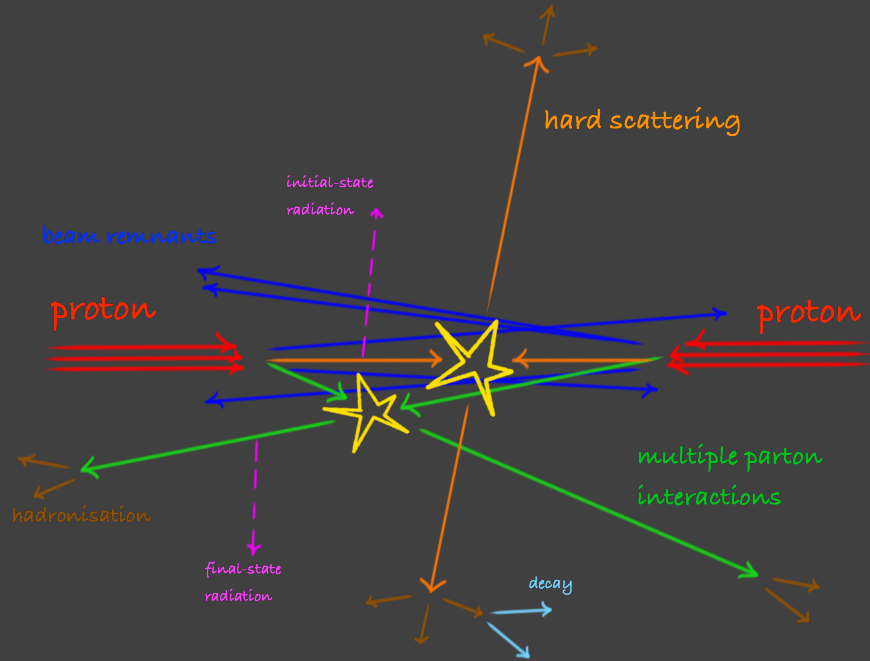




Soft QCD



ALICE



Seminar
25th August 2021

Valentina Zaccolo
University and INFN – Trieste

What is this seminar about?



Soft QCD

- processes where effective α_s is large \rightarrow low transverse momenta
- perturbative QCD fails \rightarrow theory relies on phenomenological assumptions

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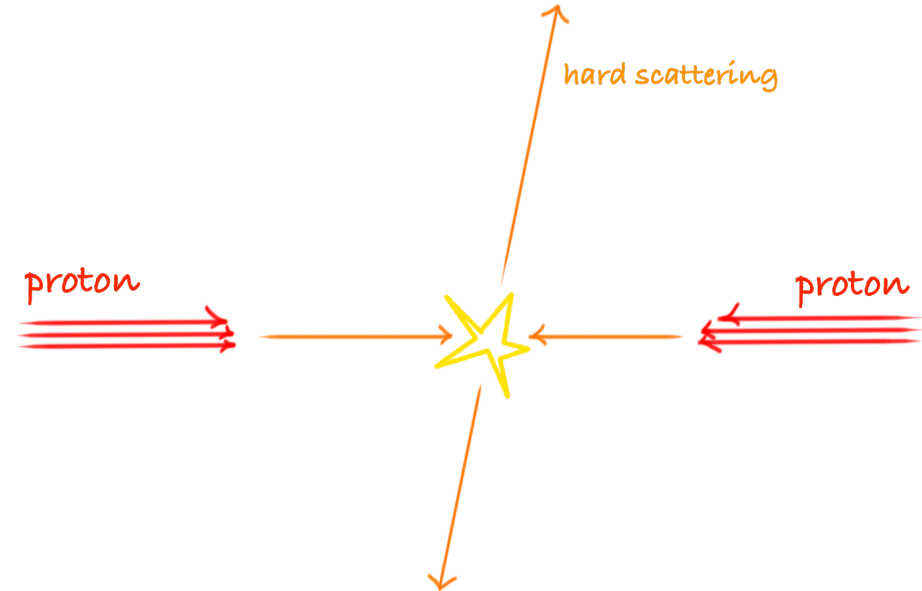


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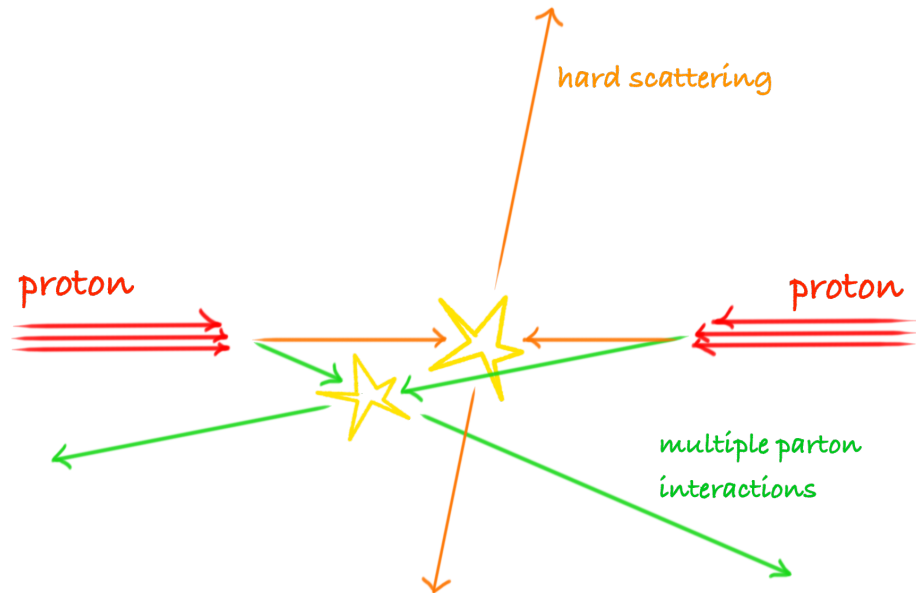
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1. Multiple parton interactions (MPI)



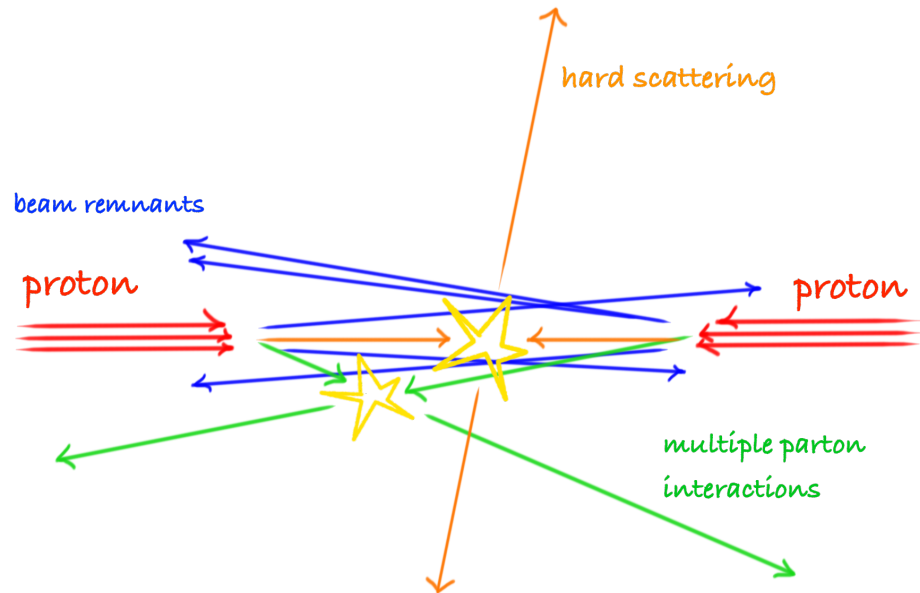
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2. Beam remnants



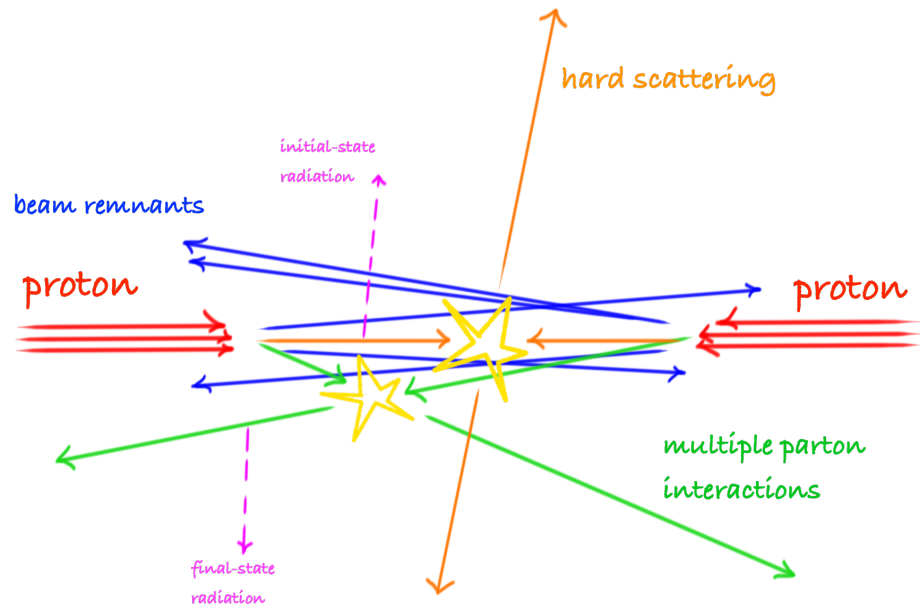
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1. Multiple parton interactions (MPI)
 2. Beam remnants
 3. Initial- and final-state radiation
- Underlying event \leftarrow



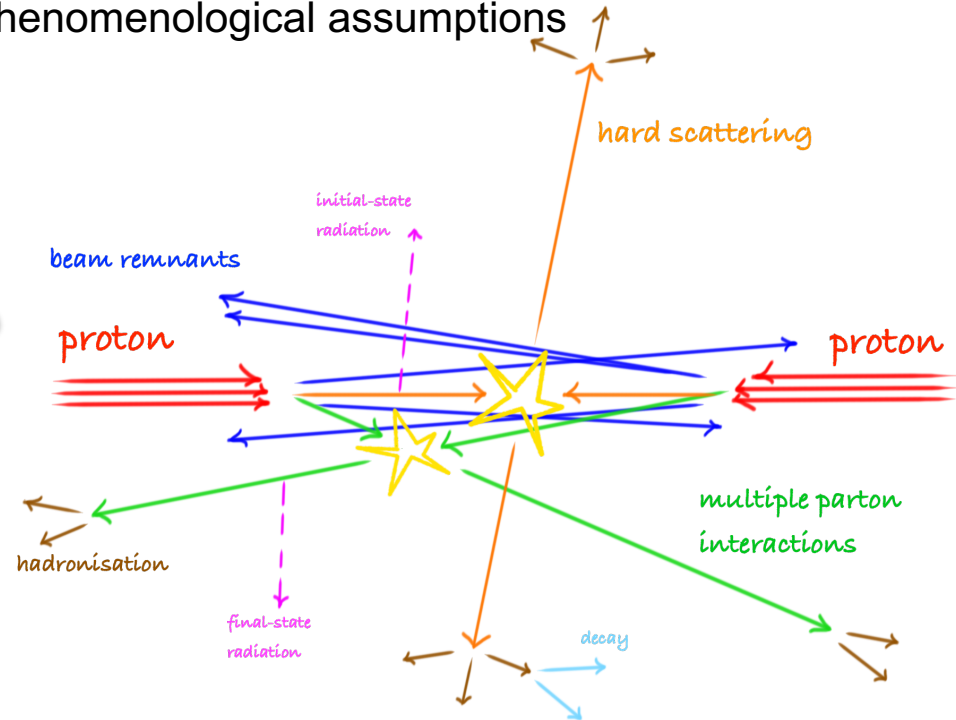
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4. Hadronisation + decay products

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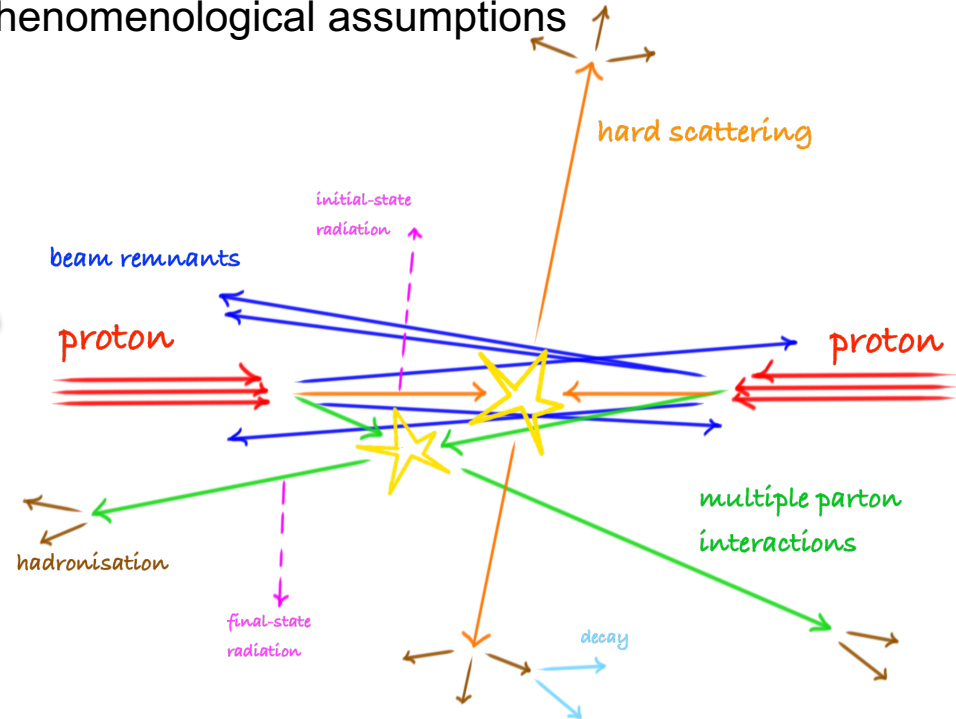
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First part

1. Multiple parton interactions (MPI)
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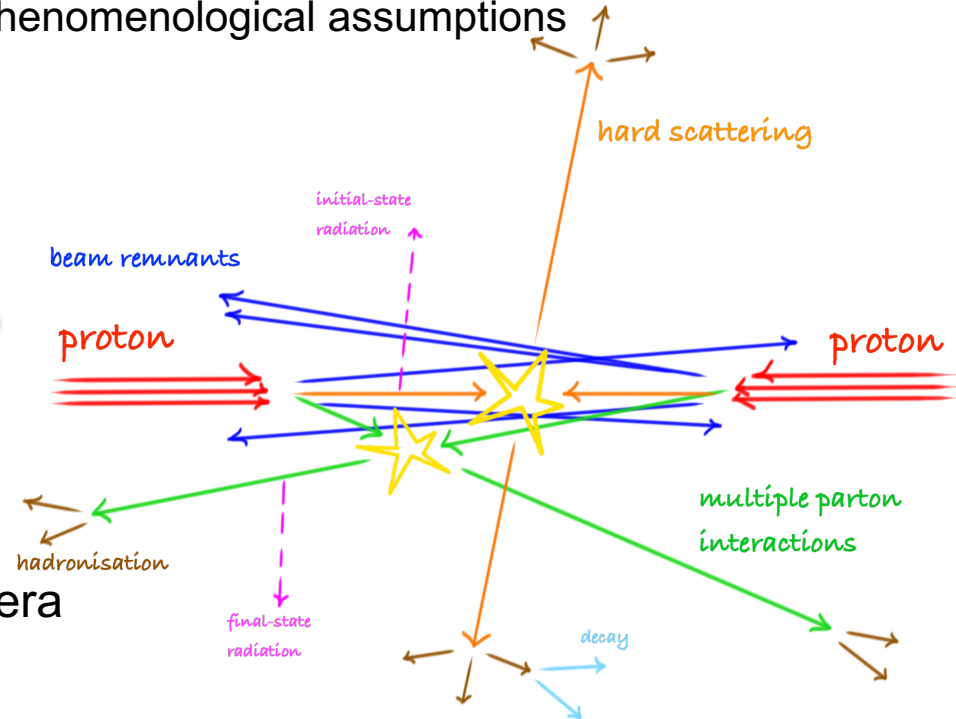
First part

1. Multiple parton interactions (MPI)
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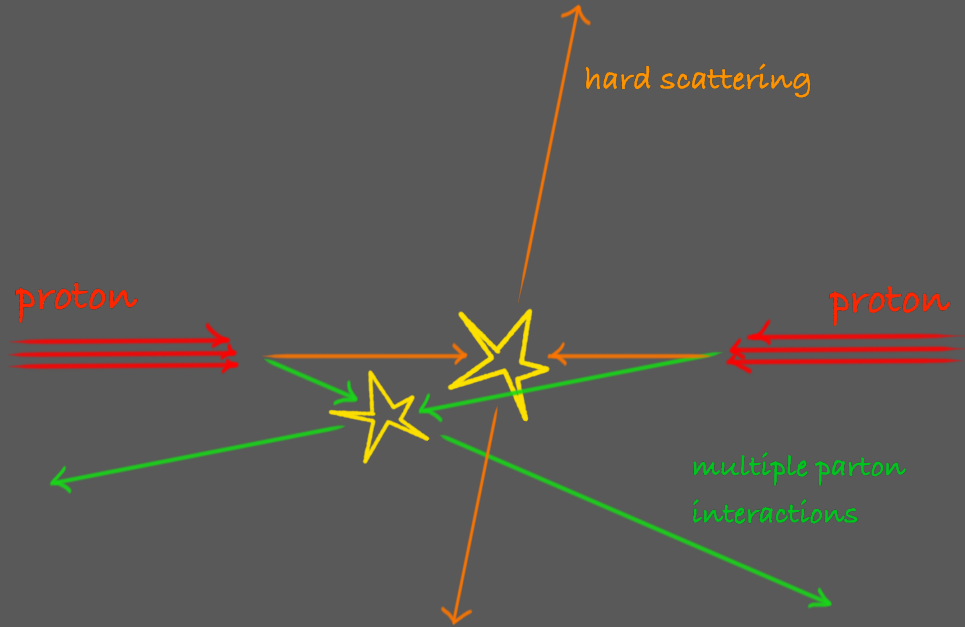
Underlying event

Second part

\rightarrow some ways to move towards precision era



Multiple parton interactions



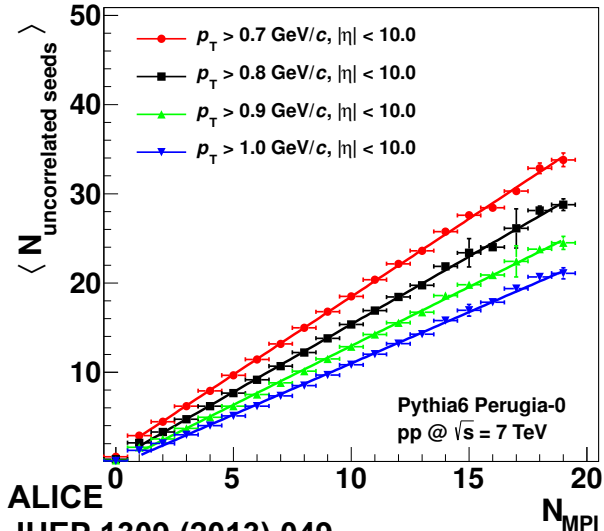
Study the number of MPI

Definition of uncorrelated seeds

- parton-parton hard scattering \rightarrow partons back-to-back in ϕ
- two minijets (lower p_T) $\propto N_{\text{MPI}}$
- $N_{\text{MPI}} \propto N_{\text{ch}}$ and underlying event characterization

$$\langle N_{\text{uncorrelated seeds}} \rangle = \frac{\langle N_{\text{trig}} \rangle}{\langle 1 + N_{\text{associated, nearside}} + N_{\text{associated, away}} \rangle}$$

