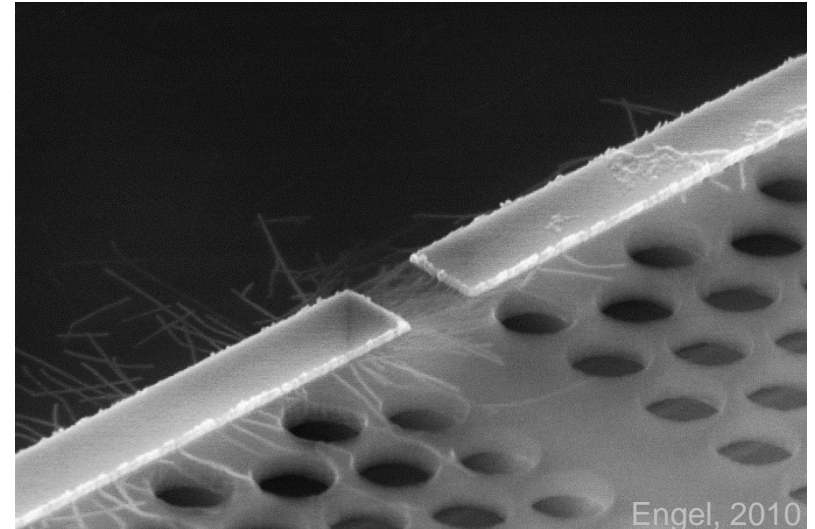
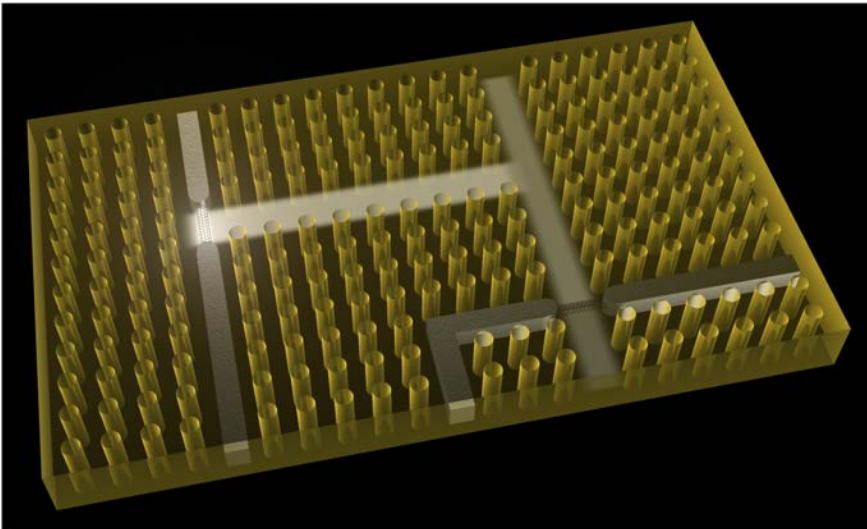


# electroluminescence from carbon nanotubes - towards optoelectronics with on-chip light sources

Ralph Krupke

Institut für Nanotechnologie, Karlsruher Institut für Technologie (KIT), Germany  
Institut für Materialwissenschaften, Technische Universität Darmstadt (TUD), Germany

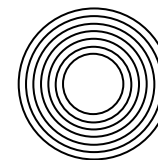
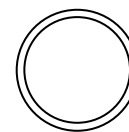
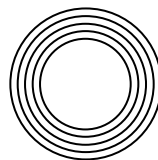
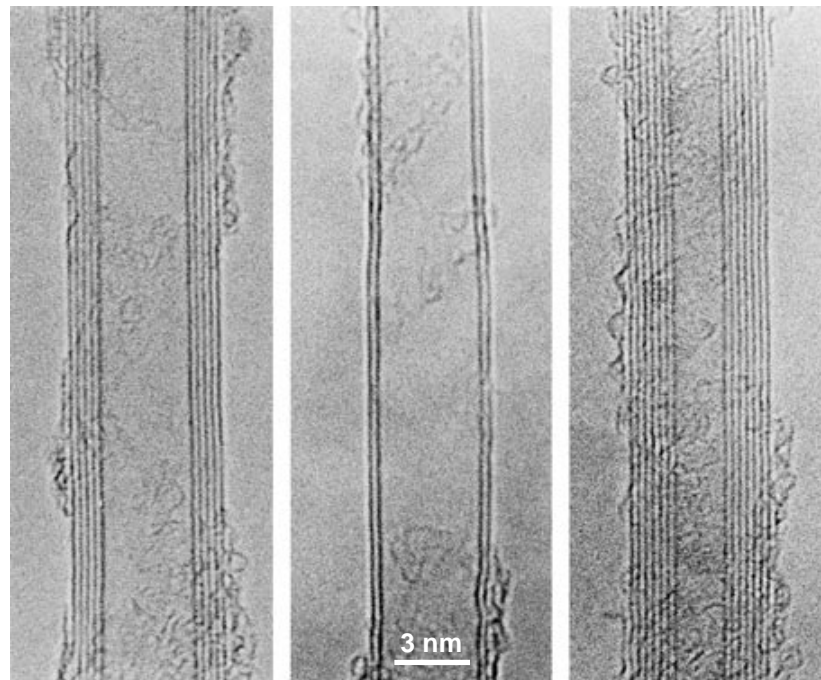


# Helical microtubules of graphitic carbon

Sumio Iijima

NEC Corporation, Fundamental Research Laboratories,  
34 Miyukigaoka, Tsukuba, Ibaraki 305, Japan

THE synthesis of molecular carbon structures in the form of  $C_{60}$  and other fullerenes<sup>1</sup> has stimulated intense interest in the structures accessible to graphitic carbon sheets. Here I report the preparation of a new type of finite carbon structure consisting of needle-like tubes. Produced using an arc-discharge evaporation method similar to that used for fullerene synthesis, the needles grow at the negative end of the electrode used for the arc discharge. Electron microscopy reveals that each needle comprises coaxial tubes of graphitic sheets, ranging in number from 2 up to about 50. On each tube the carbon-atom hexagons are arranged in a helical fashion about the needle axis. The helical pitch varies from needle to needle and from tube to tube within a single needle. It appears that this helical structure may aid the growth process. The formation of these needles, ranging from a few to a few tens of nanometres in diameter, suggests that engineering of carbon structures should be possible on scales considerably greater than those relevant to the fullerenes.

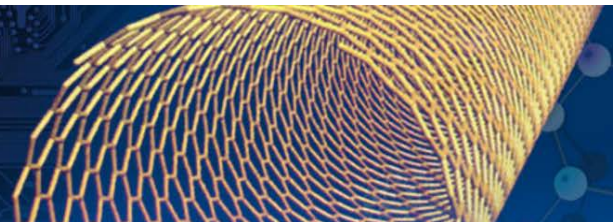


NATURE · VOL 354 · 7 NOVEMBER 1991

## 2016 - CNT25

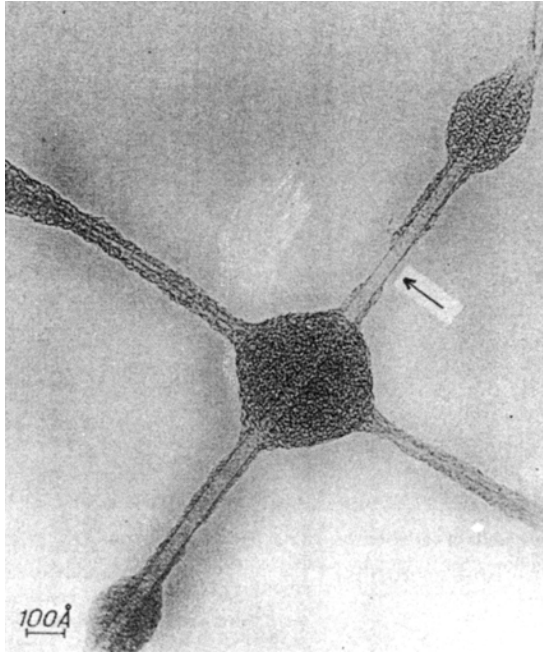
INTERNATIONAL SYMPOSIUM ON CARBON NANOTUBE  
in Commemoration of its Quarter-Century Anniversary

November 15 - 18, 2016, Tokyo, Japan



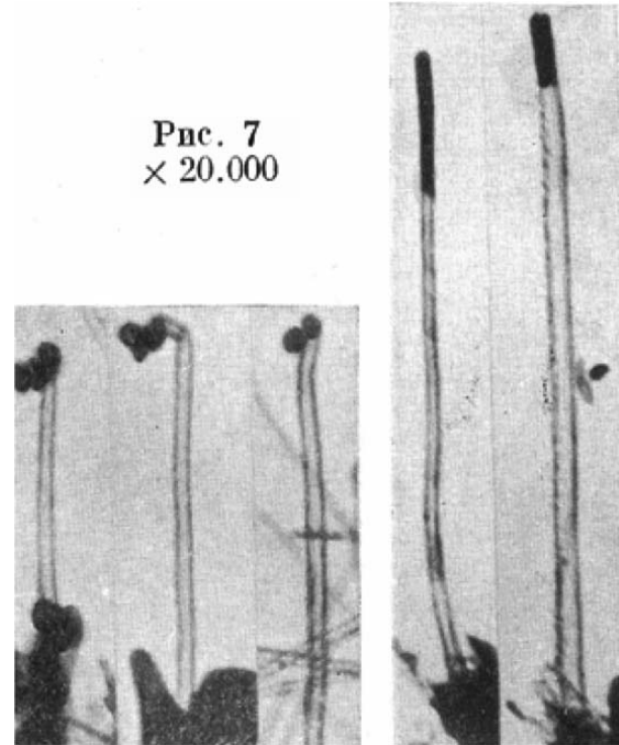
# Historical perspective

5nm wide tubular structure (DWNT)



Oberlin, Endo, Koyama, Carbon 1976

50nm wide tubular structure (MWNT)



Radushkevich, Lukyanovich, Zurn Fistic Chim 1952

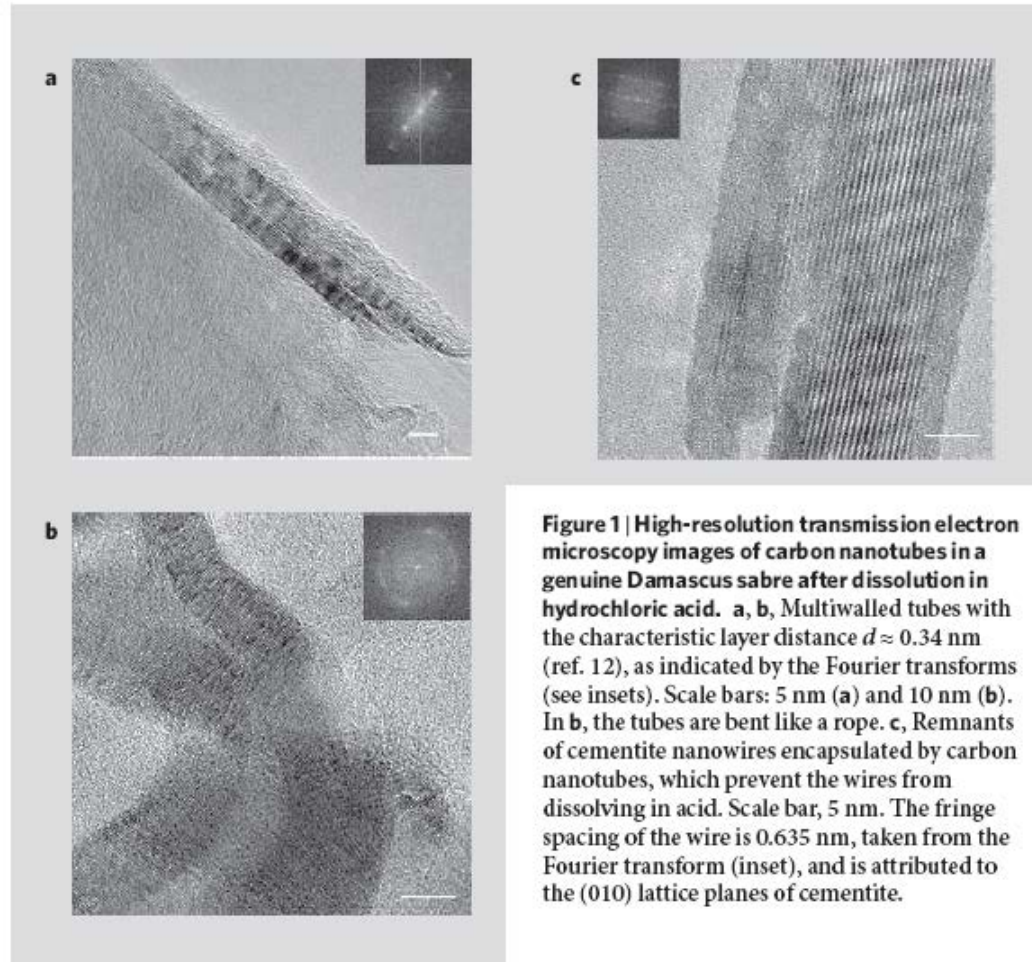
Monthioux, Kuznetsov, Carbon 44, 1621 (2006):

Who should be given the credit for the discovery of carbon nanotubes?

## Carbon nanotubes in an ancient Damascus sabre

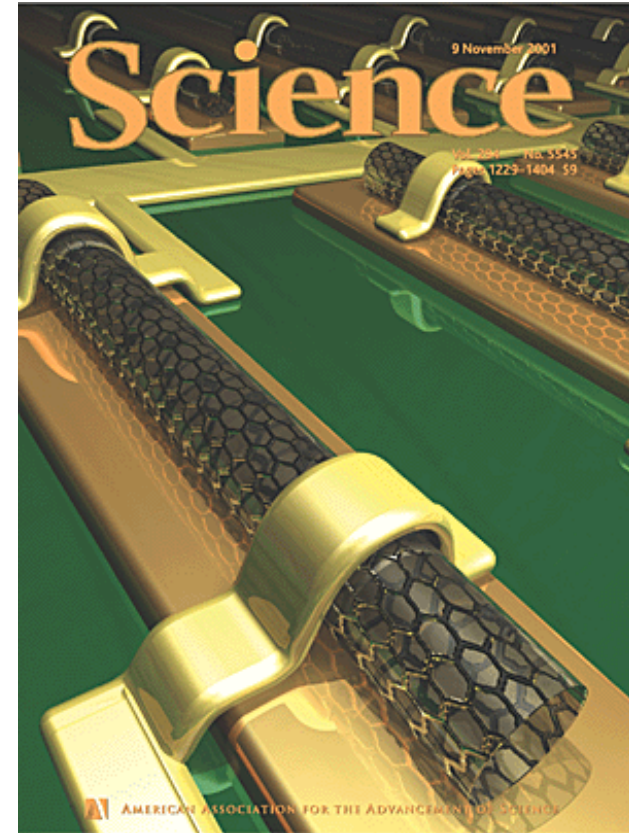
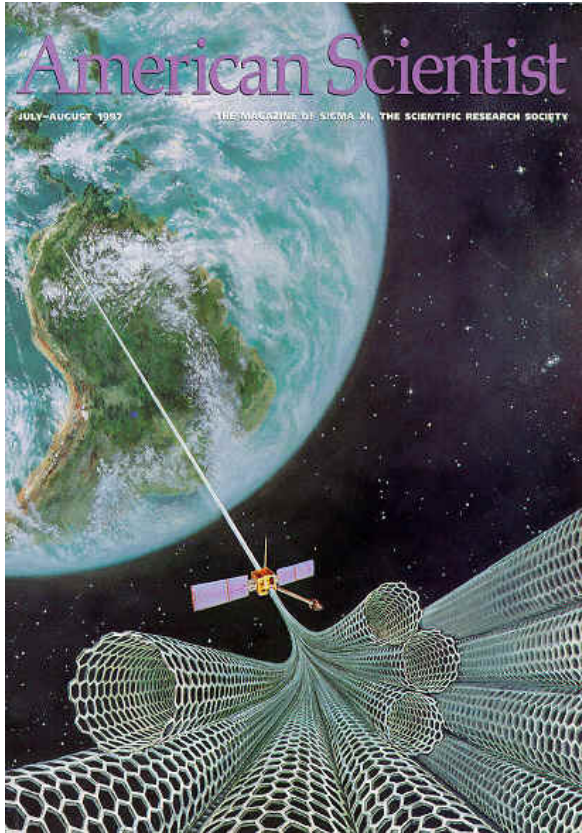
The steel of Damascus blades, which were first encountered by the Crusaders when fighting against Muslims, had features not found in European steels — a characteristic wavy banding pattern known as damask, extraordinary mechanical properties, and an exceptionally sharp cutting edge. Here we use high-resolution transmission electron microscopy to examine a sample of Damascus sabre steel from the seventeenth century and find that it contains carbon nanotubes as well as cementite nanowires. This microstructure may offer insight into the beautiful banding pattern of the ultrahigh-carbon steel created from an ancient recipe that was lost long ago.

