

Strangeness in Heavy Ion Collisions

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Why should we study strangeness in HI Collisions ?



Access to elementary strangeness production mechanism:

In HI collisions strangeness can be produced differently than in NN collisions (three body reaction)

Access to in medium properties of strange hadrons and $\Lambda(K)N$ potential (test of the theoretical predictions, -> neutron stars?)

Access to the reaction dynamics

(strange particles come exclusively from the participant zone -> different v_2)

Access to quark gluon plasma properties (different T_c for strange and nonstrange hadrons?)

Why should we study strangeness in HI Collisions ?



Access to hypernuclei formed mostly from spectators

dynamics of interface between participants and spectators formation and properties of hypernuclei offers the possibility to hypernucleus spectroscopy



Access to midrapidity hypernuclei

observed up to LHC energies phase space distribution of baryons and ΛN interaction at different densities

Problem: for many details (equation of state) no observable

 \rightarrow one has to simulate the collision on a computer

How to model Heavy Ion Collisions on a Computer

Present microscopic approaches:

- ↓ VUU(1985), BUU(1985), HSD(1996), PHSD(2008), SMASH(2016) solve the time evolution of the one-body phase-space density of nucleons in a mean field
 → good for studies of the EOS but not for fragments (2 body correlations)
- UrQMD is a n-body model but makes clusterization via coalescence and a statistical fragmentation model
- □ (I)QMD is a n-body model but is limited to energies < 1.5 AGeV
 → describes fragments at SIS energies, but conceptually not adapted for NICA/FAIR energies and higher
- □ PHQMD is a new n-body model not limited to low beam energies
 → includes the formation of a quark gluon plasma et higher energies at low energies similar to (I)QMD

All these models propagate nucleons, no clusters: In order to understand the microscopic origin of cluster formation one needs:

- a realistic model for the dynamical time evolution of HICs
- dynamical modelling of cluster formation based on interactions

Transport eqs. for N-body theories like (PH)QMD, AMD, FMD

Roots in Quantum Mechanics

Remember QM cours when you faced the problem:

- we have a Hamiltonian
- the Schrödinger eq.

$$\hat{H} = -\frac{h^2 \sqrt{2}}{2m} + V$$

$$\hat{H}|\psi_j\rangle = E_j|\psi_j\rangle$$

has no analytical solutionwe look for the ground state energy

Ritz variational principle:

Assume a trial function $\psi(q, \alpha)$ which contains one adjustable parameter α , which is varied to find the lowest energy expectation value:

$$\frac{d}{d\alpha} < \psi |\hat{H}|\psi >= 0 \rightarrow \alpha_{min}$$

determines α for which $\psi(q, \alpha)$ is closest to the true ground state w.f. and $\langle \psi(\alpha_{min}) | \hat{H} | \psi(\alpha_{min}) \rangle = E_0(\alpha_{min})$ closest to the true ground state energy





Extended Ritz variational principle (Koonin, TDHF)

Take trial wavefect with time dependent parameters and solve $\delta \int_{t_1}^{t_2} dt < \psi(t) |i\frac{d}{dt} - H|\psi(t) >= 0.$ (1)

QMD trial wavefet for particle i with p_{oi} (t) and q_{oi} (t)

$$\psi_{i}(q_{i}, q_{0i}, p_{0i}) = Cexp[-(q_{i} - q_{0i} - \frac{p_{0i}}{m}t)^{2}/4L] \cdot exp[ip_{0i}(q_{i} - q_{0i}) - i\frac{p_{0i}^{2}}{2m}t]$$

For N particles:
$$\psi_{N} = \prod_{i=1}^{N} \psi_{i}(q_{i}, q_{0i}, p_{0i}) \qquad \text{QMD}$$
$$\psi_{N}^{F} = Slaterdet[\prod_{i=1}^{N} \psi_{i}(q_{i}, q_{0i}, p_{0i})] \qquad \text{AMD/FMD}$$

For the QMD trial wavefct eq. (1) yields

$$\frac{dq}{dt} = \frac{\partial < H >}{\partial p} \quad ; \quad \frac{dp}{dt} = -\frac{\partial < H >}{\partial q}$$

For Gaussian wavefct eq. of motion very similar to Hamilton's eqs. (but only for Gaussians !!)