$\propto N_{\text{MPI}}$ in PYTHIA



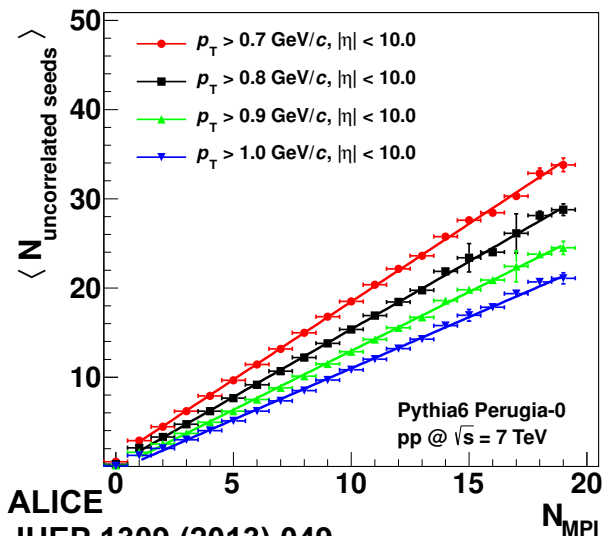
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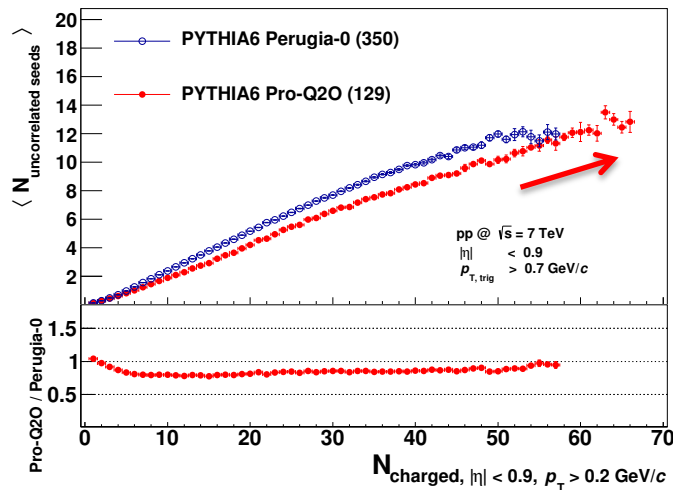
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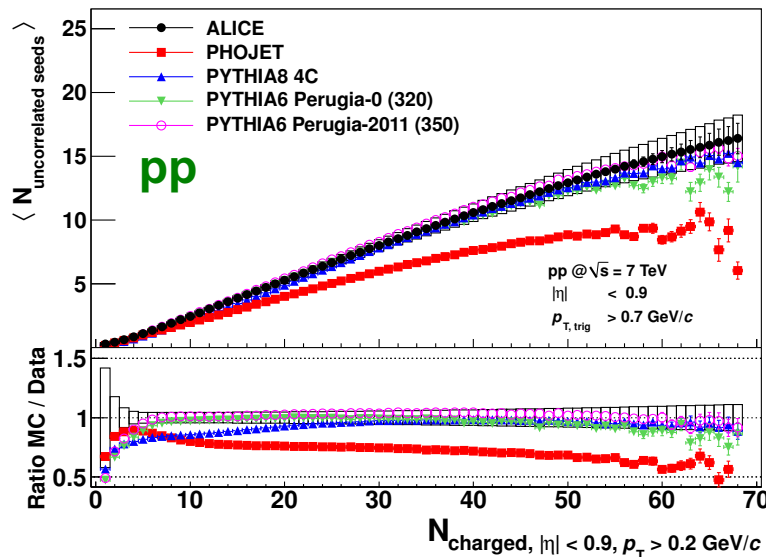


high multiplicities
number fragments
per parton
increase with N_{MPI}



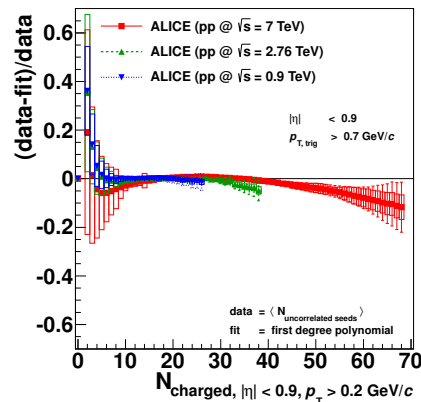
Saturation of N_{MPI}

Two-particle correlations in pp and p-Pb



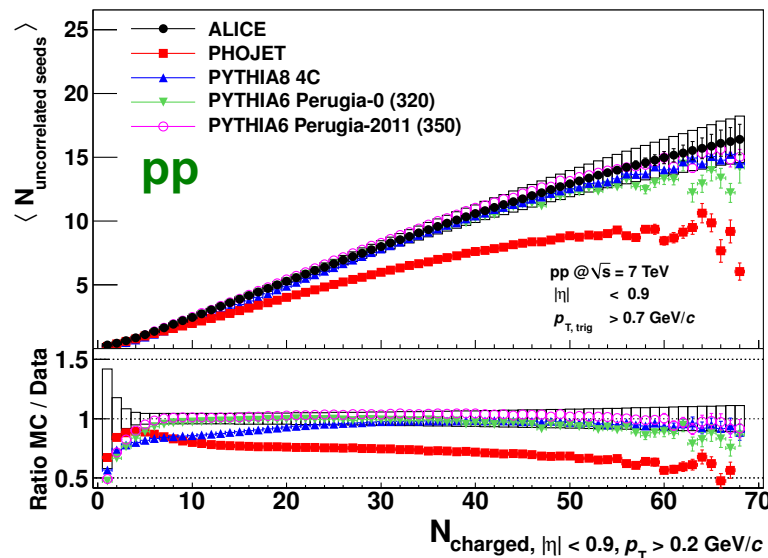
pp linear fit →

limit in increasing N_{MPI}

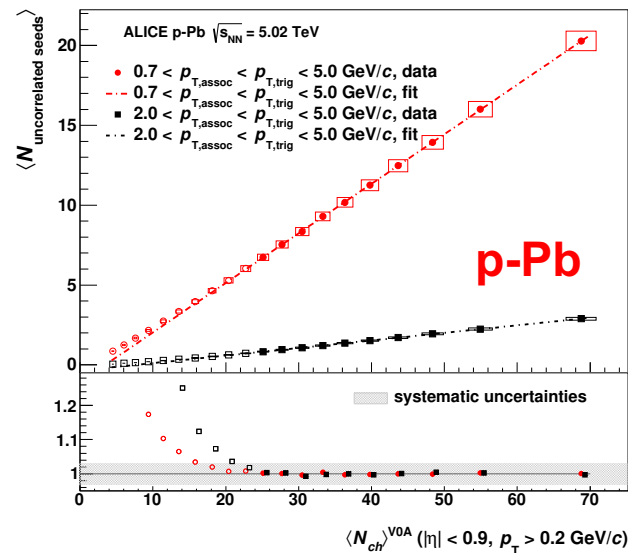
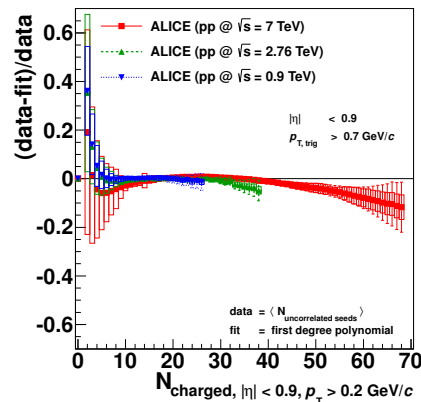


Saturation of N_{MPI}

Two-particle correlations in pp and p-Pb



p-Pb linear fit \rightarrow
 no saturation
 of the N_{MPI}



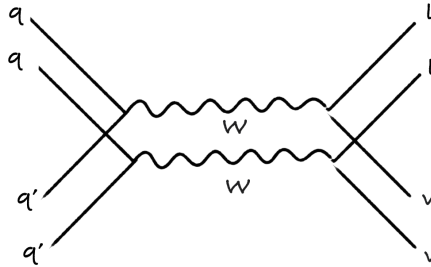
Directly targeting MPI

First evidence of WW from DPS

Clean final state

leptonic decay $e^\pm\mu^\pm$ or $\mu^\pm\mu^\pm$

$$\sigma_{AB}^{\text{DPS}} = \frac{n}{2} \frac{\sigma_A \sigma_B}{\sigma_{\text{eff}}}$$



σ_{eff} parton distribution in the plane
orthogonal to the direction
of the protons

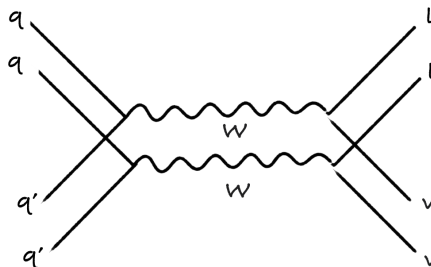
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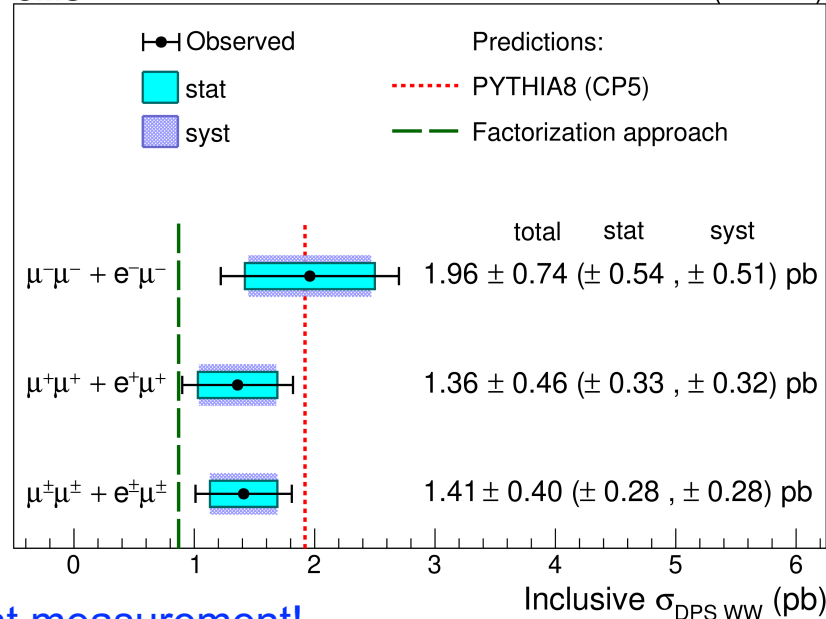
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CMS

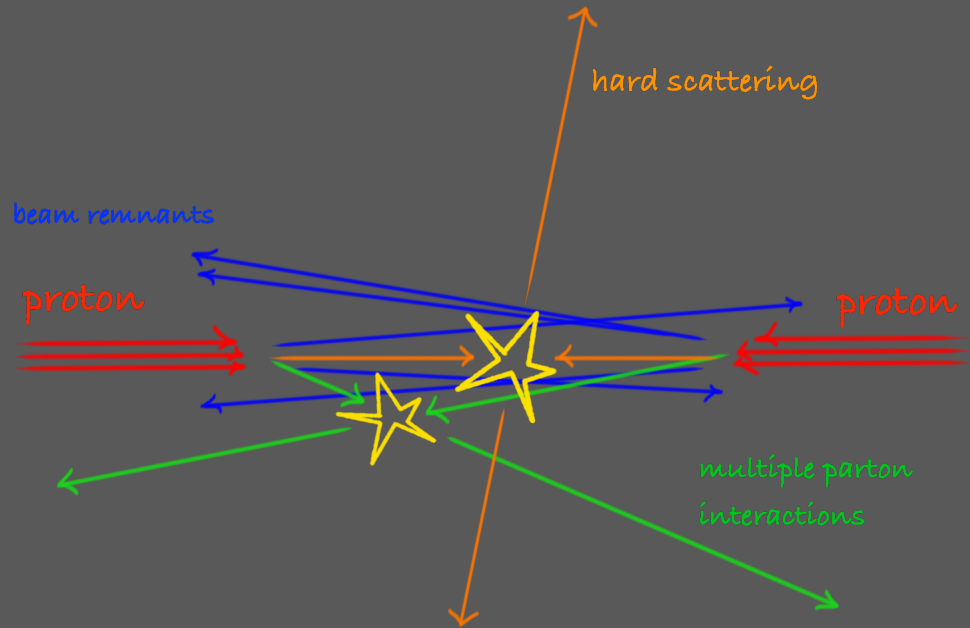
77.4 fb⁻¹ (13 TeV)



Predictions have large uncertainties → important measurement!

- Factorisation approach: from σ_{eff}
- PYTHIA: UE description

Beam remnants

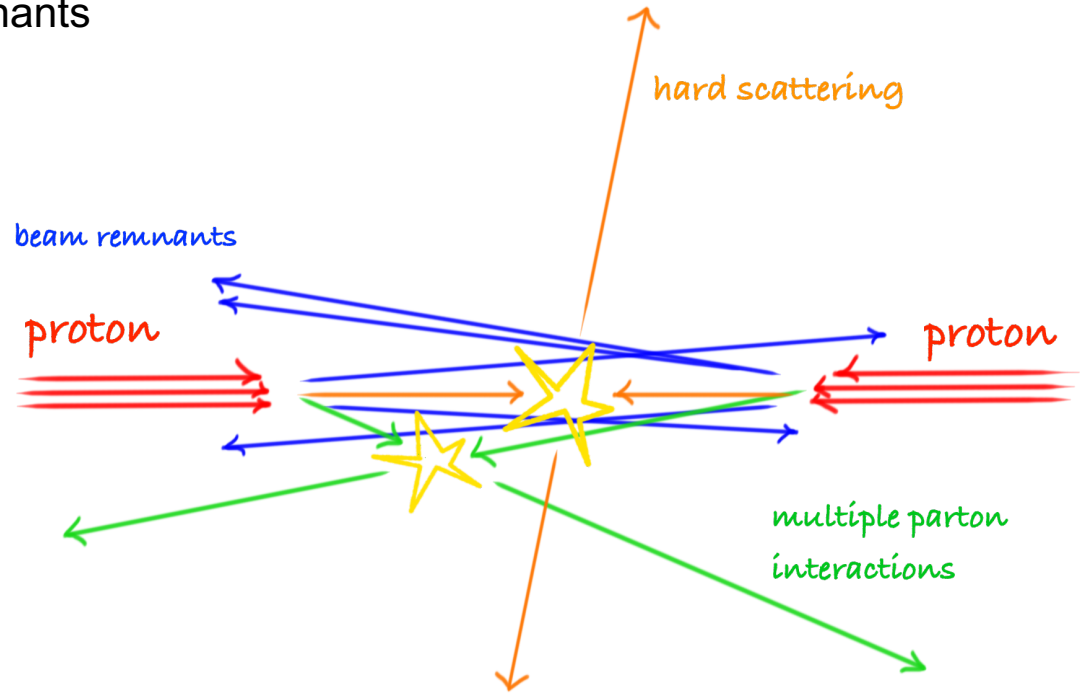


QCD properties in pp

Very forward energy vs. midrapidity activity

Fundamental insights into soft-QCD processes:

- **midrapidity activity** related to products of hard scatterings + showers + hard MPI
- **very forward energy** from beam remnants



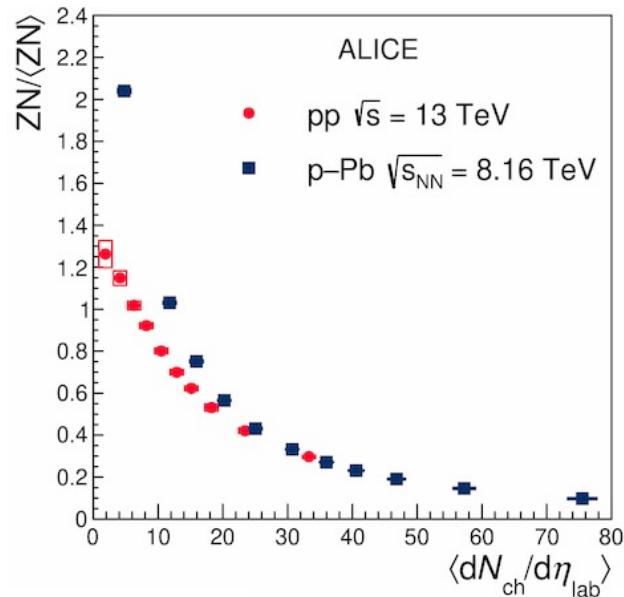
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pp and **p-Pb** in agreement
at high multiplicity



QCD properties in pp

Very forward energy vs. midrapidity activity

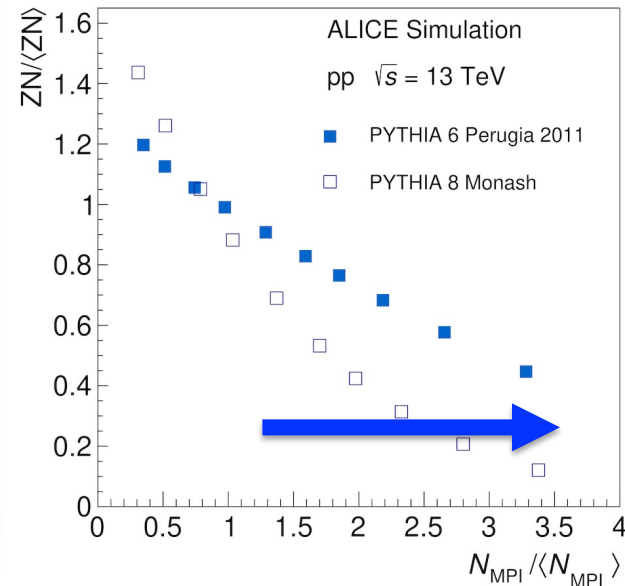
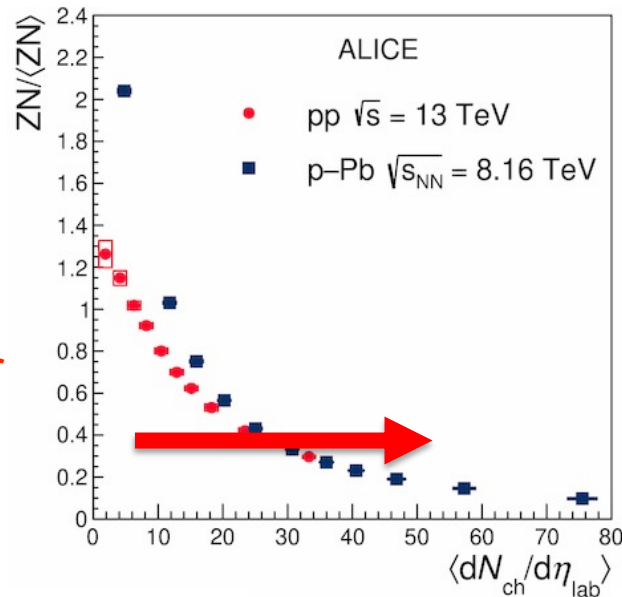
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- midrapidity activity related to products of hard scatterings + showers + hard MPI: $dN_{ch}/d\eta$
- very forward energy from beam remnants: neutron energy (ZN)

pp and p-Pb in agreement
at high multiplicity

Small forward energy:

- high midrapidity activity
and low impact parameter
- larger than average MPI

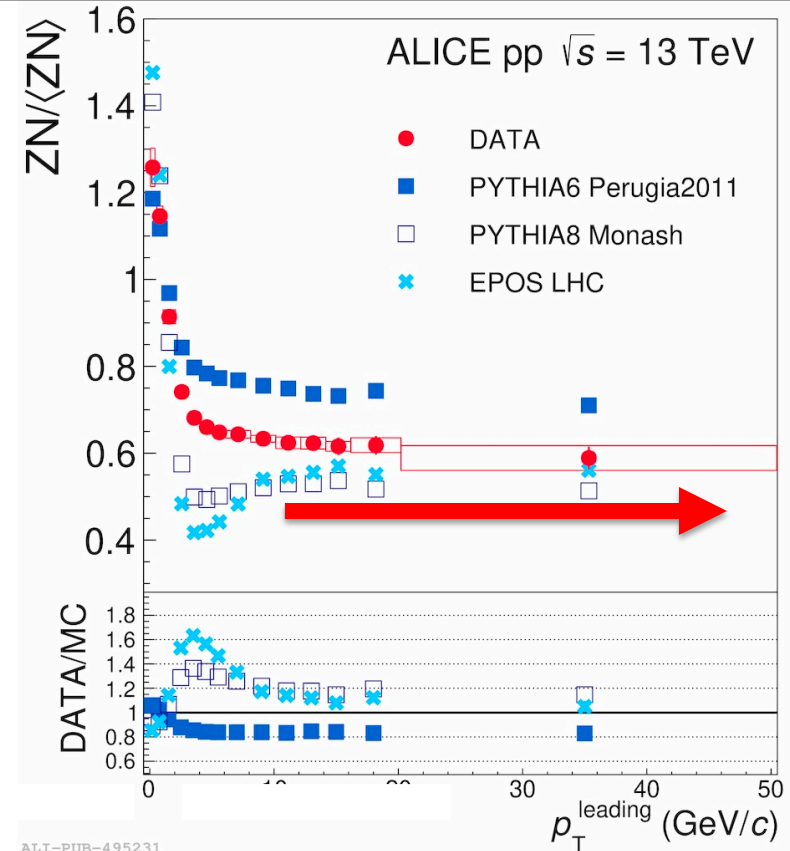


QCD properties in pp

Very forward energy vs. p_T^{leading}



Forward energy saturates for $p_T^{\text{leading}} > 5 \text{ GeV}/c$



QCD properties in pp

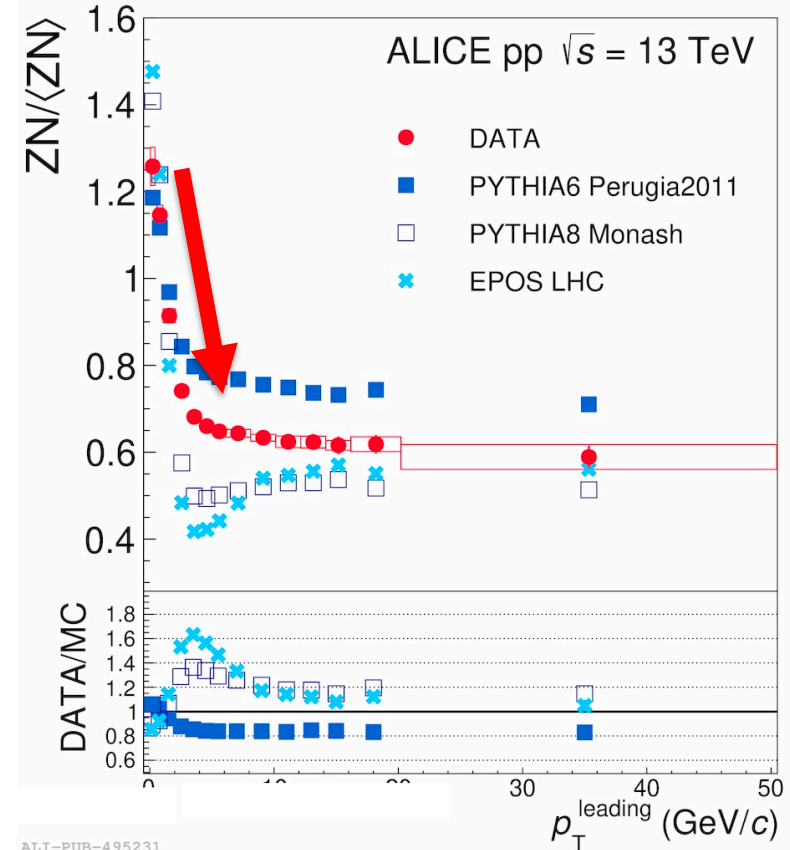
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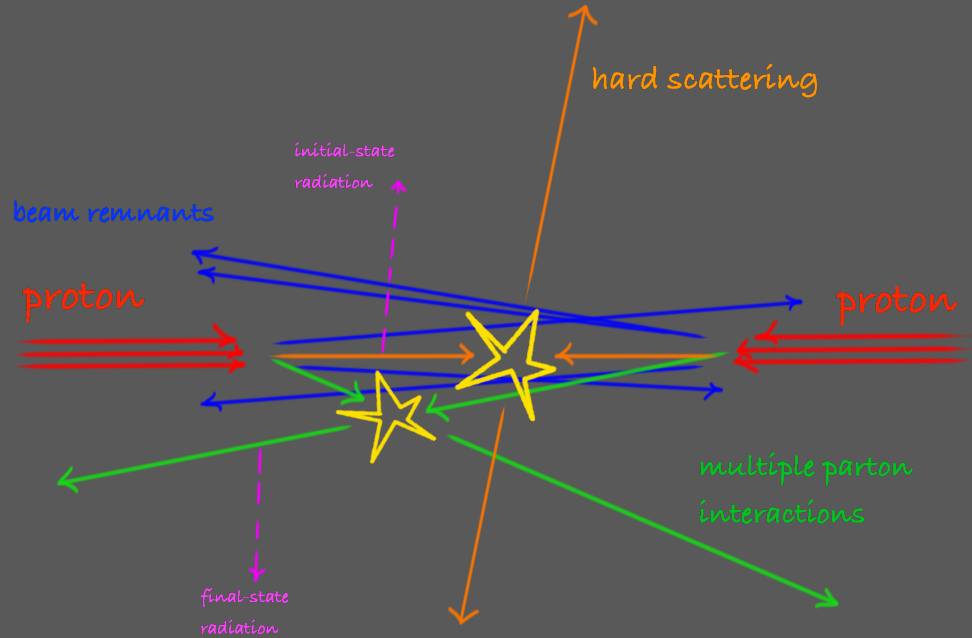
Forward energy saturates for $p_T^{\text{leading}} > 5 \text{ GeV}/c$

