

High Intensity Effects in Linacs

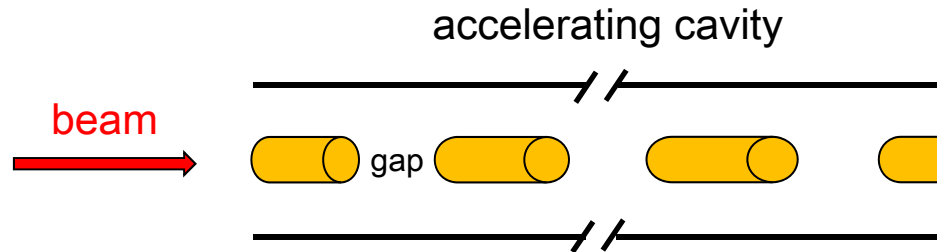


L. Groening, *GSI, Germany*

Outline

- Beam Loading
- Space Charge Field, Tune Shift
- Emittance Growth, Matching
- Resonances & Instabilities
- Particle-Particle close Encounters
- *Coherent Radiation*

Beam Loading



- to provide design accelerating field inside cavity, a fixed amount of rf-power P_{cav} is required
 - P_{cav} depends on cavity geometry, material, and field oscillation mode
 - P_{cav} dissipated by finite resistance of the cavity material
 - power transferred to beam called beam load
- $$P_b := \Delta E_u \cdot A \cdot \dot{N} = \frac{\Delta E_u \cdot A \cdot I}{qe}$$
- beam load can considerable exceed P_{cav}
 - beam load should be provided fastly, i.e. while beam fills cavity

$$\tau_{fill} \sim n_{gaps} \cdot \tau_{rf} = \frac{n_{gaps}}{f_{rf}}$$

Beam Loading Examples



	GSI Alvarez Cavity I	FAIR Proton Linac CH-Cavity II
Ion	$^{40}\text{Ar}^{10+}$	Protons
Energy Gain per Nucleon	2.21 MeV/u	12.5 MeV
Beam Current	10 mA	70 mA
Beam Loading	88 kW	870 kW
P_b/P_{cav}	0.18	1
n_{gaps}	62	27
T_{rf}	9.2 ns	3.1 ns
$T_{\text{fill}}/T_{\text{rf}}$	62	13.5

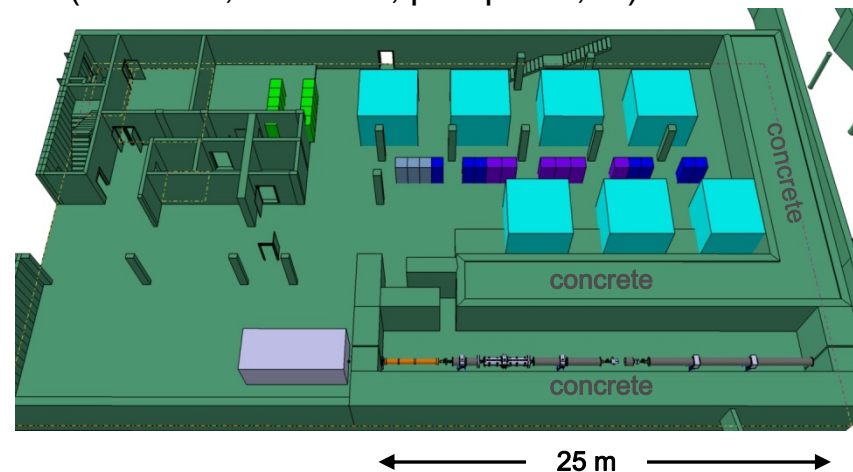
- rf-power sources are major cost contribution for linacs
- linac project cost are sensitive to beam loading
- generally rf-controls work slower, $\approx 1500 \text{ ns} \approx 300 T_{\text{fill}}$
- bunches within this time are accelerated less \rightarrow lost or appropriately cut away

More Beam → More Losses → More Cost



- more ions in the machine lead to higher losses
- lost ions hit the equipment and might cause damage
- machine needs protection, i.e. increasing demands on:
 - diagnostics
 - controls
 - equipment close to beam (cooling, radiation hard, etc ...)
- lost ions cause radiation: gammas, neutrons,
- persons must be protected from that, i.e.
 - machine inside tunnel from shielding material (concrete, stainless, paraffine, ...)
 - access rules & surveillance for tunnel

**main loss driver is electromagnetic
particle-particle interaction**



Space Charge Force within Coasting Beam



from Maxwell eqs,
Gauss & Stokes:

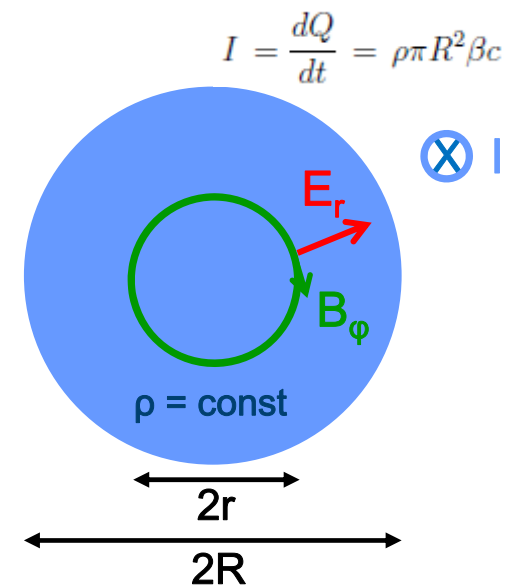
$$E_r(r) = E(r) = \frac{I}{2\pi\epsilon_0 R^2 \beta c} r \quad \text{repulsive}$$

$$B_\phi(r) = B(r) = \frac{\mu_0 I}{2\pi R^2} r \quad \text{attractive}$$

$$r'' = \frac{1}{\beta^2 c^2} \ddot{r} = \frac{eq}{Am_o \gamma \beta^2 c^2} [\vec{E} - \vec{v} \times \vec{B}]$$

$$r'' = \frac{eqI}{2\pi\epsilon_0 Am_o (\beta \gamma c)^3 R^2} r =: \frac{P}{R^2} r$$

$$\text{Perveance } P := \frac{eqI}{2\pi\epsilon_0 Am (\beta \gamma c)^3}$$



- net force is defocusing
- force decreases with energy: $\beta \rightarrow 1$: $r'' = 0$
- $\rho = \text{const}$: force is linear, acts like defocusing quadrupole

Space Charge Tune Shift



space charge adds to Hill's Equ.

$$x'' + \left[\kappa_o(s) - \frac{P}{R^2} \right] x := x'' + \kappa(s)x = 0$$

phase advance σ of oscillating x , called „tune“

$$\sigma = \sqrt{\kappa}$$

tune shift from space charge

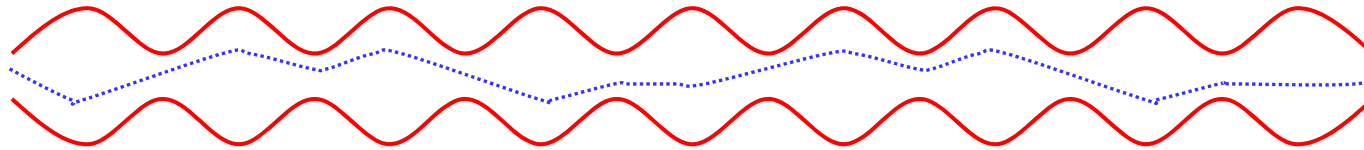
$$\sigma^2 = \sigma_o^2 - \frac{P}{R^2} =: \sigma_o^2 - \Delta\sigma^2$$

inhomogeneous beam

$$\rho = \rho(r) \longrightarrow \sigma(r) \longrightarrow \Delta\sigma(r) \longrightarrow \kappa(r)$$

- non-constant focusing $\kappa \rightarrow$ rms-emittance not preserved, but it grows !!!
- larger emittance \rightarrow larger beam size \rightarrow more losses
- space charge emittance growth can be minimized by „envelope-matching“

Matched Envelope



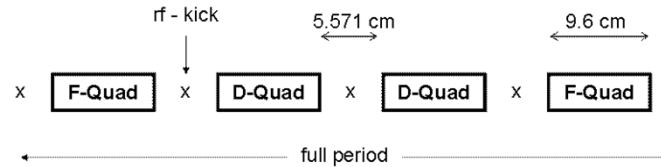
$$\sigma_{\text{part}} < \sigma_{\text{env}} = 360^\circ$$

- “matched” beam: periodicity of envelope reflects periodicity of the lattice
- matched beam is in equilibrium with its environment
- sum of total energy of beam particles is minimized (free energy is zero)

Matched Envelope (GSI Linac)



Alvarez DTL has periodic lattice

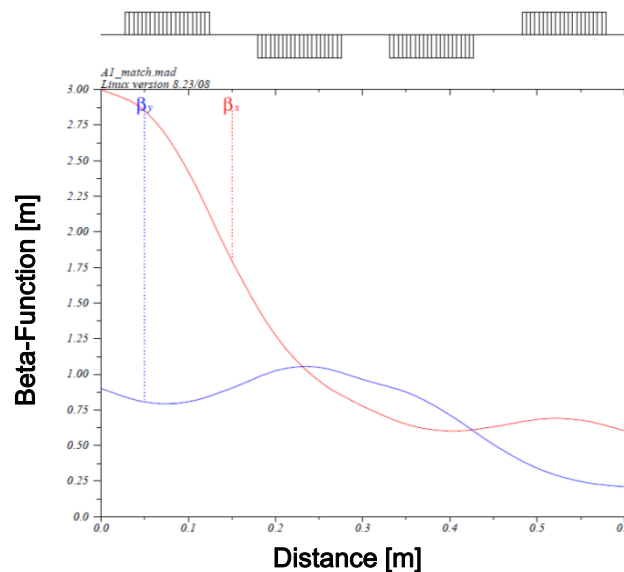


$$B' = 36.187 \text{ (T/m)} * \text{MAZ} / 8.5$$

$$\text{transv. rf-kick} = 0.1109 \text{ 1/m}$$

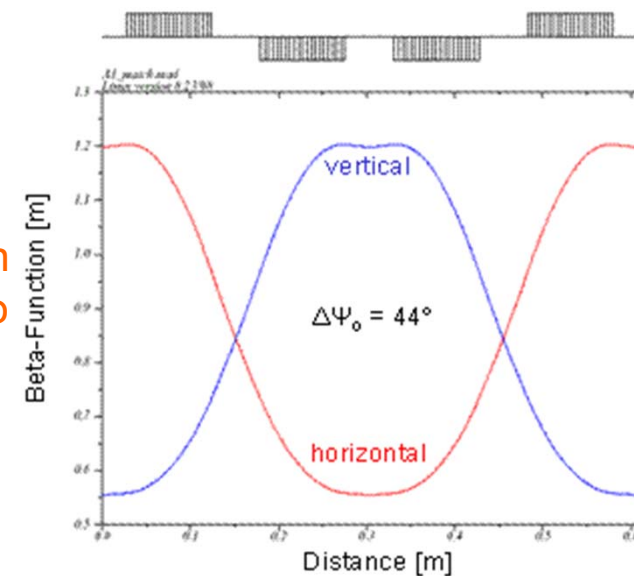
$$\text{long. rf-kick} = -0.2218 \text{ 1/m}$$

