

The thermal model: current status, limitations and future

Hadron yields in central nucleus-nucleus collisions and the QCD phase diagram

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- The statistical (thermal) model and the thermal fits
- Thermal fits and the QCD phase diagram
- The model ingredients (syst.uncert.) and predictions on (hyper)nuclei
- Conclusions

Largely based on: Andronic, Braun-Munzinger, Redlich, Stachel, [Nature 561 \(2018\) 321](#)

The thermal model

also known as: statistical / hadron resonance gas / statistical hadronization model

...is in a way the simplest model

the analysis of hadron yields within the thermal model provides a “snapshot” of a nucleus-nucleus collision at chemical freeze-out (the earliest in the collision timeline we can look with hadronic observables) test hypothesis of hadron abundancies in equilibrium

...but the devil is in the details ...one needs:

- a complete hadron spectrum (all species of hadrons, see [PDG](#), extra states?)
- canonical approach at low energies (and smaller systems)
- to understand the data well (Ex.: control fractions from weak decays)

The statistical (thermal) model

grand canonical partition function for specie (hadron) i :

$$\ln Z_i = \frac{V g_i}{2\pi^2} \int_0^\infty \pm p^2 dp \ln[1 \pm \exp(-(E_i - \mu_i)/T)]$$

$g_i = (2J_i + 1)$ spin degeneracy factor; T temperature;

$E_i = \sqrt{p^2 + m_i^2}$ total energy; (+) for fermions (-) for bosons

$\mu_i = \mu_B B_i + \mu_{I_3} I_{3i} + \mu_S S_i + \mu_C C_i$ chemical potentials

μ ensure conservation (on average) of quantum numbers, fixed by “initial conditions”

i) isospin: $\sum_i n_i I_{3i} / \sum_i n_i B_i = I_3^{tot} / N_B^{tot}$, $N_B^{tot} \sim \mu_B$

I_3^{tot} , N_B^{tot} isospin and baryon number of the system (=0 at high energies)

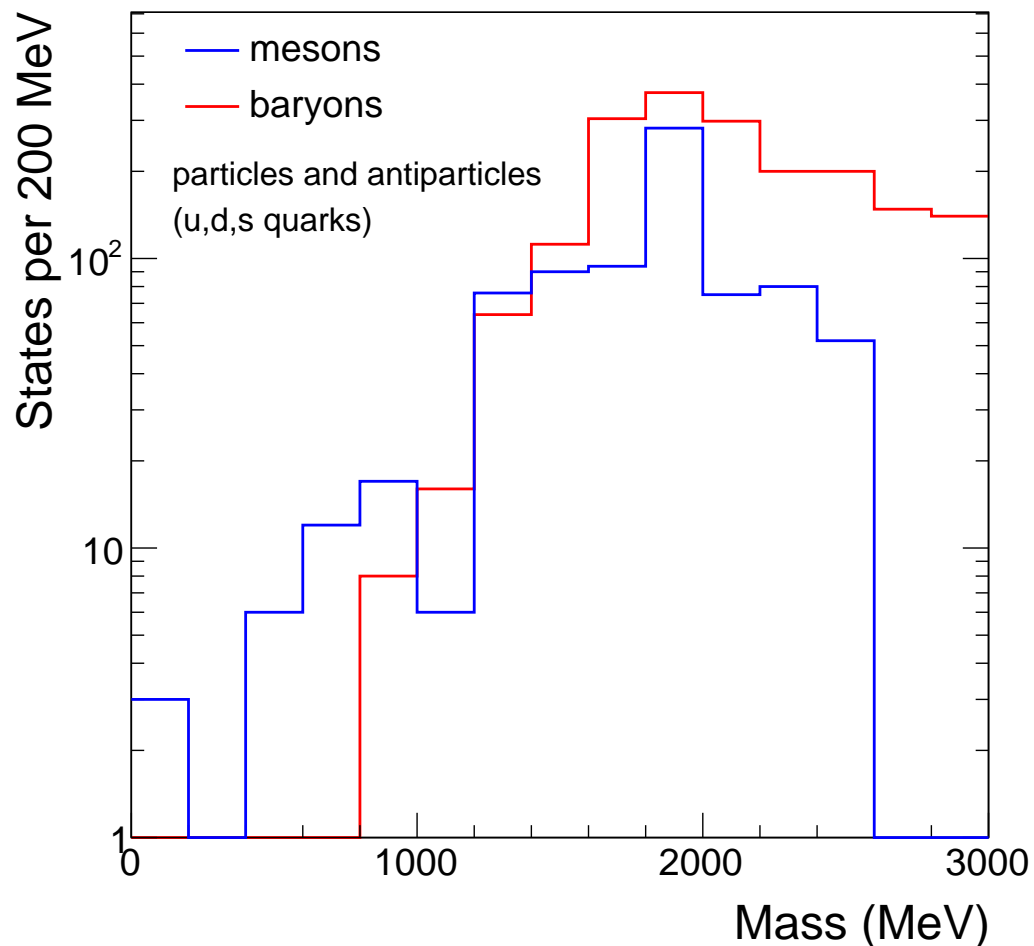
ii) strangeness: $\sum_i n_i S_i = 0$

iii) charm: $\sum_i n_i C_i = 0$.

Model input: hadron spectrum

...embodies low-energy QCD ...*vacuum masses*

well-known for $m < 2$ GeV; many confirmed states above 2 GeV, still incomplete



for high m , BR not well known, but can be reasonably guessed

T found to be robust in fits with spectrum truncated above 1.8 GeV

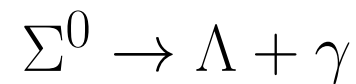
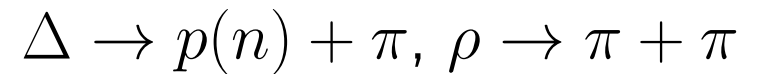
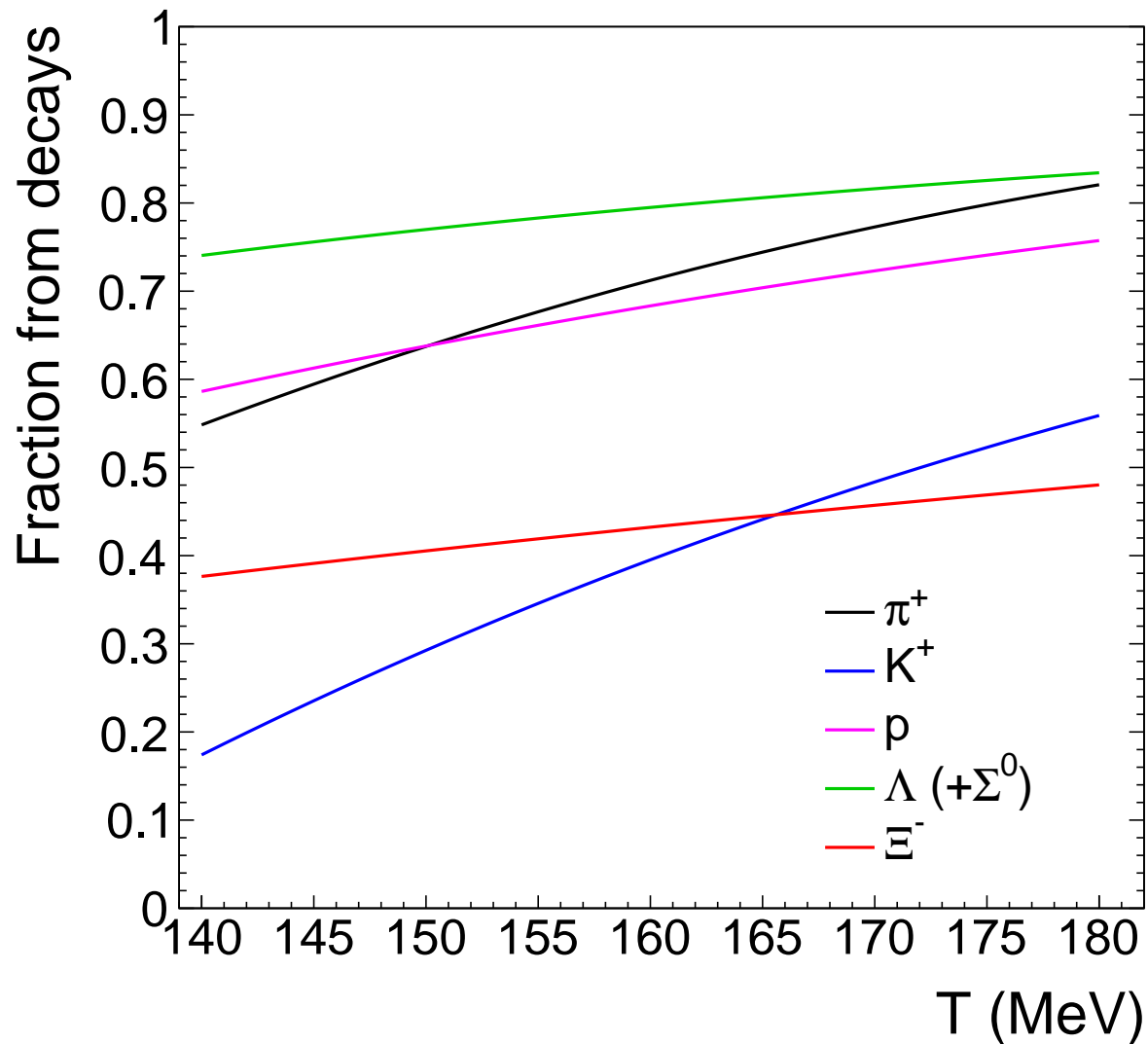
$$\rho(m) = c \cdot m^{-a} \exp(m/T_H)$$

$$T_H \simeq 180 \text{ MeV (max } T \text{ for hadrons)}$$

$(2J + 1)$ counted in

Decays (feed-down)

(almost all) hadrons are subject to strong and electromagnetic decays



weak decays can be treated as well ...to account for the exact experimental situation

contribution of resonances is significant (and particle-dependent)

(plot for $\mu_B=0$)

Considering widths of resonances

$$n_i = N_i/V = -\frac{T}{V} \frac{\partial \ln Z_i}{\partial \mu} = \frac{g_i}{2\pi^2} \int_0^\infty \frac{p^2 dp}{\exp[(E_i - \mu_i)/T] \pm 1}$$

$$n_i = \frac{g_i}{2\pi^2} \frac{1}{N_{BW}} \int_{M_{thr}}^\infty dm \int_0^\infty \frac{\Gamma_i^2}{(m - m_i)^2 + \Gamma_i^2/4} \cdot \frac{p^2 dp}{\exp[(E_i^m - \mu_i)/T] \pm 1}$$

M_{thr} threshold mass for the decay channel.

