

# How fast do heavy quarks thermalize in the QGP?

(The chromo-electric and chromo-magnetic correlators at  $T > 0$  in 2+1 flavor QCD)

## 1. Review of quenched lattice QCD at 1.5 $T_c$ 10.1103/PhysRevD.103.014511 (2021)

Bielefeld U.: Altenkort, Kaczmarek, Mazur, Shu  
TU Darmstadt: Eller, Moore

## 2. First results from 2 + 1 flavor lattice QCD

Bielefeld U.: Altenkort, Kaczmarek, Shu  
Brookhaven NL: Petreczky, Mukherjee  
U. of Stavanger: Larsen  
(HotQCD collaboration)

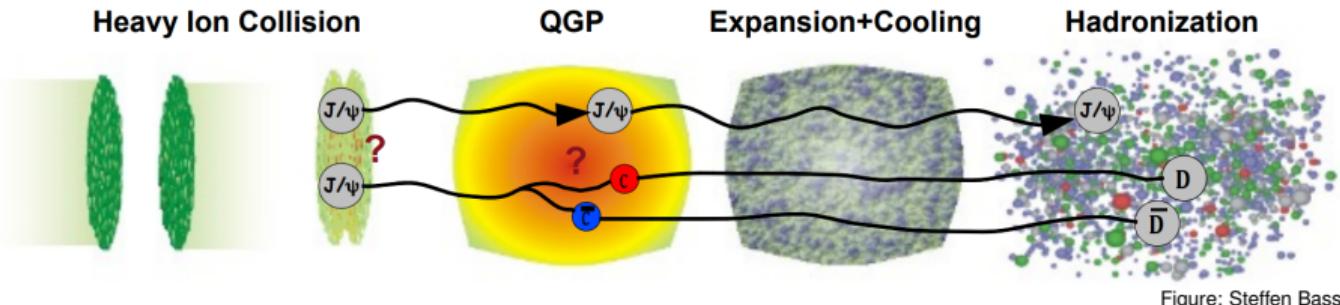


Figure: Steffen Bass

## Why heavy quark diffusion?

- direct window into strong in-medium QCD force:
    - Exp. data ( $v_2$ ,  $R_{AA}$ ) → considerable collective motion! →  $\tau_{\text{heavy}} \stackrel{?}{\approx} \frac{1}{T}$   
 $\nearrow$  ALICE (2019),  $\nearrow$  ALICE (2018)
    - Naive hydro:  $\tau_{\text{heavy}} \simeq \frac{M}{T} \tau_{\text{light}}$  →  $\tau_{\text{light}} \stackrel{?}{\ll} \frac{1}{T}$
- varying results for  $T$ -dep. across theoretical models  $\nearrow$  Dong, Lee, Rapp (2019)
  - input for quarkonium production models  $\nearrow$  Brambilla et al. (2021)

## Calculate $\tau_{\text{heavy}}$ from first principles?

- nonrel. limit  $M \gg \pi T \Rightarrow$  Langevin dynamics

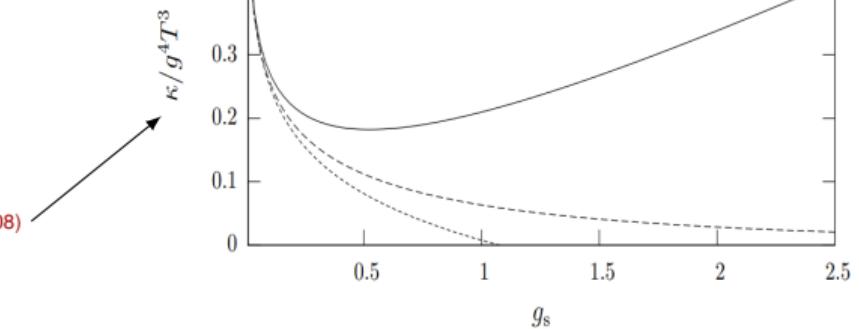
$\circlearrowleft$  Moore, Teaney (2005)  $\circlearrowleft$  Casalderrey-Solana, Teaney (2006)

$\Rightarrow$  (momentum) diffusion coefficient

$$\tau_{\text{heavy}} = \frac{M}{T} D = \frac{2MT}{\kappa}$$

- Perturbation theory unreliable!  $\circlearrowleft$  Caron-Huot, Moore (2008)

$\Rightarrow$  nonpert. lattice QCD



## Diffusion coefficients from the lattice?!

🔗 Caron-Huot, Laine, Moore (2009)  
🔗 Petreczky, Teaney (2005)

- Linear response theory

⇒ **in-eq. spectral functions (SPF)**

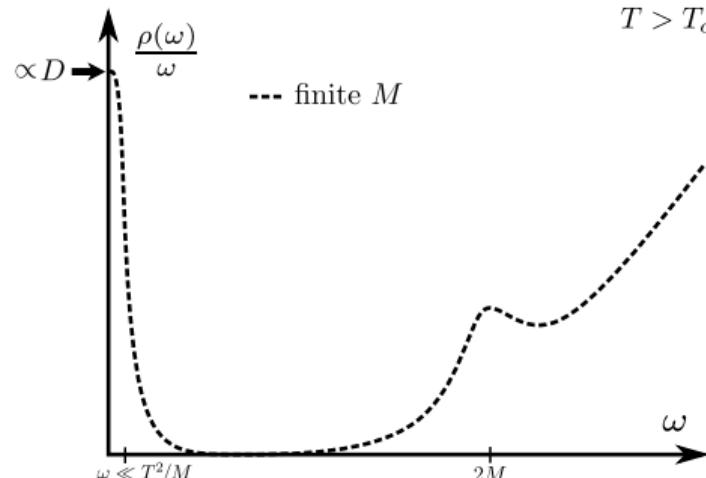
$$D \sim \lim_{\omega \rightarrow 0} \frac{\rho^{ii}(\omega)}{\omega}$$

with  $\rho^{ii}(\omega) = \int_{t,x} e^{i\omega t} \left\langle \frac{1}{2} [\hat{J}^i(x,t), \hat{J}^i(0,0)] \right\rangle$

→ HQ vector current

- reconstruct from **Euclidean correlators**:

$$G(\tau) = \int_0^\infty d\omega \rho(\omega) \frac{\cosh(\omega(\tau - \frac{\beta}{2}))}{\sinh(\omega \frac{\beta}{2})}$$



1. instead of  $D$ , consider  $\kappa$   
(encoded in the tail)

2. use HQET:  
expand in  $1/M$ ,  
replace  $\hat{J}^i$  with LO version,  
...

