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# Introduction to Accelerator Physics

D. Ondreka, GSI  
Operator Training 2016

# Outline

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- Introduction
- Particles and units
- Relativity
- Charged particles in fields
- Magnets
- Charged particle dynamics
- Emittance and acceptance

# Experimenters wishes

August 2014																																						
Fr	Sa	So	Mo	Di	Mi	Do	Fr	Sa	So	Mo	Di	Mi	Do	Fr	Sa	So	Mo	Di	Mi	Do	Fr	Sa	So	Mo	Di	Mi	Do	Fr										
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29										
31			32						33						34									35														
U249, Kis, C, X0								UB37		UM67, Trautmann, Au (PIG), X0											U281, CAYZAC/BLAZEVIC, N, EZR, 36 MHz micro-bunch frequency, 3.6 MeV/u, 10 microA, 5 Hz macro-bunch frequency, 36 MHz micro-bunch frequency, Z6							UMAT, Trautmann/UBIO, Friedrich , Xe, PIG, 11.4, X0/M-branch										
								UM70, Trautmann, Au, M-branch											UB25/ Forck, N (Mucis), TK					UB37		U281, CAYZAC/BLAZEVIC, N, EZR, 36 MHz micro-bunch frequency, 3.6 MeV/u, microA, 5 Hz macro-bunch frequency, 36 MHz micro-bunch frequency, Z6												
S437, Itahashi/Fujioka, p, (Haupt), FRS							S000		SMAT		S000, Spiller, Au, Ni (Mevva), SIS				S417, Nociforo/Simon, Au, Ni, 300-1000 MeV/u, 1e8/spill (SIS), slow extraction (1-10s), FRS							S333, Salabura, N (Mucis), HAD																
S437, Itahashi/Fujioka, p, (Haupt), FRS							SMAT, Trautmann, Au (PIG), HTA				S000, Spiller, Au, Ni, SIS				S417, Nociforo/Simon, Au, Ni, 300-1000 MeV/u, 1e8/spill (SIS), slow extraction (1-10s), FRS							S000, Spiller, N, SIS																
S439, Varentsov, p,(highly parasitic), HHT							SMAT, Trautmann, Au (PIG), HTA				S000		S417, Nociforo/Simon, Au, Ni, 300-1000 MeV/u, 1e8/spill (SIS), slow extraction (1-10s), FRS													S333, Salabura, N, HAD												
E000, Steck, p, Spätschicht, ESR							E000, Steck, Ni (Mevva), soweit möglich, ESR											E120, Herfurth, N, wenn kein S000, ESR													E103, Gumberidze, 132Xe , ESF							

- What are these fancy symbols here about: p, Au, N, Ni ?
- What do these funny numbers mean: 3.6MeV/u, 300-1000MeV/u, 1e8/spill ?
- What do our accelerators have to do with all this?

# Elements and Isotopes

## ■ Elements

- atomic building blocks of matter
- characterized by atomic number  $Z$ 
  - number of protons in nucleus and electrons in neutral atom
  - one-to-one correspondence to chemical symbol  $X$

## ■ Isotopes

- variants of the same element with different number  $N$  of neutrons
- identified by mass number  $A = Z + N$
- same electron configuration, hence same chemical properties
- only few isotopes stable

## ■ Notation



	$Z$	$A$	$N$	Abundance
$^{40}\text{Ca}$	20	40	20	96.9%
$^{48}\text{Ca}$	20	48	28	0.2%

neutron-rich nucleus, often  
used for SHE experiments

# Elements

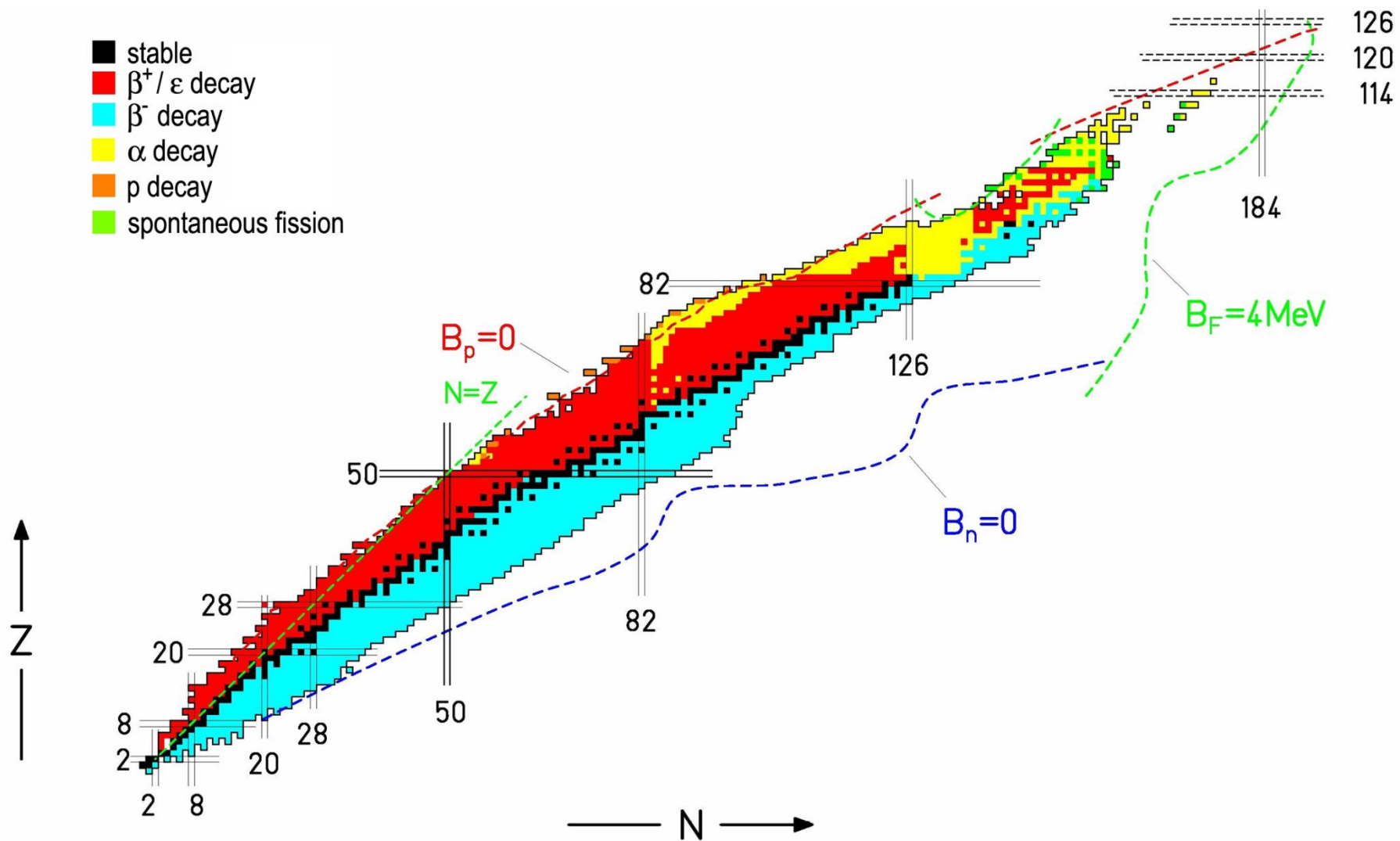
## Periodensystem der Elemente

n	Hauptgruppen		Ordnungszahl	Relative Atommasse	Elementsymbol	feste Elemente flüssige Elemente gasförmige Elemente natürlich radioaktive Elemente	Metalle Nichtmetalle Halbmetalle Übergangsmetalle	Hauptgruppen										
	I	II						III	IV	V	VI	VII	VIII					
1 K	1 1,008 <b>H</b> Wasserstoff 1s <sup>1</sup>		16 32,06 <b>S</b> Schwefel [Ne]3s <sup>2</sup> 3p <sup>4</sup>															2 4,003 <b>He</b> Helium 1s <sup>2</sup>
2 L	3 6,94 <b>Li</b> Lithium [He]2s <sup>1</sup>	4 9,01 <b>Be</b> Beryllium [He]2s <sup>2</sup>											5 10,81 <b>B</b> Bor [He]2s <sup>2</sup> 2p <sup>1</sup>	6 12,01 <b>C</b> Kohlenstoff [He]2s <sup>2</sup> 2p <sup>2</sup>	7 14,01 <b>N</b> Stickstoff [He]2s <sup>2</sup> 2p <sup>3</sup>	8 16,00 <b>O</b> Sauerstoff [He]2s <sup>2</sup> 2p <sup>4</sup>	9 19,00 <b>F</b> Fluor [He]2s <sup>2</sup> 2p <sup>5</sup>	10 20,18 <b>Ne</b> Neon [He]2s <sup>2</sup> 2p <sup>6</sup>
3 M	11 22,99 <b>Na</b> Natrium [Ne]3s <sup>1</sup>	12 24,31 <b>Mg</b> Magnesium [Ne]3s <sup>2</sup>	Nebengruppen (Übergangsmetalle)									13 26,98 <b>Al</b> Aluminium [Ne]3s <sup>2</sup> 3p <sup>1</sup>	14 28,09 <b>Si</b> Silicium [Ne]3s <sup>2</sup> 3p <sup>2</sup>	15 30,97 <b>P</b> Phosphor [Ne]3s <sup>2</sup> 3p <sup>3</sup>	16 32,06 <b>S</b> Schwefel [Ne]3s <sup>2</sup> 3p <sup>4</sup>	17 35,45 <b>Cl</b> Chlor [Ne]3s <sup>2</sup> 3p <sup>5</sup>	18 39,95 <b>Ar</b> Argon [Ne]3s <sup>2</sup> 3p <sup>6</sup>	
			III	IV	V	VI	VII	VIII		I	II							
4 N	19 39,10 <b>K</b> Kalium [Ar]4s <sup>1</sup>	20 40,08 <b>Ca</b> Calcium [Ar]4s <sup>2</sup>	21 44,96 <b>Sc</b> Scandium [Ar]3d <sup>1</sup> 4s <sup>2</sup>	22 47,90 <b>Ti</b> Titan [Ar]3d <sup>2</sup> 4s <sup>2</sup>	23 50,94 <b>V</b> Vanadium [Ar]3d <sup>3</sup> 4s <sup>2</sup>	24 52,00 <b>Cr</b> Chrom [Ar]3d <sup>5</sup> 4s <sup>1</sup>	25 54,94 <b>Mn</b> Mangan [Ar]3d <sup>5</sup> 4s <sup>2</sup>	26 55,85 <b>Fe</b> Eisen [Ar]3d <sup>6</sup> 4s <sup>2</sup>	27 58,93 <b>Co</b> Cobalt [Ar]3d <sup>7</sup> 4s <sup>2</sup>	28 58,71 <b>Ni</b> Nickel [Ar]3d <sup>8</sup> 4s <sup>2</sup>	29 63,55 <b>Cu</b> Kupfer [Ar]3d <sup>10</sup> 4s <sup>1</sup>	30 65,38 <b>Zn</b> Zink [Ar]3d <sup>10</sup> 4s <sup>2</sup>	31 69,72 <b>Ga</b> Gallium [Ar]3d <sup>10</sup> 4s <sup>2</sup> 4p <sup>1</sup>	32 72,59 <b>Ge</b> Germanium [Ar]3d <sup>10</sup> 4s <sup>2</sup> 4p <sup>2</sup>	33 74,92 <b>As</b> Arsen [Ar]3d <sup>10</sup> 4s <sup>2</sup> 4p <sup>3</sup>	34 78,96 <b>Se</b> Selen [Ar]3d <sup>10</sup> 4s <sup>2</sup> 4p <sup>4</sup>	35 79,90 <b>Br</b> Brom [Ar]3d <sup>10</sup> 4s <sup>2</sup> 4p <sup>5</sup>	36 83,80 <b>Kr</b> Krypton [Ar]3d <sup>10</sup> 4s <sup>2</sup> 4p <sup>6</sup>
5 O	37 85,47 <b>Rb</b> Rubidium [Kr]5s <sup>1</sup>	38 87,62 <b>Sr</b> Strontium [Kr]5s <sup>2</sup>	39 88,91 <b>Y</b> Yttrium [Kr]4d <sup>1</sup> 5s <sup>2</sup>	40 91,22 <b>Zr</b> Zirkonium [Kr]4d <sup>2</sup> 5s <sup>2</sup>	41 92,91 <b>Nb</b> Niob [Kr]4d <sup>4</sup> 5s <sup>1</sup>	42 95,94 <b>Mo</b> Molibdän [Kr]4d <sup>5</sup> 5s <sup>1</sup>	43 98,91 <b>Tc</b> Technetium [Kr]4d <sup>6</sup> 5s <sup>1</sup>	44 101,1 <b>Ru</b> Ruthenium [Kr]4d <sup>7</sup> 5s <sup>1</sup>	45 102,9 <b>Rh</b> Rhodium [Kr]4d <sup>8</sup> 5s <sup>1</sup>	46 106,4 <b>Pd</b> Palladium [Kr]4d <sup>10</sup>	47 107,9 <b>Ag</b> Silber [Kr]4d <sup>10</sup> 5s <sup>1</sup>	48 112,4 <b>Cd</b> Cadmium [Kr]4d <sup>10</sup> 5s <sup>2</sup>	49 114,8 <b>In</b> Indium [Kr]4d <sup>10</sup> 5s <sup>2</sup> 5p <sup>1</sup>	50 118,7 <b>Sn</b> Zinn [Kr]4d <sup>10</sup> 5s <sup>2</sup> 5p <sup>2</sup>	51 121,8 <b>Sb</b> Antimon [Kr]4d <sup>10</sup> 5s <sup>2</sup> 5p <sup>3</sup>	52 127,6 <b>Te</b> Tellur [Kr]4d <sup>10</sup> 5s <sup>2</sup> 5p <sup>4</sup>	53 126,9 <b>I</b> Iod [Kr]4d <sup>10</sup> 5s <sup>2</sup> 5p <sup>5</sup>	54 131,3 <b>Xe</b> Xenon [Kr]4d <sup>10</sup> 5s <sup>2</sup> 5p <sup>6</sup>
6 P	55 132,9 <b>Cs</b> Caesium [Xe]6s <sup>1</sup>	56 137,3 <b>Ba</b> Barium [Xe]6s <sup>2</sup>	57-71 Lantha- noide	72 178,5 <b>Hf</b> Hafnium [Xe]4f <sup>14</sup> 5d <sup>2</sup> 6s <sup>2</sup>	73 180,9 <b>Ta</b> Tantal Xe 4f <sup>14</sup> 5d <sup>3</sup> 6s <sup>2</sup>	74 183,9 <b>W</b> Wolfram [Xe]4f <sup>14</sup> 5d <sup>4</sup> 6s <sup>2</sup>	75 186,2 <b>Re</b> Rhenium [Xe]4f <sup>14</sup> 5d <sup>5</sup> 6s <sup>2</sup>	76 190,2 <b>Os</b> Osmium [Xe]4f <sup>14</sup> 5d <sup>6</sup> 6s <sup>2</sup>	77 192,2 <b>Ir</b> Iridium [Xe]4f <sup>14</sup> 5d <sup>7</sup> 6s <sup>2</sup>	78 195,1 <b>Pt</b> Platin [Xe]4f <sup>14</sup> 5d <sup>9</sup> 6s <sup>1</sup>	79 197,0 <b>Au</b> Gold [Xe]4f <sup>14</sup> 5d <sup>10</sup> 6s <sup>1</sup>	80 200,6 <b>Hg</b> Quecksilber [Xe]4f <sup>14</sup> 5d <sup>10</sup> 6s <sup>2</sup>	81 204,4 <b>Tl</b> Thallium [Xe]4f <sup>14</sup> 5d <sup>10</sup> 6s <sup>2</sup> 6p <sup>1</sup>	82 207,2 <b>Pb</b> Blei [Xe]4f <sup>14</sup> 5d <sup>10</sup> 6s <sup>2</sup> 6p <sup>2</sup>	83 209,0 <b>Bi</b> Bismut [Xe]4f <sup>14</sup> 5d <sup>10</sup> 6s <sup>2</sup> 6p <sup>3</sup>	84 (209) <b>Po</b> Polonium [Xe]4f <sup>14</sup> 5d <sup>10</sup> 6s <sup>2</sup> 6p <sup>4</sup>	85 (210) <b>At</b> Astat [Xe]4f <sup>14</sup> 5d <sup>10</sup> 6s <sup>2</sup> 6p <sup>5</sup>	86 (222) <b>Rn</b> Radon [Xe]4f <sup>14</sup> 5d <sup>10</sup> 6s <sup>2</sup> 6p <sup>6</sup>
7 Q	87 (223) <b>Fr</b> Francium [Rn]7s <sup>1</sup>	88 226,0 <b>Ra</b> Radium [Rn]7s <sup>2</sup>	89-103 Acti- noide	104 (261) <b>Rf</b> Rutherfordium	105 (262) <b>Db</b> Dubnium	106 (263) <b>Sg</b> Seaborgium	107 (262) <b>Bh</b> Bohrium	108 (265) <b>Hs</b> Hassium	109 (266) <b>Mt</b> Meitnerium	110 (269) <b>Ds</b> Darmstadtium	111	112						