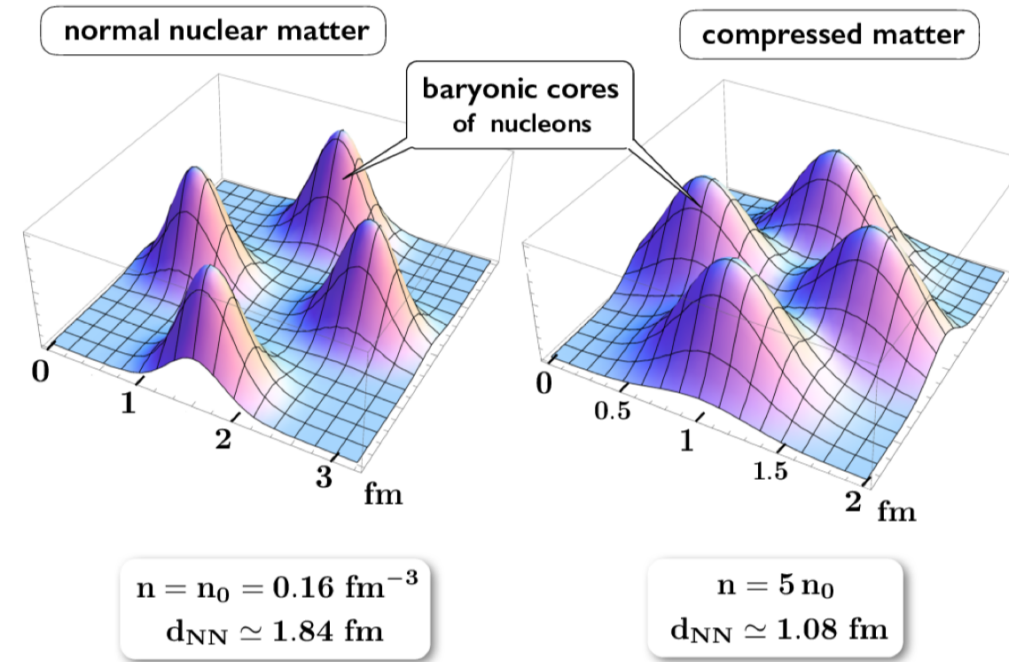
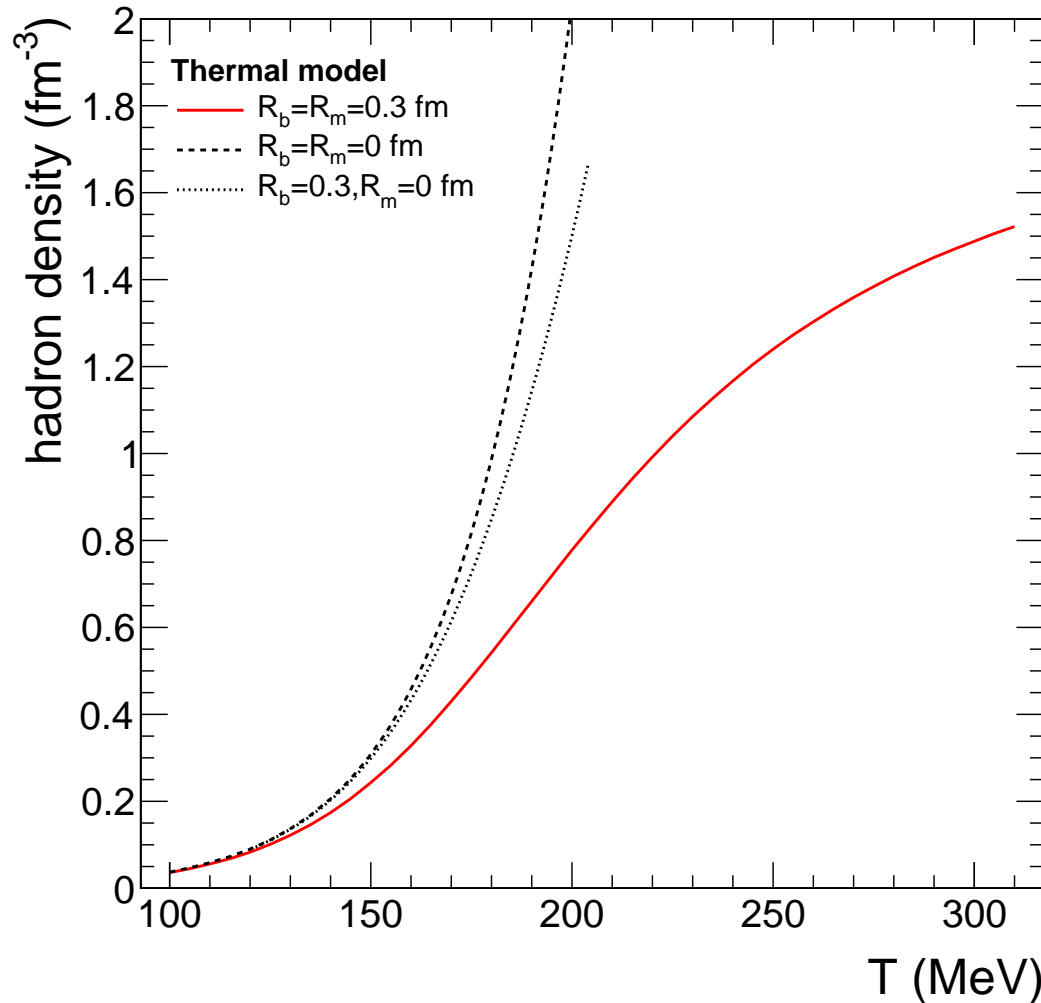
Example: for $\Delta^{++} \rightarrow p + \pi^+$, $M_{thr}=1.068$ GeV ($m_{\Delta^{++}}=1.232$ GeV)

Important mainly at “low” temperatures ($T \lesssim 150$ MeV)

Hadron densities

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Weise, [arXiv:1811.09682](https://arxiv.org/abs/1811.09682)

(baryons: gaussians, $r=0.5$ fm)

"hadron gas": a dense system (also nuclear matter is rather a liquid than a gas)
(the usual case is $R_{baryon} = R_{meson} = 0.3$ fm ...hard-sphere repulsion)

Air at NTP: intermolecule distance $\simeq 50 \times$ molecule size

Canonical correction (“canonical suppression”)

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needed whenever the abundance of hadrons with a given quantum number is very small ...so that one needs to enforce exact quantum-number conservation

in AA collisions:

strangeness at low energies

$$n_{i,S}^C = n_{i,S}^{GC} \cdot \frac{I_s(N_S)}{I_0(N_S)}$$

$$N_S = V_c \cdot \sum S \cdot n_{i,S},$$

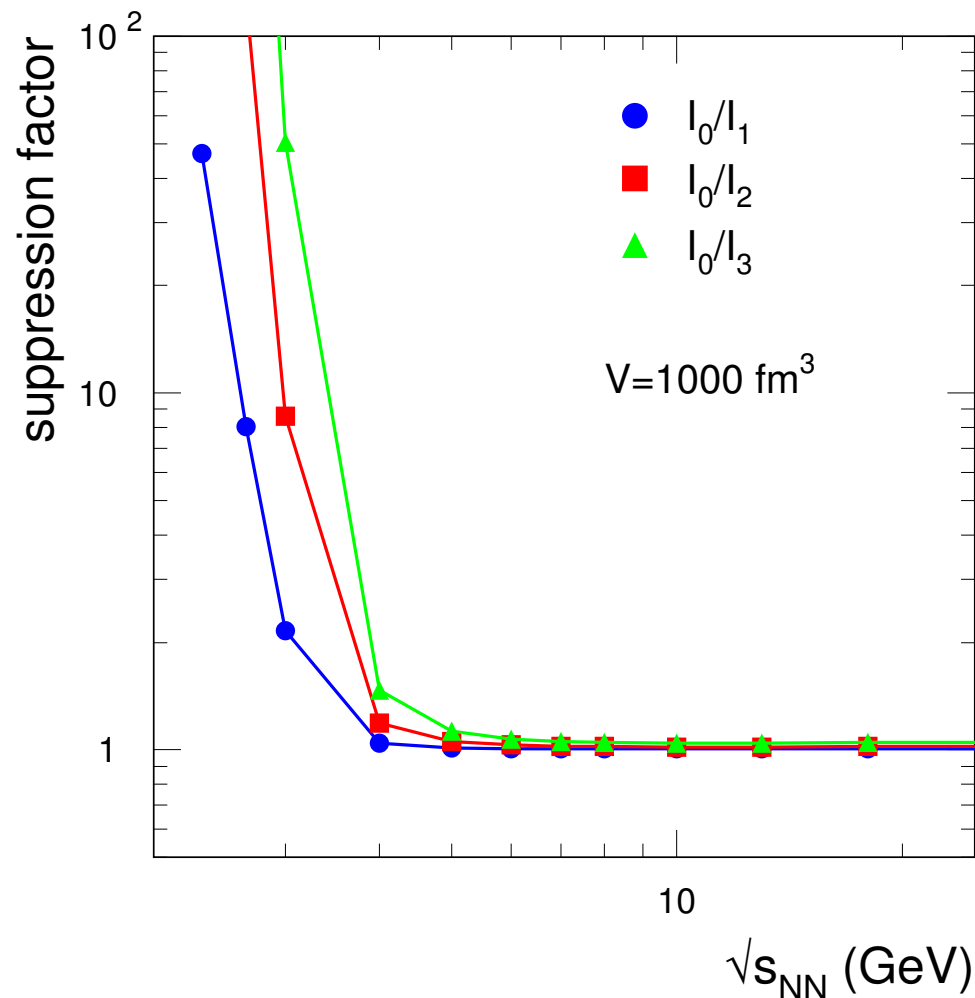
total amount of strangeness-carrying hadrons (part.+antipart.)

$$n_{K,\Lambda}^C = n_{K,\Lambda}^{GC} \cdot \frac{I_1(N_S)}{I_0(N_S)},$$

$$n_{\phi}^C = n_{\phi}^{GC}$$

...negligible for $\sqrt{s_{NN}} > 5$ GeV

for $V_C=1000 \text{ fm}^3$ (2005) ...largish



Strangeness suppression factor, γ_s

...a non-thermal fit parameter, to check possible non-thermal production of strangeness

for a hadron carrying “absolute” strangeness $s = |S - \bar{S}|$: $n_i \rightarrow n_i \gamma_s^s$

Examples: $K^\pm (u\bar{s}, \bar{u}s)$: $n_K \gamma_s$, $\Lambda (uds)$: $n_\Lambda \gamma_s$,

