

Beam instabilities in ion beams

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Instabilities in ion beams

- Beam instabilities are driven by the **electromagnetic interaction with the accelerator environment**.
- Above a certain **intensity threshold** the beam's oscillation amplitude increases exponentially and the beam is either **lost at the wall** (transverse instabilities) or the **emittance increases**.
- Presently, instabilities are one of the **main beam quality and intensity limitation** in synchrotrons and storage rings for protons and ions !
- Finding **cures for instabilities** is one of the major challenges in beam physics and accelerator technology for **future machines**.

This presentation will focus on (transverse) instabilities in synchrotrons and storage rings

Presentations by G. Arduini (LHC), J. Stadlmann (synchrotrons),
G. Franchetti (beam dynamics)

Literature

Books:

- Alex Chao, *Physics of collective beam instabilities in high energy accelerators* (1993) -> online
- B. Zotter, S. Kheifets, *Impedances and wakes in high-energy accelerators* (1998)
- K.Y. Ng, *Physics of intensity dependent beam instabilities* (2006)

CERN Lectures (CAS): A. Hofmann , J.L. Laclare, K. Schindl,...

Other lectures:

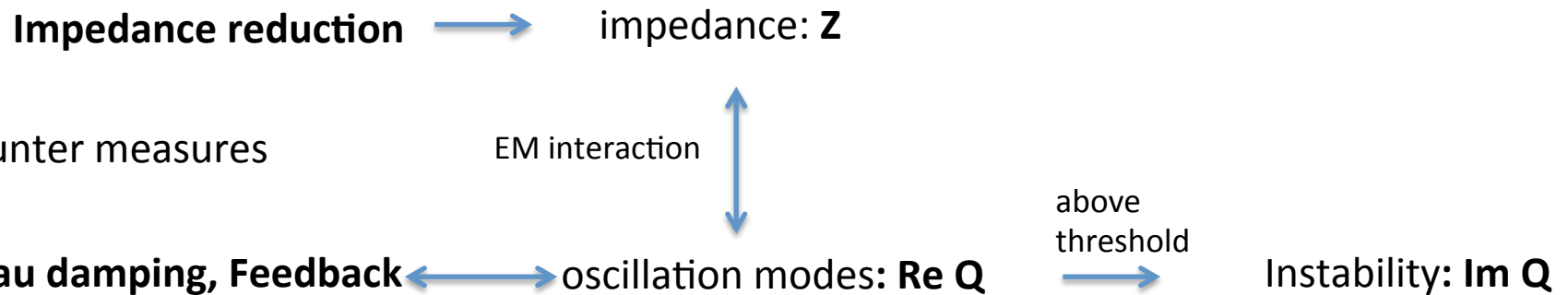
- B. Zotter, F. Sacherer
Transverse instabilities of relativistic particle beams in accelerators and storage rings (1976)
- J. Gareyte, Transverse mode coupling instabilities (2001)
-

See also:

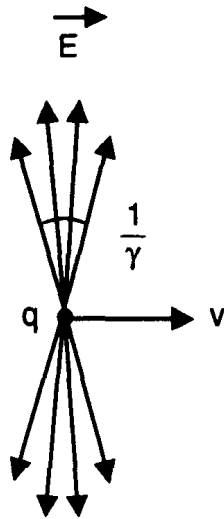
- IPAC and ICFA-HB conference proceedings (JACoW)
- Phys. Rev. ST-AB and Nucl. Inst. Meth. A

Instability mechanism

1. Electromagnetic (EM) interaction of the (perturbed) beam with its surroundings
-> Frequency dependent **impedance or wake fields**
2. **Coherent beam oscillations**: Coherent tunes Q and spectra $f(Q)$
3. Beam instabilities:
Imaginary part of the **coherent tune shift dQ** gives the growth rate τ^{-1}
4. **Cures**: Impedance reduction, increase the tune spread, feedback systems



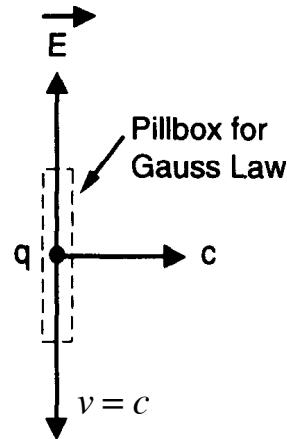
Fields of a moving charge in vacuum



Electric field:

$$\vec{E} = \frac{q\vec{r}}{4\pi\epsilon_0\gamma^2(z^2 + r^2/\gamma^2)^{3/2}}$$

pancaked field



$$E_r = \frac{q\lambda(s,t)}{2\pi\epsilon_0 r}$$

$$B_\phi = \frac{q\mu_0\lambda(s,t)}{2\pi r}$$

Line density:

$$\lambda(s,t) = \frac{dN}{ds} = \delta(s - ct)$$

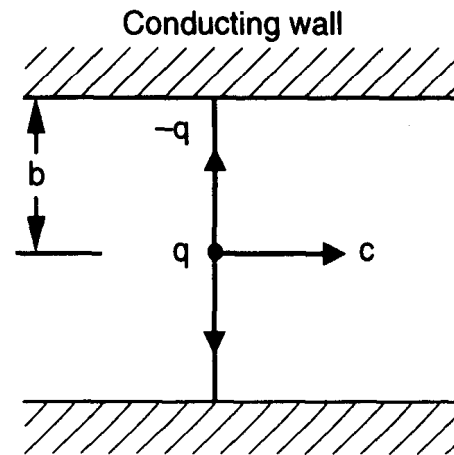
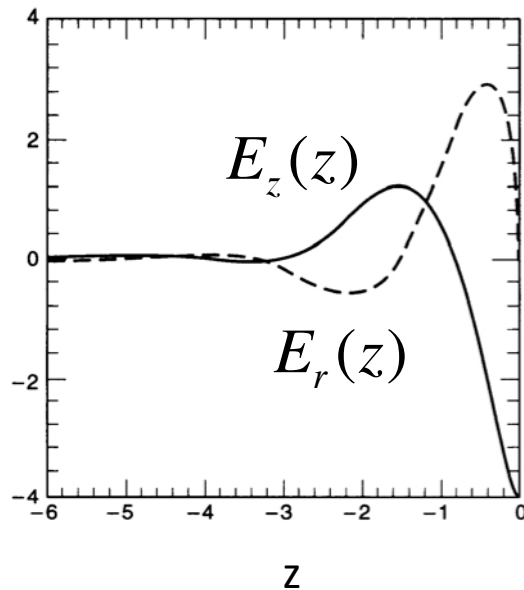


Image charges/currents follow the particle

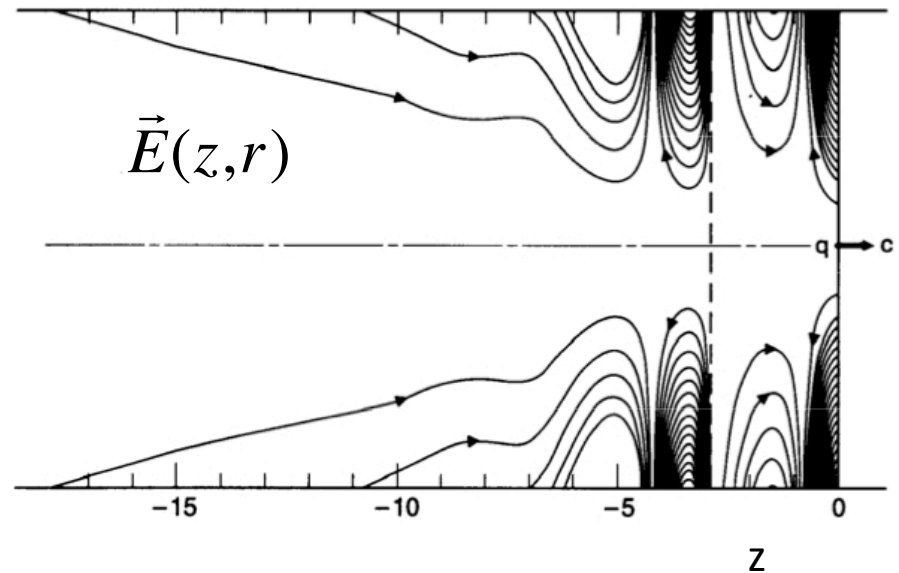
Fields of a moving charge in a pipe

finite wall conductivity: σ_w

Longitudinal wake field



Wake electric field lines



Fields of/in a bunch:
$$E_z(z) = -\frac{1}{\beta_0 c} \int_z^\infty I_b(z') W_z(z - z') dz'$$

Wake fields and impedances

current modulation

$$I(z, t) = \hat{I} e^{i(kz - \omega t)}$$

longitudinal impedance

$$Z_{\parallel}(\omega) = -\frac{1}{\hat{I}} \int_{z=0}^L E_z(z) e^{-i\omega z/c} dz \quad [\Omega]$$

induced voltage:

$$V_z(z, t) = -I(z, t) Z_{\parallel}(\omega)$$

beam offset modulation:

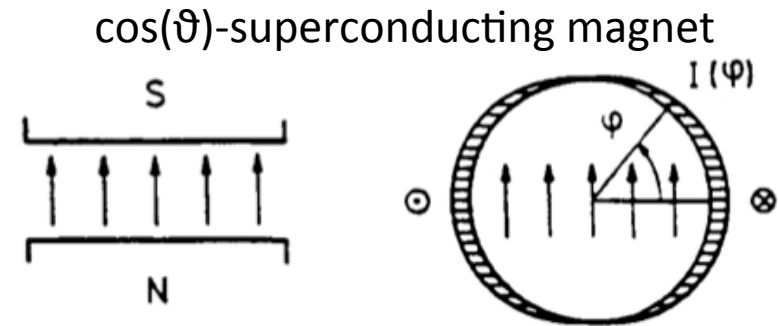
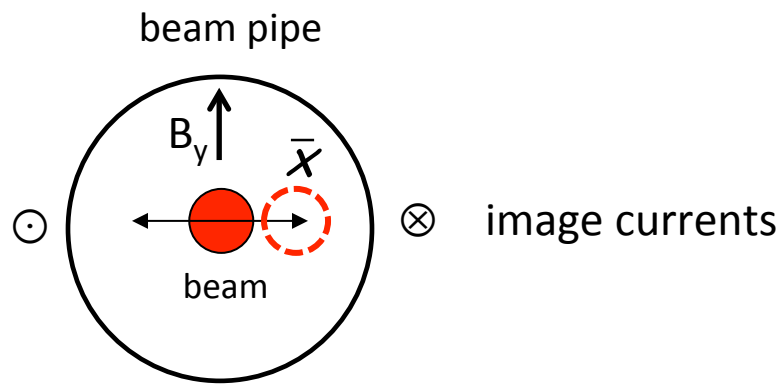
$$\bar{x}(z, t) = \hat{x} e^{i(kz - \omega t)}$$

transverse impedance:

$$Z_x(\omega) = \frac{-i}{q\beta_0 \hat{I} x_0} \int_0^L F_x e^{-i\omega z/c} dz \quad [\Omega / \text{m}]$$

deflecting force:

$$F_x = q \left(E_x + [\vec{v}_0 \times \vec{B}]_x \right)$$



surface image current: $j_s(\phi) = j_s(0) \cos(\phi)$

Impedance of a resistive beam pipe

Longitudinal

$$\oint \vec{E} \cdot d\vec{s} = -\frac{\partial}{\partial t} \int \vec{B} \cdot d\vec{A} \Rightarrow E_z = E_w - \frac{g}{4\pi\epsilon_0\beta_0 c\gamma^2} \frac{\partial I}{\partial z} \longrightarrow Z_{\parallel}^I(\omega) = \frac{i\omega g Z_0}{2\omega_0\beta\gamma^2}$$

