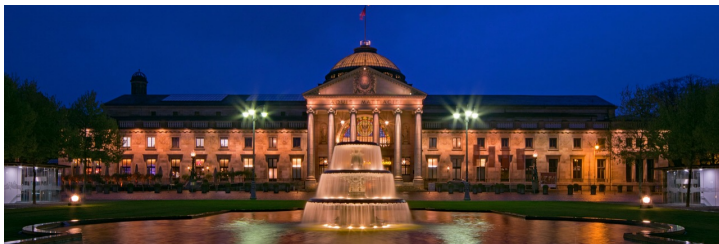


Theory for Knockout Reactions



TECHNISCHE
UNIVERSITÄT
DARMSTADT

Stefan Typel



12th International Conference on Direct Reactions with Exotic Beams
DREB 2024 **Kurhaus Wiesbaden** **June 24 - 28, 2024**



experimental study of nuclear structure

- knockout reactions at 'high' energies
 - ▣ knockout of nucleons
 - single-particle structure, spectroscopic factors
 - ▣ knockout of pairs of nucleons
 - NN correlations, momentum distributions
 - ▣ knockout of clusters
 - many-body correlations, cluster degrees of freedom



experimental study of nuclear structure

■ knockout reactions at 'high' energies

- ▣ knockout of nucleons
 - single-particle structure, spectroscopic factors
- ▣ knockout of pairs of nucleons
 - NN correlations, momentum distributions
- ▣ knockout of clusters
 - many-body correlations, cluster degrees of freedom
- ▣ beam energies of several 10 or 100 MeV per nucleon
- ▣ direct and indirect kinematics
- ▣ quasi-free scattering conditions
 - 'simple' theoretical description

⇒ often used, versatile tool in nuclear physics



experimental study of nuclear structure

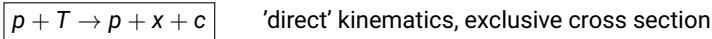
- knockout reactions at 'high' energies
 - ▣ knockout of nucleons
 - single-particle structure, spectroscopic factors
 - ▣ knockout of pairs of nucleons
 - NN correlations, momentum distributions
 - ▣ knockout of clusters
 - many-body correlations, cluster degrees of freedom
 - ▣ beam energies of several 10 or 100 MeV per nucleon
 - ▣ direct and indirect kinematics
 - ▣ quasi-free scattering conditions
 - 'simple' theoretical description

⇒ often used, versatile tool in nuclear physics

Is everything OK with the theory? Not really ...



- different types of measurements
 - knockout of nucleon or cluster x from target $T = c + x$ with proton beam and detection of p and x in final state

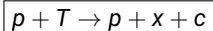


example: α knockout $^{132}\text{Sn}(p,p\alpha)^{128}\text{Cd}$



- different types of measurements

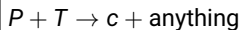
- knockout of nucleon or cluster x from target $T = c + x$ with proton beam and detection of p and x in final state



'direct' kinematics, exclusive cross section

example: α knockout $^{132}\text{Sn}(p,p\alpha)^{128}\text{Cd}$

- projectile $P = c + x$ hitting a target T and detection of core c



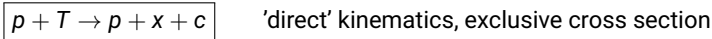
'inverse' kinematics, inclusive cross section

example: single-neutron knockout (removal) $^{12}\text{C}(^{132}\text{Sn}, ^{131}\text{Sn} \dots) \dots$



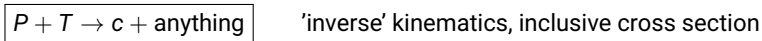
- different types of measurements

- knockout of nucleon or cluster x from target $T = c + x$ with proton beam and detection of p and x in final state



example: α knockout $^{132}\text{Sn}(p,p\alpha)^{128}\text{Cd}$

- projectile $P = c + x$ hitting a target T and detection of core c



example: single-neutron knockout (removal) $^{12}\text{C}(^{132}\text{Sn}, ^{131}\text{Sn} \dots) \dots$