⇒  $G(\tau)$  = color-electric two-point function  
(force-force correlator)

⇒  $\kappa = \lim_{\omega \rightarrow 0} 2T \frac{\rho(\omega)}{\omega}$

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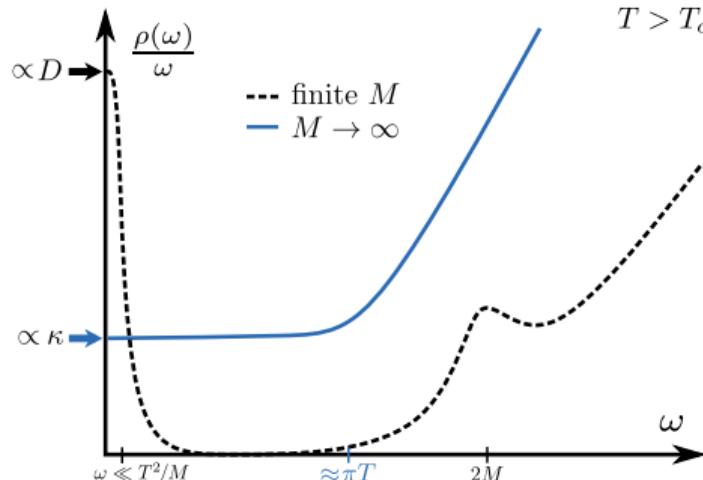
⇒ in-eq. spectral functions (SPF)

$$D \sim \lim_{\omega \rightarrow 0} \frac{\rho^{ii}(\omega)}{\omega} \quad \text{with} \quad \rho^{ii}(\omega) = \int_{t,x} e^{i\omega t} \left\langle \frac{1}{2} [\hat{J}^i(x,t), \hat{J}^i(0,0)] \right\rangle$$

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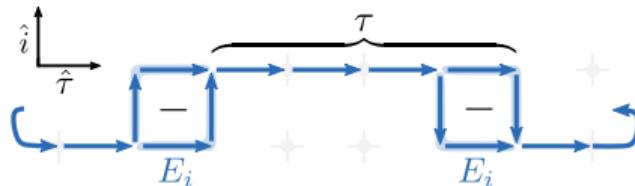
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## Gluonic color-electric correlator ✓ Caron-Huot, Laine, Moore (2009)

$$G(\tau) \equiv \frac{1}{3} \sum_{i=1}^3 -\frac{\langle \text{Re} \text{tr } U(\beta, \tau) g E_i(\tau) U(\tau, 0) g E_i(0) \rangle}{\langle \text{Re} \text{tr } U(\beta, 0) \rangle}$$



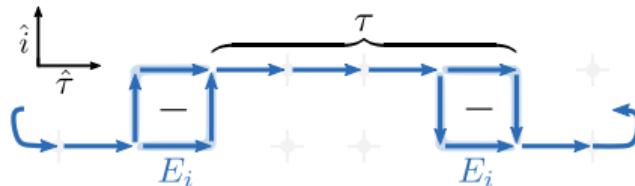
### Drawback of $M \rightarrow \infty$

- UV gauge fluctuations dominate for large  $\tau$
- large  $\tau$  most sensitive to  $\omega \rightarrow 0$   
⇒ need noise reduction!

- Weak coupling, small  $\tau$ :  $G(\tau) \sim \tau^{-4}$

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## Noise reduction via gradient flow ✓ Lüscher 2010

- supports nonlocal actions! (e.g. 2+1 flavor HISQ)
- new gaugefield parameter: “flow time”  $\tau_F$

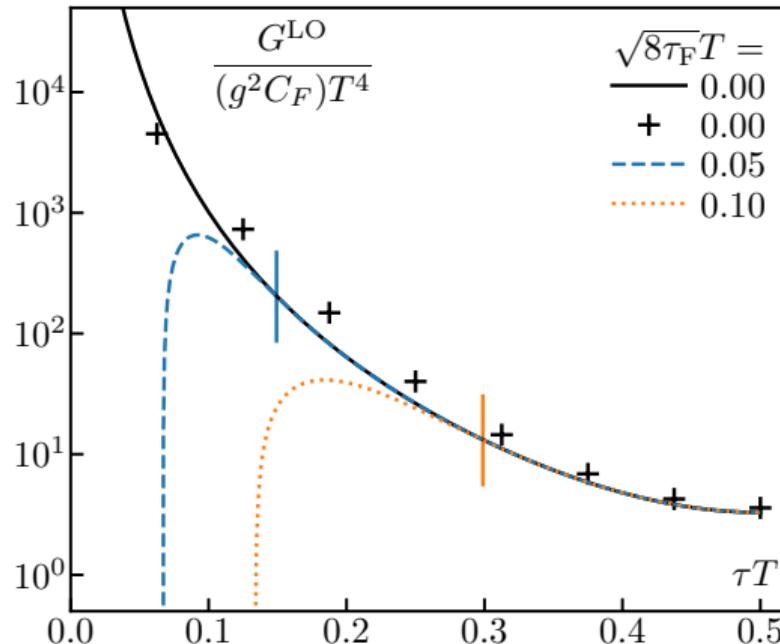
### Flow = smooth regulator:

- links continuously smeared, width  $\simeq \sqrt{8\tau_F}$ , “flow radius”
- lattice renorm. artifacts suppressed if  $\sqrt{8\tau_F} \gtrsim a$
- $G(\tau)$  free of distortion if  $\sqrt{8\tau_F} \lesssim \tau/3$  (weak-coupling LO ✓ Eller, Moore 2018 )

### Idea:

1. step-wise smearing +  $G(\tau)$  measurement
2. at each  $\tau_F$  step, extrapolate  $a \rightarrow 0$
3. extrapolate  $\tau_F \rightarrow 0$ , only consider  $a < \sqrt{8\tau_F} < \tau/3$

## Weak coupling $EE$ correlator (LO) + Wilson flow



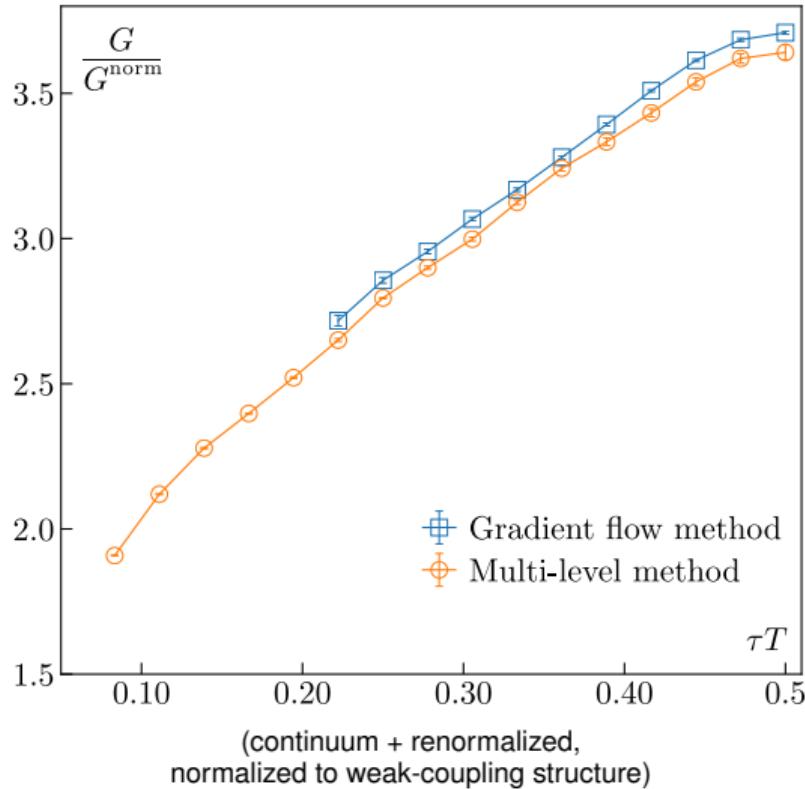
**Flow limit = lower bound for  $\tau$**

- correlator deviates  $< 1\%$  for  $\tau \gtrsim 3\sqrt{8\tau_F}$   
(vertical lines)

**Enhance nonpert. lattice data:**

- normalize to weak-coupling structure  $\equiv G^{\text{norm}}$
- remove tree-level discretization errors

**Quenched,  $1.5T_c$ , Wilson action**  
 $a \rightarrow 0, \tau_F \rightarrow 0$



### Comparison to previous method

- shape consistent with Multi-level results (only pert. renormalized)
  - ⌚ Francis et al. 2015 , ⌚ Christensen, Laine 2016
- overall shift?
  - ⌚ nonperturbative renormalization
  - ⌚ better statistics

