

Event Classification with Deep Learning

Cristian A. Marocico

Center for Information Technology,
University of Groningen, The Netherlands

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1 A Very Brief Introduction to Deep Learning

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A Very Brief Introduction to Deep Learning

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- A set of data inputs and labels

$$(x_i, y_i)$$

A Very Brief Introduction to Deep Learning

- A set of data inputs and labels

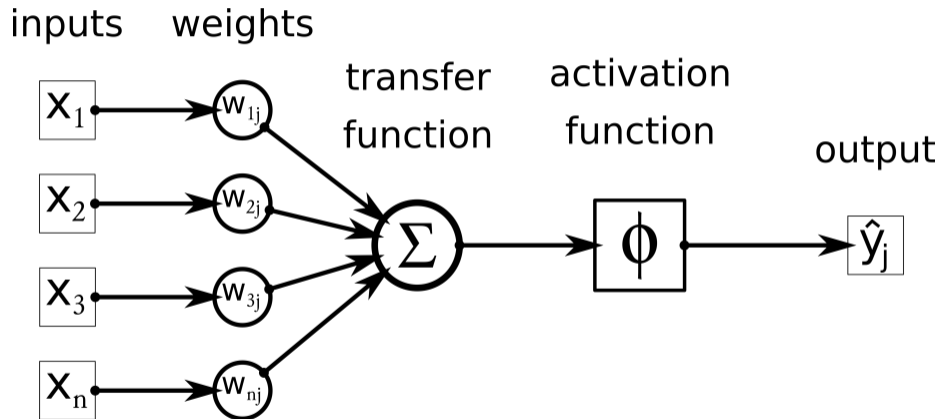
$$(x_i, y_i)$$

- A mapping

$$f(x_i) = y_i$$

A Very Brief Introduction to Deep Learning

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- y_j – true labels

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- \hat{y}_j – predicted labels

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- y_j – true labels
- \hat{y}_j – predicted labels
- $\hat{y}_j = \phi(w_{ij}x_i + b_j)$

A Very Brief Introduction to Deep Learning

- y_j – true labels
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- $\hat{y}_j = \phi(w_{ij}x_i + b_j)$
- Define a loss function (e.g. cross-entropy loss):

$$L(W) = - \sum_j [y_j \log(\hat{y}_j) - (1 - y_j) \log(1 - \hat{y}_j)]$$

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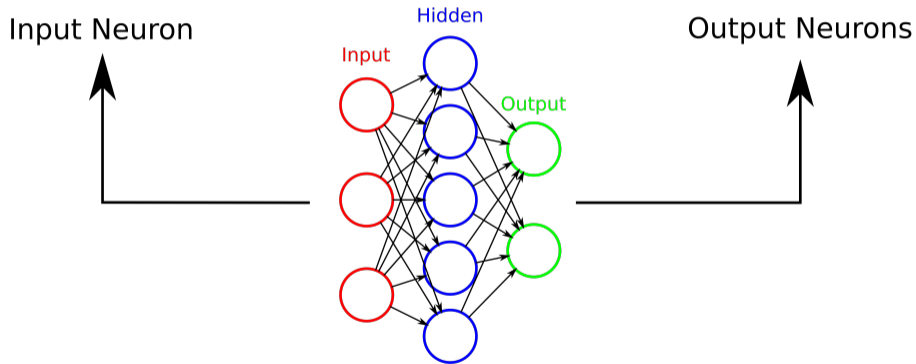
- High-dimensional optimization problem, gradient descent, etc.

A Very Brief Introduction to Deep Learning

Artificial Neural Network

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Artificial Neural Network

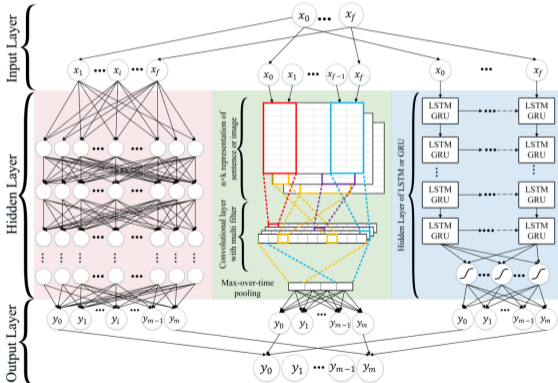


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In Praise of Interdisciplinarity

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- Several of these projects have led to joint publications
- It's a lot of fun!
- If your institution doesn't do this, I encourage you to encourage them to start

What This Is

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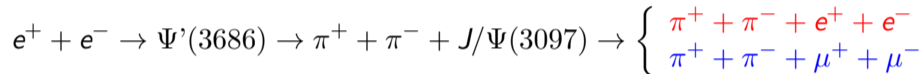
- Not the latest and greatest research results
- An overview of a Deep Learning approach to Particle Physics, using as an example the joint CIT + KVI project

What This Is

What you can expect from this presentation:

- Not the latest and greatest research results
- An overview of a Deep Learning approach to Particle Physics, using as an example the joint CIT + KVI project
- Some insights, hopefully

The data that we have comes from a simulation of the following reaction:



or the simpler one:



Event Data

The output file produced by the simulations looks like this:

```
data = open('data/pipijpsi_ee.dat')
for line in data.readlines()[:100]:
    print(line)
```

Event Data

Event number: 0
Number of digis: 277
TO: 656

MC truth information:

Pi+: px=0.242978 py=-0.029811 pz=-0.234886 xi=0.021781 yi=-0.154217 zi=0.625080
xf=87.543005 yf=50.414698 zf=-104.047484
Pi-: px=-0.069618 py=-0.011896 pz=0.152243 xi=0.021781 yi=-0.154217 zi=0.625080
xf=-24.346692 yf=41.429941 zf=135.987877
e+: px=1.153744 py=0.669807 pz=-0.660627 xi=0.021781 yi=-0.154217 zi=0.625080
xf=77.586081 yf=56.726887 zf=-48.333559
e-: px=-1.286557 py=0.628100 pz=0.741661 xi=0.021781 yi=-0.154217 zi=0.625080
xf=-95.105332 yf=-35.066791 zf=53.326682

Detector information:

layer=0, wire=21, stereo=1, XE=-76.671368, YE=-18.407167, XW=-74.990806, YW=24.365990, RT=500.156250, RC=2097, TRK=1
layer=2, wire=24, stereo=1, XE=-102.679683, YE=-6.729982, XW=-95.067204, YW=39.378125, RT=516.468750, RC=534, TRK=1
layer=3, wire=29, stereo=1, XE=-114.525332, YE=-12.903906, XW=-110.745721, YW=31.905293, RT=1139.062500, RC=506, TRK=1
layer=3, wire=28, stereo=1, XE=-115.250000, YE=0.000000, XW=-106.477116, YW=44.104266, RT=560.343750, RC=639, TRK=1
layer=4, wire=30, stereo=1, XE=-125.823252, YE=18.664116, XW=-121.722811, YW=-36.924211, RT=485.625000, RC=835, TRK=1
layer=5, wire=35, stereo=1, XE=-138.222014, YE=12.092859, XW=-132.328227, YW=-41.722930, RT=1276.593750, RC=671, TRK=1
layer=5, wire=34, stereo=1, XE=-136.642076, YE=24.093685, XW=-135.461071, YW=-30.030996, RT=582.937500, RC=830, TRK=1
layer=6, wire=38, stereo=1, XE=-149.059575, YE=17.642363, XW=-145.952725, YW=-35.040149, RT=556.031250, RC=752, TRK=1
layer=7, wire=38, stereo=1, XE=-159.610436, YE=25.279810, XW=-158.494901, YW=-31.526596, RT=453.562500, RC=551, TRK=1
layer=8, wire=35, stereo=0, XE=-193.598870, YE=36.448287, XW=-193.598870, YW=36.448287, RT=538.781250, RC=915, TRK=1
layer=9, wire=35, stereo=0, XE=-207.515266, YE=48.025142, XW=-207.515266, YW=48.025142, RT=505.500000, RC=634, TRK=1
layer=10, wire=39, stereo=0, XE=-219.249655, YE=67.991112, XW=-219.249655, YW=67.991112, RT=593.718750, RC=497, TRK=1
layer=11, wire=40, stereo=0, XE=-236.978178, YE=64.312875, XW=-236.978178, YW=64.312875, RT=1335.656250, RC=561, TRK=1
layer=11, wire=39, stereo=0, XE=-231.786356, YE=81.054842, XW=-231.786356, YW=81.054842, RT=614.343750, RC=487, TRK=1

The relevant input consists of:

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- $XE(XW)$ = the x -position of a hit

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- $XE(XW)$ = the x -position of a hit
- $YE(YW)$ = the y -position of a hit

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- $XE(XW)$ = the x -position of a hit
- $YE(YW)$ = the y -position of a hit
- RC = the energy of a hit

Possible “labels”:

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- `event_type` = which type of event does the data describe

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- event type = which type of event does the data describe
- TRK = the “track” to which the hit belongs: $\pi^\pm, e^\pm(\mu^\pm)$

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- event type = which type of event does the data describe
- TRK = the “track” to which the hit belongs: $\pi^\pm, e^\pm(\mu^\pm)$
- px, py, pz = the momenta of each “track”

Possible problems to be solved:

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- event type = Event classification
- TRK = Track identification / classification
- p_x , p_y , p_z = Momenta regression

A Bit of Context

- ATLAS and CMS collaborations rely more on Deep Learning (DL), especially for the HL-LHC

A Bit of Context

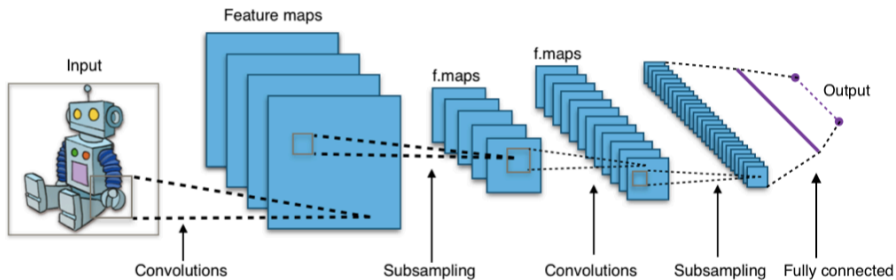
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- Convolutional Neural Networks have proven the best for event selection

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- ATLAS and CMS collaborations rely more on Deep Learning (DL), especially for the HL-LHC
- Convolutional Neural Networks have proven the best for event selection
- Use of low-level data in DL pipelines is optimal

Back to DL: Convolutional Neural Networks (CNNs)

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Input Preprocessing: Step 1

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Step 1 Separate the data into files, one per event; a typical file looks like:

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ID,	Layer,	Wire,	Stereo,	X,	Y,	RT,	RC,	TRK
0,	0,	10,	1,	14.805,	74.431,	277.312,	705.0,	1
1,	1,	12,	1,	9.394,	87.379,	482.156,	697.0,	1
2,	2,	12,	1,	16.324,	98.873,	485.906,	480.0,	1
3,	4,	12,	1,	15.192,	123.175,	444.937,	670.0,	1
4,	5,	14,	1,	20.701,	134.500,	539.531,	418.0,	1
5,	6,	16,	1,	14.483,	147.050,	555.0,	601.0,	1
6,	7,	16,	1,	21.796,	157.583,	440.437,	724.0,	1
7,	8,	16,	0,	36.448,	193.598,	513.375,	1052.0,	1
8,	9,	17,	0,	30.724,	210.772,	660.375,	515.0,	1
9,	10,	19,	0,	36.096,	226.694,	625.312,	609.0,	1
10,	11,	19,	0,	47.243,	240.962,	430.031,	435.0,	1

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3,	4,	12,	1,	15.192,	123.175,	444.937,	670.0,	1
4,	5,	14,	1,	20.701,	134.500,	539.531,	418.0,	1
5,	6,	16,	1,	14.483,	147.050,	555.0,	601.0,	1
6,	7,	16,	1,	21.796,	157.583,	440.437,	724.0,	1
7,	8,	16,	0,	36.448,	193.598,	513.375,	1052.0,	1
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10,	11,	19,	0,	47.243,	240.962,	430.031,	435.0,	1

where

$$X = \frac{XE + XW}{2}, Y = \frac{YE + YW}{2}$$

Input Preprocessing: Step 2

Step 2 Generate images from each separate csv file

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We'll be looking at four types of images extracted from the data:

Input Preprocessing: Step 2

Step 2 Generate images from each separate csv file

We'll be looking at four types of images extracted from the data:

- binary

Input Preprocessing: Step 2

Step 2 Generate images from each separate csv file

We'll be looking at four types of images extracted from the data:

- binary
- total

Input Preprocessing: Step 2

Step 2 Generate images from each separate csv file

We'll be looking at four types of images extracted from the data:

- binary
- total
- average

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Step 2 Generate images from each separate csv file

We'll be looking at four types of images extracted from the data:

- binary
- total
- average
- per_hit

Input Images: binary

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- Build an image with only information from X and Y (no RC , or energy)

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- Effectively, this means we retain, at most, information about the momenta of each track

Input Images: binary

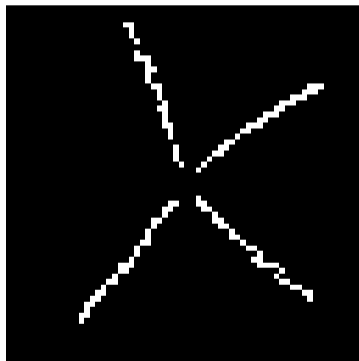
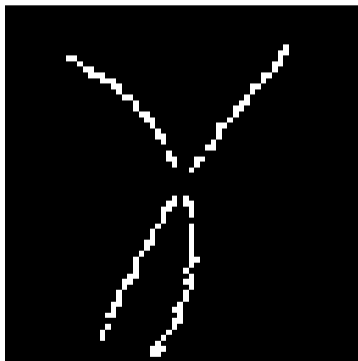
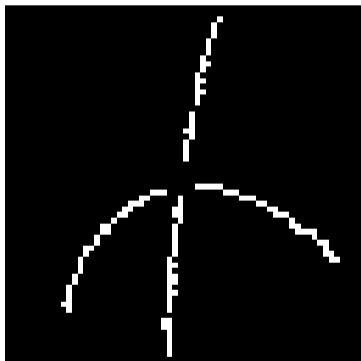
- Build an image with only information from X and Y (no RC , or energy)
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- The images will be binary, 0 for no hit, 1 for hit

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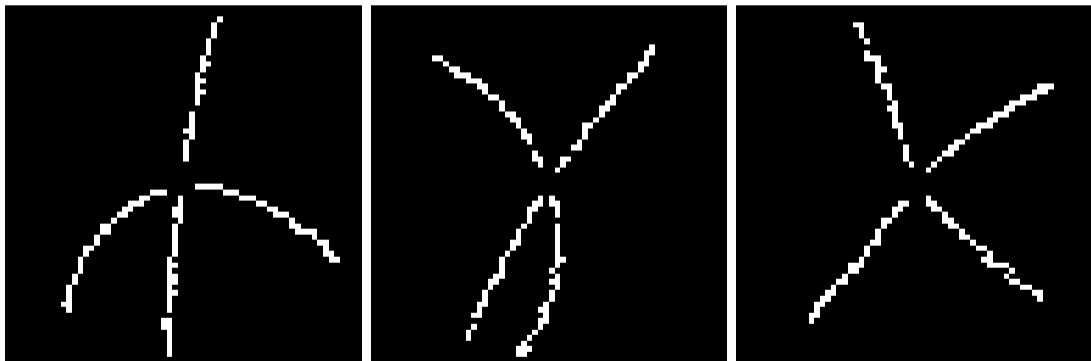
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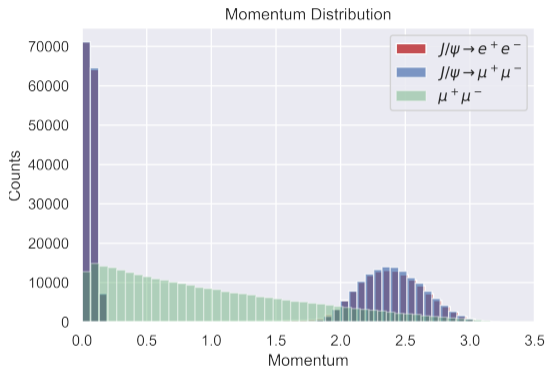
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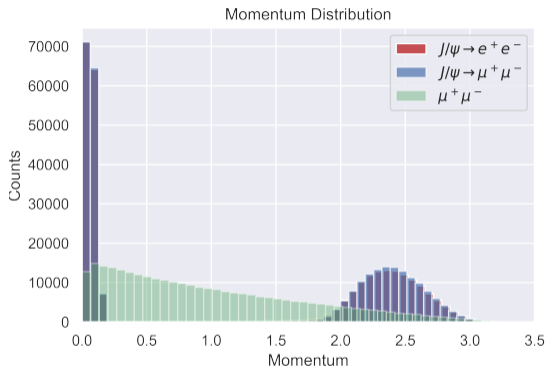