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Quantum Molecular Dynamics (QMD)

nucleon-nucleon density dependent two body potential:

$$\begin{split} V^{ij} &= G^{ij} + V^{ij}_{\text{Coul}} \\ &= V^{ij}_{\text{Skyrme}} + V^{ij}_{\text{Yuk}} + V^{ij}_{\text{mdi}} + V^{ij}_{\text{Coul}} + V^{ij}_{sym} \\ &= t_1 \delta(\vec{x}_i - \vec{x}_j) + t_2 \delta(\vec{x}_i - \vec{x}_j) \rho^{\gamma - 1}(\vec{x}_i) + t_3 \frac{\exp\{-|\vec{x}_i - \vec{x}_j|/\mu\}}{|\vec{x}_i - \vec{x}_j|/\mu} + \\ &\quad t_4 \ln^2 (1 + t_5 (\vec{p}_i - \vec{p}_j)^2) \delta(\vec{x}_i - \vec{x}_j) + \frac{Z_i Z_j e^2}{|\vec{x}_i - \vec{x}_j|} + \\ &\quad t_6 \frac{1}{\varrho_0} T^i_3 T^j_3 \delta(\vec{r}_i - \vec{r}_j) \end{split}$$

 $t_1 - t_4$ depend on the EoS t_4 contains the momentum dependence of the potential

• In addition cross sections: NN elastic, NN $\leftarrow \rightarrow$ N Δ , $\Delta \rightarrow$ N π

PHQMD

<u>The goal:</u> to develop a unified n-body microscopic transport approach for the description of heavy-ion dynamics and dynamical cluster formation from low to ultra-relativistic energies

<u>Realization:</u> combined model **PHQMD** = (PHSD & QMD) & SACA

Parton-Hadron-Quantum-Molecular Dynamics

Initialization → propagation of baryons: QMD (Quantum-Molecular Dynamics)

Propagation of partons (quarks, gluons) and mesons + collision integral = interactions of hadrons and partons (QGP) from PHSD (Parton-Hadron-String Dynamics)

Clusters recognition: SACA (Simulated Annealing Clusterization Algorithm) vs. MST (Minimum Spanning Tree)

> SAC A: R. K. Puri, J. Aichelin, J.Comput.Phys. 162 (2000) 245-266 PHSD: W. Cassing, E. Bratkovskaya, PRC 78 (2008) 034919; NPA831 (2009) 215



Time evolution (IQMD)





Strange hadrons as a tool to study the nuclear reaction dynamics and the nuclear equation of state

Nuclear equation of state

 Experimentally one can explore the region of large (T,µ)

but the EoS is not an observable



- develop transport approaches
 which simulate the heavy ion collisions
- vary EoS in the simulation
- identify observables which are sensitive to the EOS
- compare experimental measurements with theory



The Phase Diagram of Strongly Interacting Matter



Nuclear equation of state (theory)

theory is limited to

to low temperature and $\rho < 1.5 - 2\rho_0$ Brückner G –matrix (hole line expansion) high temperatures and µ≈0 lattice gauge calculations

At low energies E/A(T, ρ) instead P(T, $\rho(\mu)$) or $\epsilon(T,\rho(\mu)$





Strangeness and the nuclear equation of state



- AA collisions:
 - experimental observation of K⁺,K⁻ production below the NN-threshold

- NN: Excitation function of K⁺ and K⁻ quite different
- AA: Excitation function of K⁺ and K⁻ quite similar
- Fermi motion cannot explain very subthreshold production
 - Conclusion AA: new mechanisms for strangeness production

Near threshold strangeness production in AA



III. $K(K^{-})$ -Nucleus potential $V(\rho)$

2.0

15

E_{beam} (GeV)

10⁻⁵

0.5

1.0

Origin of the different excitation functions

Dominant for K⁺ in AA: Two step process NN \rightarrow N Δ N $\Delta \rightarrow$ K⁺ \wedge N



lowers the effective threshold enhances K⁺ below NN threshold

two step process more probable in central collision

Theory and simulations: soft EoS: system gets to higher densities \rightarrow mean free path for N $\Delta \rightarrow K^+\Lambda$ N shorter

