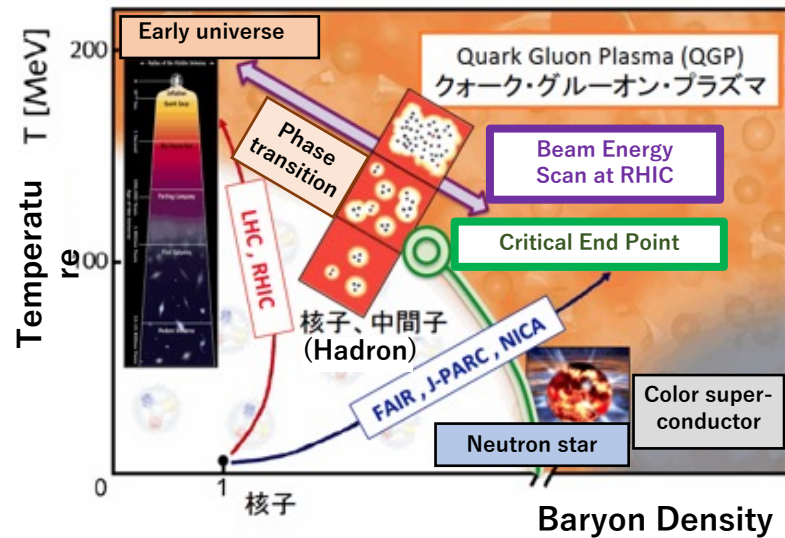


EMMI workshop “Probing dense baryonic matter with hadrons II: FAIR Phase-0”  
Fluctuations, joint session with STAR/CBM eTOF Workshop: Part 1

# Fluctuation analysis and results from STAR BES-I including results from Fixed Target (FXT) Run



Shinichi Esumi

Institute of Physics, University of Tsukuba

Tomonaga Center for the History of the Universe (TCHoU)

- Experimental pile-up removal and/or correction
- Tracking efficiency corrections
- Centrality determination and volume fluctuation
- Acceptance and beam energy dependence



**The STAR experiment**

at the Relativistic Heavy Ion Collider, Brookhaven National Laboratory



筑波大学

宇宙史研究センター

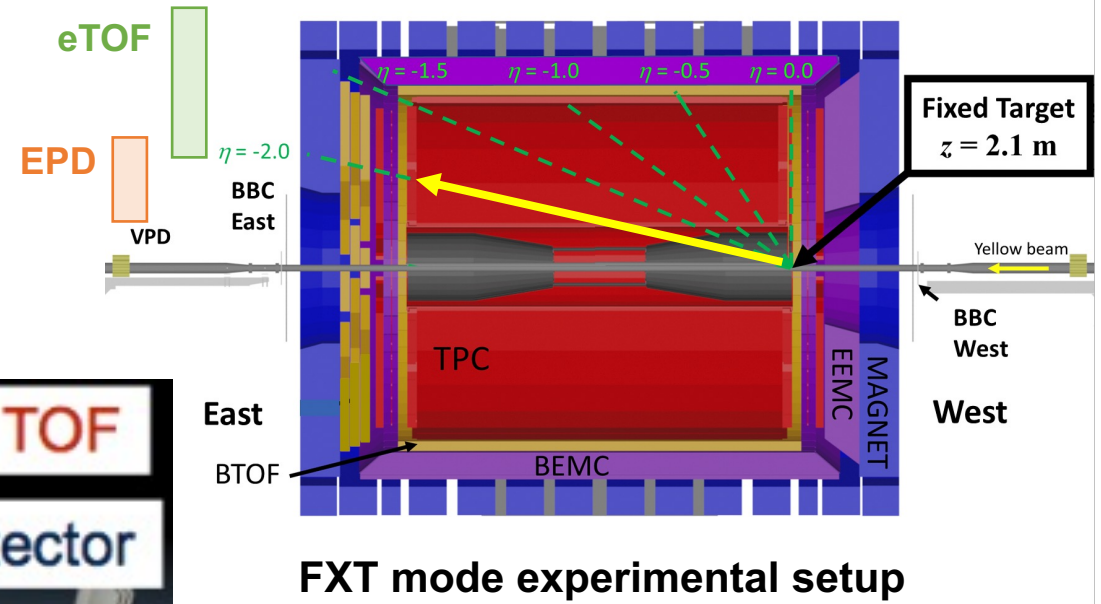
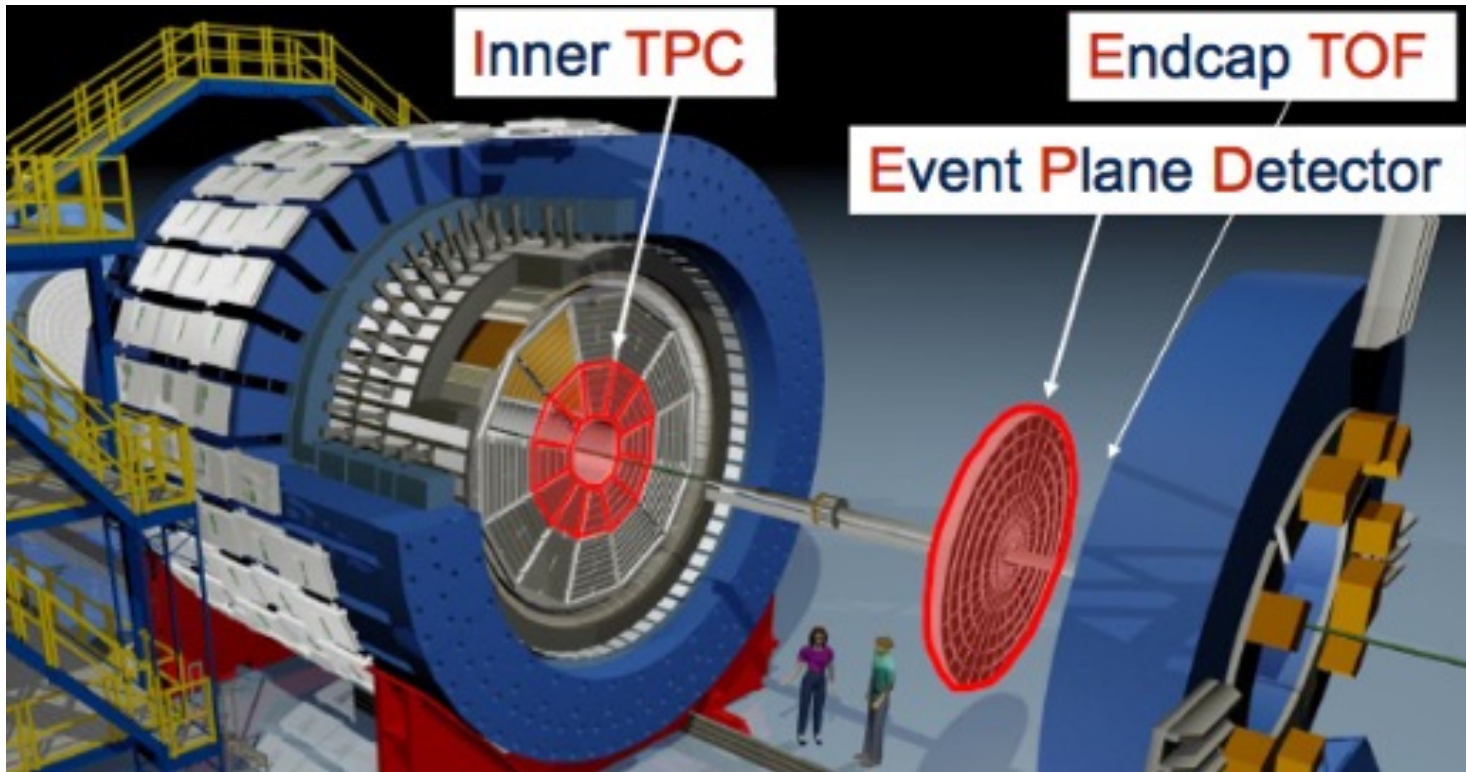
Tomonaga Center for the History of the Universe



筑波大学

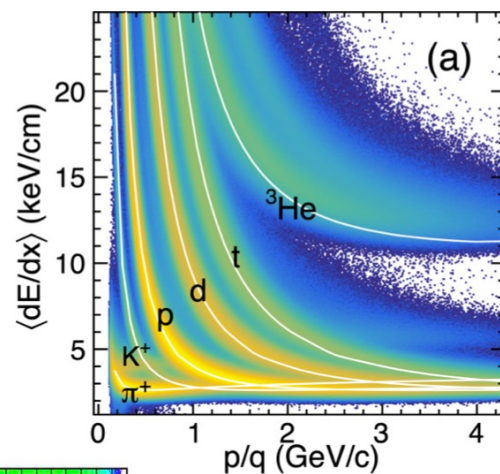
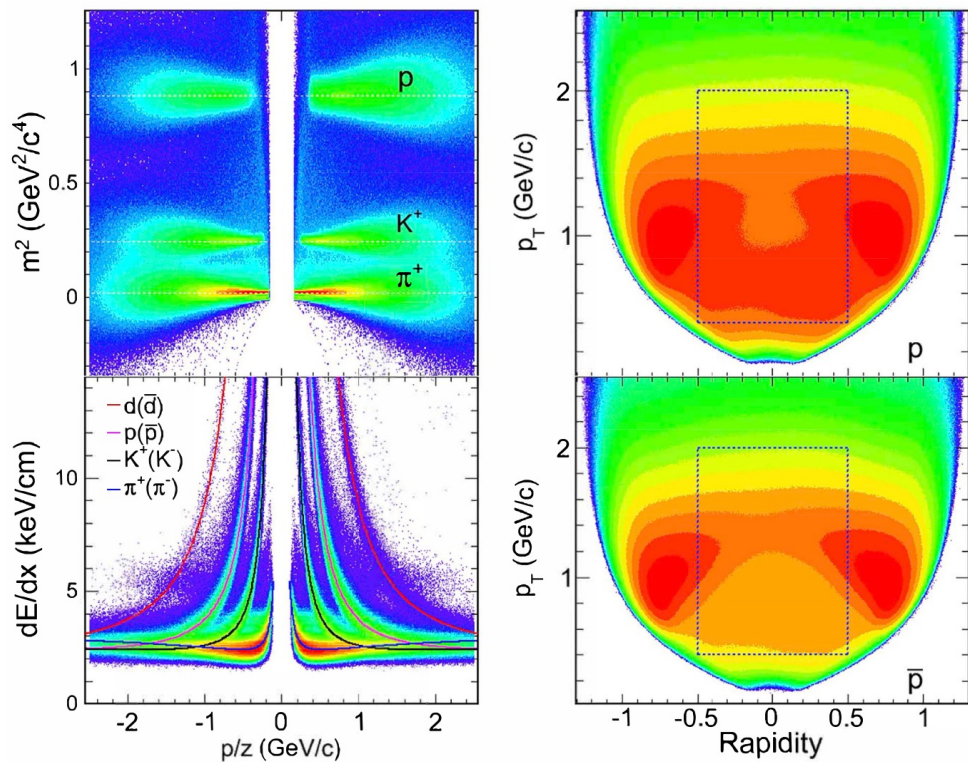
University of Tsukuba

# STAR experiment and BES-II upgrades

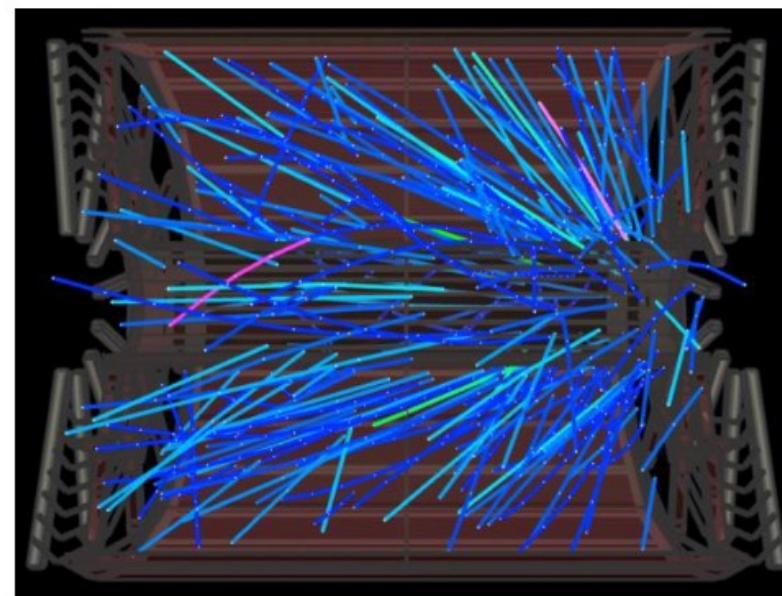
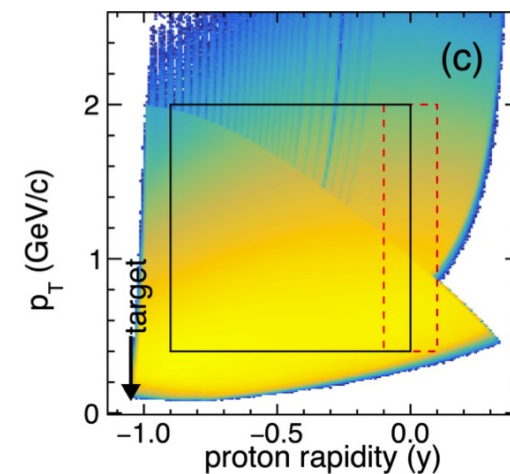
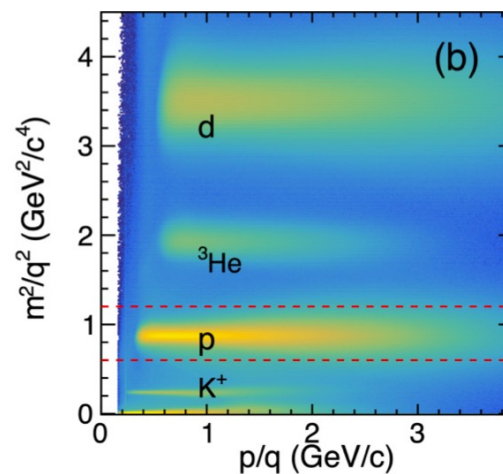