- Can we do something with this?

binary Images: Momentum Distribution

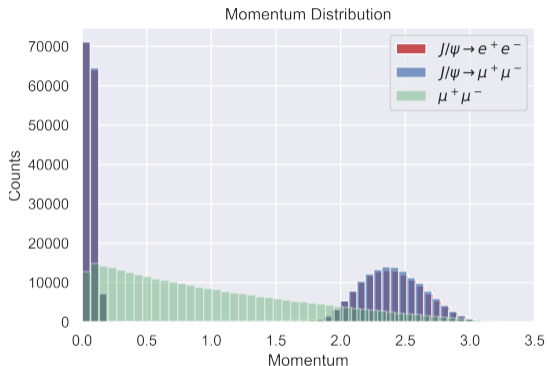


binary Images: Momentum Distribution



- For the $J/\psi \rightarrow e^+e^-$ and $J/\psi \rightarrow \mu^+\mu^-$, the momenta distributions are virtually indistinguishable

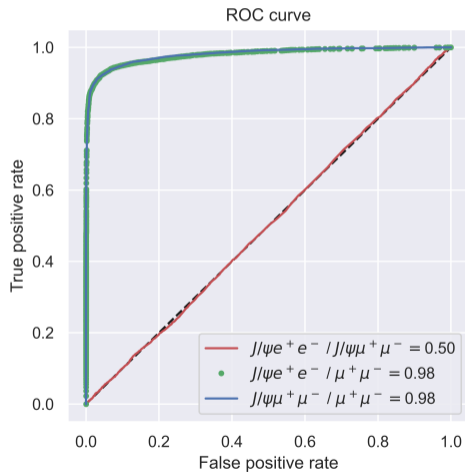
binary Images: Momentum Distribution



- For the $J/\psi \rightarrow e^+e^-$ and $J/\psi \rightarrow \mu^+\mu^-$, the momenta distributions are virtually indistinguishable
- We predict that the classification between those events will be no better than random

binary Images: Results

binary Images: Results



binary Images: Results

binary Images: Results

With only information about the momenta:

binary Images: Results

With only information about the momenta:

- One cannot distinguish between $J/\psi \rightarrow e^+ e^-$ and $J/\psi \rightarrow \mu^+ \mu^-$, the AUC being 0.5

binary Images: Results

With only information about the momenta:

- One cannot distinguish between $J/\psi \rightarrow e^+e^-$ and $J/\psi \rightarrow \mu^+\mu^-$, the AUC being 0.5
- On the other hand, it is quite easy to distinguish between $J/\psi \rightarrow e^+e^-$ ($\mu^+\mu^-$) and $\mu^+\mu^-$, with an AUC of 0.98

Input Images: total

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We now incorporate some information about the particle energy:

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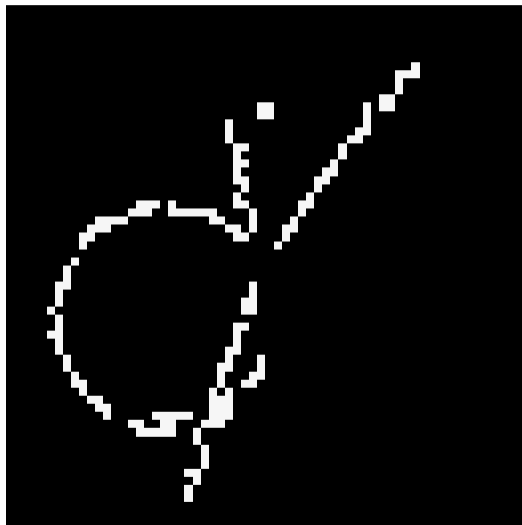
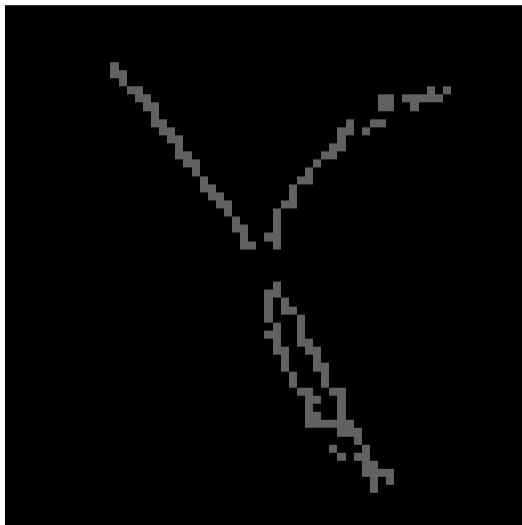
Input Images: total

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- For each event we only consider the total energy of that event
- Each hit is assigned to have that value of the energy...
- ...and then the entire data set is normalized

