



The symmetry energy from ground and excited state properties of atomic nuclei

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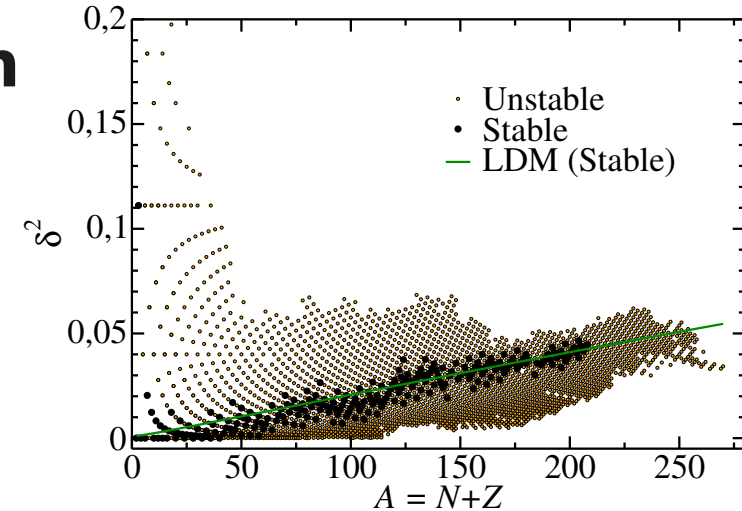
XIth International Symposium on Nuclear Symmetry Energy - NuSym23

GSI Darmstadt, Germany

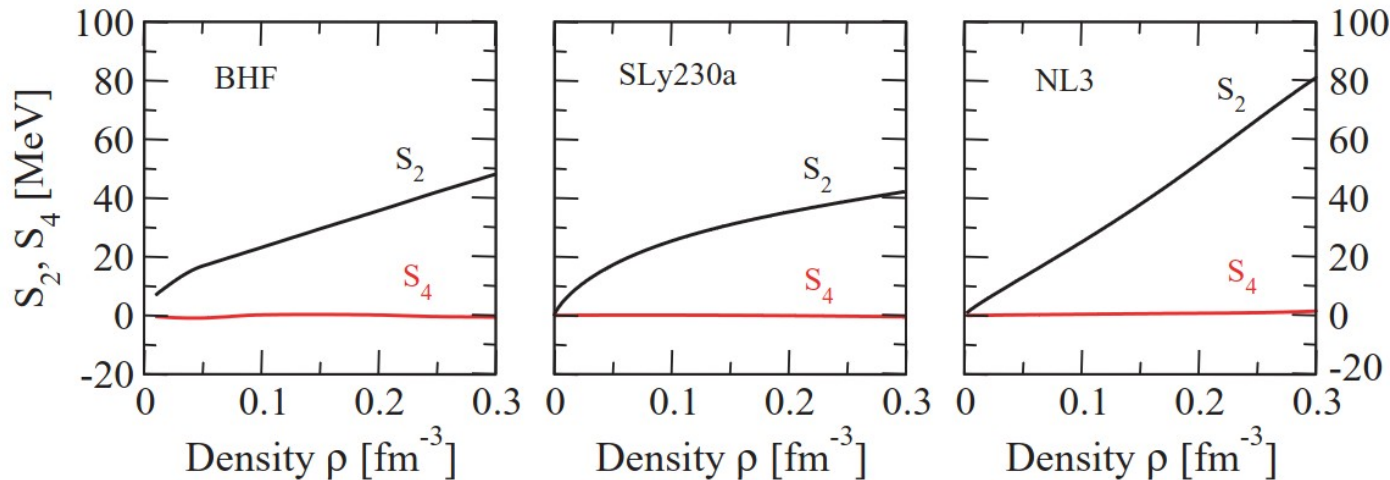
18-22 September 2023

Nuclear Equation of State (EoS)

Energy per nucleon (e) as a function of the total density $\rho = \rho_n + \rho_p$ and the relative difference $\delta = (\rho_n - \rho_p) / \rho$ for unpolarized uniform matter at $T=0$ assuming isospin symmetry (even powers of δ). For $\delta \rightarrow 0$:



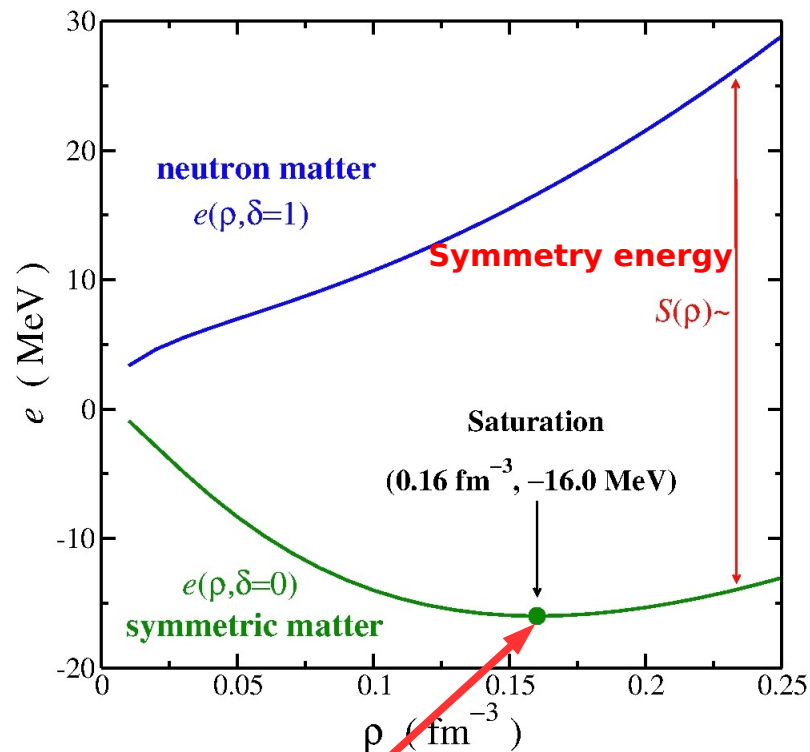
$$e(\rho, \delta) = e(\rho, 0) + S_2(\rho)\delta^2 + S_4(\rho)\delta^4 + \mathcal{O}[\delta^6]$$



Nuclear Equation of State (EoS)

Unpolarized, uniform nuclear matter at $T=0$ assuming isospin symmetry

$$e(\rho, \delta) = e(\rho, 0) + S_2(\rho)\delta^2$$



It is customary to also **expand** $e(\rho, 0)$ and $S(\rho)$ around nuclear **saturation density** $\rho_0 \sim 0.16 \text{ fm}^{-3}$

$$e(\rho, 0) = e(\rho_0, 0) + \frac{1}{2}K_0x^2 + \mathcal{O}[\rho^3] \text{ where } x = \frac{\rho - \rho_0}{3\rho_0}$$
$$S(\rho) = J + Lx + \frac{1}{2}K_{\text{sym}}x^2 + \mathcal{O}[\rho^3, \delta^4]$$