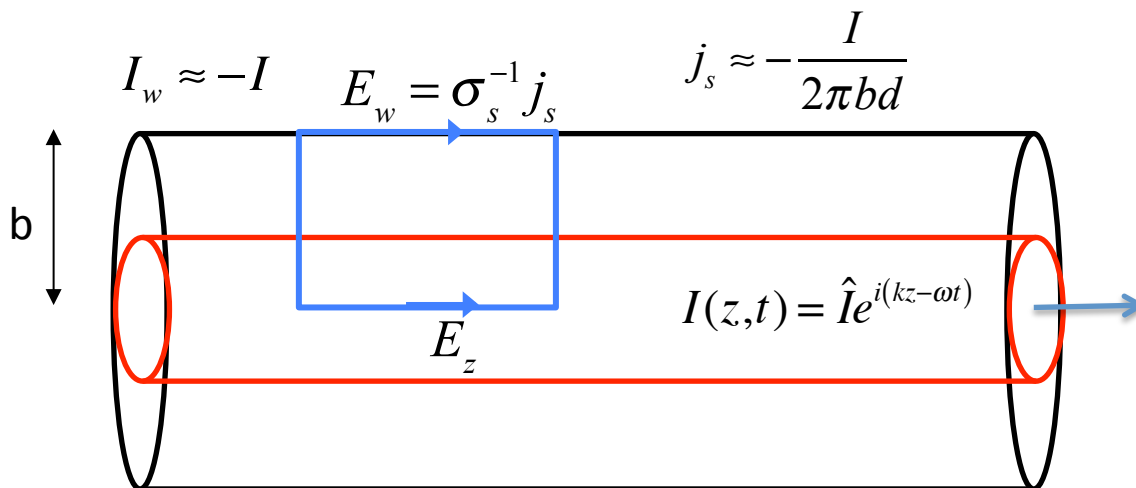
(Faraday's law)

$$Z_0 = (\epsilon_0 c)^{-1} = 377 \Omega$$

$$E_w = -\frac{1}{2\pi R} Z_{\parallel}^R I \Rightarrow Z_{\parallel}^R = \frac{R}{\sigma_w db} \quad d \lesssim \delta_w \quad \delta_w = \sqrt{\frac{2}{\mu_0 \sigma_w \omega}}$$

(low frequency resistive wall impedance) (skin depth)

surface wall conductivity: σ_s wall thickness: d



$$Z_{\parallel}(\omega) = Z_{\parallel}^R + iZ_{\parallel}^I(\omega)$$

$$Z_{\parallel}^R = \frac{R}{\sigma_w db}$$

(resistive wall impedance)

$$Z_{\parallel}^I(\omega) = \frac{i\omega g Z_0}{2\omega_0\beta\gamma^2}$$

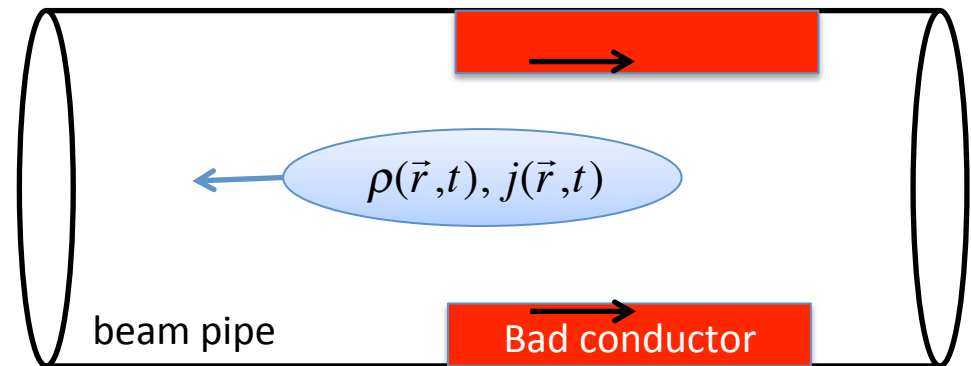
(space charge impedance)

Application: Energy loss and heat load

Energy loss of a bunch:

$$\frac{dW}{ds} = -q \int \lambda_b(z) E_z(z) dz$$

$$\lambda_b(z) = \frac{N_i}{\sqrt{2\pi}\sigma_z} \exp\left(-\frac{z^2}{2\sigma_z^2}\right)$$



Energy loss per turn (see e.g. A. Chao):

$$\Delta W_b = -\frac{q^2}{R} \int_{-\infty}^{\infty} |\lambda(\omega)|^2 \text{Re}Z^{\parallel}(\omega) d\omega$$

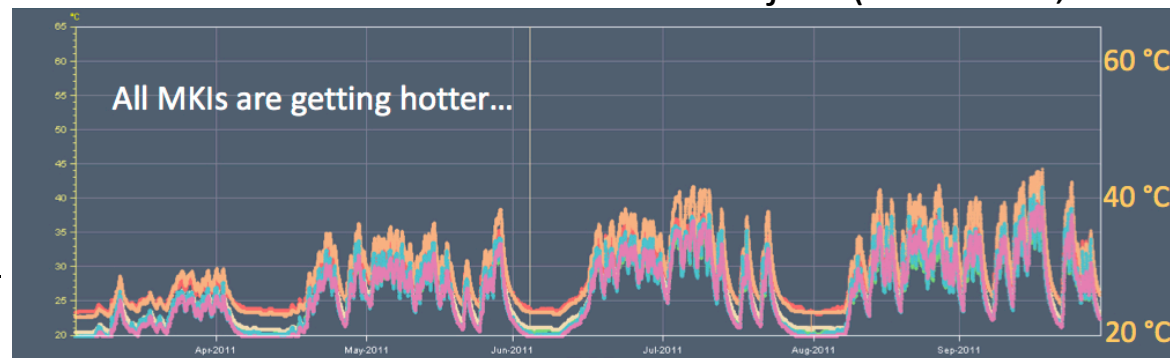
$$\lambda_b(\omega) = N_b \exp\left(-\frac{\omega^2 \sigma_z^2}{2\beta_0^2 c^2}\right)$$

Example: (Thin) resistive wall

$$Z_{\parallel}^R = \frac{R}{\sigma_w db} \Rightarrow \Delta W_b \propto \frac{q^2 N_b^2}{\sigma_z^{3/2}} \frac{1}{\sigma_w b d}$$

$$\text{Heat load: } P = \frac{c}{l_{bb}} \frac{dW}{ds} \quad [\text{W/m}]$$

LHC injection kicker (ferrite loaded) heat up and one needs to wait hours that it cools down to reinject (B. Salvant, 2012)



-> CERN Courier, 2012

Transverse impedance of cylindrical beam pipe

beam with a horizontal offset in a pipe

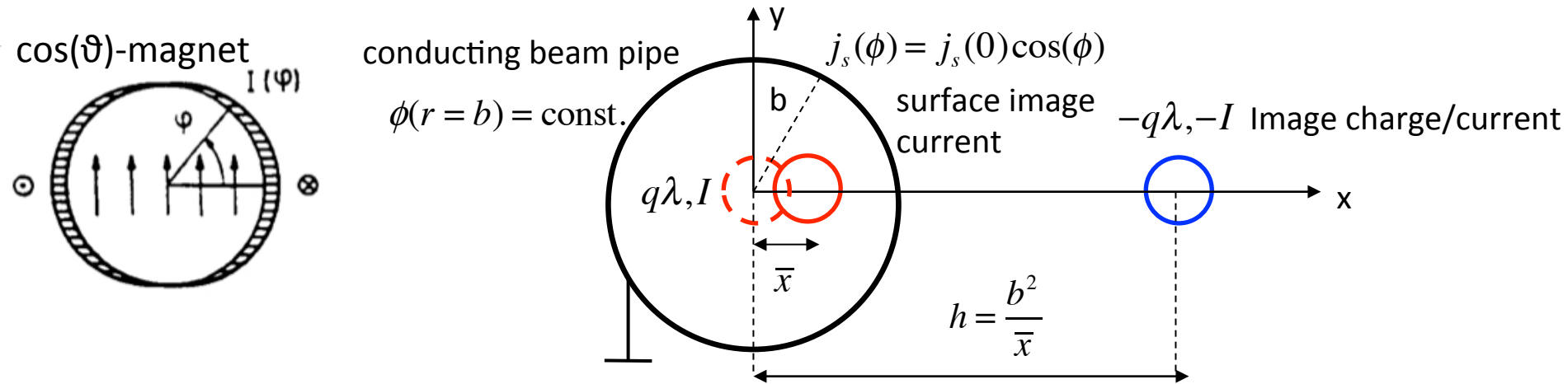


Image fields at the center of the beam:

$$E_x = \frac{q\lambda}{2\pi\epsilon_0 h} \quad B_y = \frac{\mu_0 I}{2\pi h}$$

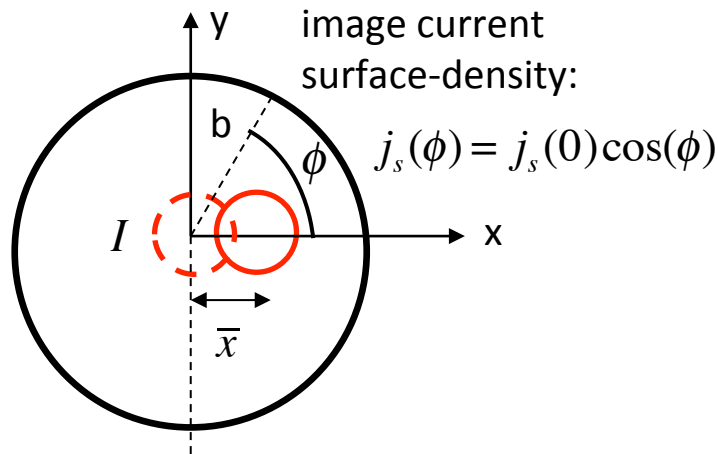
Force on the beam center:

$$\Rightarrow \bar{F}_x = q(E_x - v_0 B_y) = \frac{qE_x}{\gamma^2} = \frac{q^2 \lambda \bar{x}}{2\pi\epsilon_0 \gamma^2 b^2}$$

$$\Rightarrow Z_x = -i \frac{Z_0}{2\pi(\beta_0 \gamma_0)^2 b^2} \quad Z_0 = (\epsilon_0 c)^{-1} = 377 \, \Omega$$

(imaginary wall impedance)

Transverse impedance of a resistive beam pipe



beam offset:

$$\bar{x}(t) = \hat{x} \exp(-i\omega t)$$

image surface current density:

$$j_s = \hat{j}_s \exp(-i\omega t) \quad j_s(0) \approx \frac{I\bar{x}}{\pi b^2}$$

longitudinal electric field:

$$E_z = \hat{E}_z \exp(-i\omega t) \quad E_z = \sigma_s^{-1} j_s \quad \sigma_s = \sigma_w d$$

(surface conductivity)

