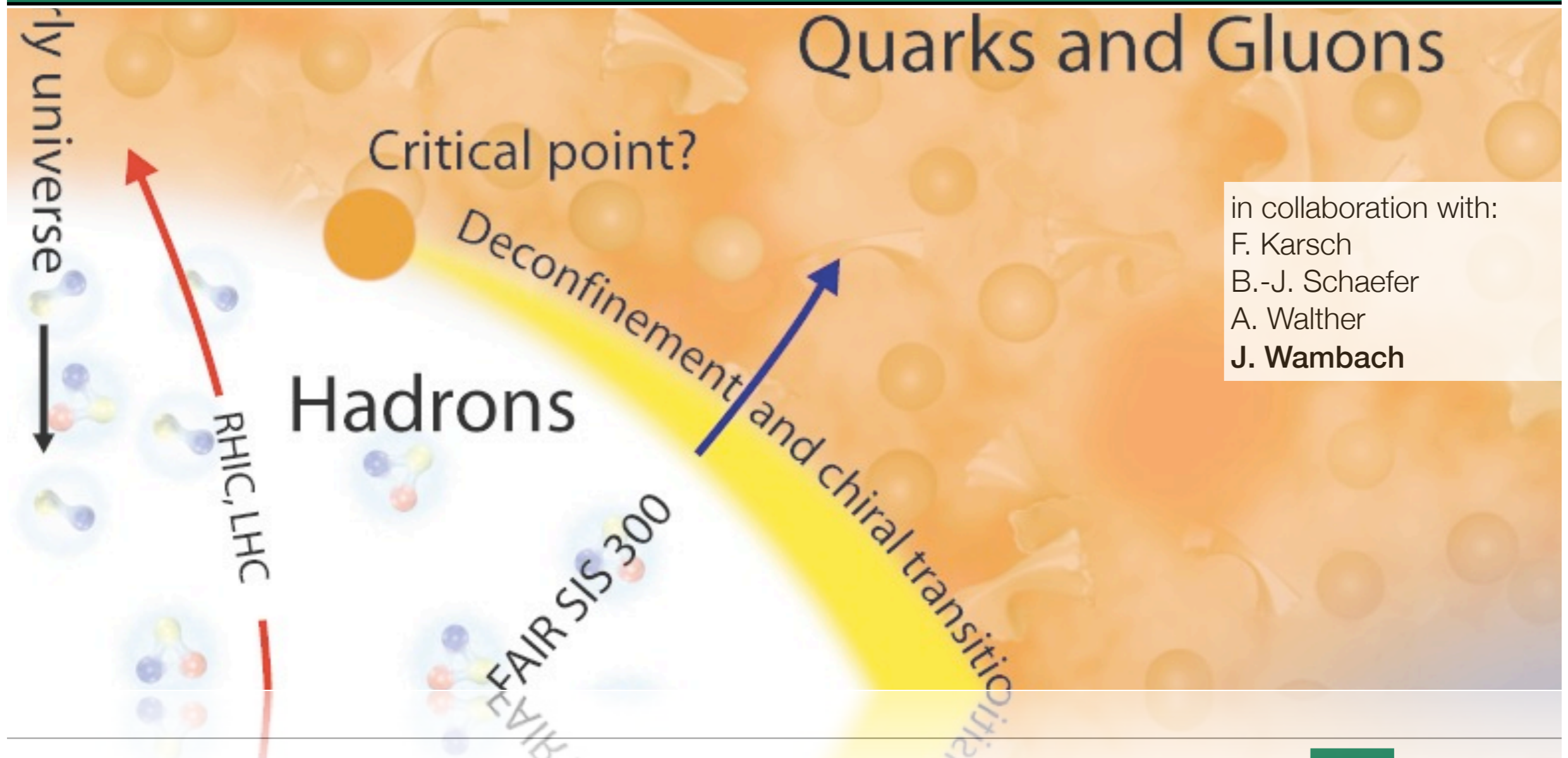


# Towards finite density QCD with Taylor expansions



# Outline

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- Taylor expansion to finite density
- general aspects of the Taylor expansion
  - extracting the phase boundary
  - locating the critical point
- model analysis
  - including higher coefficients

# Taylor expansion to finite density

---

- Taylor expansion

$$\frac{p(T, \mu)}{T^4} = \sum_{n=0}^{\infty} c_n(T) \left(\frac{\mu}{T}\right)^n \quad c_n(T) = \frac{1}{n!} \frac{\partial^n (p(T, \mu)/T^4)}{\partial (\mu/T)^n} \Bigg|_{\mu=0}$$

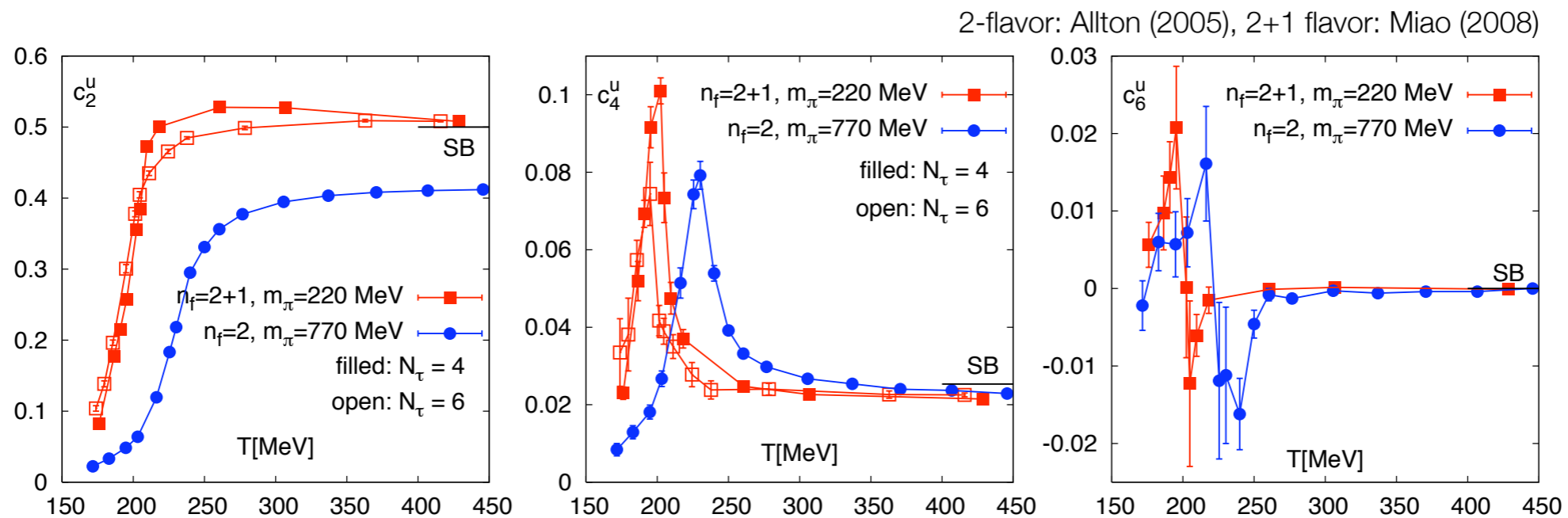
- coefficients calculated with standard (i.e.  $\mu = 0$  methods)
- calculations by various lattice groups (e.g. Allton, C. Schmidt, Gaii & Gupta, deTar, Ejiri, ...)

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# Hopes

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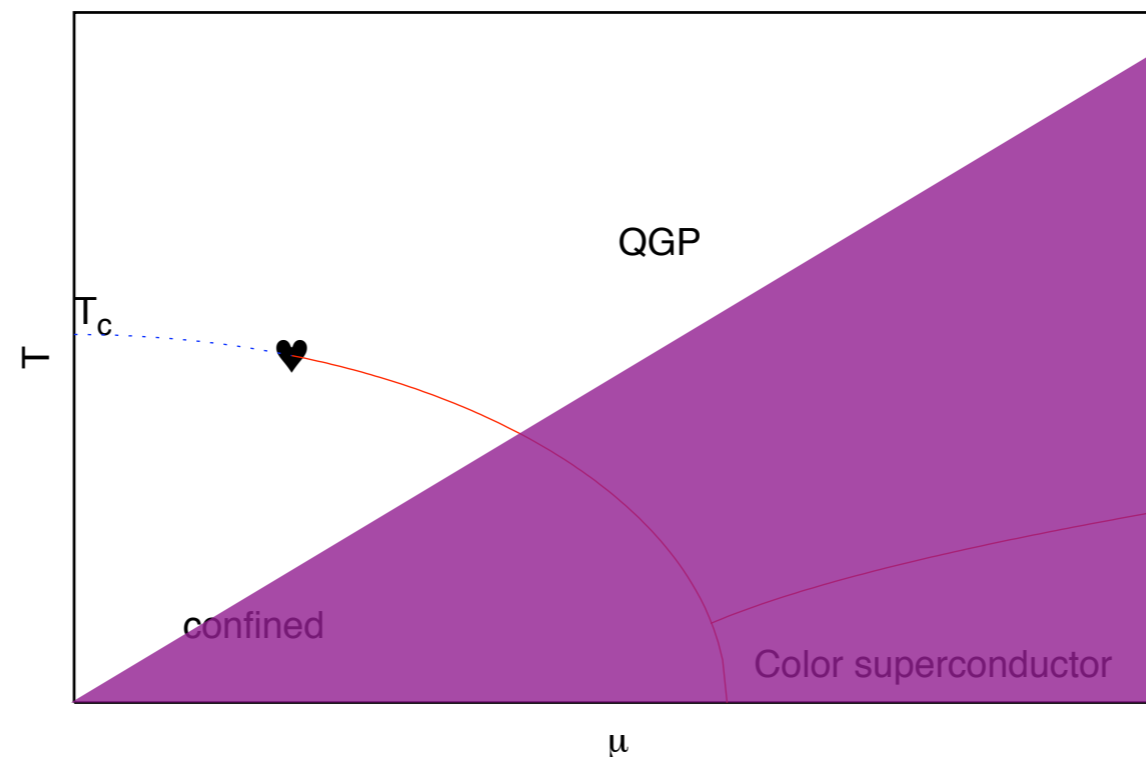
- works for small  $\mu/T$ , i.e.,  $\mu/T < 1$
- only a few coefficients required for ‘convergence’
- calculate thermodynamic observables relevant for heavy-ion collisions
- might locate the critical endpoint
- troubles with phase transitions  
(divergences)

# Hopes

- works for
- only a few
- calculate
- might look
- troubles (divergence)

Philipsen (CPOD 2009)

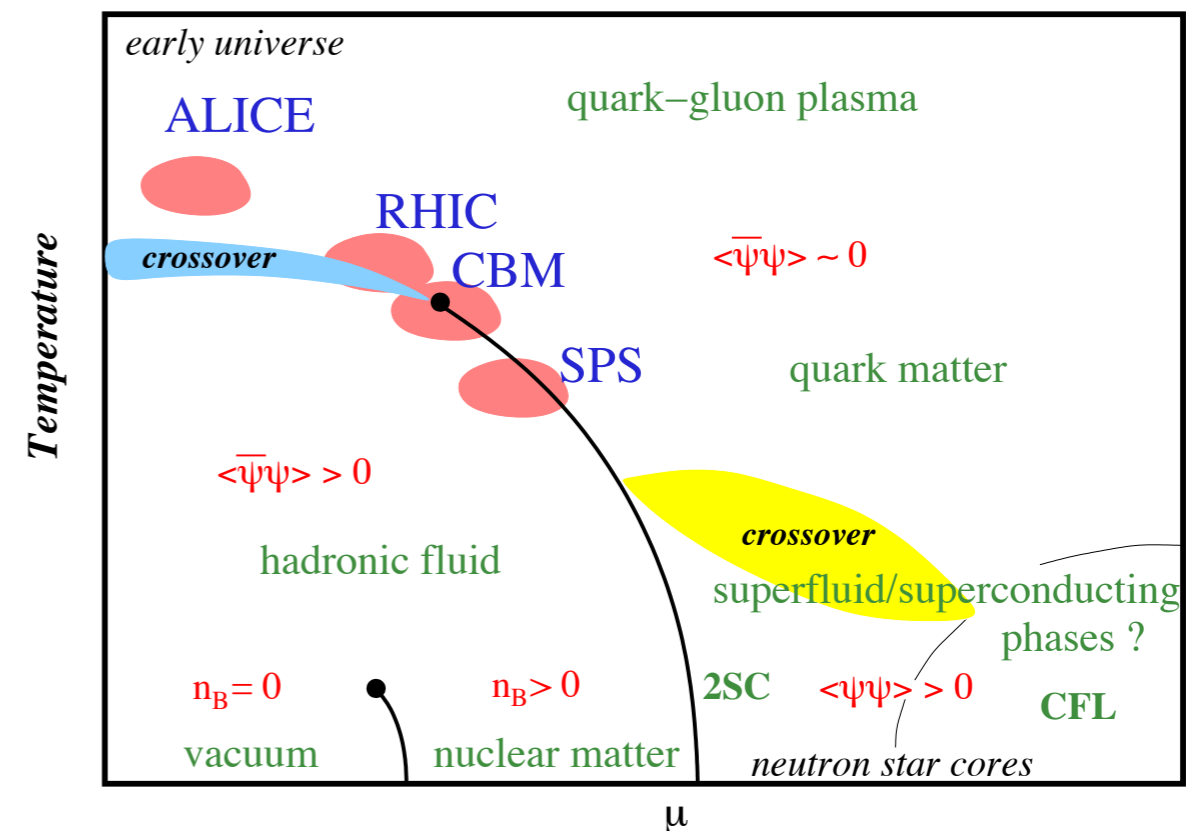
## The calculable region of the phase diagram



- 2001-present: sign problem not solved, circumvented by approximate methods: reweighting, Taylor expansion, imaginary chem. pot., need  $\mu/T \lesssim 1$  ( $\mu = \mu_B/3$ )

# Hopes

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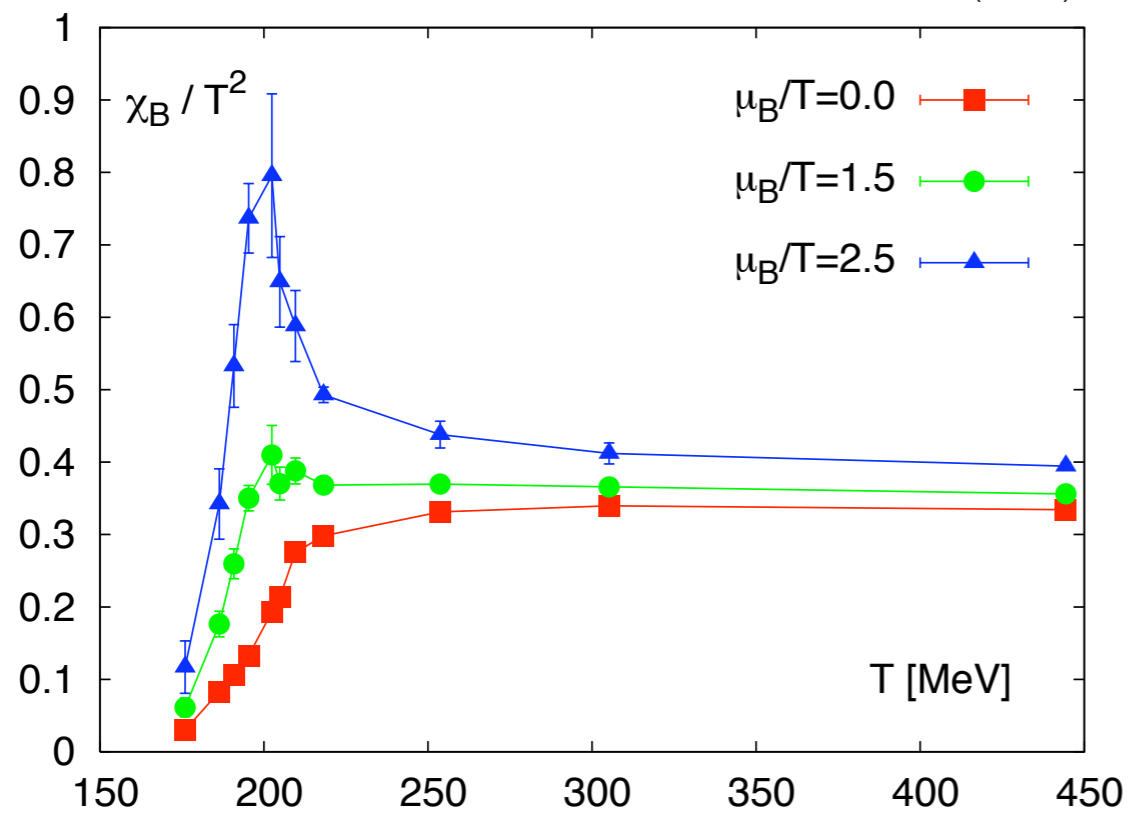


# Signals of the critical endpoint ?

- quark number susceptibility

$$\frac{\chi_q(T, \mu)}{T^2} = -\frac{\partial^2 \Omega(T, \mu)}{\partial \mu^2}$$
$$= \sum_{n=2,4,\dots} n(n-1)c_n(T) \left(\frac{\mu}{T}\right)^{n-2}$$