$\Xi(dss)$: $n_\Xi \gamma_s^2$, $\Omega(sss)$: $n_\Omega \gamma_s^3$, $\phi(s\bar{s})$: $n_\phi \gamma_s^2$

in principle, usage of γ_s is to be avoided if one tests the basic thermal model

even as some models employ it ($\Rightarrow \gamma_s = 0.6 - 0.8$), all agree that it is not needed at RHIC, LHC energies (for central collisions)

here (central AA collisions) we fix $\gamma_s=1$

Thermal fits of hadron abundances

$$n_i = N_i/V = -\frac{T}{V} \frac{\partial \ln Z_i}{\partial \mu} = \frac{g_i}{2\pi^2} \int_0^\infty \frac{p^2 dp}{\exp[(E_i - \mu_i)/T] \pm 1}$$

Latest PDG hadron mass spectrum ...quasi-complete up to $m=2$ GeV;
our code: 555 species (including fragments, charm and bottom hadrons)

for resonances, the width is considered in calculations

canonical treatment whenever needed (small abundances)

Minimize: $\chi^2 = \sum_i \frac{(N_i^{exp} - N_i^{therm})^2}{\sigma_i^2}$

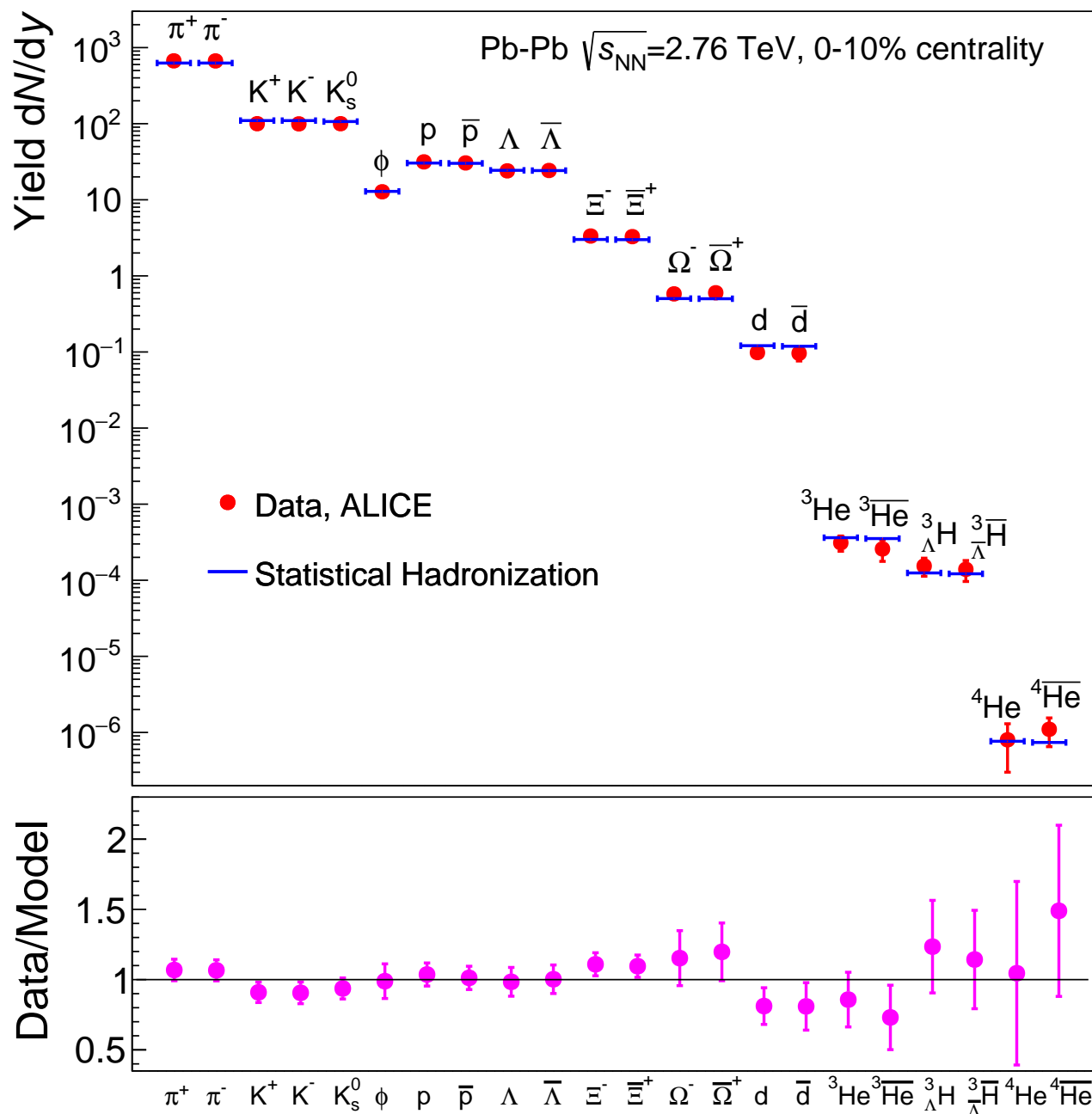
N_i hadron yield, σ_i experimental uncertainty (stat.+syst.)

$\Rightarrow (T, \mu_B, V)$...tests chemical freeze-out (chemical equilibrium)

Thermal fit – LHC, Pb–Pb, 0-10%

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matter and antimatter produced in equal amounts

$$T_{CF} = 156.6 \pm 1.7 \text{ MeV}$$

$$\mu_B = 0.7 \pm 3.8 \text{ MeV}$$

$$V_{\Delta y=1} = 4175 \pm 380 \text{ fm}^3$$

$$\chi^2/N_{df} = 16.7/19$$

S-matrix treatment

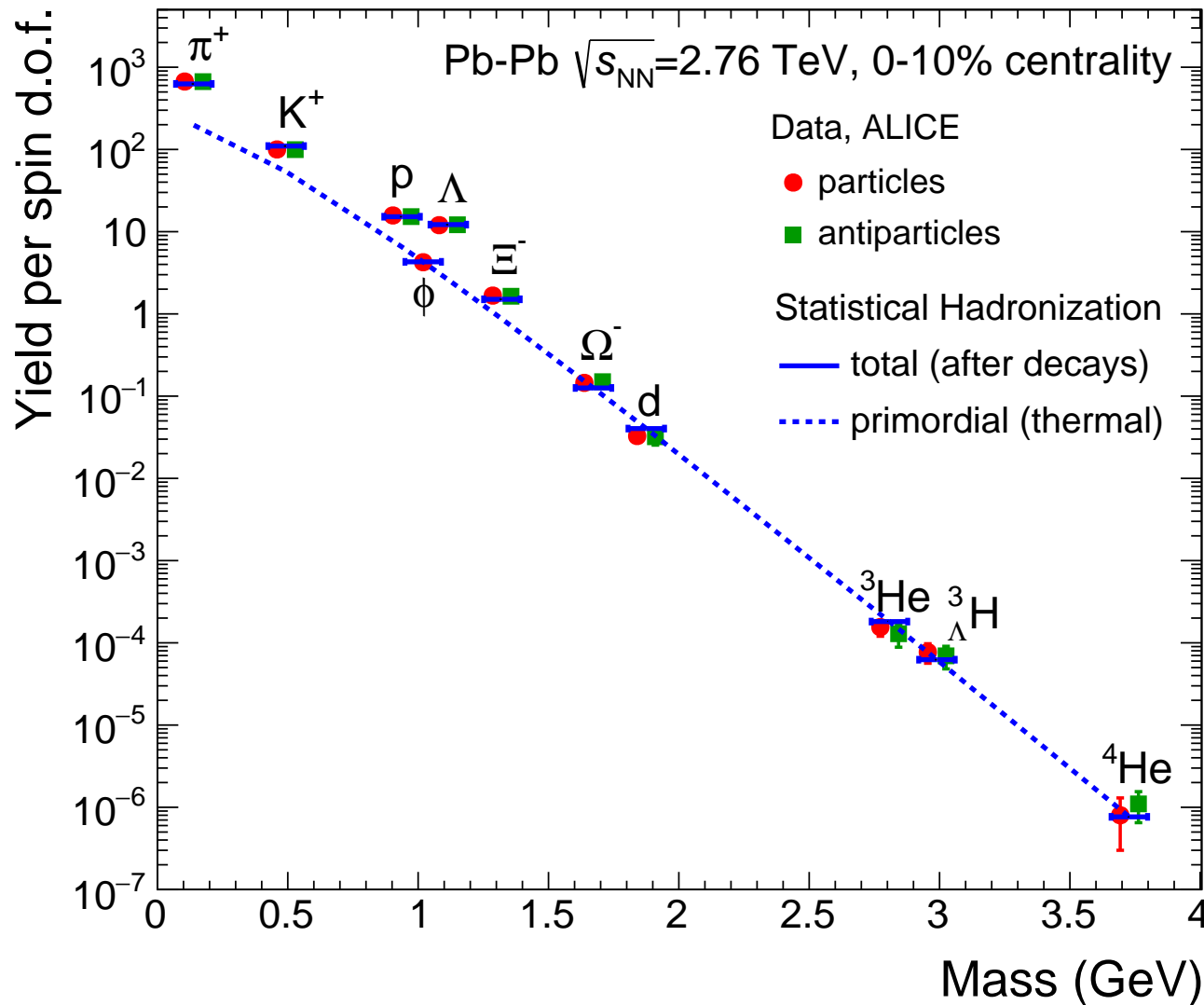
remarkably, loosely-bound objects are also well described (${}^3_{\Lambda}\text{H}$ with 25% B.R.)

hadronization as bags of quarks and gluons?

Model uncertainties 1. Hadron spectrum

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contribution of resonances
is significant
(and particle-dependent)

Fit of ϕ , Ω , d , ${}^3\text{He}$, ${}^3\text{H}$, ${}^4\text{He}$:

$$T_{CF} = 156 \pm 2.5 \text{ MeV}$$

$$(\chi^2/N_{df} = 7.4/8)$$

Fit of nuclei (d , ${}^3\text{He}$, ${}^4\text{He}$):

$$T_{CF} = 159 \pm 5 \text{ MeV}$$

3-4 MeV upper bound of systematic uncertainty due to hadron spectrum

Model uncertainties 2. Interactions

hadron eigenvolumes ...to mimick interactions (beyond low-density, Dashen-Ma)

we consider that $R_{meson} = 0.3, R_{baryon} = 0.3$ fm is a reasonable case
point-like hadrons lead to same T , but volume larger by 20-25%

an extreme case, $R_{meson} = 0, R_{baryon} = 0.3$ fm leads to
 $T = 161.0 \pm 2.0$ MeV, $\mu_B = 0$ fixed, $V = 3470 \pm 280$ fm³

NB: in this case, the result is rather sensitive on the set of hadrons in the fit
for instance, using hadrons up to Ω , cannot constrain T (unphysically large)

Vovchenko, Stöcker (et al.), [JPG 44 \(2017\) 055103](#), [arXiv:1606.06350](#)

...and anything else can be imagined, see (R dependent on mass & strangeness)

Alba, Vovchenko, Gorenstein, Stöcker, [NPA 974 \(2018\) 22](#), etc.