# Particle identification and acceptance

**Au+Au 39 GeV**

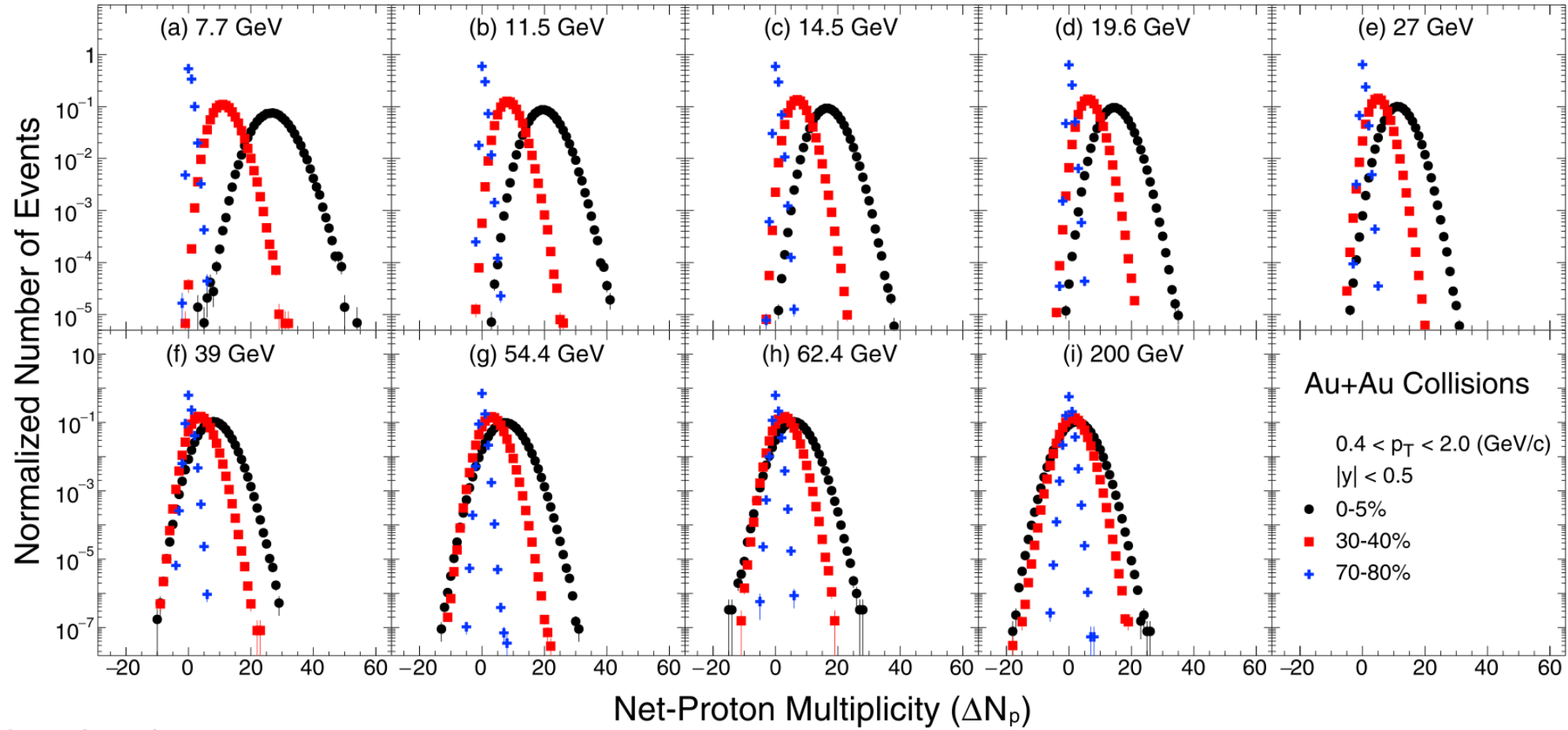


**Au+Au 3 GeV**



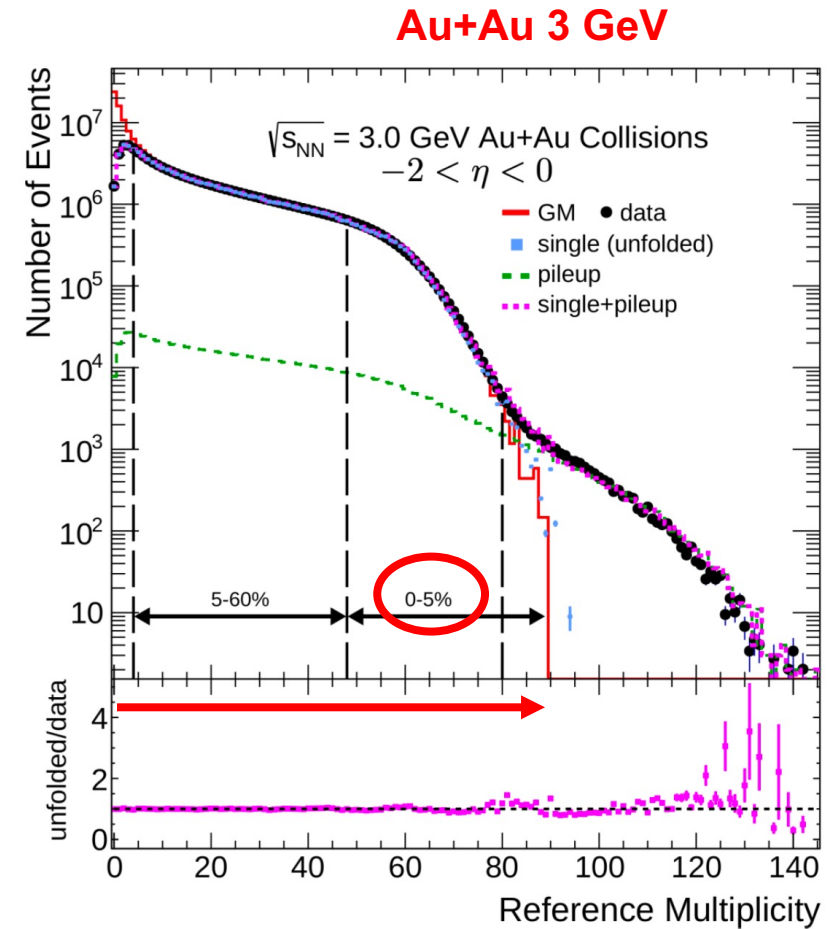
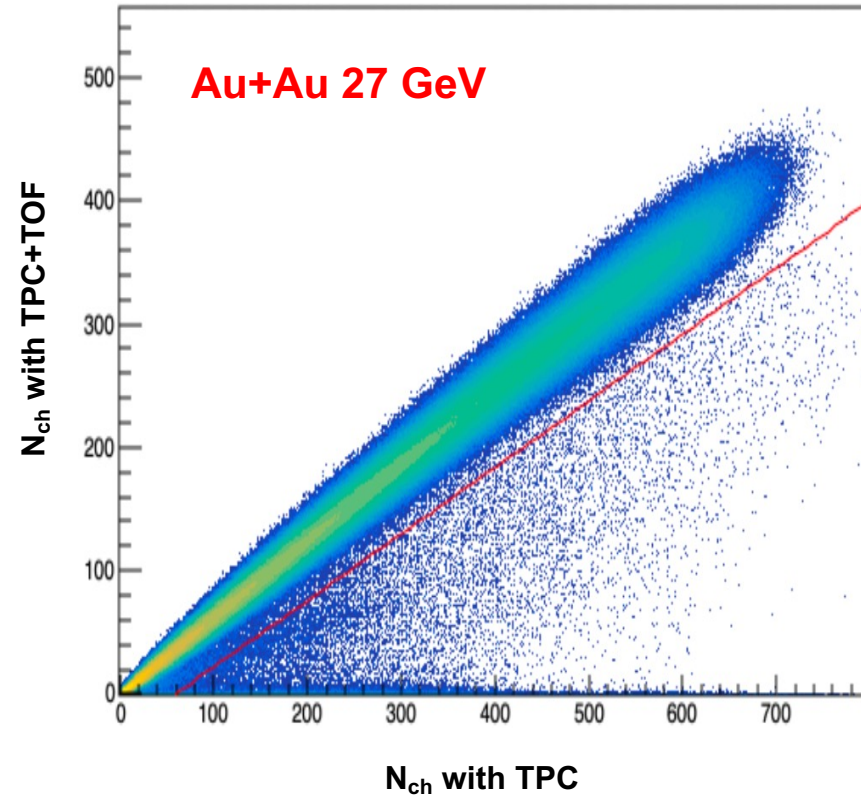
# Measured (un-corrected) (net-) proton distribution

BES-I



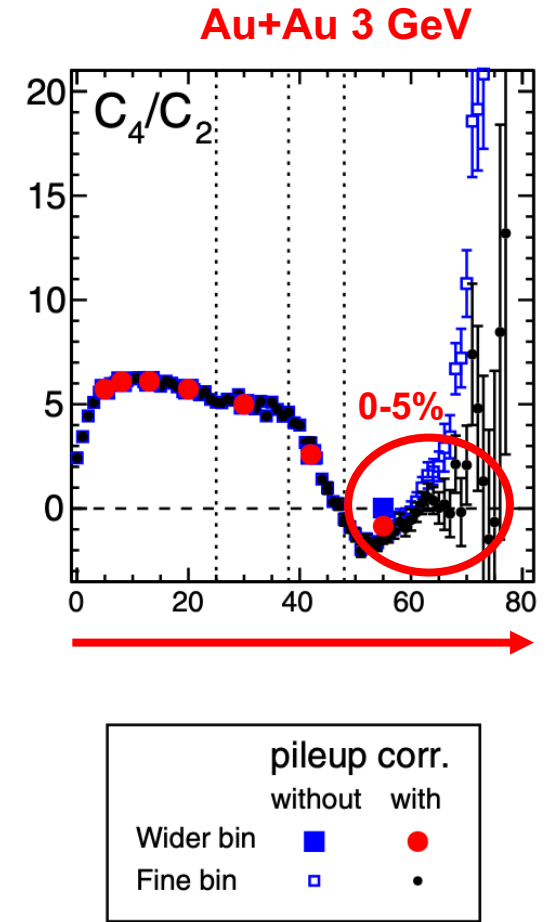
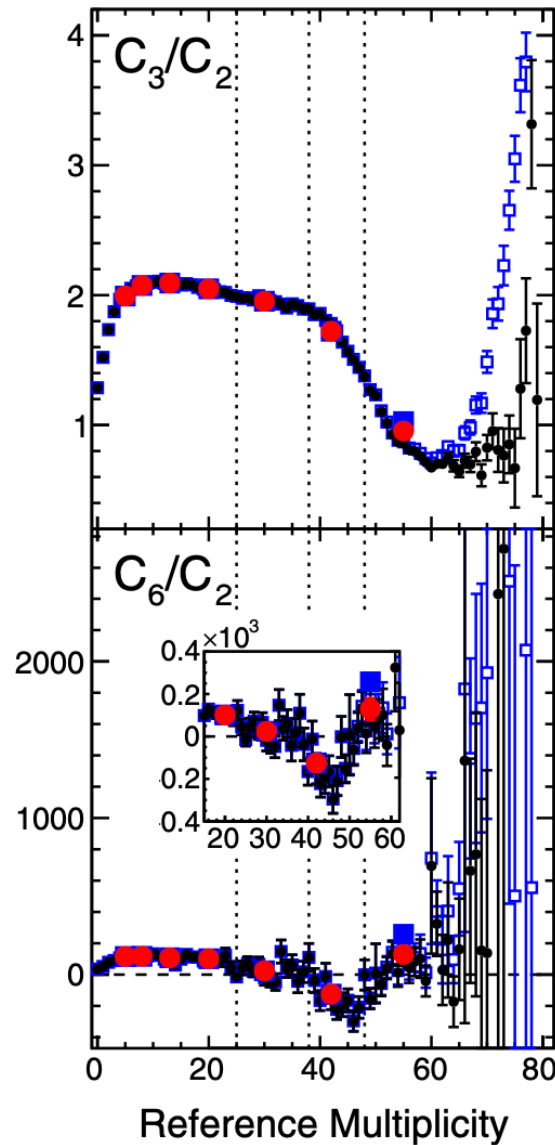
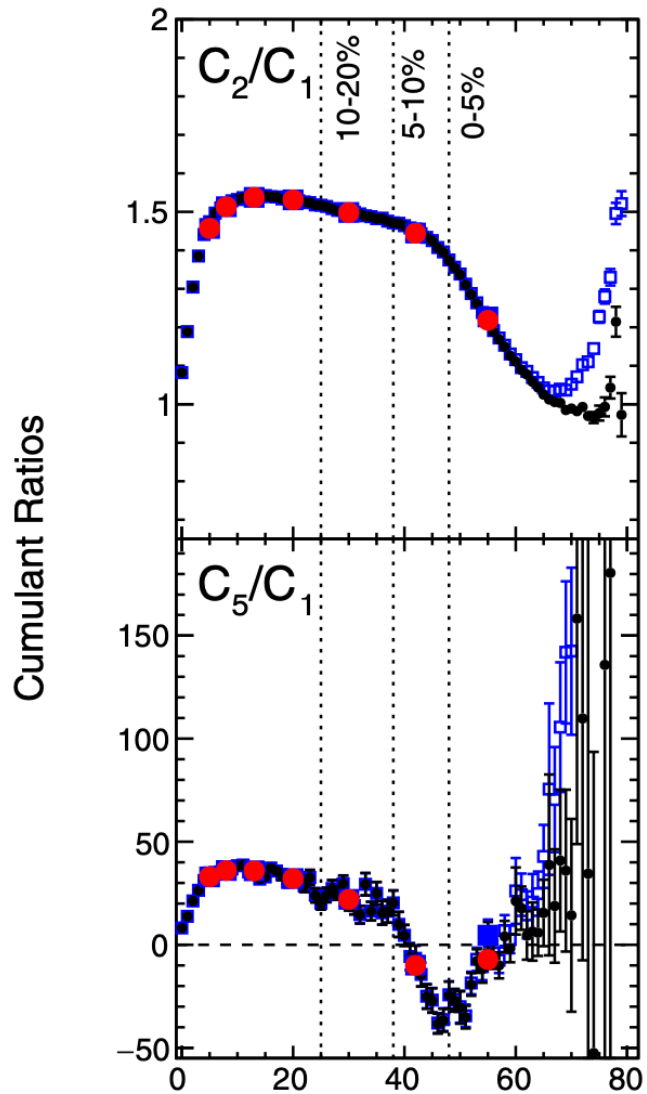
PRC104 (2021) 024902

# Pile-up events from high rate measurements



- independent superposition of two collisions
- with/without TOF hit requirement
- pile-up correction (next slide)

# With/without pile-up correction on cumulant ratio



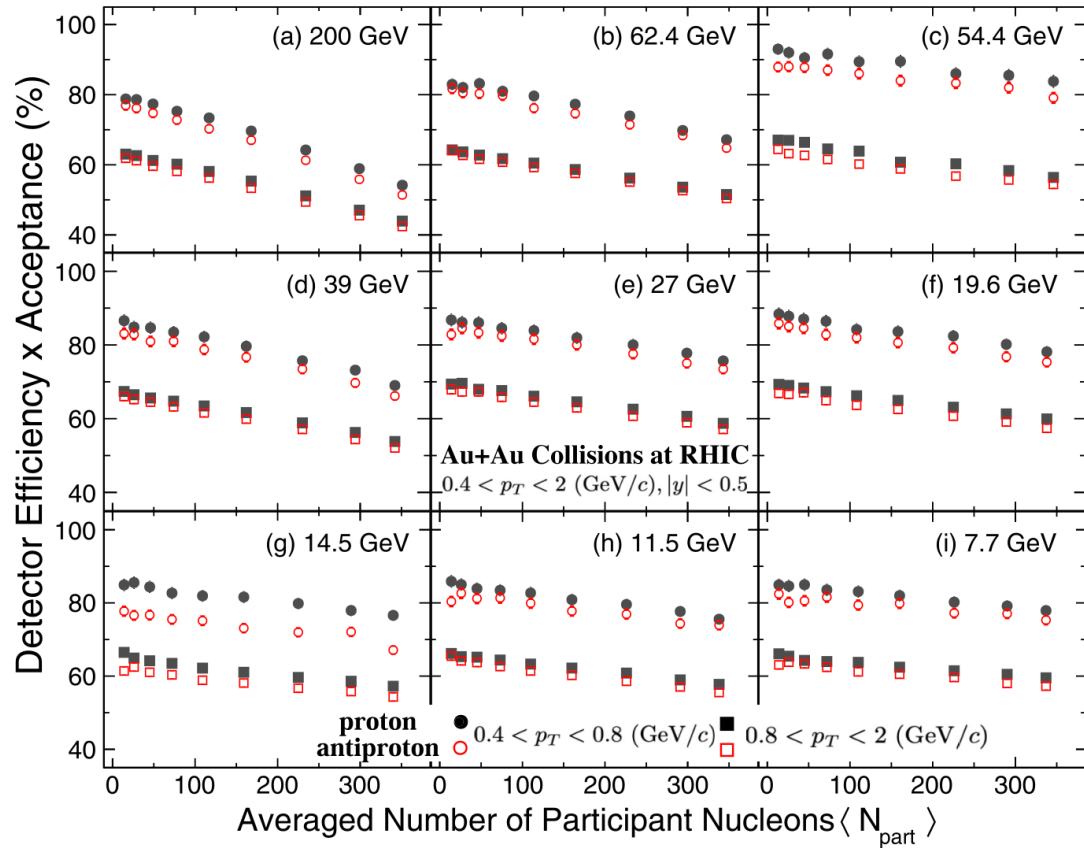
NIM A984 (2020) 164632  
NIM A1026 (2022) 166246