$K_0 \rightarrow$ how **compressible** is symmetric matter at ρ_0

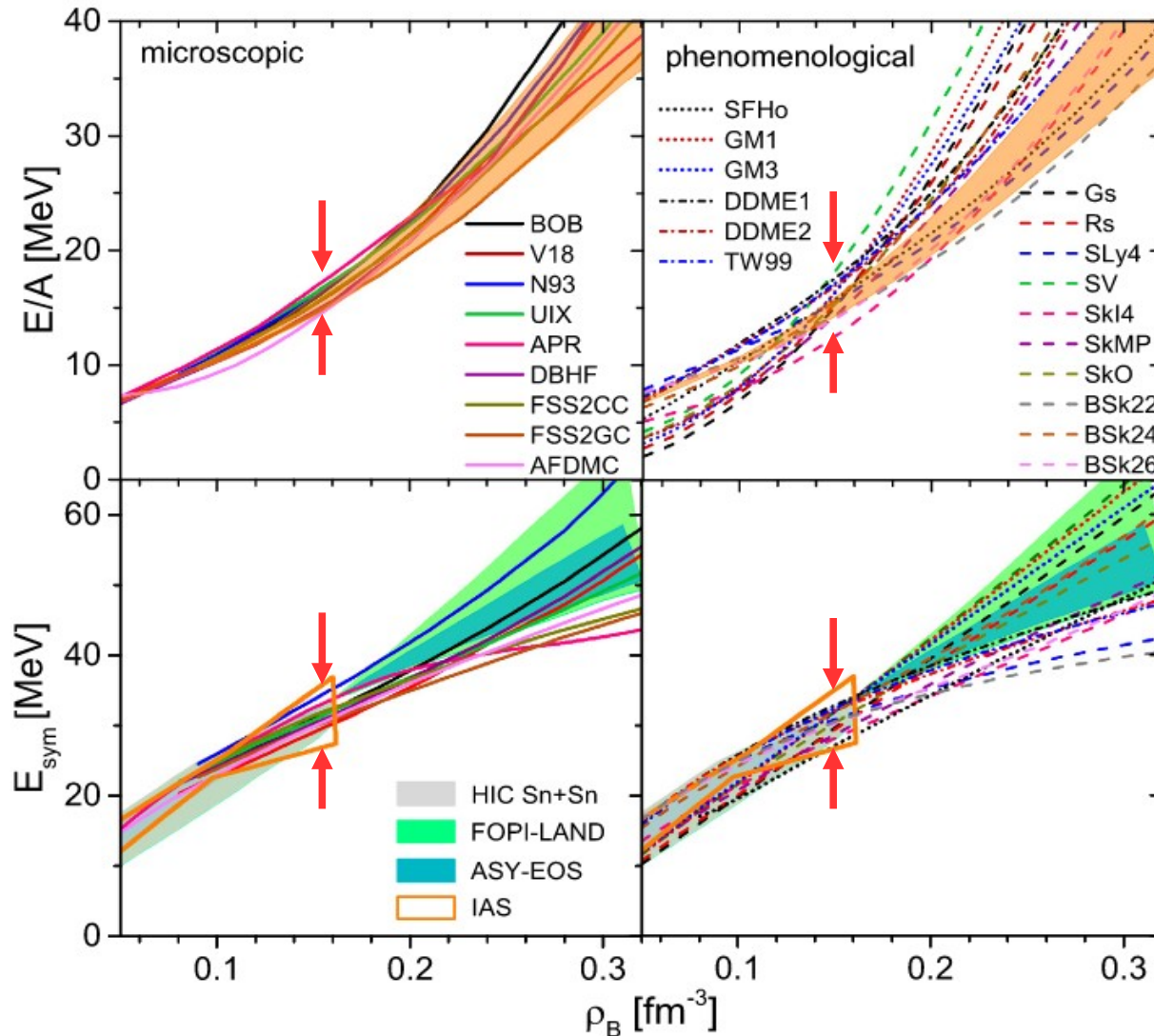
$J \rightarrow$ **penalty energy** for converting all **protons into neutrons** in symmetric matter at ρ_0

$L \rightarrow$ **neutron pressure** in neutron matter at ρ_0

$$P(\rho = \rho_0, \delta = 0) = 0 \text{ MeV fm}^{-3}$$

EoS from current nuclear models

Microroscopic and phenomenological models constrained by different data display similar discrepancies on the EoS



Many-body methods have been shown to agree



Main source of uncertainty in the nuclear Hamiltonian



How one can connect finite nuclear properties with the symmetry energy:

Example: Neutron skin thickness ($\Delta r_{np} = r_n - r_p$) is a good proxy to L

B. Alex Brown Phys. Rev. Lett. 85, 5296 (2000)

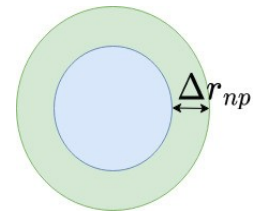
Δr_{np} in a **heavy** neutron rich **nucleus** is related to the **neutron pressure** ($\delta=1$) around ρ_0 (L).

→ **EoS:**

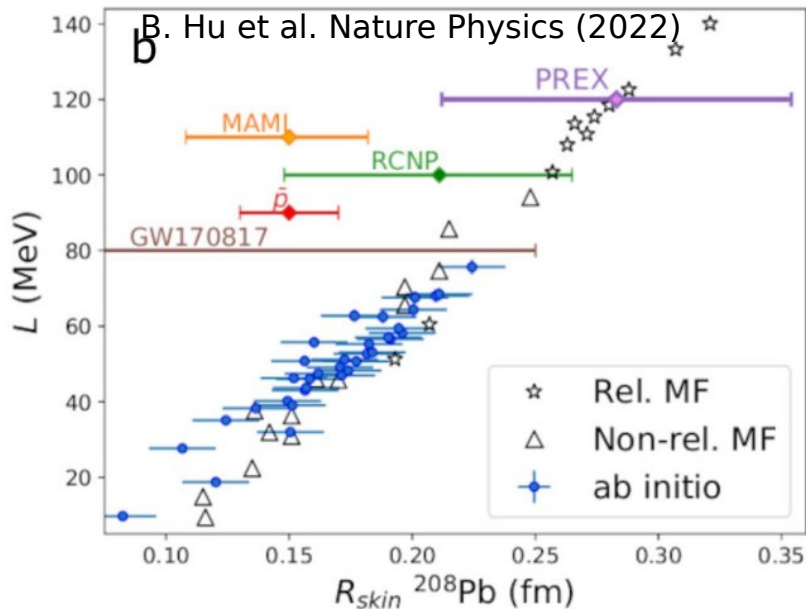
$$P(\rho_0, \delta) = \rho_0^2 \left. \frac{\partial e(\rho, \delta)}{\partial \rho} \right|_{\rho=\rho_0} = \frac{1}{3} \rho_0 \delta^2 L$$

