

HARMONIC EFT: TRAPPED ATOMS AND LIBERATED NUCLEONS

U. van Kolck

Université Paris-Sud and University of Arizona

with

B. Barrett (*Arizona*)

M. Birse (*Manchester*)

J. Rotureau (*Arizona*)

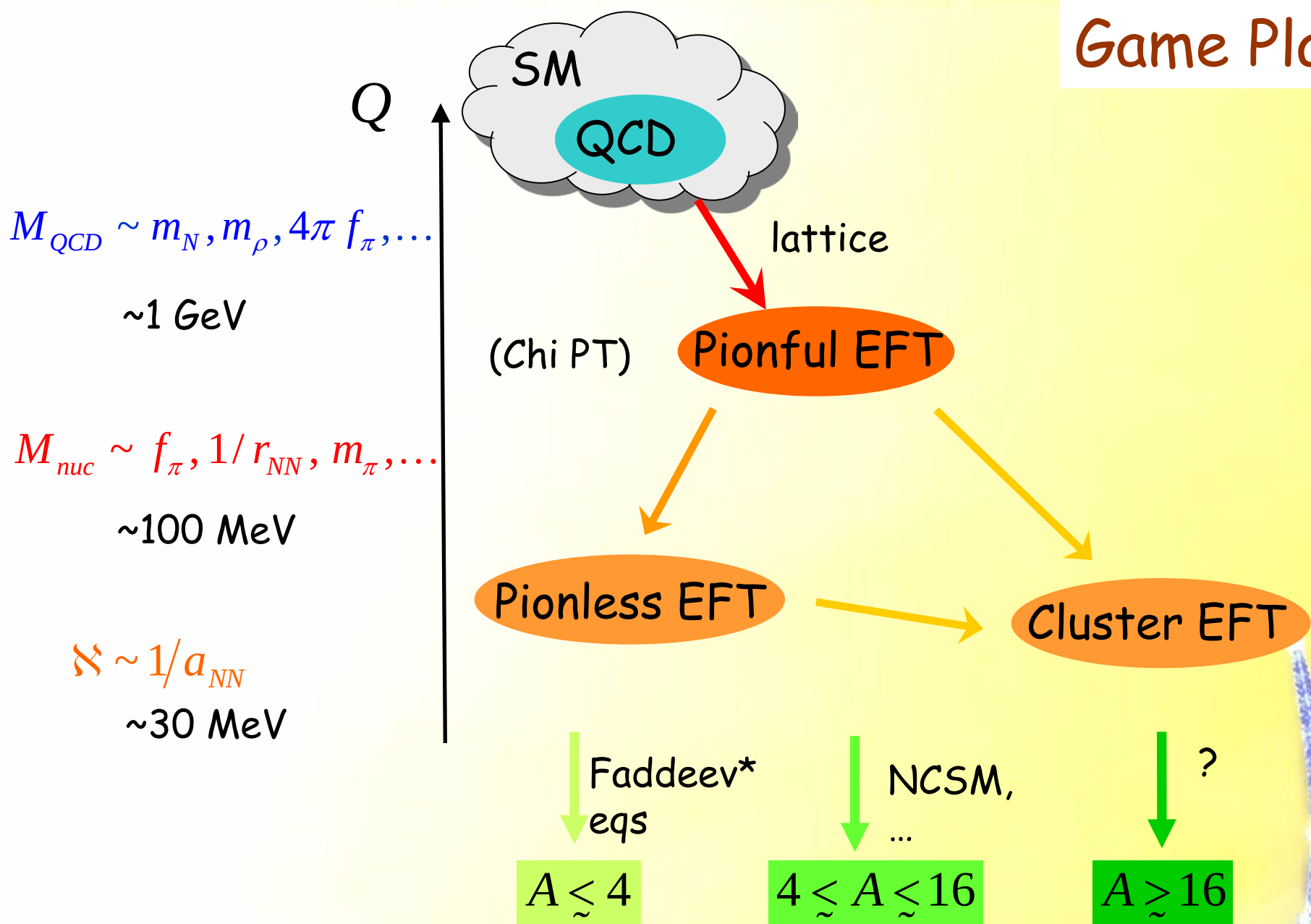
I. Stetcu (*Washington*)

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Outline

- ❑ Why
- ❑ (Pionless) Effective Field Theory
- ❑ Life in the Harmonic Box
- ❑ Trapped Fermions
- ❑ Liberated Nucleons
- ❑ Conclusion & Outlook

Game Plan



Facts of Life

- there is *always** an underlying theory
all interactions among low-energy d.o.f.s allowed by symmetries
- there is *always** a “model space”
renormalization-group invariance to tame arbitrary UV cutoff

$$Q \sim m \ll M \left\{ \begin{array}{l} T = T^{(\infty)}(Q) \sim \underbrace{N(M)}_{\text{normalization}} \sum_{\nu=\nu_{\min}}^{\infty} \sum_i \underbrace{\tilde{c}_{\nu,i}(\Lambda)}_{\text{parameters}} \left[\frac{Q}{M} \right]^{\nu} \underbrace{F_{\nu,i}\left(\frac{Q}{m}; \frac{\Lambda}{m}\right)}_{\text{non-analytic, from loops}} \\ \frac{\partial T}{\partial \Lambda} = 0 \end{array} \right.$$

“power counting”

truncate ... $T = T^{(\bar{\nu})} \left\{ 1 + \mathcal{O}\left(\frac{Q}{M}, \frac{Q}{\Lambda}\right) \right\} \Rightarrow \text{want... } \Lambda \gtrsim M$

there are *always** such errors

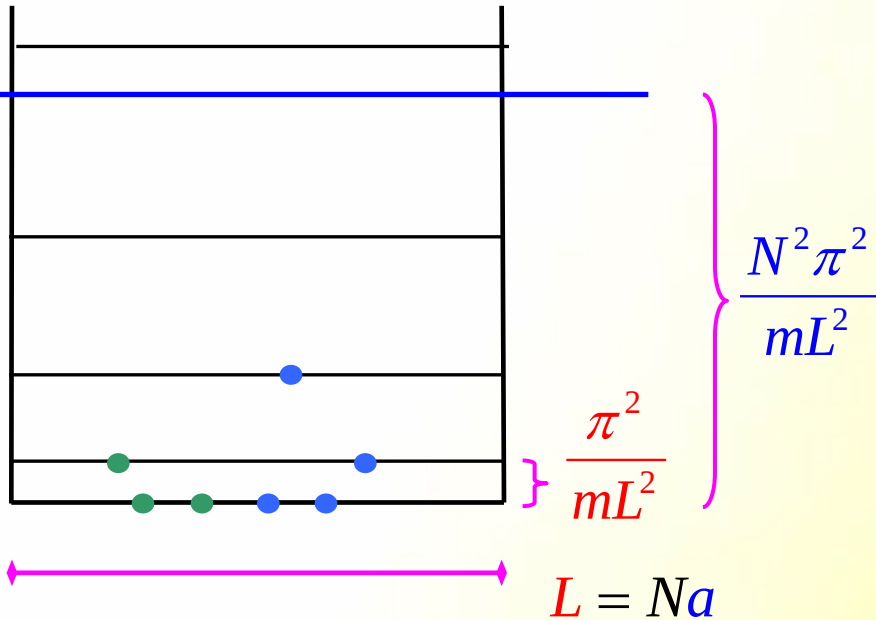
* except, *maybe*, at the Planck scale

$$A \gtrsim 4$$

As A grows, given computational power limits
number of accessible one-nucleon states

⇒ IR cutoff in addition to UV cutoff

Lattice Box



nuclear matter
few nucleons

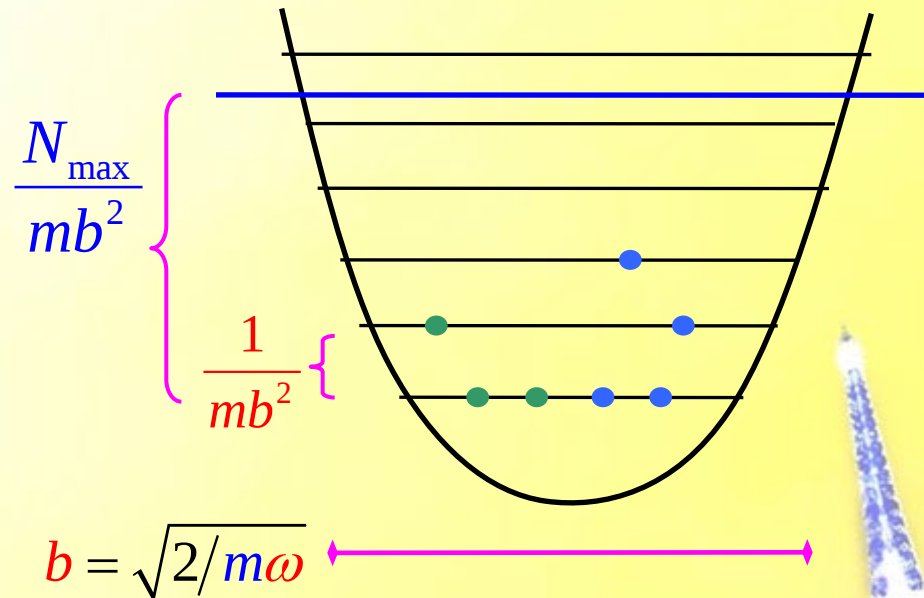
Mueller *et al* '99

Lee *et al* '05

...

4/19/2012

Harmonic-Oscillator Box
"No-Core Shell Model"



finite nuclei
few atoms

Stetcu *et al* '06

...

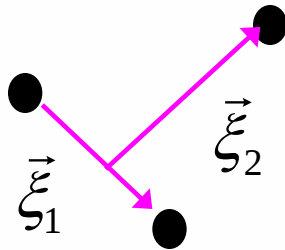
Stetcu *et al* '07

...

single particle

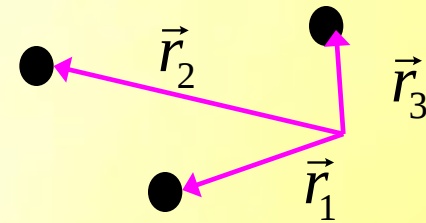
$$\phi_{nl(s)j}(\vec{r}) = N_{nl} b^{-3/2} \left(\frac{r}{b} \right)^l \exp(-r^2/2b^2) \underbrace{L_n^{(l+1/2)}(r^2/b^2)}_{\text{generalized Laguerre polynomial}} [Y_l(\hat{r}) \otimes \chi_s]_j$$

$A \leq 4$: internal (Jacobi) coordinates



HO Basis

$A \geq 3$: Slater-determinant



$$\phi_{\{n\}}(\vec{\xi}_1, \vec{\xi}_2) = \mathcal{A} \left[\phi_{nlj}(\vec{\xi}_1) \phi_{n'l'j'}(\vec{\xi}_2) \right]_{Jl}$$

code `a la

Navratil, Kamuntavicius + Barrett '00

reduced dimensions, but
difficult antisymmetrization

$$\phi_{\{n\}}(\vec{r}_1, \vec{r}_2, \vec{r}_3) = \begin{vmatrix} \phi_{n_1 l_1 j_1}(\vec{r}_1) & \phi_{n_2 l_2 j_2}(\vec{r}_1) & \phi_{n_3 l_3 j_3}(\vec{r}_1) \\ \phi_{n_1 l_1 j_1}(\vec{r}_2) & \phi_{n_2 l_2 j_2}(\vec{r}_2) & \phi_{n_3 l_3 j_3}(\vec{r}_2) \\ \phi_{n_1 l_1 j_1}(\vec{r}_3) & \phi_{n_2 l_2 j_2}(\vec{r}_3) & \phi_{n_3 l_3 j_3}(\vec{r}_3) \end{vmatrix}$$

code: REDSTICK Ormand '05

maximum number of excitations

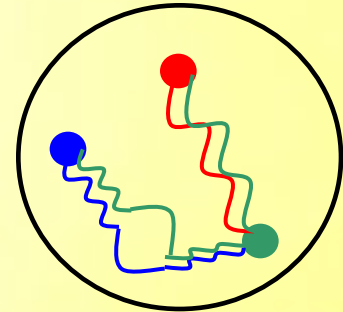
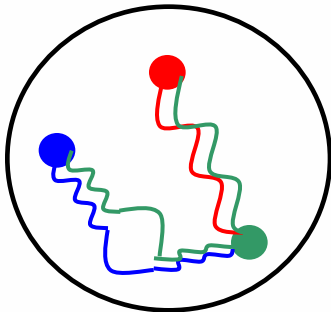
$$\psi_A(\vec{r}) = \sum_{\{n\}}^{N_{\max}} A_{\{n\}} \phi_{\{n\}}(\vec{r})$$

Any EFT will do; for definiteness, pionless.

$$r_{NN} \sim 1 \text{ fm}$$



deuteron



$$a_{NN} \sim 1/\alpha \cong 4.5 \text{ fm}$$



QCD: $SU(3)$ gauge theory of quarks

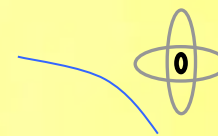
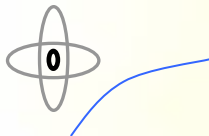
cf.

