

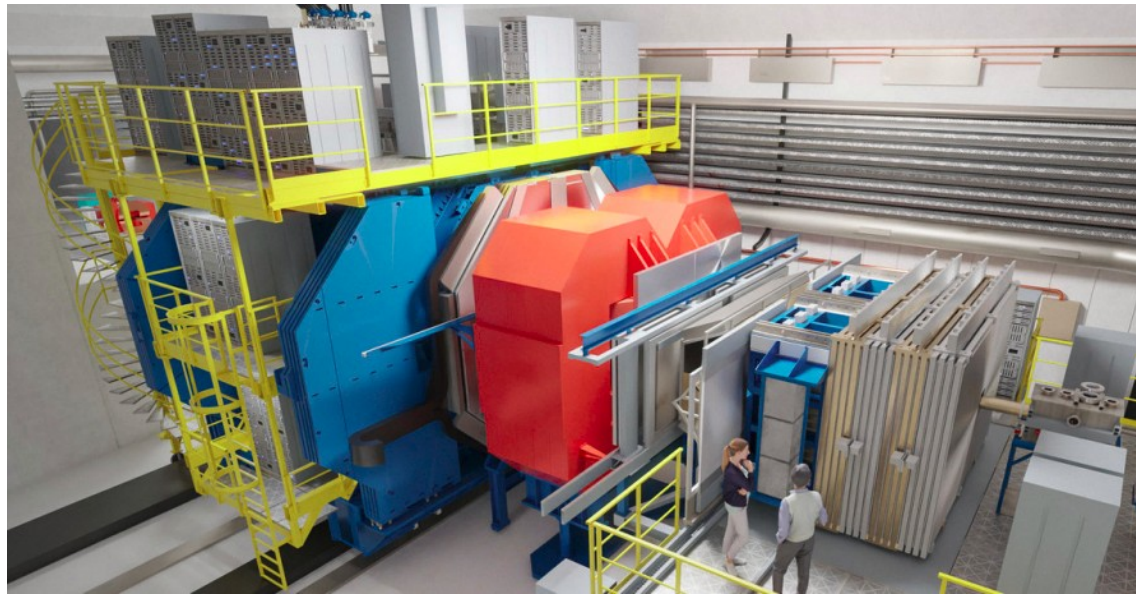


Status of the PANDA DIRC Detectors

Albert Lehmann (Universität Erlangen-Nürnberg)
for the PANDA Cherenkov Group



- Introduction
- Status of Endcap Disc DIRC
- Status of Barrel DIRC
 - Design and prototype tests
 - Current activities with various components
 - Status of bar and sensor series production
- Summary and outlook

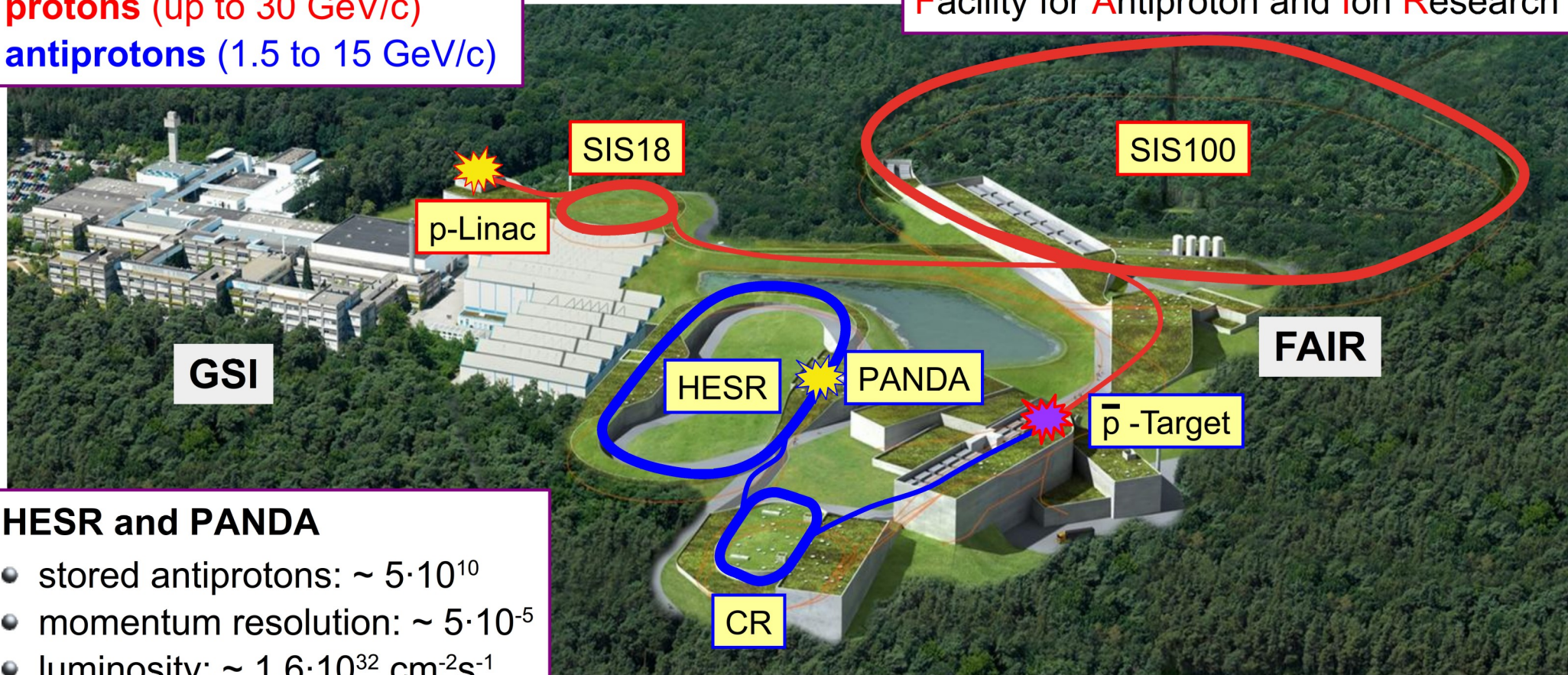




FAIR and HESR/PANDA at GSI

protons (up to 30 GeV/c)
antiprotons (1.5 to 15 GeV/c)

Facility for **A**ntiproton and **I**on **R**esearch



HESR and PANDA

- stored antiprotons: $\sim 5 \cdot 10^{10}$
- momentum resolution: $\sim 5 \cdot 10^{-5}$
- luminosity: $\sim 1.6 \cdot 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$



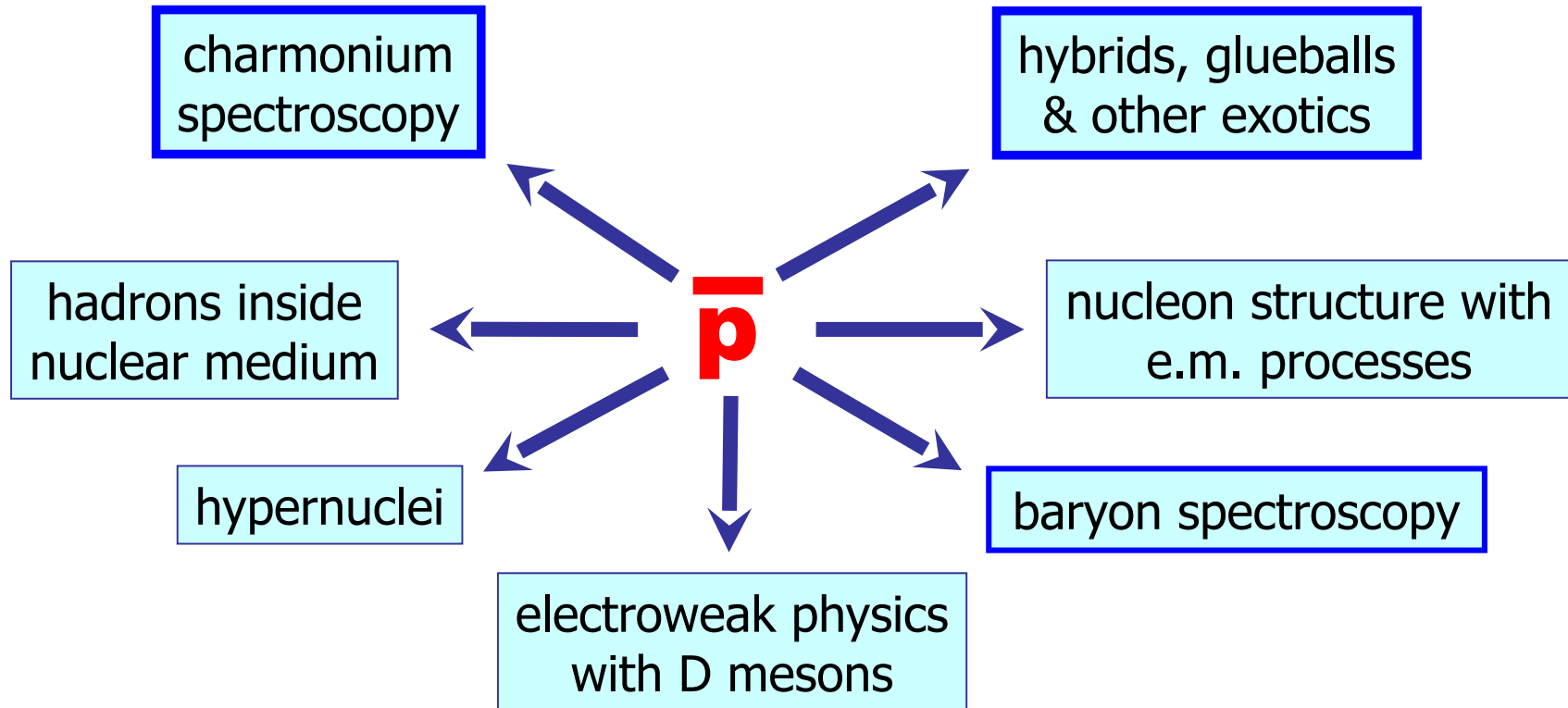
FAIR and HESR/PANDA at GSI

Drone image of the FAIR construction site (09/2024)





