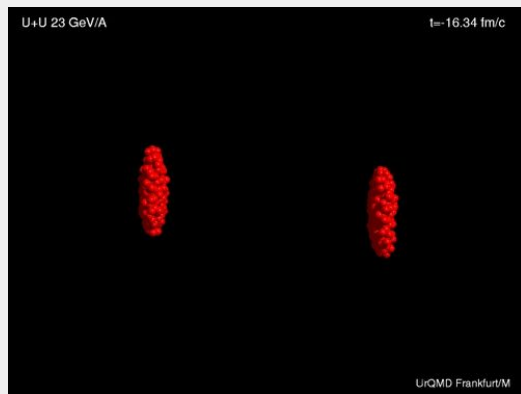


Extraction of global event features at the CBM experiment using PointNet

Manjunath Omana Kuttan, Jan steinheimer, Kai Zhou, Andreas Redelbach, Horst Stöcker

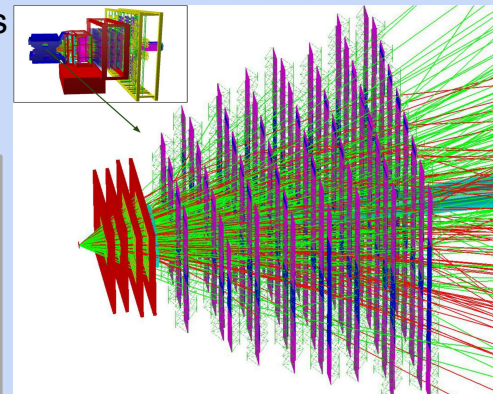
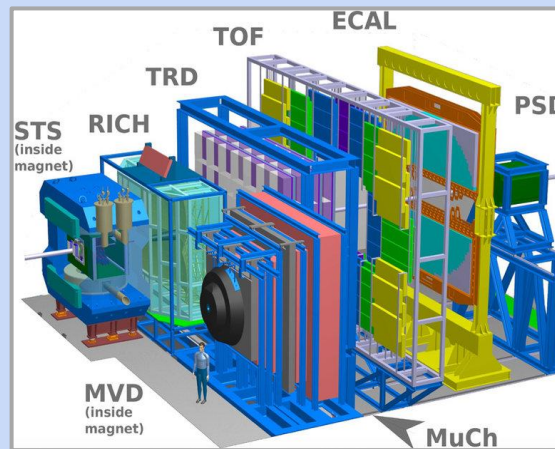
Heavy-ion Collisions: from experiments to theory

- Several established models for HIC
 - Microscopic cascade calculations
 - Hydrodynamics
 - Hybrid Micro+Macro models
- Inputs: 'b', EoS, etc.



- Next-gen experiments
 - High precision measurements
 - Unprecedented statistics
- Measure: Hits, tracks, etc.

The CBM Detector



- Upto 45 AGeV collisions
- 10^7 collisions/ Second
- 1000 tracks per collision
- 1 TB/Second raw data

- How can we extract the theoretical quantities from the experimental data?
 - Conventional way: Large scale model simulations and preprocessing of data

Artificial intelligence based data analysis for HIC ?

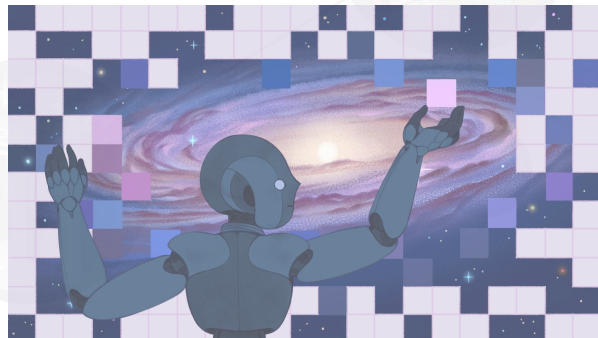
- DL/ML methods are widely used in High Energy Physics experiments

- Data collection:

- Calibration of detector
 - Filtering noise
 - Event separation
 - Event reconstruction
 - Particle identification