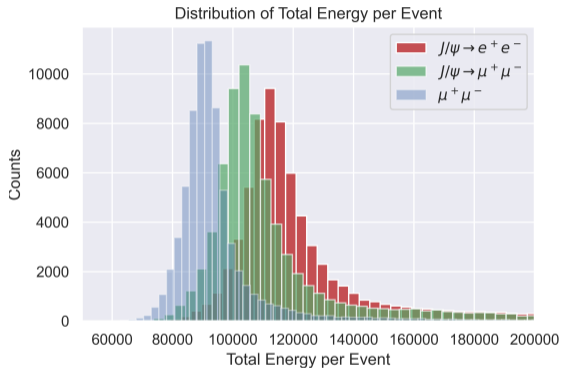
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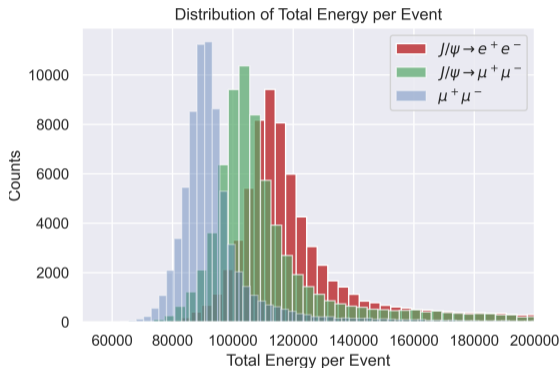


total Images: Energy Distribution

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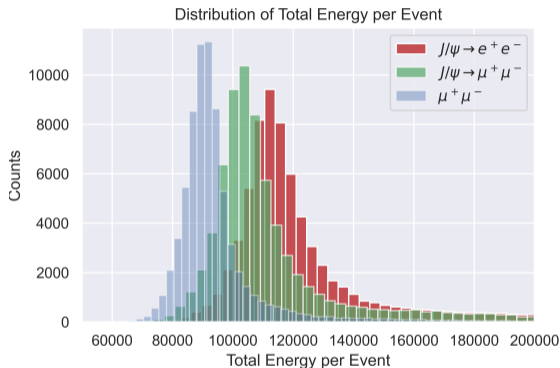


total Images: Energy Distribution



- The $J/\psi \rightarrow e^+e^-$ and $J/\psi \rightarrow \mu^+\mu^-$ total energy distributions are more separated, though not completely

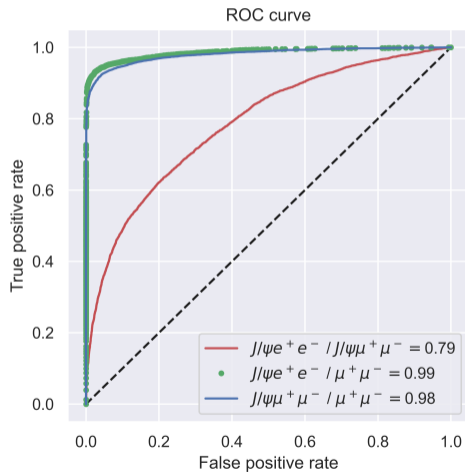
total Images: Energy Distribution



- The $J/\psi \rightarrow e^+e^-$ and $J/\psi \rightarrow \mu^+\mu^-$ total energy distributions are more separated, though not completely
- We do expect better than random chance of distinguishing between these events

total Images: Results

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total Images: Results

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- The AUC for the $J/\psi \rightarrow e^+e^-$ vs. $\mu^+\mu^-$ classification marginally increases from 0.98 to 0.99

total Images: Results

Including the information about energy, even at a gross level, allows one to:

- Distinguish now between $J/\psi \rightarrow e^+e^-$ and $J/\psi \rightarrow \mu^+\mu^-$, with an AUC of ≈ 0.8
- The AUC for the $J/\psi \rightarrow e^+e^-$ vs. $\mu^+\mu^-$ classification marginally increases from 0.98 to 0.99
- The reason might be that the total energy distributions for these events has a smaller overlap

Input Images: average

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The total energy per event does not take into account the number of hits:

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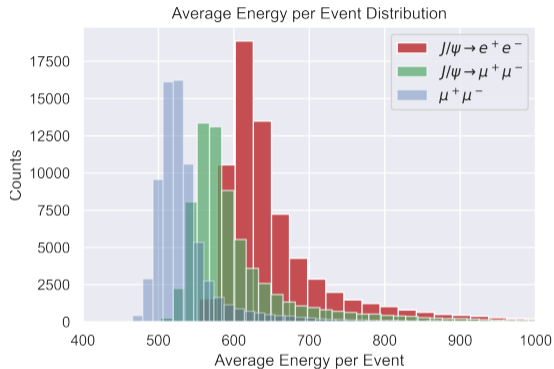
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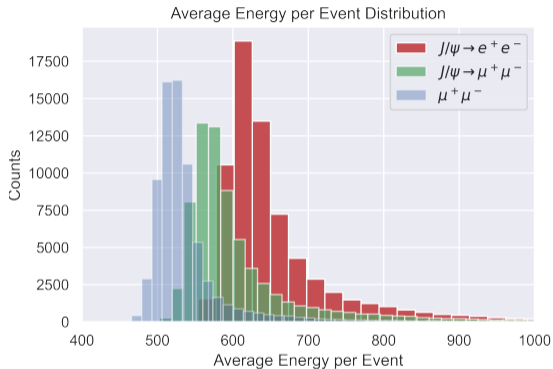
This should not be that different from the total energy case