Strong anti-correlation between p_T^{leading} and ZN
at low p_T^{leading} built in the initial stages
of the collisions:

the two observables are causally disconnected
in the evolution stages



Underlying event



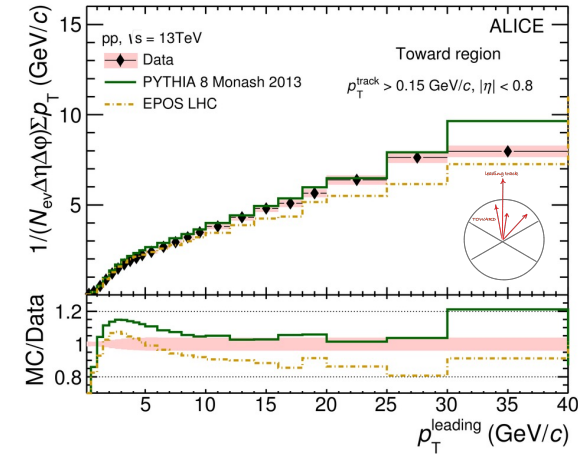
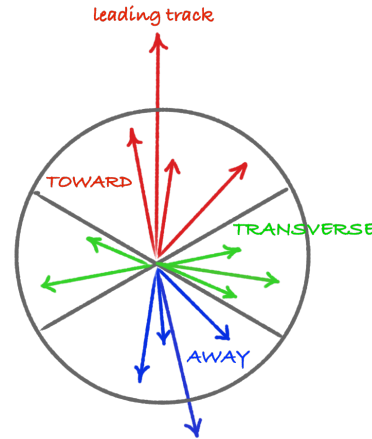
As a measurement wrt leading track

Summed p_T vs. p_T^{leading}

Toward and Away regions

collect fragmentation products from hard scattering

- increases monotonically



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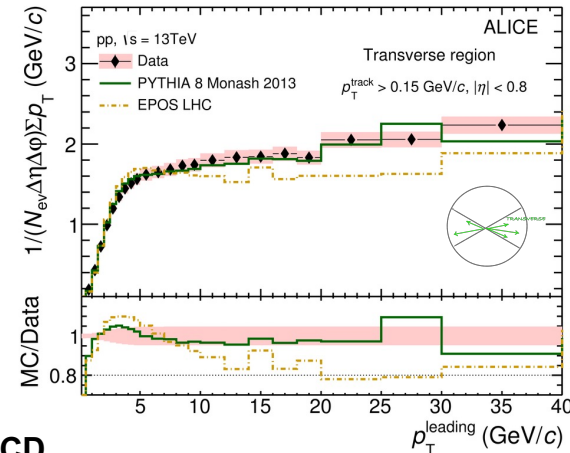
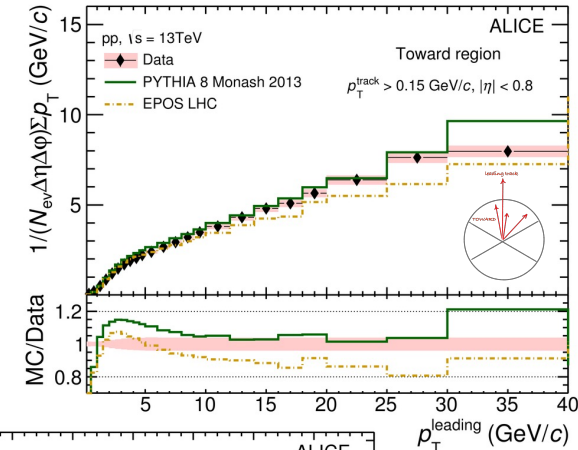
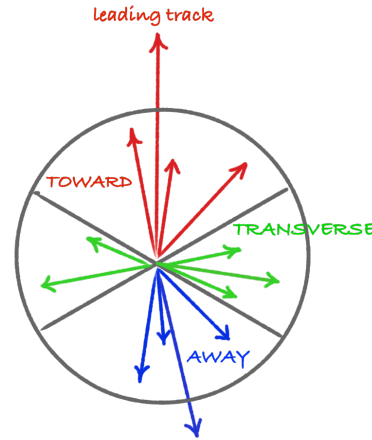
Transverse region

underlying event

- first increases -> **MPI increase**
- flattens -> **MPI saturation**

PYTHIA 8 performs better

- good MPI description



As a measurement wrt leading track

Summed p_T vs. p_T^{leading}

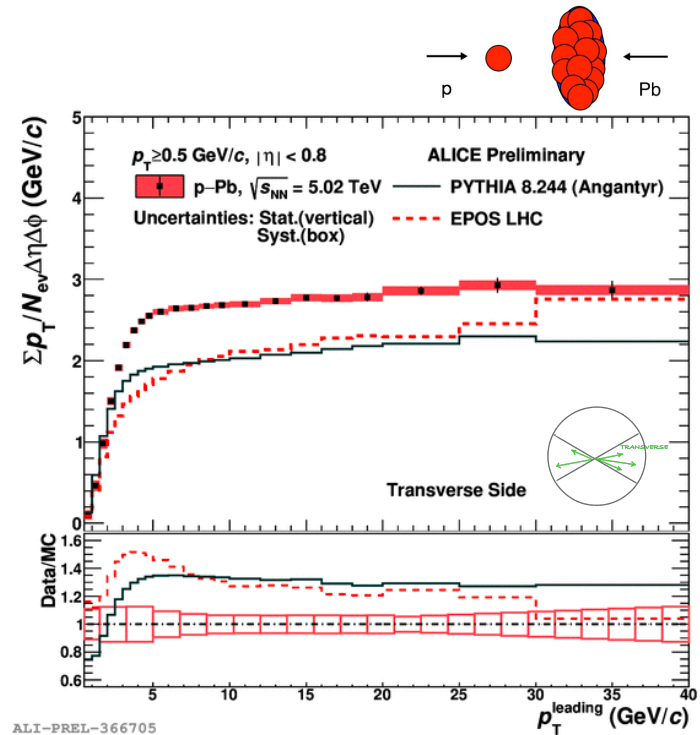
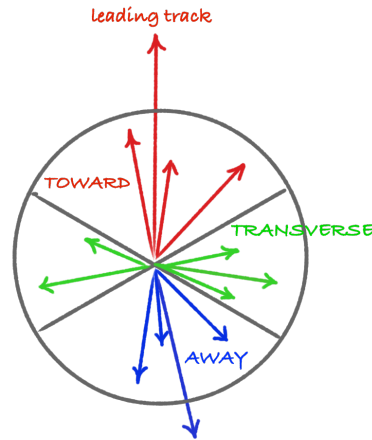
Toward and Away regions
collect fragmentation products
from hard scattering

- increases monotonically

Transverse region
underlying event

- first increases -> **MPI increase**
- flattens -> **MPI saturation**

- Larger UE magnitude in p-Pb collisions**
- Both models fail in describing the UE activity



UE characterisation

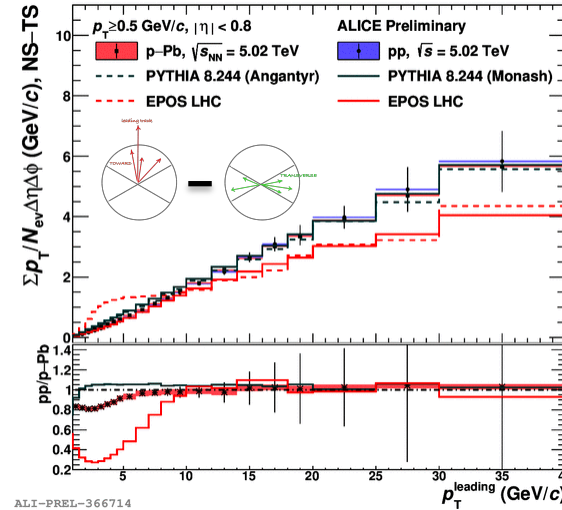
Study of jet-like regions



Summed p_T vs. p_T^{leading}

Assuming transverse-side activity is flat:

- towards – transverse-side
→ depletion at low p_T^{leading} in pp/p-Pb
- collective flow effect?
- **EPOS LHC** reproduces the depletion, but overestimates the effect



UE characterisation

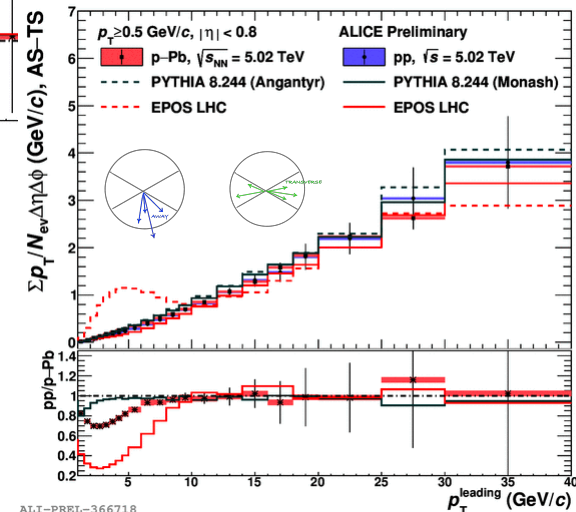
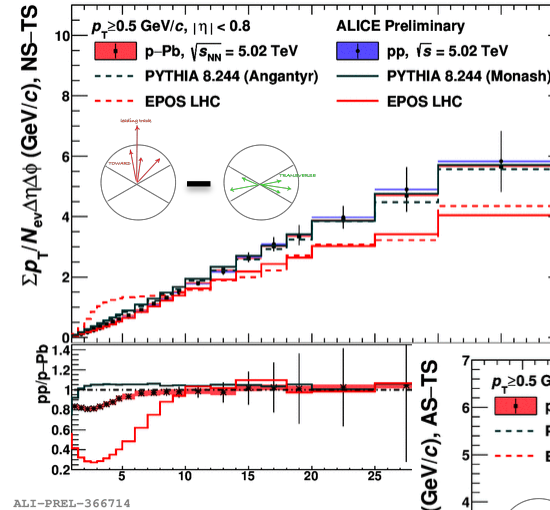
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 - collective flow effect?
 - EPOS LHC** reproduces the depletion, but overestimates the effect
-
- away – transverse-side
→ no jet-like modification in away side for p-Pb collisions



UE characterisation



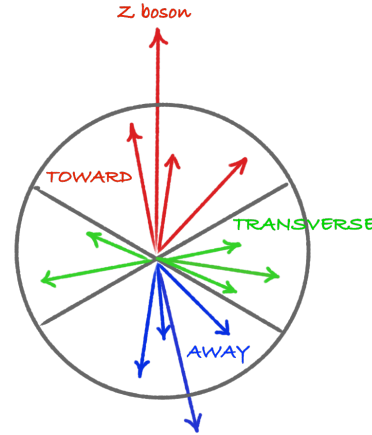
As a measurement wrt Z boson

Using Z boson

→ very clean UE definition
(no FSR)

Measurement done vs
Thrust

$$T_{\perp} = \frac{\sum_i |\vec{p}_{T,i} \cdot \hat{n}|}{\sum_i |\vec{p}_{T,i}|}$$



As a measurement wrt Z boson

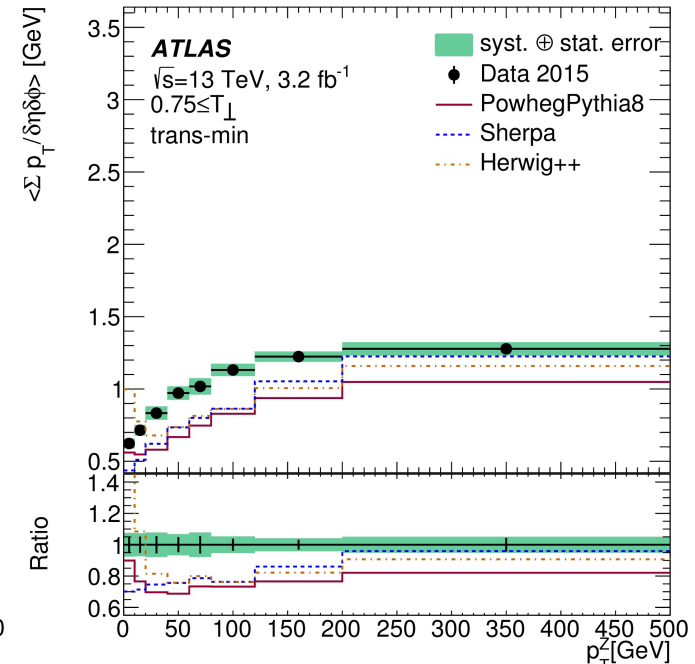
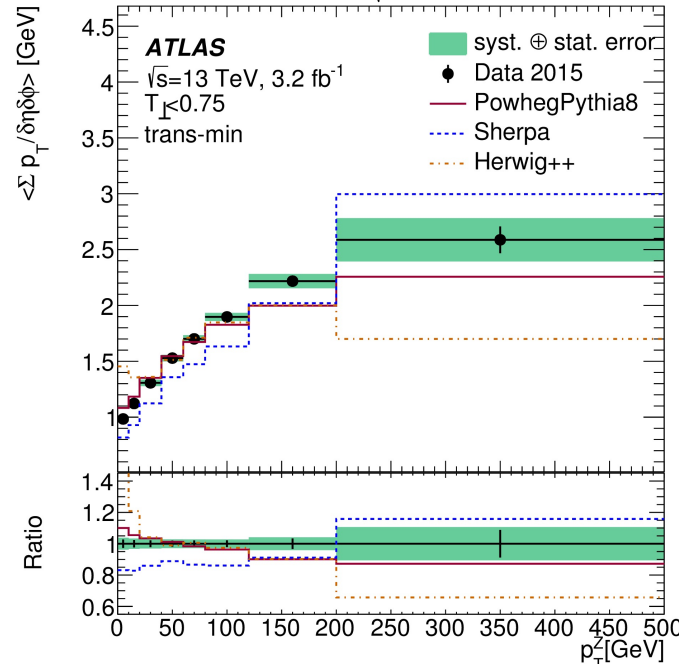
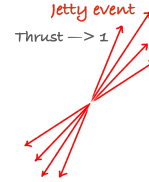
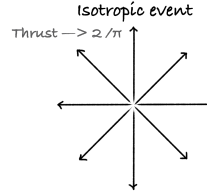
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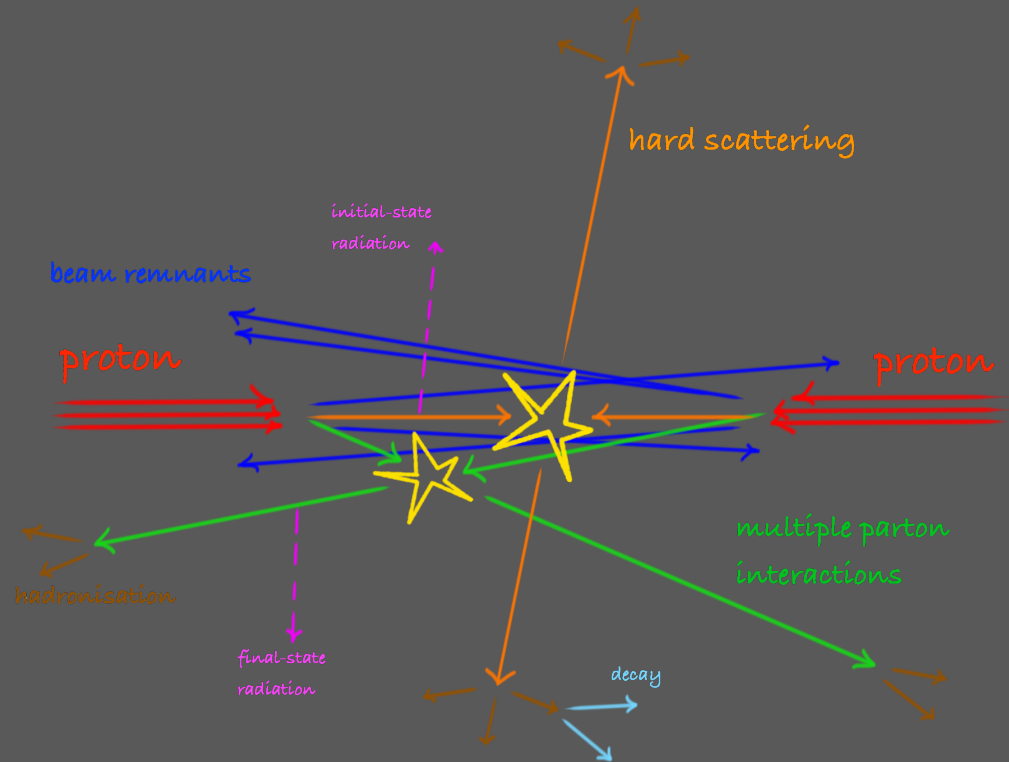
Measurement done vs
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$$T_{\perp} = \frac{\sum_i |\vec{p}_{T,i} \cdot \hat{n}|}{\sum_i |\vec{p}_{T,i}|}$$

- isotropic event
dominated by MPI
 - PowhegPythia8 works better
- jetty event
dominated by ISR
 - all generators underestimate data



Final-state products



Baryon hadronisation

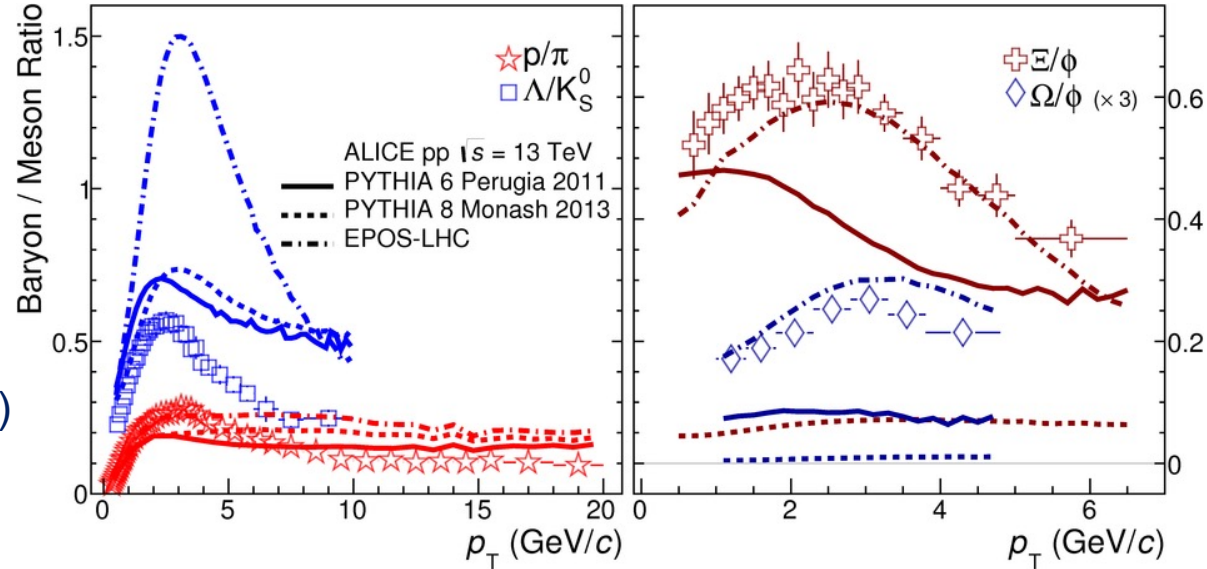
Baryon-to-meson ratios...



Modelling baryons is difficult due to their colour topology

➤ are not included in leading-colour approximations → interesting probes!

- p/π^0 ($|S|=0$)
 - models are flatter than data
- Λ/K_S^0 ($|S|=1$)
 - EPOS LHC off
 - PYTHIA overestimates data by factor 3
- Ξ/ϕ ($|S|=2$) and Ω/ϕ ($|S|=3$, all s)
 - EPOS LHC good
 - PYTHIA off



Baryon hadronisation

Baryon-to-meson ratios...