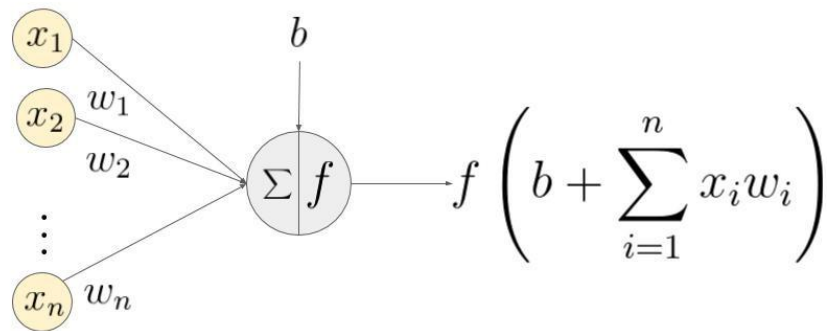
- Analysis:

- Reconstructing useful parameters from raw data
 - Search for new physics
 - Fast simulations
 - Build better analysis tools than conventional techniques

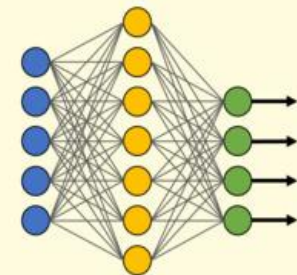


Can Deep Learning Methods be used to bridge the gap between theory and experiments in HIC?

