# Closed orbit feedback (COFB) system at GSI SIS18

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# Outline

#### • Introduction

- Introduction to closed orbit in a synchrotron
- Closed orbit perturbation
- Typical examples of closed orbit perturbations in GSI
- Closed orbit correction methods
- Closed control loop
- What's new in SIS18 COFB?
- Model errors
- Dispersion
- Project status
- Conclusions
- Outlook



# Guide fields and equations of motion



# Single particle motion and closed orbit



$$y = \sqrt{\epsilon \beta_y(s)} \cos(\mu_y(s) - \delta)$$

Betatron motion

$$\mu(s) = \int_0^s \frac{1}{\beta(s)} ds$$

non-closed orbits due to non-integer betatron frequency called tune Q

Closed orbit is measured by averaging the turn by turn orbit over ~ 1000 turns

#### Why is Closed orbit important?

M.Sands, The Physics of Electron Storage Rings: An Introduction, Conf. Proc. C6906161

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## Field errors and Closed orbit perturbation



"closed orbit" closes back at the location of field error

$$\{y_c(0) = y_c(L) \quad y'_c(0) = y'_c(L) + \theta\}$$

for  $s \neq 0$ , the perturbed reference orbit has free betatron oscillations and non-integral frequency

$$y(s \neq) = \sqrt{\epsilon \beta_y(s)} \cos(\mu_y(s) - \delta)$$

Solution of Hill's equation in this case

 $\theta$  is the kick provided by field error  $\beta(s)$  is the beta function at kick location  $\mu(s)$  is the phase advance *Q* is the tune of the machine

$$y_c(s) = \theta \frac{\sqrt{\beta(s_0)\beta(s)}}{2\sin(\pi Q_y)} \cos(|\mu(s) - \mu_{s0}| - \pi Q_y)$$

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#### Closed orbit perturbation (distortion)



Single error perturbed orbit is

$$f(s) = \theta \frac{\sqrt{\beta(s_0)\beta(s)}}{2\sin(\pi Q_y)} \cos(|\mu(s) - \mu_{s0}| - \pi Q_y)$$

 $\theta$  is the kick provided by field error  $\beta(s)$  is the beta function at kick location  $\mu(s)$  is the phase advance Q is the tune of the machine

 $y_c(s) = \sum_{i=1}^N \theta_i \frac{\sqrt{\beta(s_i)\beta(s)}}{2\sin(\pi Q_y)} \cos(|\mu(s) - \mu_{si}| - \pi Qy)$ 

 $[\mathbf{Y}]_{m \times 1} = [R]_{m \times n} [\Theta]_{n \times 1}$ 

**R** is called the orbit response matrix

# Closed orbit during CRYRING commissioning



Dotted lines is the "reference or desired orbit"

Injection is in horizontal plane, mismatched injection and wrong energy settings



# Closed orbit distortions in SIS18

#### 1000 turn average during acceleration



Lectures notes on "Pick-ups for bunched beams" by P. Forck in JUAS



#### Beam perturbations in SIS18 during ramp and injection



# Next topic

- Introduction
- Closed orbit correction methods
  - General concept of correction
  - Local bump based correction method
  - Harmonic correction method
  - Singular value decomposition based correction
  - A new DFT based correction method and application
- Closed control loop
- What's new in SIS18 COFB?
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# General concept of COFB System in a Synchrotron

**BPMs** (typically  $N \propto 4 \times tune$ ) read the beam position which is averaged over ~ 1000 turns to estimate the closed orbit. **Controller** calculates the required corrector strengths to suppress the oscillations at the required bandwidth

**Correctors** are dipole magnets whose strength is regarded as angles  $\theta_i$  given to the beam

$$\theta_i \propto B_{kicker}$$



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Note: Diagrams not fit to scale

#### Local bump orbit correction (Concept of orbit correction)



Correct orbit at one BPM using three steerers while leaving the rest of orbit untouched

$$\theta_2 = -\theta_1 \sqrt{\frac{\beta_1}{\beta_2}} \frac{\sin \mu_{31}}{\sin \mu_{32}}$$

$$\theta_3 = -\theta_1 \sqrt{\frac{\beta_1}{\beta_3}} \frac{\sin \mu_{21}}{\sin \mu_{32}}$$

Repeat the procedure for all BPM positions iteratively until some minimum is reached

So called Sliding bump method!

Was in use at GSI till last beam time!

PhD thesis "Linear and non-linear response matrix and its application to the SIS18 Synchrotron" by Angelina

## Local bump orbit correction in SIS18 (Simulation in MADX)



#### Concept of global correction

Sinusoidal approximation of disturbance removal



Number and position of BPMs and steerers is important!