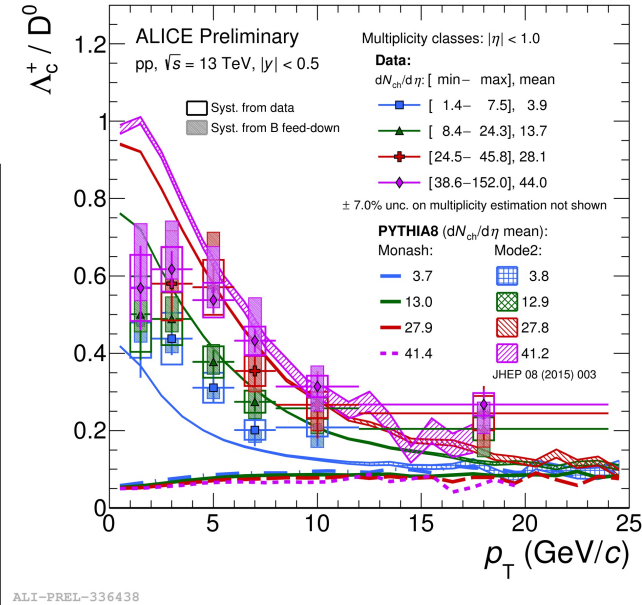
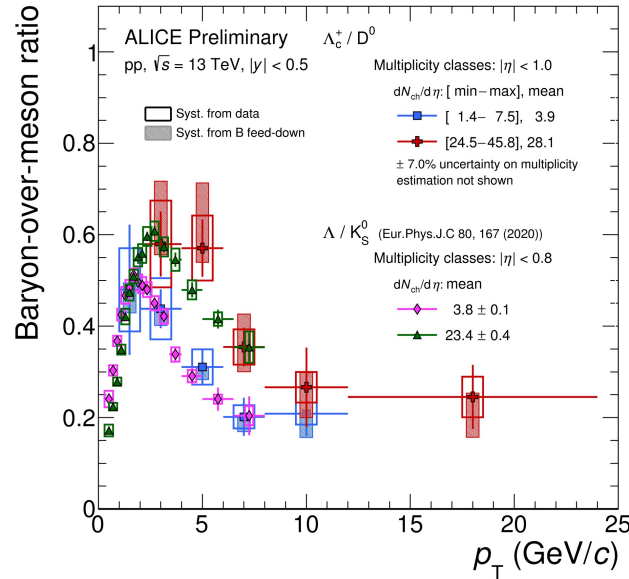


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Same trend for Λ_C/D^0 ($|C|=1$)

- is mid- p_T enhancement a baryon/meson feature?
- PYTHIA Mode2 (QCD-CR) works for Λ_C/D^0 ...



Baryon hadronisation

Baryon-to-meson ratios...

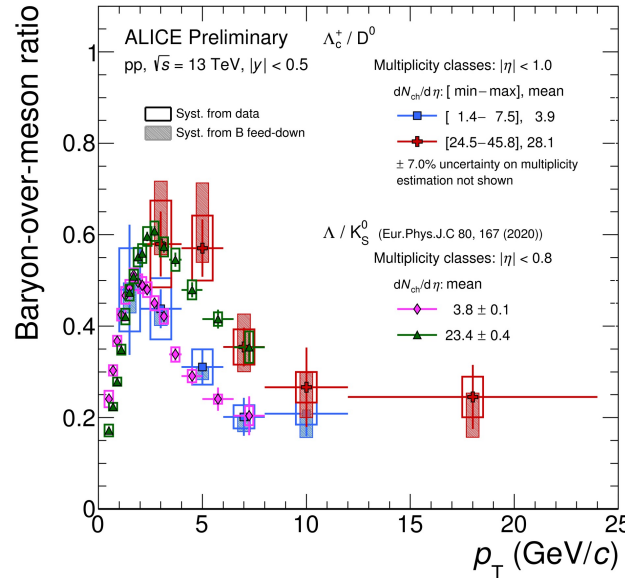


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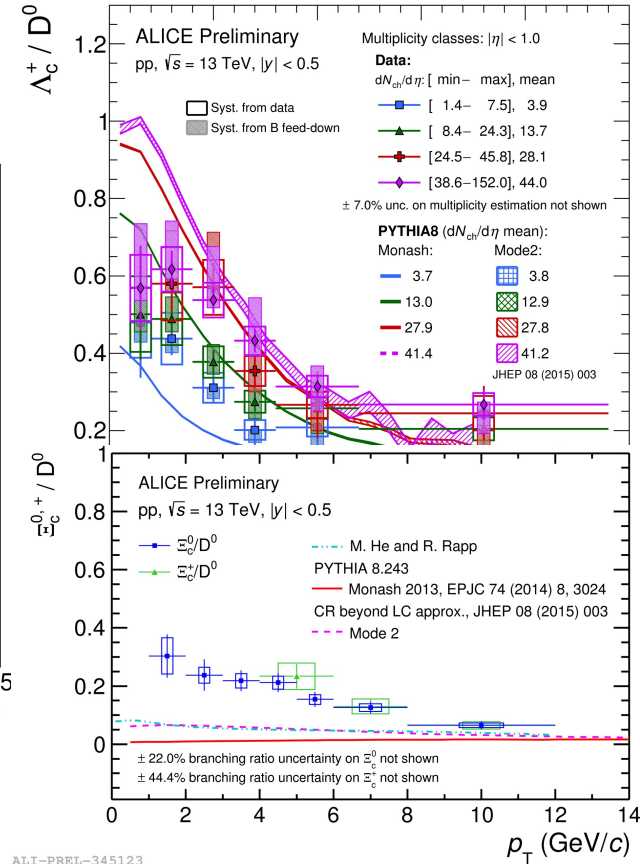
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Same trend for Λ_C/D^0 ($|C|=1$)

- is mid- p_T enhancement a baryon/meson feature?
- PYTHIA Mode2 (QCD-CR) works for Λ_C/D^0 ...
- ...but not for Ξ_C/D^0 !

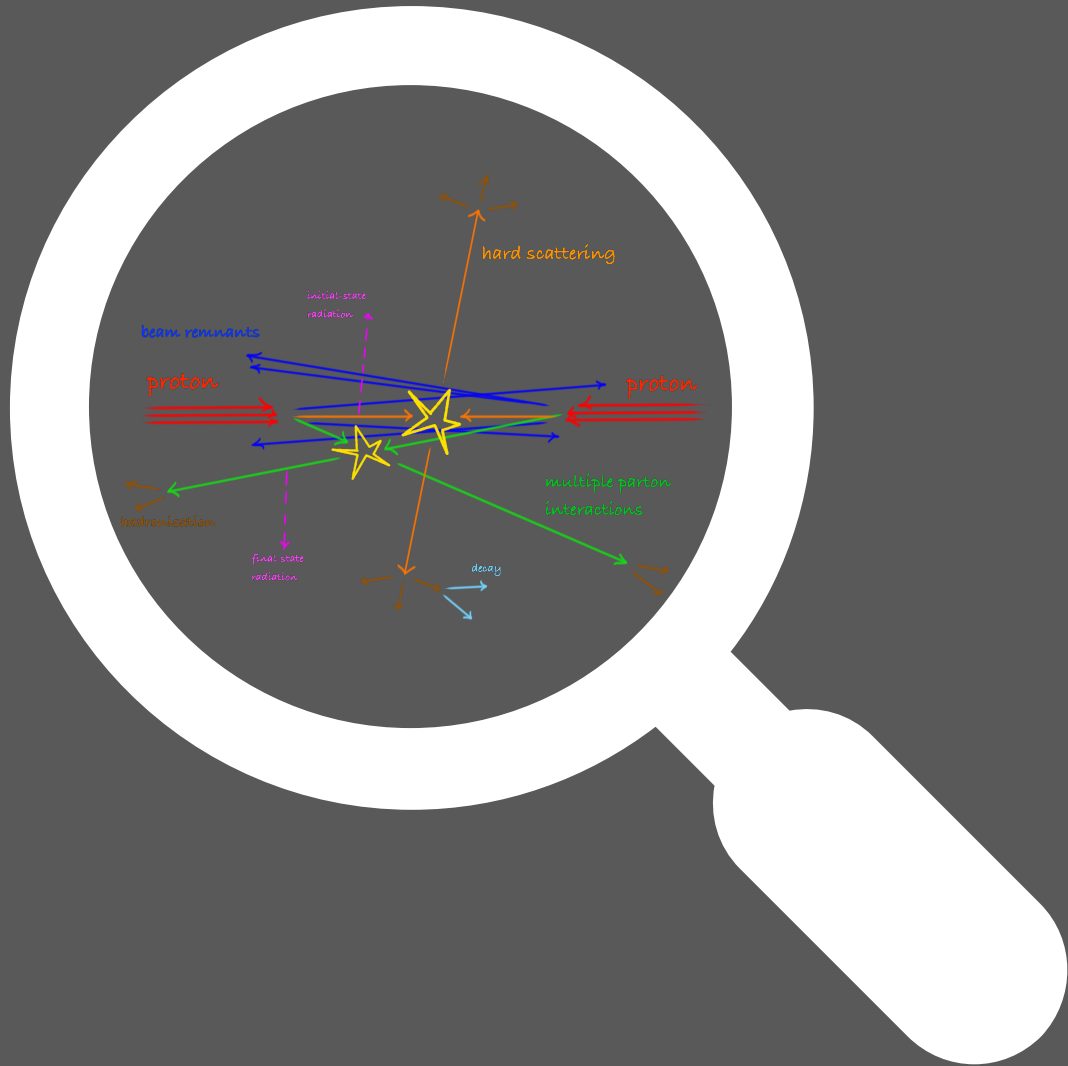


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Towards precision era

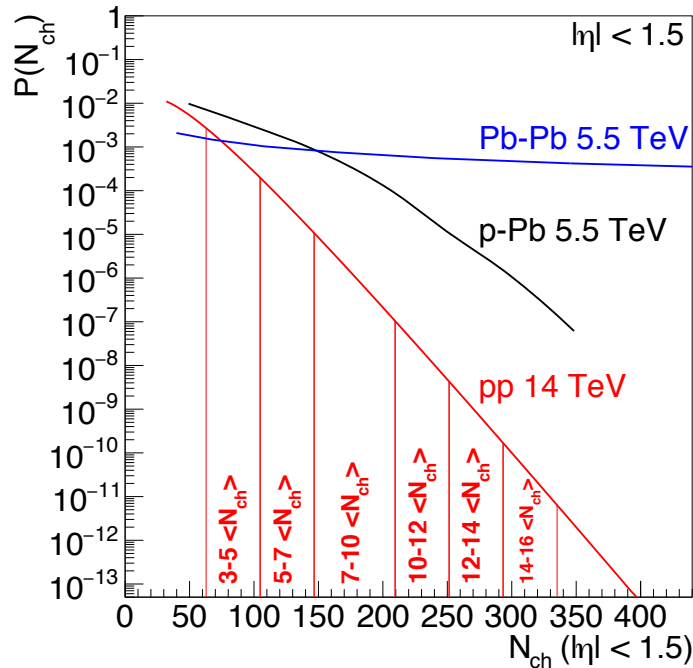


Yields as a function of multiplicity

Why is multiplicity so important?



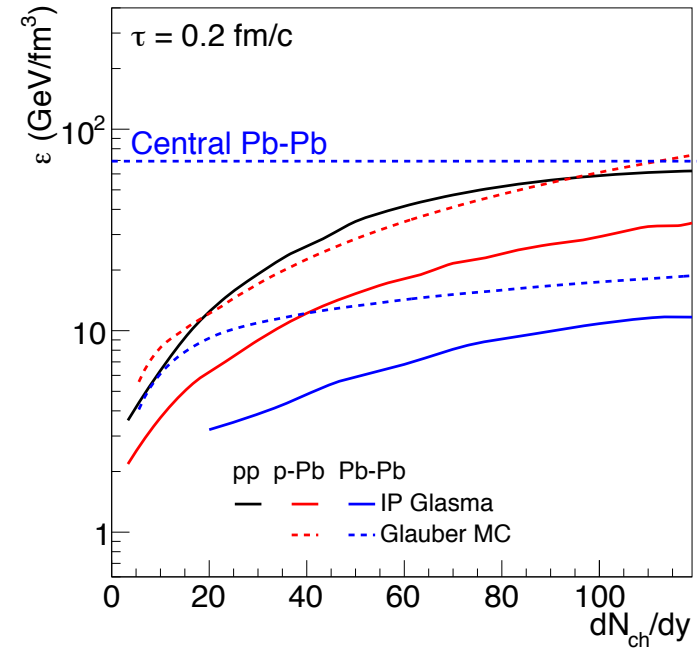
Multiplicity



$$\epsilon = \frac{1}{A\tau} \langle E \rangle \frac{3}{2} \frac{dN_{ch}}{dy}$$



Energy density

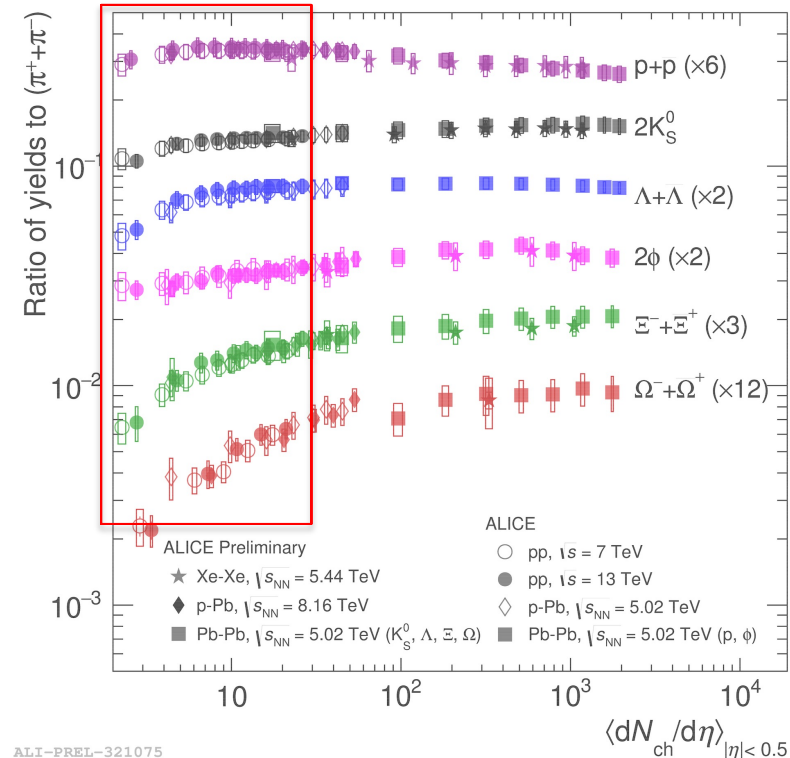


Yields as a function of multiplicity

Strangeness enhancement



- In **pp**, the production of strange hadrons is suppressed relative to hadrons containing only light quarks due to quark s mass



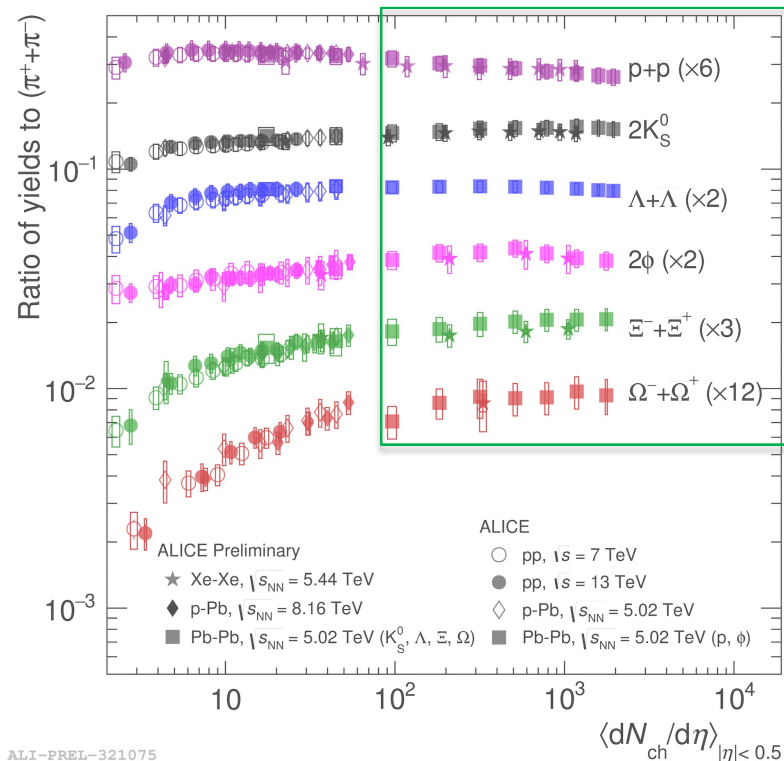
ALI-PREL-321075

Yields as a function of multiplicity

Strangeness enhancement



- In pp, the production of strange hadrons is suppressed relative to hadrons containing only light quarks due to quark s mass
- In AA, particle ratios are described by a grand-canonical approach within the statistical hadronisation model



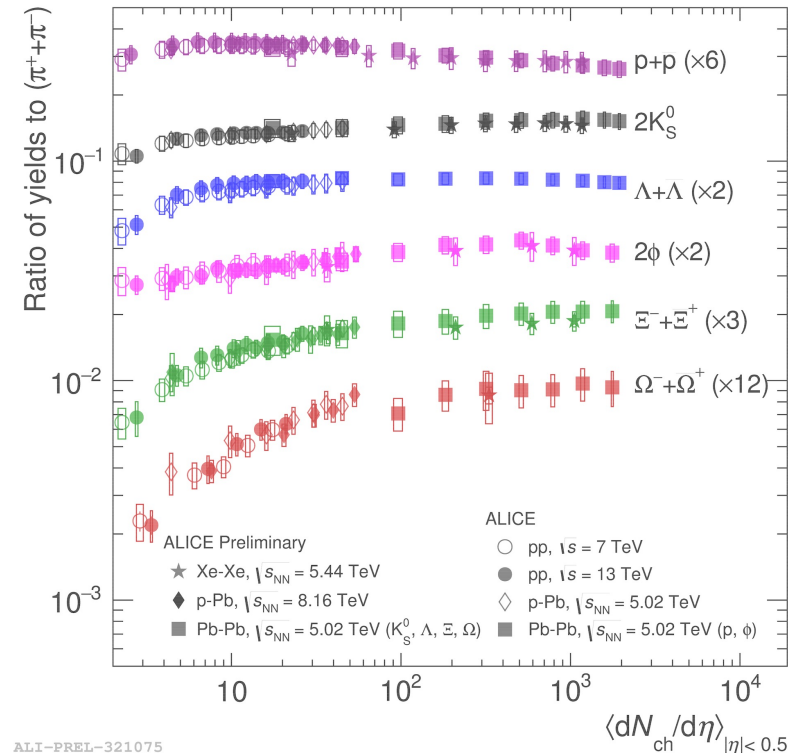
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Yields as a function of multiplicity

Strangeness enhancement



- In pp, the production of strange hadrons is suppressed relative to hadrons containing only light quarks due to quark s mass
 - In AA, particle ratios are described by a grand-canonical approach within the statistical hadronisation model
- What is the microscopic mechanism that explains strangeness enhancement?



ALI-PREL-321075

Yields as a function of multiplicity

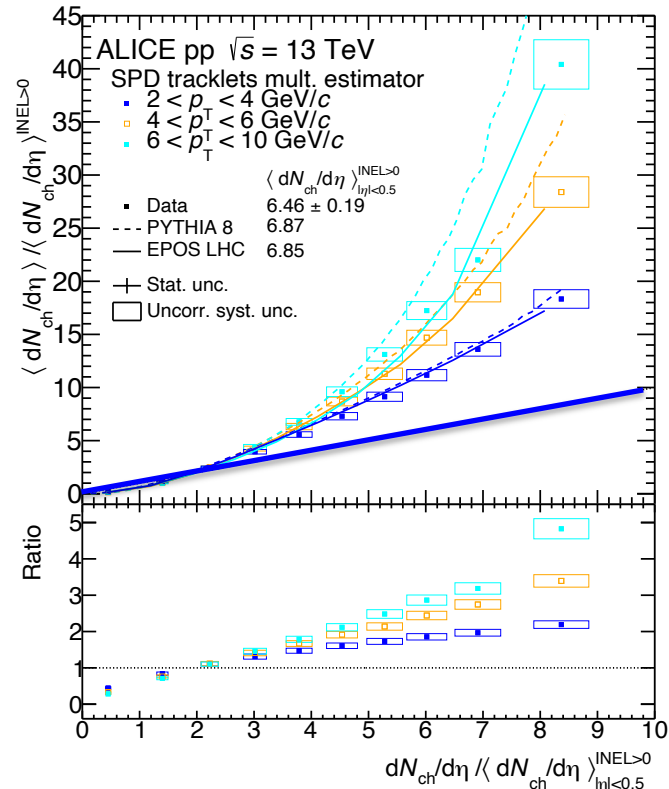
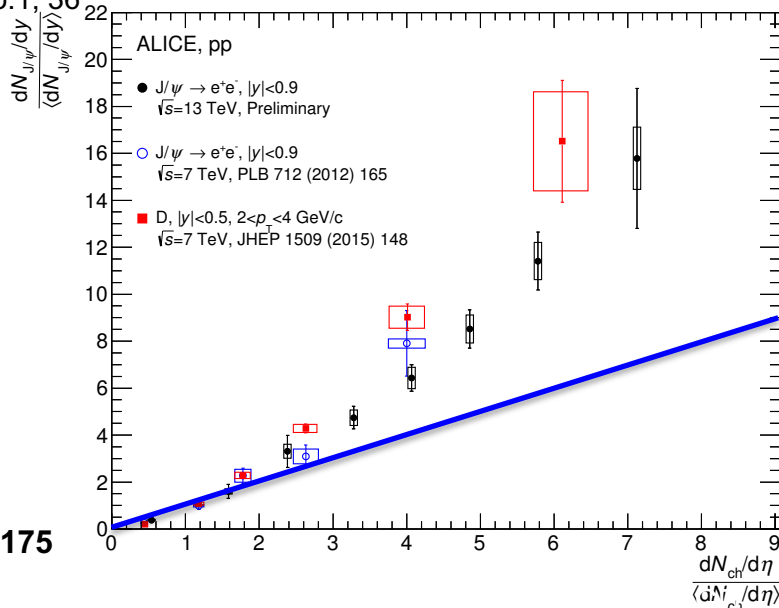
Heavy-flavour and high- p_T particle production



Non-linear heavy-flavour and high- p_T particle production increase with multiplicity

- effect of multiplicity saturation?
- interplay between multiplicity fluctuations of individual parton interactions and decrease of MPI?