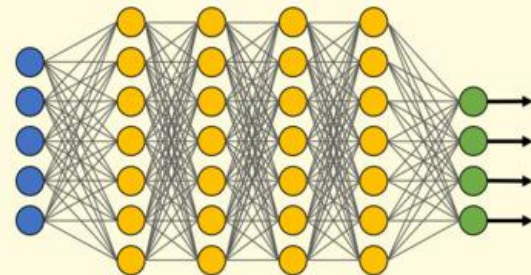
ML/ DL : A quick introduction



Simple Neural Network



Deep Learning Neural Network



● Input Layer

● Hidden Layer

● Output Layer

2	4	9	1	4
2	1	4	4	6
1	1	2	9	2
7	3	5	1	3
2	3	4	8	5

Image

x

1	2	3
-4	7	4
2	-5	1

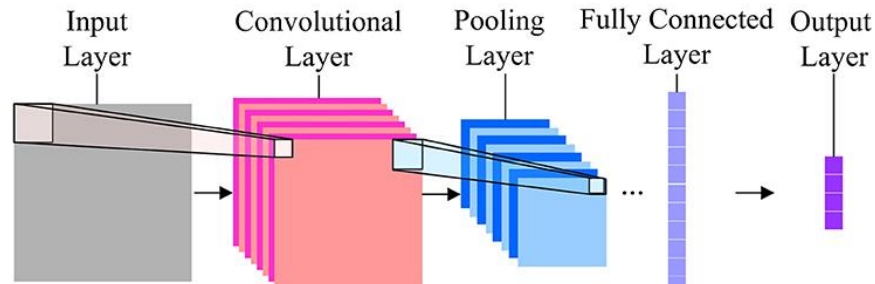
Filter /
Kernel

=

51		

Feature

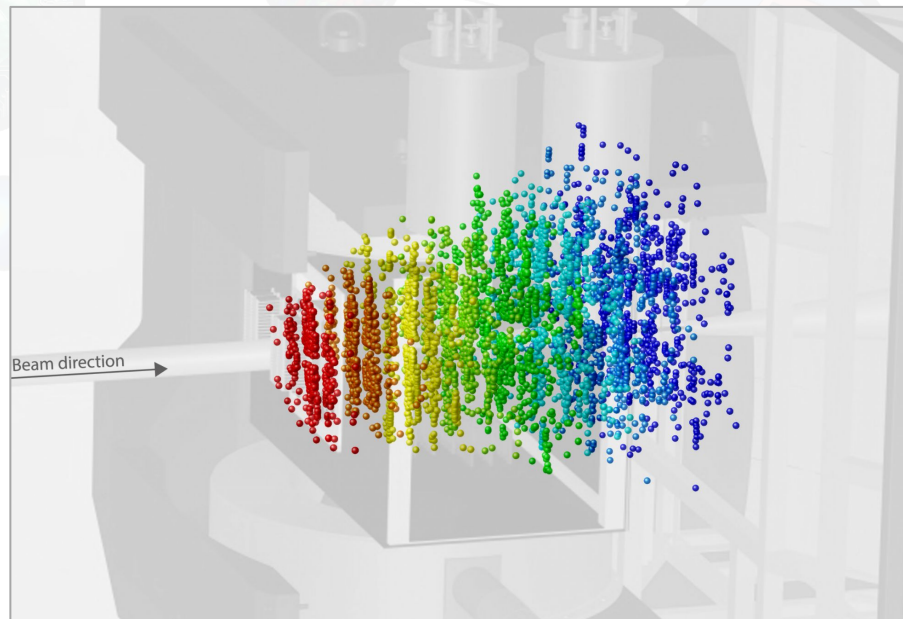
Convolution operation



Convolution Neural Network

Experimental data as point clouds

- Point cloud: set of data points in space
 - No ordering
 - $\{(x_1, y_1, z_1), (x_2, y_2, z_2), \dots, (x_n, y_n, z_n)\}$
 - Not limited to 3 dimensions
- Electronically collected data often has point cloud structure
 - Data from sensors, detectors etc.



DL models operating on Point clouds

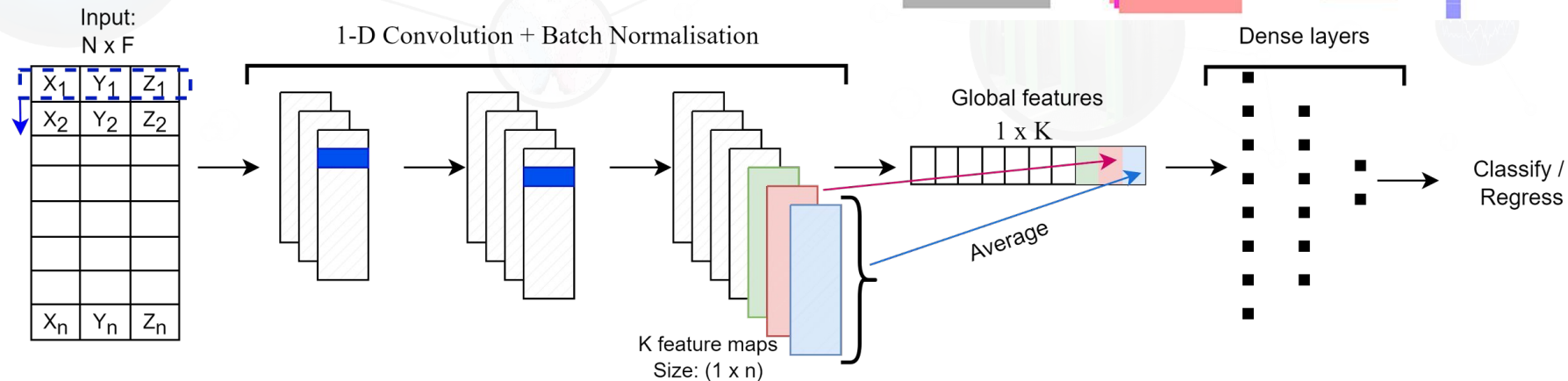


1. Works on free-streaming experimental data
2. No loss of information from histogram binning
3. Requires minimal preprocessing
4. Online physics analyses

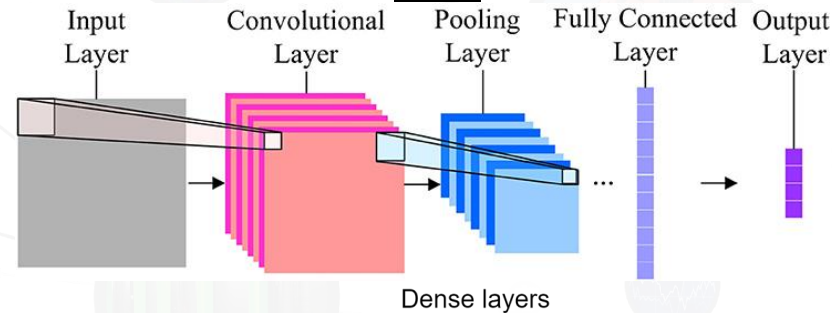
PointNet: Deep Learning for point clouds