#### Harmonic analysis (global correction)

$$y_c(s) = \theta \frac{\sqrt{\beta(s_0)\beta(s)}}{2\sin(\pi Q_y)} \cos(|\mu(s) - \mu_{s0}| - \pi Q_y)$$

Perturbed orbit can be Fourier expanded



Modes to be removed (corrected) are selected before-hand and measured orbit is fitted over corresponding mode e.g. modes around tune frequency.

Corresponding Fourier coefficients are measured and made zero

$$y_i = \sum_{k=1}^n (a_k \cos k\varphi + b_k \sin k\varphi)$$

Corrector strengths are proportional to the Fourier coefficients

- Mode switching is possible because of separate channels for each mode
- Fitting for each mode is mathematically complicated procedure





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## Orbit response matrix (ORM) based correction

Matrix containing proportionality constants can be calculated or measured separately



Y. Chung, "Closed orbit correction using singular value decomposition of the response matrix", (Argonne National Laboratory, IL, 1923)

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# Orbit response matrix (ORM) based correction

For a given perturbed orbit, we calculate the corrector strengths which could be responsible for the given perturbations

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$$\begin{bmatrix} \theta_{1} \\ \theta_{2} \\ \theta_{3} \\ \vdots \\ \vdots \\ \theta_{n-1} \\ \theta_{n} \end{bmatrix} = \begin{bmatrix} R_{11} & R_{12} & R_{13} & \dots & R_{1n} \\ R_{21} & R_{22} & R_{23} & \dots & R_{2n} \\ R_{31} & R_{32} & R_{33} & \dots & R_{3N} \\ \vdots & \vdots & \ddots & \ddots & \ddots & \vdots \\ R_{m-1,1} & R_{m-1,2} & R_{m-1,3} & \dots & R_{m-1,n} \\ R_{m1} & R_{m2} & R_{m3} & \dots & R_{mn} \end{bmatrix}^{-1} \begin{bmatrix} Y_{1} \\ Y_{2} \\ Y_{3} \\ \vdots \\ \vdots \\ Y_{m-1} \\ R_{mn} \end{bmatrix}$$

Then apply the negatives of the calculated corrector strengths

$$\begin{bmatrix} -\theta_{1} \\ -\theta_{2} \\ -\theta_{3} \\ \vdots \\ \vdots \\ -\theta_{n} \end{bmatrix}$$
1. ORM is not always invertible (for example rectangular)  
2. Calculated corrector values are beyond the corrector magnet range  

$$\begin{bmatrix} -\theta_{n} \\ -\theta_{n} \end{bmatrix}$$
SVD for ~ ill conditioned ORMs

# SVD -> Quite popular in Darmstadt region





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#### Singular Value Decomposition (SVD)

$$R = USV^T$$

$$\begin{bmatrix} R_{11} & \cdots & R_{1n} \\ \vdots & \ddots & \vdots \\ R_{m1} & \cdots & R_{mn} \end{bmatrix} = \begin{bmatrix} U_{11} & \cdots & U_{1m} \\ \vdots & \ddots & \vdots \\ U_{m1} & \cdots & U_{mm} \end{bmatrix} \begin{bmatrix} s_1 & \cdots & 0 \\ \vdots & s_2 & \vdots \\ 0 & \cdots & s_n \end{bmatrix} \begin{bmatrix} V_{11} & \cdots & V_{1n} \\ \vdots & \ddots & \vdots \\ V_{n1} & \cdots & V_{nn} \end{bmatrix}^T$$

 $s_i$  are called singular values arranged as  $s_1 > s_2 > s_3 \dots s_n$ 

U and V are orthogonal matrices such that

$$U^{-1} = U^T$$
 and  $V^{-1} = V^T$ 

where the columns of U and V are the eigenvectors of  $RR^{T}$  and  $R^{T}R$ 

Which helps to find inverse  $R^{-1}$  (if R is invertible) as  $\begin{bmatrix} R_{11} & \cdots & R_{1n} \\ \vdots & \ddots & \vdots \\ R_{m1} & \cdots & R_{mn} \end{bmatrix}^{-1} \begin{bmatrix} V_{11} & \cdots & V_{1m} \\ \vdots & \ddots & \vdots \\ V_{m1} & \cdots & V_{mm} \end{bmatrix} \begin{bmatrix} 1/s_1 & \cdots & 0 \\ \vdots & 1/s_2 & 0 \\ 0 & \cdots & 0 \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} U_{11} & \cdots & U_{1n} \\ \vdots & \ddots & \vdots \\ U_{n1} & \cdots & U_{nn} \end{bmatrix}^T$ William H. Press, Numerical recipes; The art of scientific computing (2007) Cambridge university press

