

Beam Dynamics in ring accelerators

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Topics

- 1) Theory of the linear beam dynamics
- 2) Nonlinear beam dynamics
- 3) Resonances
- 4) High intensity on beams
- 5) High intensity beams and resonances
- 6) Single and periodic crossing of resonances by high intensity beams
- 7) Intensity limitations: space charge and beyond



Reference orbit







Rigidity



 $p = \rho q B$

 $B
ho\,$ is called beam rigidity

Particle energy sets Bp, hence it is established a relation that connects particle energy with magnetic field, in order to keep a reference particle on the reference orbit





Bending magnets

Quadrupole magnet





Field expansion

In absence of current Maxwell equations become

 $\nabla \times B = 0$ $\nabla \cdot B = 0$



In 2 dimensions

General solution in the complex notation



$$V = Re\sum_{m=0}^{\infty} C_m (x+iy)^m$$

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Therefore the magnetic field reads

$$B_x = -\frac{\partial V}{\partial x} = -Re \sum_{m=1}^{\infty} C_m m (x+iy)^{m-1}$$
$$B_y = -\frac{\partial V}{\partial y} = -Re \sum_{m=1}^{\infty} C_m m i (x+iy)^{m-1}$$

Standard multipolar expansion

$$B_y + iB_x = B\rho \sum_{n=0}^{\infty} (k_n + ij_n) \frac{(x+iy)^n}{n!}$$



Equation of motion

What happen to a particle that is not the reference particle ?





Focusing around the design orbit



equation of motion on the frame of the design orbit



Equation of motion



valid in paraxial approximation

$$x'' - \left(k - \frac{1}{\rho^2}\right)x = \frac{1}{\rho}\frac{\Delta p}{p_0}$$
$$y'' + ky = 0$$

$$x(s) = x_h(s) + x_i(s)$$

Dispersion

$$x(s) = C(s)x_0 + S(s)x'_0 + D(s)\frac{\Delta p}{p_0}$$

$$D(s) = \frac{x_i(s)}{\Delta p/p_0}$$



$$D''(s) + k(s)D(s) = \frac{1}{\rho}$$
$$D(s) = S(s) \int_{s_0}^{s} \frac{1}{\rho(t)} C(t)dt - C(s) \int_{s_0}^{s} \frac{1}{\rho(t)} S(t)dt$$

Map approach to transport

$$\begin{pmatrix} x \\ x' \\ \frac{\Delta p}{p_0} \end{pmatrix}_s = \begin{pmatrix} C & S & D \\ C' & S' & D' \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ x' \\ \frac{\Delta p}{p_0} \end{pmatrix}_{s_0}$$



Examples

Quadrupole Drift $M_x = \begin{pmatrix} 1 & l & 0\\ 0 & 1 & 0\\ 0 & 0 & 1 \end{pmatrix} \qquad M_x = \begin{pmatrix} \cosh \psi & \frac{1}{\sqrt{|k|}} \sinh \psi & 0\\ \sqrt{|k|} \sinh \psi & \cosh \psi & 0\\ 0 & 0 & 1 \end{pmatrix}$ $M_z = \begin{pmatrix} \cos\psi & \frac{1}{\sqrt{|k|}}\sin\psi & 0\\ -\sqrt{|k|}\sin\psi & \cos\psi & 0\\ 0 & 0 & 1 \end{pmatrix}$

Courant-Snyder theory

$$x''(s) + k_x(s)x(s) = 0$$
$$k_x(s) = k_x(s+L)$$

$$x(s) = \sqrt{\epsilon_x \beta_x(s)} \sin(\psi_x(s) + \delta_x)$$

Parameterization of the solution

$$\begin{split} \psi'_x \beta'_x &+ \psi''_x \beta_x = 0 & \text{Twiss} \\ \frac{\beta''_x}{2} &- \frac{(\beta'_x)^2}{4\beta_x} - \beta_x (\psi'_x)^2 + \beta_x k_x = 0 \\ \psi_x(s) &= c_x \int_0^s \frac{ds'}{\beta_x(s')} & \text{Choice} \Rightarrow \ c_x = 1 \end{split}$$