PRC107 (2023) 024908

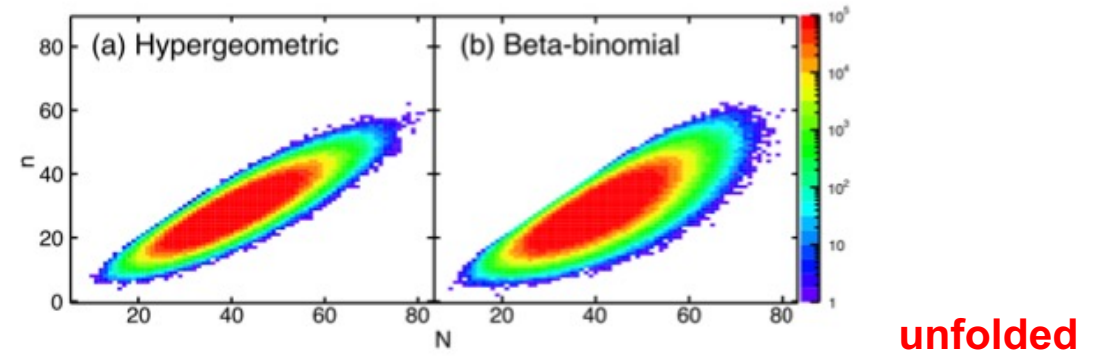
# Tracking efficiency and response matrix for unfolding correction

**BES-I**

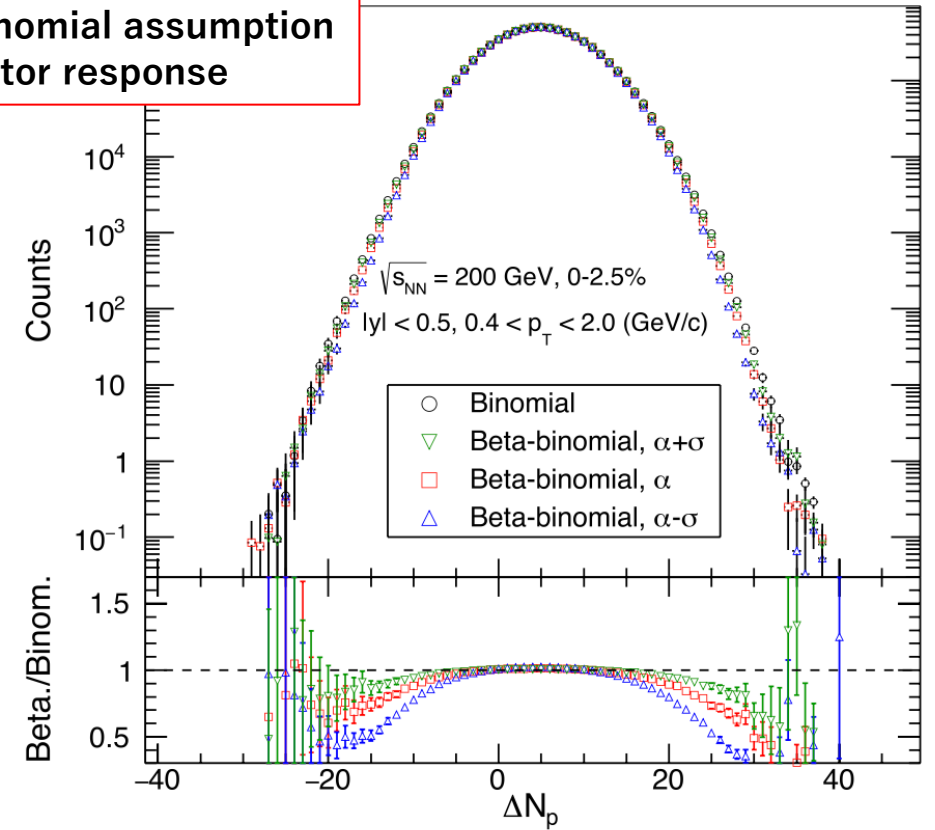
PRC104 (2021) 024902



based on embedding simulation



(Non-)binomial assumption for detector response



detector efficiency for multi-particles detection with full Geant simulation

# Non-binomial efficiency correction (Unfolding method)

ShinIchi Esumi,<sup>1,\*</sup> Kana Nakagawa,<sup>1</sup> and Toshihiro Nonaka<sup>1,2,†</sup><sup>1</sup>Tomonaga Center for the History of the Universe, University of Tsukuba, Tsukuba, Ibaraki 305, Japan<sup>2</sup>Key Laboratory of Quark & Lepton Physics (MOE) and Institute of Particle Physics, Central China Normal University, Wuhan 430079, China

We propose methods to reconstruct particle distributions with and without considering initial volume fluctuations. This approach enables us to correct for detector efficiencies and initial volume fluctuations simultaneously. Our study suggests such a tool could investigate the possible bimodal structure of net-proton distribution in Au+Au collisions at  $\sqrt{s_{NN}} = 7.7$  GeV as a signature of first-order phase transition and critical point of hadronic matter [1][2].

NIM A987, 164802 (2021)

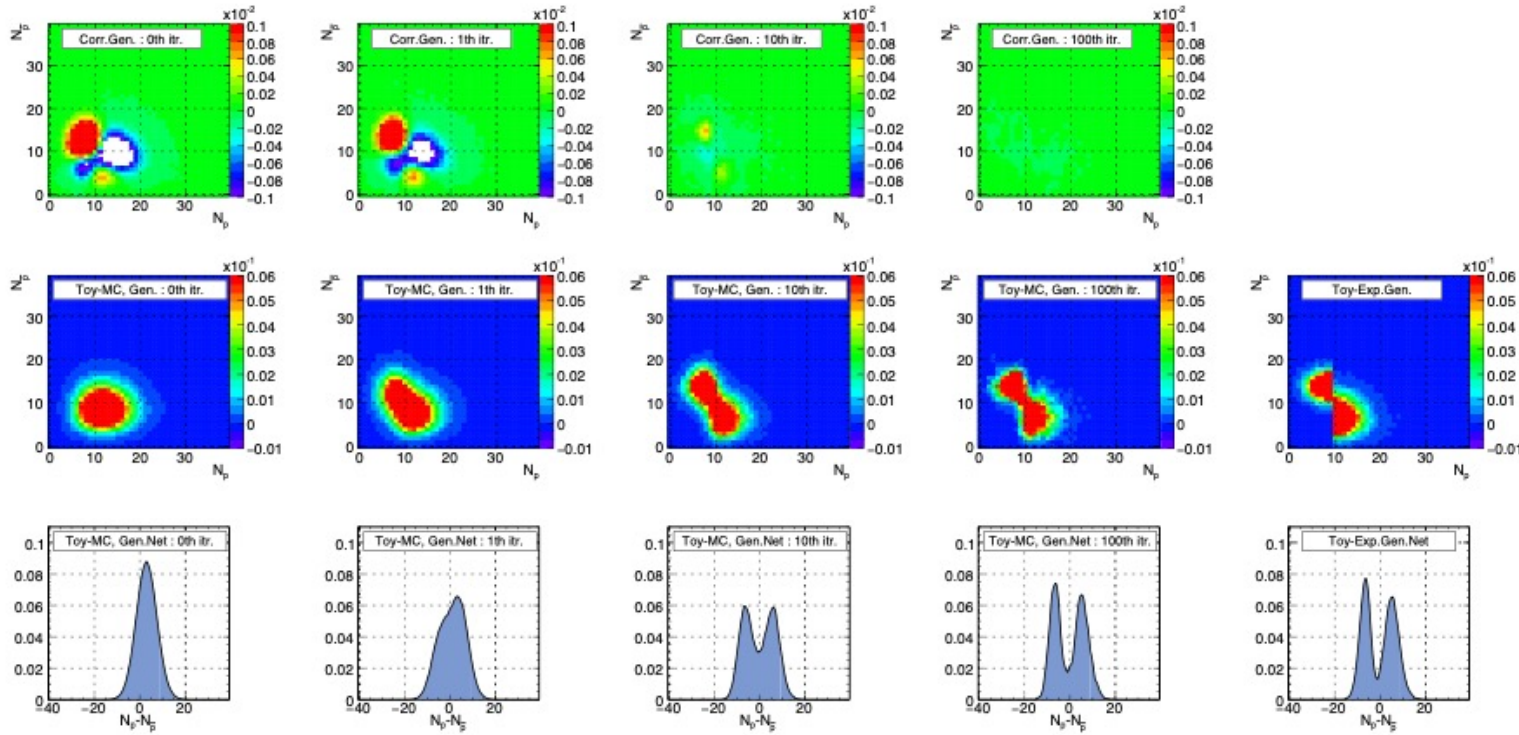
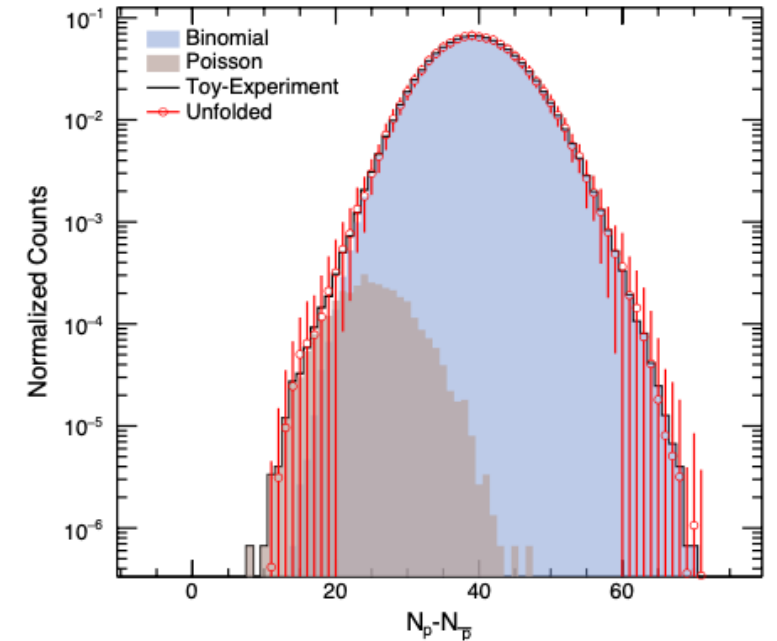


FIG. 4. (Top) Correction functions in the generated coordinates. White-colored bins represent the large negative value outside the z-axis range. (Middle) Toy-MC distributions in the generated coordinates. (Bottom) Toy-MC net-particle distributions in the generated coordinates. The 1st to 4th row from left to right show distributions at the 0th (initial condition), 1st, 10th and 100th iteration. The most right panels show distributions for the toy-experiment sample.



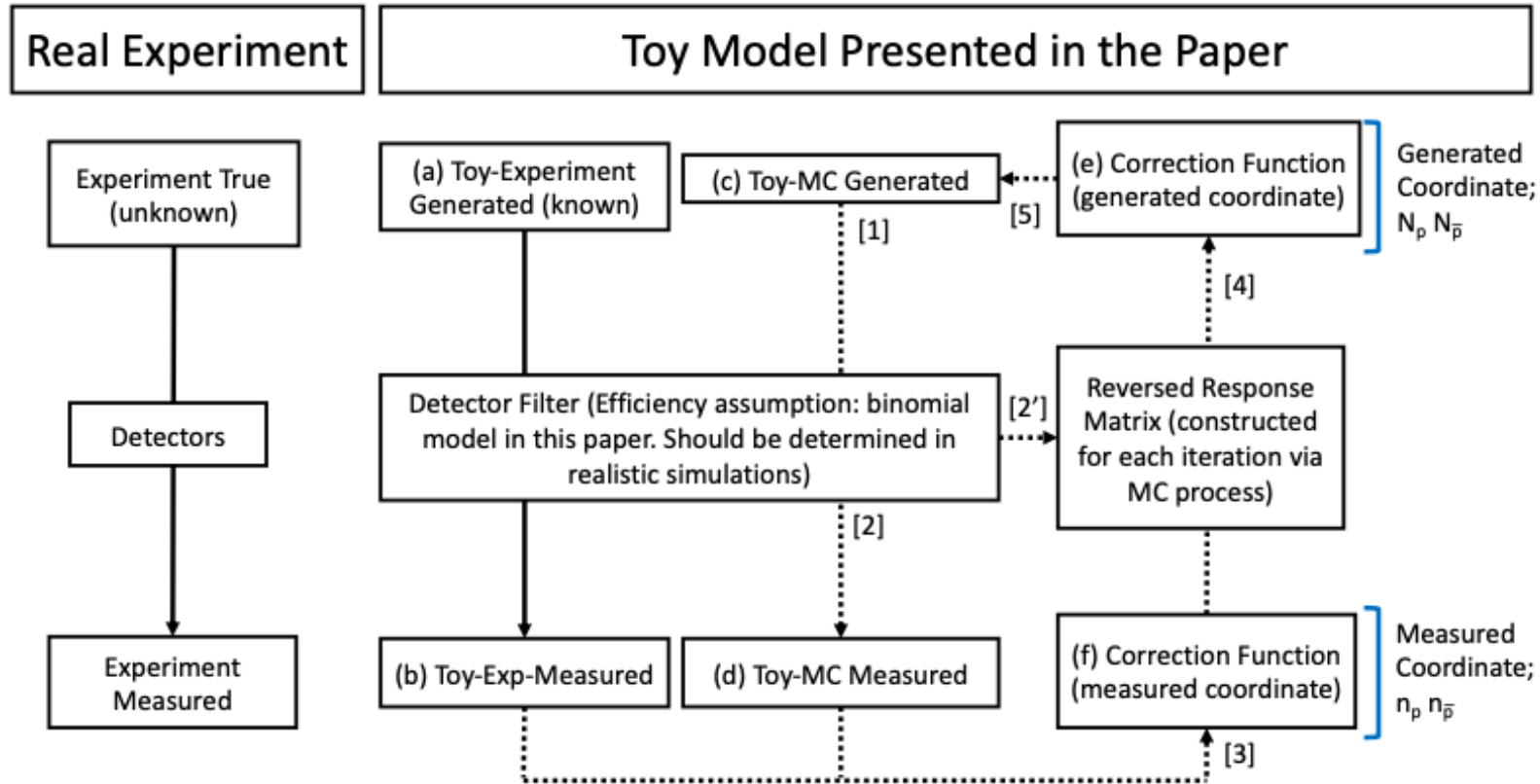
A general procedure for detector-response correction of higher order cumulants

Toshihiro Nonaka,<sup>1,2,†</sup> Masakiyo Kitazawa,<sup>3,4,†</sup> and ShinIchi Esumi<sup>2,†</sup>

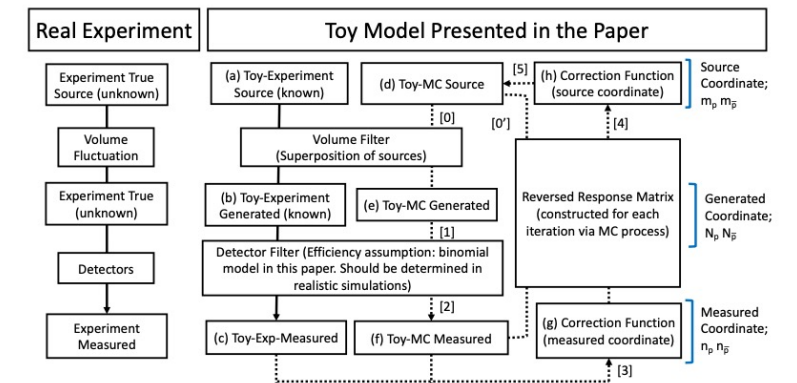
## alternative method with moment expansion NIM A906, 10 (2018)



# Unfolding procedure



Volume fluctuation as a part of response matrix (**volume filter** in addition to the experimental “non-linear” **detector filter**)