→ **Macro Model:**

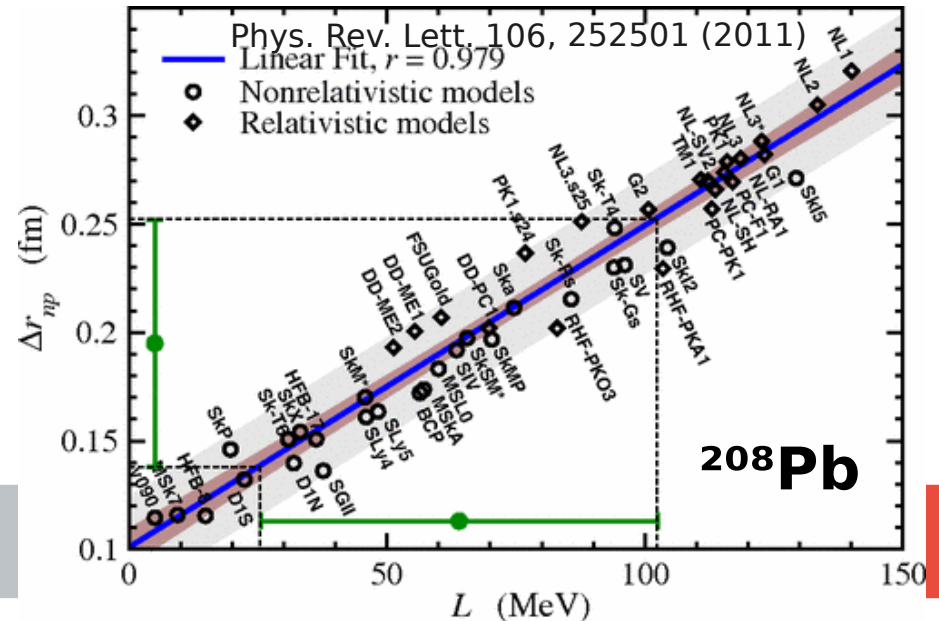
$$\Delta r_{np} \approx \frac{1}{12} \frac{N - Z}{A} \frac{R}{J} L$$



→ **Micro & Pheno models:**



→ **More Pheno models:**



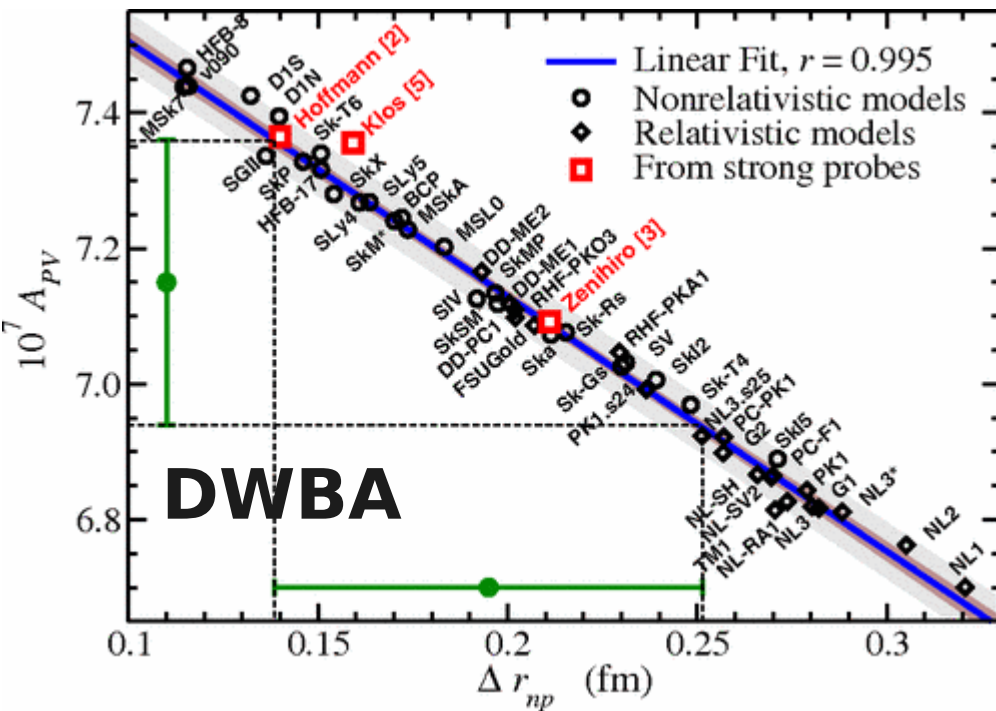
How to measure Δr_{np} ? (just two examples)

Parity violating and parity conserving elastic electron scattering

Polarized electron-Nucleus scattering:

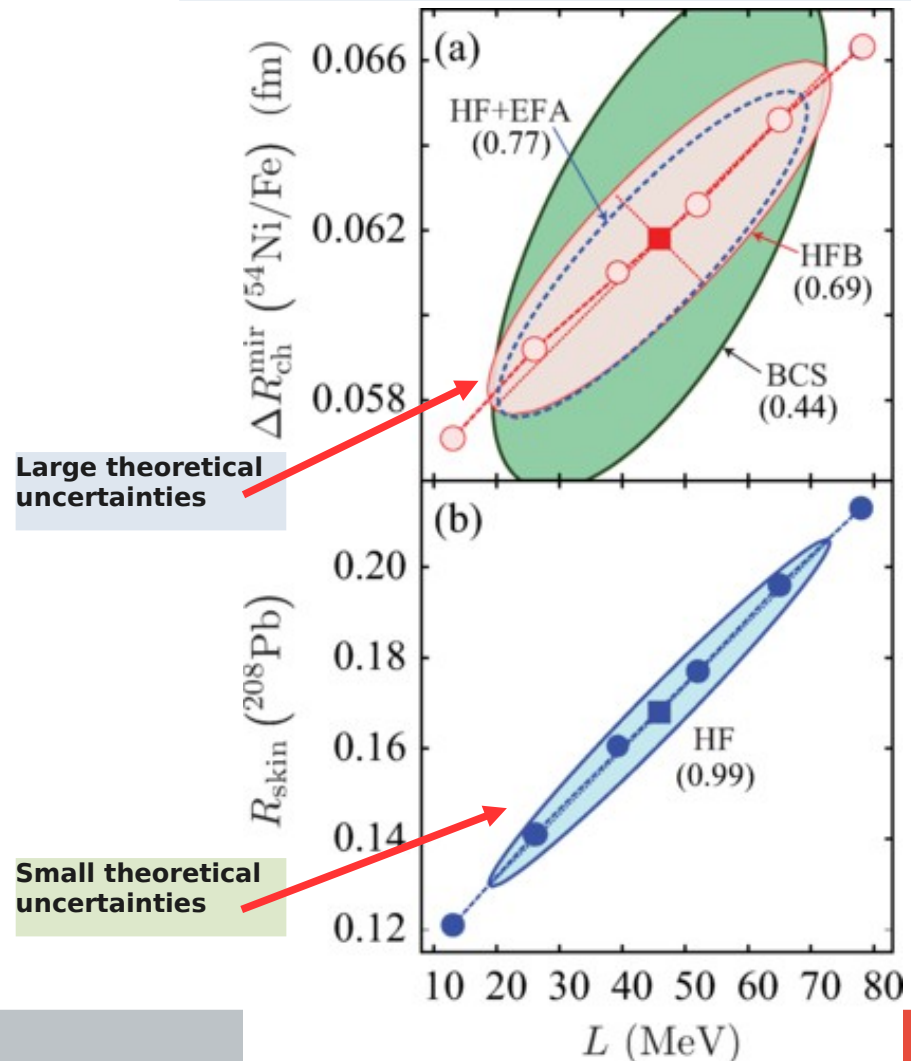
→ In good approximation, the weak interaction probes the neutron distribution in nuclei while Coulomb interaction probes the proton distribution

$$A_{pv} = \frac{d\sigma_+/d\Omega - d\sigma_-/d\Omega}{d\sigma_+/d\Omega + d\sigma_-/d\Omega} \sim \frac{\text{Weak}}{\text{Coulomb}}$$



