# Charm Mixing and Rare Decays: Looking for New Physics 



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## 1. Introduction: identifying New Physics



The LHC ring is 27 km in circumference KEKb - 3 km ...

How can KEK or other smaller machines help with New Physics searches?

## Introduction: charm and New Physics

Charm transitions serve as excellent probes of New Physics
Unique access to up-quark sector

1. Processes forbidden in the Standard Model to all orders

Examples: $\quad D^{0} \rightarrow p^{+} \pi^{-} \nu$
2. Processes forbidden in the Standard Model at tree level

Examples: $\quad D^{0}-\bar{D}^{0}$ mixing, $D \rightarrow \ell^{+} \ell^{-}, D \rightarrow X \gamma, \ldots$
3. Processes allowed in the Standard Model

Examples: 1. relations, valid in the SM, but not necessarily in general CKM triangle relations
2. SM rates and uncertainties are known

Unique feature: not-so-heavy quark

## 2. $\bar{D}^{0}-D^{0}$ mixing?


(*) up to matrix elements of 4-quark operators

## Experimental constraints on mixing

Idea: look for a wrong-sign final state

1. Time-dependent or time-integrated semileptonic analysis

$$
\text { rate } \propto x^{2}+y^{2}
$$

Quadratic in $\mathbf{x , y}$ : not so sensitive
2. Time-dependent $D^{0} \rightarrow K^{+} K^{-}$analysis (lifetime difference)

$$
y_{C P}=\frac{\tau\left(D \rightarrow \pi^{+} K^{-}\right)}{\tau\left(D \rightarrow K^{+} K^{-}\right)}-1=y \cos \phi-x \sin \phi \frac{1-R_{m}}{2}
$$


3. Time-dependent $D^{0}(t) \rightarrow K^{+} \pi^{-}$analysis

$$
\Gamma\left[D^{0}(t) \rightarrow K^{+} \pi^{-}\right]=e^{-\Gamma t}\left|A_{K^{+} \pi^{-}}\right|^{2}\left[R+\sqrt{R} R_{m}\left(y^{\prime} \cos \phi-x^{\prime} \sin \phi\right) \Gamma t+\frac{R_{m}^{2}}{4}\left(x^{2}+y^{2}\right)(\Gamma t)^{2}\right]
$$

4. Dalitz analyses $D^{0}(t) \rightarrow K \pi \pi, K K K$
5. Quantum correlations analyses

## Recent experimental results

* Recent experimental data

$\star$ Recent HFAG numbers

$$
x_{\mathrm{D}} \equiv \frac{\Delta M_{\mathrm{D}}}{\Gamma_{\mathrm{D}}}=0.0100_{-0.0026}^{+0.0024} \quad \text { and } \quad y_{\mathrm{D}} \equiv \frac{\Delta \Gamma_{\mathrm{D}}}{2 \Gamma_{\mathrm{D}}}=0.0076_{-0.0018}^{+0.0017}
$$

See A. Schwartz's talk for details

## Standard Model predictions



* Not an actual representation of theoretical uncertainties. Objects might be bigger then what they appear to be...
$\star$ Predictions of $x$ and $y$ in the SM are complicated -second order in flavor SU(3) breaking $-m_{c}$ is not quite large enough for OPE $-x, y \ll 10^{-3}$ ("short-distance") $-x, y \sim 10^{-2}$ ("long-distance")
$\star$ Short distance:
-assume $m_{c}$ is large
-combined $m_{s}, 1 / m_{c}, a_{s}$ expansions -leading order: $m_{s}{ }^{2}, 1 / m_{c}{ }^{6}$ !
H. Georgi; T. Ohl, ...
I. Bigi, N. Uraltsev;

Long distance:
M. Bobrowski et al
-assume $m_{c}$ is NOT large
-sum of large numbers with alternating signs, SU(3) forces zero!
-multiparticle intermediate states dominate
J. Donoghue et. al. P. Colangelo et. al.