QED: $U(1)$ gauge theory of electrons and nuclei

$$r_{\text{He4He4}} \sim 5 \text{ \AA}$$

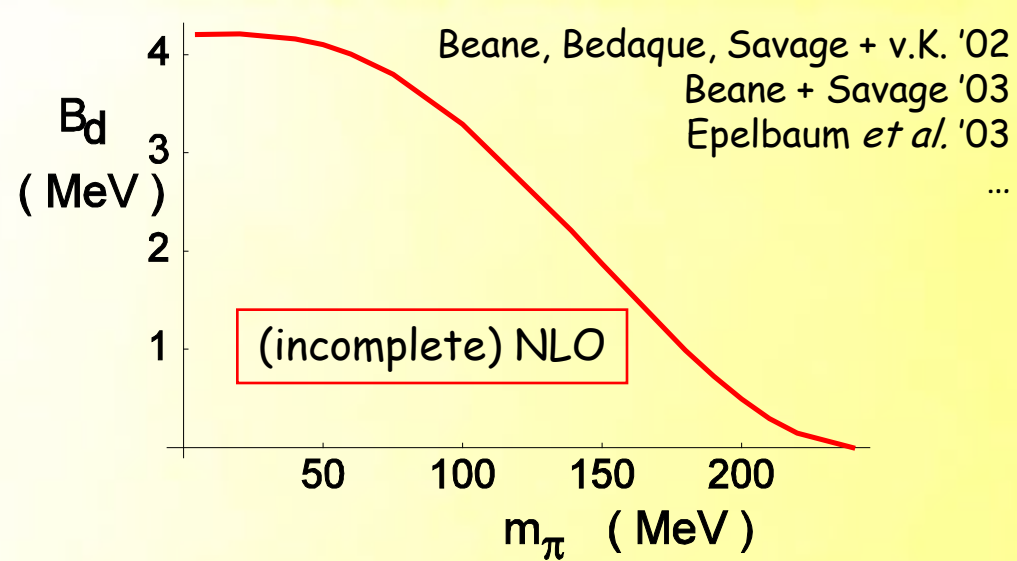
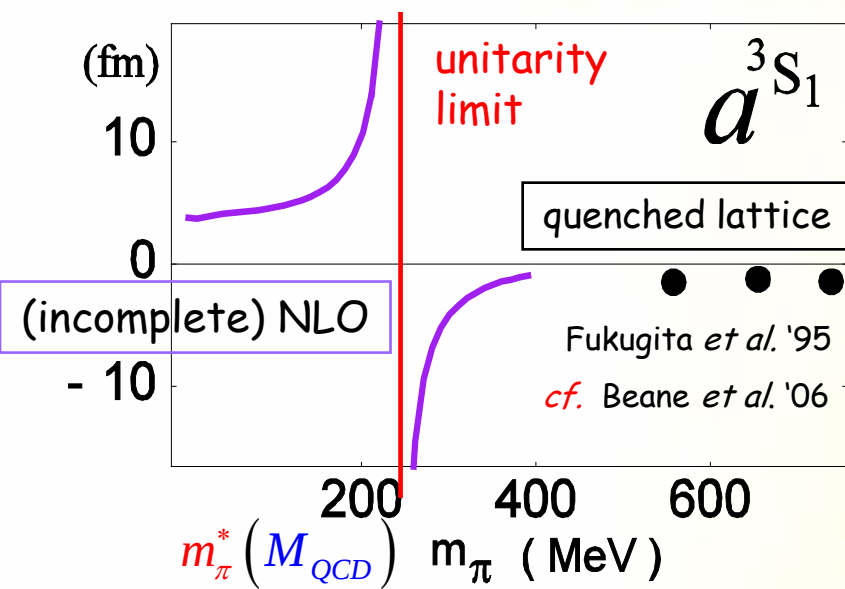


He4 dimer



$$a_{\text{He4He4}} \sim 1/\alpha \cong 125 \text{ \AA}$$

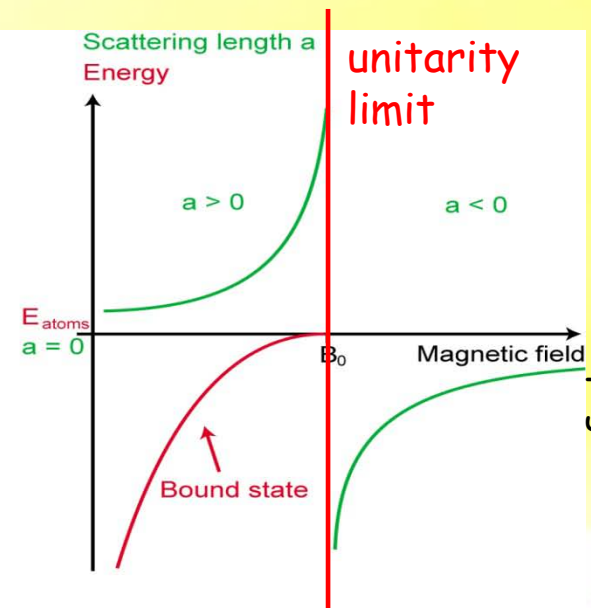
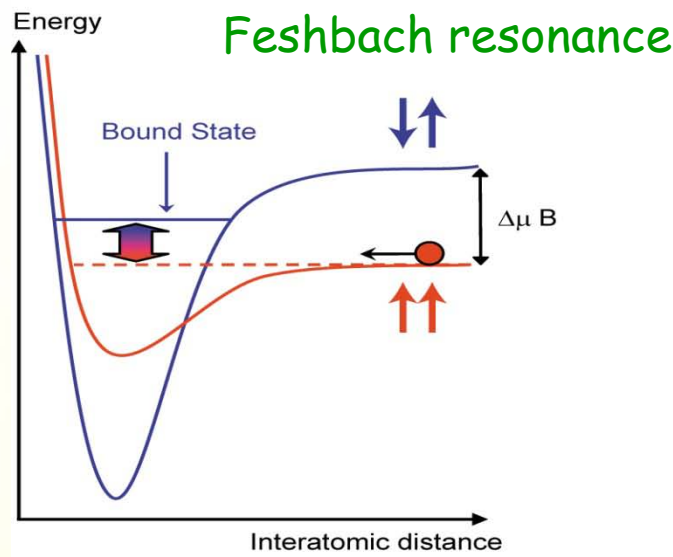




QCD near a Feshbach resonance in pion mass

Scale $\propto \sim \frac{m_\pi - m_\pi^*}{m_\pi^*} M_{nuc}$ emerges

cf. atoms as magnetic field varies



MIT webpage

$$Q \sim \hbar \ll M_{nuc}$$

contact EFT

- degrees of freedom: nucleons

- symmetries: Lorentz, $\cancel{P}$, $\cancel{T}$

- expansion in: $\frac{Q}{M_{nuc}} = \begin{cases} Q/m_N & \text{non-relativistic} \\ Q/m_\pi, \dots & \text{multipole} \end{cases}$

$$\sim \frac{1}{3}$$

Universality:

first orders apply also to atoms

$$M_{nuc} \rightarrow 1/l_{vdW} \quad \text{where} \quad V(r) = -\frac{l_{vdW}^4}{2mr^6} + \dots$$

$$\mathcal{L}_{EFT} = N^+ \left(i\partial_0 + \frac{\nabla^2}{2m_N} \right) N + C_0 N^+ N N^+ N + D_0 N^+ N N^+ N N^+ N \\ + N^+ \frac{\nabla^4}{8m_N^3} N + C_2 N^+ N N^+ \nabla^2 N + \dots$$

omitting
spin, isospin

two-body sector ~
effective-range expansion

v.K. '97 '99
Kaplan, Savage + Wise '98
Gegelia '98

...

$$V_{ij} = C_0 \delta^{(3)}(\vec{r}_i - \vec{r}_j) - 2C_2 \nabla^2 \delta^{(3)}(\vec{r}_i - \vec{r}_j) + 4C_4 \nabla^4 \delta^{(3)}(\vec{r}_i - \vec{r}_j) + \dots$$

LO

a_2

NLO

a_2, r_2

NNLO

a_2, r_2

v.K. '97
Kaplan, Savage
+ Wise '98
Gegelia '98

$$V_{ijk} = D_0 \delta^{(3)}(\vec{r}_i - \vec{r}_j) \delta^{(3)}(\vec{r}_j - \vec{r}_k) + D_2 \nabla^2 \delta^{(3)}(\vec{r}_i - \vec{r}_j) \delta^{(3)}(\vec{r}_j - \vec{r}_k) + \dots$$

LO

a_3

NNLO

a_3, r_3

Bedaque, Hammer
+ v.K. '99
Hammer + Mehen '00

$$V_{ijkl} = E_0 \delta^{(3)}(\vec{r}_i - \vec{r}_j) \delta^{(3)}(\vec{r}_j - \vec{r}_k) \delta^{(3)}(\vec{r}_k - \vec{r}_l) + \dots$$

not LO

Platter, Hammer + Meissner '04

Untrapped nucleons

LO

$$H_A^{(0)} = \frac{1}{2m_N A} \sum_{[i < j]} (\vec{p}_i - \vec{p}_j)^2 + C_{0[0]} \sum_{\substack{[i < j]_0 \\ S=0 \text{ pairs}}} \delta^{(3)}(\vec{r}_i - \vec{r}_j) \\ + C_{0[1]} \sum_{\substack{[i < j]_1 \\ S=1 \text{ pairs}}} \delta^{(3)}(\vec{r}_i - \vec{r}_j) + D_0 \sum_{\substack{[i < j < k] \\ S=1/2 \text{ triplets}}} \delta^{(3)}(\vec{r}_i - \vec{r}_j) \delta^{(3)}(\vec{r}_j - \vec{r}_k)$$

EFT PC effectively justifies (modified) cluster approximation

$$H_A^{(0)} \psi_A^{(0)}(\vec{r}) = E_A^{(0)} \psi_A^{(0)}(\vec{r})$$

Stetcu, Barrett +v.K., '07

parameters fitted to d, t, α ground-state energies
predicted ^4He excited, ^6Li ground energies

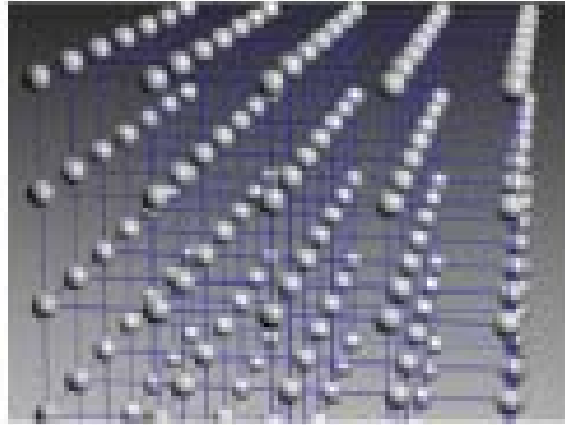
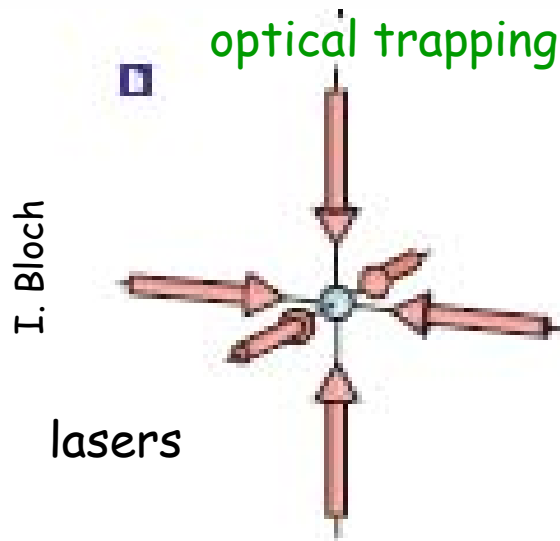
works within ~30%

but parameters proliferate: *e.g.*, at NLO two more 2-body parameters
can we fit them to scattering data?

$$C_{2[0]}(\Lambda), C_{2[1]}(\Lambda)$$

Yes, trap them!

