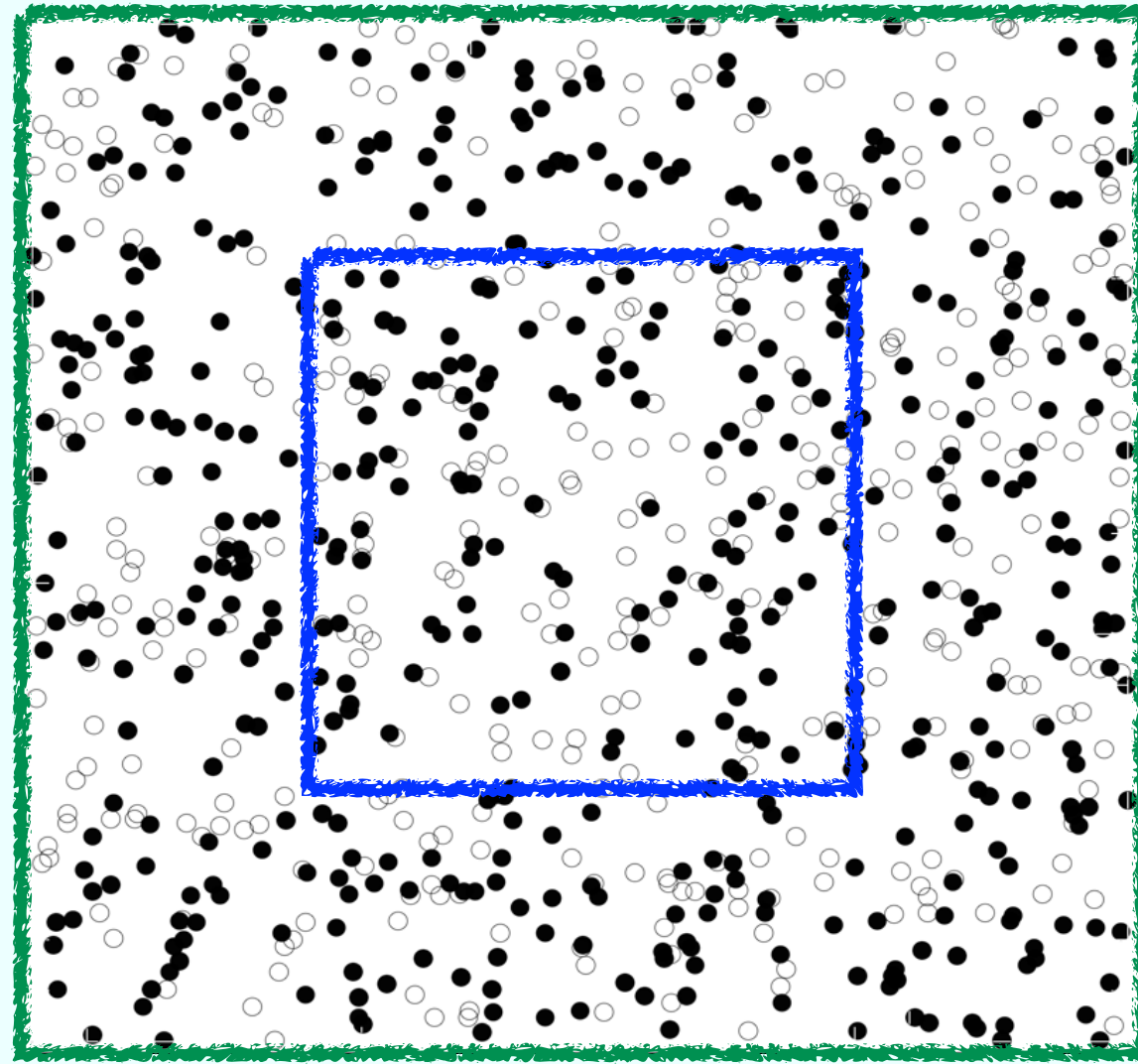
$$\kappa_n(N) = \langle N \rangle \text{ (Poisson distribution)}$$

**net-particles**

$$\kappa_n(N - \bar{N}) = \langle N \rangle + (-1)^n \langle \bar{N} \rangle \text{ (Skellam distribution)}$$

$$\text{for example: } \frac{\kappa_2(N - \bar{N})}{\langle N + \bar{N} \rangle} = \frac{\langle N + \bar{N} \rangle}{\langle N + \bar{N} \rangle} = 1$$

# Ideal Gas in GCE



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## Ideal Gas in Grand Canonical Ensemble

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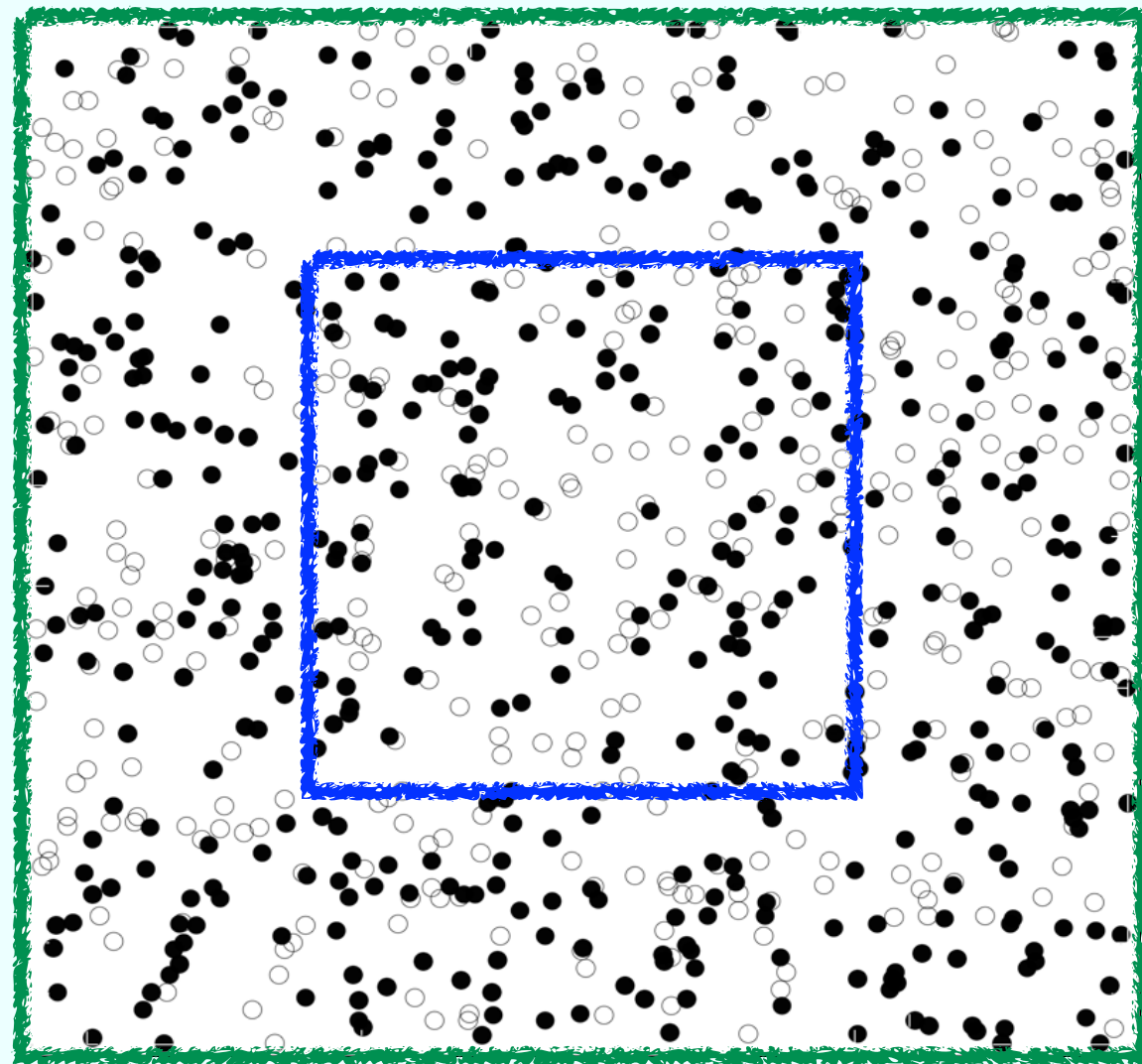
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# Ideal Gas in GCE + conservation laws



$$\frac{\kappa_2(N_B - N_{\bar{B}})}{\langle N_B + N_{\bar{B}} \rangle} = \alpha \frac{\kappa_2(N_B - N_{\bar{B}})}{\langle N_B + N_{\bar{B}} \rangle} + 1 - \alpha$$

$$\alpha = \langle N_B \rangle / \langle N_{\bar{B}} \rangle$$

**Unity in GCE**

P. Braun-Munzinger, A.R., J. Stachel, NPA 960 (2017) 114-130

If baryon number is conserved in full phase space

$$\frac{\kappa_2(N_B - N_{\bar{B}})}{\langle N_B + N_{\bar{B}} \rangle} = 0$$

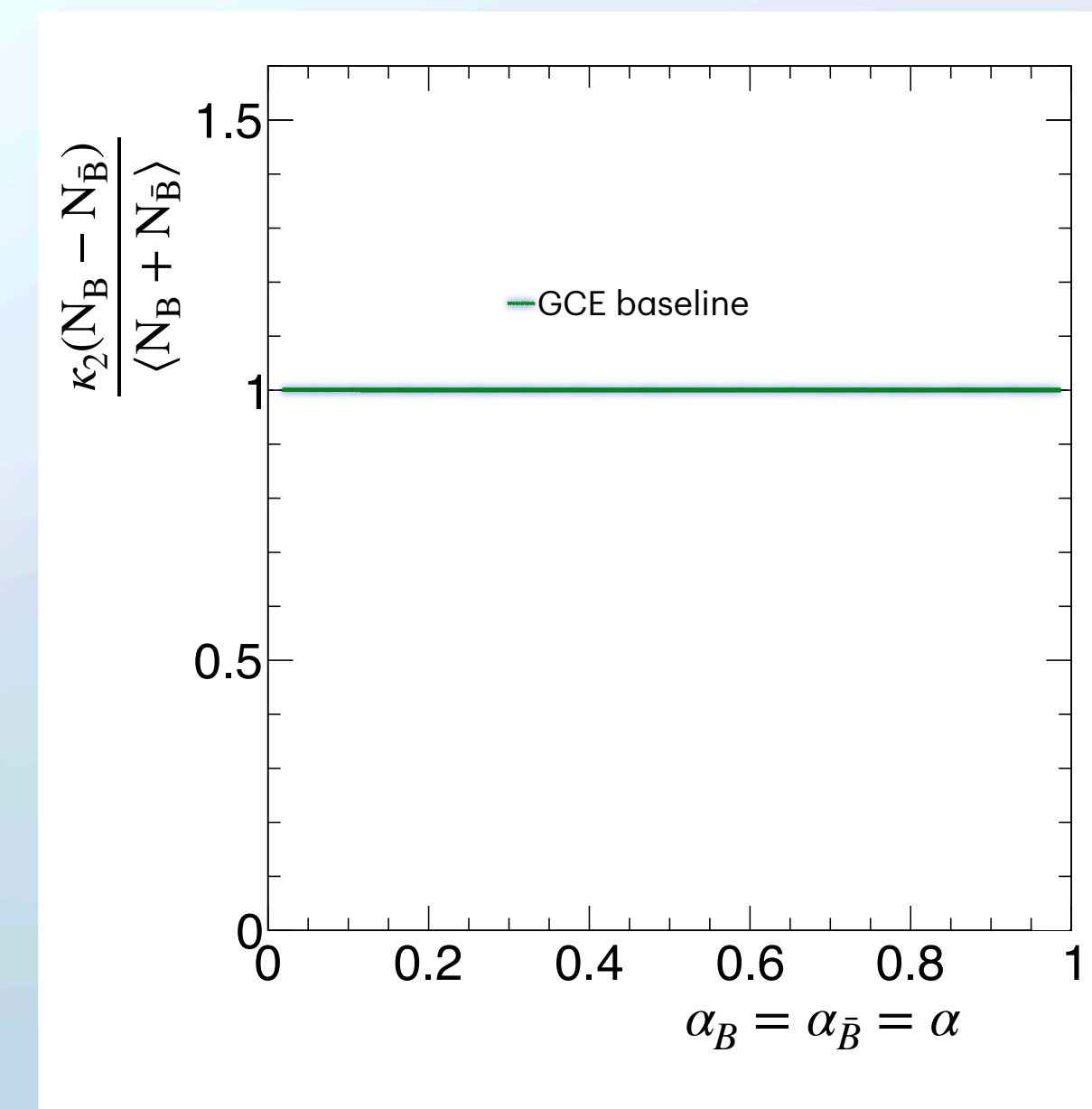
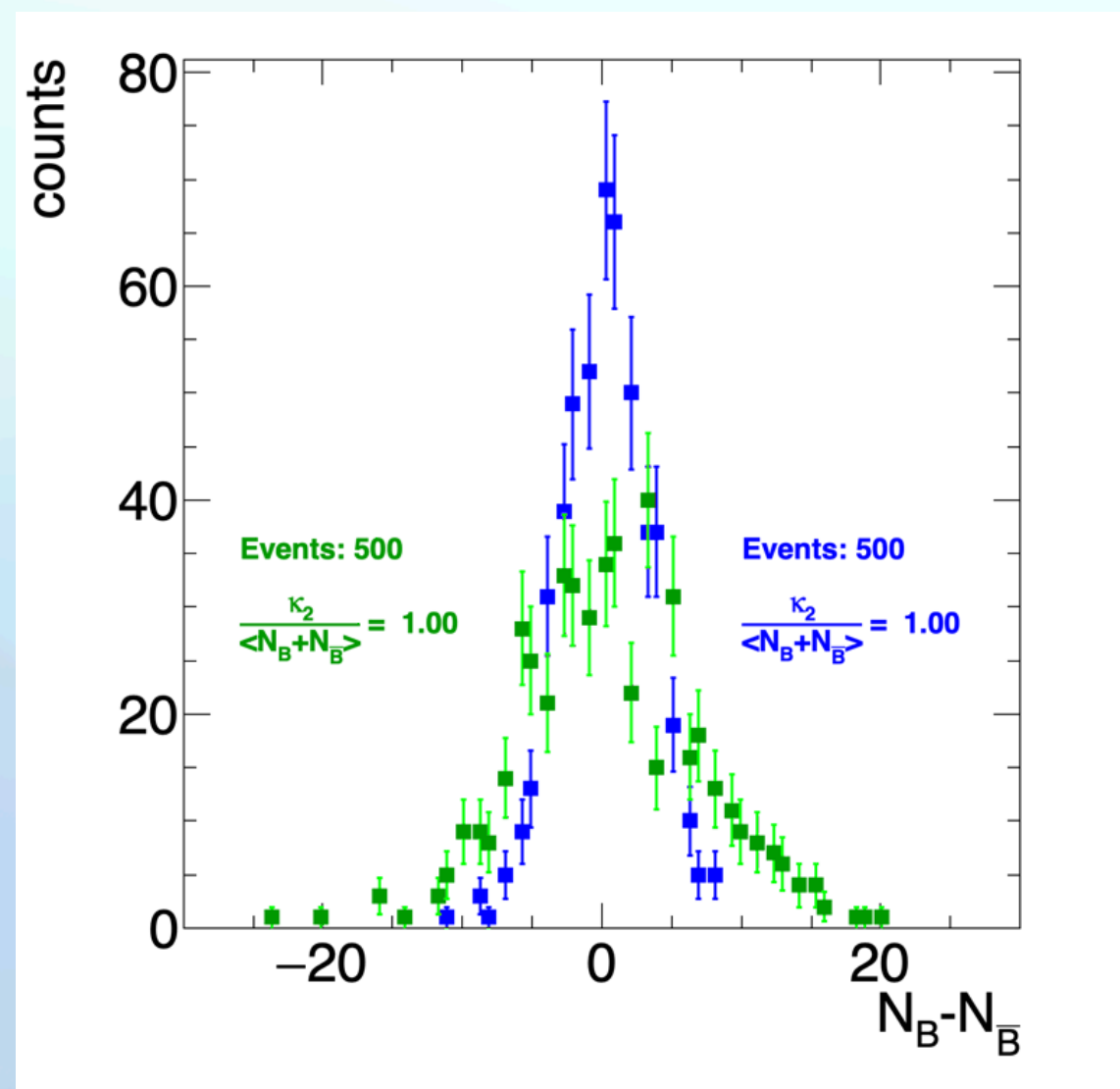
$$\frac{\kappa_2(N_B - N_{\bar{B}})}{\langle N_B + N_{\bar{B}} \rangle} = 1 - \alpha$$

**Grand Canonical**  
(conservation on average)

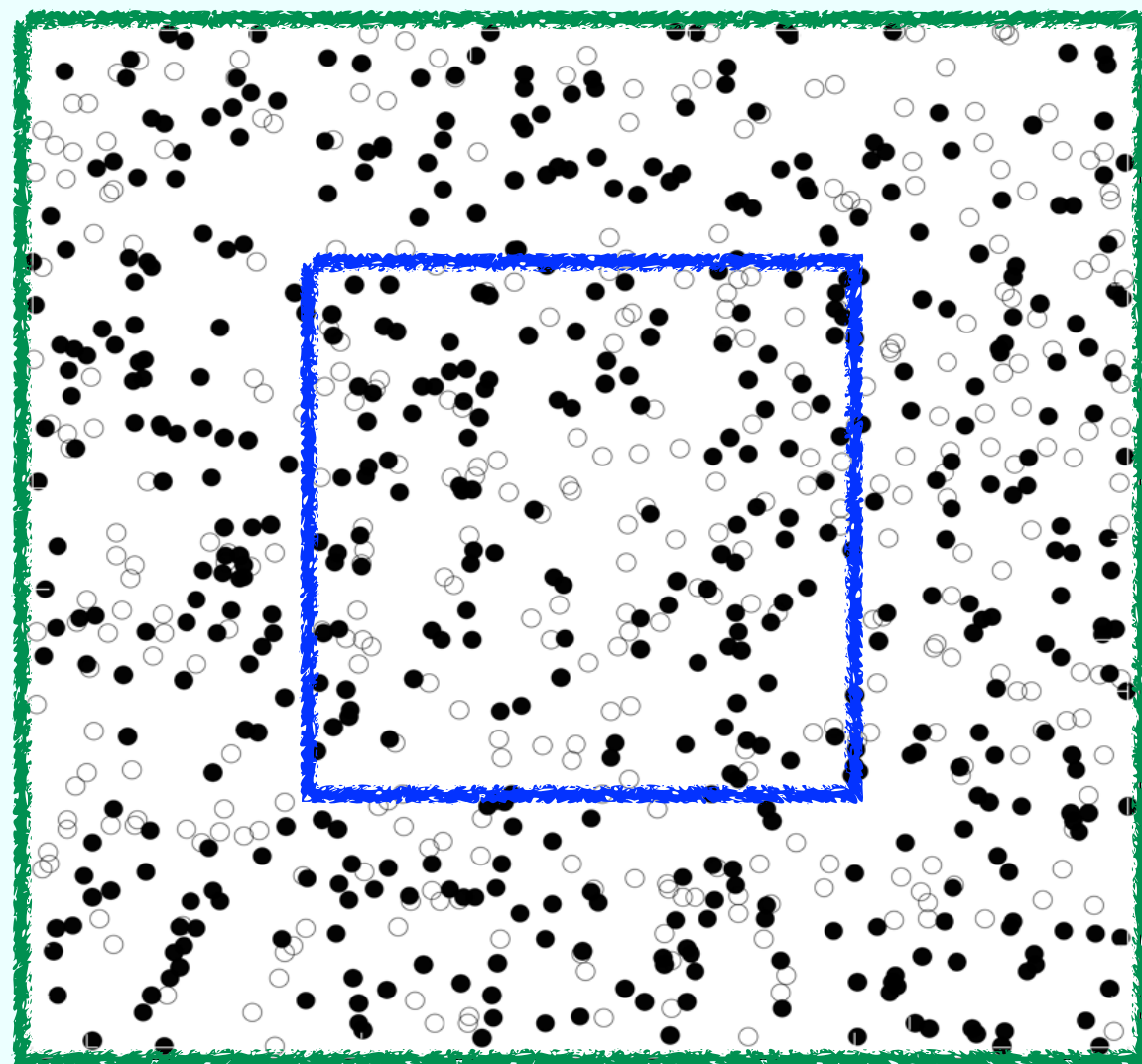
$$\frac{\kappa_2(N_B - N_{\bar{B}})}{\langle N_B + N_{\bar{B}} \rangle} = 1$$

**Exact conservation**

$$\frac{\kappa_2(N_B - N_{\bar{B}})}{\langle N_B + N_{\bar{B}} \rangle} = 1 - \alpha$$



# Ideal Gas in GCE + conservation laws



$$\frac{\kappa_2(N_B - N_{\bar{B}})}{\langle N_B + N_{\bar{B}} \rangle} = \alpha \frac{\kappa_2(N_B - N_{\bar{B}})}{\langle N_B + N_{\bar{B}} \rangle} + 1 - \alpha$$