- Conventional CNNs extract spatially correlated features
- Point clouds are order invariant

POINTNET

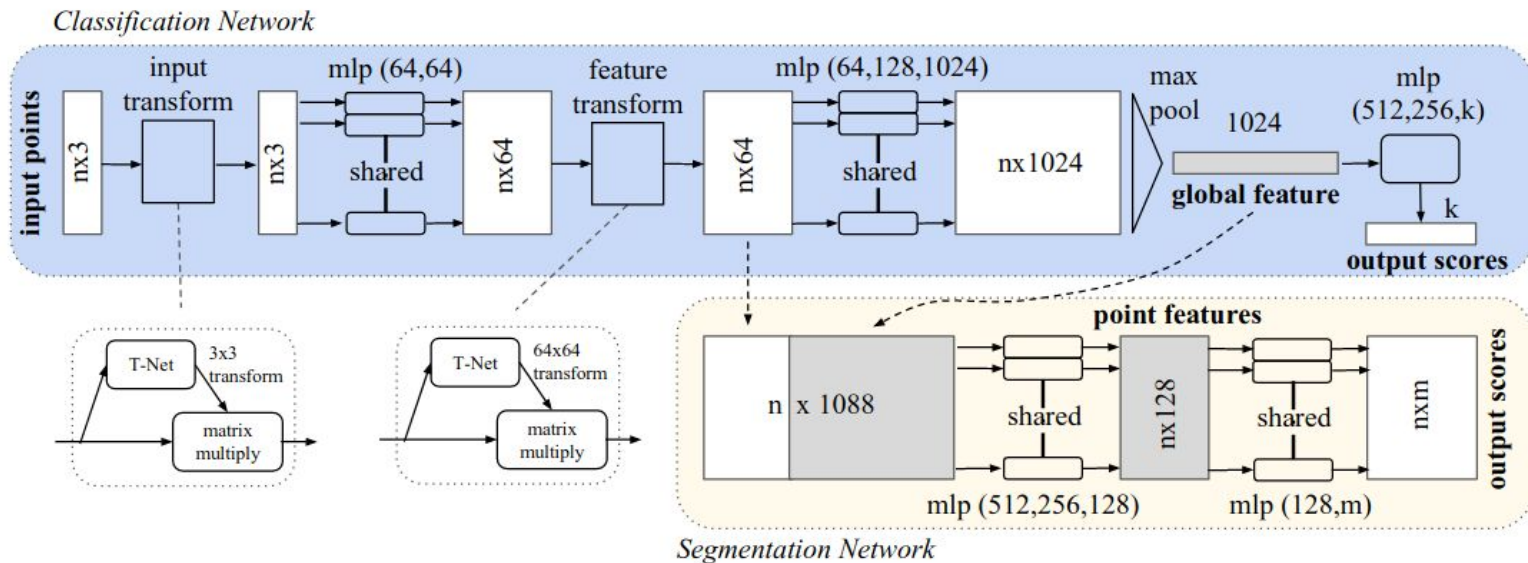


CNN



- PointNet respects order invariance by :
 - A. extracting single particle features
 - B. Symmetric transformation of these features to global event features

PointNet: Detailed Structure



A point cloud is given by set of points "X":

$$X = \{x_1, x_2, x_3, \dots, x_n\}$$

PointNet learns a set of functions "F":