Neutron Skin of ^{208}Pb , Nuclear Symmetry Energy, and the Parity Radius Experiment
 X. Roca-Maza, M. Centelles, X. Viñas, and M. Warda Phys. Rev. Lett. 106, 252501 (2011)

Isospin symmetry → $\Delta r_{ch} = r_{ch}(^{54}\text{Ni}) - r_{ch}(^{54}\text{Fe}) = \Delta r_{np}(^{54}\text{Fe})$



Paul-Gerhard Reinhard and Witold Nazarewicz
 Phys. Rev. C 105, L021301 – Published 3 February 2022

Other Observables?

Dipole polarizability, J and Δr_{np}

The electric dipole **polarizability** measures the **tendency** of the nuclear **charge distribution** to be **distorted** by an **external electric field**.

From a macroscopic point of view $\alpha \sim$ (**electric dipole moment**)/(**E_{external}**)

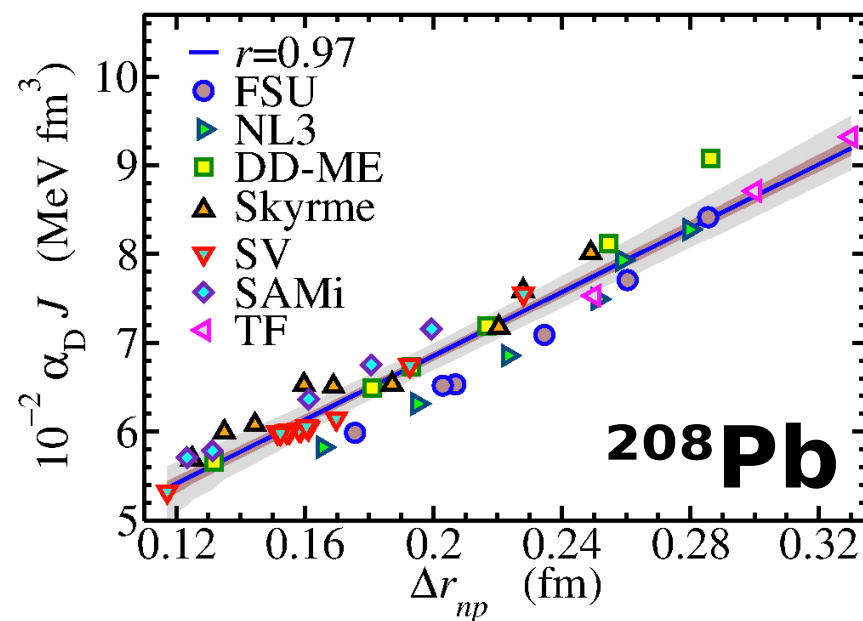
→ For guidance, using the **dielectric theorem**, the polarizability can be calculated assuming the **Droplet model**:

$$\alpha_D = \frac{8\pi e^2}{9} m_{-1}(E1)$$

Meyer et al. NPA385 (1982) 269-284

$$\alpha_D \approx \frac{\pi e^2 \langle r^2 \rangle}{54 J} A \left(1 + \frac{5 \Delta r_{np} - \Delta r_{np}^{\text{surf}} - \Delta r_{np}^{\text{Coul}}}{2 \langle r^2 \rangle^{1/2} (I - I_{\text{Coul}})} \right)$$

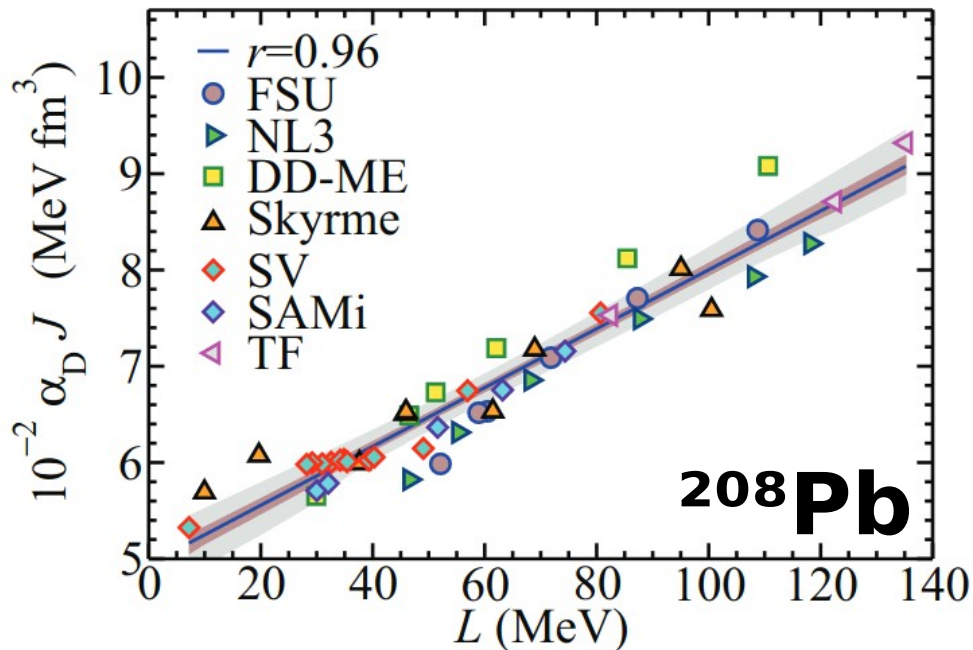
Polarizability increases with the mass (for the dipole $A^{5/3}$, for the quadrupole $A^{7/3}$ and so on ...) and it **sets a relation between the EoS parameters J and L**



Electric dipole polarizability in ^{208}Pb : Insights from the droplet model - X. Roca-Maza, M. Brenna, G. Colò, M. Centelles, X. Viñas, B. K. Agrawal, N. Paar, D. Vretenar, and J. Piekarewicz
Phys. Rev. C 88, 024316 (2013)

Dipole polarizability, J and L

Determination of the **J vs L relation** from experimental data according to EDFs



$$\begin{aligned}
 J &= 25.0(2) + 0.19(2)L && \text{for } ^{68}\text{Ni}, \\
 J &= 25.4(1.1) + 0.17(1)L && \text{for } ^{120}\text{Sn}, \\
 J &= 24.5(8) + 0.17(1)L && \text{for } ^{208}\text{Pb}.
 \end{aligned}$$

Assuming Taylor expansion around ρ_0 :