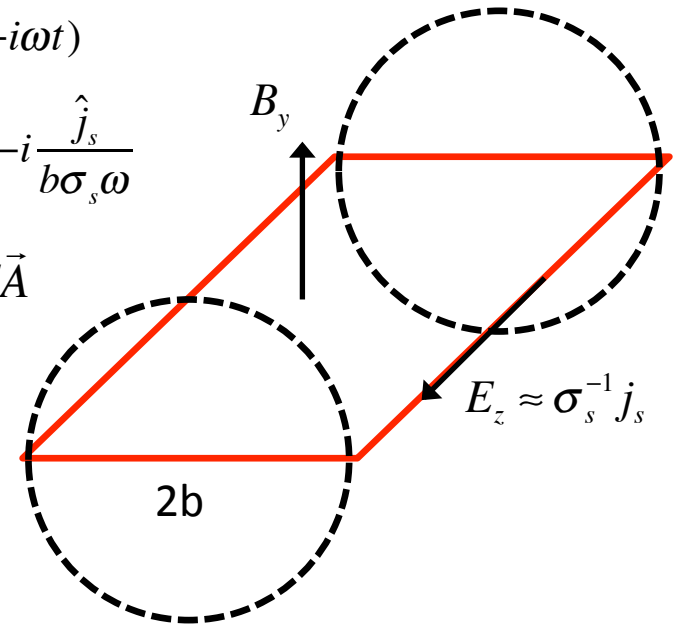
Vertical B-field:

$$B_y = \hat{B}_y \exp(-i\omega t)$$

$$\hat{B}_y = -i \frac{\hat{E}_z}{\omega b} = -i \frac{\hat{j}_s}{b \sigma_s \omega}$$

$$\oint \vec{E} \cdot d\vec{s} = - \int \vec{\dot{B}} \cdot d\vec{A}$$

(Faraday)



$$Z_x(\omega) = \frac{-i}{\beta_0 I \hat{x}} (\hat{E}_x - v_0 \hat{B}_y) L \Rightarrow$$

$$Z_x(\omega) = \frac{2cR}{b^3 d \sigma_w \omega}, \quad d \ll \delta_w$$

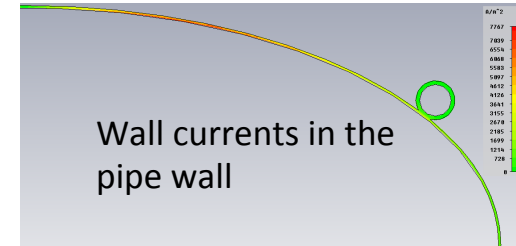
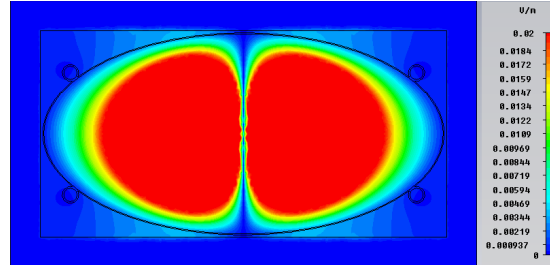
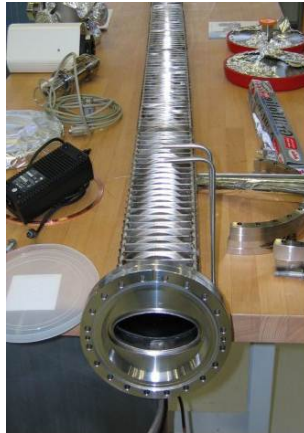
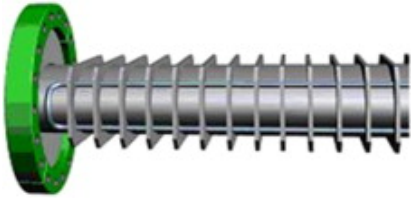
(resistive wall impedance)

$$\delta_w = \sqrt{\frac{2}{\mu_0 \sigma_w \omega}} \quad \text{(skin depth)}$$

Example: The thin SIS-100 beam pipe

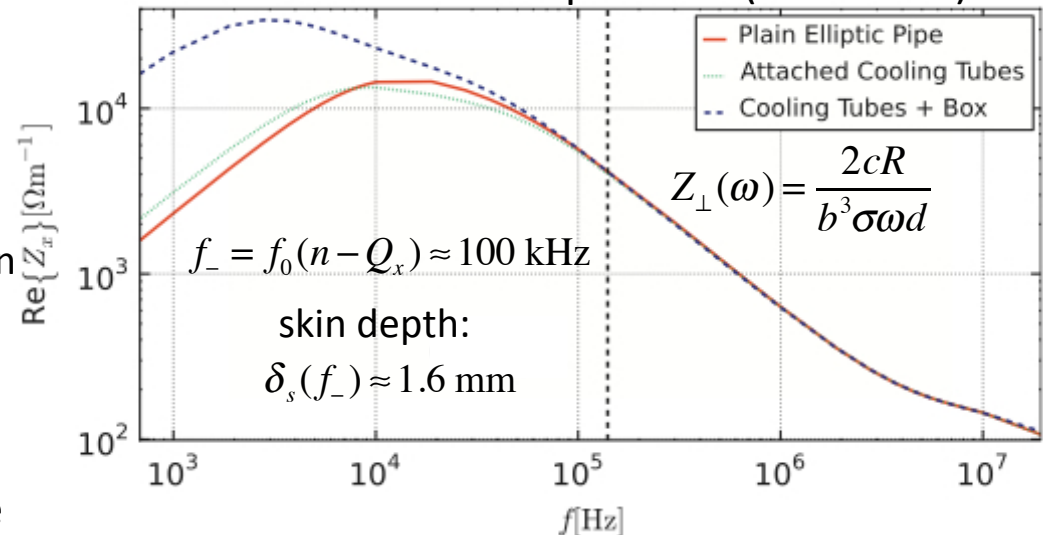
SIS-100 thin (**0.3 mm**) stainless steel beam pipe with cooling tubes attached.

Longitudinal electric field in the SIS100 pipe structure resulting from a dipolar excitation (≈ 150 kHz).



- still mechanically robust (+ ribs)
- for 4 T/s: tolerable heating + field distortion
- rf shielding of EM fields (> 50 kHz)
- problem: large resistive impedance !

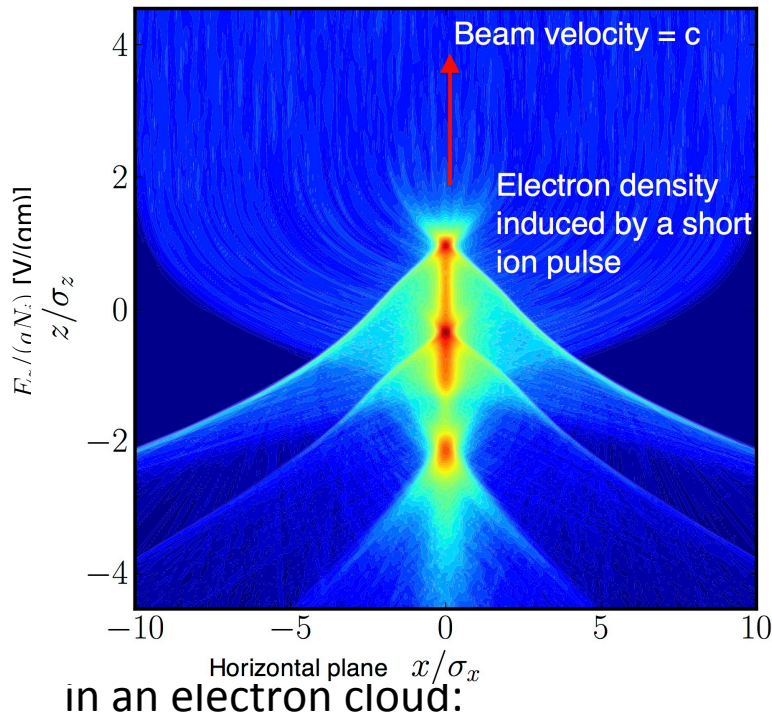
Transverse impedance (horizontal)



U. Niedermayer, O. Boine-F., NIM A 2012

- In the frequency range of interest outside structures do not contribute to the impedance.
- **The thin resistive beam pipe is the major source for the expected head-tail instabilities in SIS-100.**

Field of research: Wake field of an electron cloud

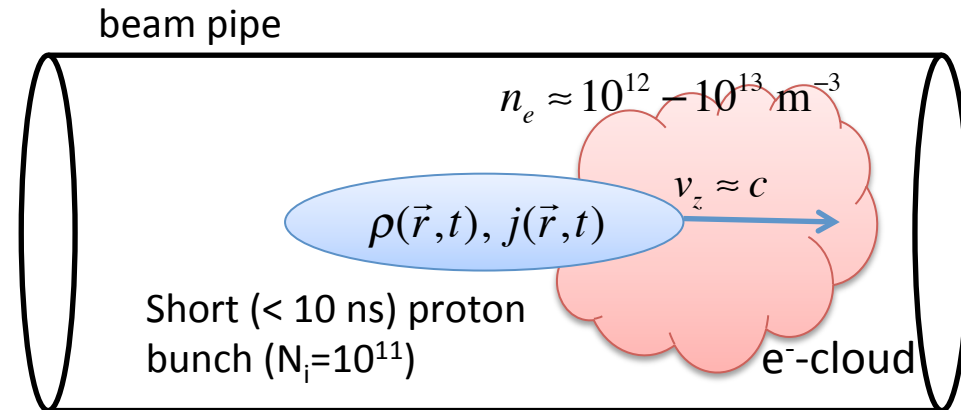


$$\frac{dW}{ds} \approx \frac{4\pi}{\epsilon_0} q^2 N_b^2 n_e r_e \ln\left(\frac{b}{a}\right)$$

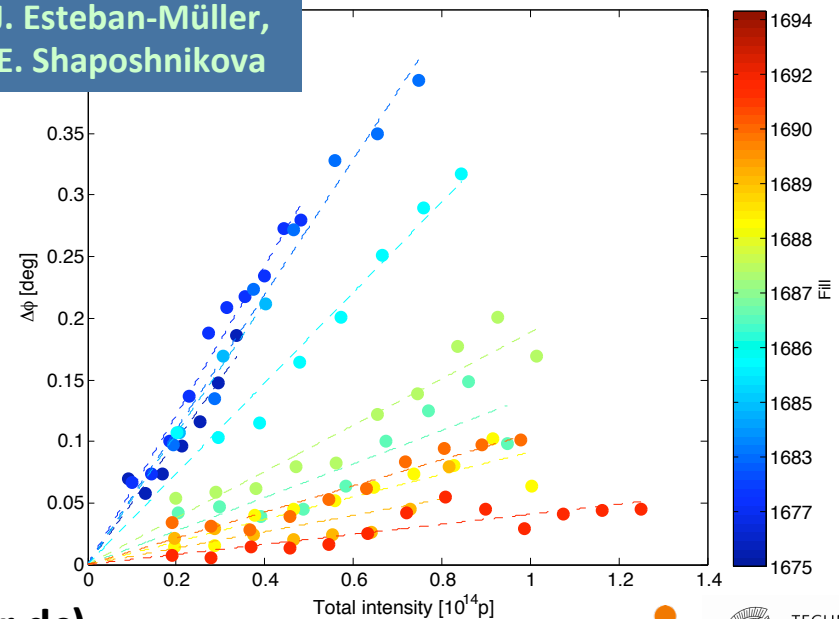
-> observed rf phase shift in LHC.

The e-cloud cannot strictly be described through a longitudinal impedance !