average Images: Energy Distribution

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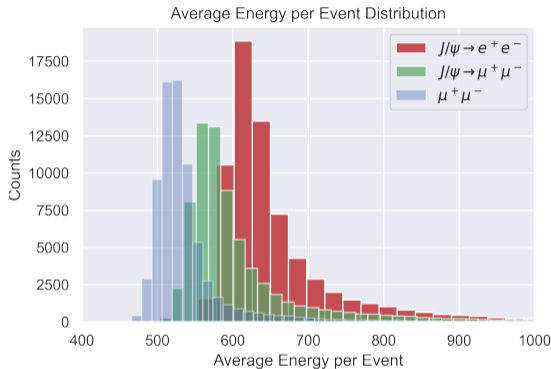


average Images: Energy Distribution



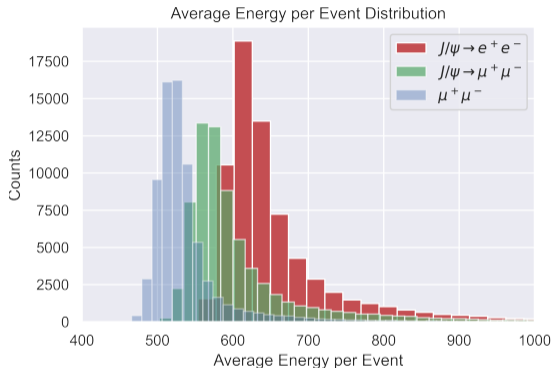
- This looks **very** similar to the energy distribution for total images...not surprisingly ...

average Images: Energy Distribution



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- ...with maybe less overlap for the $J/\psi \rightarrow e^+e^-$ and $J/\psi \rightarrow \mu^+\mu^-$ events

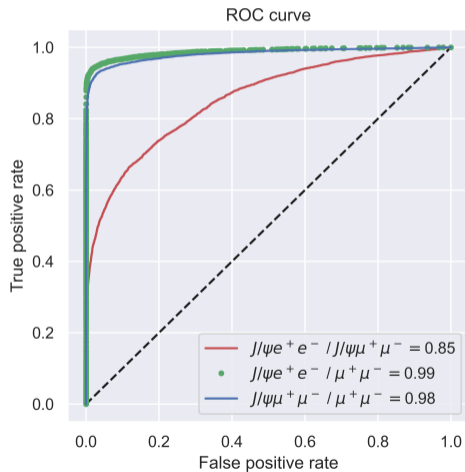
average Images: Energy Distribution



- This looks **very** similar to the energy distribution for total images...not surprisingly ...
- ...with maybe less overlap for the $J/\psi \rightarrow e^+e^-$ and $J/\psi \rightarrow \mu^+\mu^-$ events
- We'd expect similar classification performance, maybe slightly better for the two J/ψ events

average Images: Results

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- No drastic changes in the classification performance ...
- ...but a noticeable increase, from 0.79 to 0.85, for the J/ψ events

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Can we do any better than before?

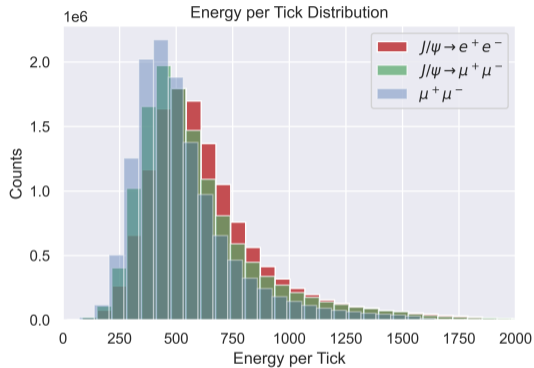
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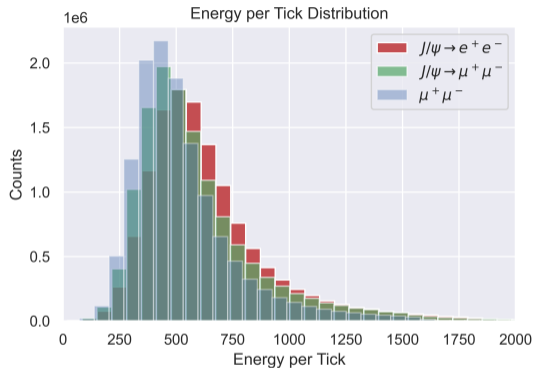


per_hit Images: Energy Distribution

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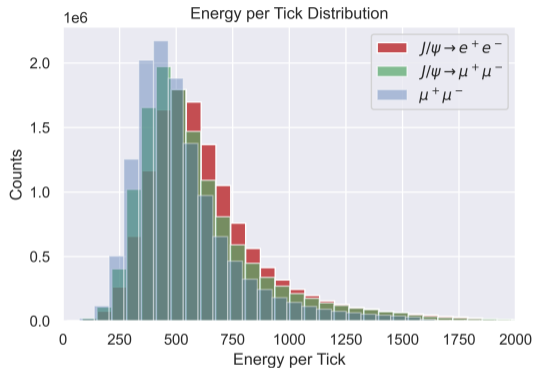


