

Chasing Critical Fluctuations with ALICE



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Yale University

The International Workshop "Never at Rest: A Lifetime Inquiry of QGP"
11 February 2025, Physikzentrum, Bad Honnef, Germany

Yale



Wright
Laboratory



In part supported by

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The Method

"Discourse on the method of rightly conducting the reason and seeking the truth in the sciences", René Descartes



The 1st was never to accept anything for true which I did not clearly know to be such; that is to say, carefully to avoid precipitancy and prejudice, and to comprise nothing more in my judgment than what was presented to my mind so clearly and distinctly as to exclude all ground of doubt.

The 2nd, to divide each of the difficulties under examination into as many parts as possible, and as might be necessary for its adequate solution.

The 3rd, to conduct my thoughts in such order that, by commencing with objects the simplest and easiest to know, I might ascend by little and little, and, as it were, step by step, to the knowledge of the more complex; assigning in thought a certain order even to those objects which in their own nature do not stand in a relation of antecedence and sequence.

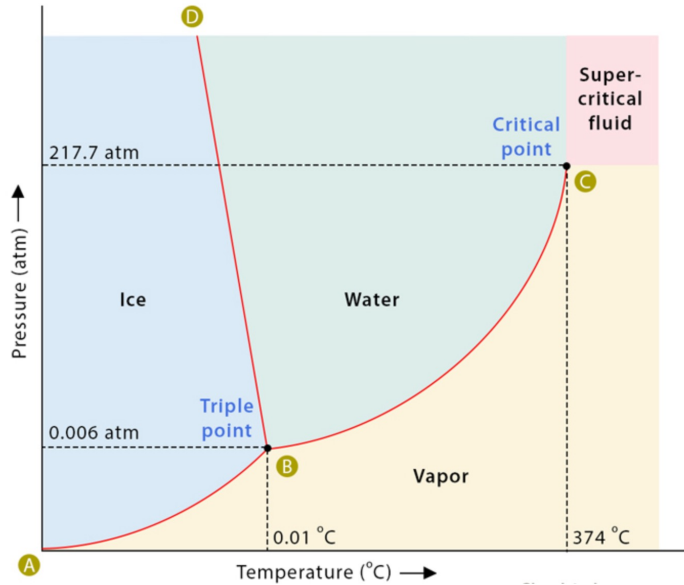
The last, in every case to make enumerations so complete, and reviews so general, that I might be assured that nothing was omitted."

Outline

- 1) **Goal:** Discovery of crossover phase transition → Critical fluctuations
- 2) **Observable:** net-proton number fluctuations
- 3) **Experimental challenges:**
 - Proton identification
 - Event pile-up mitigation and energy loss calibration in the TPC
 - Efficiency corrections
 - Establishing a statistical baseline
- 4) **Results:**
 - Measurement of 2nd and 3rd order cumulants
- 5) **The quest continues:**
 - Prospects for ALICE 3 era

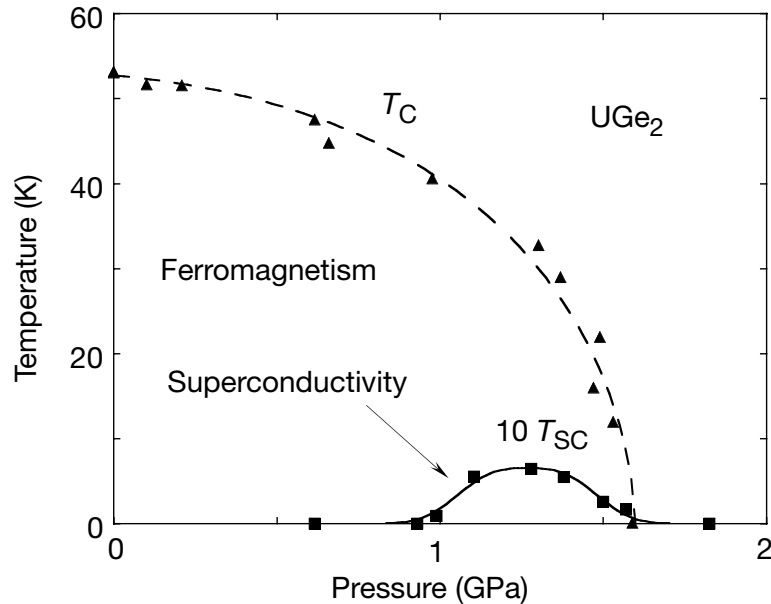
Phase transition: Water

Phase diagram of water
(Electro-magnetic interaction)



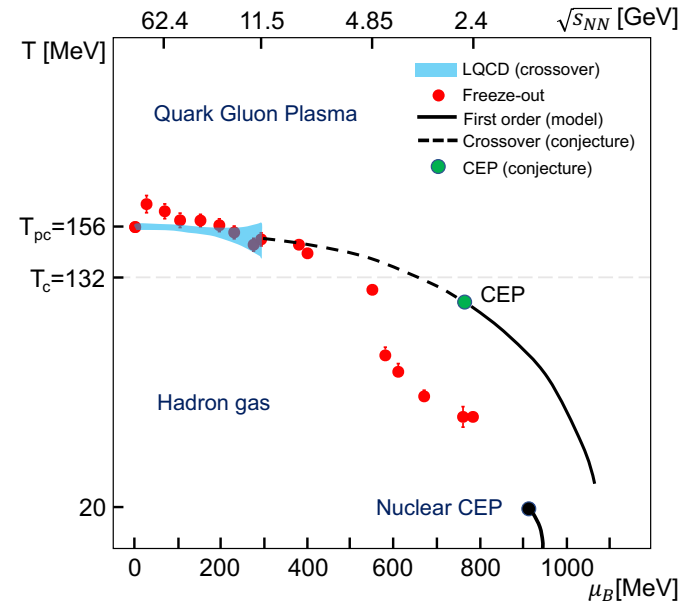
Phase transition: QGP

Ferromagnetic phase transition (Electro-magnetic interaction)



Nature volume 406, pages 587–592 (2000)

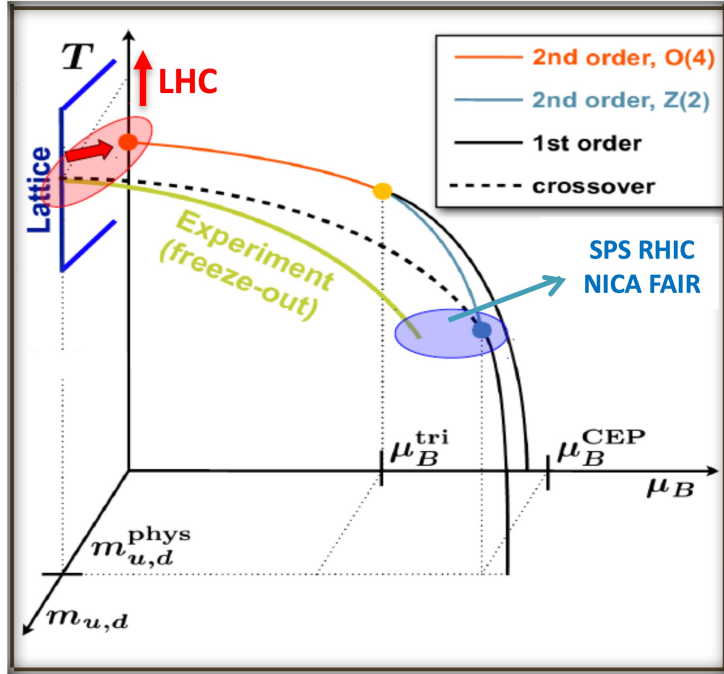
QCD phase diagram (Strong interaction)



P. Braun-Munzinger, A. Rustamov, J. Stachel, e-Print: 2211.08819

Nature of QCD phase diagram

F. Karsch, Schleching 2016



- **Vanishing u, d quark masses:**
 - ⇒ Vicinity to 2nd order O(4) criticality
 - ⇒ **Pseudocritical features** at the crossover due to massless modes
- **At LHC energies**
 - ⇒ $T_{pc}^{LQCD} \approx T_{fo}^{ALICE} = 156.5 \pm 3 \text{ MeV}$
 - ⇒ $\mu_B^{ALICE} = 0.71 \pm 0.45 \text{ MeV}$

- **Fact:** No experimental confirmation of crossover
- **Signature:** Long range correlations & increased fluctuations

J. Stachel, A. Andronic, P. B. Munzinger and K. Redlich, *Nature* 561 (2018) 321

HotQCD Collaboration, *Phys.Lett. B* 795 (2019) 15

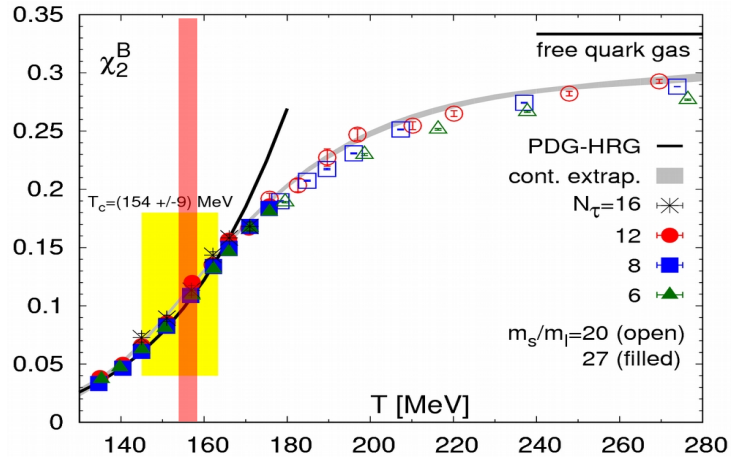
ALICE Collaboration, *Phys. Rev. Lett.* 133 (2024) 9, 092301

Talk by Frithjof

LQCD

$$\chi_{klmn}^{BQSC} = \left. \frac{\partial^{(k+l+m+n)} [P(\hat{\mu}_B, \hat{\mu}_Q, \hat{\mu}_S, \hat{\mu}_C) / T^4]}{\partial \hat{\mu}_B^k \partial \hat{\mu}_Q^l \partial \hat{\mu}_S^m \partial \hat{\mu}_C^n} \right|_{\vec{\mu}=0}$$

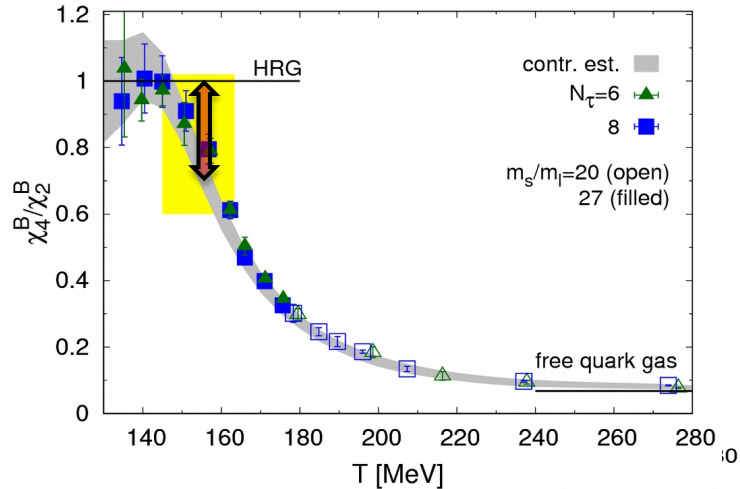
Baryon number (**B**), Strangeness (**S**), Electric charge (**Q**), Charm (**C**)