Trapped fermions



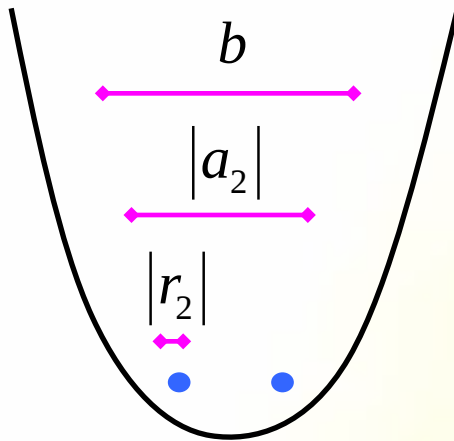
$$V(\vec{r}) \propto \alpha(\omega_L) |\vec{E}(\vec{r})|^2$$

$$\propto \sum_i \sin^2(k_L r_i)$$

standing waves

$$\approx k_L^2 \vec{r}^2$$

low-tunneling regime
(band insulator)



$$\frac{b}{|r_2|} \gg 1$$

$$\frac{b}{|a_2|} \begin{cases} \rightarrow \infty \\ \lesssim 1 \\ \rightarrow 0 \end{cases}$$

universal behavior

untrapped limit

significant trap effects

only low-energy scale given by b
some semi-analytical results known

test our method

Life in the Box

$$H_A = \frac{\omega}{2} \left\{ \sum_{i=1}^A \left[\frac{1}{2} b^2 p_i^2 + 2 \frac{r_i^2}{b^2} \right] + 2 \mu_2 b^2 V(\{\vec{r}_i - \vec{r}_j\}) \right\} = H_A^{(cm)} + H_A^{(rel)}$$

two-body
reduced mass

$$\mu_2 = m/2$$

S waves only in LO

LO

$$H_A^{(0)} \left| \psi_A^{(0)} \right\rangle = E_A^{(0)} \left| \psi_A^{(0)} \right\rangle$$

NLO

$$E_A^{(1)} = \left\langle \psi_A^{(0)} \left| V_A^{(1)} \right| \psi_A^{(0)} \right\rangle$$

NNLO

$$E_A^{(2)} = \left\langle \psi_A^{(0)} \left| V_2^{(2)} \right| \psi_A^{(0)} \right\rangle + \frac{1}{2} \left\{ \left\langle \psi_A^{(0)} \left| V_2^{(1)} \right| \psi_A^{(1)} \right\rangle + \left\langle \psi_A^{(1)} \left| V_2^{(1)} \right| \psi_A^{(0)} \right\rangle \right\}$$

etc.

$$A = 2$$

LO

$$H_2^{(0)} \left| \psi_2^{(0)} \right\rangle = E_2^{(0)} \left| \psi_2^{(0)} \right\rangle$$

$$\Rightarrow \frac{2\pi b}{\mu_2 C_0^{(0)}(N_{2\max}, \omega)} = -\frac{2}{\pi^{1/2}} \sum_{n=0}^{N_{2\max}/2} \frac{L_n^{(1/2)}(0)}{2n + 3/2 - (E_2^{(0)}/\omega)}$$

input one $\frac{E_2^{(0)}}{\omega} = \frac{E_2^{(0)}}{\omega} \left(\frac{b}{a_2} \right) \Rightarrow \text{determine } C_0^{(0)}(N_{2\max}, \omega) \Rightarrow \text{calculate other levels}$
e.g. lowest level

NLO

$$E_2^{(1)} = \left\langle \psi_2^{(0)} \left| V_2^{(1)} \right| \psi_2^{(0)} \right\rangle = \dots$$

input second level $\Rightarrow \text{determine } C_2^{(1)}(N_{2\max}, \omega) \Rightarrow \text{calculate other levels}$

NNLO

$$E_2^{(2)} = \left\langle \psi_2^{(0)} \left| V_2^{(2)} \right| \psi_2^{(0)} \right\rangle + \frac{1}{2} \left\{ \left\langle \psi_2^{(0)} \left| V_2^{(1)} \right| \psi_2^{(1)} \right\rangle + \left\langle \psi_2^{(1)} \left| V_2^{(1)} \right| \psi_2^{(0)} \right\rangle \right\} = \dots$$

input third level $\Rightarrow \text{determine } C_4^{(2)}(N_{2\max}, \omega) \Rightarrow \text{calculate other levels}$

etc.

Where do levels come from?

$$N_{2\max} \rightarrow \infty$$

$$\psi_2(0 < r \ll b) \propto \frac{1}{r} \left\{ 1 - 2 \frac{\Gamma\left(\frac{3}{4} - \frac{E_2}{2\omega}\right)}{\Gamma\left(\frac{1}{4} - \frac{E_2}{2\omega}\right)} \frac{r}{b} + \mathcal{O}\left(\frac{r^2}{b^2}\right) \right\}$$

$$= \left[1 - \mu a_2 r_2 E + \dots \right] \frac{r}{a_2}$$

⇒

$$\frac{\Gamma\left(\frac{3}{4} - \frac{E_2}{2\omega}\right)}{\Gamma\left(\frac{1}{4} - \frac{E_2}{2\omega}\right)} = \frac{b}{2a_2} \left\{ 1 - \frac{a_2 r_2}{b^2} \frac{E_2}{\omega} + \dots \right\}$$

LO

NLO, NNLO

Busch *et al.* '98
 Blume + Greene '02
 Block + Holthaus '02
 Bolda, Tiesinga + Julienne '02
 ...

$\frac{b}{a_2} \rightarrow \infty$

$$\left\{ \begin{array}{l} \frac{E_{2,0}}{\omega} = -\frac{b^2}{a_2^2} + \dots \\ \frac{E_{2,n}}{\omega} = -\frac{1}{2} + 2n + \dots \quad (n = 1, 2, \dots) \end{array} \right.$$

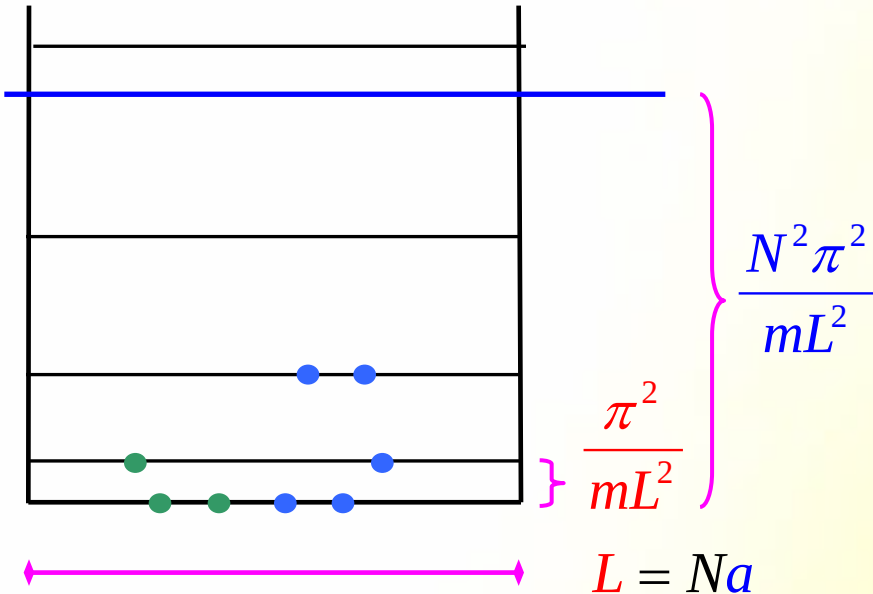
untrapped bound state

scattering states

Lattice EFT

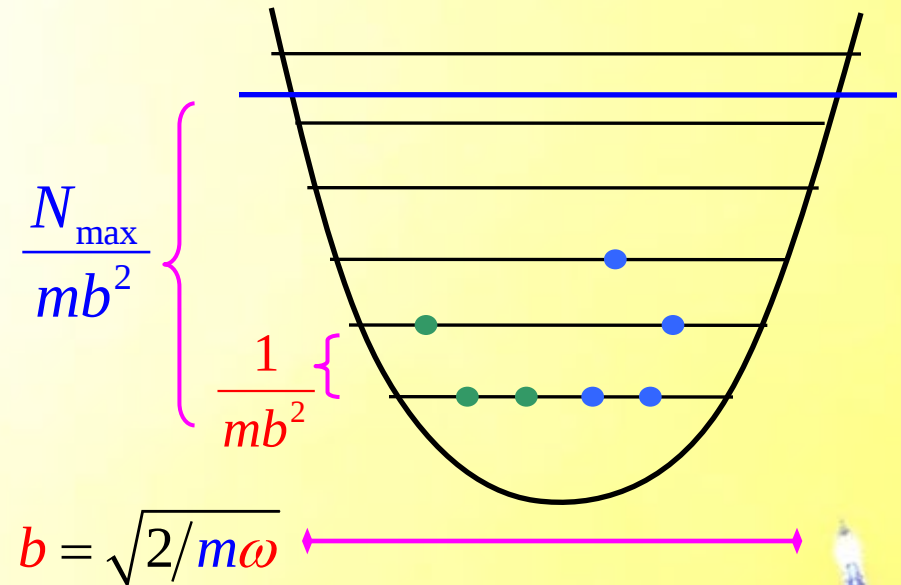
Lattice Box

cf. Fukuda
+ Newton '54



Harmonic EFT

Harmonic-Oscillator Box



Parameters fitted to E from

$$2N - 2\pi \sum_{\mathbf{n}}^{|n| < N} \frac{1}{(2\pi\mathbf{n})^2 - mEL^2} = -\frac{\sqrt{mEL^2}}{2} \cot \delta(E) \quad \text{Luescher '91}$$

$$\frac{\Gamma\left(\frac{3}{4} - \frac{mEb^2}{2}\right)}{\Gamma\left(\frac{1}{4} - \frac{mEb^2}{2}\right)} = -\frac{\sqrt{mEb^2}}{2} \cot \delta(E) \quad \text{Busch et al. '98}$$