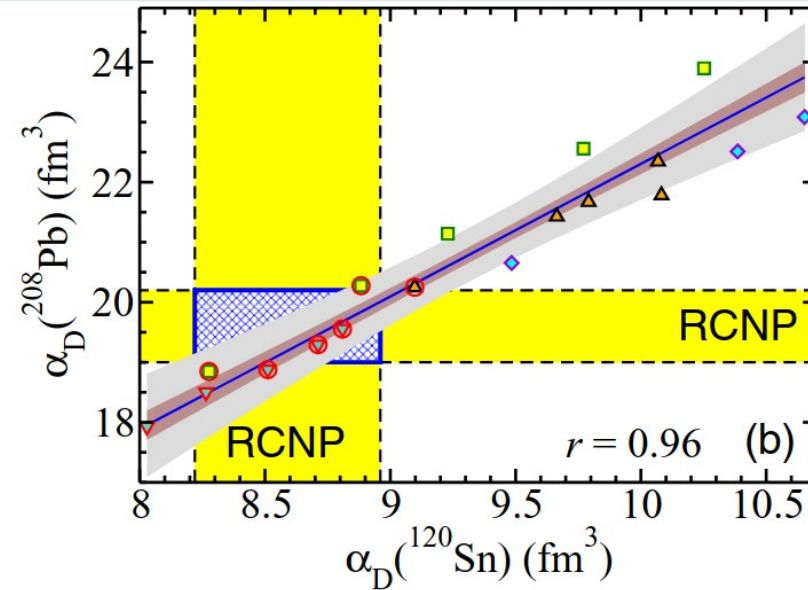
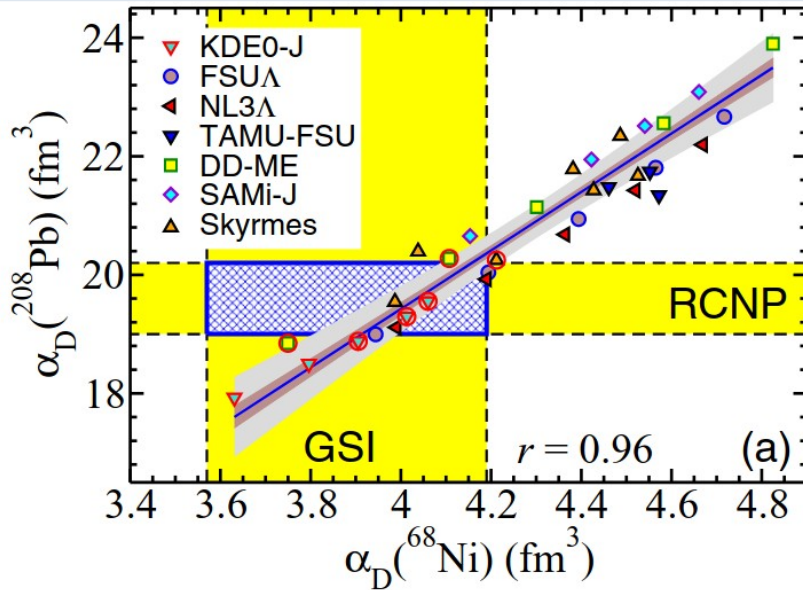
$$S(\langle\rho\rangle) \approx J - L \frac{\rho_0 - \langle\rho\rangle}{3\rho_0} \rightarrow J \approx S(\langle\rho\rangle) + \frac{\rho_0 - \langle\rho\rangle}{3\rho_0} L$$

one can qualitatively understand the result!!

$$S(\langle\rho\rangle \approx 0.08 \text{ fm}^{-3}) \approx 25 \text{ MeV}$$

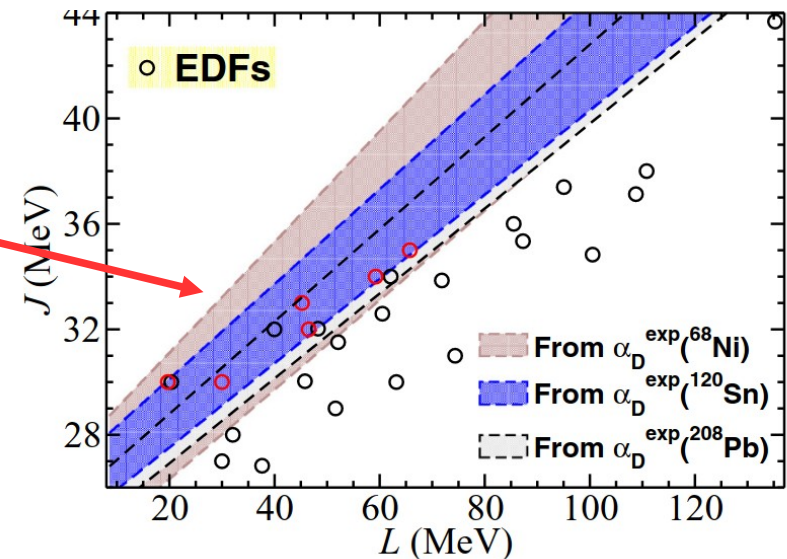
α_D : linear correlation versus EDFs that reproduce the data

Selection of EDFs (red circles) compatible with experimental data

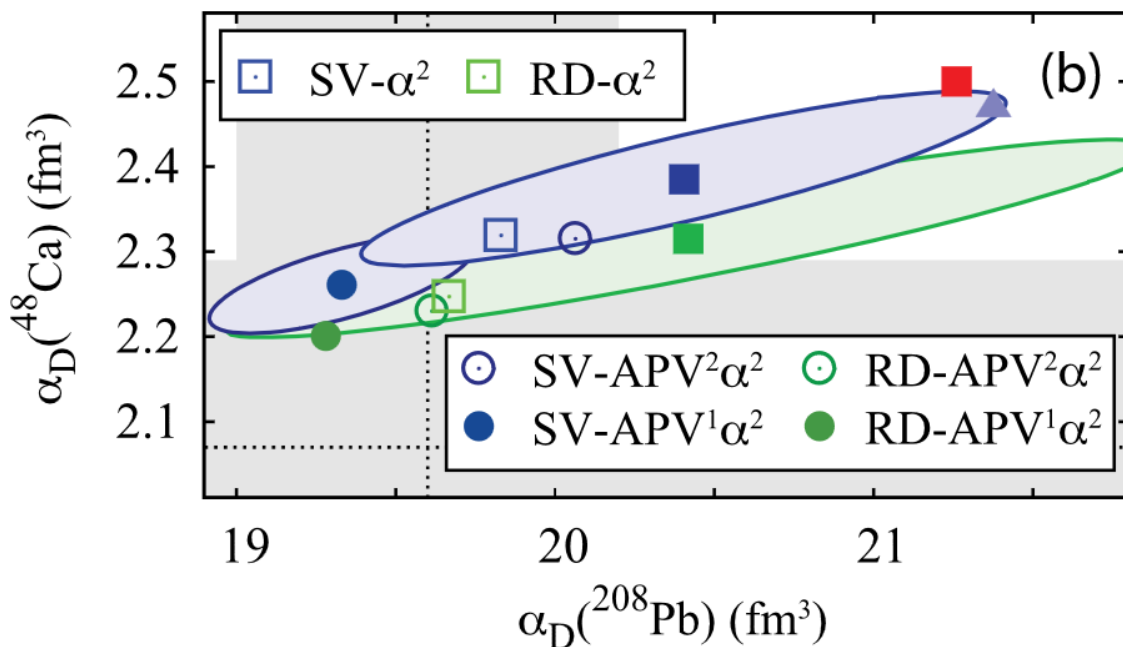


Warning: Some EDFs not compatible with experiment (3 black circles) are within bands, but most of them outside!