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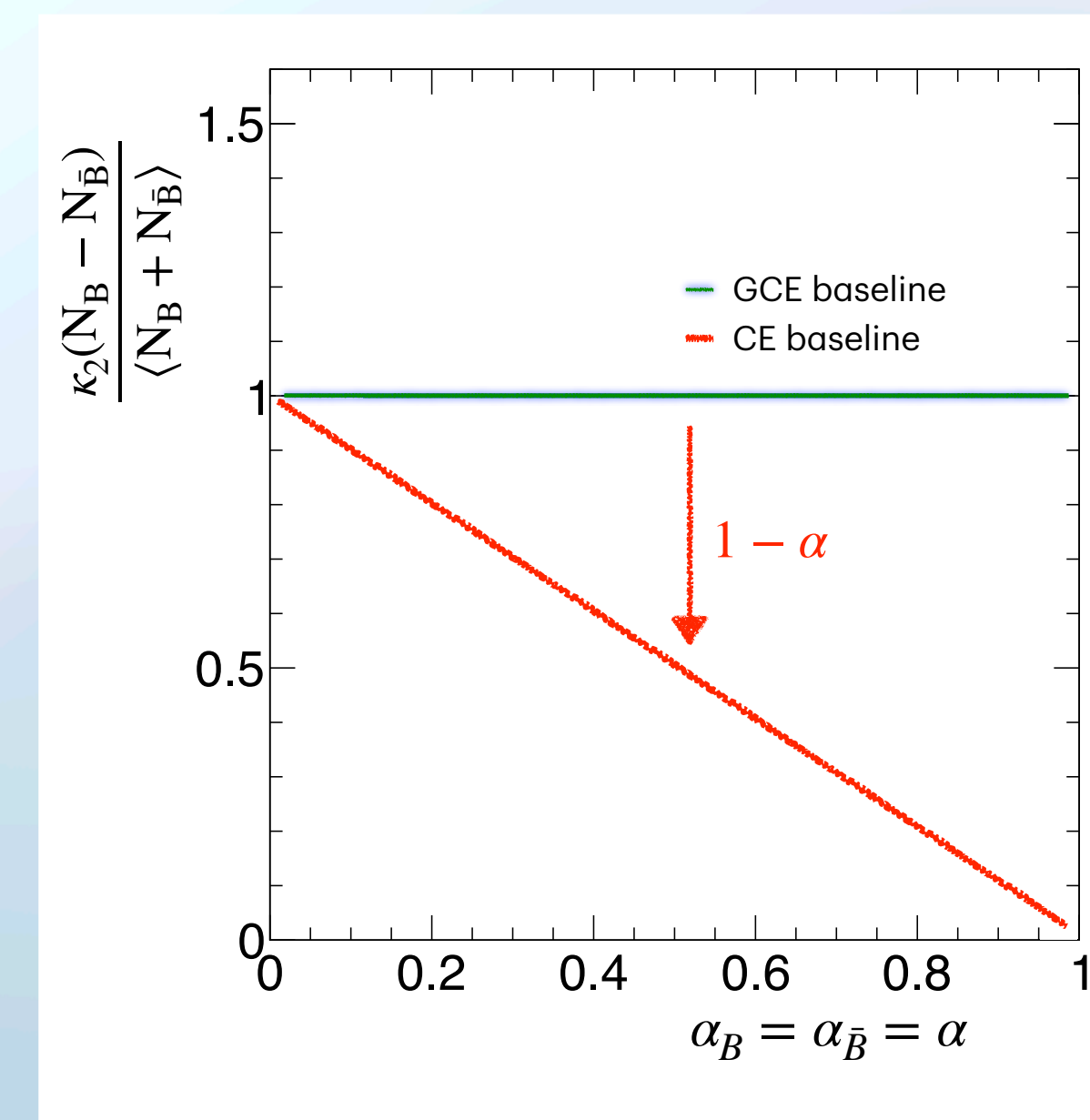
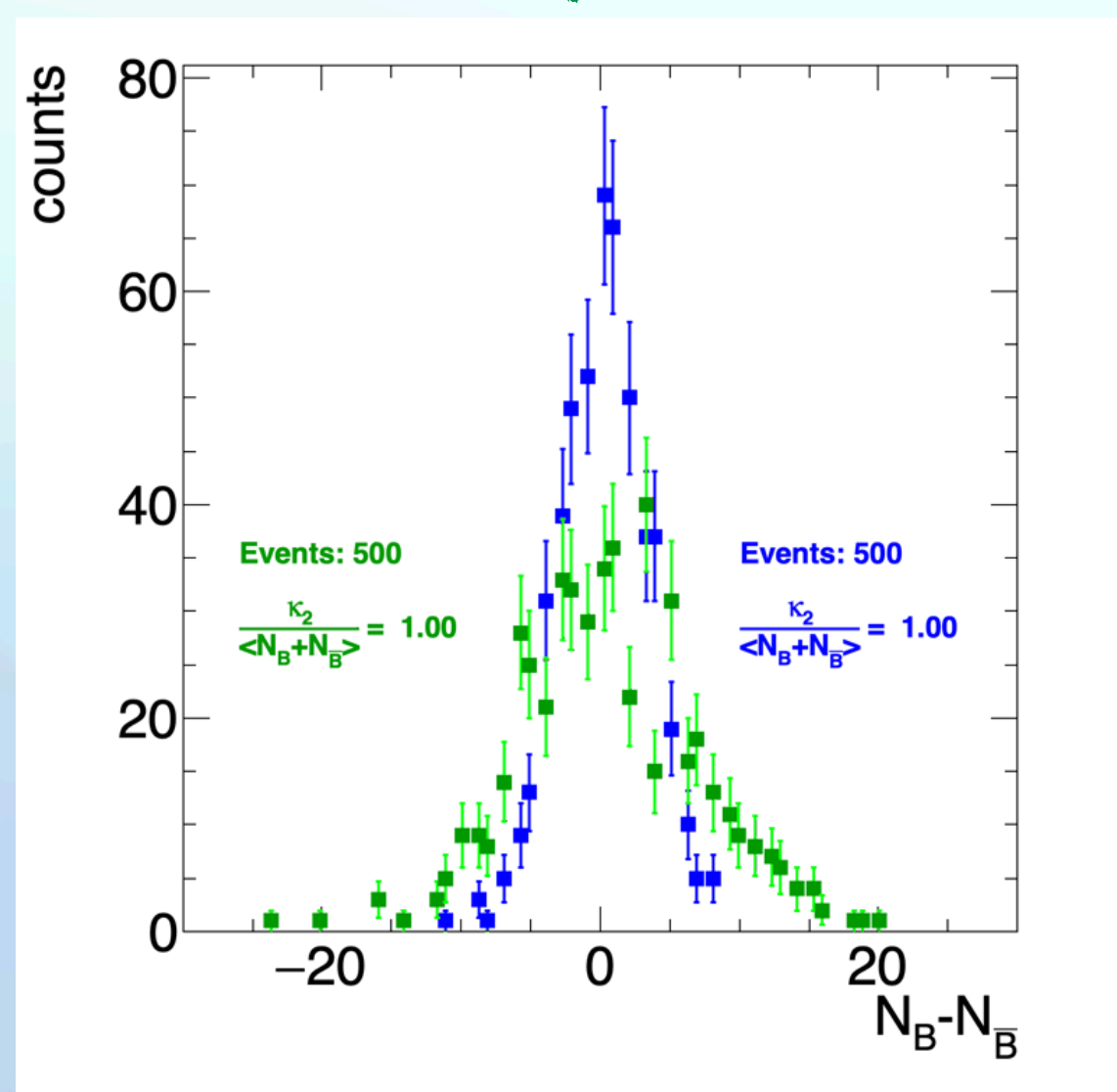
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**Grand Canonical**  
(conservation on average)

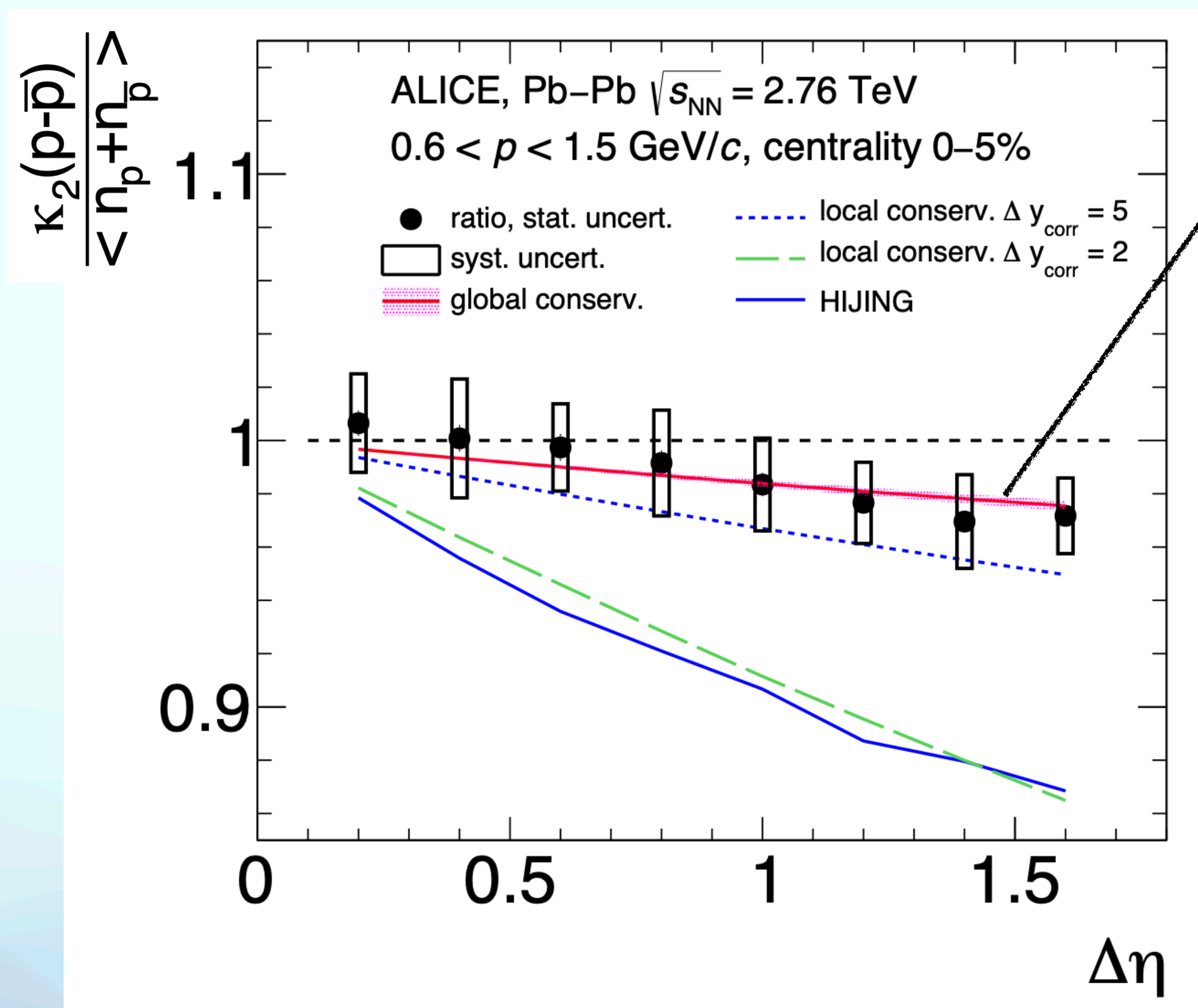
$$\frac{\kappa_2(N_B - N_{\bar{B}})}{\langle N_B + N_{\bar{B}} \rangle} = 1$$

**Exact conservation**

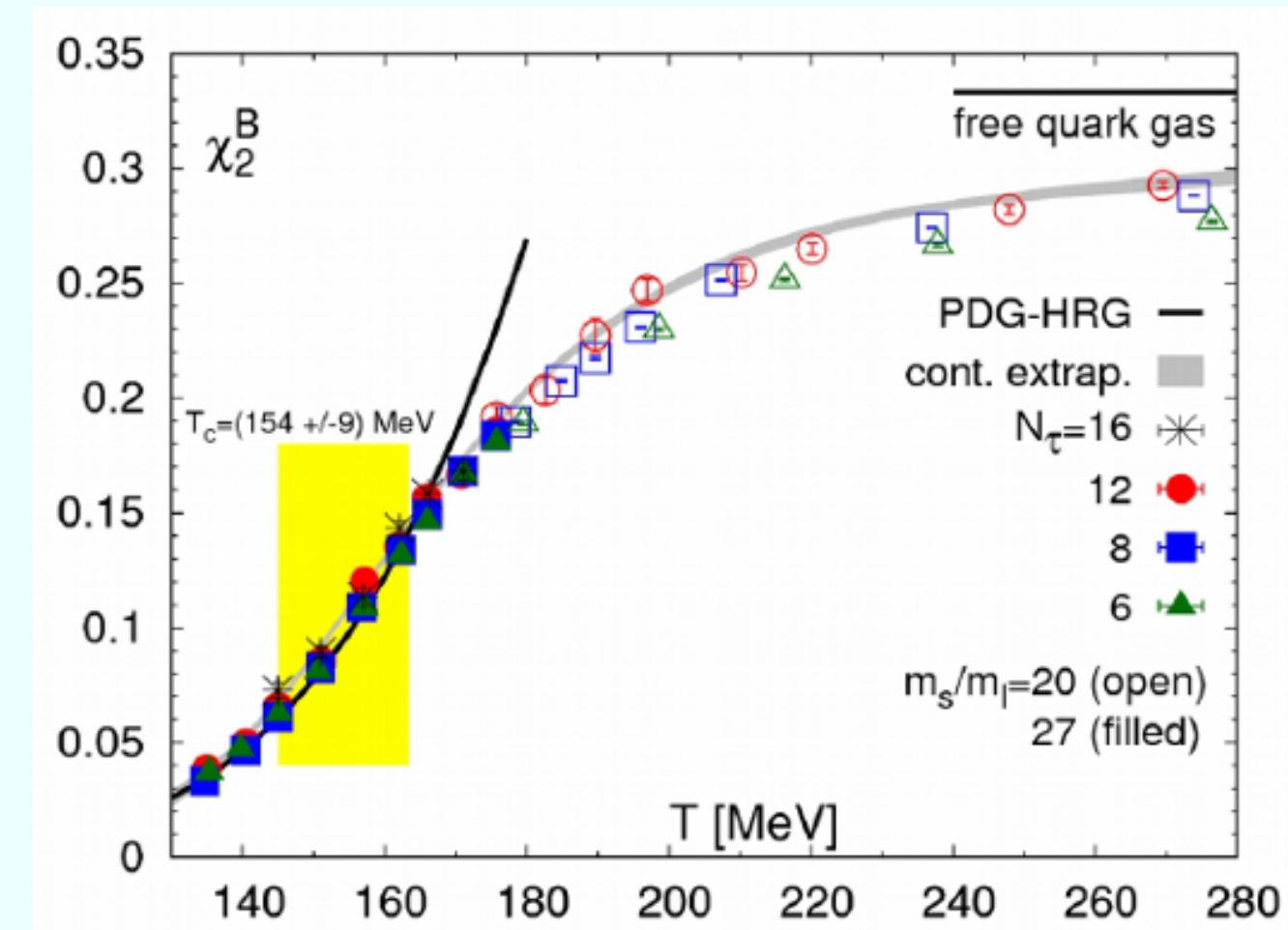
$$\frac{\kappa_2(N_B - N_{\bar{B}})}{\langle N_B + N_{\bar{B}} \rangle} = 1 - \alpha$$



# First Alice results (Identity Method)



$$\alpha_{\Delta\eta} = \frac{\langle n_p \rangle^{acc}}{\langle N_B \rangle^{4\pi}}$$



A. Bazavov et al [HotQCD], PRD 101 (2020) 074502  
 A. Bazavov et al., Phys.Rev. D85 (2012) 054503

**first verification of LQCD results**

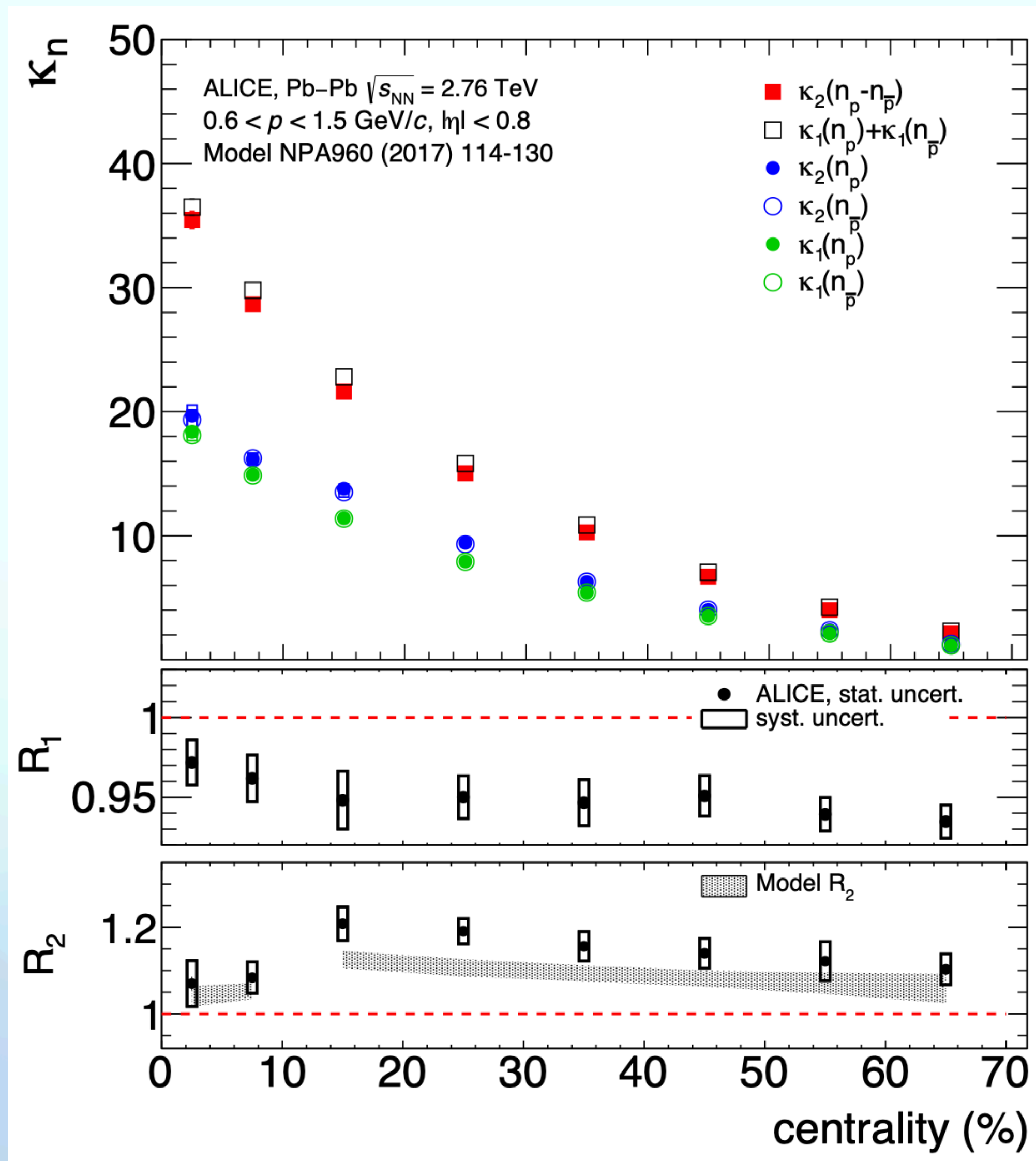
## Consequences:

- Support for the validity of the HRG model
- Further support for freeze-out at the phase boundary

A. R., Nucl.Phys.A 967 (2017) 453-456 (QM 17)  
**ALICE:** Phys. Lett. B 807 (2020) 135564,  
 Phys. Lett. B (2022) 137545

**Identity Method** A.R., M. I. Gorenstein, PRC 86, 044906 (2012)  
 M. Arslanok, A.R., NIM A946, 162622 (2019)  
 A. R., Phys.Rev.C 110 (2024) 6, 064910

# First ALICE results (Identity Method)



$$\kappa_2(N - \bar{N}) = \kappa_2(n - \bar{n}) \langle N_W \rangle + \langle N - \bar{N} \rangle^2 \frac{\kappa_2(N_W)}{\langle N_W \rangle^2}$$

