Advances in Modeling Space-Charge Effects

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- Differentiable space-charge modeling using TPSA
- Space-charge simulation using a quantum Schrodinger approach
- Future work

See Prof. Yue Hao's talk on Friday for differentiable simulation with a different auto differentiation package

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Truncated Power Series Algebra (TPSA)

- TPSA has been used to calculate high-order transfer maps in accelerator beam dynamics.
- The same library can be used to calculate derivatives w.r.t. design parameters.
- TPSA changes the derivatives of a function into a function of DA vector variables.







Solution of Hamilton Equation in Transfer Map

$$\frac{d\zeta}{ds} = -[H,\zeta]$$
$$\zeta_s = f(\zeta_0) = \sum_i^N \mathbf{M}_i \zeta_0^i$$

- \blacktriangleright f can be a very complicated function
- M_i is the ith order transfer map, and is related to the ith derivative of function *f*

How to attain M_i effectively?

Consider a one-dimensional Taylor approximation:

$$f(x) = f(x_0) + (x - x_0)f'(x_0) + \frac{1}{2!}(x - x_0)^2 f''(x_0) + \frac{1}{3!}(x - x_0)^3 f'''(x_0) + \dots + \frac{1}{N!}(x - x_0)^N f^{(N)}(x_0)$$

To find the derivative, i.e. Taylor map, one can approximate the derivative numerically:

$$f'(x_0) \approx \frac{f(x_0 + \varepsilon) - f(x_0)}{\varepsilon}$$

$$f''(x_0) \approx \frac{f(x_0 + \varepsilon) - 2f(x_0) + f(x_0 - \varepsilon)}{\varepsilon^2} \qquad \implies \text{ loss of accuracy}$$

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Introduction to Truncated Power Series Algebra (TPSA)

Use symbolic calculation from package like Mathematica:

For example:

$$f(x) = \frac{1}{1+x+x^2} \quad f'(x) = \frac{-(1+2x)}{(1+x+x^2)^2} \qquad f''(x) = \frac{6x+6x^2}{(1+x+x^2)^3}$$

- very complicated for high order derivatives
- even impossible for some function without closed form (e.g. simulation)

Define a N-dimension function space with bases:

{1,
$$(x - x_0)$$
, $\frac{1}{2!}(x - x_0)^2$, $\frac{1}{3!}(x - x_0)^3$, \cdots , $\frac{1}{N!}(x - x_0)^N$ }

The derivative up to Nth order can be regarded as a point in that space and represented as a vector:

$$Df_{x_0} = [f(x_0), f'(x_0), f''(x_0), f'''(x_0), \cdots, f^{(N)}(x_0)]$$

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For example, a constant c, its representation as $Dc = [c,0,0,0,\cdots,0]$

a variable x as, $Dx = [x,1,0,0,\cdots,0]$

 $x \Longrightarrow y = f(x)$

A point x in number space maps to another point y=f(x) in number space $Dx \Rightarrow Df_x = f(Dx)$

A point Dx in DA vector space maps to another point Df_x in DA vector space





Basic Operations for the TPSA vector

- > A complicated function can be broken down as the operations of addition and multiplication
- Rule of addition:

$$Df_{x_0} = [f(x_0), f'(x_0), f''(x_0), f'''(x_0), \cdots, f^{(N)}(x_0)] = [a_0, a_1, a_2, a_3, \cdots a_N]$$

$$Df_{x_1} = [f(x_1), f'(x_1), f''(x_1), f'''(x_1), \cdots, f^{(N)}(x_1)] = [b_0, b_1, b_2, b_3, \cdots b_N]$$

$$Df_{x_0} + Df_{x_1} = [f(x_0) + f(x_1), f'(x_0) + f'(x_1), f''(x_0) + f''(x_1), f'''(x_0) + f'''(x_1), \cdots, f^{(N)}(x_0) + f^{(N)}(x_1)]$$

$$Df_{x_0} + Df_{x_1} = [a_0 + b_0, a_1 + b_1, a_2 + b_2, a_3 + b_3, \cdots, a_N + b_N]$$

Rule of multiplication:

$$Df_{x_0} \times Df_{x_1} = ?$$

 $Df_{x_0} \times Df_{x_1} \neq [f(x_0) \times f(x_1), f'(x_0) \times f'(x_1), f''(x_0) \times f''(x_1), f'''(x_0) \times f'''(x_1), \dots, f^{(N)}(x_0) \times f^{(N)}(x_1)]$