This fact points to a reliable analysis but with some uncertainties not fully under control.



How models perform for A_{PV} (sensitive to Δr_{np}) and α_D (sensitive to J and Δr_{np}) in ^{48}Ca and ^{208}Pb ?



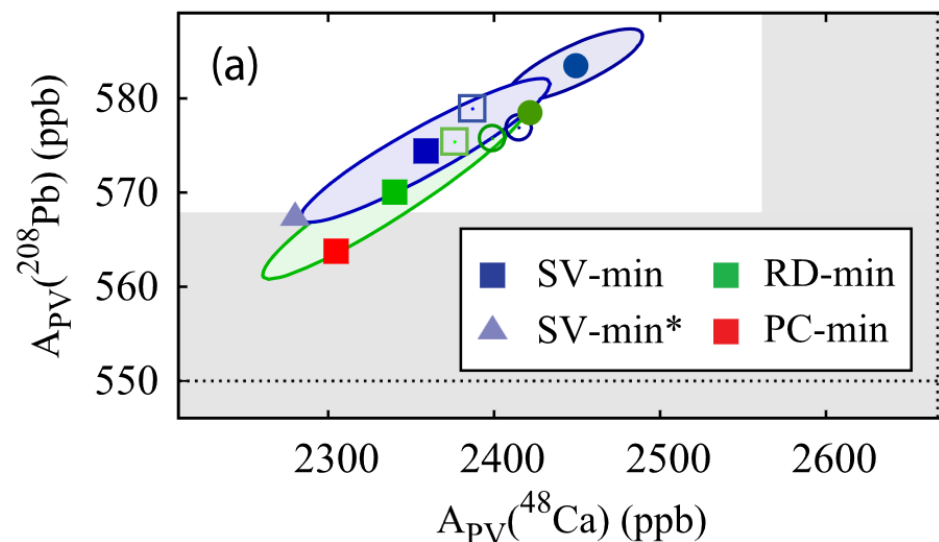
Simultaneous description of dipole polarizabilities \rightarrow point to a **good understanding** of symmetry energy and neutron skins

Ab-initio (B. Hu) Nature Physics (2022)

$$\alpha_D(^{48}\text{Ca}) \quad 2.30^{+0.31}_{-0.26}$$

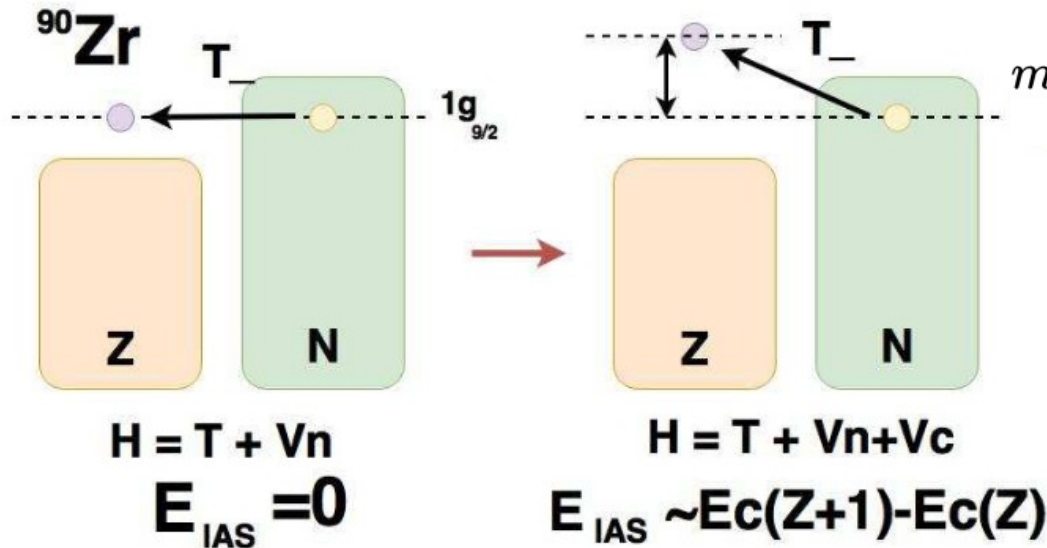
$$\alpha_D(^{208}\text{Pb}) \quad 22.6^{+2.1}_{-1.8}$$

No simultaneous description of parity violating asymmetries (ground state observable) \rightarrow point to a **deficient understanding** of neutron skins (actually of A_{PV})



Fermi or Isobaric Analog Resonance

$$F = T_{\pm} = \sum_i^A t_{\pm}(i)$$



→ energy weighted sum rule:

$$m_1 = \sum_{\nu} (E_{\nu} - E_0) |\langle \nu | F | 0 \rangle|^2 = \langle 0 | T_+ [\mathcal{H}, T_-] | 0 \rangle$$

[H, T₋] different from zero only if H contains terms that breaks isospin invariance

→ **Coulomb** leading ISB:

$$E_{IAS} = \frac{\langle 0 | T_+ [\mathcal{H}, T_-] | 0 \rangle}{\langle 0 | T_+ T_- | 0 \rangle}$$

$$\approx \frac{6}{5} \frac{Ze^2}{r_0 A^{1/3}} \left(1 - \sqrt{\frac{5}{12}} \frac{N}{N-Z} \frac{\Delta R_{np}}{r_0 A^{1/3}} \right)$$