It is believed that Damascus blades were forged directly from small cakes of steel (named 'wootz') produced in ancient India. A sophisticated thermomechanical treatment of forging and annealing was applied to these cakes to refine the steel to its exceptional quality. However, European bladesmiths were unable to replicate the process, and its secret was lost at about the end of the eighteenth century. It was unclear how medieval blacksmiths would have overcome the inherent brittleness of the plates of cementite ( $\text{Fe}_3\text{C}$ , a mineral known as cohenite) that form in steel with a carbon content of 1–2 wt%, as well as how the steel's characteristic banding could have arisen from these plates.



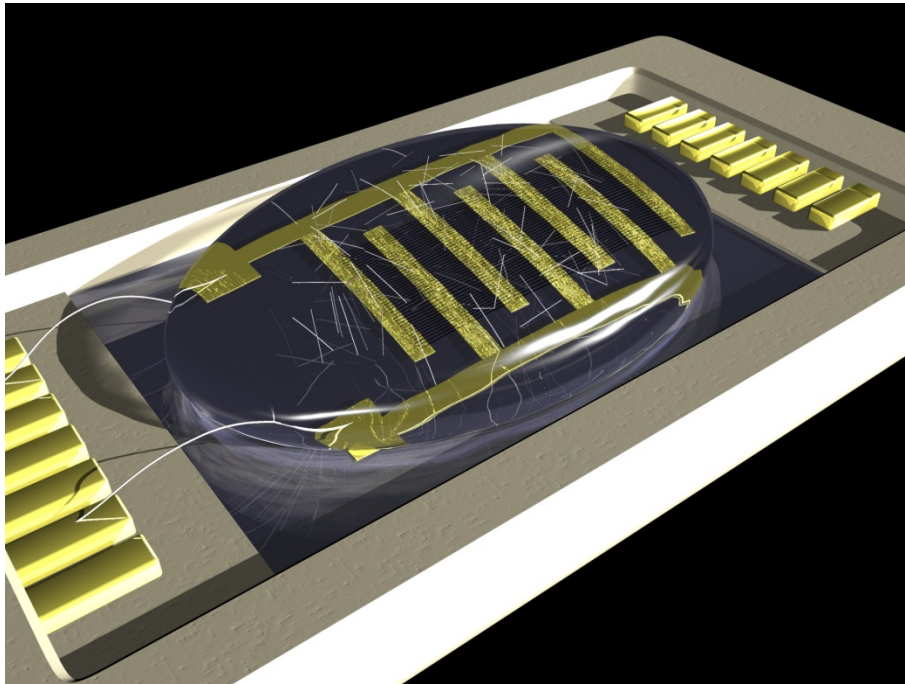
Reibold et al., Nature 444 (2006) 286

# Early Visions

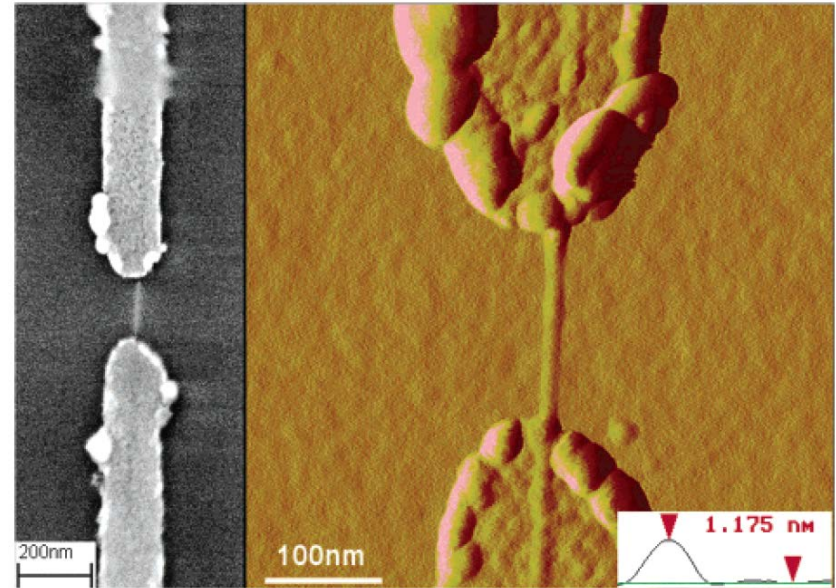


# Early challenges

- Sorting of carbon nanotubes
- Integration of CNTs into device architectures



RK, F. Hennrich, H. von Löhneysen, M. M. Kappes  
Science 301 (2003) 344-347



RK, F. Hennrich, H. B. Weber, M. M. Kappes, H.  
von Löhneysen, Nano Lett. 3 (2003) 1019-1023

# Carbon Nanotube Hype Cycle

COVER STORY



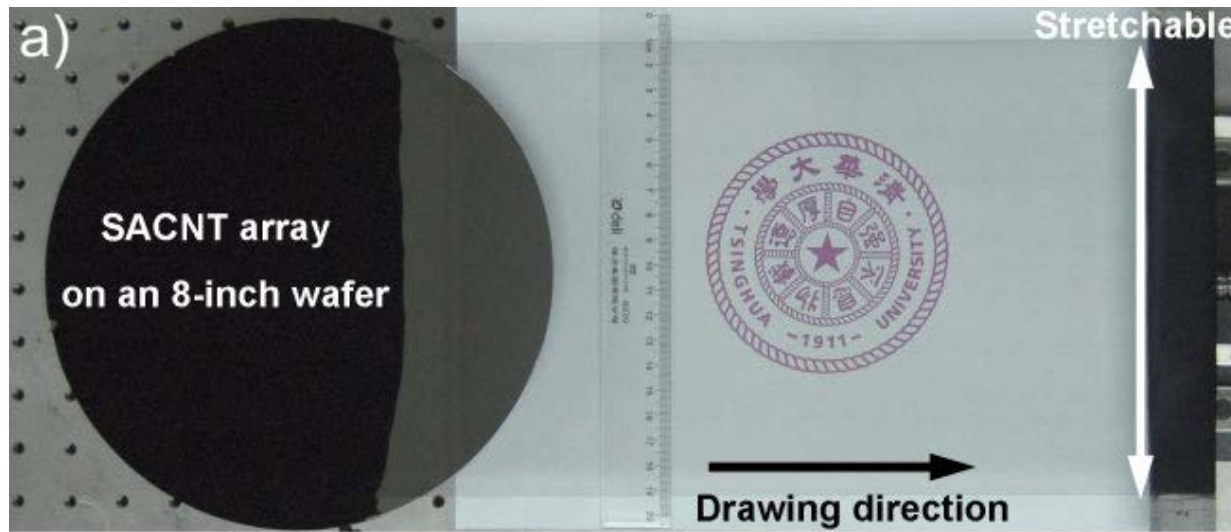
CEN. ACS. ORG 13 JUNE 8, 2015

## Foxconn able to produce carbon nanotube touch panel sizes up to 10-inch

*Ninelu Tu, Taipei; Joseph Tsai, DIGITIMES [Wednesday 22 May 2013]*

Foxconn Electronics (Hon Hai Precision Industry) has successfully acquired the technology for carbon nanotube (CNT) touch panel production through cooperation between its subsidiary in Tianjin, China and an industrial R&D team of Tsinghua University in China. Mass producible panel sizes have also been expanded from only around 3.2-inch originally to a range between 1.52- to 10-inch, according to some market watchers.

Through the cooperation, the R&D team currently has about 107 patents for the technology, and Foxconn is currently able to manufacture 1.5 million CNT touch panel per month, the market watchers said.



Feng et al., Adv. Funct. Mat. 20 (2010) 885



# Market entry

## PRESS RELEASE

31 August, 2016

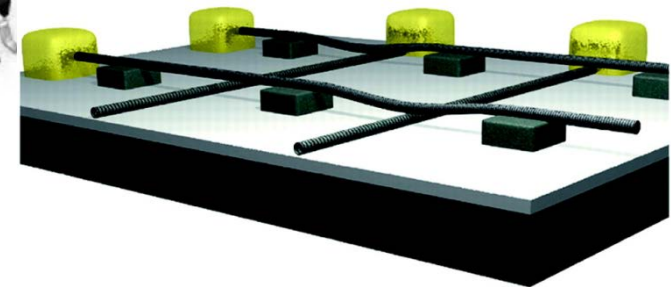
Fujitsu Semiconductor Limited  
Mie Fujitsu Semiconductor Limited  
Nantero, Inc.

### Fujitsu Semiconductor and Mie Fujitsu Semiconductor License Nantero's NRAM And Have Begun Developing Breakthrough Memory Products for Multiple Markets

*Agreement covers joint development and licensing of ultrafast, ultrahigh-density NRAM, non-volatile RAM using carbon nanotubes*

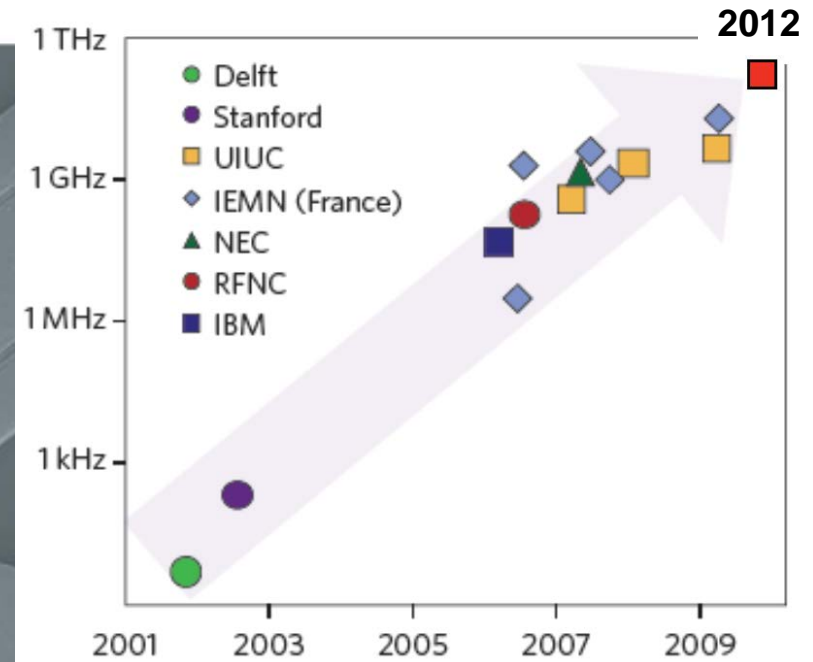
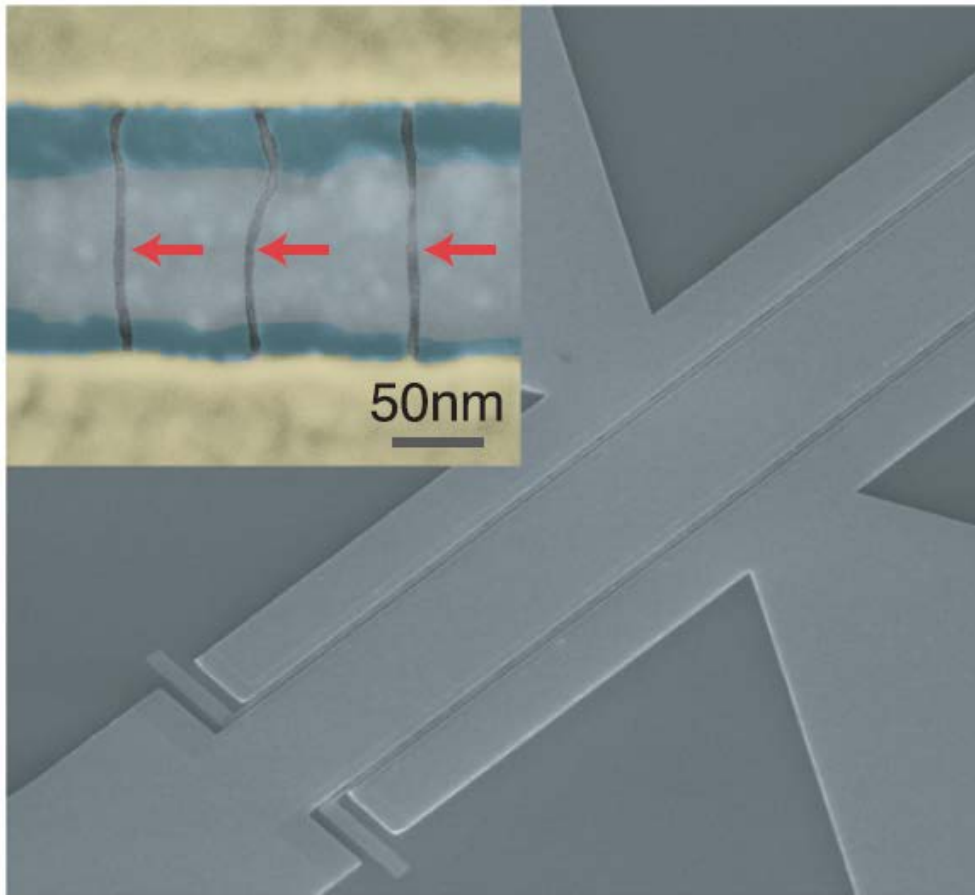
[日本語](#)

Yokohama, Japan and Woburn, Mass., USA, August 31, 2016 — Fujitsu Semiconductor Limited and Mie Fujitsu Semiconductor Limited today announced that they have reached an agreement with US-based Nantero, Inc. to license that company's technology for NRAM, non-volatile RAM using carbon nanotubes, and to conduct joint development towards releasing a product based on 55-nm process technology.



Rueckes et al., Science 7 (2000) 94

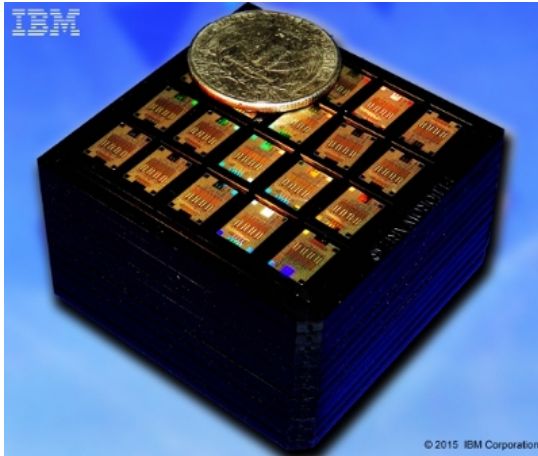
# Carbon-nanotube high-frequency transistor



M. Steiner, M. Engel, Y.-M. Lin, Y. Wu, K. Jenkins, D.B. Farmer, N. Yoder, T.J. Seo, A.A. Green, M.C. Hersam, R.K. P. Avouris, Appl. Phys. Lett 101 (2012) 053123.

US 8987705 B2, Carbon Nanotube Transistor Employing Embedded Electrodes, 24 Mar 2015.

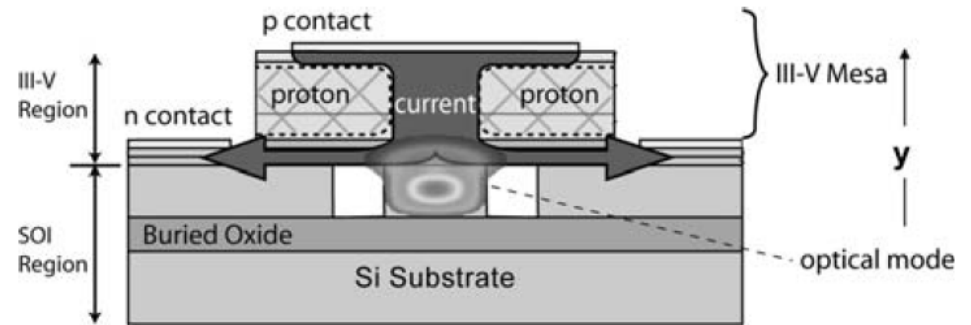
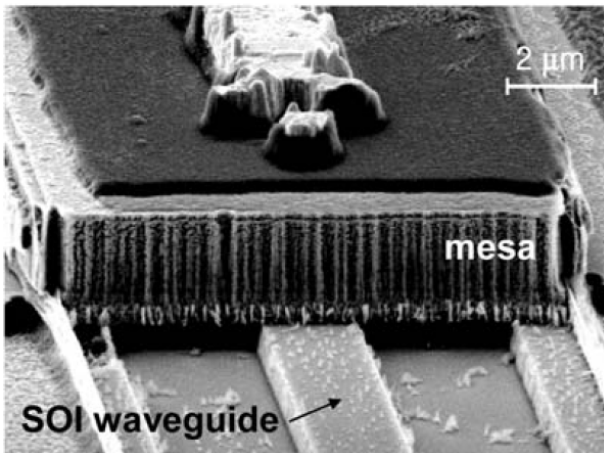
# Current motivation



IBM Research 2015, May 13

## Integrated wavelength multiplexed silicon photonics chip

"The lasers are brought in from off-chip in order to be modulated, but eventually we hope to incorporate III-V lasers right on the chip," Will Green.