(Source: <http://www.pctheory.uni-ulm.de/didactics/quantenchemie/html/PSE-F.html>)

Ordering of elements according to atomic number Z and electron configuration

# Isotopes



Source: <http://moriond.in2p3.fr/radio/moriond-huyse.ppt>

Ordering of elements according to proton number  $Z$  and neutron number  $N$

# Ions

- Acceleration requires charged particles (we'll come to that...)
- Ions
  - created by removing electrons from atoms (or molecules)
  - characterized by their charge state  $Q$
  - notation for ions of atoms (i.e. isotopes)



	Z	Q	#electrons
$^{48}\text{Ca}$	20	0	20
$^{48}\text{Ca}^{10+}$	20	10	10

- notation for molecules similar
  - examples:  $\text{H}_2^+$ ,  $\text{H}_3^+$ ,  $\text{CH}_3^+$
- creation of ions from neutral particles in ion sources
- increasing of charge state by stripping in gas or foils

# Ion Masses

- Acceleration of ions depends on mass of ion

- Need to know the masses of ions

- SI units impractical:

- $m_p \approx m_n \approx 1.7 \cdot 10^{-27} \text{ kg}$

- Atomic mass units (AMU)

- definition of atomic mass unit:

$$m(^{12}\text{C}) = 12\text{u} = A \cdot u$$

- for general isotopes we define a mass number M:

$$m(^A\text{X}) = M \cdot u \approx A \cdot u$$

- small difference between A and M:

- mass excess ME:  $M = A + \text{ME}$   $\text{ME}/M < 0,1\%$  (except e.g. p, d) *but this is the origin of nuclear power...*
    - missing electrons for ions:  $m(^A\text{X}^Q) = (M - Q \cdot A_e) \cdot u$   $Z \cdot A_e / M < 0,05\%$
    - significant for high precision exp. (e.g. mass measurements in storage rings)

	SI units	AMU	ME
u	$1.661 \cdot 10^{-27} \text{ kg}$	1u	0
$m_p$	$1.672 \cdot 10^{-27} \text{ kg}$	1.007u	0.007
$m_n$	$1.675 \cdot 10^{-27} \text{ kg}$	1.009u	0.009
$m(^{12}\text{C})$	$1.993 \cdot 10^{-26} \text{ kg}$	12u	0
$m(^{48}\text{Ca})$	$7.965 \cdot 10^{-26} \text{ kg}$	47.953u	-0.047
$m_e$	$9.109 \cdot 10^{-31} \text{ kg}$	$5.5 \cdot 10^{-4} \text{ u}$	n.d.



# Ion Energies

- Experimentalists require ions with a certain kinetic energy
  - usually specified as kinetic energy per atomic mass unit
  - example:  $^{238}\text{U}^{73+}$ ,  $E=1\text{GeV/u}$ ,  $N_{\text{ions}}=10^9$  particles
  - what's this mysterious 'GeV'?
    - elementary charge:  $e = 1.602 \cdot 10^{-19}\text{C}$  charge of proton and electron (up to sign)
    - when pushed by a voltage of 1V, a particle with charge  $e$  gains energy 1eV
    - in SI units:  $1\text{eV} = 1.602 \cdot 10^{-19}\text{J}$
    - prefixes for saving digits:  $1000\text{eV}=1\text{keV}$ ,  $1000\text{keV}=1\text{MeV}$ ,  $1000\text{MeV}=1\text{GeV}$
  - what's the kinetic energy of the beam in the example?
    - $A = 238$ ,  $ME = 0.05\text{u}$ ,  $Q = 73 \rightarrow M = 238.01$ ,  $m = M \cdot u$
    - $E_{\text{ion}} = M \cdot u \cdot E = 238.01\text{GeV}$
    - $E_{\text{beam}} = N_{\text{ions}} \cdot E_{\text{ion}} = 10^9 \cdot 238.01\text{GeV} = 238.01 \cdot 10^{18}\text{eV} \approx 40\text{J}$ 
      - kinetic energy of a walking man:  $E = 1/2 \cdot m \cdot v^2 = 1/2 \cdot 80\text{kg} \cdot 1(\text{m/s})^2 = 40\text{J}$
      - if deposited in 1ml of water: heating by 10 degrees, dose 40000Gy

# Relativity: Mass and Energy

- Mass and energy are equivalent

$$E = mc^2$$

- What's the energy of a mass unit?

- speed of light (constant of nature):

$$c = 299792458 \text{ m/s}$$

- energy of 1u:

- $u \cdot c^2 \approx 1.661 \cdot 10^{-27} \text{ kg} \cdot (2.998 \cdot 10^8 \text{ m/s})^2 \approx 1.4925 \cdot 10^{-8} \text{ J}$

- $1 \text{ eV} \approx 1.602 \cdot 10^{-19} \text{ J}$

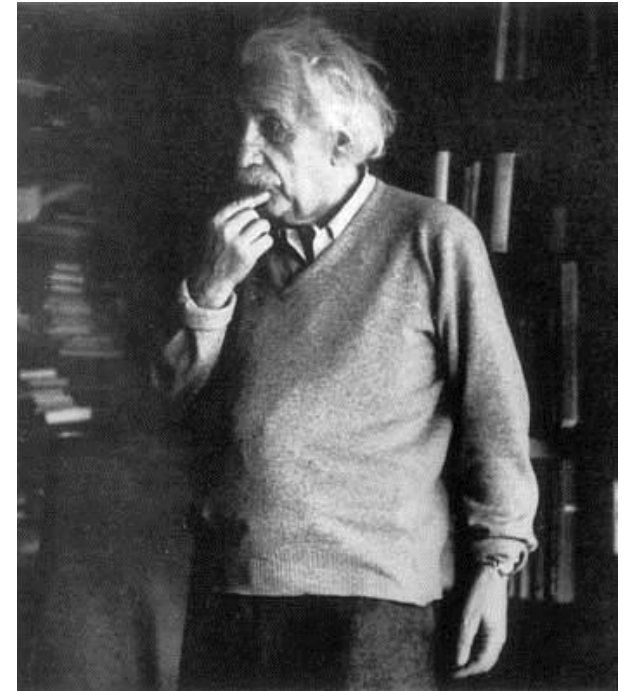
- $u \cdot c^2 \approx 931.6 \cdot 10^6 \text{ eV}$

- precise value:  $u \cdot c^2 = 931.494 \text{ MeV}$

- Expression of masses as energies in convenient units

- consider  $^{238}\text{U}^{73+}$ :  $m \cdot c^2 = M \cdot u \cdot c^2 = 238.01 \cdot u \cdot c^2 = 221.7 \text{ GeV}$

- also written as:  $m = 221.7 \text{ GeV}/c^2$

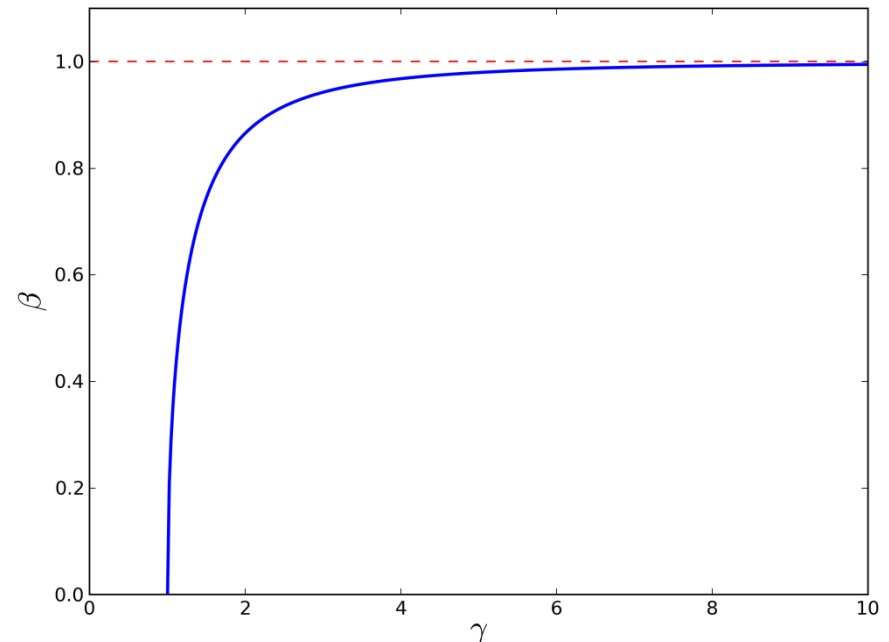


# Relativity: Energy

- Energy equivalent to mass is referred to as **rest energy**
  - will use symbol  $E_0$  from now on:  $E_0 = mc^2 = M \cdot uc^2$
  - use special symbol  $E_u$  for amu:  $E_u = uc^2$
- **Kinetic energy** of ion always proportional to mass
  - write kinetic energy as:  $E_{\text{ion}} = M \cdot E$
  - $E$  is referred to as **kinetic energy per nucleon** (or per atomic mass unit)
- **Total energy** is the sum of rest and kinetic energy
  - again proportional to mass:  $M \cdot E_{\text{tot}} = M \cdot (E + E_u)$
- Comparison of total energy to rest energy
  - defines the **relativistic gamma**:  $\gamma = E_{\text{tot}}/E_u = 1 + E/E_u$
  - examples:
    - injection into SIS18:  $E = 11.4 \text{ MeV/u} \rightarrow \gamma = 1 + 11.4/931.5 = 1.01$
    - extraction from SIS18:  $E = 1 \text{ GeV/u} \rightarrow \gamma = 1 + 1000/931.5 = 2.07$

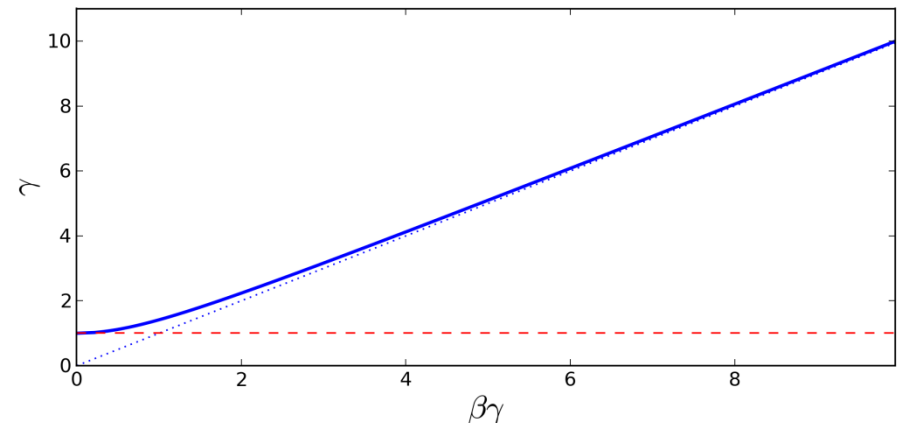
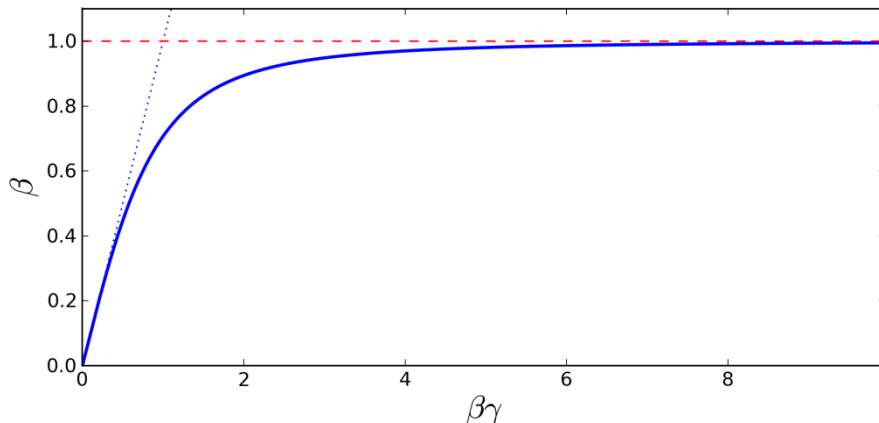
# Relativity: Velocity

- Velocity expressed in units of the speed of light
  - defines **relativistic beta**:  $v = \beta \cdot c$
- Gamma and beta are related
  - Lorentz factor:  $\gamma = 1/\sqrt{1 - \beta^2}$
  - can be inverted:  $\beta = \sqrt{1 - 1/\gamma^2}$
- Velocity bound by speed of light
  - Look again at  $\gamma = 1 + E/E_u$ 
    - $E=0 \rightarrow \gamma = 1 \rightarrow \beta = 0$
    - $E \rightarrow \infty \rightarrow \gamma \rightarrow \infty \rightarrow \beta \rightarrow 1$
    - range of beta:  $0 \leq \beta < 1$
  - Ions never reach the speed of light
    - but they can come quite close:  
(e.g. p@LHC:  $E=7\text{TeV}$ ,  $\gamma \approx 7500$ ,  $1-\beta \approx 10^{-8}$ )



# Relativity: Momentum

- Momentum is an important kinematical quantity
  - conserved if no forces act on the particle
  - deflection in a magnet is proportional to momentum (we'll come to that...)
- Related to the other relativistic parameters
  - proportional to mass:  $p_{\text{ion}} = M \cdot p$
  - non-linear relation to velocity:  $pc = \gamma \cdot \beta \cdot E_u = \beta / \sqrt{1 - \beta^2} \cdot E_u$
  - non-linear relation to energy:  $pc = \sqrt{E \cdot (E + 2 \cdot E_u)}$
  - example:  $E = 1\text{GeV} \rightarrow pc = 1692\text{GeV}$  or  $p = 1692\text{GeV}/c$  *here we've omitted the /u for convenience...*



# Relativity: Non-Relativistic Limit

- Newton's physics recovered for small energies
  - Newton's relations for momentum:
 

$p = u \cdot v$

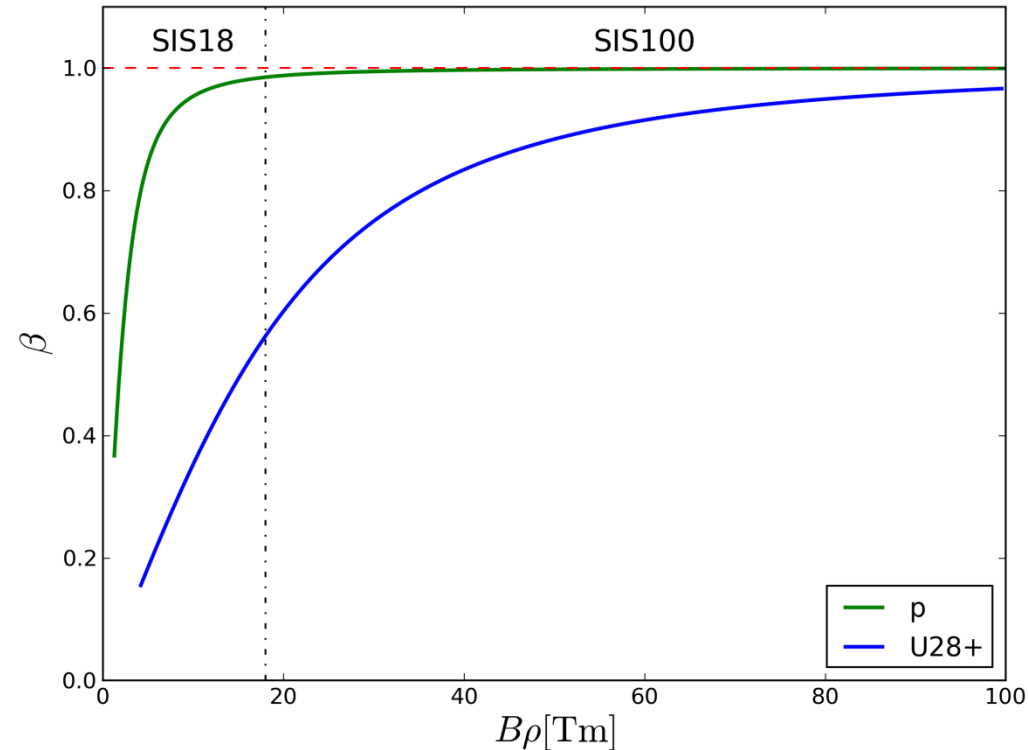
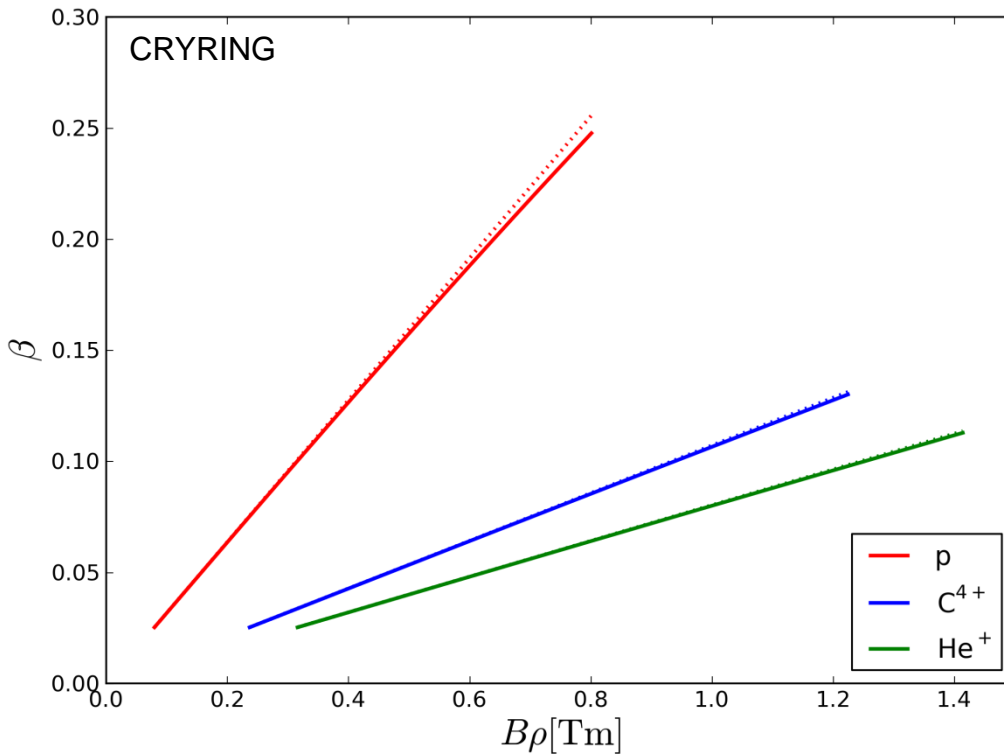
$p = \gamma(2 \cdot u \cdot E)$
  - check of velocity relation:
    - let  $\beta \rightarrow 0 \rightarrow pc = \beta / \sqrt{1 - \beta^2} \cdot E_u \rightarrow \beta \cdot E_u = c \cdot u \cdot \beta c = c \cdot u \cdot v$
  - check of energy relation:
    - let  $E \rightarrow 0 \rightarrow pc = \sqrt{E \cdot (E + 2 \cdot E_u)} \rightarrow \sqrt{2 \cdot E_u \cdot E} = c \sqrt{2 \cdot u \cdot E}$
- How far does Newton's arm reach?
  - depends on required precision
    - consider relation between momentum and velocity
    - define deviation from Newton's relation by:
 