Falk, Grossman, Ligeti, Nir. A.A.P. Phys.Rev. D69, 114021, 2004 Falk, Grossman, Ligeti, and A.A.P. Phys.Rev. D65, 054034, 2002

## How New Physics affects $x$ and $y$

$>$ Local $\Delta c=2$ piece of the mass matrix affects $x$ :

$$
\left(M-\frac{i}{2} \Gamma \dot{j}_{i j}=m_{D}^{(0)} \delta_{i j}+\frac{1}{2 m_{D}}\left\langle D_{i}^{0}\right| H_{W}^{\Delta C=2}\left|D_{j}^{0}\right\rangle+\frac{1}{2 m_{D}} \sum_{T} \frac{\left\langle D_{i}^{0}\right| H_{W}^{\Delta C=1}|I\rangle\langle I| H_{W}^{\Delta C=1}\left|D_{j}^{0}\right\rangle}{m_{D}^{2}-m_{I}^{2}+i \varepsilon}\right.
$$

> Double insertion of $\Delta C=1$ affects $x$ and $y$ :

$$
\text { Amplitude } A_{n}=\left\langle D^{0}\right|\left(H_{S M}^{\Delta C=1}+H_{N P}^{\Delta C=1}\right)|n\rangle \equiv A_{n}^{S M}+A_{n}^{N P}
$$



$$
\text { Suppose }\left|A_{n}^{N P}\right| /\left|A_{n}^{S M}\right|: O(\text { exp. uncertainty }) \leq 10 \%
$$

Example: $y=\frac{1}{2 \Gamma} \sum_{n} \rho_{n}\left(\bar{A}_{n}^{S M}+\bar{A}_{n}^{N P}\right)\left(A_{n}^{S M}+A_{n}^{N P}\right) \approx \frac{1}{2 \Gamma} \sum_{n} \rho_{n} \bar{A}_{n}^{S M} A_{n}^{S M}+\frac{1}{2 \Gamma} \sum_{n} \rho_{n}\left(\bar{A}_{n}^{S M} A_{n}^{N P}+\bar{A}_{n}^{N P} A_{n}^{S M}\right)$
phase space

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$$
\text { Example: } \quad y=\frac{1}{2 \Gamma} \sum_{n} \rho_{n}\left(\bar{A}_{n}^{S M}+\bar{A}_{n}^{N P}\right)\left(A_{n}^{S M}+A_{n}^{N P}\right)=\left(\frac{1}{2 \Gamma} \sum_{n} \rho_{n} \bar{A}_{n}^{S M} A_{n}^{S M}\right)+\frac{1}{2 \Gamma} \sum_{n} \rho_{n}\left(\bar{A}_{n}^{S M} A_{n}^{N P}+\bar{A}_{n}^{N P} A_{n}^{S M}\right)
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$$



Zero in the SU(3) limit
Can be significant!!!
Falk, Grossman, Ligeti, and A.A.P.
Phys.Rev. D65, 054034, 2002
$2^{\text {nd }}$ order effect!!!

## Global Analysis of New Physics: $\Delta C=1$

Let's write the most general $\Delta c=1$ Hamiltonian

$$
\begin{aligned}
& \mathcal{H}_{\mathrm{NP}}^{\Delta C=-1}=\sum_{q, q^{\prime}} D_{q q^{\prime}}\left[\overline{\mathcal{C}}_{1}(\mu) Q_{1}+\overline{\mathcal{C}}_{2}(\mu) Q_{2}\right], \\
& Q_{1}=\bar{u}_{i} \bar{\Gamma}_{1} q_{j}^{\prime} \bar{q}_{j} \bar{\Gamma}_{2} c_{i}, \quad Q_{2}=\bar{u}_{i} \bar{\Gamma}_{1} q_{i}^{\prime} \bar{q}_{j} \bar{\Gamma}_{2} c_{j},
\end{aligned}
$$

Only light on-shell (propagating) quarks affect $\Delta \Gamma$ :

$$
\begin{aligned}
y= & -\frac{4 \sqrt{2} G_{F}}{M_{D} \Gamma_{D}} \sum_{q, q^{\prime}} \mathbf{V}_{c q^{\prime}}^{*} \mathbf{V}_{u q} D_{q q^{\prime}}\left(K_{1} \delta_{i k} \delta_{j \ell}+K_{2} \delta_{i \ell} \delta_{j k}\right) \\
& \times \sum_{\alpha=1}^{5} I_{\alpha}\left(x, x^{\prime}\right)\left\langle\bar{D}^{0}\right| \mathcal{O}_{\alpha}^{i j k \ell}\left|D^{0}\right\rangle,
\end{aligned}
$$