Jones et al., J Mater Sci: Mater Electron (2009) 20:S3–S9

# Our research interest

- **CNT sorting techniques (chromatography)**
- **CNT device integration (dielectrophoresis)**
- **Graphene-based devices (heterostructures)**
- **Photocurrent generation and solar cells**
- **Electroluminescence and incandescence**

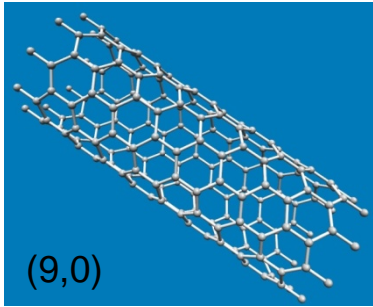


Senior scientists  
Dr. Flavel  
Dr. Danneau



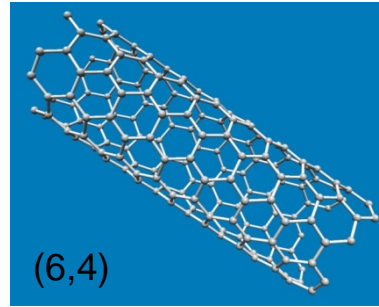
- Waveguide-integrated carbon nanotube light sources
- Tailoring emission properties
- Non-classical light emission
- High-speed transducers

# Structure of carbon nanotubes



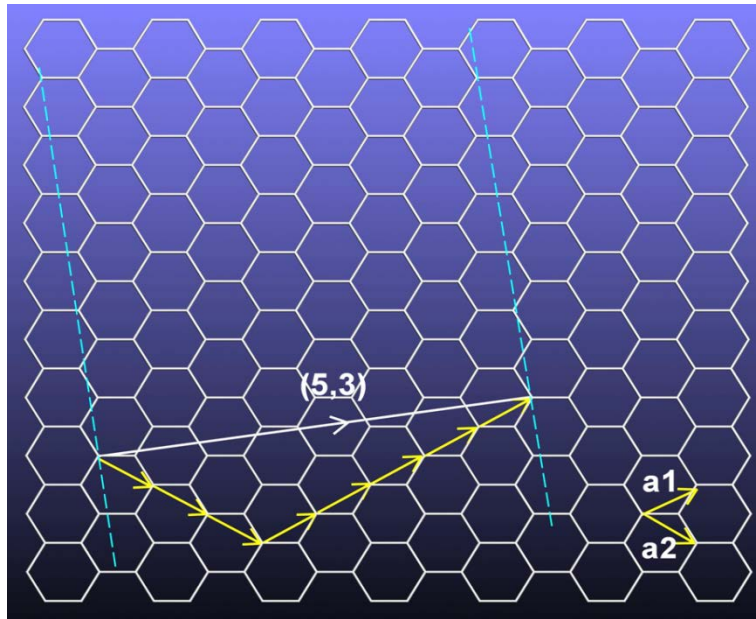
(9,0)

metallic

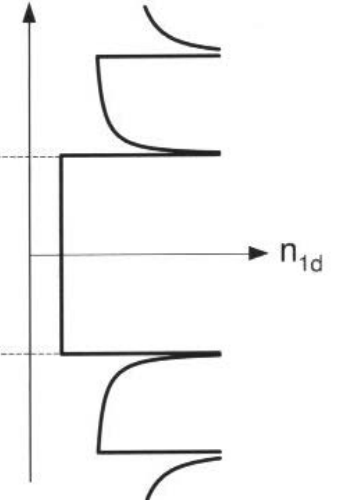
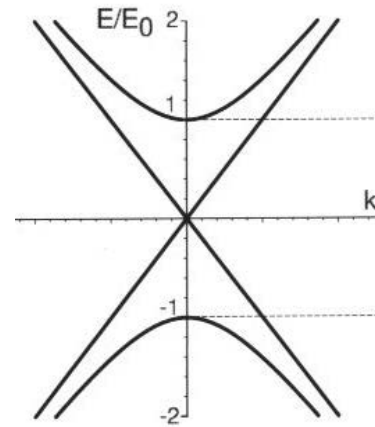


(6,4)

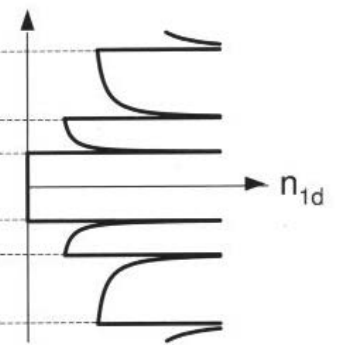
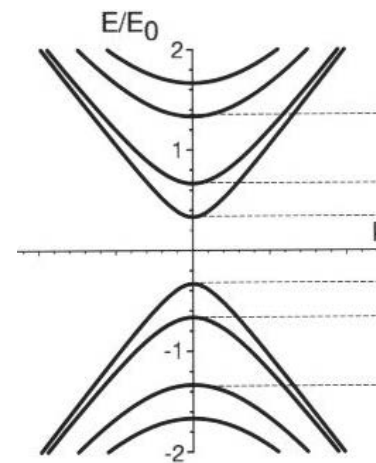
semiconducting



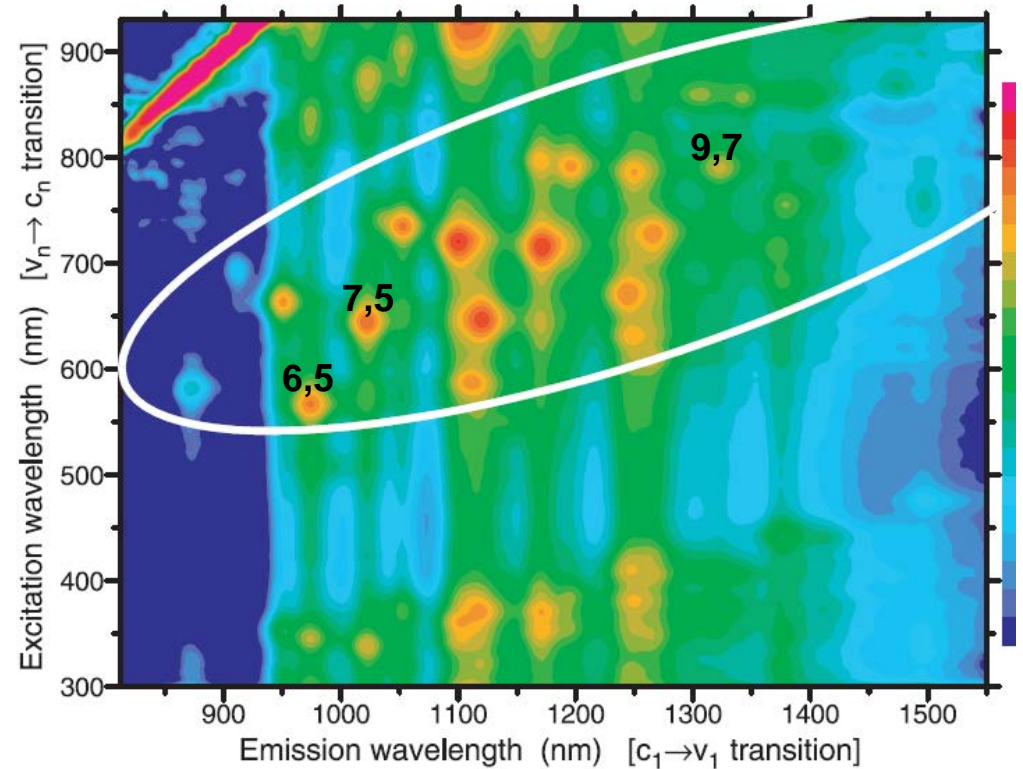
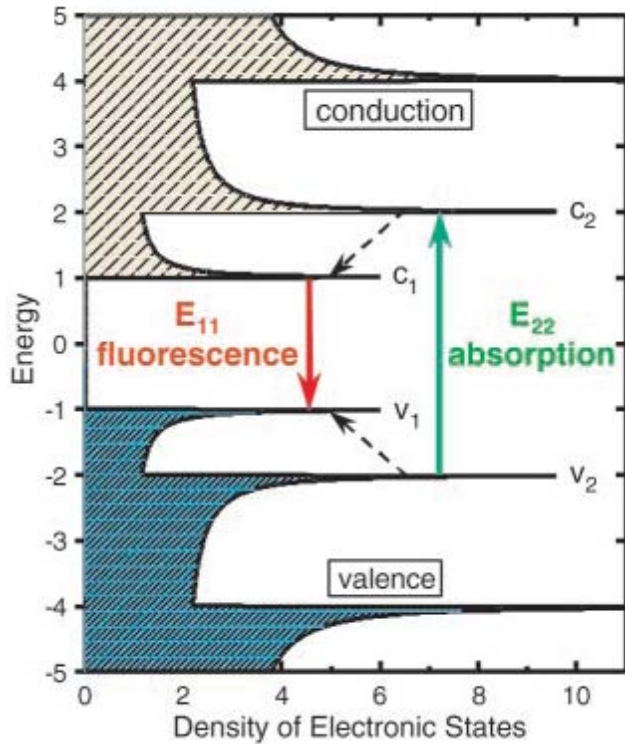
metallic



semiconducting

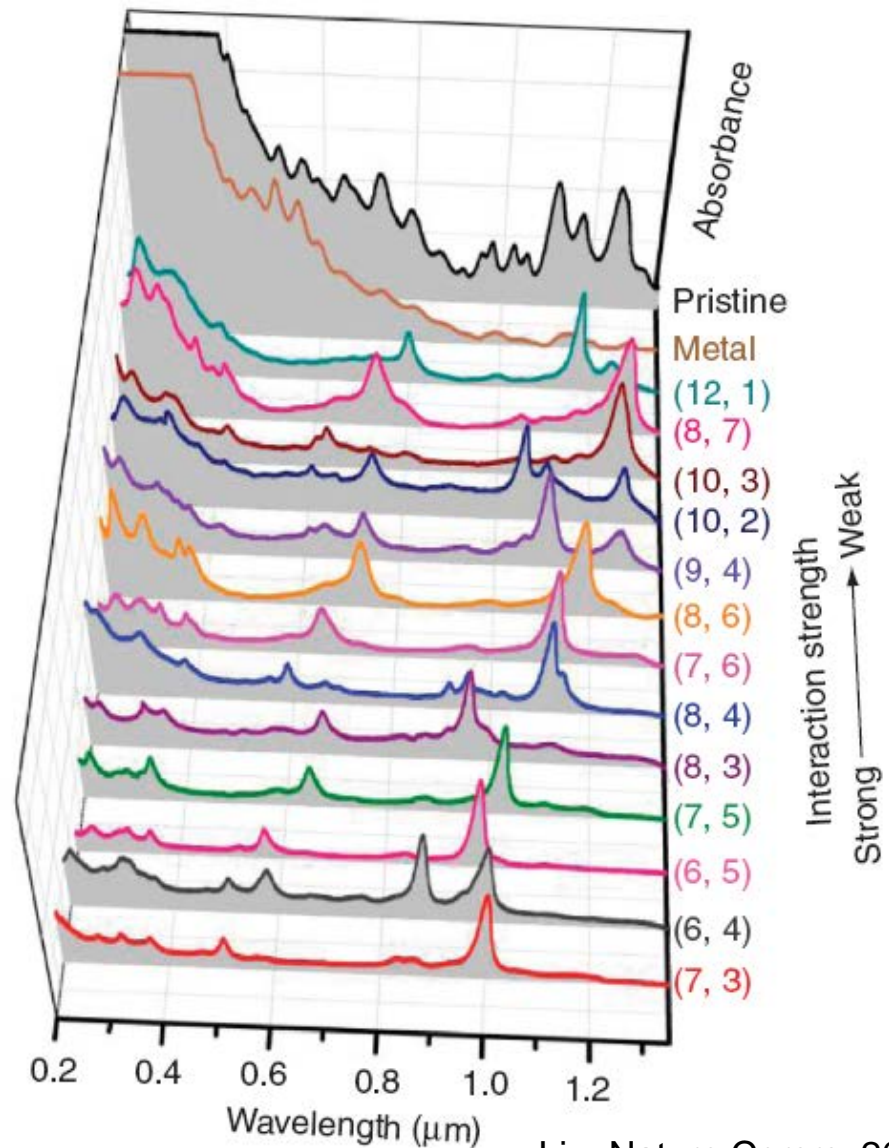


# Fluorescence of semiconducting carbon nanotubes



Bachilo et al., Science 298 (2002) 2361

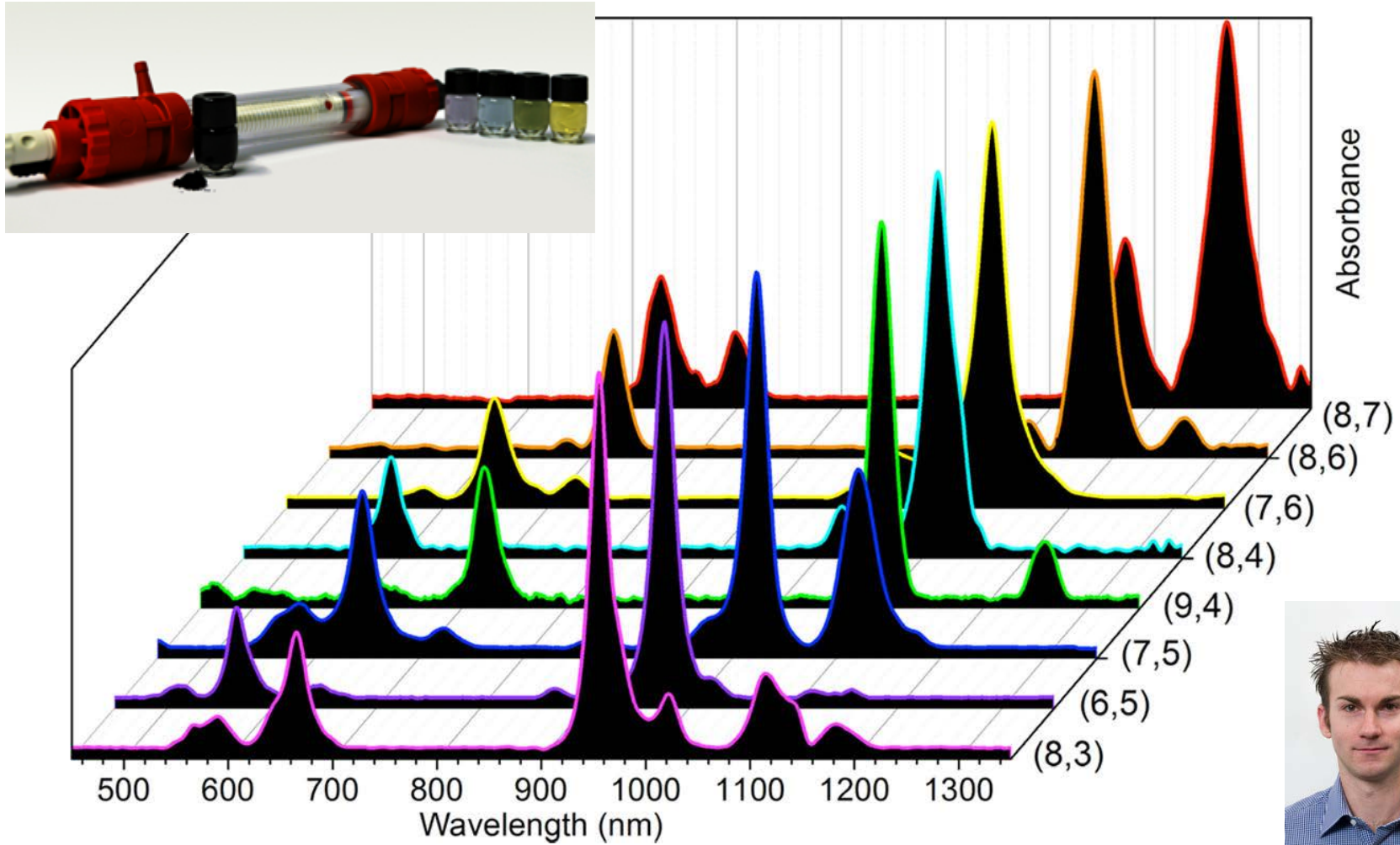
# Nanotubes have color !