$$\beta / (\beta \gamma) = 1 - \varepsilon \quad \text{with } 0 < \varepsilon < 1$$
    - below this  $\beta$ , deviation from linearity smaller than  $\varepsilon$



$\varepsilon$	$\gamma$	$\beta$	E
0.1	1.11111	0.436	103MeV/u
0.01	1.01010	0.141	9.4MeV/u
0.001	1.00100	0.045	932keV/u
0.0001	1.00010	0.014	93.2keV/u
0.00001	1.00001	0.004	9.32keV/u

*last line still corresponds to 1300km/s = 1.3m/μs!*

# How Relativistic are GSI and FAIR?



- UNILAC ( $\beta < 0.15$ ) and CRYRING ( $\beta < 0.25$ ) close to non-relativistic
- SIS18 and SIS100 practically always relativistic
- SIS100 for protons at extraction pretty relativistic ( $\gamma \approx 30$ )
- For comparison: LHC @ 7 TeV  $\rightarrow \gamma \approx 7500$  (now this is ultra-relativistic...)

# Lorentz Force and Magnetic Rigidity

- **Lorentz force** on charged particles in electromagnetic fields

$$\underline{F} = Q \cdot e ( \underline{E} + \underline{v} \times \underline{B} ) = M \cdot d\underline{p}/dt$$

- general equation involving 3D vector quantities ( $\underline{F}$ ,  $\underline{E}$ ,  $\underline{v}$ ,  $\underline{B}$ ,  $\underline{p}$ )
- electric field  $\underline{E}$  will accelerate particle if aligned with  $\underline{v}$
- force by magnetic field  $\underline{B}$  always perpendicular to  $\underline{v}$  -> no acceleration
- change of momentum per nucleon proportional to  $Q/M$

- Particle in homogenous magnetic field  $B$

- energy remains constant
- trajectory is circle with radius  $\rho$  satisfying:  $B \cdot \rho = M/Q \cdot p$
- fundamental relation for accelerator physics
- quantity  $B\rho$  denoted **magnetic rigidity**
- the larger  $B\rho$ , the harder it is to deflect the particle by a magnetic field
- for fixed  $p$  rigidity determined by **mass-to-charge ratio**  $M/Q$



# Manetic Rigidity: Examples

Ions		SIS18 Inj.	SIS18 Ext.	SIS100 Ext.
		E=11.4MeV/u	E=1GeV/u	E=1.5GeV/u
Ion	M/Q	Bp [Tm]	Bp [Tm]	Bp [Tm]
$^{40}\text{Ar}^{18+}$	2.22	1.08	12.5	16.6
$^{40}\text{Ar}^{10+}$	4.00	1.95	n.a.	30.0
$^{86}\text{Kr}^{33+}$	2.61	1.27	14.7	19.5
$^{86}\text{Kr}^{16+}$	5.38	2.62	n.a.	40.3
$^{238}\text{U}^{73+}$	3.26	1.59	18.4	24.4
$^{238}\text{U}^{28+}$	8.50	4.14	n.a.	63.7

Protons	SIS18 Inj. (UNILAC)	SIS18 Inj. (p-Linac)	SIS18 Ext.	SIS100 Ext.
	E=11.4MeV/u	E=70.0MeV/u	E=4GeV/u	E=29GeV/u
M/Q	Bp [Tm]	Bp [Tm]	Bp [Tm]	Bp [Tm]
1.007	0.49	1.26	16.3	100

# Deflection in Dipoles

- Dipole with a uniform field deflects a charged particle by an angle  $\theta$
- $\theta$  depends on arc length  $L$  and magnetic field  $B$
- $L$  depends on  $\theta$  and radius  $\rho$

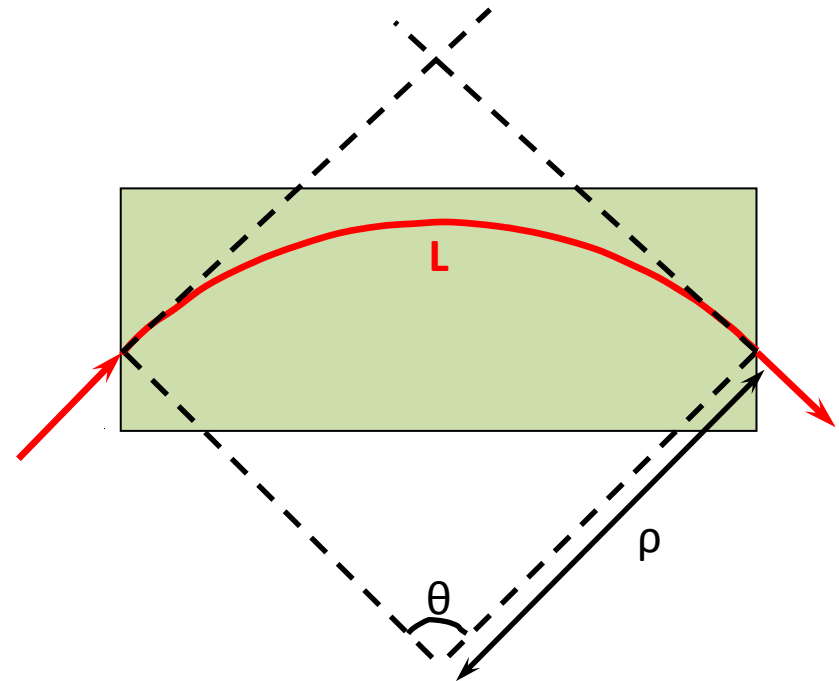
$$L = \theta \cdot \rho$$

- Particle with magnetic rigidity  $B\rho$

$$B \cdot L = \theta \cdot B\rho$$

- Quantity  $B \cdot L$  is referred to as **integral magnetic field**
- Deflection angle given by

$$\theta = B \cdot L / B\rho$$



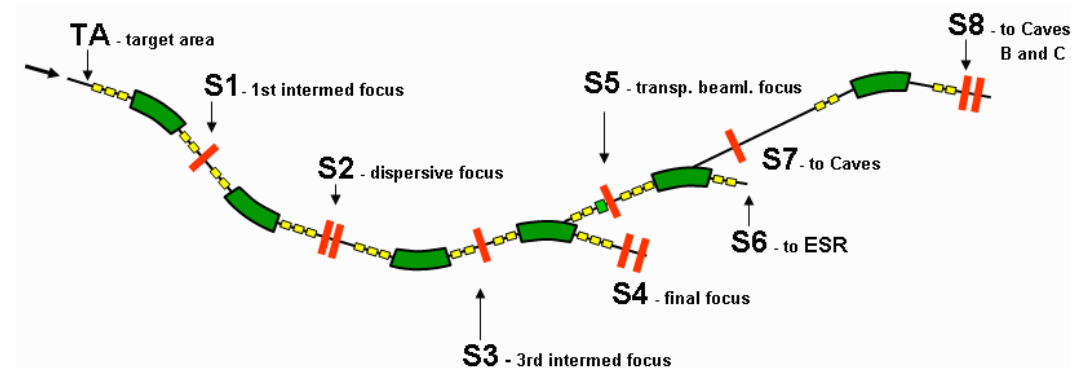
- Macroscopic angles to bend transfer lines or create circular accelerators  
→ **bending magnets**
- Microscopic angles to correct beam trajectory or closed orbit  
→ **steering magnets**

# Dipoles as Separators

- Consider particles with fixed  $p$  but different  $M$  and  $Q$  in a dipole field  $B$ 
  - assume  $B \cdot L$  same for all  $M, Q$
  - deflection angle given by
$$\theta = Q/M \cdot B \cdot L/p$$
- Charge separation
  - assume same  $M$ , but different  $Q$
  - let only one angle  $\theta$  pass and vary  $B$ , then  $Q$  proportional to  $1/B$
- Mass separation
  - assume same  $Q$ , but different  $M$
  - let only one angle  $\theta$  pass and vary  $B$ , then  $M$  proportional to  $B$



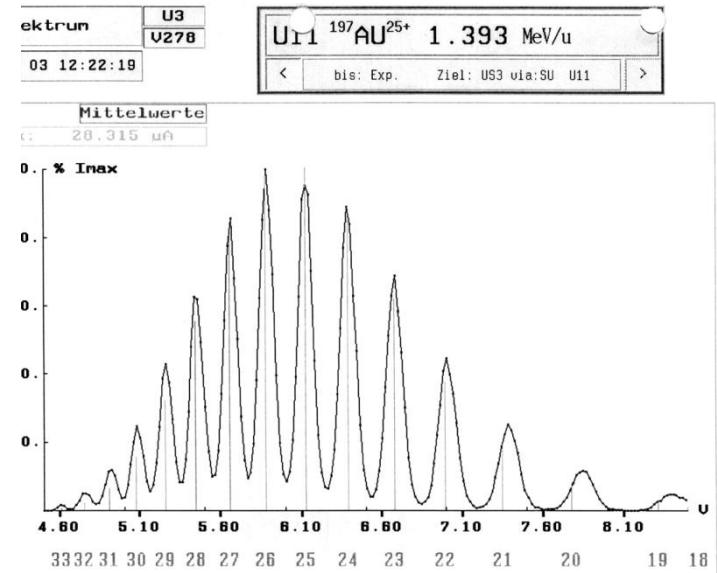
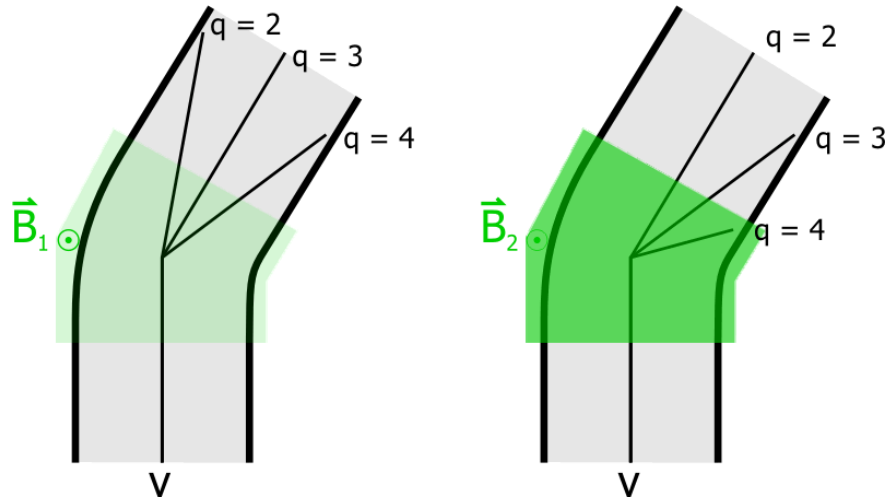
Some separator magnets are rather large...



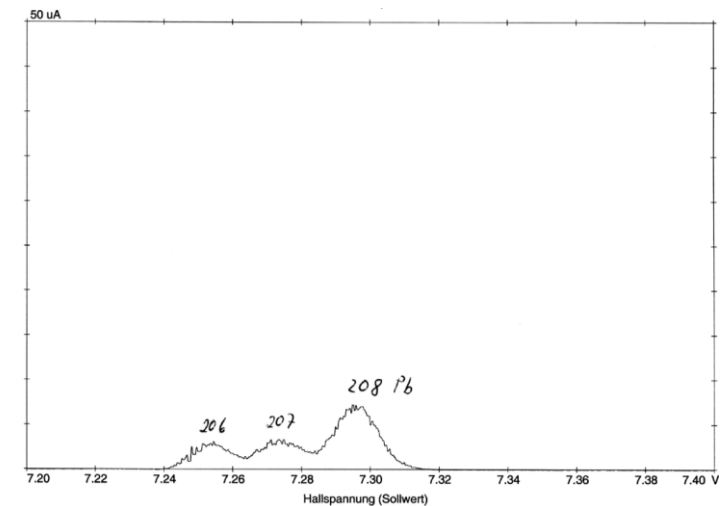
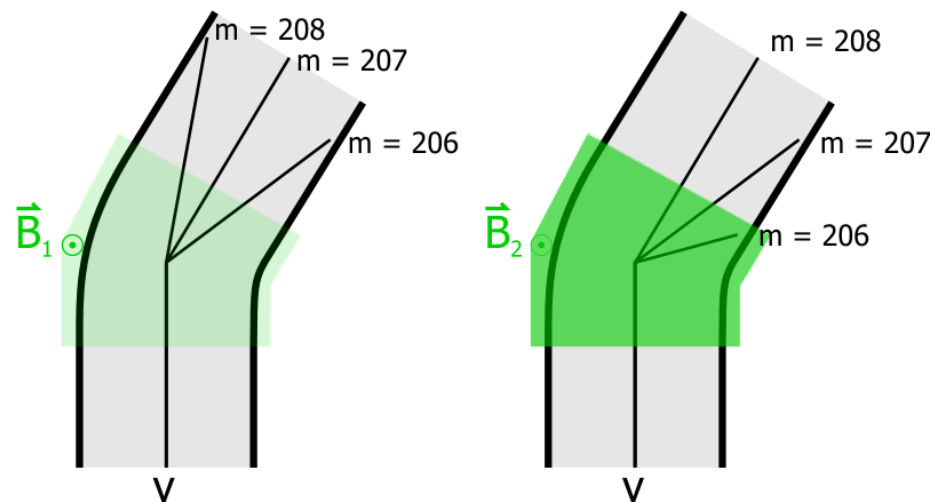
...and one can build separators with more dipoles.