The requirement of periodicity is also a choice





Matrix formulation $\mathbf{x}(s) = T(s)R(s)\mathbf{w}$

$$\mathbf{w} = \begin{pmatrix} \sqrt{\epsilon_x} \sin \delta_x \\ \sqrt{\epsilon_x} \cos \delta_x \\ \sqrt{\epsilon_y} \sin \delta_y \\ \sqrt{\epsilon_y} \cos \delta_y \end{pmatrix} T(s) = \begin{pmatrix} \sqrt{\beta_x(s)} & 0 & 0 & 0 \\ -\frac{\alpha_x(s)}{\sqrt{\beta_x(s)}} & \frac{1}{\sqrt{\beta_x(s)}} & 0 & 0 \\ 0 & 0 & \sqrt{\beta_y(s)} & 0 \\ 0 & 0 & -\frac{\alpha_y(s)}{\sqrt{\beta_y(s)}} & \frac{1}{\sqrt{\beta_y(s)}} \end{pmatrix}$$
$$\alpha_x = -\frac{1}{2}\beta'_x$$
$$R(\psi(s)) = \begin{pmatrix} \cos\psi_x(s) & \sin\psi_x(s) & 0 & 0 \\ -\sin\psi_x(s) & \cos\psi_x(s) & 0 & 0 \\ 0 & 0 & \cos\psi_y(s) & \sin\psi_y(s) \\ 0 & 0 & -\sin\psi_y(s) & \cos\psi_y(s) \end{pmatrix}$$



Lab frame, Courant-Snyder frame

$$\mathbf{x}(s) = T(s)R(\psi(s))T(0)^{-1}\mathbf{x}(0)$$

$$\hat{\mathbf{x}}(s) = T(s)^{-1}\mathbf{x}(s) \longrightarrow \hat{\mathbf{x}}(s) = R(\psi(s))\hat{\mathbf{x}}(0)$$

$$\mathbf{x}' = L\mathbf{x} \longrightarrow L = TRT^{-1}$$

$$\begin{array}{c} \mathbf{x}(0) \longrightarrow \mathbf{x}(s) & \text{Lab frame} \\ T(0) & T(s) & \text{Lab frame} \\ \hline \mathbf{x}(0) \longrightarrow \mathbf{x}(s) & \text{C-S frame} \end{array}$$



One turn map

It is the map after that tracks particles for one turn

$$L = \begin{pmatrix} L_x & 0\\ 0 & L_y \end{pmatrix}$$

$$L_x = \begin{pmatrix} \cos(2\pi Q_x) + \alpha_x \sin(2\pi Q_x) & \beta_x \sin(2\pi Q_x) \\ -\gamma_x \sin(2\pi Q_x) & \cos(2\pi Q_x) - \alpha_x \sin(2\pi Q_x) \end{pmatrix}$$

$$\gamma_x = \frac{1 + \alpha_x^2}{\beta_x}$$



Dynamics at fix position

In C-S the x-invariant is

 $\epsilon_x = \hat{x}^2 + \hat{p}_x^2$

In the Laboratory frame the x-invariant is

$$\epsilon_x = \gamma_x x^2 + 2\alpha_x x x' + \beta_x {x'}^2$$



The collection of all the iterates is called orbit



Beam distribution: matched beam

In a circular accelerator matched means that after one turn the beam is seen exactly the same as before



Method: the Courant-Snyder ellipses are uniformly populated





Beam envelope

For a matched beam, beam envelopes are easy to define





RMS emittance, emittance

$$\langle x^2 \rangle = \frac{1}{N} \sum_{i=1}^N x_i^2$$
$$\langle p_x^2 \rangle = \frac{1}{N} \sum_{i=1}^N p_{x,i}^2$$
$$\langle xp_x \rangle = \frac{1}{N} \sum_{i=1}^N x_i p_{x,i}$$
$$E_x^2 = \langle x^2 \rangle \langle p_x \rangle - \langle xp_x \rangle$$