C. Schmidt (2008)





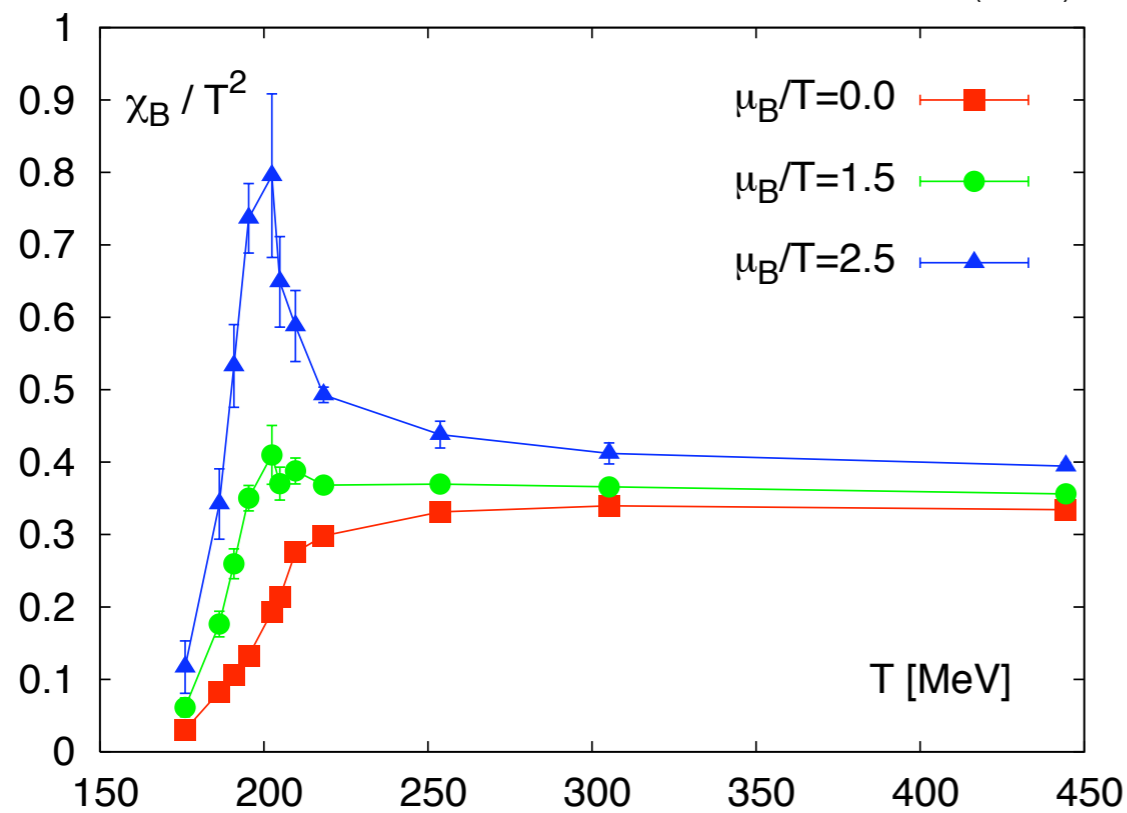
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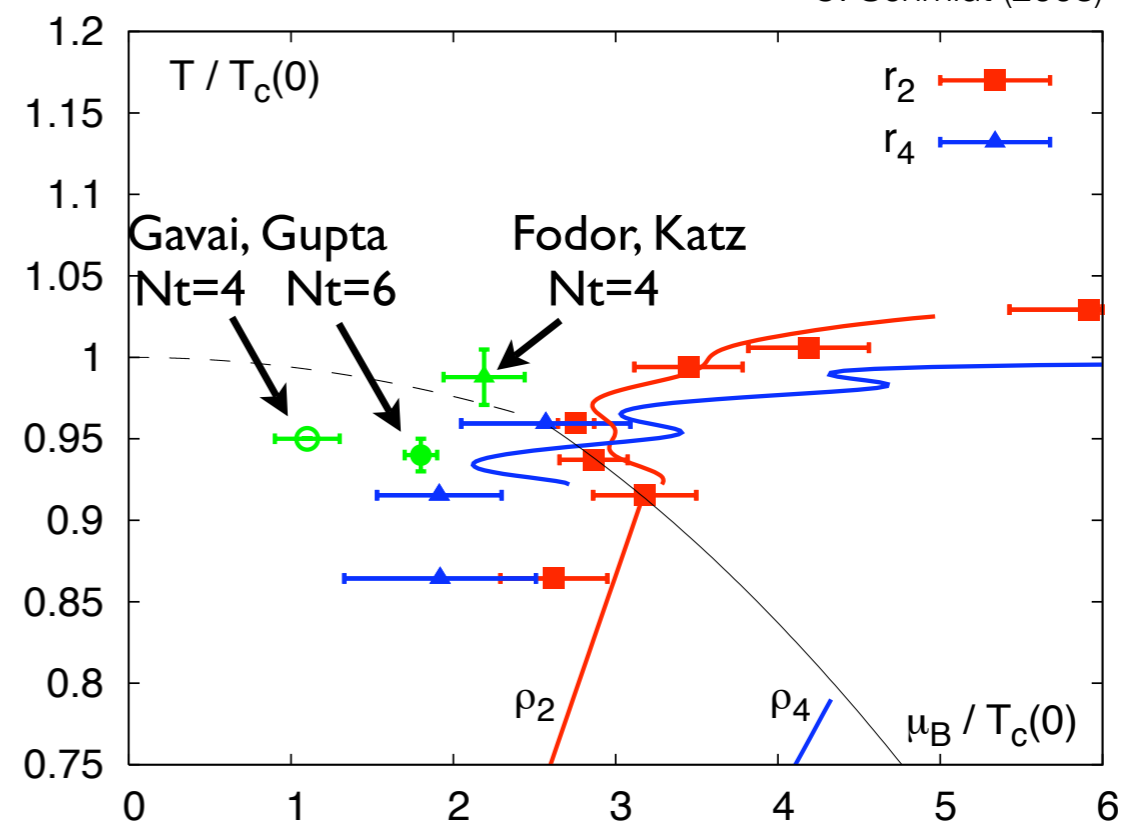
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- convergence radius

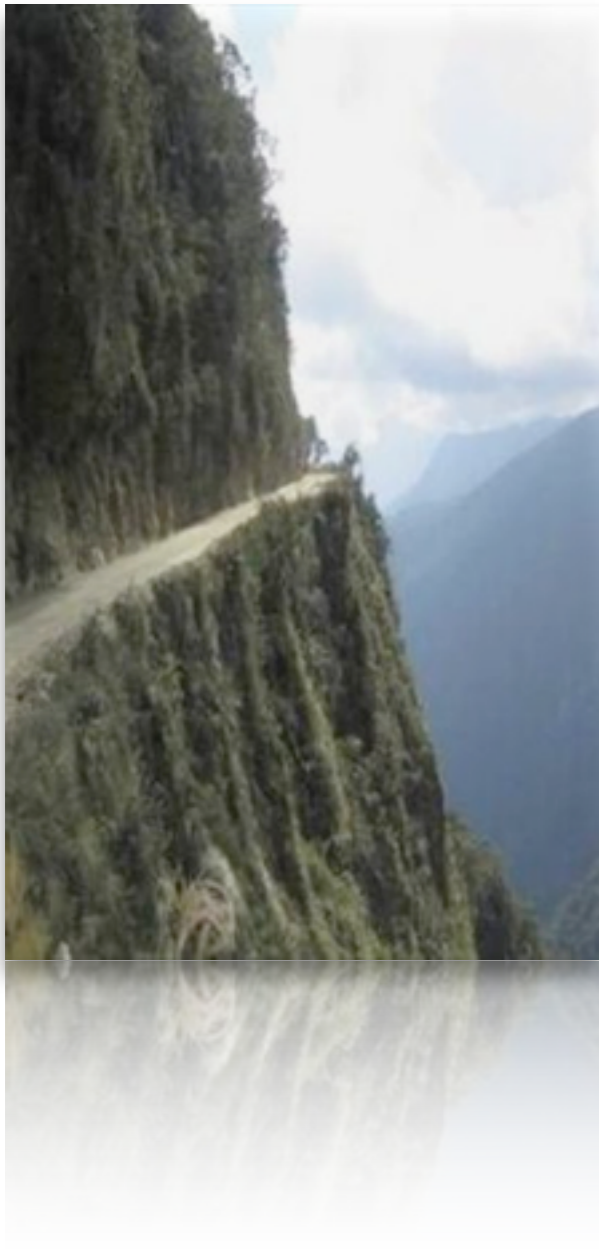
$$r = \lim_{n \rightarrow \infty} r_{2n} = \lim_{n \rightarrow \infty} \left| \frac{c_{2n}}{c_{2n+2}} \right|^{1/2}$$

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# Can we trust the Taylor expansion ?

---



- only few coefficients extracted from lattice simulations
- increasing errors for higher order coefficients
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- only few coefficients extracted from lattice simulations
- increasing errors for higher order coefficients
- convergence properties have obtained little attention
  
- explore general aspects of Taylor expansion method
  - requires higher coefficients
  - comparison with ‘direct’ evaluation favorable

# How to extract the phase boundary ?

F. Karsch, B-J. Schaefer, MW, J. Wambach, arXiv:1009.5211

- nearest singularity in the complex plane limits applicability of Taylor expansion
- convergence radius for pressure  $r = \lim_{n \rightarrow \infty} r_{2n} = \lim_{n \rightarrow \infty} \left| \frac{c_{2n}}{c_{2n+2}} \right|^{1/2}$
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- different observables yield different estimates at finite order

$$r_{2n}^{\chi} = \left| \frac{c_{2n}^{\chi}}{c_{2n+2}^{\chi}} \right|^{1/2} = \left| \frac{(2n+2)(2n+1)}{(2n+3)(2n+4)} \right|^{1/2} r_{2n+2} \Rightarrow r_{2n}^{\chi} = r_{2n+2} \left( 1 - \frac{1}{n} + \mathcal{O}(n^{-2}) \right)$$

- need apparent convergence to asymptotic value

- expected deviation from asymptotic value (near to the CEP)

$$r_{2n+2} \simeq r(1 + A/n)$$

$$r_{2n}^{\chi} \simeq r(1 + (A - 1)/n)$$

# Beyond Taylor: Padé approximation

- Taylor:  $t(x) = \sum_{i=0}^N t_{(i)} x^i$
- Padé:  $[L/M] \equiv R_{L,M}(x) = \frac{p(x)}{q(x)} = \frac{p_0 + p_1 x + \dots + p_L x^L}{1 + q_1 x + \dots + q_M x^M}$
- require:  $\left. \frac{\partial^i R_{L,M}(x)}{\partial x^i} \right|_{x=0} = \left. \frac{\partial^i t(x)}{\partial x^i} \right|_{x=0}$  for  $i \leq N$
- i.e. uses same derivative information as Taylor expansion
- pole in  $[N/2]$  at  $x = \pm \sqrt{c_N/c_{N+2}}$ , i.e. at  $r_N$
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$$[L/M] = \frac{\begin{vmatrix} t_{(L-M+1)} & t_{(L-M+2)} & \cdots & t_{(L+1)} \\ t_{(L-M+2)} & t_{(L-M+3)} & \cdots & t_{(L+2)} \\ \vdots & \vdots & \ddots & \vdots \\ t_{(L)} & t_{(L+1)} & \cdots & t_{(L+M)} \\ \sum_{i=0}^{L-M} t_{(i)} x^{M+i} & \sum_{i=0}^{L-M+1} t_{(i)} x^{M+i-1} & \cdots & \sum_{i=0}^L t_{(i)} x^i \end{vmatrix}}{\begin{vmatrix} t_{(L-M+1)} & t_{(L-M+2)} & \cdots & t_{(L+1)} \\ t_{(L-M+2)} & t_{(L-M+3)} & \cdots & t_{(L+2)} \\ \vdots & \vdots & \ddots & \vdots \\ t_{(L)} & t_{(L+1)} & \cdots & t_{(L+M)} \\ x^M & x^{M-1} & \cdots & 1 \end{vmatrix}}$$

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# Locating the critical endpoint

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- convergence radius close to CEP

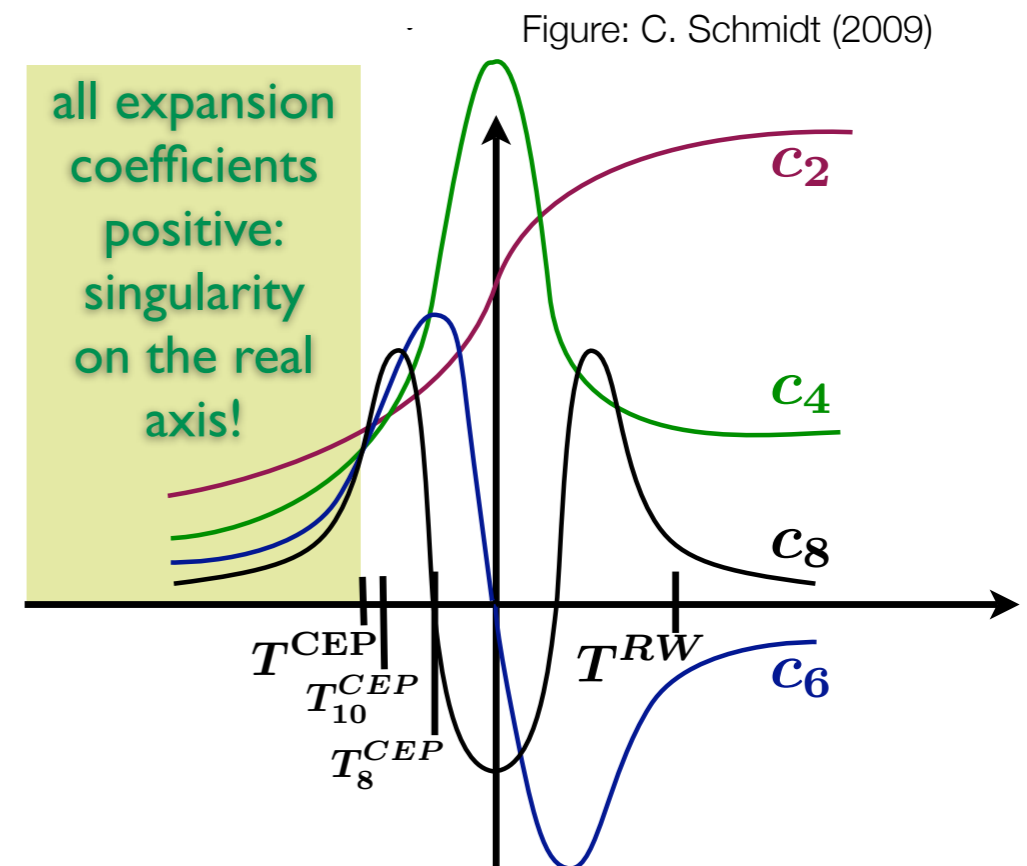
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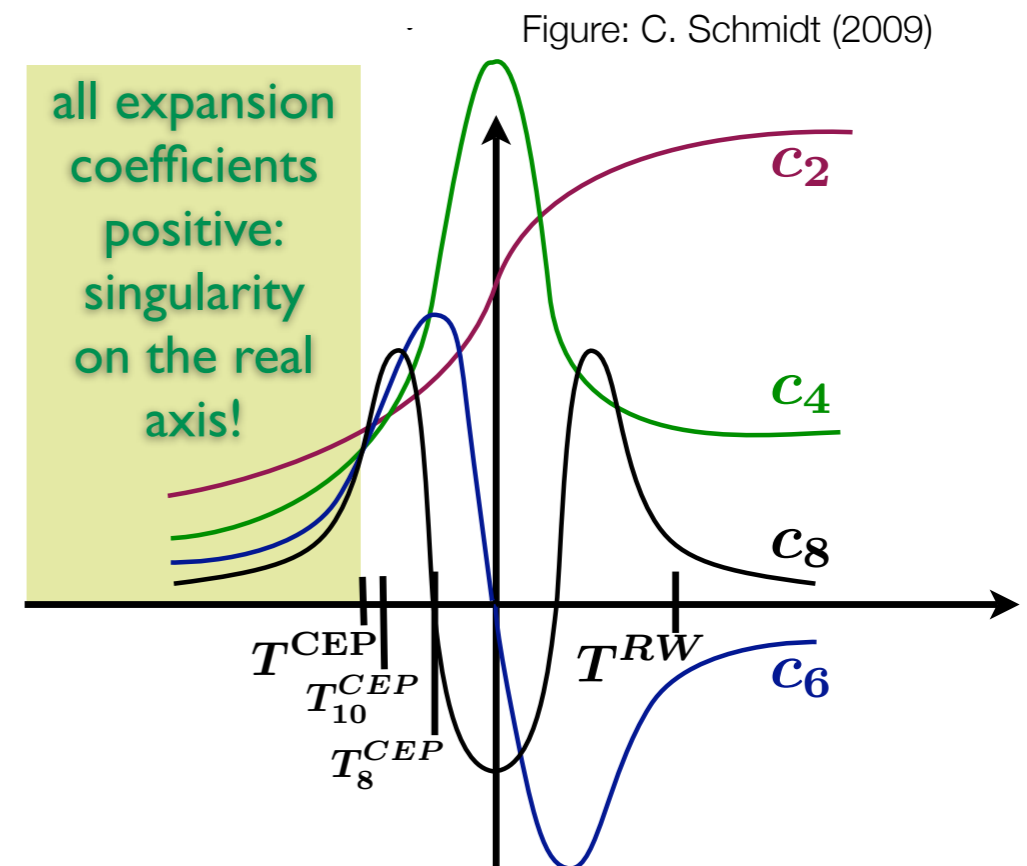


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- singularity at real chemical potential if all coefficients are positive
- at least  $n=8$  required for non-trivial estimate
- extrapolation required:  $T_c = \lim_{n \rightarrow \infty} T_{c,n}$
- asymptotic behavior unknown