# Example: Spectrometer

Charge separation behind gas stripper



Mass (isotope) separation



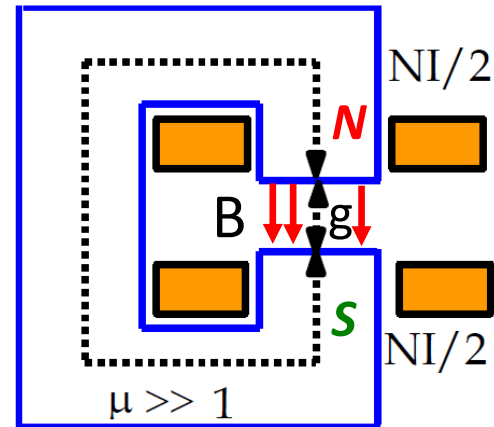
# Dipole Magnets

- Homogeneous field desired
- At GSI and FAIR electromagnets with iron core are used
- B field created in gap  $g$  between two parallel iron poles **N** and **S**
- B field excited by current in coil with  $N$  windings
- B field can be calculated

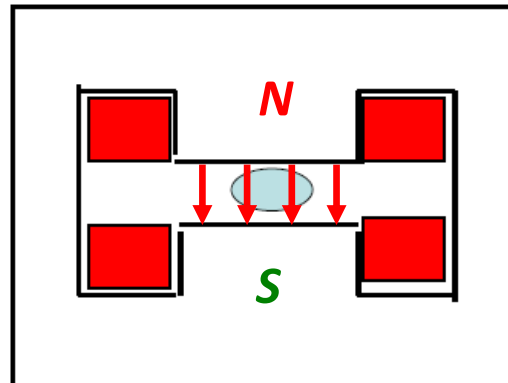
$$B = \mu_0 \cdot N \cdot I / g$$

$\mu_0 = 4\pi \cdot 10^{-7} \text{Tm/A}$  (constant of nature)

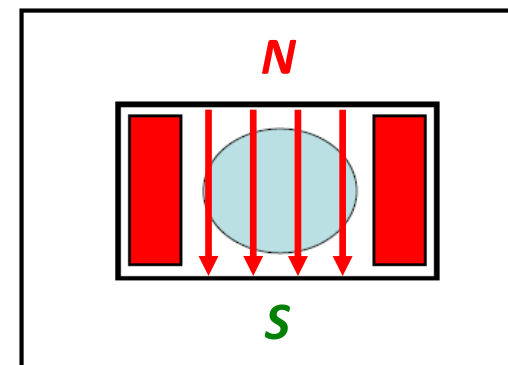
- Choice of coils
  - Normal conducting (water cooled)
    - e.g. SIS18, ESR, FRS
  - Super-conducting (liquid He cooled)
    - e.g. SIS100, Super-FRS



C core dipole  
e.g. ESR, CRYRING



H core dipole  
e.g. SIS18, SIS100



Window frame  
dipole

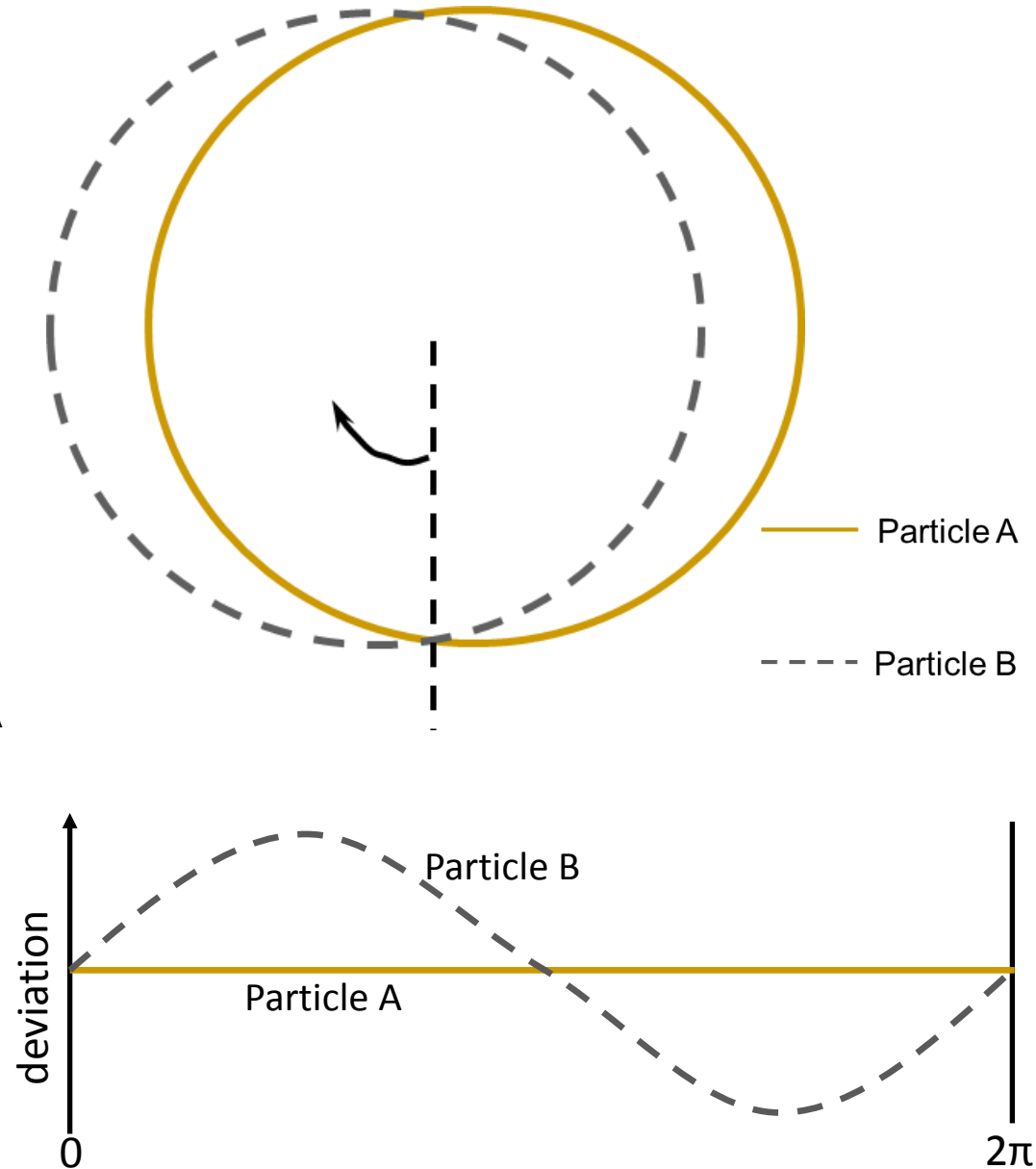
# Bending Magnets @ GSI and FAIR

Machine	Type	N	$\theta$ [deg]	$\rho$ [m]	L [m]	$B_{\max}$ [T]	$BL_{\max}$ [Tm]	$B\rho_{\max}$ [Tm]
SIS18	H	24	15	10.4	2.7	1.8	4.9	18.7
ESR	C	6	60	6.25	6.5	1.6	10.5	10.0
CRYRING	C	12	30	1.20	0.63	1.2	0.75	1.44
SIS100	H	108	3.33	52.6	3.1	1.9	5.8	100.0
CR	H	24	15	8.13	2.1	1.6	3.4	13.0
HESR	H	44	8.18	29.4	4.2	1.7	7.1	50.0

And a large variety of bending magnets in the transfer lines and at the experiments...

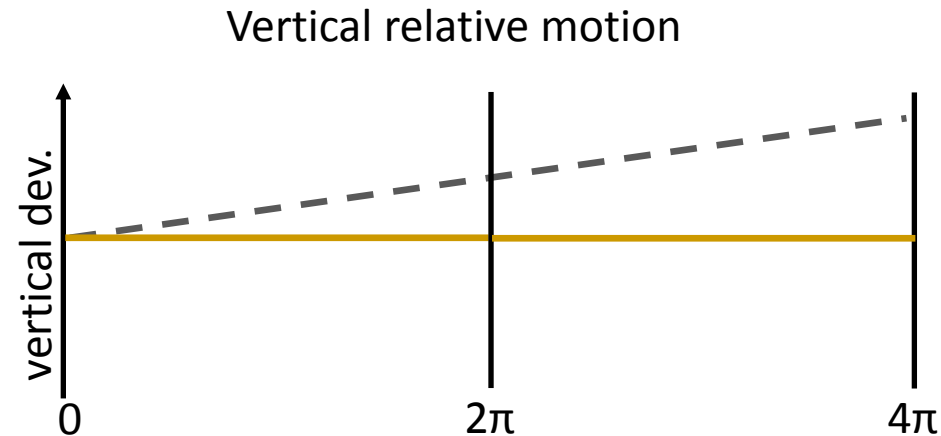
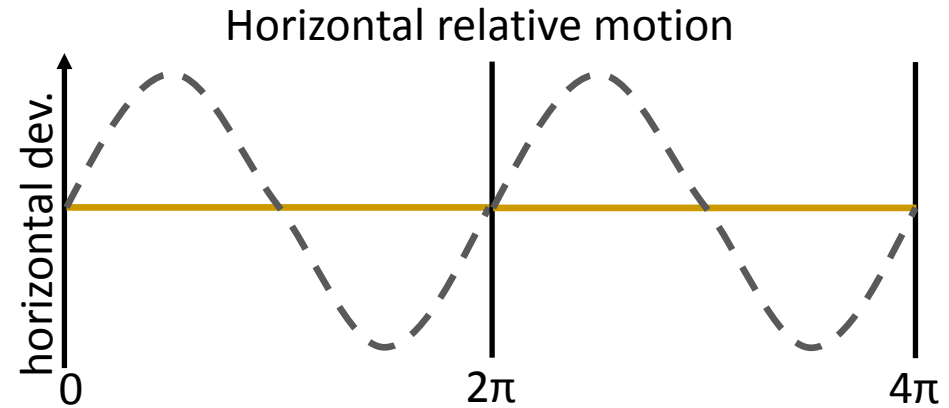
# Particle Motion in Dipole Magnets

- Can we build circular accelerators from dipoles only?
- Start two particles in same field
  - same momentum, same position
  - different angle
- Use particle A's trajectory as reference and measure deviation of B's trajectory along the circle
  - particle B oscillates around particle A
  - such oscillations characterize transverse motion in accelerators
  - referred to as **betatron oscillations**
  - more about that later... (see talk on 'Transverse Dynamics')



# Stable or Unstable Motion

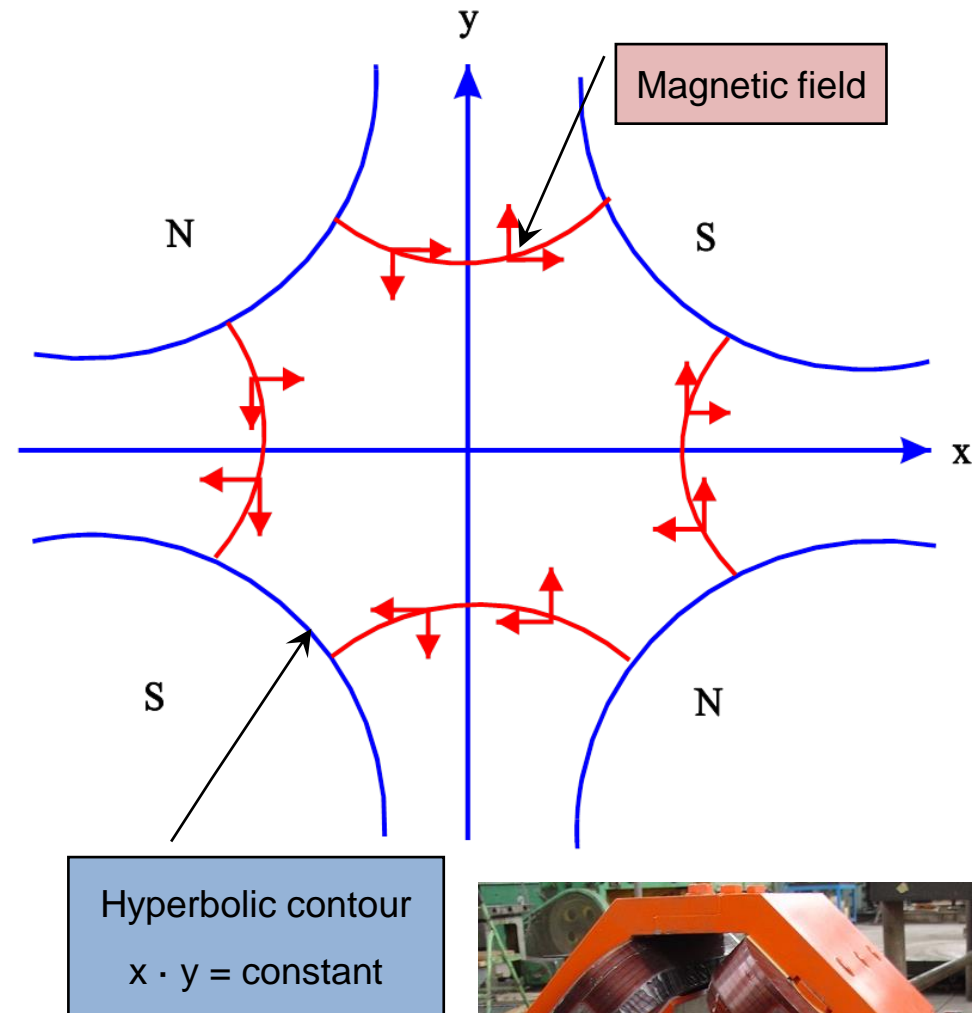
- In the previous example, horizontal trajectories close on themselves
  - could be repeated infinitely
  - motion considered to be **stable**
  - **focusing effect** of the dipole field
- What about the vertical motion?
  - particle A at zero position and angle
  - particle B with same position but small angle at start
  - the dipole field has no influence on the vertical motion of both particles
  - position of particle B grows without bounds over many turns
  - motion considered to be **unstable**
- Need focusing in the vertical direction





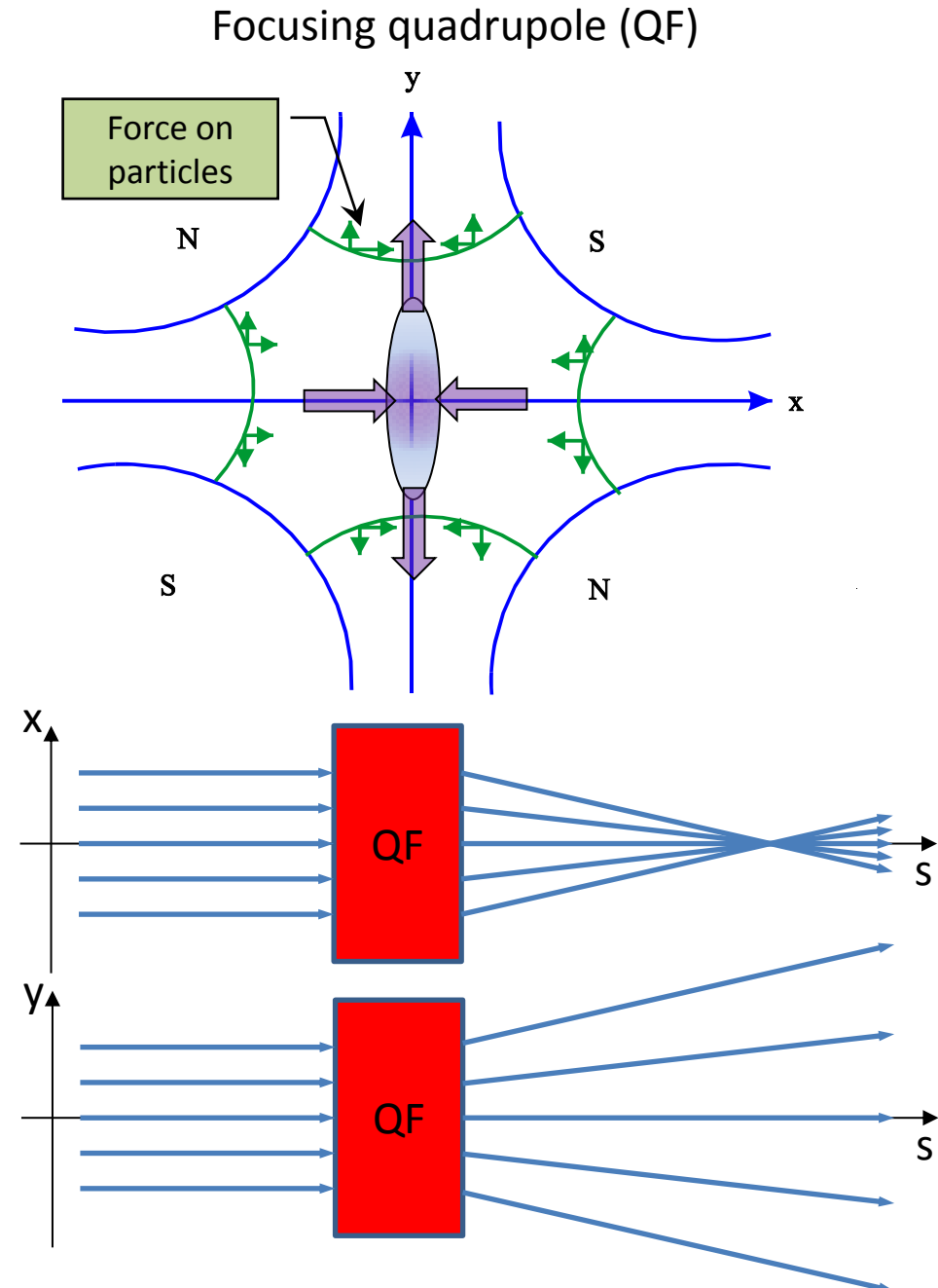
# Quadrupole Magnets

- Quadrupole magnet has 4 poles symmetric about the center
- By symmetry the B field on the longitudinal axis is zero
  - particle passes straight through the center with no deflection
  - quadrupole magnet is straight
- B field depends linearly on position
  - horizontal direction:  $B_y = B' \cdot x$
  - vertical direction:  $B_x = -B' \cdot y$
  - $B'$  referred to as **field gradient**
- Integral quadrupole strength
  - $k \cdot L = B' \cdot L / B\rho$
  - focusing power for particles with  $B\rho$
  - analogous to angle of a dipole