Eur.Phys.J. C79 (2019) no.1, 36



ALICE
 Phys.Lett. B712 (2012) 165-175
 JHEP 1509 (2015) 148
 Eur.Phys.J.C 79 (2019) 10, 857

REL-126584

Yields as a function of multiplicity

Heavy-flavour and high- p_T particle production

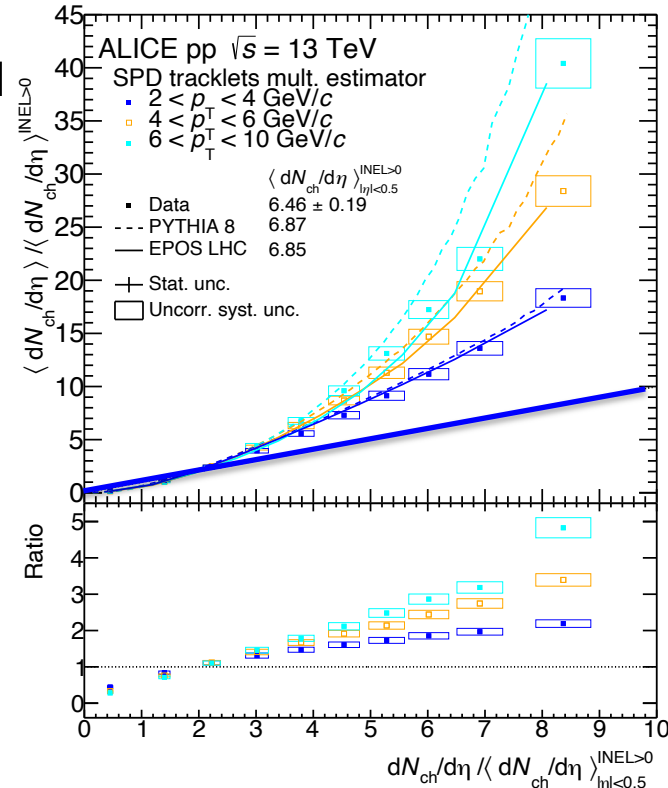
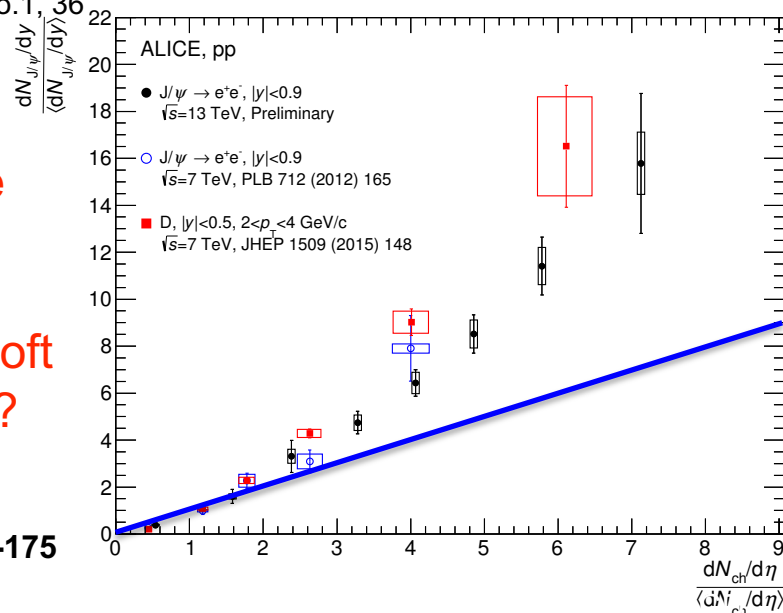


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Eur.Phys.J. C79 (2019) no.1, 36

➤ **Could a jet free multiplicity estimator help to understand soft QCD dynamics?**



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A jet-free multiplicity estimator

What is R_T ?



We look for a variable that

1. is not influenced by the initial hard parton scattering
2. can discriminate among soft and hard events

A jet-free multiplicity estimator



What is R_T ?

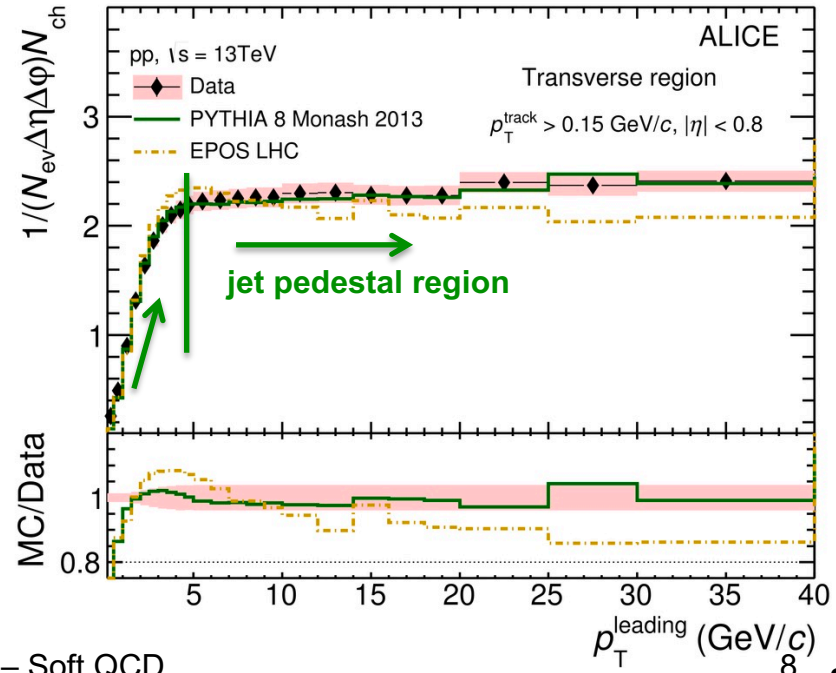
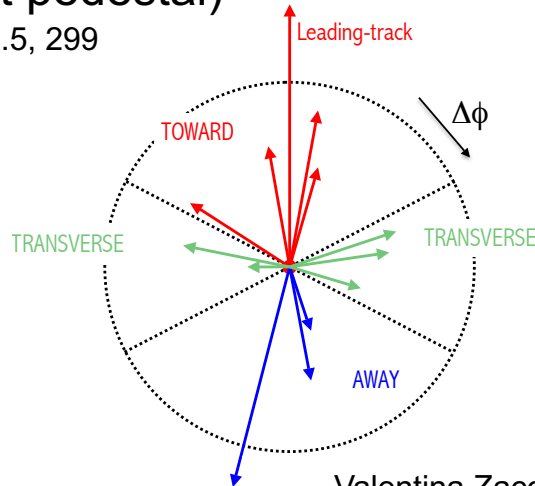
We look for a variable that

1. is not influenced by the initial hard parton scattering
2. can discriminate among soft and hard events

➤ define the relative
transverse activity classifier R_T in the
plateau region (jet pedestal)

Eur.Phys.J. C76 (2016) no.5, 299

$$R_T = \frac{N_{inclusive}}{\langle N_{inclusive} \rangle}$$



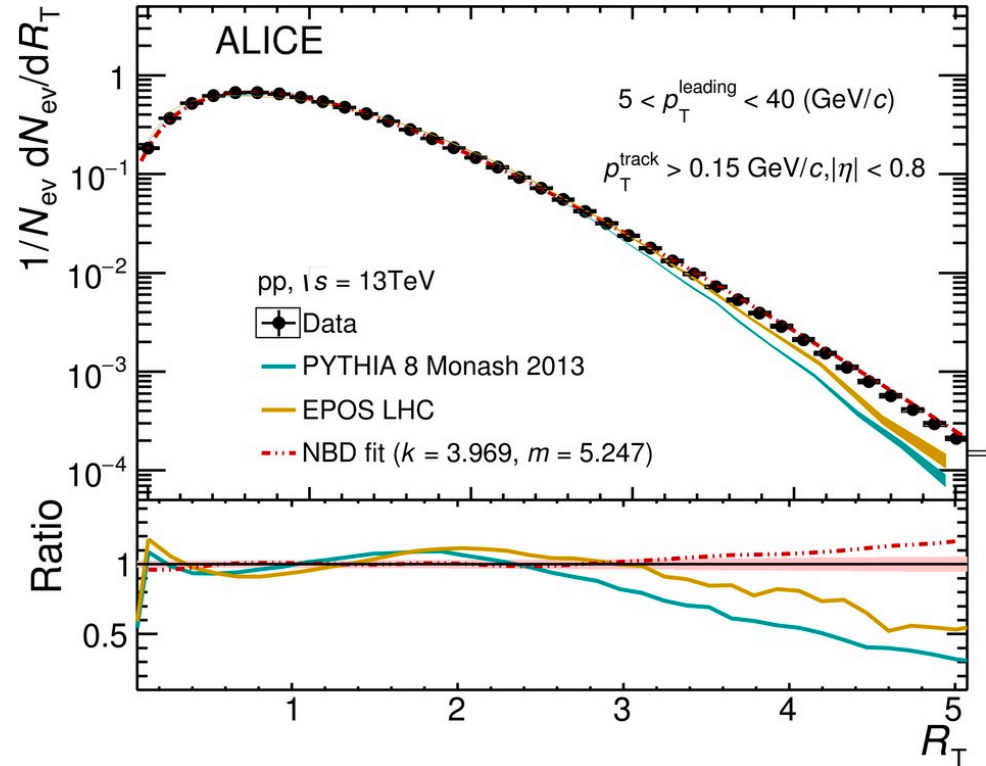
A jet-free multiplicity estimator

R_T distribution



Selection done in:

- transverse multiplicity
- plateau region $5 < p_{T}^{\text{leading}} < 40 \text{ GeV}/c$



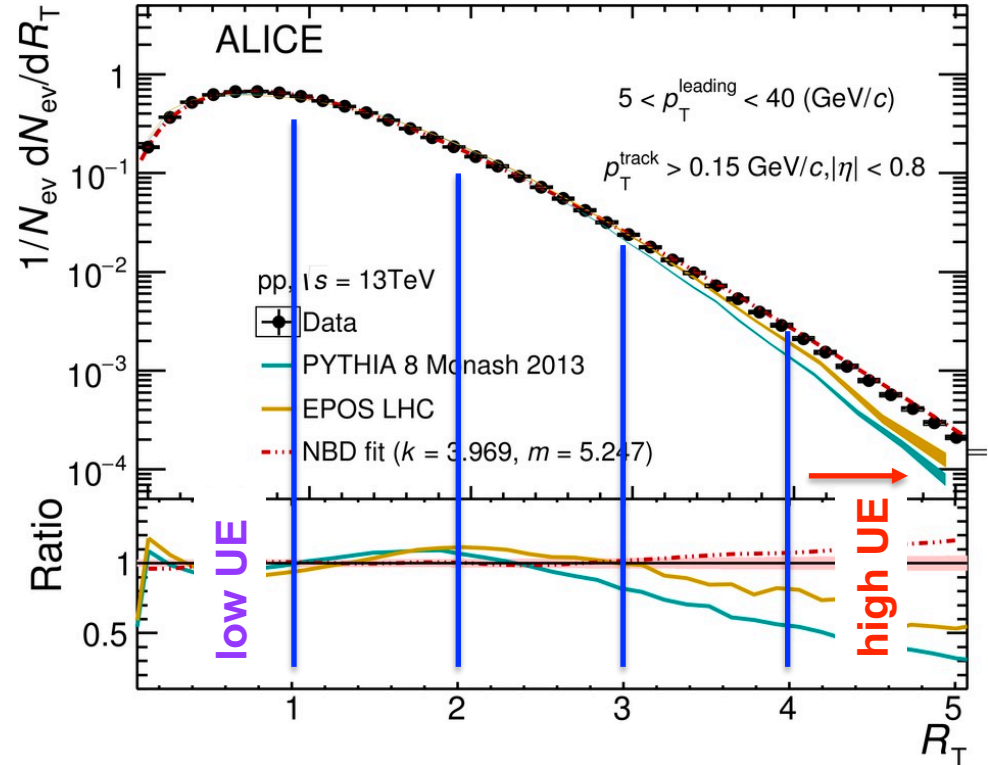
A jet-free multiplicity estimator

R_T distribution



Selection done in:

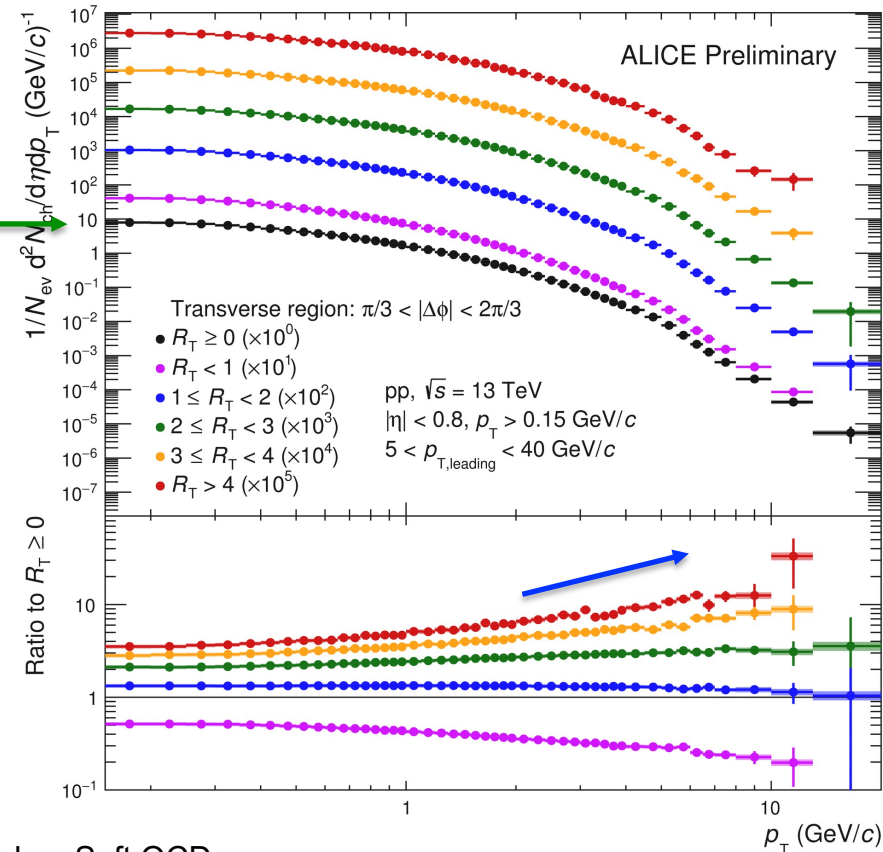
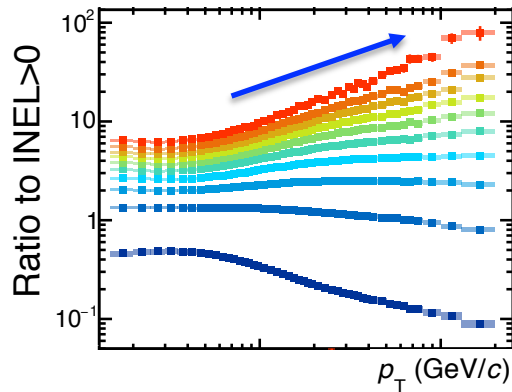
- transverse multiplicity
- plateau region $5 < p_T^{\text{leading}} < 40 \text{ GeV}/c$
- several R_T bins to allow to distinguish among low and high UE activity



Transverse p_T distributions

Comparison to **inclusive transverse spectra**

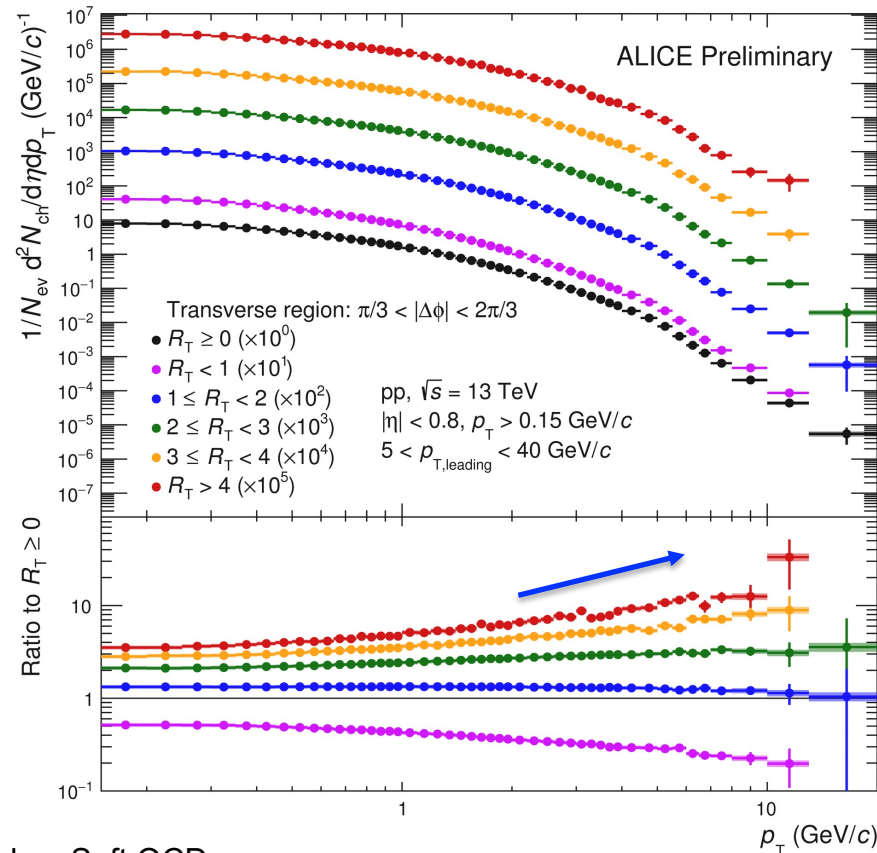
- clear p_T hardening at high multiplicity in the transverse region \rightarrow same trend observed for the midrapidity-based multiplicity estimator



Transverse p_T distributions

Comparison to **inclusive transverse** spectra

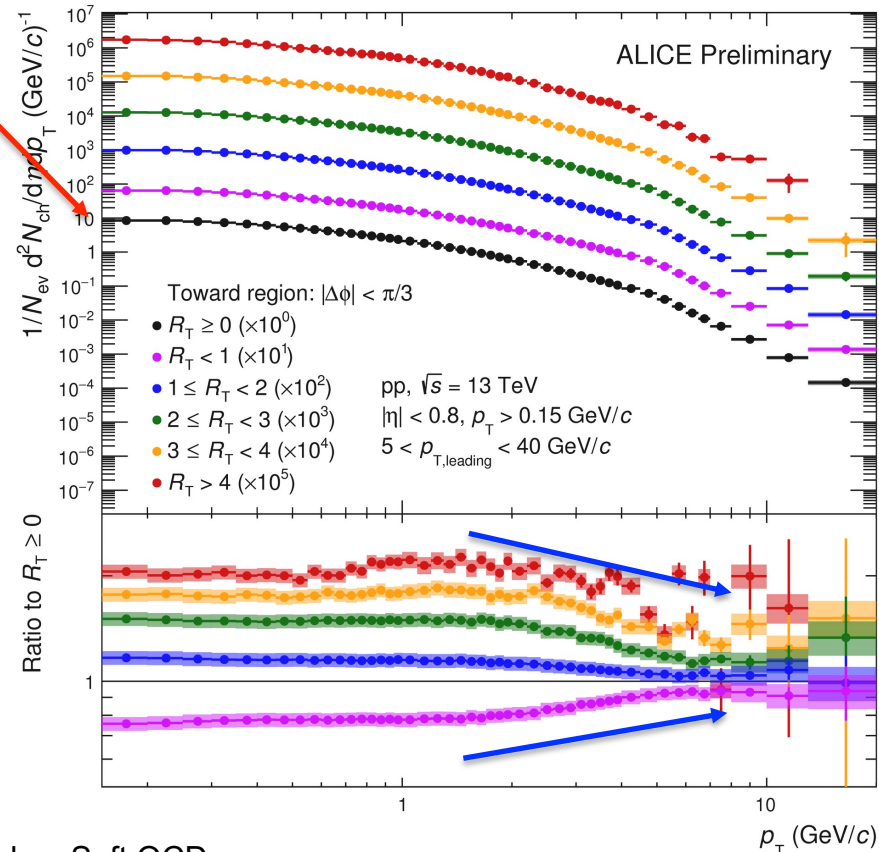
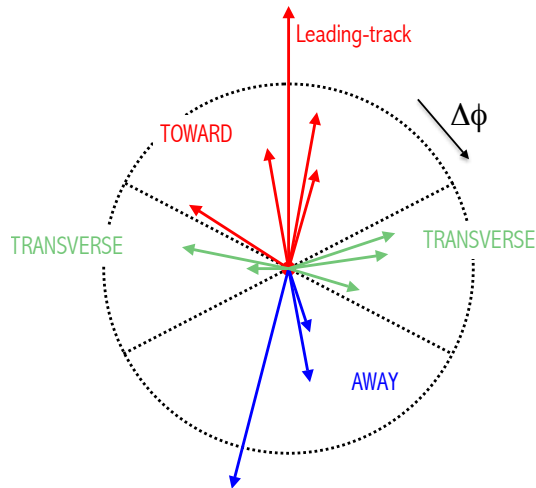
- clear p_T hardening at high multiplicity in the transverse region \rightarrow same trend observed for the midrapidity-based multiplicity estimator
- measurement (p_T) and selection (multiplicity) are done in the **same pseudorapidity region**