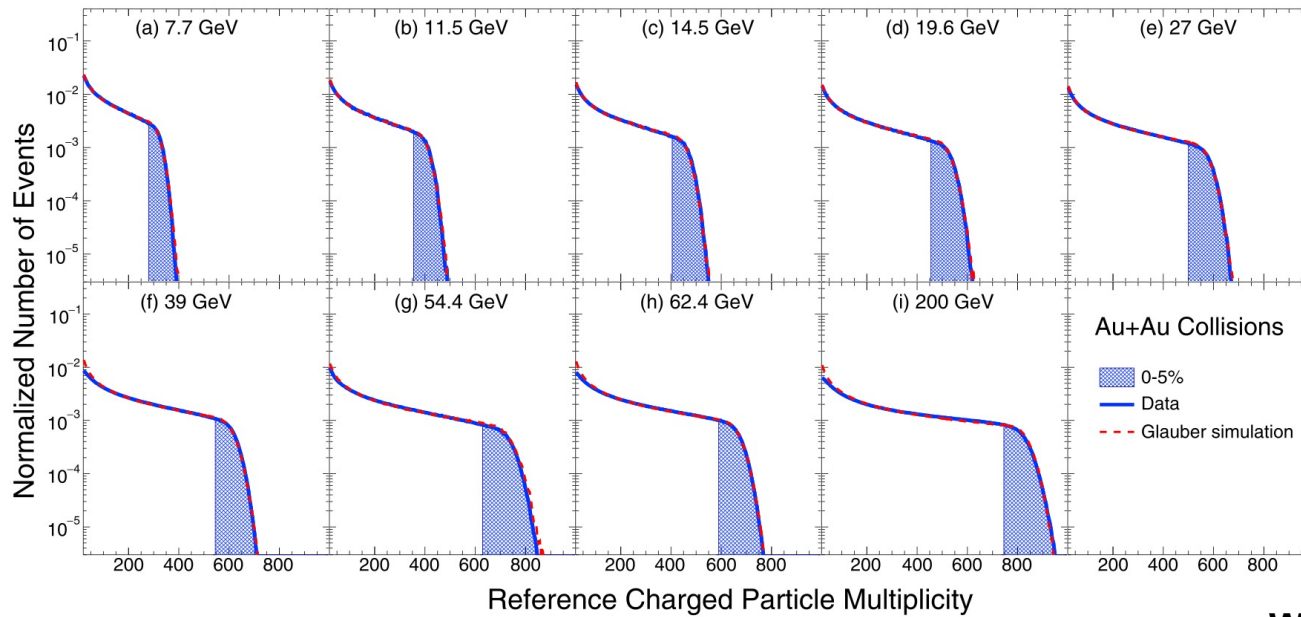


**4D-unfolding ( $N_p, N_{pbar}, T_p, T_{pbar}$ ) net-proton and their temperatures is ongoing...**

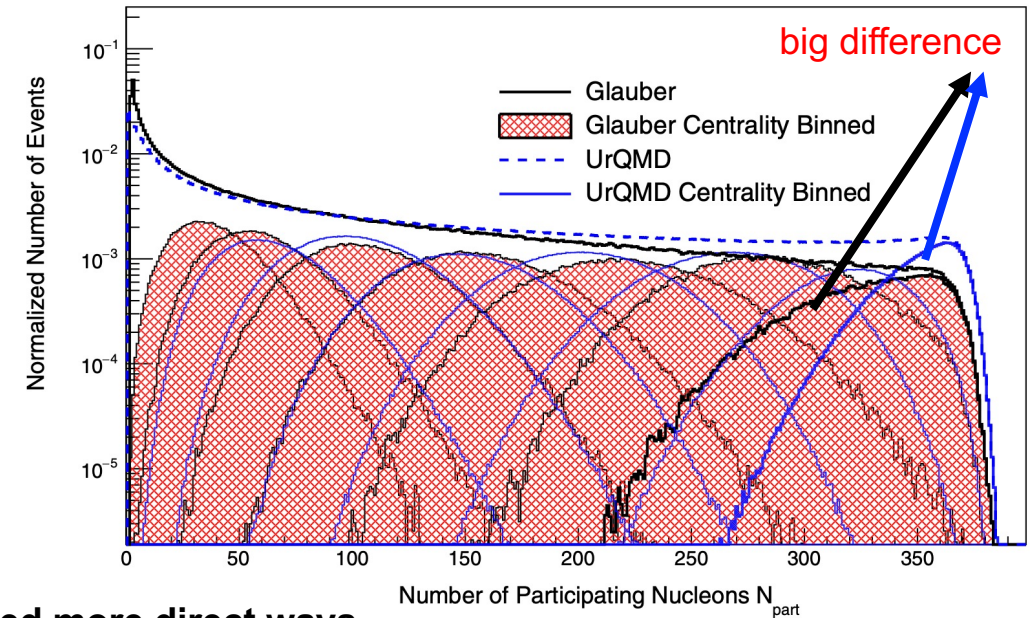
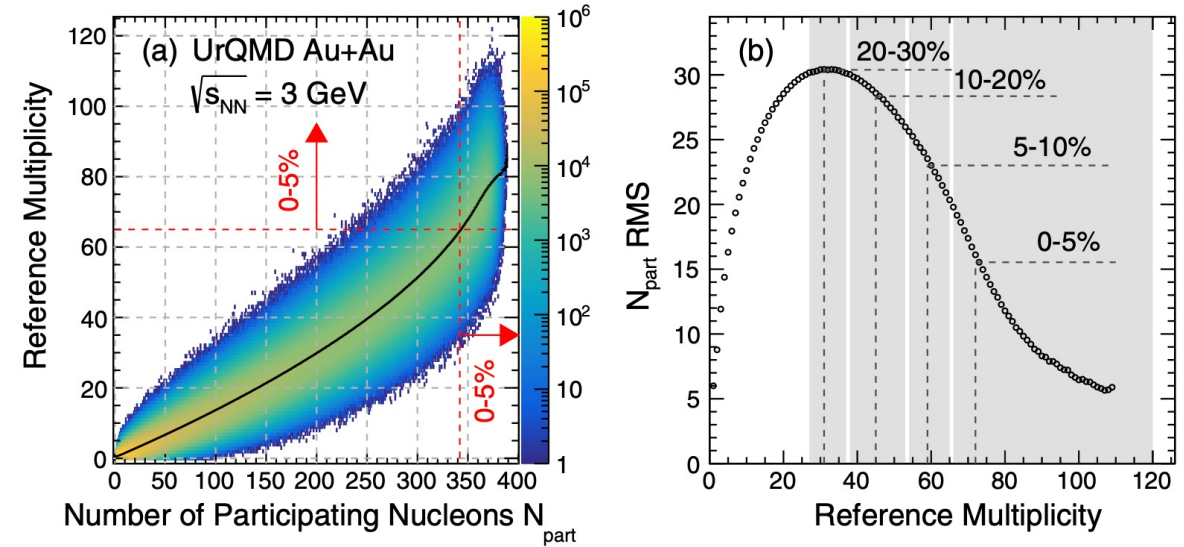
# Centrality determination

trying to improve the centrality resolution by increasing the number of charged particle (as much as in the TPC even in the case of Fixed target mode) excluding protons with Centrality Bin Width Correction (CBWC)

## BES-I



PRC104 (2021) 024902

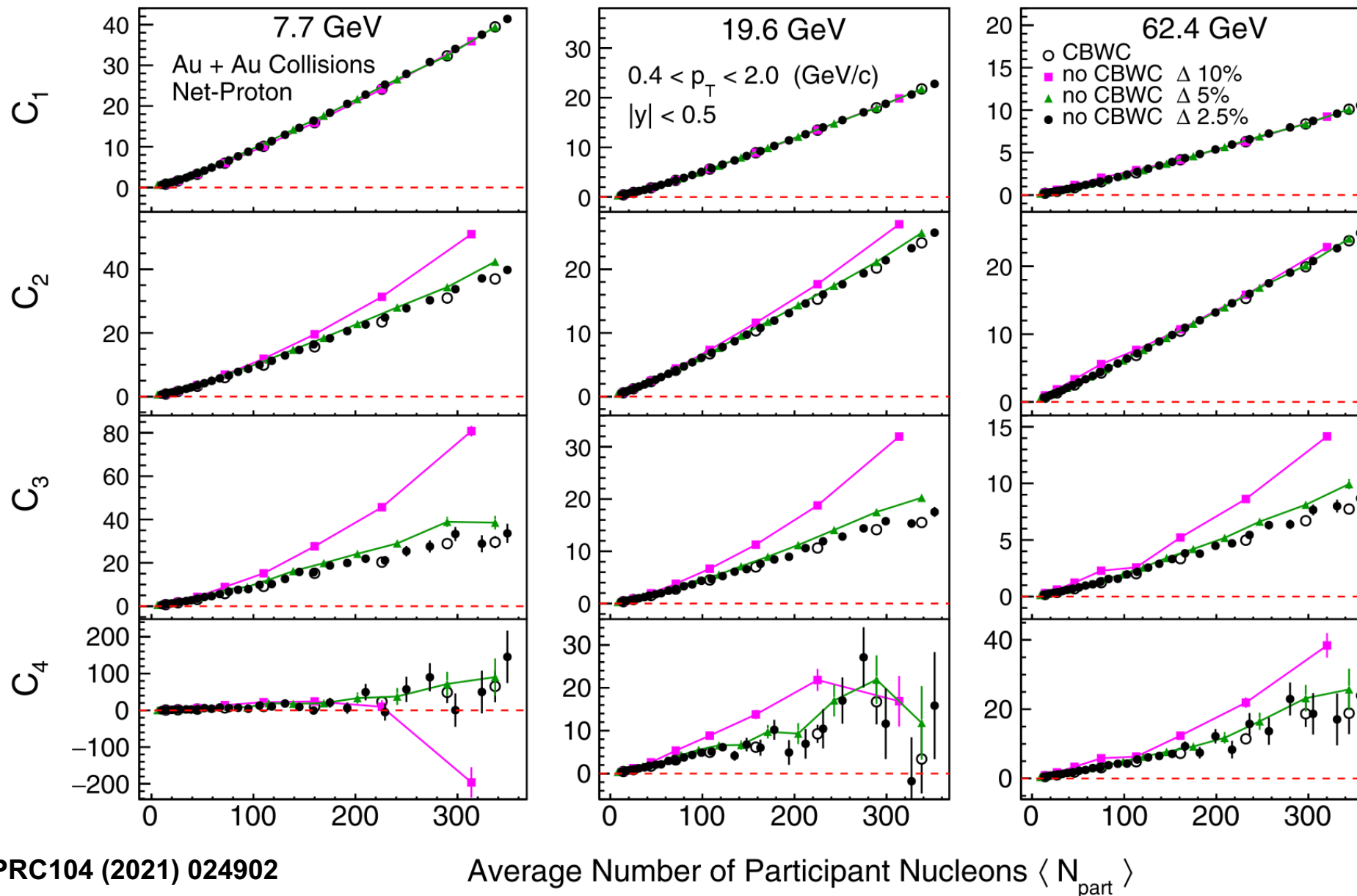


We need more direct ways to determine  $N_{part}$  experimentally.

PRC107 (2023) 024908

# Test of Centrality Bin Width Correction (CBWC)

BES-I



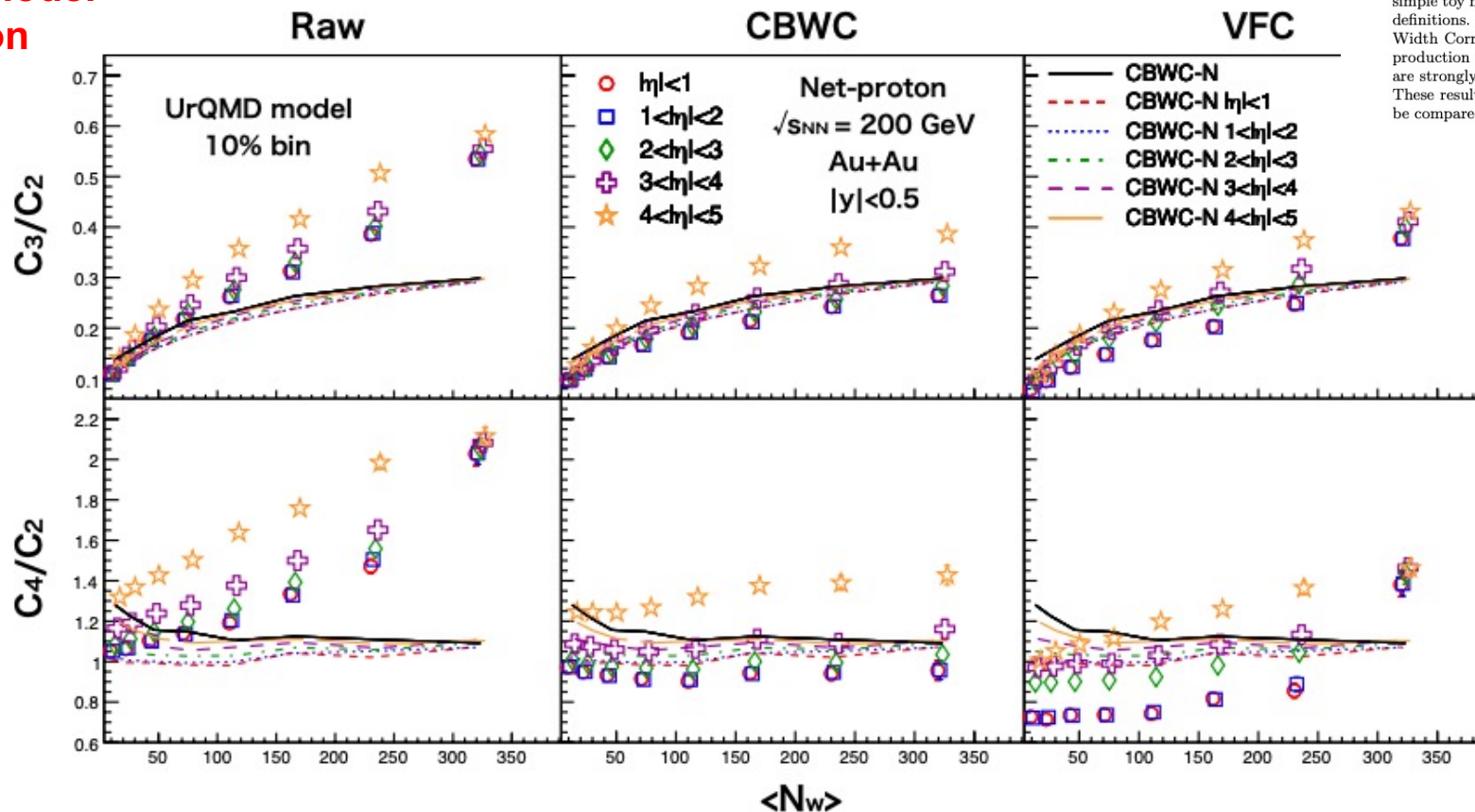
The results approach to the CBWC result.

It does not mean the volume fluctuation is excluded, as centrality resolution limits.

Over correction, because of the use of same rapidity acceptance information. (The model test indicates the effect is small, though.)

Initial volume fluctuation (VF) arising from the participant fluctuation would be the background which should be subtracted experimentally from the measured higher-order cumulants. We study the validity of the Volume Fluctuation Correction (VFC) on higher-order net-proton cumulants by using simple toy model and UrQMD model in Au+Au collisions at  $\sqrt{s_{NN}} = 200$  GeV for various centrality definitions. The results are compared to the conventional data driven method called Centrality Bin Width Correction (CBWC). We find VFC works well in toy model assuming independent particle production (IPP), but does not seem to work well in UrQMD model. It is also found that cumulants are strongly affected by the multiplicity correlation effect as well as the centrality resolution effect. These results show that neither VFC nor CBWC are perfect method. Thus, both methods should be compared in the real experiment.