generally, envelope has an asymmetric, non-periodic shape

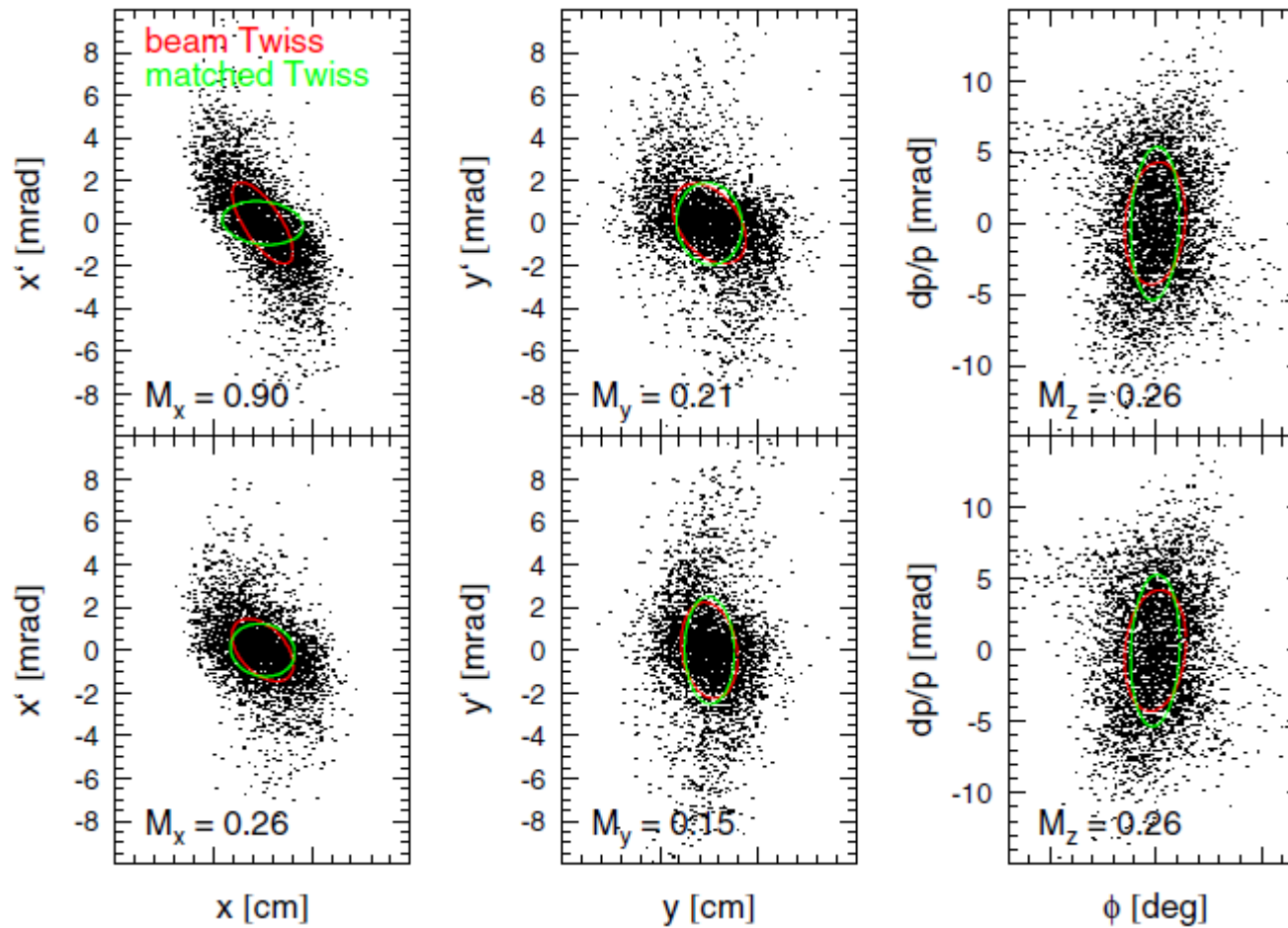


DTL-envelope depends on injection, i.e. "matching" to periodic lattice

lattice has one symmetric, periodic solution of envelope (matched)



Mismatch Definition



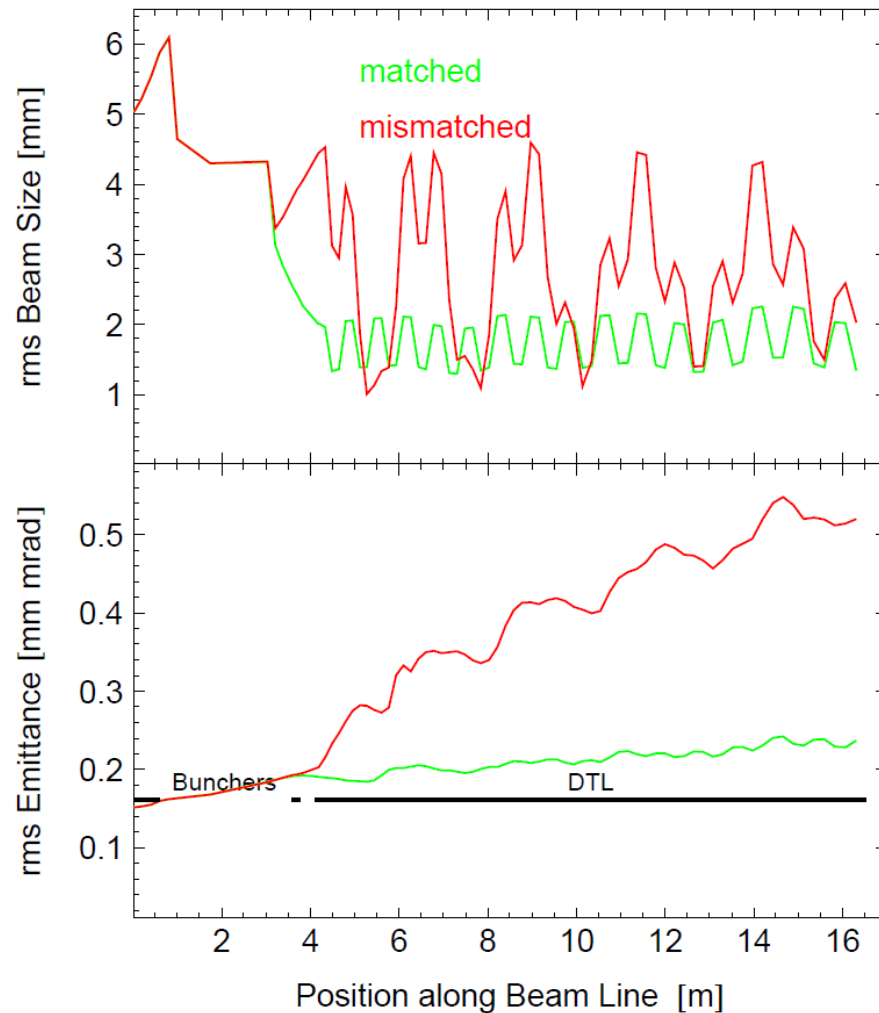
$$M = \left[1 + \frac{\Delta + \sqrt{\Delta(\Delta + 4)}}{2} \right]^{1/2} - 1$$

$$\Delta = (\Delta\alpha)^2 - \Delta\beta\Delta\gamma,$$

Matched Envelope → Minimized Emittance Growth



Simulation



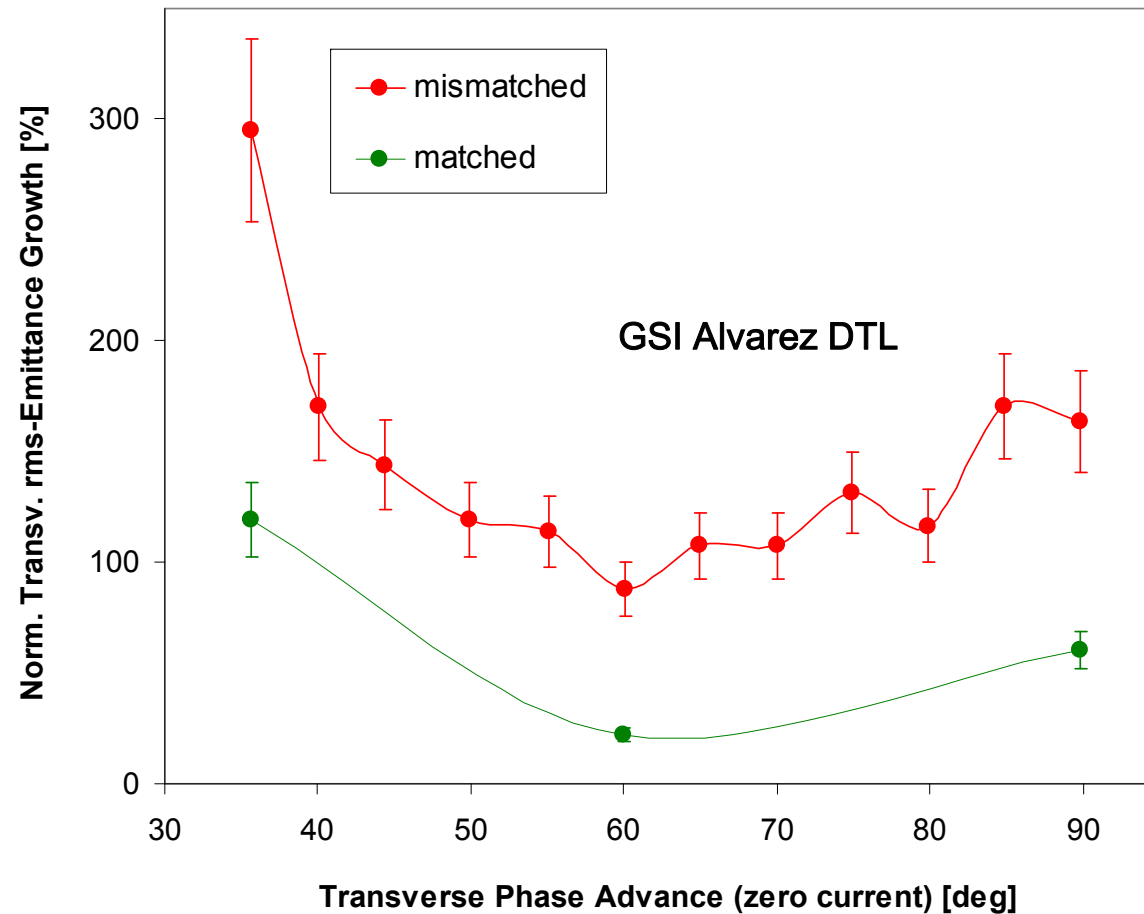
Mismatched Beams:

- might give also 100% transmission
- might deliver "nice" beam profiles
- increase emittance strongly
- manifest as beam losses later
- cannot be detected directly

Experimental Investigation of Matching



Measured emittance growth along the DTL for (mis)matched envelopes

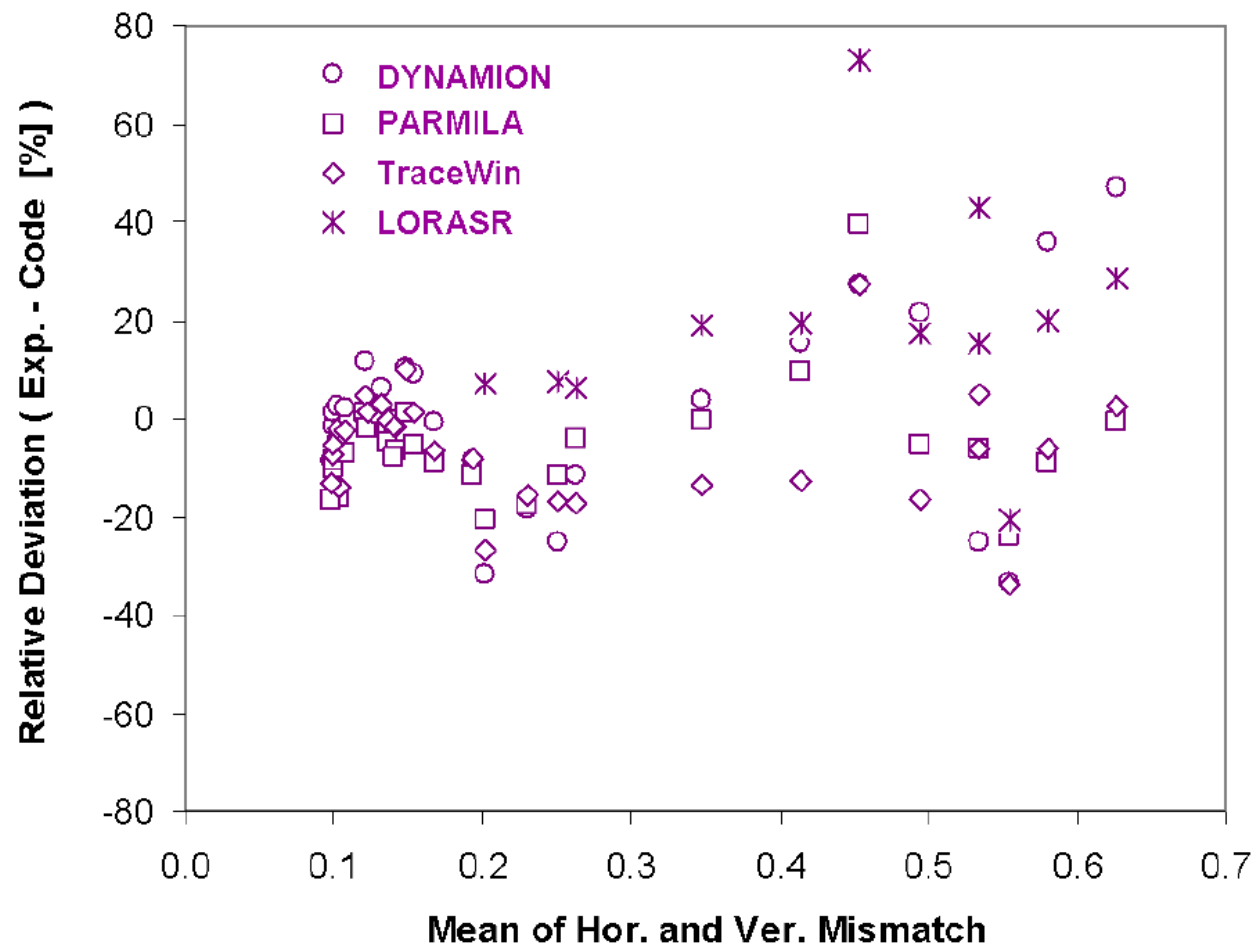


Beam matching successfully demonstrated, PRST-AB 11, 094201 (2008)

Matched Envelope → Higher Simulation Reliability



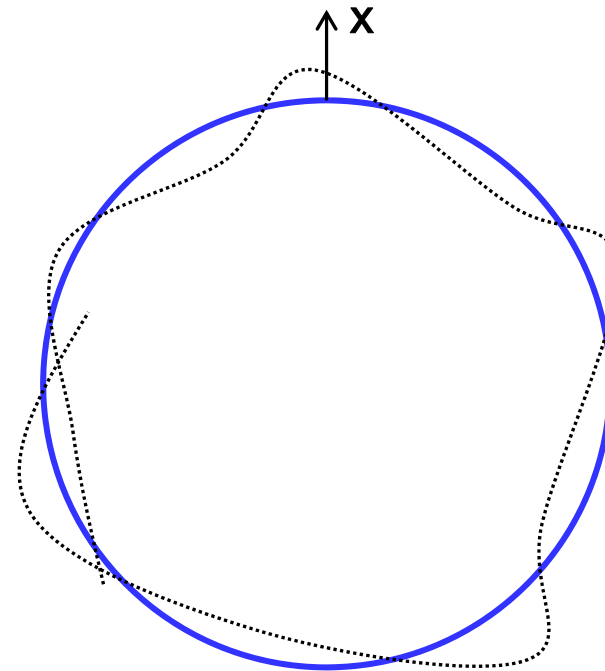
Comparison of measured & simulated rms-emittance growth along a drift tube linac



Resonances (in Circular Machines)



- Circular machines have intrinsically periodic focusing lattices
- Single particles do quasi-periodic oscillations around **design orbit**
- No perturbations: $x'' + \sigma^2 x = 0$
- σ is given by lattice, i.e. drifts, dipoles, and quadrupoles



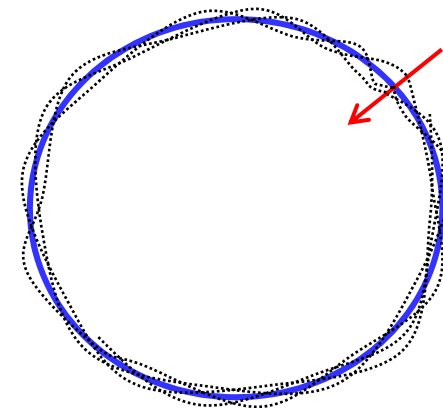
Resonances (in Circular Accelerators)



- **Perturbation** generally from errors in single devices (magnets)

- Each particle passes many times the perturbing device

- Perturbation kicks single particle



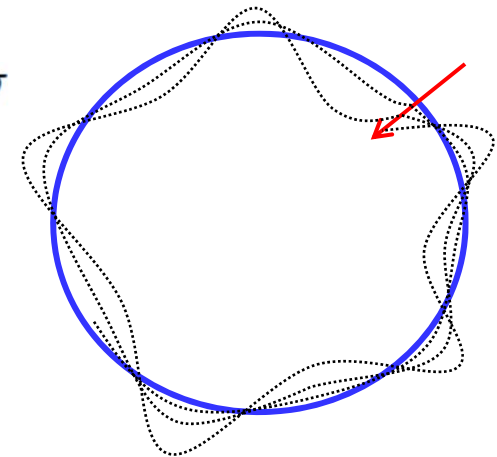
- Same **perturbation** is applied periodically to each particle: $x'' + \sigma^2 x = \underline{a \cdot x^n \cdot e^{i\sigma_p s}}$
 - $n=0$, pert $\sim x^0$, dipolar
 - $n=1$, pert $\sim x^1$, quadropolar
 - $n=2$, pert $\sim x^2$, sextupolar
 - $n=3$, pert $\sim x^3$, octupolar
 - ...

Resonances (in Circular Accelerators)



- Perturbations drive resonances and cause beam loss if σ is chosen badly
- Suppose perturbation is weak \rightarrow solution oscillates with un-perturbed σ : $x = e^{-i\sigma s}$
- Plug into perturbed oscillator equ.: $x'' + \sigma^2 x = a \cdot e^{-in\sigma s} \cdot e^{i\sigma_p s} = a \cdot e^{i(-n\sigma + \sigma_p)s}$
- Effective frequency of perturbation = $-n\sigma + \sigma_p$
- Resonance: perturbation at unperturbed frequency, i.e. $-n\sigma + \sigma_p = \sigma$

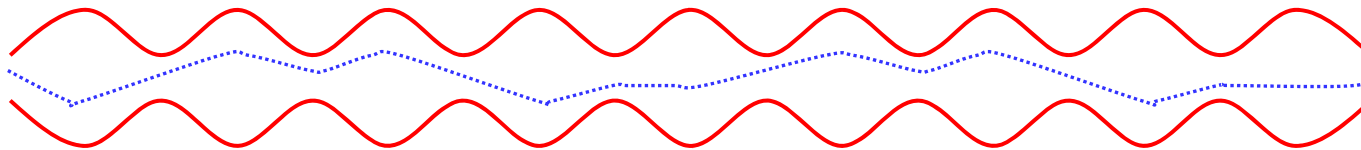
resonance at $\sigma = \frac{\sigma_p}{n+1}$



Resonances in a Linear Accelerator

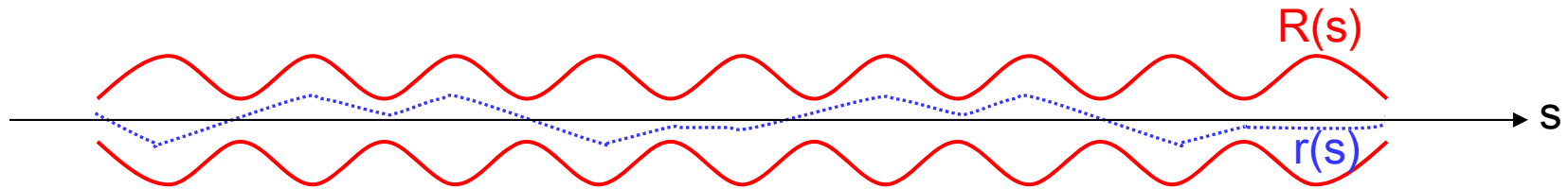


- Each device is seen by particle just once
- Single devices cannot cause resonant perturbation
- Q–diagrams are not used in linac design
- High beam current :
 - space charge (sc) of beam acts on each single particle
 - sc force acts always on particle
 - sc force depends on beam dimensions
 - periodic change of beam dimensions (**envelope**) → periodic sc force on **particle**



$$\sigma_{\text{part}} < \sigma_{\text{env}} = 360^\circ$$

Model for Resonance



- assumption of a periodically breathing beam **envelope** with phase advance σ_{env}
- **envelope** has radial symmetry
- bunch is homogeneously charged along s , length = $(\beta\lambda)/6 = 60^\circ$
- **single particle** experiences :
 - constant external focusing with σ_o from magnets
 - electric field of breathing envelope