LQCD

$$\chi_{klmn}^{BQSC} = \frac{\partial^{(k+l+m+n)} [P(\hat{\mu}_B, \hat{\mu}_Q, \hat{\mu}_S, \hat{\mu}_C) / T^4]}{\partial \hat{\mu}_B^k \partial \hat{\mu}_Q^l \partial \hat{\mu}_S^m \partial \hat{\mu}_C^n} \Big|_{\vec{\mu}=0}$$

Baryon number (**B**), Strangeness (**S**), Electric charge (**Q**), Charm (**C**)

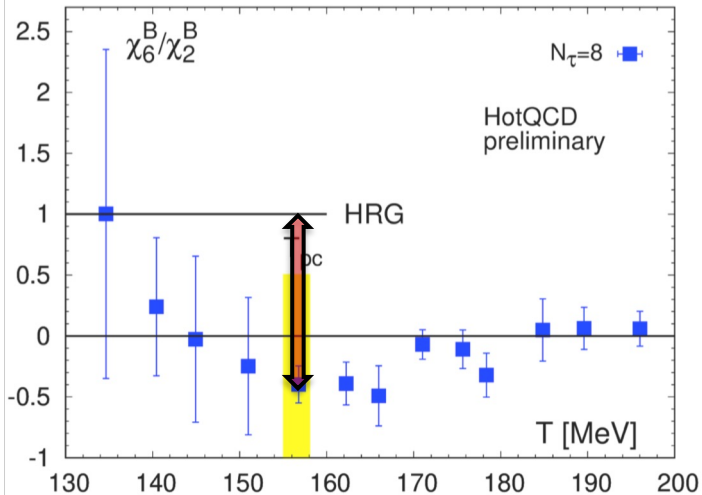


LQCD ↔ Experiment

LQCD

$$\chi_{klmn}^{BQSC} = \frac{\partial^{(k+l+m+n)} [P(\hat{\mu}_B, \hat{\mu}_Q, \hat{\mu}_S, \hat{\mu}_C) / T^4]}{\partial \hat{\mu}_B^k \partial \hat{\mu}_Q^l \partial \hat{\mu}_S^m \partial \hat{\mu}_C^n} \Big|_{\vec{\mu}=0}$$

Baryon number (**B**), Strangeness (**S**), Electric charge (**Q**), Charm (**C**)



LQCD ↔ Experiment

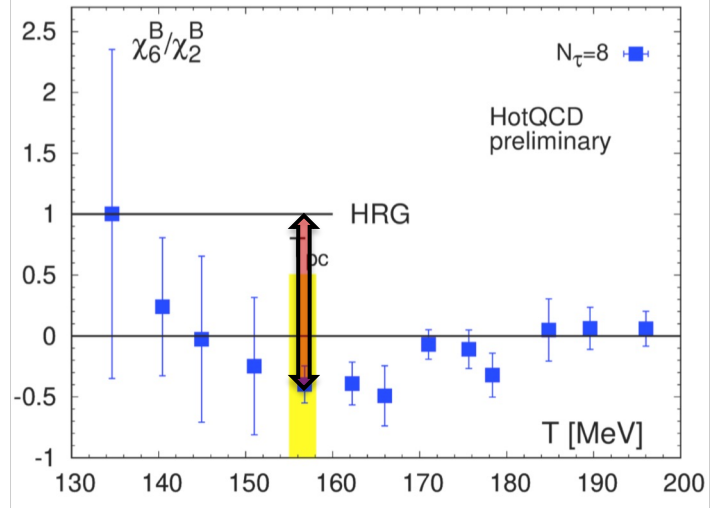
Google Translate

Detect language

LQCD

$$\chi_{klmn}^{BQSC} = \frac{\partial^{(k+l+m+n)} [P(\hat{\mu}_B, \hat{\mu}_Q, \hat{\mu}_S, \hat{\mu}_C) / T^4]}{\partial \hat{\mu}_B^k \partial \hat{\mu}_Q^l \partial \hat{\mu}_S^m \partial \hat{\mu}_C^n} \Big|_{\vec{\mu}=0}$$

Baryon number (B), Strangeness (S), Electric charge (Q), Charm (C) 0 / 5,000



EXPERIMENT

Translation

$$\chi_2^B = \frac{\kappa_2(\Delta N_B)}{VT^3} \rightarrow \frac{\kappa_4(\Delta N_B)}{\kappa_2(\Delta N_B)} = \frac{\chi_4^B}{\chi_2^B}$$

$\kappa_n \rightarrow$ cumulants of $\Delta N_B = N_B - N_{\bar{B}}$

Talk by Anar

[J. Stachel, P. Braun-Munzinger, A. Rustamov, NPA 960 \(2017\) 114–130](#)

LQCD ↔ Experiment

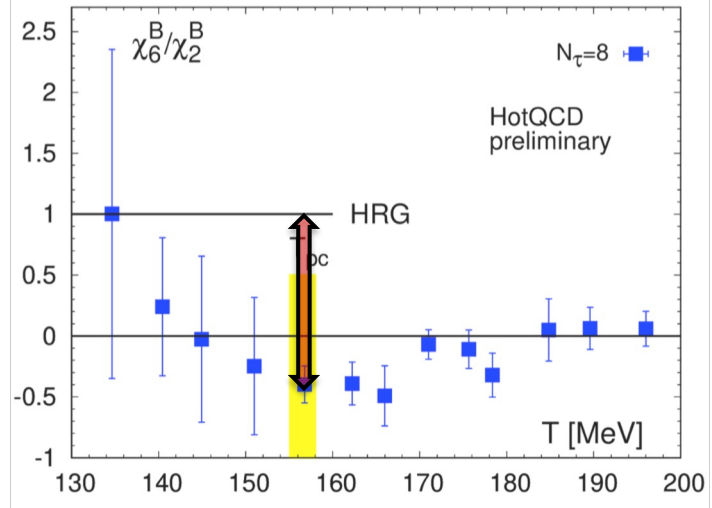
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Detect language

LQCD

$$\chi_{klmn}^{BQSC} = \frac{\partial^{(k+l+m+n)} [P(\hat{\mu}_B, \hat{\mu}_Q, \hat{\mu}_S, \hat{\mu}_C) / T^4]}{\partial \hat{\mu}_B^k \partial \hat{\mu}_Q^l \partial \hat{\mu}_S^m \partial \hat{\mu}_C^n} \Big|_{\vec{\mu}=0}$$

Baryon number (**B**), Strangeness (**S**), Electric charge (**Q**), Charm (**C**) 0 / 5,000



EXPERIMENT

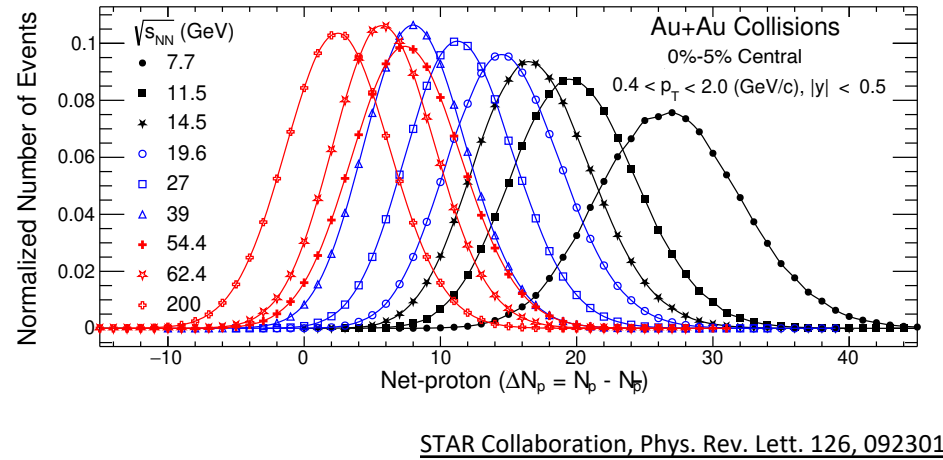
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Talk by Anar

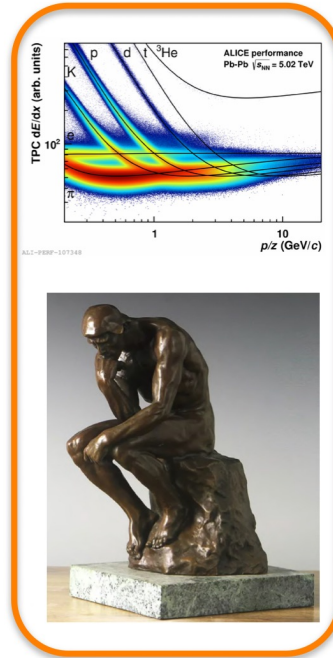
[J. Stachel, P. Braun-Munzinger, A. Rustamov, NPA 960 \(2017\) 114–130](#)