Liu, Nature Comm. 2011

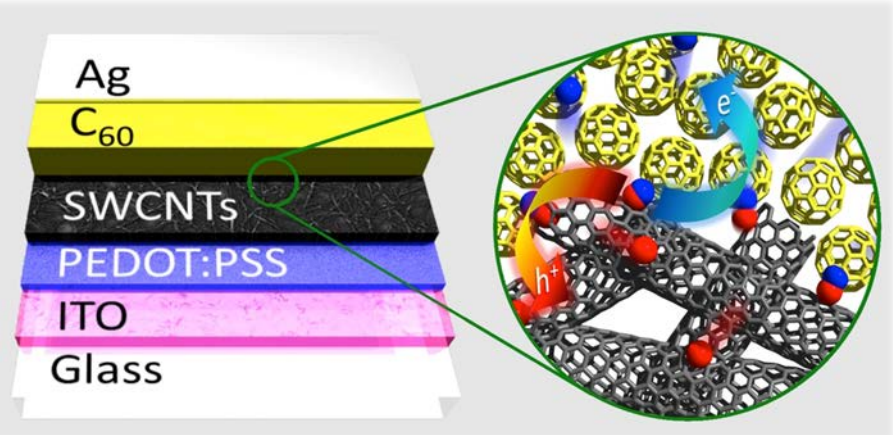
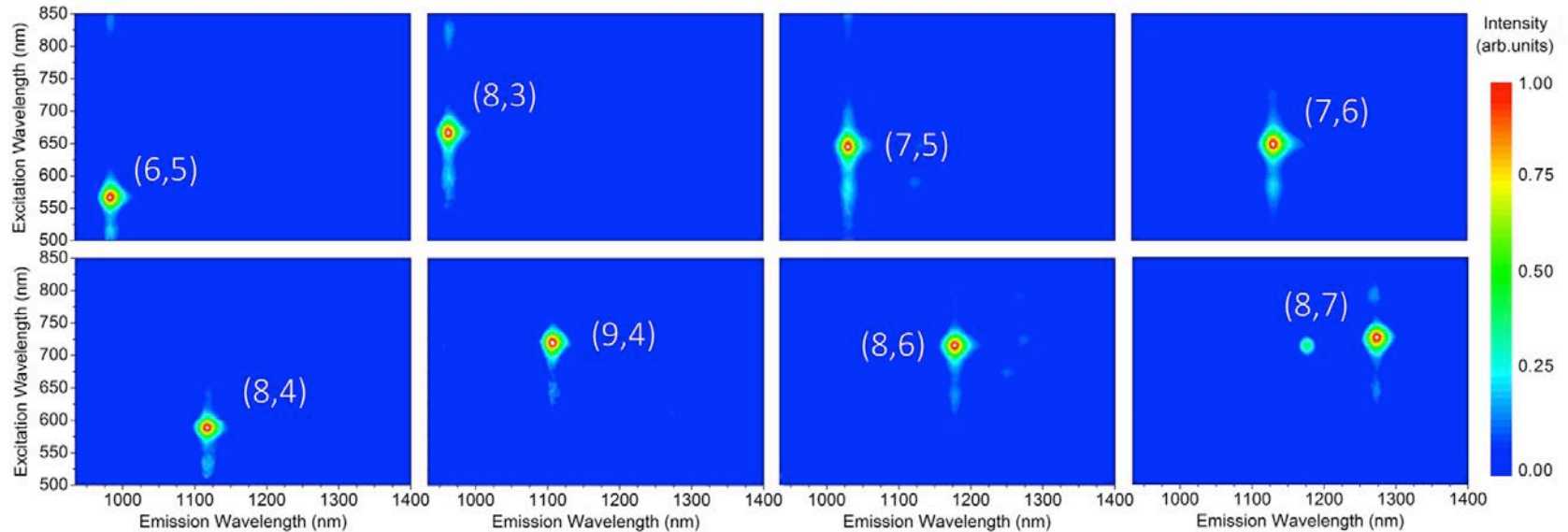


# Aqueous dispersions from gel-permeation chromatography



B.S. Flavel et al., ACS Nano 8, 1817 (2014), ACS Nano 7, 3557 (2013)

# Carbon nanotube solar cell project

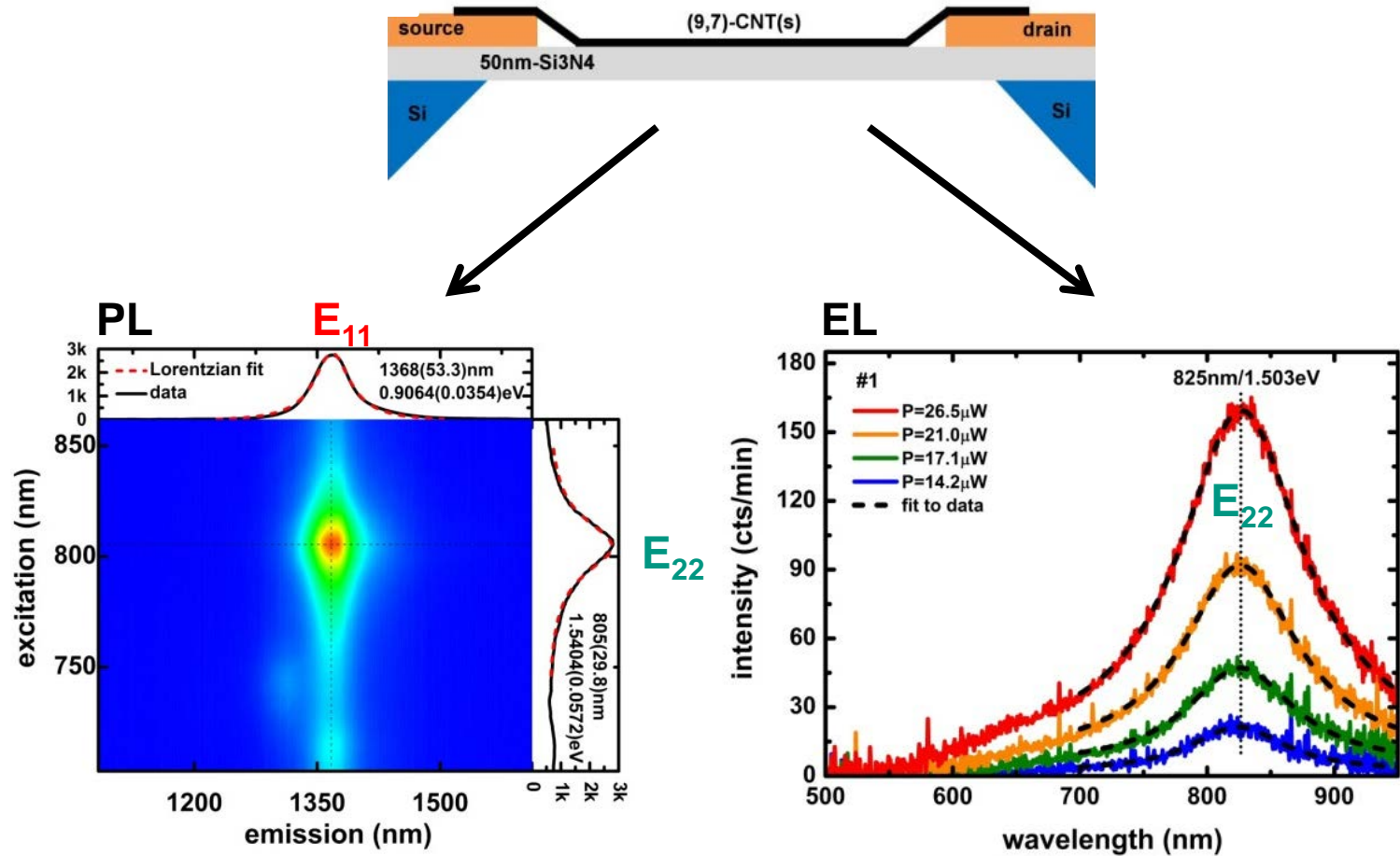


K.E. Moore, M. Pfohl, M.M. Kappes, R. Krupke, B.S. **Flavel** et al., ACS Nano 8 (2014) 6756.

K.E. Moore, M. Pfohl, D.D. Tune, M.M. Kappes, R. Krupke, B.S. **Flavel** et al., ACS Nano 4 (2015) 3849.

M. Pfohl, R. Krupke, B.S. **Flavel** et al., Adv. Energy Mater. 6 (2016) 1501345.

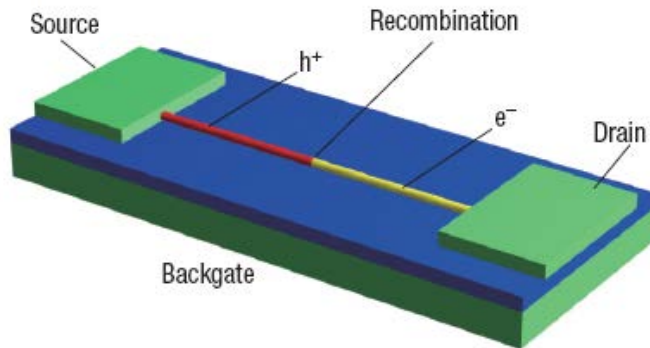
# Electroluminescence versus Photoluminescence



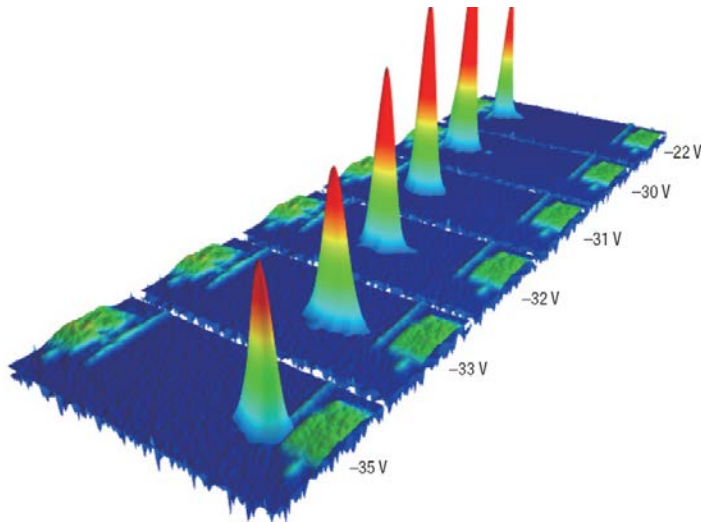
M.H.P. Pfeiffer, N. Stürzl, C.W. Marquardt, M. Engel, S. Dehm, F. Hennrich, M.M. Kappes, U. Lemmer, RK, Optics Express 19 (2011) A1184

# Electroluminescence mechanism

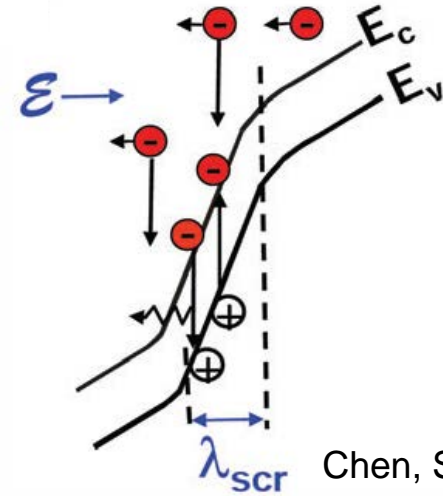
carrier recombination



Avouris, Freitag, Nat. Phot. 2008



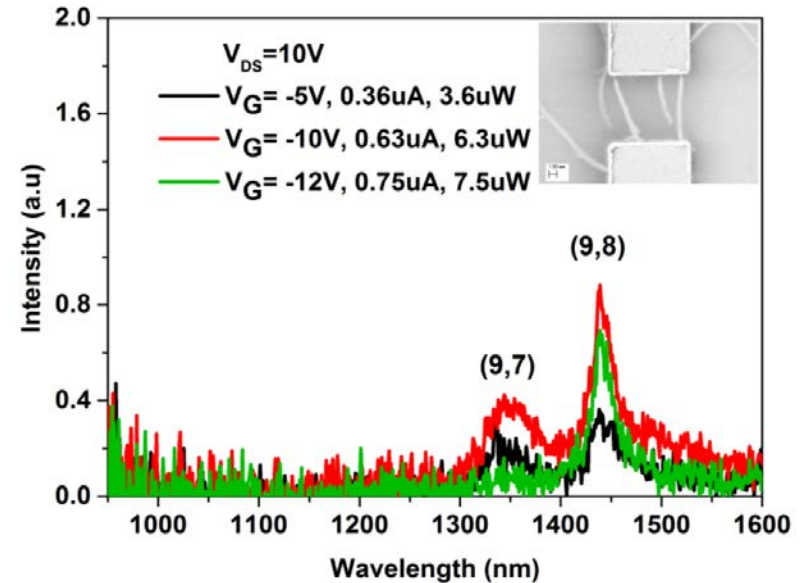
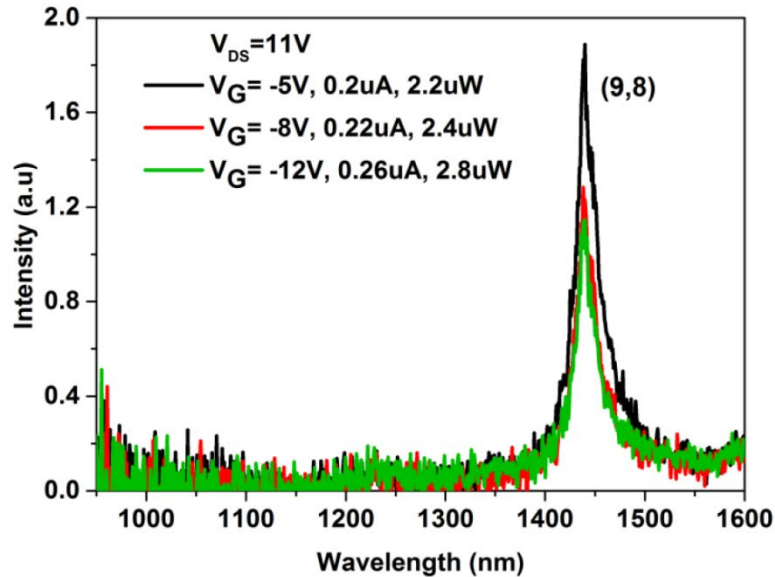
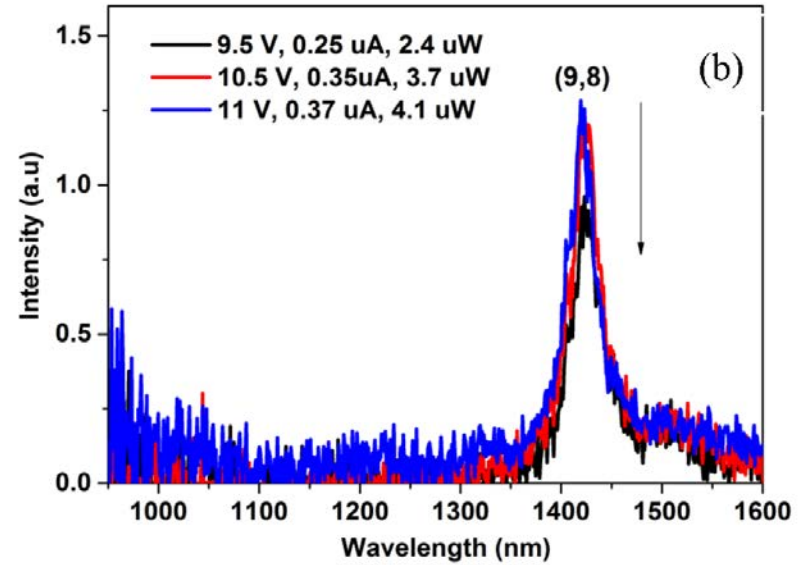
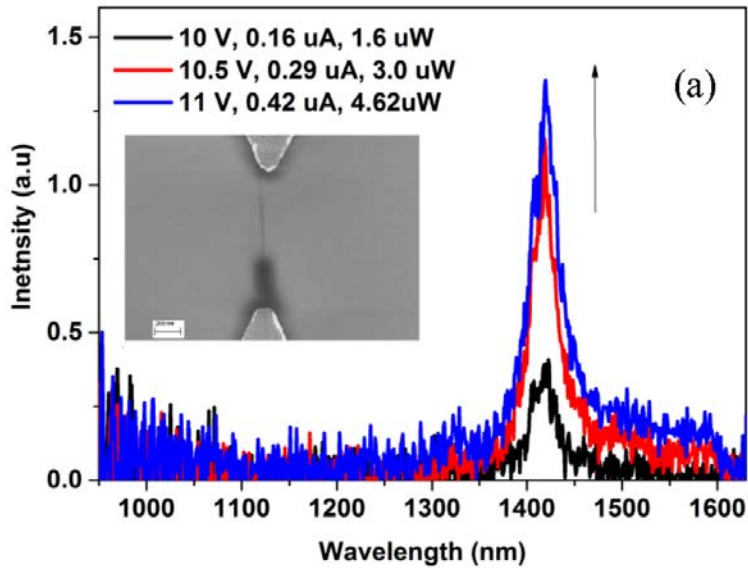
impact excitation



Chen, Science 2005

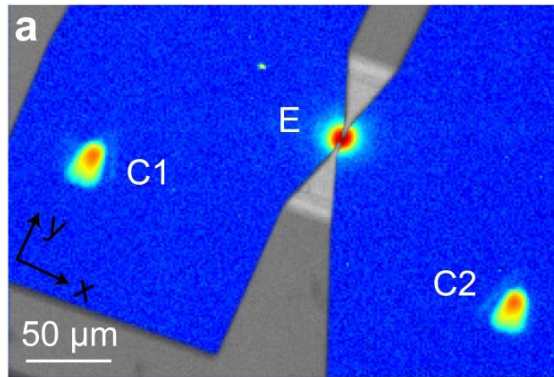
→ Electrically stimulated emission (electroluminescence) via impact excitation or carrier recombination.