Energy-dependent Breit-Wigner resonance widths:

Vovchenko, Gorenstein, Stöcker, [PRC 98 \(2018\) 034906](#)

Interactions, our way

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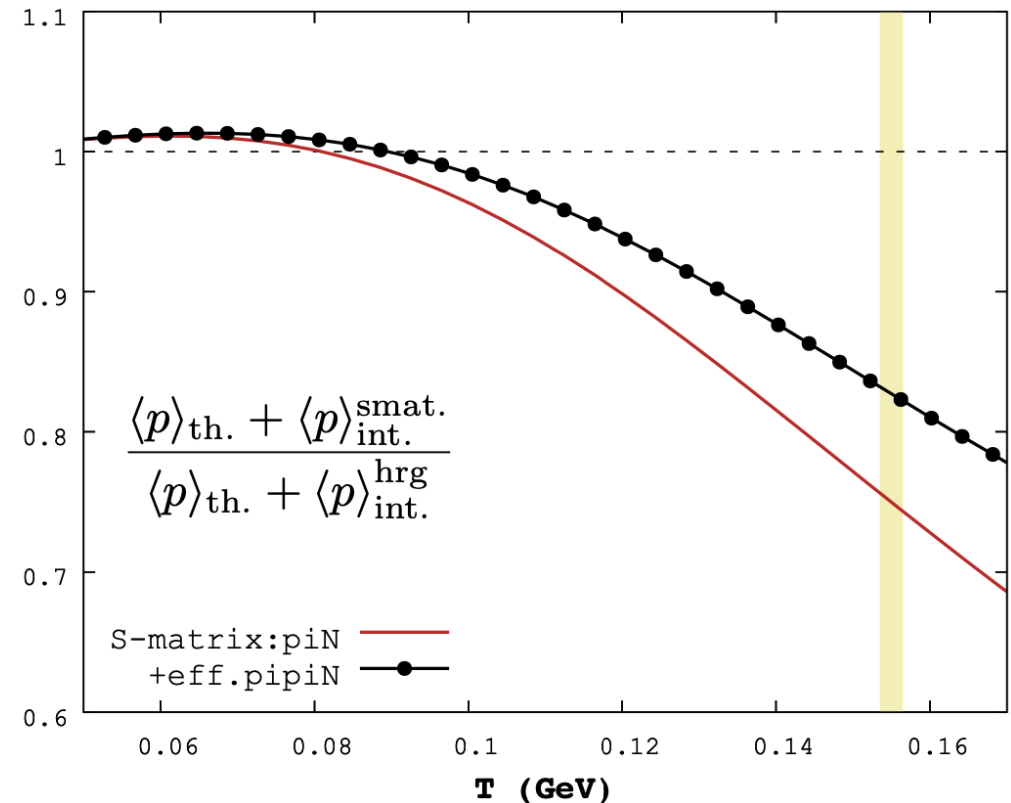
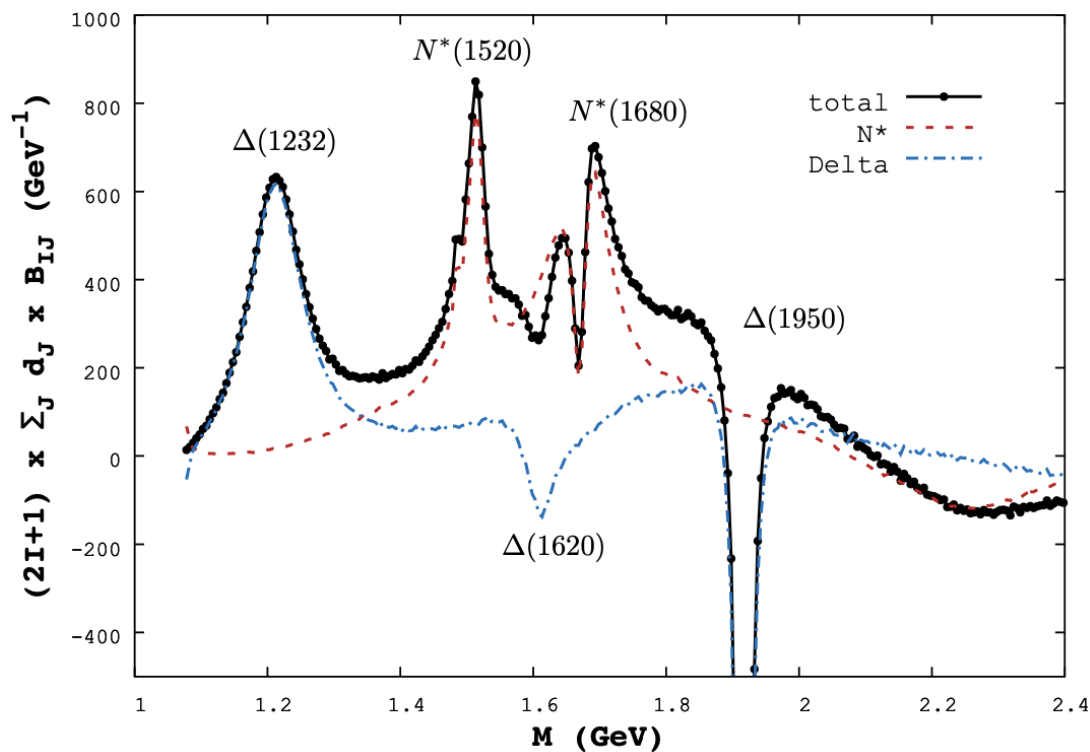
non-strange baryon sector treated in S-matrix formalism (P.M.Lo):
 πN scattering phase shifts, *including non-resonant contributions*

PLB 792 (2019) 304

...for now only at the LHC ($\mu_B \simeq 0$)

GWU/SAID PWA \rightarrow effective spectral f.

correction of proton dens.



$\pi\pi N$: effective, from matching to χ_{BQ} in LQCD

Interactions, S-matrix treatment

solved the so-called "proton puzzle" (too many protons in the statistical model)
for $T=156.5$ MeV, proton yield decreased by 17% compared to point-like
still missing: strange baryon sector ($\sim 7\%$ of protons from Λ^* , Σ^* , T -dep.)

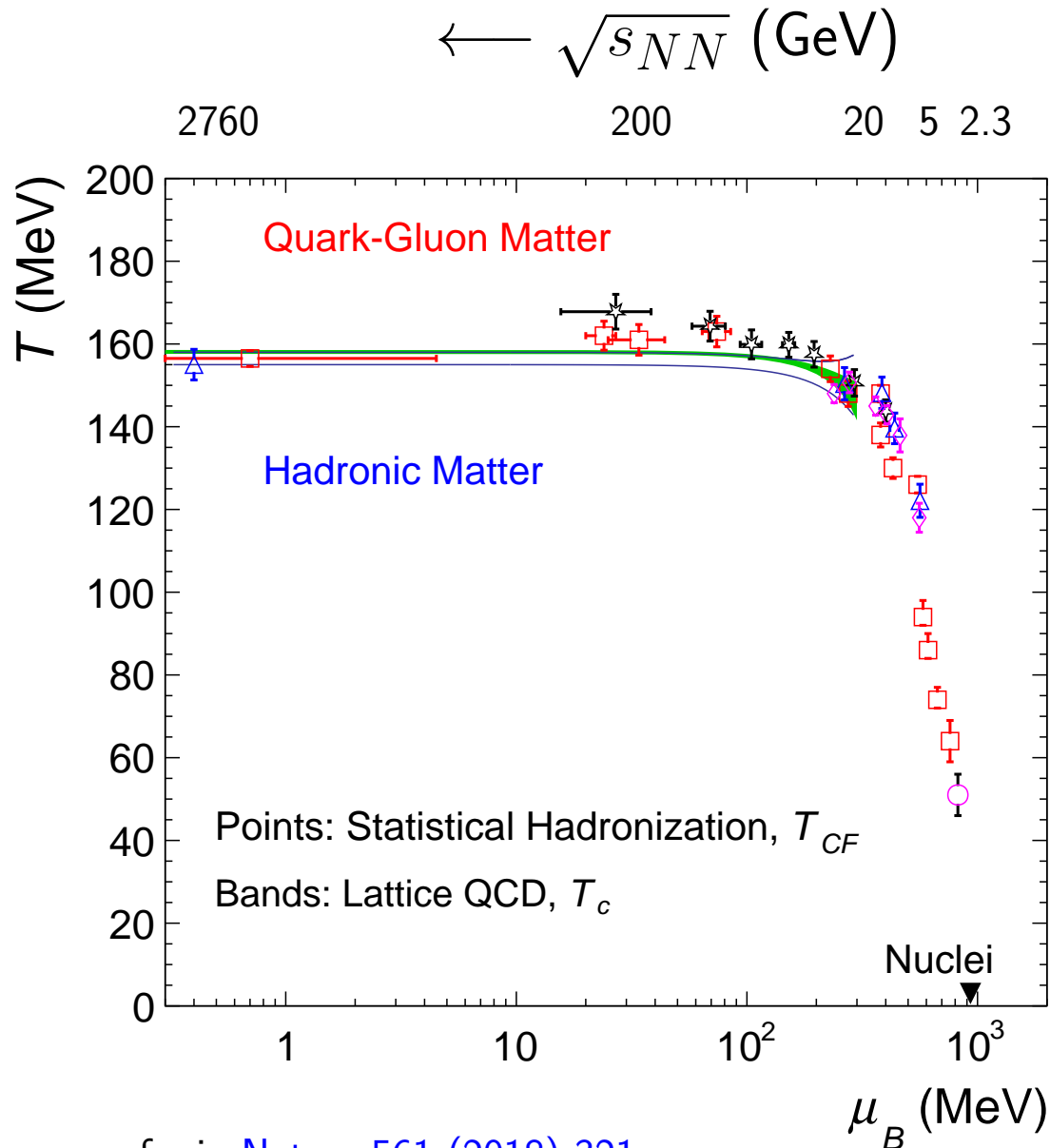
[PRC 98 \(2018\) 044910](#)

NB: presence of resonances implies interaction
(this is why moderate $R = 0.3$ fm is a reasonable choice)

The phase diagram of QCD

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see refs. in [Nature 561 \(2018\) 321](#)

at LHC, remarkable “coincidence” with Lattice QCD results

at LHC ($\mu_B \simeq 0$): purely-produced (anti)matter ($m = E/c^2$), as in the Early Universe