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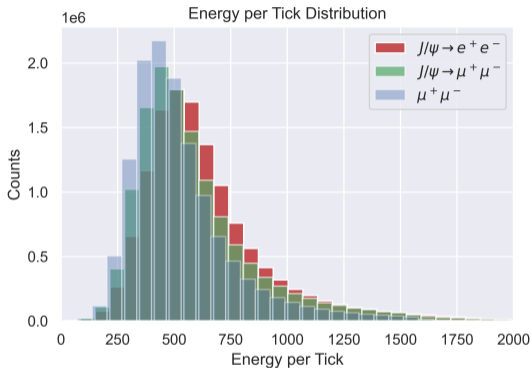
- This doesn't look promising **at all!**

per_hit Images: Energy Distribution



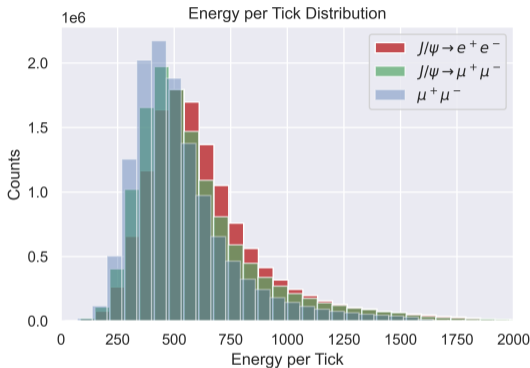
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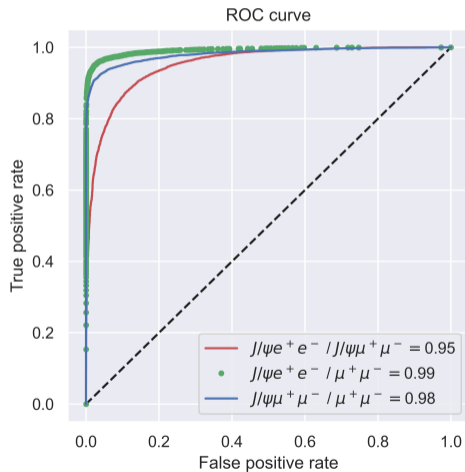
per_hit Images: Energy Distribution



- This doesn't look promising **at all!**
- The per_hit energy distribution is essentially the same for all three types of events
- We'd expect similar performance as in the binary case...
- ...wouldn't we?

per_hit Images: Results

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- Why?

per_hit Images: Results

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- In particular, it doesn't include any **spatial** relationships between hits
- CNN's are **very** good at extracting spatial information from images
- We used a CNN for classification, so this might be the reason we've been successful...
- ...but it's difficult to be sure

Multiclass classification

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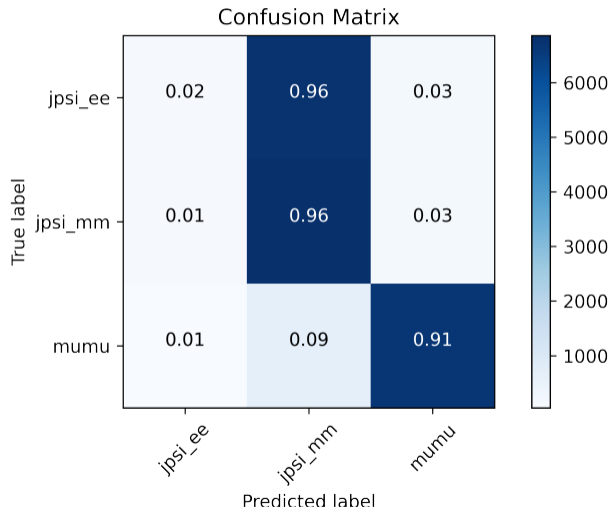
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Enter **multiclass classification**

We'll be using the same four encodings of the energy as before: `binary`, `total`, `average`, `per_hit`

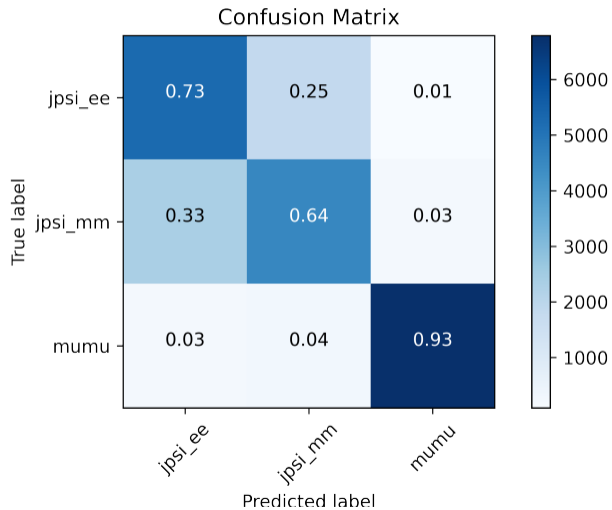
binary Images: Results

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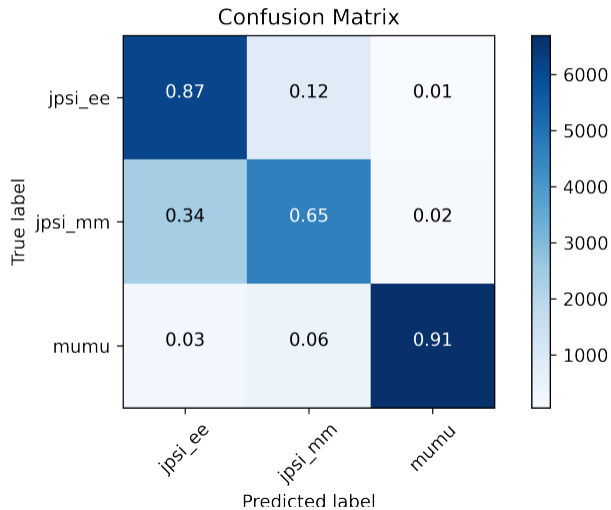
total Images: Results