Toward p_T distributions

Comparison to **inclusive toward** spectra

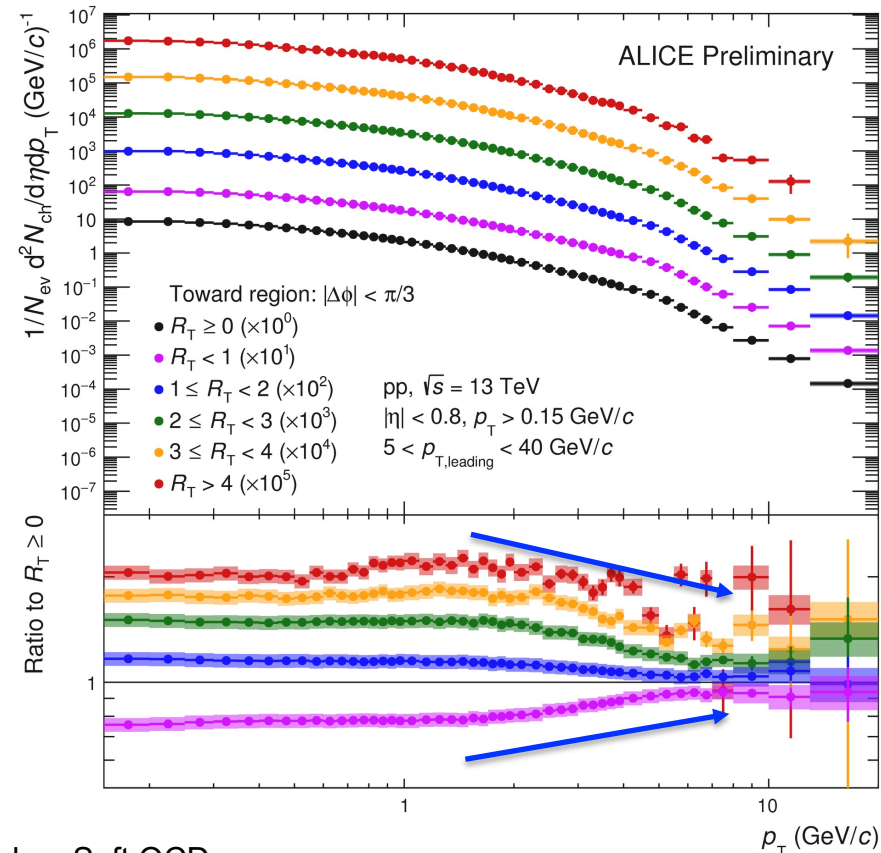
- If the multiplicity is determined in the **transverse** region, the spectra in the **toward** (jet) region clearly show the **opposite trend**



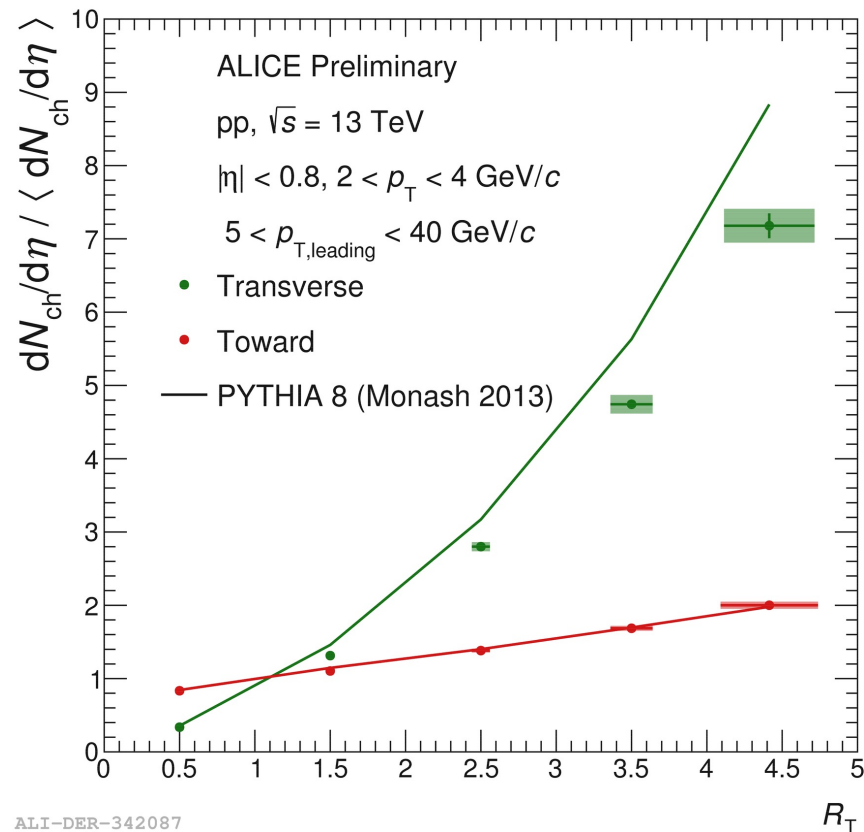
Toward p_T distributions

Comparison to **inclusive toward** spectra

- If the multiplicity is determined in the transverse region, the spectra in the toward (jet) region clearly show the opposite trend
- we observe convergence to the jet:
 - complete separation among soft (UE) and hard (jet) part of the event at high p_T
 - correlation effects are significantly reduced



R_T dependence for **transverse** and **toward**

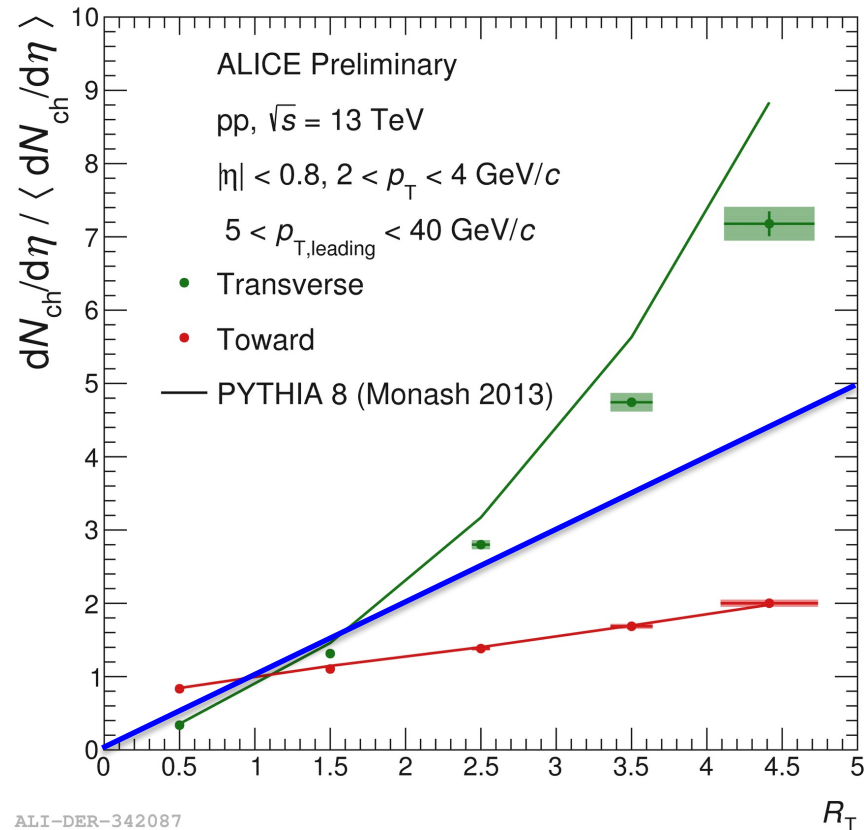
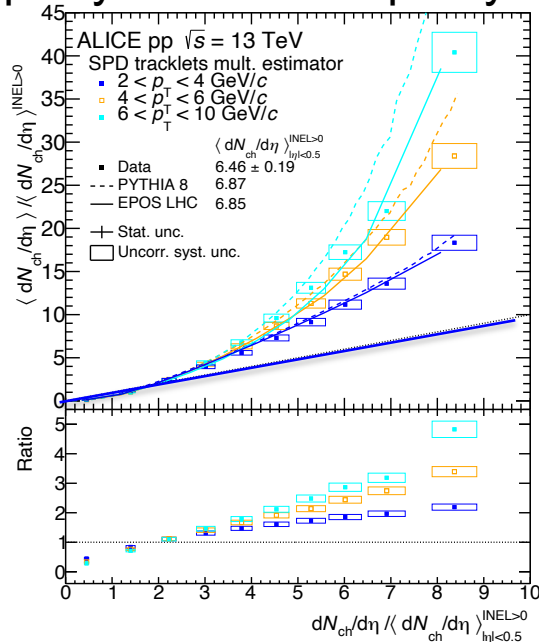


ALI-DER-342087

Valentina Zaccolo – Soft QCD

R_T dependence for **transverse** and **toward**

- **yield in transverse vs R_T**
same behavior observed using the
midrapidity–based multiplicity estimator



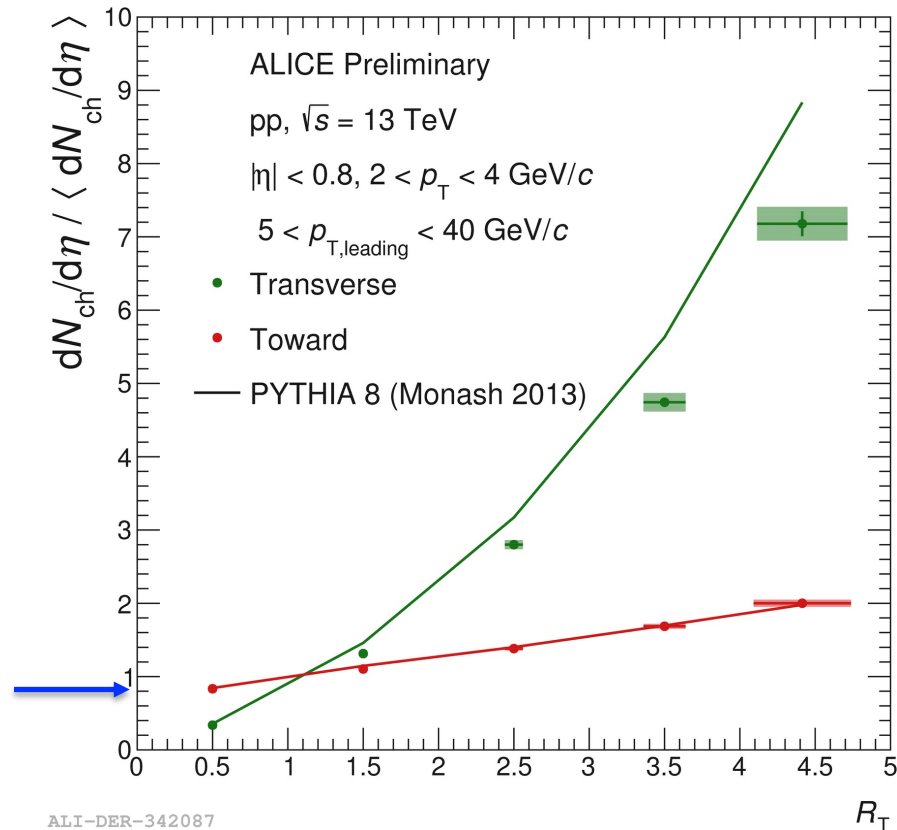
ALI-DER-342087

Valentina Zaccolo – Soft QCD

R_T dependence for **transverse** and **toward**

➤ yield in toward vs R_T

- does not converge to 0
→ at $R_T = 0$ we can have a jet
→ possibility to study hard object with almost no UE activity!



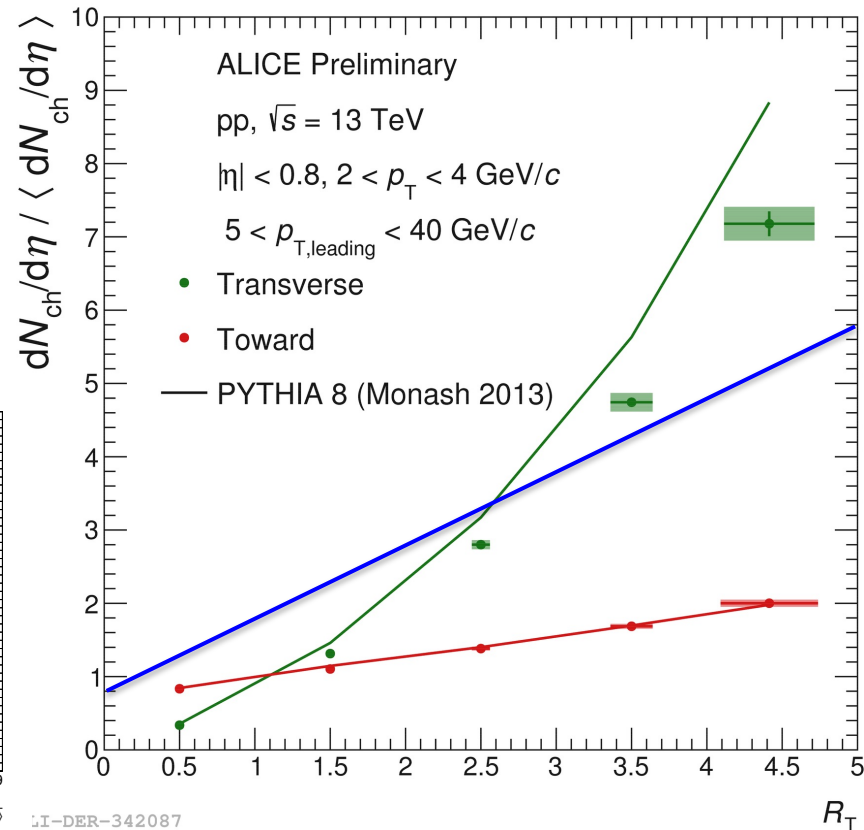
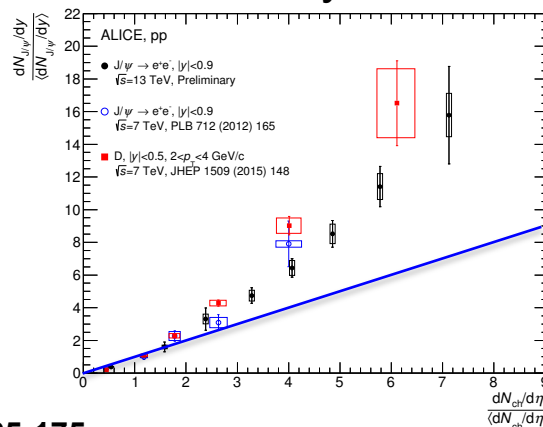
ALI-DER-342087

Valentina Zaccolo – Soft QCD

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➤ yield in toward vs R_T

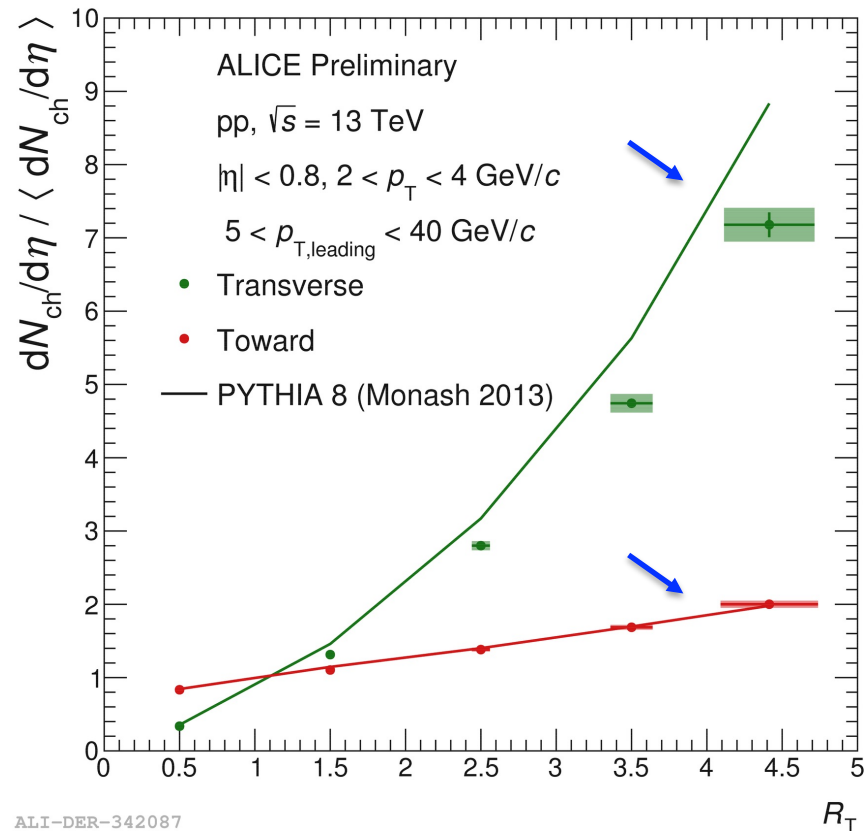
- does not converge to 0
→ at $R_T = 0$ we can have a jet
→ possibility to study hard object with almost no UE activity!
- **it is linear**
→ not the same as heavy flavours!



R_T dependence for **transverse** and **toward**

➤ yield in toward vs R_T

- does not converge to 0
→ at $R_T = 0$ we can have a jet
→ possibility to study hard object with almost no UE activity!
- it is linear
→ not the same as heavy flavours!
- **PYTHIA 8.2** reproduces well the observed trends



ALI-DER-342087

Valentina Zaccolo – Soft QCD

Yields as a function of the UE activity

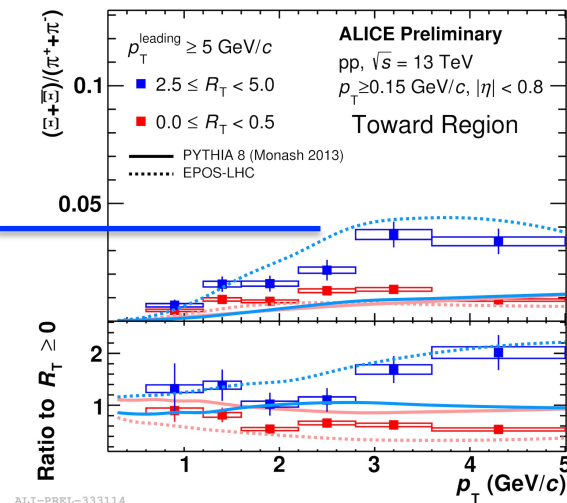
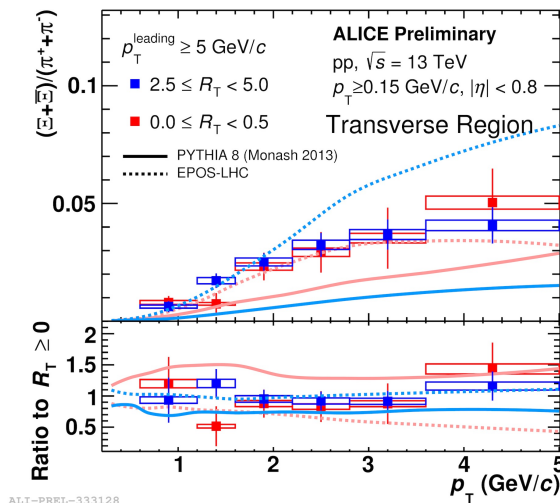
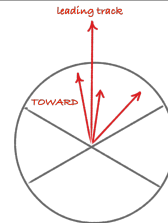
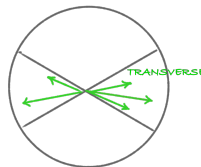
Strangeness enhancement



Particle production vs R_T

$$R_T = \frac{N_{ch}^{transverse}}{\langle N_{ch}^{transverse} \rangle}$$

- **transverse**
 - no enhancement
- **toward**
 - enhancement
 - for **high R_T values**
→ same ratio yields as transverse



Yields as a function of the UE activity

Strangeness enhancement



Particle production vs R_T

$$R_T = \frac{N_{ch}^{transverse}}{\langle N_{ch}^{transverse} \rangle}$$

- transverse

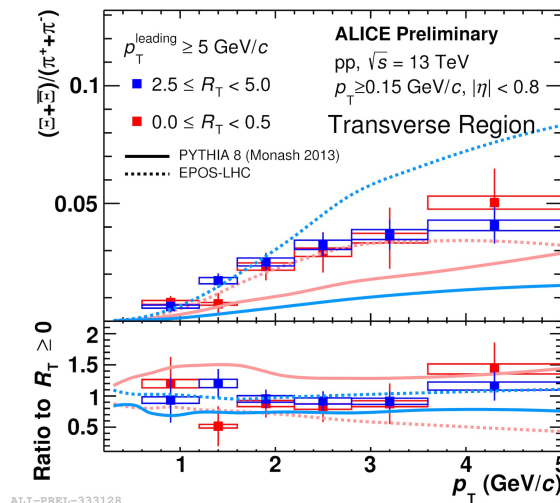
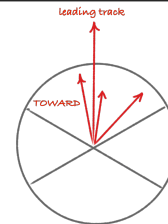
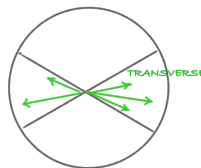
- no enhancement