$$
\begin{aligned}
\mathcal{O}_{1}^{i j k \ell} & =\bar{u}_{k} \Gamma_{\mu} \gamma_{\nu} \bar{\Gamma}_{2} c_{j} \bar{u}_{\ell} \bar{\Gamma}_{1} \gamma^{\nu} \Gamma^{\mu} c_{i} \\
\mathcal{O}_{2}^{i j k \ell} & =\bar{u}_{k} \Gamma_{\mu} \boldsymbol{\phi}_{c} \bar{\Gamma}_{2} c_{j} \bar{u}_{\ell} \bar{\Gamma}_{1} \Gamma^{\mu} c_{i} \\
\mathcal{O}_{3}^{i j k \ell} & =\bar{u}_{k} \Gamma_{\mu} \bar{\Gamma}_{2} c_{j} \bar{u}_{\ell} \bar{\Gamma}_{1} \boldsymbol{p}_{c} \Gamma^{\mu} c_{i} \\
\mathcal{O}_{4}^{i j k \ell} & =\bar{u}_{k} \Gamma_{\mu} \not{ }_{j} \bar{\Gamma}_{2} c_{j} \bar{u}_{\ell} \bar{\Gamma}_{1} \Gamma^{\mu} c_{i} \\
\mathcal{O}_{5}^{i j k \ell} & =\bar{u}_{k} \Gamma_{\mu} \bar{\Gamma}_{2} c_{j} \bar{u}_{\ell} \bar{\Gamma}_{1} \Gamma^{\mu} c_{i},
\end{aligned}
$$

## Global Analysis of New Physics: $\Delta C=1$

## Some examples of New Physics contributions

| Model | $\mathbf{y}_{\mathbf{D}}$ | Comment |
| :---: | :---: | :---: |
| RPV-SUSY | $610^{-6}$ | Squark Exch. |
| $-410^{-2}$ | Slepton Exch. |  |
| Left-right | $-510^{-6}$ | 'Manifest'. |
| $-8.810^{-5}$ | 'Nonmanifest'. |  |
| Multi-Higgs | $210^{-10}$ | Charged Higgs |
| Extra Quarks | $10^{-8}$ | Not Little Higgs |

E. Golowich, S. Pakvasa, A.A.P. Phys. Rev. Lett. 98, 181801, 2007
A.A.P. and G. Yeghiyan Phys. Rev. D77, 034018 (2008)
M. Bobrowski et al arXiv: 0904.3971 [hep-ph]

For considered models, the results are smaller than observed mixing rates

## Global Analysis of New Physics: $\Delta C=2$

$\rightarrow$ Multitude of various models of New Physics can affect $x$


## Global Analysis of New Physics: $\Delta C=2$

E.Golowich, J. Hewett, S. Pakvasa and A.A.P. Phys. Rev. D76:095009, 2007

## Let's write the most general $\Delta c=2$ Hamiltonian

$$
\langle f| \mathcal{H}_{N P}|i\rangle=G \sum_{i=1} \mathrm{C}_{i}(\mu)\langle f| Q_{i}|i\rangle(\mu)
$$

... with the following set of 8 independent operators...

$Q_{1}=\left(\bar{u}_{L} \gamma_{\mu} c_{L}\right)\left(\bar{u}_{L} \gamma^{\mu} c_{L}\right), \quad Q_{5}=\left(\bar{u}_{R} \sigma_{\mu \nu} c_{L}\right)\left(\bar{u}_{R} \sigma^{\mu \nu} c_{L}\right)$,
$Q_{2}=\left(\bar{u}_{L} \gamma_{\mu} c_{L}\right)\left(\bar{u}_{R} \gamma^{\mu} c_{R}\right)$,
$Q_{6}=\left(\bar{u}_{R} \gamma_{\mu} c_{R}\right)\left(\bar{u}_{R} \gamma^{\mu} c_{R}\right)$,
$Q_{3}=\left(\bar{u}_{L} c_{R}\right)\left(\bar{u}_{R} c_{L}\right)$,
$Q_{T}=\left(\bar{u}_{L} c_{R}\right)\left(\bar{u}_{L} c_{R}\right)$,
$Q_{4}=\left(\bar{u}_{R} c_{L}\right)\left(\bar{u}_{R} c_{L}\right)$,
$Q_{8}=\left(\bar{u}_{L} \sigma_{\mu \nu} c_{R}\right)\left(\bar{u}_{L} \sigma^{\mu \nu} c_{R}\right)$