### Lattice & flow setup

$N_\sigma^3 \times N_\tau$	$a$ [fm]	
$80^3 \times 20$	0.0213	■ 10000 conf. each
$96^3 \times 24$	0.0176	■ separation:
$120^3 \times 30$	0.0139	500 sweeps of (1 HB + 4 OR)
$144^3 \times 36$	0.0116	■ $\mathcal{O}(a^2)$ -improved "Zeuthen flow"
		■ 3rd-order RK with adaptive stepsize

## Spectral reconstruction = integral inversion problem

- $$G(\tau) = \int_0^\infty d\omega \rho(\omega) K(\omega, \tau),$$

$$\kappa = \lim_{\omega \rightarrow 0} 2T \frac{\rho(\omega)}{\omega}$$

### Strategy: use spectral function models

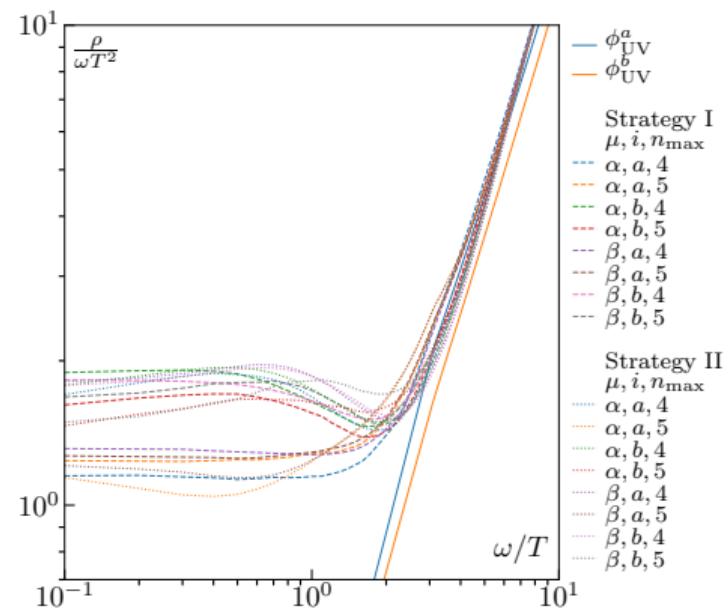
- $$\rho_{\text{model}}(\omega) \equiv I(\omega) \sqrt{[\phi_{\text{IR}}(\omega)]^2 + [\phi_{\text{UV}}(\omega)]^2}$$

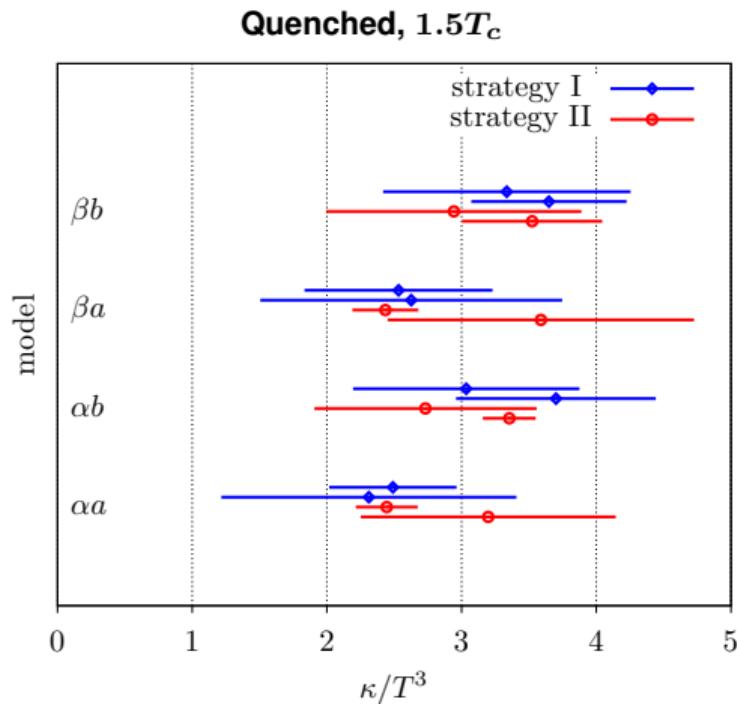
with known  $\phi_{\text{IR}}(\omega) \equiv \frac{\kappa}{2T}\omega$ ,  $\phi_{\text{UV}}(\omega) \sim \omega^3$ , ...

and various **interpolations**  $I(w)$

⇒ obtain  $\kappa/T^3$  by fitting

$$\chi^2 \equiv \sum_\tau \left[ \frac{G(\tau) - G_{\text{model}}(\tau)}{\delta G(\tau)} \right]^2$$





**Final estimate:**

$$\kappa/T^3 = 2.31 \dots 3.70$$

$$\Leftrightarrow 2\pi TD = 3.40 \dots 5.44$$

$$\Leftrightarrow \tau_{\text{heavy}} = (1.63 \dots 2.61) \left(\frac{T_c}{T}\right)^2 \left(\frac{M}{1.5 \text{GeV}}\right) \text{fm/c}$$

2+1 flavor HISQ,  $T \approx 200 \dots 350$  MeV,  $m_\pi \approx 310$  MeV

- no  $a \rightarrow 0$  and  $\tau_F \rightarrow 0$  yet

### Intermediate strategy

1. individually fix  $\tau_F$  relative to  $\tau$ :  $\frac{\sqrt{8\tau_F}}{\tau} \equiv \text{const.} < \frac{1}{3}$