# Polyakov-Quark-Meson (PQM) model

B-J. Schaefer, MW, J. Wambach, Phys. Rev. D 81, 074013

- relevant degrees of freedom: (2+1) quarks and mesons  
→ PQM model

$$\mathcal{L}_{\text{PQM}} = \bar{q} (i\not{D} - g\phi_5) q + \mathcal{L}_m - \mathcal{U}(\Phi, \bar{\Phi})$$

quarks /  
antiquarks

Polyakov loop variable

$$\begin{aligned} \mathcal{L}_m = & \text{Tr} (\partial_\mu \phi^\dagger \partial^\mu \phi) - m^2 \text{Tr}(\phi^\dagger \phi) - \lambda_1 [\text{Tr}(\phi^\dagger \phi)]^2 - \lambda_2 \text{Tr} (\phi^\dagger \phi)^2 \\ & + c (\det(\phi) + \det(\phi^\dagger)) + \text{Tr} [H(\phi + \phi^\dagger)] \end{aligned}$$

mesonic fields

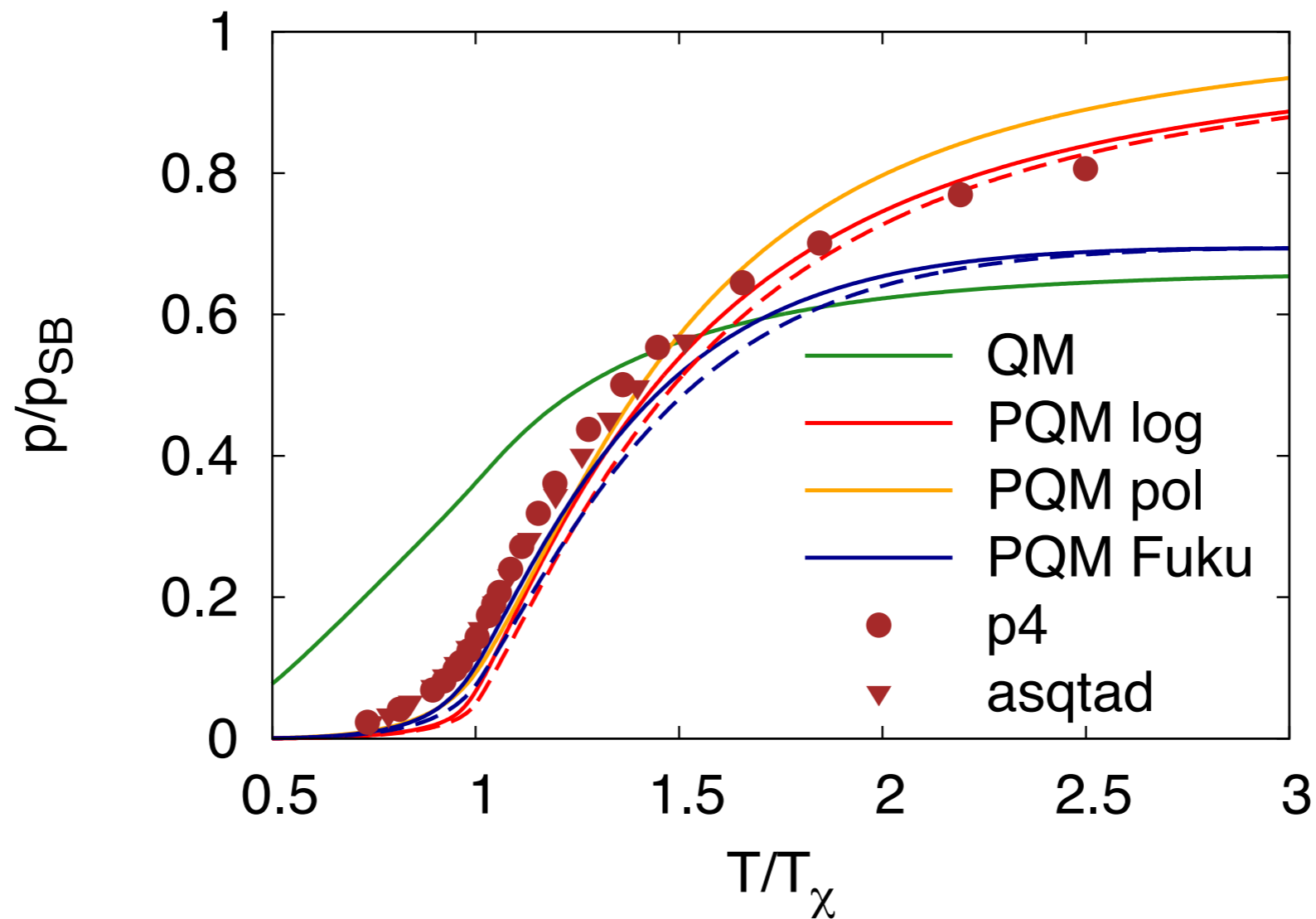
- here: logarithmic Polyakov loop potential (Roessner et al, Phys. Rev. D75, 034007)

$$\frac{\mathcal{U}_{\log}}{T^4} = -\frac{1}{2} a(T) \bar{\Phi} \Phi + b(T) \ln \left[ 1 - 6\bar{\Phi} \Phi + 4 (\Phi^3 + \bar{\Phi}^3) - 3 (\bar{\Phi} \Phi)^2 \right]$$

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# Explicit and implicit $\mu$ -dependence

- mean-field approximation
- thermodynamic potential  $\Omega = U(\sigma_x, \sigma_y) + \Omega_{\bar{q}q}(\sigma_x, \sigma_y, \Phi, \bar{\Phi}) + \mathcal{U}(\Phi, \bar{\Phi})$

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$$\Omega_{\bar{q}q}(\sigma_x, \sigma_y, \Phi, \bar{\Phi}) = -2T \sum_{f=u,d,s} \int \frac{d^3p}{(2\pi)^3} \left\{ \ln \left[ 1 + 3(\Phi + \bar{\Phi} e^{-(E_{q,f} - \mu_f)/T}) e^{-(E_{q,f} - \mu_f)/T} + e^{-3(E_{q,f} - \mu_f)/T} \right] \right. \\ \left. + \ln \left[ 1 + 3(\bar{\Phi} + \Phi e^{-(E_{q,f} + \mu_f)/T}) e^{-(E_{q,f} + \mu_f)/T} + e^{-3(E_{q,f} + \mu_f)/T} \right] \right\}$$

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# Why we need a novel technique

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- numerical derivatives / divided differences

- error prone 
$$\frac{d^2\Omega}{d\mu^2} = \frac{1}{\Delta\mu^2} [\Omega(\mu - \Delta\mu) - 2\Omega(\mu) + \Omega(\mu + \Delta\mu)] + \mathcal{O}(\Delta\mu^2)$$

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# Algorithmic Differentiation

MW, A. Walther, B.-J. Schaefer, Comp. Phys. Commun., 181, pp. 756

- idea: ‘differentiate’ the algorithm using the chain rule
  - no approximations, i.e. machine precision
  - only slight modifications of the code necessary
  - arbitrary orders without further coding
  - inverse Taylor expansion to treat implicit derivatives

$$\frac{\partial \Omega(\mu)}{\partial \sigma} = 0 \Rightarrow \frac{\partial \sigma}{\partial \mu}$$

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- performance

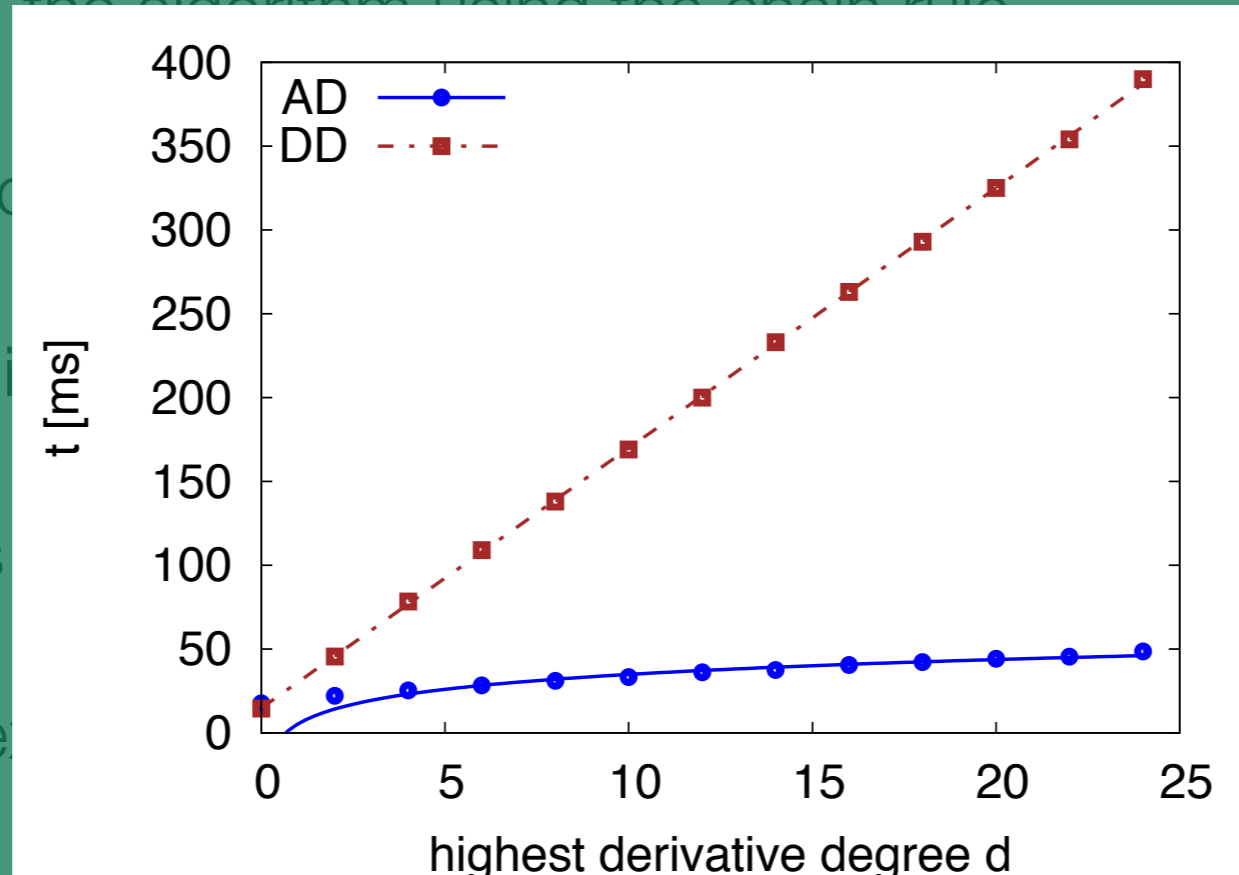
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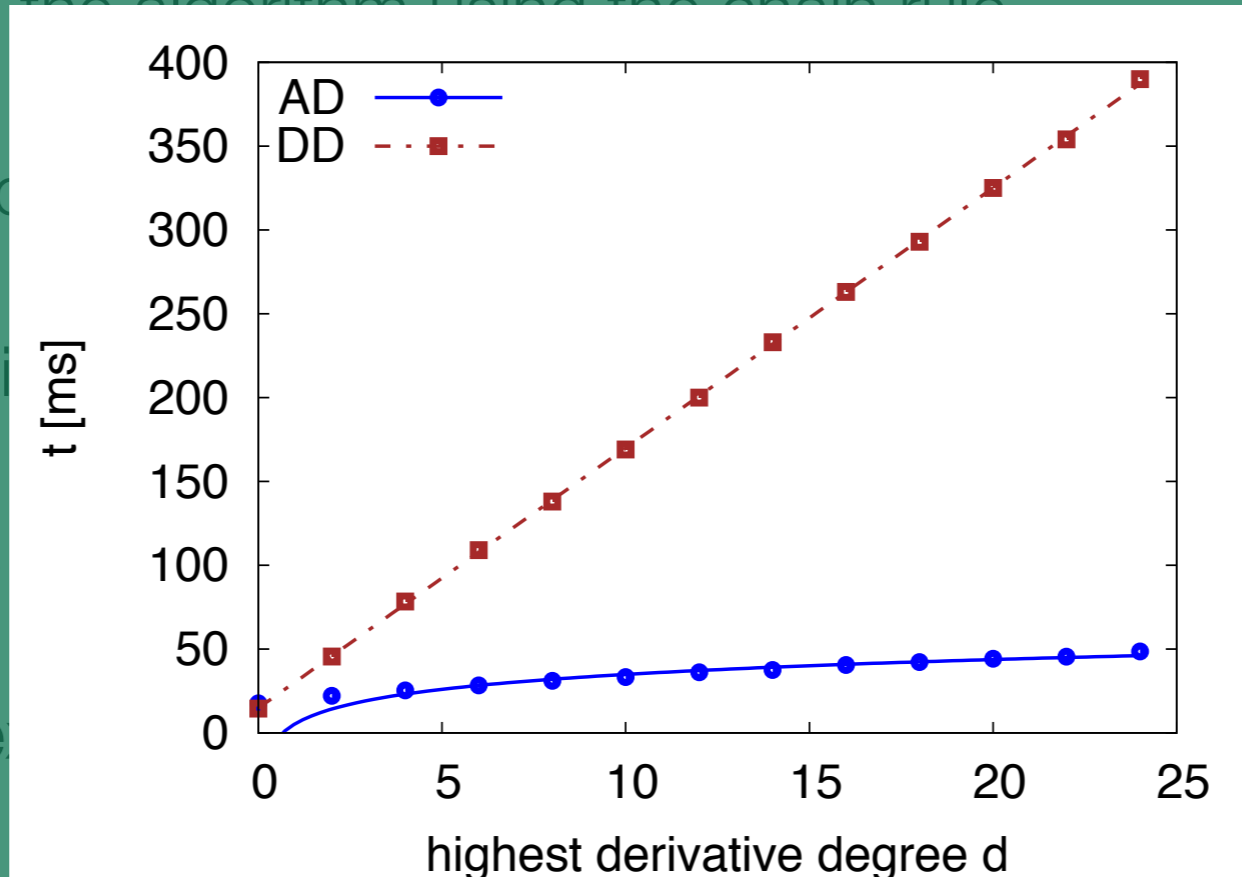
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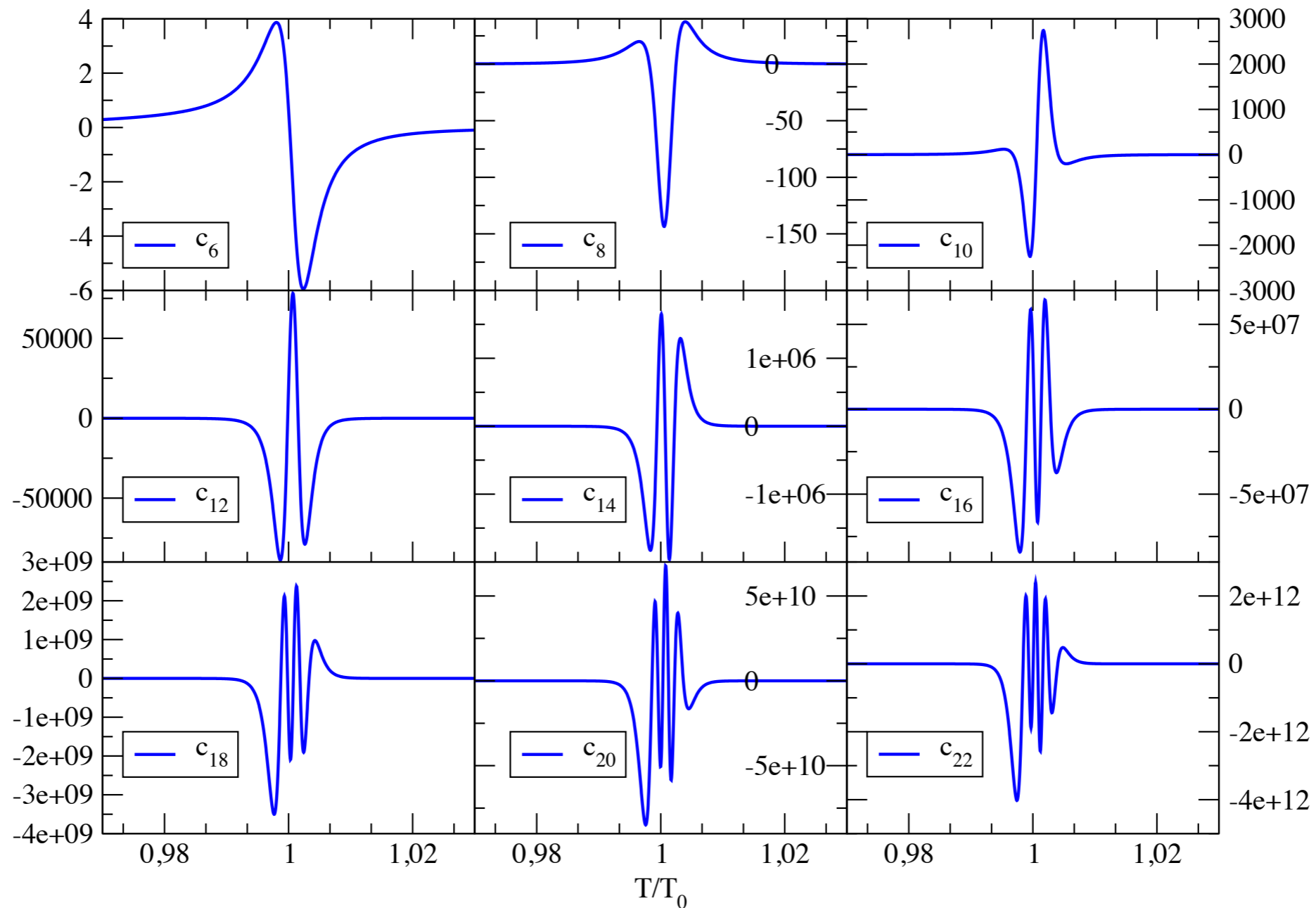
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**Algorithmic Differentiation is a general technique !**

- DD: (n+1) evaluations of grand potential (including minimization)