$\mu: 1 \mathrm{GeV}$
RG-running relate $C_{i}(m)$ at NP scale to the scale of $m \sim 1 \mathrm{GeV}$, where ME are computed (on the lattice)

$$
\frac{d}{d \log \mu} \vec{C}(\mu)=\hat{\gamma}^{T}(\mu) \vec{C}(\mu)
$$

Each model of New Physics provides unique matching condition for $\mathrm{C}_{\mathrm{i}}\left(\Lambda_{\mathrm{NP}}\right)$

## New Physics in $x$ : lots of extras

E.Golowich, J. Hewett, S. Pakvasa and A.A.P. Phys. Rev. D76:095009, 2007

New Physics contributions do not suffer from QCD uncertainties as much as SM contributions since they are short-distance dominated.
> Extra gauge bosons
Left-right models, horizontal symmetries, etc.
> Extra scalars
Two-Higgs doublet models, leptoquarks, Higgsless, etc.

- Extra fermions
$4^{\text {th }}$ generation, vector-like quarks, little Higgs, etc.
- Extra dimensions

Universal extra dimensions, split fermions, warped ED, etc.

- Extra symmetries

SUSY: MSSM, alignment models, split SUSY, etc.

Total: 21 models considered

## Dealing with New Physics-I

> Consider an example: FCNC Z ${ }^{0}$-boson
appears in models with
extra vector-like quarks little Higgs models

1. Integrate out $Z$ : for $\mu<M_{z}$ get

$$
\mathcal{H}_{2 / 3}=\frac{g^{2}}{8 \cos ^{2} \theta_{w} M_{Z}^{2}}\left(\lambda_{u c}\right)^{2} \bar{u}_{L} \gamma_{\mu} c_{L} \bar{u}_{L} \gamma^{\mu} c_{L}
$$


2. Perform RG running to $\mu \sim m_{c}$ (in general: operator mixing)

$$
\mathcal{H}_{2 / 3}=\frac{g^{2}}{8 \cos ^{2} \theta_{w} M_{Z}^{2}}\left(\lambda_{u c}\right)^{2} r_{1}\left(m_{c}, M_{Z}\right) Q_{1}
$$

3. Compute relevant matrix elements and $x_{D}$

$$
x_{\mathrm{D}}^{(2 / 3)}=\frac{2 G_{F} f_{\mathrm{D}}^{2} M_{\mathrm{D}}}{3 \sqrt{2} \Gamma_{D}} B_{D}\left(\lambda_{u c}\right)^{2} r_{1}\left(m_{c}, M_{Z}\right)
$$


4. Assume no SM - get an upper bound on NP model parameters (coupling)

## Dealing with New Physics - II

> Consider another example: warped extra dimensions
FCNC couplings via KK gluons

1. Integrate out KK excitations, drop all but the lightest

$\mathcal{H}_{R S}=\frac{2 \pi k r_{c}}{3 M_{1}^{2}} g_{s}^{2}\left(C_{1}\left(M_{n}\right) Q_{1}+C_{2}\left(M_{n}\right) Q_{2}+C_{6}\left(M_{n}\right) Q_{6}\right)$
2. Perform RG running to $\mu \sim m_{c}$
$\mathcal{H}_{R S}=\frac{g_{s}^{2}}{3 M_{1}^{2}}\left(C_{1}\left(m_{c}\right) Q_{1}+C_{2}\left(m_{c}\right) Q_{2}+C_{3}\left(m_{c}\right) Q_{3}+C_{6}\left(m_{c}\right) Q_{6}\right)$


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$x_{\mathrm{D}}^{(R S)}=\frac{g_{s}^{2}}{3 M_{1}^{2}} \frac{f_{D}^{2} B_{D} M_{D}}{\Gamma_{D}}\left(\frac{2}{3}\left[C_{1}\left(m_{c}\right)+C_{6}\left(m_{c}\right)\right]-\frac{1}{6} C_{2}\left(m_{c}\right)-\frac{5}{12} C_{3}\left(m_{c}\right)\right)$
Implies: $M_{1 K K g}>2.5 \mathrm{TeV}$ !