2. use flow-dep. tree-level improvement

*✓ Stenbeck, Moore 2022 (unpublished)*

3. fit simple models to  $\tau T \geq 0.35$

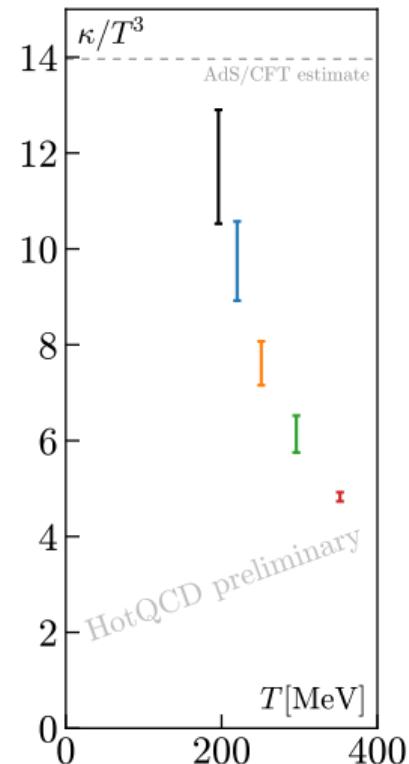
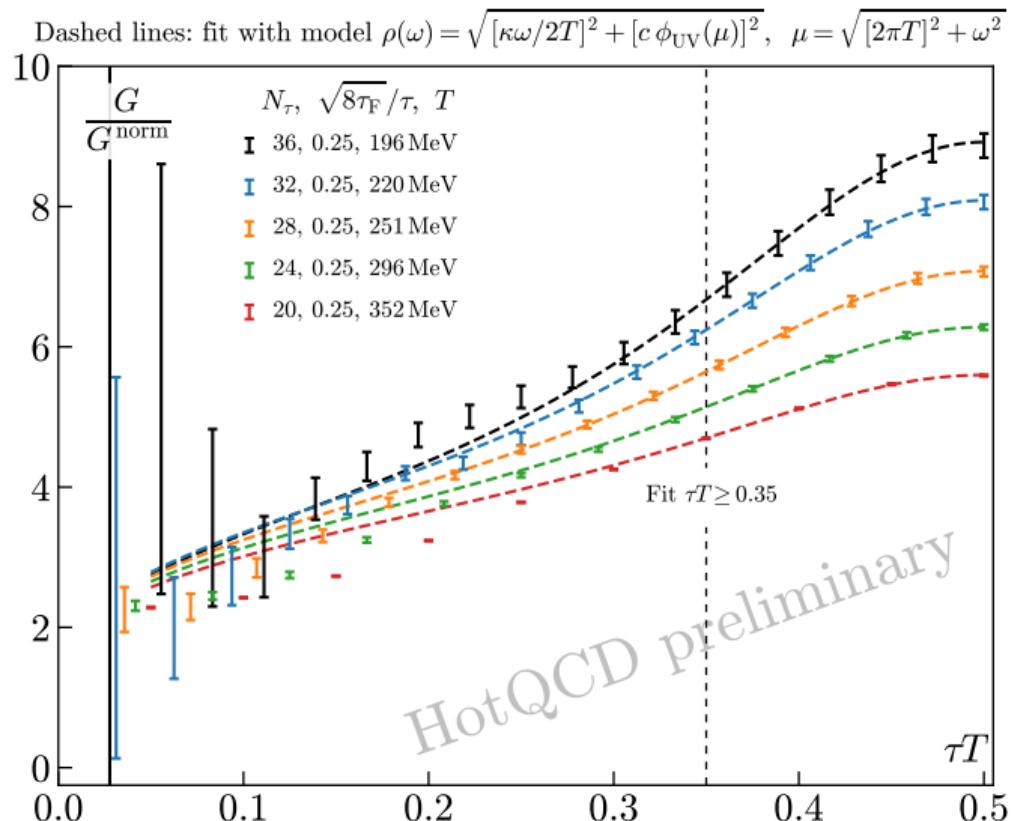
⇒ nonzero  $\tau_F$  &  $a$  effects = small corrections

⇒ tiny add. systematic error for  $\kappa/T^3$

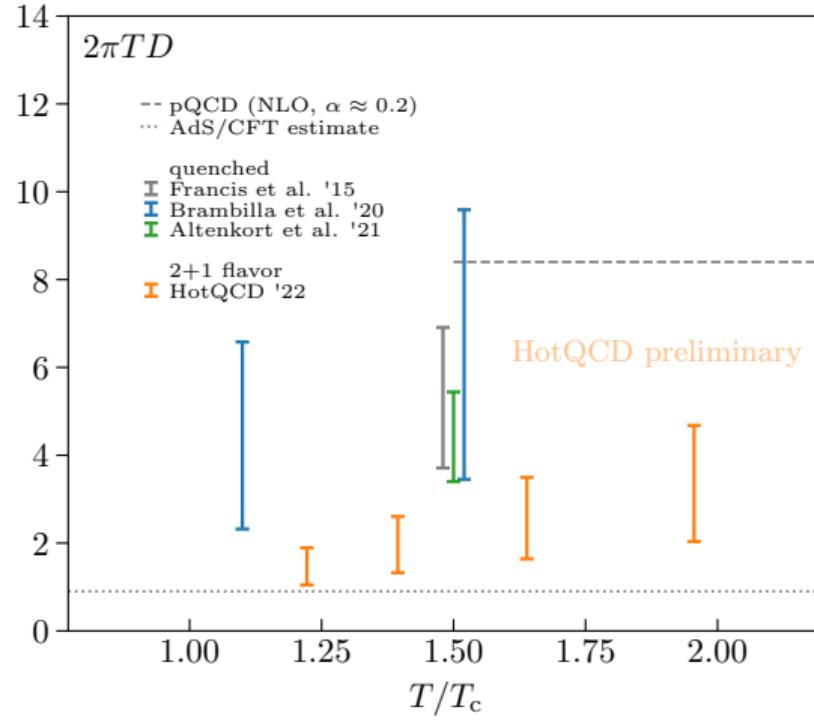
compared to SPF model systematics  
(verified through quenched data → backup)

### Lattice setup (planned)

$m_l$	$T$ [MeV]	$N_\sigma^3 \times N_\tau$	$a$ [fm]
$m_s/5$	195	$96^3 \times 36$	0.028
		$64^3 \times 24$	0.042
		$64^3 \times 20$	0.051
	220	$96^3 \times 32$	0.028
		$64^3 \times 24$	0.037
		$64^3 \times 20$	0.045
	251	$96^3 \times 28$	0.028
		$64^3 \times 24$	0.033
		$64^3 \times 20$	0.039
$m_s/27$	296	$96^3 \times 24$	0.028
		$64^3 \times 22$	0.031
		$64^3 \times 20$	0.034
	$\leq 195$	$64^3 \times 24$	
...			

**2 + 1 flavor**

## Comparison to other results



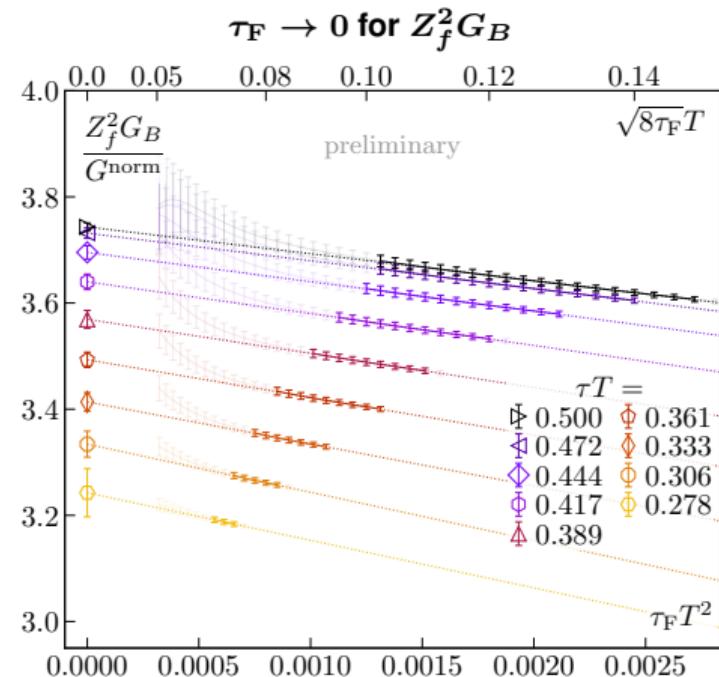
∅ pQCD: Caron-Huot, Moore (2008)  
∅ AdS/CFT: Casalderrey-Solana, Teaney (2006)

### ■ Reminder:

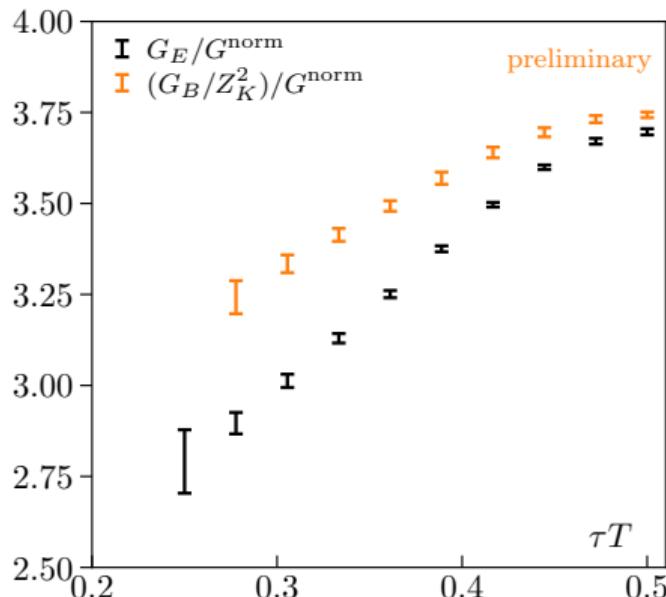
$$\frac{4\pi}{\kappa/T^3} = 2\pi TD \sim \frac{T^2}{M} \tau_{\text{heavy}}$$