# Higher order coefficients available

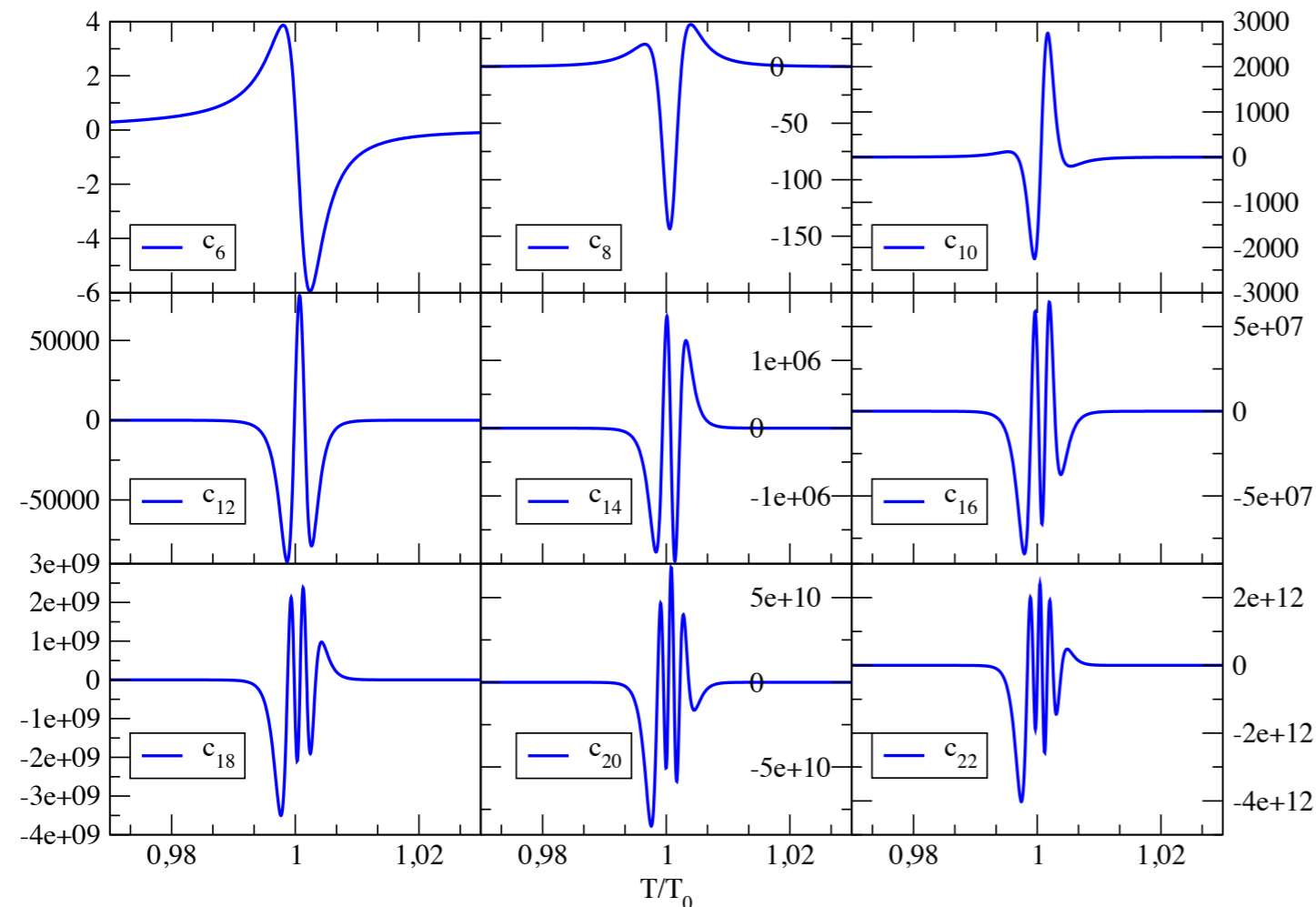
B.-J. Schaefer, MW, J. Wambach, PoS CPOD2009, 017





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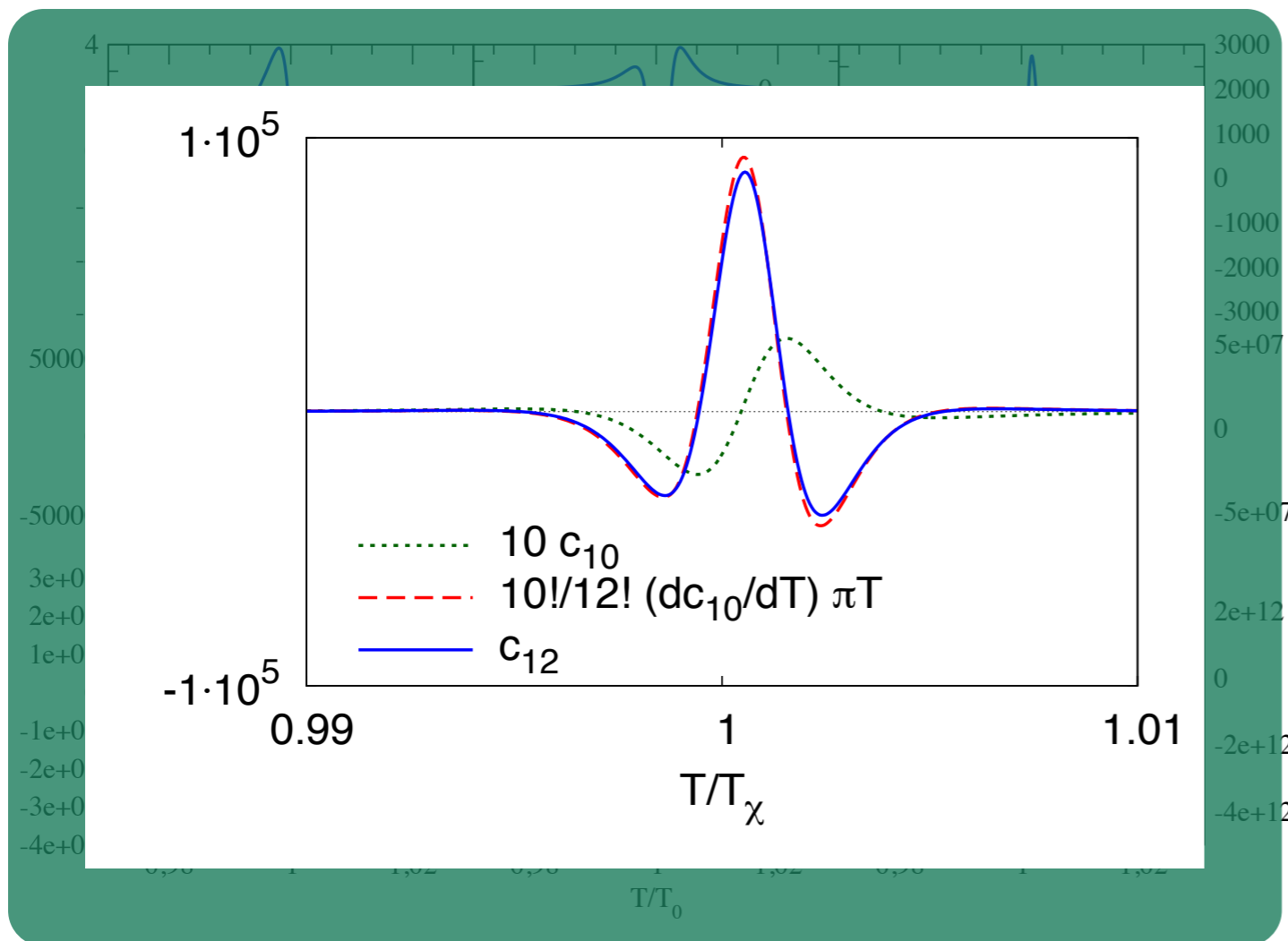
B.-J. Schaefer, MW, J. Wambach, PoS CPOD2009, 017



- higher coefficients are oscillating near transition
- increasing amplitude
- not negligible for  $\mu/T < 1$
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- no 'numerical noise'
- singular contribution depends linear on  $T$  and quadratically on  $\mu$

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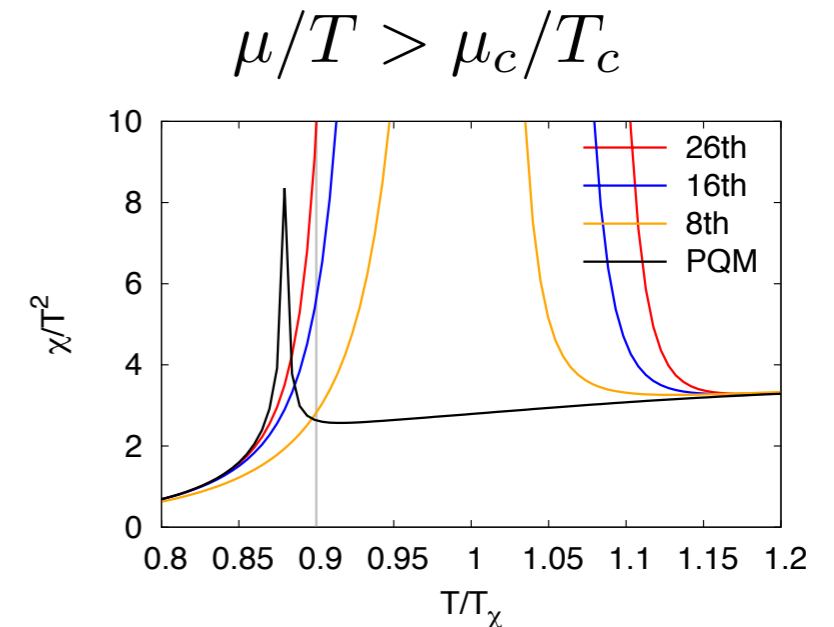
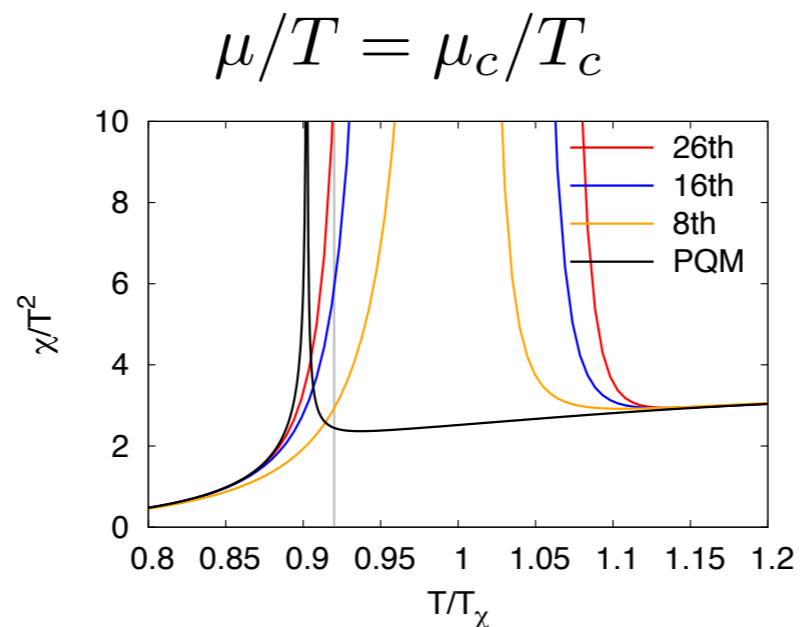
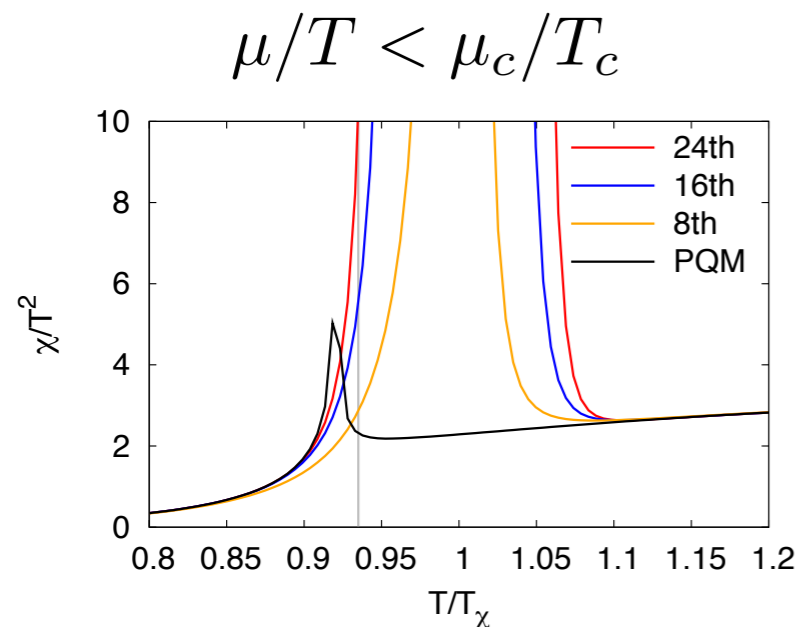
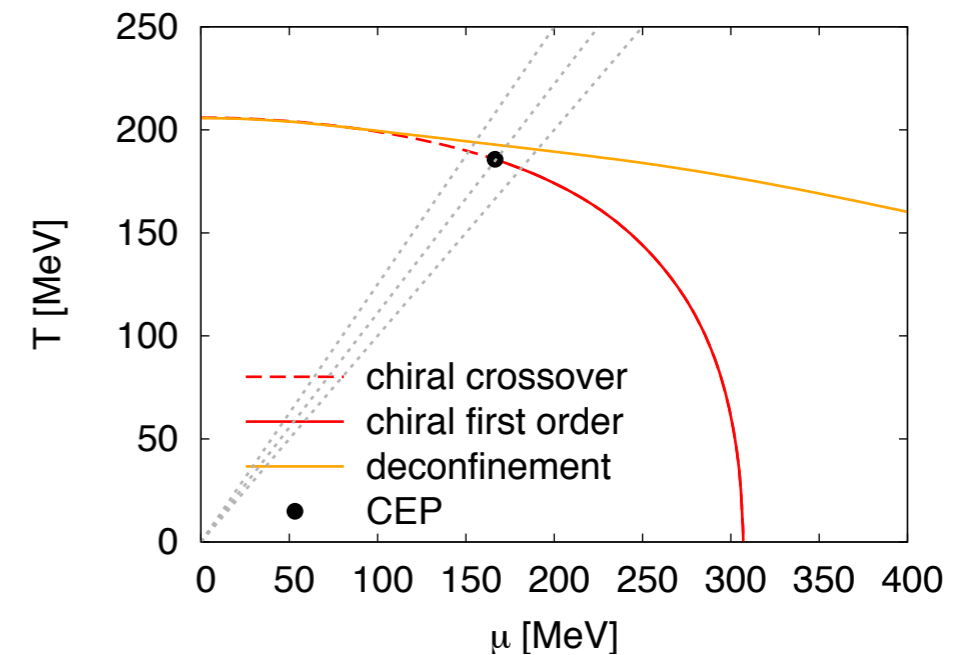
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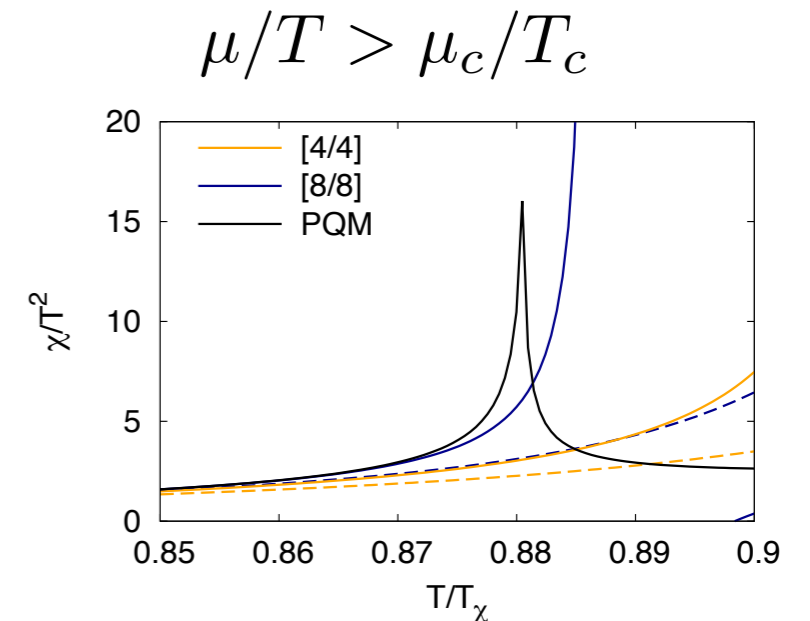
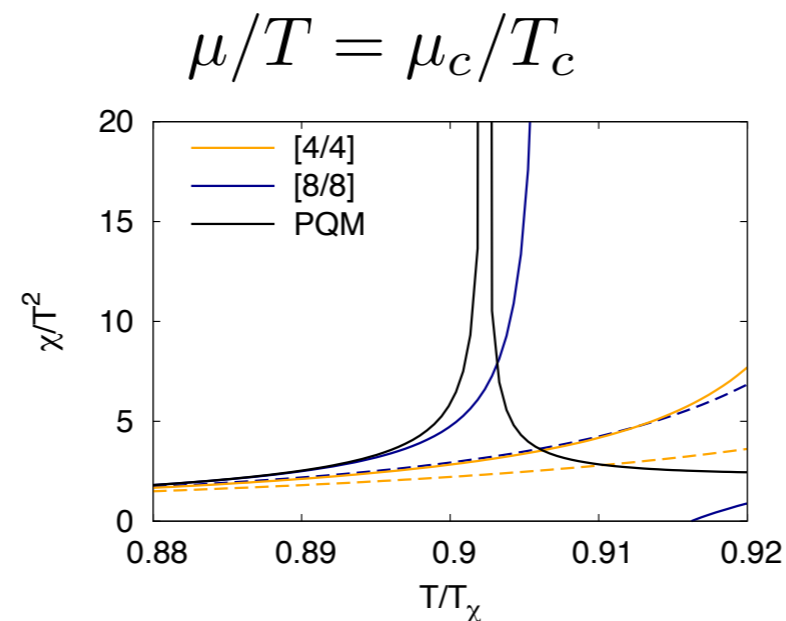
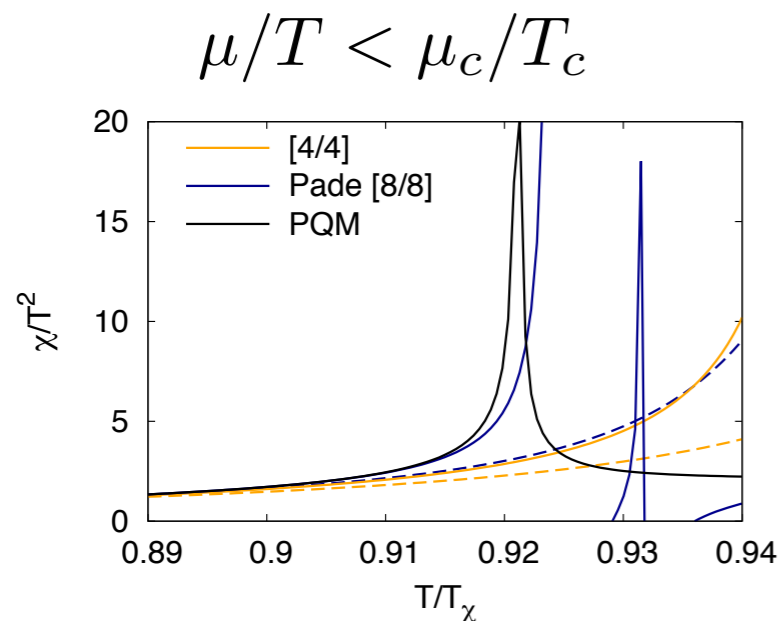
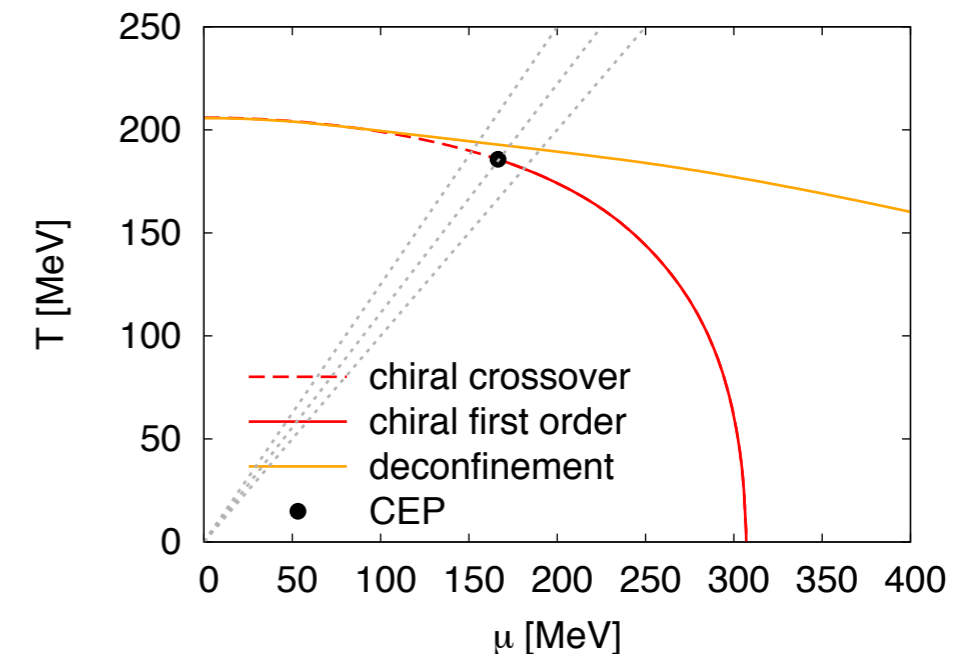
# Thermodynamics near the CEP