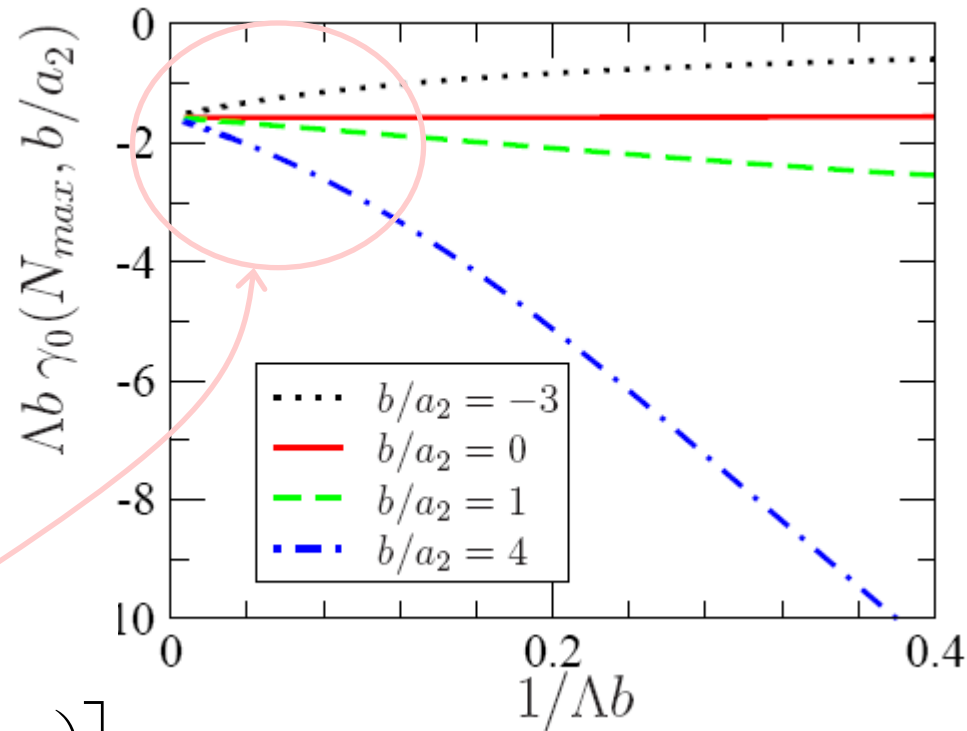
LO RG running

$$\Lambda b \gamma_0(N_{2\max}, b/a_2) \equiv \frac{\mu_2 \Lambda}{2\pi} C_0^{(0)}(N_{2\max}, \omega)$$

$$\frac{b}{|a_2|} \rightarrow 0 \quad \frac{E_{2,n}^{(0)}}{\omega} = \frac{1}{2} + 2n \quad (n=0,1,\dots) \quad \frac{b}{a_2} \rightarrow -\infty \quad \frac{E_{2,n}^{(0)}}{\omega} = \frac{3}{2} + 2n \quad (n=0,1,\dots)$$

$$\Rightarrow \Lambda b \gamma_0(N_{2\max}, b/a_2) \cong -\frac{\pi}{2}$$

$$\Rightarrow \Lambda b \gamma_0(N_{2\max}, b/a_2) = 0$$



$$\Lambda b \gg 1$$

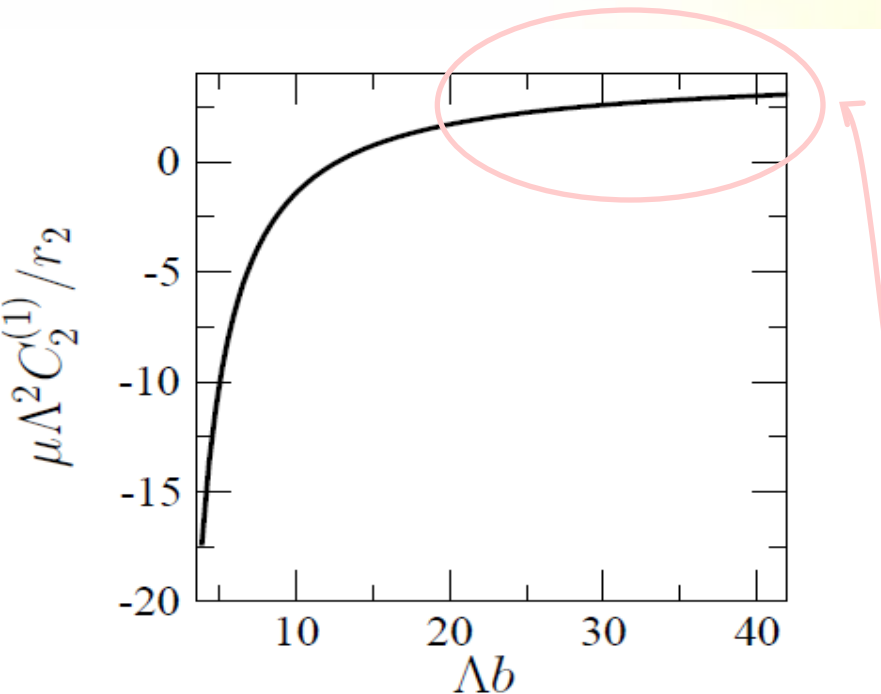
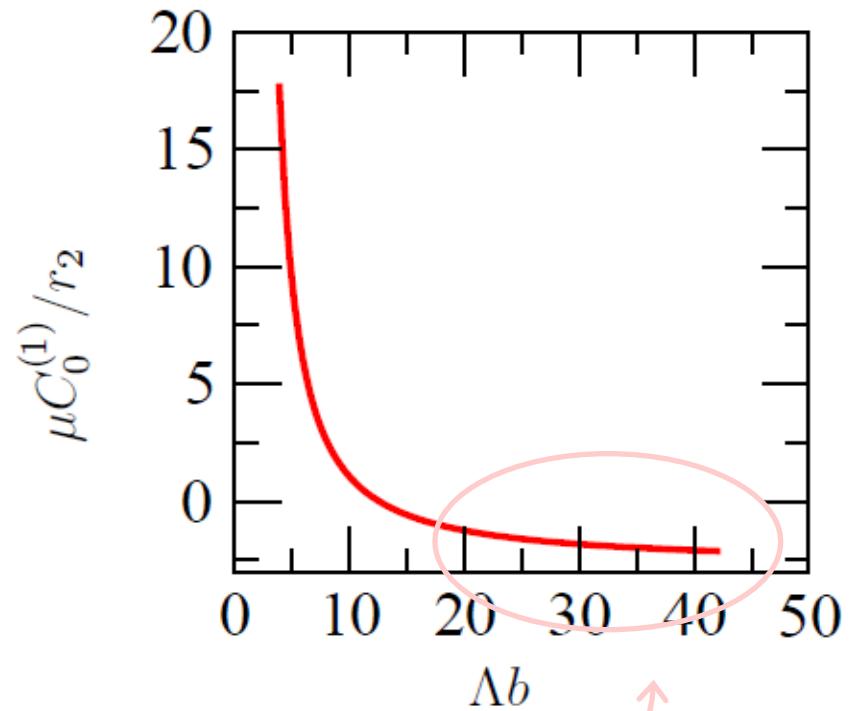
$$\Lambda b \gamma_0(N_{2\max}, b/a_2) = -\frac{\pi}{2} \left[1 + \frac{\pi b}{2a_2} \frac{1}{\Lambda b} + \mathcal{O}\left(\frac{1}{\Lambda^2 b^2}\right) \right]$$

$$\frac{1}{\Lambda b} = [2(N_{2\max} + 3/2)]^{-1/2}$$

NLO RG running

$$b/a_2 = 1$$

$$r_2/b = 0.1$$



$$\Lambda b \gg 1$$

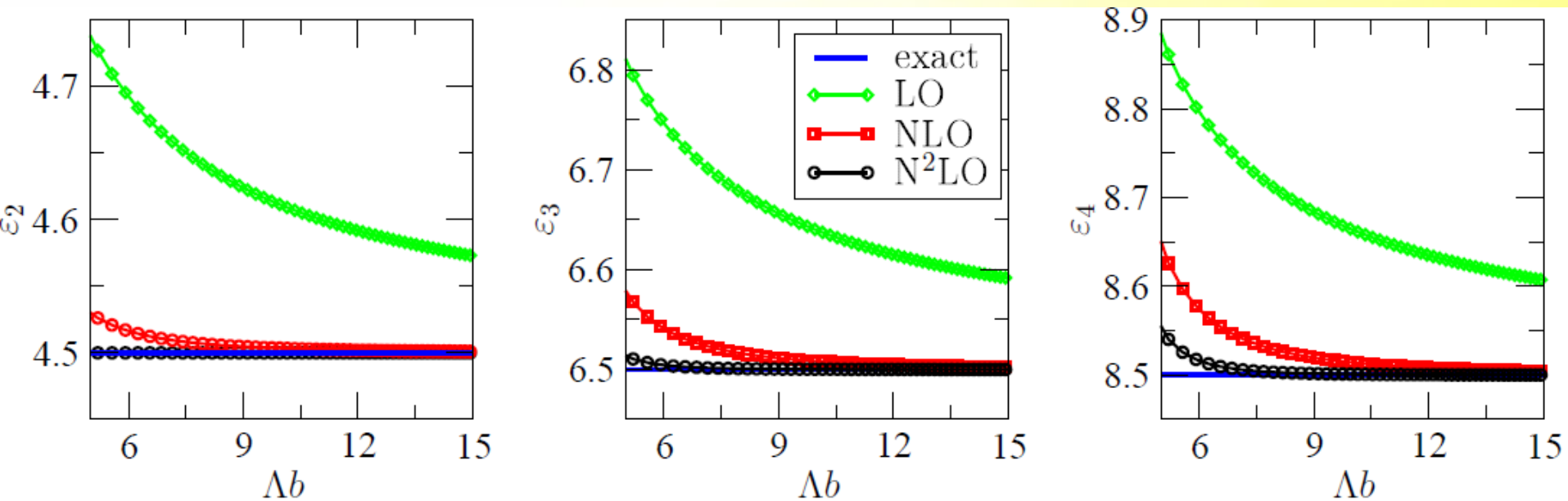
$$\frac{\mu_2}{r_2} C_0^{(1)} = -\frac{\pi^3}{12} \left[1 + \mathcal{O}\left(\frac{1}{r_2 \Lambda}, \frac{1}{a_2 \Lambda}\right) \right]$$

$$\frac{\mu_2 \Lambda^2}{r_2} C_2^{(1)} = \frac{\pi^3}{8} \left[1 + \mathcal{O}\left(\frac{1}{r_2 \Lambda}, \frac{1}{a_2 \Lambda}\right) \right]$$

Etc.

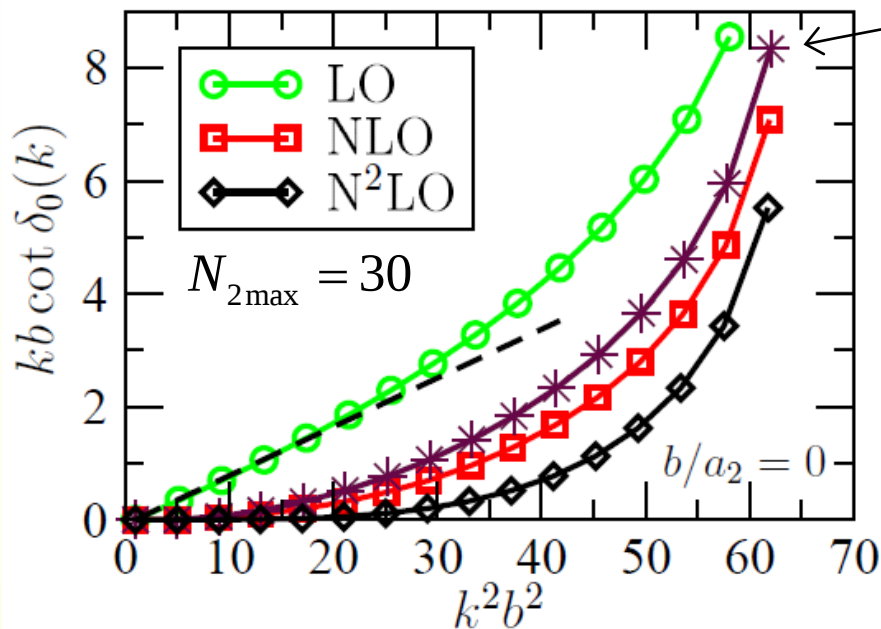
at unitarity

Stetcu, Barrett, Vary + v.K. '08
Rotureau, Stetcu, Barrett + v.K. '10



$$\varepsilon_n \equiv E_{2n}/\omega$$

cf. Luu
et al. '10



NNLO Hamiltonian
fully diagonalized:
worse than NLO!

$$A \geq 3$$

include few-body forces

$$N_{A\max} \geq N_{2\max} \left\{ \begin{array}{l} 1) \quad N_{A\max} \gg N_{2\max} \Rightarrow E_A = E_A(N_{2\max}, \omega) \\ 2) \quad N_{2\max} \gg 1 \end{array} \right.$$

$$\frac{b}{a_2} \rightarrow \infty$$

lowest states: free-space bound states
binding energy info

$$B_{A,0} = -E_{A,0}, \dots$$

other states: scattering states

phase-shift info, for example:

$$\frac{\Gamma\left(\frac{3}{4} - \frac{E_{A,n} - E_{A-1,0}}{2\omega}\right)}{\Gamma\left(\frac{1}{4} - \frac{E_{A,n} - E_{A-1,0}}{2\omega}\right)} = -\sqrt{\frac{E_{A,n} - E_{A-1,0}}{2\omega}} \cot \delta_{1,A-1} \left(\frac{2}{b} \sqrt{\frac{E_{A,n} - E_{A-1,0}}{2\omega}} \right)$$