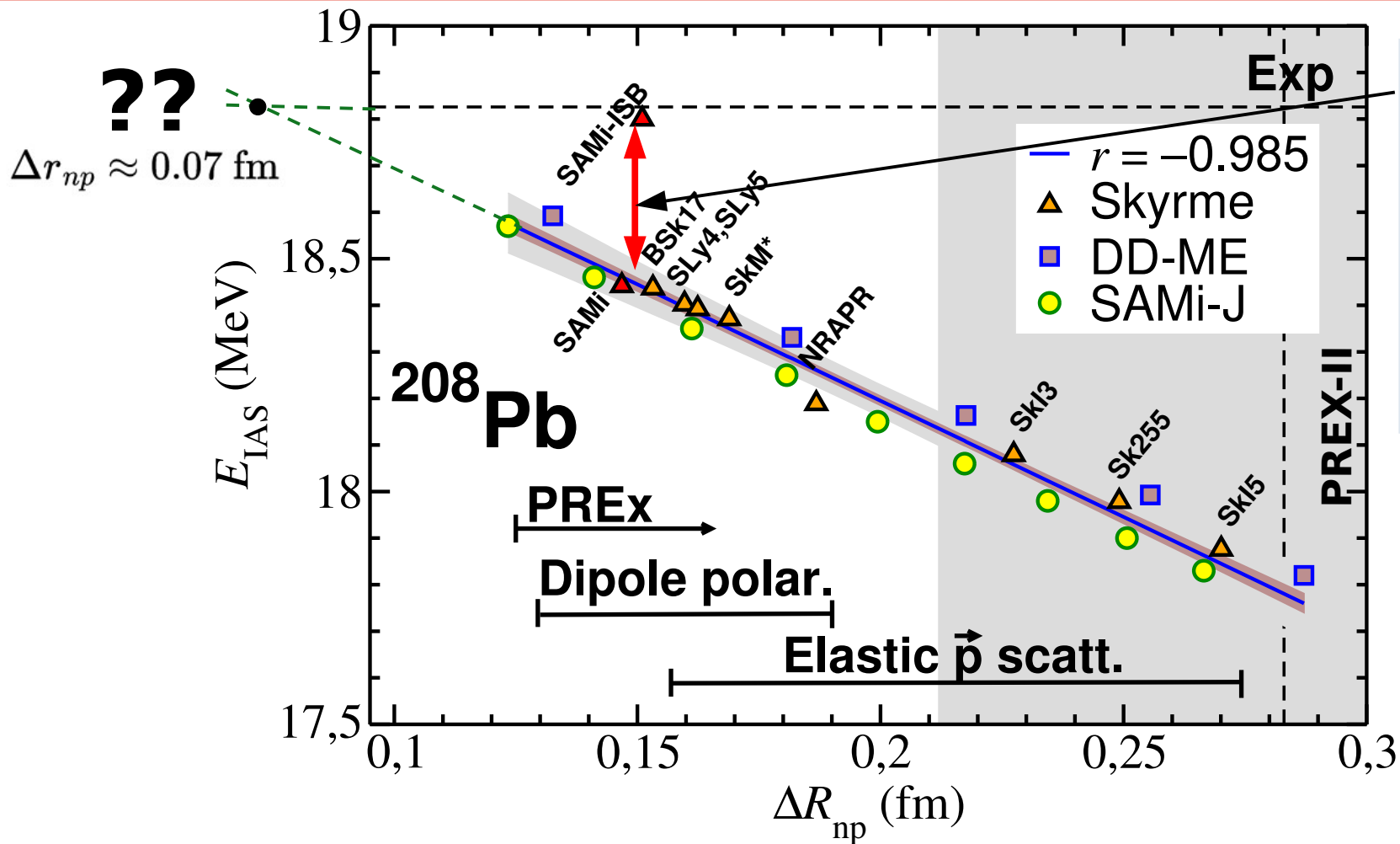
→ non-energy weighted sum rule:

$$\begin{aligned}
 m_0^- - m_0^+ &= \langle 0 | T_+ T_- | 0 \rangle - \langle 0 | T_- T_+ | 0 \rangle \\
 &= \langle 0 | [T_+, T_-] | 0 \rangle = \langle 0 | 2T_z | 0 \rangle \\
 &= N - Z
 \end{aligned}$$

Note: If not isospin-mixing it would be zero!!

Isobaric Analog State, ISB and Δr_{np}

$$F = T_{\pm} = \sum_i^A t_{\pm}(i)$$



Isospin symmetry breaking (ISB) missing effects:

- 1) **Nuclear strong int.**
- 2) **Coulomb corrections**

The larger the Δr_{np} , the larger the ISB contributions to IAS in ^{208}Pb

QCD-Based Charge Symmetry Breaking Interaction

arXiv:2305.17481 [pdf, other] [nucl-th](#) [hep-lat](#) [hep-ph](#) [nucl-ex](#)

QCD-Based Charge Symmetry Breaking Interaction and Okamoto-Nolen-Schiffer Anomaly

Authors: Hiroyuki Sagawa, Tomoya Naito, Xavier Roca-Maza, Tetsuo Hatsuda

Class	Method or Name	\tilde{s}_0 (MeV fm ³)	\tilde{s}_1 (MeV fm ⁵)	\tilde{s}_2 (MeV fm ⁵)
Pheno	SAMi-ISB	-52.6 ± 1.4	—	—
Pheno	SLy4-ISB (leading order)	-22.4 ± 4.4	—	—
Pheno	SkM*-ISB (leading order)	-22.4 ± 5.6	—	—
Pheno	SV _T -ISB (leading order)	-29.6 ± 7.6	—	—
Pheno	SV _T -ISB (next-leading order)	$+44 \pm 8$	-56 ± 16	-31.2 ± 3.2
Pheno	Estimation by isovector density	-17.6 ± 32.0	—	—
Theor	ΔE_{tot} (N ² LO _{GO} (394) & CC)	-4.2 ± 6.5	—	—
Theor	ΔE_{tot} (N ² LO _{GO} (450) & CC)	-5.1 ± 28.5	—	—
Theor	ΔE (AV18-UX & GFMC)	-6.413 ± 0.173	—	—
Theor	QCD sum rule (Case I)	$-15.5^{+8.8}_{-12.5}$	$+0.52^{+0.42}_{-0.29}$	—
Theor	QCD sum rule (Case II)	$-15.5^{+8.8}_{-12.5}$	—	$+0.18^{+0.14}_{-0.10}$

Estimated from IAS or mirror mass differences

Estimated from Novario, et al. PRL130, 032501 (2023) & Wiringa private comm.

■ Extra
□ CSBI (
▨ Expt.

Universal form in-medium χ condensate allow

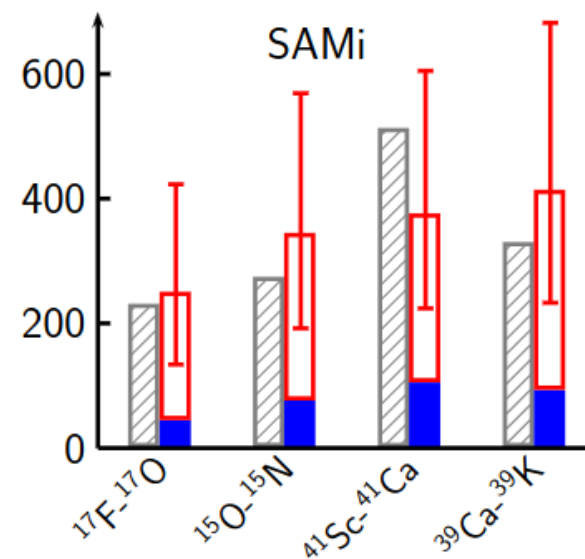
$$\delta_{\text{chiral}} \equiv \Delta_{np}(0) - \Delta_{np}(\rho) \propto k_1 \frac{\rho}{\rho_0} + k_2 \left(\frac{\rho}{\rho_0} \right)^{5/3}$$

$$k_1 = -\frac{\sigma_{\pi N} \rho_0}{f_{\pi}^2 m_{\pi}^2} < 0, \quad k_2 = -k_1 \frac{3k_{F0}^2}{10m_N^2}$$

$$\sigma_{\pi N} = \frac{1}{2M_N} \langle N | \hat{m}(\bar{u}u + \bar{d}d) | N \rangle$$

Largest uncertainty on the light quark scalar current

$\Delta E - \Delta E_C$ (keV)