$$F = \{f_1, f_2, \dots, f_m\}$$

where $f_i(\{x_1, \dots, x_n\}) \approx g(h_i(x_1), \dots, h_i(x_n))$

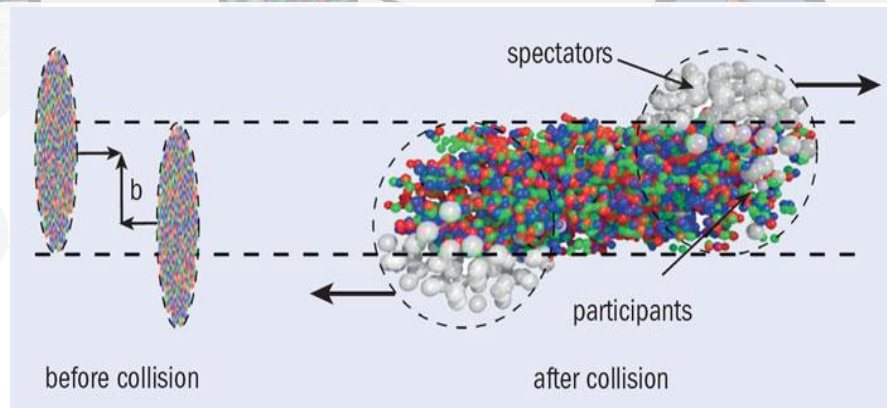
$h \sim$ MLP with shared weights/ 1D CNN
 $g \sim$ symmetric function (maxpool, avgpool, sumpool etc.)

Centrality determination at CBM

- Impact parameter 'b': not experimentally measurable
 - Glauber MC
 - Percentiles of N_{chg} , E_{spect} are mapped to collision centrality
 - Only a 'likely' distribution for b in a centrality bin is known

Our solution: PointNet based 'b' meter

- Event-by event
- Works on direct experimental output
- Online event characterisation



01

M-hits

- Hits in MVD

02

S-hits

- hits in STS

03

MS-tracks

- tracks in MVD+ STS

04

HT-combi

- MVD hits + tracks from MVD+STS

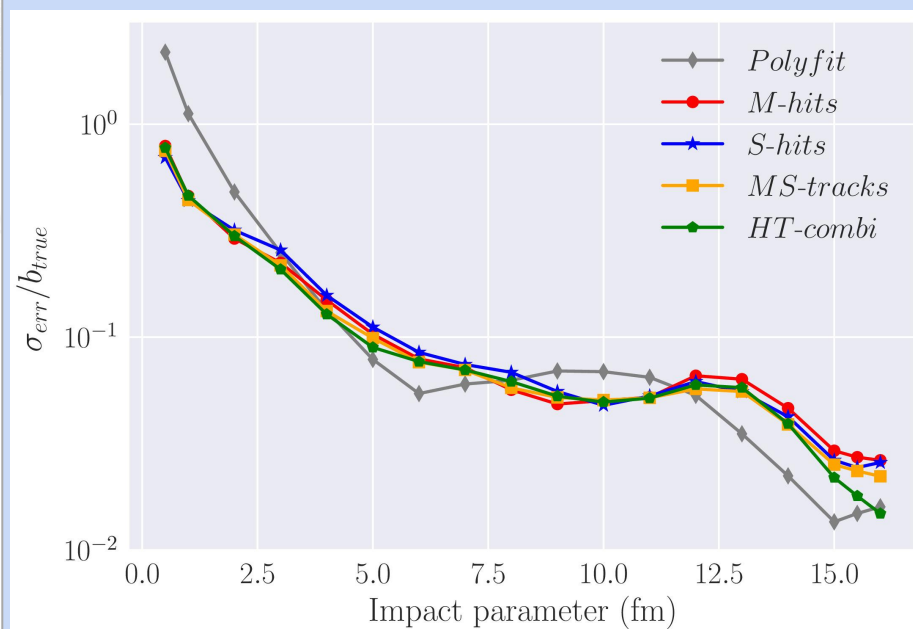
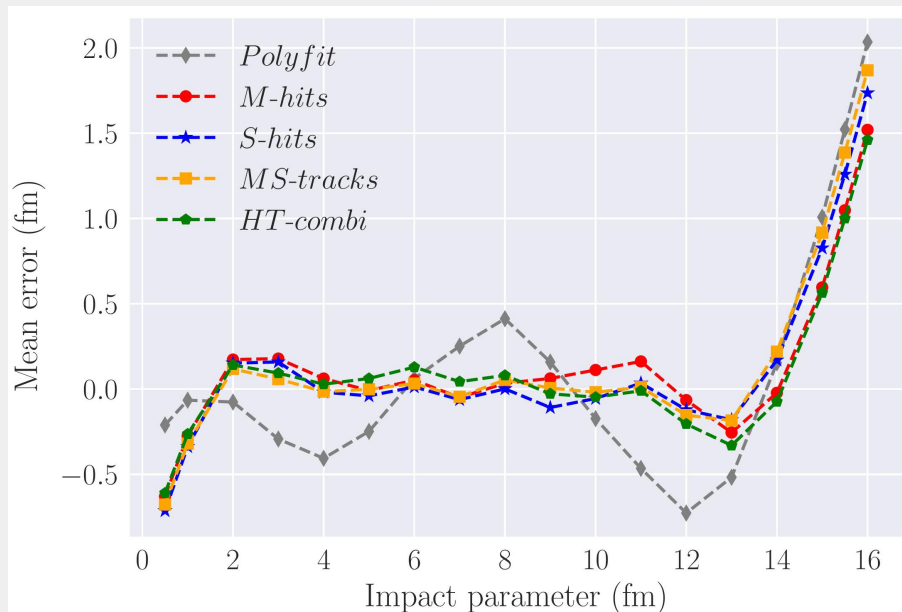
05