## Constraints on New Physics from $x$

Extra fermions

- Extra vector bosons

Extra scalars

## Summary: New Physics in mixing

| Model | Approximate Constraint |
| :---: | :---: |
| Fourth Generation (Fig. 2) | $\left\|V_{u b} V_{c c^{\prime}}\right\| \cdot m_{b}<0.5(\mathrm{GeV})$ |
| $Q=-1 / 3$ Singlet Quark (Fig. 4) | $s_{2} \cdot m_{S}<0.27(\mathrm{GeV})$ |
| $Q=+2 / 3$ Singlet Quark (Fig. 6) | $\left\|\lambda_{u c}\right\|<2.4 \cdot 10^{-4}$ |
| Little Higgs | Tree: See entry for $Q=-1 / 3$ Singlet Quark |
|  | Box: Region of parameter space can reach observed $x_{\mathrm{D}}$ |
| Generic $Z^{\prime}$ (Fig. 7) | $M_{Z^{\prime}} / C>2.2 \cdot 10^{3} \mathrm{TeV}$ |
| Family Symmetries (Fig. 8) | $m_{1} / f>1.2 \cdot 10^{3} \mathrm{TeV}$ (with $m_{1} / m_{2}=0.5$ ) |
| Left-Right Symmetric (Fig. 9) | No constraint |
| Alternate Left-Right Symmetric (Fig. 10) | $M_{R}>1.2 \mathrm{TeV}\left(m_{D_{1}}=0.5 \mathrm{TeV}\right)$ |
|  | $\left(\Delta m / m_{D_{1}}\right) / M_{R}>0.4 \mathrm{TeV}^{-1}$ |
| Vector Leptoquark Bosons (Fig. 11) | $M_{V L Q}>55\left(\lambda_{p P} / 0.1\right) \mathrm{TeV}$ |
| Flavor Conserving Two-Higgs-Doublet (Fig. 13) | No constraint |
| Flavor Changing Neutral Higgs (Fig. 15) | $m_{H} / C>2.4 \cdot 10^{3} \mathrm{TeV}$ |
| FC Neutral Higgs (Cheng-Sher ansatz) (Fig. 16) | $m_{H} /\left\|\Delta_{u c}\right\|>600 \mathrm{GeV}$ |
| Scalar Leptoquark Bosons | See entry for RPV SUSY |
| Higgsless (Fig. 17) | $M>100 \mathrm{TeV}$ |
| Universal Extra Dimensions | No constraint |
| Split Fermion (Fig. 19) | $M /\|\Delta y\|>\left(6 \cdot 10^{2} \mathrm{GeV}\right)$ |
| Warped Geometries (Fig. 21) | $M_{1}>3.5 \mathrm{TeV}$ |
| Minimal Supersymmetric Standard (Fig. 23) | $\left\|\left(\delta_{12}^{u}\right)_{\text {LR,RL }}\right\|<3.5 \cdot 10^{-2}$ for $\tilde{m} \sim 1 \mathrm{TeV}$ |
|  | $\left\|\left(\delta_{12}^{u}\right)_{\mathrm{LL}, \mathrm{RR}}\right\|<.25$ for $\tilde{m} \sim 1 \mathrm{TeV}$ |
| Supersymmetric Alignment | $\tilde{m}>2 \mathrm{TeV}$ |
| Supersymmetry with RPV (Fig. 27) | $\lambda_{12 k}^{\prime} \lambda_{11 k}^{\prime} / m_{\tilde{d}_{K, k}}<1.8 \cdot 10^{-3} / 100 \mathrm{GeV}$ |
| Split Supersymmetry | No constraint |

## $\checkmark$ Considered 21 wellestablished models

$\checkmark$ Only 4 models yielded no useful constraints
$\checkmark$ Consult paper for explicit constraints on your favorite model!
E.Golowich, J. Hewett, S. Pakvasa and A.A.P. Phys. Rev. D76:095009, 2007

## 3. Mixing vs rare decays

$>$ These decays only proceed at one loop in the SM; GIM is very effective - SM rates are expected to be small
$\star$ Radiative decays $D \rightarrow y X$, yy mediated by $c \rightarrow u \gamma$

- SM contribution is dominated by LD effects
- dominated by SM anyway: useless?