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# Strengths of SVD

- Columns of U and V are Eigen modes which are orthogonal to each other (linearly independent)
- SVD can decompose and invert (or pseudo-invert) "any" matrix
- ➤ A robust algorithm for global orbit correction

#### **Benefits of SVD over harmonic analysis**

- One needs not to select the modes to be corrected before correction: decompose in all possible modes
- "simple" matrix inversion
- Modal correction is still possible through selecting certain eigenvalues



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# SVD of vertical SIS18 ORM



- > Time complexity of the order of  $N^3$ , N being dimension of matrix
- Loss of physical meaning of modes
- Phase difference between corresponding U and V columns
- > What happens with orbit correction if one or more BPMs fail?
- U, S and V are interconnected so uncertainty modeling required in all three matrices
- Over the ramp, updating of all three matrices required
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## Symmetry exploitation in SIS 18 vertical ORM

$$\beta_{bpm1} = \beta_{bpm2} = \beta_{bpm3} \dots \dots = \beta_{bpm12}$$

$$\beta_{corr1} = \beta_{corr2} = \beta_{corr3} \dots \dots = \beta_{corr12}$$

 $\Delta \mu_{bpm} = constant$ 

 $\Delta \mu_{corr} = constant$ 



Each row is cyclic shift of previous row.

All diagonal elements are identical.

Reference: Philips J.Davis, Circulant matrices, (1994), Chelsea

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Such a square matrix is called **Circulant Matrix** 



# **Diagonalization Circulant matrix**

$$R = \begin{bmatrix} R_{1} & R_{2} & R_{3} & R_{4} & \cdots & R_{n} \\ R_{n} & R_{1} & R_{2} & R_{3} & \cdots & R_{n-1} \\ R_{n-1} & R_{n} & R_{1} & R_{2} & \cdots & R_{n-2} \\ R_{n-2} & R_{n-1} & R_{n} & R_{1} & \cdots & R_{n-3} \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ R_{2} & R_{3} & R_{4} & R_{5} & \cdots & R_{1} \end{bmatrix}$$
 Inverse is straightforward  

$$R^{-1} = F^{*}H^{-1}F$$

$$H^{-1} = \operatorname{diag}(\frac{1}{\sigma_{k}}), k=1...n$$

$$\sigma_{k} = \sigma_{rk} + j \sigma_{ik} = \sum_{n}^{N-1} R_{n} e^{-j2\pi kn/N}$$

$$R = \begin{bmatrix} F_{11} & \cdots & F_{1m} \\ \vdots & \ddots & \vdots \\ R_{m1} & \cdots & F_{mm} \end{bmatrix} \begin{bmatrix} \sigma_{1} & \cdots & 0 \\ \vdots & \sigma_{2} & \vdots \\ 0 & \cdots & \sigma_{n} \end{bmatrix} \begin{bmatrix} F_{11} & \cdots & F_{1n} \\ \vdots & \ddots & \vdots \\ F_{n1} & \cdots & F_{nn} \end{bmatrix}$$
 Standard Fourier matrix containing DFT modes  

$$F_{k} = F_{kc} + jF_{ks} \qquad F_{ks} = \sin\left(\frac{2\pi km}{n} + \varphi_{k}\right)$$

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R

#### Equivalence of DFT and SVD



Why to do SVD when Circulant symmetry exits?

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# One quick application: Missing BPM scenario



# One quick application: Missing BPM scenario



# Next topic

- Introduction
- Closed orbit correction methods
- Closed control loop
  - Feedback loop
  - System identification for controller design
  - PID controllers
- What's new in SIS18 COFB?
- Model errors
- Dispersion
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#### Feedback loop in orbit correction



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#### System identification necessary before controller design



#### System identification necessary before controller design



#### **PID** controllers



$$u(t) = K_p e(t) + \int_0^t k_i e(t) + K_d \frac{de(t)}{dt} \qquad U(s) = (K_p + \frac{K_i}{s} + sK_d)E(s)$$

- Explicit knowledge of model not needed
- Tuning is crucial; several heuristics available
- Can be optimally tuned for first and second order processes
- > Perspective: More than 70% industrial controllers based on PID controller
- ➤ Model based controller (IMC) is under study for SIS18

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# What are challenges for SIS18 COFB system?

- Higher Bandwidth of the feedback system (light sources call 100 Hz as "high")
  - Power supply ripples are coupled to the orbit due to extra thin vacuum chambers (0.3 mm for Quad-chambers)
  - faster correction (within ramp)
  - Actual realizable bandwidth to be known after system-identification
- Correction during ramp
  - Lattice changes during ramp (uncertainties in Lattice parameters)
  - Variable ramp rates(100 ms-1s)
- Cycle to cycle magnetic hysteresis
- > Dynamic changes in beam energy and intensity (user dependent)
- BPM failures due to radiation shower



# Next topic

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- Closed orbit correction methods
- Closed control loop
- What's new in SIS18 COFB?