total Images: Results



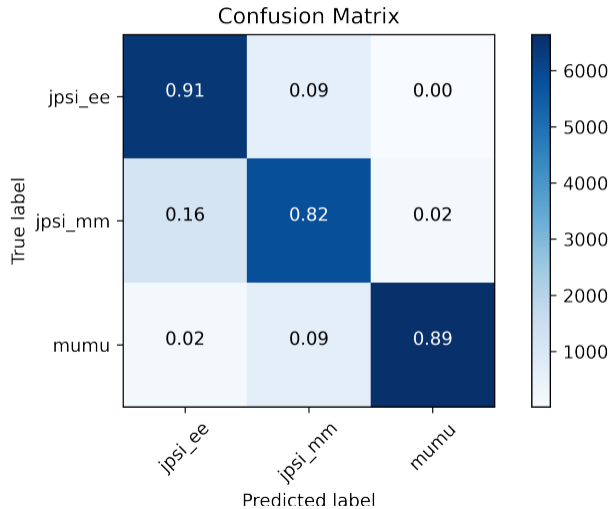
average Images: Results

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per_hit Image Results

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- However, in these plots, we've used the same "vanilla" CNN used for binary classification (with an appropriate output layer)
- We can do hyperparameter optimization to improve the classification performance

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- Event classification with CNN is eminently doable
- For this simple case, a very simple CNN can achieve high AUC in binary classification
- And reasonable accuracy for multiclass classification
- The way detector data is encoded into images makes a huge difference on the performance of the network (binary, total, average, per_hit)
- This goes some way to make the model **explainable**

Where does this fit in a broader context?

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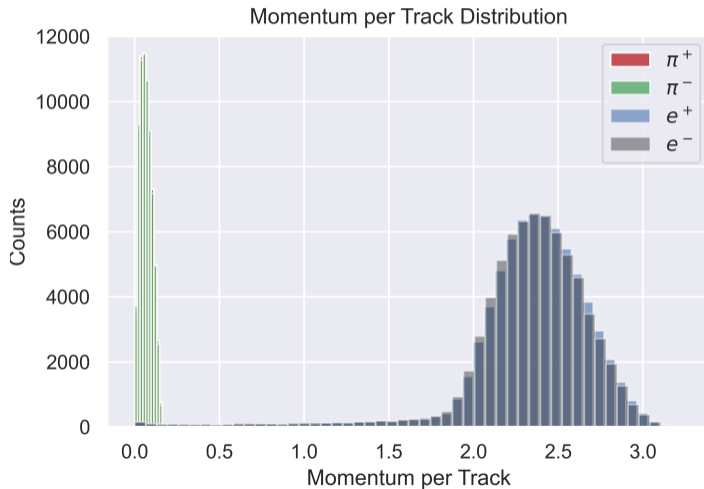
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- What about after classifying the events, what then?
- We might want to train another network, which will classify individual **tracks**
- Before you ask, yes, you first have to **identify** the tracks, but we've seen this week that it can be done

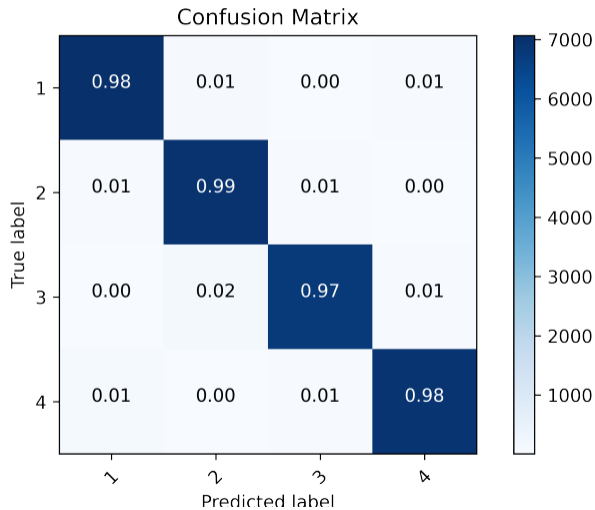
Track Classification: Some more Distributions

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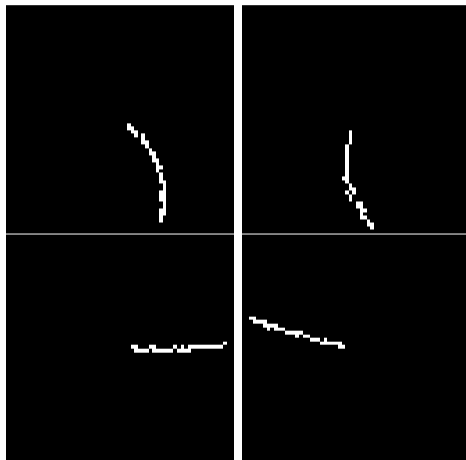
Track Classification: Some Results and Musings

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- Momentum information seems to be enough for track classification (binary)

Further Steps

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 - Custom loss encoding momentum conservation

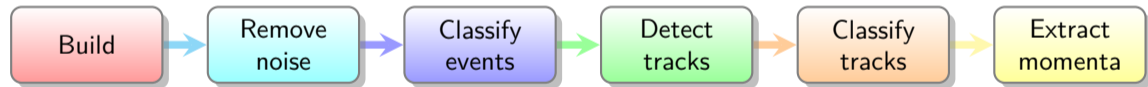
Putting It All Together

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The Collaboration

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- Nasser Kalantar-Nayestanaki

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[†]All responsibility for the style and any errors resides here

[‡]Did most of the work

Questions?

Thanks for your attention!