PRC 100 (2019) 044904

UrQMD model  
simulation

CBWC

- centrality resolution limits
- possible over-correction by auto-correlation

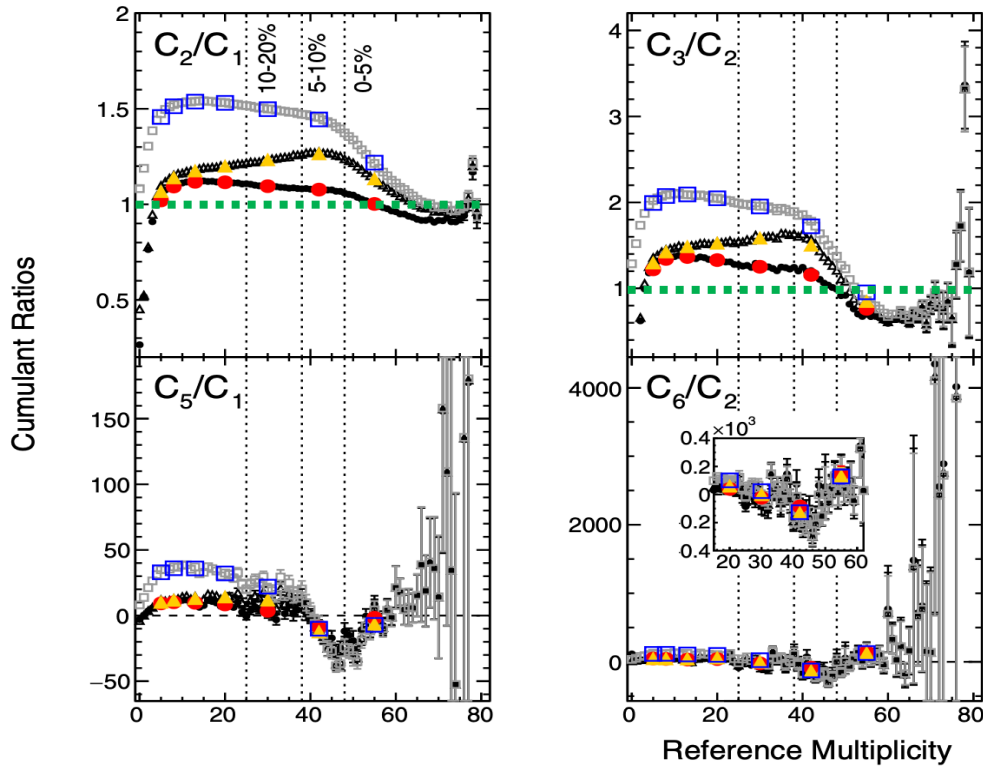
VFC

- independent particle production assumption based on  $N_{part}$

FIG. 6.  $C_3/C_2$  and  $C_4/C_2$  of net-proton distributions as a function of  $\langle N_W \rangle$  by using UrQMD model simulation for 10% centrality divisions for different centrality definitions drawn in different markers. Centralities are determined in  $|\eta| < 1$ ,  $1 < |\eta| < 2$ ,  $2 < |\eta| < 3$ ,  $3 < |\eta| < 4$  and  $4 < |\eta| < 5$  excluding proton (anti-proton) drawn in different colors. Raw, CBWC and VFC results are shown from left to right. CBWC-N results by definition2 and definition1 are shown in black solid lines and colored dotted lines, respectively.



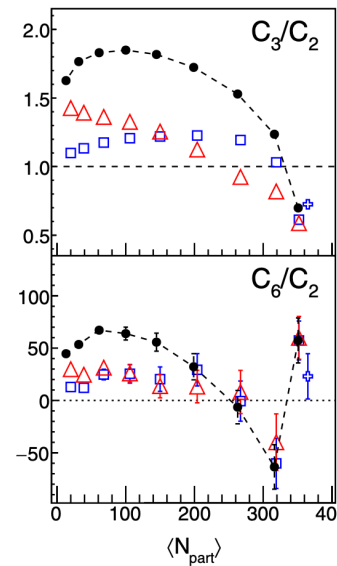
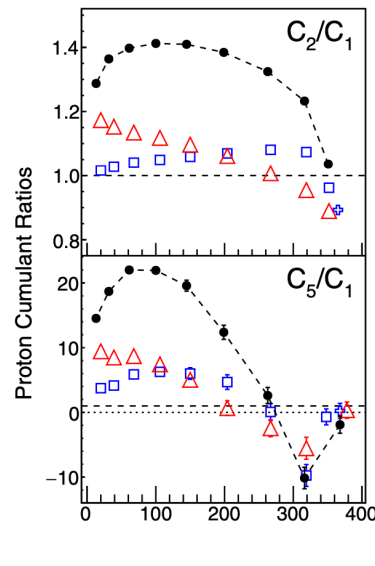
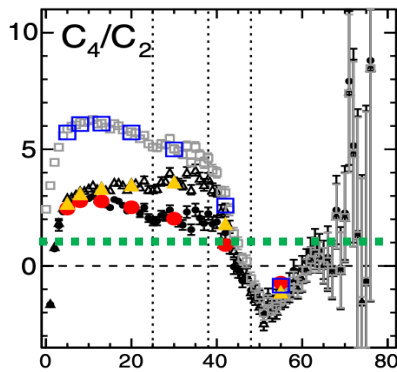
# Au+Au 3 GeV



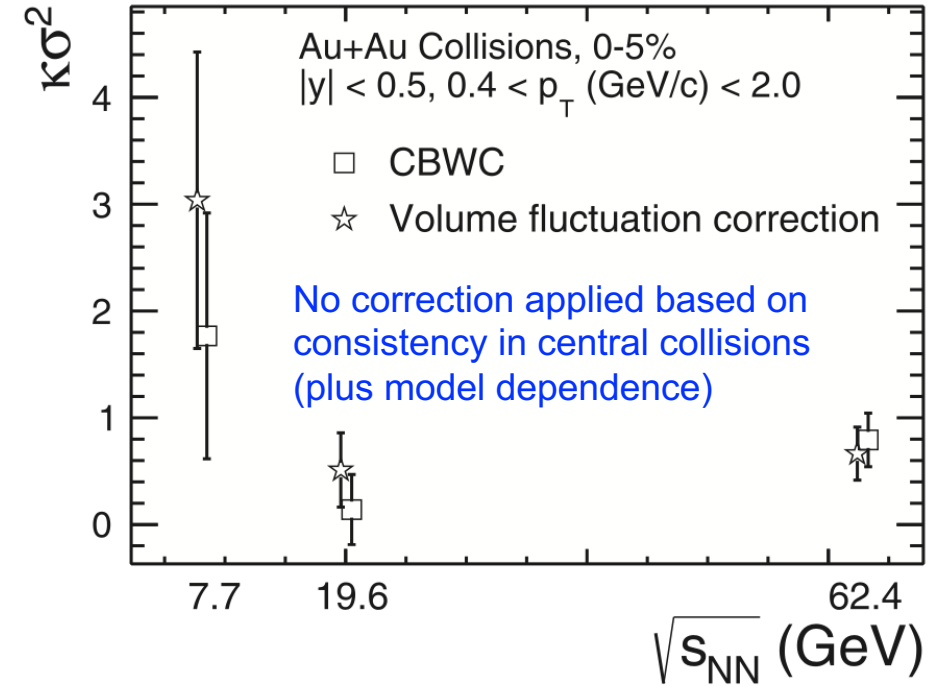
## Cumulant Ratio with/without VF corrections

- The model dependent volume effects are mostly reproduced.
- The final results are given without correction.

# PRC107 (2023) 024908



# BES-I



$\sqrt{s_{NN}}$  (GeV)

UrQMD

UrQMD, Au+Au  $\sqrt{s_{NN}} = 3$  GeV  
 Proton,  $-0.5 < y < 0$   
 $0.4 < p_T < 2.0$  GeV/c

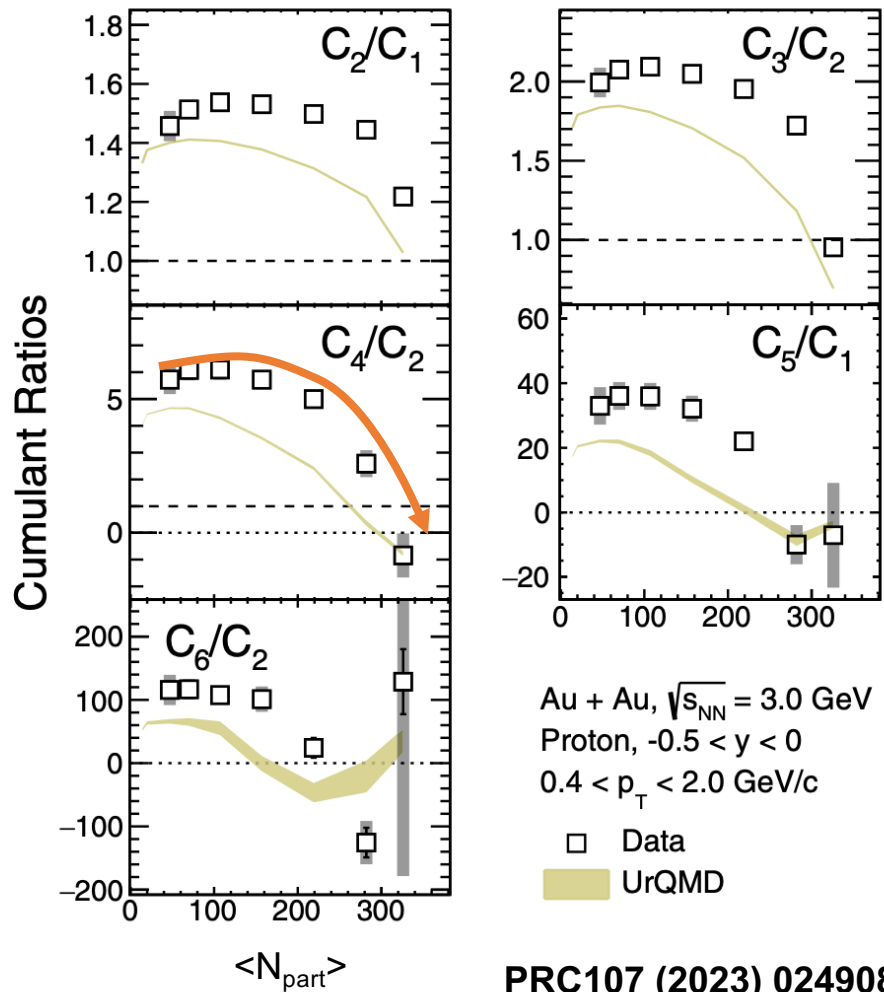
- without VF corr.
- VF corr. (UrQMD)
- △ VF corr. (Glauber)
- ◊  $b < 3$  fm

# Centrality dependence of cumulant ratio

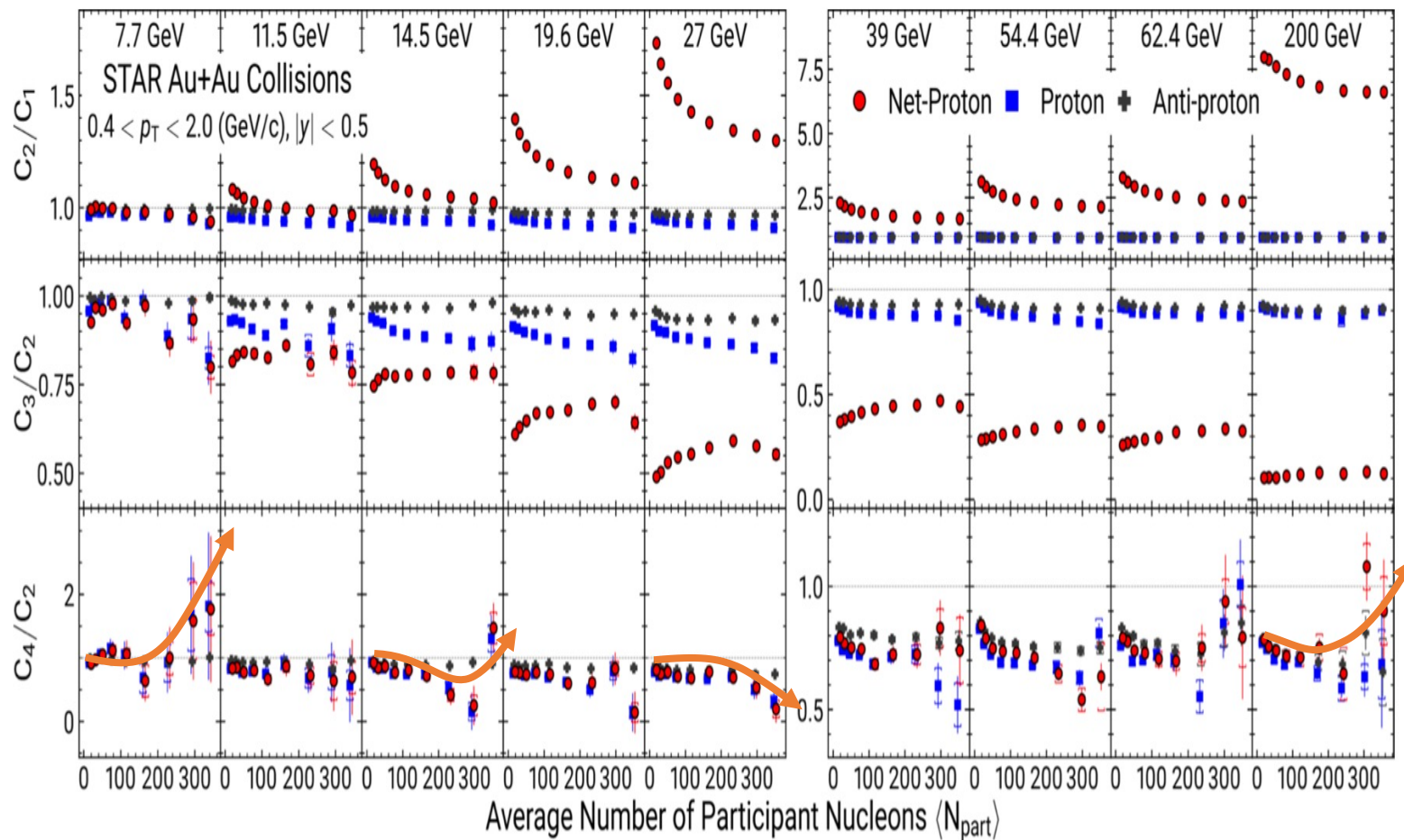
with participant based centrality  
without volume correction