P. Braun-Munzinger, A. R., J. Stachel, NPA 960 (2017) 114-130

A. R., Nucl.Phys.A 967 (2017) 453-456 (QM 17)

ALICE: Phys. Lett. B 807 (2020) 135564,

Phys. Lett. B (2022) 137545

## Experimental verification:

$$R_1 = \kappa_2(p - \bar{p}) / \langle n_p + n_{\bar{p}} \rangle$$

o not influenced by volume fluctuations

$$R_2 = \kappa_2(p) / \langle n_p \rangle$$

o affected by volume fluctuations

## Experimental challenges

- Volume fluctuations
- Conservation laws
- First ALICE results

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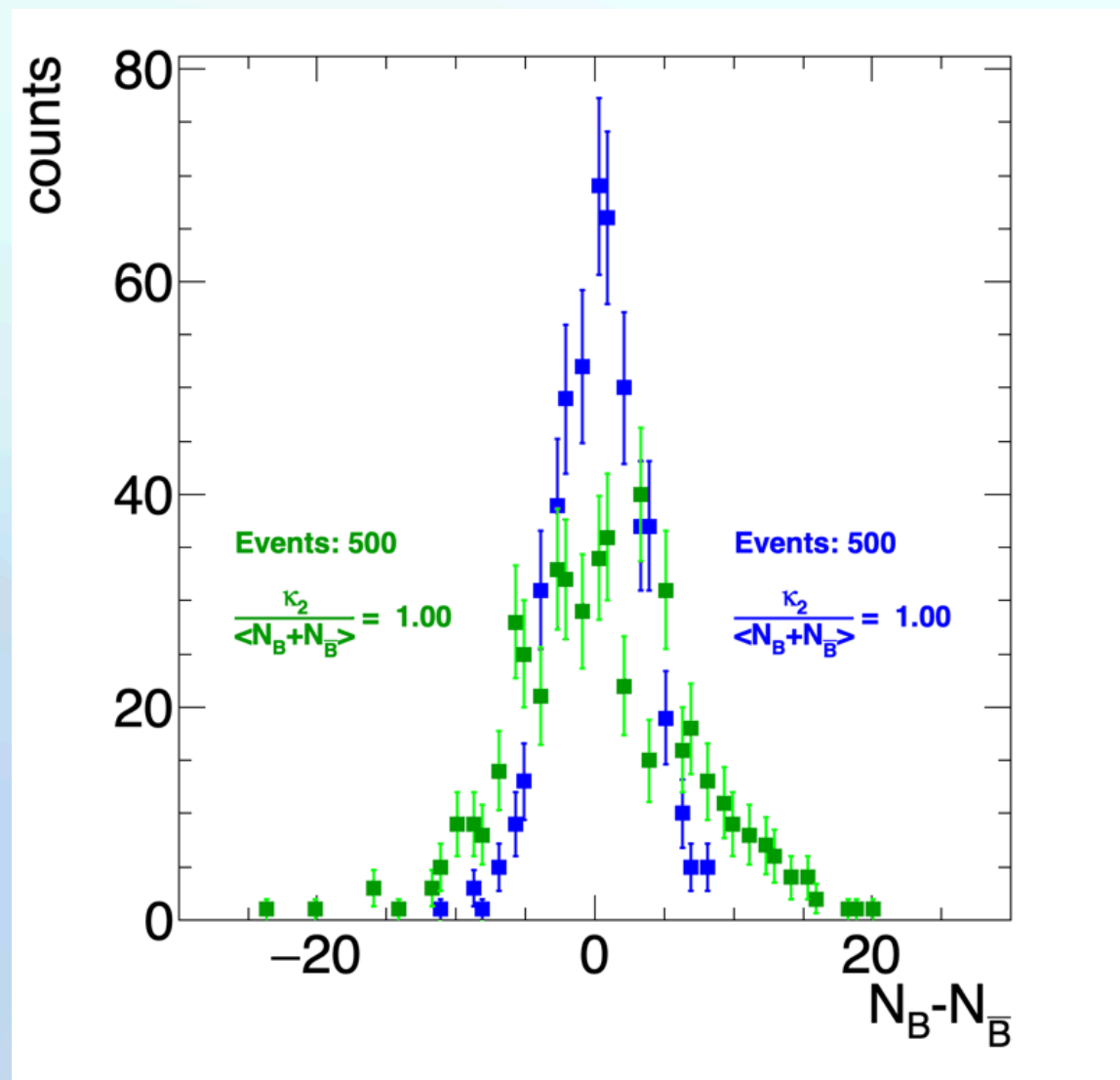
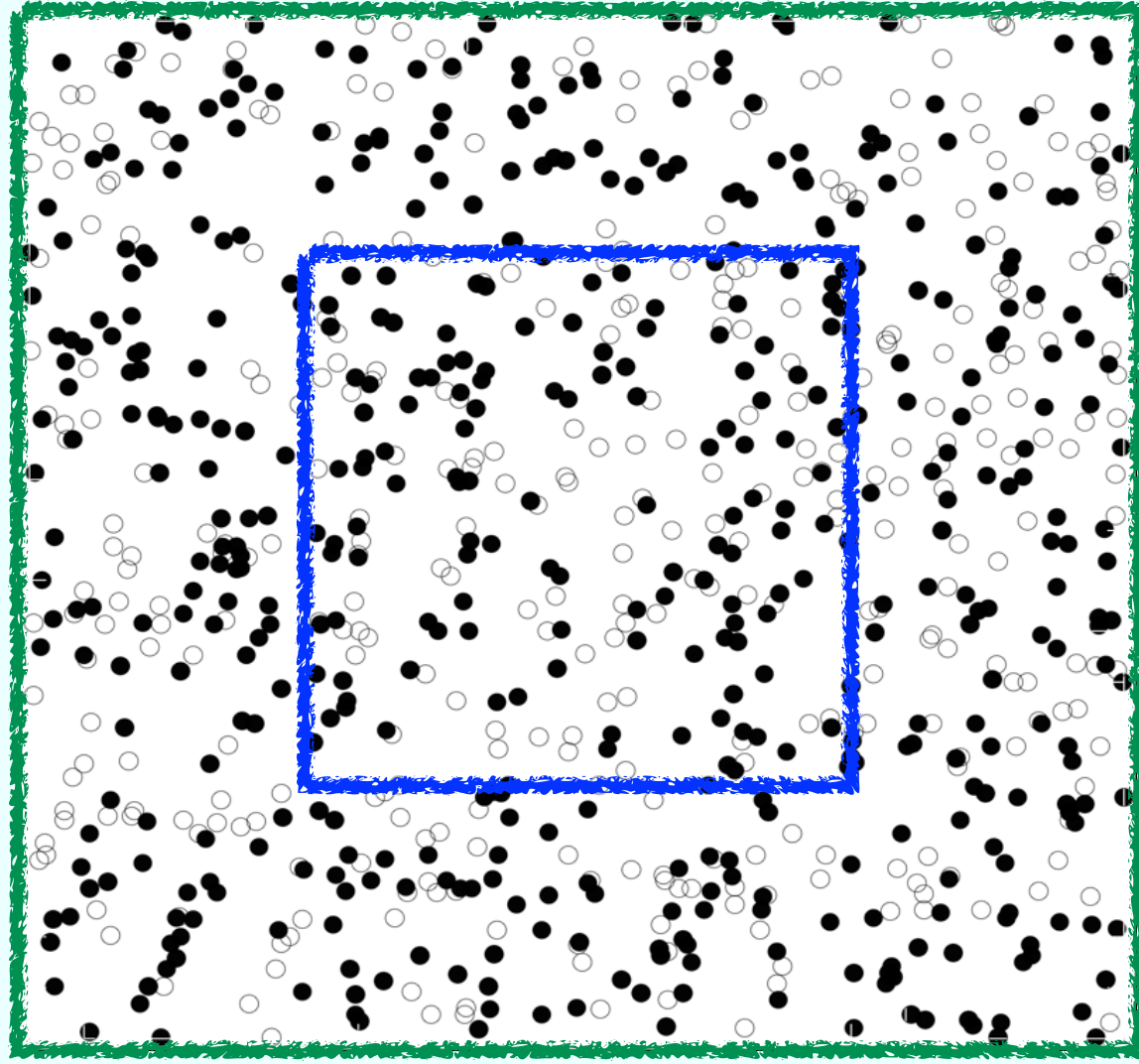
P. Braun-Munzinger, A.R., J. Stachel, NPA 982 (2019) 307-310 (QM 18)

P. Braun-Munzinger, A.R., J. Stachel, e-Print: 1907.03032 [nucl-th] (2019)

A. R., NPA 1005 (2021) 121858(QM 19)



# Ideal gas EoS plus global baryon number conservation



- exploiting **C**anonical **E**nsemble in the full phase space
- no fluctuations in  $4\pi$  (like in experiments)

CE suppression

$$\frac{\kappa_2(B - \bar{B})}{\langle n_B + n_{\bar{B}} \rangle} = 1 - \frac{\alpha_B \langle n_B \rangle + \alpha_{\bar{B}} \langle n_{\bar{B}} \rangle}{\langle n_B + n_{\bar{B}} \rangle} + (z^2 - \langle N_B \rangle \langle N_{\bar{B}} \rangle) \frac{(\alpha_B - \alpha_{\bar{B}})^2}{\langle n_B + n_{\bar{B}} \rangle}$$

$\langle N_B \rangle, \langle N_{\bar{B}} \rangle$  - in  $4\pi$

$\langle n_B \rangle, \langle n_{\bar{B}} \rangle$  - inside acceptance

$\alpha_B = \langle n_B \rangle / \langle N_B \rangle$  - acceptance for  $B$

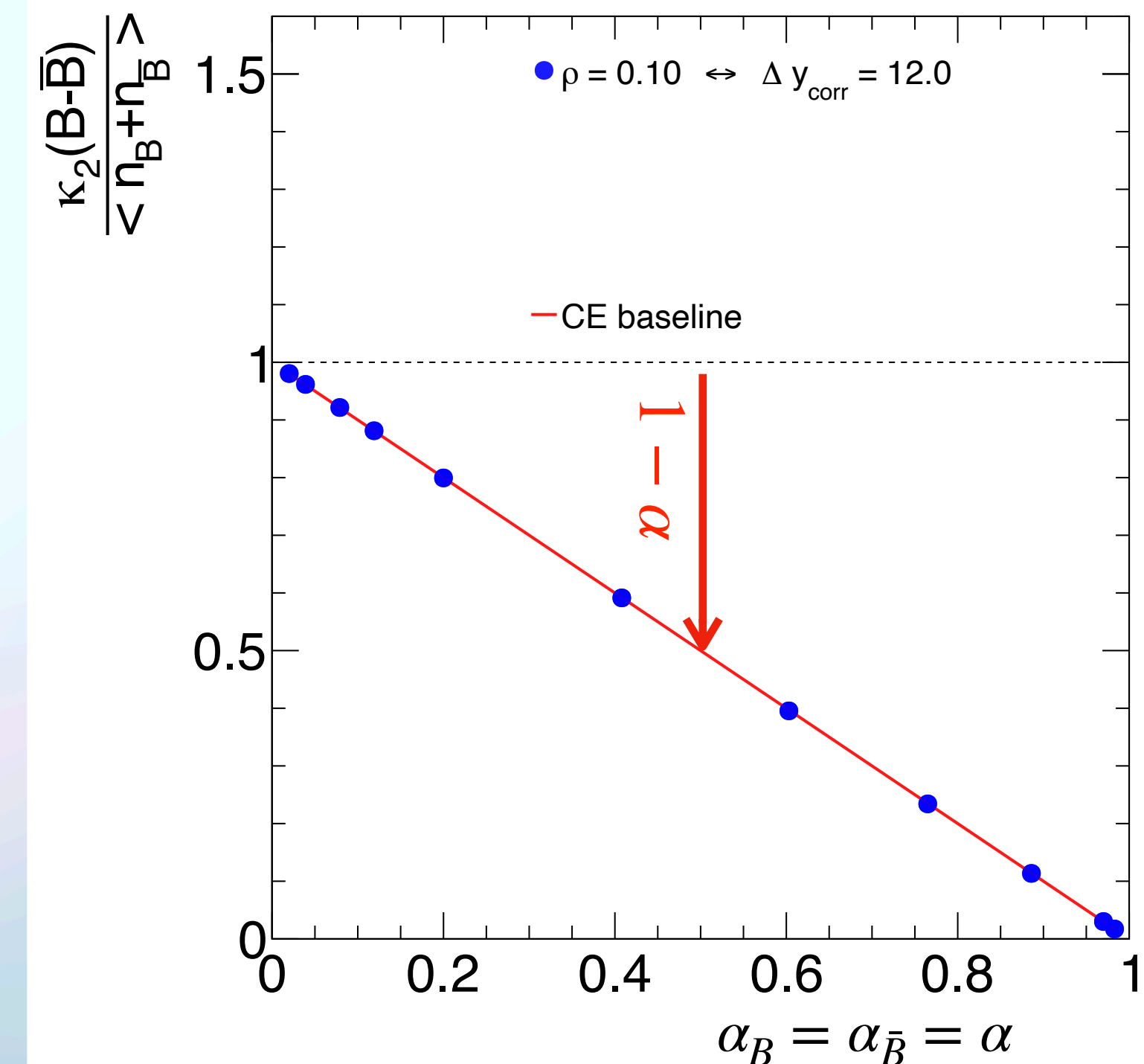
$\alpha_{\bar{B}} = \langle n_{\bar{B}} \rangle / \langle N_{\bar{B}} \rangle$  - acceptance for  $\bar{B}$

$z$  - single baryon partition function

in general:  $\alpha_B \neq \alpha_{\bar{B}}$

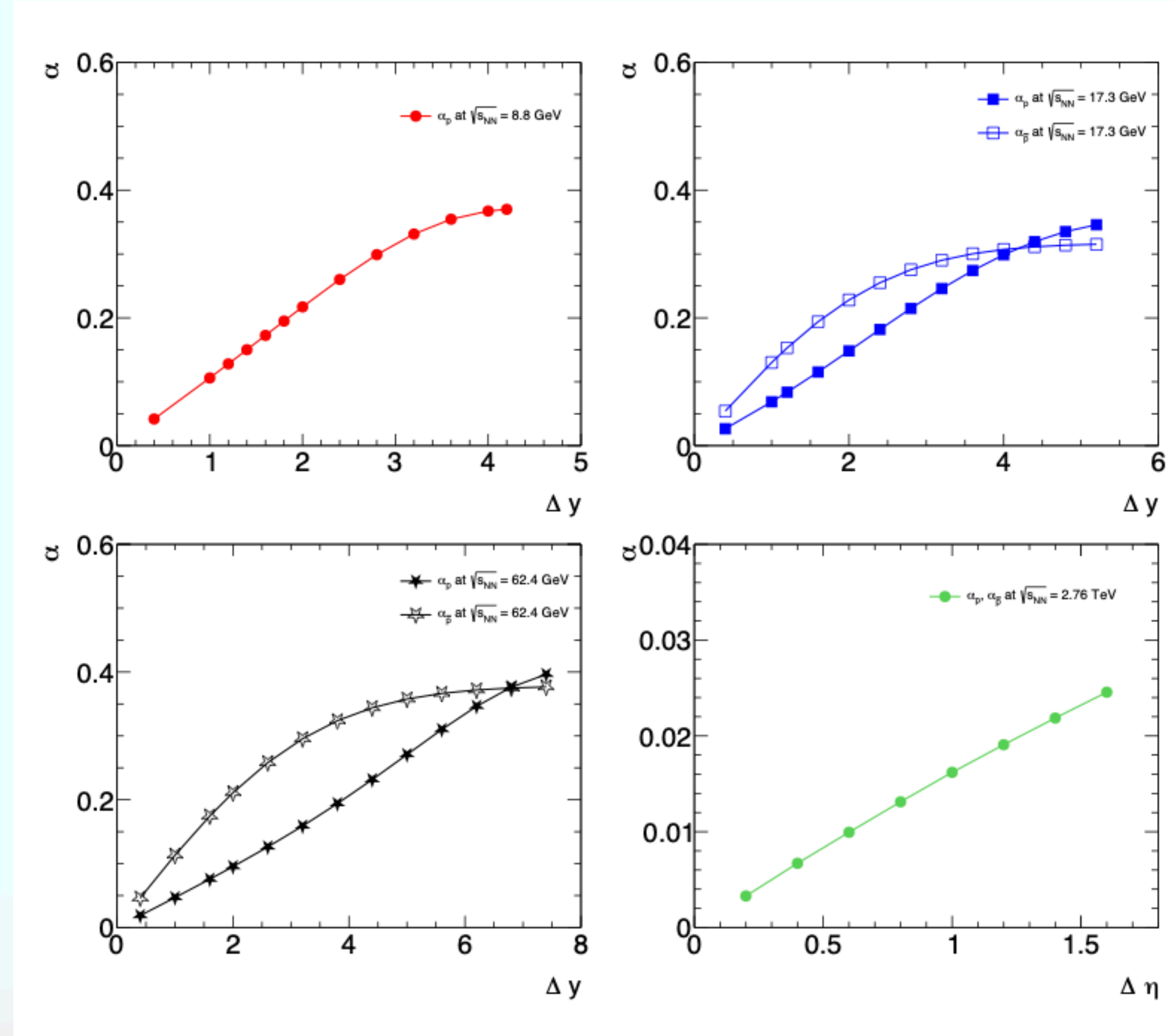
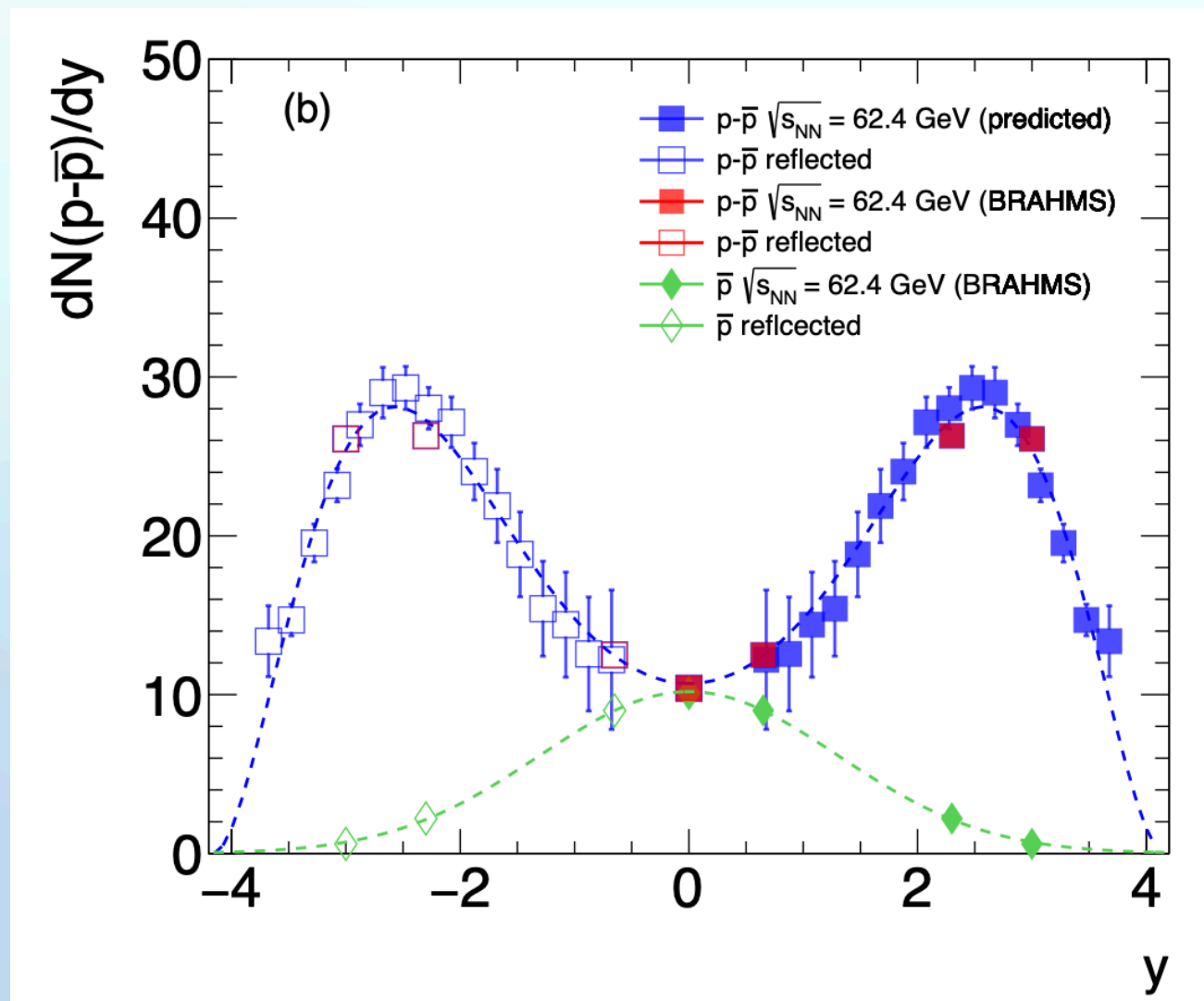
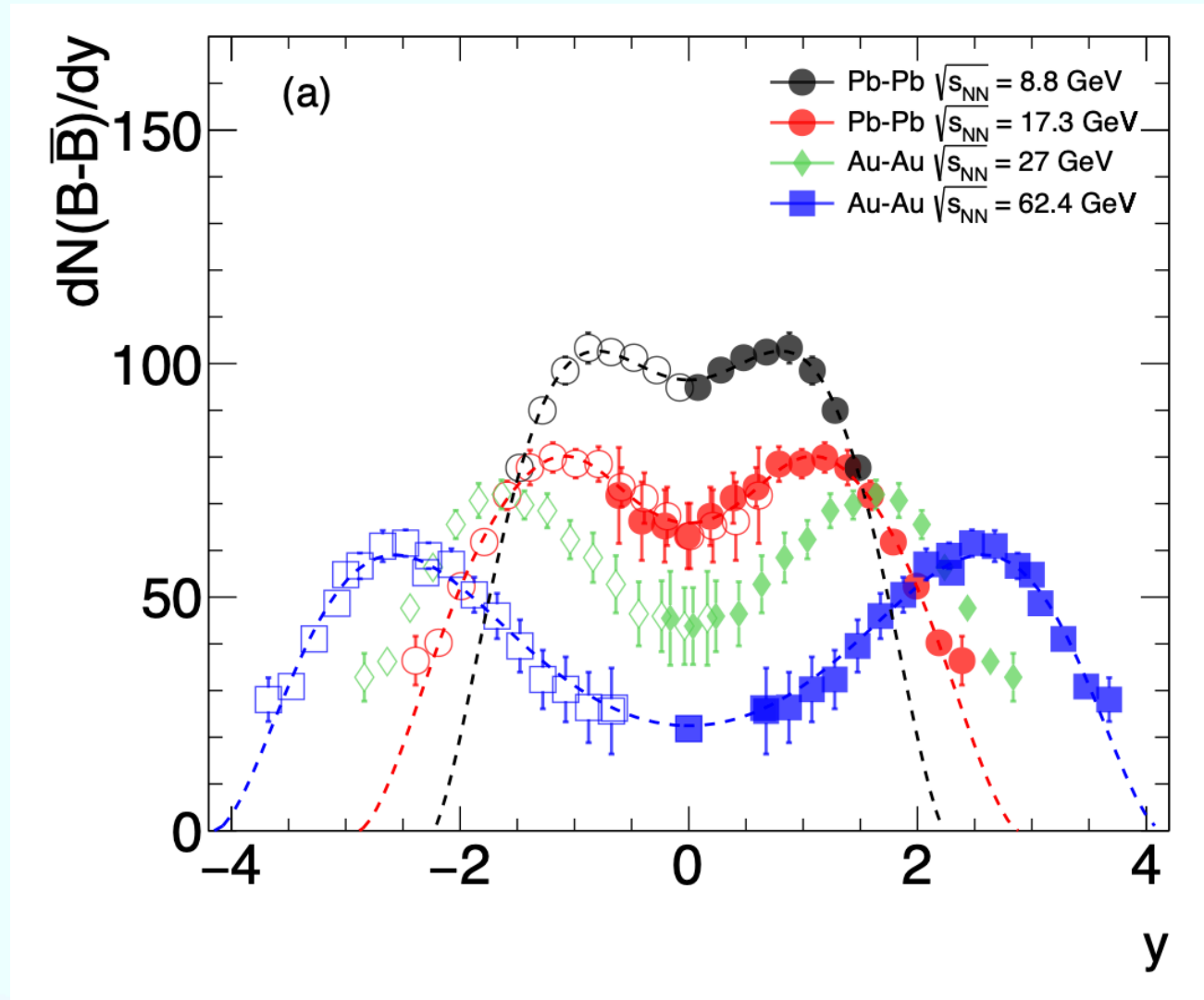
P. Braun-Munzinger, B. Friman, K. Redlich, A.R., J. Stachel, NPA 1008 (2021) 122141