$\mu_B > 0$: more matter, from “remnants” of the colliding nuclei

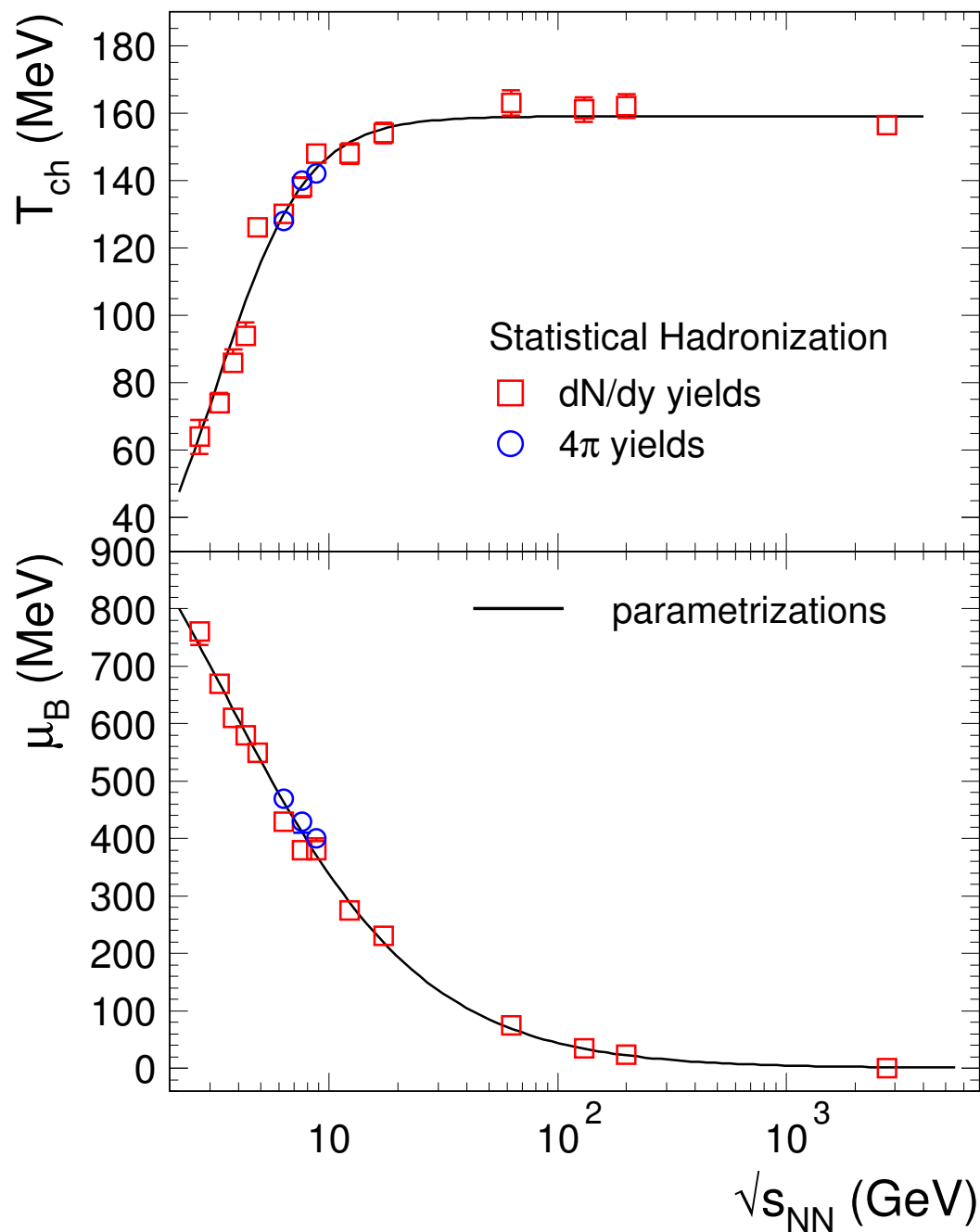
$\mu_B \gtrsim 400$ MeV: *the critical point awaiting discovery*
(RHIC BES / FAIR)

points: independent analyses of same data \rightarrow “model/code uncert.” are small

Energy dependence of T , μ_B (central collisions)

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thermal fits exhibit a limiting temperature:

$$T_{lim} = 158.4 \pm 1.4 \text{ MeV}$$

$$T_{CF} = T_{lim} \frac{1}{1 + \exp(2.60 - \ln(\sqrt{s_{NN}}(\text{GeV}))/0.45)}$$

$$\mu_B [\text{MeV}] = \frac{1307.5}{1 + 0.288 \sqrt{s_{NN}}(\text{GeV})}$$

NPA 772 (2006) 167, PLB 673 (2009) 142

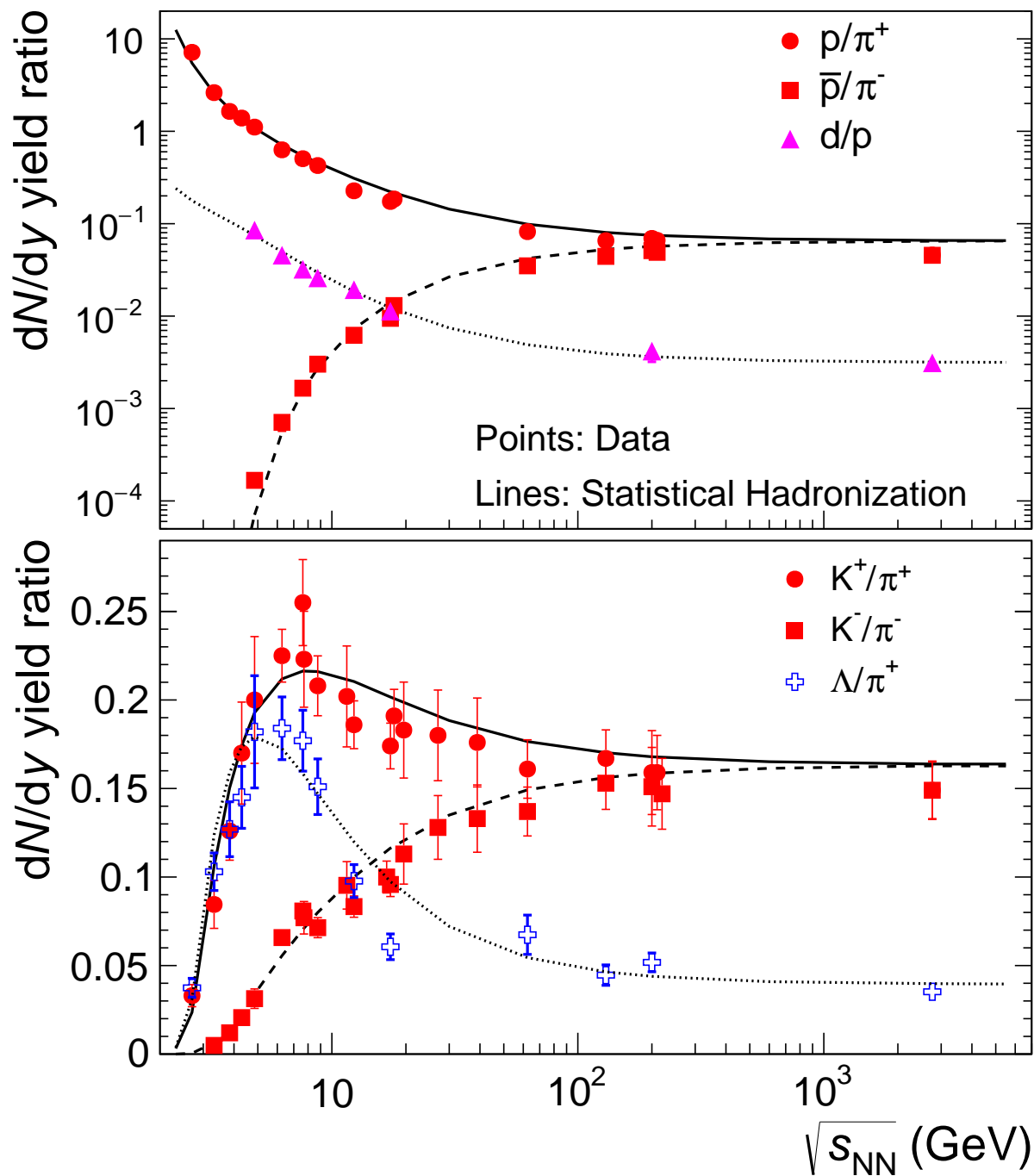
μ_B is a measure of the net-baryon density, or matter-antimatter asymmetry

determined by the "stopping" of the colliding nuclei

The grand (albeit partial) view

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Data:

AGS: E895, E864, E866, E917, E877

SPS: NA49, NA44

RHIC: STAR, BRAHMS

LHC: ALICE

NB: no contribution from weak decays

no S-matrix correction (p, \bar{p})

d/p ratio is well described for all energies

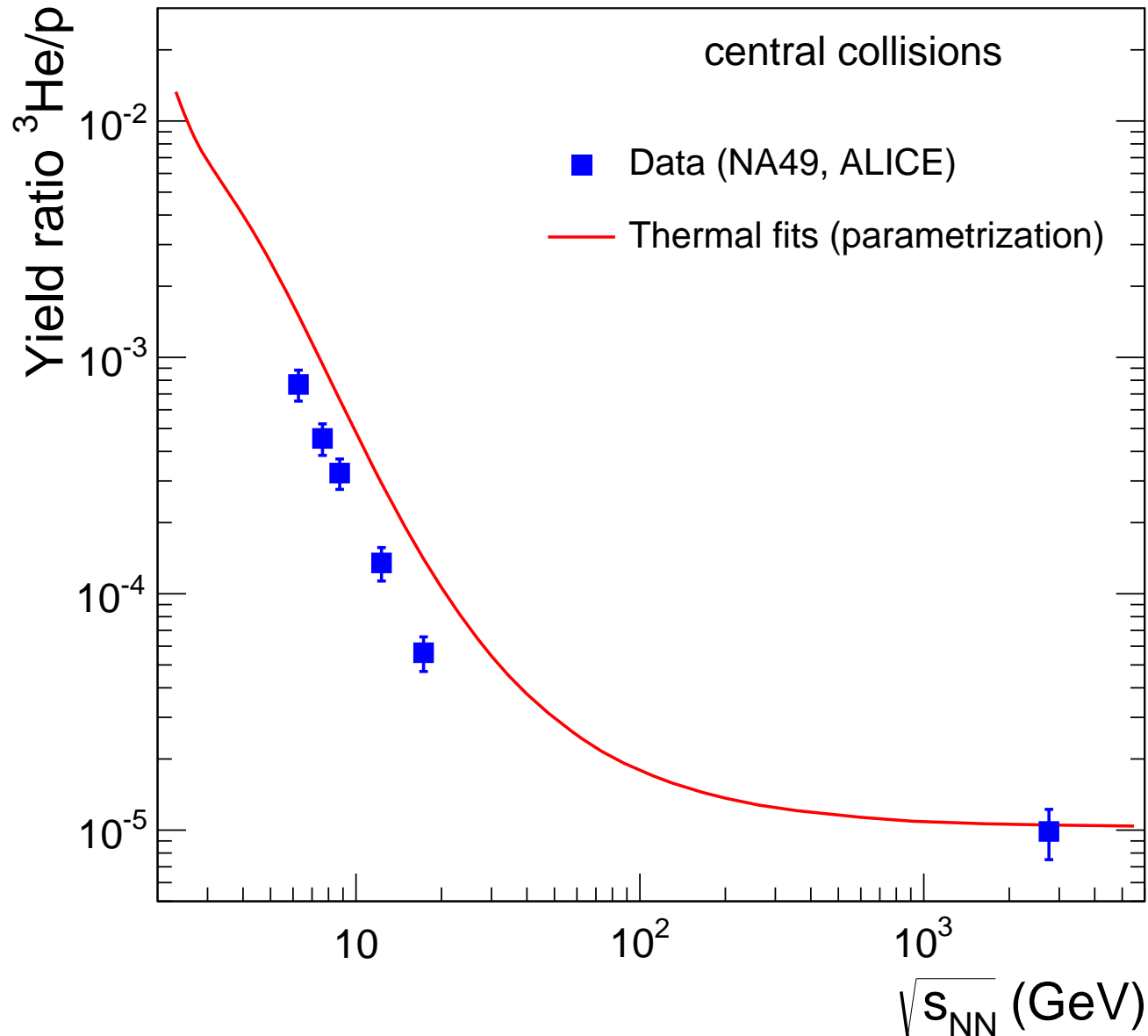
“structures” described by SHM

...determined by strangeness conservation

Λ/π peak reflects increasing T and decreasing μ_B

Something that doesn't work so well ?