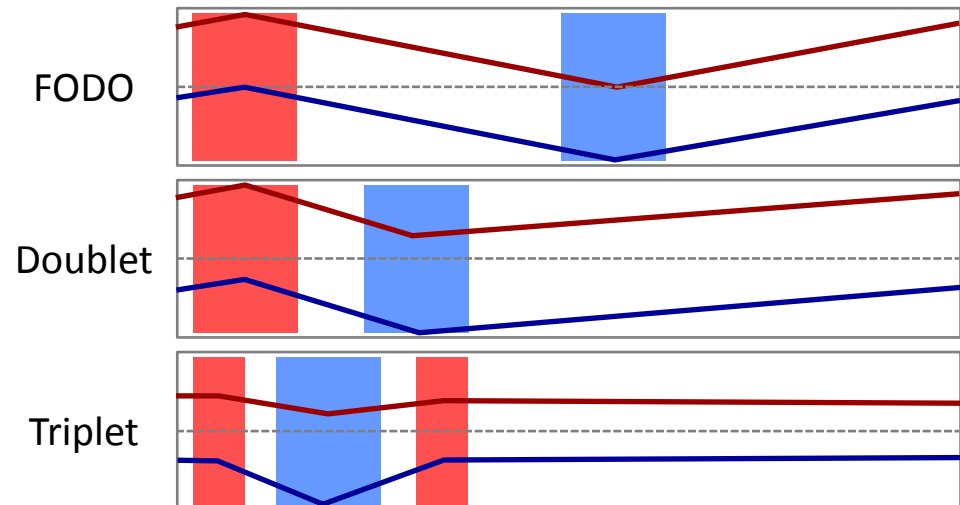
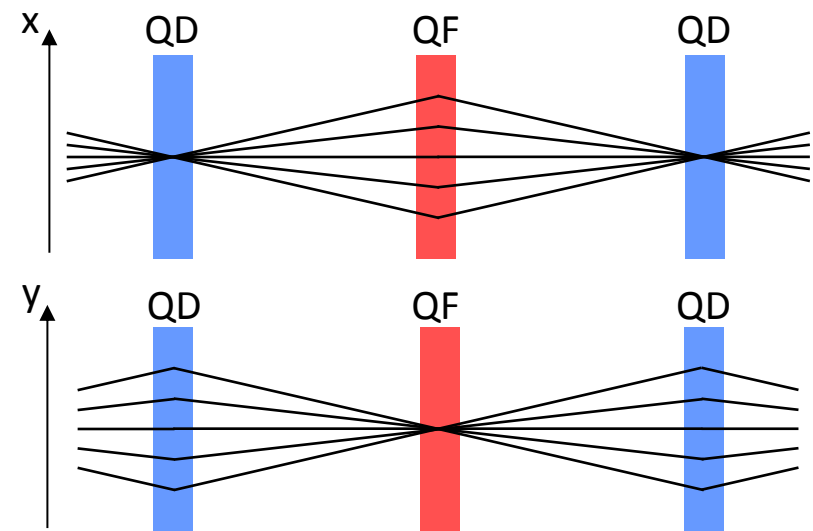
# Effect of Quadrupole Magnets

- Forces on particles determined by arrangement of poles
  - arrangement  $\begin{smallmatrix} N & S \\ S & N \end{smallmatrix}$ 
    - focusing in horizontal plane
    - defocusing in vertical plane
    - denoted **focusing quadrupole (QF)**
  - arrangement  $\begin{smallmatrix} S & N \\ N & S \end{smallmatrix}$ 
    - defocusing in horizontal plane
    - focusing in vertical plane
    - denoted **defocusing quadrupole (QD)**
- Forces linear in transverse position
  - particles receive deflection proportional to their position
  - similar to lenses in optics except that lenses (de)focus in both planes



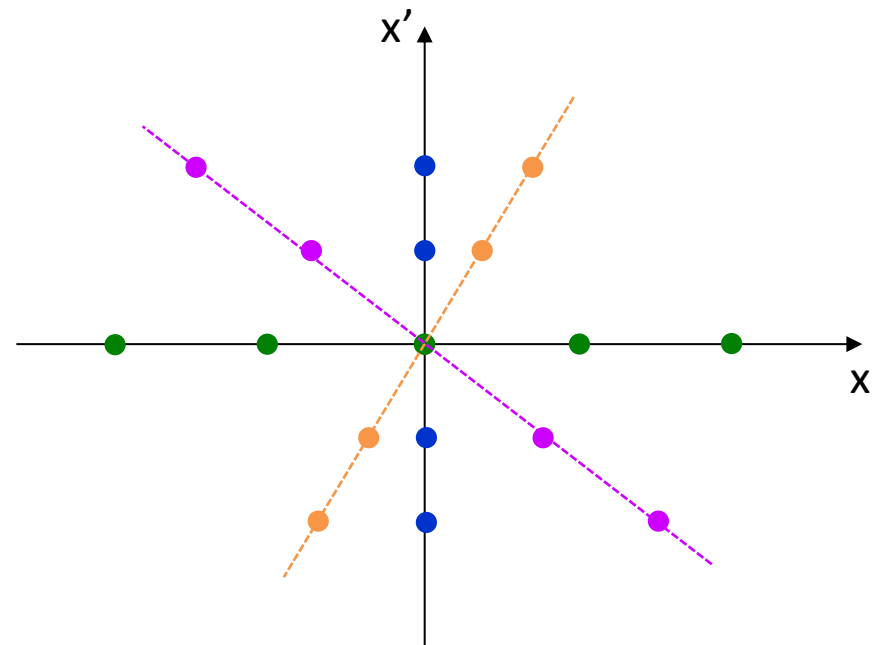
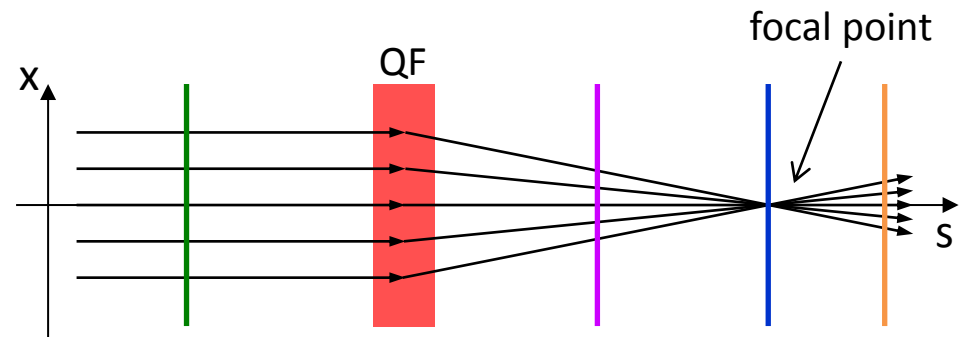
# Focusing with Quadrupole Magnets

- Neither QF nor QD can focus in both x and y simultaneously, but...
- Combinations of QF and QD with overall focusing in x and y possible
- Idea:
  - put QD in place where horizontal offsets are small
  - put QF in place where vertical offsets are small
  - then effect of QD on x respectively QF on y should be small
- Different arrangements possible
  - FODO: smallest field gradients
  - Doublet: long free section
  - Triplet: symmetric beams



# Transverse Phase Space

- Consider again focusing by QF in the horizontal plane
  - parallel input trajectories  
→ particles characterized by position  $x$
  - at focal point, all particles have  $x = 0$   
→ particles characterized by angle  $x'$
- In general, position  $x$  and angle  $x'$  needed to fully describe particle
  - aggregated into **vector**  $\begin{pmatrix} x \\ x' \end{pmatrix}$
  - can be depicted in 2D coordinate system called **phase space**
  - convergent trajectories have negative  $x'$  for positive  $x$  and vice versa
  - opposite sign for divergent trajectories
- Vertical plane completely analogous



# Quadrupole Effect Quantitatively

- Consider thin quadrupole at  $s = 0$
- Input vector (just in front of quad)

$$\begin{pmatrix} x \\ x' \end{pmatrix}_{\text{in}} = \begin{pmatrix} x_1 \\ 0 \end{pmatrix}$$

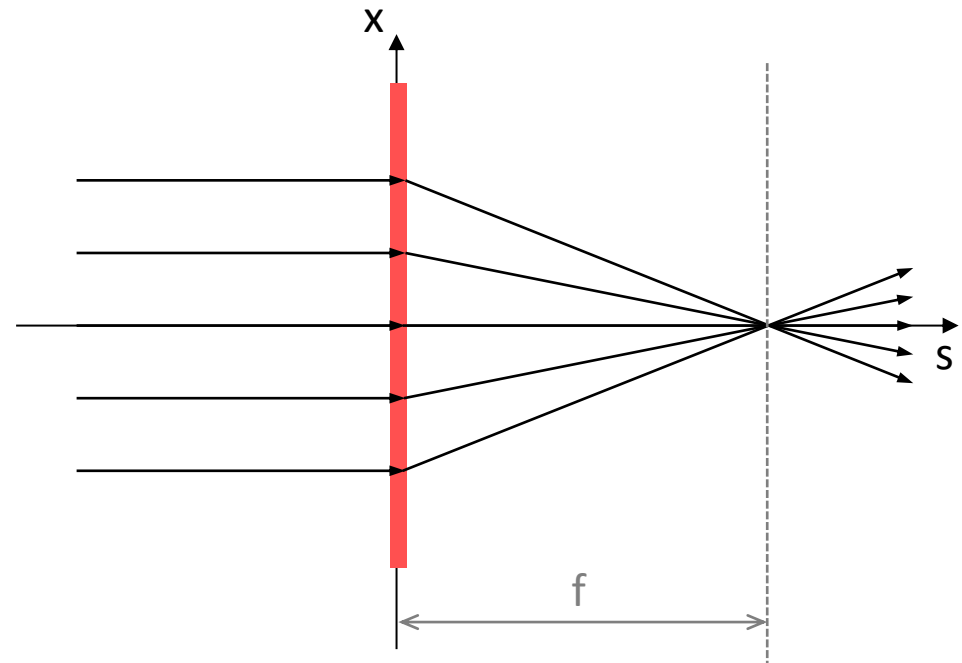
- Output vector (just behind quad)

$$\begin{pmatrix} x \\ x' \end{pmatrix}_{\text{out}} = \begin{pmatrix} x_1 \\ -x_1/f \end{pmatrix}$$

- same position  $x_1$  (thin quad)
- angle of trajectory:  $-x_1/f$  ( $x_1 \ll f$ )
- Vector downstream of quad at  $s$

$$\begin{pmatrix} x \\ x' \end{pmatrix}(s) = \begin{pmatrix} x \\ x' \end{pmatrix}_{\text{out}} + \begin{pmatrix} -x_1/f \cdot s \\ 0 \end{pmatrix}$$

- angle fixed in **drift space**
- position change linear in  $s$



# Matrix Formalism

- Matrices and vectors
  - mathematical structures with a very powerful calculus (linear algebra)
  - easily implemented in computer programs
  - very convenient for representing particle transport through accelerators with linear forces
  - takes some time getting used to it...

Multiplication rules for matrices and vectors

Matrix · Vector = Vector

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} a \cdot x + b \cdot y \\ c \cdot x + d \cdot y \end{pmatrix}$$

Matrix · Matrix = Matrix

$$\begin{pmatrix} a_1 & b_1 \\ c_1 & d_1 \end{pmatrix} \cdot \begin{pmatrix} a_2 & b_2 \\ c_2 & d_2 \end{pmatrix} = \begin{pmatrix} a_1 a_2 + b_1 c_2 & a_1 b_2 + b_1 d_2 \\ c_1 a_2 + d_1 c_2 & c_1 b_2 + d_1 d_2 \end{pmatrix}$$

Multiplication not commutative...

$$M_1 \cdot M_2 \neq M_2 \cdot M_1$$

..but associative

$$(M_1 \cdot M_2) \cdot M_3 = M_1 \cdot (M_2 \cdot M_3)$$

# Quadrupoles and Drifts as Matrices

- Effect of thin quadrupole with focal length  $f$  described by matrix

$$\begin{pmatrix} x_2 \\ x'_2 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -1/f & 1 \end{pmatrix} \cdot \begin{pmatrix} x_1 \\ x'_1 \end{pmatrix} = \begin{pmatrix} x_1 \\ x'_1 - x_1/f \end{pmatrix}$$

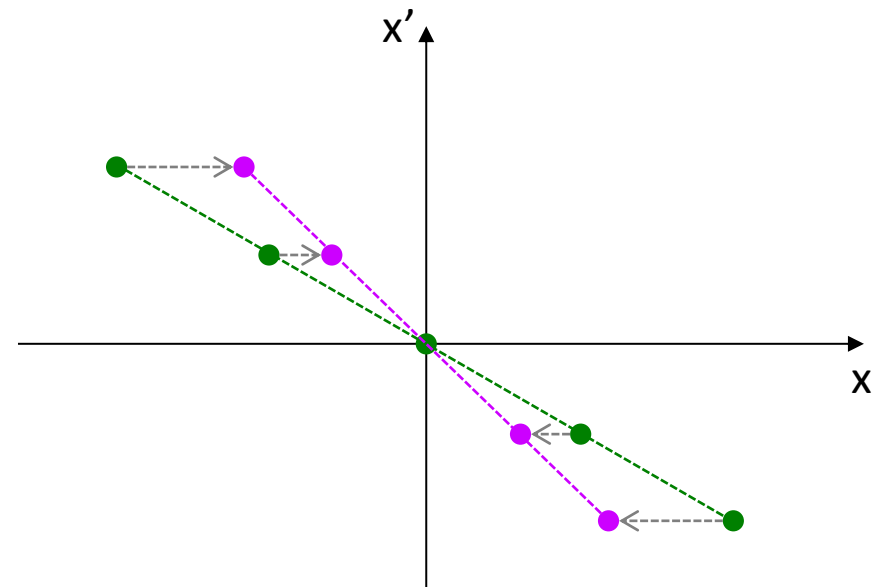
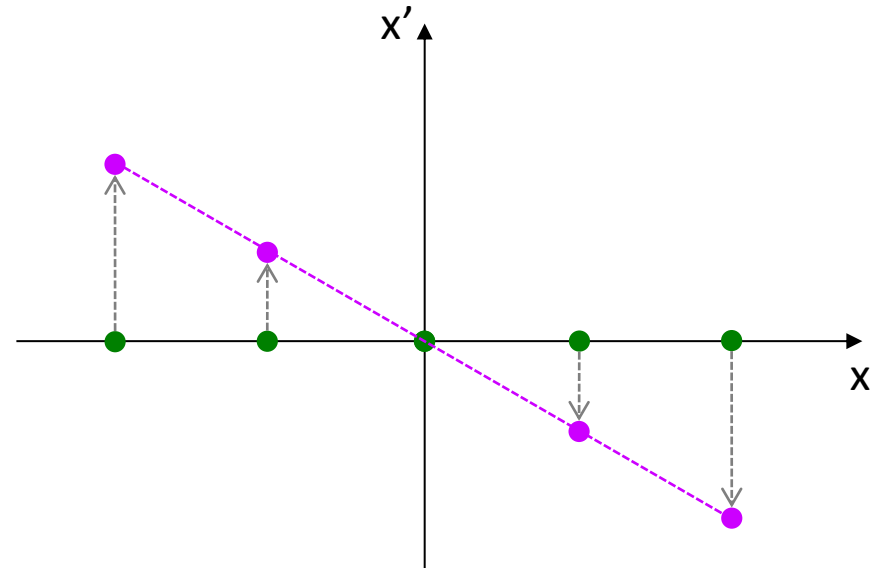
- first row: position  $x$  is unchanged and does not depend on angle  $x'$
- second row: quad changes angle  $x'$  by amount proportional to position  $x$

- Effect of drift space of length  $L$

$$\begin{pmatrix} x_2 \\ x'_2 \end{pmatrix} = \begin{pmatrix} 1 & L \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} x_1 \\ x'_1 \end{pmatrix} = \begin{pmatrix} x_1 + L \cdot x'_1 \\ x'_1 \end{pmatrix}$$