$\mathcal{L}_{\text {eff }}^{\mathrm{SD}}=\frac{G_{F}}{\sqrt{2}} V_{c b}^{*} V_{u b} \sum_{i=7,9,10} C_{i} Q_{i}$,

$$
\begin{gathered}
Q_{7}=\frac{e}{8 \pi^{2}} m_{c} F_{\mu \nu} \bar{u} \sigma^{\mu \nu}\left(1+\gamma_{5}\right) c, \quad Q_{9}=\frac{e^{2}}{16 \pi^{2}} \bar{u}_{L} \gamma_{\mu} c_{L} \bar{\ell} \gamma^{\mu} \ell, \\
Q_{10}=\frac{e^{2}}{16 \pi^{2}} \bar{u}_{L} \gamma_{\mu} c_{L} \bar{\ell} \gamma^{\mu} \gamma_{5} \ell,
\end{gathered}
$$

- SM contribution is dominated by LD effects

- could be used to study NP effects and correlate to mixing
$\star$ Rare decays $D \rightarrow M e^{+} e^{-} / \mu^{+} \mu^{-} / T^{+} T^{-}$mediated by $c \rightarrow u$ II
- SM contribution is dominated by LD effects

Burdman, Golowich, Hewett, Pakvasa;

- could be used to study NP effects


## Rare and radiative decays

## Some examples of New Physics contributions

$\star$ R-partity-conserving SUSY

- operators with the same mass insertions contribute to D-mixing

Bigi, Gabbiani, Masiero; Prelovsek, Wyler;


- feed results into rare decays: NP is smaller than LD SM!

Fajfer, Kosnik, Prelovsek

* R-partity-violating SUSY
- operators with the same parameters contribute to D-mixing
- feed results into rare decays
* Same for other models...



| Mode | LD | Extra heavy $q$ | LD + extra heavy $q$ |
| :--- | :---: | :---: | :---: |
| $D^{+} \rightarrow \pi^{+} e^{+} e^{-}$ | $2.0 \times 10^{-6}$ | $1.3 \times 10^{-9}$ | $2.0 \times 10^{-6}$ |
| $D^{+} \rightarrow \pi^{+} \mu^{+} \mu^{-}$ | $2.0 \times 10^{-6}$ | $1.6 \times 10^{-9}$ | $2.0 \times 10^{-6}$ |
| Mode | MSSM $\nless k$ | LD + MSSM $\not 2$ |  |
| $D^{+} \rightarrow \pi^{+} e^{+} e^{-}$ | $2.1 \times 10^{-7}$ | $2.3 \times 10^{-6}$ |  |
| $D^{+} \rightarrow \pi^{+} \mu^{+} \mu^{-}$ | $6.5 \times 10^{-6}$ | $8.8 \times 10^{-6}$ |  |

## Mixing vs rare decays

* Most general effective Hamiltonian:

$$
\begin{array}{lll}
\langle f| \mathcal{H}_{N P}|i\rangle=G \sum_{i=1} \mathrm{C}_{i}(\mu)\langle f| Q_{i}|i\rangle(\mu) & \widetilde{Q}_{1}=\left(\bar{\ell}_{L} \gamma_{\mu} \ell_{L}\right)\left(\bar{u}_{L} \gamma^{\mu} c_{L}\right), & \widetilde{Q}_{4}=\left(\bar{\ell}_{R} \ell_{L}\right)\left(\bar{u}_{R} c_{L}\right), \\
& \widetilde{Q}_{2}=\left(\bar{\ell}_{L} \gamma_{\mu} \ell_{L}\right)\left(\bar{u}_{R} \gamma^{\mu} c_{R}\right), & \widetilde{Q}_{5}=\left(\bar{\ell}_{R} \sigma_{\mu \nu} \ell_{L}\right)\left(\bar{u}_{R} \sigma^{\mu \nu} c_{L}\right), \\
& \widetilde{Q}_{3}=\left(\bar{\ell}_{L} \ell_{R}\right)\left(\bar{u}_{R} c_{L}\right), & \text { plus } L \leftrightarrow \mathrm{R}
\end{array}
$$