Basic Operations for the TPSA vector

Rule of multiplication:

$$(g(x) \times h(x))' = g(x)h'(x) + g'(x)h(x)$$

$$(g(x) \times h(x))'' = g(x)h''(x) + 2g'(x)h'(x) + g''(x)h(x)$$

...

$$(g(x) \times h(x))^{(N)} = \sum_{k=0}^{N} \frac{N!}{k!(N-k)!} g^{(k)}(x)h^{(N-k)}(x)$$

 $Df_{x_0} \times Df_{x_1} = [f(x_0)f(x_1), f(x_0)f'(x_1) + f'(x_0)f(x_1), f(x_0)f''(x_1) + 2f'(x_0)f'(x_1) + f''(x_0)f(x_1), \cdots]$

$$Df_{x_0} \times Df_{x_1} = [a_0b_0, a_0b_1 + a_1b_0, a_0b_2 + 2a_1b_1 + a_2b_0, \dots, c_N]$$

$$c_N = \sum_{k=0}^{N} \frac{N!}{k!(N-k)!} a_k b_{N-k}$$

Operation of TPSA vector in a complicated function can be calculated using the rules of addition and multiplication







An Example of Calculation of Derivatives Using TPSA

For example, inverse of TPSA vector $[a_0, a_1, a_2, a_3, \cdots a_N]^{-1} = [x_0, x_1, x_2, x_3, \cdots x_N]$

$$[a_0, a_1, a_2, a_3, \cdots a_N] \times [x_0, x_1, x_2, x_3, \cdots x_N] = [1, 0, 0, 0, \cdots 0]$$
$$[a_0, a_1, a_2, a_3, \cdots a_N]^{-1} = [\frac{1}{a_0}, -\frac{a_1}{a_0^2}, \frac{2a_1^2}{a_0^3}, -\frac{a_2}{a_0^2}, \cdots]$$

Another example: evaluate f'(1) and f''(1) for the following function:

Analytical function method:

$$f'(x) = \frac{1}{1+x+x^2}$$

$$f'(x) = \frac{-(1+2x)}{(1+x+x^2)^2}$$

$$f''(x) = \frac{6x+6x^2}{(1+x+x^2)^3}$$

$$f''(1) = -\frac{1}{3}$$

$$f''(1) = \frac{4}{9}$$
TPSA method:

$$Df_{1} = f(D1) = \frac{1}{1 + [1,1,0]^{2}} = \frac{1}{[1,0,0] + [1,1,0] + [1,2,2]} = \frac{1}{[3,3,2]} = [\frac{1}{3}, -\frac{3}{9}, \frac{18-6}{27}] = [\frac{1}{3}, -\frac{1}{3}, \frac{1}{3}, \frac{4}{9}]$$



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Special Functions of TPSA Vector

- How about special functions such as sin(X), exp(X), log(X), etc
- > Answer: use Taylor expansion:

$$f(x) = f(x_0) + (x - x_0)f'(x_0) + \frac{1}{2!}(x - x_0)^2 f''(x_0) + \frac{1}{3!}(x - x_0)^3 f'''(x_0) + \dots + \frac{1}{N!}(x - x_0)^N f^{(N)}(x_0)$$

$$X = [x_0, x_1, x_2, x_3, \dots x_N] = [x_0, 0, 0, 0, \dots 0] + [0, x_1, x_2, x_3, \dots x_N]$$

$$([x_0, x_1, x_2, x_3, \dots x_N] - [x_0, 0, 0, 0, \dots 0])^m = [0, x_1, x_2, x_3, \dots x_N]^m = [0, 0, 0, 0, \dots 0, ?, ?]$$

$$leading m zeros$$

> This means $[0, x_1, x_2, x_3, ..., x_N]$ raised to $(N+1)_{th}$ power is exactly zero in TPSA.