RMS emittance depends on the beam distribution





RMS Envelope equation

From the equation of motion

$$X = \sqrt{\langle x^2 \rangle}$$

 $x + k_x(s)x = 0$

$$X'' + k_x(s)X - \frac{E^2}{X^3} = 0$$

Here the RMS emittance E_x remains constant

Perfectly equivalent to

$$\frac{1}{2}\beta_x\beta_x'' - \frac{1}{4}{\beta_x'}^2 + k_x(s)\beta_x^2 = 1$$



Beam distributions

Distribution Function	Definition (Normalized), $f(r_4)$	Ratio of Total Emittance to rms Emittance, $\epsilon_t/\bar{\epsilon}$	Particle Density in Real Space, $r^2 = x^2 + y^2$
Kapchinsky– Vladimirsky (K–V)	$\frac{1}{2\pi^2 a^3}\delta(r_4-a)$	4	$\frac{1}{\pi a^2}$
Waterbag (WB)	$\frac{2}{\pi^2 a^4}$	6	$\frac{2}{\pi a^2} \left(1 - \frac{r^2}{a^2}\right)$
Parabolic (PA)	$\frac{6}{\pi^2 a^4} \left(1 - \frac{r_4^2}{a^2}\right)$	8	$\frac{10}{3\pi a^2} \left(1 - 3\frac{r^2}{a^2} + 2\frac{r^3}{a^3}\right)$
Gaussian	$\frac{1}{4\pi^2\delta^4}\exp\left(-\frac{r_4^2}{2\delta^2}\right)$	$\simeq n^2$ if truncated	$\frac{1}{2\pi\delta^2}\exp(-\frac{r^2}{2\delta^2})$
(GA)	$\delta^2 = \overline{x^2}$	at nδ, n ≥ 4	



Equation of motion: nonlinearities

A derivation

$$\frac{d}{dt}m\gamma v = qv \times B + qE$$

Change variable
$$t \rightarrow s$$

$$\frac{d}{dt}v_x = v\frac{d}{ds}v_x = v^2\frac{d^2x}{ds^2}$$

valid in paraxial approximation

$$\frac{d^2x}{ds^2} = -\frac{q}{m\gamma v}B_y \qquad \qquad \frac{d^2y}{ds^2} = \frac{q}{m\gamma v}B_x$$





Now remember the field expansion

$$B_y + iB_x = B\rho \sum_{n=0}^{\infty} (k_n + ij_n) \frac{(x+iy)^n}{n!}$$



Nonlinear dynamics

Equation of motion

$$\frac{d^2x}{ds^2} - k_x x = Re\left[\sum_{n=2}^{M} (k_n(s) + ij_n(s))\frac{(x+iy)^n}{n!}\right]$$
$$\frac{d^2y}{ds^2} - k_y y = -Im\left[\sum_{n=2}^{M} (k_n(s) + ij_n(s))\frac{(x+iy)^n}{n!}\right]$$



Beam in phase space

Example: Qx = 4.252, R = 34.4 m, 1 octupole k_3 = 0.1 m⁻⁴



Fixed points, Islands



Dynamic aperture

In a nonlinear system particles at large amplitude the motion becomes unstable



Resonances



Resonance: $Q_H = m$



Resonances

Integer resonance

$$\frac{d^2x}{d\theta^2} + Q_H^2 x = \epsilon \cos(m\theta)$$



Particular solution

$$\tilde{x} = \frac{\epsilon\theta}{Q_H + m} \sin\left(\frac{Q_H + m}{2}\theta\right) \frac{2}{(Q_H - m)\theta} \sin\left(\frac{Q_H - m}{2}\theta\right)$$
Resonance condition $Q_H \pm m = 0$