-> depends on beam parameters (e.g. bunched or dc)



J. Esteban-Müller,
E. Shaposhnikova



Field of research: Wake field of an electron cloud

Transverse wake can be approximated as a broad band oscillator:

$$F_x(z) \approx c \frac{R_s}{Q} \sin\left(\frac{\omega_e}{c} z\right) \quad \frac{R_s}{Q} \propto n_e$$

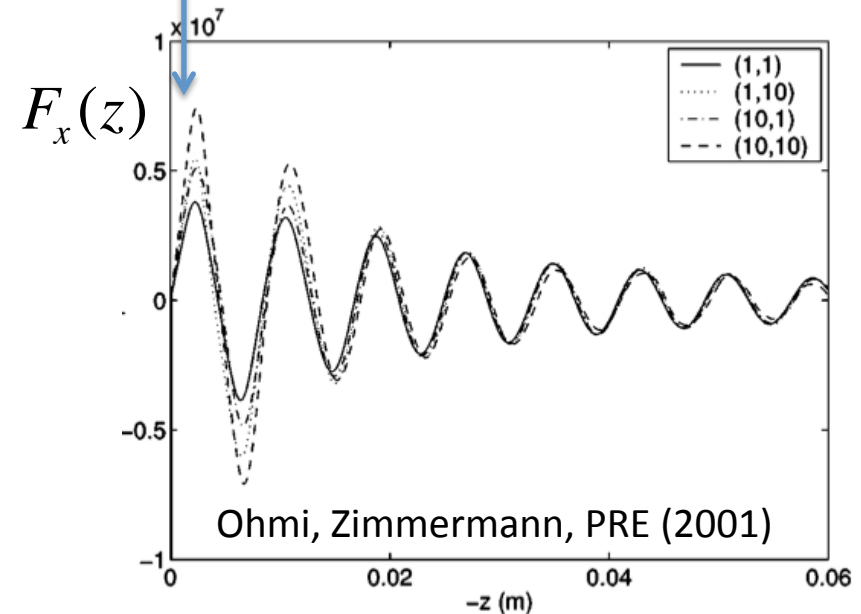
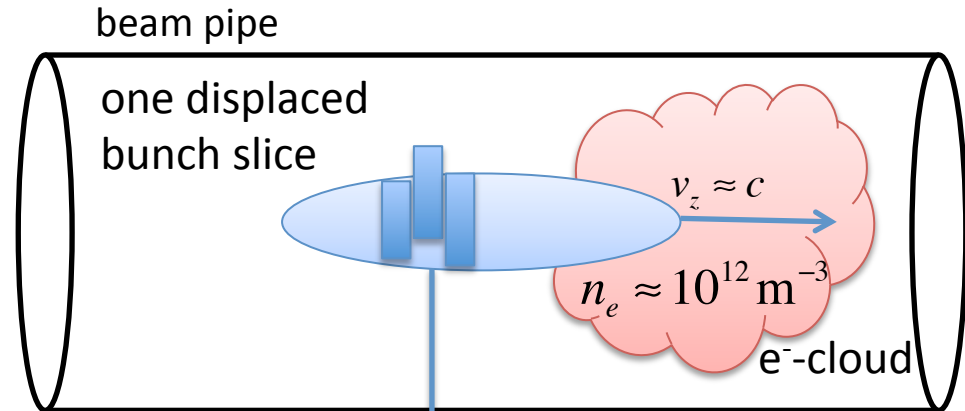
electron oscillation frequency:

$$f_e \approx 100 - 300 \text{ MHz}$$

Transverse impedance:

$$Z_x(\omega) \approx \frac{c}{\omega} \frac{R_s}{1 + iQ \left(\frac{\omega_e}{\omega} - \frac{\omega}{\omega_e} \right)}$$

-> Transverse beam instabilities



Transverse beam oscillation spectra

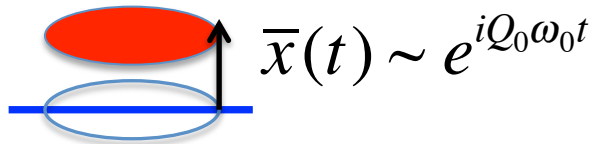
Dipole moment: $\Delta(t) = I(t)\bar{x}(t)$

$\bar{x}(t)$: transverse beam offset

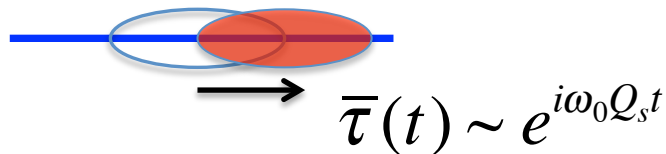
Transverse oscillation spectrum:

$$\Delta(t) \xrightarrow{FFT} \Delta(f)$$

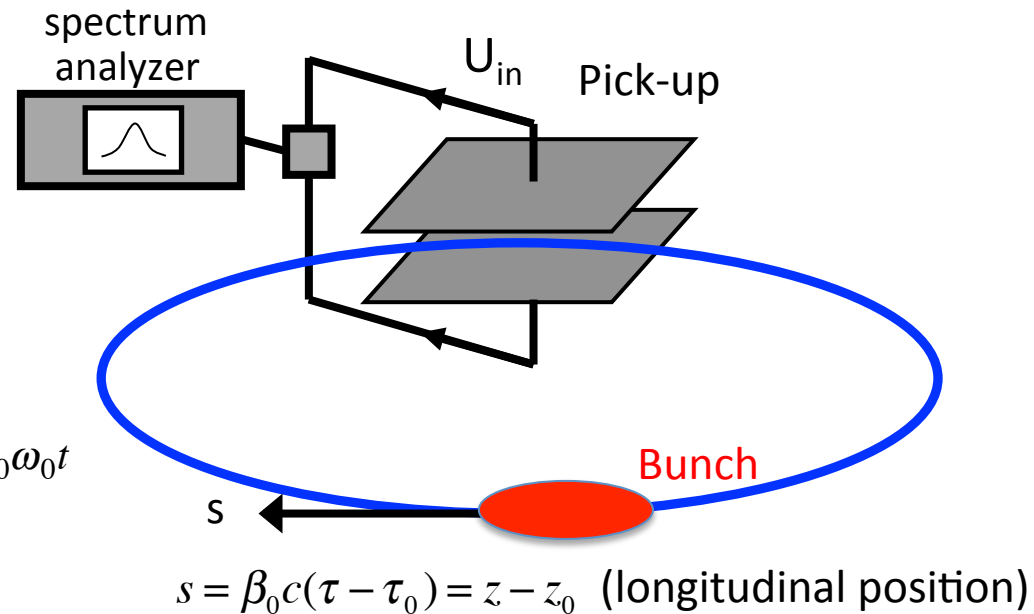
Transverse (rigid) bunch oscillation:



Longitudinal (rigid) bunch oscillation:



Difference signal U_{Δ} : beam 'offset' fluctuations



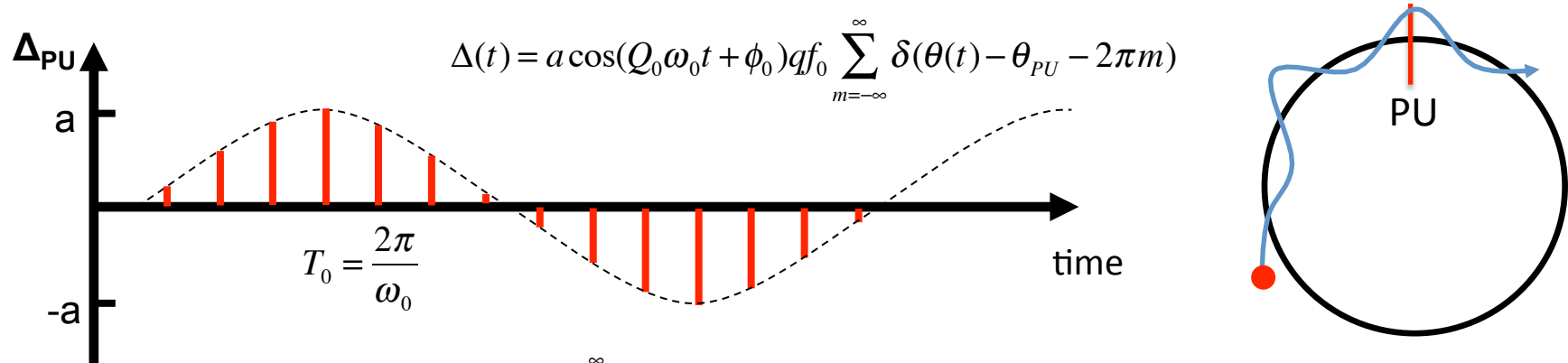
Bunch current profile:

$$I(t) = I_p \exp\left(-\frac{(\tau - \bar{\tau})^2}{2\sigma^2}\right)$$

Single particle oscillation spectrum

Betatron oscillation: $x(t) = a \cos(Q_0 \omega_0 t + \phi_0)$

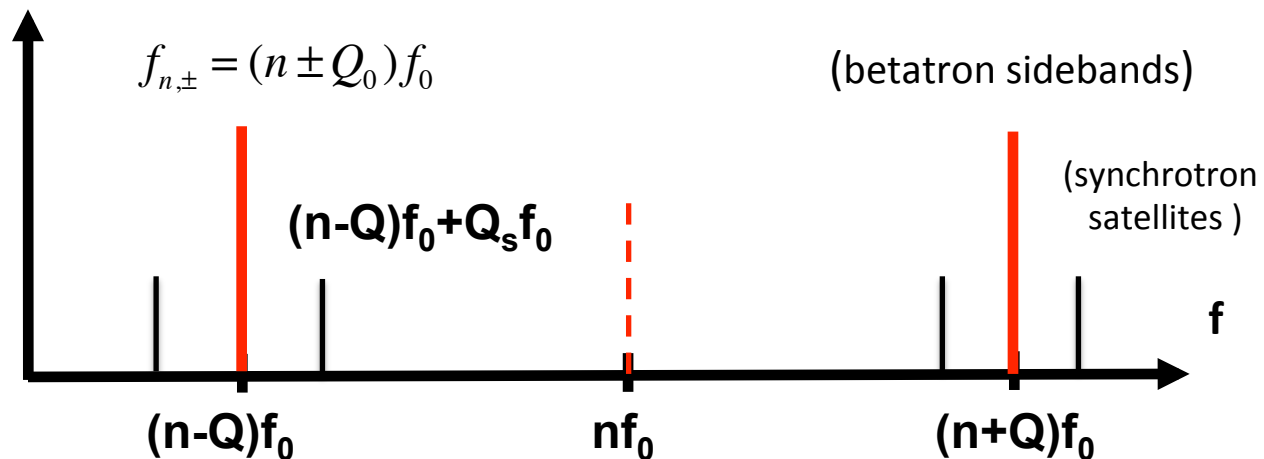
Dipole moment: $\Delta(t) = x(t) I(t)$



Frequency domain: $\Delta(t) = q a f_0 \Re \sum_{n=-\infty}^{\infty} \exp[-i(n + Q_0)\omega_0 t + \phi_0]$

Looking at positive frequencies only:

Only positive lines can be seen by the spectrum analyzer.



Single particle power spectrum

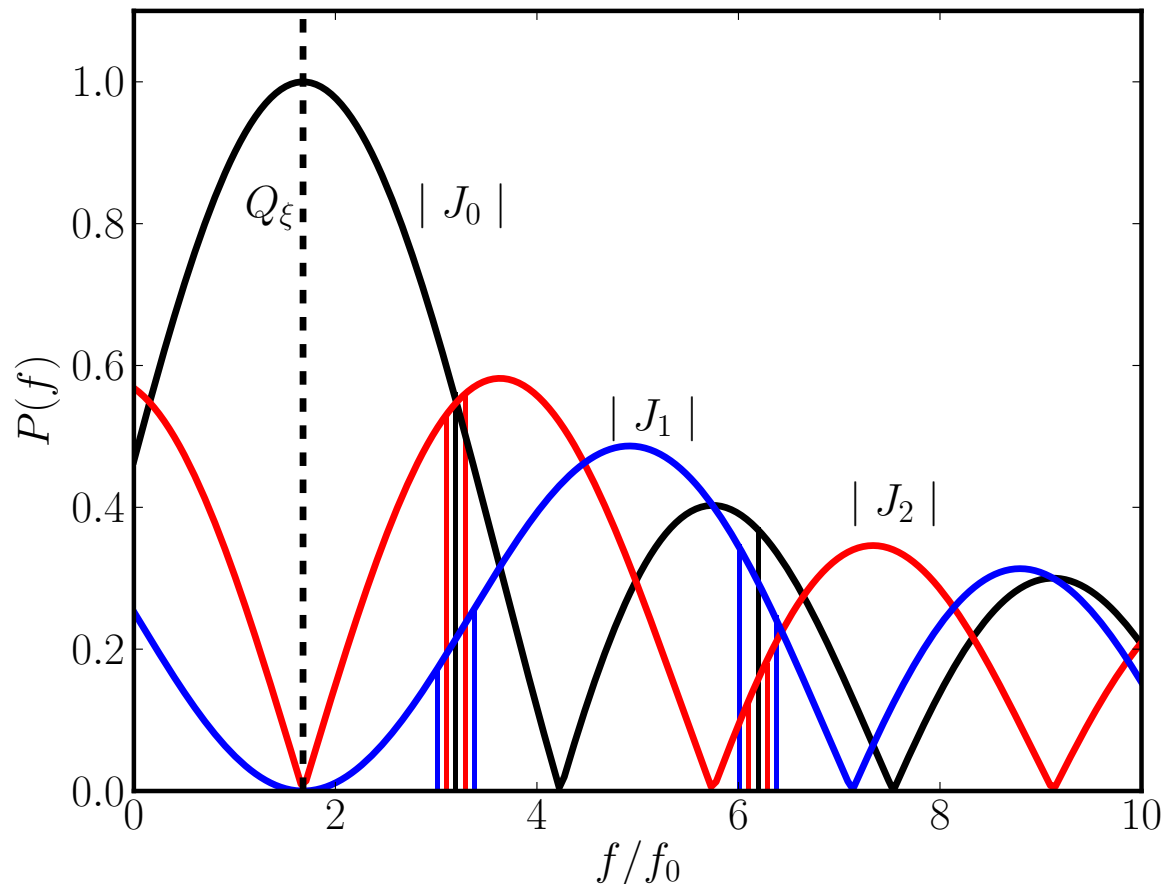
$$h(f) = |\Delta_k|^2 \sim |J_k [((n \pm Q_0) - Q_\xi) \omega_0 \hat{\tau}]|$$