# Electroluminescence from (9,8)



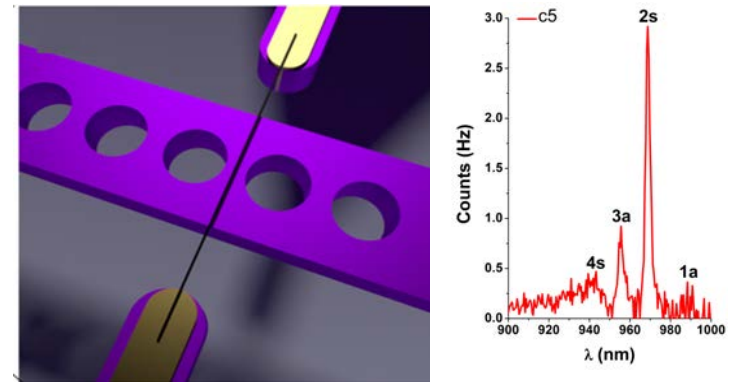
# Carbon Nanotube Quantum Emitters

## Waveguide-integrated CNT emitter



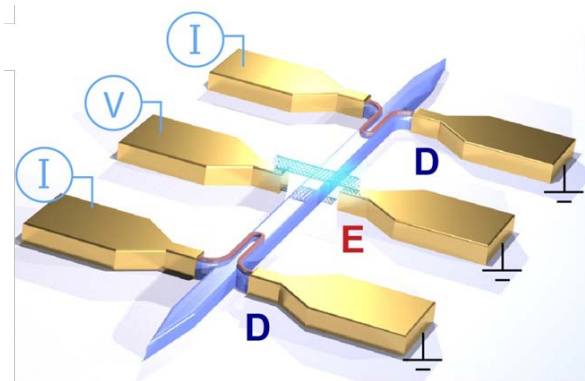
Pyatkov et al., Adv. Mat. 2014

## Photonic crystal cavity enhancement



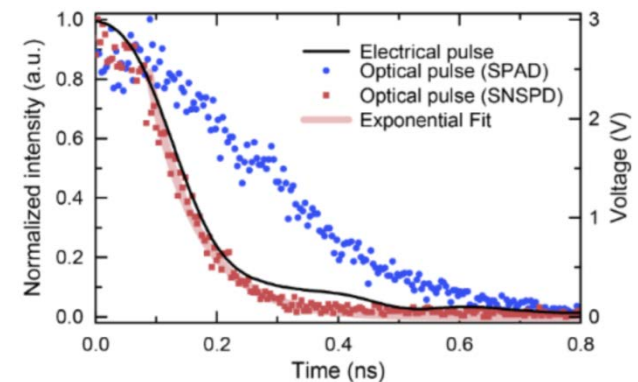
Pyatkov et al., Nature Photonics 2016

## On-chip quantum photonic circuits



Khasminskaya et al., Nature Photonics 2016

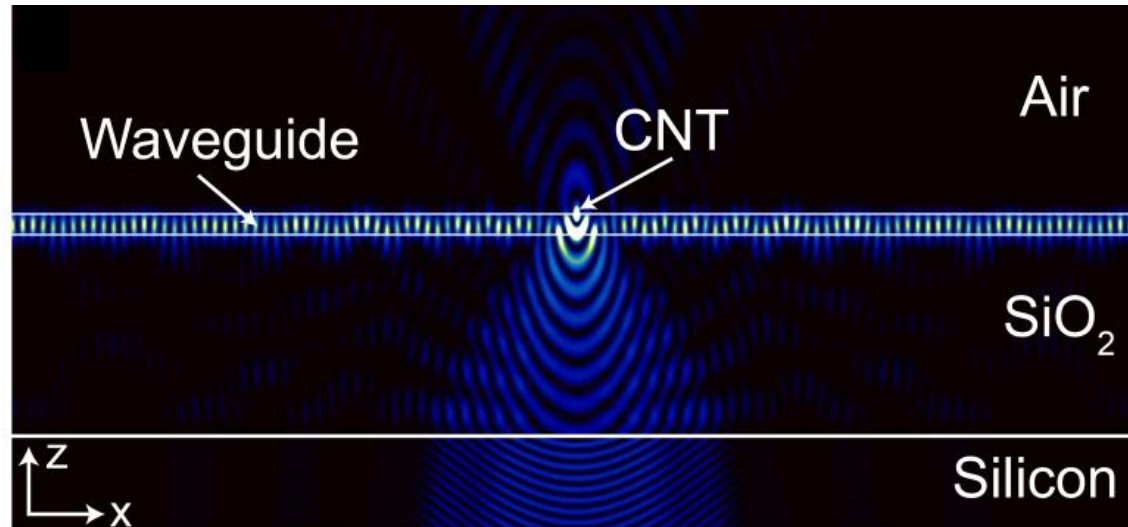
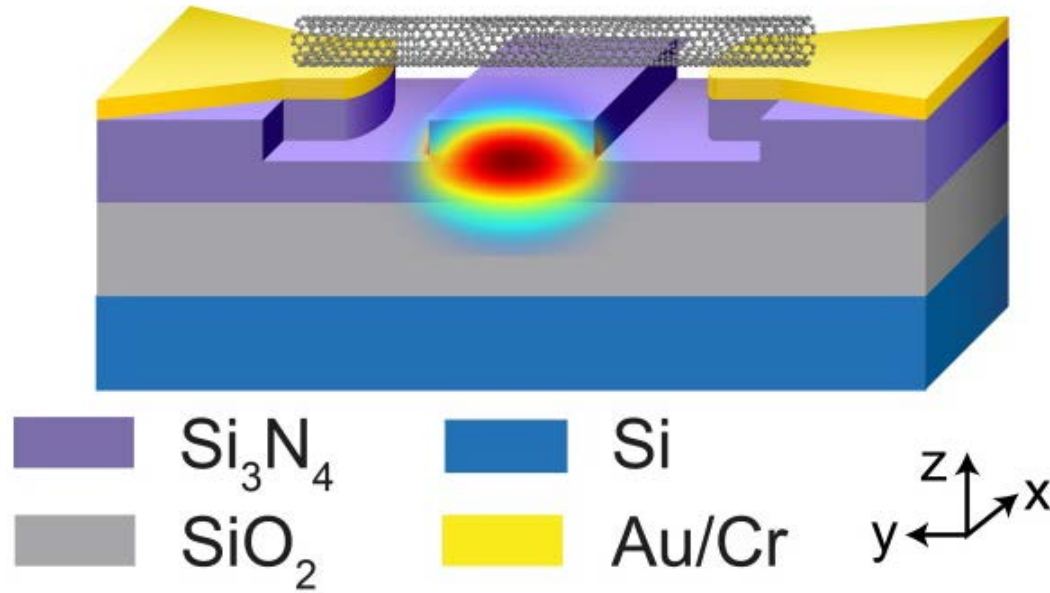
## Ultra-fast response (<80ps)



Pyatkov et al., Beilstein J. Nanotechnol. 2017

# Waveguide-integrated carbon nanotube light sources

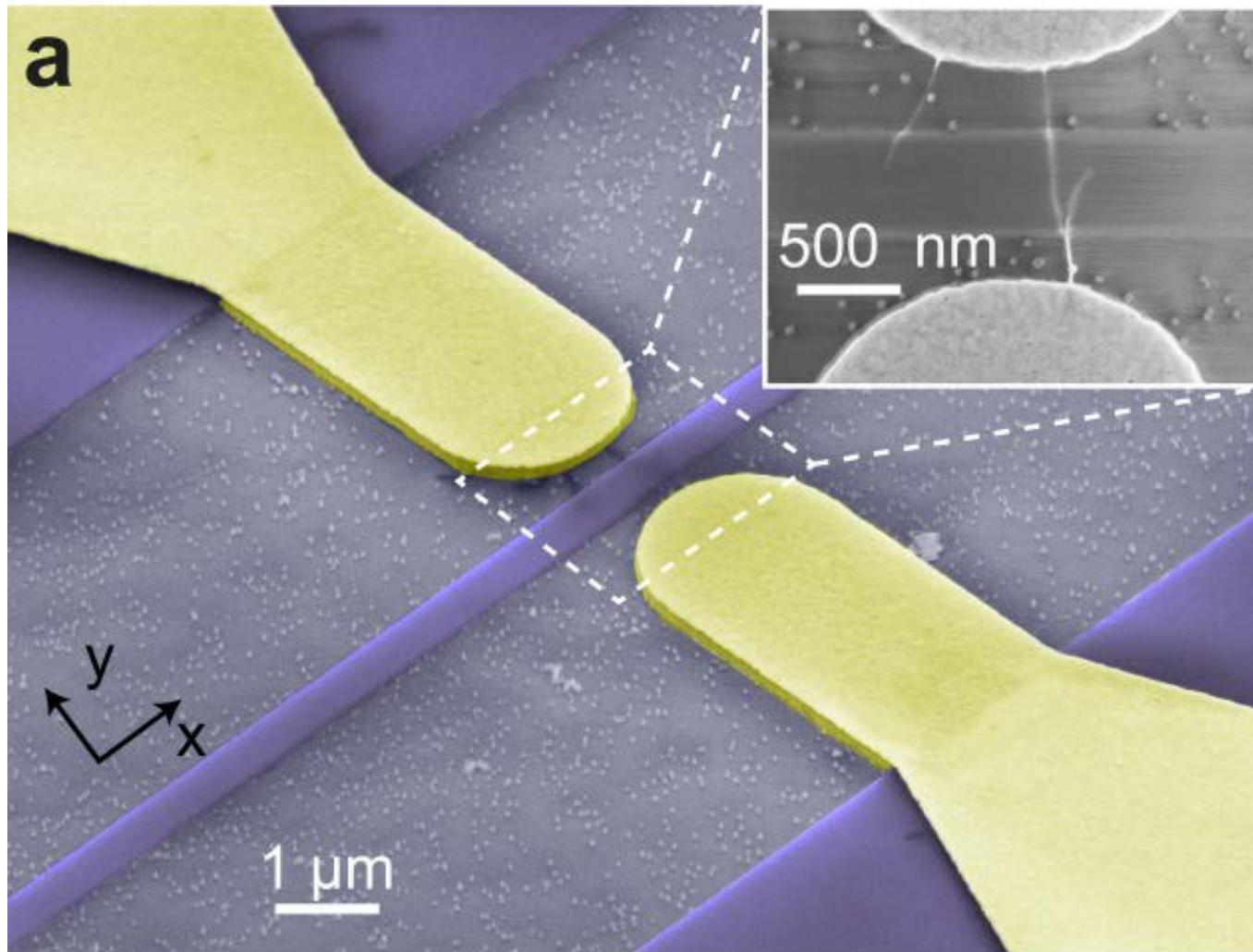
# Concept of a waveguide-coupled electroluminescent nanotube