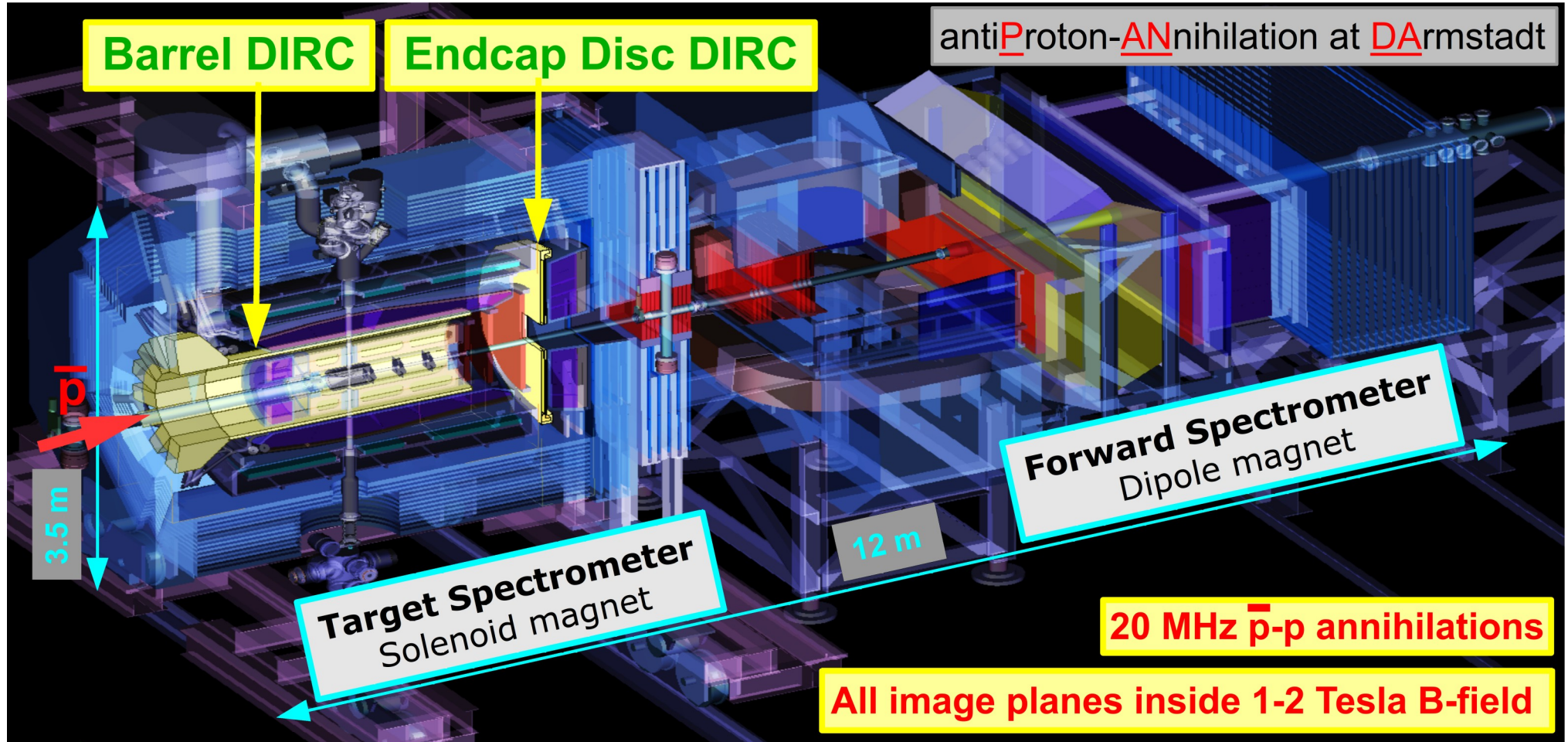
PANDA Physics Program



Excellent PID required, in particular π/K separation



PANDA Detector at FAIR



DIRC Principle

- **Radiator = light guide**

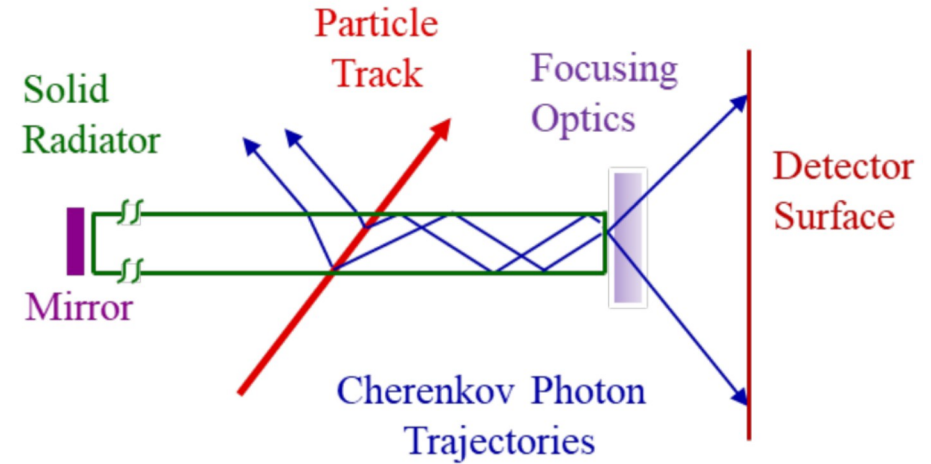
- $\beta \approx 1$ tracks and refractive index $n > \sqrt{2}$
→ some photons are always reflected internally
- Fulfilled by synthetic fused silica (Quartz)
- **Bar, plate or disc**

- **Cherenkov photons**

- Generated by charged particles with $\beta > 1/n$ on a cone with a half opening angle $\cos \theta_c = 1/n\beta$
- **Cherenkov angle must be maintained after many total reflections along radiator**
→ requires highly polished optical surfaces that are exactly perpendicular and parallel
- Reach image plane directly or via mirror reflection using focusing optics and expansion volume

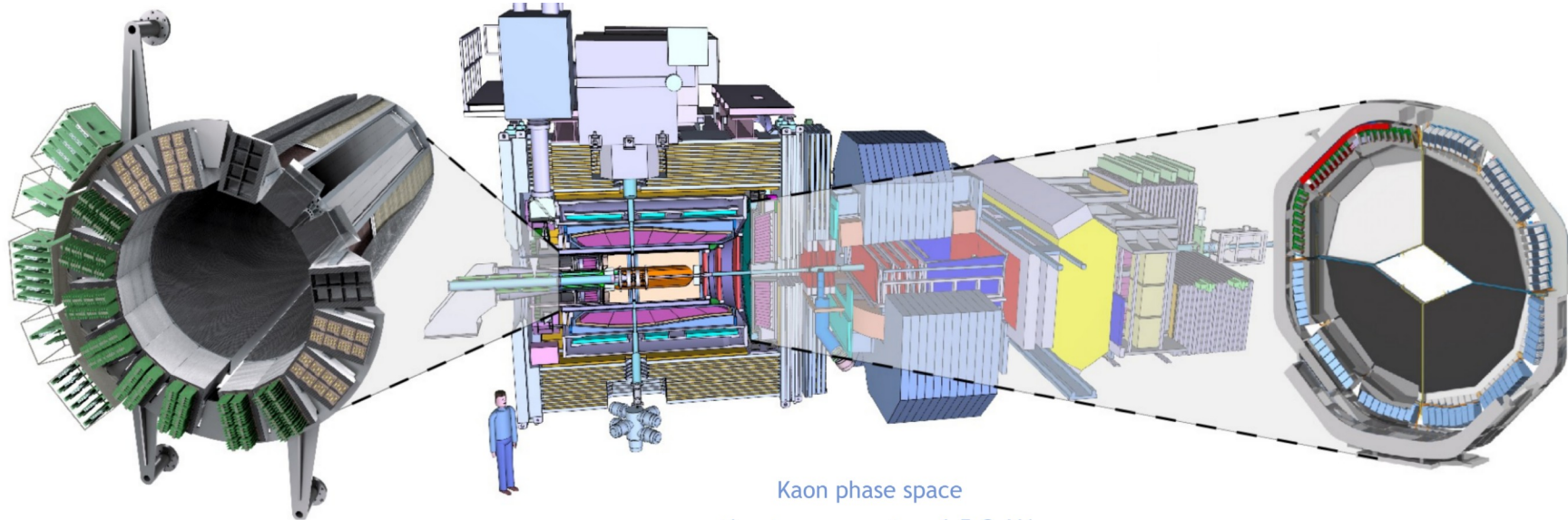
- **Image plane = detector surface**

- Multi-pixel array of photon detectors
- **3D-measurement of x, y, and time of Cherenkov photons** corresponding to θ_c , ϕ_c and t_{top}
- Used to calculate PID likelihoods