Chromatic tune shift:

$$Q_\xi = \xi / \eta_0$$

Chromaticity:

$$\xi = \frac{\Delta Q}{\Delta p / p_0}$$



Coasting beam: transverse spectrum

Betatron oscillation of one beam slice: $\bar{x}(\theta_0, t) = \hat{x}e^{\pm i\omega_0 Q_0 t}$

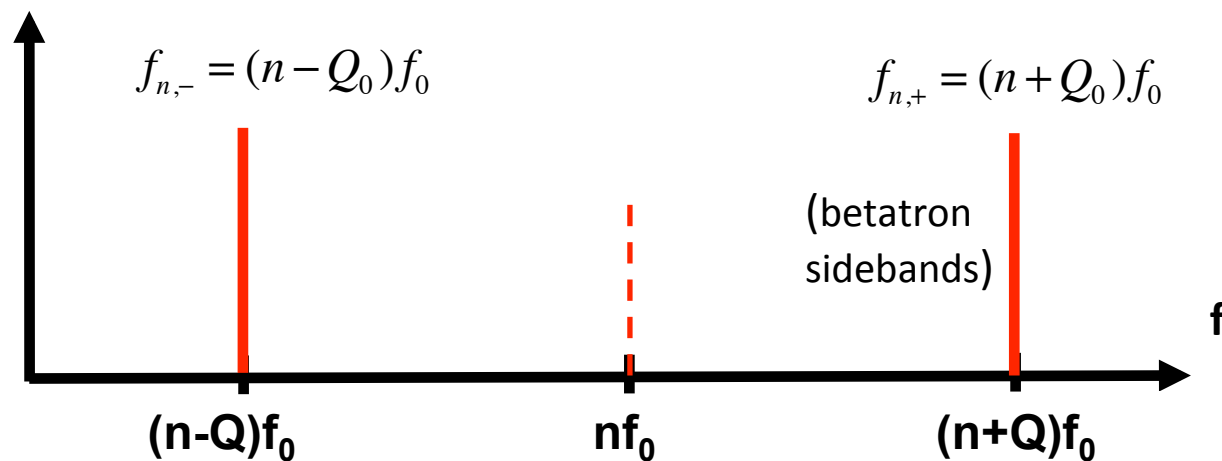
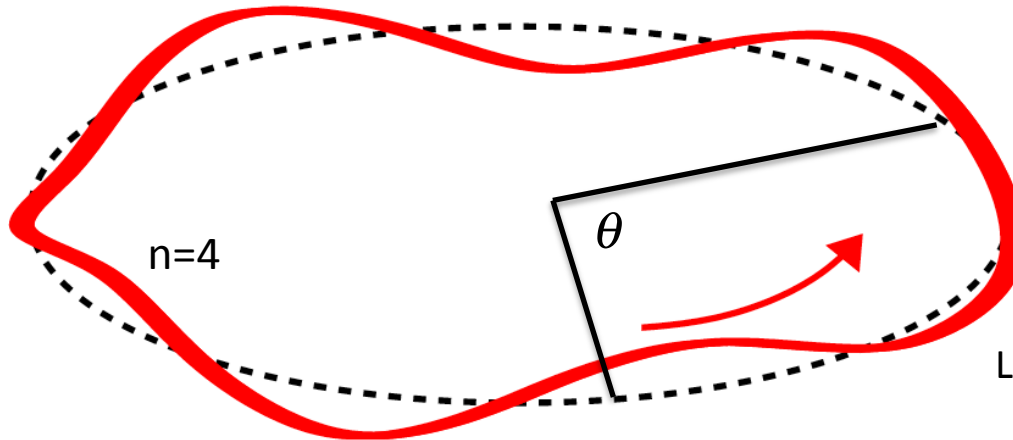
Seen by a stationary observer (pick-up):

$$\bar{x}(\theta, t) = \hat{x}e^{i(n\theta - \omega t)}$$

fast/slow mode: $\omega_{n,\pm} = (n \pm Q_0)\omega_0$

Lowest mode in SIS-18 ($Q_{0y} = 3.24$):

$$f_{\min,-} = (4 - Q_{y0})f_0 \approx 0.4f_0 \approx 80 \text{ kHz}$$



Beam oscillations with impedances

coasting beam

single particle
betatron oscillations:

$$x'' + \frac{Q_0^2}{R^2} x = \frac{F_x}{\gamma_0 m v_0^2}$$

horizontal divergence: $x' = \frac{dx}{ds}$ path length: $s = \beta_0 c t$

beam offset: $\bar{x}(s) = \int x \rho_b(x, y, s) dx dy$

$$\bar{x}'' + \frac{Q_0^2}{R^2} \bar{x} = \frac{\bar{F}_x}{\gamma_0 m v_0^2} = i \underbrace{\frac{q I Z_x}{\beta_0 E_0}} \bar{x}$$

$$Z_x(\omega) = \frac{-i}{q \beta_0 I \bar{x}} \bar{F}_x \quad \frac{2 Q_0 \Delta Q_x^c}{R^2}$$

$$\Rightarrow \bar{x}(\theta_0) = \hat{x} e^{i \omega_0 (Q_0 + \Delta Q_x^c) t}$$

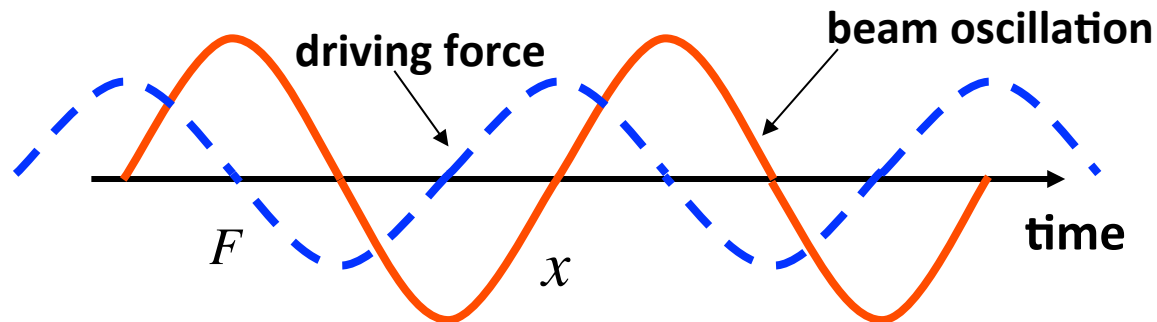
Coherent tune shift: $\Delta Q_x^c = -i \frac{q I R^2 Z_x}{2 Q_0 \beta_0 E_0}$

Complex impedance: $Z_x = Z_x^R + i Z_x^I$

Coherent tune shift: $\Delta Q_R^c = \omega_0 \operatorname{Re}(\Delta Q_x^c)$

Growth rate: $\tau_I^{-1} = \omega_0 \operatorname{Im}(\Delta Q_x^c)$

$$\Rightarrow \bar{x}(\theta_0) = e^{-\tau_I^{-1} t} e^{\pm i \omega_0 (Q_0 + \Delta Q_R^c) t}$$



In order to increase the amplitude of a driven oscillator the driving force must be ahead (in phase) of the motion.

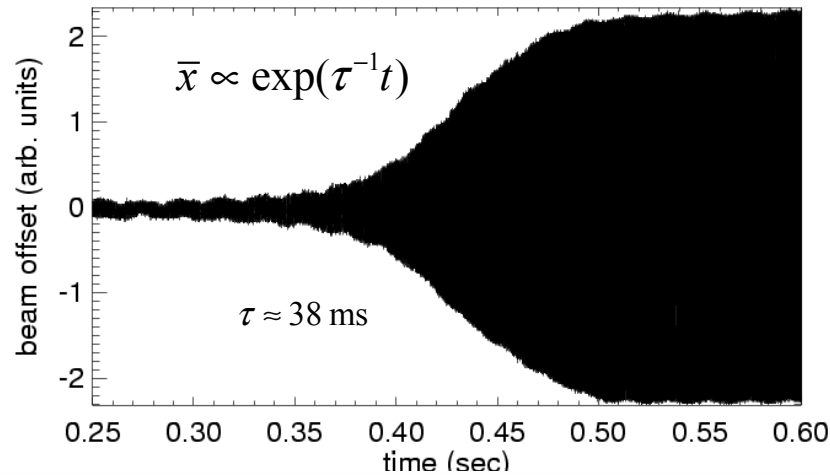
Anyone who has pushed a child on a swing will know this.....



Resistive wall instability

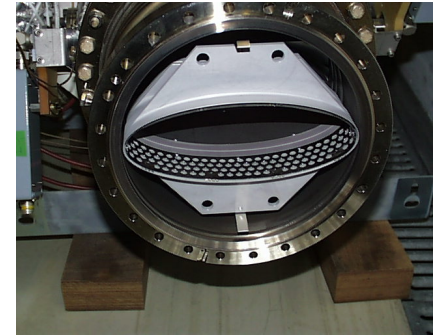
coasting Ar¹⁸⁺ beam in SIS 18 at injection (11.4 MeV/u)

Beam offset vs. time **observed** in SIS 18



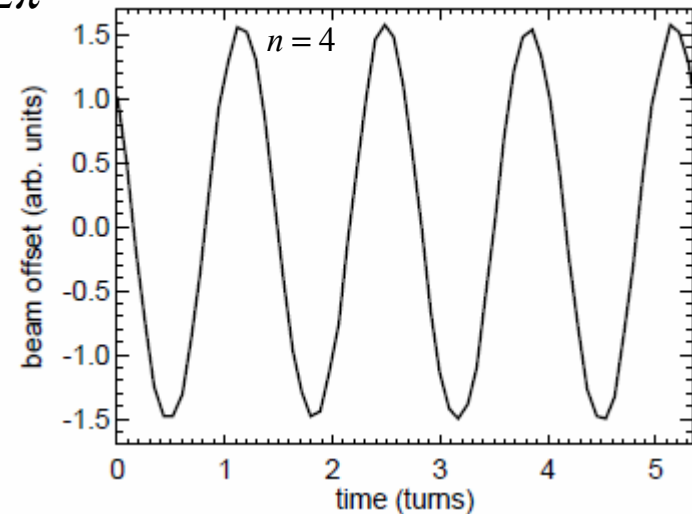
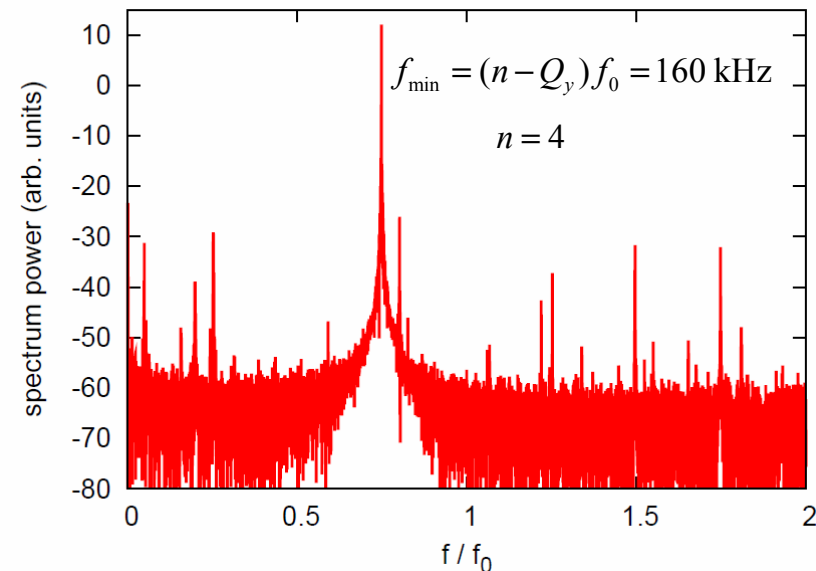
$$Z_x^R(\omega) = \frac{c}{\pi b^3 d \sigma_w \omega}$$