 $f(X) = f([x_0, x_1, x_2, x_3, \dots x_N]) = f([x_0, 0, 0, 0, \dots 0]) + \sum_{m=1}^{N} \frac{[0, x_1, x_2, x_3, \dots x_N]^m f^{(m)}([0, x_1, x_2, x_3, \dots x_N])}{m!}$







Some Special Functions of TPSA Vector

$$e^{(a_0, a_1, a_2, \dots a_{\Omega})} = e^{a_0} \sum_{k=0}^{\Omega} \frac{1}{k!} (0, a_1, a_2, \dots a_{\Omega})^k$$
$$\ln(a_0, a_1, a_2, \dots a_{\Omega}) = (\ln a_0, 0, 0, 0, \dots, 0)$$

$$\begin{split} &+\sum_{k=1}^{\Omega}(-1)^{k+1}\frac{1}{k}(0,\frac{a_1}{a_0},\frac{a_2}{a_0},\dots,\frac{a_{\Omega}}{a_0})^k\\ &\sqrt{(a_0,a_1,a_2,\dots,a_{\Omega})} &= \sqrt{a_0}\left[(1,0,0,0...0)+\frac{1}{2}(0,\frac{a_1}{a_0},\frac{a_2}{a_0},\dots,\frac{a_{\Omega}}{a_0})\right.\\ &+\sum_{k=2}^{\Omega}(-1)^k\frac{(2k-3)!!}{(2k)!!}(0,\frac{a_1}{a_0},\frac{a_2}{a_0},\dots,\frac{a_{\Omega}}{a_0})^k\right]\\ &\sin(a_0,a_1,a_2,\dots,a_{\Omega}) &= \sin a_0\sum_{k=0}\frac{(-1)^k}{(2k)!}(0,a_1,a_2,\dots,a_{\Omega})^{2k}\\ &+\cos a_0\sum_{k=0}\frac{(-1)^k}{(2k+1)!}(0,a_1,a_2,\dots,a_{\Omega})^{2k+1}\\ &\cos(a_0,a_1,a_2,\dots,a_{\Omega}) &= \cos a_0\sum_{k=0}\frac{(-1)^k}{(2k)!}(0,a_1,a_2,\dots,a_{\Omega})^{2k}\\ &-\sin a_0\sum_{k=0}\frac{(-1)^k}{(2k+1)!}(0,a_1,a_2,\dots,a_{\Omega})^{2k+1} \end{split}$$

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Differentiable Simulation Enables Sensitivity Study and Fast Design Optimization

- The differentiable simulation is a simulation that can automatically compute derivatives of the simulation result with respect to its input parameters.
- Differentiable simulation can be used to study:
 - sensitivity of target physical quantities w.r.t. design parameters
 - included in fast gradient-based optimizer



Parameter	Requirement	Units
Particle species	H-	
Input beam energy (kinetic)	2.1	MeV
Output beam energy (kinetic)	0.8	GeV
Bunch repetition rate	162.5	MHz
RF pulse length	pulsed-to-CW	
Sequence of bunches	Programmable	
Average beam current in SC Linac	2	mA
Final rms norm. transverse emittance, $\varepsilon_x = \varepsilon_y$	≤0.3	mm-mrad
Final rms norm. longitudinal emittance	≤0.35 (1.1)	mm-mrad (keV-ns)
Rms bunch length at the SC Linac end	4	Ps

Ref: PIP-II CDR report 2017.





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Table 2.1: SC Linac Parameters



Differentiable Space-Charge Simulation through a FODO Lattice



A formal single step solution

 $\begin{aligned} \zeta(\tau) &= \exp(-\tau(:H:))\zeta(0) & H = H_1 + H_2 \\ \zeta(\tau) &= \exp(-\tau(:H_1:+:H_2:))\zeta(0) \\ &= \exp(-\frac{1}{2}\tau:H_1:)\exp(-\tau:H_2:)\exp(-\frac{1}{2}\tau:H_1:)\zeta(0) + O(\tau^3) \\ \zeta(\tau) &= \mathcal{M}(\tau)\zeta(0) \\ &= \mathcal{M}_1(\tau/2)\mathcal{M}_2(\tau)\mathcal{M}_1(\tau/2)\zeta(0) \\ \mathcal{M}_1(\tau) &= \begin{pmatrix} \cos(\sqrt{k}\tau) & \frac{1}{\sqrt{k}}\sin(\sqrt{k}\tau) \\ -\sqrt{k}\sin(\sqrt{k}\tau) & \cos(\sqrt{k}\tau) \end{pmatrix} & \mathbf{r}_i(\tau) &= \mathbf{r}_i(0) \\ \mathbf{p}_i(\tau) &= \mathbf{p}_i(0) - \frac{\partial H_2(\mathbf{r})}{\partial \mathbf{r}_i}\tau \end{aligned}$