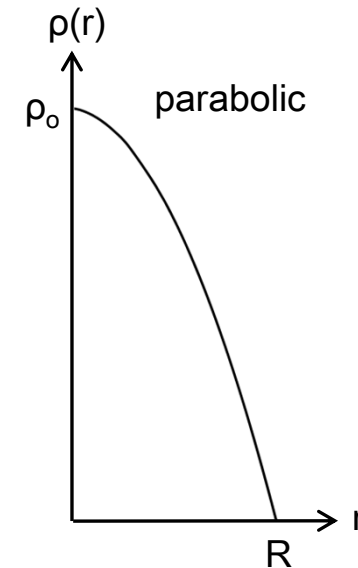
Model for Resonance



beam charge density depends on radius r :

$$\rho(r) = \rho_o(s) \cdot \left[1 - \frac{r^2}{R(s)^2} + O(r) \right] \quad r^{\geq 4} \text{ neglected}$$

breathing with σ_{env}



creating a field :

$$E_r = \frac{6 \cdot I}{\pi \epsilon_o \cdot R(s)^2 \beta c} \left[r - \frac{r^3}{2R(s)^2} \right], \quad r \leq R(s) \quad \text{octupolar field component (r}^3\text{)}$$

Single Particle Motion



single particle motion driven by two components ($\beta \ll 1$, self-magn. field neglected):

$$r'' = -\sigma_o^2 r + \frac{e \cdot q}{A \cdot m_u} \cdot E_r$$

↑
external focusing
↑
space charge field from beam (perturbation)

$$r'' + \left[\sigma_o^2 - \frac{eq}{Am_u} \cdot \frac{6 \cdot I}{\pi \epsilon_o \cdot R(s)^2 (\beta c)^3 \gamma} \right] r = -\frac{eq}{Am_u} \cdot \frac{3 \cdot I}{\pi \epsilon_o R(s)^4 (\beta c)^3 \gamma} \cdot r^3$$

tune depression from repulsive space charge

quasi-oscillates with envelope frequency

$$r'' + \left[\sigma_o^2 - \Delta \sigma^2 \right] r = a \cdot r^3 \cdot e^{i\sigma_{env}s}$$

$$r'' + \sigma^2 r = a \cdot r^3 \cdot e^{i\sigma_{env}s} \quad \text{depressed phase advance}$$

Single Particle Motion



$$r'' + \sigma^2 r = a \cdot r^3 \cdot e^{i\sigma_{env}s}$$

depressed phase advance

$$\text{Ansatz : } r = e^{-i\sigma s}$$

"New" oscillator equation :

$$r'' + \sigma^2 r = a \cdot e^{i(\sigma_{env} - 3\sigma)s}$$

frequency of effective perturbation

Resonance condition:

$$\sigma_{env} - 3\sigma = \sigma$$

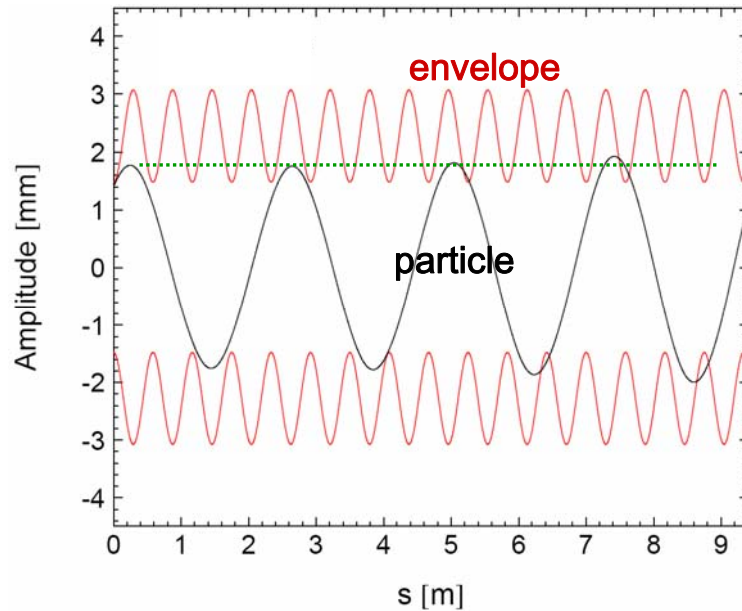
$$\text{resonance condition : } \sigma_{env} = 4\sigma$$

envelope oscillates 4 times faster than single particle

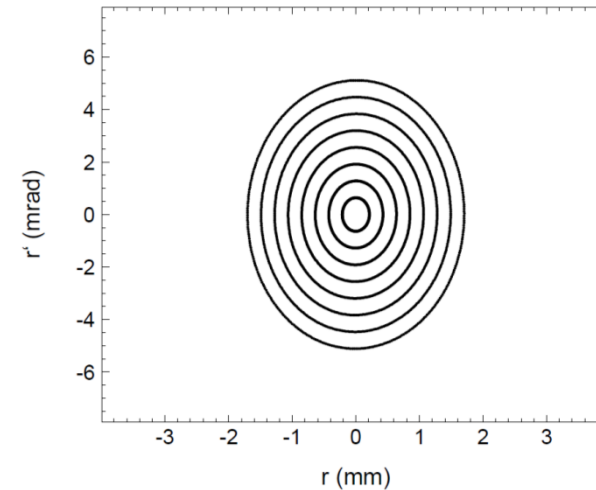
$$\sigma_{env} = 360^\circ \rightarrow \sigma = 90^\circ$$

4th order resonance occurs at $\sigma = 90^\circ$, i.e. $\sigma_o \geq 90^\circ$

Numerical Integration of Diff. Equation



initial phase space distribution

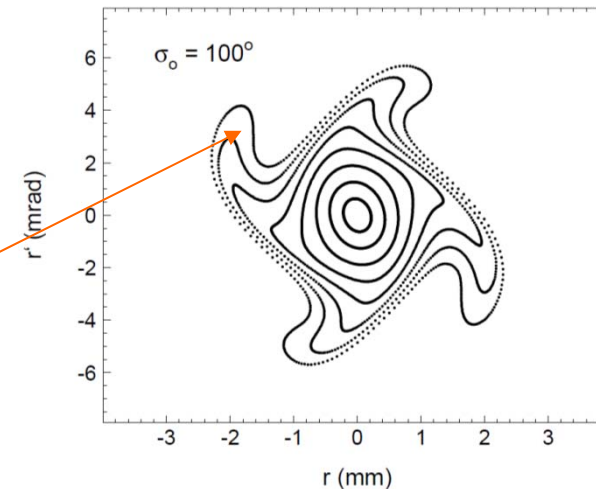


$$\sigma_{\text{oscillation}}(\text{envelope}) = 4 * \sigma_{\text{oscillation}}(\text{particle})$$

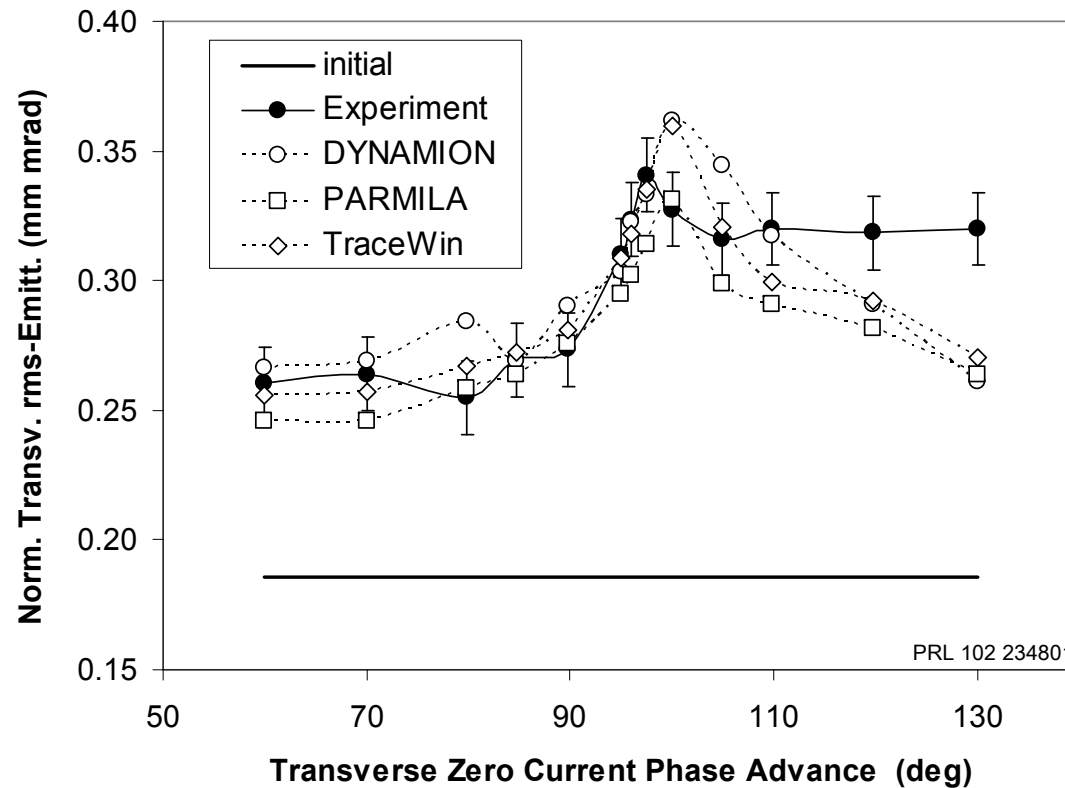
→ resonant excitation of single particles

4 wings: characteristic feature of
4th order resonance

final phase space distribution



Measurements: DTL Exit rms Emittance vs. σ_0

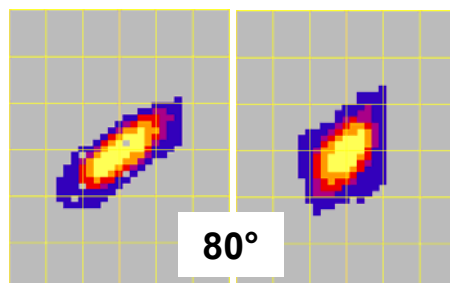


- strong growth approaching $\sigma_0 \approx 100^\circ$
- tune depression: $\sigma_0 \approx 100^\circ \rightarrow \sigma \approx 90^\circ = 360^\circ / 4$
- good agreement with three simulation codes
- strong hint for space charge driven 4th order resonance