Simulations:  
FDTD & MEEP

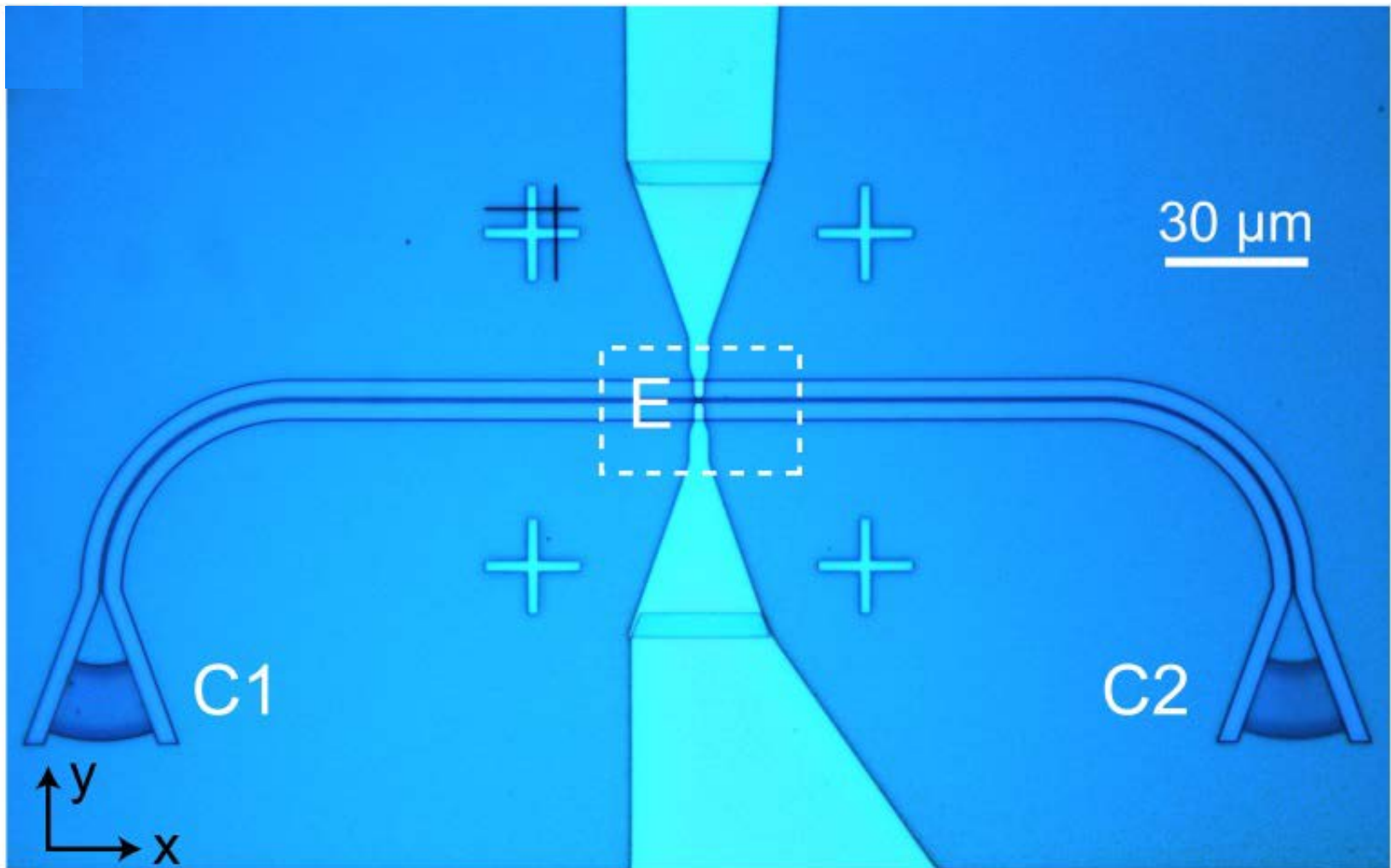


# Waveguide-coupled carbon nanotube light emitter

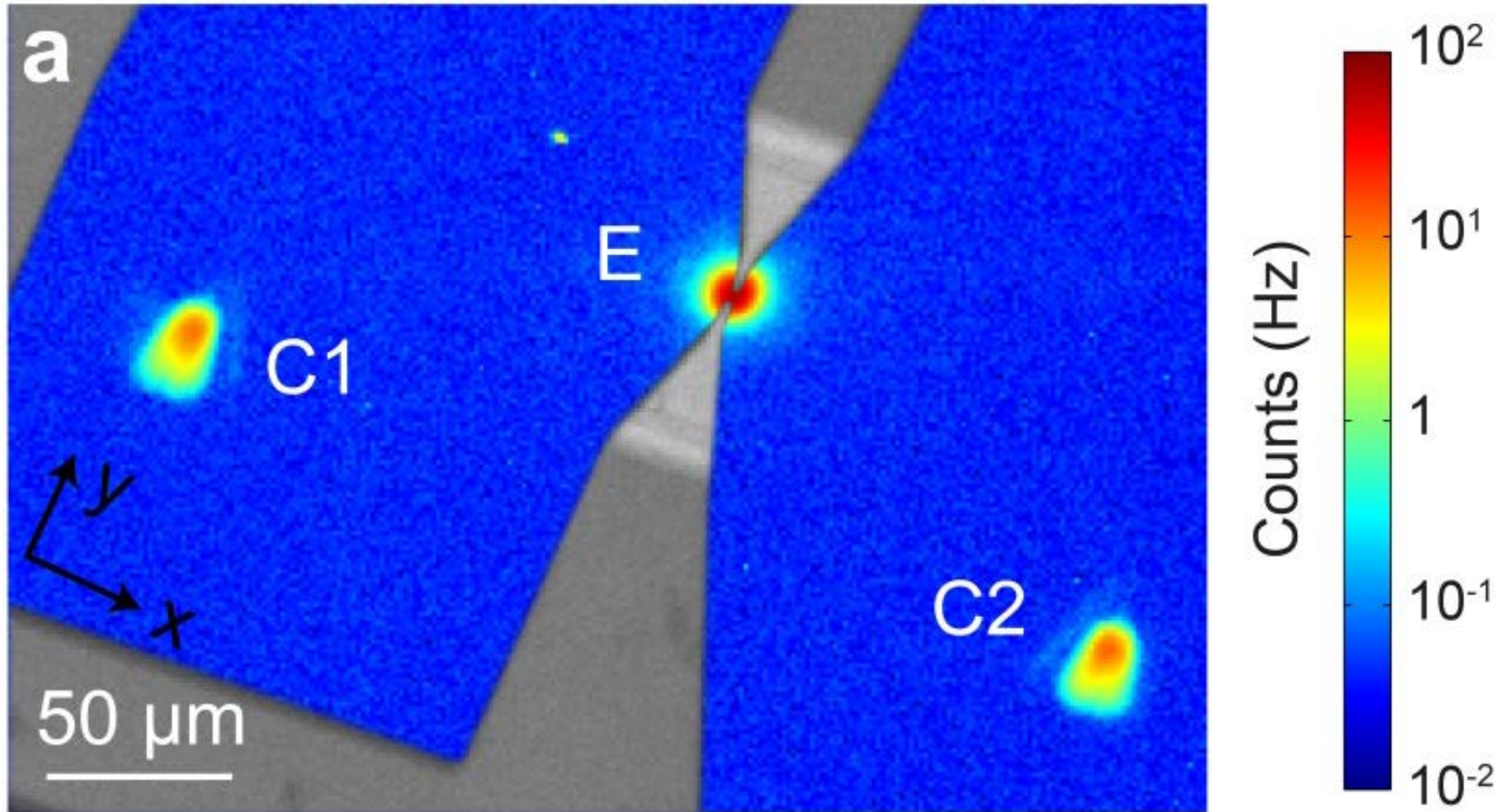


S. Khasmiskaya, F. Pyatkov, B. Flavel, W. H. Pernice, RK, *Advanced Materials* 26 (2014) 3465

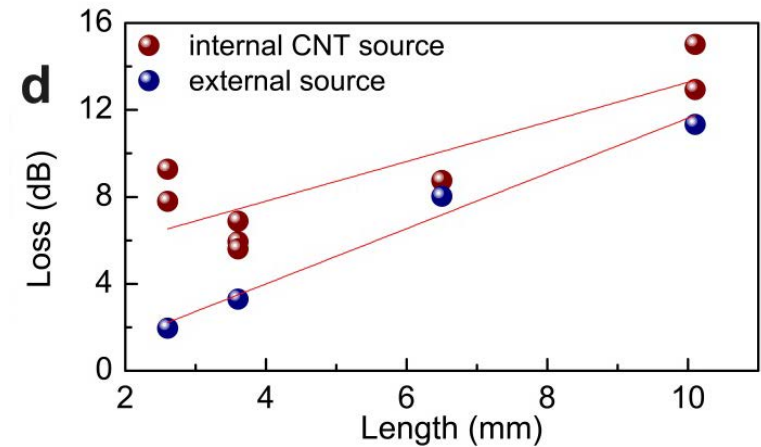
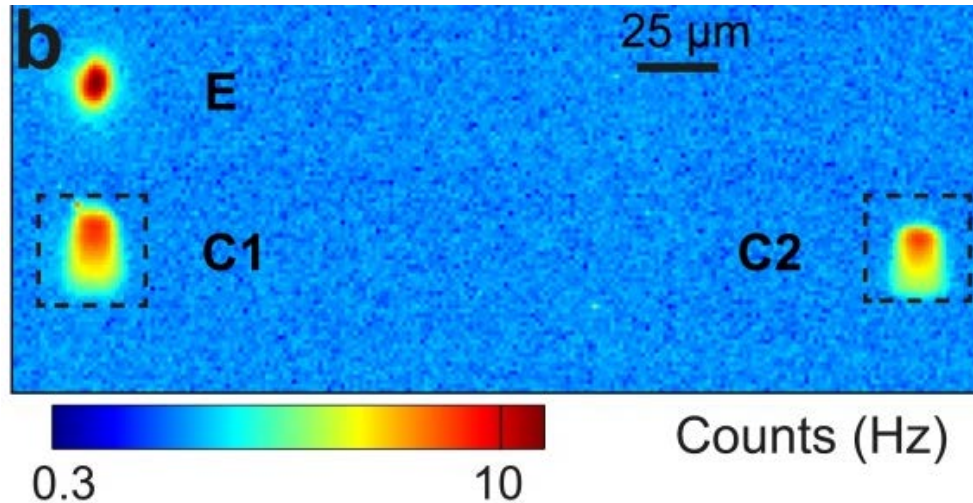
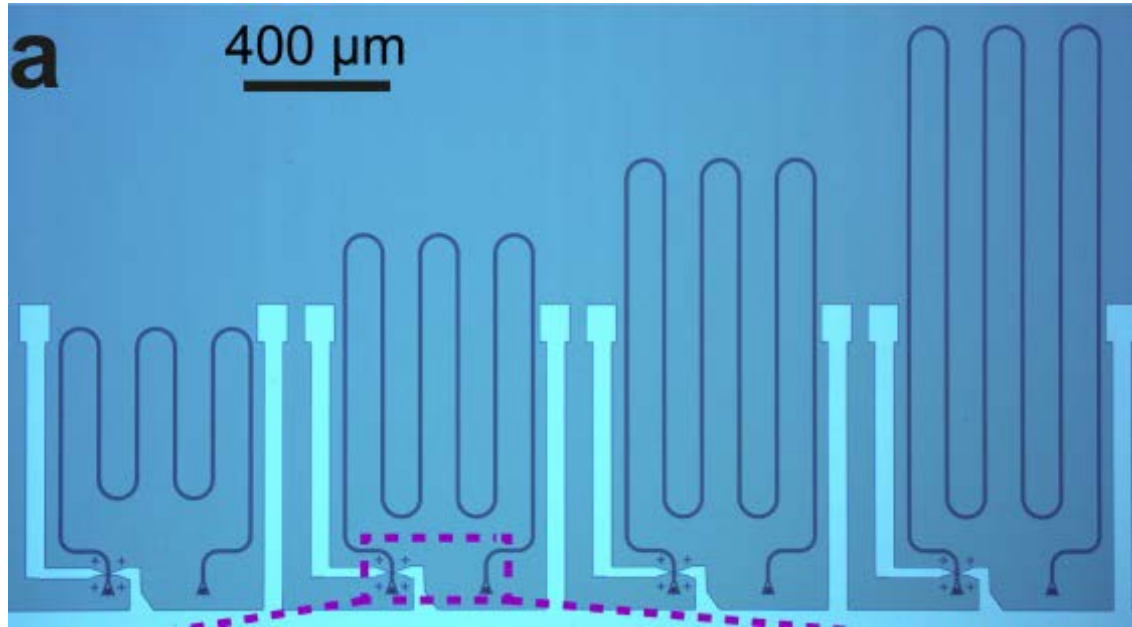
# Waveguide-coupled carbon nanotube light emitter



# Waveguide-coupled carbon nanotube light emitter

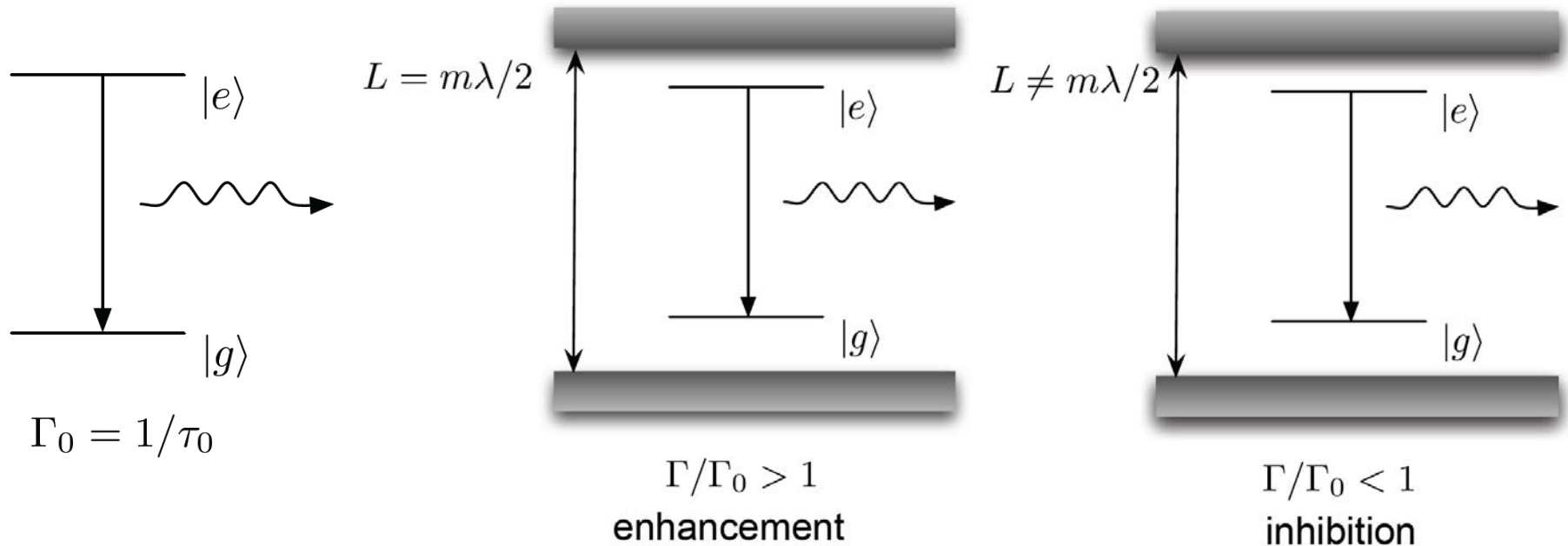


# Propagation loss in extended waveguide structures



## Tailoring emission properties

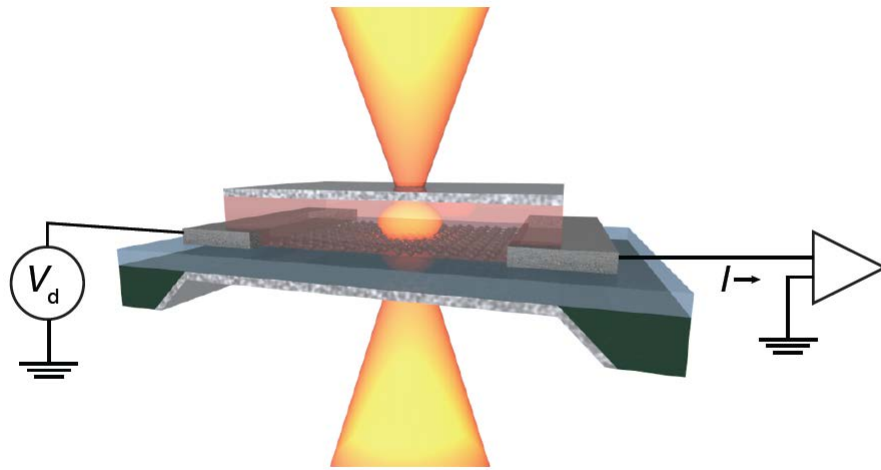
# Principle of optical confinement



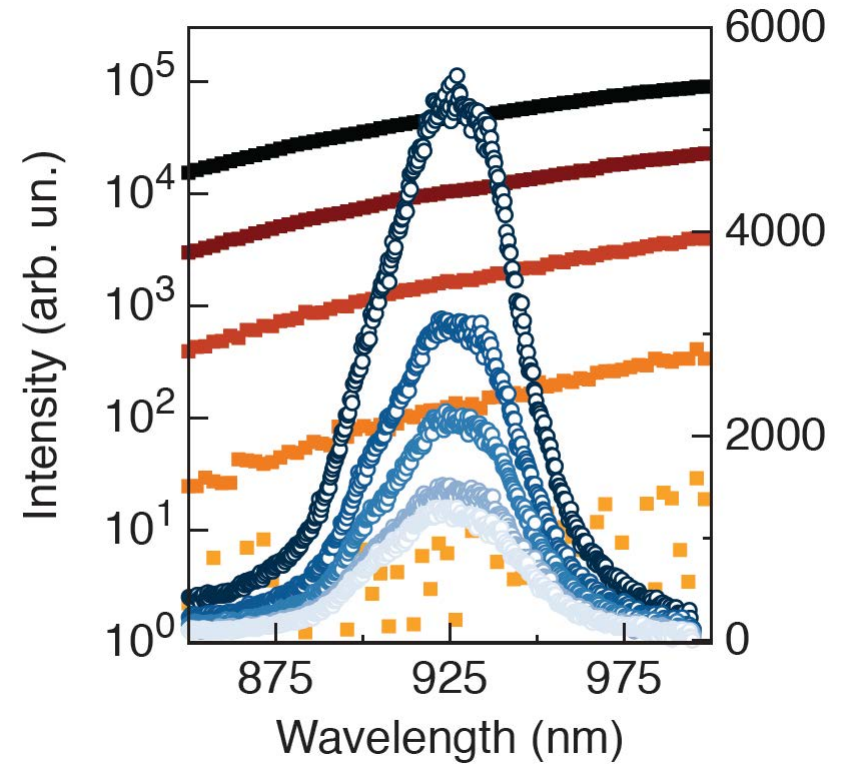
$$P = \frac{3}{4\pi^2} \left( \frac{\lambda}{n} \right)^3 \frac{Q}{V}$$

Purcell et al., Phys. Rev. (1946)  
Kleppner, Phys. Rev. Lett. (1981)