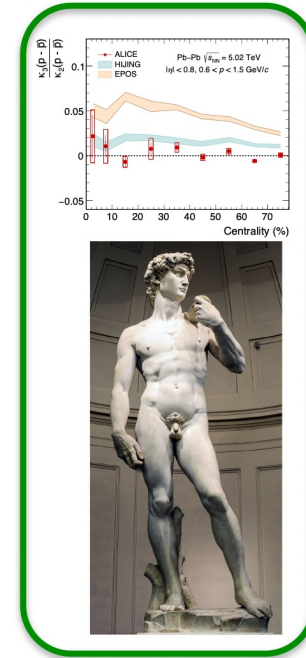
Experimental challenges



Event/track selection



dE/dx calibration and PID

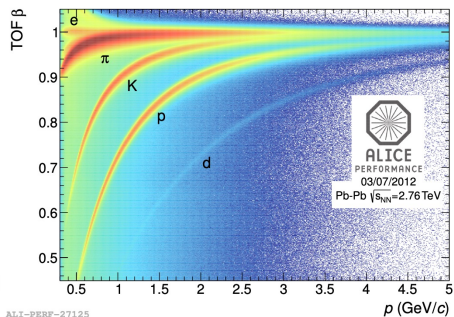
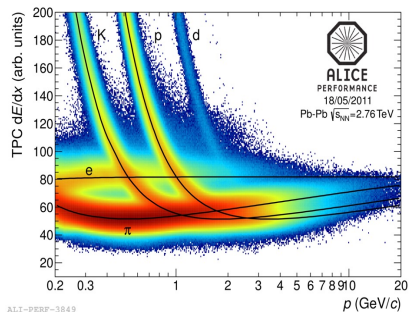
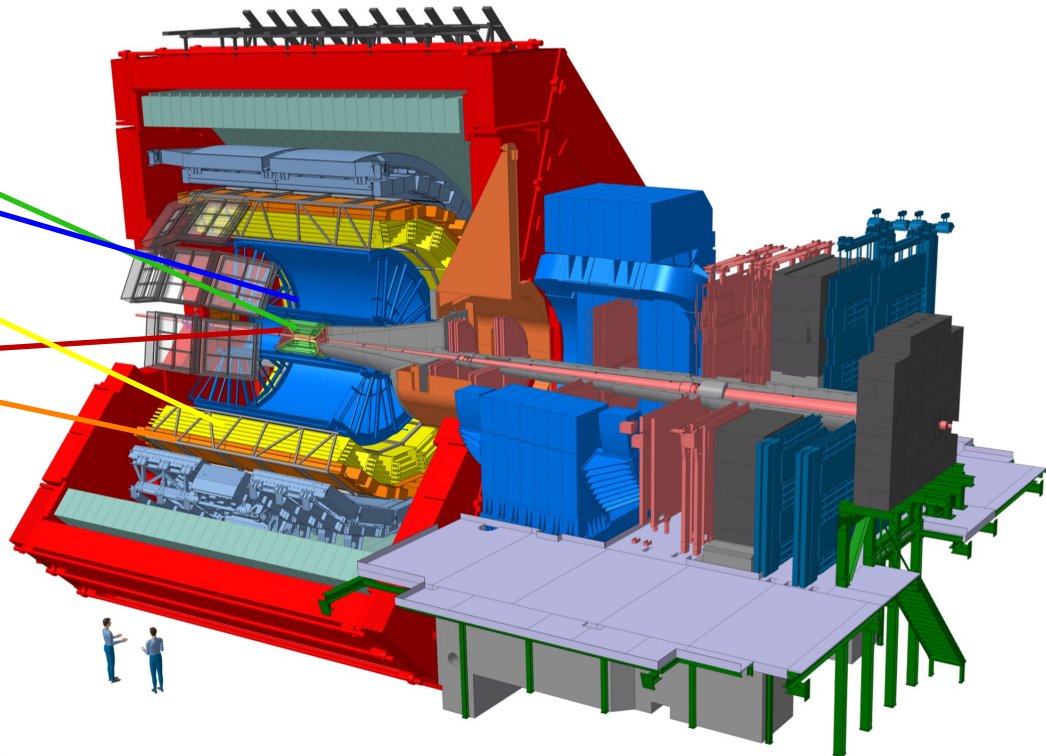


Efficiency correction

A Large Ion Collider Experiment

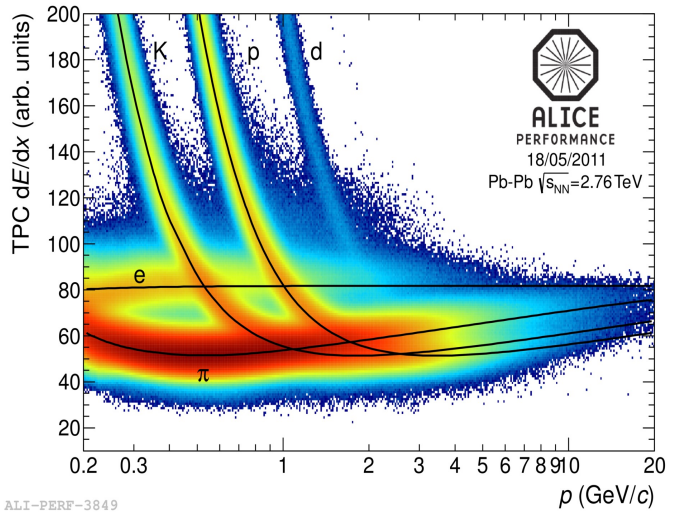
Main detectors used:

- Inner Tracking System (ITS) → Tracking and vertexing
- Time Projection Chamber (TPC) → Tracking and Particle Identification (PID)
- Transition Radiation Detector (TRD) → Tracking and PID
- Time Of Flight (TOF) → Tracking and PID
- **VO** → Centrality determination

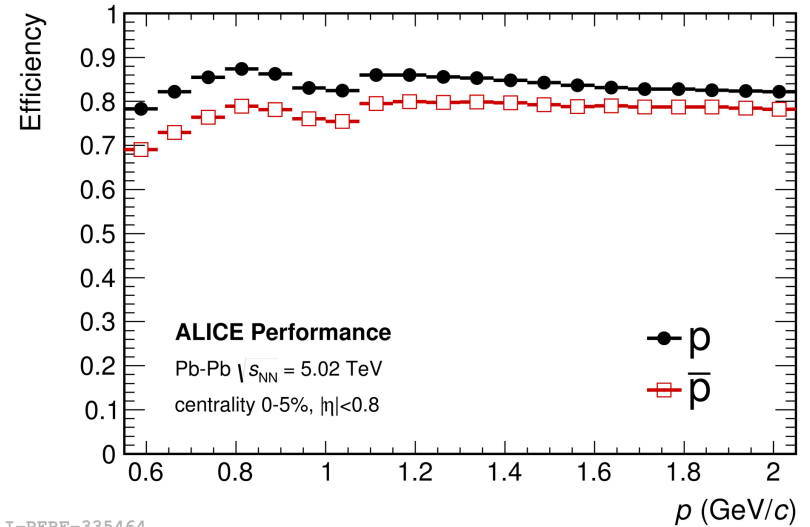


Challenge 1:
Particle identification (PID)

PID vs Efficiency

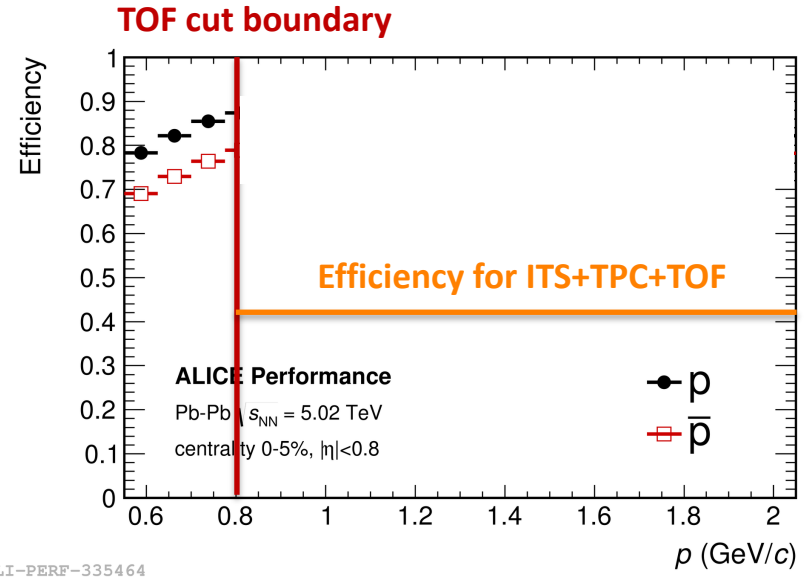
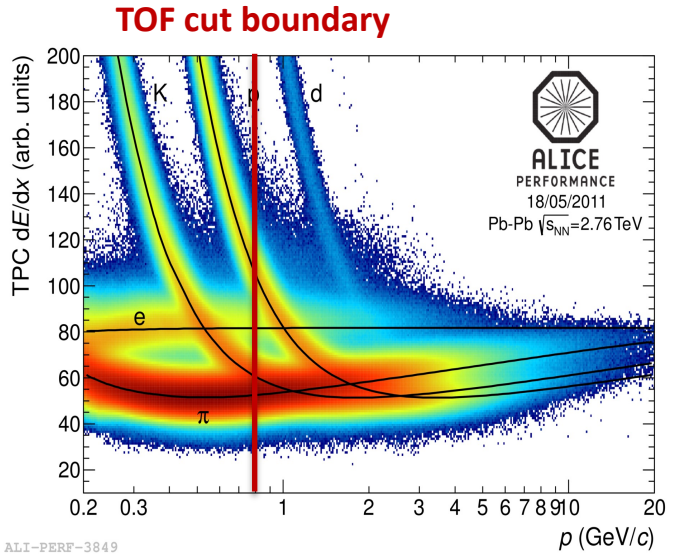


Efficiency for ITS+TPC



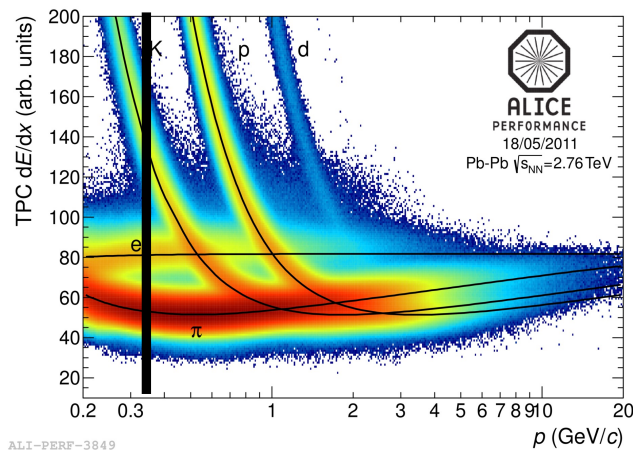
ALI-PERF-335464

PID vs Efficiency

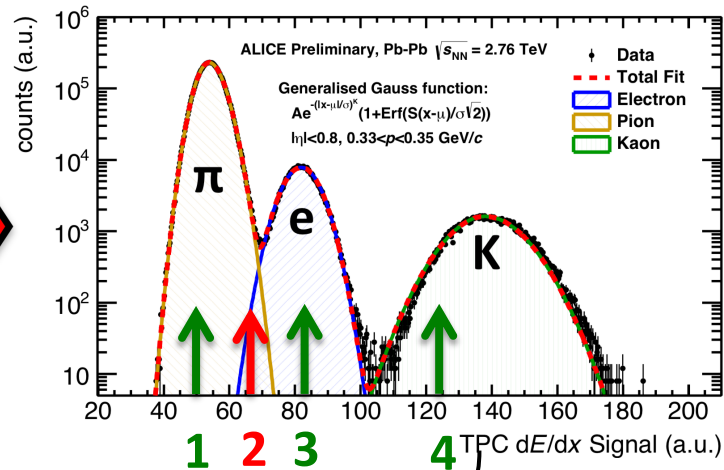


Solution: Identity Method

Cut-based approach (track counting) or **Identity method** (probability counting)



ALI-PERF-3849

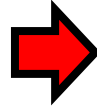
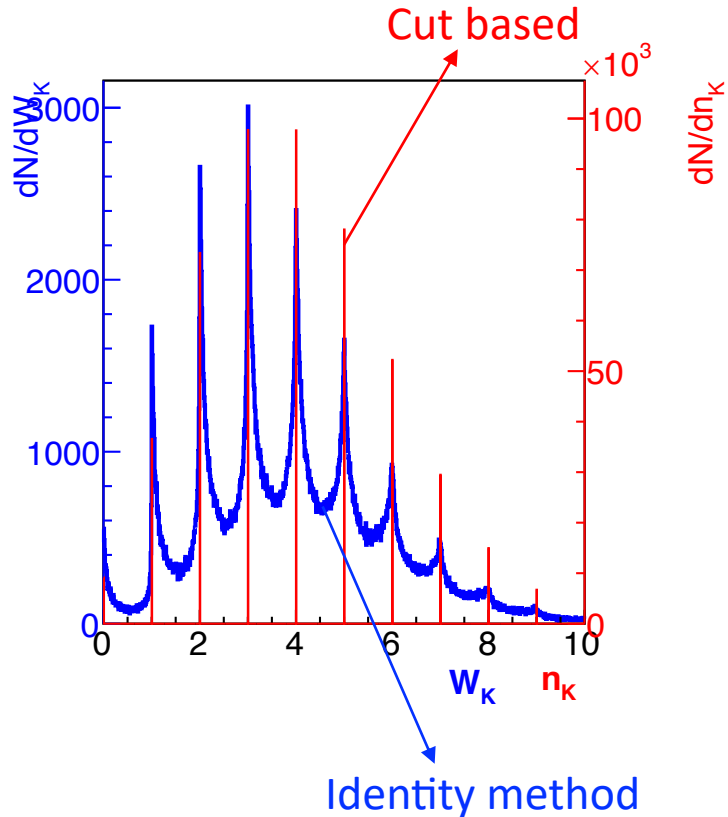


$$\omega_{\pi}^{(1)} = 1, \quad \omega_{\pi}^{(2)} \cong 0.6, \quad \omega_{\pi}^{(3)} = 0, \quad \omega_{\pi}^{(4)} = 0 \quad \Rightarrow \quad W_{\pi} = 1.6 \neq N_{\pi}$$