A. Bzdak, V. Koch, V. Skokov, Phys.Rev.C 87 (2013) 1, 014901



# Experimental acceptance

P. Braun-Munzinger, B. Friman, K. Redlich, A.R., J. Stachel, NPA 1008 (2021) 122141



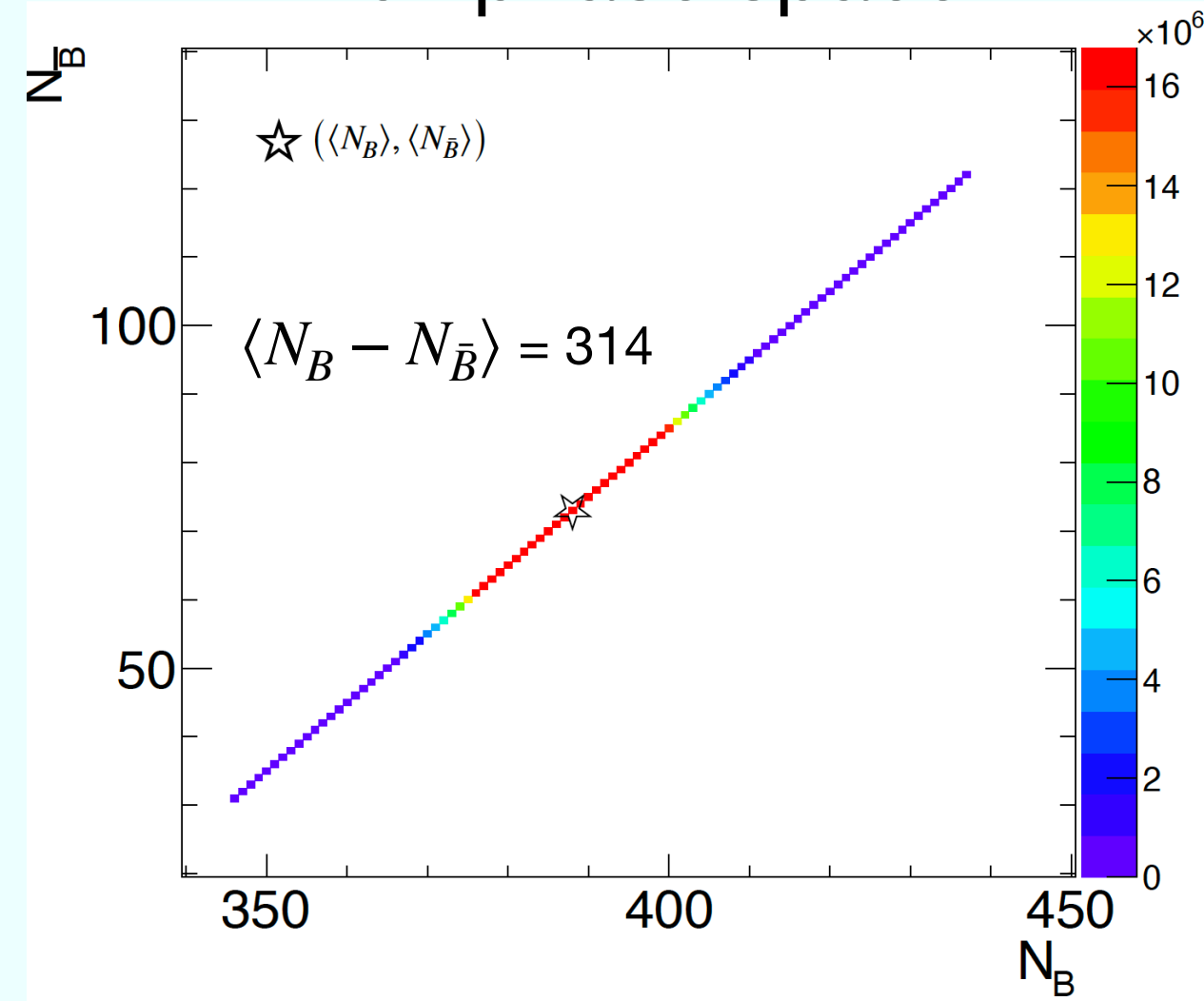
$$\alpha_p = \frac{\gamma_p \int_{y_{min}}^{y_{max}} \left[ \frac{dn_p}{dy} \right] dy}{\langle N_B \rangle}$$

$$\alpha_{\bar{p}} = \frac{\gamma_{\bar{p}} \int_{y_{min}}^{y_{max}} \left[ \frac{dn_{\bar{p}}}{dy} \right] dy}{\langle N_{\bar{B}} \rangle}$$

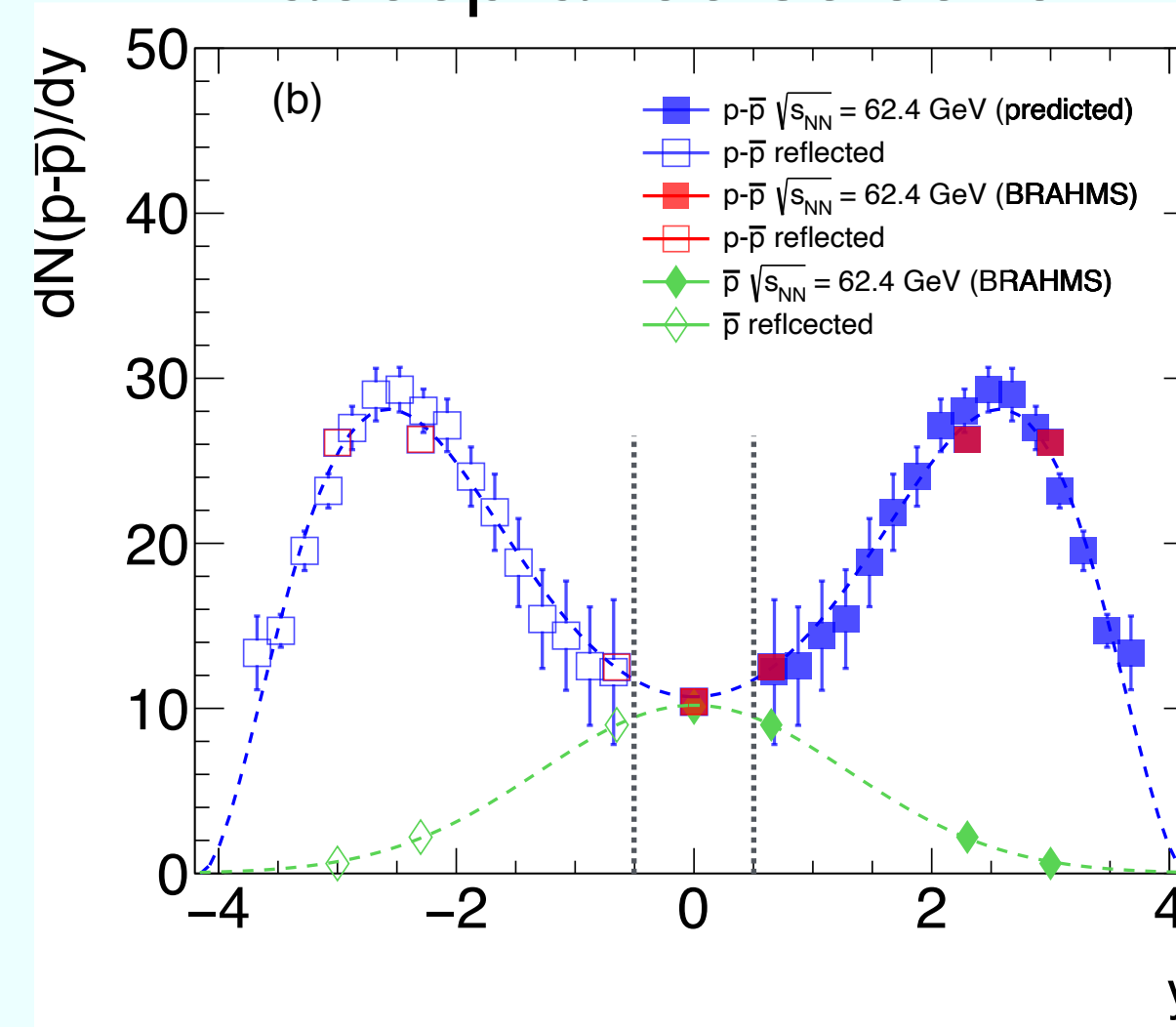
extraction of acceptances for **protons (solid symbols)**  
and **antiprotons (open symbols)**

# The strategy

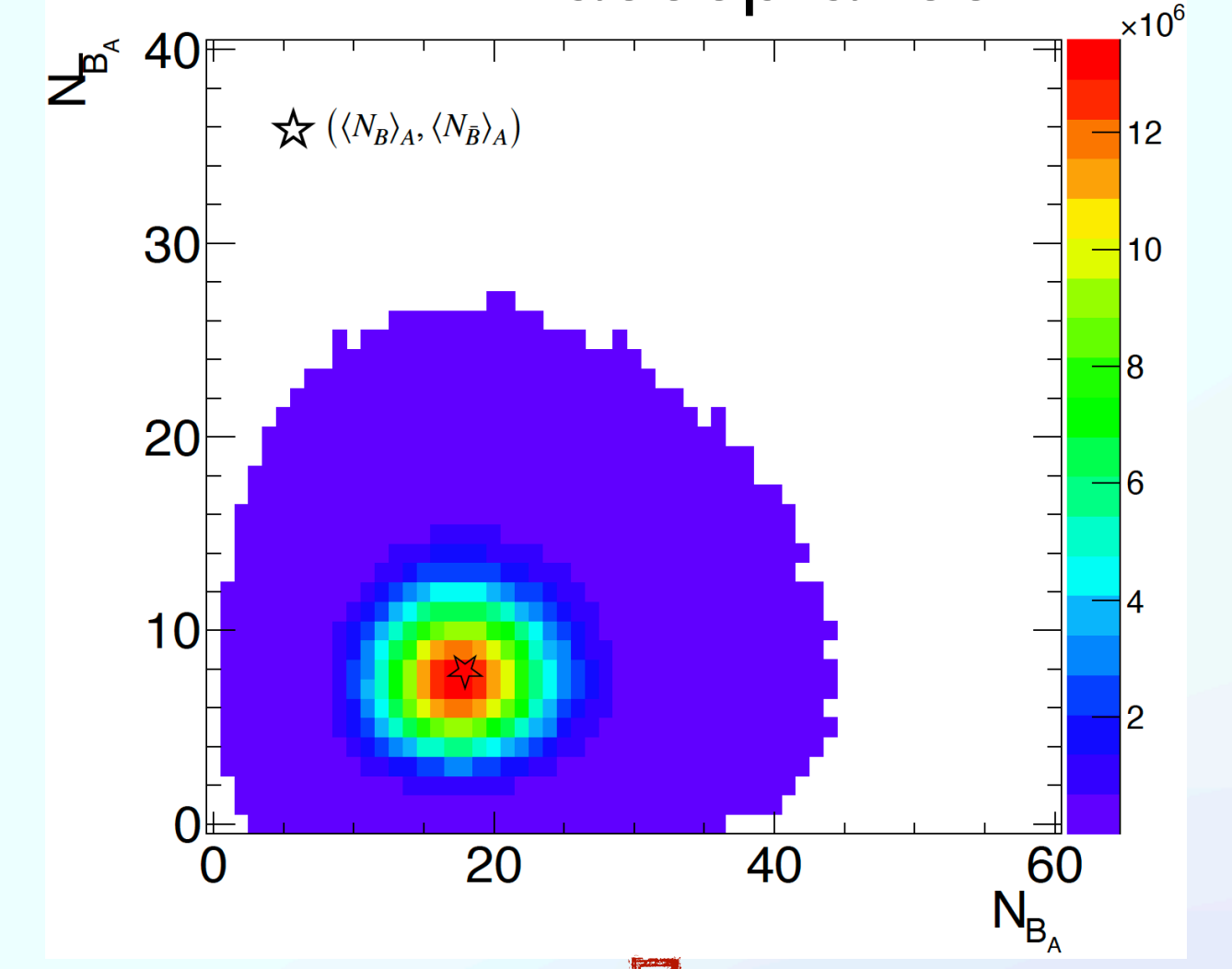
full phase space



acceptance selection



within acceptance



**Cumulants in canonical thermodynamics**

NB: 384  
 NBar: 70  
 pB: 0.05  
 pBar: 0.12

cumulant order: 4  
 print analytic formulas  
 Generate .cc file

NB: number of baryons in 4pi  
 NBar: number of anti-baryons in 4pi  
 pB: accepted protons  
 pBar: accepted anti-protons

calculate

Recalculated value of z  
 z = 164.1317794

Numerical values  
 kappa\_1 = 10.8  
 kappa\_2 = 25.9223  
 kappa\_3 = 8.87839  
 kappa\_4 = 20.7358

Analytic formulas:  
 kappa\_1 = NB\*pB - NBar\*pBar  
 kappa\_2 = -1.0/2.0\*(NB - NBar)\*(pB\*(pB - 1) - pBar\*(pBar - 1)) - 1.0/4.0\*(NB + NBar)\*(pow(pB, 2) + 2\*pB\*pBar - 2\*pB + pow(pBar, 2) - 2\*pBar) - 1.0/4.0\*pow(pB - pBar, 2)\*(4\*NB\*NBar + NB + NBar - 4\*pow(z, 2))  
 kappa\_3 = (1.0/2.0)\*(NB - NBar)\*(pB\*(2\*pow(pB, 2) - 3\*pB + 1) + pBar\*(2\*pow(pBar, 2) - 3\*pBar + 1)) + (1.0/8.0)\*(NB + NBar)\*(3\*pow(pB, 3) + 3\*pow(pB, 2)\*pBar - 6\*pow(pB, 2) - 3\*pB\*pow(pBar, 2) + 4\*pB - 3\*pow(pBar, 3) + 6\*pow(pBar, 2) - 4\*pBar) + (1.0/4.0)\*pow(pB - pBar, 3)\*(6\*NB\*NBar + NB + NBar - 2\*pow(z, 2)) + 4\*(NB - NBar)\*(NB\*NBar - pow(z, 2))