#### • On ramp correction and Model errors

- On ramp systematic lattice change (constant tune)
- On ramp tune shift
- Image charge tune shift
- Beta beating
- Dispersion
- Project status
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#### Systematic lattice changes over ramp



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#### Systematic lattice changes over ramp



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#### Orbit correction over ramp of 5 T/s (constant tune)



PhD thesis "Tune measurement at GSI SIS18: Methods and Applications" by R. Singh

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#### Orbit correction over ramp of 5 T/s (tune variation of 0.01)



#### Other sources of model errors



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#### Dispersion(x-plane)



# Subtracting dispersion effect from closed orbit



Because of symmetry in SIS18, the major coupling of dispersion effect is with DC mode

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DC mode truncation can ignore the dispersion effect without measurement?

# Next topic

- Introduction
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- Closed control loop
- What's new in SIS18 COFB?
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- Dispersion
- Project status
  - Mid-term goals
  - Final goals
- Conclusions
- Outlook



#### Medium term goals



Model errors might have significant importance But we shall start from simpler system realization

#### **Mid-term goals**

#### **Commissioning of the simpler system for the time resolution:**

- ➢ for operation on flat up energy instead of ramp
- ➤ at low currents ignoring image charge tune shift
- Using simple PI controller

#### Final goal:

Model predictive fast robust controller



#### Hardware Status

- Hardware (BPM+ Magnet correction calculation) delivered
- PID controller implemented for mode-base correction
- FESA class programming (design specifications)
- Digital magnet interface (ACU system) is under installation for remaining two horizontal steerers, 10 are already installed
- (Thanks to Power Supply Group)
- ➢ Data available at 10 kHz rate
- > Latency of loop ~ 30  $\mu$ s







# Conclusions

- > DFT based decomposition blends the benefits of both SVD and Harmonic correction
- > DFT modes are shown to provide robustness against missing BPMs (simulations)
- Systematic lattice changes during ramp does not seem to be crucial (based on simulations): A finite number of orbit response matrices can be used
- > The non-systematic tune shift during ramp have extra contribution in residual orbit
- ➢ Image charge tune shift and effect of beta beating are also being modelled.
- Dispersion effect in horizontal closed orbit can saturate the correctors
- > Outlook:
- Installation of "I-tech" hardware
- Measurement of parameter uncertainties in next beam time
- Measurement of transfer functions of powers supplies and corrector magnets
- Simulations of advanced model predictive controllers

#### Acknowledgements

David Ondreka (Control) Stefan Sorge (MADX) Horst Welker (Power supply) Carsten Muehle (Magnets), Franz Klos (Magnets) Andreas Kraemer (Vacuum chambers)





# Extra slides



# Internal model control (IMC)



$$T(s) = (\frac{Y(s)}{X(s)})_{D(s),N(s)=0} = Q(s)G(s)$$

Reactive yet stable! Find the process model!

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- Stability condition reduced to only finding a stable Q(s)
- Can be written in an PID equivalent form
- Model knowledge can lead to stable and analytically tractable PID tuning

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## Non-systematic lattice changes over ramp



Uncertainty modeling in ORM is required

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First hint on need of robust controller





#### Orbit correction over ramp of 5 T/s (tune variation of 0.01)



#### Image charge tune shift



# Image charge tune shift simulation



# Effect of image charge tune shift on closed orbit correction



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### Effect of beta beating



# Effect of beta beating



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#### Harmonic analysis (global correction)

Corrector strengths are proportional to the Fourier coefficients

Mode switching is possible because of separate channels for each mode

**Complexity:** 



# Single particle motion and closed orbit



Hill's equation for off axis particles

$$x'' = (\frac{1}{\rho^2} - K_x(s)) x$$
  $y'' = K_y(s) y$ 





Solution  $y = \sqrt{\epsilon \beta_y(s) \cos(\mu_y(s) - \delta)}$ where  $\mu(s) = \int_0^s \frac{1}{\beta(s)} ds$ 

 $\beta(s)$  have the same periodicity in space as K(s)

**Tune**=:Number of Betatron oscillations over one turn

M.Sands, The Physics of Electron Storage Rings: An Introduction, Conf. Proc. C6906161



# Single particle motion and closed orbit



# Subtracting dispersion effect from closed orbit



Because of symmetry in SIS18, the major coupling of dispersion effect is with DC mode

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