 $N\Delta \rightarrow K^+ \wedge N$ competes with Δ decay

→ for a soft EOS we expect more $N\Delta$ →K+ Λ N collisions

and hence more K⁺

Strangeness production and the nuclear EoS



Comparison with experiment

- confirmes the EoS dependence of K⁺ yield
- soft EoS: best agreement with data

Up to today the observable which shows the strongest EoS dependence

 Perspectives: FAIR and NICA (Russia) have higher beam energies excitation functions of Ξ and Ω become available sensitive probes for studying the reaction mechanism

Cluster and Hypercluster in Heavy Ion Collsions

Clusters in HICs



There is more than multiplicity of clusters



In addition, cluster open new physics opportunities

- possible signals of a 1st order phase transition at finite µ
- fluctuations of the phase space densities of nucleons
- hyper-nucleus formation at mid as well as target/proj. rapidities

Baryons in clusters have quite different properties ($v_1, v_2, dn/dp_T$)

and explore therefore different phase space regions:



Last but not least: How it is possible that clusters survive ?

- Freeze out temperature of hadrons: 120 158 MeV Binding energy of clusters: around 5 MeV/N
- Clusters cannot survive a heat bath of more than 120 MeV.
- The first collision with a heat bath constituent would destroy them
- But they exist!!!!!

Ice in a fire' puzzle:

how a weakly bound objects (cluster) can be formed and survive in a hot enviroment ?!



ALICE, NPA 971, 1 (2018)

Methods to identify clusters in models which propagate nucleons:

Static approaches:

cluster multiplicity determined at a fixed time or temp

- -- coalescence (early, assumption: no coll. later)
- -- statistical model (V,T,N) very late $\rho << \rho_0$

Dynamical approaches:

cluster multiplicity is a function of time

- -- minimum spanning tree (correlation in coord. space)
- -- simulated annealing (correlation in mom and coord. space)
- -- time dep. perturbation theory using Wigner densities



Sudden Formation of Clusters

Statistical model:

Sudden freeze out of a strongly Interacting system

Describes the multiplicities but not the spectra (yield V,T, μ)

Coalescence:





Dynamical cluster production

I. Minimum Spanning Tree

I. Minimum Spanning Tree (MST) is a cluster recognition method applicable for the (asymptotic) final state where coordinate space correlations may only survive for bound states. The MST algorithm searches for accumulations of particles in coordinate space:

1. Two particles are bound if their distance in coordinate space fulfills

 $\left| \vec{r}_i - \vec{r}_j \right| \le 4 \text{ fm} \text{ (range of NN potential)}$

2. A particle is bound to a cluster if it is bound with at least one particle of the cluster.



Additional momentum cuts (coalescence) change little:

large relative momentum-> finally not at the same position

II.SACA or ECRA now FRIGA

If we want to identify fragments earlier one has to use momentum space info as well as coordinate space info

Idea by Dorso et al. (Phys.Lett.B301:328,1993) :

a) Take the positions and momenta of all nucleons at time t.

- b) Combine them in all possible ways into all kinds of fragments or leave them as single nucleons
- c) Neglect the interaction among clusters

d) Choose that configuration which has the highest binding Energy

Simulations have shown that the most bound configuration is the precursor of the final fragment distribution (large persistent coefficient)

How does Simulated Annealing work?

SACA: PLB301,328; J.Comp.Phys.162,245, NPA619,375 now FRIGA :Nuovo Cim. C39,399 (including symmetry and pairing energy)

Take randomly 1 nucleon out of a fragment

Add it randomly to another fragment







$E = E^{1}_{kin} + E^{2}_{kin} + V^{1} + V^{2}$

 $E'=E^{1'}_{kin}+E^{2'}_{kin}+V^{1'}+V^{2'}$

There is no interaction between clusters-> no energy conserv.

If E' < E take the new configuration

If E' > E take the old with a probability depending on E'-E

Repeat this procedure very many times

 \rightarrow Leads automatically to the most bound configuration



IQMD

SACA can really identify the fragment pattern very early as compared to the Minimum Spanning Tree (MST) which assumes that two nucleons form a fragment if they are closed than r_{max} .