A. Rustamov, M. Gazdzicki, M. I. Gorenstein, PRC 86, 044906 (2012), PRC 84, 024902 (2011)

A. Rustamov, M. Arslandok, Nucl. Instrum. A946 (2019) 162622

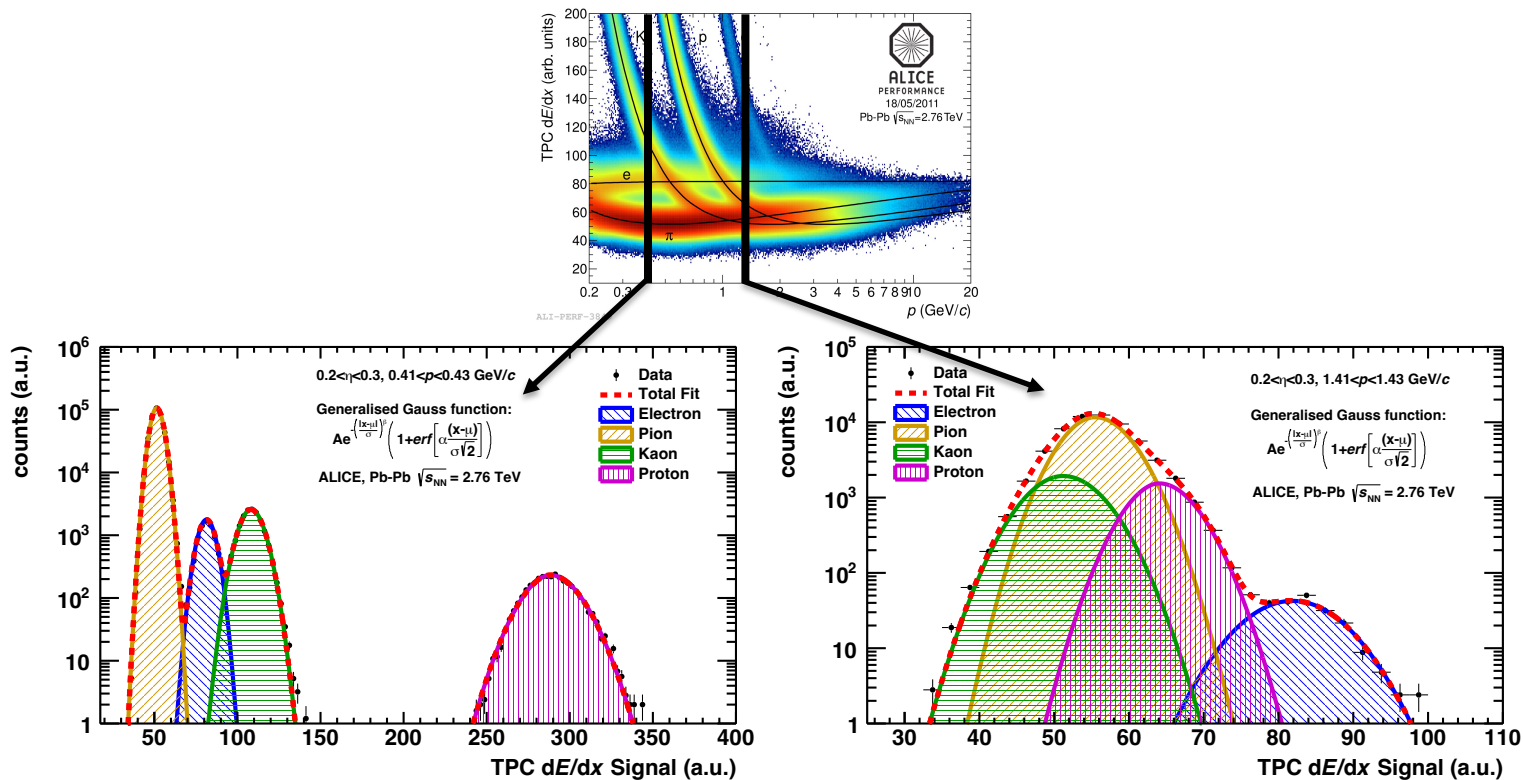
Solution: Identity Method



$$\langle N_j^n \rangle = A^{-1} \langle W_j^n \rangle$$

Challenge within Identity Method

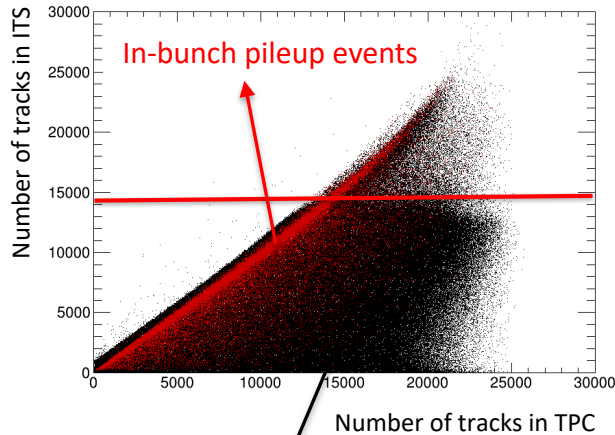
Precise description of **line shapes** → Energy loss calibration & event pileup mitigation



Energy loss calibration & event pileup

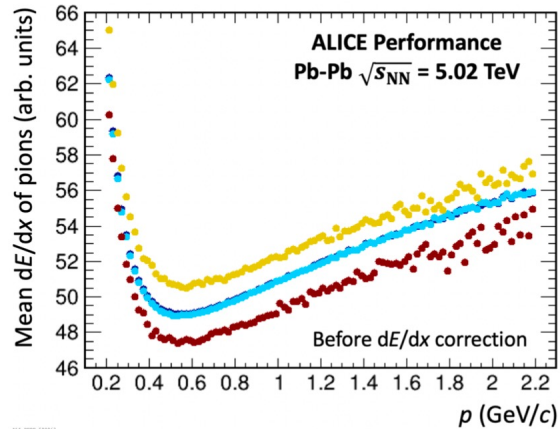
Tag pileup events and correct energy loss

Tagging

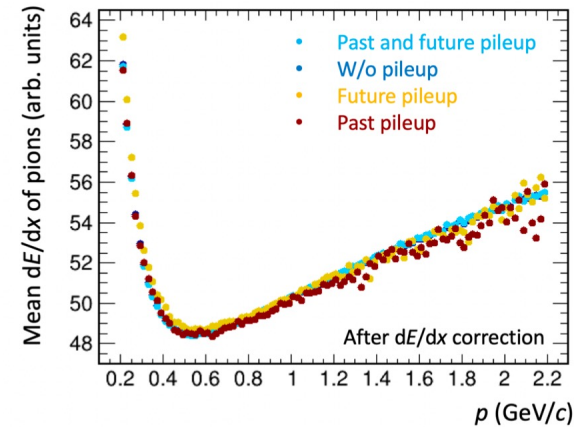


Out-of-bunch pileup events

Before correction

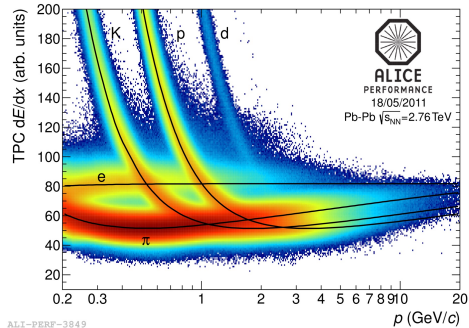


After correction

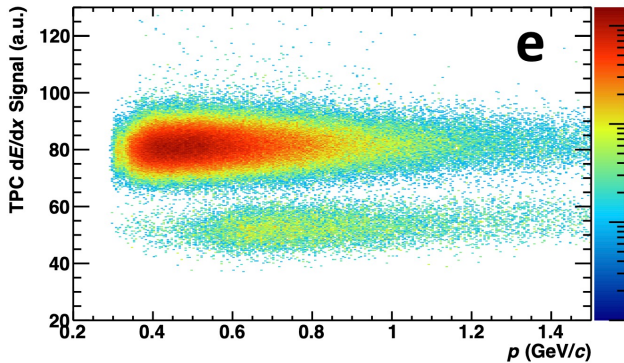


[M. Arslanodk, E. Hellbär, M. Ivanov, R.H. Münzer and J. Wiechula, Particles 2022, 5\(1\), 84-95](#)
[ALICE TPC Collaboration JINST 19 \(2024\) P02038](#)