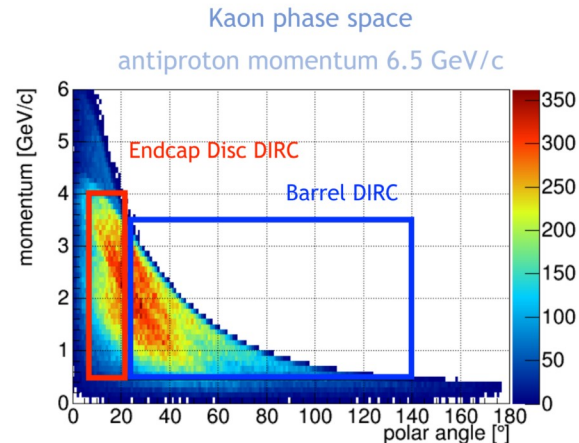


PANDA DIRC Detectors



Barrel DIRC

- Optimized design compared to BaBar DIRC
- Lens focusing + prism expansion volume
- 16 independent sectors
- Multi-anode microchannel-plate PMTs
- PID goal: 3σ π/K separation up to 3.5 GeV/c for a polar angle range from **22° to 140°**

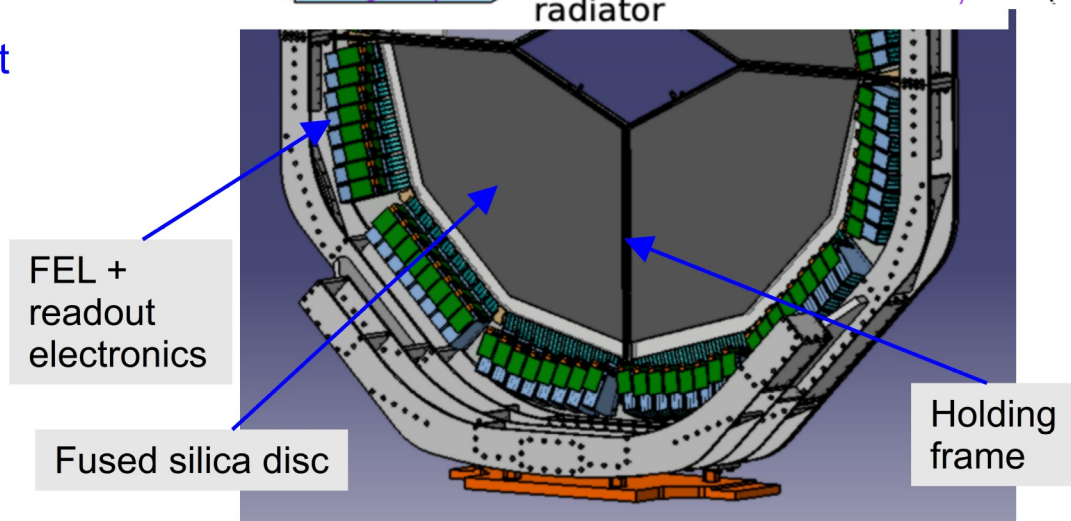
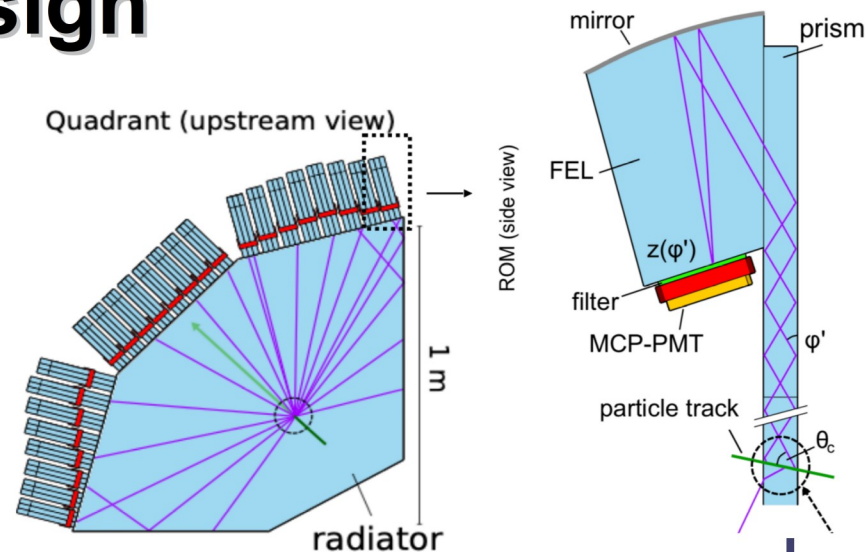


Endcap Disc DIRC

- Novel disc-shaped DIRC design
- Special focusing elements (FEL)
- MCP-PMTs with high pixel density
- PID goal: 3σ π/K separation up to 4 GeV/c for a polar angle range from **5° to 22°**

Endcap Disc DIRC -- Design

- Radiator disc (dodecagon)
 - 4 independent quadrants
 - Synthetic fused silica
 - 20 mm thickness, 1056 mm outer radius
- Frame to hold quadrants in place
 - Stabilizing cross for all 4 independent quadrants
- Optics (FEL + Quartz bar)
 - Focusing elements (FEL, 16 mm wide) convert Cherenkov angle to position information
 - Mirror focuses photons onto sensor plane
 - Quartz bars connect disc and FEL
- Readout
 - 3 FELs read out by 1 MCP-PMT
 - 2-inch MCP-PMT with 0.5x16 mm pitch
 - TOFPET2 ASIC + FPGA-based FEE





Endcap Disc DIRC -- Optics and Readout

• Optics

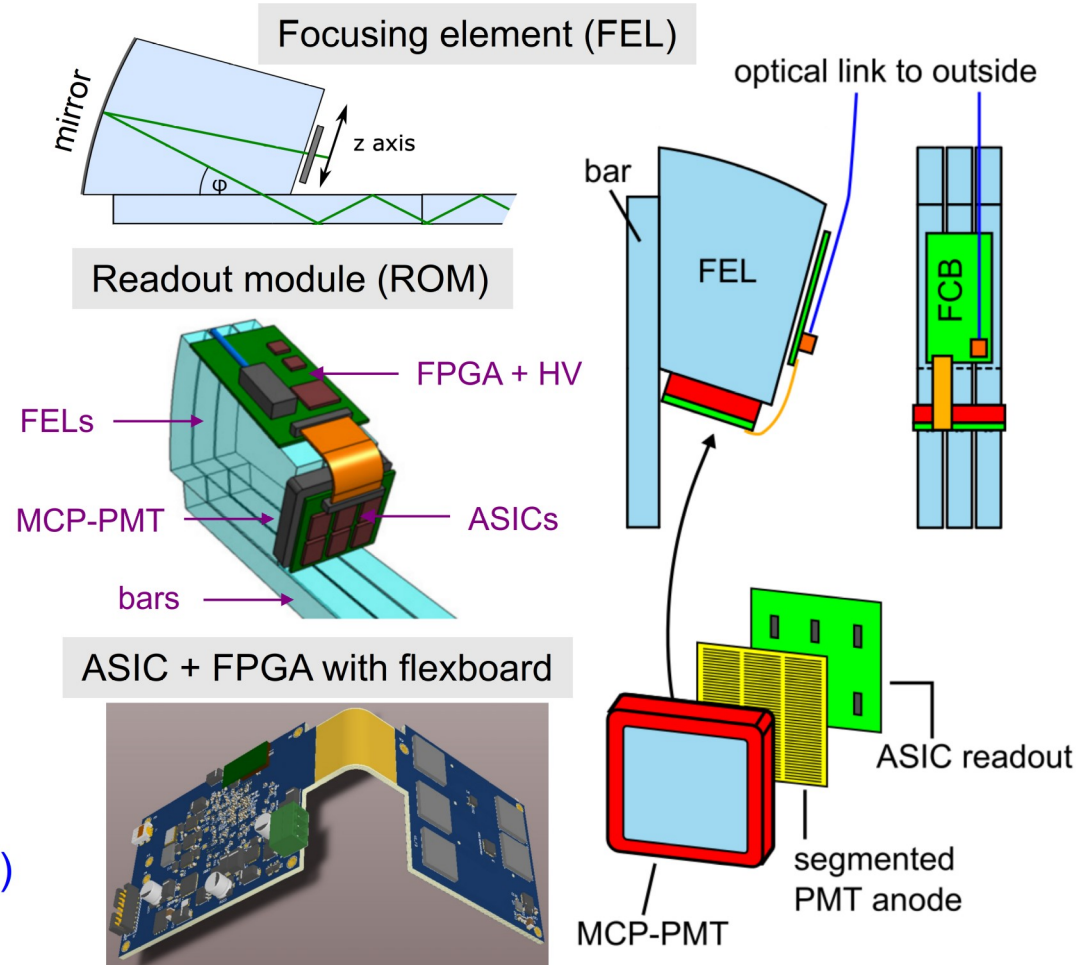
- 3 bars and 3 FELs build **one readout module**
- 16 mm thickness of each bar and FEL
- Polished mirror surface of FEL