At 1.5t_{pass} Amax and multiplicities of intermediate mass fragments are determined

N-body models can produce cluster with the right E_{bind}





- Cluster formation is sensitive to nucleon dynamics
- ➔ One needs to keep the nucleon correlations (initial and final) by realistic nucleon-nucleon interactions in transport models:
- QMD (quantum-molecular dynamics) allows to keep correlations
- MF (mean-field based models) correlations are smeared out
- Cascade (no potential) correlations kept but nucleons do not stay together

Cluster stability over time:

V. Kireyeu, PRC 103, 044905





PHQMD: heavy clusters



hyper-nuclei of HypHi



Rapdity and p_T spectra of hyper-clusters are reproduced despite of the complicated physics:

- Modeling of A production
- Interface between participants and spectators
- Absorption of A by spectators



PHQMD ,bulk' dynamics from SIS to RHIC



PHQMD provides a good description of hadronic 'bulk' observables from SIS to RHIC energies



Cluster production in HIC at AGS energies





The p_T - distributions of t and ³He from Au+Pb at 10.6 A GeV







The rapidity distributions of d and ³He from Pb+Pb at 30 A GeV



The PHQMD results for d and ³He agree with NA49 data







Excitation function of multiplicity of p, \bar{p} , d, \bar{d}



The p, \bar{p} yields at y~0 are stable, the d, \bar{d} yields are better described at t= 60-70 fm/c



Deuteron p_T spectra from 7.7GeV to 200 GeV



Comparison of the PHQMD results for the deuteron p_T -spectra at midrapidity with STAR data



Coalescence parameter B₂ for deuterons





The PHQMD comparison with most recent STAR fixed target p_T distribution of ${}^{3}H_{\Lambda}$, ${}^{4}H_{\Lambda}$ from Au+Au central collisions at $\sqrt{s} = 3$ GeV nucleon-hyperon potential: $V_{N\Lambda} = 2/3 V_{NN}$





The PHQMD predictions of the rapidity distribution of ${}^{3}H_{\Lambda}$, ${}^{4}H_{\Lambda}$ and ${}^{4}He_{\Lambda}$ from Pb+Pb central collisions at 30 A GeV (s^{1/2} = 8.8 GeV)

• nucleon-hyperon potential: $V_{N\Lambda} = 2/3 V_{NN}$



How the clusters are produced ('ice in fire' puzzle)



- The normalized distribution of the freeze-out time of baryons (nucleons and hyperons) which are finally observed at mid-rapidity |y|<0.5</p>
- * Here freeze-out time is defined as a last elastic or inelastic collision, after that only potential interaction between baryons occurs



- → Freeze-out time of baryons in Au+Au at 1.5 AGeV and 40 AGeV:
- similar profile since expansion velocity of mid-rapidity fireball is roughly independent of the beam energy



□ The conditional probability P(A) that the nucleons, which are finally observed in A=2 clusters at time 135 fm/c, were at time *t* the members of A=1 (free nucleons), A=2 or A=3 clusters



Stable clusters (observed at 135 fm/c) are formed shortly after the dynamical freeze-out



- □ The snapshot (taken at time 30 and 70 fm/c) of the normalized distribution of the transverse distance r_T of the nucleons to the center of the fireball.
- It is shown for A=1 (free nucleons) and for the nucleons in A=2 and A=3 clusters



- Transverse distance profile of free nucleons and clusters are different! Clusters are formed behind the "front" of free nucleons of the expanding fireball
 - \rightarrow Cluster nucleons and free nucleons are not at the same place
 - \rightarrow Clusters do not get destroyed by the free hadrons

Perspectives

Up to now: $V_{\Lambda N} = 2/3 V_{NN}$; $m_{\Lambda} = 1115 \text{ MeV}$; $K^{+/-}$ only density dependent V Reality is much more complicated Coupled channel G-matrix with effectiv Chiral Lagrangian (PRC103,044901): Modification of particle properties and cross sections









This has to be integrated in PHQMD for more precise predicitions

cluster studies \rightarrow N-body approach for the dynamics of the nucleons

K⁺ yield up to today the most precise probe to measure the nuclear EOS

(Hyper)clusters: Minimum spanning tree (only applicable for t $\rightarrow \infty$)

Simulated annealing (SACA, FRIGA) can identify fragments during the HI reaction → allow for identifying when and how fragments are formed not easy to be applied for small clusters

PHQMD and IQMD are quite successful to interpret (hyper)cluster data

Perspectives: More realistic K A N dynamics hopefully much more (hyper)cluster data to see whether the prediced production yield is correct to study hyperclusters in detail

Thank you