- theoretical description: theory of direct reactions

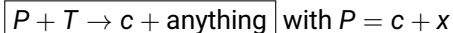


- in the following: single-nucleon removal in inverse kinematics





- in the following: single-nucleon removal in inverse kinematics



- two major contributions to cross section:
 - inelastic breakup = stripping σ_{str} : excitation of target
 - elastic breakup = diffraction dissociation σ_{dd} : no excitation of target

usually $\sigma_{\text{str}} \gg \sigma_{\text{dd}}$

- high-energy reactions: eikonal description
⇒ 'standard' formulas for cross sections



- in the following: single-nucleon removal in inverse kinematics



- two major contributions to cross section:
 - inelastic breakup = stripping σ_{str} : excitation of target
 - elastic breakup = diffraction dissociation σ_{dd} : no excitation of target

usually $\sigma_{\text{str}} \gg \sigma_{\text{dd}}$

- high-energy reactions: eikonal description
⇒ 'standard' formulas for cross sections
- main issues:
 - justification of cross section formulas, approximations
 - description of interactions (optical potentials, nucleon-nucleon collisions, ...)
 - higher-order processes (core destruction, ...)
 - nuclear structure input



- 'standard' expressions (see, e.g., J.A. Tostevin, NPA 682 (2001) 320c)
 - cross section for stripping of single nucleon x

$$\sigma_{\text{str}} = \frac{1}{2j+1} \sum_m \int d^2 b_{xT} \langle \phi_{jm}^* | [1 - |S_{xT}(\vec{b}_{xT})|^2] |S_{cT}(\vec{b}_{cT})|^2 | \phi_{jm} \rangle$$

- cross section for diffractive dissociation

$$\sigma_{\text{dd}} = \frac{1}{2j+1} \sum_m \int d^2 b_{xT} \left(\langle \phi_{jm} | |1 - S_{xT} S_{cT}|^2 | \phi_{jm} \rangle - \sum_{m'} \left| \langle \phi_{jm'} | |1 - S_{xT} S_{cT}| \phi_{jm} \rangle \right|^2 \right)$$



- 'standard' expressions (see, e.g., J.A. Tostevin, NPA 682 (2001) 320c)
 - cross section for stripping of single nucleon x

$$\sigma_{\text{str}} = \frac{1}{2j+1} \sum_m \int d^2 b_{xT} \langle \phi_{jm}^* | [1 - |S_{xT}(\vec{b}_{xT})|^2] |S_{cT}(\vec{b}_{cT})|^2 | \phi_{jm} \rangle$$

- cross section for diffractive dissociation

$$\sigma_{\text{dd}} = \frac{1}{2j+1} \sum_m \int d^2 b_{xT} \left(\langle \phi_{jm} | |1 - S_{xT} S_{cT}|^2 | \phi_{jm} \rangle - \sum_{m'} \left| \langle \phi_{jm'} | |1 - S_{xT} S_{cT}| \phi_{jm} \rangle \right|^2 \right)$$

with

- single-nucleon wave functions $\phi_{jm}(\vec{r})$
- eikonal 'S-matrices' $S_{ij}(\vec{b}_{ij}) = \exp(i\chi_{ij}) = \exp\left(-\frac{i}{\hbar v_{ij}} \int_{-\infty}^{\infty} dz U_{ij}(\vec{b}_{ij}, z)\right)$
- optical potentials U_{ij}
- radius $\vec{r} = (\vec{\rho}, z)$, impact parameter $b_{cT} = |\vec{\rho} - \vec{b}_{xT}|$



- justification of expressions for cross section
 - ⇒ reconsider derivation in formal theory of direct reactions
(see, e.g., M.S. Hussein & K.W. McVoy, NPA 445 (1985) 124, ...)
 - ▣ use of completeness relations, spectator approximation, ...
 - not discussed here