$\delta_{1,A-1}$
S-wave phase shift for
particle/lighter b.s. scattering

Trapped two-component fermions: $S = 1/2$

$$V = \sum_{[i < j]_0} \left\{ C_0 \delta^{(3)}(\vec{r}_i - \vec{r}_j) - 2C_2 \nabla^2 \delta^{(3)}(\vec{r}_i - \vec{r}_j) + 4C_4 \nabla^4 \delta^{(3)}(\vec{r}_i - \vec{r}_j) \right\}$$

$S = 0$
pairs

S wave only in LOs

up to NNLO

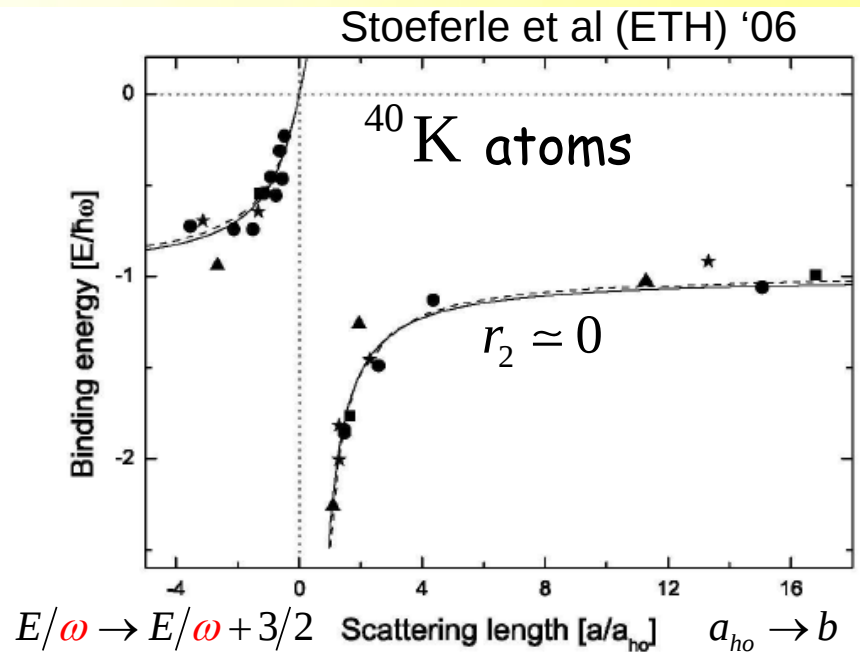
no $\left\{ \begin{array}{l} S = 1 \text{ two-body force} \\ \text{three-body force in LOs} \\ + \text{HO } \underline{is} \text{ physics} \end{array} \right.$

$A = 2$ fit to data *e.g.*

$A \geq 3$ no fit

$\frac{b}{a_2} \rightarrow -\infty$ $\frac{E_A}{\omega}$ = filling of HO shells

$\frac{b}{|a_2|} \rightarrow 0$ $\frac{E_A}{\omega} = \varepsilon_A(N_{2\max})$ (independent of ω since b only scale)



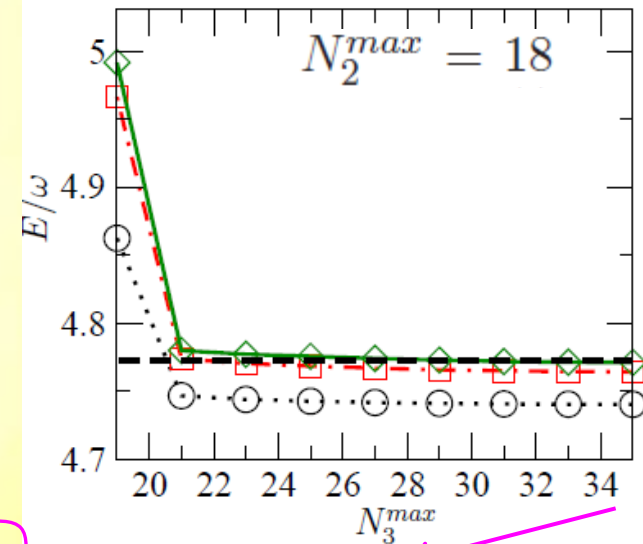
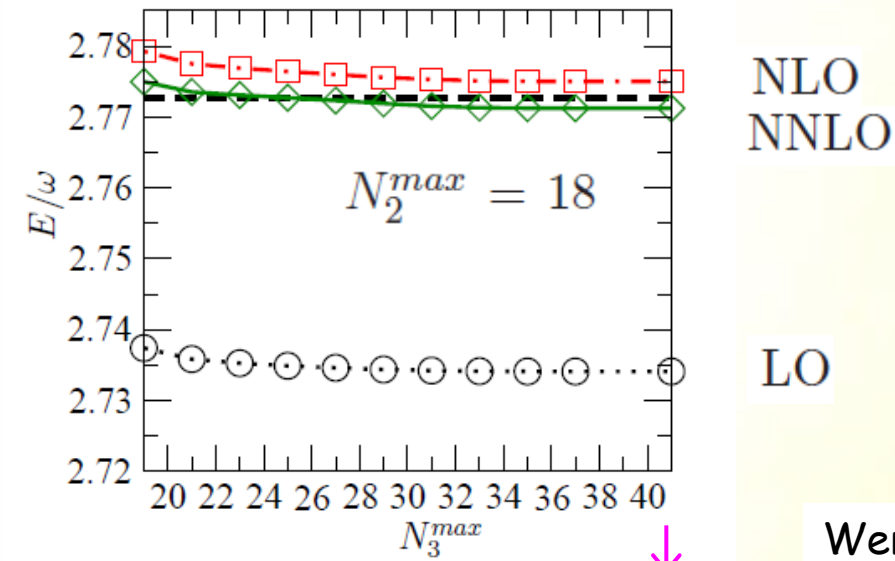
$A = 3$ at unitarity

Stetcu, Barrett, Vary + v.K., '07
Rotureau, Stetcu, Barrett, Birse + v.K. '10

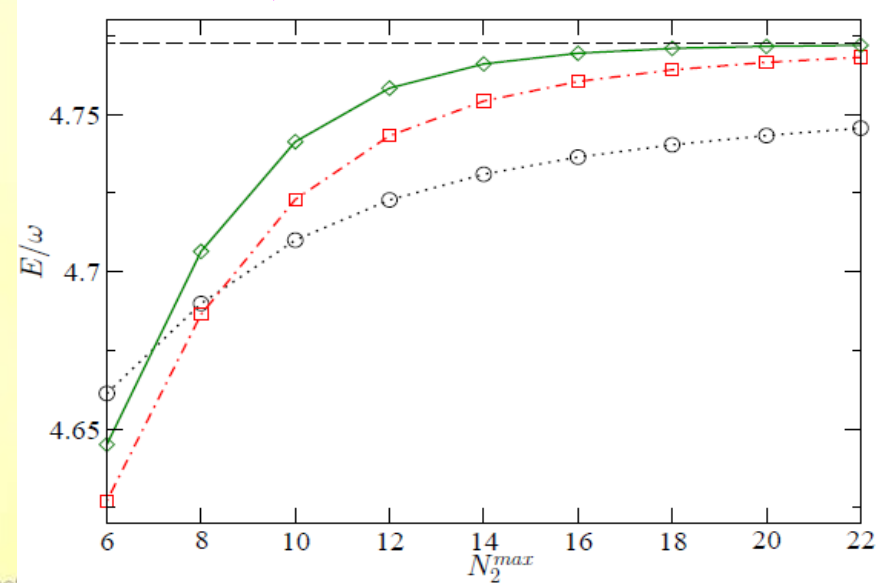
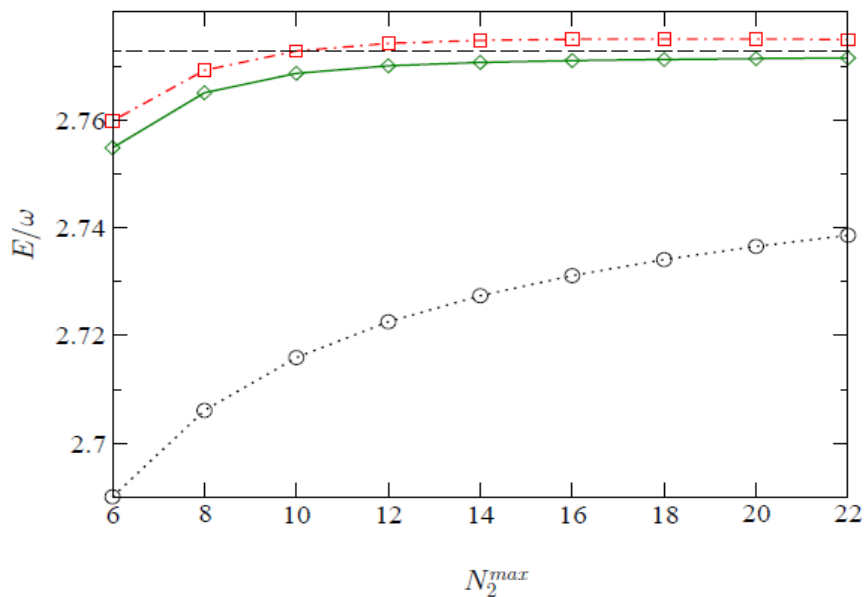
ground state

$L^\pi = 1^-$

first excited state



Werner+ Castin '06



Errors at unitarity

$$\frac{E_3(N_2^{max})}{\omega} = \frac{E_3(\infty)}{\omega} + \frac{\alpha_1}{(N_2^{max} + 3/2)^{1/2}} + \frac{\alpha_3}{(N_2^{max} + 3/2)^{3/2}} + \frac{\alpha_5}{(N_2^{max} + 3/2)^{5/2}} + \dots$$

ground state .

LO

$$\alpha_1 = -0.139$$

$$\alpha_3 = -0.63$$

NNLO

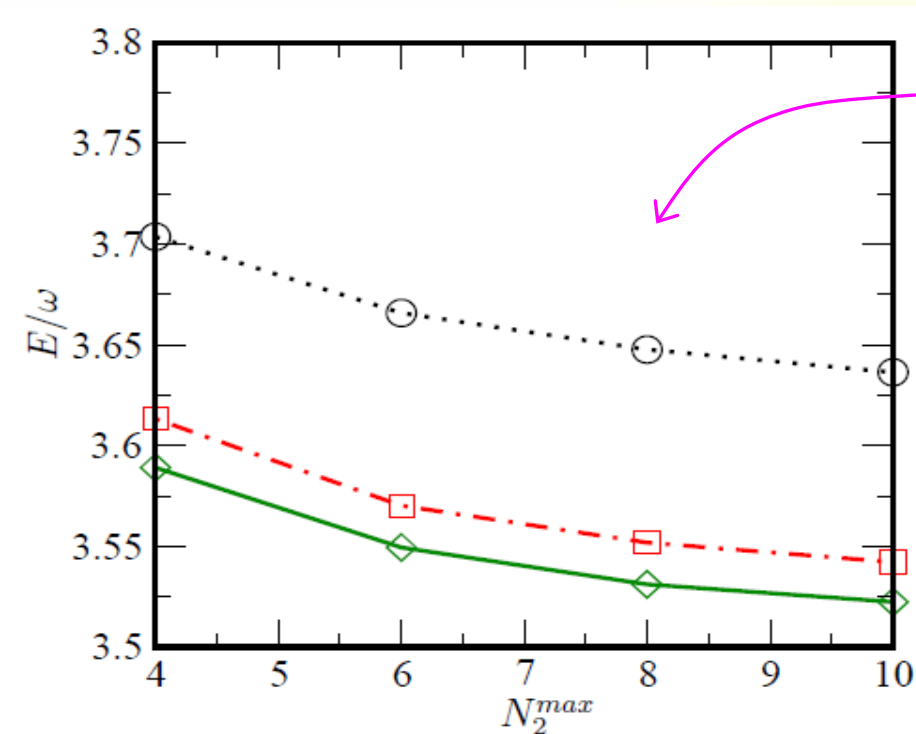
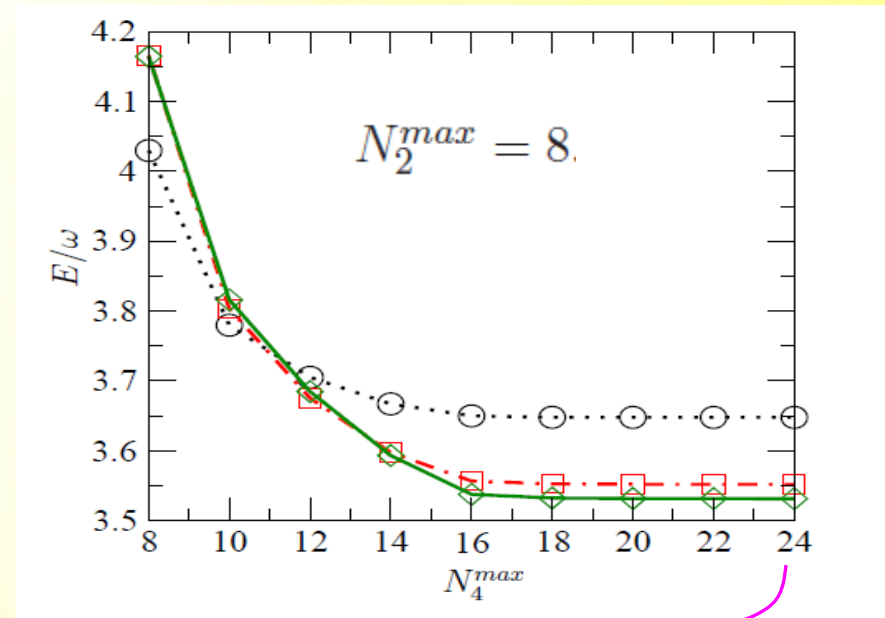
$$\alpha_1 = 0$$

$$\alpha_3 = -0.30$$

$$\alpha_5 = -5.8$$

$A = 4$ at unitarity

$L^\pi = 0^+$ ground state



LO

NLO

NNLO

cf.