Proof for 4th Order Resonance in the UNILAC

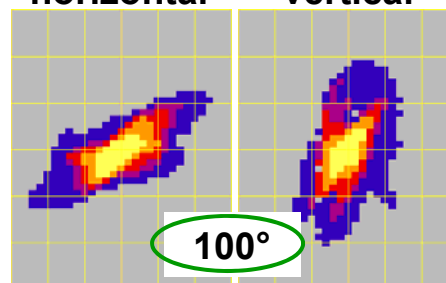


measurements

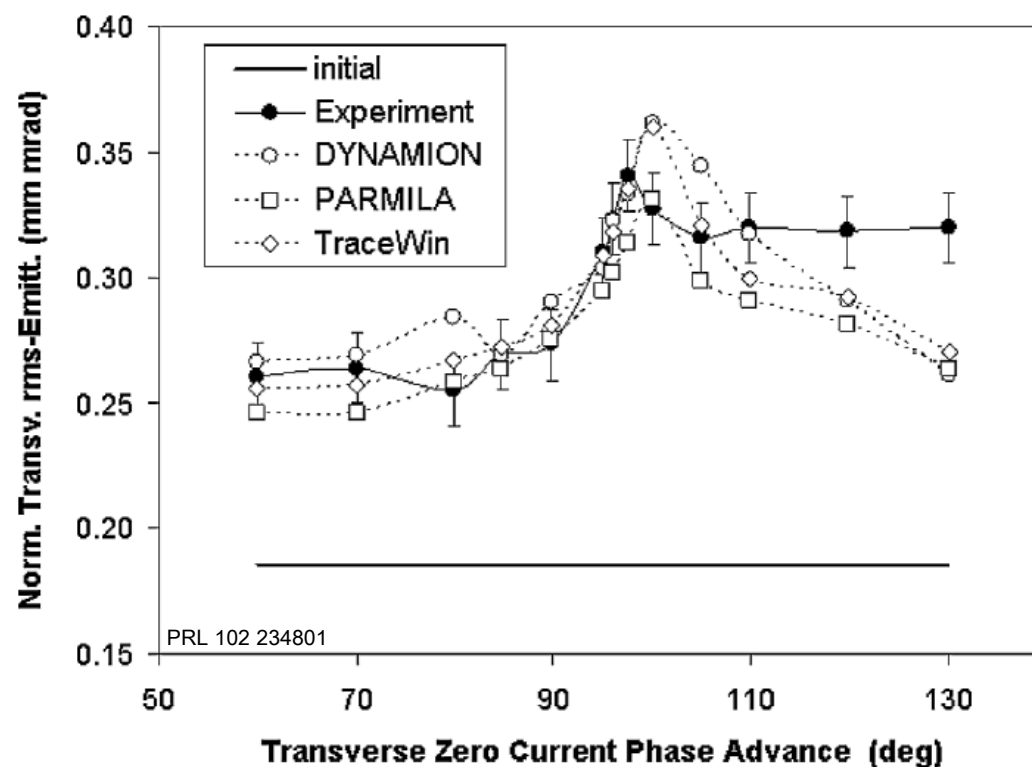
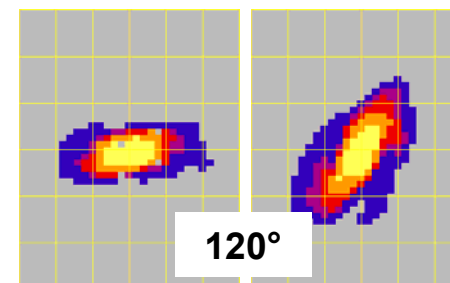


horizontal

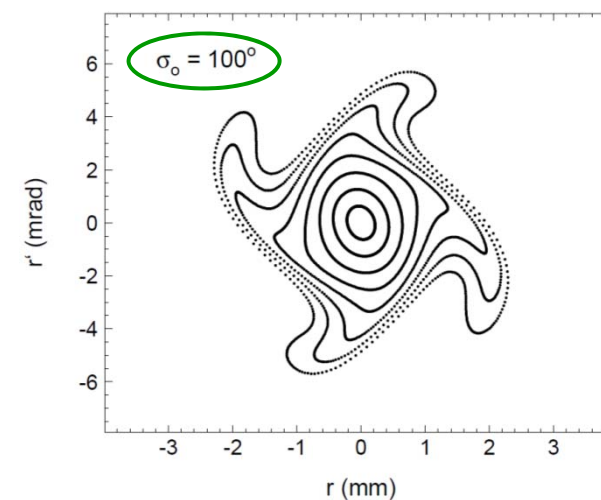
vertical



120°



4 wings were observed



4th Order Space Charge Resonance in a Linac



- predicted by D. Jeon (SNS/ORNL) → PRST-AB 12, 054204 (2009)
- measured first time in GSI UNILAC
- justifies golden design rule of avoiding $\sigma_o > 90^\circ$ in the design of linac lattices
- rule originally to avoid so-called „envelope instability“, which
 - assumes a homogeneously charged beam: $\delta = \text{const}$
 - states that mismatch results in exp. envelope growth at $\sigma_o \geq 90^\circ$
 - but :
 - beams with $\delta = \text{const}$ (to my knowledge) have never been seen
 - this instability is much weaker than resonant emittance growth

Envelope Instability ?



M. Reiser, *Theory and Design of Charged Particle Beams*

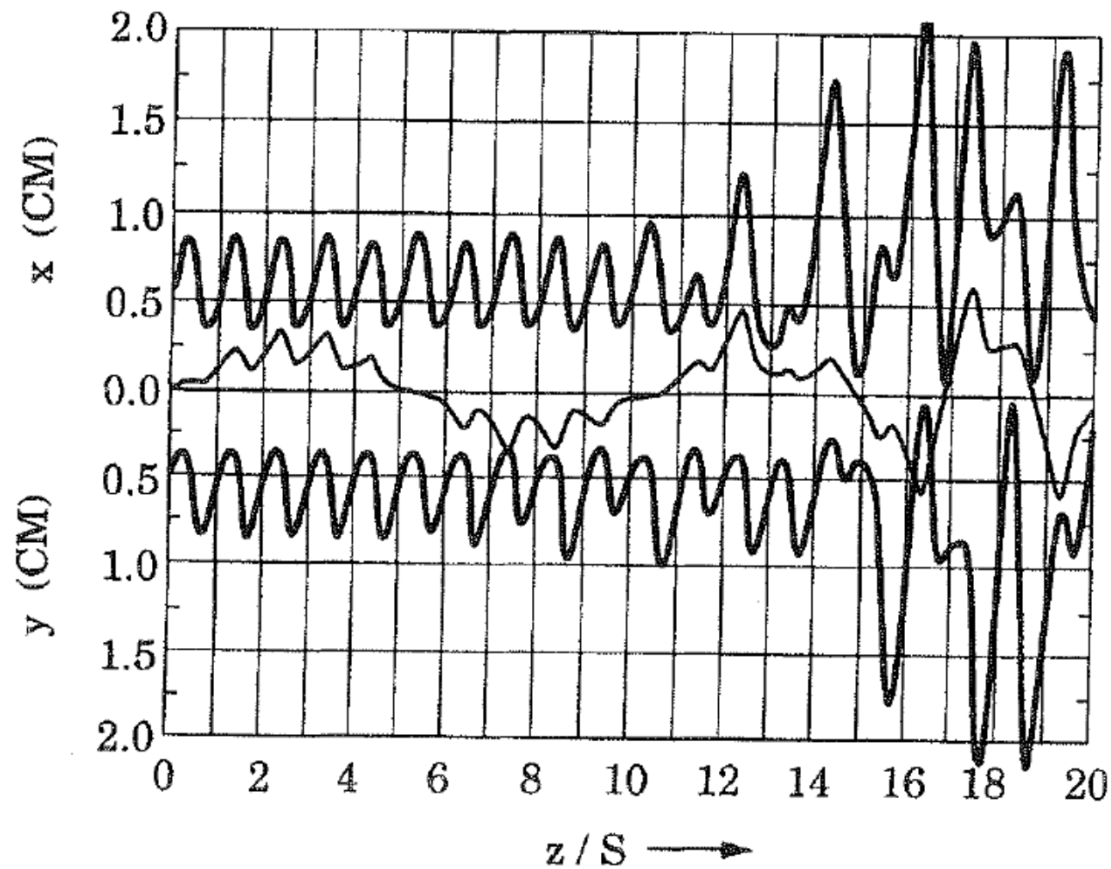
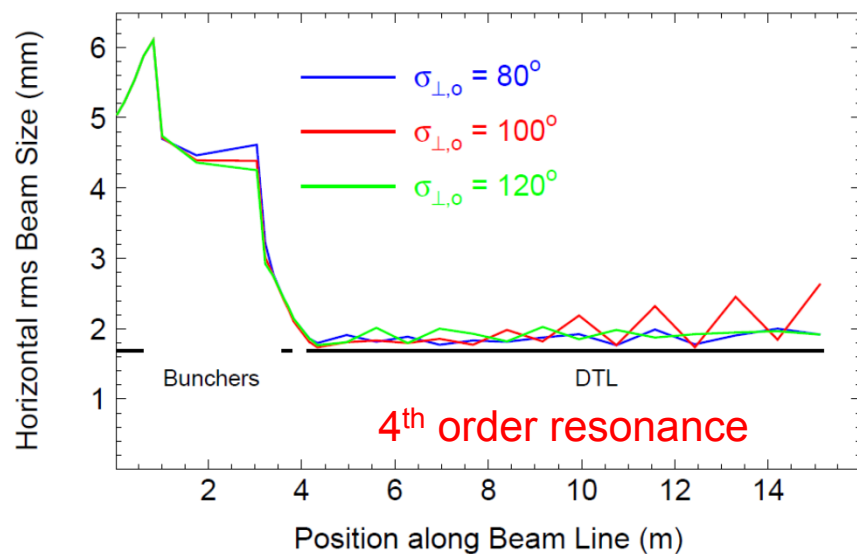


Figure 4.17. Quadrupole channel, slightly mismatched beam ($\sigma_0 = 120^\circ$, $\sigma = 35^\circ$). (From Reference 12.) J. Struckmeier and M. Reiser, Part. Accel. 14, 227 (1984)