Authors: B. Friman, A. Rustamov

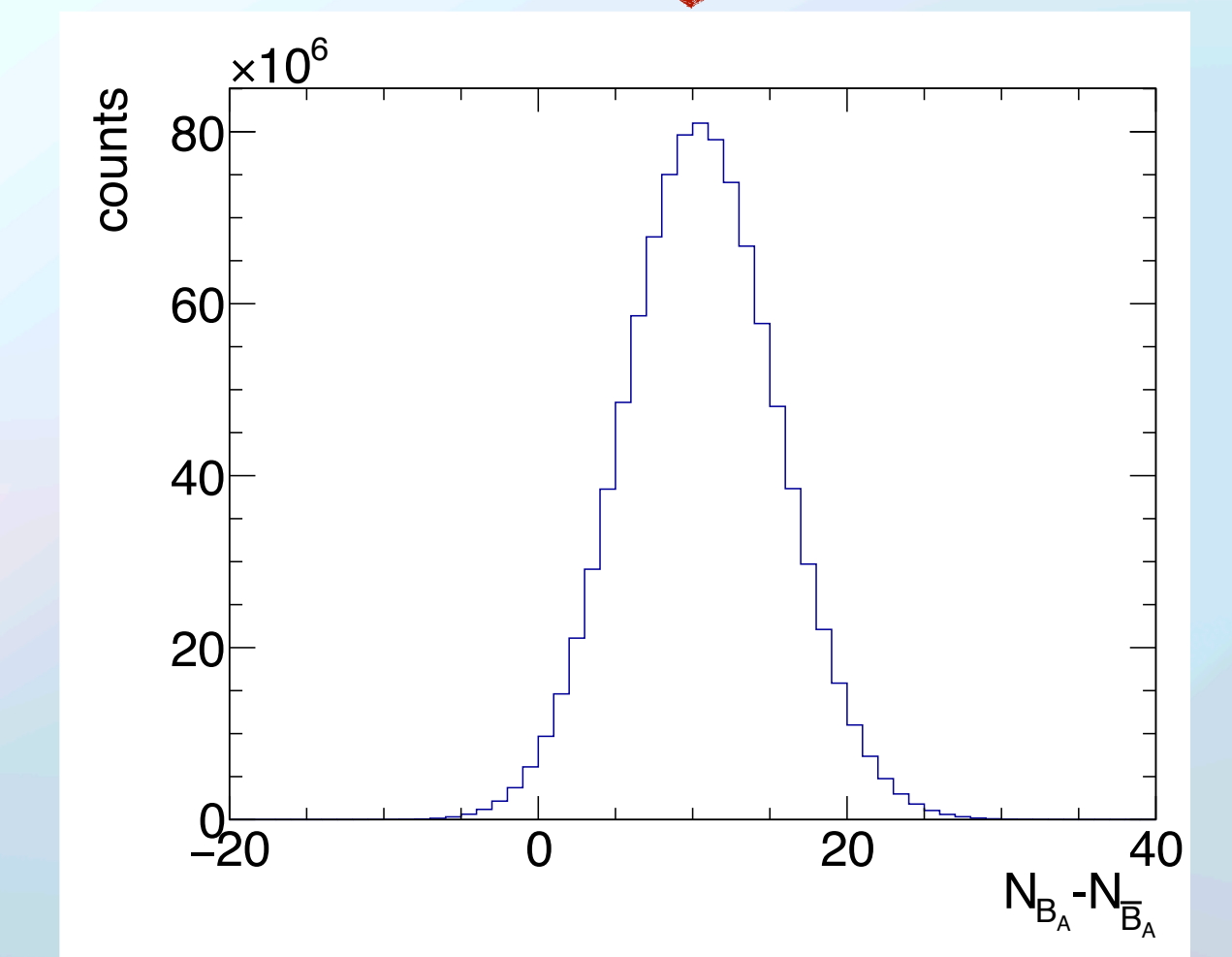
Comparison to baseline

P. Braun-Munzinger, B. Friman, K. Redlich, A.R., J. Stachel, NPA 1008 (2021) 122141

**Baseline Calculator**

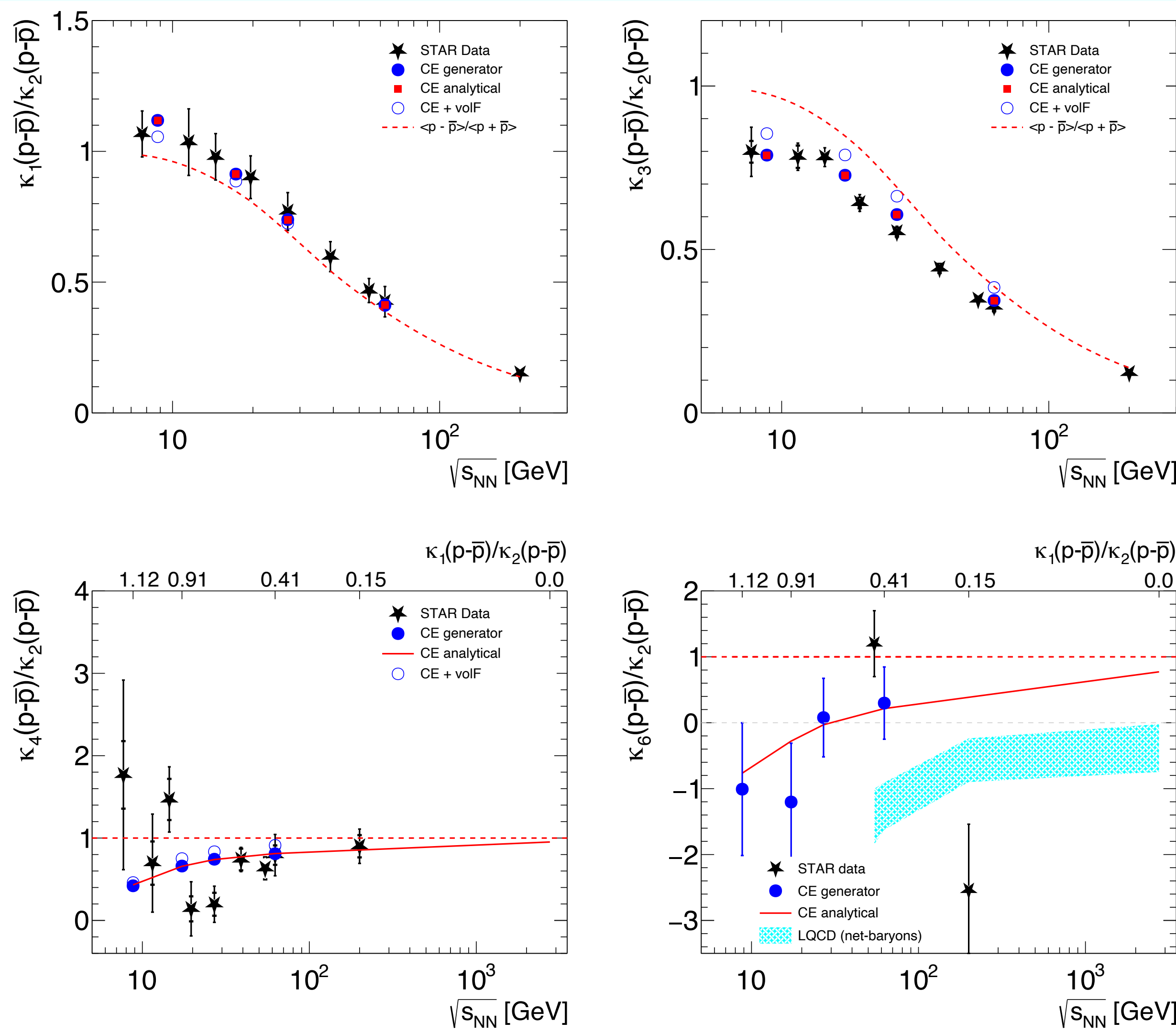
A. Rustamov, B. Friman

<https://github.com/e-by-e/Cumulants-CE.git>



# Results from STAR vs. canonical baseline

**the first quantitative and most precise canonical baselines**



remarkable agreement between canonical baseline and STAR BES1 data

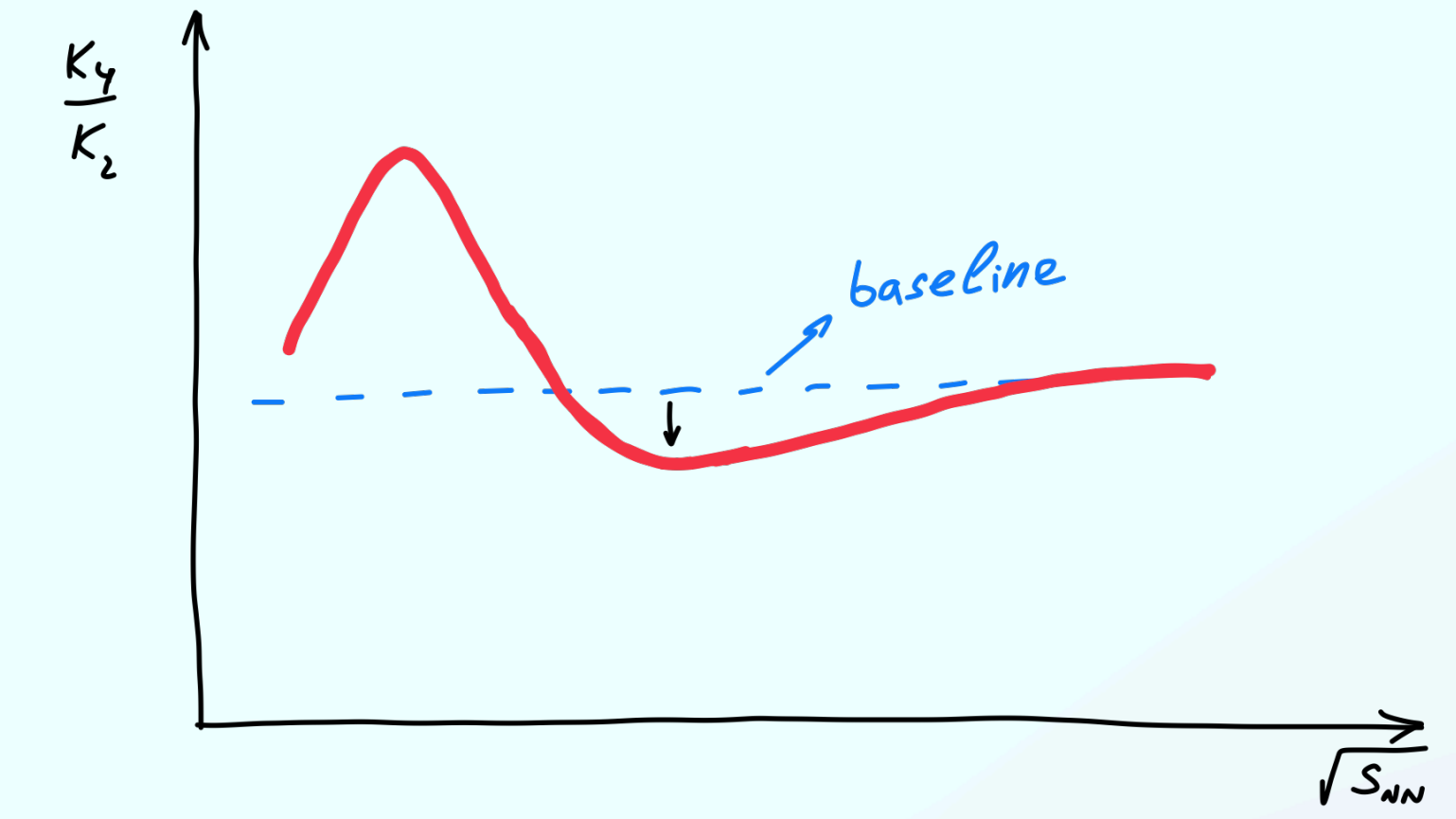
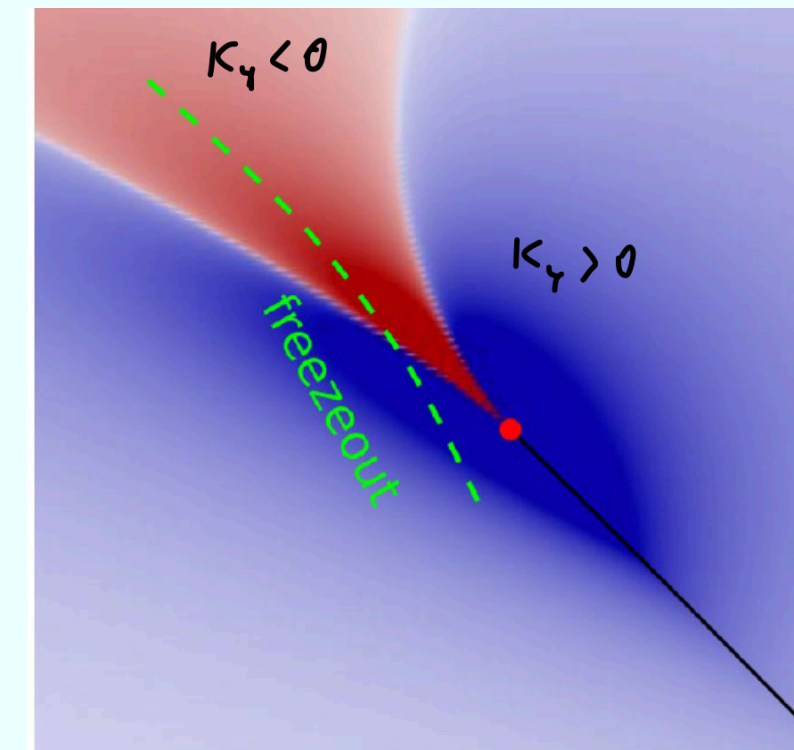
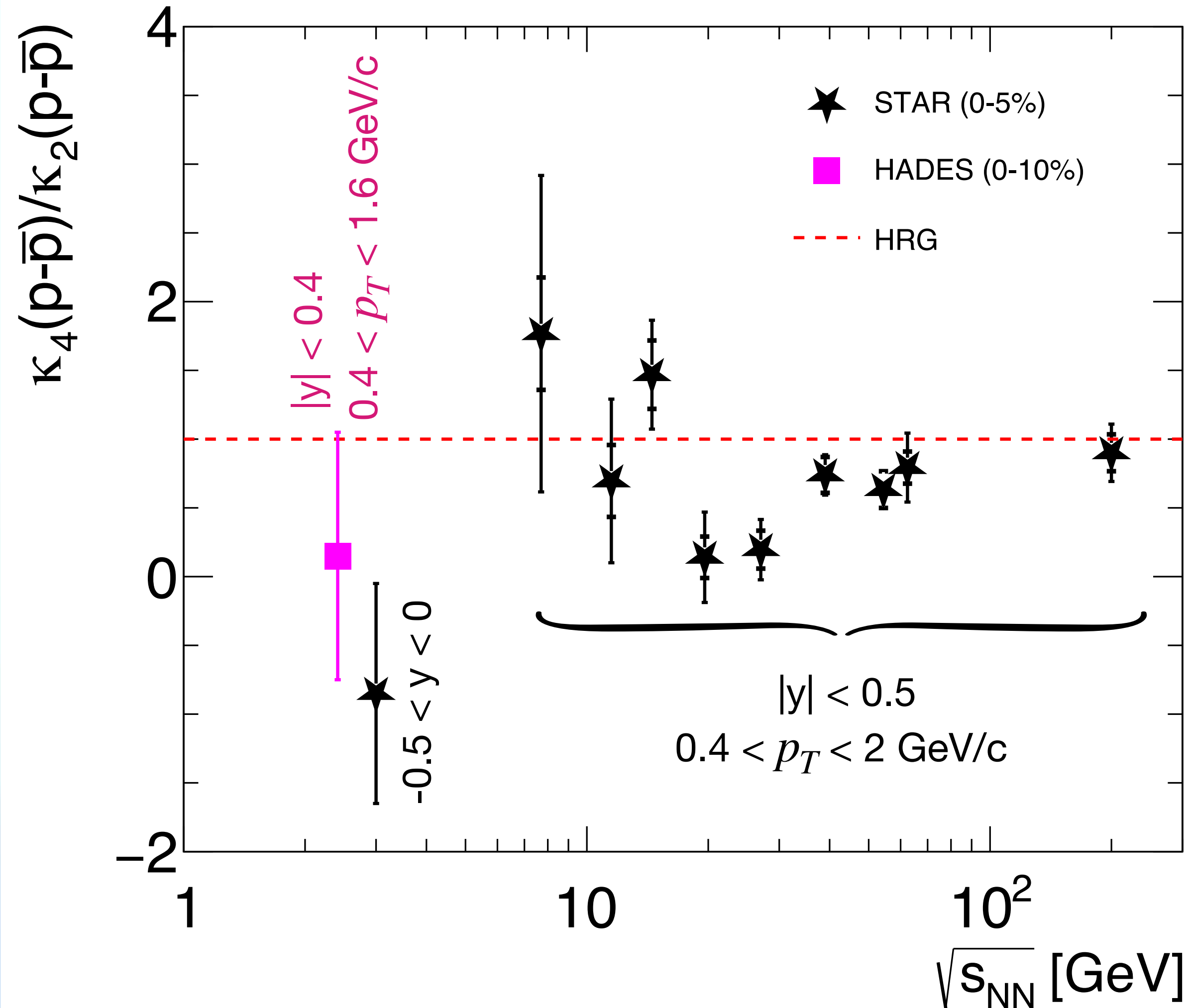
○ significant reduction of canonical baseline for  $\kappa_6/\kappa_2$  going from positive values at LHC to negative values at lower energies

○ STAR DATA for  $\kappa_6/\kappa_2$  is not consistent with the LQCD predictions

STAR: PRL 126 (2021) 9, 092301, PRL 130 (2023) 8, 082301  
 P. Braun-Munzinger, B. Friman, K. Redlich, A.R., J. Stachel, NPA 1008 (2021) 122141

# Energy excitation function of $\kappa_4/\kappa_2$ in central Au-Au collisions

HADES: Phys.Rev.C 102 (2020) 2, 024914  
 STAR: Phys.Rev.Lett. 126 (2021) 9, 092301



a dip in the excitation function is generic

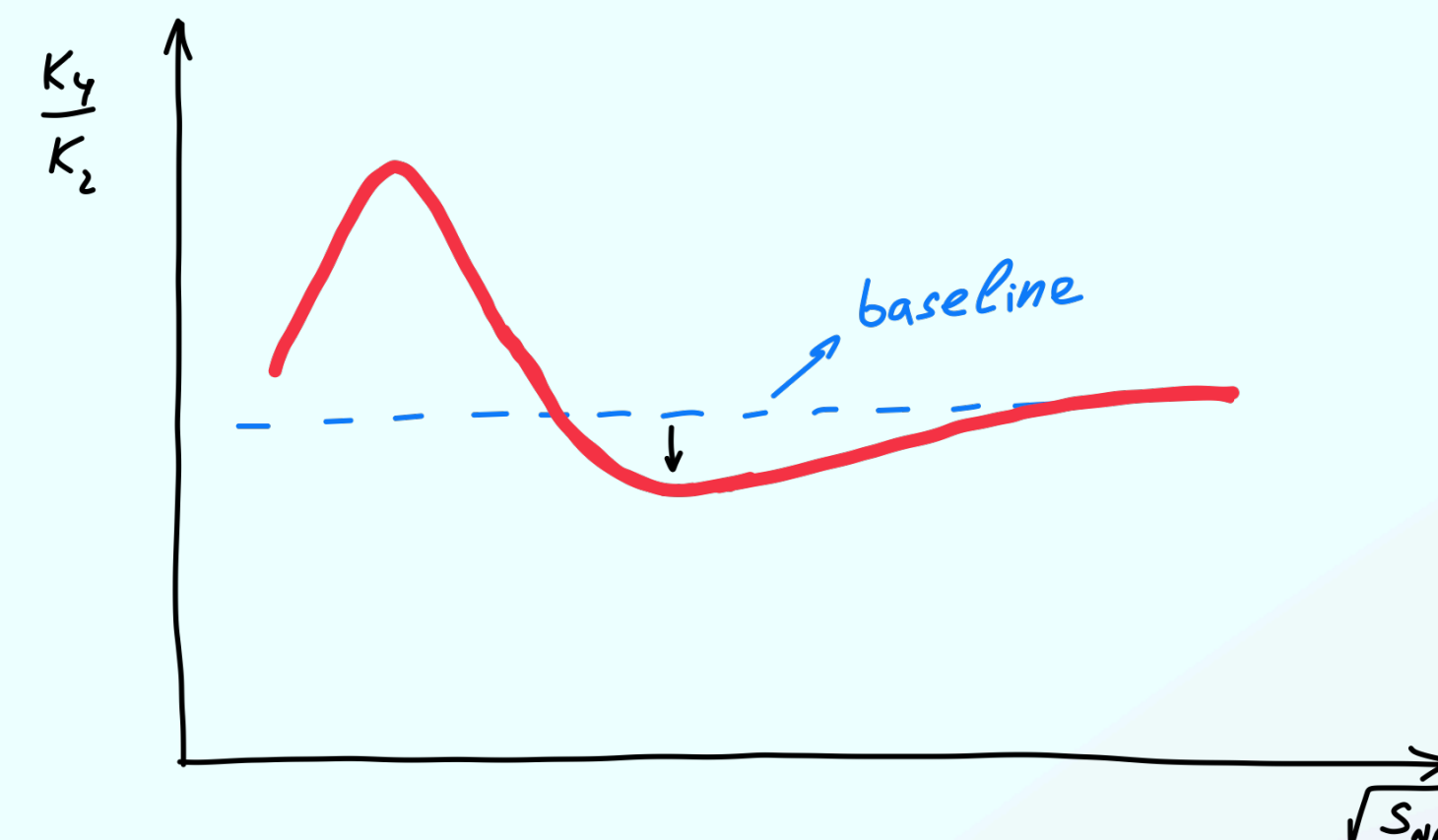
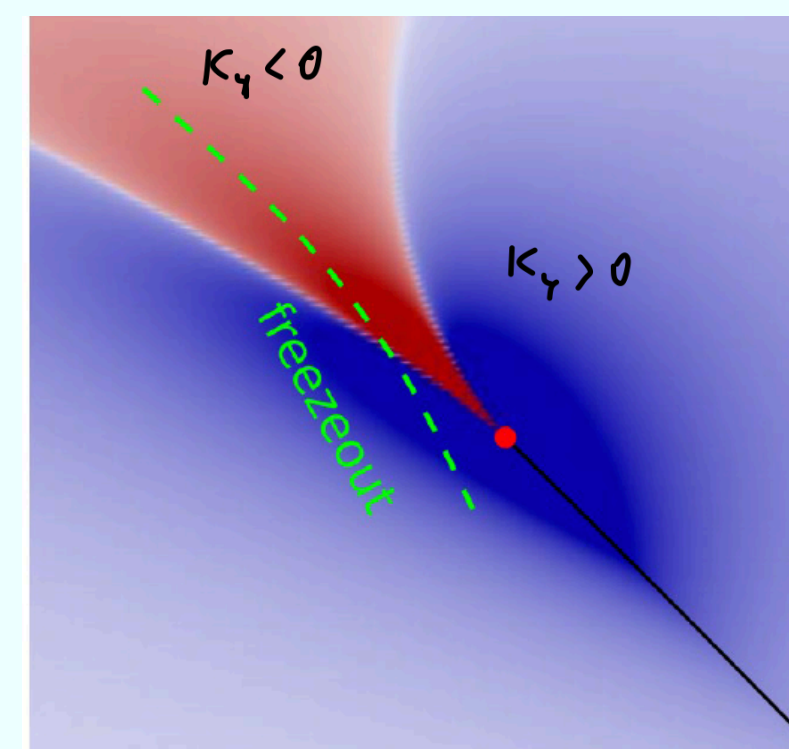
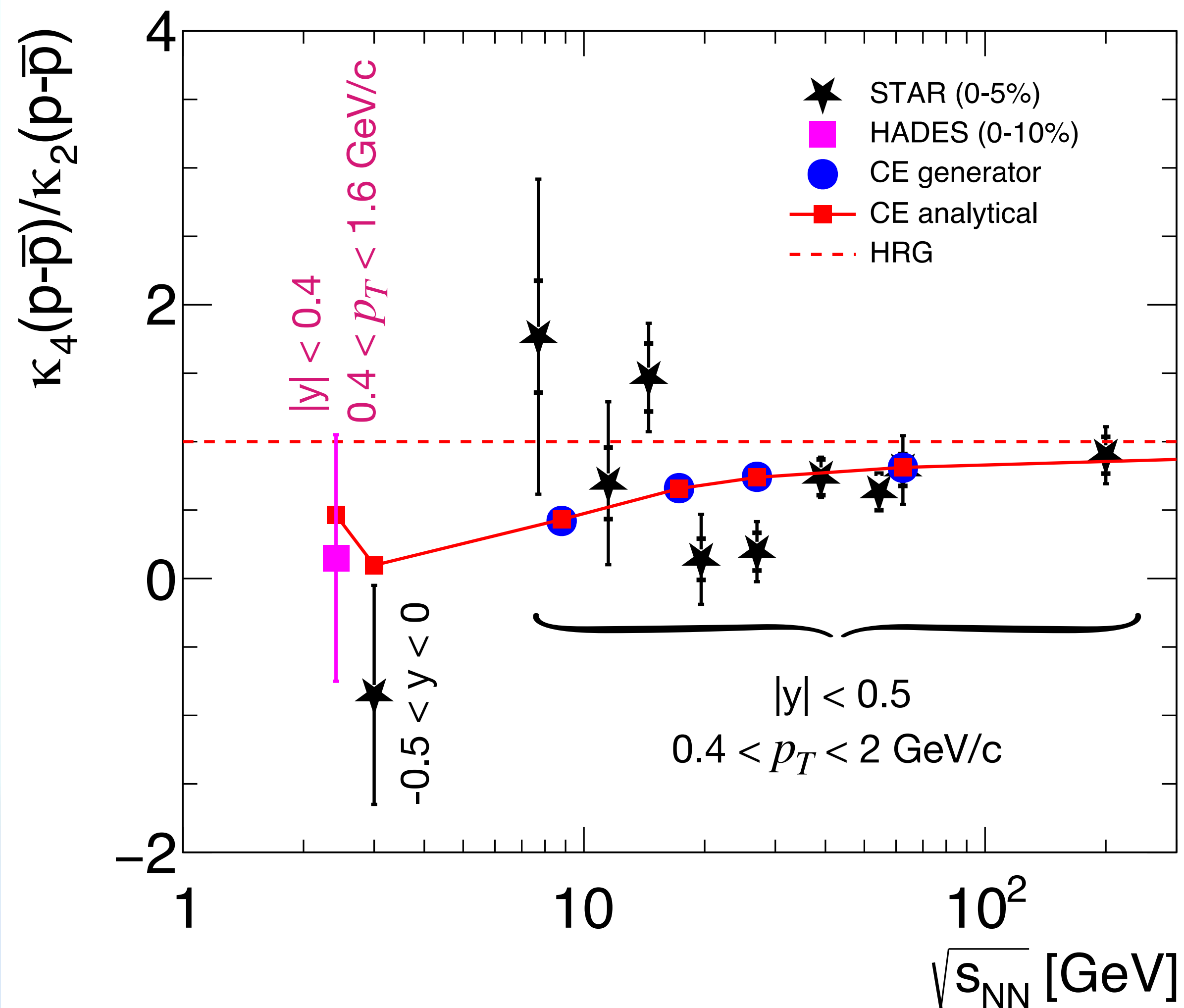
M. Stephanov, PRL102.032301(2009), PRL107.052301(2011)  
 M.Cheng et al, PRD79.074505(2009)

STAR: Phys.Rev.Lett. 126 (2021) 9, 092301

“non-monotonic behavior with a significance of  $3.1\sigma$  relative to GCE expectation”