- justification of expressions for cross section
 - ⇒ reconsider derivation in formal theory of direct reactions (see, e.g., M.S. Hussein & K.W. McVoy, NPA 445 (1985) 124, ...)
 - ▣ use of completeness relations, spectator approximation, ...
 - not discussed here
- description of interaction in eikonal phase factor χ_{ij}
 - ▣ parametrized/systematic nucleon-nucleus optical potentials (e.g., global Dirac potentials, E.D. Cooper et al., PRC 80 (2009) 034605)
 - ▣ single/double-folding potentials with effective interactions (M3Y, ...)
 - ▣ 't $\rho_1\rho_2$ ' approximation → nucleon-nucleon interactions

$$U_{12}(E, \vec{r}) = \int d^3r' t_{NN} \rho_1(\vec{r}') \rho_2(\vec{r} - \vec{r}')$$

with NN scattering matrix element t_{NN} and densities ρ_1, ρ_2 (parameterization?), Pauli correction for in-medium scattering?, isospin dependence?

- ▣ differences to be explored



- parameterization of NN scattering amplitude

⇒ often used form using optical theorem (E. Kujawski et al., PRL 21 (1968) 583, ...)

$$f_{NN} = \frac{k}{4\pi} \sigma_{NN} (i + \alpha) \exp(-\beta q^2) \quad \Rightarrow \quad t_{NN} = -\frac{2\pi \hbar^2}{\mu} f_{NN}$$

- total NN cross section σ_{NN}

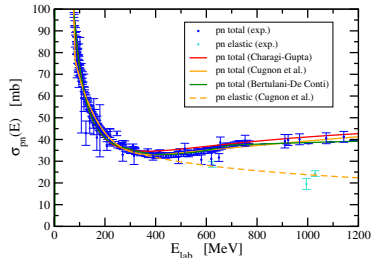
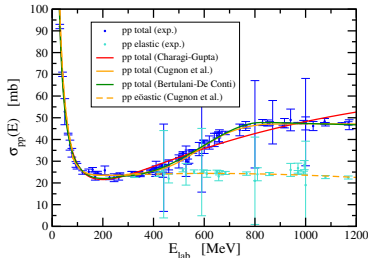
■ parameterization of NN scattering amplitude

⇒ often used form using optical theorem (E. Kujawski et al., PRL 21 (1968) 583, ...)

$$f_{NN} = \frac{k}{4\pi} \sigma_{NN} (i + \alpha) \exp(-\beta q^2) \quad \Rightarrow \quad t_{NN} = -\frac{2\pi \hbar^2}{\mu} f_{NN}$$

■ total NN cross section σ_{NN} → different parameterizations

(exp. data: R. L. Workman et al. (Particle Data Group), Prog. Theo. Exp. Phys. 2022, 083C01)





■ parameterization of NN scattering amplitude

⇒ often used form using optical theorem (E. Kujawski et al., PRL 21 (1968) 583, ...)

$$f_{NN} = \frac{k}{4\pi} \sigma_{NN} (i + \alpha) \exp(-\beta q^2) \quad \Rightarrow \quad t_{NN} = -\frac{2\pi\hbar^2}{\mu} f_{NN}$$

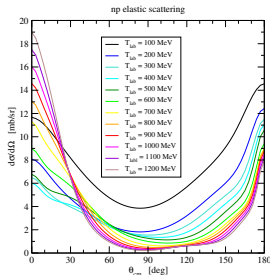
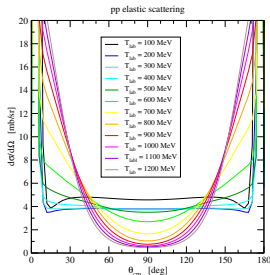
- total NN cross section $\sigma_{NN} \rightarrow$ different parameterizations
- parameters α, β and momentum transfer \vec{q} for angular dependence
 - sometimes inconsistent usage
 - identical angular dependence of real and imaginary parts
 - α, β not independent,
relation of elastic and total cross sections
(see, e.g., W. Horiuchi et al., PRC 75 (2007) 044607)

■ parameterization of NN scattering amplitude

⇒ often used form using optical theorem (E. Kujawski et al., PRL 21 (1968) 583, ...)