J. Qiang, Differentiable self-consistent space-charge simulation for accelerator design, PRAB 26, 024601, 2023



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Self-Consistent Space-Charge Transfer Map (1)

$$\phi(x = 0, y) = 0$$

$$\frac{\partial^2 \phi}{\partial x^2} + \frac{\partial^2 \phi}{\partial y^2} = -\frac{\rho}{\epsilon_0} \qquad \phi(x = a, y) = 0$$

$$\phi(x, y = 0) = 0$$

$$\phi(x, y = b) = 0$$

$$\rho(x, y) = \sum_{l=1}^{N_l} \sum_{m=1}^{N_m} \rho^{lm} \sin(\alpha_l x) \sin(\beta_m y)$$

$$\phi(x, y) = \sum_{l=1}^{N_l} \sum_{m=1}^{N_m} \phi^{lm} \sin(\alpha_l x) \sin(\beta_m y)$$

$$\rho^{lm} = \frac{4}{ab} \int_0^a \int_0^b \rho(x, y) \sin(\alpha_l x) \sin(\beta_m y) dxdy$$

$$\phi^{lm} = \frac{4}{ab} \int_0^a \int_0^b \phi(x, y) \sin(\alpha_l x) \sin(\beta_m y) dxdy$$
where $\alpha_l = l\pi/a$ and $\beta_m = m\pi/b$

$$\phi^{lm} = \frac{\rho^{lm}}{2}$$





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 $\overline{\epsilon_0 \gamma_{lm}^2}$

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where $\gamma_{lm}^2 = \alpha_l^2 + \beta_m^2$



Symplectic Gridless Particle Model

$$\mu_{2} = \sum_{j=1}^{N_{p}} \widehat{w} \delta(x - x_{j}) \delta(y - y_{j})$$

$$H_{2} = \frac{1}{2\epsilon_{0}} \frac{4}{ab} w \sum_{i} \sum_{j} \sum_{l} \sum_{m} \frac{1}{\gamma_{lm}^{2}} \sin(\alpha_{l}x_{j})$$

$$\sin(\beta_{m}y_{j}) \sin(\alpha_{l}x_{i}) \sin(\beta_{m}y_{i})$$

$$p_{xi}(\tau) = p_{xi}(0) - \tau \frac{1}{\epsilon_{0}} \frac{4}{ab} w \sum_{j} \sum_{l} \sum_{m} \frac{\alpha_{l}}{\gamma_{lm}^{2}}$$

$$\sin(\alpha_{l}x_{j}) \sin(\beta_{m}y_{j}) \cos(\alpha_{l}x_{i}) \sin(\beta_{m}y_{i})$$

$$p_{yi}(\tau) = p_{yi}(0) - \tau \frac{1}{\epsilon_{0}} \frac{4}{ab} w \sum_{j} \sum_{l} \sum_{m} \frac{\beta_{m}}{\gamma_{lm}^{2}}$$

$$\sin(\alpha_{l}x_{j}) \sin(\beta_{m}y_{j}) \sin(\alpha_{l}x_{i}) \cos(\beta_{m}y_{i})$$

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Differentiable Space-Charge Simulation through a FODO Lattice



$$\mathcal{M}_1(\tau) = \begin{pmatrix} \cos(\sqrt{Dk}D\tau) & \frac{1}{\sqrt{Dk}}\sin(\sqrt{Dk}D\tau) \\ -\sqrt{Dk}\sin(\sqrt{Dk}D\tau) & \cos(\sqrt{Dk}D\tau) \end{pmatrix}$$

$$Dp_{xi}(\tau) = Dp_{xi}(0) - D\tau \frac{K}{2} \sum_{l=1}^{N_l} \sum_{m=1}^{N_m} D\phi^{lm} \alpha_l \cos(\alpha_l Dx_i) \sin(\beta_m Dy_i)$$

$$Dp_{yi}(\tau) = Dp_{yi}(0) - D\tau \frac{K}{2} \sum_{l=1}^{N_l} \sum_{m=1}^{N_m} D\phi^{lm} \beta_m \sin(\alpha_l Dx_i) \cos(\beta_m Dy_i)$$

$$D\phi^{lm} = 4\pi \frac{4}{ab} \frac{1}{N_p} \sum_{j=1}^{N_p} \frac{1}{\gamma_{lm}^2} \sin(\alpha_l D x_j) \sin(\beta_m D y_j)$$

$$D\epsilon_x = \sqrt{D < x^2 > D < p_x^2 > -(D < xp_x >)^2}$$







Derivatives of the X and Y Emittances w.r.t. 7 Lattice Parameters from 1 Differentiable Simulation and from Finite Difference Approximation with Multiple Simulations Shows Good Agreement