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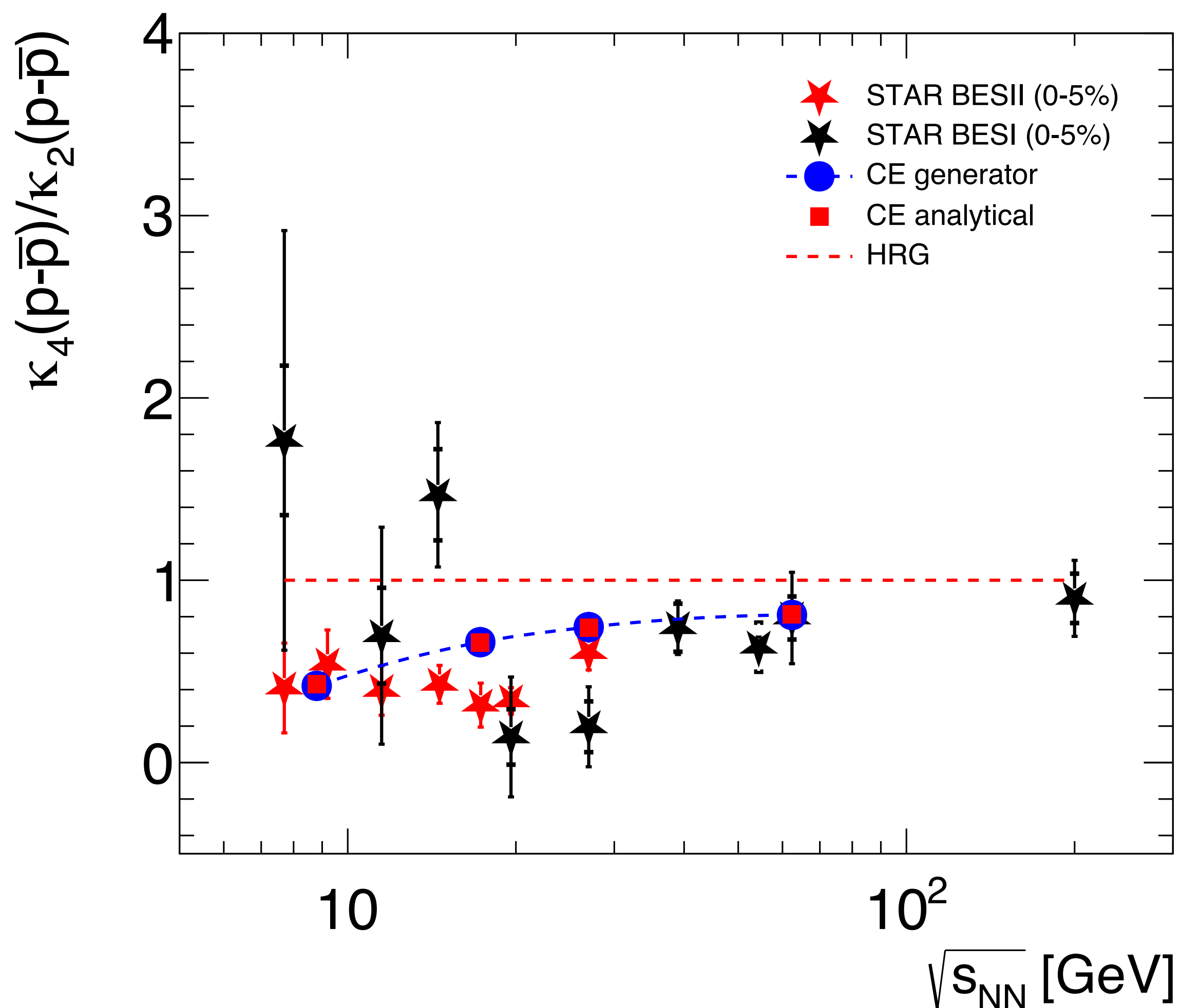
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“non-monotonic behavior with a significance of  $3.1\sigma$  relative to GCE expectation”

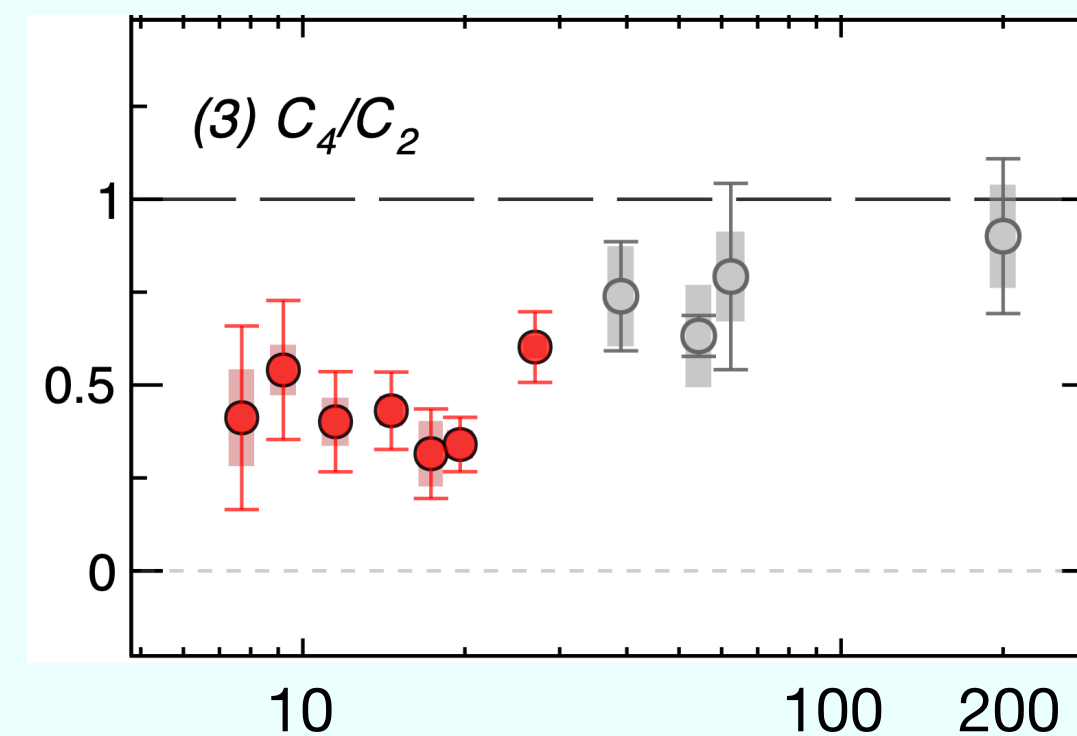
**CE Baseline:** P. Braun-Munzinger, B. Friman, K. Redlich, A.R., J. Stachel, NPA 1008 (2021) 122141

no statistically significant difference between the data and the canonical baseline (KS test:  $1.2\sigma$ ,  $\chi^2$  test:  $1.5\sigma$ )

# STAR BES I vs. BES II DATA, $\kappa_4/\kappa_2$



NEW STAR data points are digitized from the pdf plot!



**A. Pandav, CPOD 2024**

Note: We prefer to plot  $C_1/C_2$

Notation:  $C_i \rightarrow \kappa_i$

**The NEW data show significantly reduced uncertainties**

**CE Baseline:** P. Braun-Munzinger, B. Friman, K. Redlich, A.R., J. Stachel, NPA 1008 (2021) 122141

P. Braun-Munzinger, A. R., N. Xu, Annual Review of Nuclear and Particle Science (under preparation)

## Experimental challenges

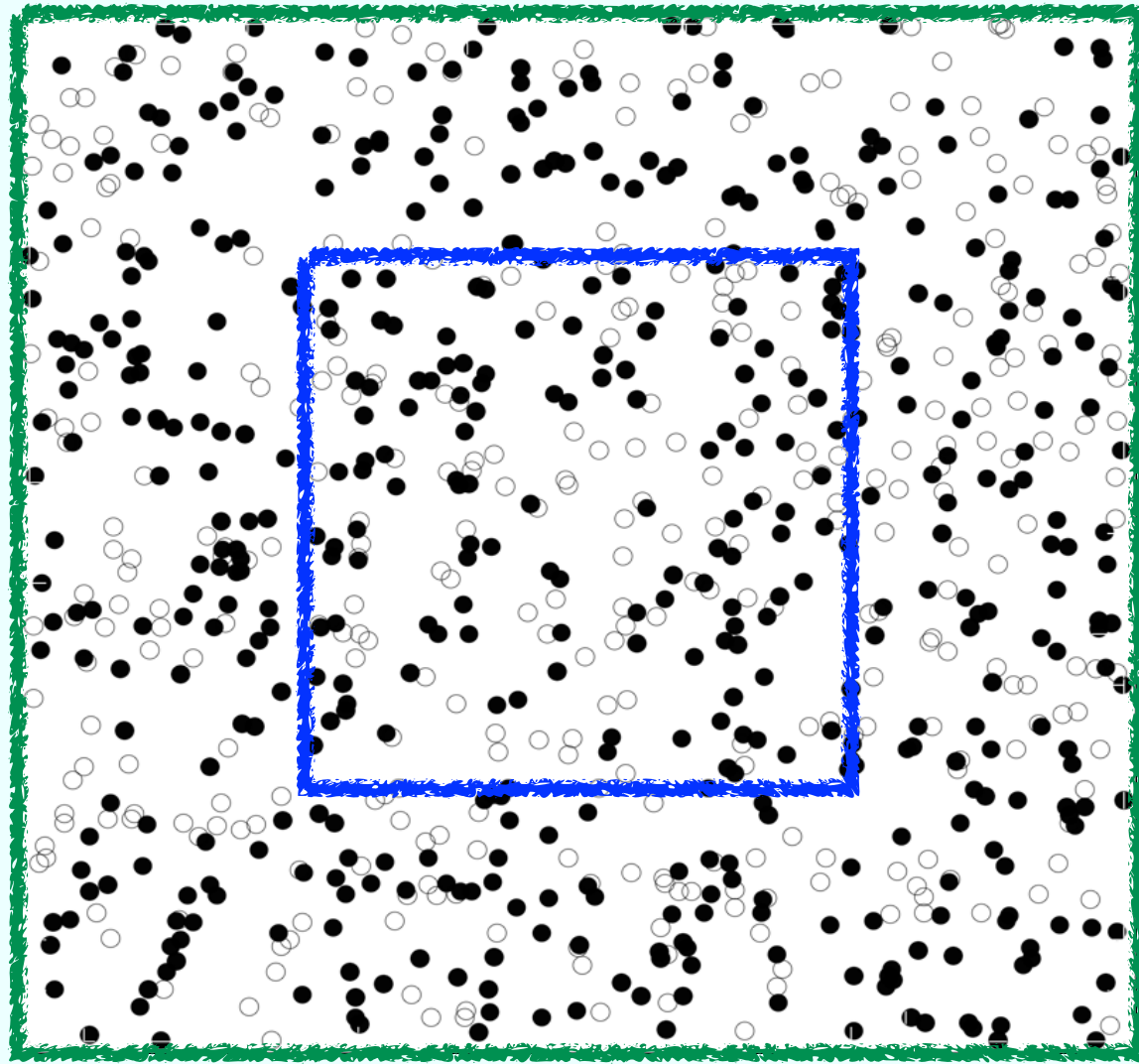
- Volume fluctuations
- Conservation laws
- First ALICE results

## Experiment vs. Theory

- Canonical Thermodynamics
- Comparison to STAR results
- **Metropolis algorithm**
- **Comparison to ALICE results**
- **Outlook**

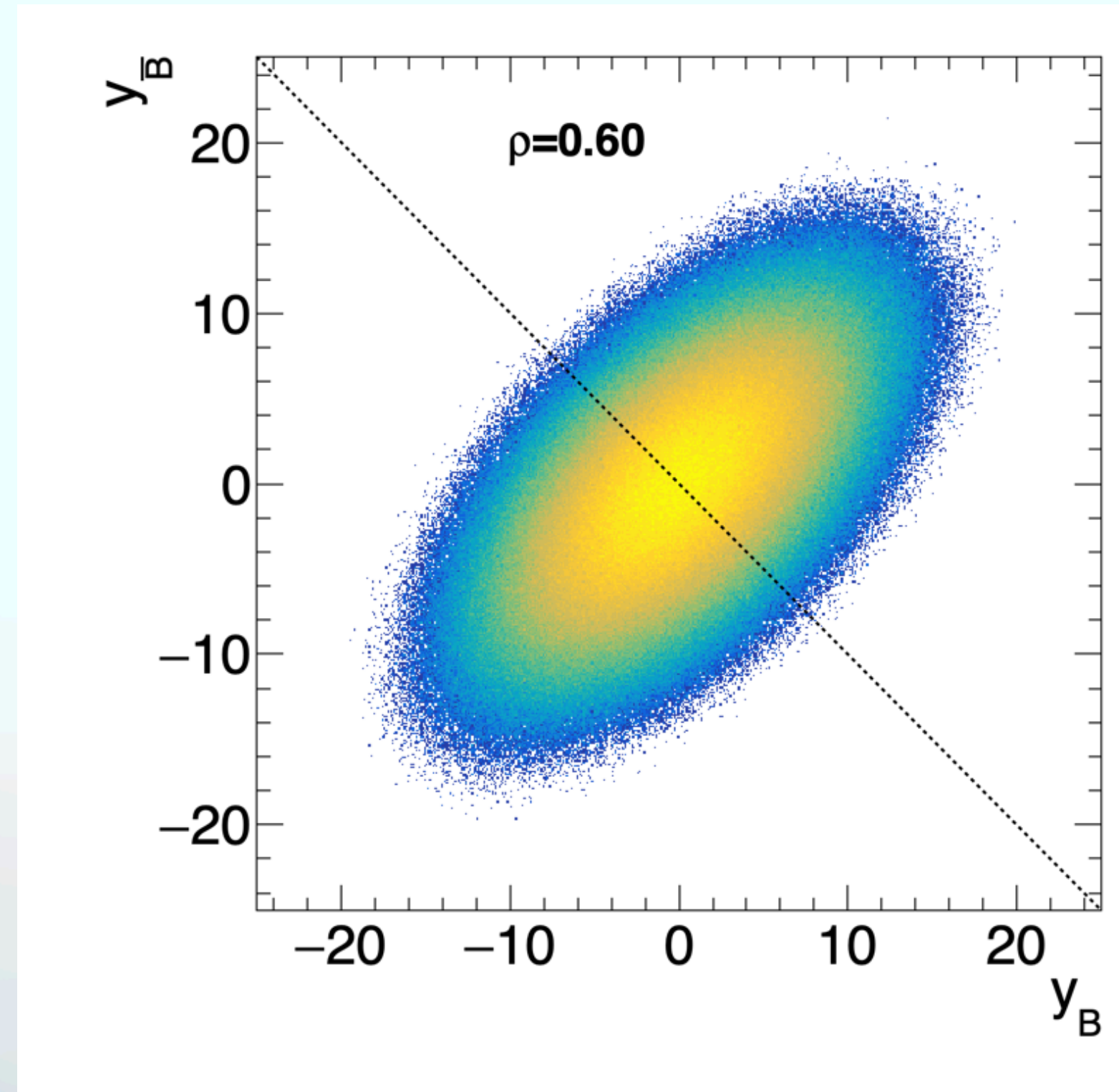
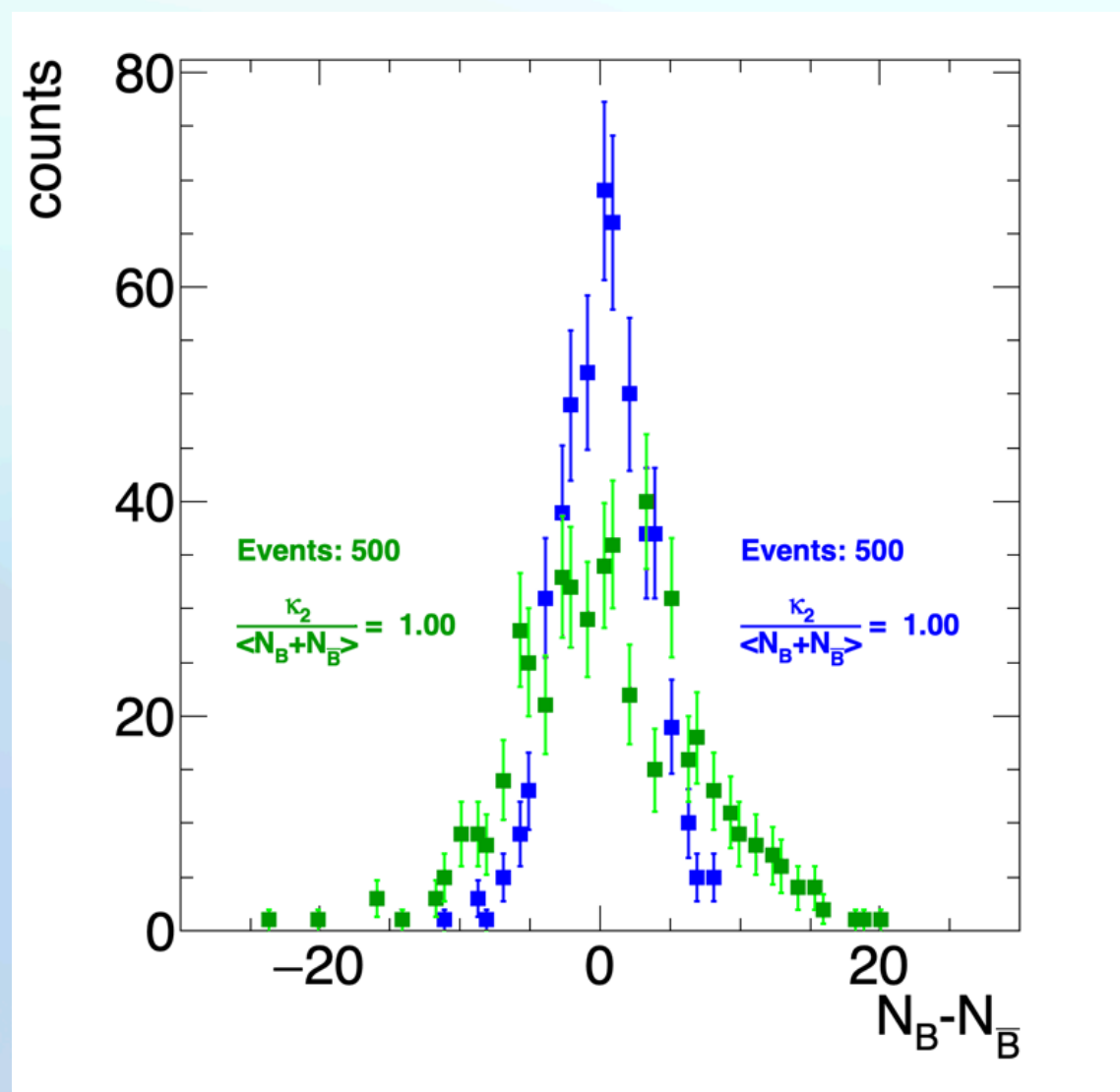


# Ideal gas EoS plus local conservation laws



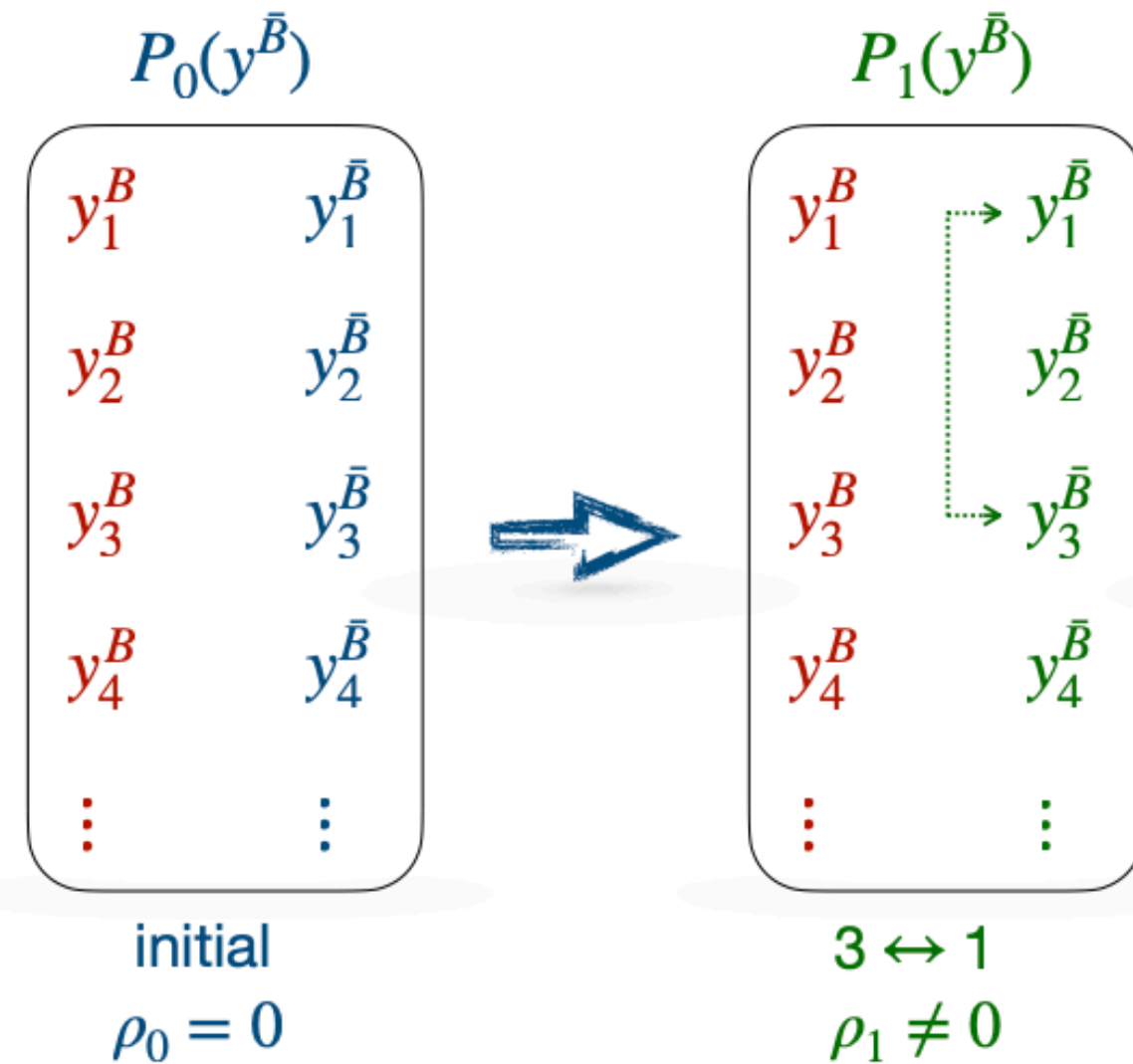
- exploiting **C**anonical **E**nsemble in the full phasespace
- no fluctuations in  $4\pi$  (like in experiments)