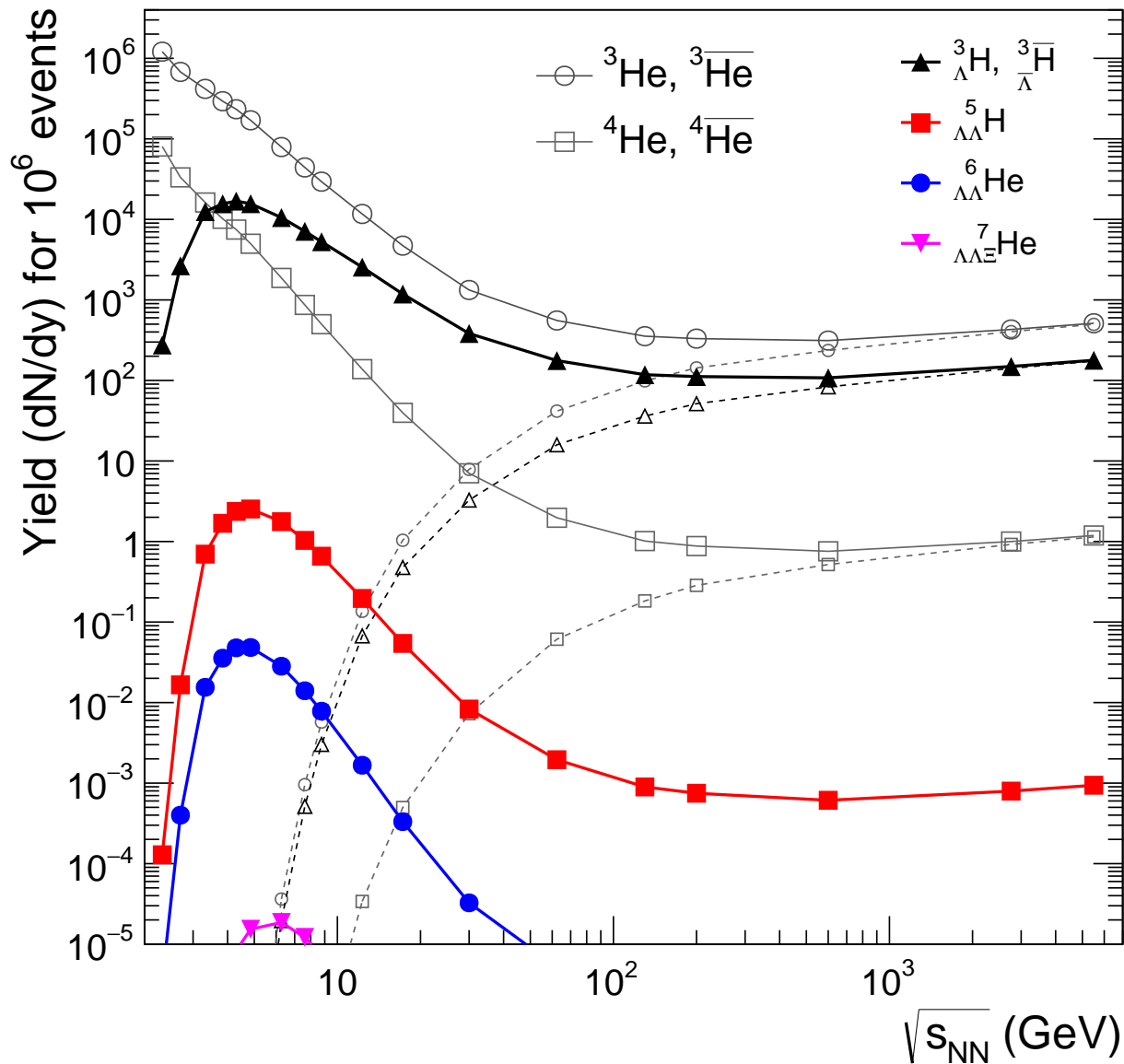
$^3\text{He}/p$ ratio well described at the LHC, but not at lower energies



ALICE 5.02 TeV:
 $(0.7 \pm 0.1) \cdot 10^{-5}$

Complex objects

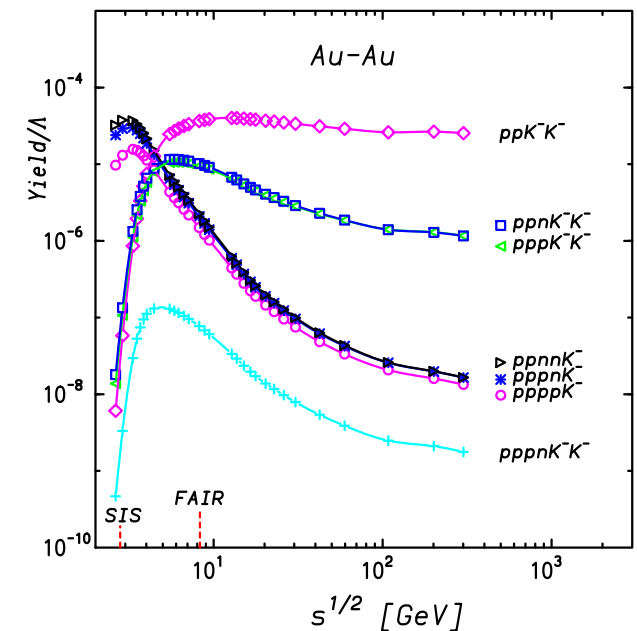
...are copiously produced at low (RHIC-BES/FAIR) energies



...some to be discovered

maybe also nucleon- K^- clusters?

AA, PBM, K.Redlich, NPA 765 (2006) 211



Updating the strangeness canonical suppression

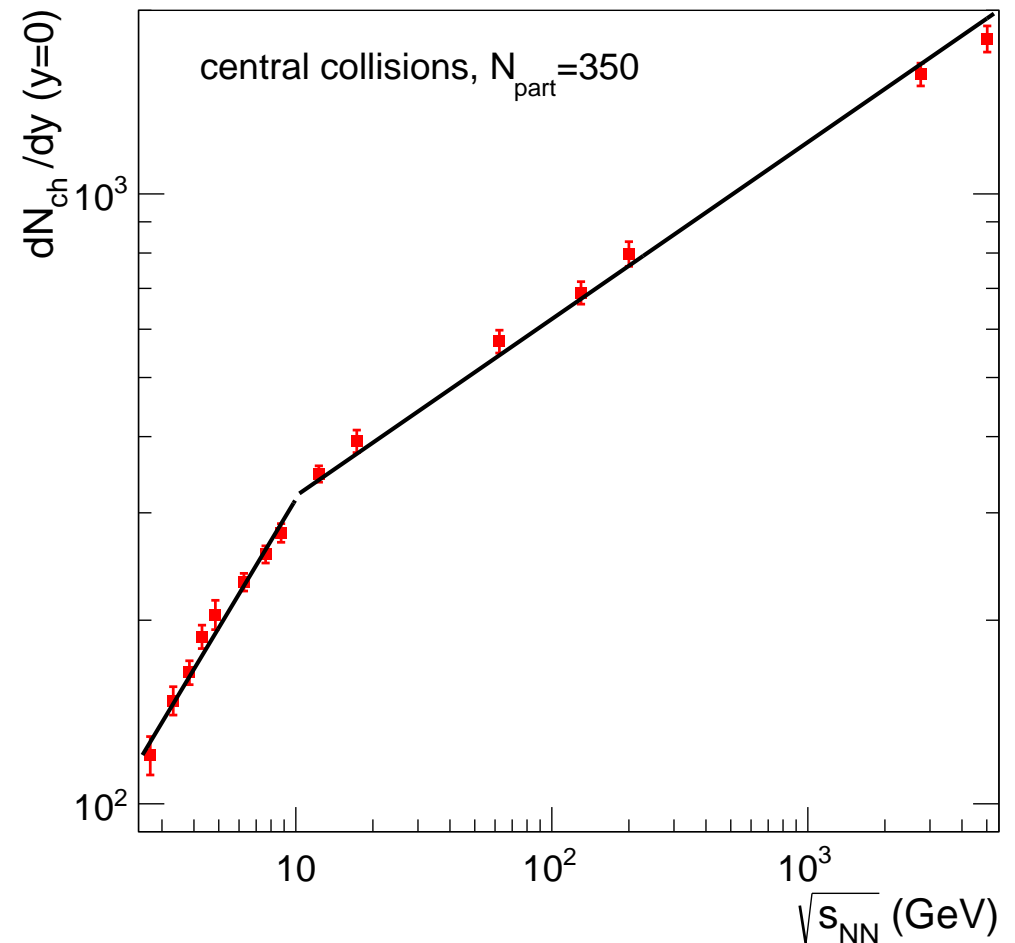
Up until now: the (strangeness) canonical volume $V_C=1000 \text{ fm}^3$

We currently have solid indications that V_{can} is multiplicity dependent.