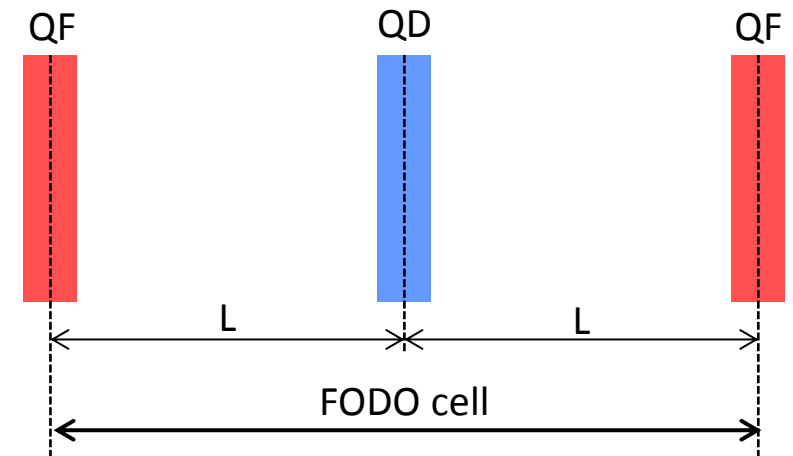
- first row: position  $x$  changes by amount proportional to angle  $x'$
- second row: angle unchanged

- Can be visualized in phase space



# FODO Focusing

- Alternating focusing and defocusing quadrupoles separated by drift spaces
- FODO cell can be repeated many times (periodic FODO channel)
- Dipole magnets installed between quadrupoles for curved accelerators
  - approximately like drift spaces
- Efficient focusing structure regarding necessary fields for given aperture
- Periodic solution exists
  - output beam same as input beam
  - ellipses in phase space
  - other beam shapes may lead to troubles (mismatch)



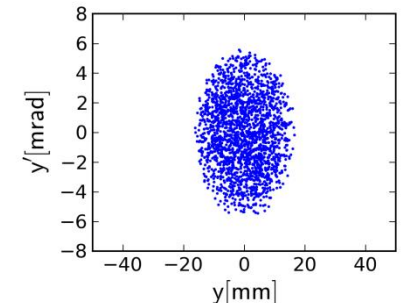
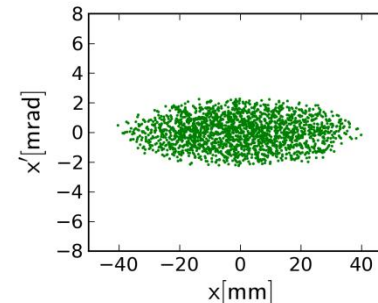
*Matrix formalism allows to split quads easily...*

Matrix of FODO cell

$$M = M_{QF/2} \cdot M_D \cdot M_{QD} \cdot M_D \cdot M_{QF/2}$$

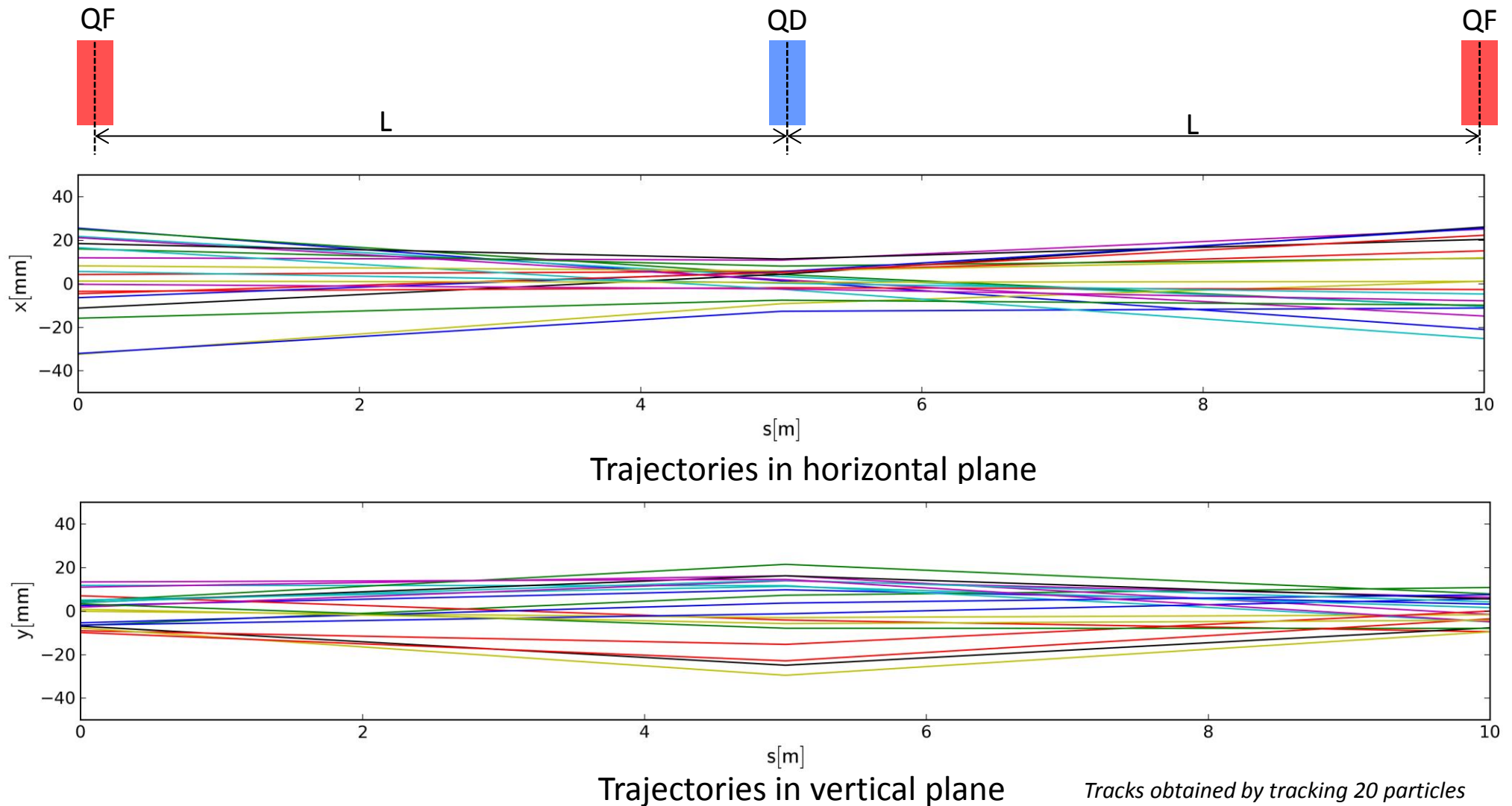
$$= \begin{pmatrix} 1 - \frac{L^2}{2f^2} & 2L\left(1 + \frac{L}{2f}\right) \\ -\frac{L^2}{2f^2}\left(1 - \frac{L}{2f}\right) & 1 - \frac{L^2}{2f^2} \end{pmatrix}$$

*Just for the curious and brave...*



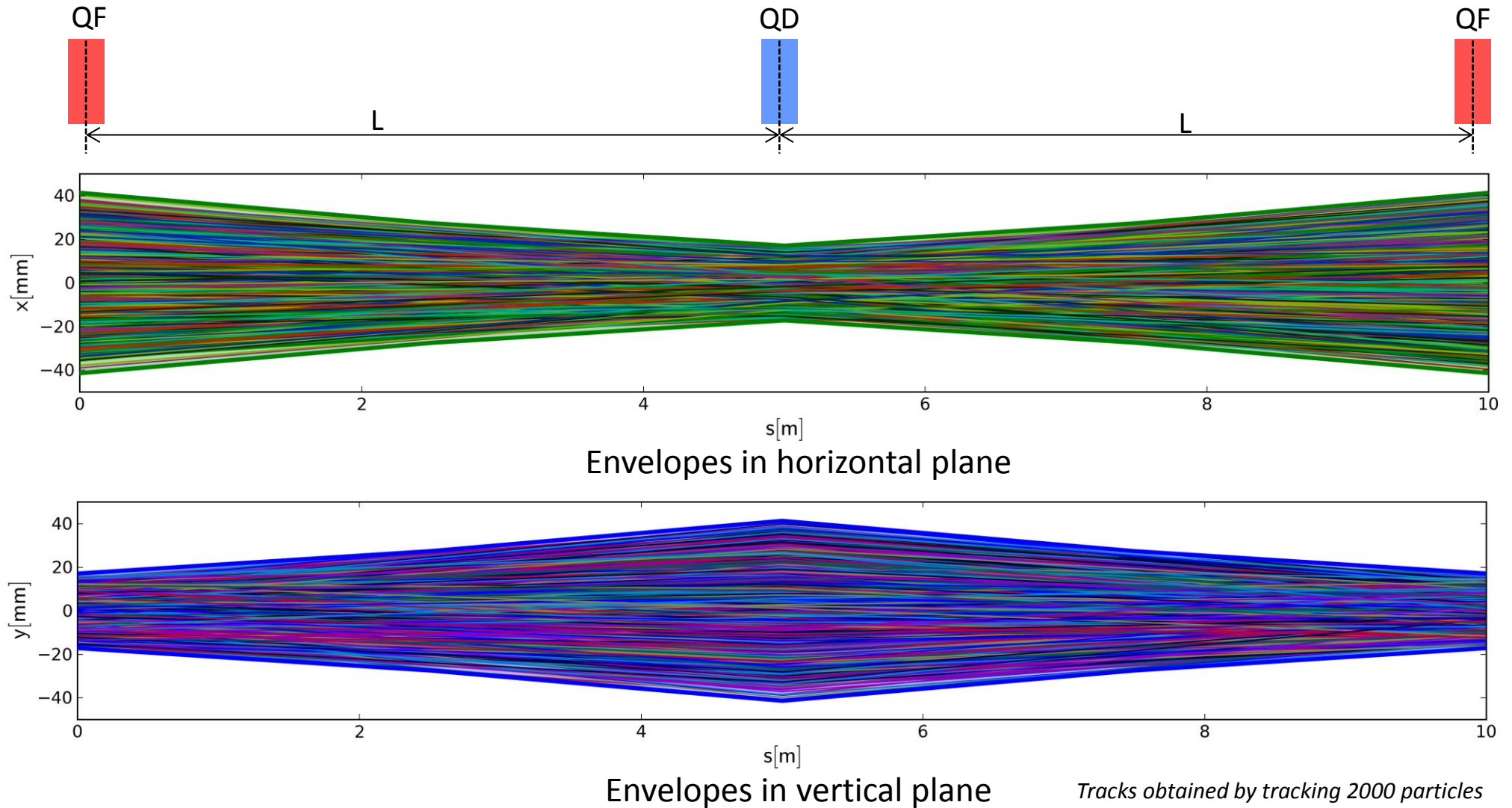


# FODO Tracking: Trajectories



- Particle tracks obtained by tracking random sample of particles
- General properties of FODO structure visible, but where are the limits?

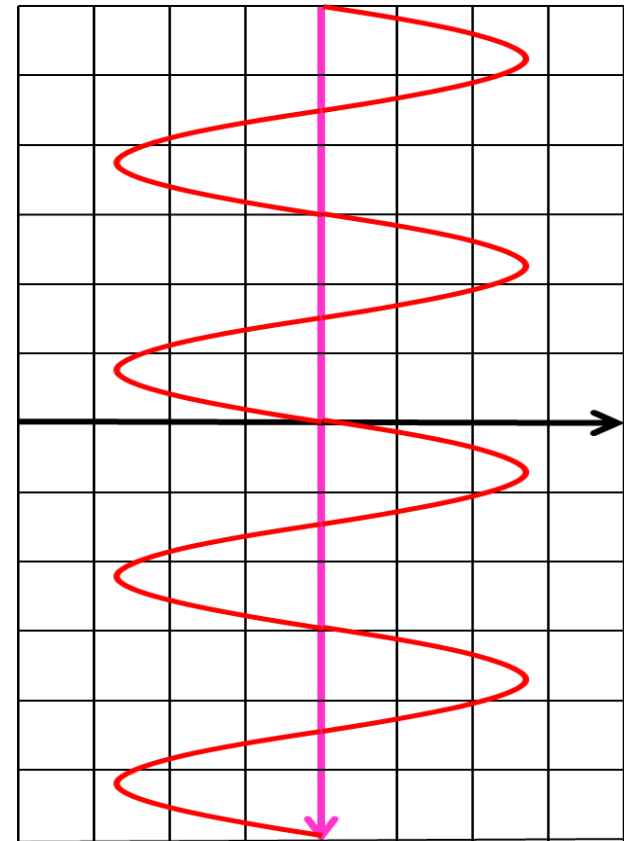
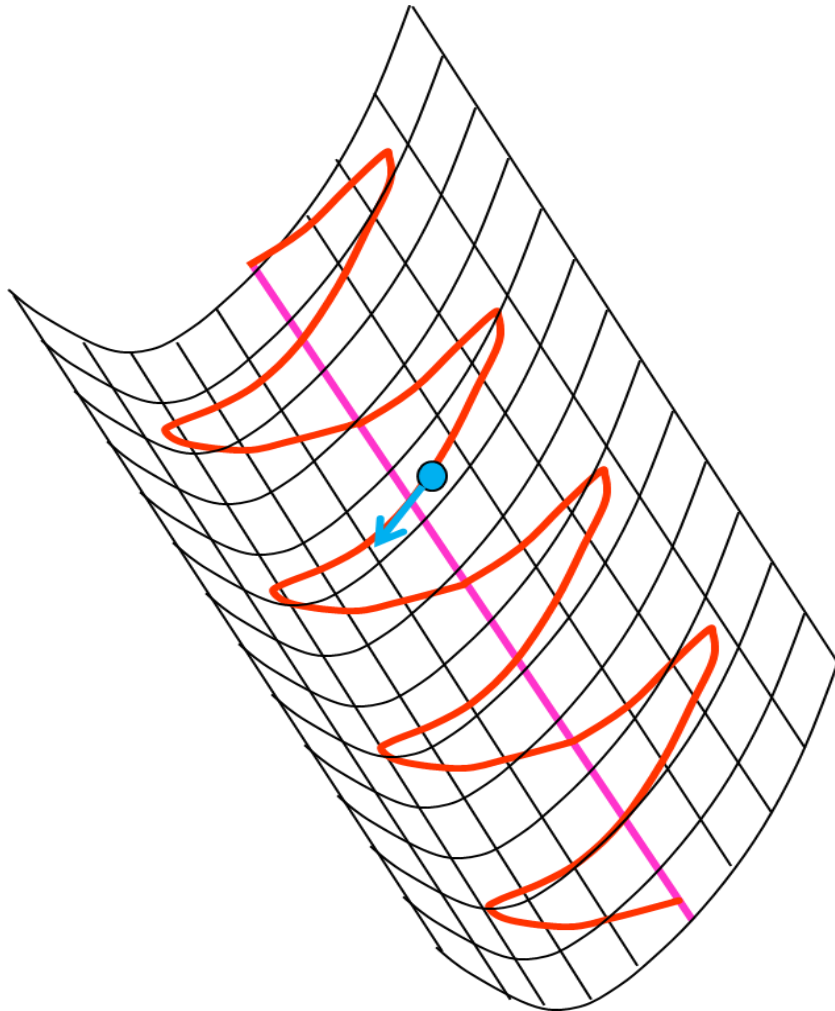
# FODO Tracking: Envelopes



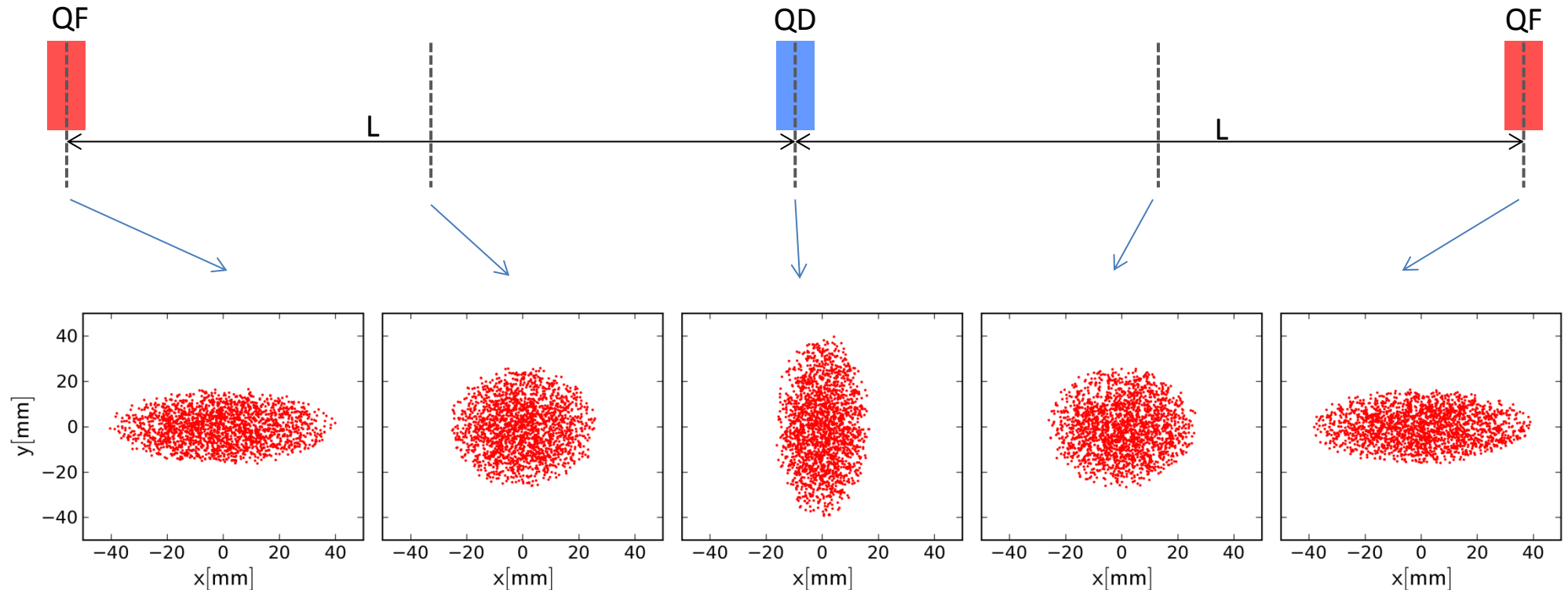
- Shape of beam emerges when tracking lots of particles
- Envelope can actually be calculated from  $L$  and  $f$  ( $\rightarrow$  *talk on transverse optics*)