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Derivatives of the X and Y Emittances w.r.t. 8 Beam Parameters from 1 Differentiable Simulation

$$f(x, p_x, y, p_y) \propto \exp\left(-\frac{1}{2}\left(\frac{x^2}{\sigma_x^2} + 2xp_x\frac{\mu_{xp_x}}{\sigma_x\sigma_{p_x}} + \frac{p_x^2}{\sigma_{p_x}^2}\right)\right)\exp\left(-\frac{1}{2}\left(\frac{y^2}{\sigma_y^2} + 2yp_y\frac{\mu_{yp_y}}{\sigma_y\sigma_{p_y}} + \frac{p_y^2}{\sigma_{p_y}^2}\right)\right)$$



• Final emittances are more sensitive to initial beam distribution parameters.







Matching Including Space-Charge Effects Using the Differentiable **Simulation with Conjugate Gradient Optimizer**



4 control knobs in the matching lattice section

$$f(\mathbf{k}) = \frac{(\beta_x(\mathbf{k}) - \beta_{xt})^2}{\beta_{xt}^2} + (\alpha_x(\mathbf{k}) - \alpha_{xt})^2 + \frac{(\beta_y(\mathbf{k}) - \beta_{yt})^2}{\beta_{yt}^2} + (\alpha_y(\mathbf{k}) - \alpha_{yt})^2$$







Differentiable Simulation Enables Gradient Based Optimization (Conjugate Gradient Method)



• Transverse RMS size evolution without the quadrupole matching (left) and with the quadrupole matching including the space-charge effects (right) through the FODO lattice.





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Modeling of Space-Charge Effects Involves Solution of 6D Vlasov-Poisson Equations

$$\frac{\partial f}{\partial t} + [f, H] = 0,$$

$$\nabla^2 \phi = -\frac{\rho}{\epsilon_0}, \qquad \rho = \iiint f(r, p, t) d^3 p$$

Conventional Solution Methods:

- -- Using macroparticle in particle-in-cell method
- -- Direct numerical solution of 6D partial differential equation







Simulation of Space-Charge Effects Using a Quantum Approach Involves Lower Dimensions and Enables Potential New Platform

- Reduce the computational domain from 6/4 dimensional classical phase space to 3/2 dimensional spatial space
- Open the possibility to explore the beam physics simulation on quantum computers



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Husimi Representation of Phase Space Distribution

$$\mathcal{F}(\mathbf{r},\mathbf{p},t) = |\Psi(\mathbf{r},\mathbf{p},t)|^2$$

$$\Psi(\mathbf{r}, \mathbf{p}, t) = \left(\frac{1}{2\pi\hbar}\right)^{3/2} \left(\frac{1}{2\pi\sigma^2}\right)^{3/4} \int d^3x$$
$$\times \psi(\mathbf{x}, t) \exp\left(-\frac{|\mathbf{r} - \mathbf{x}|^2}{4\sigma^2} - i\frac{\mathbf{p} \cdot \mathbf{x}}{\hbar}\right)$$

$$i\hbar\frac{\partial\psi}{\partial t} = -\frac{\hbar^2}{2m}\nabla^2\psi + V(x, y, z)\psi,$$

$$\frac{\partial \mathcal{F}}{\partial t} + [\mathcal{F}, H] = O(\hbar) + O(\hbar^2) + \cdots$$





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Schrodinger Equation of a Coasting Beam

Start with a z-dependent Hamiltonian of a particle in accelerator: $\bar{H}(z) = \frac{1}{2}(\bar{p}_x^2 + \bar{p}_y^2) + V(x, y, z), \quad \bar{p}_{x,y} = \frac{p_{x,y}}{p_0}$