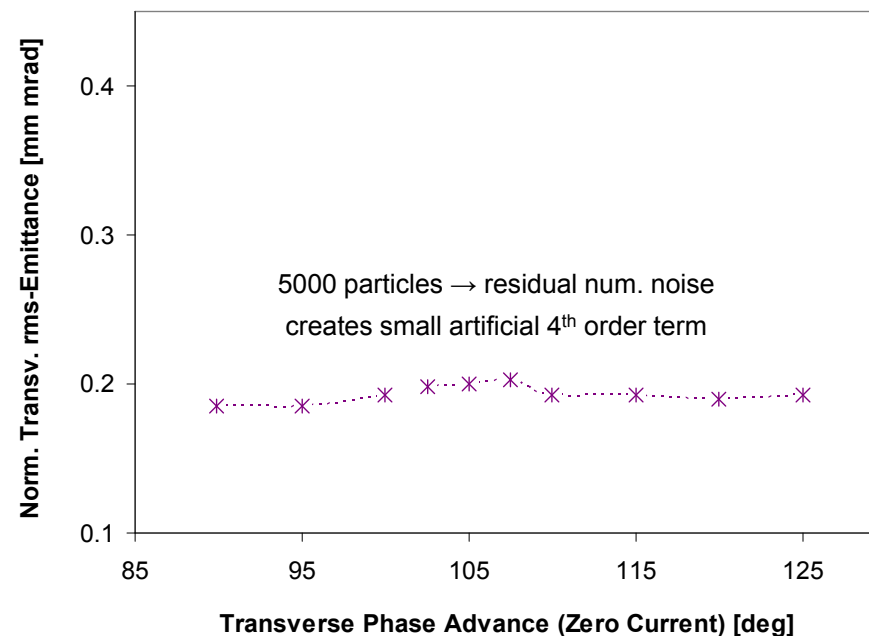
Envelope Instability ?



simulated envelopes
→ no instability at $\sigma_o > 90$



simulated rms emit. growth
 $\delta = \text{const}$ beam, no 4th order term → no growth



DTL too short and/or mismatch too small
for envelope instability growth

Parametric Resonances from Inter-Plane Coupling



- previous resonances were purely transverse → occur also in coasting (dc) beams
- linacs use bunched beams → space charge forces couple long. & transv. planes
- coupling might trigger energy transfer from "cold" plane to "hot" plane
- beam temperature $T_{\perp} \sim \langle r'^2 \rangle \sim \frac{\epsilon_{\perp}}{\langle \beta_{\perp} \rangle}$
- phase advance $\sigma_{\perp} = \frac{1}{L} \int_0^L \frac{ds}{\beta_{\perp}}$ $\sigma_{\perp} \sim \frac{1}{\langle \beta_{\perp} \rangle} \rightarrow T_{\perp} \sim \epsilon_{\perp} \cdot \sigma_{\perp}$
- heat (emittance) transfer, if $T_{\perp} \neq T_{\parallel}$
- modern high current linacs: $\sigma_{\parallel} \approx \sigma_{\perp}$, $\epsilon_{\parallel} > \epsilon_{\perp} \rightarrow T_{\parallel} > T_{\perp}$

Emittance transfer from longitudinal to transverse plane is expected

Parametric Resonances from Inter-Plane Coupling



- early 80's: I. Hofmann investigated (theoretically) beams with homogeneous charge density
- homogeneous beams have linear sc forces only → no emittance-growth or -exchange
- introduction of density perturbation → how does perturbation evolve?



- exponential increase, i.e. emittance transfer ?
- re-distribution to homogeneous density, i.e. no transfer ?

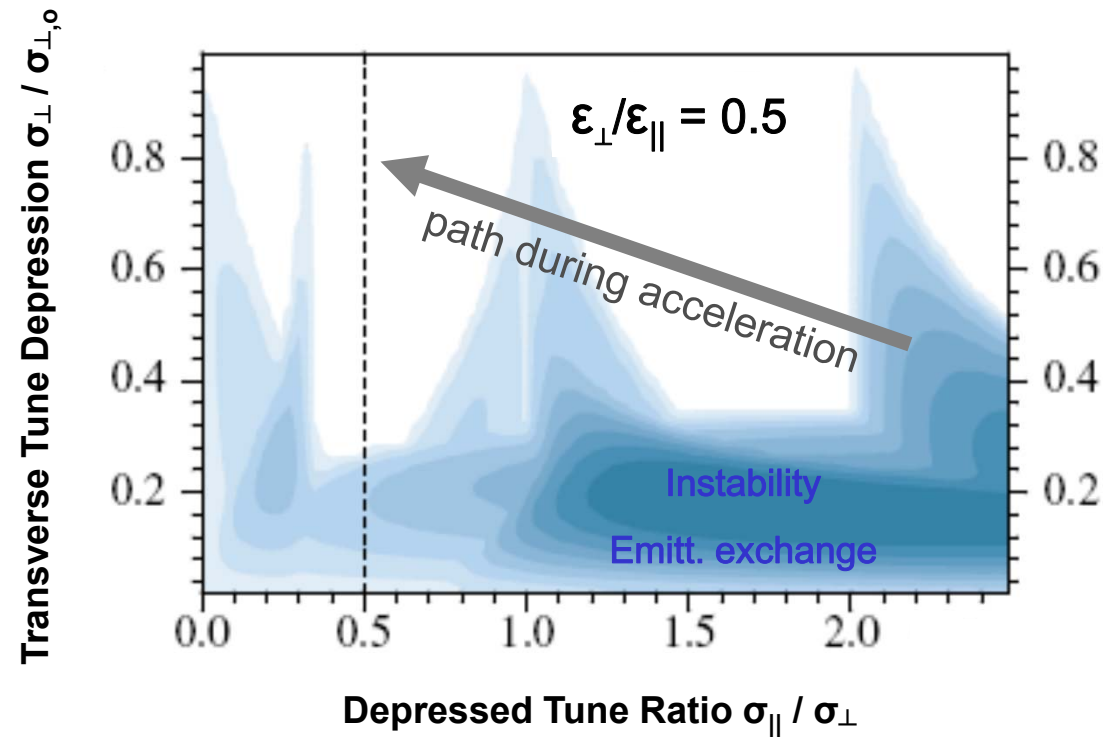


• result:

- transfer occurs just in vicinity of $\frac{\sigma_{\parallel}}{\sigma_{\perp}} = \frac{m}{n}$, except $\frac{\epsilon_{\perp}}{\epsilon_{\parallel}} = \frac{m}{n}$, i.e. $T_{\parallel} = T_{\perp}$
- away from these regions no emittance transfer even at $T_{\perp} \neq T_{\parallel}$
- $m=n$ is strongest resonance

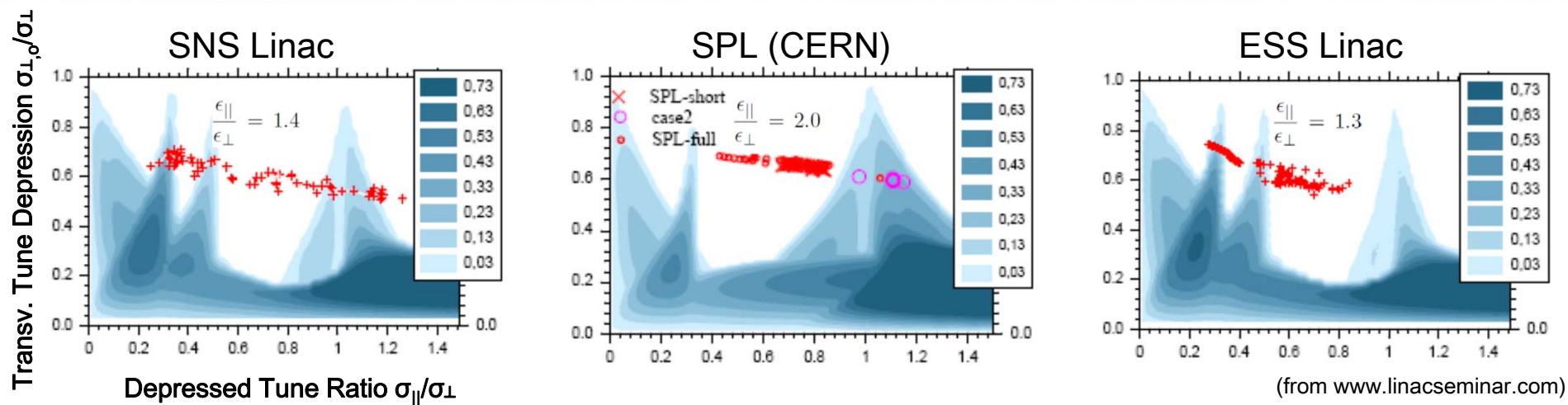
detailed description in PRE 57, 4713 (1998)

Hofmann's Stability Charts



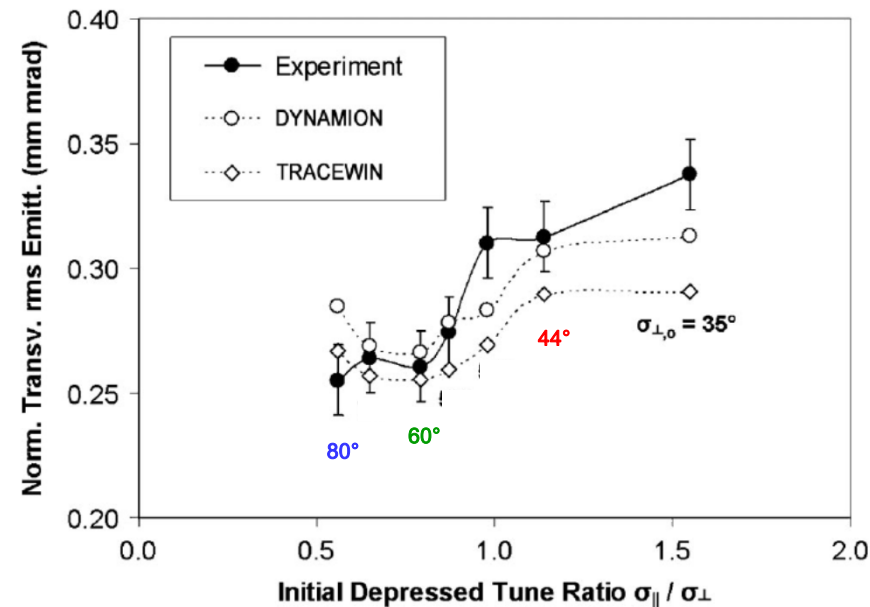
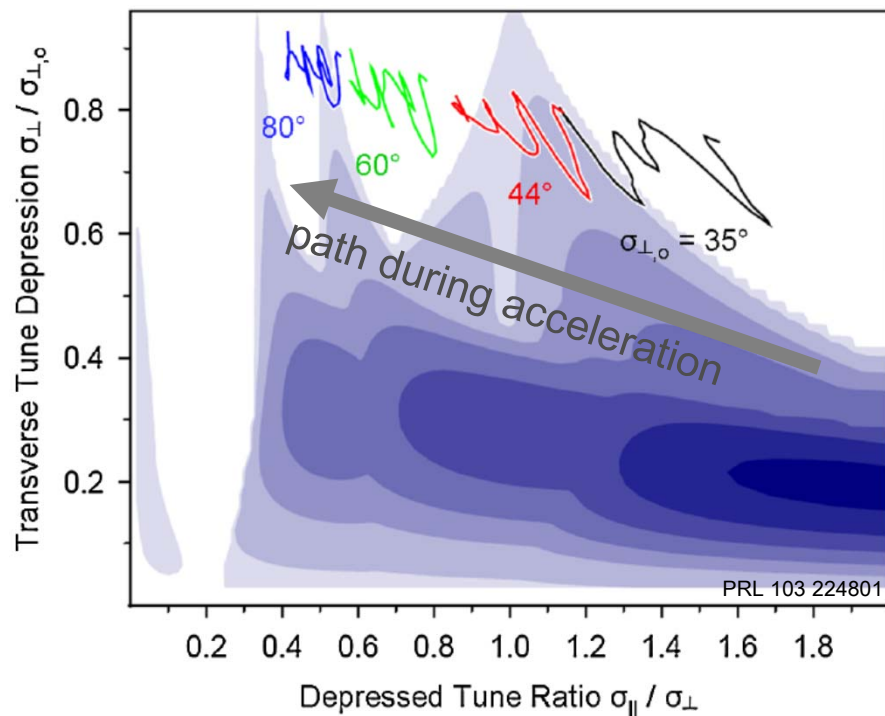
- charts plot regions where emittance transfer is expected
- charts depend just on long./transv. emittance ratio
- transfer at $T_{\parallel} = T_{\perp}$ strongly suppressed