Polyfit (non-ML baseline)

- polynomial fit to N_{chg} vs. b

PointNet centrality meter

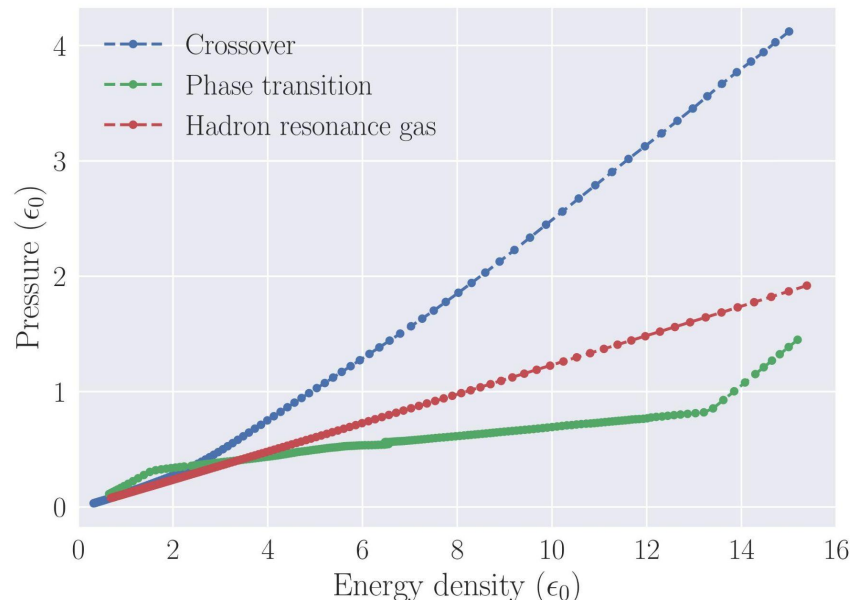
- mean error -0.3 - 0.2 fm for b= 2- 14 fm
- Polyfit: highly fluctuating



- Quantifies precision in predictions
- Polyfit fails for central events!
- Similar precision for b>3 fm

EoS classification with PointNet

- Essential input to fluid dynamics evolution
 - pressure of the medium for any given energy and net baryon number densities
- Incorporates the QCD transition
 - Pressure gradients drives the evolution
- Not directly accessible experimentally
 - Comparisons with model calculations
 - Multi-parameter fit to different observables