## Quenched, $1.5 T_c$ : $\mathcal{O}(T/M)$ correction

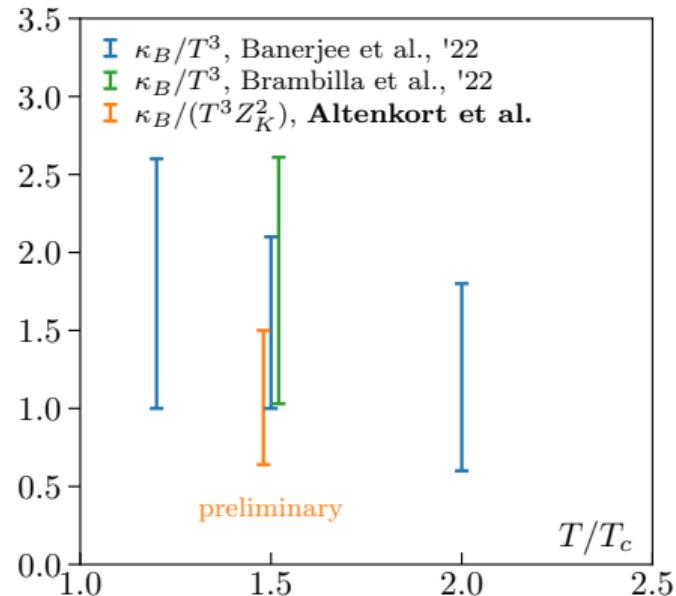
- $\kappa \simeq \kappa_E + \frac{2}{3} \langle \mathbf{v}^2 \rangle \kappa_B$  ↗ Bouttefoux, Laine (2020) ↗ Laine (2021)  
⇒ color-magnetic correlator  $G_B$
- Problem: anomalous dimension  
→ logarithm in  $G_B(\tau_F)$
- Solution: consider  $Z_B^2(Z_K^2 Z_f^2 G_B)_{\tau_F \rightarrow 0}$ 
  - cancel scale-dependence
  - match flow to  $\overline{MS}$  scheme
  - $\overline{MS}$  renorm. factor
- ⇒  $Z_f^2(\tau_F, g_{\tau_F}^2)$  obtained by integrating RG equation, ( $g_{\tau_F}^2$  measured at  $T = 0$ )
- expect  $Z_K^2 \sim 1$ , calculation in progress



### EE vs BB correlator ( $a \rightarrow 0$ , $\tau_F \rightarrow 0$ )



### Comparison to other works (quenched)



**Quenched QCD**

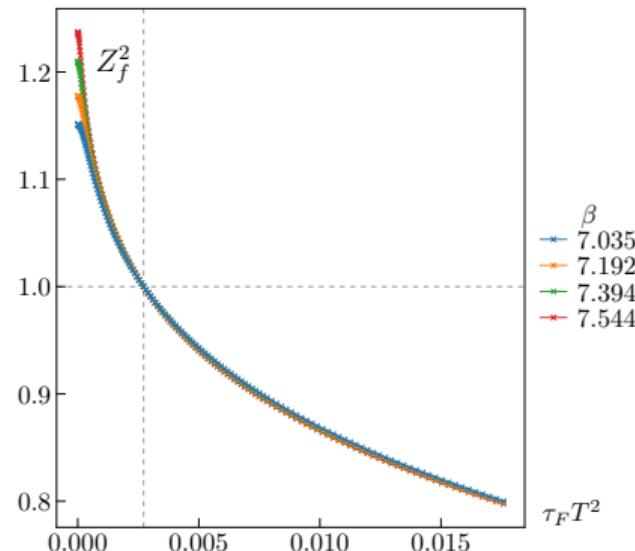
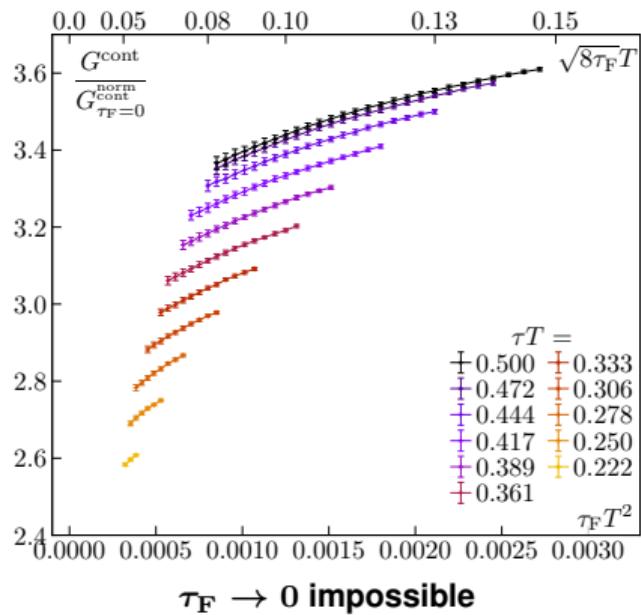
- proof-of-concept for gradient flow method  $\oslash$  LA et al. 2021
- results serve as crosscheck for systematics of 2+1 flavor data
- **Next:**  
determine finite-mass correction (color-magnetic correlator)  $\oslash$  Bouttefeux, Laine 2021

**2+1 flavor QCD**

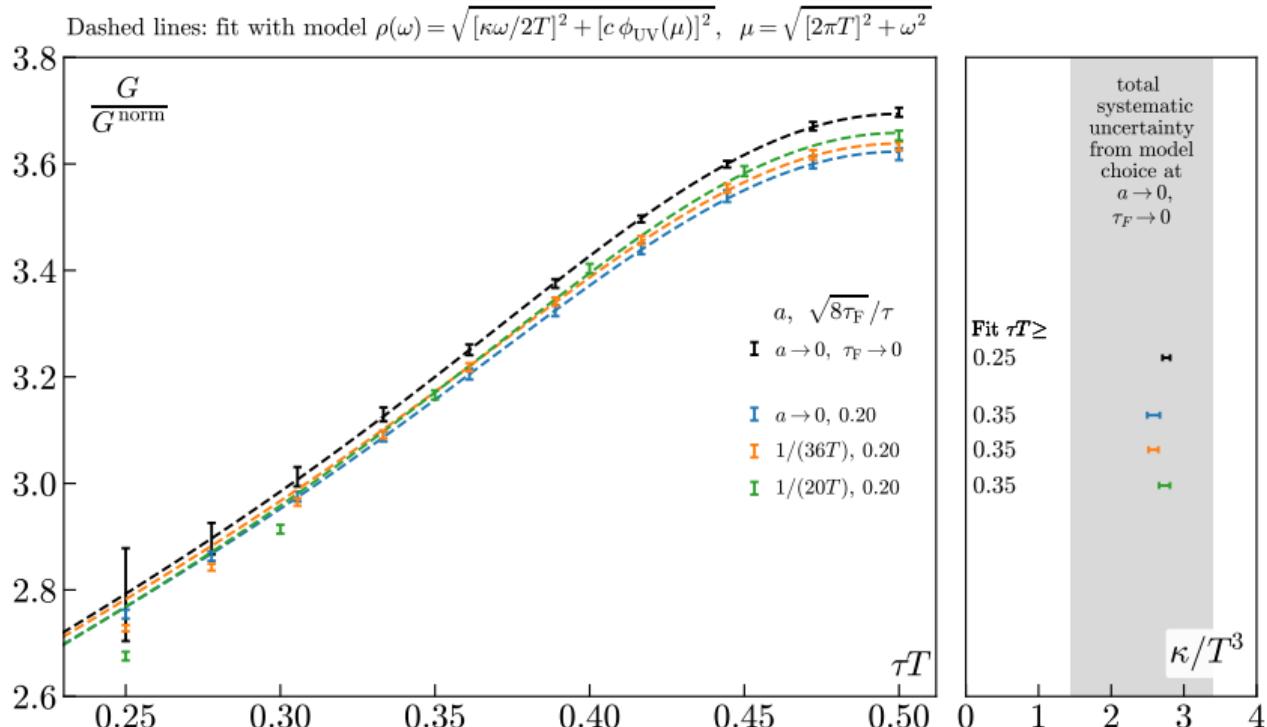
- preliminary results to constrain  $\kappa$
- **Next:**  
continuum extrapolation,  
investigate light quark mass effects,  
finite mass correction