Parametric Resonances from Inter-Plane Coupling



- Hofmann charts: well excepted linac design tool
- simulations: just $\sigma_{\parallel} \approx \sigma_{\perp}$ harmful to machine performance
- no experimental verification
- experiment done at GSI UNILAC, first DTL tank

Experimental Evidence for Parametric Resonance at the UNILAC



- tune ratio approaches 1.0 \rightarrow increased transv. growth measured
- result in good agreement with simulations

Effect of Space Charge on Emittance Growth: Summary

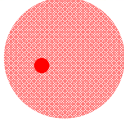



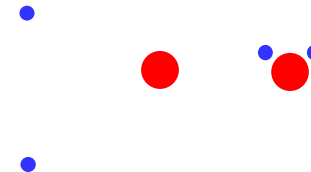
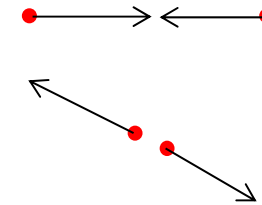
Ordered according to amount of growth (strong to low):

- Envelope mismatch
- Transverse Resonance
- Parametric Resonance
- Envelope instability

Close Particle-Particle Encounters



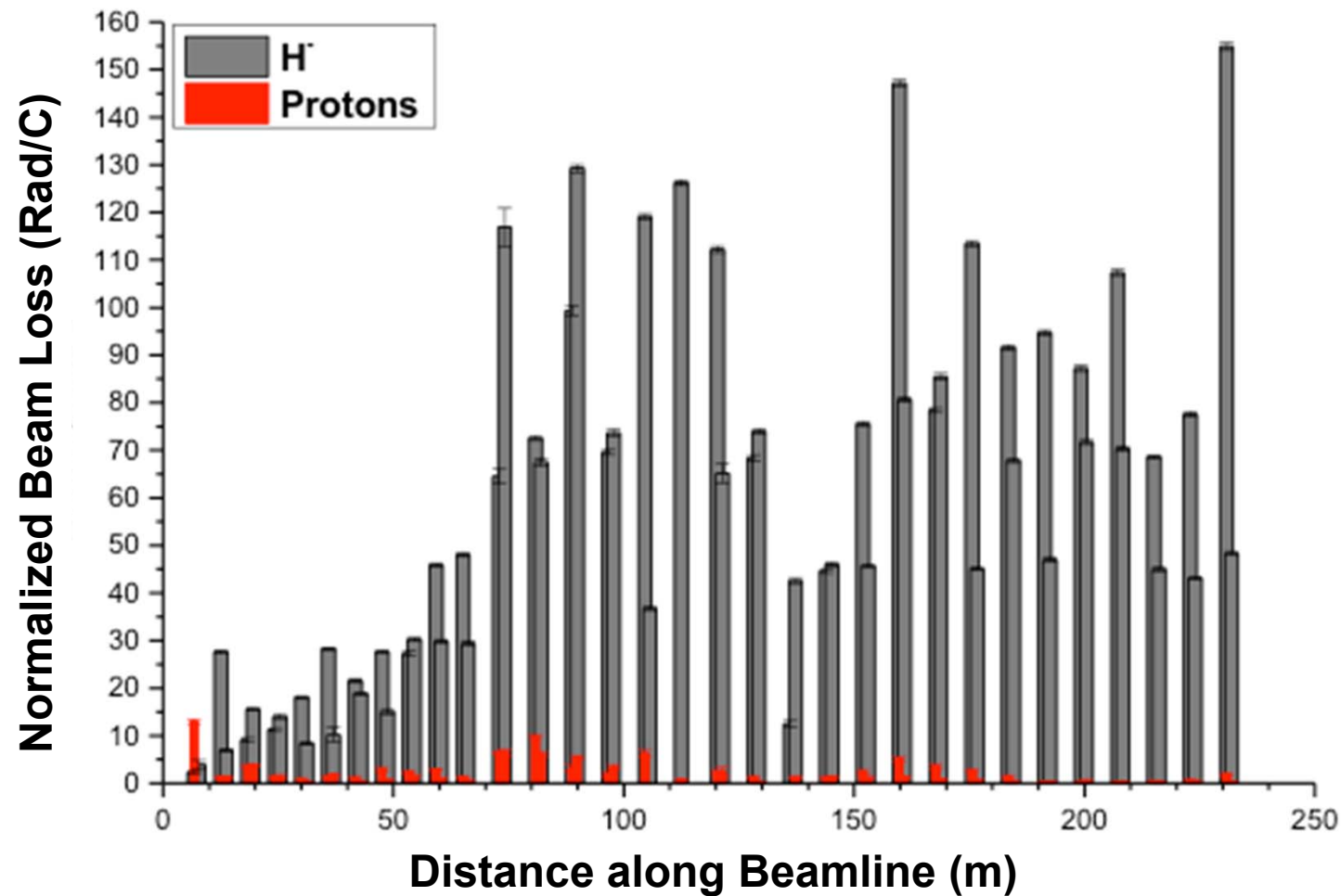
- space charge (simulation) smears out other particles to a continuum 
- space charge omits granular nature of beam 
- its forces do not have „spikes“
- but spikes exist and:
 - occur at close encounters between to colliding particles
 - may kick single particles strongly
 - may kick them out of machine acceptance → losses
- ring machines: repeated collision between ions lead to emittance growth, called „intra-beam“ scattering. Not considered so far in linac design/operation
- linac: collisions between ions may change charge state of ions called „intra-beam stripping (IBS)“ → ions lost
- IBS causes losses in the recently commissioned Spallation Neutron Source (SNS) linac



Intra-Beam Stripping within H⁻ Beam



Measurements at SNS :

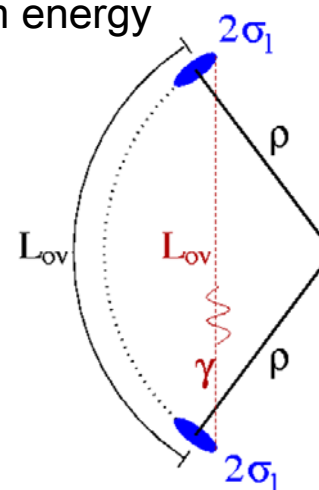


presented by J. Galambos (ORNL) at Linac2012 at Tel Aviv, Israel, and PRL 108, 114 801, (2012)

Coherent Synchrotron Radiation (CSR)



- relativistic particles in a bending dipole radiate (synchrotron radiation)
- $P_{rad} \sim q^2$, $P_{rad,inc} \sim Nq^2$, N = particles per bunch
- dense & short bunch:
 - radiation from tail hits head
 - radiation interacts with bunch \rightarrow induces additional radiation
 - radiation gets coherent
 - bunch radiates like single particle with charge (Nq)
 - $P_{rad,coh} \sim (Nq)^2$
 - might reach MW level and lowers beam energy
 - reduces beam quality



Overtaking Condition :

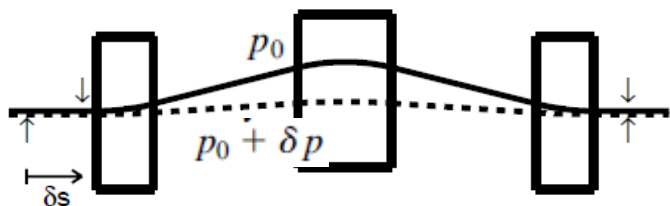
$$L_{ov} = \sqrt[3]{48 \sigma_l \rho^2}$$

$$\Delta P_{coh} = 0.028 N^2 \frac{c e^2}{\epsilon_0 \rho^{2/3} \sigma_l^{4/3}}$$

CSR: Effect on Beam Energy Spectrum



magnetic bunch compressor :