$$\frac{E_4^{(\infty)}}{\omega}$$

ω

$\approx$

$$3.6 \pm 0.1$$

Chang + Bertsch '07

$$3.551 \pm 0.009$$

von Stecher *et al.* '07

$$3.545 \pm 0.003$$

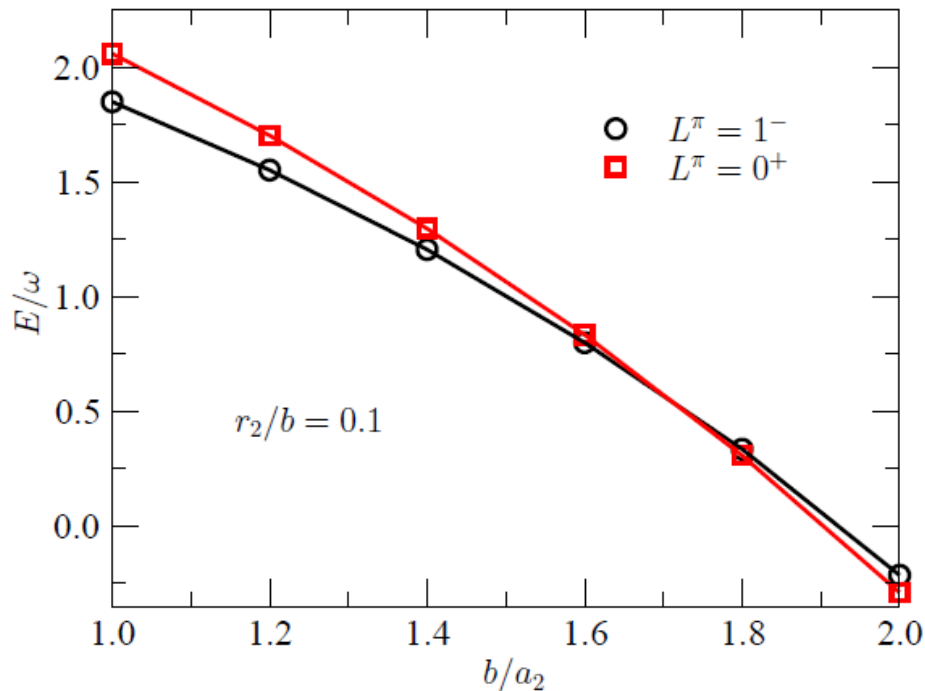
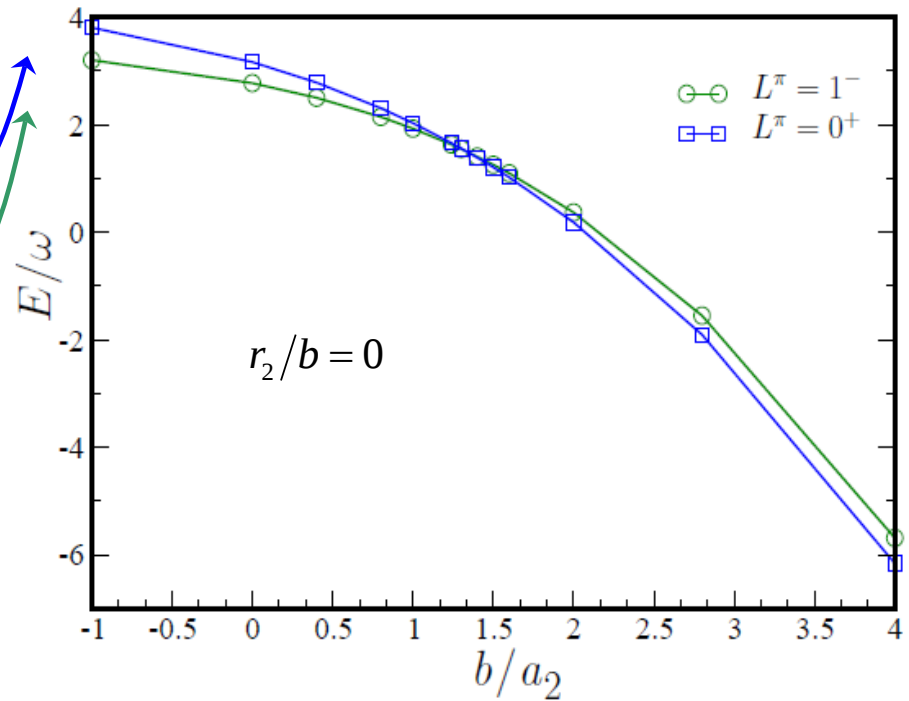
Alhassid, Bertsch
+ Fang '08

Stetcu, Barrett, Vary + v.K., '07
 Kerstner + Duan '07
 Rotureau, Stetcu, Barrett, Birse + v.K. '10

$$\frac{E_3}{\omega} \rightarrow \begin{cases} 5 & 1S2P \\ 4 & 2S1P \end{cases}$$

$A = 3$

inversion of g.s. parity!



$$\frac{E_3}{\omega} \approx -\frac{b^2}{a_2^2}$$

(atom+dimer)_{S wave}

NNLO

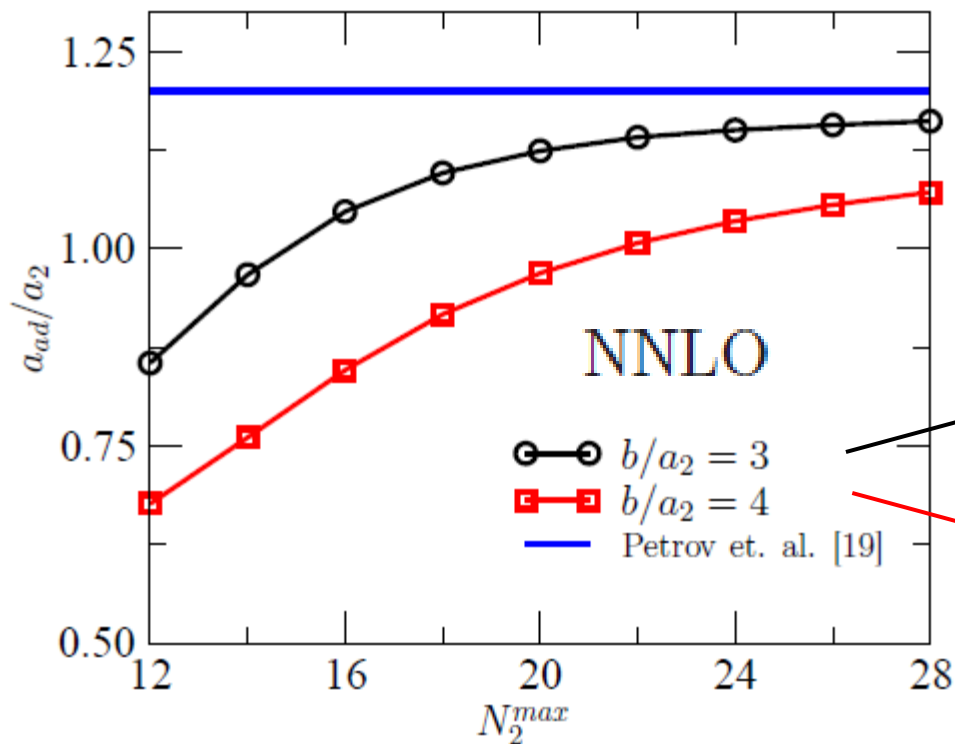
$$\frac{\Gamma(3/4 - (E_{3;n} - E_{2;0})/2\omega)}{\Gamma(1/4 - (E_{3;n} - E_{2;0})/2\omega)} = \frac{b'}{2a_{ad}} - \frac{r_{ad}}{2b'} \frac{E_{3;n} - E_{2;0}}{\omega} + \dots$$

3-body energy
above dimer g.s.

$$b' = \frac{1}{\sqrt{\mu_{ad}\omega}}$$

use two levels, eliminate r_{ad} :

$A = 3$



better precision
at smaller cutoffs

better dimer
inside trap

Liberated nucleons

add $\left\{ \begin{array}{l} S=1 \text{ two-body force} \\ \text{three-body force in LOs} \end{array} \right.$
+ HO is not physics

$$V = \sum_{S=0,1} \sum_{[i<j]_S} \left\{ C_{0[S]} \delta^{(3)}(\vec{r}_i - \vec{r}_j) - 2C_{2[S]} \nabla^2 \delta^{(3)}(\vec{r}_i - \vec{r}_j) \right\}$$

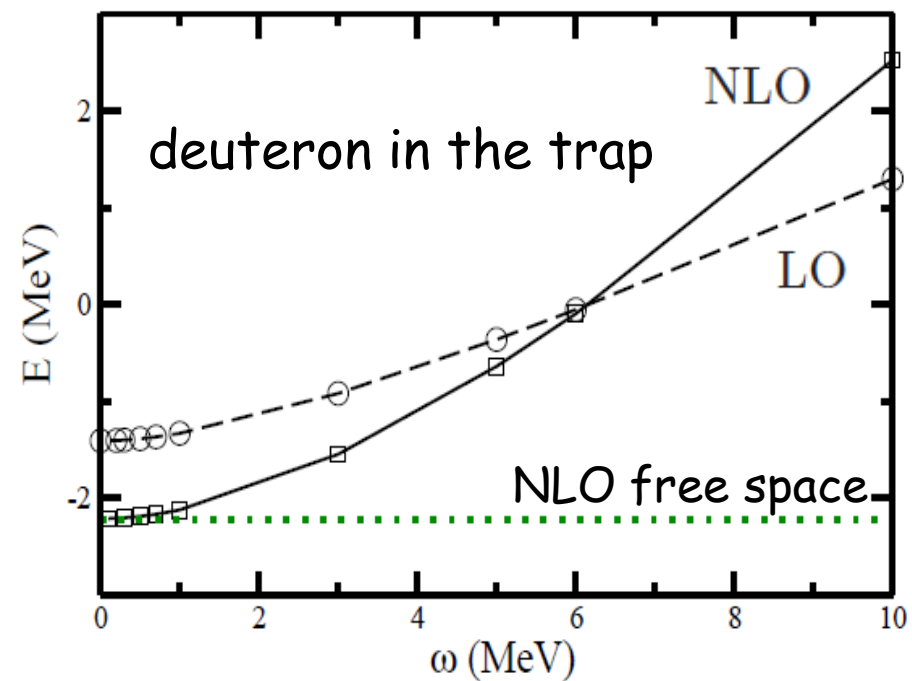
$$+ D_0 \sum_{[i<j<k]} \delta^{(3)}(\vec{r}_i - \vec{r}_j) \delta^{(3)}(\vec{r}_j - \vec{r}_k)$$