General treatment

Systematic approach with a perturbative theory. Take this simple Hamiltonian:

$$\begin{split} U(a_{n},\theta) &= \sum_{\nu} \sum_{\substack{q+s=\nu \\ qqss \ 0}} h_{qqss \ 0}^{(2\nu)} (a_{1}\bar{a}_{1})^{q} (a_{2}\bar{a}_{2})^{s} + \\ x &= a_{1}u e^{iQ_{x}\theta} + \bar{a}_{1}\bar{u} e^{-iQ_{x}\theta} \\ z &= a_{2}v e^{iQ_{2}\theta} + \bar{a}_{2}\bar{v} e^{-iQ_{2}\theta} \\ u, v \text{ are the Floquet's function}} \\ + \sum_{\substack{N \\ j+k+\ell=n \\ j+k+\ell=n \\ k-m=n_{z} \\ |n_{x}|+|n_{z}|=N^{\star}}} \left\{ h_{jk\ell m-p}^{(N)} a_{1}^{j}\bar{a}_{1}^{k}a_{2}^{\ell}\bar{a}_{2}^{m} \exp\left[i\left(n_{x}Q_{x}+n_{z}Q_{z}-p\right)\theta\right] + \\ a1, a2 \\ are variable invariant! \\ + h_{kjm\ell p}^{(N)} a_{1}^{k}\bar{a}_{1}^{j}a_{2}^{m}\bar{a}_{2}^{\ell} \exp\left[-i\left(n_{x}Q_{x}+n_{z}Q_{z}-p\right)\theta\right] \right\}. \end{split}$$



Driving terms



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Resonances on working diagram

$$nQ_{x} + mQ_{y} = N \quad 0.6$$

$$|n| + |m| = \text{ order} \quad 0.4$$

$$0.2$$

$$0.2 \quad 0.4 \quad 0.6 \quad 0.8 \quad 1$$

$$0.2 \quad 0.4 \quad 0.6 \quad 0.8 \quad 1$$

$$Q_{x}$$





Coulomb forces



GS¹
Compared with lattice forces



High intensity beams: space charge





Self-field







$$c = \frac{1}{\sqrt{\epsilon_0 \mu_0}}$$

Self-field

$$E_r = \frac{q}{\epsilon_0} \frac{1}{r} \int_0^r rn(r) dr \quad B_\theta = \frac{q\beta}{c\epsilon_0} \frac{1}{r} \int_0^r rn(r) dr$$



Total field on a particle

$$E_r = \left(\frac{q}{\epsilon_0} - \frac{q\beta^2}{\epsilon_0}\right) \frac{1}{r} \int_0^r rn(r) dr = \frac{q}{\gamma^2 \epsilon_0} \frac{1}{r} \int_0^r rn(r) dr$$

Effect of the self-field it damps the space charge field as γ^2

For a Gaussian coasting beam

$$E_r = \frac{\lambda q}{2\pi\epsilon_0 \gamma^2} \frac{1}{r} \left[1 - \exp\left(-\frac{r^2}{2\sigma_r^2}\right) \right]$$



Equation of motion

$$\frac{d}{dt}\frac{v_x}{v} = -\frac{B_y}{B\rho} + \frac{q}{m\gamma v}E_x \qquad \frac{d}{dt}\frac{v_y}{v} = \frac{B_x}{B\rho} + \frac{q}{m\gamma v}E_y$$

Linear lattice
$$B_y + iB_x = B\rho k_1(x+iy)$$
 $B_x = B\rho k_1 y$ $B_y = B\rho k_1 x$

$$\frac{d^2x}{ds^2} = -k_1x + \frac{q}{m\gamma v^2}E_x \qquad \qquad \frac{d^2y}{ds^2} = k_1y + \frac{q}{m\gamma v^2}E_y$$



In one plane

$$\frac{d^2x}{ds^2} + k_1 x = \frac{q^2\lambda}{2\pi\epsilon_0 m\gamma^3 \beta^2 c^2} \frac{x}{r^2} \left[1 - \exp\left(-\frac{r^2}{2\sigma_r^2}\right) \right]$$

For small amplitudes

Usually this is a perturbation

T

$$\frac{d^2x}{ds^2} + k_1 x = \frac{q^2\lambda}{2\pi\epsilon_0 m\gamma^3\beta^2 c^2} \frac{x}{2\sigma_r^2}$$