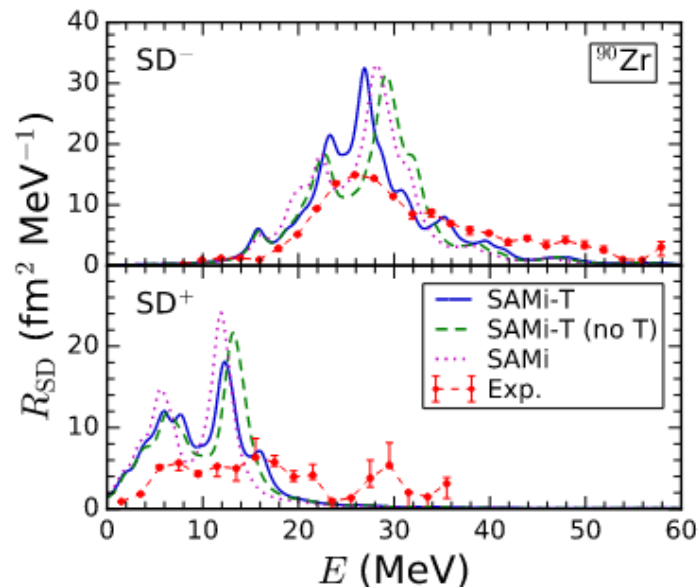
→ Theoretical results on ISB + Exp. in IAS ²⁰⁸Pb would imply a very small Δr_{np}

Spin Dipole Resonance and Δr_{np}

Difficult to measure and analyze?

$$\sum_{i=1}^A \sum_M \tau_{\pm}(i) r_i^L [Y_L(\hat{r}_i) \otimes \sigma(i)]_{JM}$$

$$m_0(t_-) - m_0(t_+) = \frac{9}{4\pi} (N \langle r_n^2 \rangle - Z \langle r_p^2 \rangle)$$

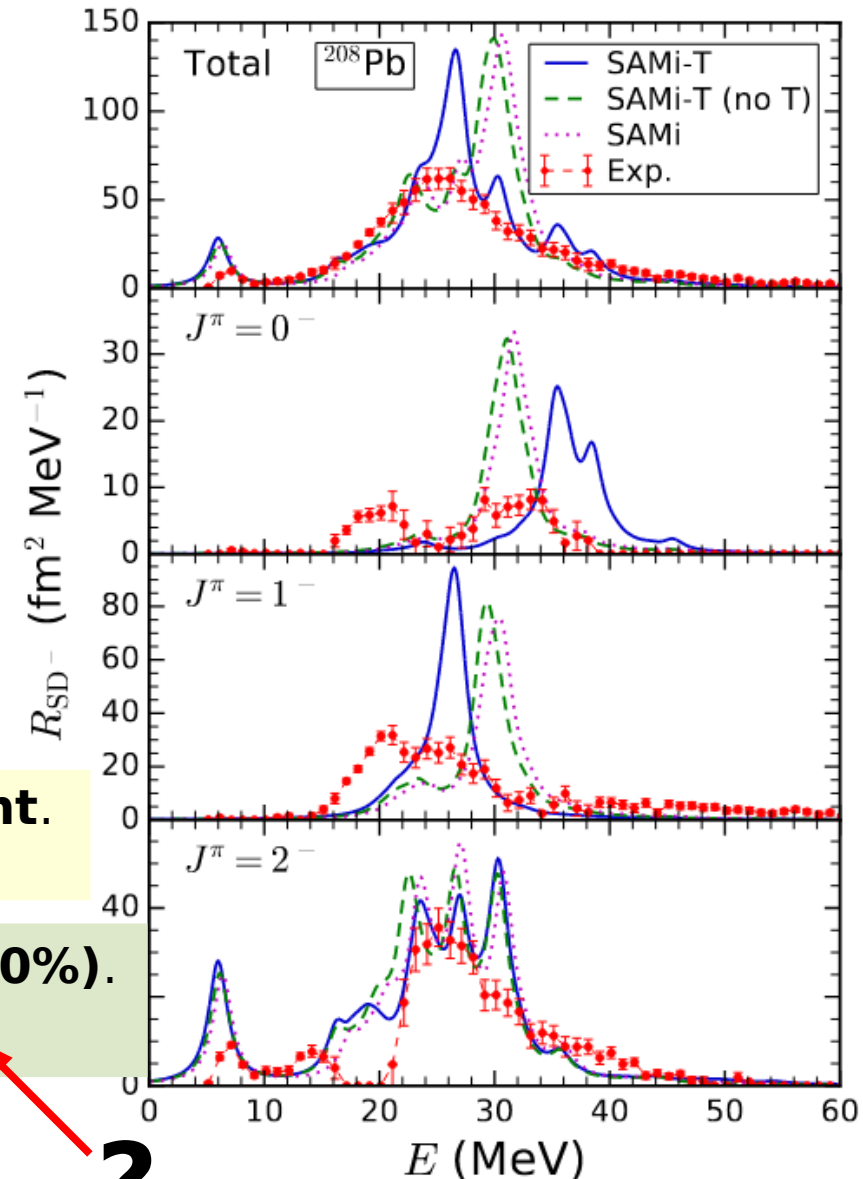


→ ^{90}Zr exp and theo sum rules in agreement.

From exp. sum rule: $\Delta r_{np} = 0.07 \pm 0.04 \text{ fm}$

→ ^{208}Pb exp sum rule < theo sum rule (~20%).

From exp. sum rule: $\Delta r_{np} = 0.07 \pm 0.03 \text{ fm}$



Conclusions



Different ways to investigate properties of the **symmetry energy** ($\rho < \rho_0$) using **different observables** provide **different answers**.

Theory:

- An effort to understand the **parity violating asymmetry** and the **beam normal spin asymmetry** in ^{208}Pb is needed.
- An effort to better understand the **systematics** on the **dipole polarizability** is needed (e.g. along the Sn isotopic chain).
- An effort to better understand **Isospin Symmetry Breaking** in nuclei in connection to the experimental knowledge of the **IAS** could provide robust insights into the symmetry energy
- Study of **charge-exchange resonances** that naturally isolate differences between protons and neutrons could be useful to propose new experiments sensitive to the symmetry energy.

Experiment:

- An effort to improve the accuracy in the **parity violating asymmetry** in ^{208}Pb (and/or measure other Q values) and confirm the measured values for the beam normal spin asymmetry is needed.
- An effort to measure the **dipole polarizability** in neutron-rich Sn isotopes ($N > 74$) will help understanding structure effects as well as provide information on the symmetry energy.
- Measure **charge-exchange resonances** like Spin-Dipole Resonance? (any medium/heavy nucleus)

Collaborators

- Gianluca **Colò** (University of Milan)
- Hiroyuki **Sagawa** (University of Aizu & RIKEN)
- Tomoya **Naito** (University of Tokyo & RIKEN)
- Shihang **Shen** (Forschungszentrum Jülich)
- Xavier **Vinyes** & Mario **Centelles** (University of Barcelona)
- Jorge **Piekarewicz** (Florida State University)
- Nils **Paar** & Dario **Vretenar** (University of Zagreb)
- Bijay K. **Agrawal** (Saha Institute of Nuclear Physics)
- P.-G. **Reinhard** (University of Erlangen-Nürnberg)
- Witold **Nazarewicz** (FRIB and Michigan State University)