(transverse resistive wall impedance)



$$\tau^{-1} = \omega_0 \text{Im}(\Delta Q_x^{\text{coh}}) = \frac{qIR^2c}{2\pi Q\beta_0 E_0 b^3 d \sigma_w \omega}$$

$$\frac{\omega}{2\pi} = f_{\min,-} = (4 - Q_{y0})f_0 \approx 160 \text{ kHz}$$



Landau damping

coasting beam

slow mode/betatron line:

$$\omega_n = (n - Q)\omega_0$$

momentum dependence:

$$\frac{d\omega_n}{(dp/p)} = (n - Q) \frac{d\omega_0}{(dp/p)} + \frac{dQ}{(dp/p)} \omega_0$$

$$\xi = \frac{\Delta Q}{\Delta p/p_0} \quad \frac{\Delta\omega}{\omega_0} = -\eta_0 \frac{\Delta p}{p_0}$$

(chromaticity) (frequency slip)

Frequency/tune spread:

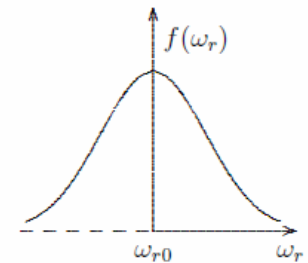
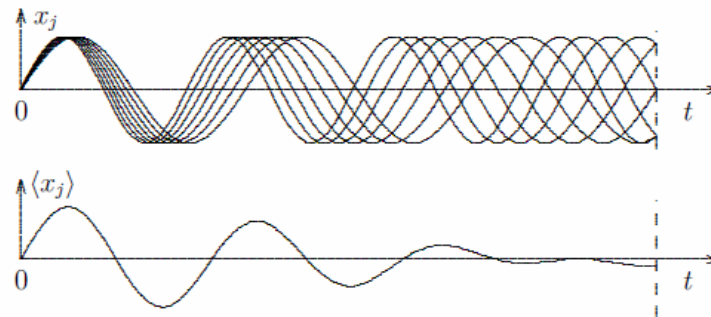
$$\Rightarrow \delta\omega_n = (\xi - \eta_0(n - Q))\omega_0 \frac{\Delta p}{p}$$

Damping:

$$\tau_n^{-1} \approx \delta\omega_n, \quad \bar{x}(t) = \hat{x} \exp(i\omega_n t - \delta\omega_n t)$$

A. Hofmann, Landau damping, CAS 1995

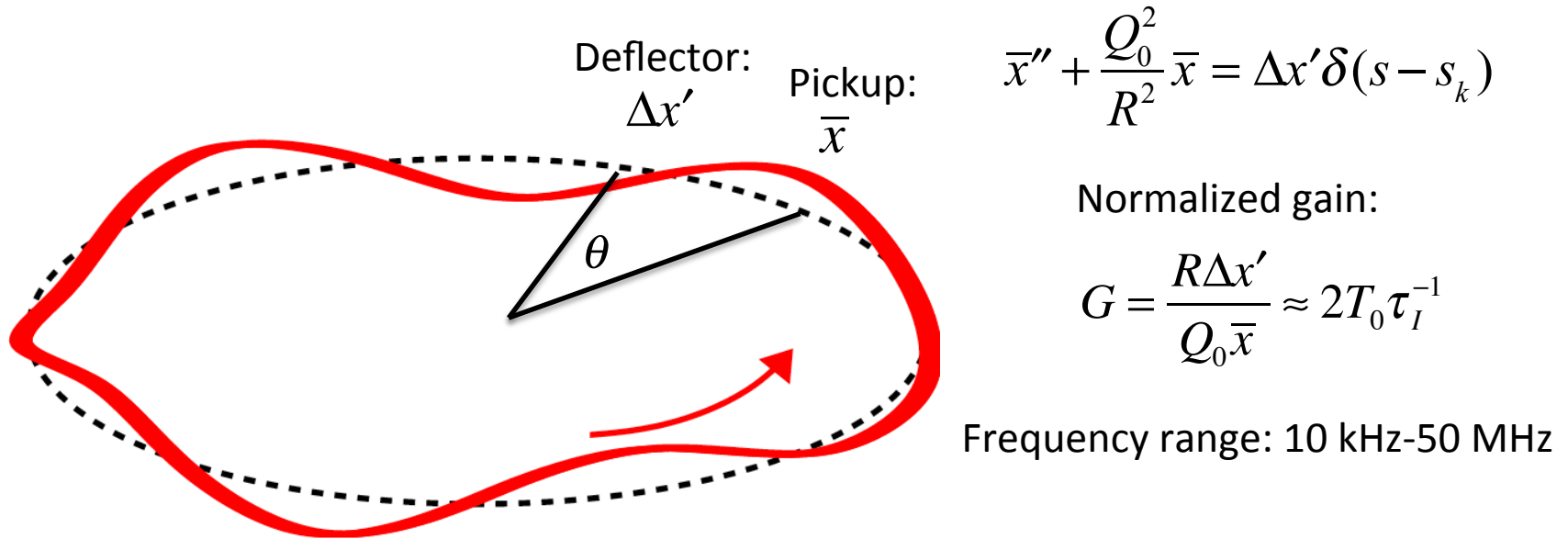
- Landau damping vs. phase mixing
- Landau damping causes emittance growth
- Landau damping can be reversed: Echoes
- Octupoles: increase Landau damping



$$\text{Beam stability: } \tau_n^{-1} \gtrsim \tau_I^{-1} \quad \text{or} \quad \delta Q_n \gtrsim |\Delta Q^c|$$

Instability threshold !

Feedback systems (transverse dampers)

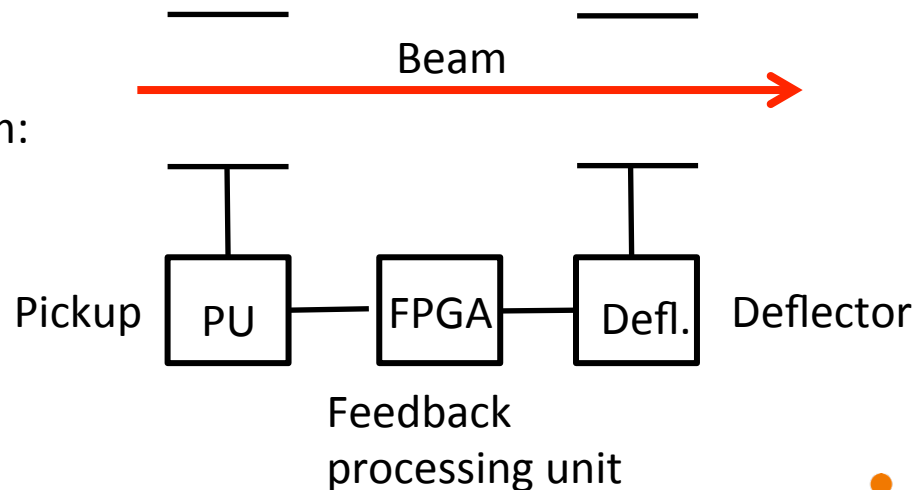


$$\bar{x}'' + \frac{Q_0^2}{R^2} \bar{x} = \Delta x' \delta(s - s_k)$$

Normalized gain:

$$G = \frac{R \Delta x'}{Q_0 \bar{x}} \approx 2T_0 \tau_I^{-1}$$

(Digital) transverse feedback system:



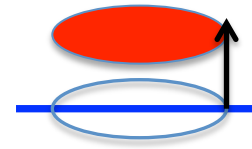
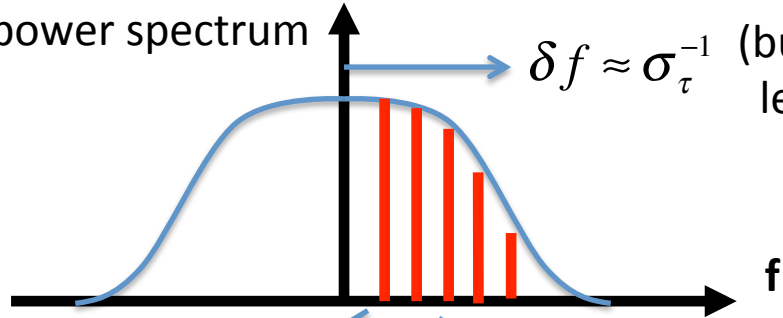
Poster: A. Alhumaidi

Transverse rigid bunch oscillation

Discrete power spectrum

$h(f_n) = |\Delta(f_n)|^2$

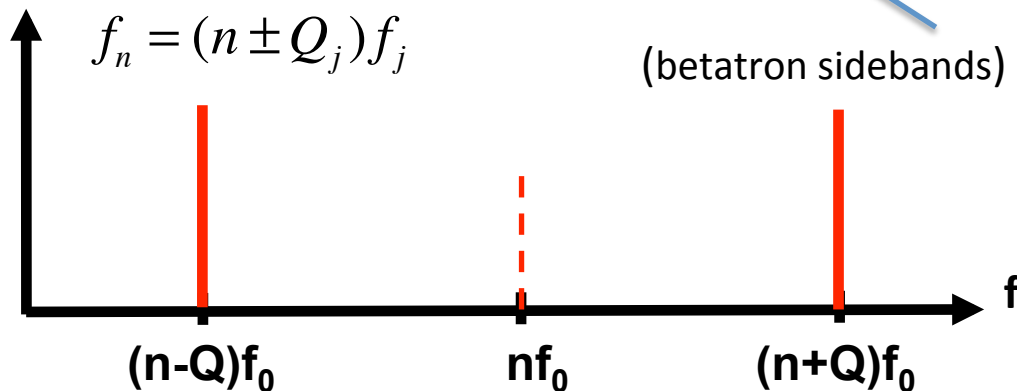
$\delta f \approx \sigma_\tau^{-1}$ (bunch length)



$$\bar{x}(t) = Ae^{-i\omega_0 Q_0 t}$$

Dipole signal: Standing wave pattern.

$$\Delta(t) = I_b(\tau) \times Ae^{i\omega_0 Q_0 t}$$

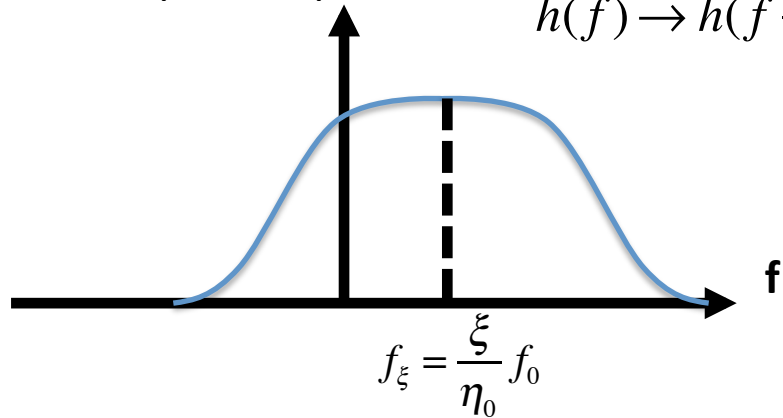


Transverse rigid bunch oscillation with chromaticity

Zotter, Sacherer (1976)