Rewrite the z-dependent Hamiltonian as t-dependent Hamiltonian:

$$H(t) = \frac{1}{2m\gamma_0} (p_x^2 + p_y^2) + p_0 v_0 V(x, y, z).$$

Replace the energy and momentum with corresponding operators:

$$i\hbar\frac{\partial\psi}{\partial t} = -\frac{\hbar^2}{2m\gamma_0}\nabla^2\psi + p_0v_0V(x,y,z)\psi.$$





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Numerical Solution of the Schrodinger Equation (1)

$$i\hbar \frac{\partial \psi}{\partial z} = -\frac{\hbar^2}{2p_0} \nabla^2 \psi + p_0 V(x, y, z) \psi$$

Lie-Trotter Splitting-Operator Method for Time Integration:

$$\psi(z+\tau) = e^{\frac{i\hbar\tau}{4p_0}\nabla^2} e^{-i\frac{p_0}{\hbar}V\tau} e^{\frac{i\hbar\tau}{4p_0}\nabla^2} \psi(z),$$

Spectral Method with Sine Function Representation in Spatial Dom.

$$\psi(x,y) = \sum_{l=1}^{N_l} \sum_{m=1}^{N_m} \psi_{lm} \sin(\alpha_l x) \sin(\beta_m y),$$

$$\psi_{lm} = \frac{4}{ab} \int_0^a \int_0^b \psi(x, y) \, \sin(\alpha_l x) \, \sin(\beta_m y) \, dx dy,$$





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Wave Function Evolution for a Single Step:

$$\begin{split} \psi_{lm}(z+\tau/2) &= e^{-\frac{i\hbar\tau}{4p_0}\gamma_{lm}^2}\psi_{lm}(z).\\ &\quad V = \frac{1}{2}k(z)(x^2 - y^2) + \frac{1}{2}K\phi,\\ &\quad \tilde{\psi}(z+\tau/2) = e^{-i\frac{p_0}{\hbar}V\tau}\psi(z+\tau/2).\\ &\quad V_{lm}(z+\tau) = e^{-\frac{i\hbar\tau}{4p_0}\gamma_{lm}^2}\tilde{\psi}_{lm}(z+\tau/2). \end{split}$$





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Numerical Solution of Poisson's Equation for Space-Charge Effects (1)

$$\frac{\partial^2 \phi}{\partial x^2} + \frac{\partial^2 \phi}{\partial y^2} = -4\pi\rho,$$

$$\rho(x,y) = \int \int e^{-\frac{(x-x')^2}{2\sigma_x^2}} e^{-\frac{(y-y')^2}{2\sigma_y^2}} \psi(x',y') \psi^*(x',y') dx' dy',$$

Spectral Method with Sine Function Representation:





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Initial Condition and Diagnostics

Initial condition of wave function:

$$\psi(\mathbf{r},0) \propto \sum_{\mathbf{p}} \sqrt{f(\mathbf{r},\mathbf{p},0)} e^{i\mathbf{p}\cdot\mathbf{r}/\hbar+2\pi\phi_{\mathrm{rand},\mathbf{p}}},$$

Beam properties from wave function:

$$\begin{split} \langle x^{2} \rangle &= \int \int x'^{2} \psi \psi^{*} dx' dy' \\ \langle p_{x}^{2} \rangle &= \hbar^{2} \int \int \frac{\partial \psi}{\partial x'} \frac{\partial \psi^{*}}{\partial x'} dx' dy' \\ \langle xp_{x} \rangle &= \hbar \operatorname{Im} \left(\int \int x' \frac{\partial \psi}{\partial x'} \psi^{*} dx' dy' \right), \end{split}$$





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Test Case 1: No Space-Charge Effects (1)





• Good agreement between the PIC simulation and the quantum Schrodinger simulation.



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Test Case 1: No Space-Charge Effects (2)

Twiss Parameter Alpha Evolution

RMS Emittance Evolution



Both methods agree with each other well and show no emittance growth without the space-charge effects.





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Both the PIC and the quantum Schrodinger methods show initial beam size growth due to mismatched space-charge effects.





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Both methods show large emittance growth due the mismatched space-charge effects.





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Future Work

- Improve computational speed in differentiable space-charge modeling
- Extend the quantum Schrodinger approach to 3D space-charge
- Explore potential quantum computing application