$\star$... thus, the amplitude for $D \rightarrow e^{+} e^{-} / \mu^{+} \mu^{-} / T^{+} T^{-}$decay is

$$
\begin{aligned}
\mathcal{B}_{D^{0} \rightarrow \ell^{+} \ell^{-}}= & \frac{M_{D}}{8 \pi \Gamma_{\mathrm{D}}} \sqrt{1-\frac{4 m_{\ell}^{2}}{M_{D}^{2}}\left[\left(1-\frac{4 m_{\ell}^{2}}{M_{D}^{2}}\right)|A|^{2}+|B|^{2}\right],} \\
\mathcal{B}_{D^{0} \rightarrow \mu^{+} e^{-}}= & \frac{M_{D}}{8 \pi \Gamma_{\mathrm{D}}}\left(1-\frac{m_{\mu}^{2}}{M_{D}^{2}}\right)^{2}\left[|A|^{2}+|B|^{2}\right], \\
& |A|=G \frac{f_{D} M_{D}^{2}}{4 m_{c}}\left[\widetilde{C}_{3-8}+\widetilde{C}_{4-9}\right], \\
& |B|=G \frac{f_{D}}{4}\left[2 m_{\ell}\left(\widetilde{C}_{1-2}+\widetilde{C}_{6-7}\right)+\frac{M_{D}^{2}}{m_{c}}\left(\widetilde{C}_{4-3}+\widetilde{C}_{9-8}\right)\right]
\end{aligned}
$$



Important: many NP models give contributions to both $\mathbf{D}-$ mixing and $\mathbf{D} \rightarrow \mathbf{e}^{+} \mathbf{e}^{-/} \mu^{+} \mu^{-/} \tau^{+} \tau^{-}$decay: correlate!!!

## Mixing vs rare decays

Recent experimental constraints

$$
\begin{aligned}
& \mathcal{B}_{D^{0} \rightarrow \mu^{+} \mu^{-}} \leq 1.3 \times 10^{-6}, \quad \mathcal{B}_{D^{0} \rightarrow e^{+} e^{-}} \leq 1.2 \times 10^{-6}, \\
& \mathcal{B}_{D^{0} \rightarrow \mu^{ \pm} e^{\mp}} \leq 8.1 \times 10^{-7},
\end{aligned}
$$

E.Golowich, J. Hewett, S. Pakvasa and A.A.P. arXiv: 0903.2830
Relating mixing and rare decay

- consider an example: heavy vector-like quark ( $Q=+2 / 3$ ) - appears in little Higgs models, etc.

Mixing: $\quad \mathcal{H}_{2 / 3}=\frac{g^{2}}{8 \cos ^{2} \theta_{w} M_{Z}^{2}} \lambda_{u c}^{2} Q_{1}=\frac{G_{F} \lambda_{u c}^{2}}{\sqrt{2}} Q_{1}$

$$
x_{\mathrm{D}}^{(+2 / 3)}=\frac{2 G_{F} \lambda_{u c}^{2} f_{D}^{2} M_{D} B_{D} r\left(m_{c}, M_{Z}\right)}{3 \sqrt{2} \Gamma_{D}}
$$

Rare decay: $\quad A_{D^{0} \rightarrow \ell^{+} \ell^{-}}=0 \quad B_{D^{0} \rightarrow \ell^{+} \ell^{-}}=\lambda_{u c} \frac{G_{F} f_{\mathrm{D}} m_{\mu}}{2}$


$$
\begin{aligned}
\mathcal{B}_{D^{0} \rightarrow \mu^{+} \mu^{-}} & =\frac{3 \sqrt{2}}{64 \pi} \frac{G_{F} m_{\mu}^{2} x_{\mathrm{D}}}{B_{\mathrm{D}} r\left(m_{c}, M_{Z}\right)}\left[1-\frac{4 m_{\mu}^{2}}{M_{\mathrm{D}}}\right]^{1 / 2} \\
& \simeq 4.3 \times 10^{-9} x_{\mathrm{D}} \leq 4.3 \times 10^{-11}
\end{aligned}
$$

Note: a parameter-free relation!