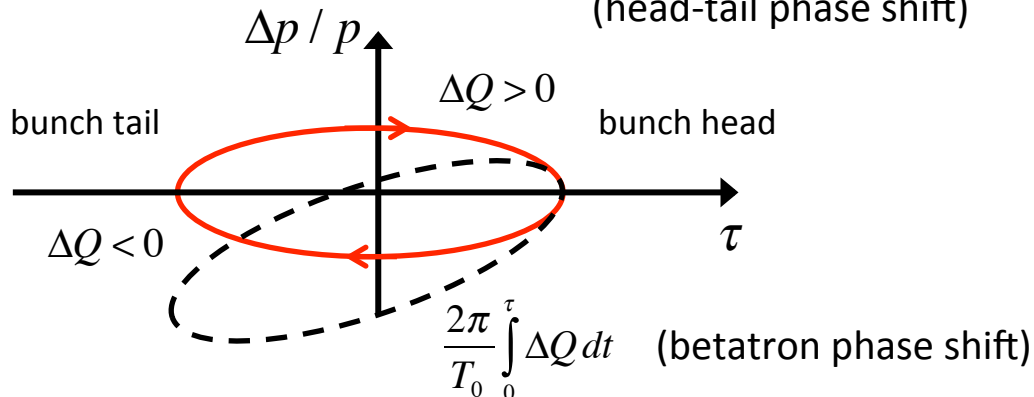
Shifted power spectrum

$$h(f) \rightarrow h(f - f_\xi)$$



$$\Delta Q = \xi \frac{\Delta p}{p} \quad \frac{\Delta p}{p} = -\frac{\dot{\tau}}{\eta_0} \quad \chi = \frac{2\pi}{T_0} \int_0^{\tau_b} \Delta Q dt = \frac{\xi}{\eta_0} \omega_0 \tau_b$$

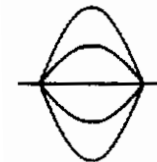
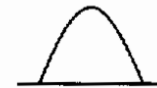
(head-tail phase shift)



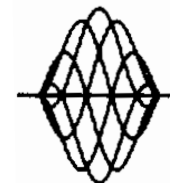
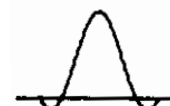
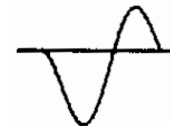
$$\bar{x}(t) = A e^{i\chi \frac{\tau}{\tau_b}} e^{-i\omega_0 Q_0 t}$$

Traveling wave pattern !

$$\chi = 0$$

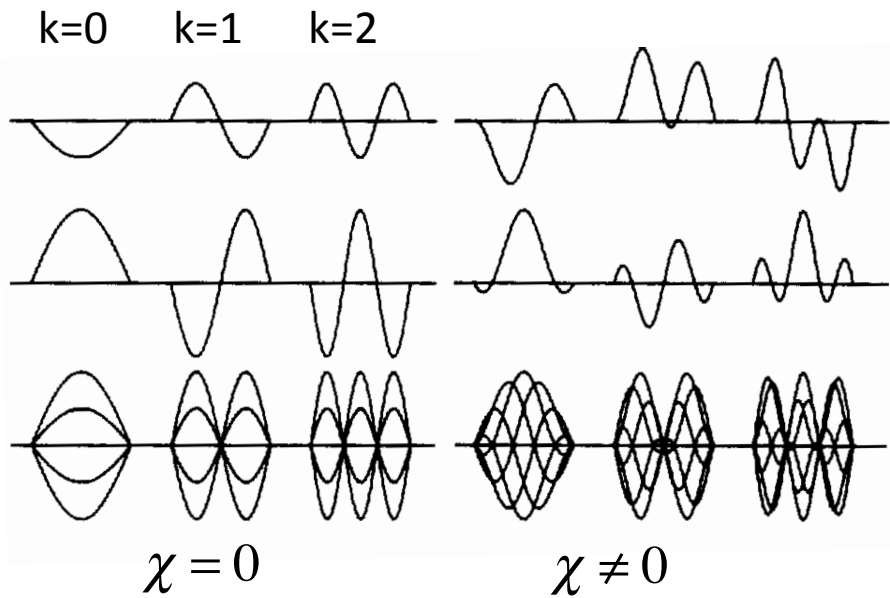


$$\chi \neq 0$$



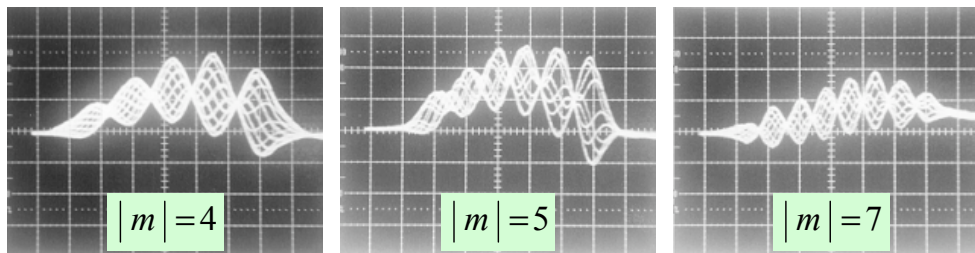
Head-tail oscillations

Zotter, Sacherer (1976)



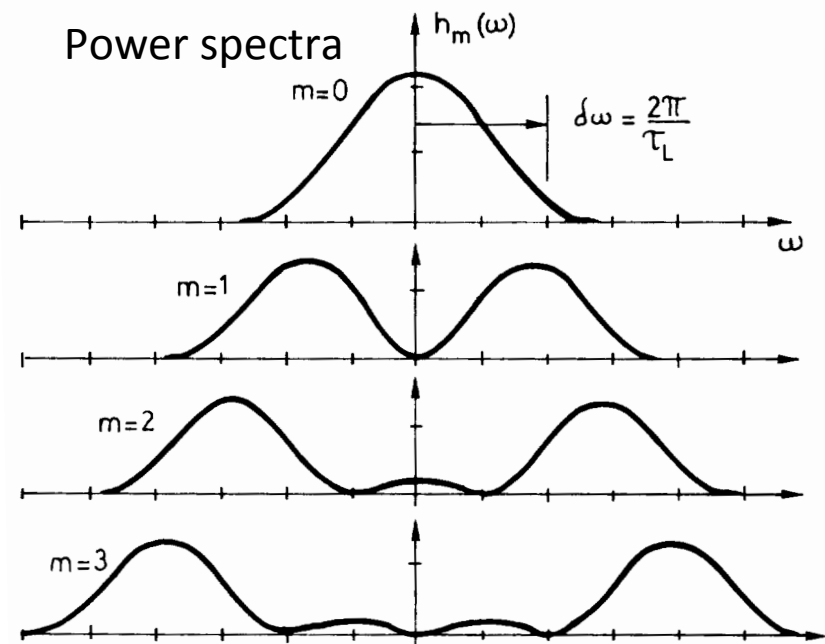
$$\Delta(\tau, t) = I(\tau) \bar{x}_k(\tau, t) \propto \cos(k\pi\tau / \tau_b) e^{-i\chi\tau/\tau_b} e^{-iQ_k t}$$

Unstable head-tail oscillations in the CERN PS



E.Metral et al. (2005)

$$\times e^{i\omega_0 Q_k t} \quad Q_k = Q_0 + kQ_s$$



Head tail instability

coasting beam spectrum / coherent tune shift:

Zotter, Sacherer (1976)

$$\Delta(\omega) = I_0 \bar{x}(\omega) = \delta(\omega - \omega_n) \quad \Delta Q_x^c = -i \frac{qIR^2}{2Q_0\beta_0 E_0} Z_x(\omega_n)$$

Head-tail tune shift:

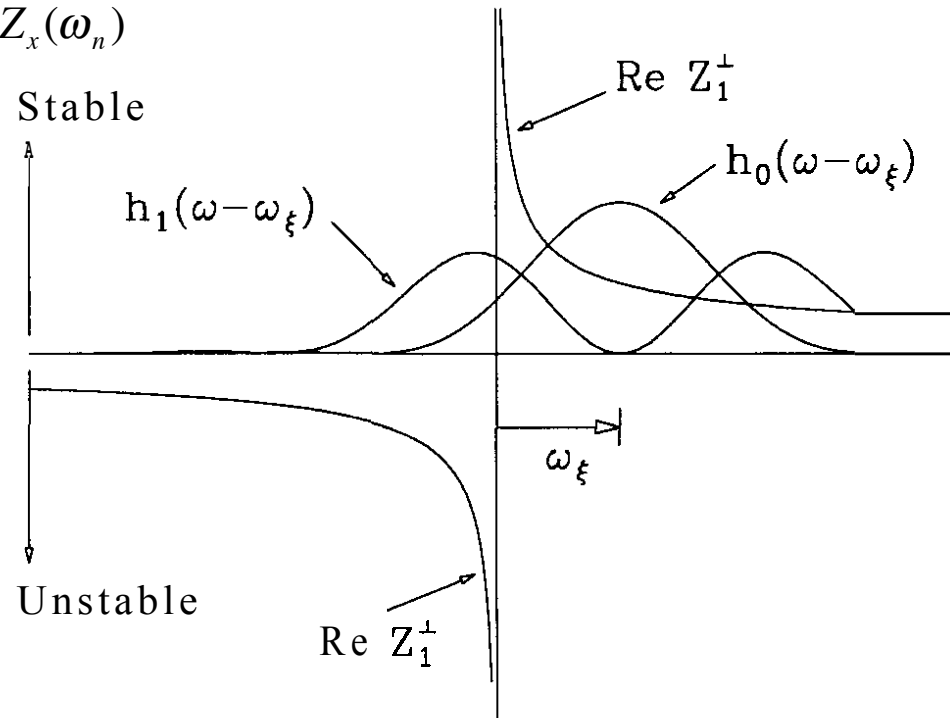
$$\Delta Q_x^c \propto \sum |\Delta(\omega)|^2 Z(\omega) \quad \omega_\xi = \frac{\xi}{\eta_0} \omega_0$$

$$\tau_k^{-1} = -\frac{1}{1+k} \frac{qI}{2Q_0\beta_0 E_0 l_b} \frac{\sum h(\omega - \omega_\xi) \operatorname{Re} Z(\omega)}{\sum h(\omega - \omega_\xi)}$$

for a smooth impedance:

$$\tau_0^{-1} = -\frac{qI}{2Q_0\beta_0 E_0 l_b} \operatorname{Re} Z_x(\omega_\xi)$$

Positive/negative chromaticity above/below transition shifts all modes towards the positive frequency side. Mode $k = 0$ becomes stable, but mode $k = 1$ may be unstable because it samples more negative Z than positive. **No head-tail instability for compensated chromaticity.**