• Readout module (ROM)

- 1 microchannel-plate PMT (2") with highly segmented anode (3x100 pixels)
- MCP-PMT with blue-enhanced photo cathode to reduce chromaticity and enhance lifetime
- 24 ROMs per quadrant

• Readout electronics

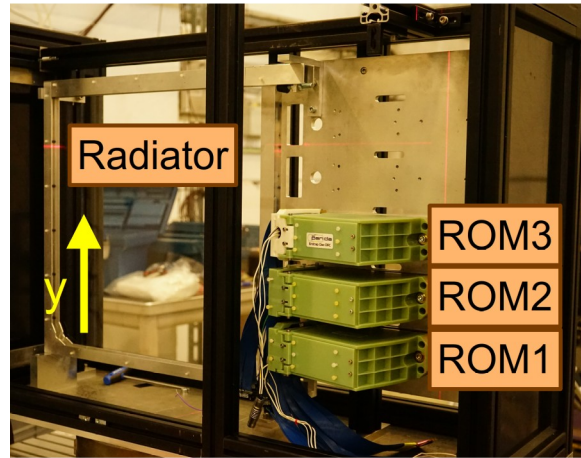
- MCP-PMT read out by TOFPET2 ASICs
- Flexboard connects to FPGA based FEE (FCB)
- Connection to “outside world” by optical link



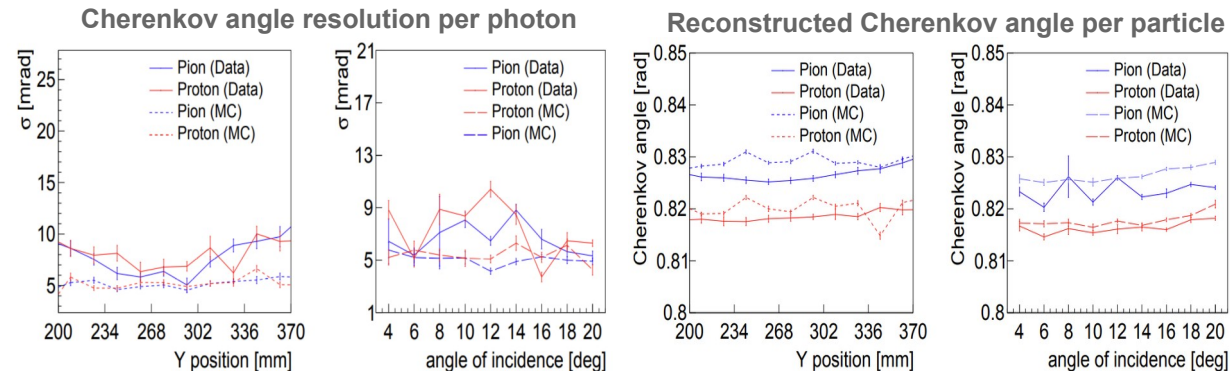
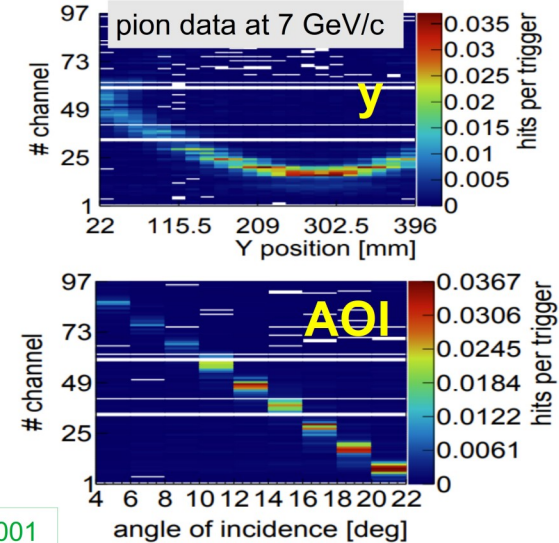


Endcap Disc DIRC -- Beam Tests + Results

- Test beam at CERN T9 area in 2018
 - Pion/proton beam separated by TOF
 - Quadratic radiator disc (50x50 cm²)
 - Read out by 3 ROMs with TOFPET2
- Measurements
 - Scans along y → different pixels hit → **corresponds to Cherenkov angle**
 - Scans at different **beam angles (AOI)**
- Results
 - Single photon angle resolution <10 mrad
 - Reconst. Cherenkov angle per particle shows fair agreement with simulations
 - **Cherenkov angles separated up to ~8 GeV/c (i.e. ~4 GeV/c for π/K)**



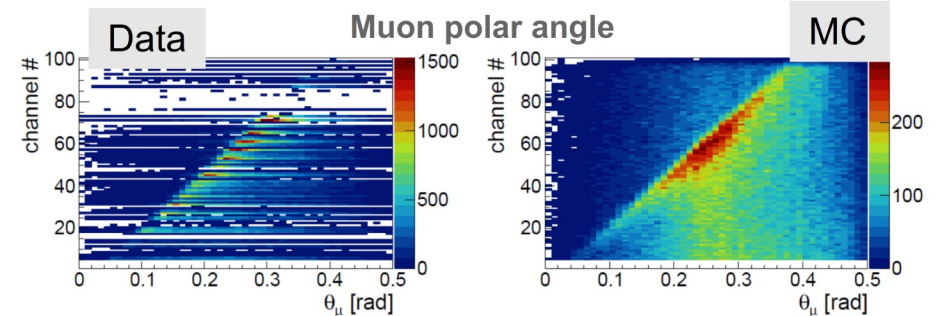
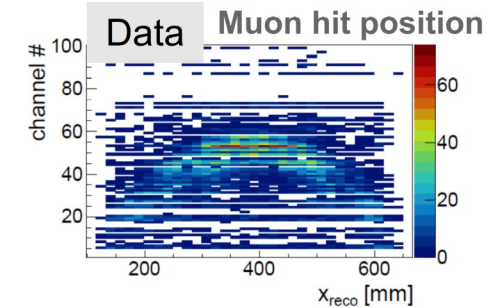
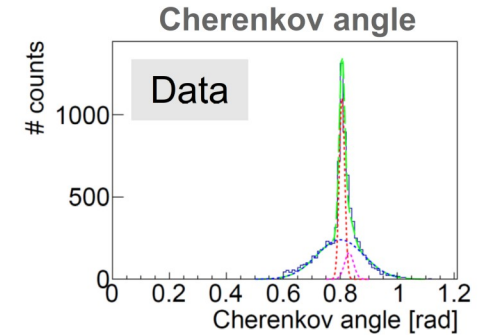
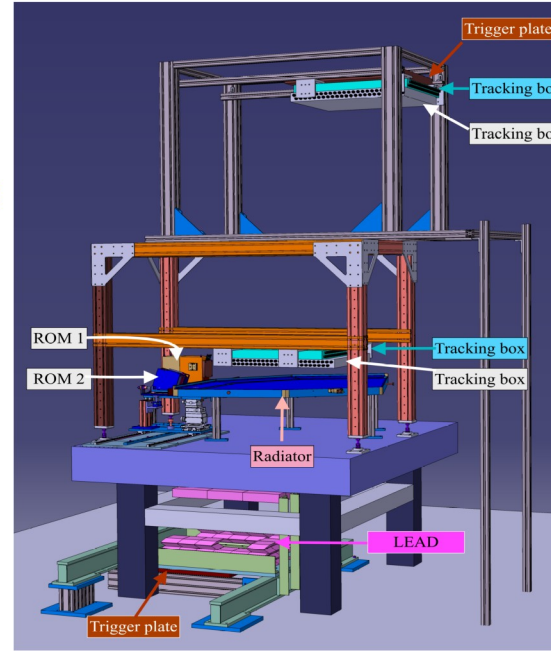
I. Köseoglu et al., JINST 15 (2020) C03001





Disc DIRC -- Giessen Cosmics Station (GCS)

- Cosmics station for DIRC prototype tests
 - 2 tracking boxes for muon track determination
 - Trigger plates + lead absorber suppress electrons
 - Fits full-size Endcap Disc DIRC quadrant
- Available Disk DIRC components
 - Prototype radiator (1 quadrant, $\frac{1}{4}$ Disc DIRC)
 - Several ROMs and MCP-PMTs for R&D
 - TOFPET2-ASIC (to test readout electronics)
- Very first results
 - Plots show measured patterns of Cherenkov photons which are compared to simulations
 - Muon polar angle (lower) and hit position (center)
 - Cherenkov angle resolution ~ 10 mrad (upper)
- Disc DIRC development currently on hold