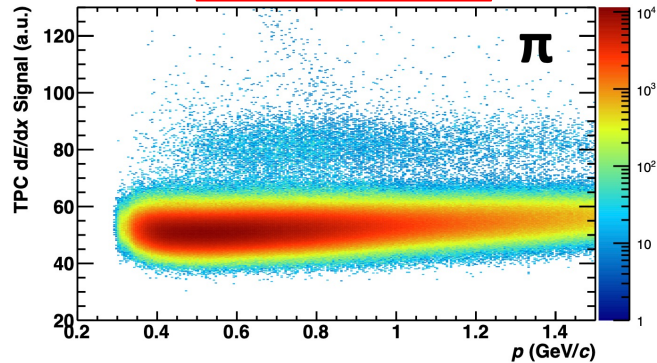
Line shapes



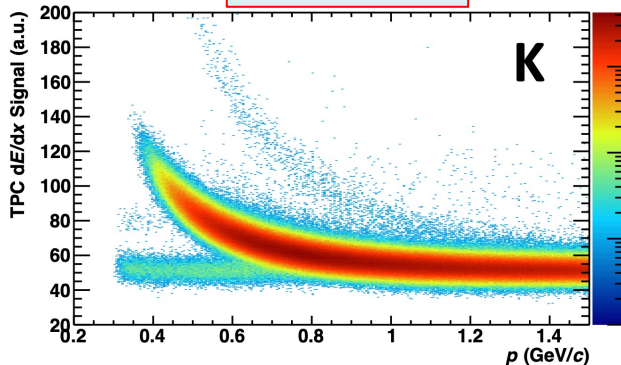
$$\gamma \rightarrow e^+e^-$$



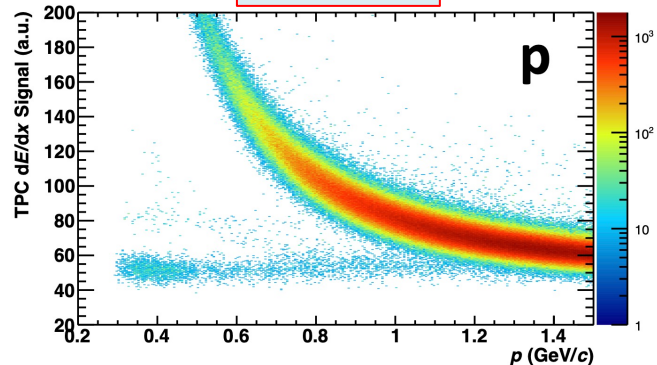
$$K_S^0 \rightarrow \pi^+\pi^-$$



$$\text{TOF + TRD}$$



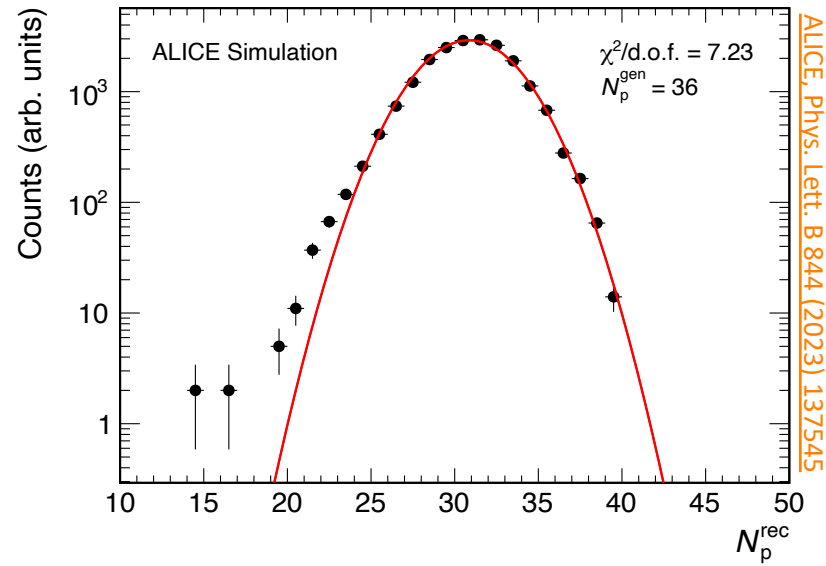
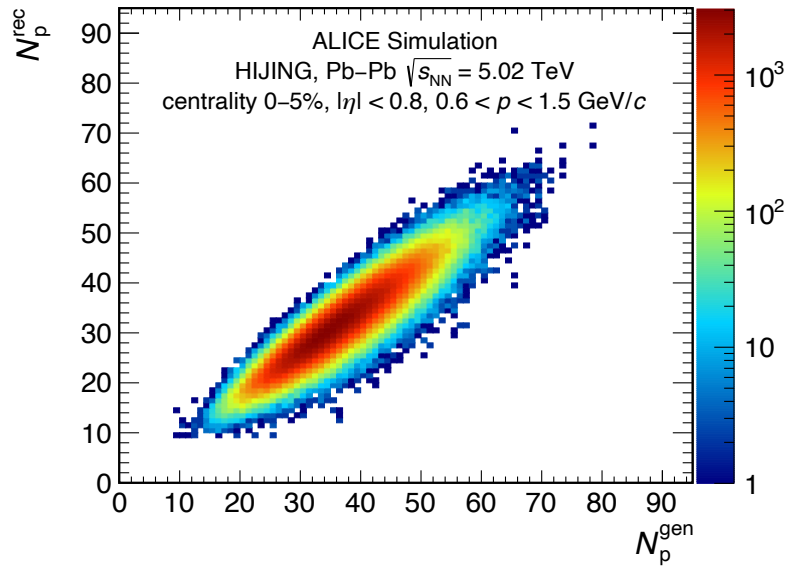
$$\Lambda \rightarrow \pi p$$



Challenge 2:
Efficiency correction

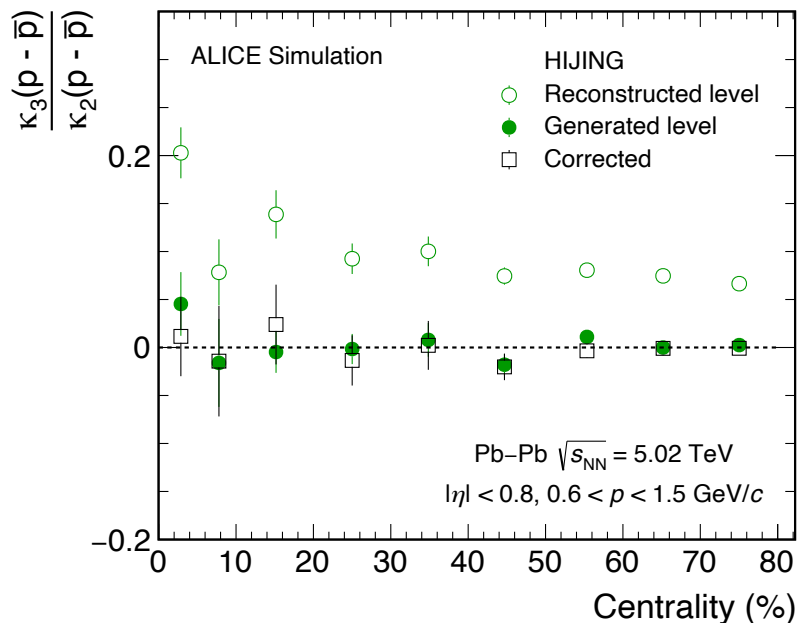
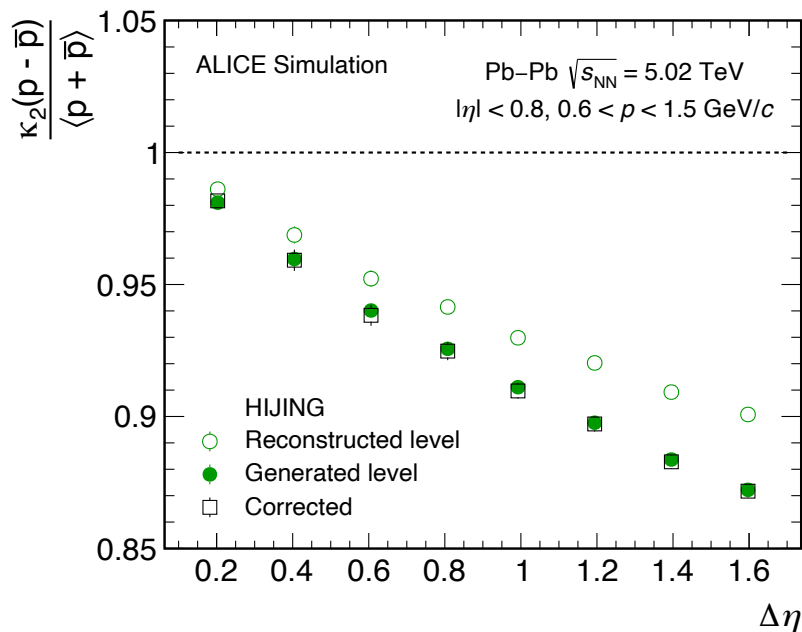
Challenge 2: Efficiency correction

Binomiality of the detector response is important for the efficiency correction



ALICE, Phys. Lett. B 844 (2023) 137545

Challenge 2: Efficiency correction



ALICE, Phys. Lett. B 844 (2023) 137545

Very good closure despite the slight deviation from binomial loss

Efficiency correction with binomial assumption:

T. Nonaka, M. Kitazawa, S. Esumi, Phys. Rev. C 95, 064912 (2017)

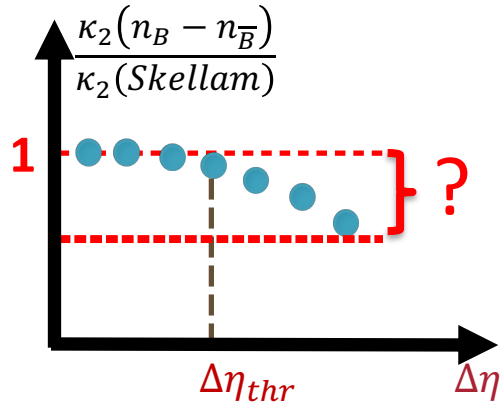
Adam Bzdak, Volker Koch, Phys. Rev. C 86, 044904 (2012)

Challenge 3:

Establishing a non-critical baseline

How to interpret the data?

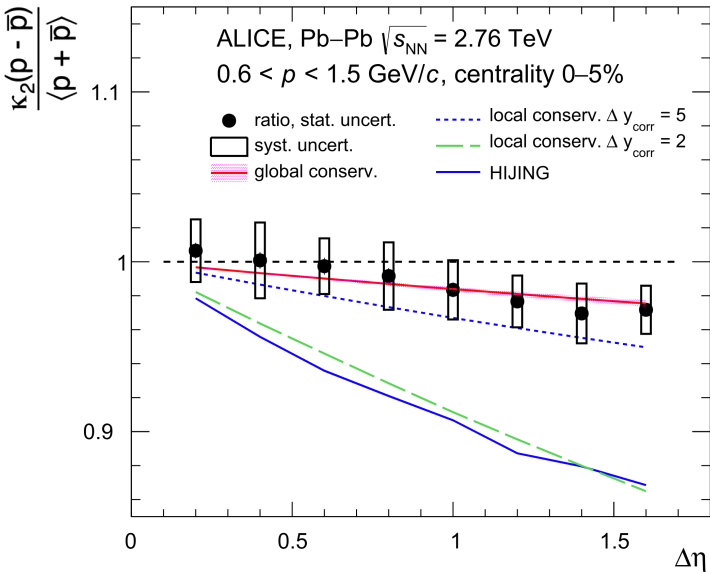
Source of the deviation?



- Baryon number conservation
- Volume fluctuations
- Resonance decays
- Initial-state fluctuations
- ...

How to interpret the data?

Source of the deviation?

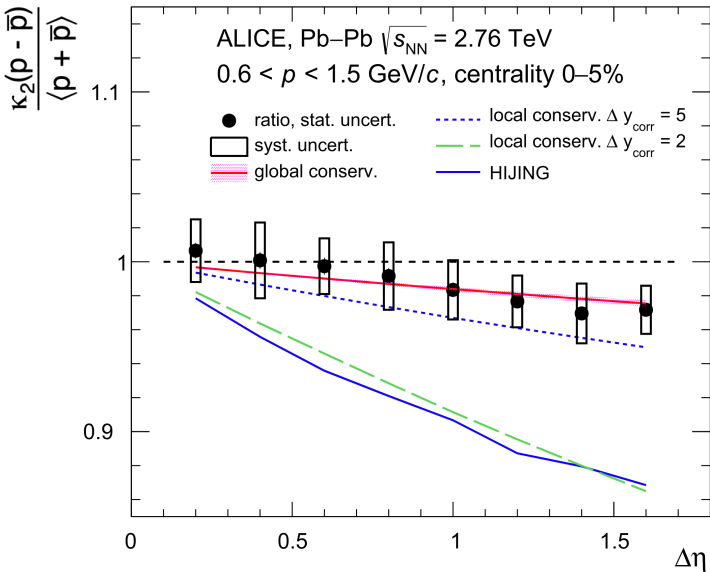


[ALICE Coll., Phys. Lett. B 807 \(2020\) 135564](#)

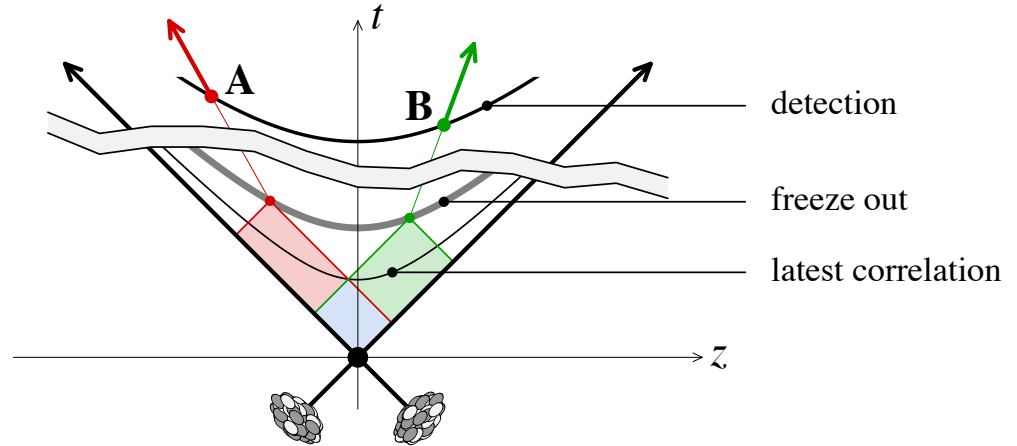
[J. Stachel, P. Braun-Munzinger, A. Rustamov, NPA 960 \(2017\) 114–130](#)

Correlation length

Source of the deviation?