$$f_{NN} = \frac{k}{4\pi} \sigma_{NN} (i + \alpha) \exp(-\beta q^2) \quad \Rightarrow \quad t_{NN} = -\frac{2\pi\hbar^2}{\mu} f_{NN}$$

- total NN cross section $\sigma_{NN} \rightarrow$ different parameterizations
- parameters α, β and momentum transfer \vec{q} for angular dependence



from SAID partial-wave analysis
(gwdaac.phys.gwu.edu)



- destruction of core c (= spectator) by interaction with particle x



- destruction of core c (= spectator) by interaction with particle x
 - modification of stripping cross section with additional factor
(C.A. Bertulani, PLB 842 (2023) 138250)

$$\sigma_{\text{str}}^{\text{mod}} = \frac{1}{2j+1} \sum_m \int d^2 b_{xT} \langle \phi_{jm}^* | [1 - |S_{xT}(\vec{b}_{xT})|^2] |S_{cT}(\vec{b}_{cT})|^2 (1 - \langle |S_{xc}|^2 \rangle) | \phi_{jm} \rangle$$

with $\langle |S_{xc}|^2 \rangle = \frac{1}{\sigma_{NN}^{\text{el}}} \int d\Omega \frac{d\sigma_{NN}^{\text{el}}(\theta)}{d\Omega} |S_{xc}(\vec{b}_{xc}(\theta, \phi))|^2$



- destruction of core c (= spectator) by interaction with particle x
 - modification of stripping cross section with additional factor
(C.A. Bertulani, PLB 842 (2023) 138250)

reaction	E_{beam} [MeV/nucl.]	$S_p(S_n)$ [MeV]	σ_{str} [mb]	$\sigma_{\text{str}}^{\text{mod}}$ [mb]	% change
${}^9\text{B}({}^7\text{Li}, {}^6\text{He})$	80	9.98	20.49	19.01	-7.22
${}^9\text{B}({}^7\text{Li}, {}^6\text{Li})$	120	7.25	24.23	22.16	-8.54
${}^{12}\text{C}({}^8\text{B}, {}^7\text{Be})$	285	0.137	42.49	39.02	-8.17
${}^{12}\text{C}({}^9\text{C}, {}^8\text{B})$	78	1.3	40.14	36.86	-8.17
${}^{12}\text{C}({}^9\text{Li}, {}^8\text{Li})$	100	4.06	40.32	37.00	-8.23
${}^9\text{Be}({}^{10}\text{Be}, {}^9\text{Li})$	80	19.64	35.33	32.47	-8.09
${}^9\text{Be}({}^{10}\text{Be}, {}^9\text{Be})$	120	6.812	77.62	70.68	-8.94
${}^9\text{Be}({}^{10}\text{C}, {}^9\text{C})$	120	21.28	44.57	40.50	-9.31
${}^{12}\text{C}({}^{12}\text{C}, {}^{11}\text{B})$	250	15.95	64.68	58.70	-9.55
${}^{12}\text{C}({}^{12}\text{C}, {}^{11}\text{C})$	250	18.72	74.16	67.10	-9.52
${}^{12}\text{C}({}^{14}\text{O}, {}^{13}\text{N})$	305	1.531	37.45	33.99	-9.22
${}^9\text{Be}({}^{14}\text{O}, {}^{13}\text{O})$	53	3.234	25.57	23.32	-8.80
${}^{12}\text{C}({}^{16}\text{O}, {}^{15}\text{N})$	2100	22.04	46.90	42.48	-9.42
${}^{12}\text{C}({}^{18}\text{O}, {}^{15}\text{O})$	2100	22.04	44.46	40.26	-9.45



- destruction of core c (= spectator) by interaction with particle x
 - modification of stripping cross section with additional factor
(C.A. Bertulani, PLB 842 (2023) 138250)

$$\sigma_{\text{str}} = \frac{1}{2j+1} \sum_m \int d^2 b_{xT} \langle \phi_{jm}^* | [1 - |S_{xT}(\vec{b}_{xT})|^2] |S_{cT}(\vec{b}_{cT})|^2 (1 - \langle |S_{xc}|^2 \rangle) | \phi_{jm} \rangle$$

with $\langle |S_{xc}|^2 \rangle = \frac{1}{\sigma_{NN}^{el}} \int d\Omega \frac{d\sigma_{NN}^{el}(\theta)}{d\Omega} |S_{xc}(\vec{b}_{xc}(\theta, \phi))|^2$