- quark number susceptibility
  - diverges at CEP
- lower orders also in PNJL (Ratti et al. Phys. Lett. B649, 57-60)
- breakdown of Taylor expansion or signal ?



# Padé-improved thermodynamics

- $[L/M] \equiv R_{L,M}(x) = \frac{p(x)}{q(x)} = \frac{p_0 + p_1x + \dots + p_Lx^L}{1 + q_1x + \dots + q_Mx^M}$
- rarely used for  $\mu$ -extrapolations  
(M. P. Lombardo PoS LAT2005, 168)
- more suitable for description of singularities, i.e. divergent susceptibility at the CEP

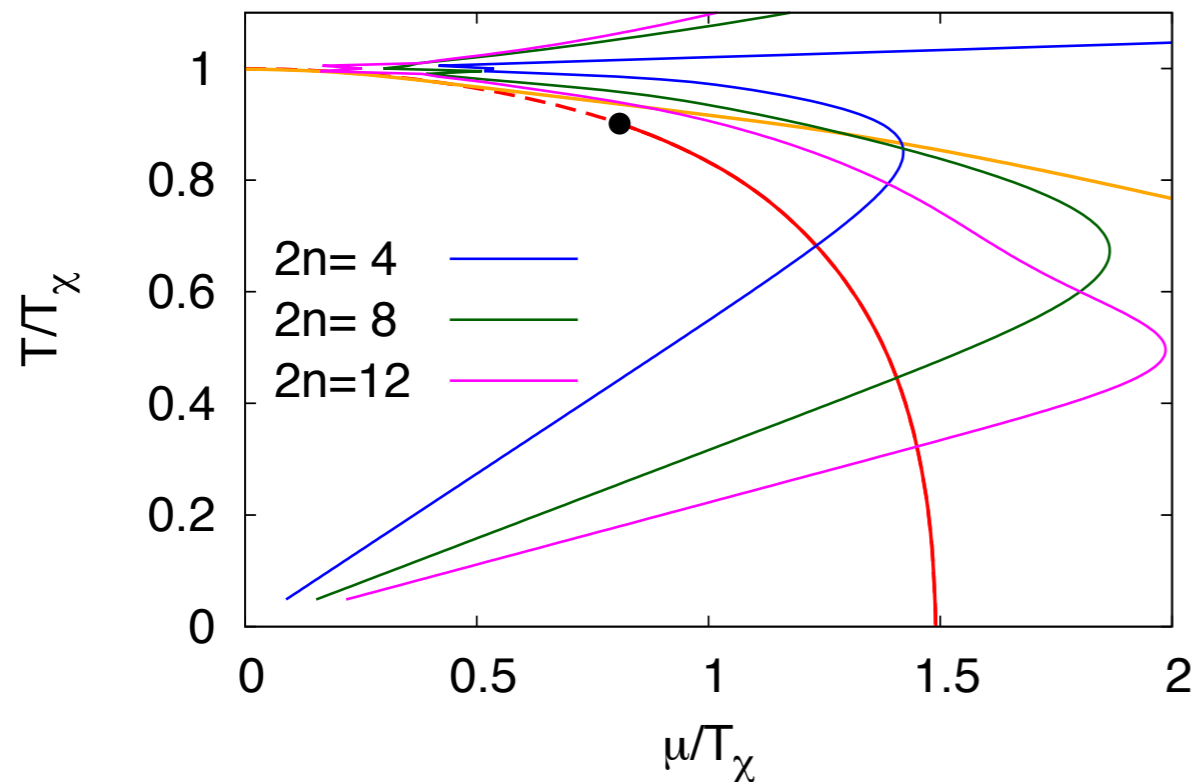


solid: Padé-approximation; dashed: Taylor expansion at corresponding order

# Convergence radii and phase boundary

F. Karsch, B-J. Schaefer, MW, J. Wambach, arXiv:1009.5211

$$r = \lim_{n \rightarrow \infty} r_{2n} = \lim_{n \rightarrow \infty} \left| \frac{c_{2n}}{c_{2n+2}} \right|^{1/2}$$

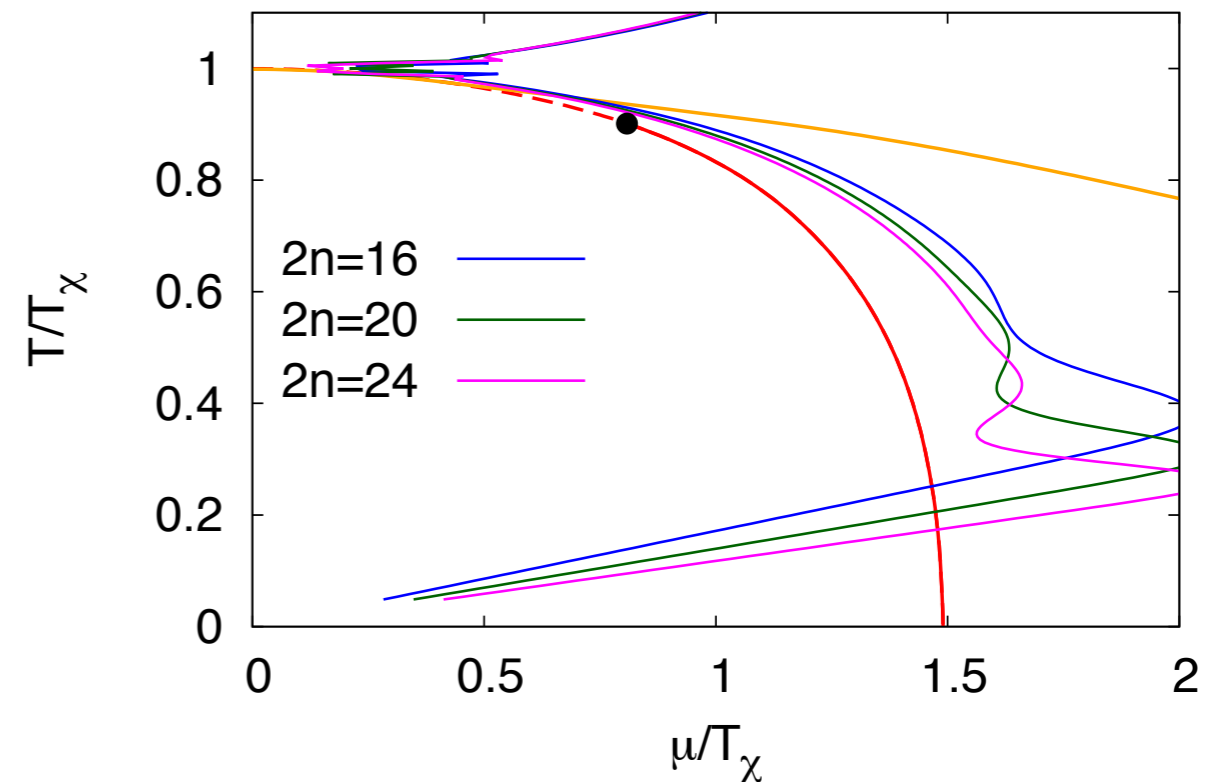
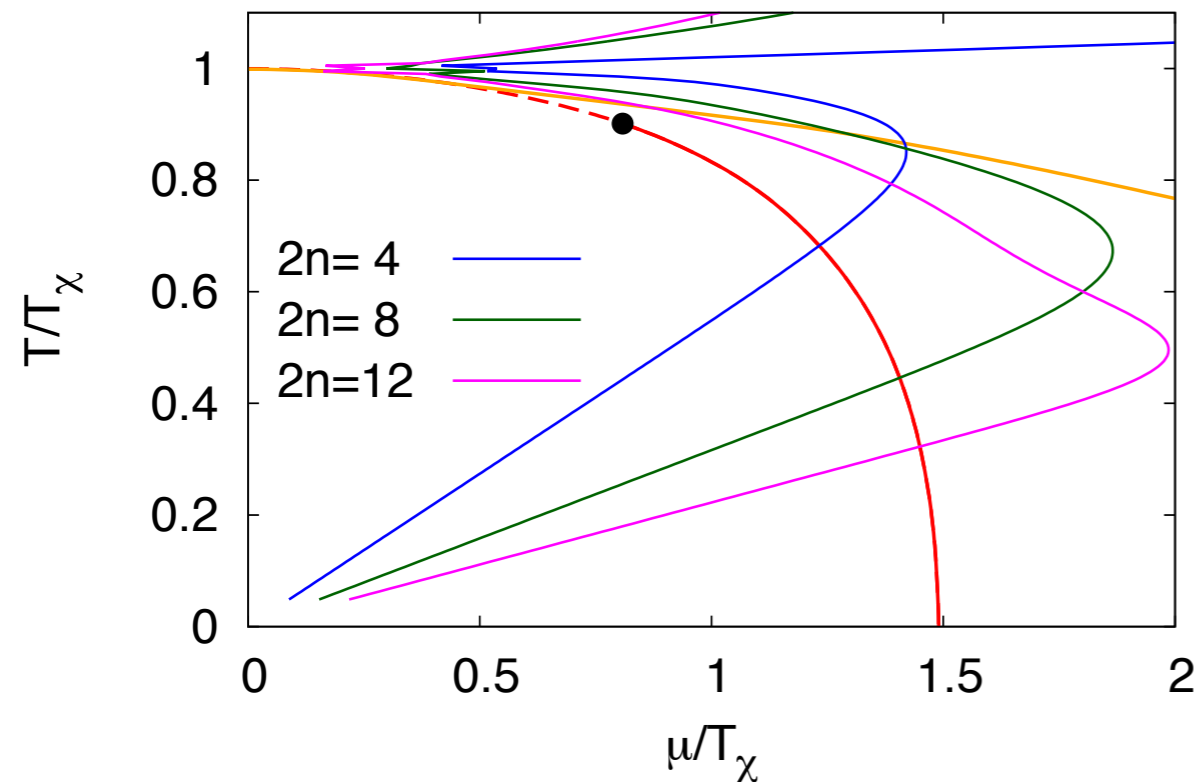


Red line: chiral crossover (dotted), 1st order (solid)  
Yellow line: deconfinement crossover  
Black dot: chiral critical end point

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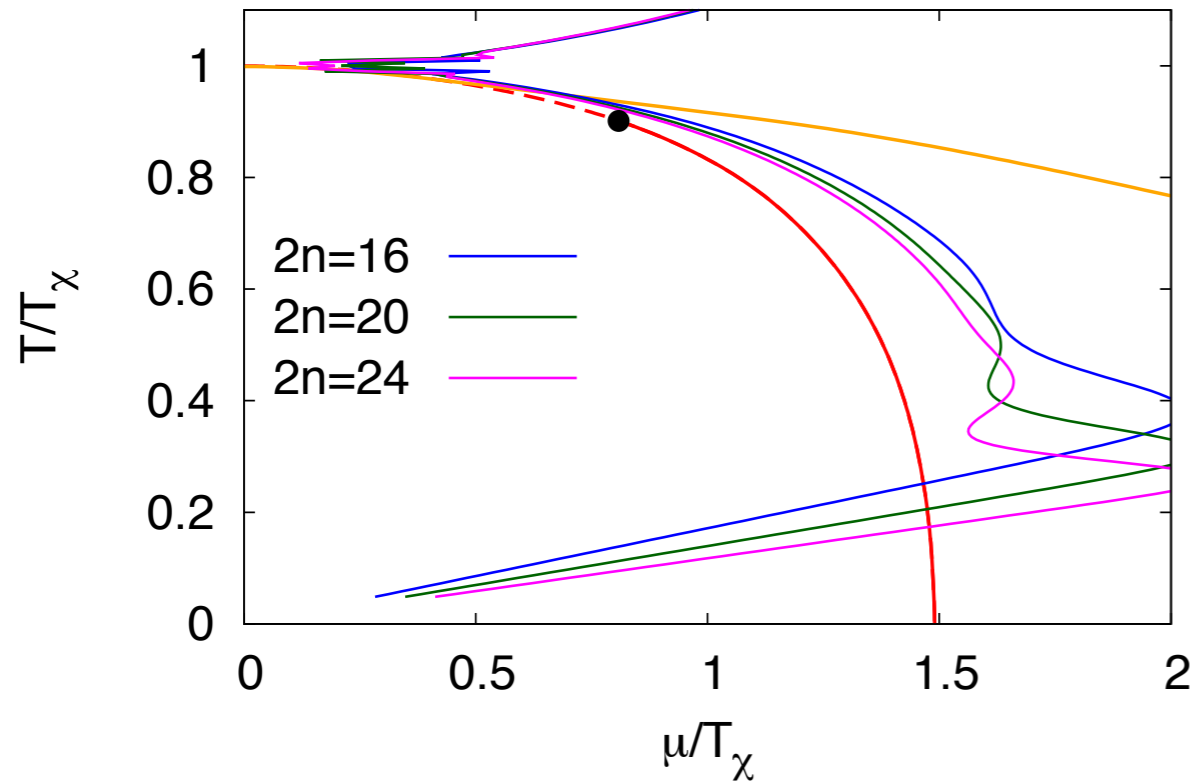
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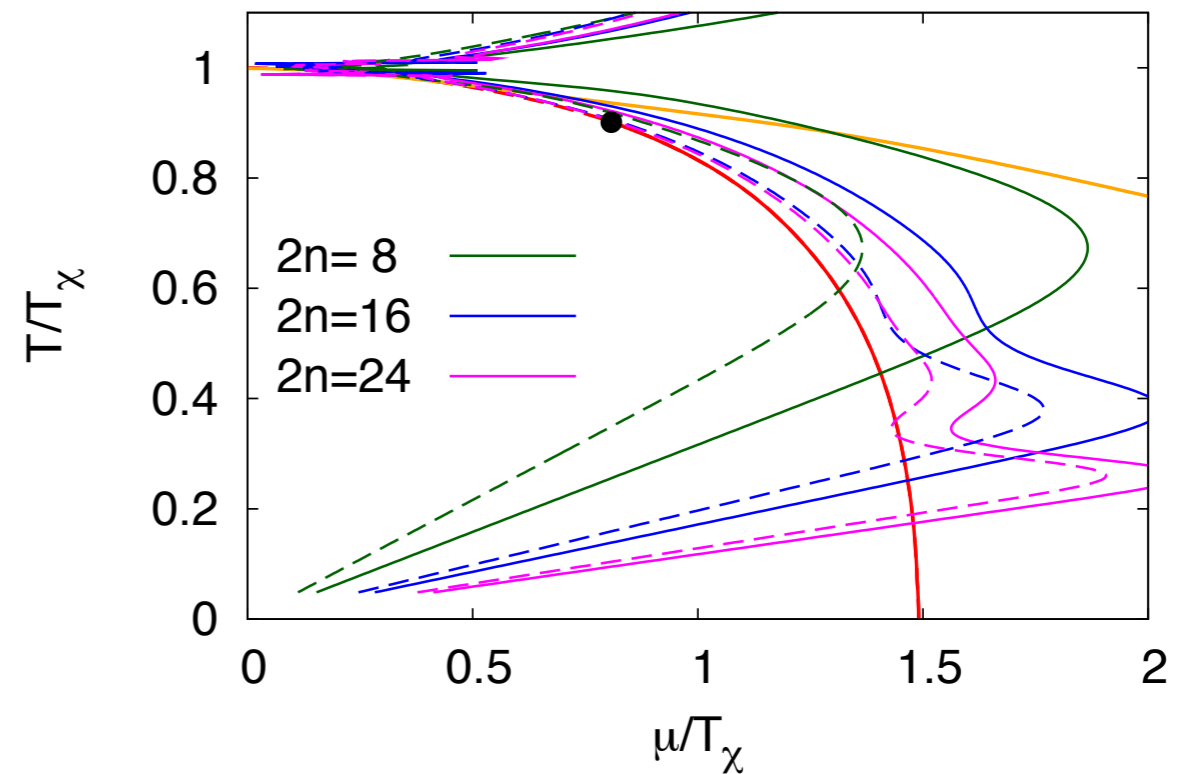
F. Karsch, B-J. Schaefer, MW, J. Wambach, arXiv:1009.5211