Only early correlations can be long range in rapidity



$$\tau \leq \tau_{\text{freeze out}} e^{-\frac{1}{2}|y_A - y_B|}$$

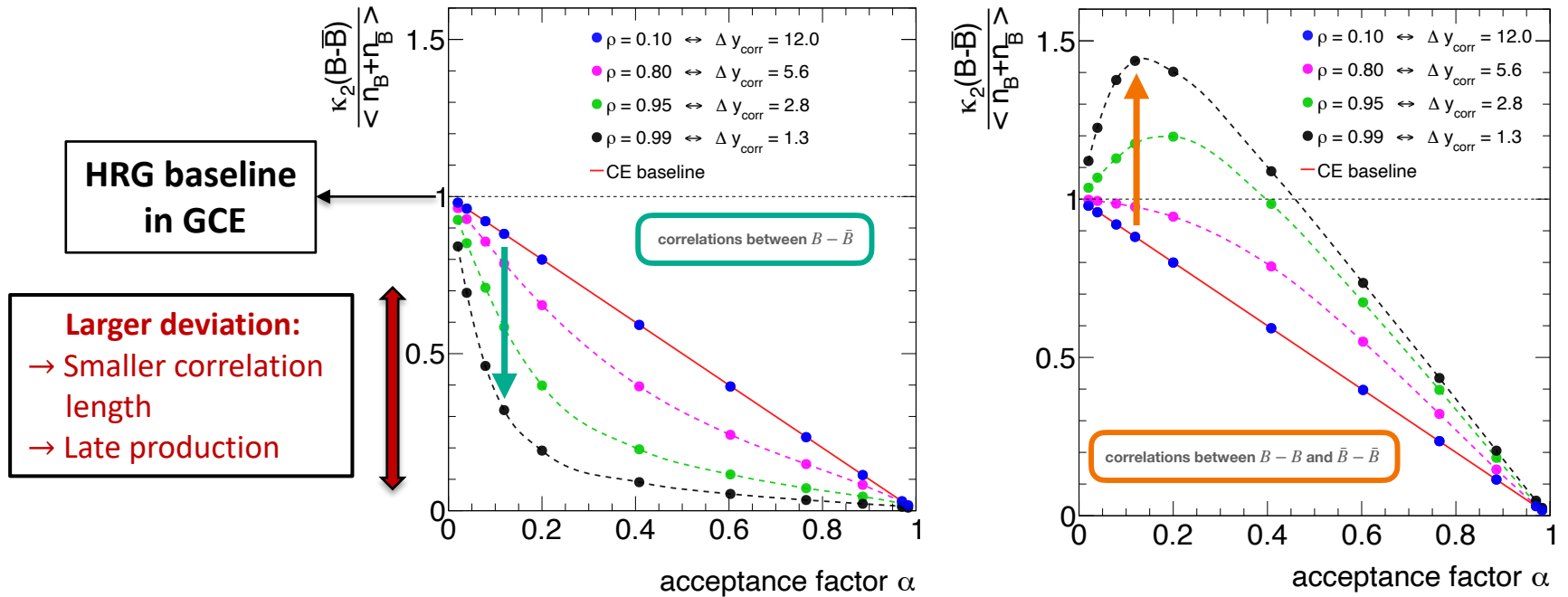
ALICE Coll., Phys. Lett. B 807 (2020) 135564

J. Stachel, P. Braun-Munzinger, A. Rustamov, NPA 960 (2017) 114–130

A. Dumitru, F. Gelis, L. McLerran, and R. Venugopalan, Nucl. Phys. A 810 (2008) 91

Baryon number conservation & cluster formation

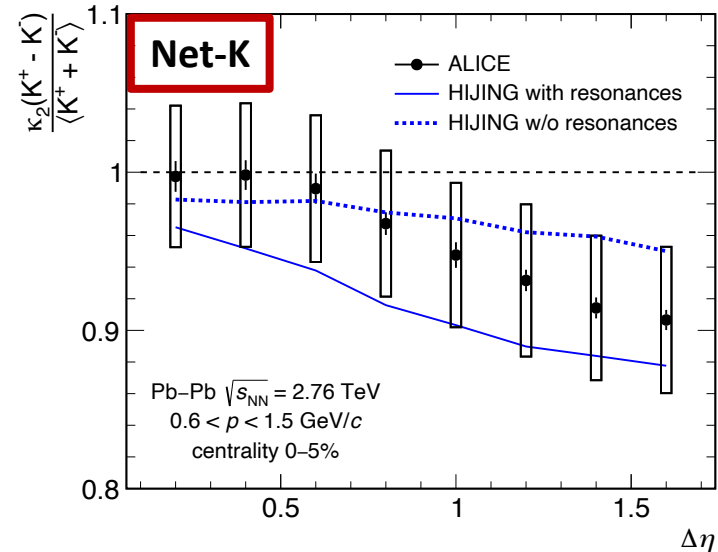
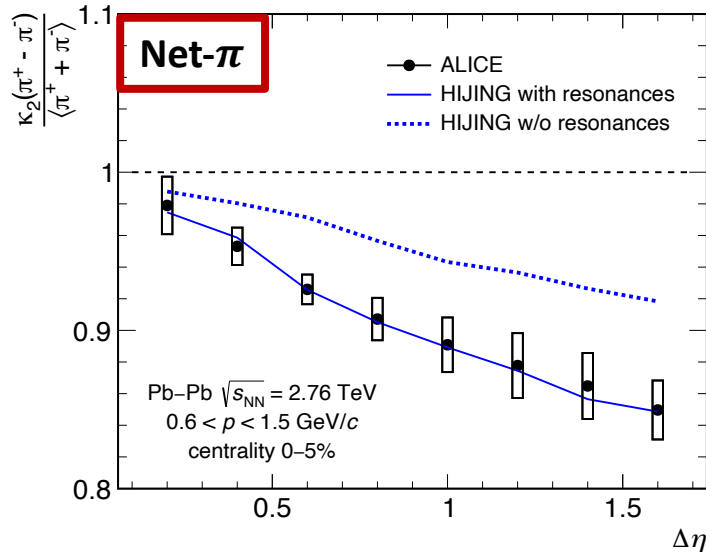
J. Stachel, P. Braun-Munzinger, K. Redlich, A. Rustamov, *JHEP* 08 (2024) 113



- Measured values depend on the fraction of (anti-)protons in the acceptance
- (Global) local baryon number conservation: **unlike-sign correlations**
- (Anti-)proton clusters: **like-sign correlations**

Talk by Anar

Resonance decays



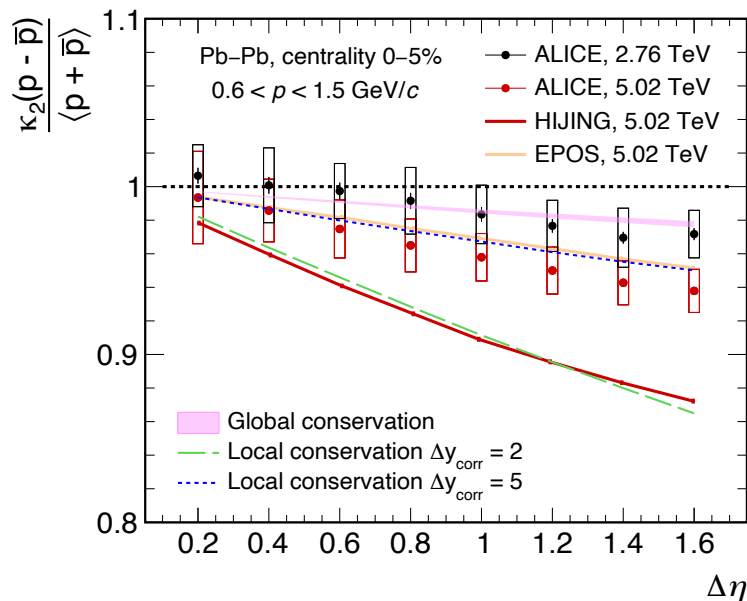
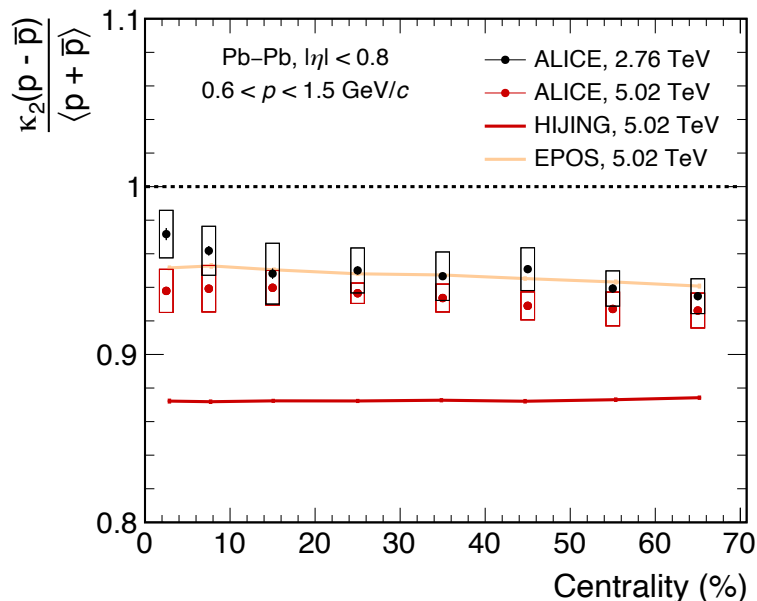
ALICE, Phys. Lett. B 844 (2023) 137545

- **Net- π and net-K** are strongly dominated by resonance contributions
- **Net-p** is free from resonance contributions
 - **Isospin randomization**, at $\sqrt{s_{NN}} > 10$ GeV: **net-B \leftrightarrow net-p**
 - (M. Kitazawa, and M. Asakawa, Phys. Rev. C 86, 024904 (2012))

Results:

2nd and 3rd order cumulants of net-p

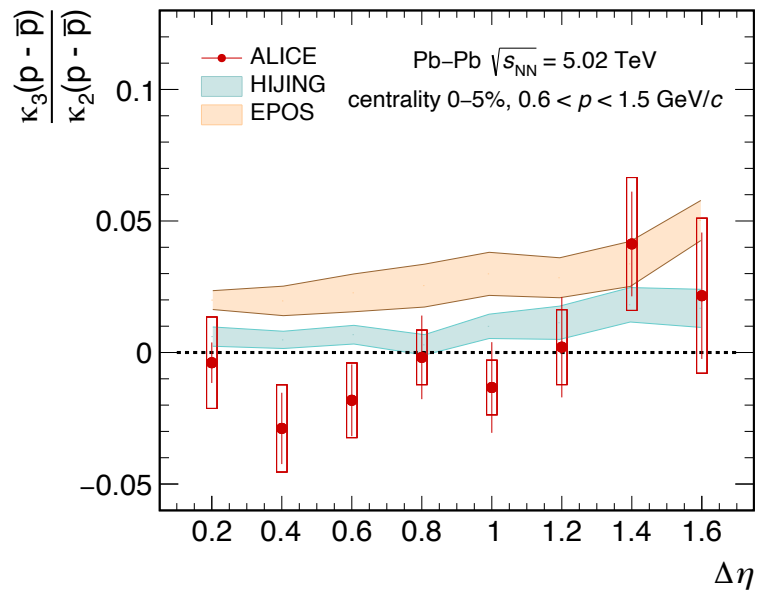
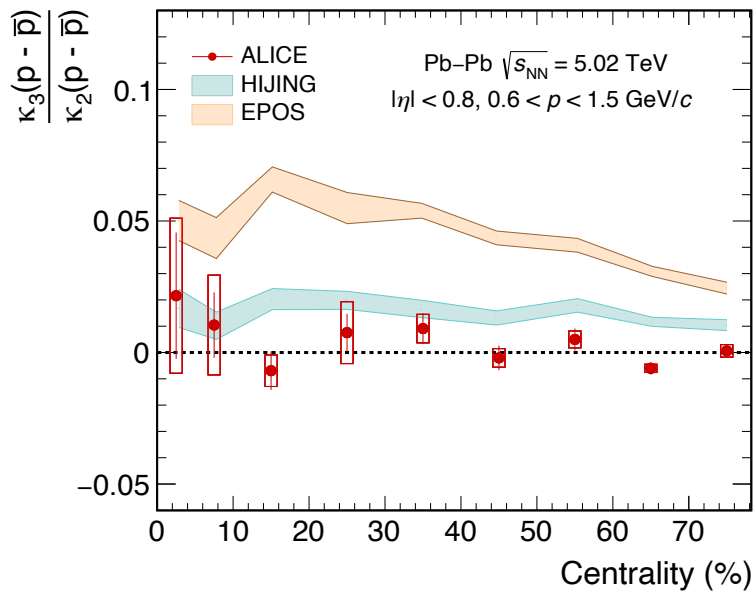
2nd order cumulants of net-p



ALICE, Phys. Lett. B 844 (2023) 137545

- Deviation from Skellam baseline is due to **baryon number conservation**
- ALICE data suggest **long range correlations**, $\Delta y = \pm 2.5$ unit or longer → **earlier in time**
 A. Dumitru, F. Gelis, L. McLerran, and R. Venugopalan, *Nucl. Phys. A* 810 (2008) 91
- Event generators based on **string fragmentation (HIJING)** conserve baryon number over $\Delta y = \pm 1$ unit

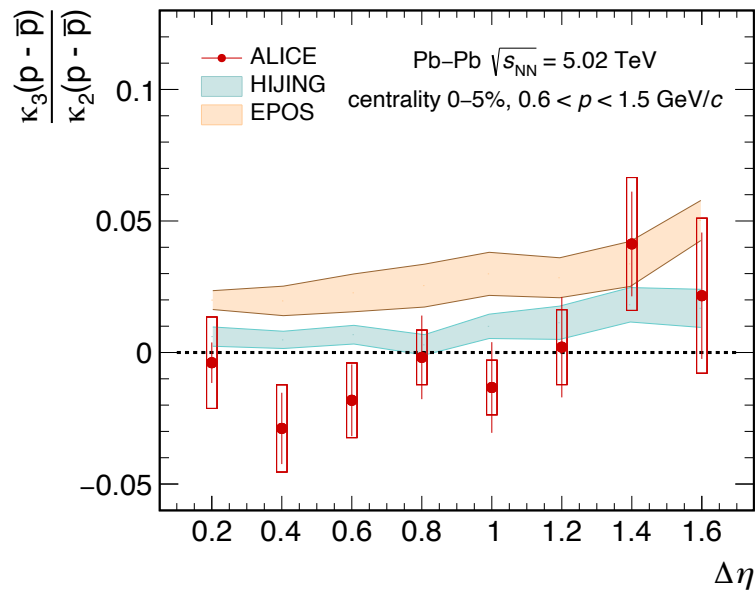
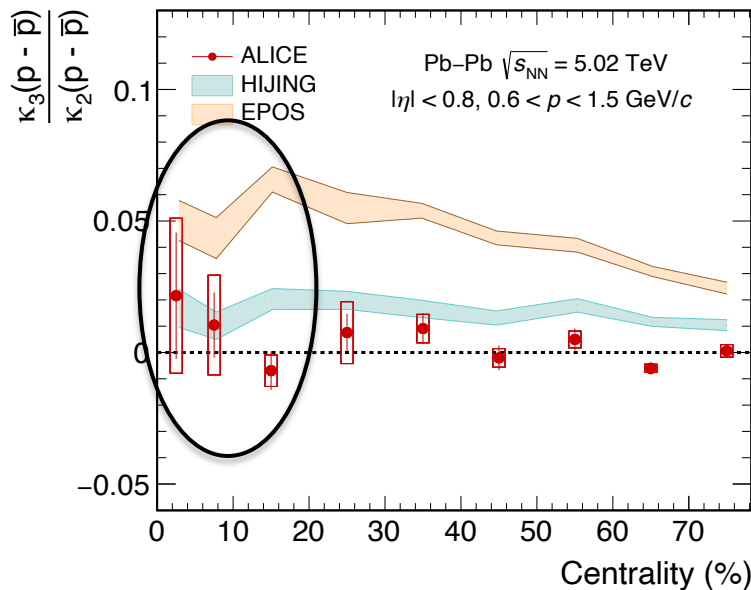
3rd order cumulants of net-p



ALICE, Phys. Lett. B 844 (2023) 137545

- **Data agree with Skellam baseline "0"** → μ_B is very close to 0 ([ALICE Collaboration, PRL. 133 \(2024\) 9, 092301](#))

3rd order cumulants of net-p



ALICE, Phys. Lett. B 844 (2023) 137545

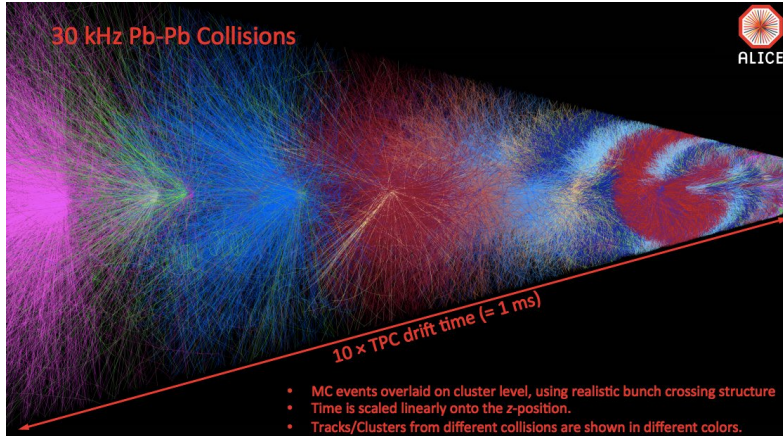
- **Data agree with Skellam baseline "0"** → μ_B is very close to 0 ([ALICE Collaboration, PRL. 133 \(2024\) 9, 092301](#))
- **EPOS and HIJING deviate from "0"**
 - They conserve global charge but $\mathbf{p/\bar{p}}$ deviates from unity: 1.025 ± 0.004 (EPOS), 1.008 ± 0.002 (HIJING)
 - **Volume fluctuations** for 2nd and 3rd order cumulants are not negligible

The quest continues

(ALICE [2,3])

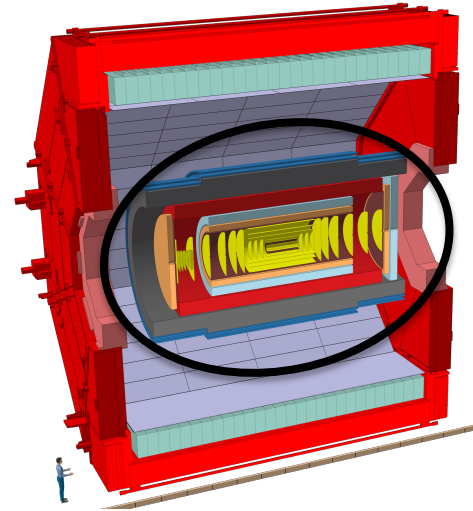
Future of ALICE

ALICE 2 (2022-2030)



- ✓ **Continuous readout:**
 - ~ 50kHz Pb-Pb min. bias
 - ~ 5 pileup events within the TPC
- ✓ **Improved vertexing**
- ✓ **High tracking efficiency at low p_T**

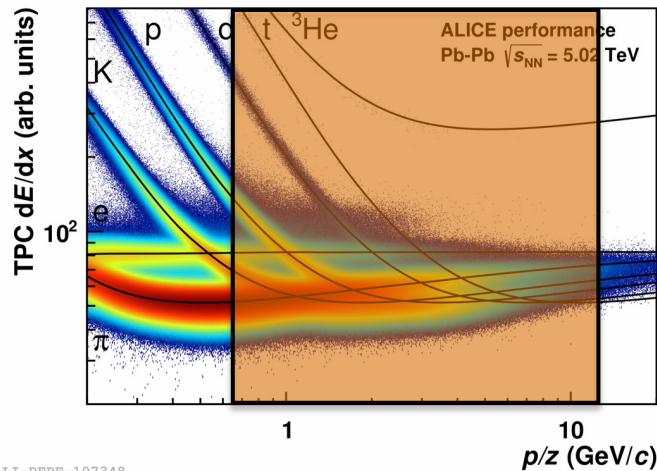
ALICE 3 (beyond early 2030s)



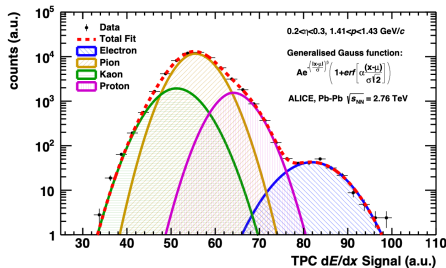
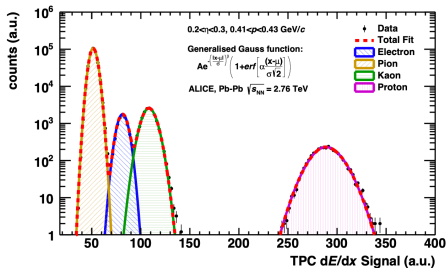
- ✓ **High statistics** → O (10^9) billion events
- ✓ **Large acceptance** → $|\eta| < 4$
- ✓ **High PID purity** → $0.3 < p_T < 10$ GeV/c
- ✓ **High efficiency** → ~95%
- ✓ **Excellent vertexing** → O ($5\mu\text{m}$) resolution