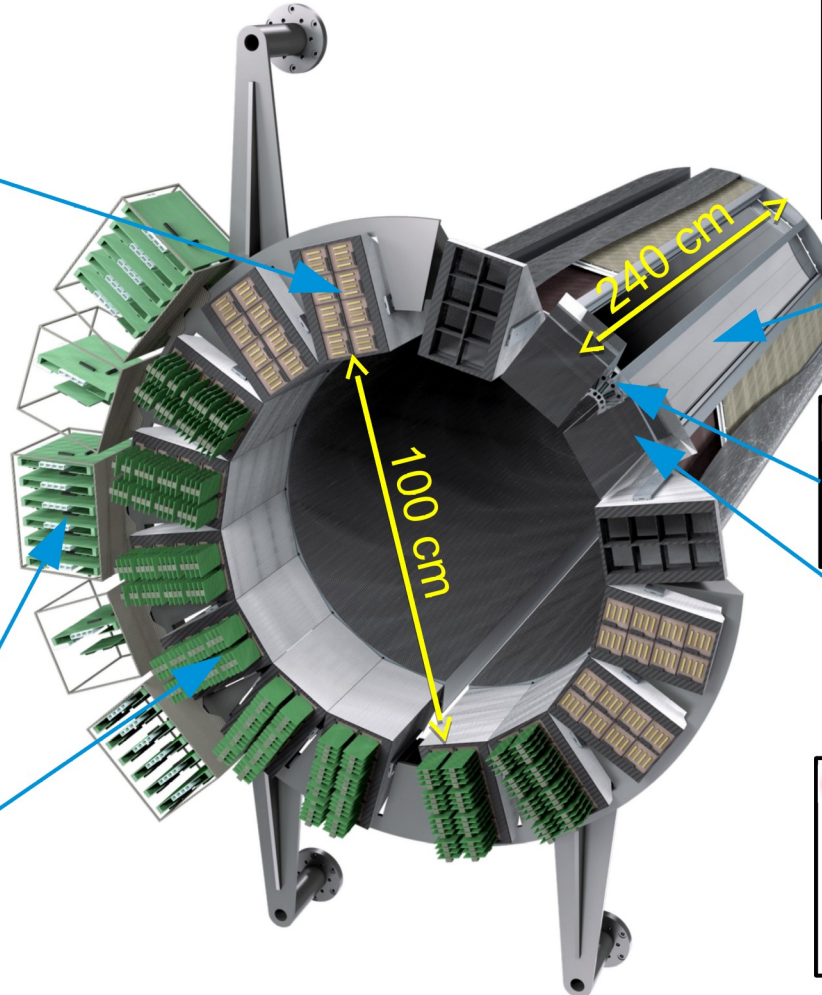
Barrel DIRC -- Final Design

Barrel DIRC TDR, B. Singh et al., 2019,
J. Phys. G: Nucl. Part. Phys. **46** 045001

- Photon detectors
 - 2x2 inch² active area
 - 8x8 pixel MCP-PMTs

- Performance goals for $>3\sigma$ π/K separation
 - **Detected photons/track:**
 >20 at $\beta \approx 1$
 - **RMS time resolution:**
 $\sigma_t \sim 100$ ps
 - **Position resolution:**
 $\sigma_{xy} \sim 2$ mm

- Readout electronics
 - DiRICH frontend boards
 - TRB3 (trigger and readout)



- Radiators
 - Synthetic fused silica
 - 16 sectors
 - 48 bars (17x53x2400 mm³)
 - Flat mirror at forward end

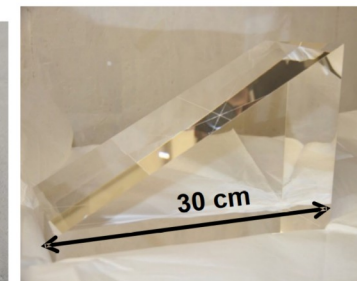
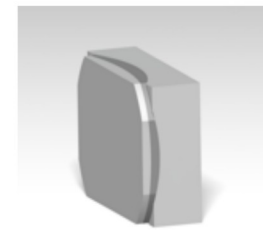
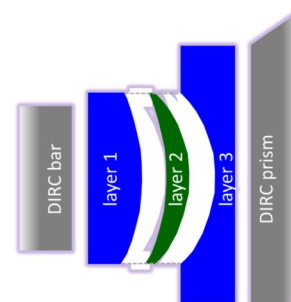
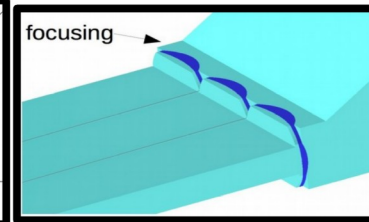
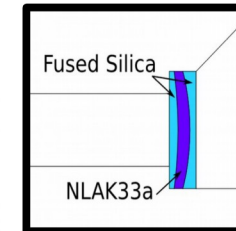
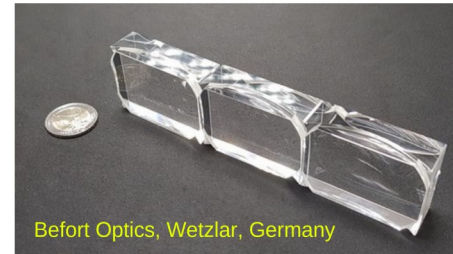
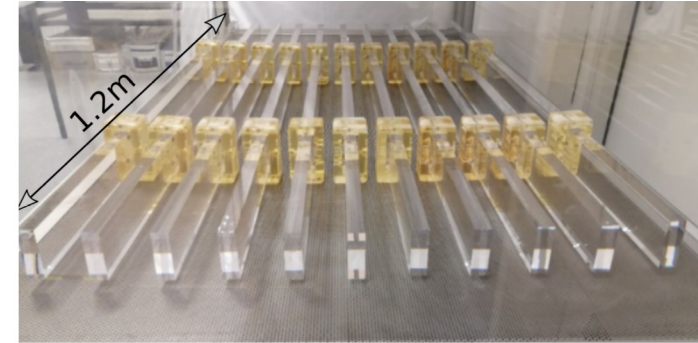
- Focusing optics
 - Multi-layer spherical lenses
 - High refractive index

- Expansion volume
 - Prisms
 - Synthetic fused silica
 - 30 cm depth



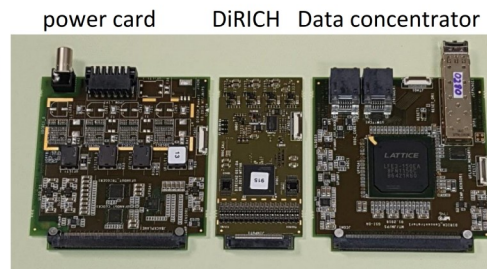
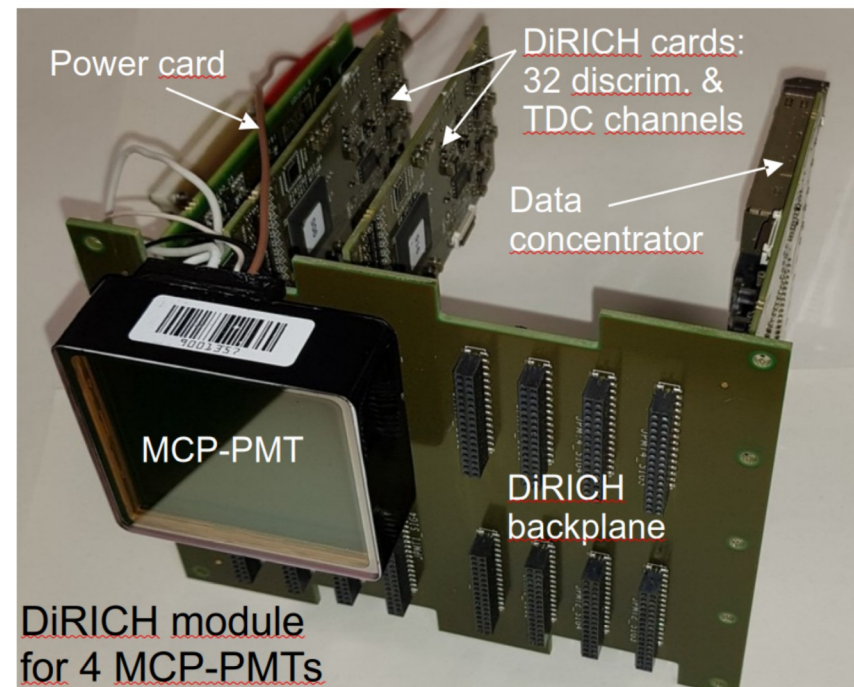
Barrel DIRC -- Optics Layout

- Radiator bars
 - 3 synthetic fused silica bars ($17 \times 53 \times 2400 \text{ mm}^3$) per sector
 - **2 bars** of 1.2 m length **glued back-to-back** with Epotek 301-2
 - **Surface roughness of 5 \AA** and **squareness of $<0.25 \text{ mrad}$** maintain the angle and a highly efficient light transport
 - Flat mirror at downstream end
- Novel 3-layer spherical lens
 - 3 layers: lanthanum crown glass sandwiched between two pieces of synthetic fused silica
 - Provides sharp images with low photon loss
 - Reduces number of required DIRC bars
- Fused silica prism as expansion volume
 - Compact! Reduced sensor area!
 - 30 cm base length, 33° opening angle

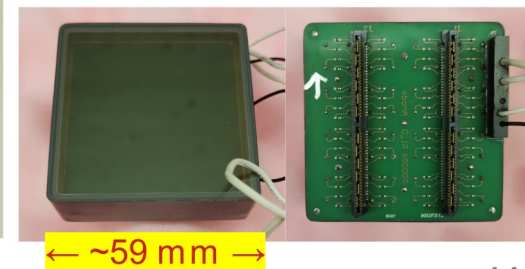


Barrel DIRC -- Readout

- 128 two-inch MCP-PMT photon detectors
 - Fast and efficient detection of single photons in ~ 1 T magnetic field
 - Lifetime-enhanced (ALD) multi-anode design
 - 8x8 anode pixels with ~ 2 mm position resolution (σ_{xy})
 - ~ 100 ps single photon timing precision (RMS)
 - $>80\%$ active area ratio
- Electronics to digest fast MCP-PMT signals
 - MCP-PMT and **DiRICH** frontend board connected by highly integrated backplane with minimal cabling
 - 2 DiRICH boards / MCP-PMT
 - 4 MCP-PMTs / backplane
 - Provides time and pulse height (ToT) information
 - ~ 10 ps internal time precision (discriminator + TDC)
 - ~ 50 mW / channel power consumption
 - TRB3** for clocks, (triggers), and communication