Red line: chiral crossover (dotted), 1st order (solid)  
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 Black dot: chiral critical end point

- quark number susceptibility

$$r_{2n}^{\chi} = \left| \frac{c_{2n}^{\chi}}{c_{2n+2}^{\chi}} \right|^{1/2} = \left| \frac{(2n+2)(2n+1)}{(2n+3)(2n+4)} \right|^{1/2} r_{2n+2}$$



solid lines: convergence radius estimate for the pressure at finite n  
 dashed lines: estimate for the quark number susceptibility

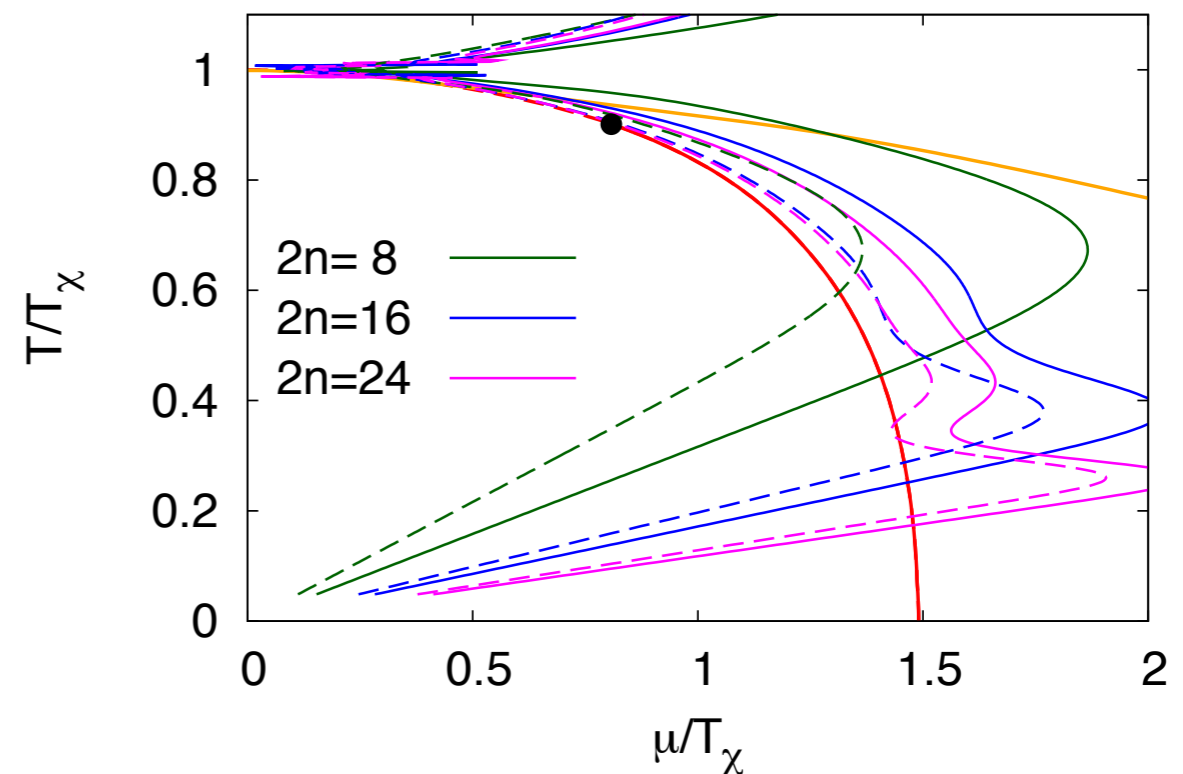
# Convergence radii and phase boundary

F. Karsch, B-J. Schaefer, MW, J. Wambach, arXiv:1009.5211

- only finite number of coefficients
- apparent convergence
- nice reproduction at large T
- at lower temperatures:  
1st order transition  
→ conceptual problem
- expansion works also for  $\mu/T > 1$
- better estimate with susceptibility

- quark number susceptibility

$$r_{2n}^{\chi} = \left| \frac{c_{2n}^{\chi}}{c_{2n+2}^{\chi}} \right|^{1/2} = \left| \frac{(2n+2)(2n+1)}{(2n+3)(2n+4)} \right|^{1/2} r_{2n+2}$$



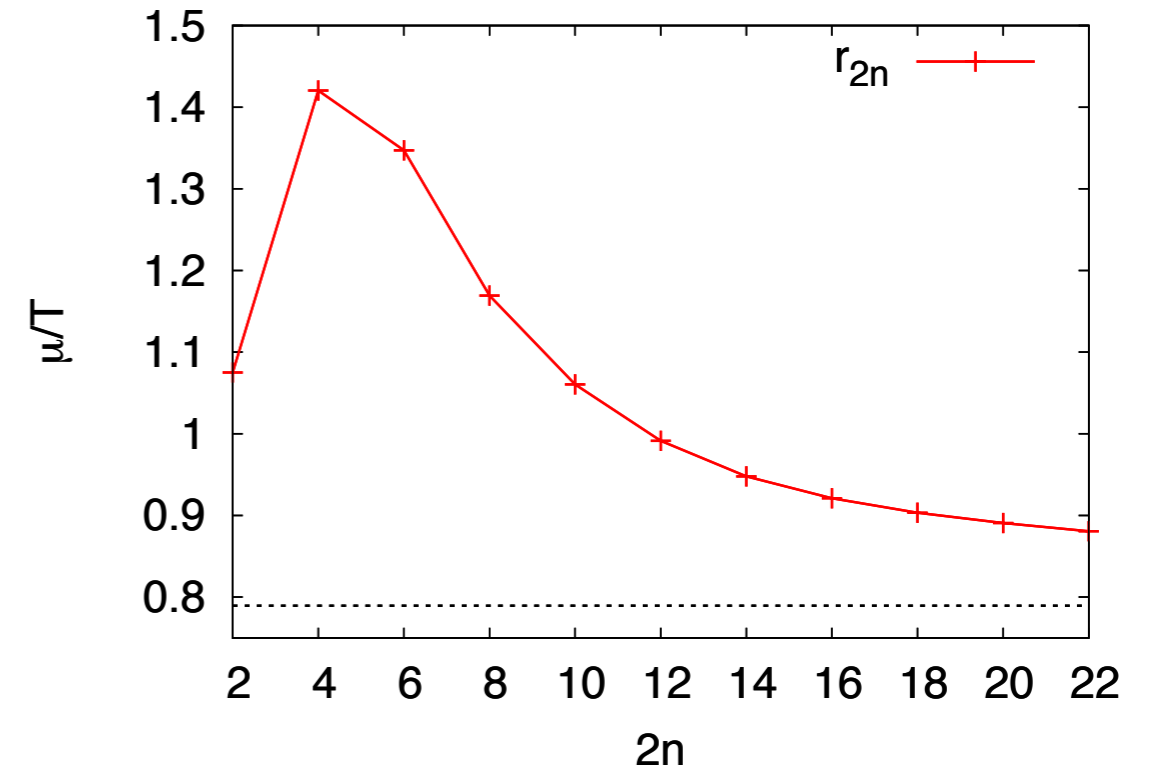
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# Comparison of criteria for phase boundary

F. Karsch, B-J. Schaefer, MW, J. Wambach, arXiv:1009.5211

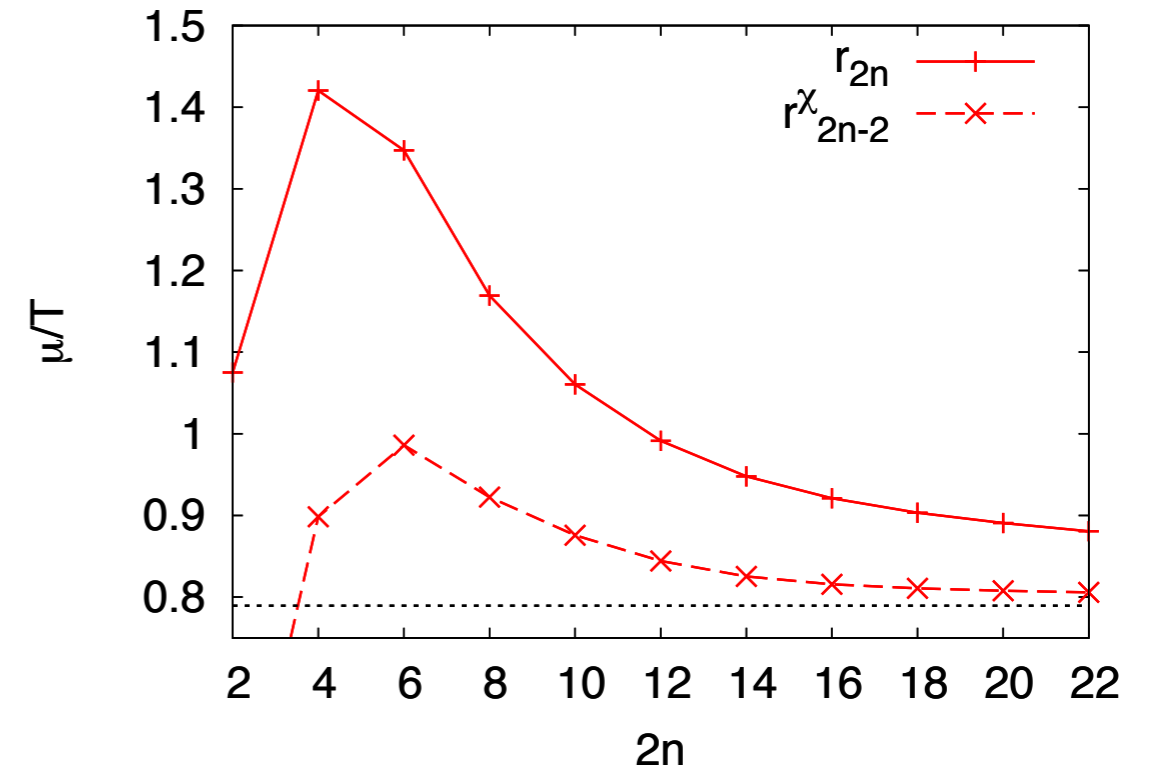
- convergence radii
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# Comparison of criteria for phase boundary

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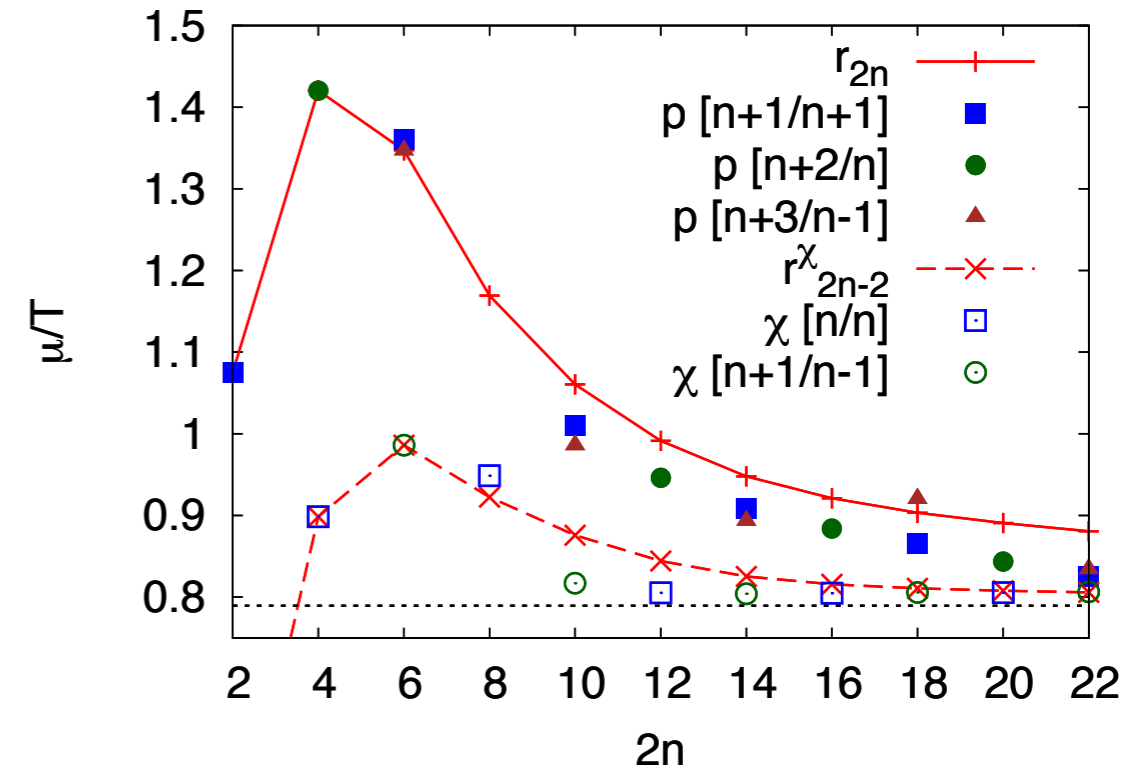


$$r_{2n}^x = \left| \frac{c_{2n}^x}{c_{2n+2}^x} \right|^{1/2} = \left| \frac{(2n+2)(2n+1)}{(2n+3)(2n+4)} \right|^{1/2} r_{2n+2}$$

# Comparison of criteria for phase boundary

F. Karsch, B-J. Schaefer, MW, J. Wambach, arXiv:1009.5211

- convergence radii
  - for pressure
  - and susceptibility
- poles in Padé approximant
  - requires at least 3 coefficients
  - uses all coefficients as input
  - error propagation is more involved; under control with AD



$$r_{2n}^{\chi} = \left| \frac{c_{2n}^{\chi}}{c_{2n+2}^{\chi}} \right|^{1/2} = \left| \frac{(2n+2)(2n+1)}{(2n+3)(2n+4)} \right|^{1/2} r_{2n+2}$$