Perveance
$$K = rac{qI}{2\pi\epsilon_0 m\gamma^3\beta^3c^3}$$



RMS equivalence

Envelope equation including space charge

For ellipsoidal 2D beams

$$X'' + k_x X - \frac{E_x^2}{X^3} - \frac{e}{mN} \frac{\langle x \mathcal{E}_x \rangle}{Y} = 0 \qquad \mathcal{E}_x(x, y) = 2\pi eabx \int_0^\infty \frac{n(T)ds}{(a^2 + s)^{3/2}(b^2 + s)^{1/2}}$$
$$Y'' + k_y Y - \frac{E_y^2}{Y^3} - \frac{e}{mN} \frac{\langle y \mathcal{E}_y \rangle}{Y} = 0 \qquad \mathcal{E}_y(x, y) = 2\pi eaby \int_0^\infty \frac{n(T)ds}{(a^2 + s)^{1/2}(b^2 + s)^{3/2}}$$

$$T = \frac{x^2}{a^2 + s} + \frac{y^2}{b^2 + s}$$





It is proved that

$$\langle x\mathcal{E}_x\rangle = \frac{eN^2X}{X+Y}$$

$$X'' + k_x X - \frac{E_x^2}{X^3} - \frac{eN}{m} \frac{1}{X+Y} = 0$$
$$Y'' + k_y Y - \frac{E_y^2}{Y^3} - \frac{eN}{m} \frac{1}{X+Y} = 0$$

for any distribution (matched!)!

RMS equivalence

2D beams with the same RMS sizes have the same evolution of RMS sizes

It is again assumed that the beam emittance are preserved



Evolution of beam distribution

The evolution of a beam distribution is determined by Vlasov equation

$$\frac{\partial f}{\partial t} + \dot{x}\frac{\partial f}{\partial x} + \dot{p}_x\frac{\partial f}{\partial p_x} = 0$$

For example, for a distribution

$$f(x, p_x) = F(\beta_x p_x^2 + 2\alpha_x x p_x + \gamma_x x^2)$$

for the equation of motion $\ \ddot{x} = -k(s)x$

Satisfies the Vlasov equation for any F(). (Why not prove it?) Note that matching and self-consistency are separate issues



The KV distribution

The KV distribution is defined as

$$f(x, p_x, y, p_y, s) = \delta \left(\frac{\beta_x p_x^2 + 2\alpha_x x p_x + \gamma_x x^2}{E_x} + \frac{\beta_y p_y^2 + 2\alpha_y y p_y + \gamma_x x^2}{E_y} - 1 \right)$$

This distribution satisfies Vlasov equation (using the self-consistent Twiss parameters)

Exercise: prove that the projection in any plane is uniform

The space charge force is always linear !

Twiss parameters with space charge

For a KV distribution the space charge acts like a linear force that can be included in the Courant-Snyder theory

$$F_x = \frac{Kx}{\sqrt{\beta_x E_x} (\sqrt{\beta_x E_x} + \sqrt{\beta_y E_y})}$$

$$\frac{d^2x}{ds^2} + k_x(s)x = \frac{Kx}{\sqrt{\beta_x E_x}(\sqrt{\beta_x E_x} + \sqrt{\beta_y E_y})}$$
Inction
Intensity
$$\begin{array}{l} X(s) = \sqrt{\beta_x(s)E_x} & \beta_x(s) \\ Y(s) = \sqrt{\beta_y(s)E_y} & \beta_y(s) \end{array}$$

Now the beta function is function of the intensity



Now the Twiss parameters that include space charge satisfy the condition



The same beta function

A method

$$\beta_{x,0}(s) \to \beta_{x,1}(s) \to \beta_{x,2}(s) \to \ldots \to \beta_{x,\infty}(s)$$



Self-consistent Twiss

Self-consistent distributions



If f() is independent on t, f() is said "self-consistent"

The KV distribution is constructed "t" independent \rightarrow it is "self-consistent" (prove it)