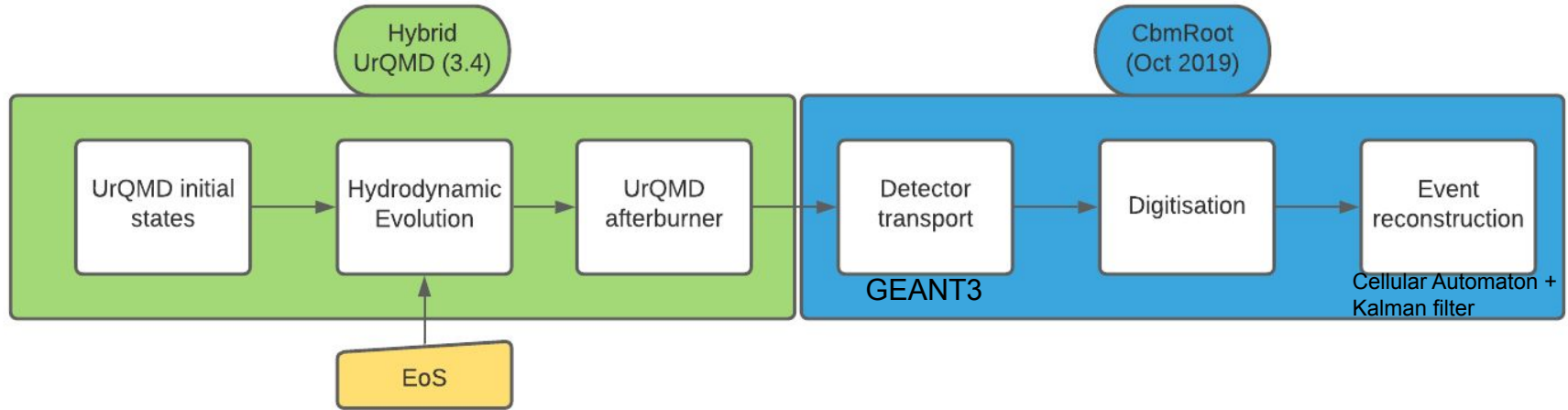


Our solution: PointNet EoS classifier

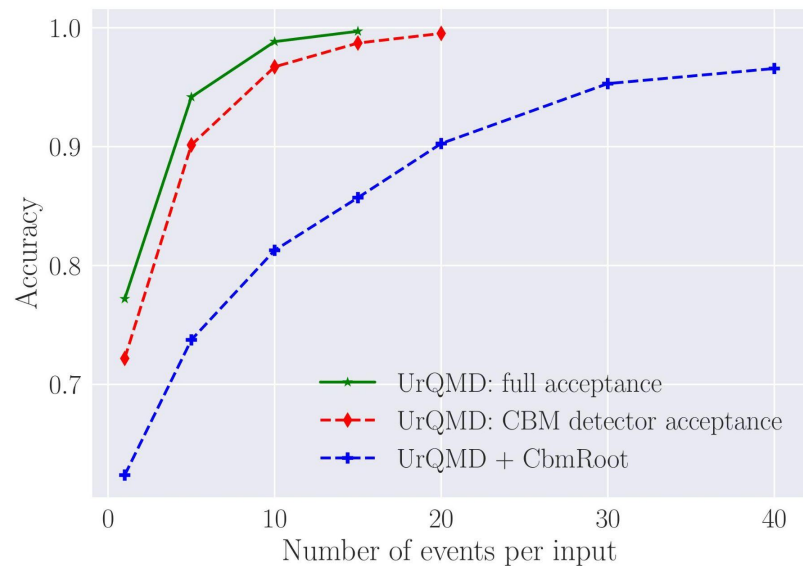
- We use:
 - **First Order Phase transition:** Maxwell construction between a bag model quark gluon EoS and a gas of pions and nucleons
 - **Crossover:** Chiral Mean Field hadron-quark EoS

Data preparation

- Design a DL based EoS meter for CBM experiment
 - increased uncertainties from electro-weak decays and other detector effects
- Raw experimental data as input
 - Minimises the biases from user defined selection criterias and other analysis algorithms