**+** correlations in rapidity space (local conservations)

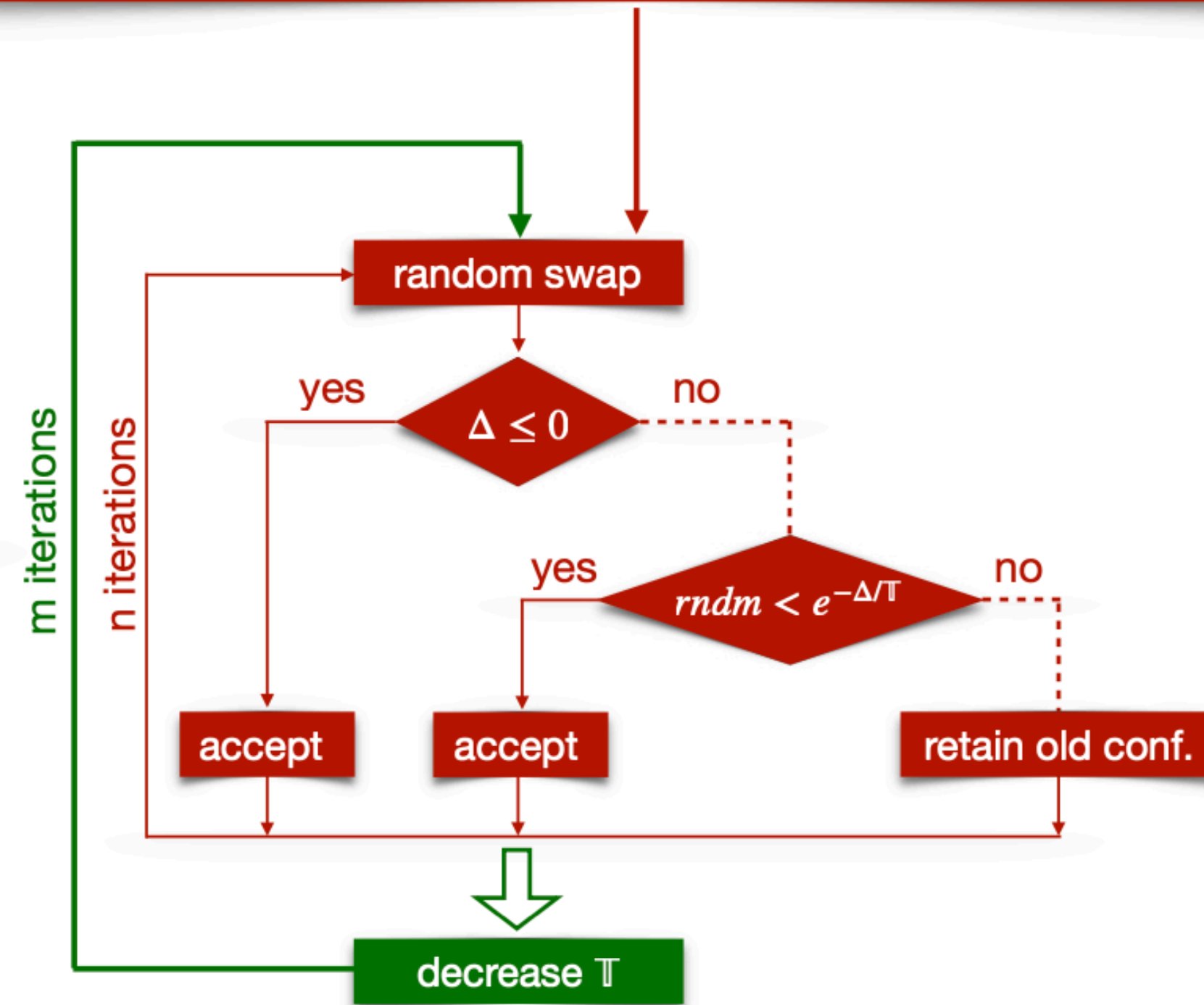


# Metropolis algorithm (Simulated annealing)

start with uncorrelated  $\{y_B\}, \{y_{\bar{B}}\}$



iteratively swap  $\{y_{\bar{B}}\}$ , start with the high value of temperature  $\mathbb{T}$



$$\rho_n = \frac{\text{cov}[y_B, P_n(y_{\bar{B}})]}{\sigma_{y_B} \sigma_{y_{\bar{B}}}}$$

$$\Delta = |\rho_n - \rho| - |\rho_{n-1} - \rho|$$

$\rho$ : desired corr. coefficient

**works for arbitrary rapidity distributions**

A. R., P. Braun-Munzinger, J. Stachel, QM 2022

P. Braun-Munzinger, K. Redlich, A. R., J. Stachel, JHEP 08 (2024) 113

# Details of implementation

$$Z_B(V, T) = \sum_{N_B=0}^{\infty} \sum_{N_{\bar{B}}=0}^{\infty} \frac{(\lambda_B z_B)^{N_B}}{N_B!} \frac{(\lambda_{\bar{B}} z_{\bar{B}})^{N_{\bar{B}}}}{N_{\bar{B}}!} \delta(N_B - N_{\bar{B}} - B) = \left( \frac{\lambda_B z_B}{\lambda_{\bar{B}} z_{\bar{B}}} \right)^{\frac{B}{2}} I_B(2z \sqrt{\lambda_B \lambda_{\bar{B}}})$$

$B$  net baryon number, conserved in each event

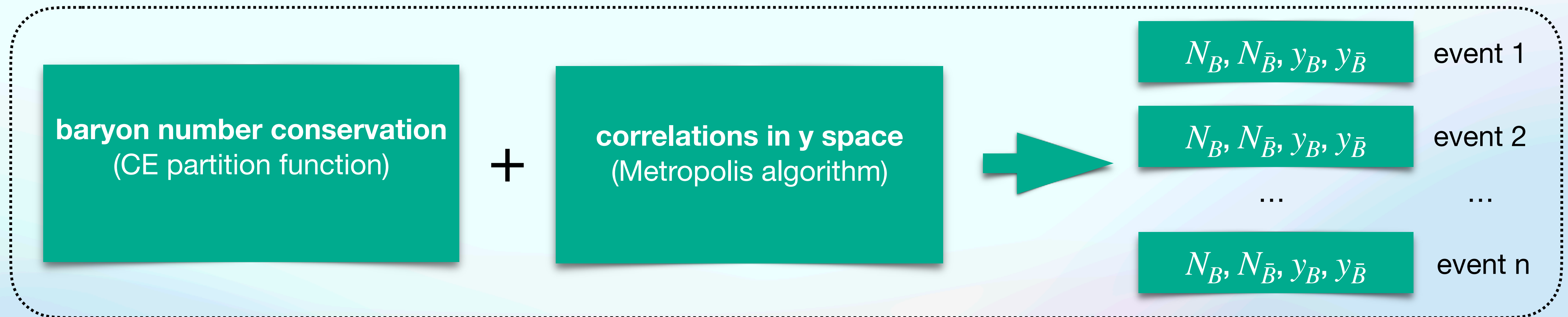
A. R., P. Braun-Munzinger, J. Stachel, QM 2022

$I_B$  modified Bessel function of the first kind

P. Braun-Munzinger, K. Redlich, A. R., J. Stachel, JHEP 08 (2024) 113

$z_B, z_{\bar{B}}$  single particle partition functions for baryons, anti baryons

$\lambda_B, \lambda_{\bar{B}}$  auxiliary parameters for calculating cumulants of baryons, anti baryons



## Input from experiments

📌 baryon rapidity distributions

📌 measured (canonical)  $\langle N_B \rangle, \langle N_{\bar{B}} \rangle$

$z = \sqrt{z_B z_{\bar{B}}}$  is calculated by solving

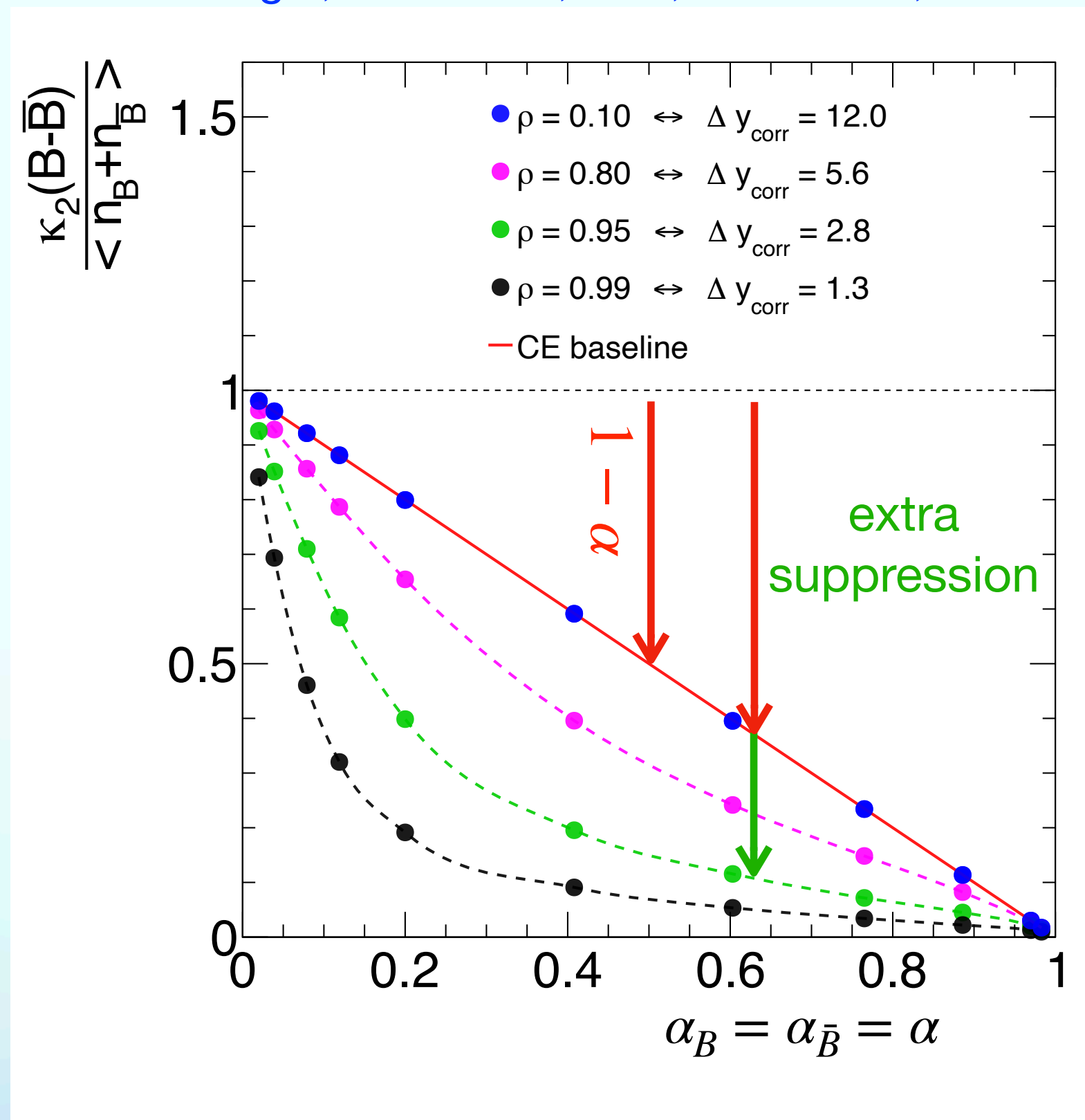
$$\langle N_B \rangle = \lambda_B \left. \frac{\partial \ln Z_B}{\partial \lambda_B} \right|_{\lambda_B, \lambda_{\bar{B}} = 1} = z \frac{I_{B-1}(2z)}{I_B(2z)}$$

# ALICE Results (Identity Method)

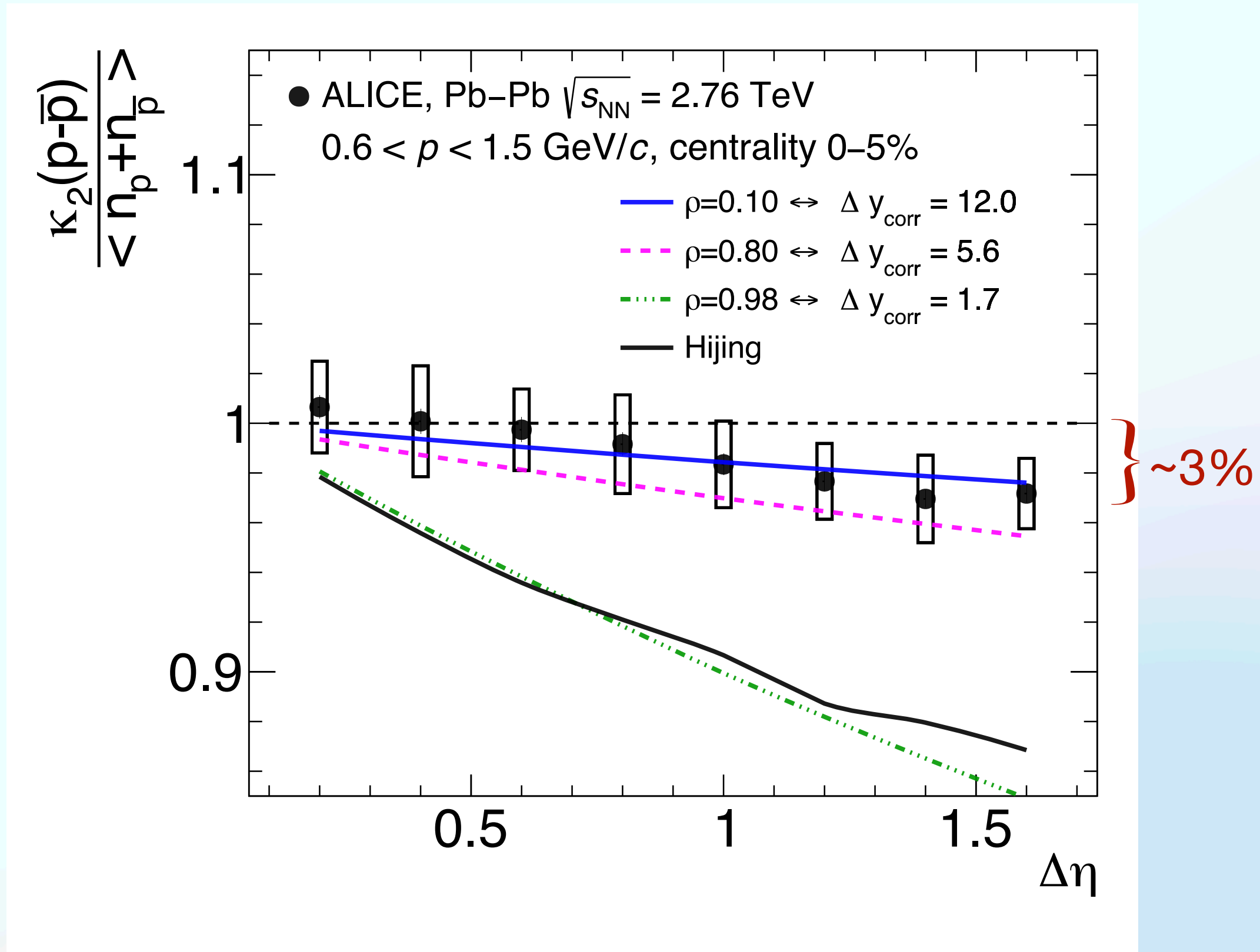
P. Braun-Munzinger, B. Friman, K. Redlich, A.R., J. Stachel, NPA 1008 (2021) 122141