- toward

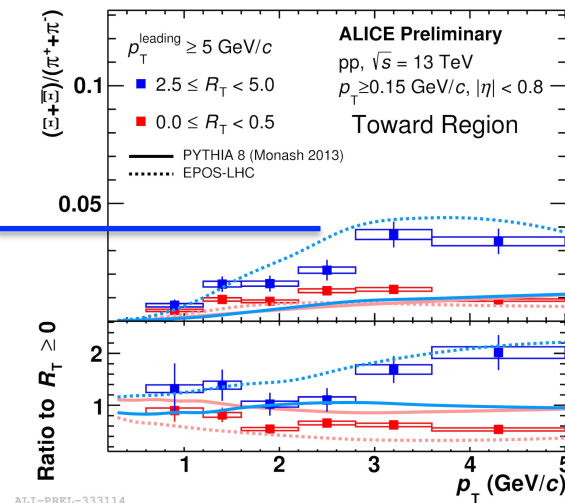
- enhancement
- for high R_T values
→ same ratio yields as transverse

➤ Strangeness enhancement observed in

- jet+UE
- high UE activity



ALI-PREL-333128



ALI-PREL-333114

Yields as a function of the UE activity

Deuteron production

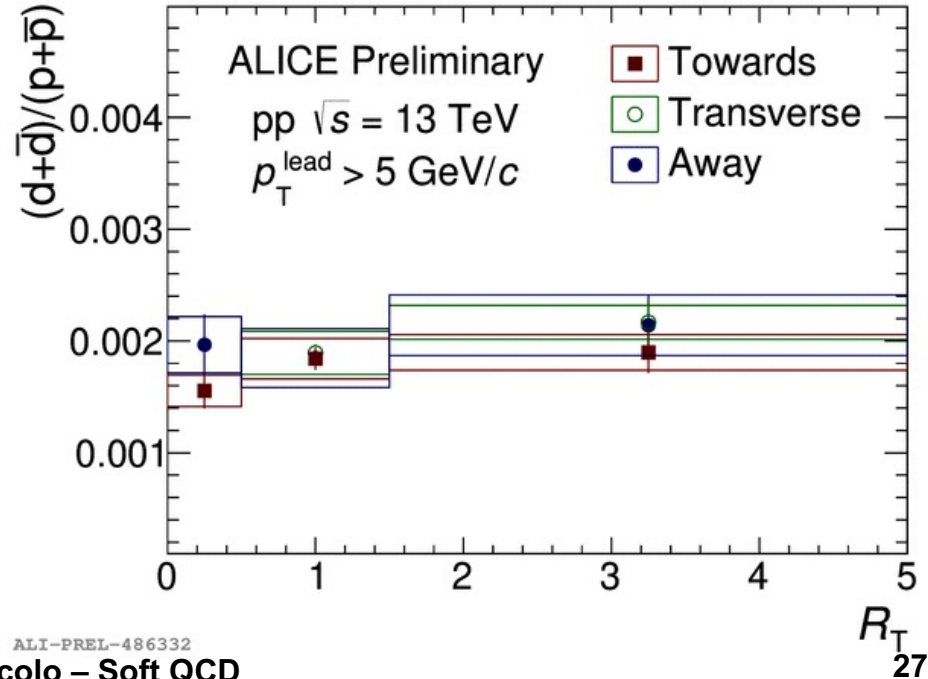
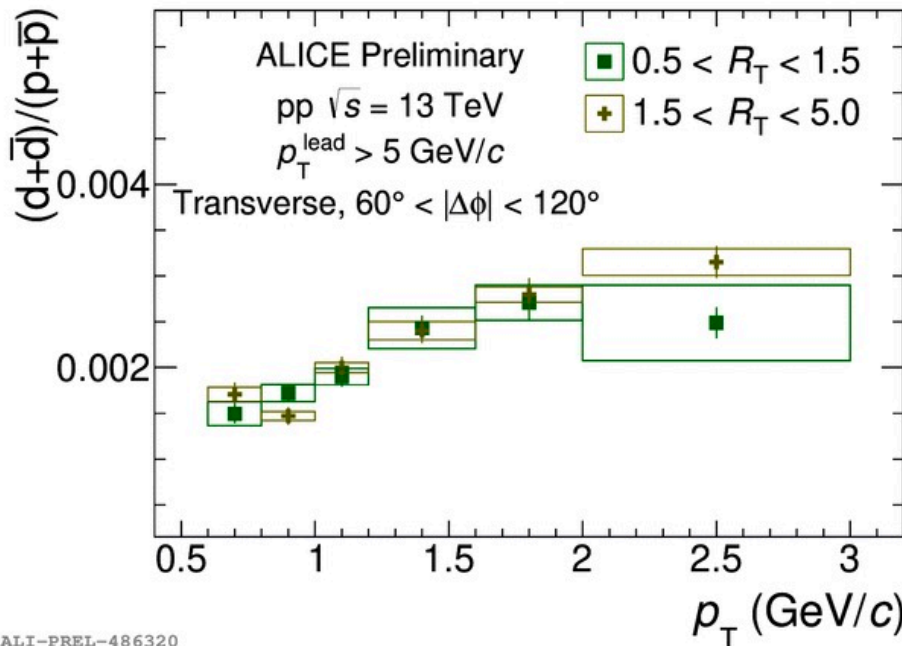


Particle production vs R_T

d/p:

- no dependence on R_T

$$R_T = \frac{N_{ch}^{transverse}}{\langle N_{ch}^{transverse} \rangle}$$



Yields as a function of the UE activity



Deuteron production

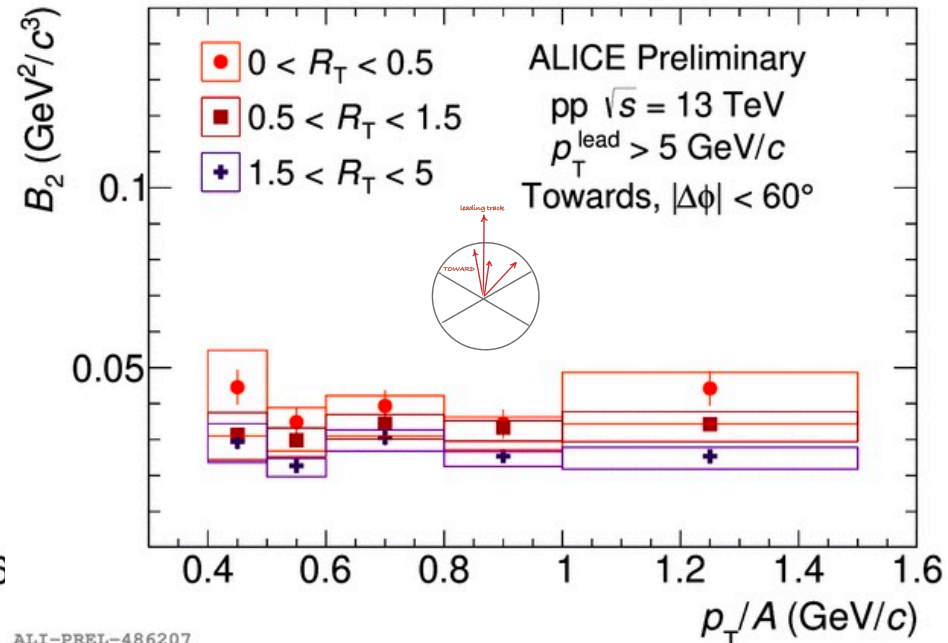
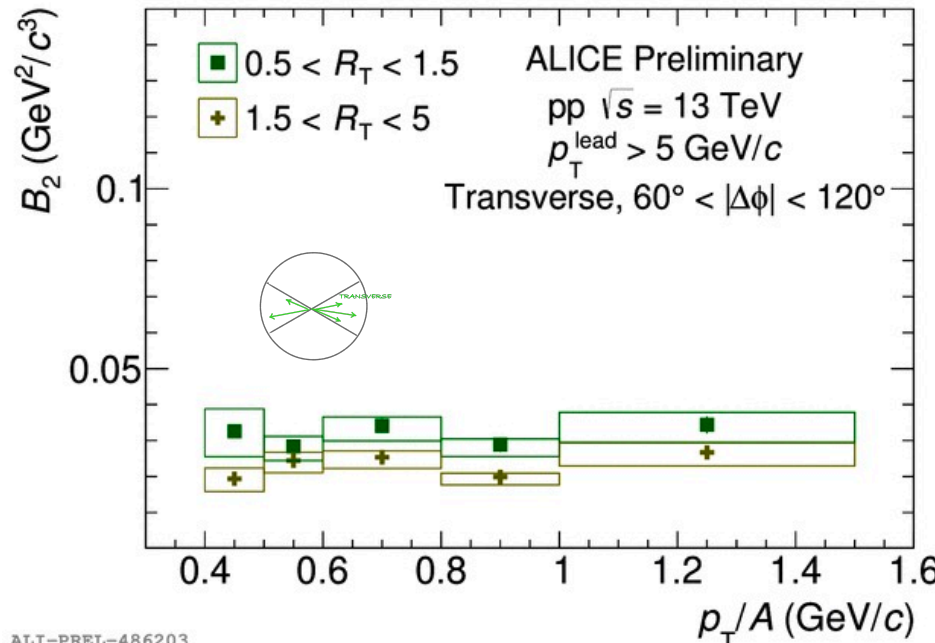
Particle production vs R_T

$$R_T = \frac{N_{ch}^{transverse}}{\langle N_{ch}^{transverse} \rangle}$$

Coalescence parameter from \longrightarrow

- flat vs p_T for all azimuthal regions

$$E_A \frac{d^3 N_A}{dp_A^3} = B_A \left(E_p \frac{d^3 N_p}{dp_p^3} \right)^A \bigg|_{\vec{p}_p = \vec{p}_A/A}$$



Yields as a function of the UE activity



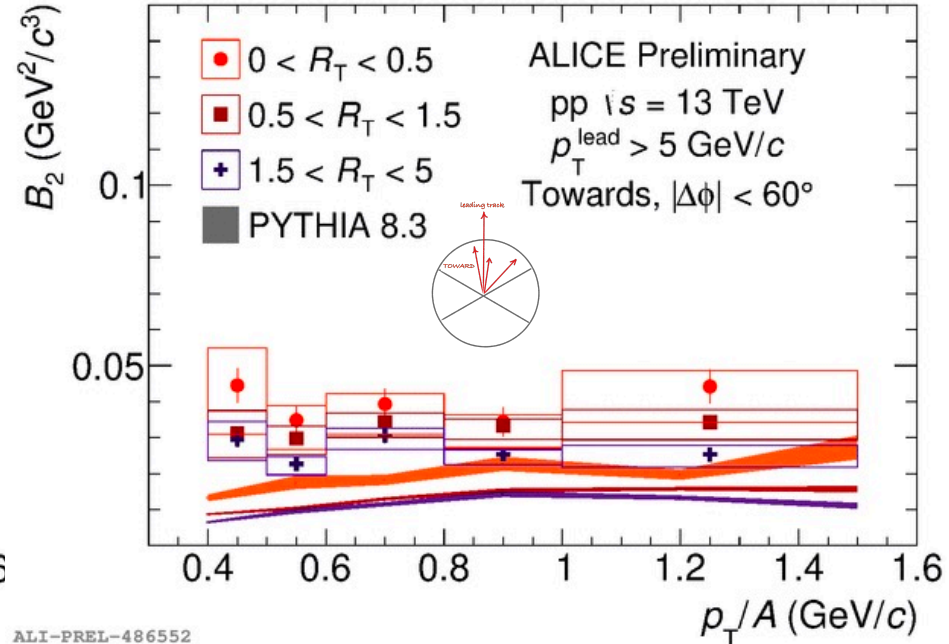
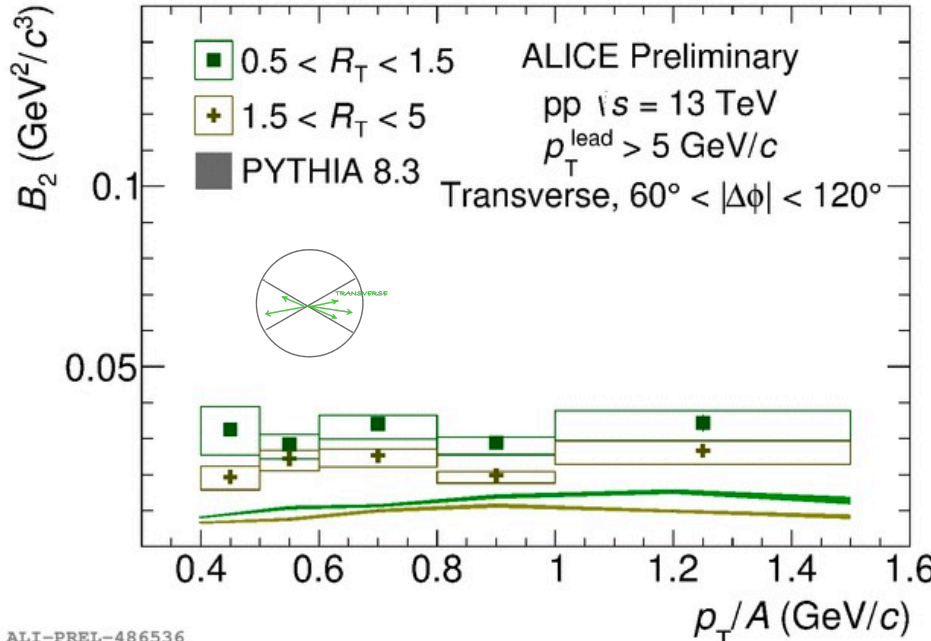
Deuteron production

Particle production vs R_T

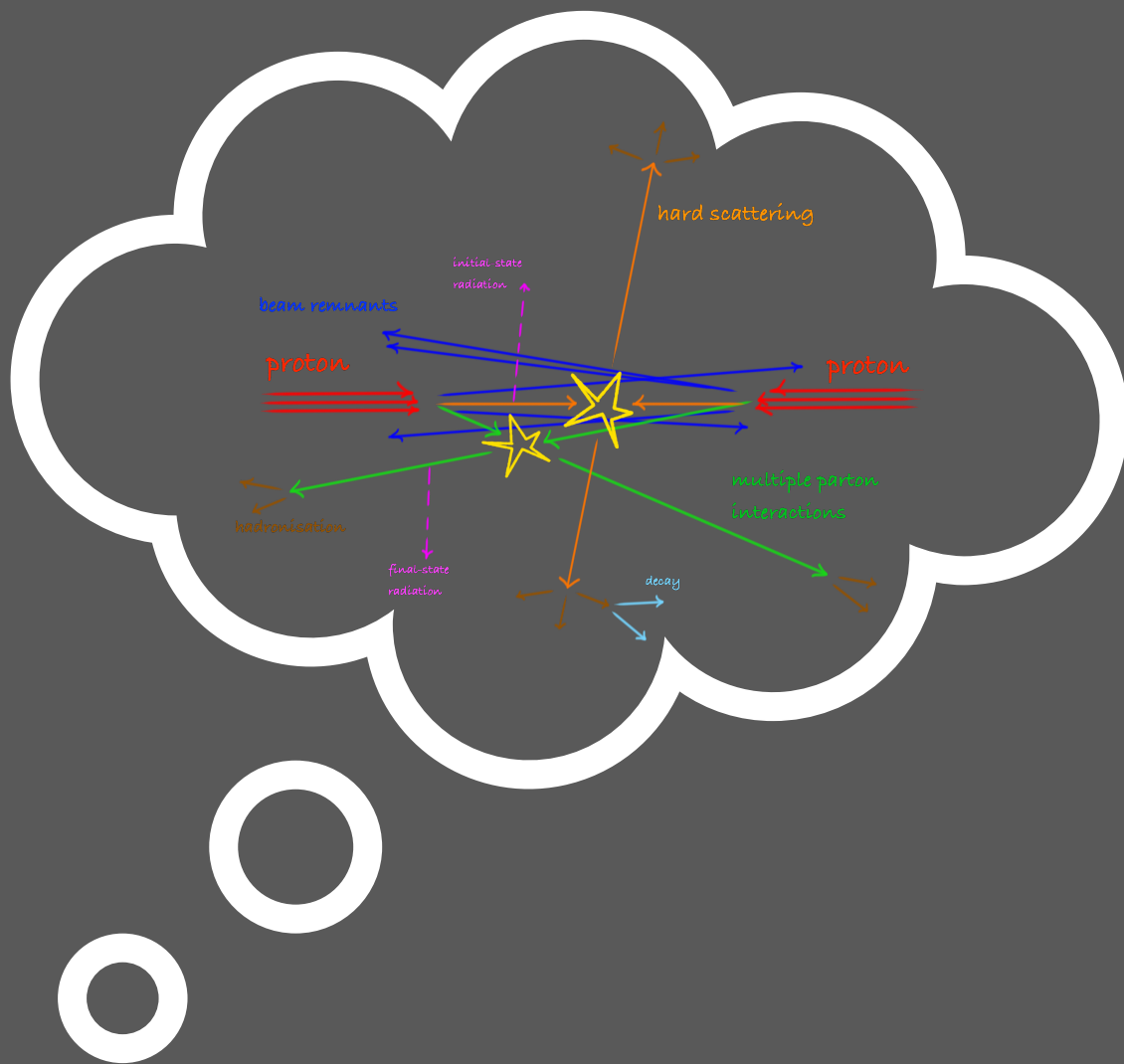
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Coalescence parameter from $\longrightarrow E_A \frac{d^3 N_A}{dp_A^3} = B_A \left(E_p \frac{d^3 N_p}{dp_p^3} \right)^A \bigg|_{\vec{p}_p = \vec{p}_A/A}$

- flat vs p_T for all azimuthal regions
- Pythia 8.3 with d production via coalescence and reactions underestimates it

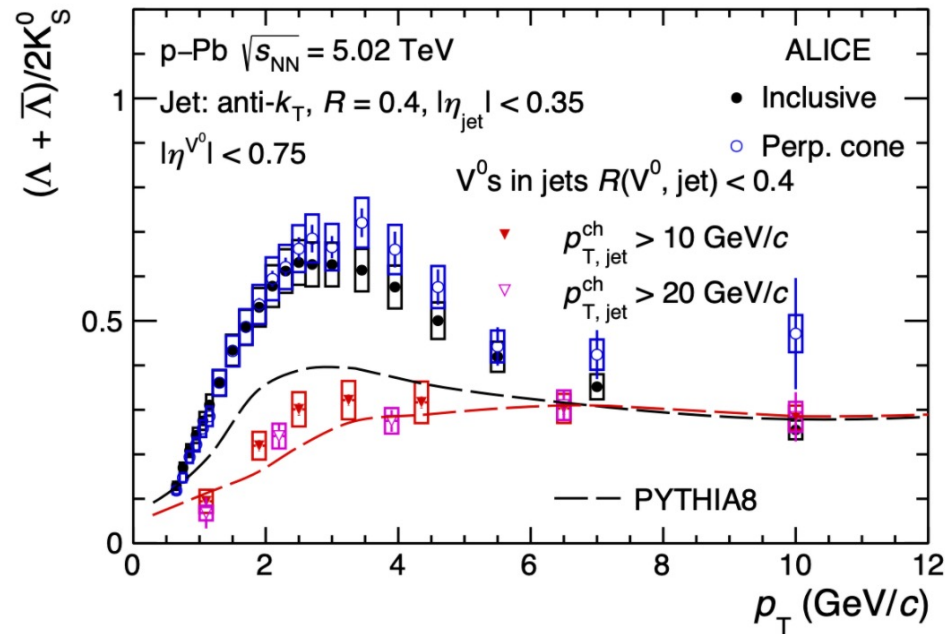


New ideas



Why looking for UE characterisation?

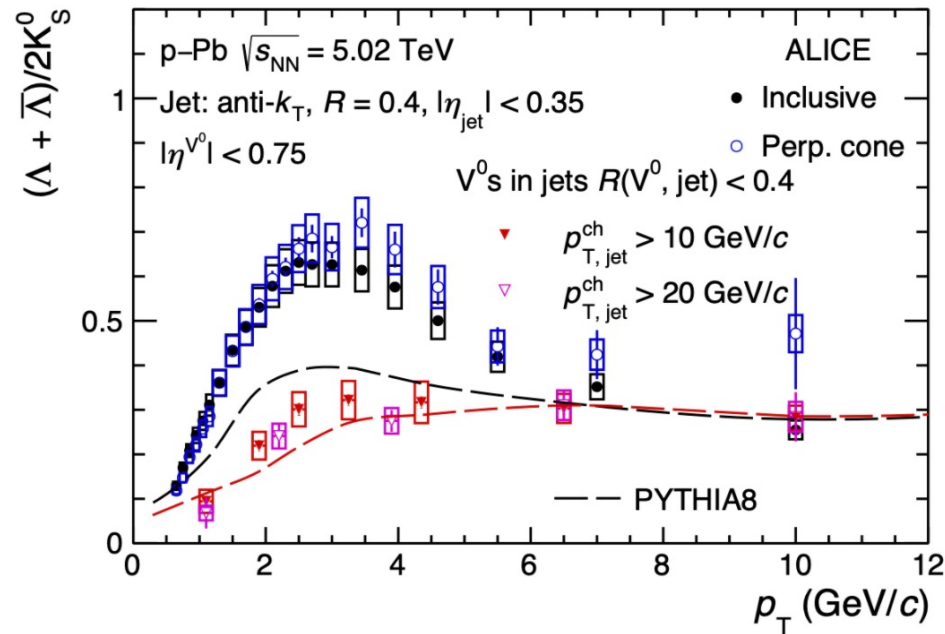
Production of strange baryons (Λ) and mesons (K_s^0) is reported **inside jets** and **in the event portion perpendicular to a jet** (low energy processes)



Why looking for UE characterisation?