# Narrow-band thermal emission from graphene

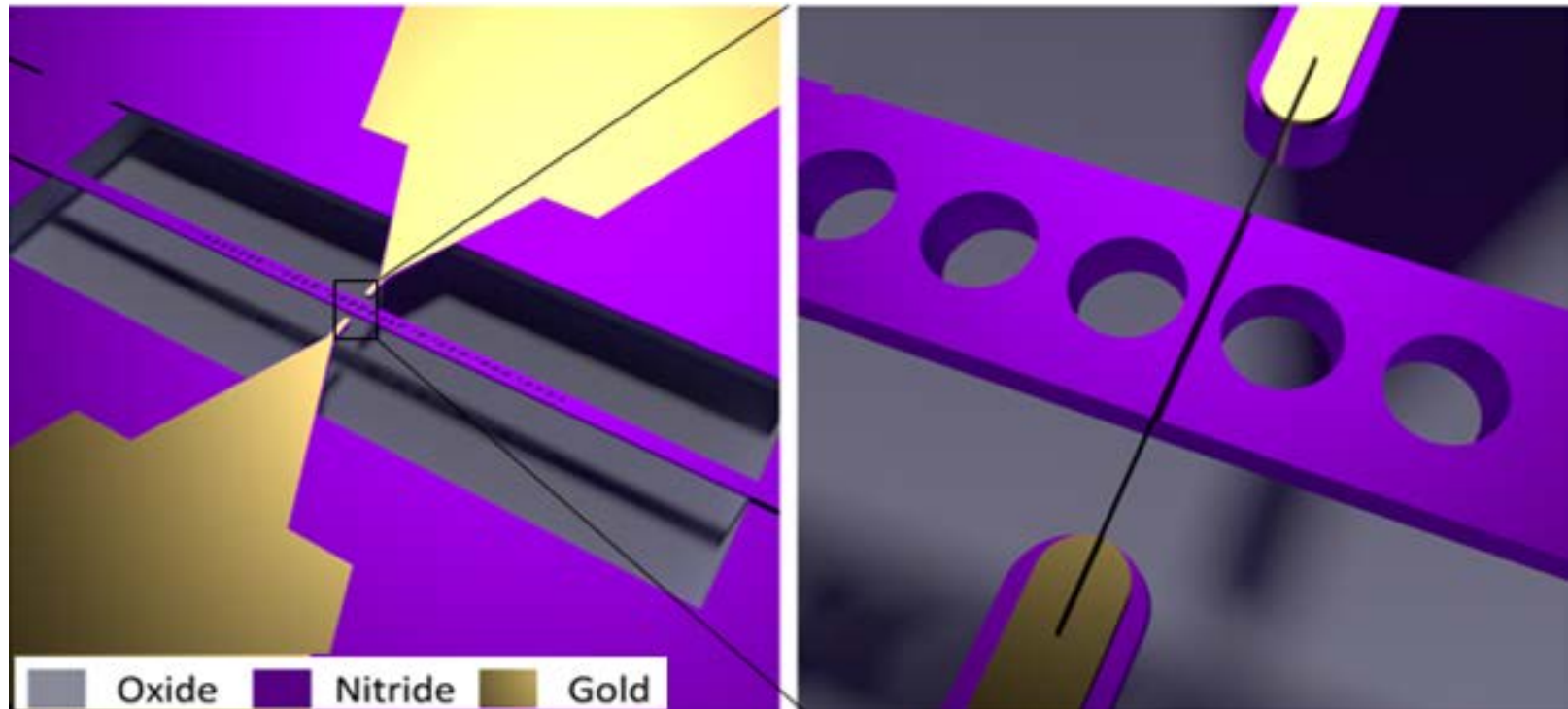


→ Spectral narrowing



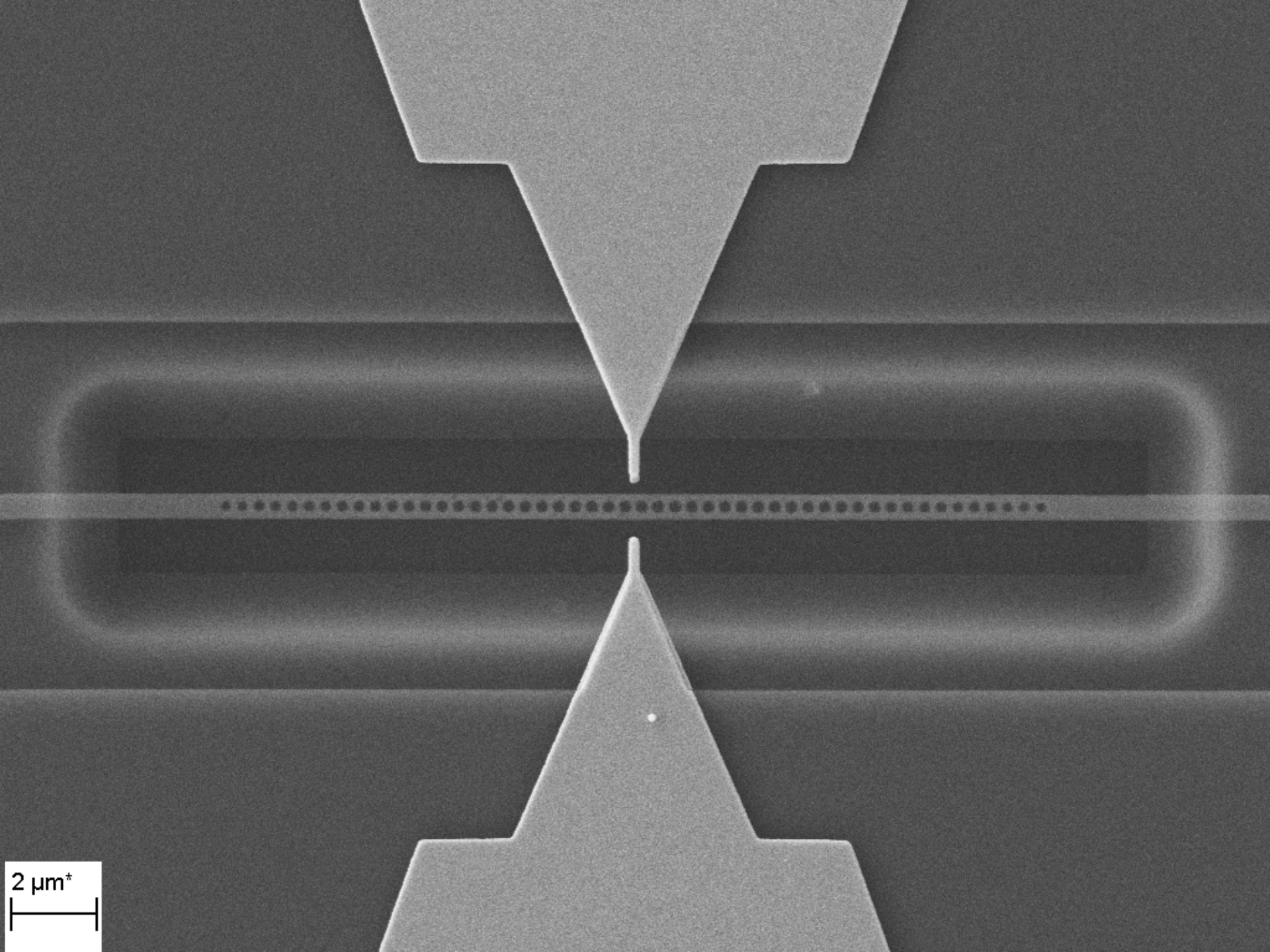
M. Engel, M. Steiner, A. Lombardo, A.C. Ferrari, H.v. Löhneysen, P. Avouris, RK  
Nature Communications 3 (2012) 906

# Tailoring emission with photonic crystal nanobeam cavities



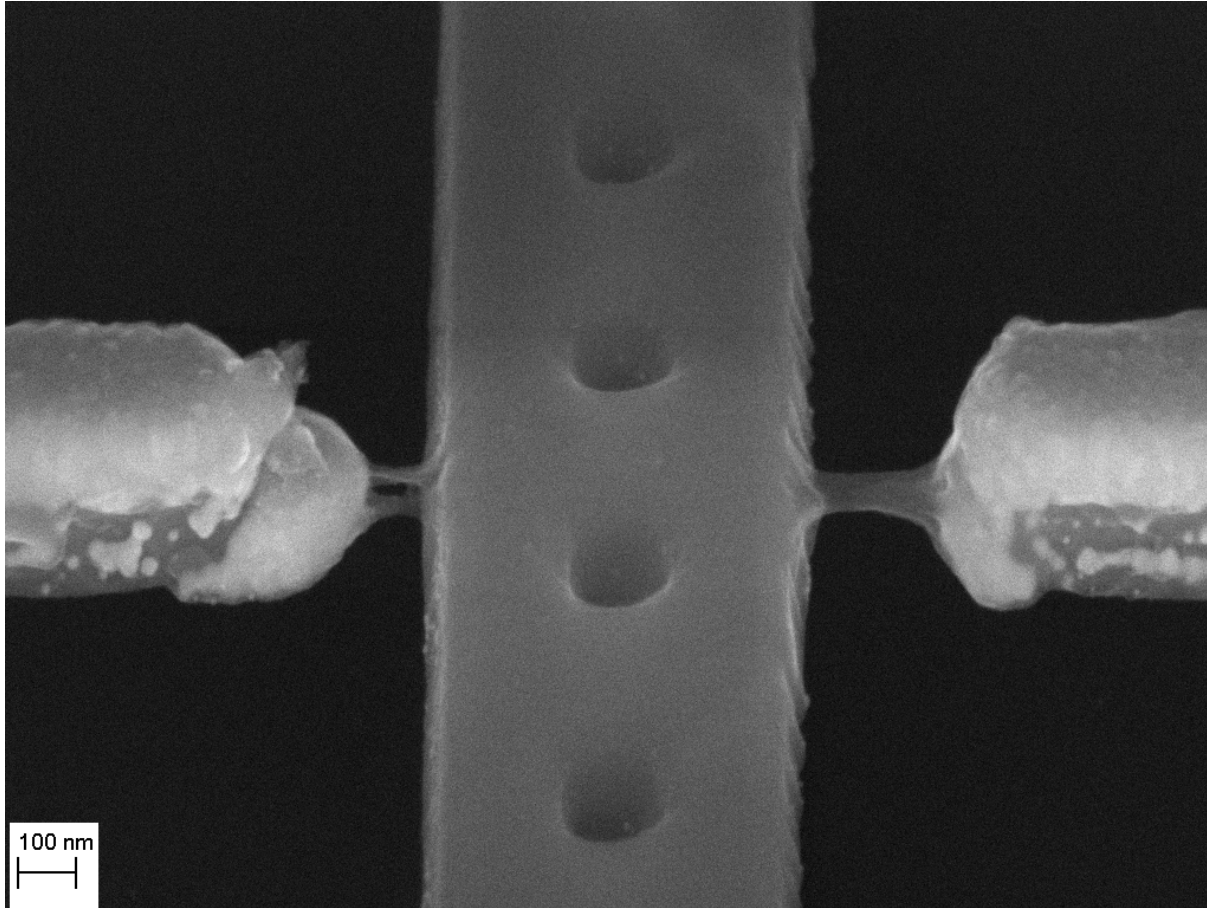
F. Pyatkov, V. Fütterling, S. Khasminskaya, B. Flavel, F. Henrich, M.M. Kappes, W. Pernice, RK,  
Nature Photonics 10 (2016) 420-427



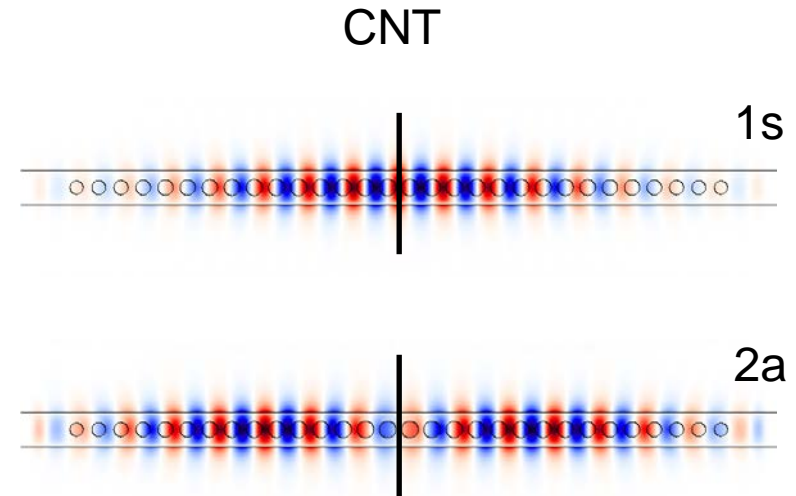
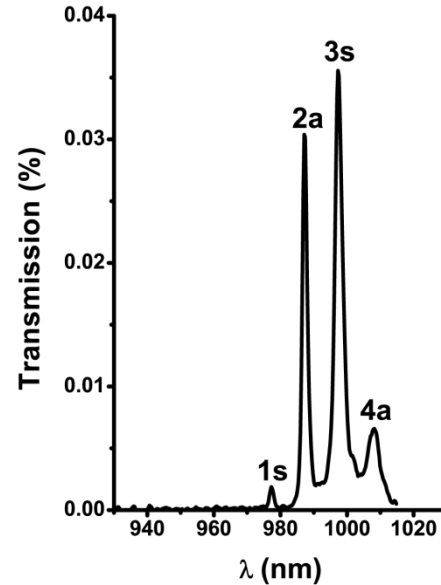
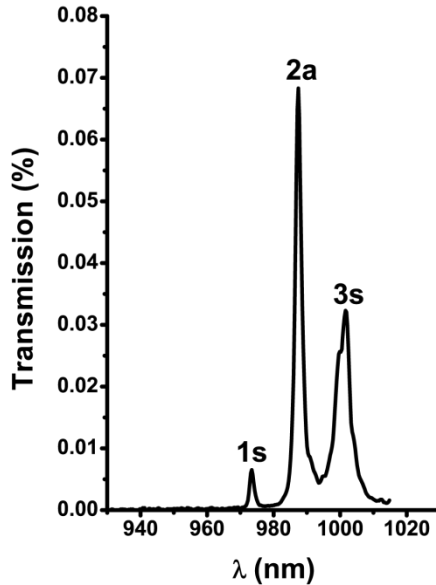


2  $\mu\text{m}^*$

# Integration of CNTs into photonic crystal nanobeam cavities

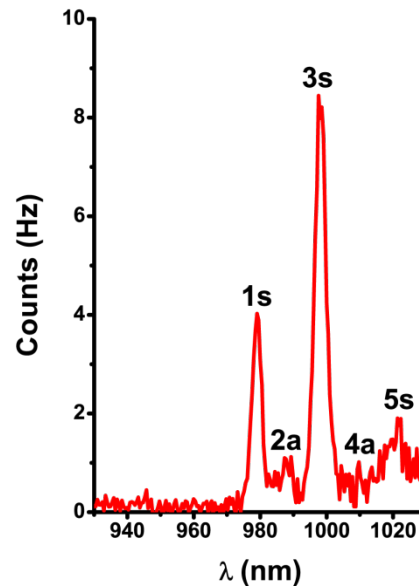
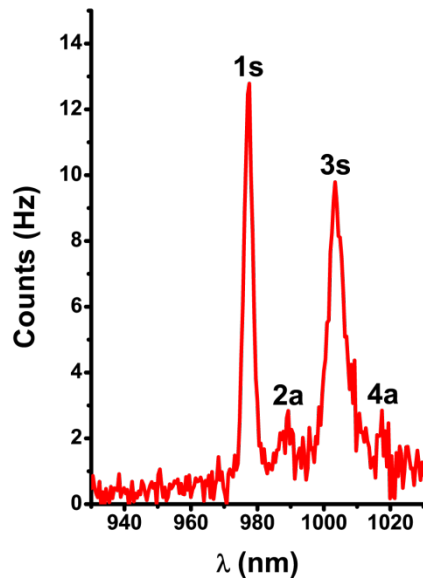


# Waveguided CNT-emission vs. transmission – dielectric mode



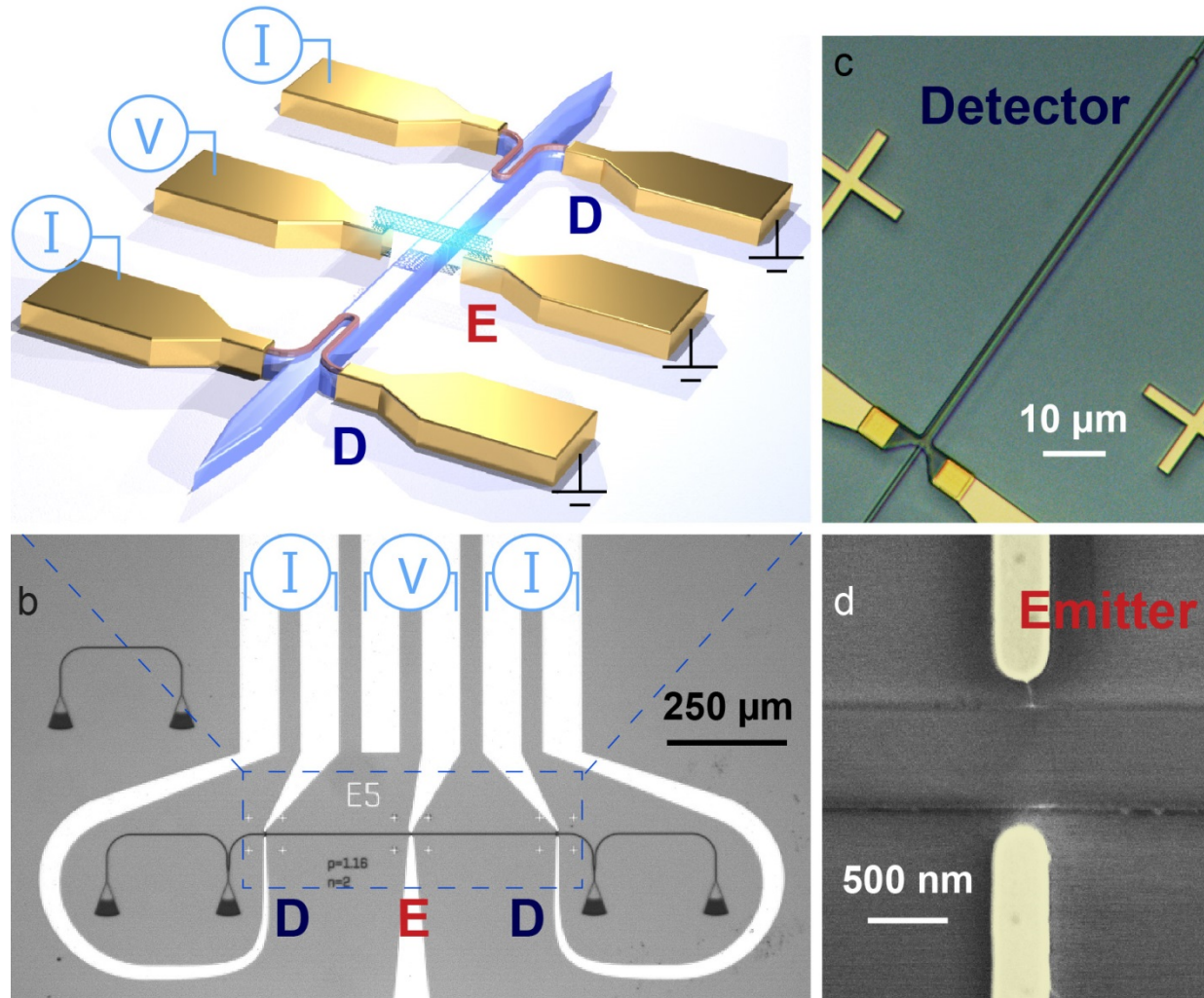
Dielectric mode cavity:  
Strongest coupling between CNT  
and symmetric modes (1s,3s).