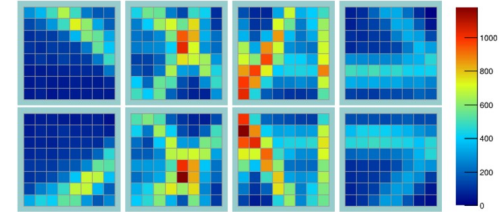
Photonis XP85122-S-BA





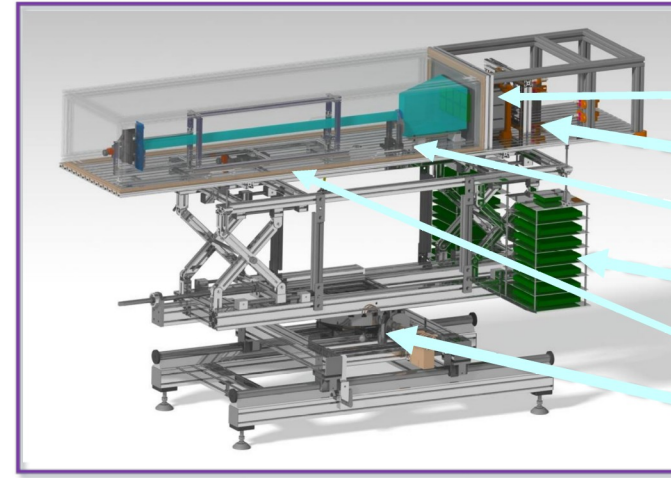
Barrel DIRC -- Prototype Tests

accumulated hit pattern at polar angle of 20°

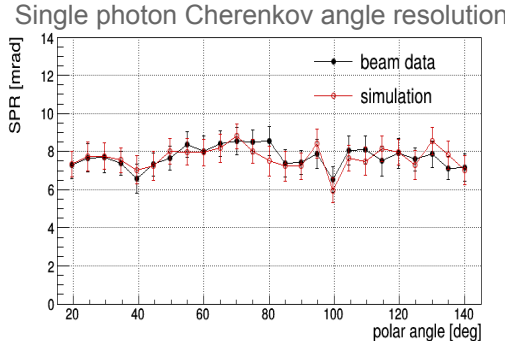
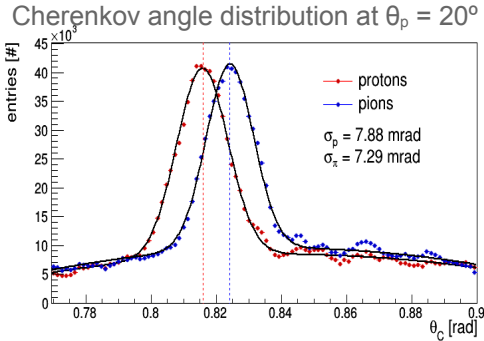


- Several beam tests at CERN and GSI
- Scans of beam incident angle and position for different momenta
- Measurement of **Cherenkov angle resolution per photon (SPR)**, **photon yield**, and **π/K separation** in excellent agreement with Geant4 simulation
- Achieved **π/K separation of 5 s.d.** with time imaging reconstruction for most populated phase space region
- Used for validation and optimization of DIRC design and simulation/reconstruction code

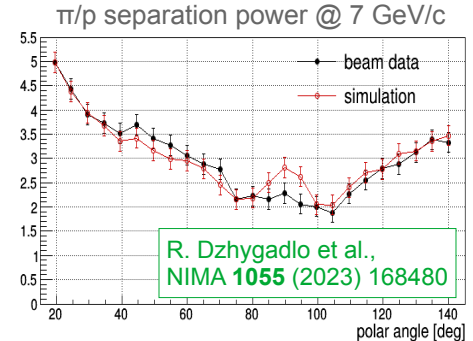
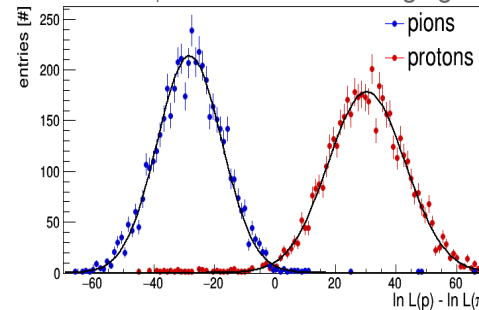
Height adjustable and rotatable test setup



- MCP-PMT array
- Frontend electronics
- Optics: bar, lens, prism
- DAQ boards
- Dark box
- Rotation stage



Likelihood distribution @ 7 GeV/c and $\theta_p = 20^\circ$ from time imaging





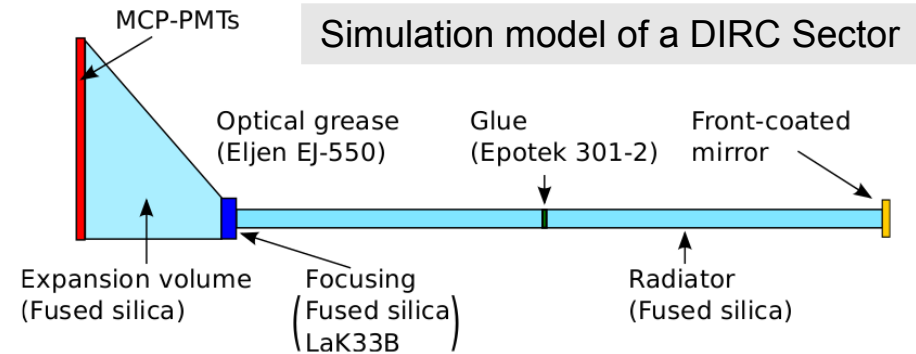
Barrel DIRC -- Software Status

• Simulation

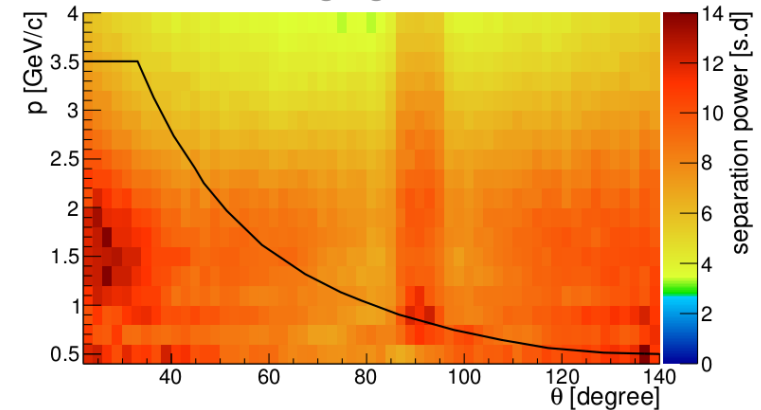
- Realistic material and photon transport description
 - **Transmittance and refraction** (λ -dependent)
 - **Geometry** with bar/readout boxes and support structures
 - Photon transport efficiency (with angle and bar-roughness)
 - **Collection and quantum efficiencies** (λ) of PMTs
 - Realistic **single photon time resolution**
 - **Dark counts and dead time** of electronics

• Reconstruction of Cherenkov angle

- Geometrical
 - BaBar-like with **Look-Up-Tables (LUT)**
 - Does not depend on precise timing but useful for calibration
- Time Imaging
 - Belle II TOP-like with **Probability Density Functions (PDF)**
 - Most optimal use of position and time information
- Neural networks