Identity Method in ALICE 3: Purity in PID

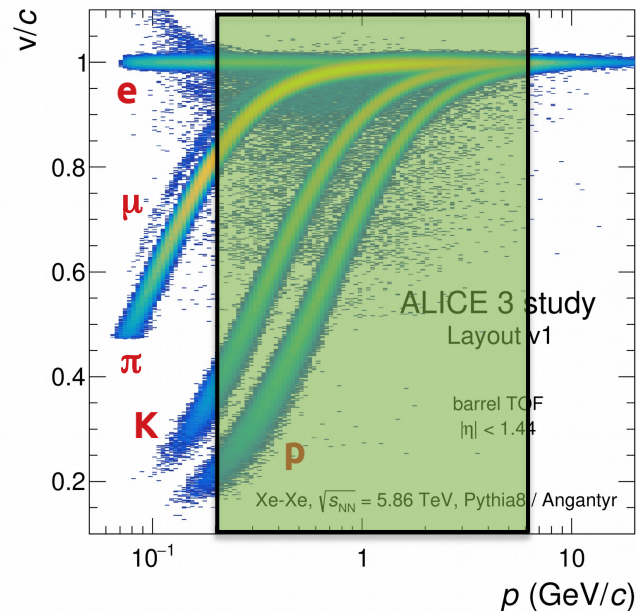
ALICE 1-2



ALI-PERF-107348



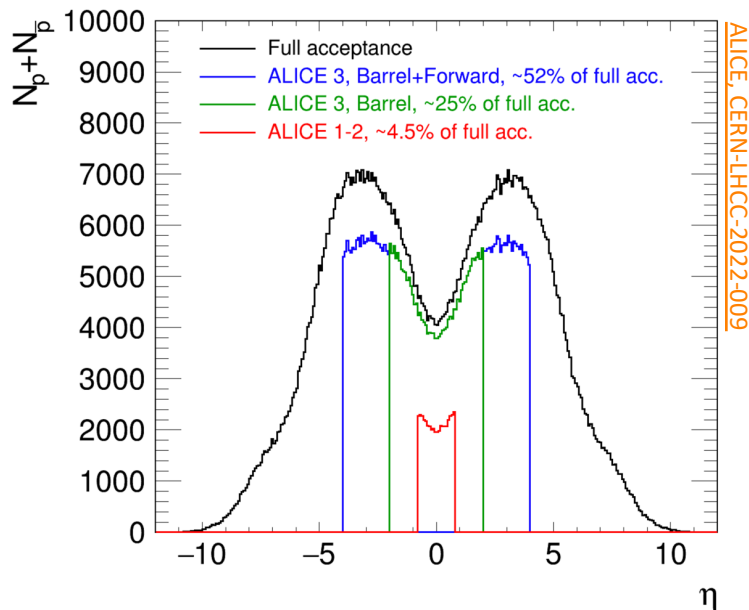
ALICE 3



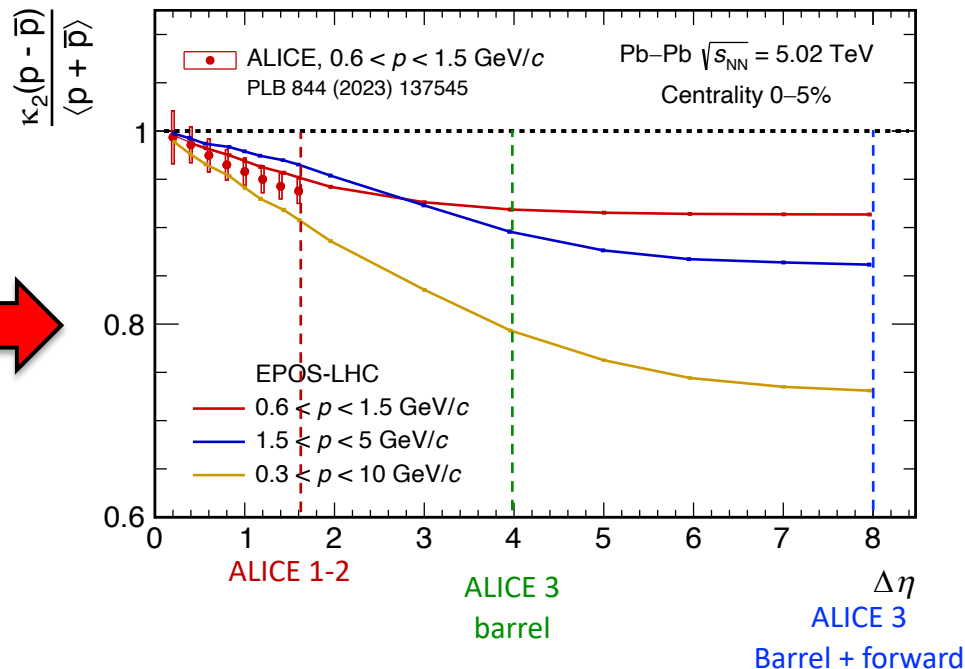
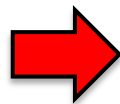
ALI-SIMUL-491825

- $0.3 < p < \sim 7$ GeV/c
- No full overlap of the TOF signal

2nd order cumulants of net-p in ALICE 3

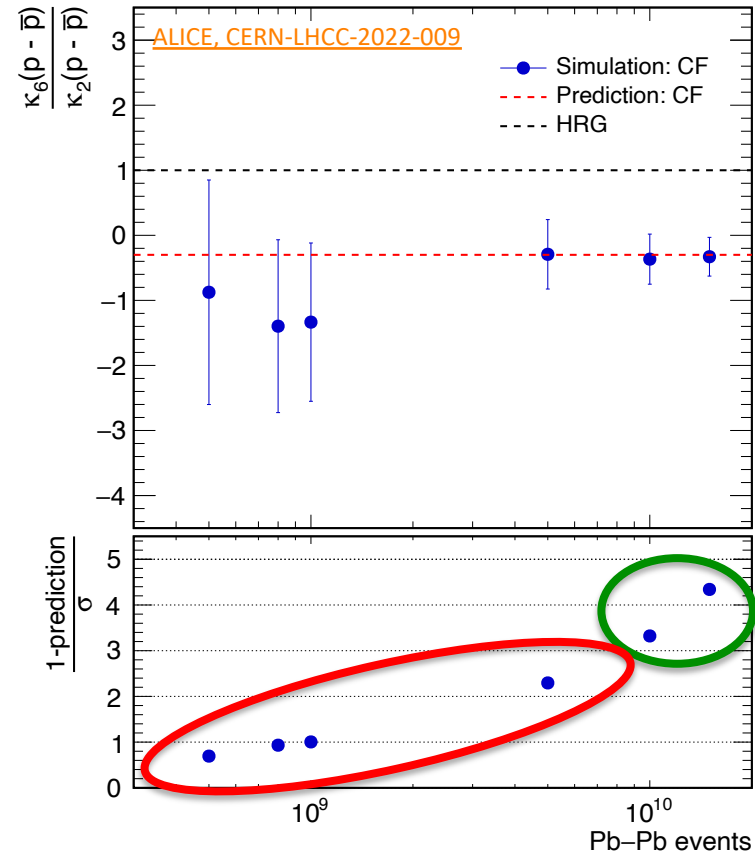


- **High PID purity and efficiency within a larger acceptance**
($0.3 < p < 10$ GeV/c, $|\eta| < 4$)



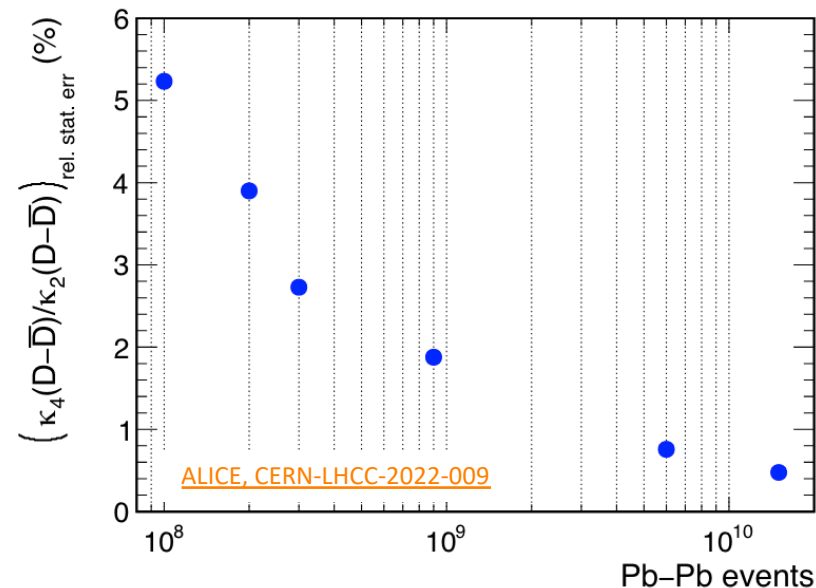
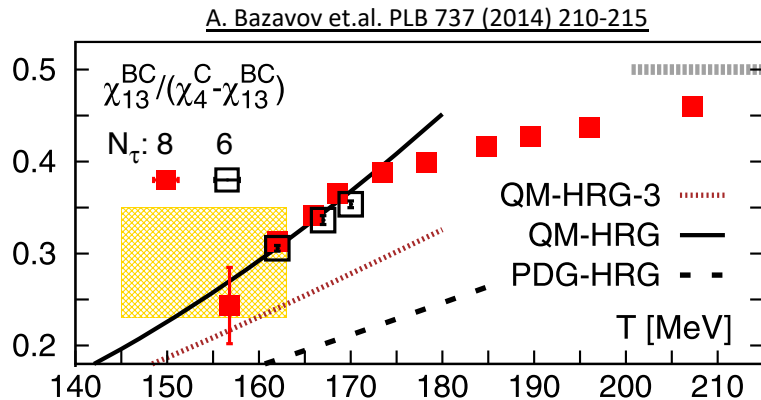
- **More differential and high precision to disentangle:**
Thermal blurring, Initial-state fluctuations, baryon annihilation, excluded volume effects, baryon number conservation ...

Criticality search in ALICE 2 and 3



- Simulation of the Critical Fluctuations (CF) is based on PQM model
G. A. Almasi, B. Friman, and K. Redlich, Phys. Rev.D96 (2017), 014027
- **ALICE 2:**
→ More than 5 billion central Pb-Pb collisions is required
- **ALICE 3:**
→ **x3 larger statistics:** >4 σ significance with ALICE 2 acceptance

Completely new net-charm fluctuations



- **2nd order** → **Correlation length of charm**
- **4th order** → Close to T_{pc} charmed baryon fluctuations are about 50% larger than expected in a HRG based on known charmed baryon resonances (PDG-HRG) → **missing states of QCD**

Summary

ALICE 1 (2010-2018)

- **LQCD expectations** → agreement up to 3rd order
- **Large correlation volume** → B and S correlation come from early times
- **Lund-based models** → describe 1st order but fail in 2nd for both B and S

ALICE 2-3 (2023-203?)

- **4th order** cumulants of net-B are in progress
- **Net B and S:** Criticality search at **6th and higher order cumulants**
- **Net C:** correlation length in charm sector
- **High precision and more differential:** Constraining individual dynamic signals
 - Thermal blurring, Initial-state fluctuations, Baryon annihilation, Excluded volume effects, Baryon number conservation ...
- ...