**Au+Au 3 GeV : CBWC only**

**BES-I : CBWC only**



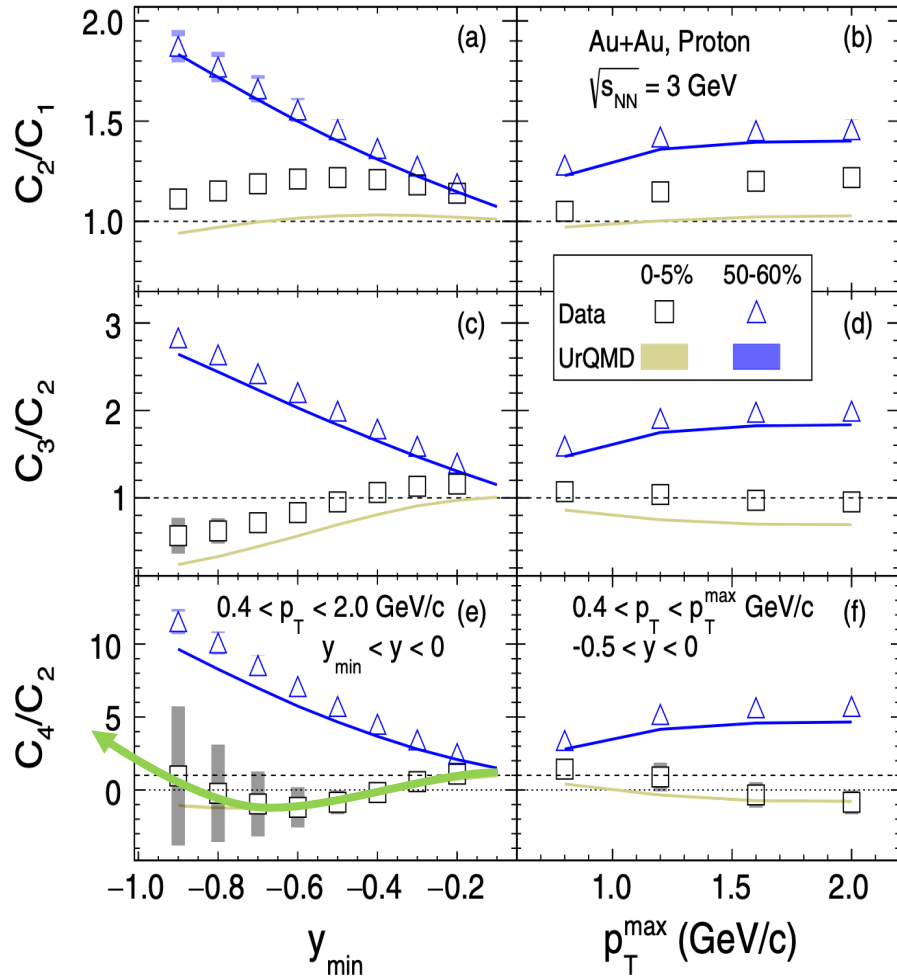
PRC107 (2023) 024908



PRC104 (2021) 024902

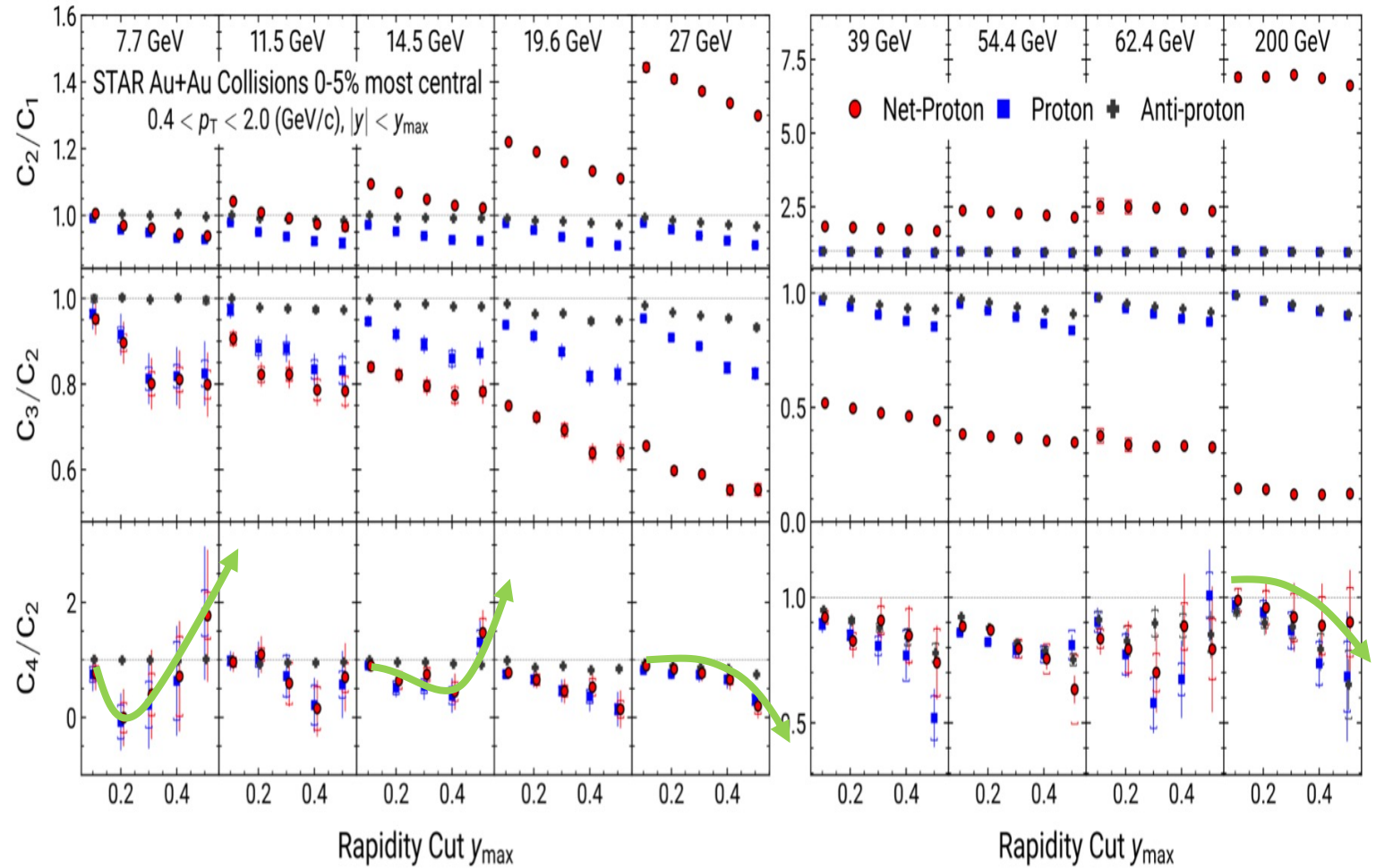
# Acceptance dependence of cumulant ratio

**Au+Au 3 GeV : CBWC only**



PRC107 (2023) 024908

**BES-I: CBWC only**

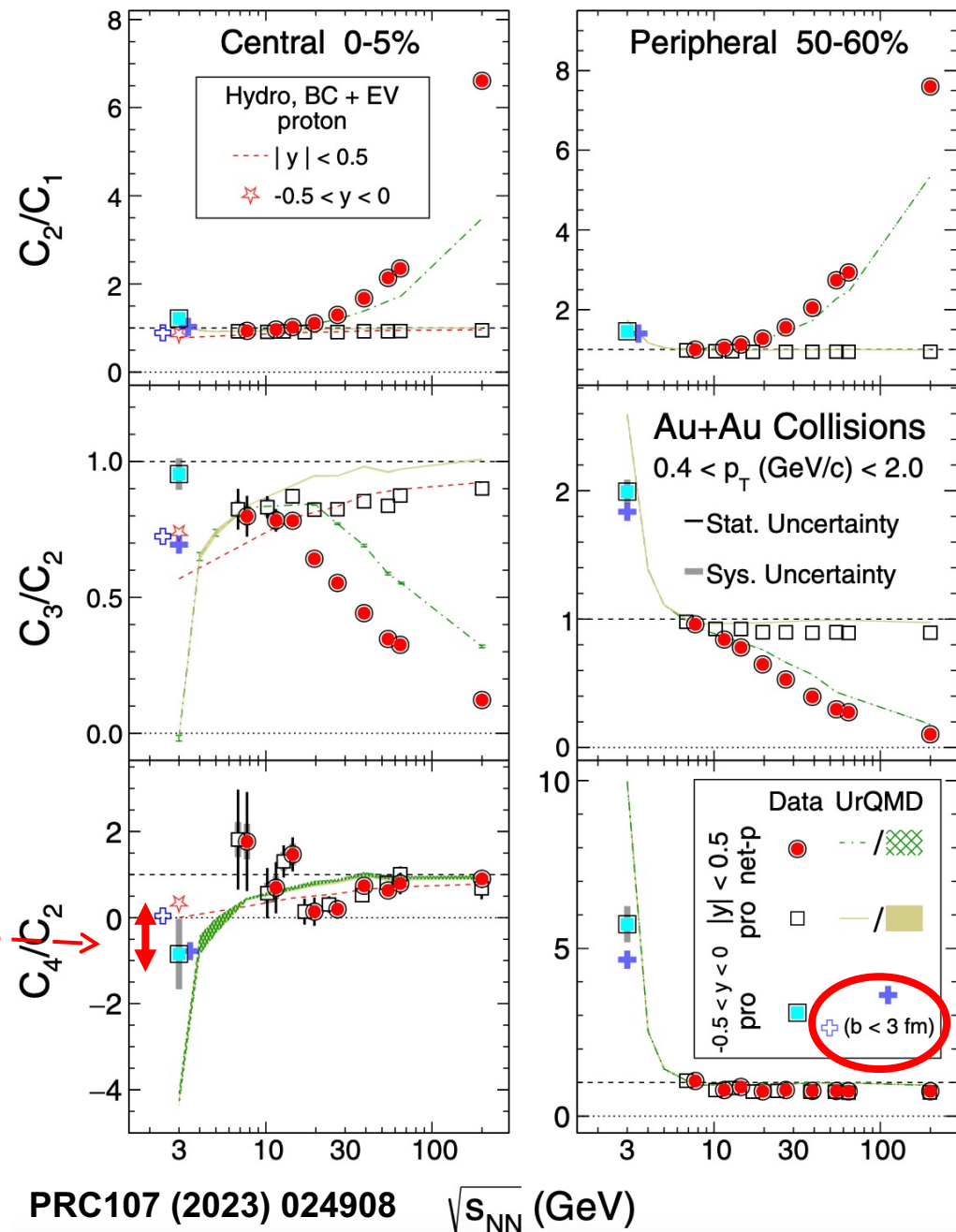
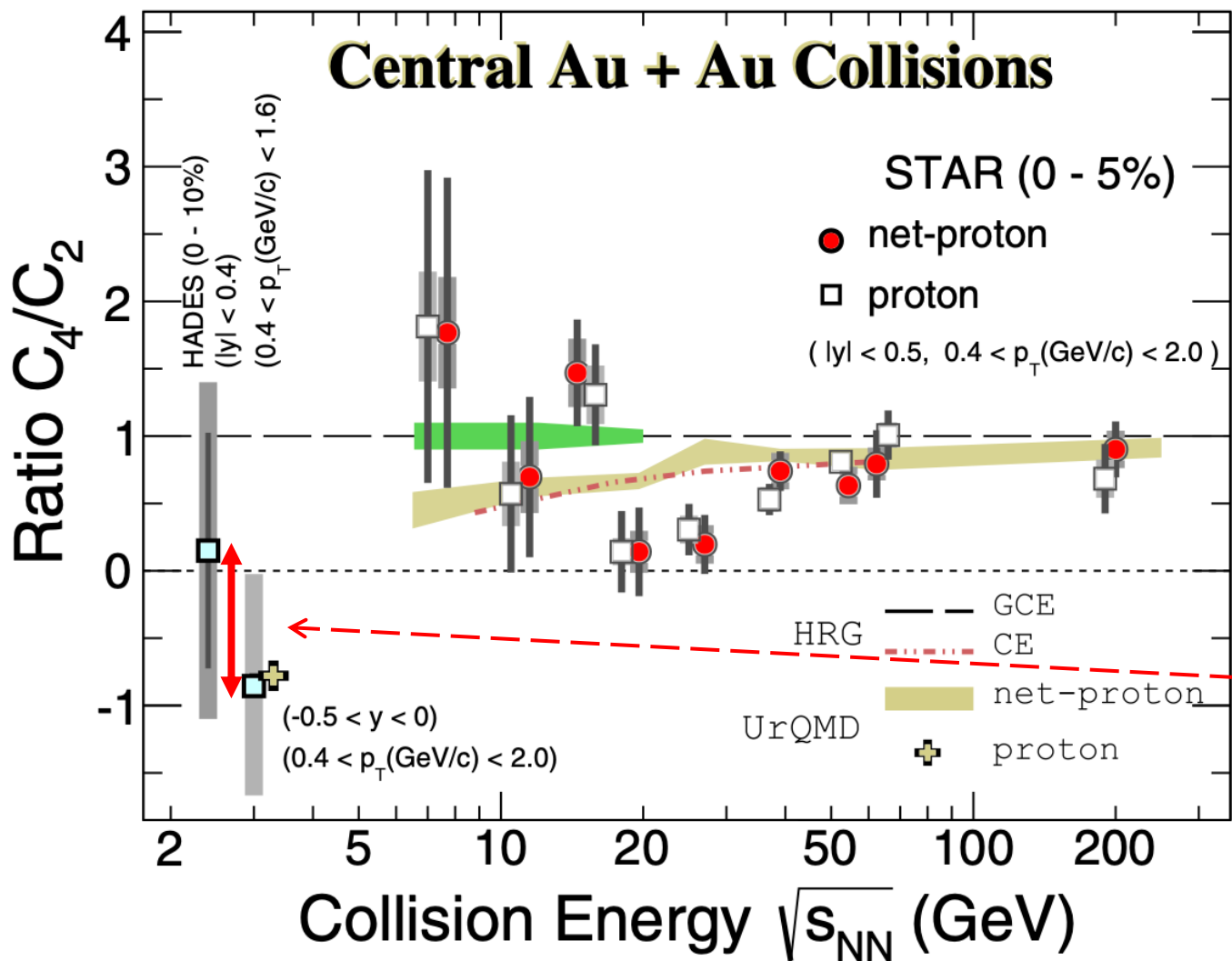