# Backup

### “bare” BB correlator



## Quenched, $1.5T_c$ : systematics of simple model fits

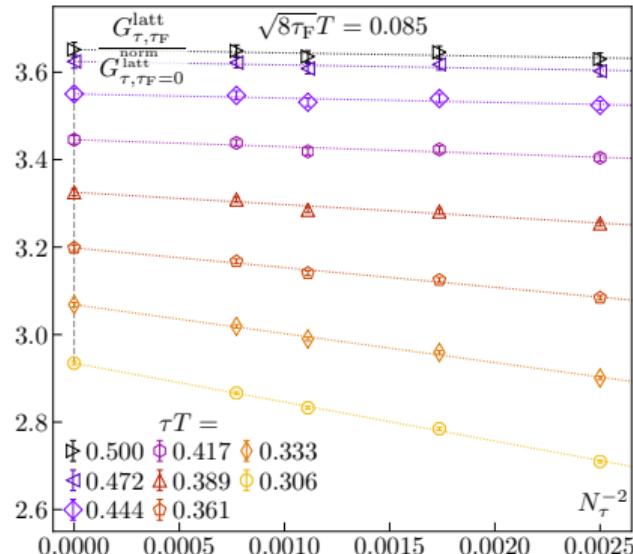


### Conclusions

- shape of correlator preserved at fixed small  $\sqrt{8\tau_F}/\tau$
- for large  $\tau$  also preserved at finite  $a$ !
- ⇒ sufficient to still constrain  $\kappa/T^3$  (using simple models)

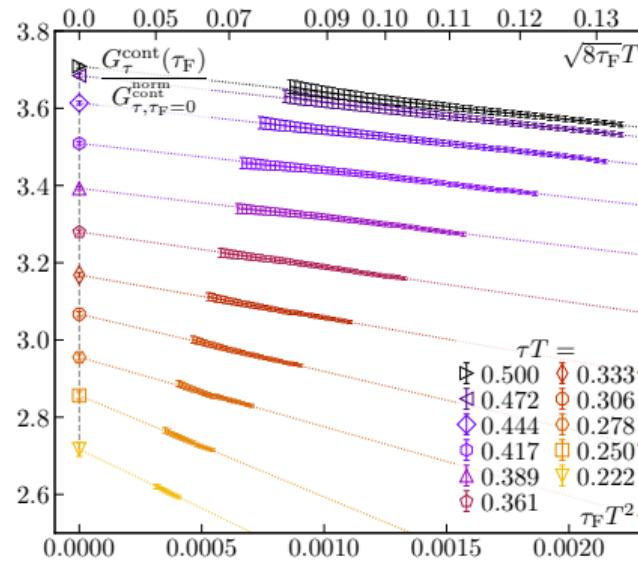
## Quenched, $1.5T_c$

### ■ 1. Continuum extrapolation (linear in $a^2$ )



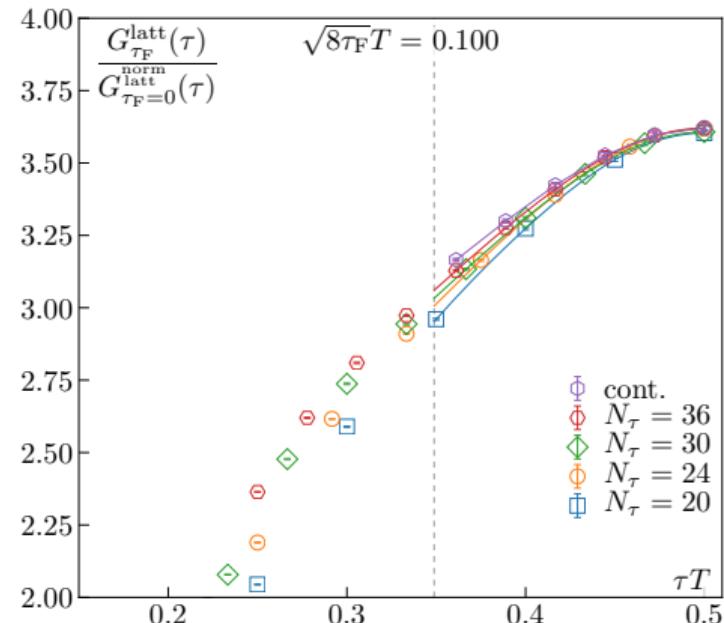
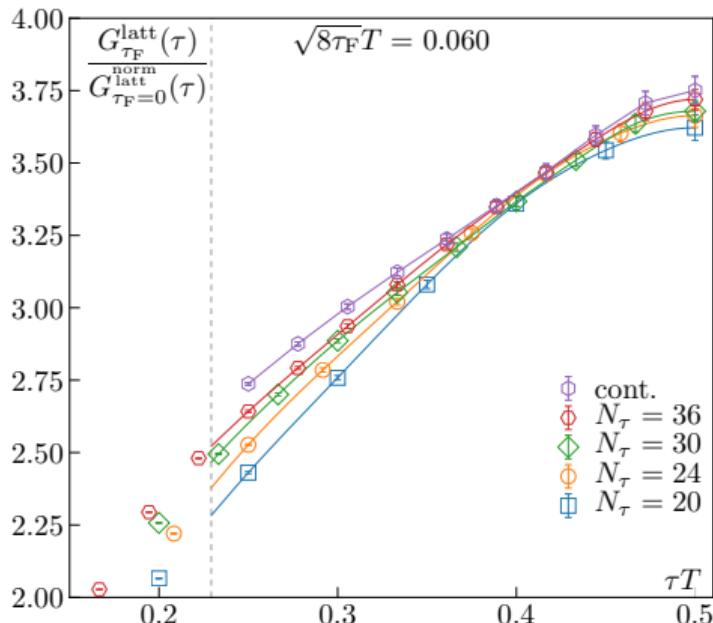
- ansatz motivated by gauge action discretization
- taken separately for each flow time
- take continuum limit first to control  $a^2/\tau_F$ -type corrections