⇒ small effect

- reduction of cross section by 7 - 10%
- no obvious dependence on nucleon separation energy



- destruction of core c (= spectator) by interaction with particle x
 - modification of stripping cross section with additional factor
(C.A. Bertulani, PLB 842 (2023) 138250)

$$\sigma_{\text{str}} = \frac{1}{2j+1} \sum_m \int d^2 b_{xT} \langle \phi_{jm}^* | [1 - |S_{xT}(\vec{b}_{xT})|^2] |S_{cT}(\vec{b}_{cT})|^2 (1 - \langle |S_{xc}|^2 \rangle) | \phi_{jm} \rangle$$

with $\langle |S_{xc}|^2 \rangle = \frac{1}{\sigma_{NN}^{el}} \int d\Omega \frac{d\sigma_{NN}^{el}(\theta)}{d\Omega} |S_{xc}(\vec{b}_{xc}(\theta, \phi))|^2$

⇒ small effect

- reduction of cross section by 7 - 10%
- no obvious dependence on nucleon separation energy
- modified derivation of cross section with non-local effective densities
(M. Gómez-Ramos, J. Gómez-Camacho, A.M. Moro, PLB 847 (2023) 138284)
 - reduction of cross section by 10 - 50%
 - strong dependence on binding energy/isospin



- input in cross section calculations
 - density distributions
 - single-particle wave functions ϕ_{jm}



- input in cross section calculations
 - density distributions
 - single-particle wave functions ϕ_{jm}
- different sources
 - simple parametrizations of neutron/proton densities in nuclei
 - wave functions from solution of single-particle Schrödinger equation with parametrized, simple (e.g. Woods-Saxon) potentials
 - ⇒ only selected nucleon states



- input in cross section calculations
 - density distributions
 - single-particle wave functions ϕ_{jm}
- different sources
 - simple parametrizations of neutron/proton densities in nuclei
 - wave functions from solution of single-particle Schrödinger equation with parametrized, simple (e.g. Woods-Saxon) potentials
 - ⇒ only selected nucleon states
 - input from ab-initio calculations ?
 - wave functions, density distributions from energy density functionals (EDF)
 - development of new relativistic EDF
(in collaboration with S. Shlomo, Texas A&M University, paper in preparation)
 - ⇒ improved description of nuclei



- development of new computer program
 - ▣ calculation of 'standard' knockout cross sections
 - ▣ optical potentials/interaction of different origin
 - ▣ consistent nuclear structure input from relativistic EDF, ...



- development of new computer program
 - ▣ calculation of 'standard' knockout cross sections
 - ▣ optical potentials/interaction of different origin
 - ▣ consistent nuclear structure input from relativistic EDF, ...
 - ▣ Monte-Carlo simulation of reaction
 - motion of particles along classical trajectories (different forms)
 - reactions along trajectory
 - multi-step processes possible
 - phase-space distributions of nucleons (\Rightarrow use of Wigner transform?)
in nucleon-nucleon scattering (parameterization?)
 - event distributions with momenta of all particles in final state



- development of new computer program
 - ▣ calculation of 'standard' knockout cross sections
 - ▣ optical potentials/interaction of different origin
 - ▣ consistent nuclear structure input from relativistic EDF, ...
 - ▣ Monte-Carlo simulation of reaction
 - motion of particles along classical trajectories (different forms)
 - reactions along trajectory
 - multi-step processes possible
 - phase-space distributions of nucleons (\Rightarrow use of Wigner transform?) in nucleon-nucleon scattering (parameterization?)
 - event distributions with momenta of all particles in final state
 - ▣ similar to CDXS+ code for Coulomb dissociation
 - ▣ work in progress



Thank You for Your Attention!

Work supported by



Helmholtz Forschungsakademie Hessen für FAIR