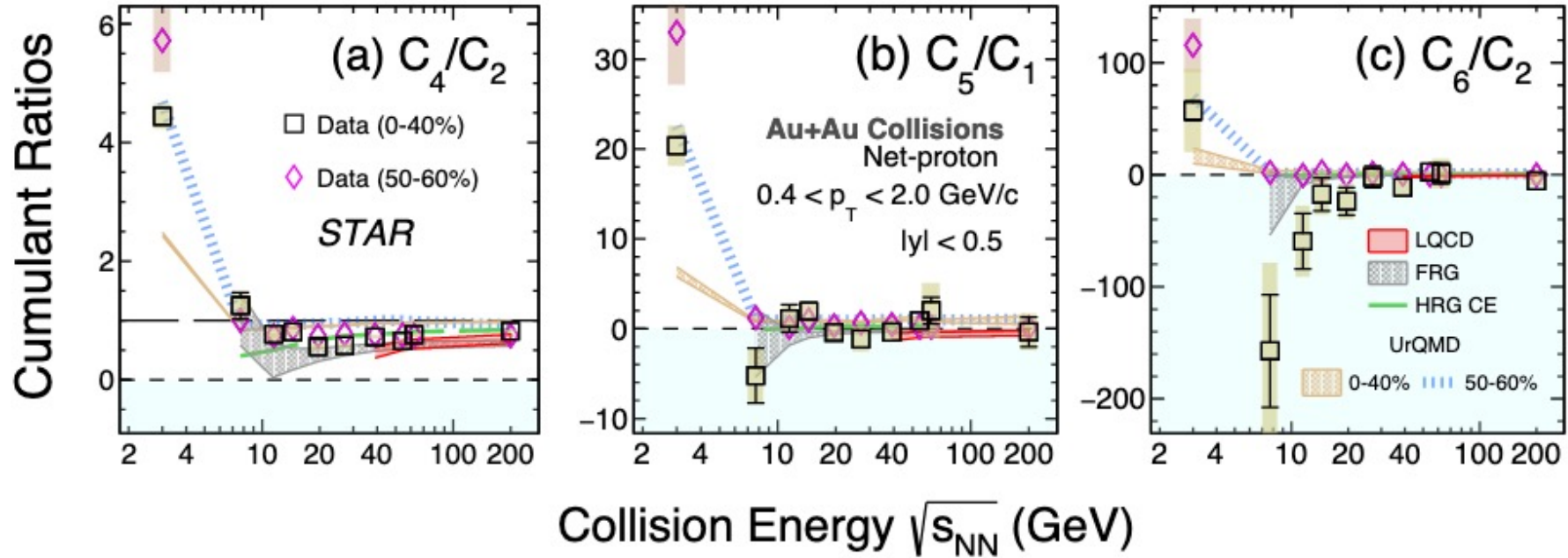
PRC104 (2021) 024902



# Beam energy dependence of cumulant ratio

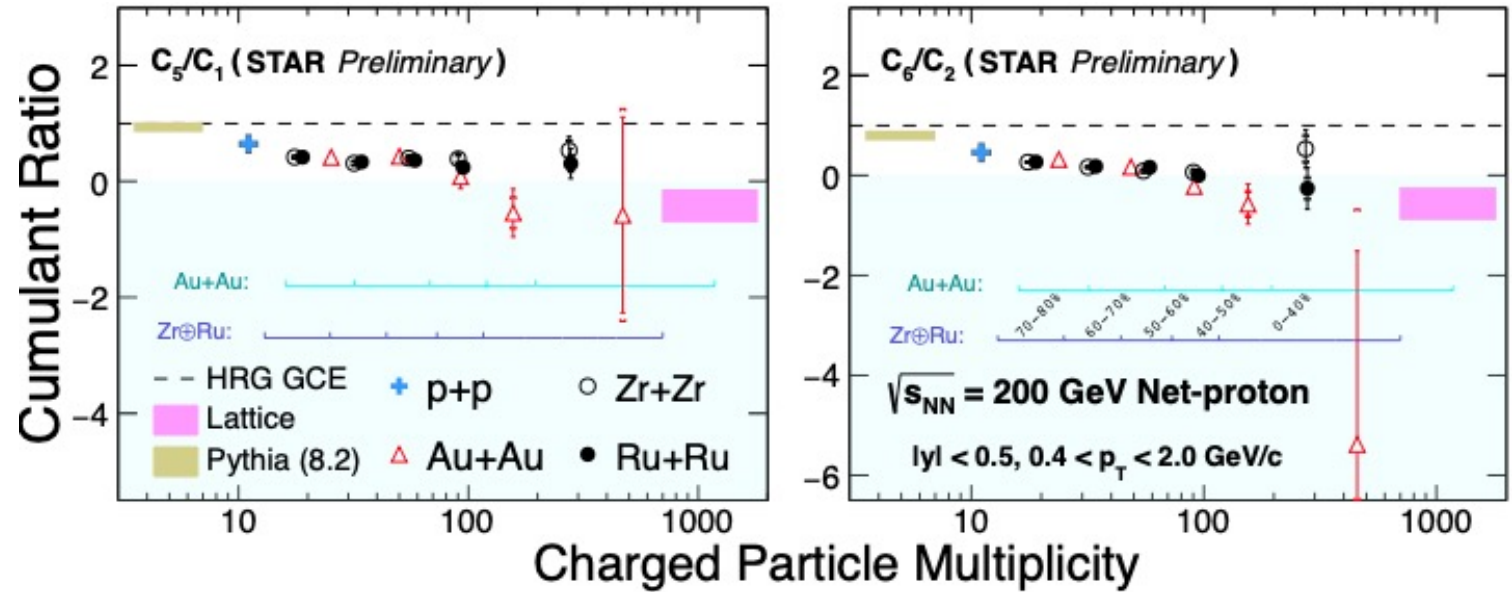
PRL128 (2022) 202303





**Higher order net-p fluctuation up to 5<sup>th</sup> and 6<sup>th</sup> order**

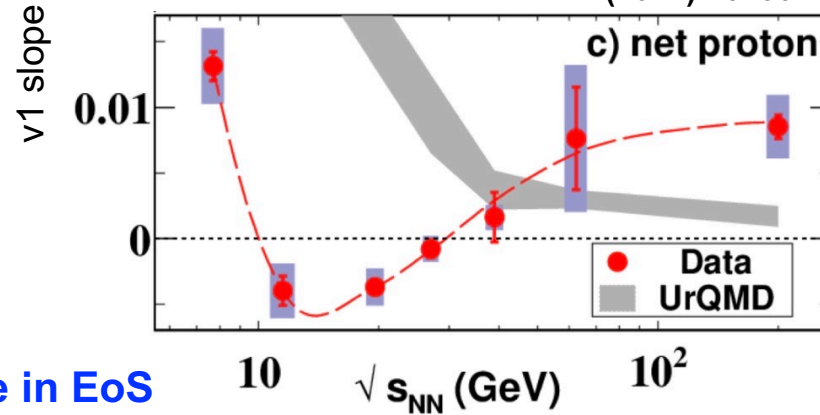
- energy dependence
- multiplicity dependence



# A few more possibly related observations

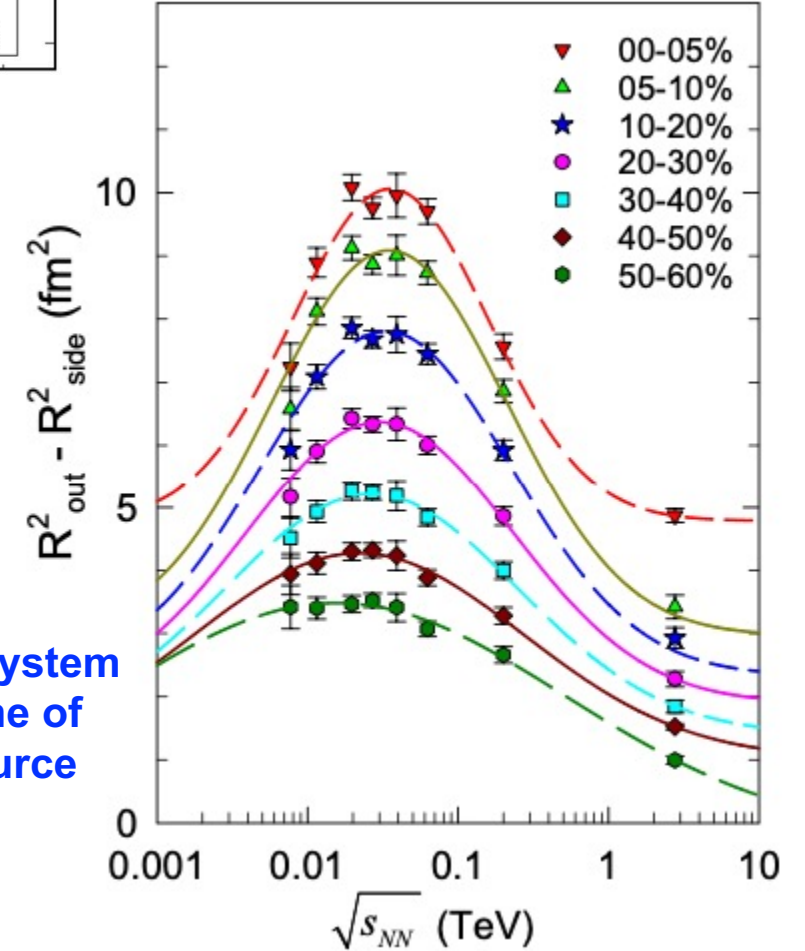
- change in EoS
- 1<sup>st</sup> order phase trans.

PRL 112 (2014) 162301



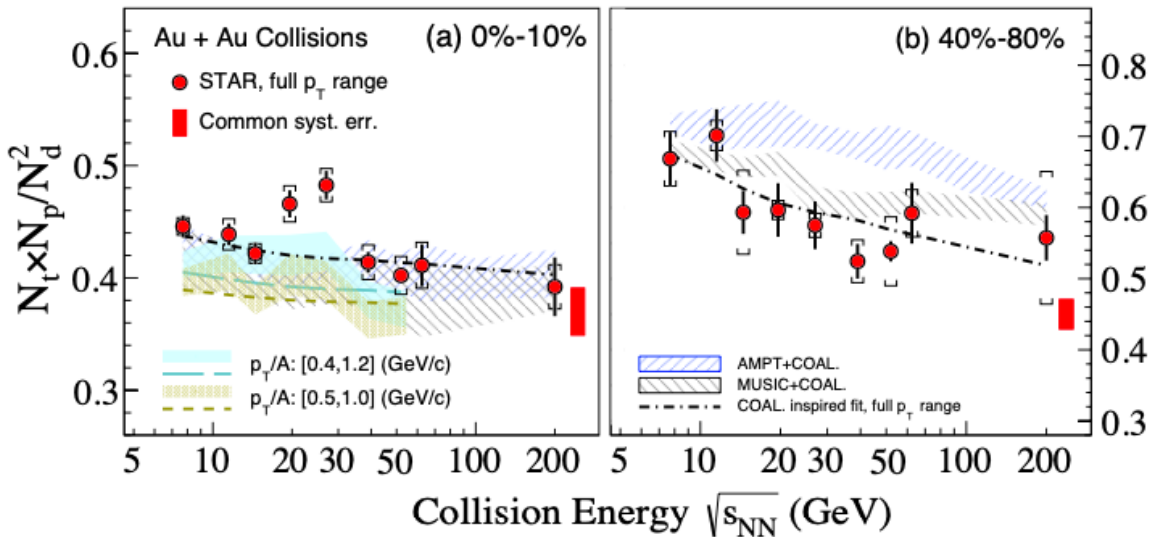
Roy Lacey, et. al.

PRL 114 (2015) 142301



- lifetime of system
- duration time of emitting source

PRL 130 (2023) 202301



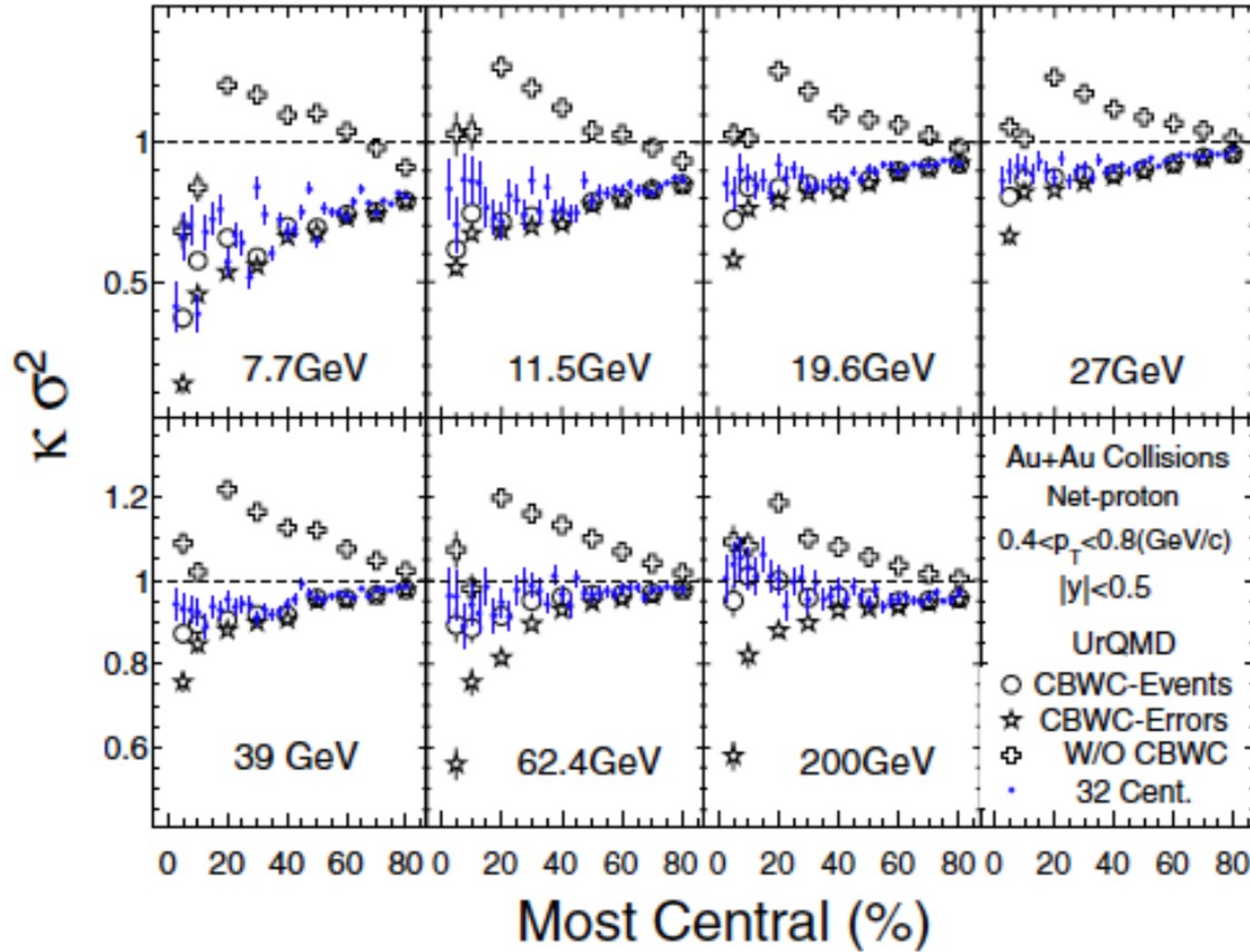
- local density fluctuation of neutron  $(pnn * p) / (pn * pn)$

# Summary

- STAR BES-I and BES-II measurements including fix target mode
- Experimental pile-up removal and/or correction
- Tracking efficiency corrections
- Centrality determination and volume fluctuation
- Acceptance and beam energy dependence

**Many thanks to my STAR colleagues  
especially in fluctuation focus group members**

X.Luo, J. Xu, B. Mohanty and N. Xu. J. Phys. G 40,105104(2013).



with/without  
CBWC

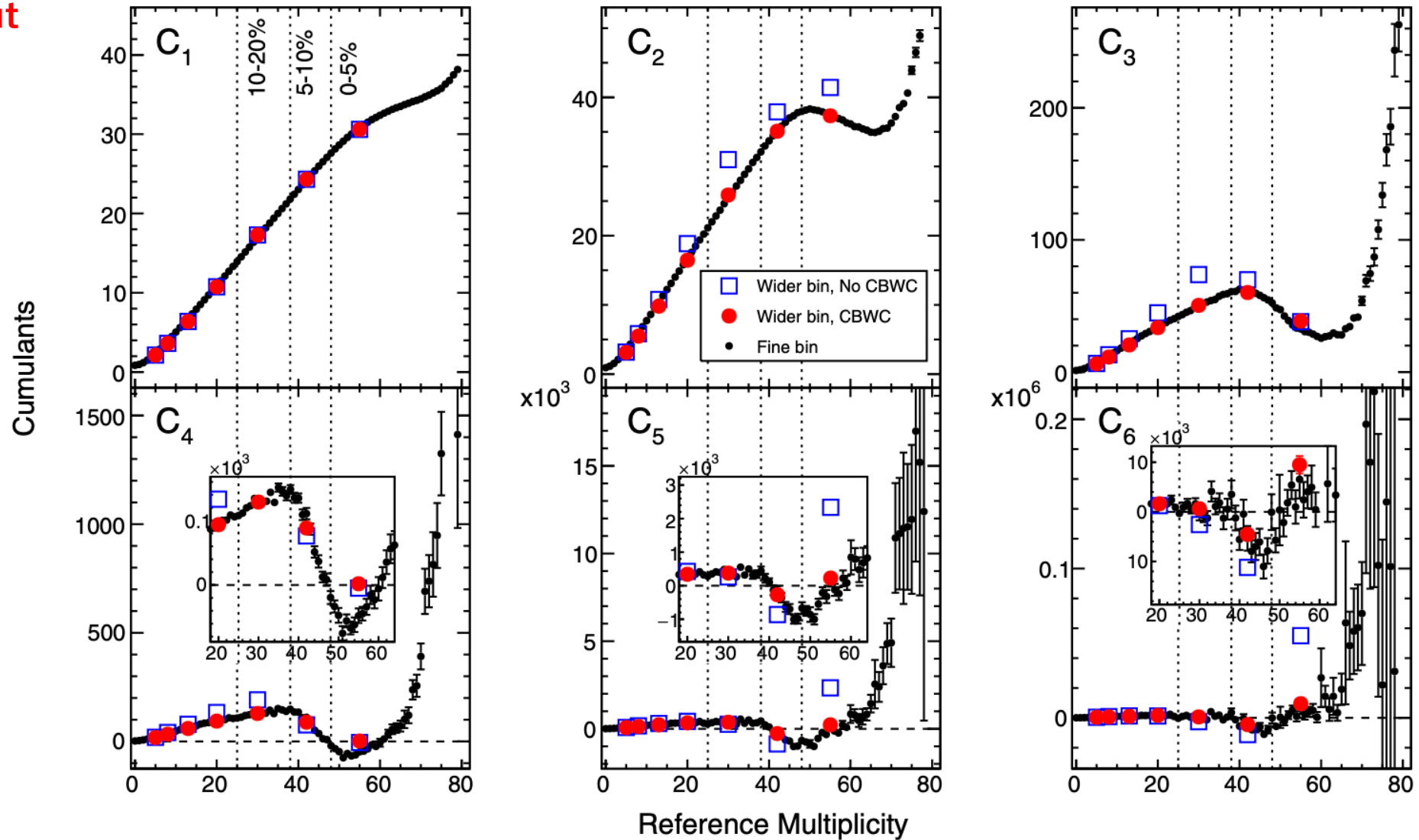


FIG. 4. Proton cumulants as a function of reference multiplicity (black circles) from  $\sqrt{s_{NN}} = 3$  GeV Au+Au collisions. Centrality-binned results with and without centrality bin width corrections are represented by red circles and blue squares, respectively. Vertical dashed lines indicate the centrality classes, from right to left: 0-5%, 5-10%, 10-20%. Data points in this figure are only corrected for detector efficiency but not for the pileup effect, which will be discussed in a later section.