### ■ 2. Flow-time-to-zero extrapolation (linear in $\tau_F$ )



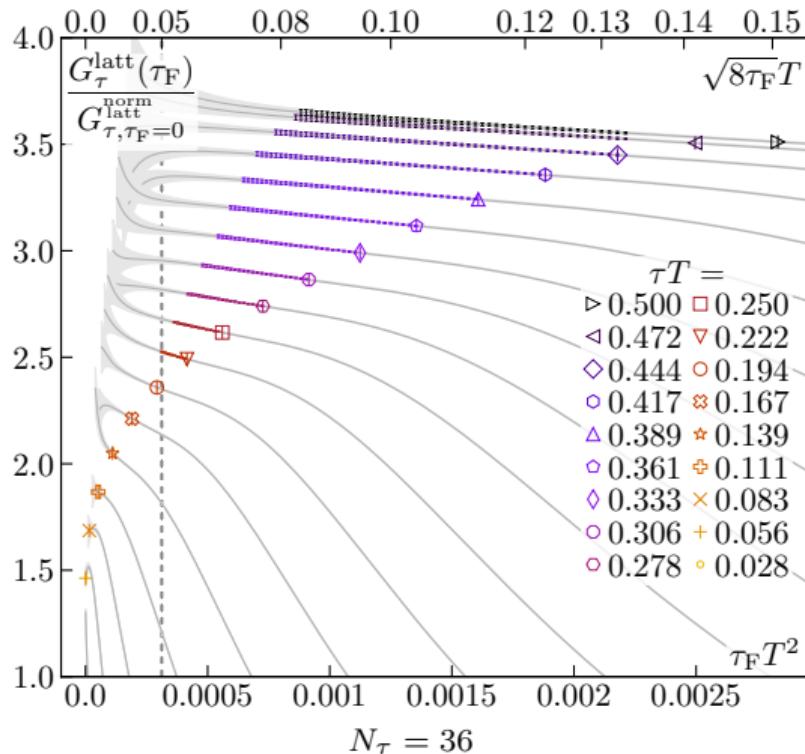
- ansatz motivated by NLO pert. theory Eller 2021
- flow time window depends on:
  - signal-to-noise
  - $\sqrt{8\tau_F} \gtrsim a$  (suppression of latt. artifacts)
  - $\sqrt{8\tau_F} \lesssim \tau/3$  (flow limit)

## Quenched, $1.5T_c$ , $EE$ correlator: lattice spacing effects



- dashed lines at  $\tau \approx 3\sqrt{8\tau_F}$
- ⇒ more flow = higher precision,  
but smaller window of noncontaminated data
- interpolation in  $\tau$  through cubic splines (no smoothing)

## EE correlator as a function of flow time (quenched, $1.5T_c$ )



- inside extrapolation window: single colorful data points. outside: data points connected via grey lines.
- markers at  $\sqrt{8\tau_F} \approx \tau/3$
- dashed line: minimum flow such that  $\sqrt{8\tau_F} \gtrsim a$  for our coarsest lattice
- small  $\tau_F$ : strong flow dependence (suppress noise and renorm. artifacts)
- intermediate  $\tau_F$ : minor dependence (for large  $\tau$ )

## Heavy Quark Effective Theory

- for  $M \gg T$  and  $\omega < \omega_{\text{UV}}$ : spectral function is a Lorentzian<sup>1</sup>

$$D \stackrel{\omega \lesssim \omega_{\text{UV}}}{\approx} \sum_i \frac{\rho^{ii}(\omega)}{\omega} \frac{1}{3\chi^{00}} \frac{\eta^2 + \omega^2}{\eta^2}, \quad D \simeq 2T^2/\kappa, \quad \eta \simeq \kappa/(2M_{\text{kin}}T)$$

$$\kappa_{(M)} \equiv \frac{M_{\text{kin}}^2 \omega^2}{3T\chi^{00}} \sum_i \frac{2}{\beta\omega} \left. \rho^{ii}(\omega) \right|_{\eta \ll |\omega| \lesssim \omega_{\text{UV}}}$$

- $\kappa$  is defined as the coefficient of the powerlaw fall-off of the Lorentzian
- perform Foldy-Wouthuysen transformation of default lattice qcd action:

$$\begin{aligned} \Rightarrow \mathcal{L}_{\text{QCD}} = & \hat{\theta}^\dagger \left( iD_0 - M + \frac{D_i D^i + \sigma_i g B^i}{2M} \right) \hat{\theta} + \hat{\phi}^\dagger \left( iD_0 + M - \frac{D_i D^i + \sigma_i g B^i}{2M} \right) \hat{\phi} \\ & + \frac{i}{2M} \left( \hat{\theta}^\dagger \sigma_i g E^i \hat{\phi} - \hat{\phi}^\dagger \sigma_i g E^i \hat{\theta} \right) + \mathcal{O}(1/M^2) + \mathcal{L}_{\text{light}} + \mathcal{L}_{\text{gauge}} \end{aligned}$$

- obtain the LO currents from EOM and insert into SPF, do some algebra and take limits<sup>2</sup>:

$$\kappa = \frac{\beta}{3} \sum_{i=1}^3 \lim_{M \rightarrow \infty} \frac{1}{\chi^{00}} \int dt \int d^3x \left\langle \frac{1}{2} \left\{ [\hat{\phi}^\dagger g E^i \hat{\phi} - \hat{\theta}^\dagger g E^i \hat{\theta}]_{(\mathbf{x},t)}, [\hat{\phi}^\dagger g E^i \hat{\phi} - \hat{\theta}^\dagger g E^i \hat{\theta}]_{(\mathbf{0},0)} \right\} \right\rangle$$

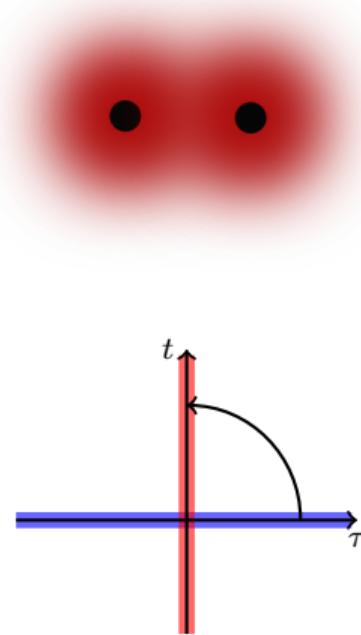
- Carry out contractions and Wick-rotate to obtain Euclidean correlator

LO spectral function:

$$\rho_E^{(2)}(\omega, \tau_F) = \frac{g^2 C_R}{6\pi} \omega^3$$

Relation between Euclidean correlator and spectral function is  
**broken by Grad. flow**

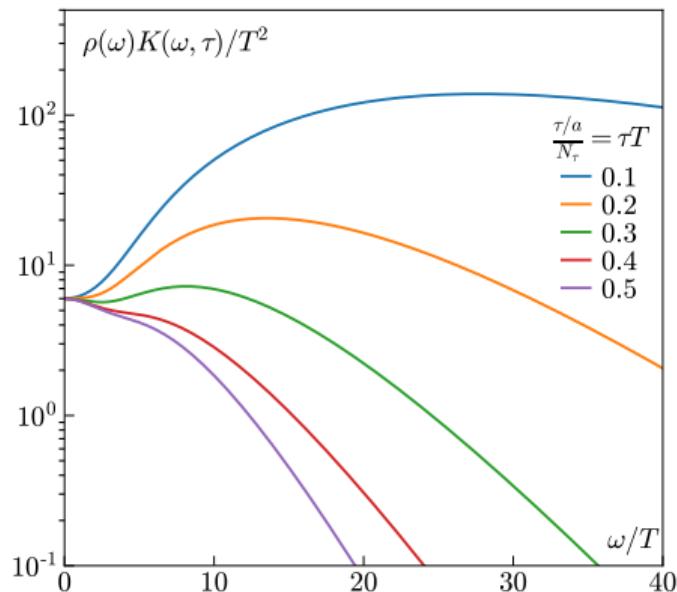
$$G_E(\tau, \tau_F) \neq \int_0^\infty \frac{d\omega}{\pi} \rho(\omega, \tau_F) \frac{\cosh \left[ \omega \left( \frac{\beta}{2} - \tau \right) \right]}{\sinh \left[ \frac{\beta \omega}{2} \right]}$$