Tune-shift

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Detuning
$$\Delta Q_x = \frac{1}{4\pi} \oint \beta_x(s) k_{sc,x}(s) ds$$

Prove this relation using the matrix formalism

Therefore
$$\Delta Q_x = rac{K}{4\pi} \oint rac{eta_x(s)}{2\sigma_r(s)^2} ds = rac{K}{4} rac{R}{\epsilon_x^2}$$

If the beam is not axi-symmetric

$$\Delta Q_x = \frac{\overline{\beta}_x}{2} R \frac{K}{\sqrt{\overline{\beta}_x \epsilon_x} \left(\sqrt{\overline{\beta}_x \epsilon_x} + \sqrt{\overline{\beta}_y \epsilon_y}\right)}$$



Space charge tune-spread





Amplitude dependent detuning

Space charge create an amplitude dependent detuning





Space charge vs. nonlinearities

Standard nonlinear components

The space charge

$$\Delta Q_a(\epsilon_x) = a_1 \epsilon_x + a_2 \epsilon_x^2 + O(\epsilon_x^3)$$
$$\Delta Q_x \propto \frac{1}{1 + [x_m/(2\sigma_x)]^2} \qquad \begin{array}{l} \text{Prove f} \\ \text{wrong} \end{array}$$

-0.02

-0.04

-0.06

-0.08

-0.1

0

2

6

8

Space charge detuning

Prove that this is wrong within 4%

Nonlinear driving term detuning x 10⁻

-0.2

-0.4

-0.6

-0.8

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The space charge detuning has a different nature from the lattice nonlinear errors induced detuning

	small amplitudes	large amplitudes
Lattice nonlinear error	zero	Large
Space charge	Maximum	zero

Consequence: when the bare tune is set near



Space charge as driving term

Space charge is a nonlinear force as any other can be. Example in 1D

$$\frac{d^2x}{ds^2} + k_1 x = K \frac{1}{x} \left[1 - \exp\left(-\frac{x^2}{2\sigma_r^2}\right) \right]$$

Expanding space charge force



Difficulties

If all particles grow of amplitudes, then the rms size growth as well...

But then the strength of the resonance diminishes....

On the other hand not all particles are resonant, because each particle has different tune.

怎么回事?





4th order structure resonance induced by space charge



S. Machida 1991



More difficulties

Due to space charge beam edge oscillates following a mode-decomposition



In certain condition modes becomes unstable. PIC simulation of a FODO cell (C. Benedetti)



Stability chart first made by Ingo Hofmann (PRE, 1998)

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Troubles



 $KV \rightarrow$ the beam creates a linear coupling that makes the beam to resonate with the beam it-self





Example: of the space charge effect on the linearly coupled motion





Space charge and magnet resonances (Troubles continuation)

Resonances appears shifted by the tune-shift

When particles increase amplitude they get out of the resonance

Stabilization of otherwise unstable phase space







We all live in a 6D phase space



Longitudinal phase space





In an ideal world





Coasting beams and bunched beams

In a coasting beam the particle density does not change





Bunch beam



Single and periodic crossing of resonances by high intensity beams



Periodic resonance crossing induced by space charge





Trapping

Adiabatic longitudinal motion

In the phase space





Scattering



Diffusive beam loss, emittance growth, beam shortening

CERN-PS 2003 \rightarrow PRSTAB



The dynamics is very complex as space charge is varying due to beam loss: it is referred to as the "self-consistent" problem



GSI 2008 \rightarrow somewhere in PRSTAB



Nightmare for projects





Removing lattice resonances



(but is it true? \rightarrow experimental tests)
Scaling from first principle ?





Intensity limitation





Summary

Nonlinear Resonances

- single particle motion (incoherent)
- orbit deformations
- long term effects: resonances and dynamic aperture

High intensity + Nonlinear errors

Long storage

High Intensity effects

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- many particle force (coherent)
- short term effects
- coherent beam motion
- strong in linac



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Beyond...



Very Large Storage Ring



Prove that the momentum compaction of a particle in the Saturn ring is

$$\alpha_p = -2$$



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