with/without  
pile-up  
correction

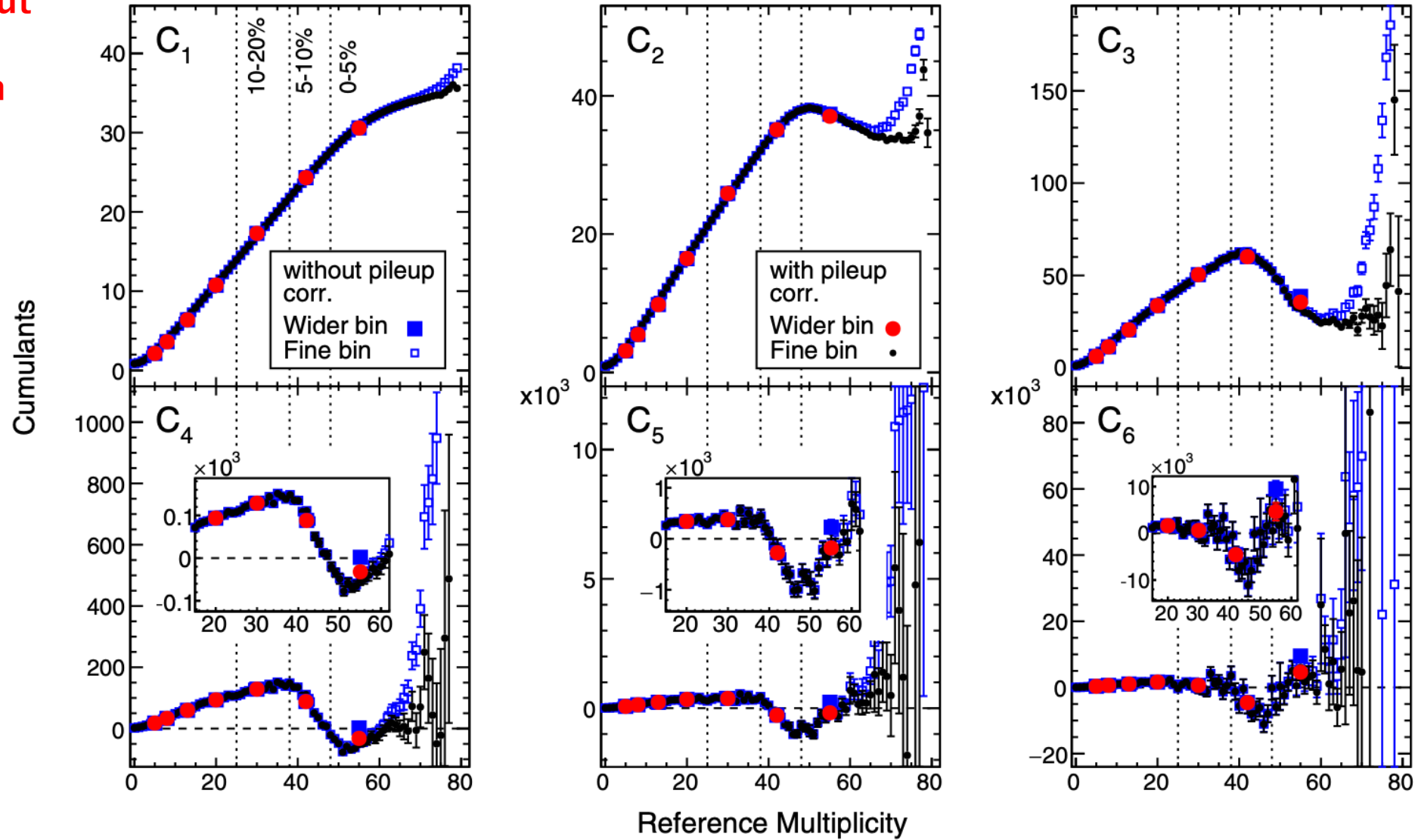


FIG. 6. Proton cumulants as a function of reference multiplicity from  $\sqrt{s_{NN}} = 3$  GeV Au+Au collisions. Pileup corrected and uncorrected cumulants as a function of reference multiplicity are represented by black circles and blue open squares, respectively. Red circles and blue-filled squares represent the results of centrality binned data.

with/without  
volume fluc.  
correction

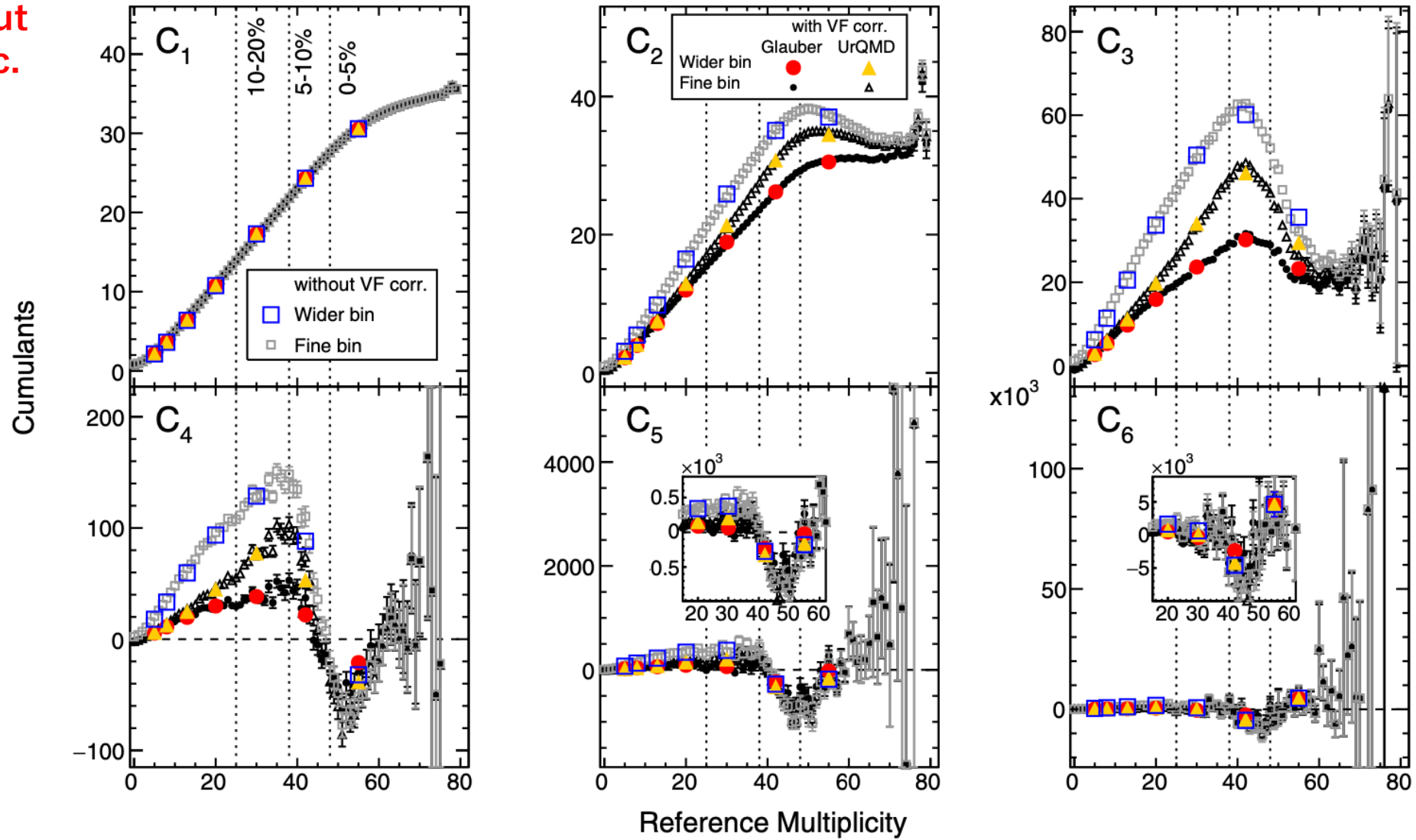
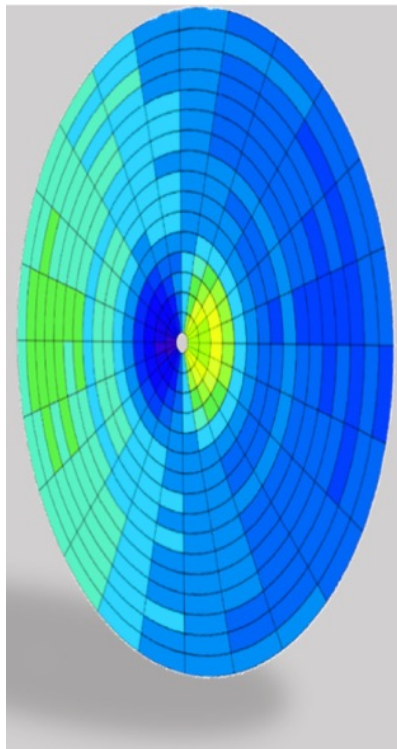
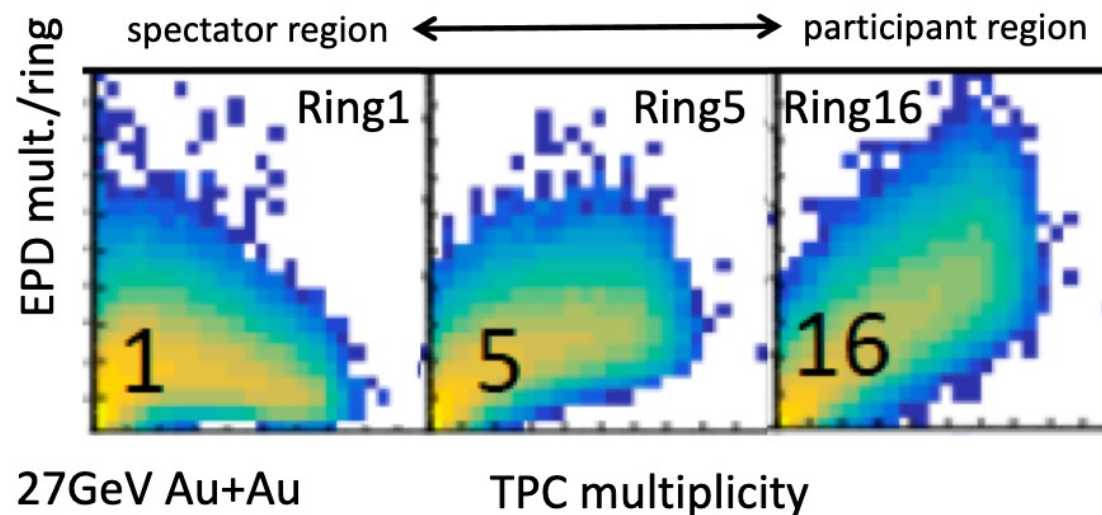


FIG. 11. Proton cumulants up to sixth order in  $\sqrt{s_{NN}} = 3$  GeV Au+Au collisions. Data without volume fluctuation correction is shown as grey open squares while data with volume fluctuation correction using  $N_{\text{part}}$  distributions from Glauber and UrQMD models are shown as black circles and black open triangles, respectively. The corresponding centrality binned cumulants are shown in blue squares, red circles, and orange triangles, respectively. Similarly to Fig. 6, the vertical dashed lines indicate the centrality classes.

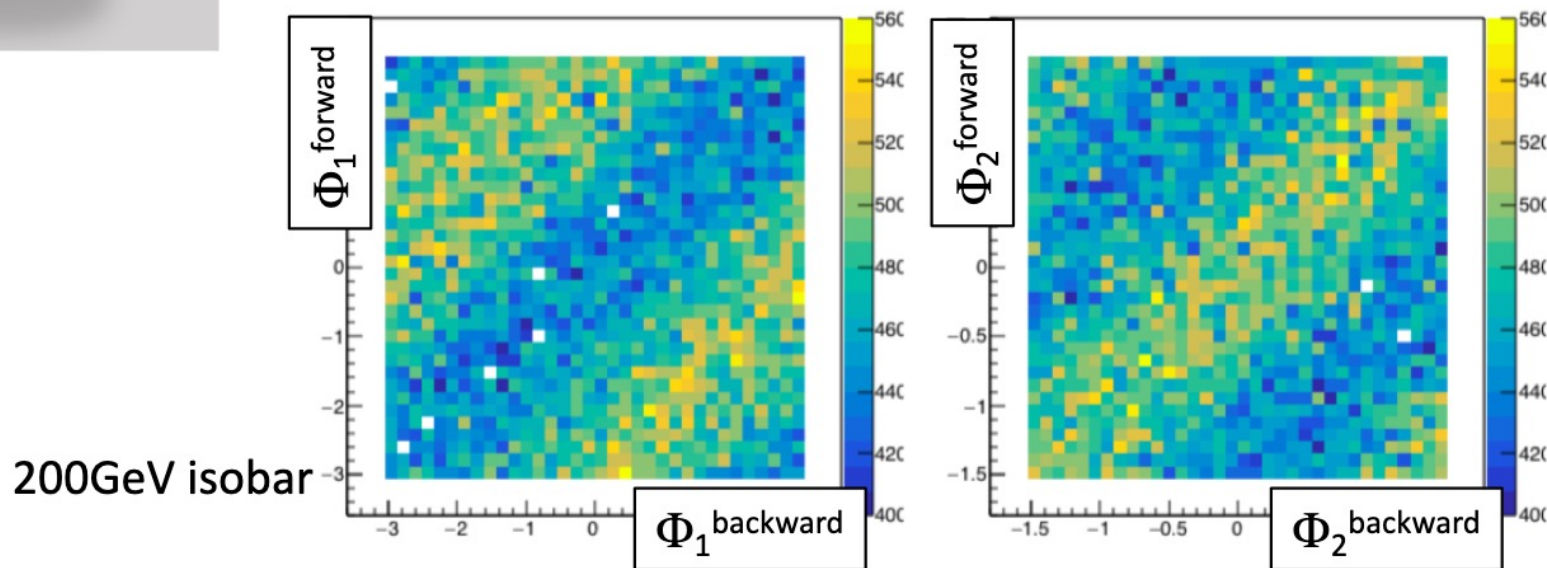




STAR collider mode :  
 EPD ( $|\eta| = 2 \sim 5$ )  
 TPC ( $|\eta| = 0 \sim 1.5$ )  
 (for  $z_{vtx} \sim 0$  cm)



### Forward-backward event-plane correlation



ring1

ring16