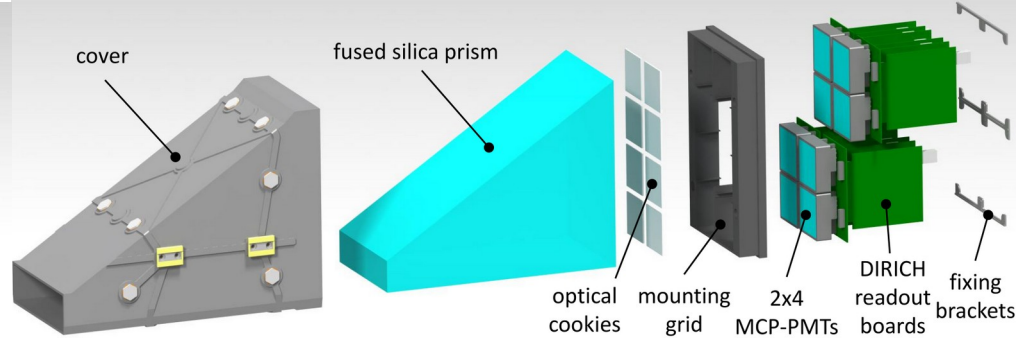
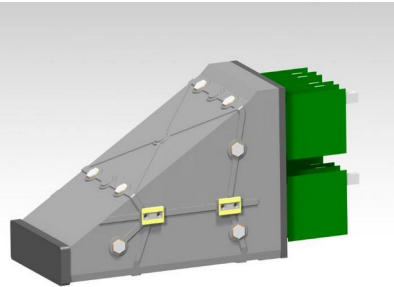
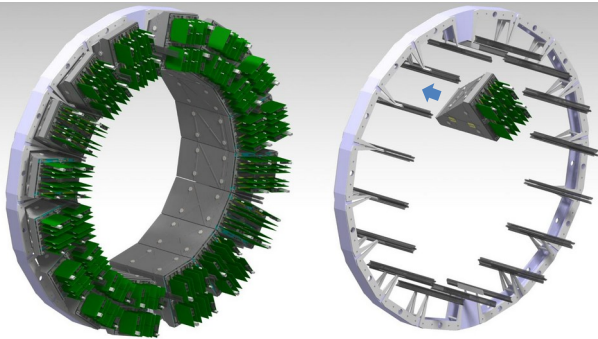
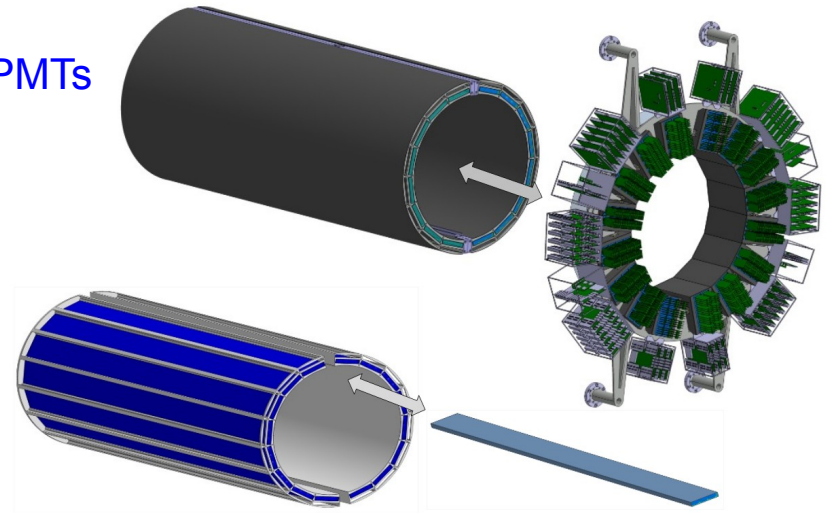
Geant4: Time-imaging with simulated PDFs



$>3\sigma$ π/K separation fulfilled in whole Barrel DIRC relevant phase space (underneath the black line)

Barrel DIRC -- Mechanical Design

- Mechanical DIRC support based on
 - Detachable modular structures for bars and prisms/MCP-PMTs
- 16 bar boxes
 - Containers of carbon fiber reinforced polymer (CFRP)
 - Assembled from two L-shaped elements
 - Slide into mechanical barrel support structure
 - Fused silica bars inside bar boxes supported by PEEK buttons
- 16 readout boxes
 - 3D-printed aluminum alloy (light-weight and high-strength)
 - 2 rails for each box fixed at U-shaped aluminum alloy support ring

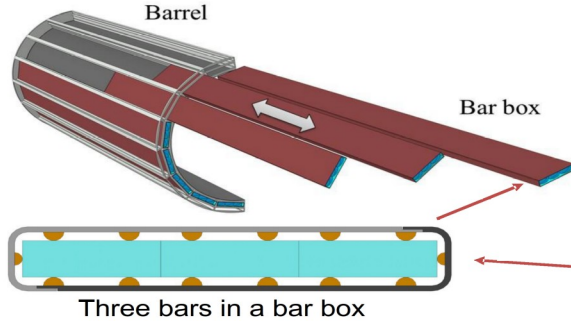




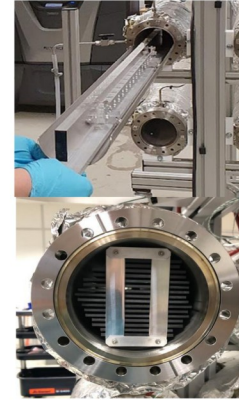
Barrel DIRC -- Radiator Pollution Tests

Bar box

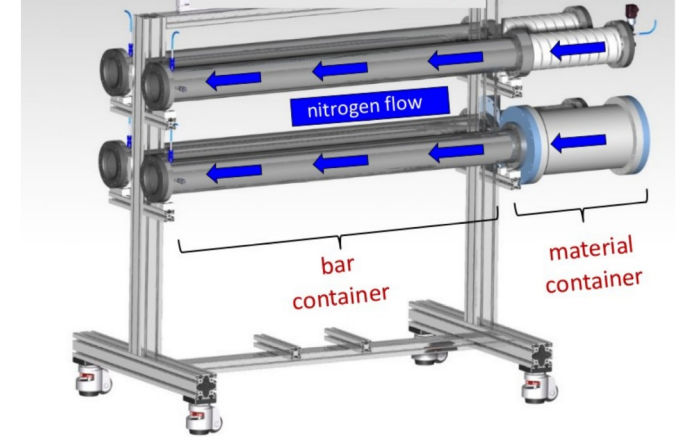
Carbon fiber reinforced polymer (CFRP)



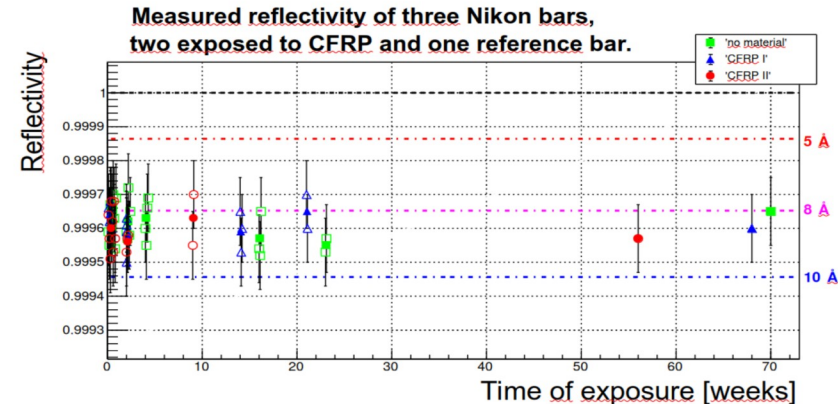
Prototype of L-elements



Pollution test setup



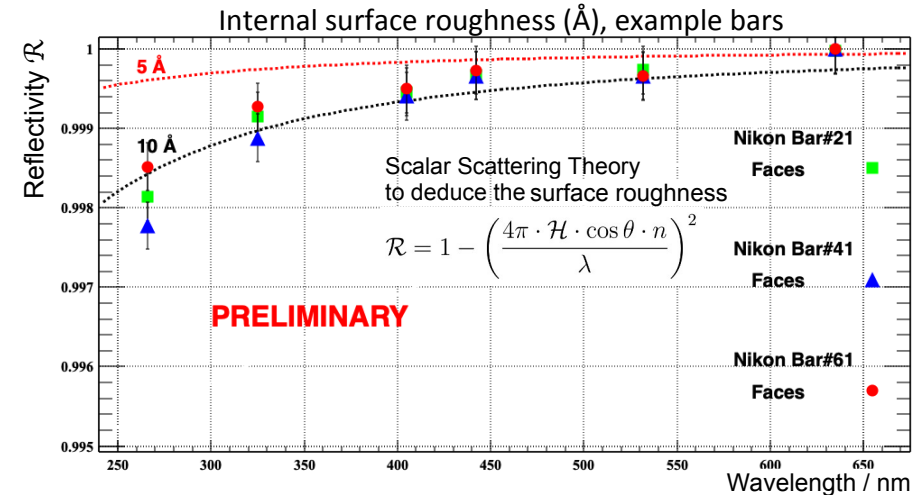
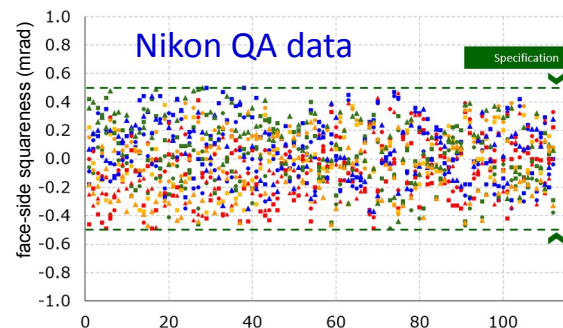
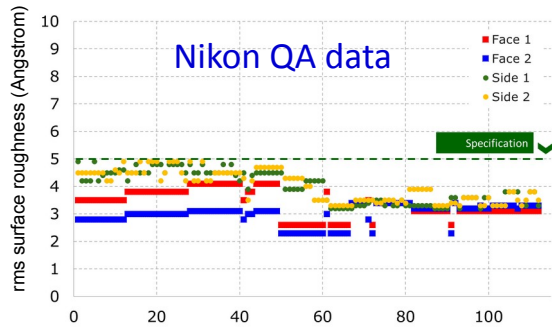
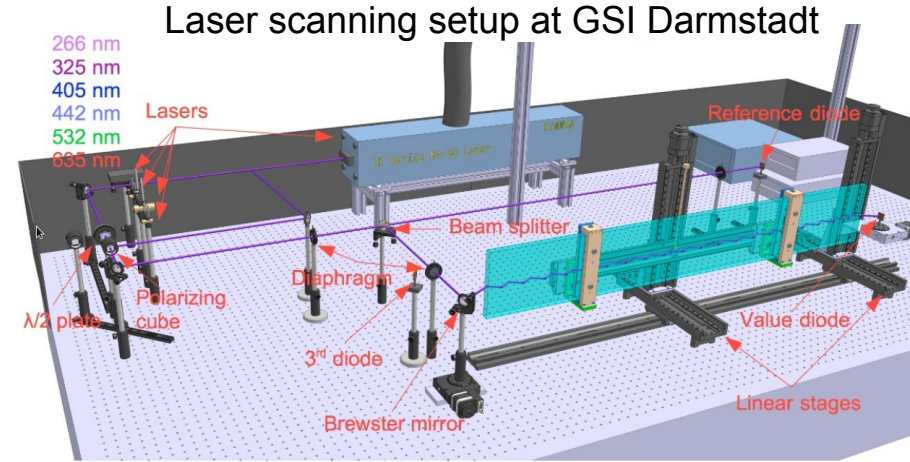
- Confirmation that CFRP and other materials can be used safely – particular concerns regarding possible (resin) outgassing
- Radiator bar placed in steel tube with nitrogen flowing over container material and bar surfaces**
- Measure effects of material outgassing on bar surfaces
 - Monitoring sensors:** pressure, flow rate, humidity, temperature
 - Optional heating of the material container to accelerate the tests
 - Reflection coefficient** of bar gets **regularly measured** in laser lab
- No effect observed after >2 years**