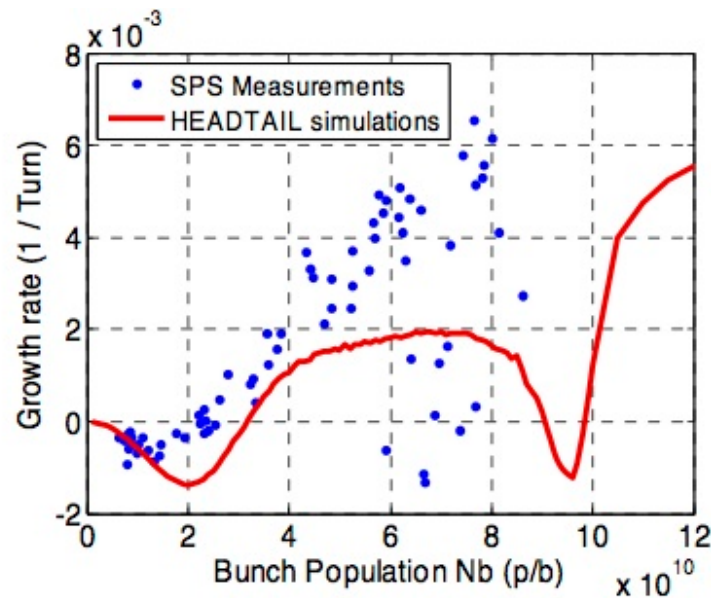


Transverse Mode-Coupling Instability (TMCI)

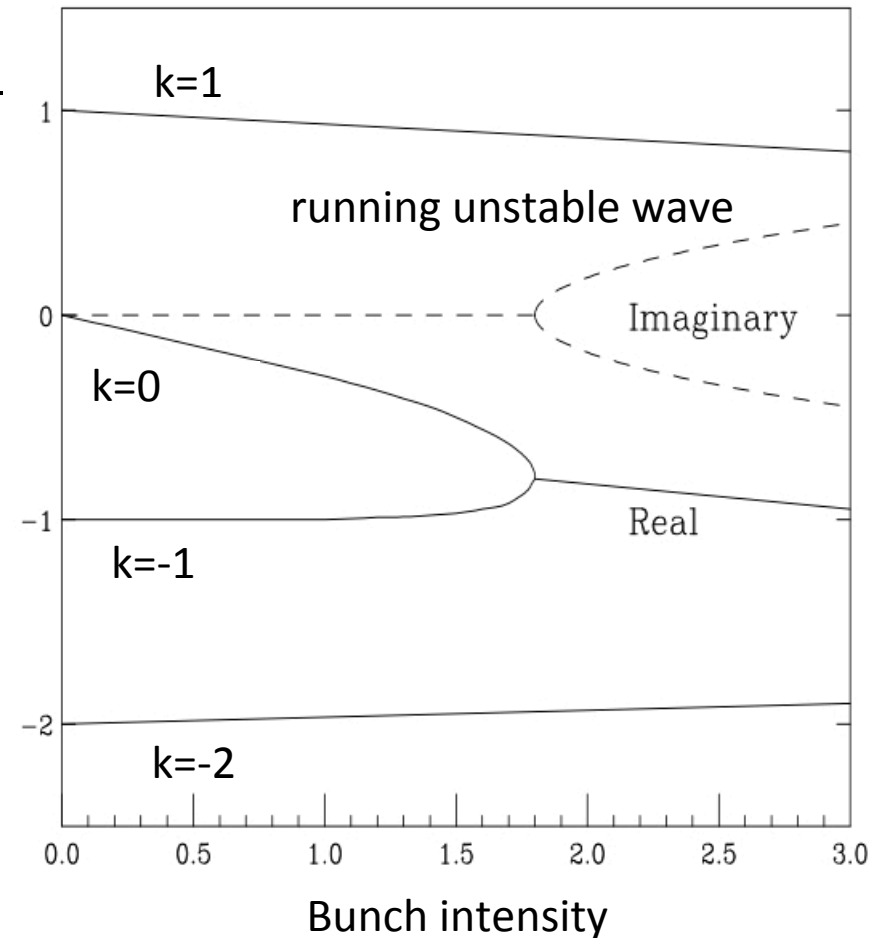
Well known for electron ring machines.

Observed in the CERN SPS with protons.

Caused by the kicker impedance or e-clouds.



$$\frac{\Delta Q_k}{Q_s}$$



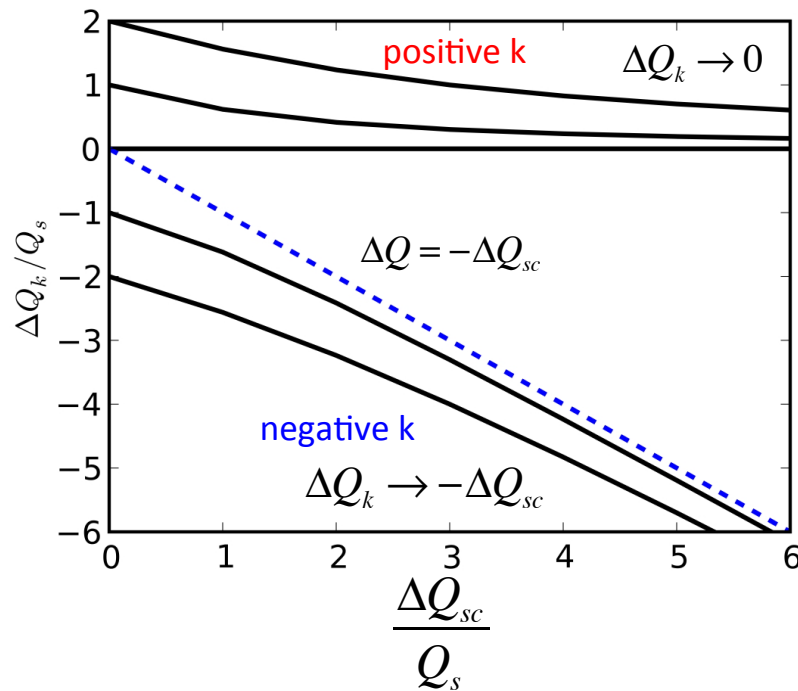
B. Salvant et. al. 2008

-> **field of study:** feedback for TMCI

Field of study: role of space charge

Incoherent space charge tune shift:

$$\Delta Q_x^{sc} \propto -\frac{q}{m} \frac{I_p R}{\epsilon_x \beta_0^2 \gamma_0^3}$$



Coasting beams:

- Loss of Landau damping.
- Increases the Landau damping caused by octupoles
- Does not change the coherent tune !

Bunched beams:

- Does change the coherent tunes !
- Causes 'intrinsic' Landau damping.
- Suppresses TMCI.

head-tail tune shifts:

$$\Delta Q_k = -\frac{\Delta Q_{sc}}{2} \pm \sqrt{(\Delta Q_{sc} / 2)^2 + (kQ_s)^2}$$

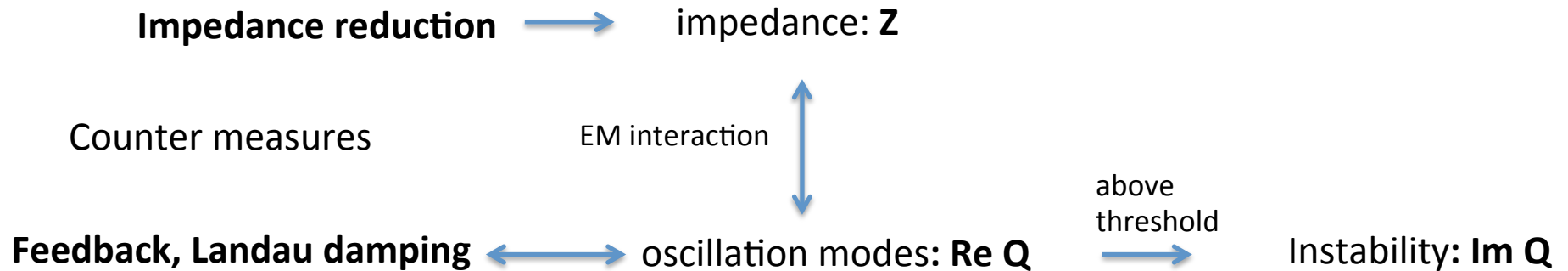
$$\Delta Q^{sc} = 0 : \quad \Delta Q_k = kQ_s$$

Blaskiewicz, Phys. Rev. ST Accel. Beams (1998)

Boine-F., Kornilov, Phys. Rev. ST Accel. Beams (2009), Burov (2009), Balbekov (2009)

O. Boine-Frankenheim, WE Heraeus Seminar, Bad Honnef, Oct. 2012

Summary and Open questions



Fields of study (selection):

- Damping of fast, high-frequency or multiple mode instabilities ?
- Possible cure: High gain, broadband feedback (> 100 MHz) to resolve the internal head-tail bunch offset.

C. Rivetta et al., BROAD-BAND TRANSVERSE FEEDBACK AGAINST E-CLOUD OR TMCI, Proc. ICFA-HB2012

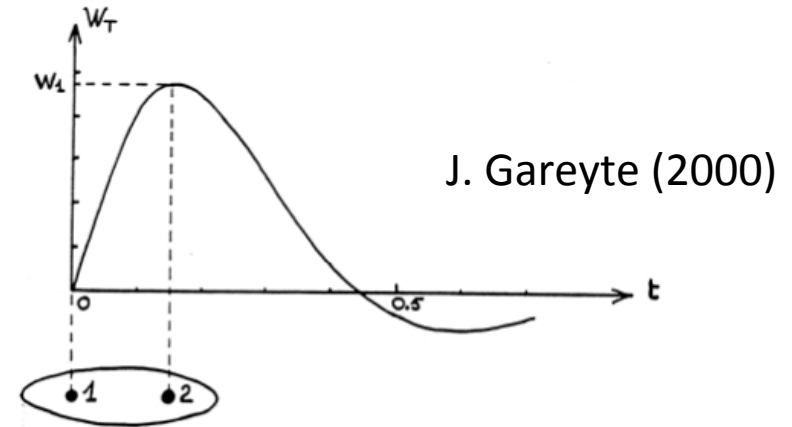
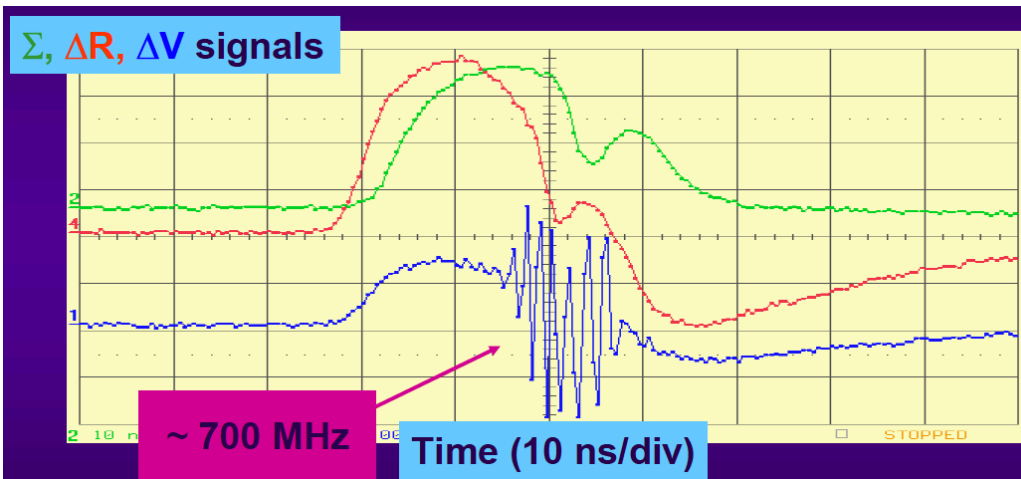
- Interplay of different coherent and incoherent effects (-> strange new instabilities):
Space charge, beam-beam, impedances, octupoles, feedback, beam cooling
-> Large scale simulation studies, experimental validation.

Beam breakup instability



The instability (in rings) is usually suppressed by the synchrotron oscillations.

Observation in the CERN PS near transition



$$x_1(t) = A \cos(Q_0 \omega_0 t)$$

$$\ddot{x}_2 + (Q_0 \omega_0)^2 x_2 = \alpha x_1(t)$$

$$\alpha = \frac{q^2 N W_1}{2 m \gamma_0}$$

$$x_2(t) \approx A \frac{\alpha}{2 Q_0 \omega_0} t \sin(\omega_0 Q_0 t)$$

R. Cappi et al. (2000)