see study for pp, p-Pb at the LHC Cleymans, Lo, Redlich, Sharma, [PRC 103 \(2021\) 014904](#)

$$V_C[\text{fm}^3] = 12.3 + 3 \times \frac{dN_{ch}}{d\eta}$$

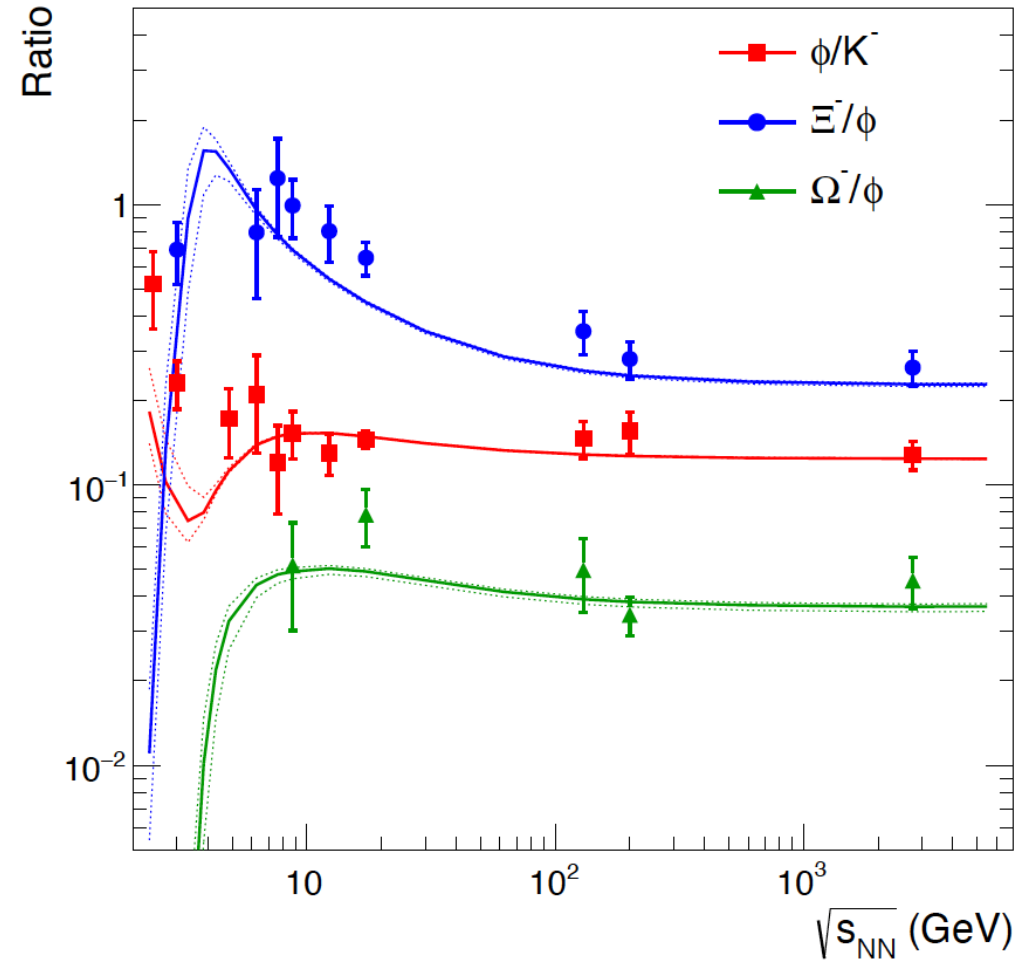
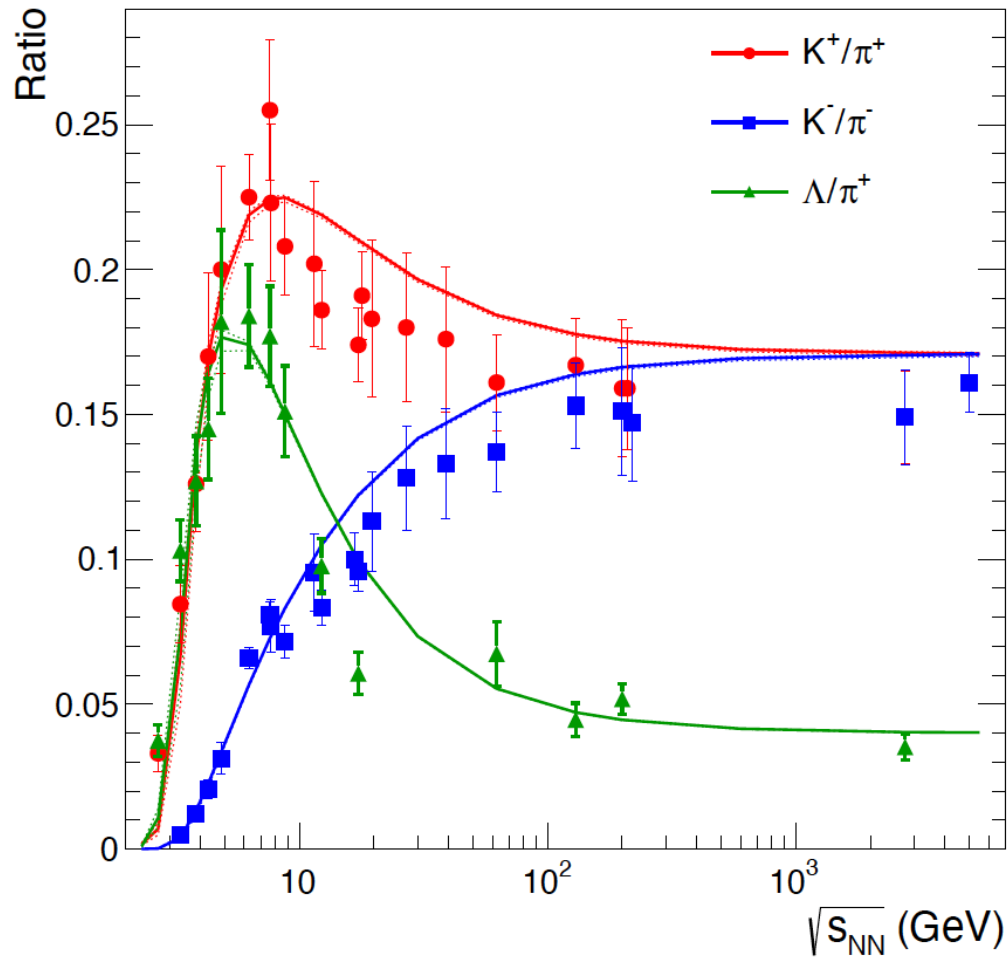
...derive this vs. energy



Updating the canonical suppression

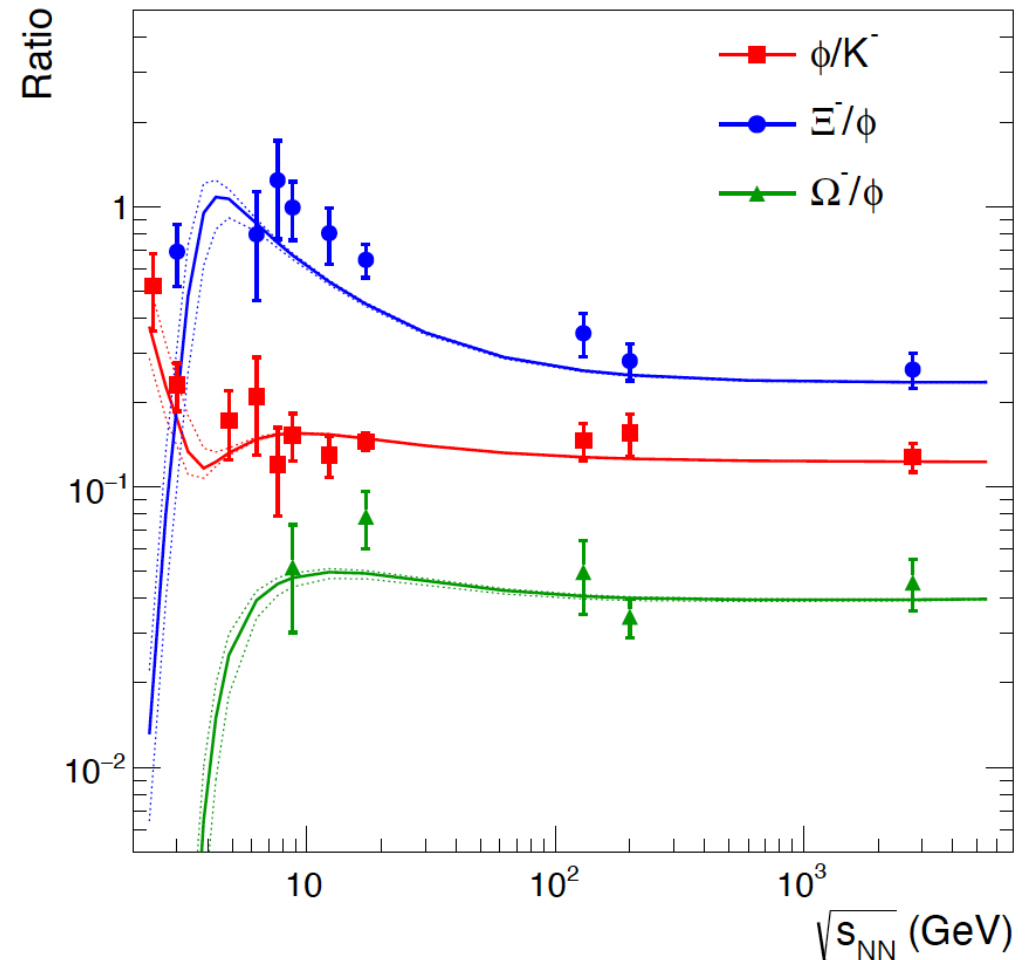
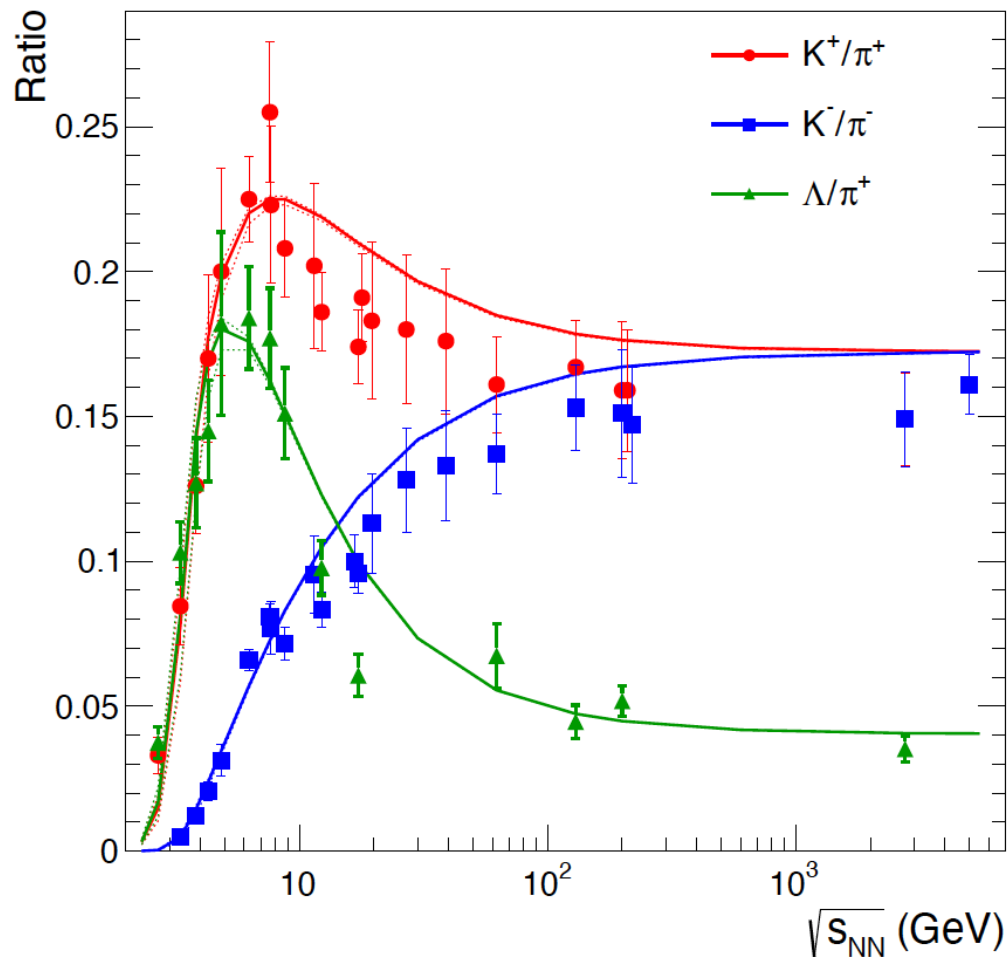
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$$V_C[\text{fm}^3] = (2.0 \pm 0.6) \times \frac{dN_{ch}}{dy}$$