# FODO Focusing: Mechanical Analogue

- Ball rolling without friction in a gutter can't escape due to force of gravity
- Particles diverging from nominal orbit focused back by quadrupoles



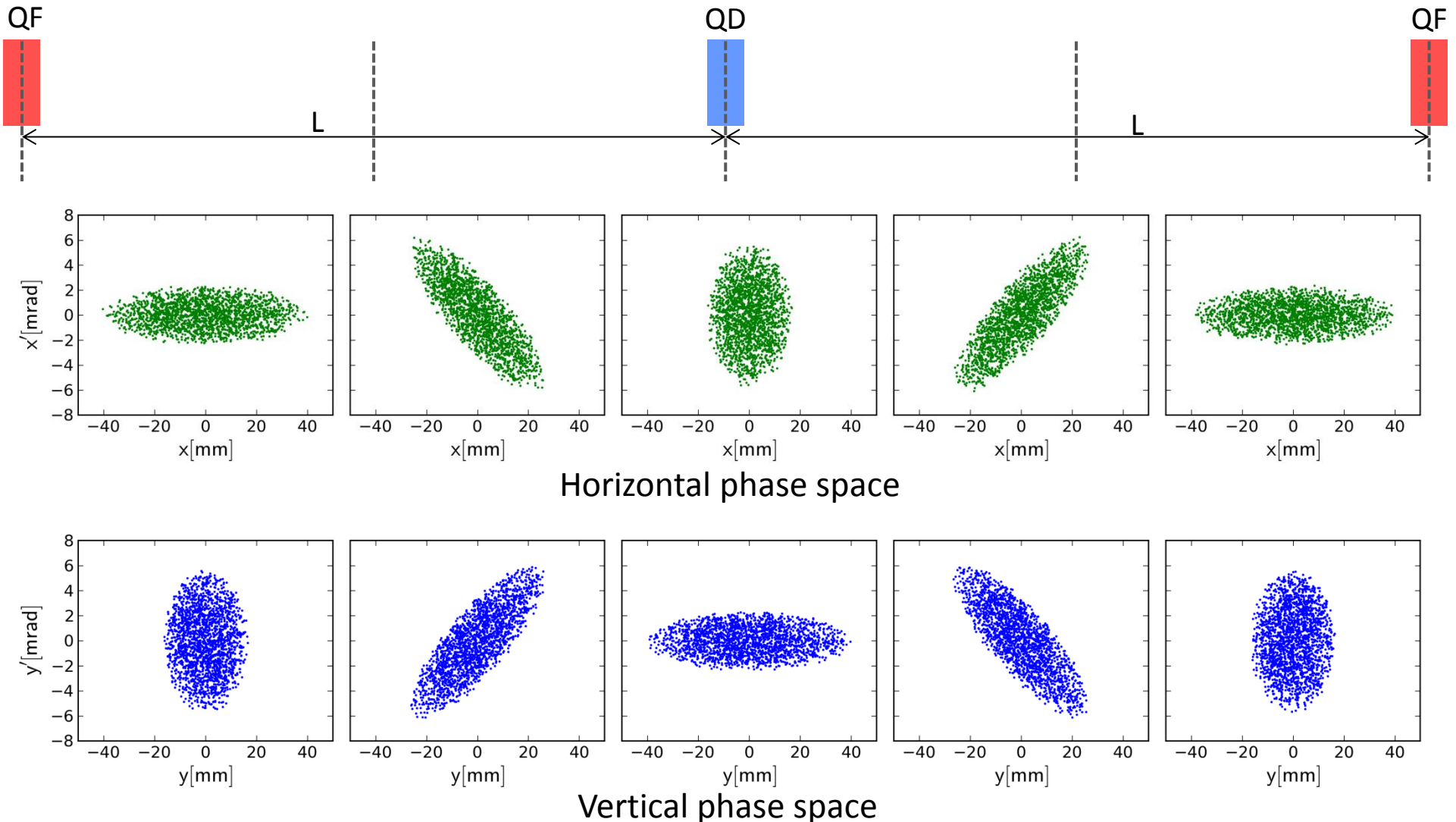
# FODO Tracking: Cross section



Beam cross sections in x-y

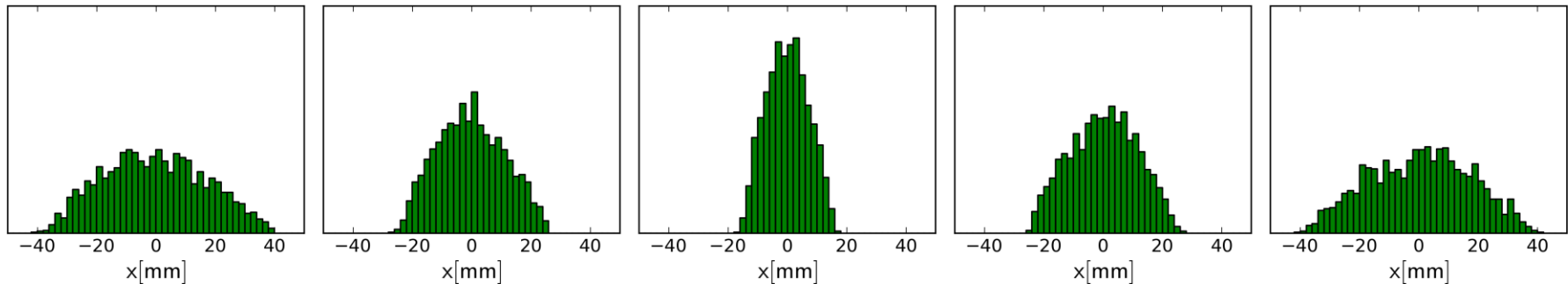
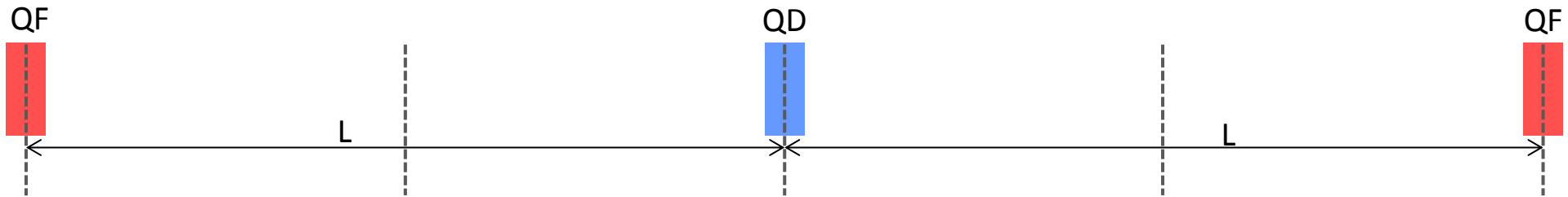
- Cross sections reflect the change of beam size through FODO cell
- In real accelerator observable using scintillating screens
  - not possible for beam in a circular accelerator
  - typically not inside of quadrupoles, of course...

# FODO Tracking: Phase Space

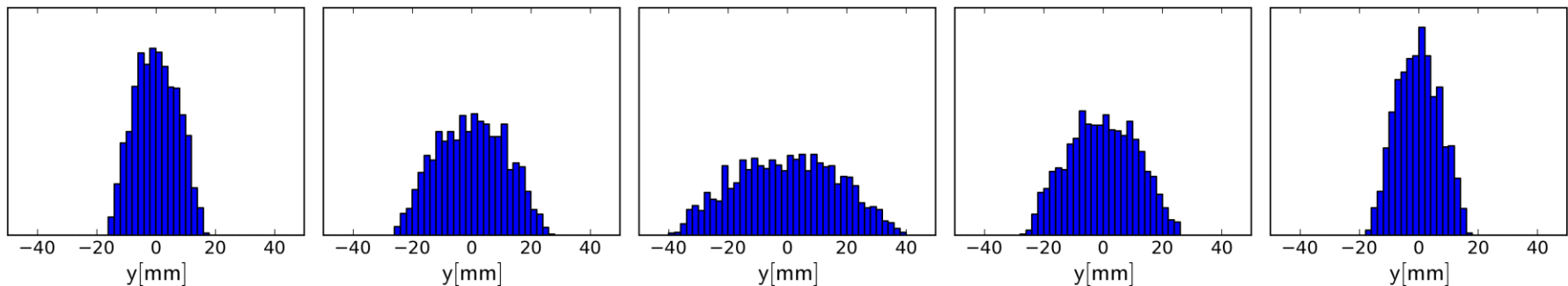


- Important property of beam, but not directly observable in a real accelerator
  - how would you measure the angle of a trajectory simultaneously with position?

# FODO Tracking: Beam Profiles



Horizontal beam profiles

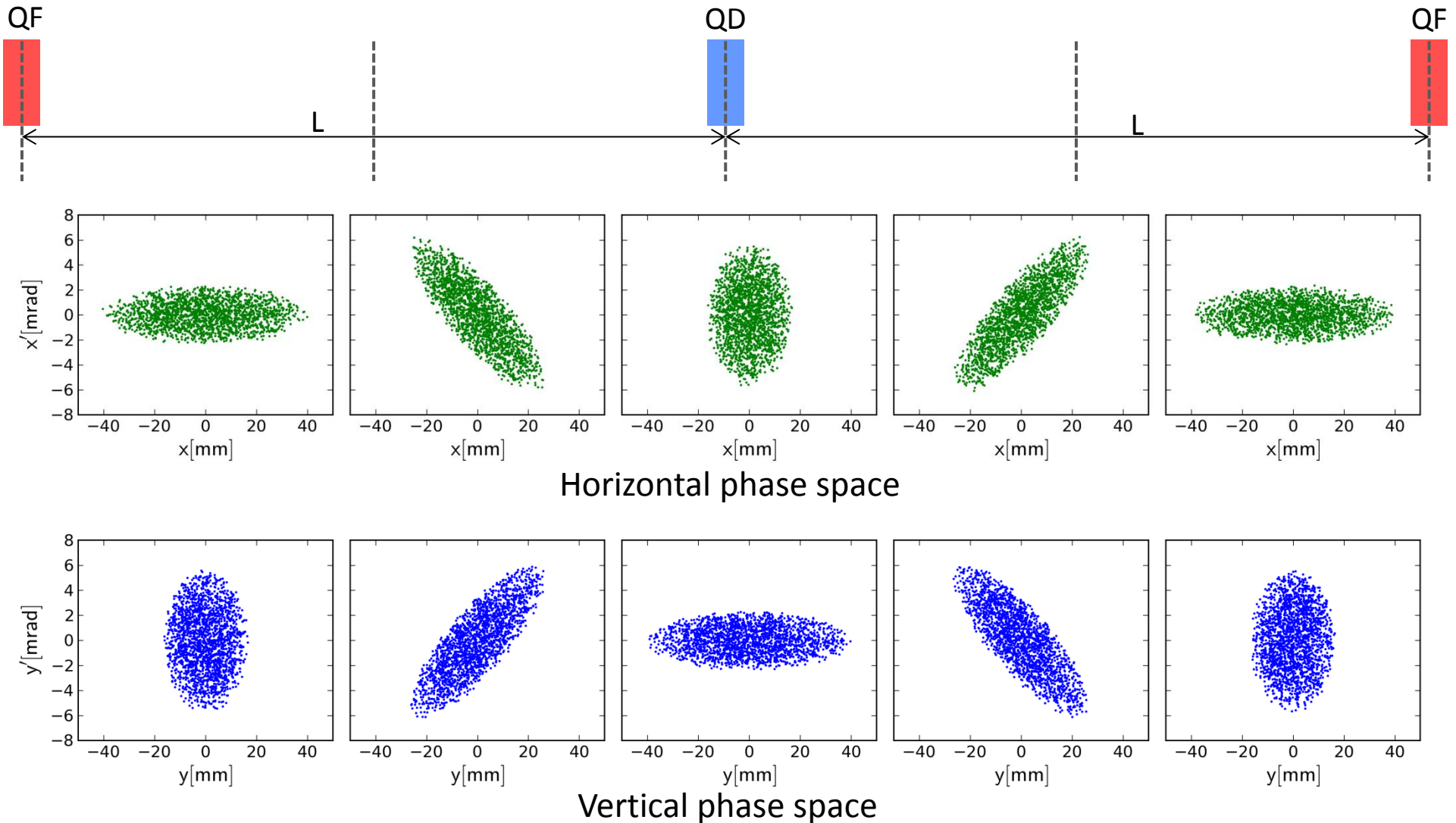


Vertical beam profiles

- Projections of phase space onto position can be observed
  - beam profiles measured by SEM-grids, MWPCs, BIFs, IPMs
  - very important information for set-up of accelerators and beamlines



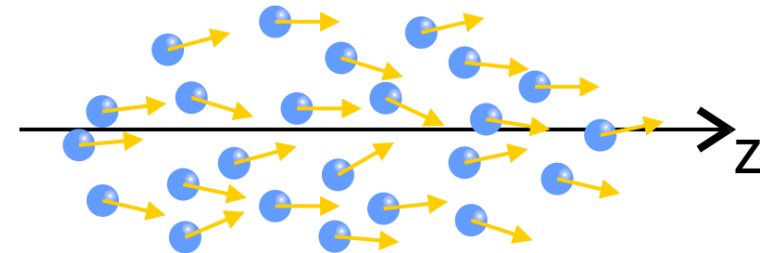
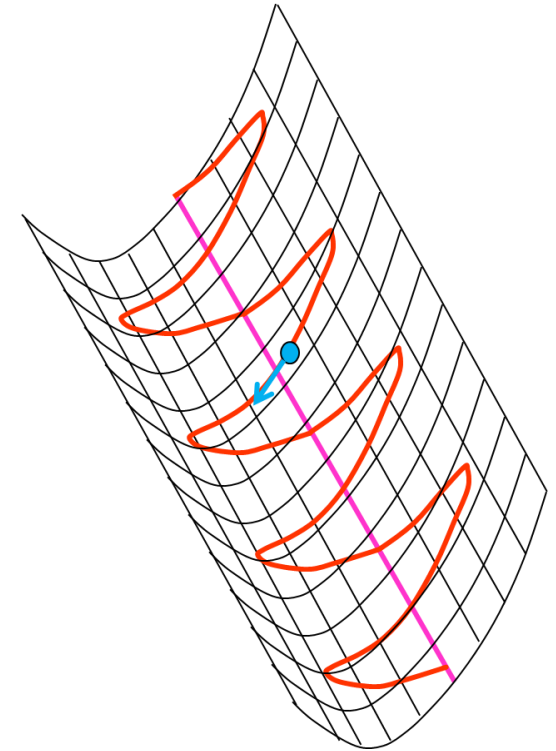
# FODO Tracking: Mismatch



- Important property of beam, but not directly observable in a real accelerator
  - how would you measure the angle of a trajectory simultaneously with position?