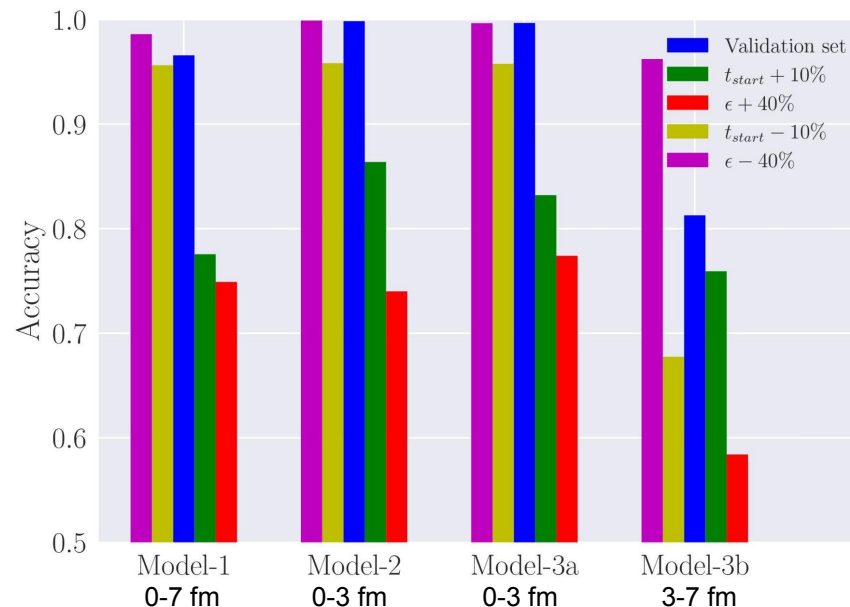


PointNet EoS meter



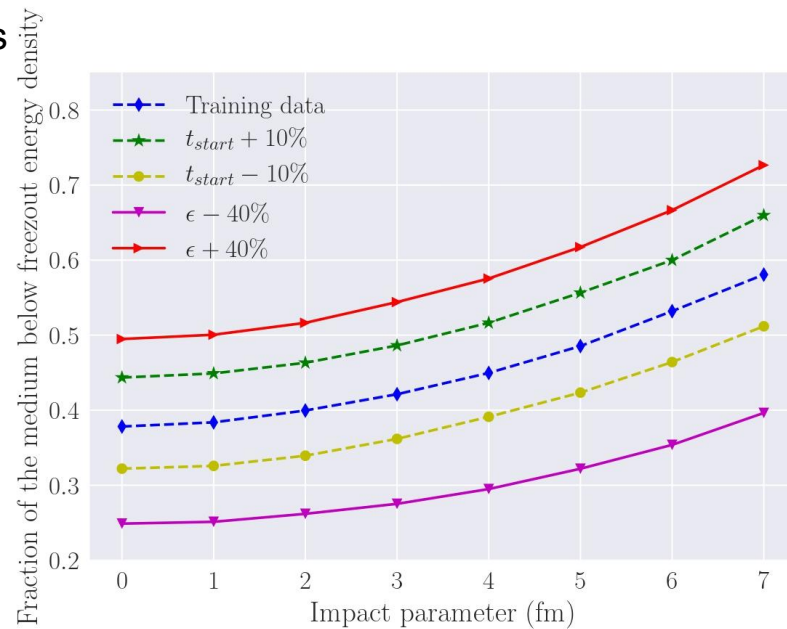
- Decrease in performance with increase in experimental effects
- Performance improves when events are combined

- Models tested for $t_{\text{start}} \pm 10\%$ and $\epsilon \pm 40\%$ from training value
- Decrease in accuracy with $t_{\text{start}} + 10\%$ or $\epsilon + 40\%$: underlying physics limitation



Reasons for dependence on centrality, t_{start} and ϵ

- For $b=0$, ~62% of the medium experiences hydro while it is ~42% for $b=7$
- Decrease in t_{start} or ϵ increases hydro duration
 - More part of system experience hydro
 - Even for $b=0$:
 - ~68% for $t_{\text{start}} - 10\%$
 - ~75% for $\epsilon - 40\%$
- Increasing t_{start} or ϵ decreases hydro duration
 - Small fraction of system experience hydro
 - For $b=0$:
 - ~55% for $t_{\text{start}} + 10\%$
 - ~50% for $\epsilon + 40\%$
- For peripheral events, decreasing t_{start} or ϵ could cause as less as ~25% of the medium to experience hydro



Summary

- PointNet based models are an excellent tool for DL based analysis in HIC experiments
- The DL models outperforms conventional methods for impact parameter determination
 - Event by event
 - Reconstructs 'b' from hits/ tracks
 - [Phys.Lett.B 811 \(2020\) 135872, Particles 2021, 4\(1\), 47-52](#)
- PointNet based DL models are an efficient tool for identifying phase transition at CBM
 - Accuracy upto 99.8%
 - Online algorithm- Works with direct experimental data
 - [Journal of High Energy Physics 2021 \(10\), 1-25](#)
- PointNet like models: not just for HIC or CBM but easily extendable to any detector based experiments
- Ongoing works on Generative modelling of HIC
 - Generates collision event as point cloud
 - Fast simulation of collision events