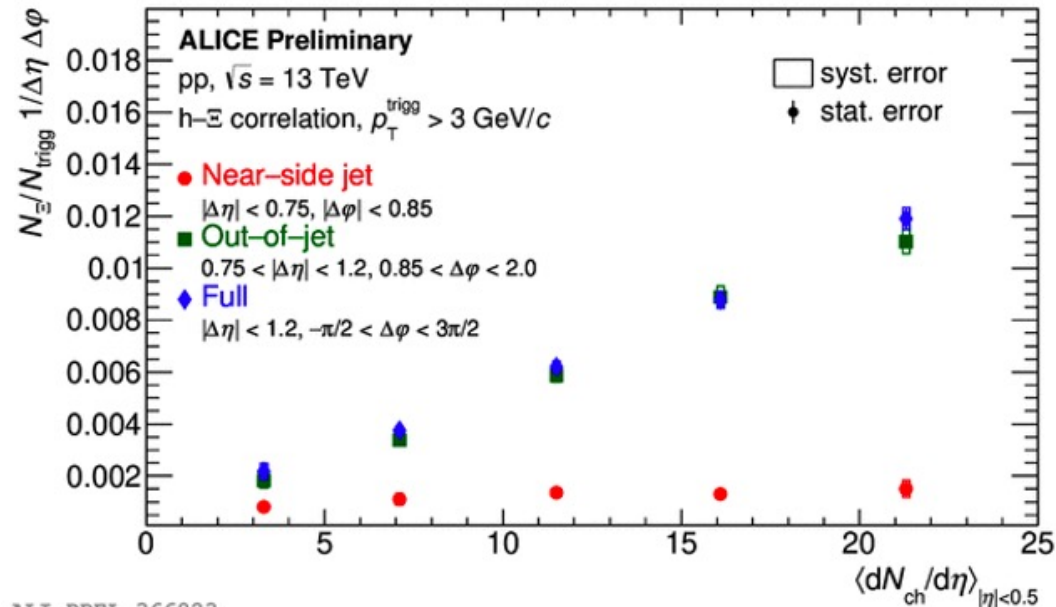
Production of strange baryons (Λ) and mesons (K_s^0) is reported **inside jets** and **in the event portion perpendicular to a jet** (low energy processes)

- the particles away from jets show a large baryon-over-meson increase.
- modelling the baryon-over-meson has important constraint: the absence of the jet!



Why looking for UE characterisation?

Enhancement of strange baryon Ξ happens **outside the energetic jet**

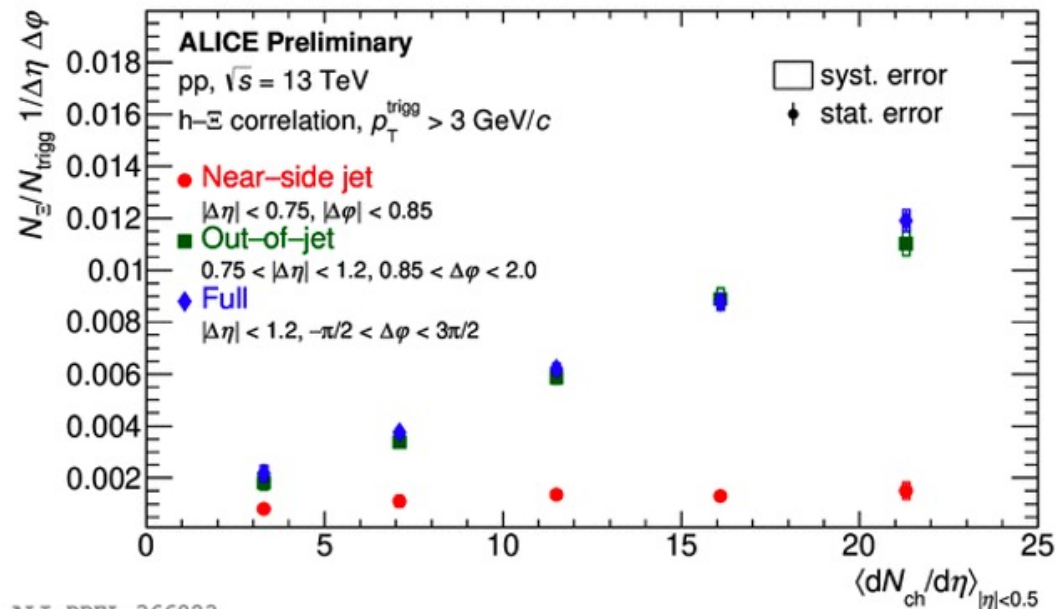


Why looking for UE characterisation?

Enhancement of strange baryon Ξ happens **outside the energetic jet**

→ strange particles away from jets show large strangeness enhancement

→ the absence of the jet is the important part to study!



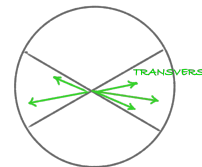
Relative transverse activity classifier:

what next?



The relative transverse activity classifier probes the softer region of the event: the transverse one with respect to the leading track in the UE plateau

$$R_T = \frac{N_{ch}^{transverse}}{\langle N_{ch}^{transverse} \rangle}$$



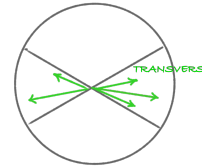
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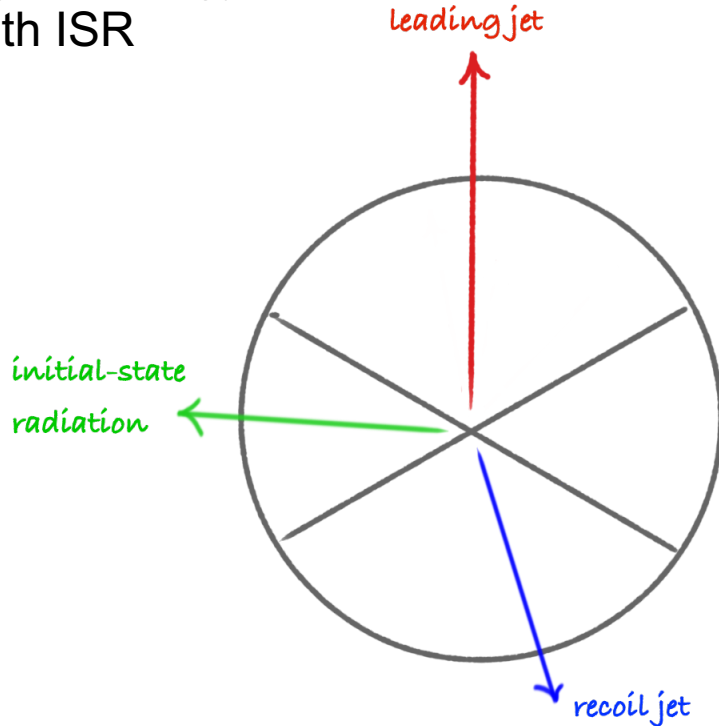
However, the R_T classifier still contains I/FSR and MPI

→ normally described in general purpose MC using pQCD extrapolated to low momentum transfer

A closer look at topologies

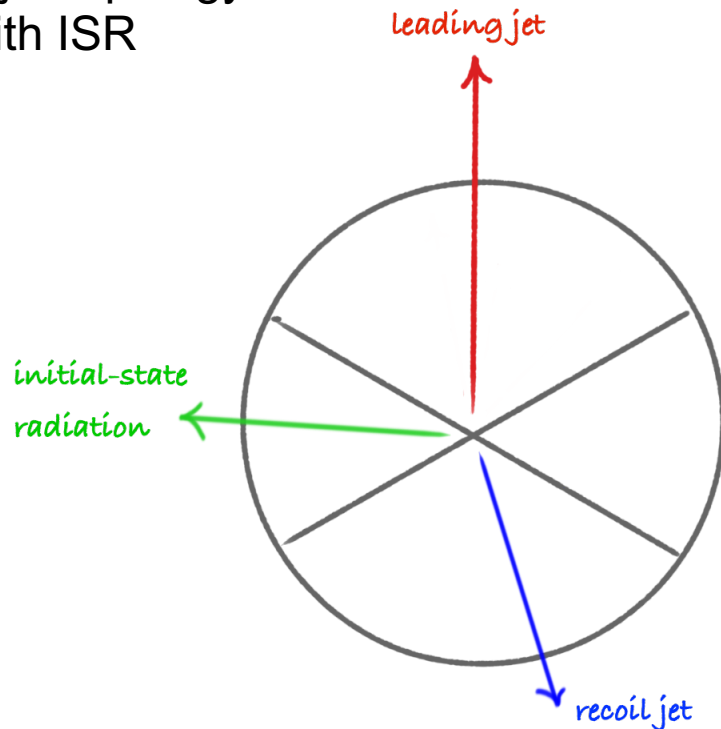


3-jet topology
with ISR

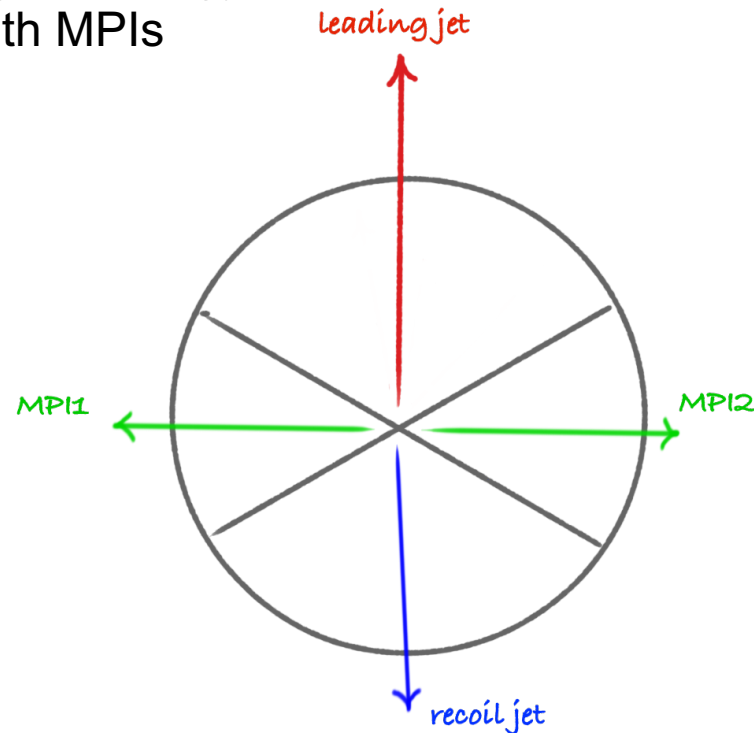


A closer look at topologies

3-jet topology
with ISR



4-jet topology
with MPIs



Soft classifiers?

Jets and mini-jets can be removed to create a **soft transverse activity classifier**

A possible technique is to select track clusters formed by

- one track with $p_T > 0.7$ GeV/c
- at least one associated track with $p_T > 0.4$ GeV/c in a cone radius $\sqrt{\Delta\eta^2 + \Delta\phi^2} = 0.7$
- in the transverse region with respect to p_T^{leading}

used by CDF to tag mini-jets Phys.Rev.D 65 (2002) 072005

These cones can be removed \rightarrow **R_T^{Soft} classifier**

Other “clean” classifiers

1. Use Z boson as leading track → cleaner environment, no FSR

2. Resume uncorrelated seeds correlated to N_{MPI}

$$\langle N_{\text{uncorrelated seeds}} \rangle = \frac{\langle N_{\text{trig}} \rangle}{\langle 1 + N_{\text{associated,nearside}} + N_{\text{associated,awayside}} \rangle}$$

→ Many ways to move to precision era for soft-QCD hadronisation studies!

What happens after the experiment?



Experimental soft-QCD precision era craves soft-QCD precision modelling!

Between which partons confining potentials should be allowed to arise?

In the context of MPI, colour reconnections (CR) describe models that allow such potentials to form between partons produced in different MPI systems (this affects dramatically the multiplicity, spectra, hadrons formed...)

What happens after the experiment?



Experimental soft-QCD precision era craves soft-QCD precision modelling!

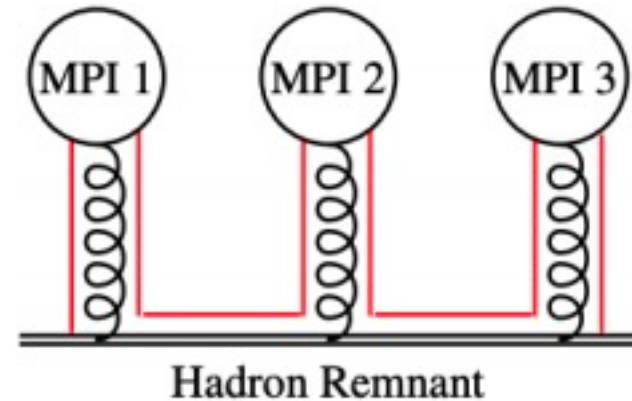
Between which partons confining potentials should be allowed to arise?

In the context of MPI, colour reconnections (CR) describe models that allow such potentials to form between partons produced in different MPI systems (this affects dramatically the multiplicity, spectra, hadrons formed...)

The default CR modeling in PYTHIA 8.3 is based on a simple measure of string-length minimisation

P. Skands, S. Carrazza, J. Rojo, Eur.Phys.J. C74 (2014) no.8, 3024

→ this model does not allow for changes to baryon/meson or strangeness ratios



What happens after the experiment?



Experimental soft-QCD precision era craves soft-QCD precision modelling!

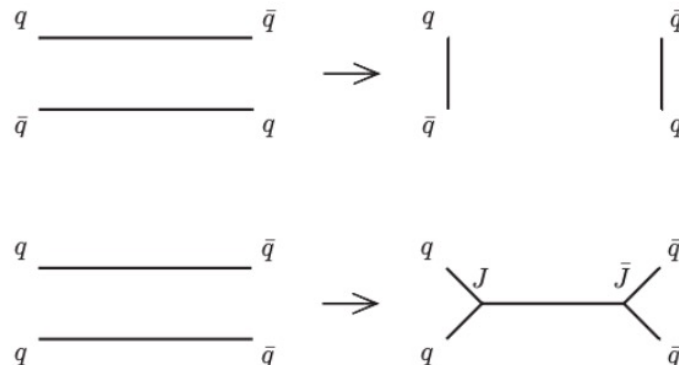
In the QCD-CR development

R. Christensen, P.Z. Skands, JHEP 1508 (2015) 003

CR are governed by a set of simplified QCD colour-algebra rules:

- uncorrelated colour-anticolour pairs have a chance to be in a colour singlet and to form a confining potential

→ The model then selects minimising the resulting string length



What happens after the experiment?



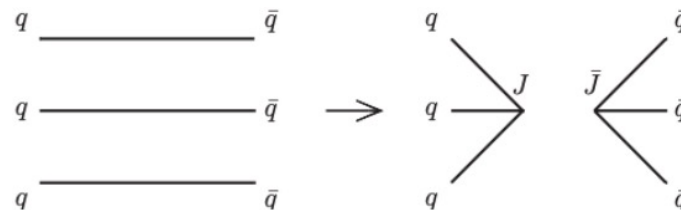
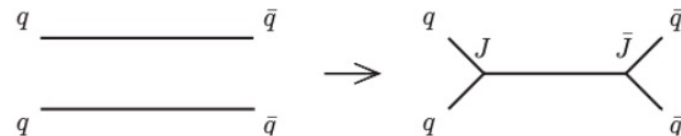
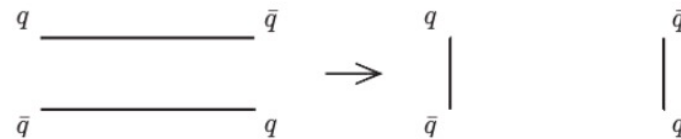
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CR are governed by a set of simplified QCD colour-algebra rules:

- uncorrelated colour-anticolour pairs have a chance to be in a colour singlet and to form a confining potential
- The model then selects minimising the resulting string length
- The QCD algebra also allows for three uncorrelated (anti-)triplets to form a singlet
- new source of (anti-)baryon production
 - with the total baryon number still being conserved!



What happens after the experiment?



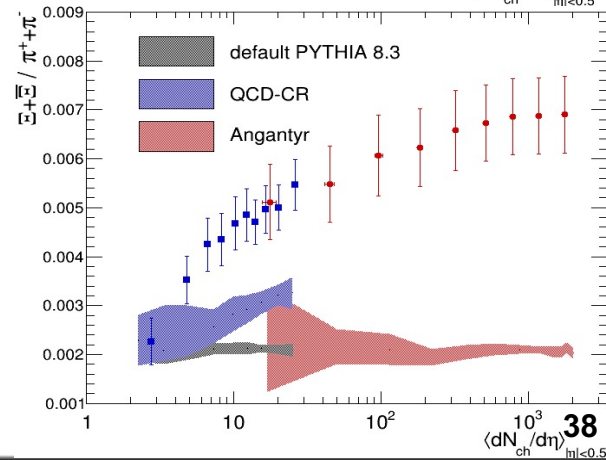
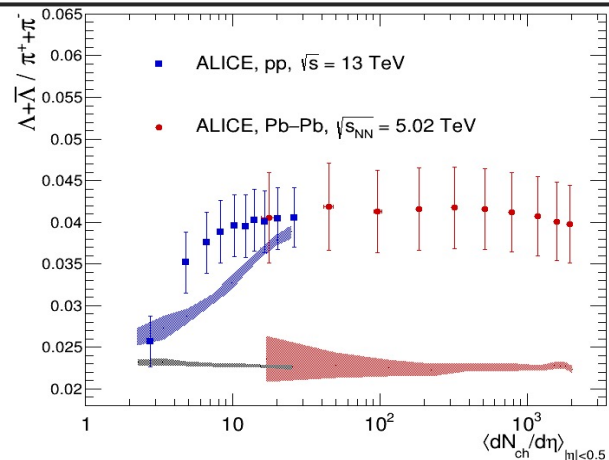
For microscopic (string) models like PYTHIA:
ALICE strange-particle yields are compared to different versions.

For pp collisions:

- default PYTHIA 8.3 model
- recent **QCD-CR tune**

→ For Λ/π the strangeness enhancement is impressively better described with the QCD-based model.

→ QCD-CR cannot reproduce the multi-strange ratio Ξ/π



What happens after the experiment?



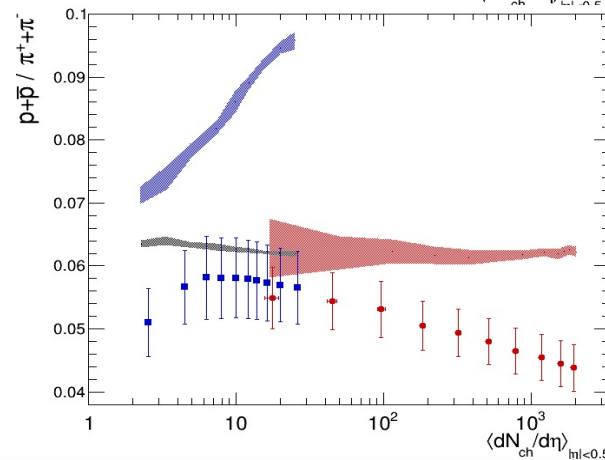
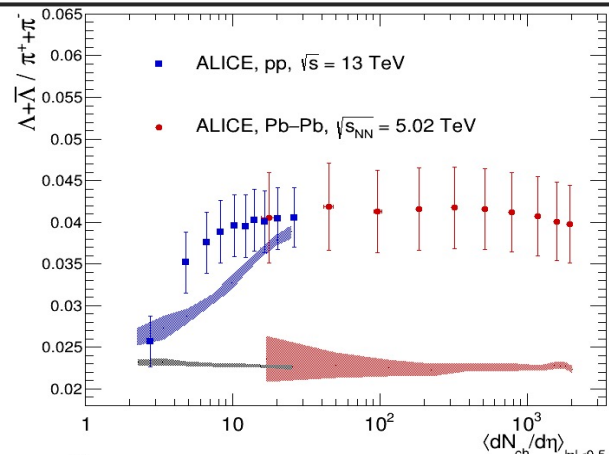
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For pp collisions:

- default PYTHIA 8.3 model
- recent **QCD-CR tune**

→ For Λ/π the strangeness enhancement is impressively better described with the QCD-based model.

→ QCD-CR overestimates the number of protons



What happens after the experiment?

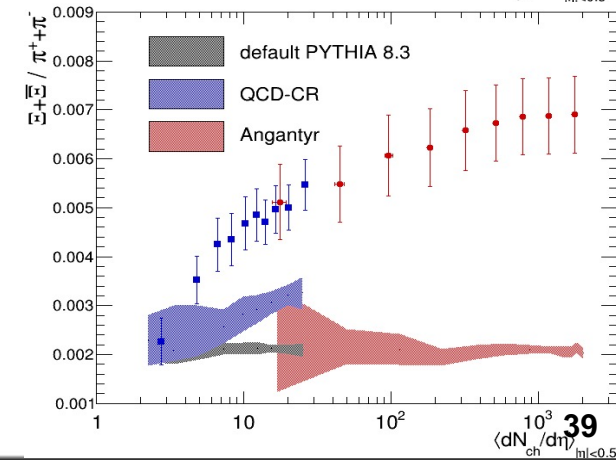
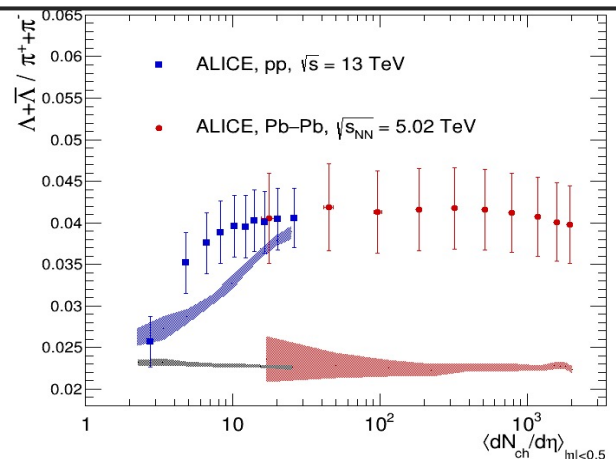


For microscopic (string) models like PYTHIA:
ALICE strange-particle yields are compared to different versions.

For AA collisions:

- Angantyr

→ strangeness enhancement is not described with the current implementation of Angantyr



Conclusions and outlook

Broad range of soft-QCD measurements exist
→ we are now entering the precision era!

Huge data statistics will be needed for some of the precision measurements proposed:

- strangeness enhancement: unknown microscopic origin
- especially for heavy-flavour: hadronisation still under study

Run3 (and beyond) data
will provide many new perspectives!