Tailored emission lines!  
Linewidth 2nm ( $Q \sim 1000$ )!



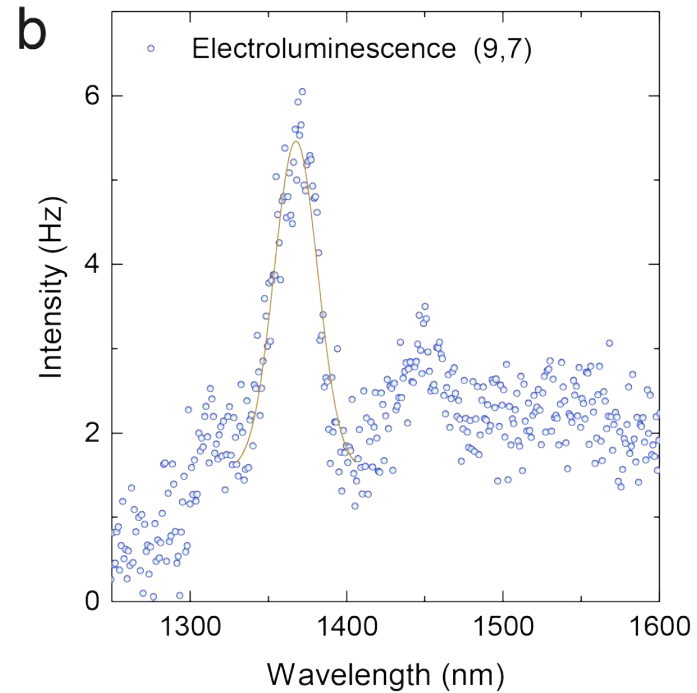
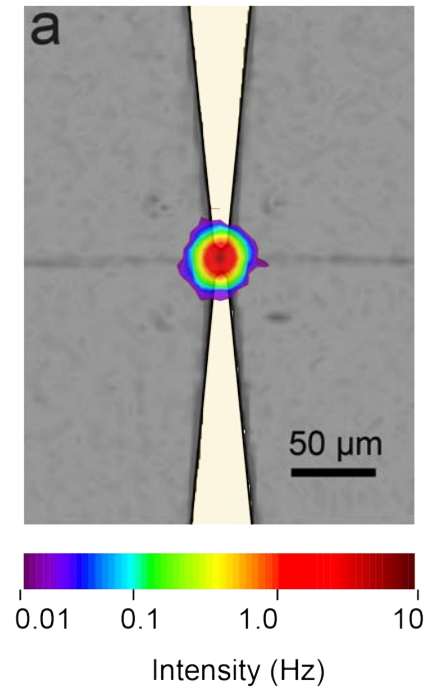
# Non-classical light emission

# Integrated quantum photonic circuit with CNT emitter



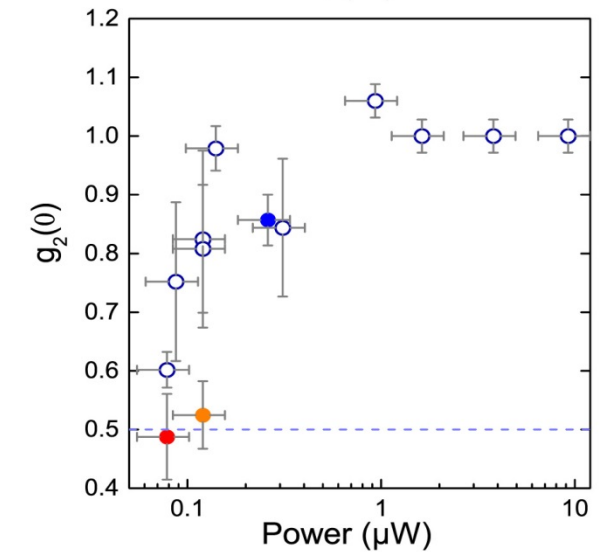
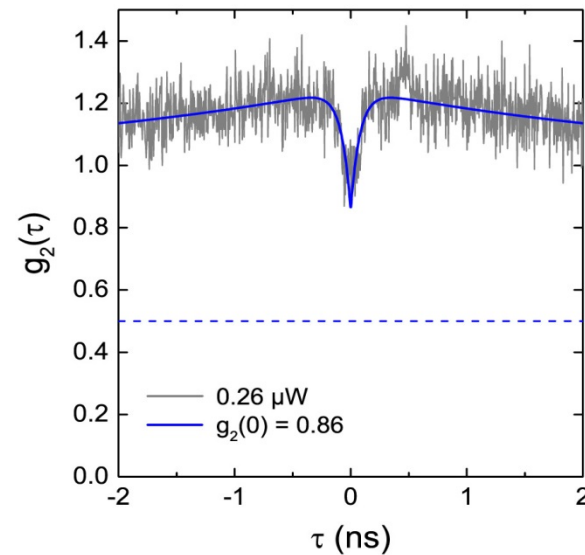
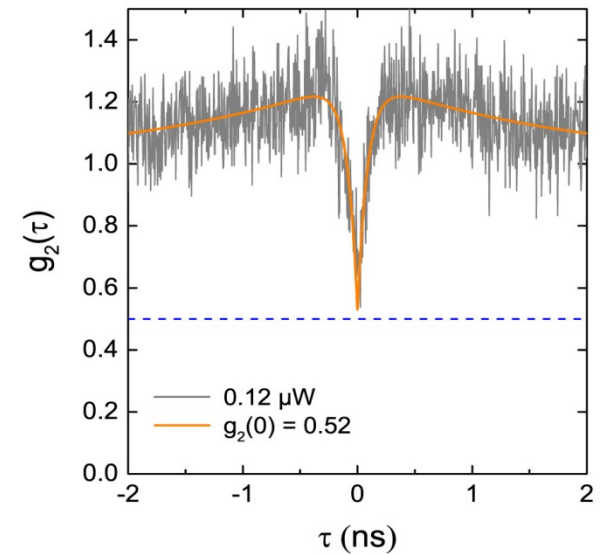
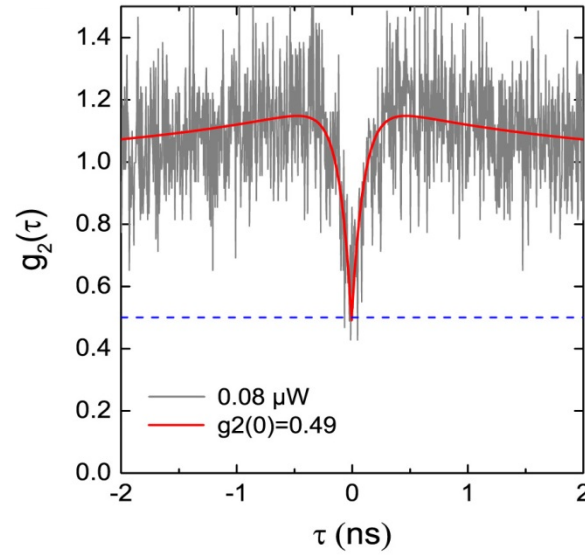
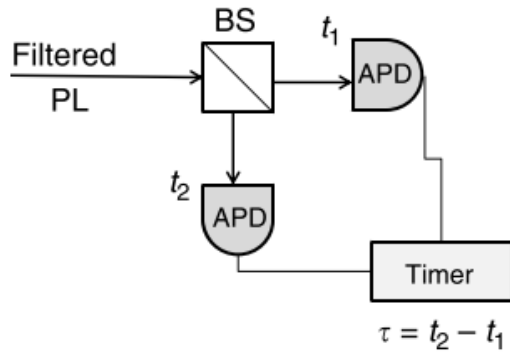
S. Khasminskaya, F. Pyatkov, S. Ferrari, O. Kahl, V. Kovalyuk, P. Rath, A. Vetter, K. Słowik, F. Hennrich, M. M. Kappes, G. Golt'sman, A. Korneev, C. Rockstuhl, RK, W. Pernice, Nature Photonics published 26.09.2016

# Emission in NIR



RT

# Correlation experiments in Hanbury-Brown-Twiss configuration



# Count rates, efficiency and mechanism

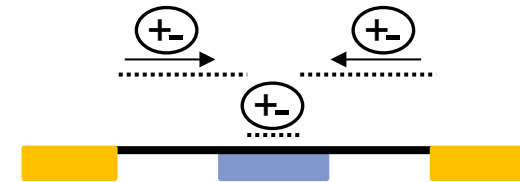
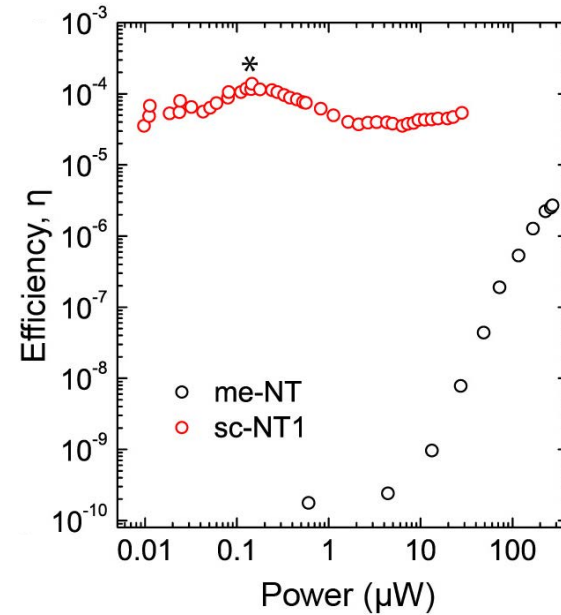


Low bias

count rate  $\propto$  current  $\cdot$  efficiency ( $T$ )

Low bias: count rate  $\propto T \cdot T^{1/2}$

Medium bias: count rate  $\propto T \cdot T^{-1/2}$

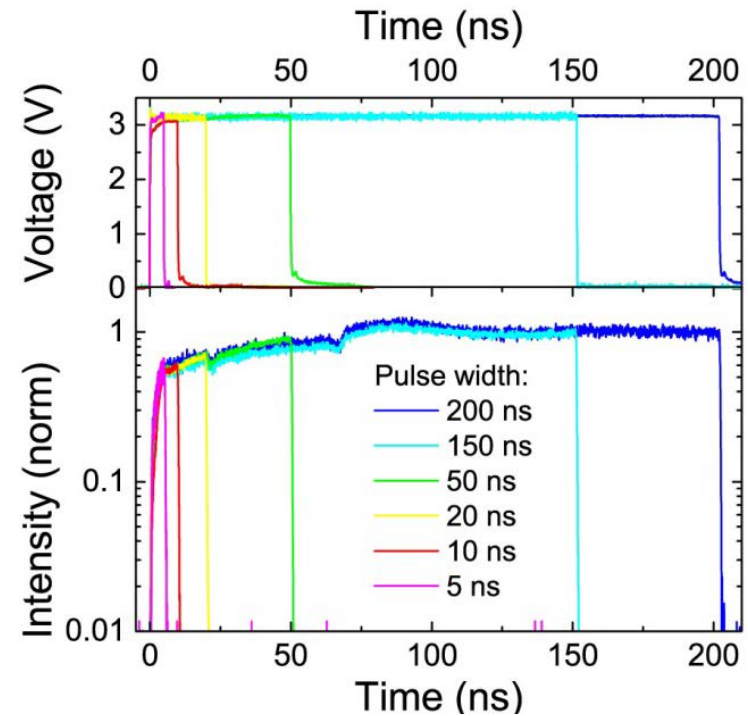
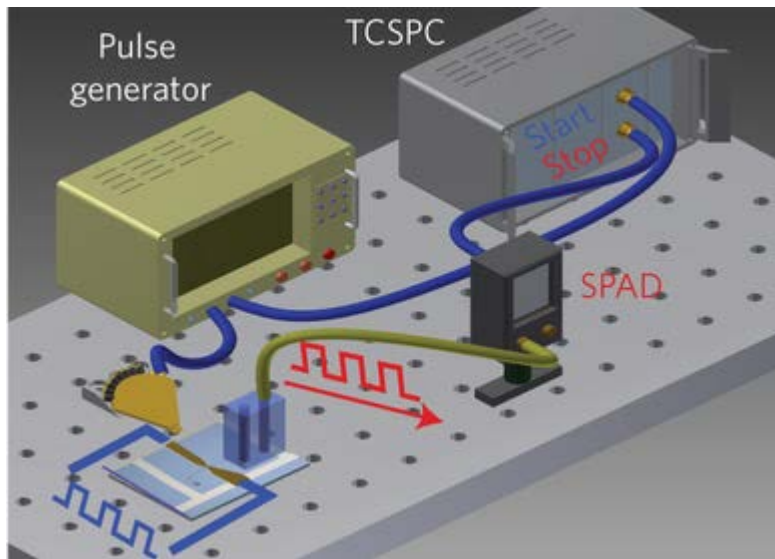


Diffusion length  $\propto T^{1/2}$



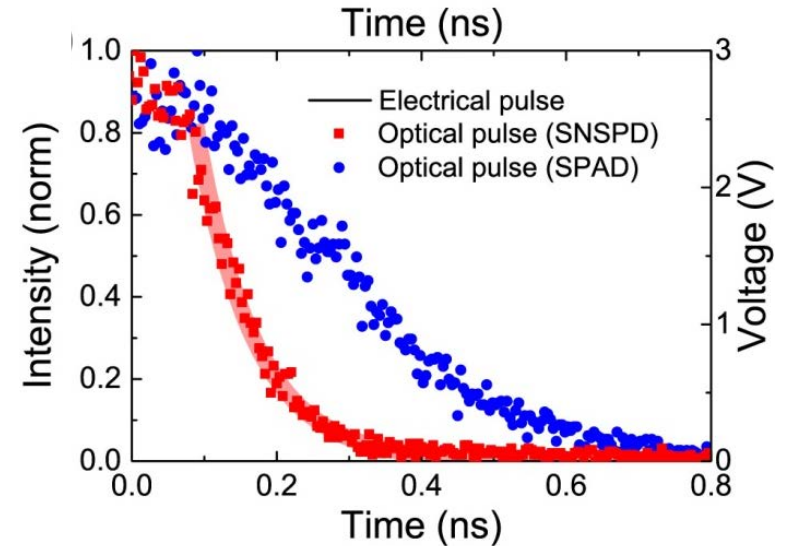
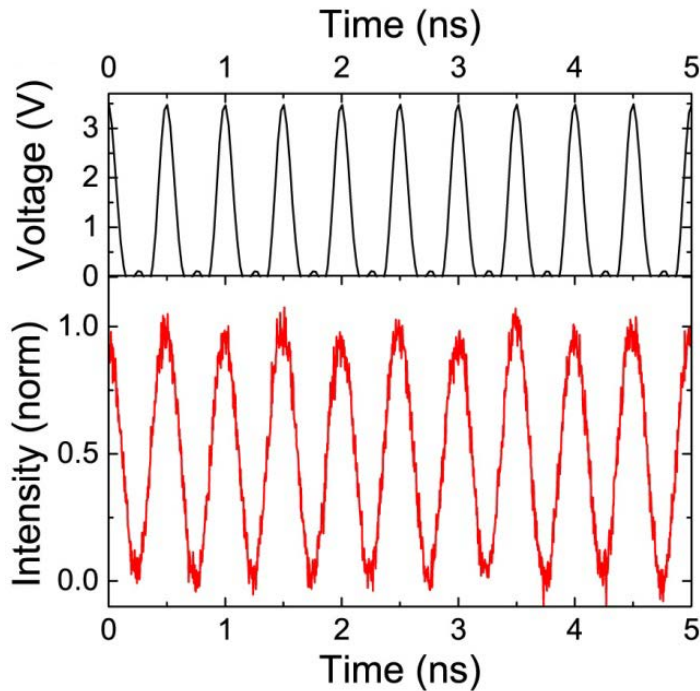
# High-speed transducers

# How fast is a WG-CNT-based electro-optical transducer ?



F. Pyatkov, S. Khasminskaya, V. Kovalyuk,  
F. Hennrich, M.M. Kappes, W.H.P. Pernice,  
RK, Beilstein J. Nanotechnol. 2017

# How fast is a WG-CNT-based electro-optical transducer ?



→ Characteristic time scale  $< 80\text{ps}$  ( $>125\text{GHz}$ ), limited by measurement setup.

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Deutsche  
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TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

# Summary

## ➤ Waveguide-integrated carbon nanotube emitter

Advanced Materials 26 (2014) 3465; Optics Express 24 (2016) 966-974

## ➤ Tailoring emission linewidth and wavelength

Nature Photonics 10 (2016) 420-427

## ➤ Non-classical light emission

Nature Photonics 10 (2016) 727-732

## ➤ High frequency transducers

Beilstein J. Nanotechnol. 8 (2017) 38-44

Review: Nature Materials 17 (2018) 663-670