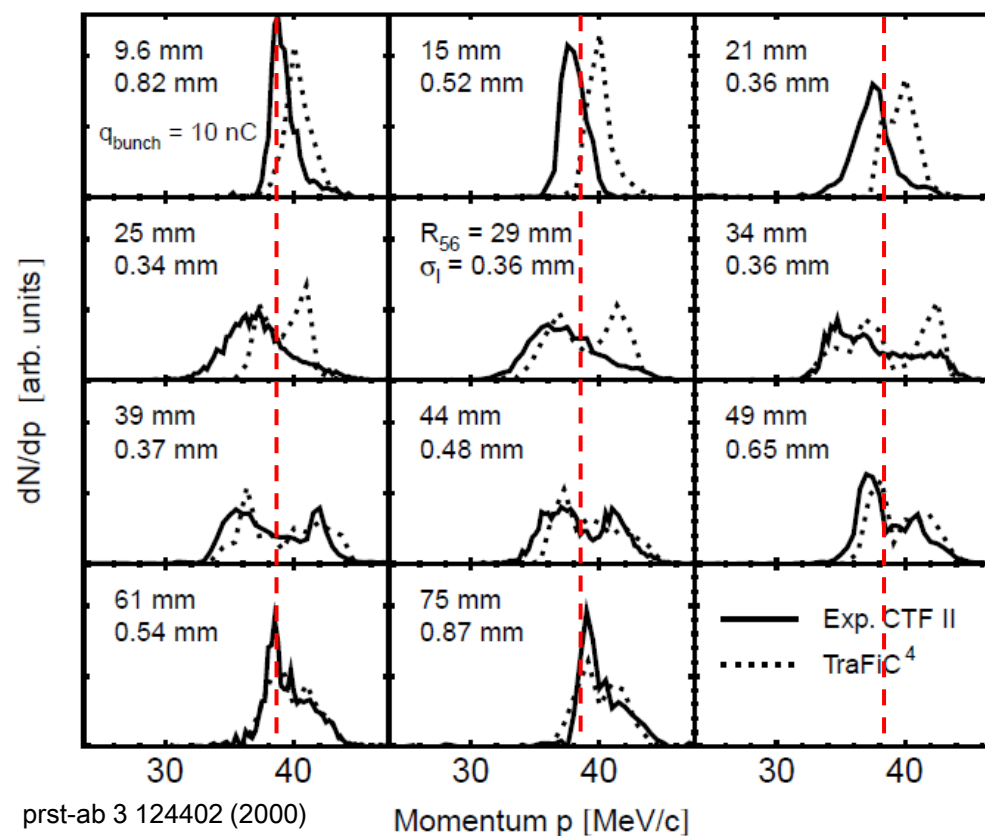


- compresses & bends bunches
- $R_{56} := \frac{\delta s}{\delta p}$
- given by bending angle

CSR effect on momentum spectrum:

- momentum spread increase
- shift to lower momenta

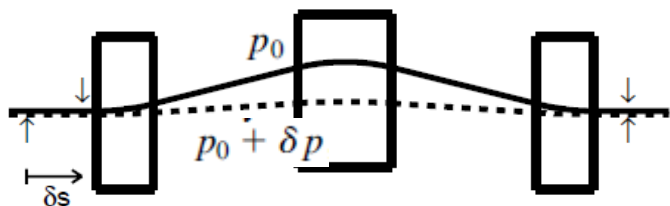
momentum spectrum vs. bending angle (bunch length)



CSR: Effect on Beam Quality



magnetic bunch compressor :



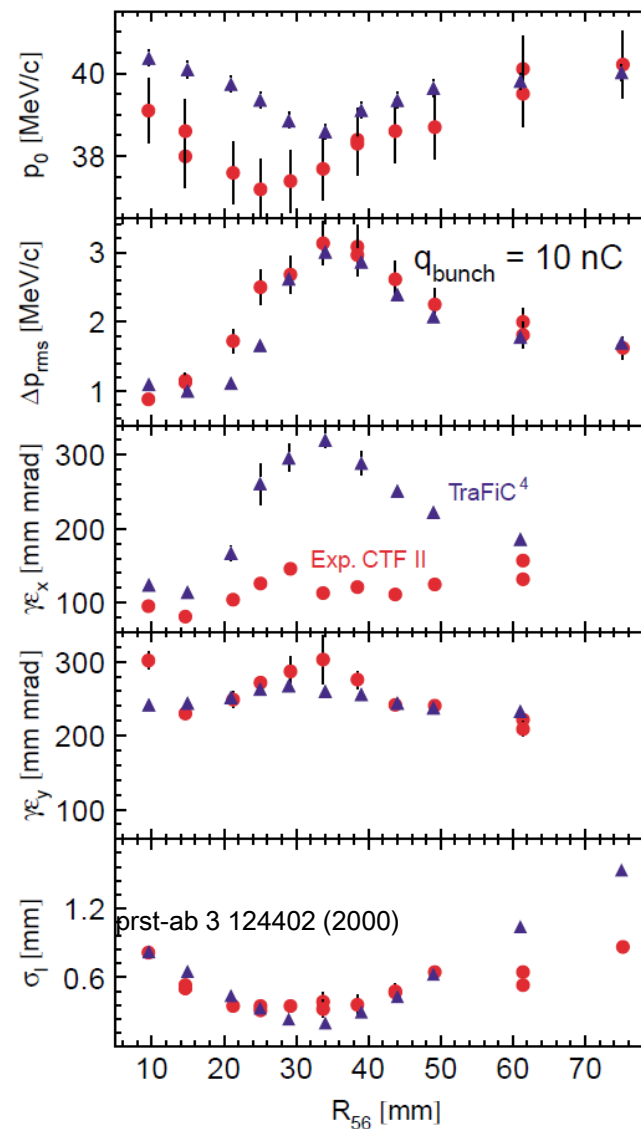
- compresses & bends bunches

- $R_{56} := \frac{\delta s}{\delta p}$

- given by bending angle

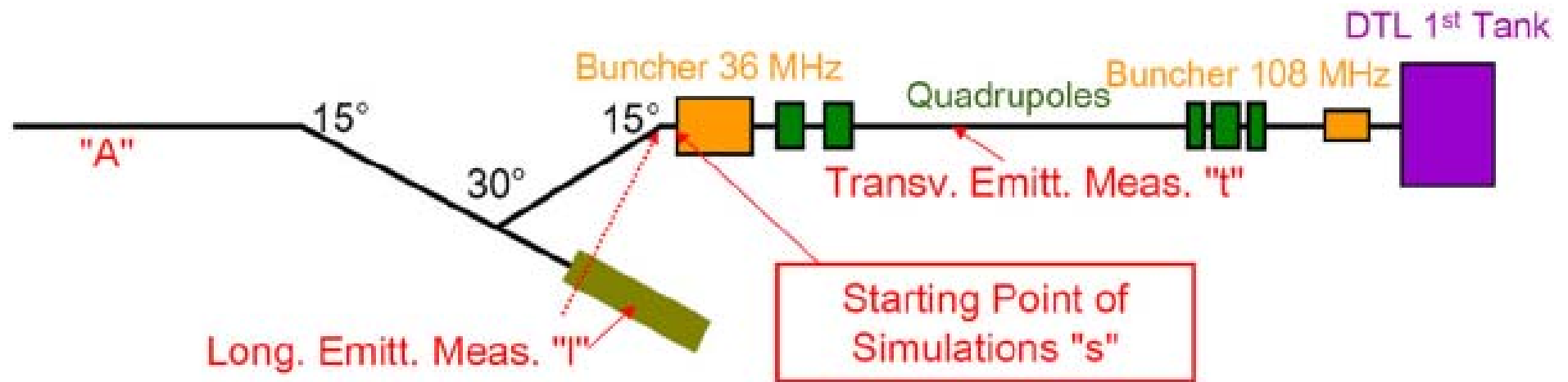
- opposite to space charge, coherent radiation increases with energy
- causes beam energy loss
- can cause considerable beam quality degradation
- one limiting factor in X-FEL performance

mean momentum

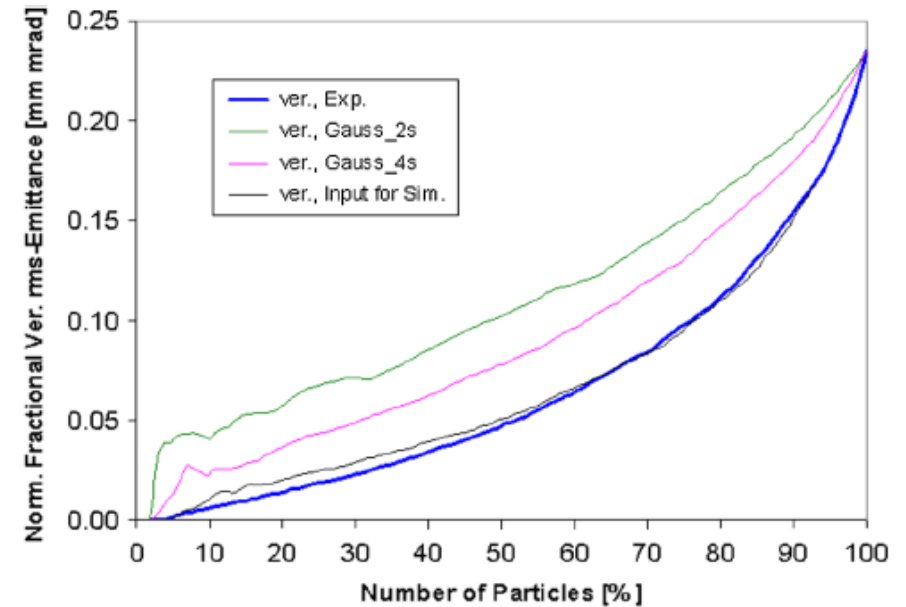
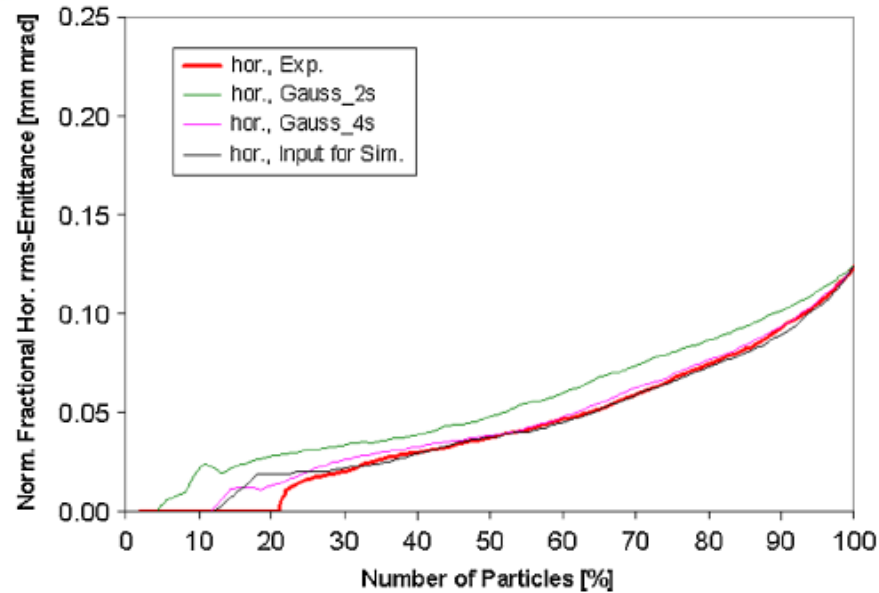




Reconstruction of initial Twiss Parameters



Emittance Growth depends on Distribution



$$\tilde{R}^2 = X^2 + X'^2 + Y^{1.2} + Y'^{1.2} + \Phi^2 + (\delta P/P)^2$$

and

$$f(\tilde{R}) = \frac{a}{2.5 \times 10^{-4} + \tilde{R}^{10}}, \quad \tilde{R} \leq 1$$

$$f(\tilde{R}) = 0, \quad \tilde{R} > 1,$$