single
parameter

$S=1/2$
triplets

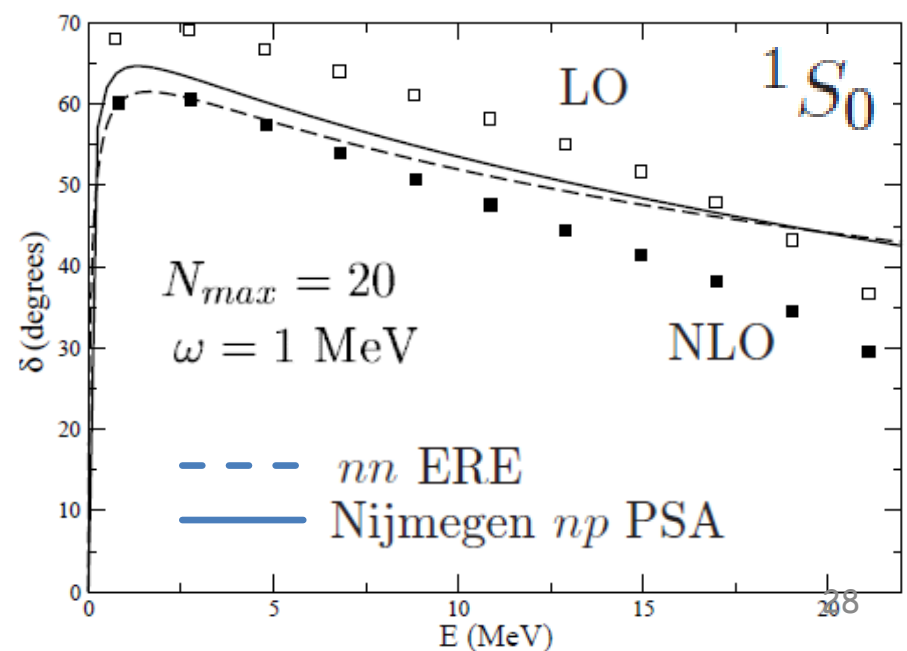
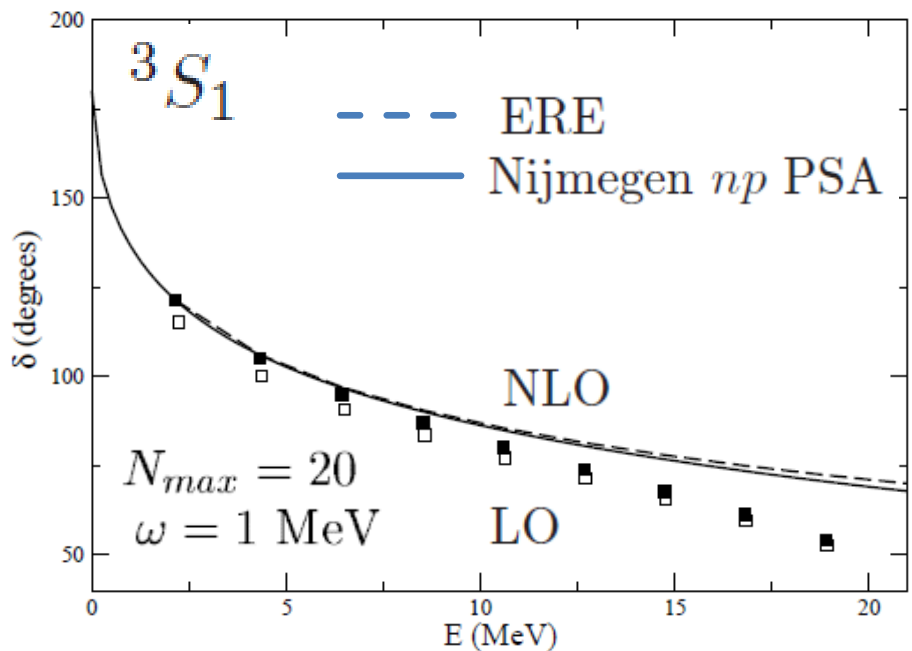
S wave
only

up to NLO



$$A = 2$$

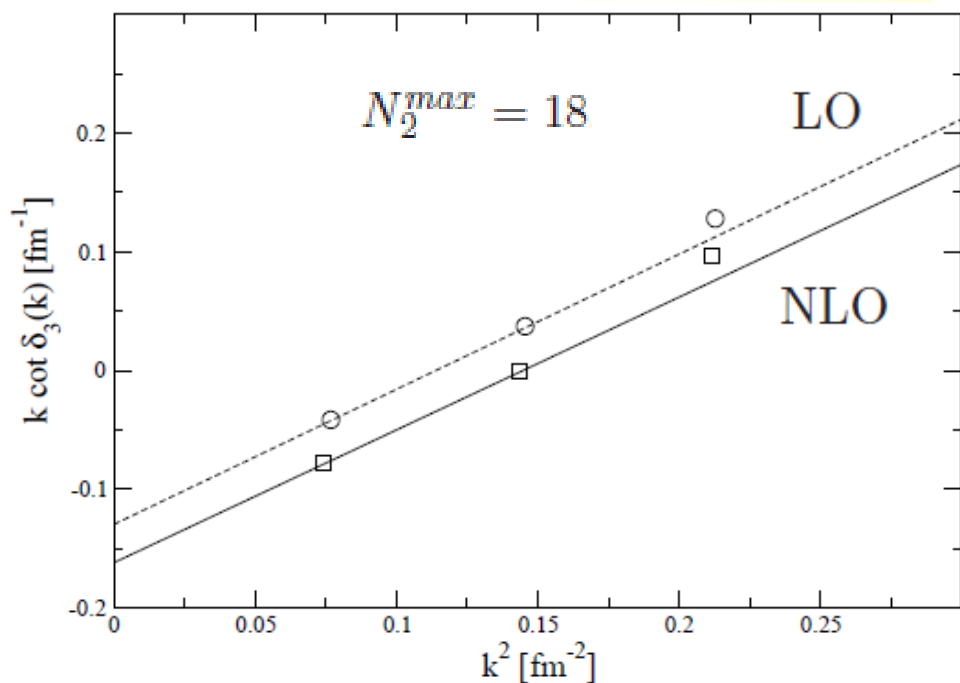
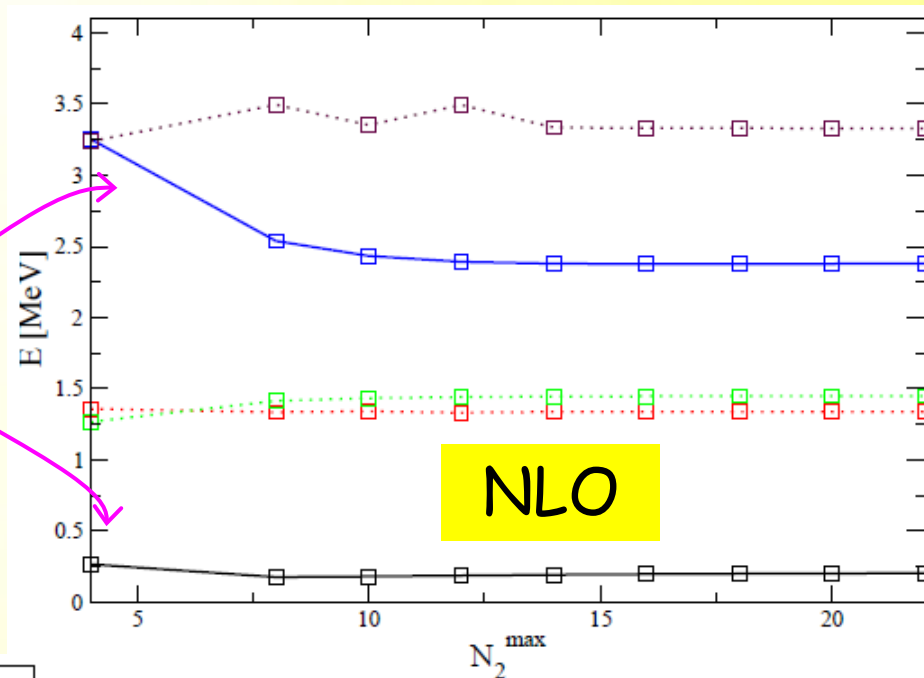
NLO



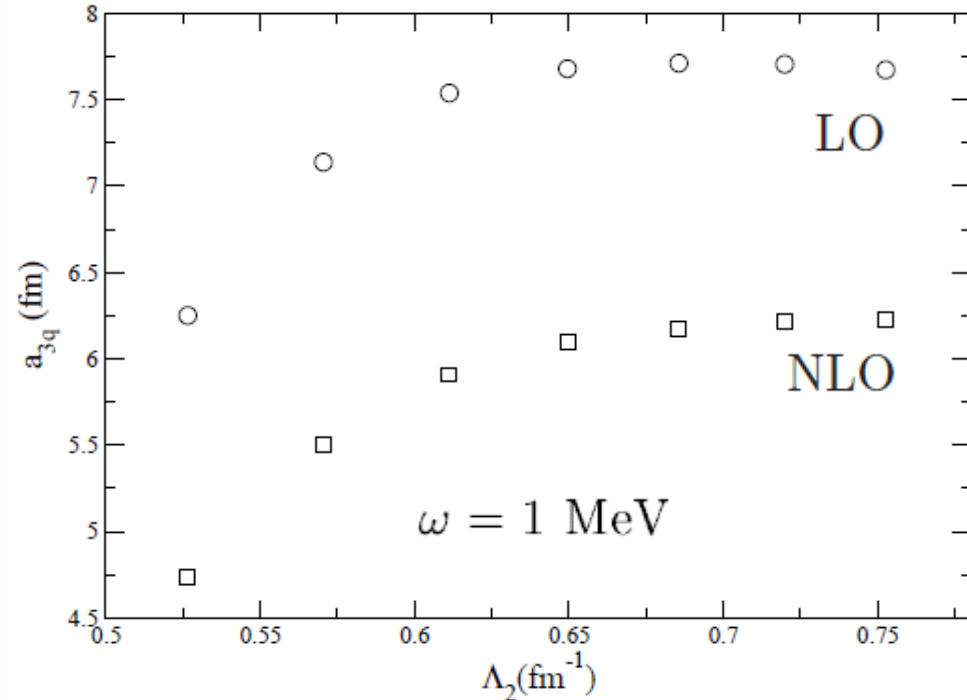
$$A = 3$$

$$I = 1/2, J^\pi = 3/2^+$$

$$L = 0$$



$$\omega = 1 \text{ MeV}$$



$$\frac{1}{a_{3q}} = \frac{1}{a_{3q}(\infty)} + \frac{\alpha_1}{\Lambda_2^{p_1}} + \frac{\alpha_2}{\Lambda_2^{p_2}}$$