# Comparison of criteria for phase boundary

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- convergence radii

• for significant improvement for susceptibility and Padé approximation

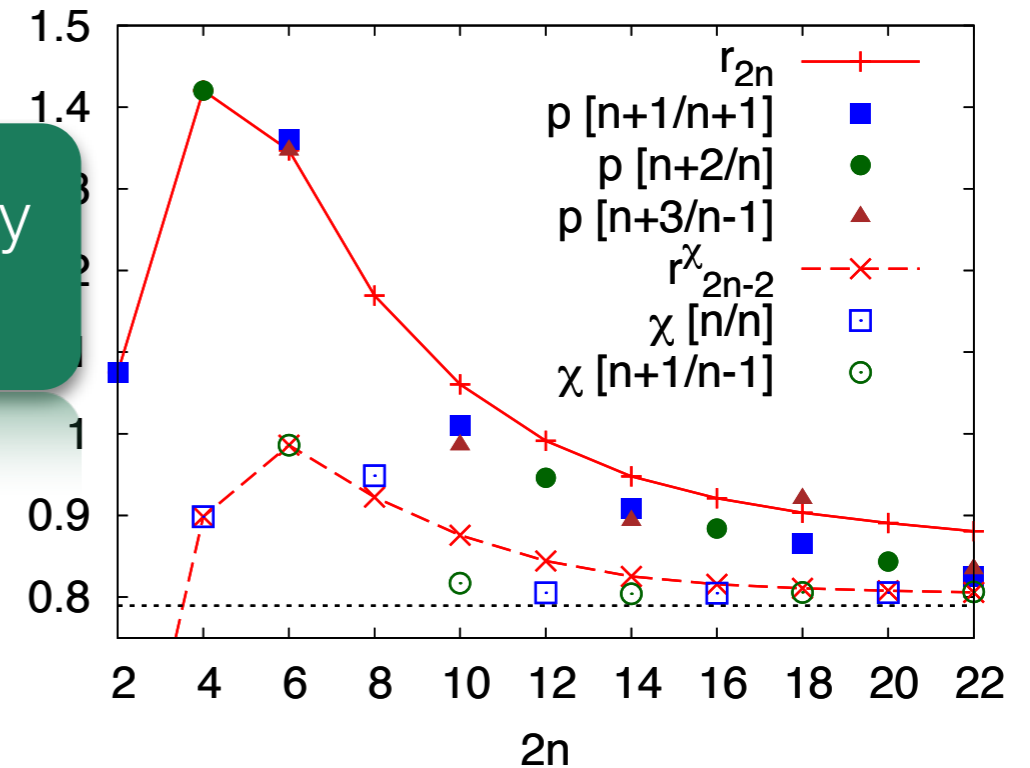
- and susceptibility

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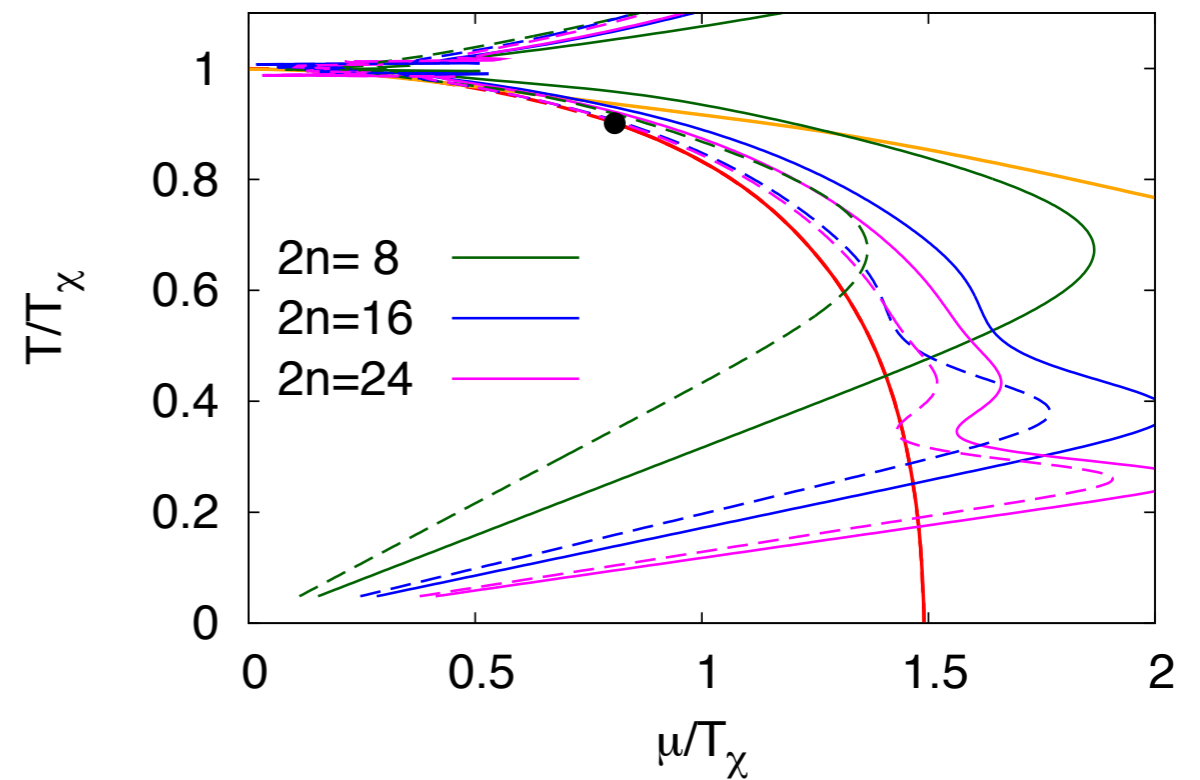
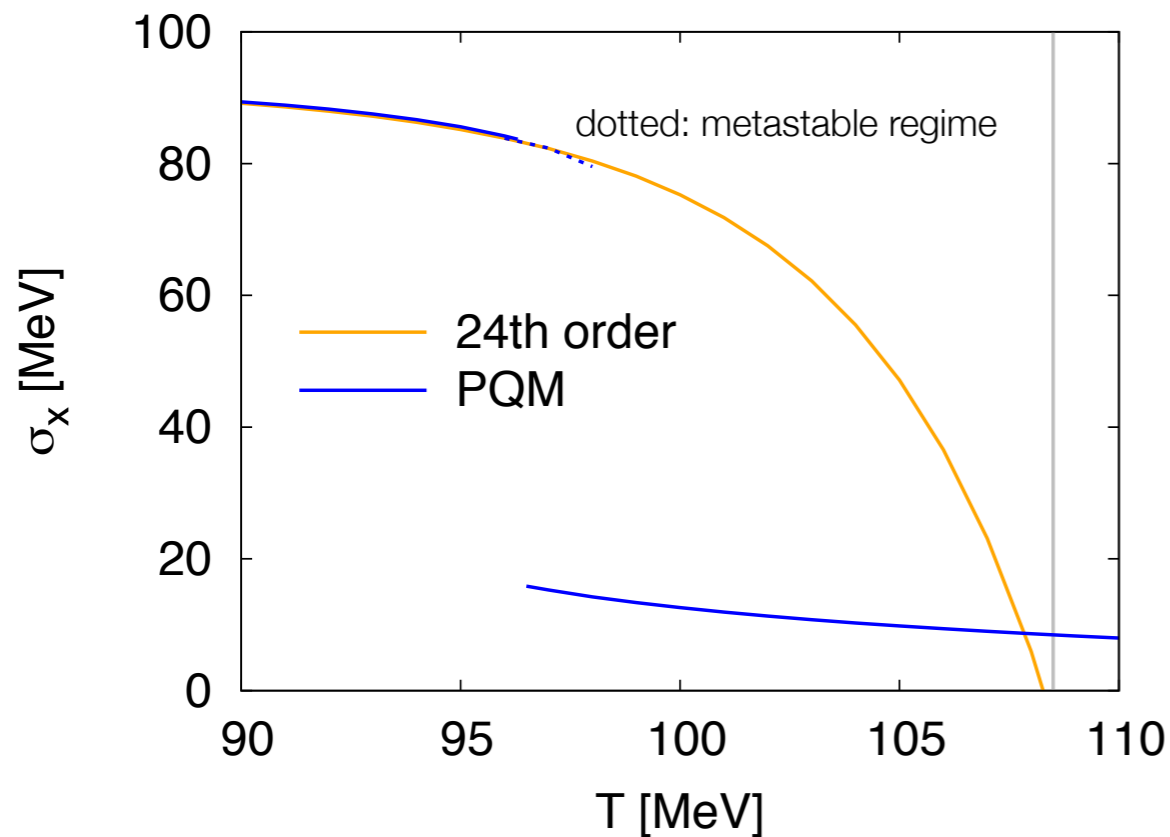
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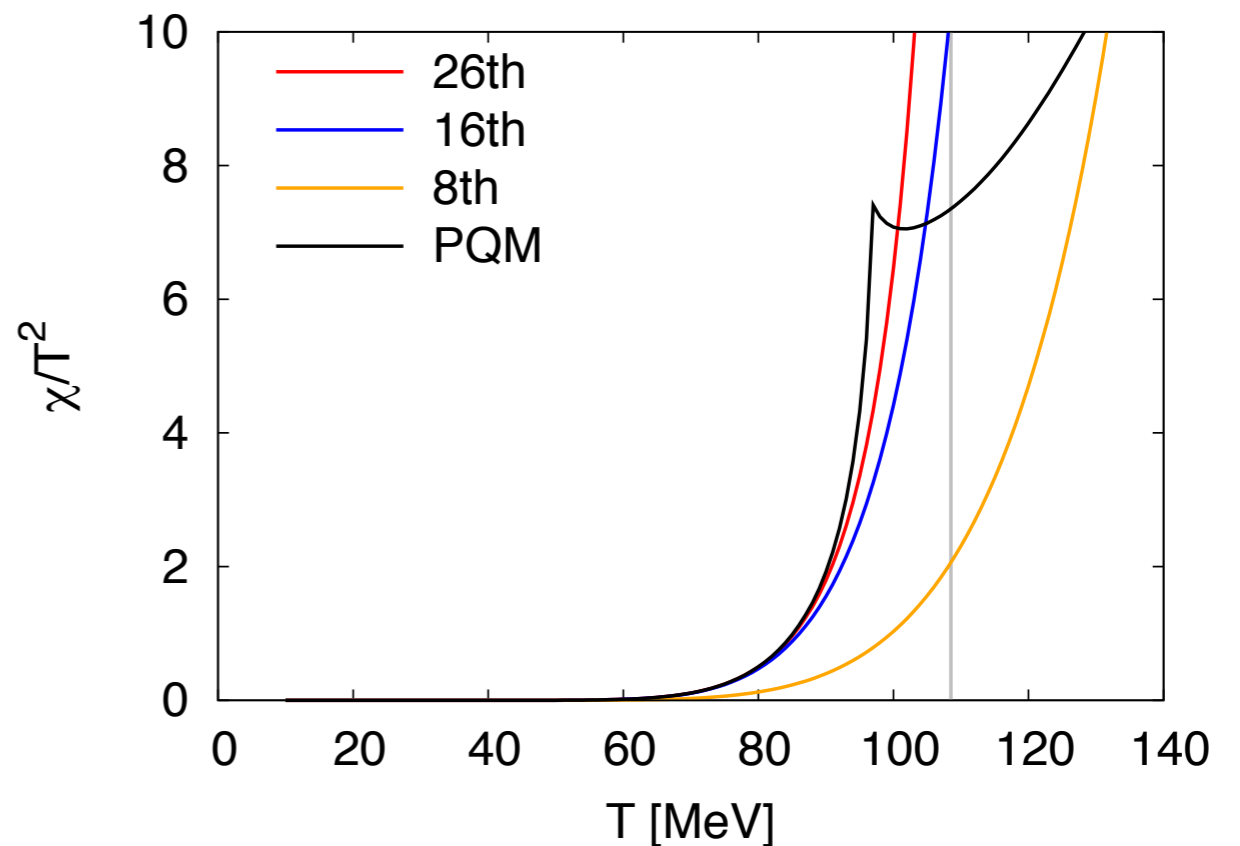
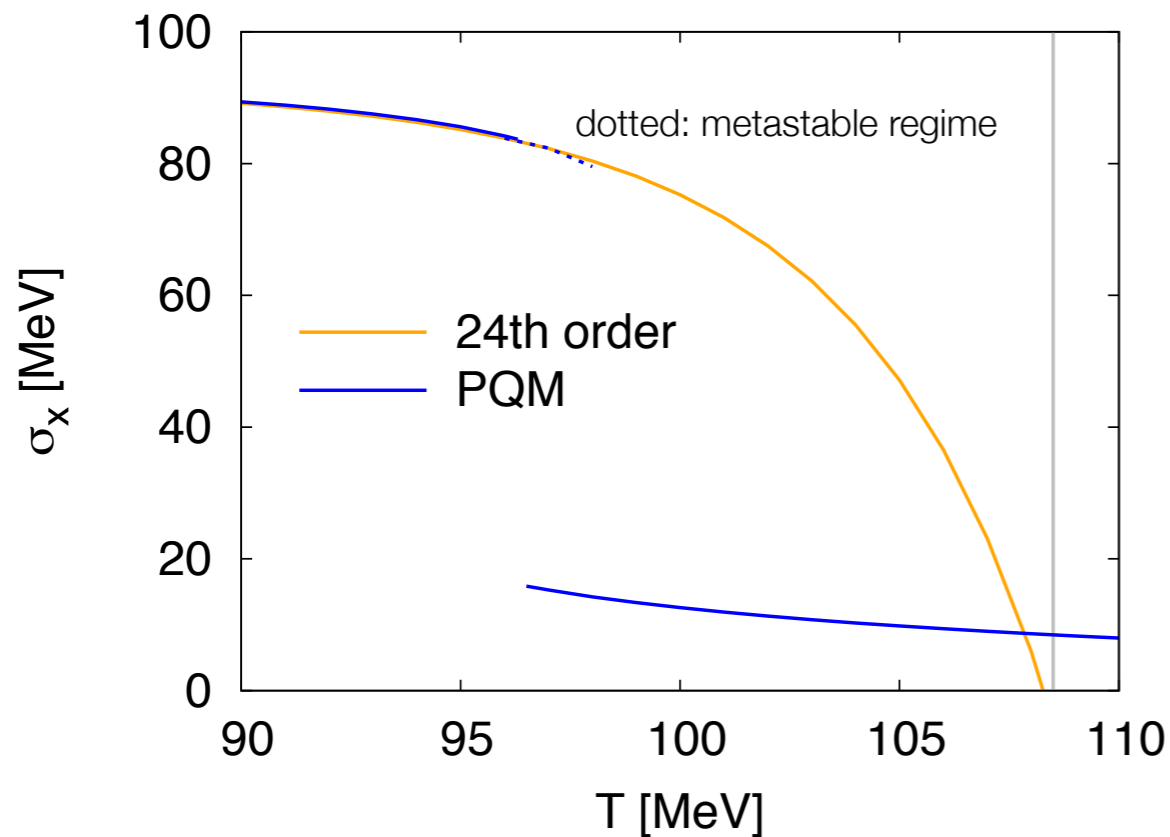
# Closer look at first-order transition

- consider  $\mu/T = 3$
- first order transition: new global minimum in grand potential
  - not captured by Taylor expansion



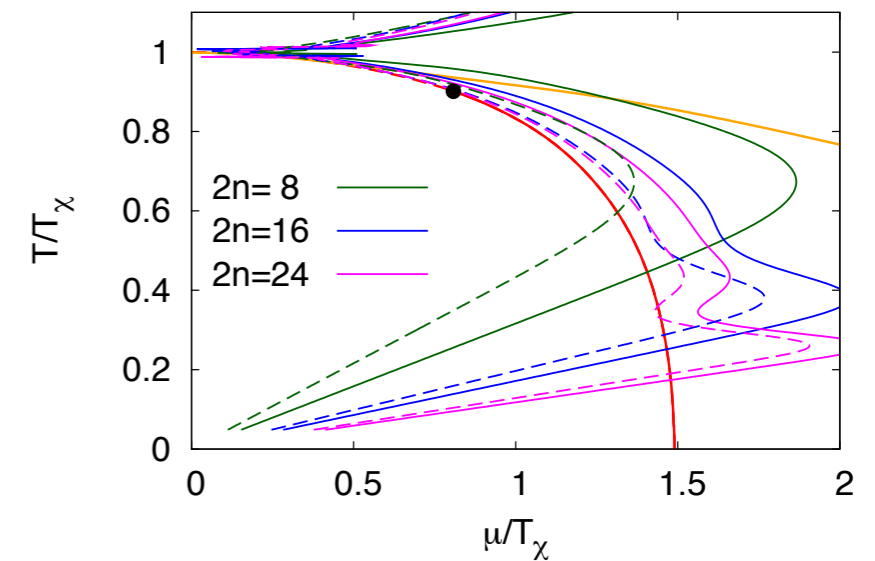
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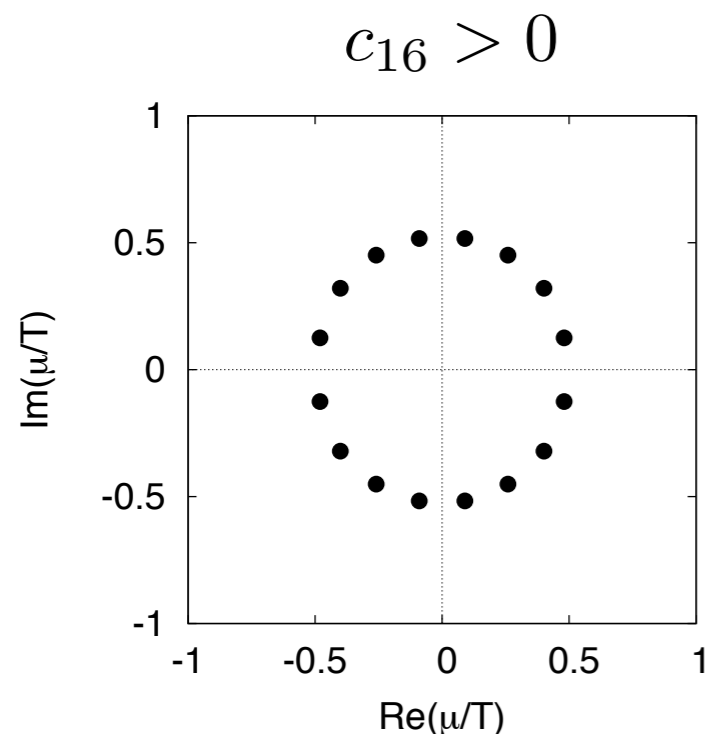
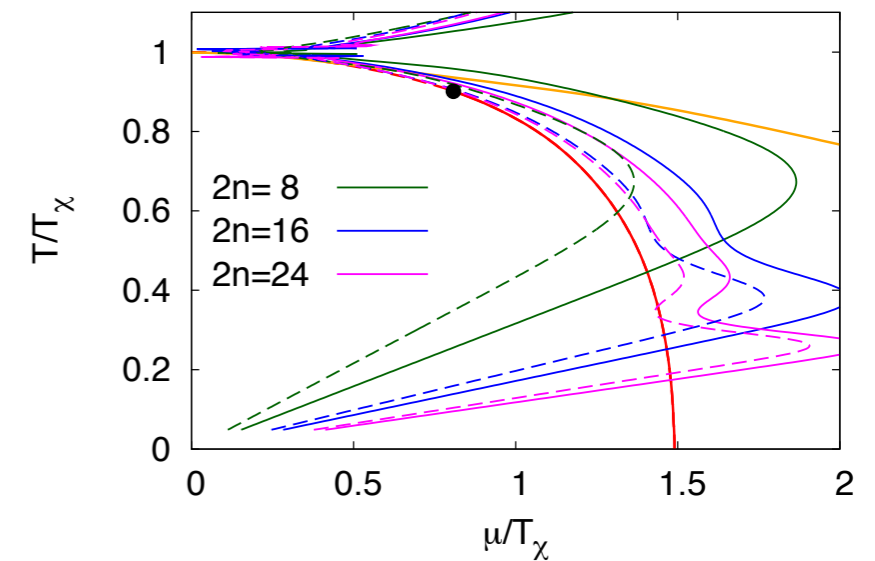
# Locating the critical endpoint

- phase boundary already estimated
- still need determine  $T_c$  : sign of coefficients
- consider  $T \sim T_{c,16}$  , i.e. where  $c_{16}$  changes sign