Physical: Contact terms **break causality** of theory

Mathematical: **Exponential suppression** becomes **exponential enhancement** after Wick rotation  $\Rightarrow$   
 Kramers-Kronig relation is broken

### Integration kernel effect



## Gradient flow equations

- introduces extra dimension: “flow time”  $\tau_F$
- evolves gauge fields  $A_\mu(x)$  towards minimum of action  $S_G$

$$A_\mu(x, \tau_F=0) = A_\mu(x)$$

$$\frac{dA_\mu(x, \tau_F)}{d\tau_F} \sim \frac{-\delta S_G[A_\mu]}{\delta A_\mu(x, \tau_F)}$$

## Flow = smooth regulator:

- suppression of high-momentum modes in gluon prop.

- $A_\mu^{\text{LO}}$ : average over Gaussian, width  $\simeq \sqrt{8\tau_F}$  “flow radius”

$$A_\mu^{\text{LO}}(x, \tau_F) = \int dy \left( \sqrt{2\pi} \sqrt{8\tau_F}/2 \right)^{-4} \exp \left( \frac{-(x-y)^2}{\sqrt{8\tau_F^2}/2} \right) A_\mu(y)$$

## Kubo-formula for $D$

- Approach I, phenomenological

Diffusion equation:

⇒ Formal solution:

$$\partial_t \langle A(\mathbf{x}, t) \rangle = D \nabla^2 \langle A(\mathbf{x}, t) \rangle$$

$$\langle A(\mathbf{k}, \omega) \rangle = \frac{i}{\omega + iD\mathbf{k}^2} \langle A(\mathbf{k}, t=0) \rangle$$

- Approach II, Linear response th.

Hamiltonian:

⇒ Formal solution ( $\mathcal{O}(h)$ ):

$$H(t) = H_0 - \int d\mathbf{x} A(\mathbf{x}) h(\mathbf{x}) e^{\epsilon t} \Theta(-t)$$

$$\delta \langle A(\mathbf{k}, \omega) \rangle = \frac{1}{i\omega} \left[ \frac{G_R(\mathbf{k}, \omega)}{\chi(\mathbf{k})} - 1 \right] \delta \langle A(\mathbf{k}, t=0) \rangle$$

- Comparison (for small  $\omega$ ,  $|\mathbf{k}|$ ):

$$\Rightarrow G_R(\mathbf{k}, \omega) = \frac{iD\mathbf{k}^2}{\omega + iD\mathbf{k}^2} \chi(\mathbf{k})$$

$$\Leftrightarrow D = \frac{1}{\chi_s} \lim_{\omega \rightarrow 0} \left[ \lim_{k \rightarrow 0} \frac{\omega}{k^2} \rho(\mathbf{k}, \omega) \right]$$

- diffusion physics encoded in **spectral function**  $\rho(\mathbf{k}, \omega) \sim \int_{\mathbf{x}, t} e^{i(\omega t - \mathbf{k}\mathbf{x})} \langle [A(\mathbf{x}, t), A(0, 0)] \rangle_{\text{eq.}}$
- directly relates **macroscopic non-eq. evolution** and **microscopic in-eq. fluctuations!**

external pert.  $h$ , retarded correlator  $G_R$ , susceptibility  $\chi$ , static suscept.  $\chi_s$

## Thermalization through diffusive motion

### Phenomenological diffusion

- consider classical fluid of particles
  - some local quantity  $A(\mathbf{x})$ ,  $\langle A(\mathbf{x}) \rangle_{\text{eq}} = 0$
  - after perturbation in  $A$ :  
 $\partial_t \langle A(\mathbf{x},t) \rangle \neq 0 \rightarrow$  relax back to eq.
  - if  $A$  **varies slowly** in space & time:  
 $\Rightarrow \partial_t \langle A(\mathbf{x},t) \rangle = D \nabla^2 \langle A(\mathbf{x},t) \rangle$

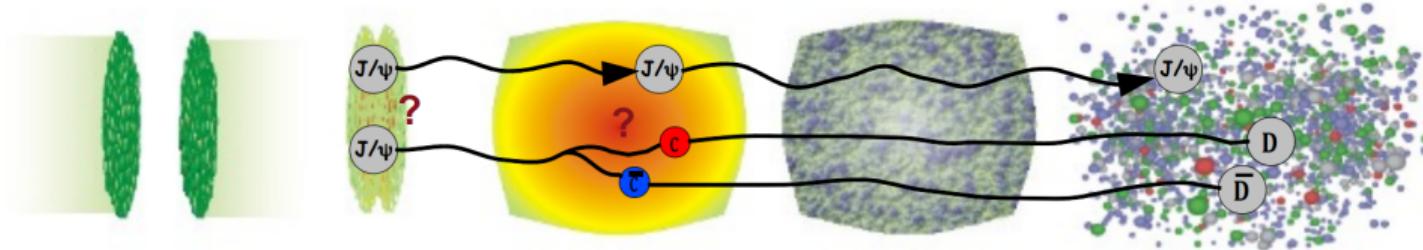
$\Rightarrow$  thermalization of  $A$  characterized by  
**diffusion coefficient  $D$**

### Heavy quark diffusion in a hot medium

- $A \rightarrow$  heavy quark current  $\hat{\mathcal{J}}^\mu$
- perturbation in heavy quark chemical potential
- rigorous approach:
  - finite temperature field theory + **linear response theory**
  - $\Rightarrow H(t) = H_0 - \int d\mathbf{x} A(\mathbf{x}) h(\mathbf{x}) e^{\epsilon t} \Theta(-t)$

■ How to identify  $D$  here?

### Heavy Ion Collision      QGP      Expansion+Cooling      Hadronization



- Heavy quarkonia mainly produced in early hard collisions ( $M \gg T$ )
  - some remain as bound states ( $J/\Psi, \Upsilon$ )
  - some melt into constituents
    - travel through medium, thermalize to some extent via **diffusion**
    - form  $D\bar{D}$  or  $B\bar{B}$  meson pairs, decay into dileptons
- Evidence of in-medium interactions from strong modification of heavy hadron  $p_T$  distributions
  - ⇒ probes for transport properties of QGP

$J/\Psi$	$\Upsilon$
$c\bar{c}$	$b\bar{b}$
3.1 GeV	9.5 GeV

cf.  $T \sim \mathcal{O}(100)$  MeV

## What is transport?

**Transport phenomena** are spontaneous **statistical processes** that cause a quantity of a system that is **out of equilibrium** to evolve towards its **equilibrium** distribution.

### Basic examples

Process	Quantity	Transport coefficient	Unit
Heat conduction	Energy	Thermal conductivity	$\text{W m}^{-1} \text{K}^{-1}$
Particle diffusion	Mass	Diffusion coefficient	$\text{m}^2 \text{s}^{-1}$
Fluid flow	Momentum	Shear/bulk viscosity	$\text{kg m}^{-1} \text{s}^{-1}$

- Transport phenomena are quantified through **transport coefficients**
- For near-equilibrium strong-interaction matter:
  - fluid flow → shear/bulk viscosity
  - chemical composition → flavor diffusion coefficients