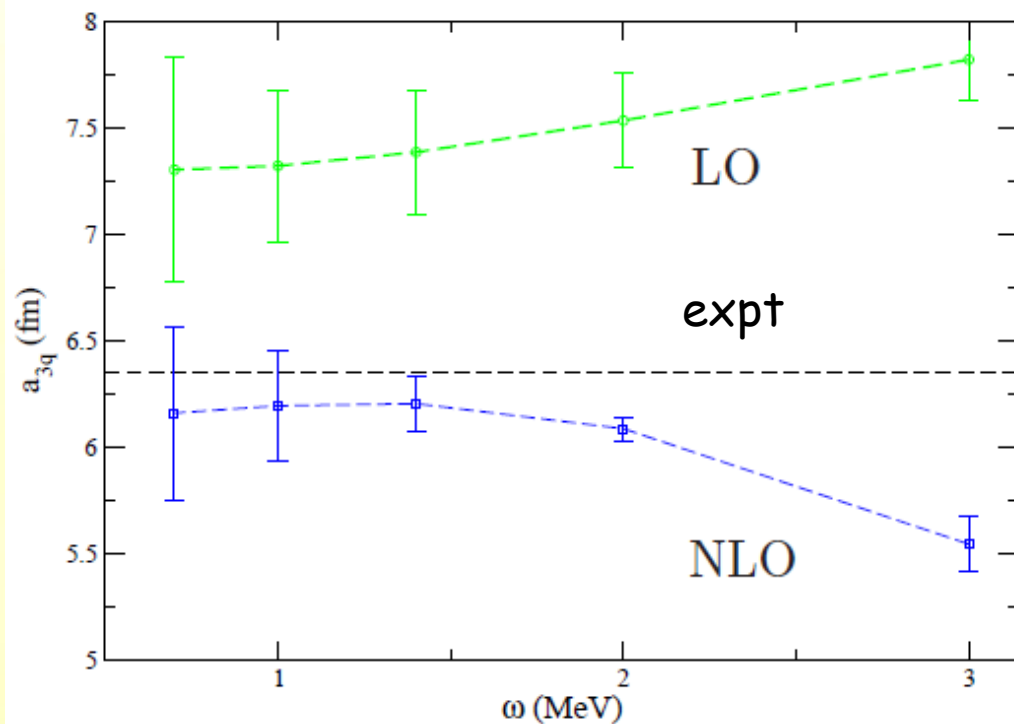
$$^4a_3 = 6.35 \pm 0.02 \text{ fm}$$

Dilg *et al.* '71

cf. NNLO

$$^4a_3 = 6.33 \pm 0.10 \text{ fm}$$

Bedaque, Hammer + v.K. '98

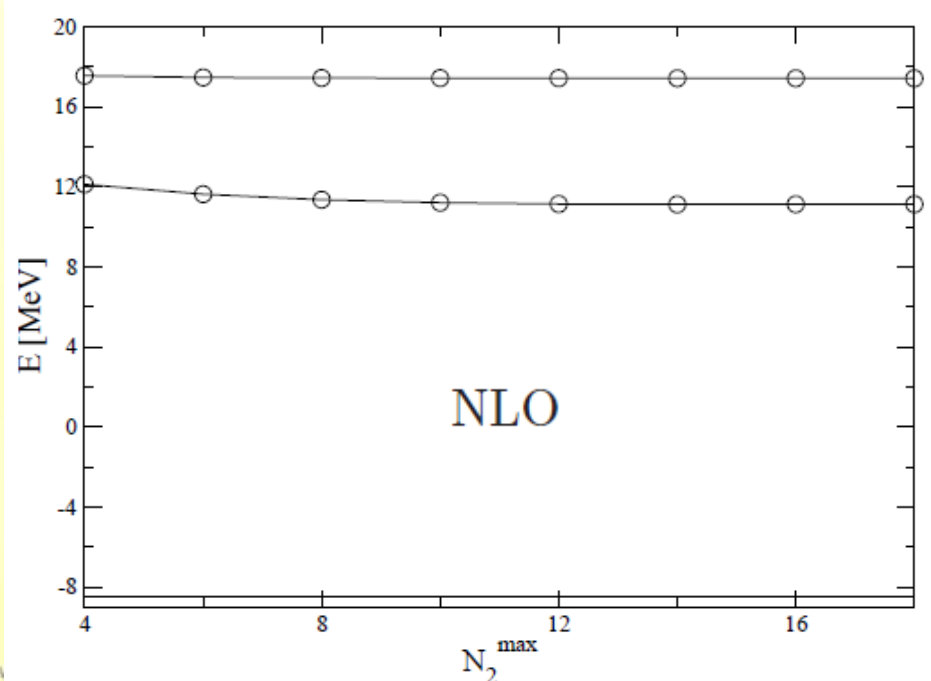
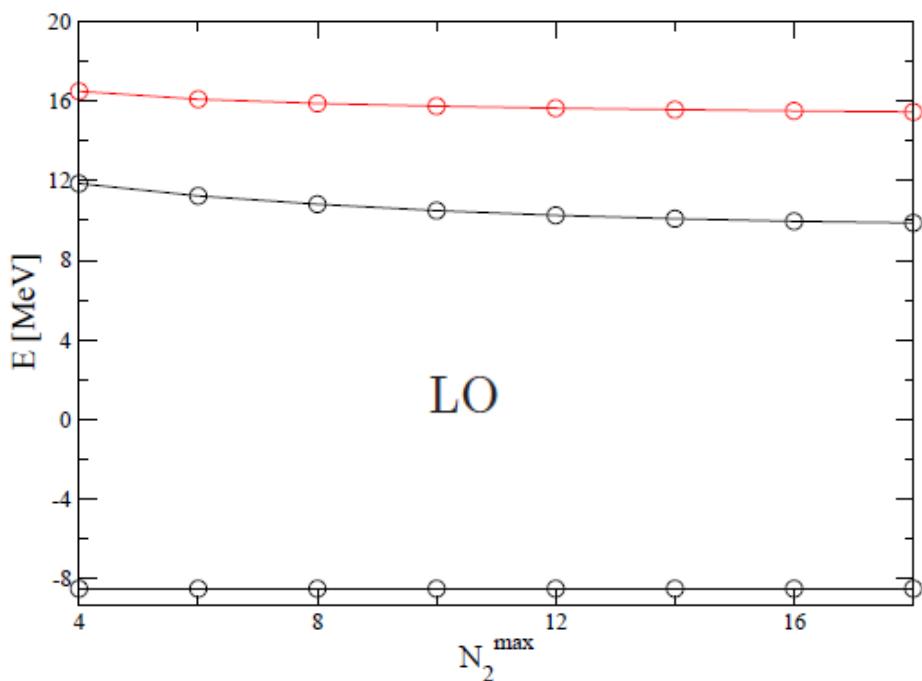
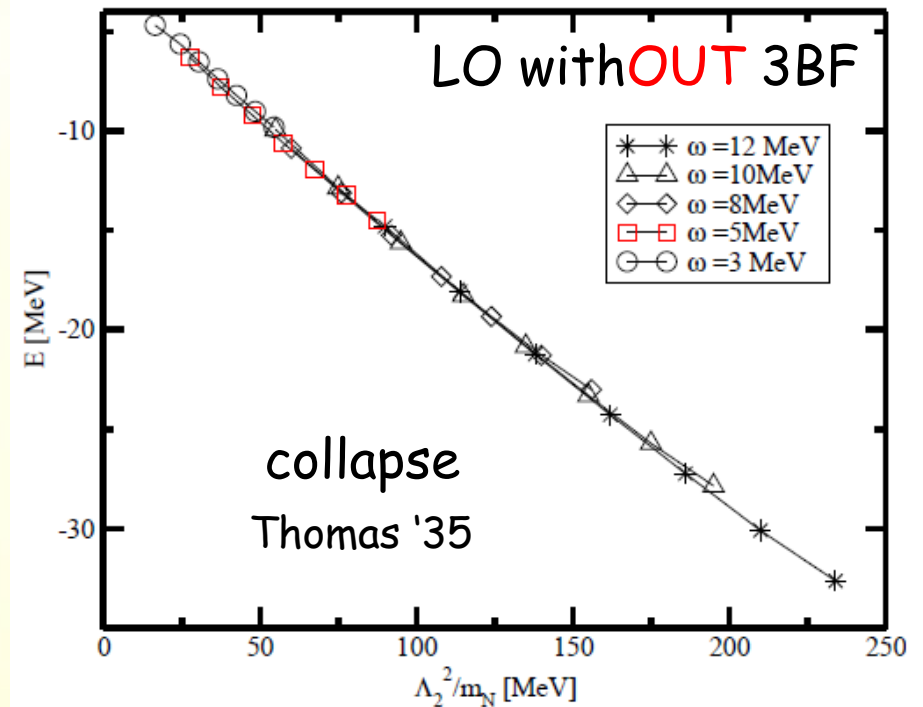


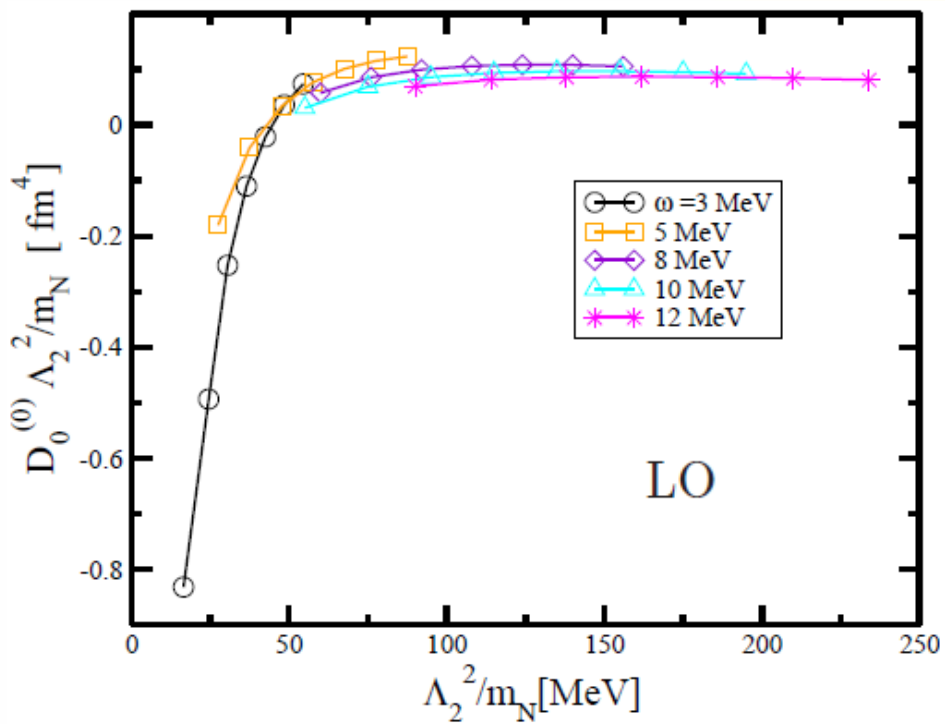
$$I = 1/2, J^\pi = 1/2^+$$

similar for bosons

Toelle, Hammer + Metsch '10

fit 3BF to triton BE

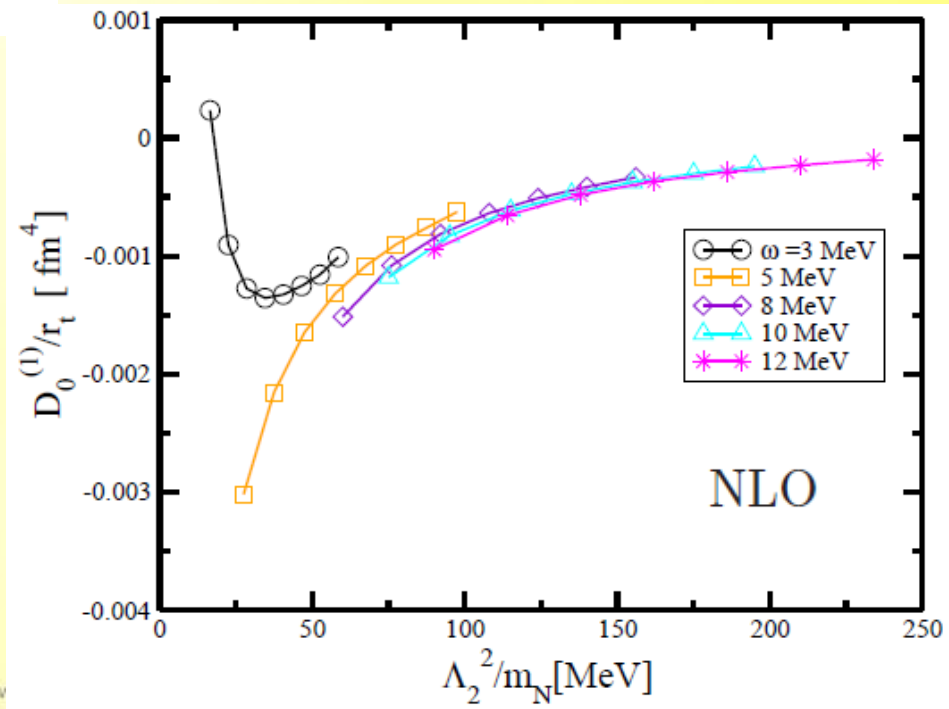




cf. limit cycle

Bedaque, Hammer + v.K. '99

fit 3BF to triton BE



Conclusion & Outlook

- ✓ EFT can be solved in HO basis with scattering input
- ✓ Nucleons with pionless EFT in HO similar to trapped atoms near a Feshbach resonance
- ✓ Convergence improves with increasing order
- ✓ Few-body binding energies and scattering parameters can be calculated
- ✓ More extensive calculations with nucleons are needed