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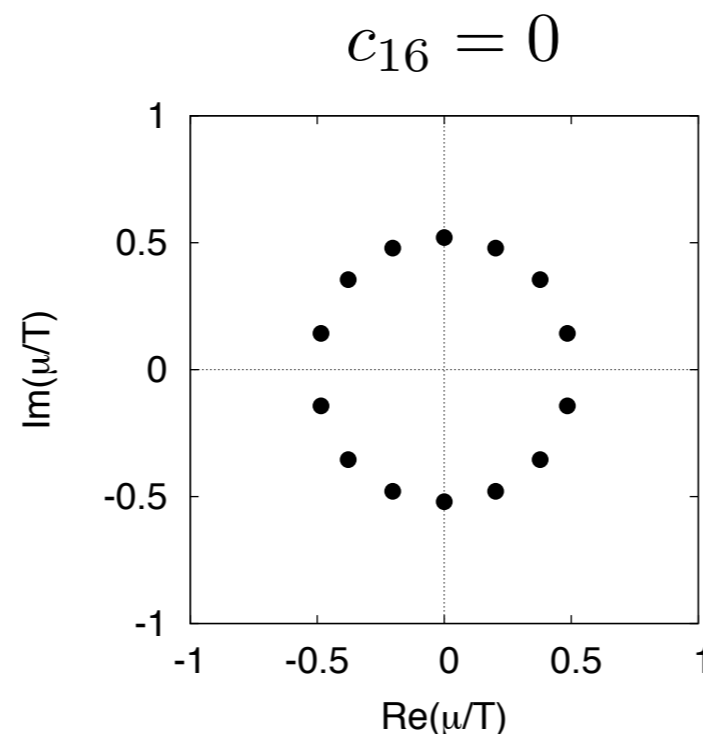
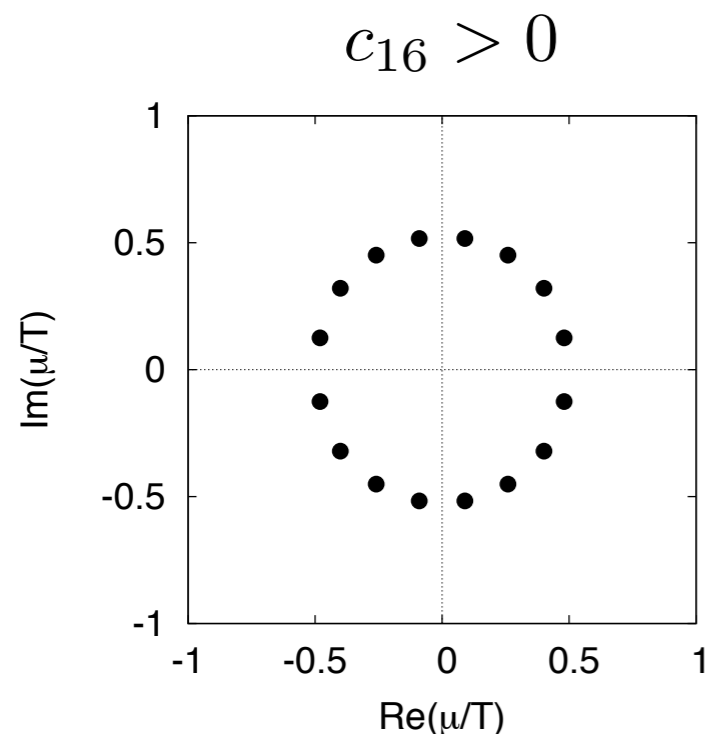
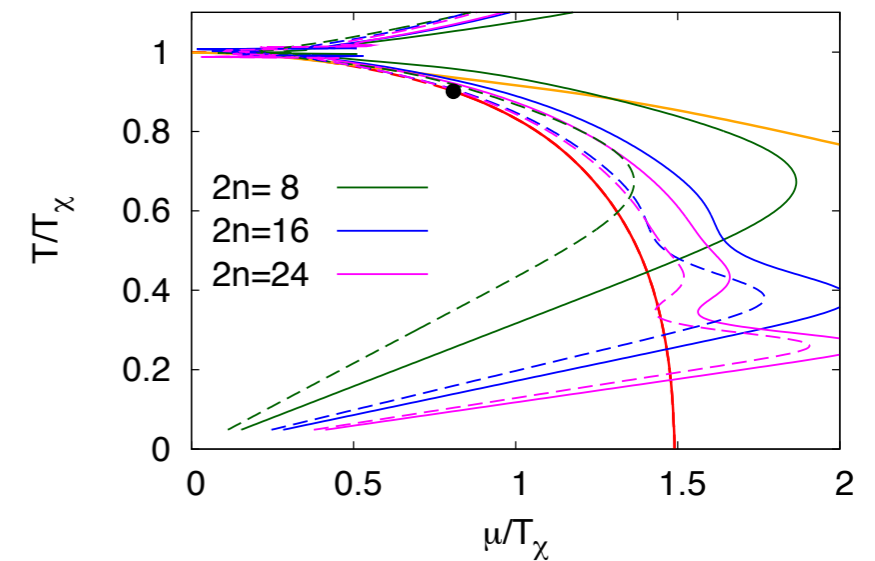
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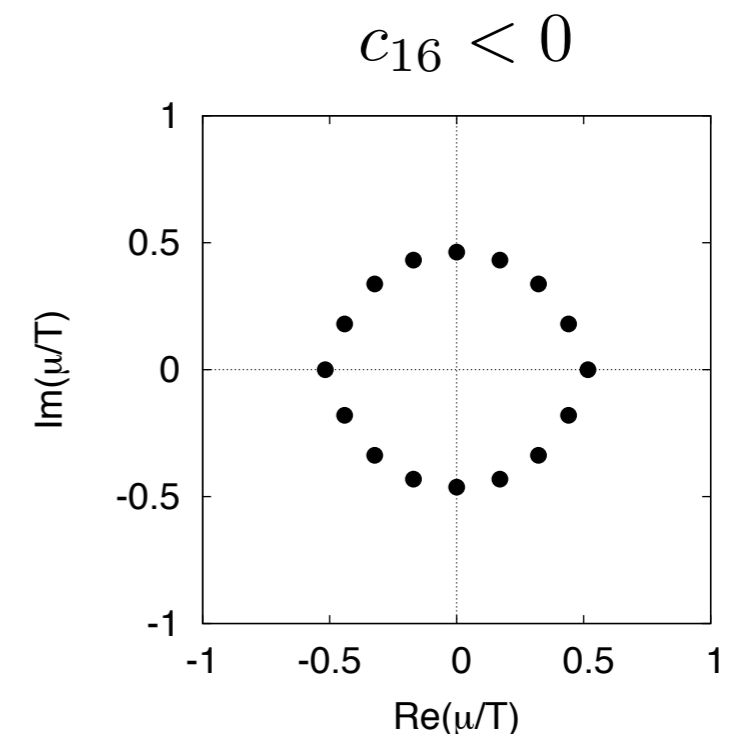
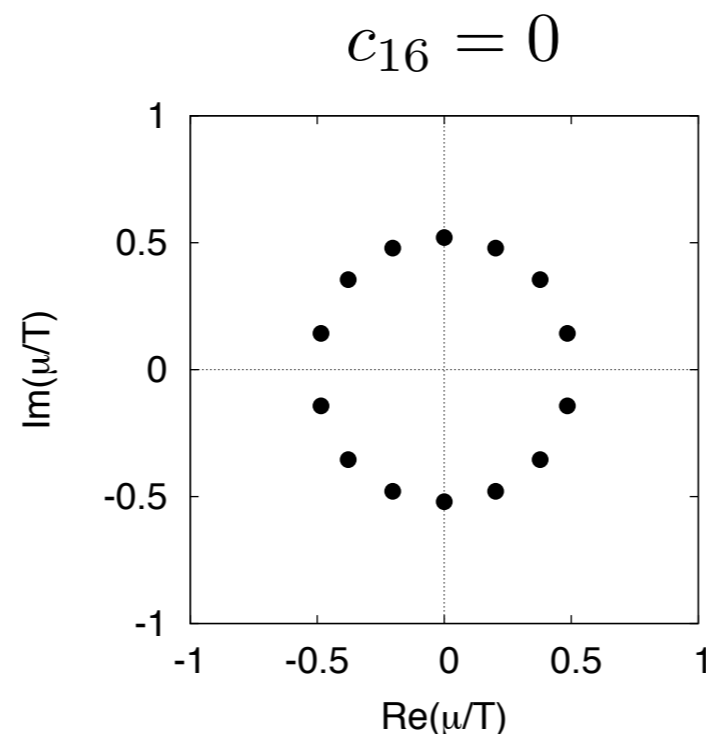
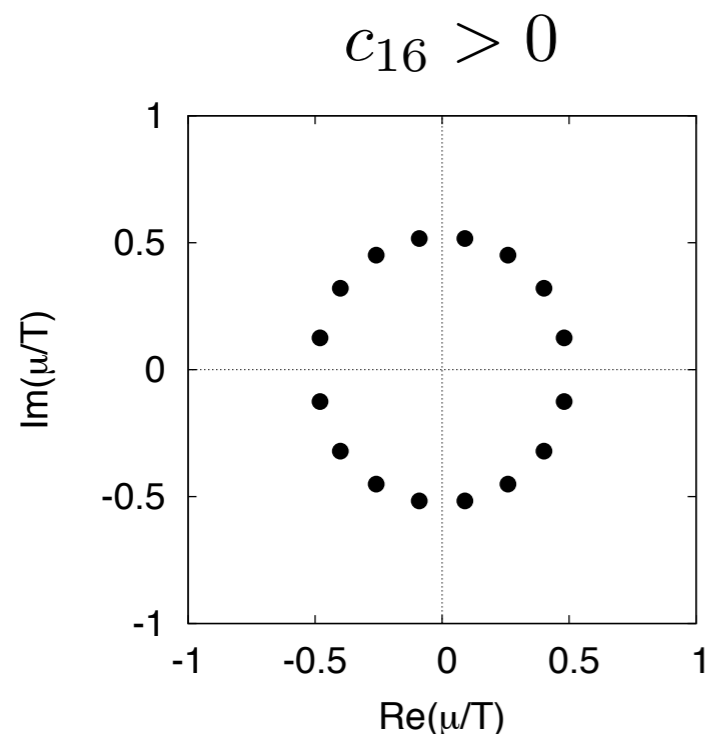
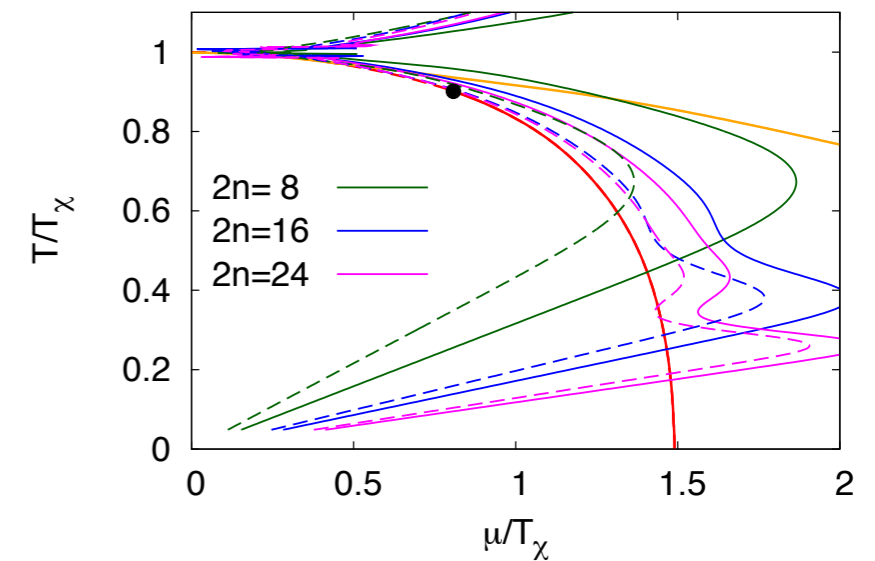
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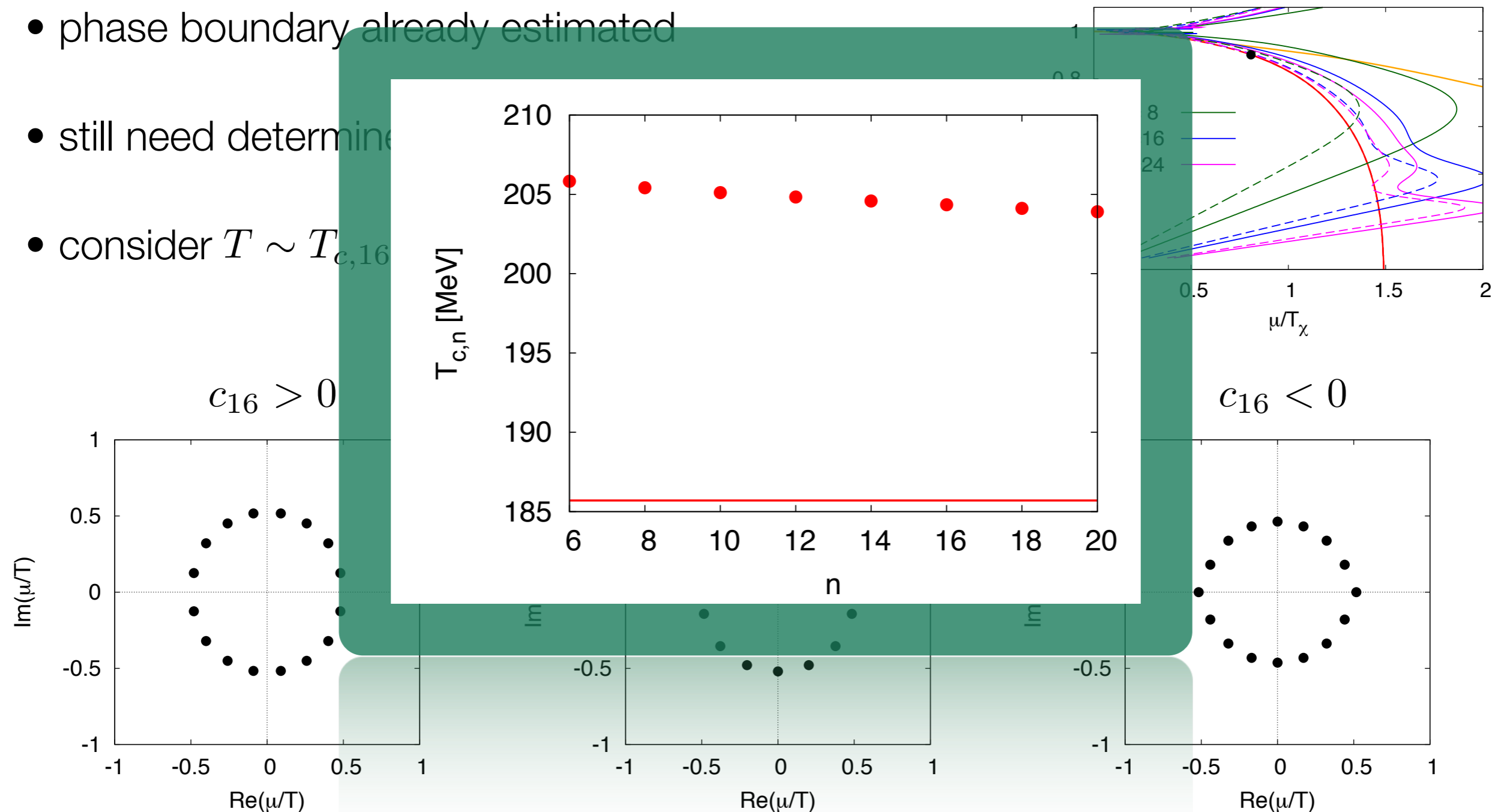
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# Summary

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- good estimate of phase boundary
  - from Taylor expansion
  - better estimate with susceptibility
  - higher coefficients are necessary (obtained with AD)
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- Taylor expansion can predict phase boundary with an acceptable uncertainty



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# Outlook

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  - exploit the Padé approximation
  - combine with results at imaginary chemical potential
  - conformal mapping



# Outlook

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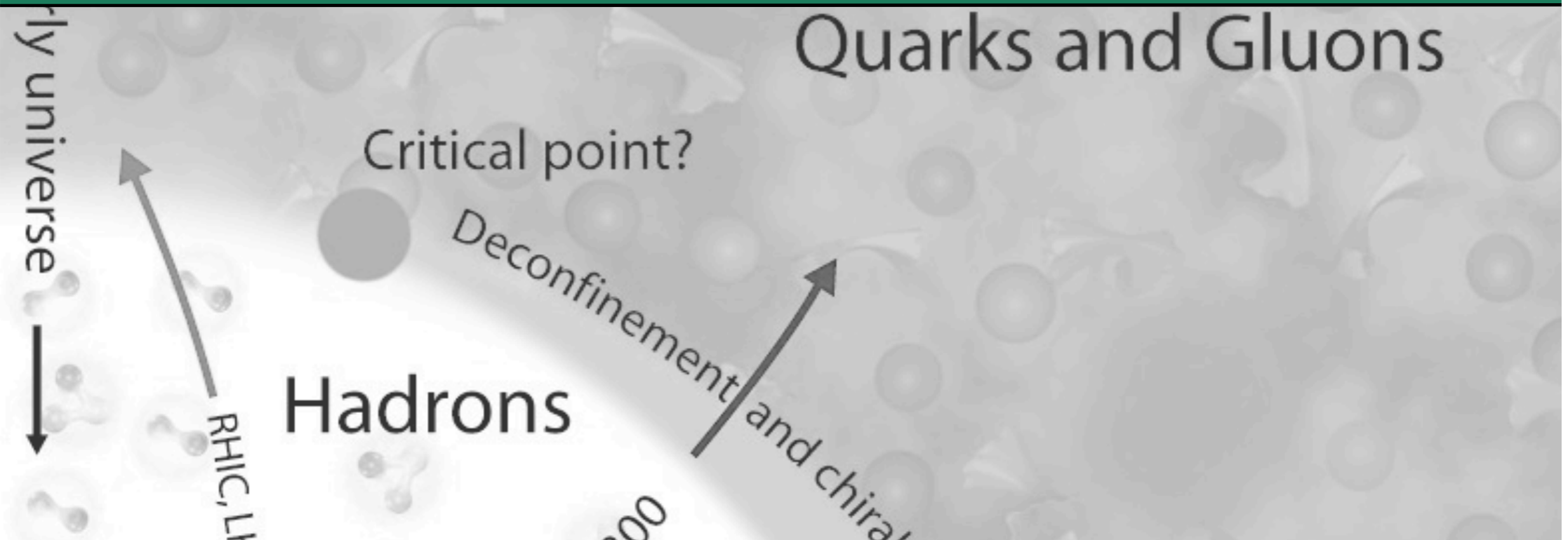
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- lattice simulations:
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  - control of errors to make Padé reliable
- functional studies:
  - $\mu$ -corrections in Polyakov loop potential (Schaefer et al., Phys.Rev. D76, 074023)
  - include fluctuations (RG) ? (e.g. Herbst et al., arXiv:1008.0081; Skokov et al., arXiv:1008.4570)

# Towards finite density QCD with Taylor expansions



## References:

- F. Karsch, B.-J. Schaefer, MW, J. Wambach, arXiv:1009:5211;
- MW, A. Walther, B.-J. Schaefer, Comp. Phys. Commun., 181 (2010), pp. 756-764;
- B.-J. Schaefer, MW, J. Wambach, Phys. Rev. D 81, 074013 (2010);
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