Barrel DIRC -- Radiator Bar Production

- Contract awarded to Nikon Corp, Japan
 - For 112 DIRC bars in 09/2019
 - Production completed in 02/2021 ahead of schedule
- Quality assurance tests at Nikon and at GSI
 - 6 wavelength of polarized laser beams available
 - Measured reflectivity \mathcal{R} using ~ 50 internal reflections
 - deduction of surface roughness \mathcal{H}
 - Face-side squareness
- All bars comply with fabrication specifications**

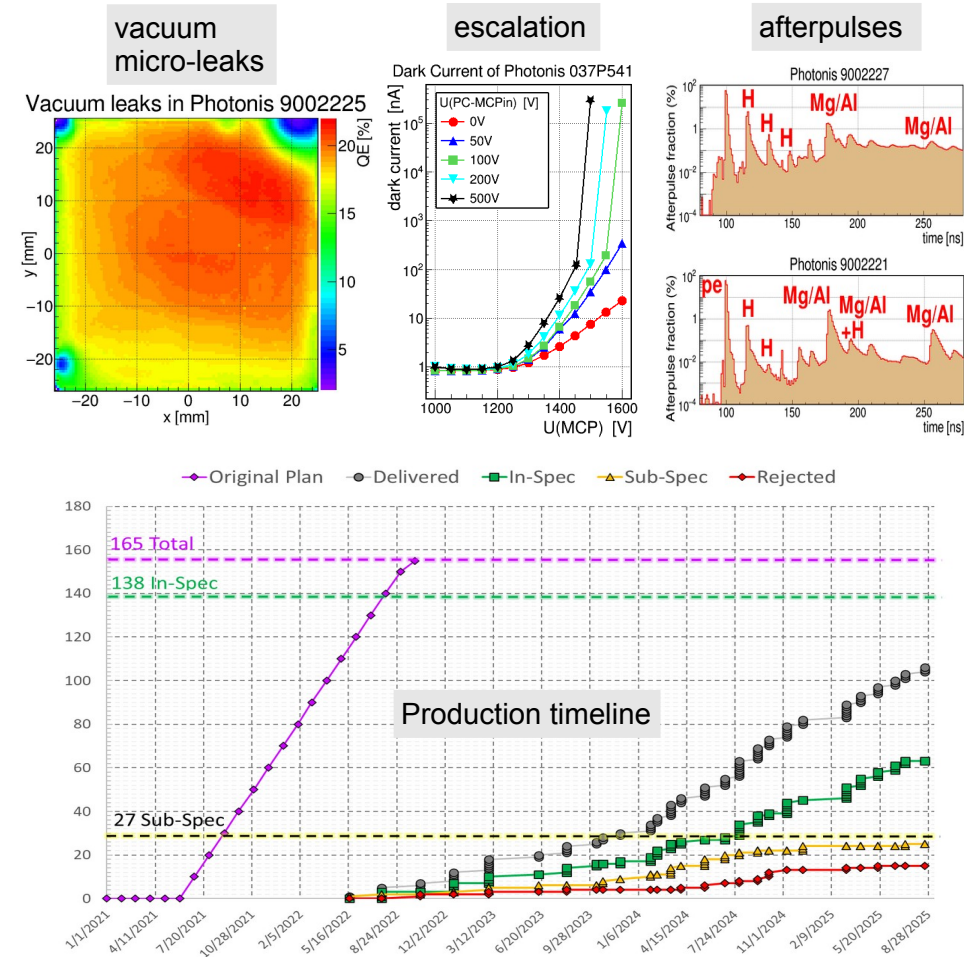




Barrel DIRC -- MCP-PMT Production

More details in
Talk of K. Gumbert
on Wednesday

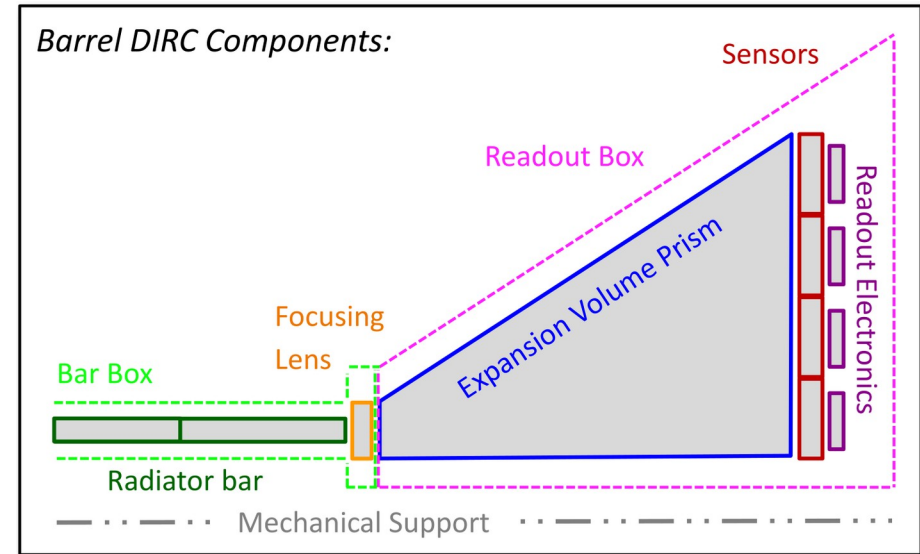
- Contract awarded to PHOTONIS, Netherlands
 - For 165 2-inch ALD-coated MCP-PMTs in 12/2020
 - First MCP-PMTs arrived in 05/2022
- Quality assurance tests at Erlangen
 - Measured for (almost) all MCP-PMTs
 - Gain curve; quantum and collection efficiency
 - 2D(xy) QE and gain scans across full active area
 - 3D-scans (x,y,t) to determine time resolution and quantify dark count rate, afterpulse rate, and crosstalk
 - Rate capability
 - For few selected tubes lifetime and gain in B-field
- Current status
 - Faced many problems during production phase, e.g.
 - Vacuum micro-leaks and escalation on some tubes
 - High DCR and afterpulse rates on several tubes
 - Series production only ~50% completed





Barrel DIRC -- Current Status and Plans

- MCP-PMT series production and QA
 - ~100 tubes delivered → only two-thirds are good
 - Contract with PHOTONIS canceled in Aug. 2025
- Work close to be finalized
 - R&D on radiator bar gluing
 - Coupling **sensor** — **prism** and **prism** — **focusing lens**
 - **CFRP material tests** and calibration system
 - **Optimization of readout electronics**
- Further optimization of design and specs
 - **Focusing lenses** and **expansion prisms**
 - **Bar boxes**, **readout boxes**
 - Mechanical support
 - Cooling, cabling, installation
- Continue software optimization



- Pre-production of a full-size prototype sector
 - Assemble and test a complete prototype sector
 - **6 bars**, **8 MCP-PMTs**, **1 prism**, **3 lenses** available
 - Procurement of remaining component (**electronics**, **bar boxes**, **readout boxes**)
 - Standalone cosmics and/or beam tests



PANDA DIRCs -- Status and Outlook

- Endcap Disc DIRC
 - All activities have currently come to a standstill
 - Key group (Giessen) has disbanded in 2022
- Barrel DIRC
 - Previous plan: component fabrication, assembly, and installation until end of 2023
 - Significant delays due to Covid19 (2020) and the consequences of Russia/Ukraine war (2022)
 - Sanction against Russia → lack of financial and manpower resources
 - PANDA (DIRC) is starting to lose key personal (e.g., due to retirements, etc.)
- Outlook
 - No PANDA detector before 2032
 - New responsibilities must be defined for Endcap Disc DIRC
 - Need for new groups and funding
 - Prototype production and tests for Barrel DIRC sectors will continue
 - Search for alternatives for the ~50% missing photon detectors (Photek MCP-PMTs, HRPPD, ...?)
 - Need for additional financial resources for final assembly