Updating the canonical suppression ...and T param.



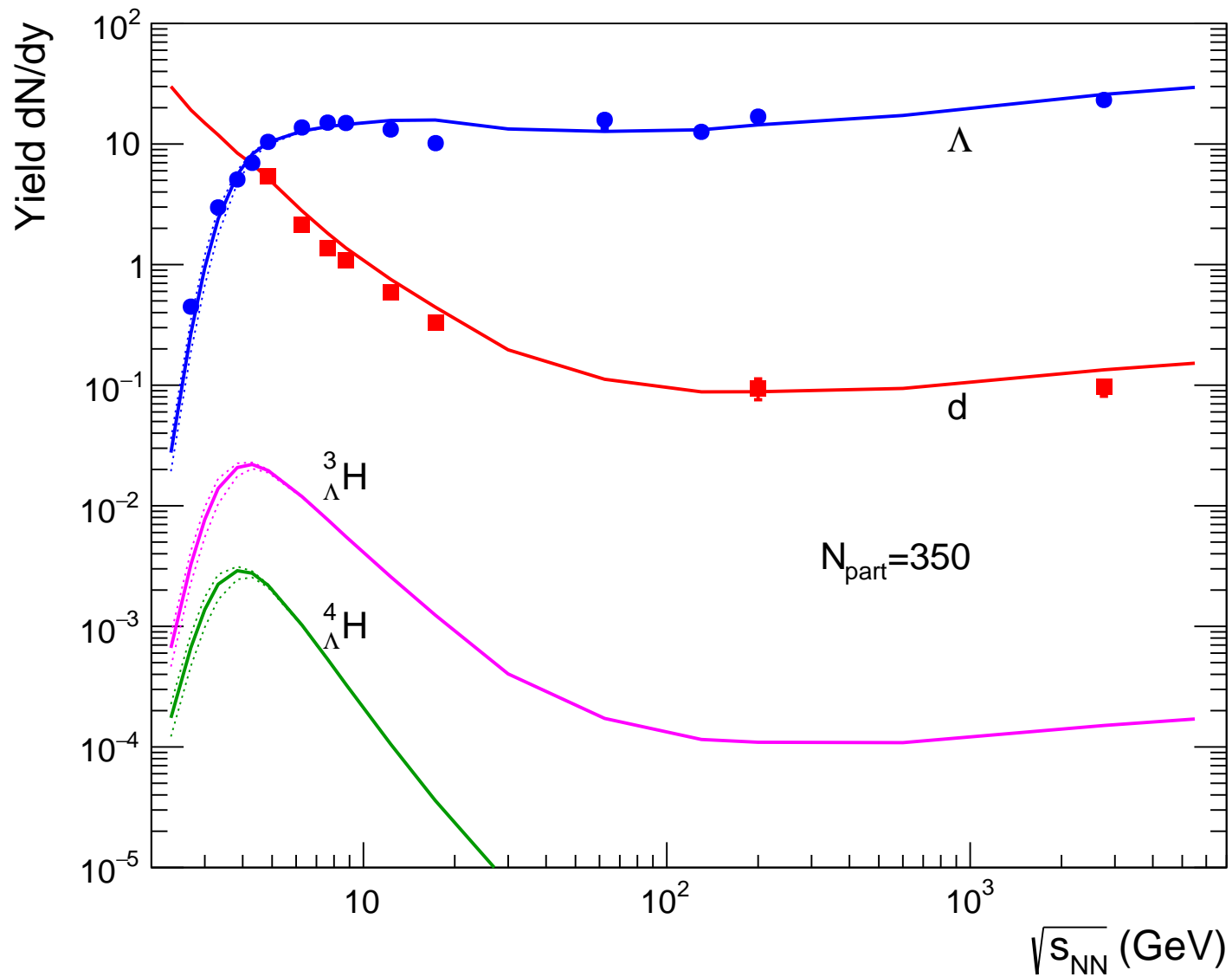
$$V_C[\text{fm}^3] = (1.1 \pm 0.3) \times \frac{dN_{ch}}{dy}$$

...with a tick ($\sim 10\%$) less steep decrease of T towards lower energies

Hypernuclei (2022, for STAR)

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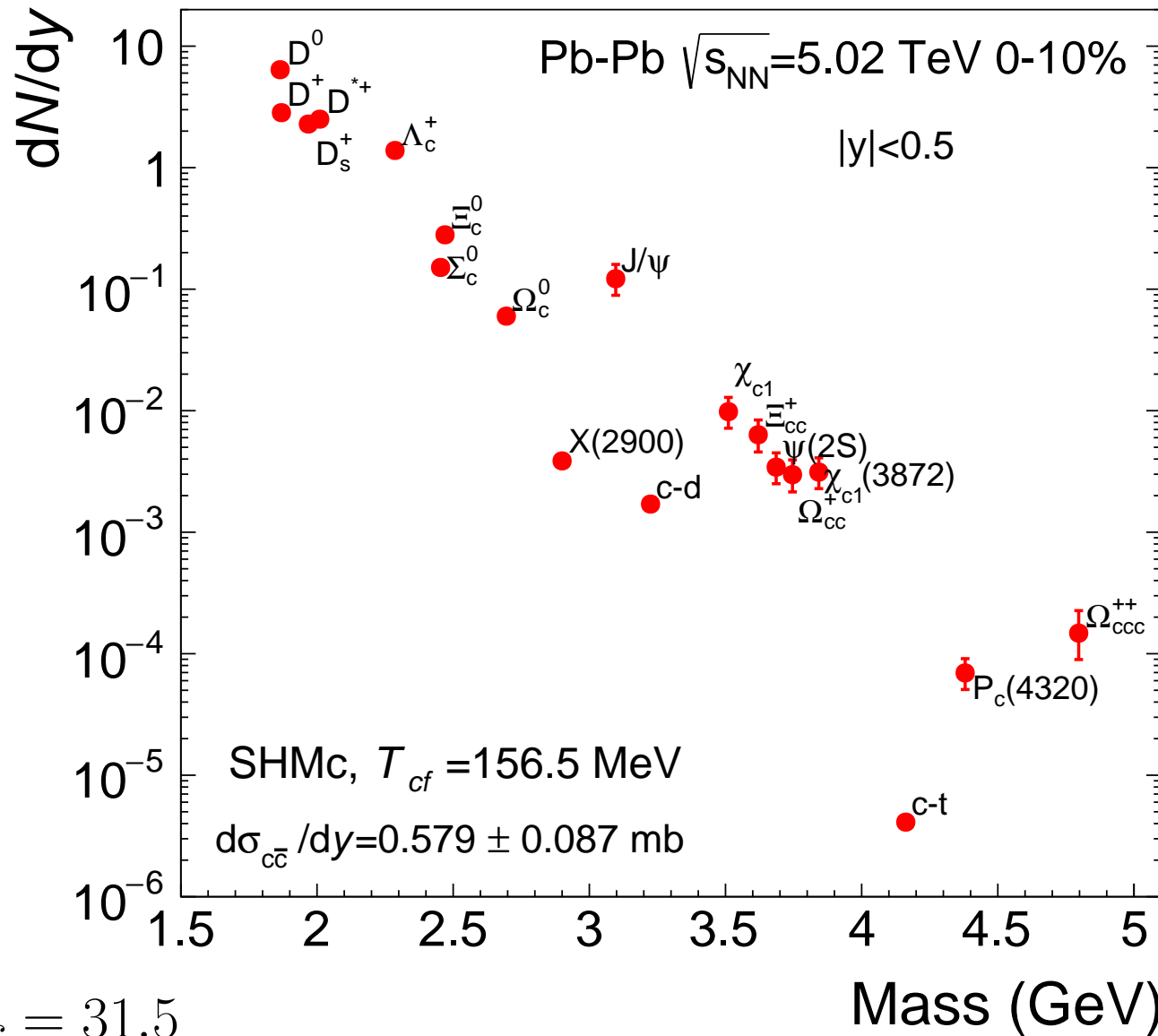


${}^4_{\Lambda}H$ with excited state ($\rightarrow 4x$ larger yield) ...(thanks, Benjamin:)

Summary (on the light-quark sector)

- abundance of hadrons with light quarks consistent with chemical equilibration
- there is a variety of approaches ... *a personal bias: the “minimal model”*
a minimal set of parameters, means a well-constrained model
- the thermal model provides a simple way to access the QCD phase boundary
...at high energies (at low energies canonical suppression needs more care)
...but is it more than a 1st order description (of loosely-bound objects)?
...and what fundamental point does it make about hadronization?
(statistical features dominate, but understanding still missing as a dynamical process)
- more insights from higher moments and from heavier (charm) quarks

SHMc: the full charm zoo



$$\frac{dN_{c\bar{c}}}{dy} = 13.8$$

$$\rightarrow g_c = 31.5$$

$$T_{cc}^+ \simeq 0.9 \cdot \chi_{c1}(3872)$$

$$X(6900) \sim 10^{-8}$$

The power of the model: predicting the full suite of charmed hadrons