ALICE: Phys. Lett. B 807 (2020) 135564, Phys. Lett. B (2022) 137545

P. Braun-Munzinger, K. Redlich, A.R., J. Stachel, JHEP 08 (2024) 113

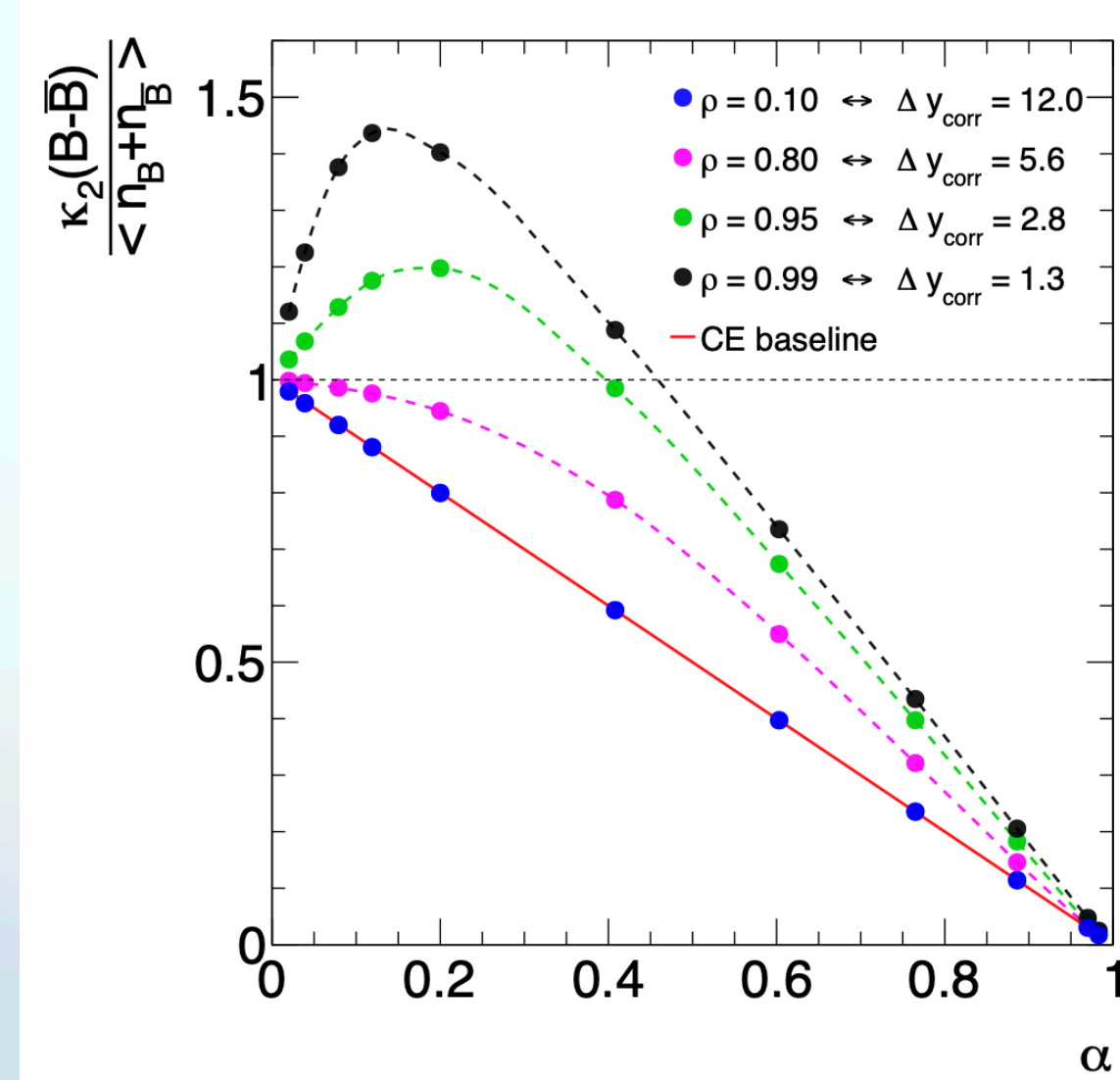
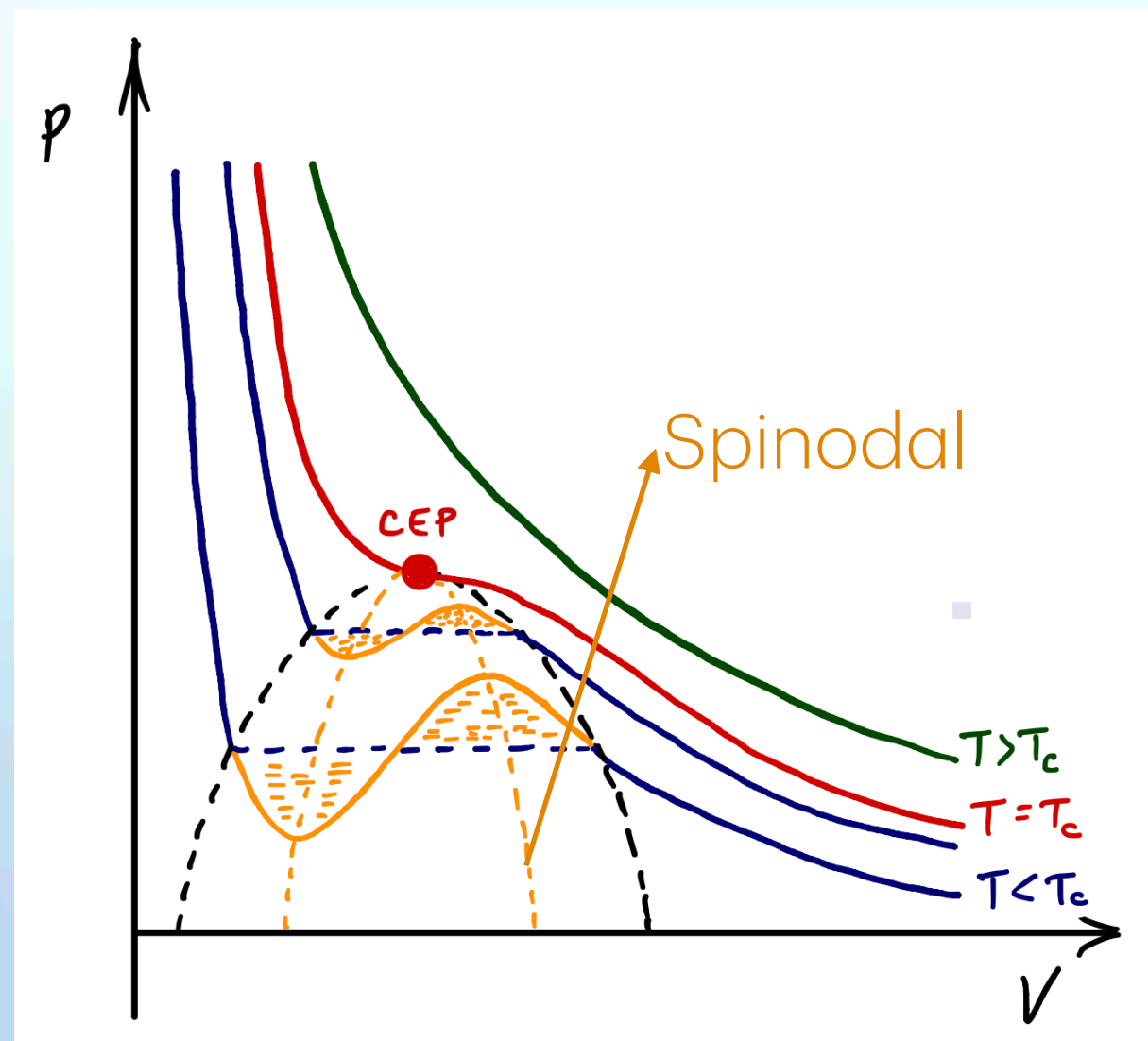
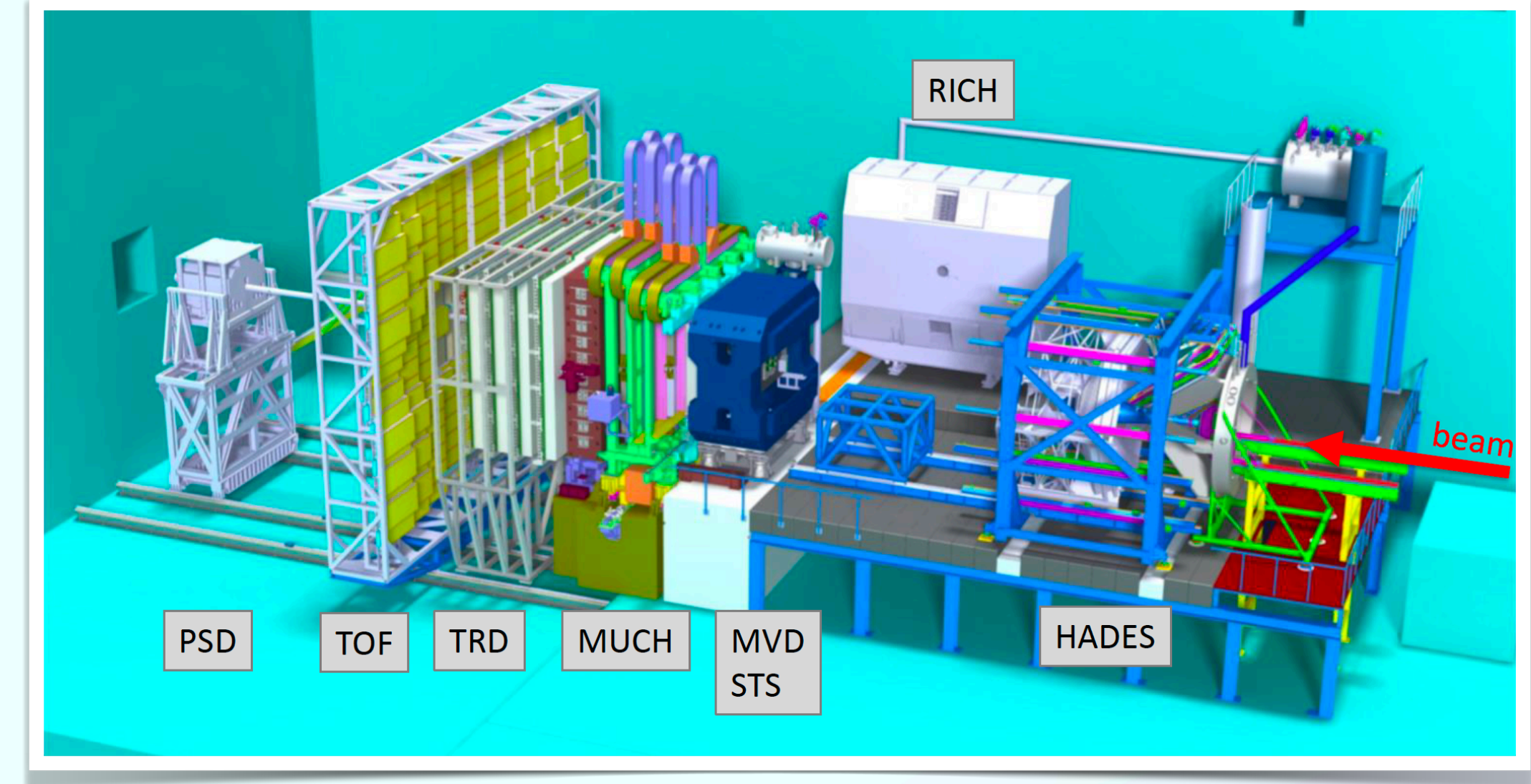
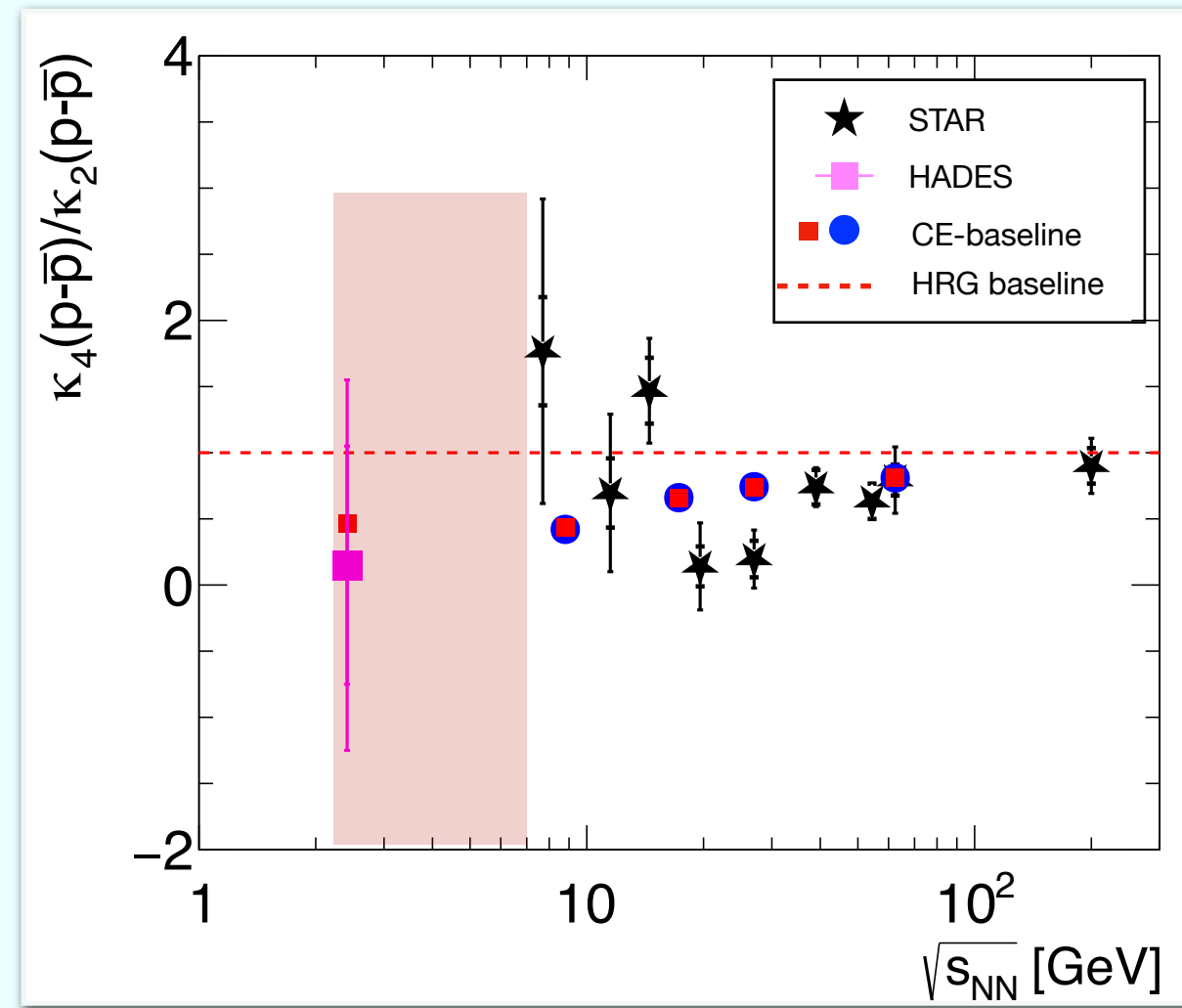


essential to constrain  
baryon production  
mechanism



- Alice data: best description with  $\rho = 0.1$  ( $\Delta y_{\text{corr}} = 12$ )  $\leftrightarrow$  Global baryon number conservation
- Agreement with LQCD predictions
- Calls into question baryon production mechanism in Hijing (Lund String Fragmentation)

# Near future, CBM



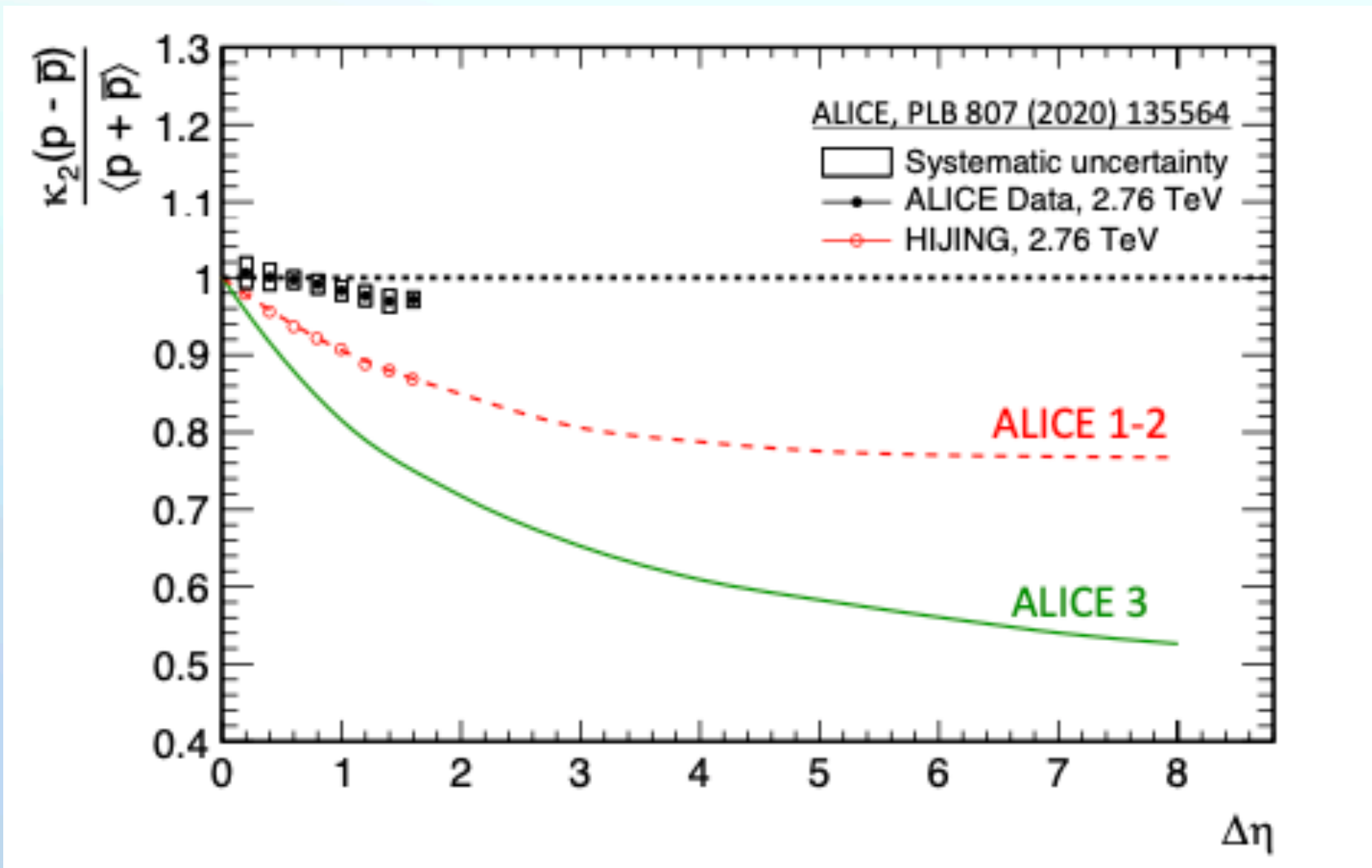
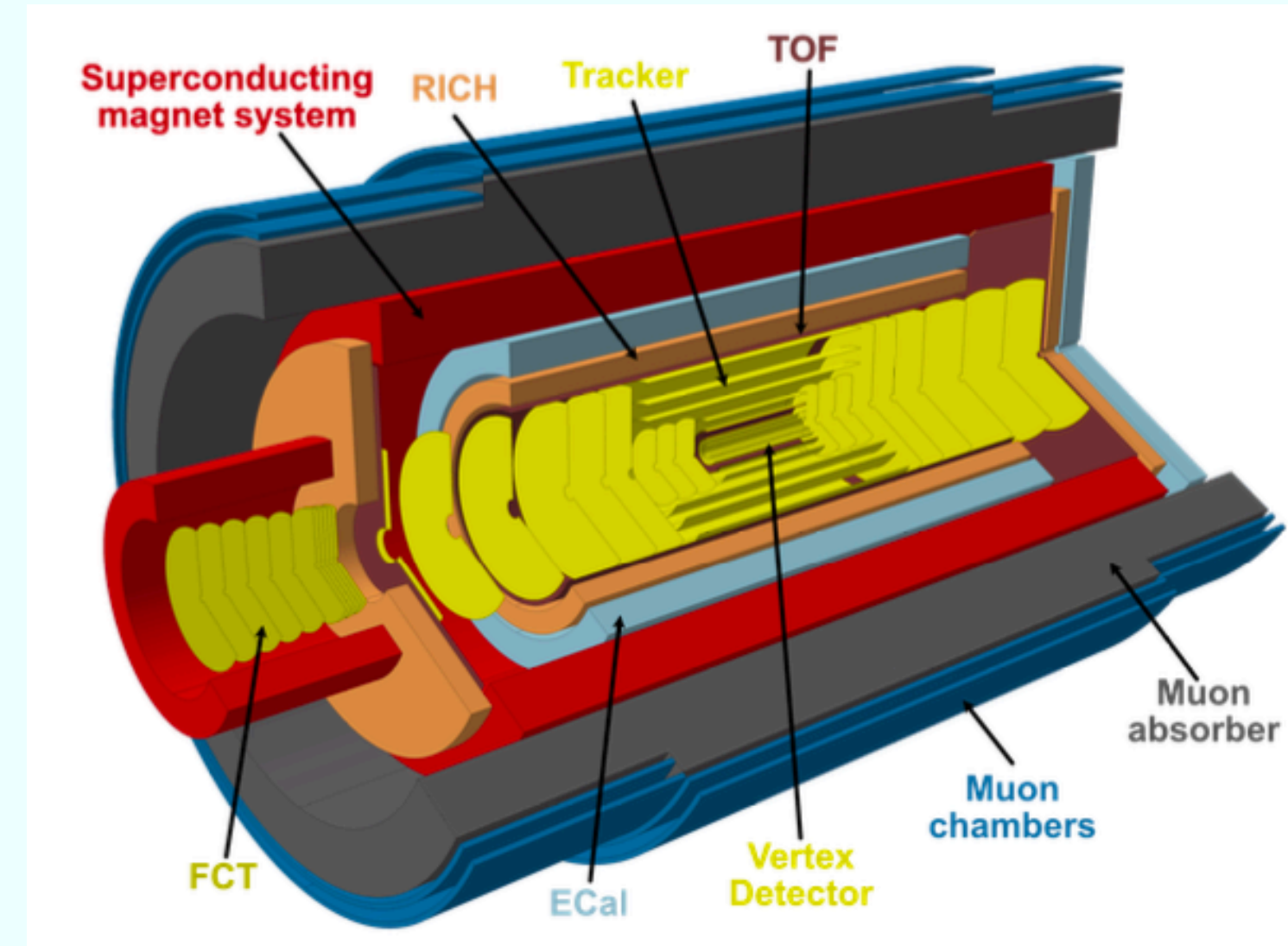
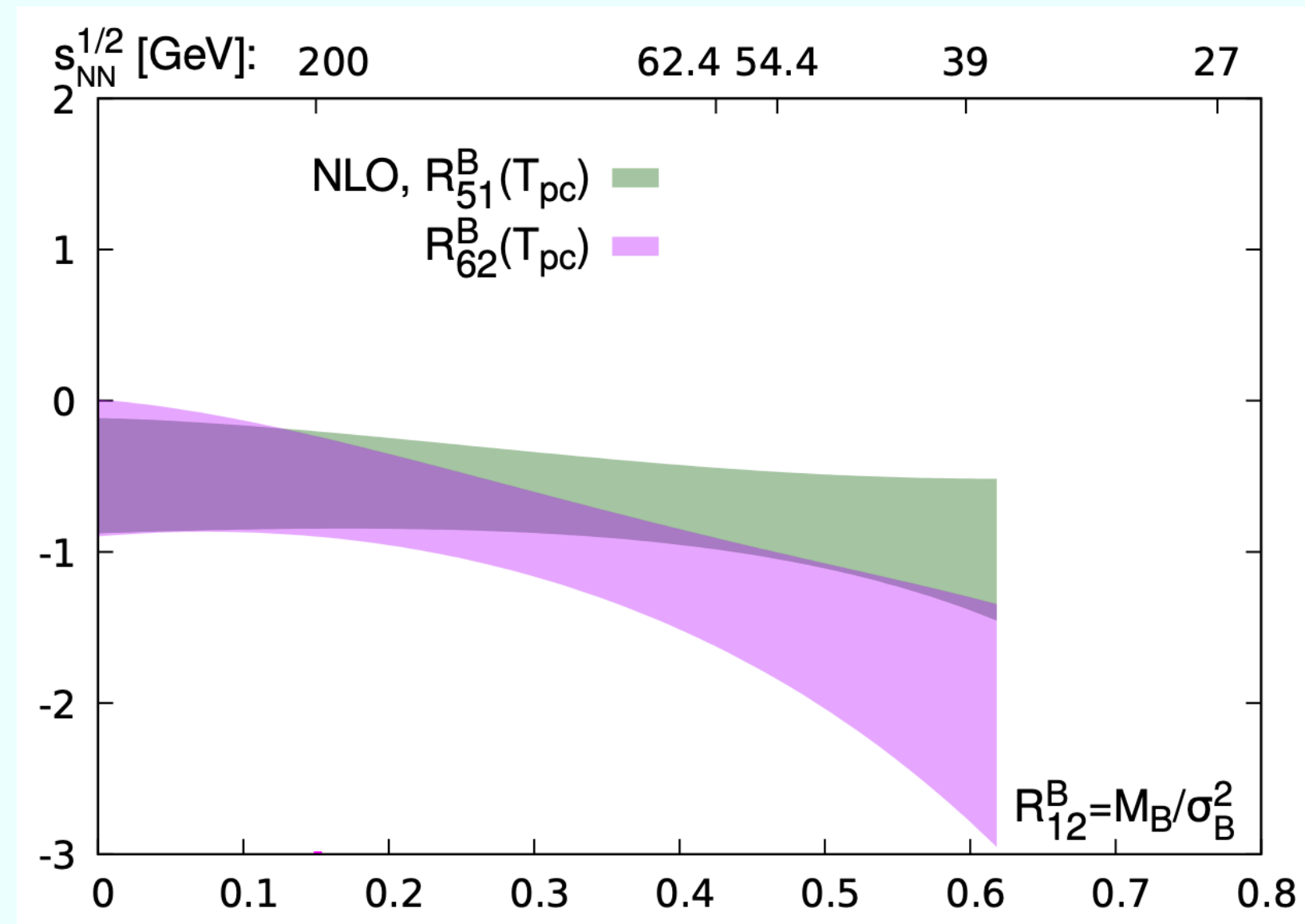
- Systematic measurements of fluctuations stemming from critical point
- Measuring fluctuations induced by spinodal decomposition
- Search for cluster formation

P. Braun-Munzinger, K. Redlich, A. R., J. Stachel, JHEP 08 (2024) 113

C. Sasaki, B. Friman, K. Redlich, Phys.Rev.D 77 (2008) 034024

# Near future, ALICE3

e-Print: 2211.02491 [physics.ins-det]



## Acceptance coverages

- **ALICE 1-2:**  $0.6 < p < 1.5 \text{ GeV}/c$ ,  $|\eta| < 0.8$
- **ALICE 3:**  $0.3 < p < 10 \text{ GeV}/c$ ,  $|\eta| < 4$

**Opens new avenues, such as study of charm fluctuations**



**Happy Birthday, Dear Johanna!**

May your journey, both in physics and in life, be filled with breakthrough moments, smooth trajectories, and just the right amount of fluctuations to keep things interesting.