# Emittance

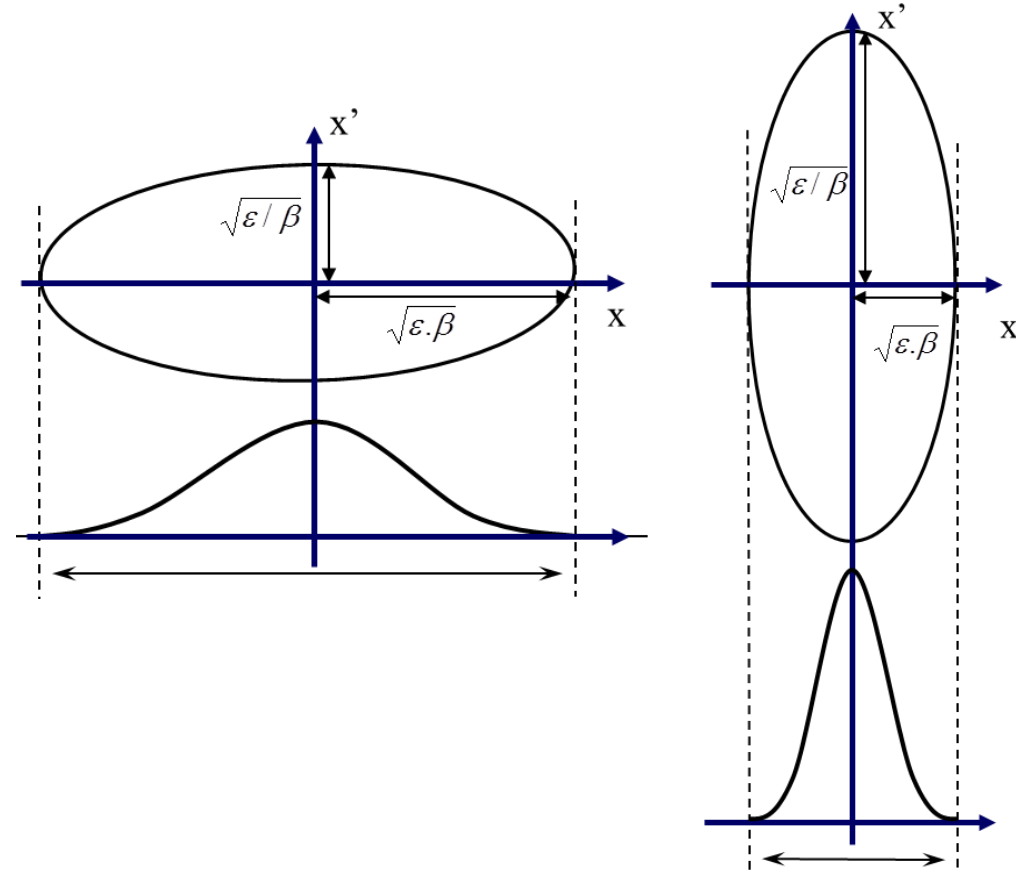
- Oscillation amplitude of single particles normally conserved
  - remember the frictionless gutter: ball will oscillate forever
  - measure of transverse energy
- Transverse energy referred to as **single particle emittance**
  - transverse energy created by random motion in the source
  - conserved (at best) until final target
  - measure of disorder of particles in the beam
  - impossible to create truly parallel beam (would be completely ordered)





# Beam Size and Emittance

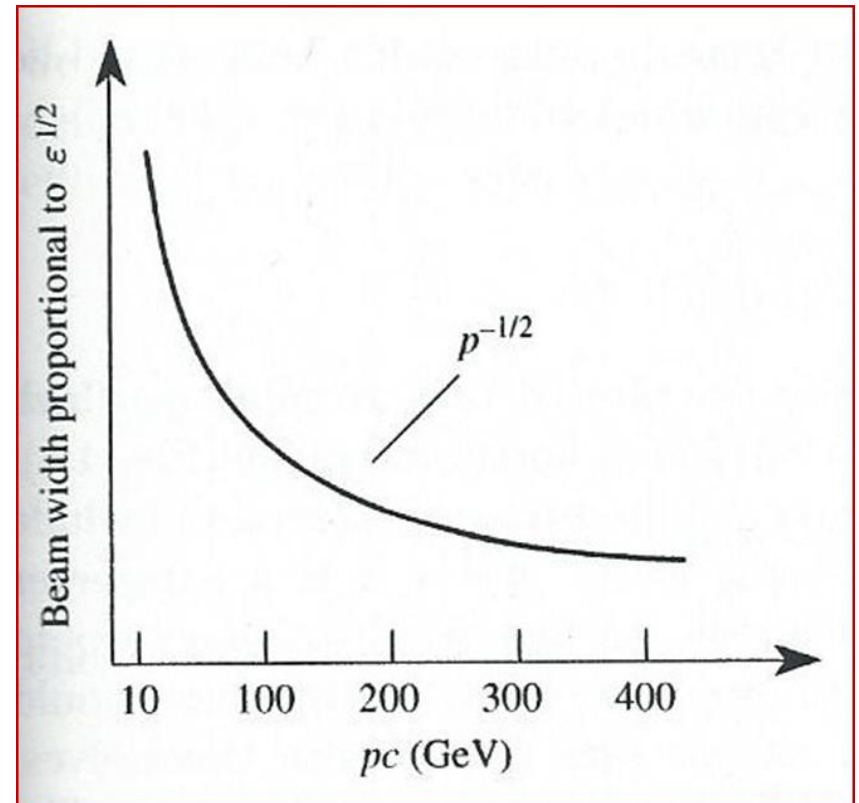
- Periodic solution of FODO cell characterized by ellipses
  - aspect ratio defined by quadrupoles
  - for upright ellipses, aspect ratio described by **beta functions**  $\beta_{x/y}$
  - area of ellipse written as
$$A_{x/y} = \pi \cdot \epsilon_{x/y}$$
  - emittance  $\epsilon_{x/y}$  measure for beam size
$$r_{x/y} = \sqrt{\epsilon_{x/y} \cdot \beta_{x/y}}$$
- Emittance is constant in FODO cell
  - small width implies large angle spread
  - limits the beam spot size at a target
  - impossible to create parallel beam



*Skew ellipses → talk on Transverse Optics*

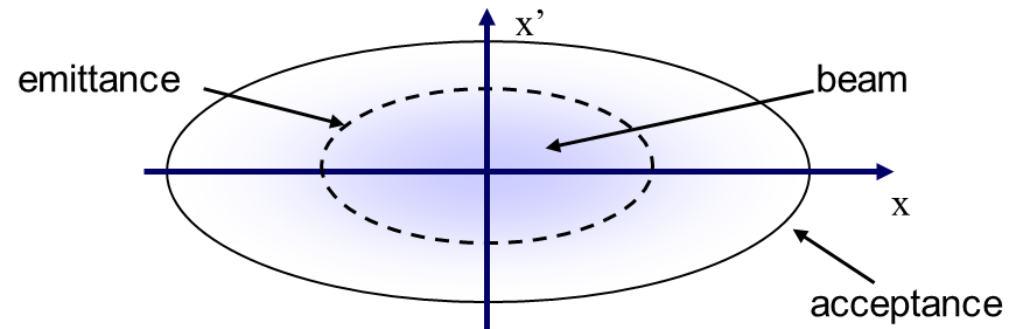
# Emittance Change

- Sources of emittance growth
  - scattering in stripping foil or targets
  - ellipse mismatch
  - non-linear fields
- Ways to shrink emittance
  - acceleration shrinks emittance according to  $\varepsilon \sim 1/p \sim 1/B\rho$  (**adiabatic damping**)
  - electron cooling (SIS, ESR) and stochastic cooling (ESR) available to create very small emittances
- Unnecessary emittance growth can limit performance
  - beam losses due to beam size growth
  - larger beam spot size at targets



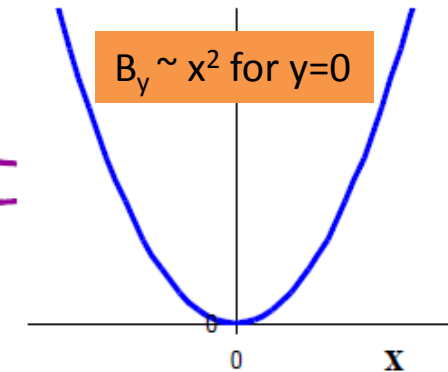
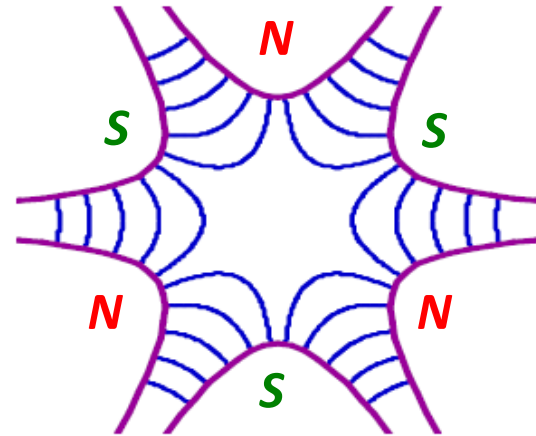
# Emittance and Acceptance

- **Emittance:**  
area of phase space ellipse containing a fraction of particles (e.g. 95%)
  - may grow due to mismatch, non-linear fields, beam interaction with pipe, etc.
  - does not take into account deviations of beam center from ideal orbit
- **Acceptance:**  
maximum area of the ellipse possible without ever losing particles (by hitting beam pipe)
  - acceptance in general smaller than physical aperture of beam pipe
  - need some margin for deviations of beam center and emittance growth

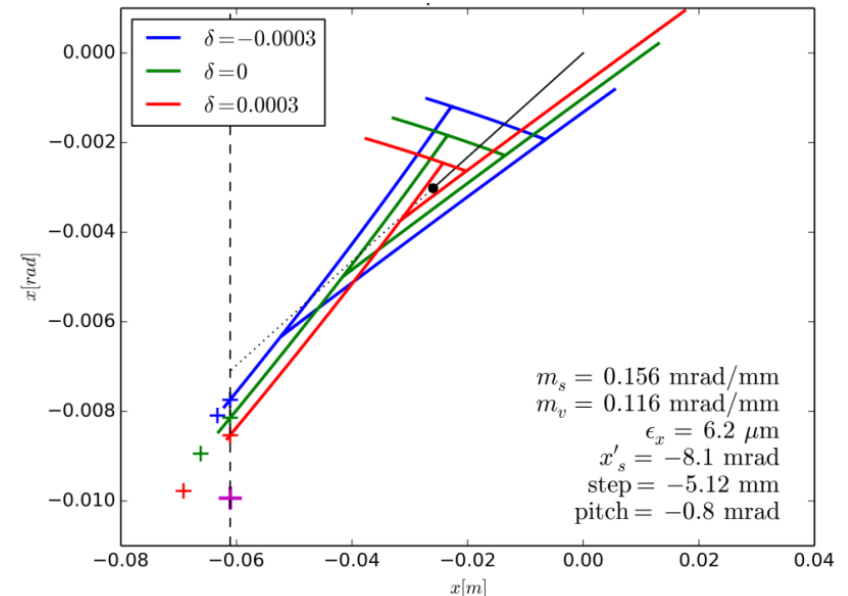


# Non-Linear Magnets: Sextupoles

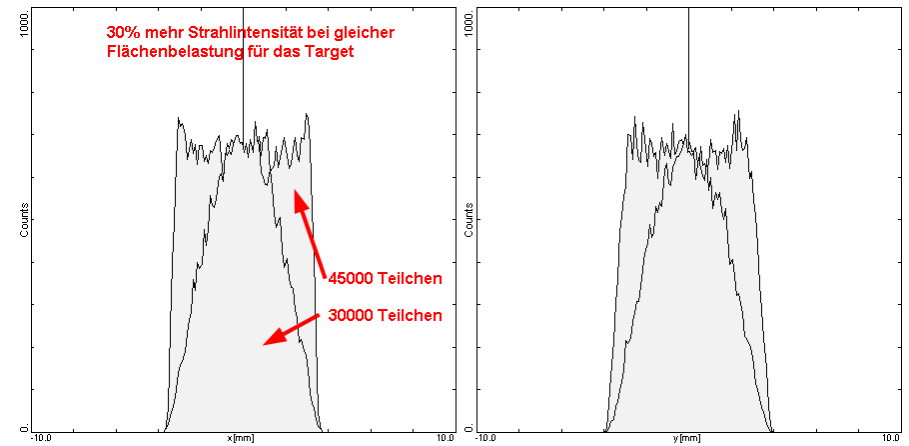
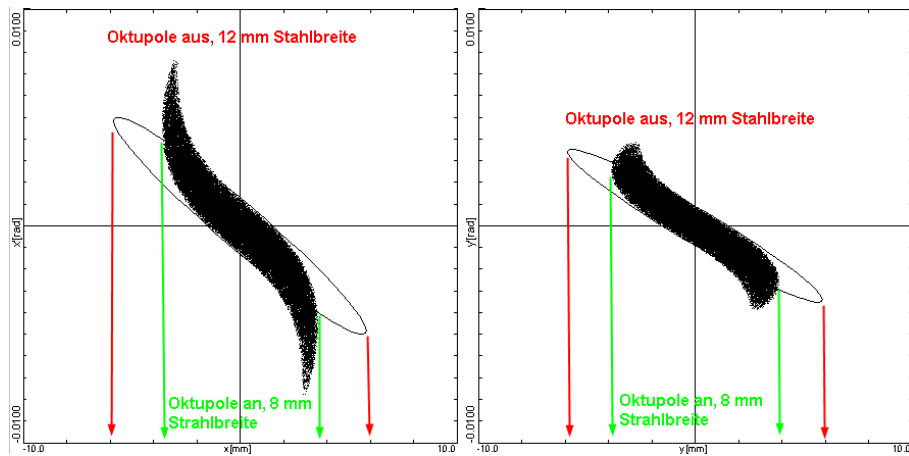
- Magnet with six poles
- Field depends quadratic on position
  - forces on particles non-linear
  - in general no analytic solutions
  - can even lead to chaotic motion
- Frequently used in accelerators
  - correction of momentum dependent effects on betatron oscillations (e.g. SIS18, ESR, FRS)
  - essential for slow extraction (SIS18)
  - compensation of unavoidable field errors in main magnets (dipoles)



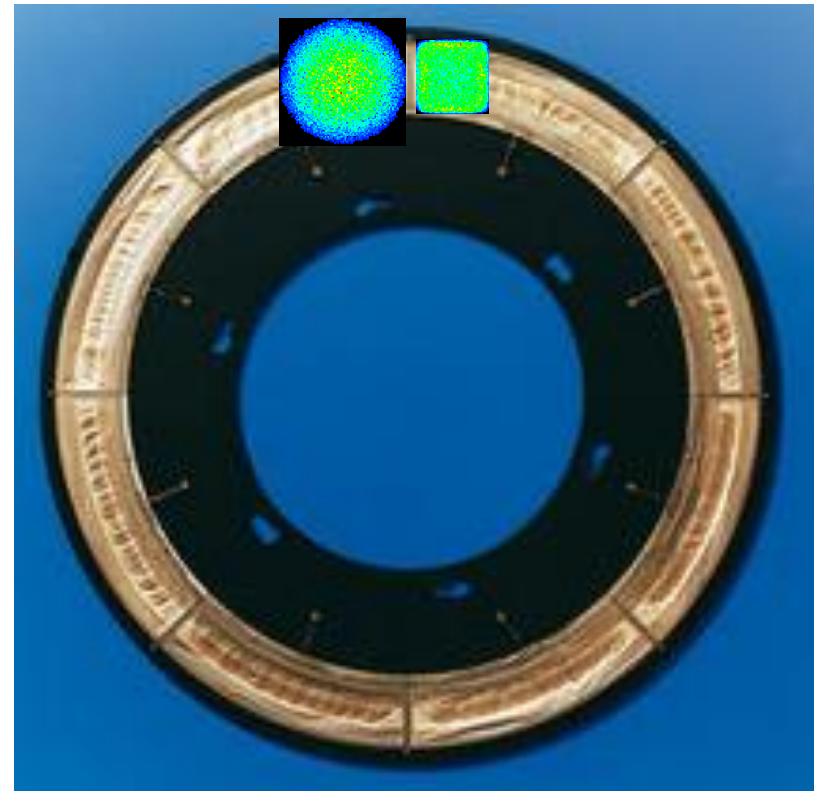
Particle motion during slow extraction (SIS18)



# Non-Linear Magnets: Octupoles



- Octupoles for SHIP beamline
  - deformation of ellipse by non-linear field of octupoles
  - uniform distribution in cross section
  - higher beam intensity at equal maximum particle density on target



# Summary

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- Definition of elements and isotopes
- Special relativity and electro-dynamics
  - masses, energies and mass-energy relation
  - Relativistic particle motion and non-relativistic limit
  - Lorentz force and magnetic rigidity
- Motion of charged particles in magnetic fields
  - dipoles as bending magnets and separators
  - quadrupoles as focusing magnets
  - non-linear magnets for more sophisticated purposes
- Particle tracking and transverse phase space
  - vectors for description of particles and matrix formalism
  - FODO focusing structure
  - emittance and acceptance

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# Thank you for your attention!

*These slides contain material I found in talks of the following colleagues:  
W. Bayer and M. Maier (GSI), R. Steerenberg (CERN), K. Peach (Oxford University)*

*I'm very grateful for the possibility of profiting from their excellent material.*