## Mixing vs rare decays

* Correlation between mixing/rare decays
- possible for tree-level NP amplitudes
- some relations possible for loop-dominated transitions
$\star$ Considered several popular models

| Model | $\mathcal{B}_{D^{0} \rightarrow \mu^{+} \mu^{-}}$ |
| :---: | :---: |
| Standard Model (SD) | $\sim 10^{-18}$ |
| Standard Model (LD) | $\sim$ several $\times 10^{-13}$ |
| $Q=+2 / 3$ Vectorlike Singlet | $4.3 \times 10^{-11}$ |
| $Q=-1 / 3$ Vectorlike Singlet | $1 \times 10^{-11}\left(m_{S} / 500 \mathrm{GeV}\right)^{2}$ |
| $Q=-1 / 3$ Fourth Family | $1 \times 10^{-11}\left(m_{S} / 500 \mathrm{GeV}\right)^{2}$ |
| $Z^{\prime}$ Standard Model (LD) | $2.4 \times 10^{-12} /\left(M_{Z^{\prime}}(\mathrm{TeV})\right)^{2}$ |
| Family Symmetry | $0.710^{-18}(\mathrm{Case} \mathrm{A})$ |
| RPV-SUSY | $1.7 \times 10^{-9}\left(500 \mathrm{GeV} / m_{\tilde{d} k}\right)^{2}$ |

E.Golowich, J. Hewett, S. Pakvasa and A.A.P. arXiv: 0903.2830 [hep-ph]

Upper limits on
rare
decay
branching ratios

Blum, Grossman, Nir, Perez arXiv:0903.2118 [hep-ph]

## Things to take home

$>$ Indirect effects of New Physics at flavor factories help to distinguish among models possibly observed at the LHC

- a combination of bottom/charm sector studies
- don't forget measurements unique to tau-charm factories
> Charm provides great opportunities for New Physics studies
- unique access to up-type quark sector
- large available statistics/in many cases small SM background
- D-mixing is a second order effect in SU(3) breaking ( $x, y \sim 1 \%$ in the $S M$ )
- large contributions from New Physics are possible
- out of 21 models studied, 17 yielded competitive constraints
> Can correlate mixing and rare decays with New Physics models
- signals in D-mixing vs rare decays help differentiate among models
> Observation of CP-violation in the current round of experiments provide "smoking gun" signals for New Physics
- Different observables should be used to disentangle CP-violating contributions to $\Delta c=1$ and $\Delta c=2$ amplitudes


There is always something new in charm!

## Additional slides

## Theoretical estimates I

A. Short distance + "subleading corrections" (in $\left\{m_{s}, 1 / m_{c}\right\}$ expansion):

$$
\begin{aligned}
& y_{s d}^{(6)} \propto \frac{\left(m_{s}^{2}-m_{d}^{2}\right)^{2}}{m_{c}^{2}} \frac{m_{s}^{2}+m_{d}^{2}}{m_{c}^{2}} \mu_{\text {had }}^{-2} \propto m_{s}^{6} \Lambda^{-6} \\
& x_{s d}^{(6)} \propto \frac{\left(m_{s}^{2}-m_{d}^{2}\right)^{2}}{m_{c}^{2}} \mu_{h a d}^{-2} \propto m_{s}^{4} \Lambda^{-4}
\end{aligned}
$$

4 unknown matrix elements
...subleading effects?

$$
\begin{array}{llll}
y_{s d}^{(9)} & \propto & m_{s}^{3} & \Lambda^{-3} \\
x_{s d}^{(9)} \propto & m_{s}^{3} & \Lambda^{-3} \\
\hline
\end{array}
$$



$$
d=9
$$



Twenty-something unknown matrix elements

Guestimate: $\quad \mathrm{x} \sim \mathrm{y} \sim 10^{-3}$ ?

## Theoretical estimates II

B. Long distance physics dominates the dynamics...

$$
y=\frac{1}{2 \Gamma} \sum_{n} \rho_{n}\left[\left\langle D^{0}\right| H_{W}^{\Delta C=1}|n\rangle\langle n| H_{W}^{\Delta C=1}\left|\bar{D}^{0}\right\rangle+\left\langle\bar{D}^{0}\right| H_{W}^{\Delta C=1}|n\rangle\langle n| H_{W}^{\Delta C=1}\left|D^{0}\right\rangle\right]
$$

... with $n$ being all states to which $D^{0}$ and $\bar{D}^{0}$ can decay. Consider $\pi \pi, \pi K, K K$ intermediate states as an example...

$$
\begin{aligned}
y_{2} & =\operatorname{Br}\left(D^{0} \rightarrow K^{+} K^{-}\right)+B r\left(D^{0} \rightarrow \pi^{+} \pi^{-}\right) \\
& -2 \cos \delta \sqrt{\operatorname{Br}\left(D^{0} \rightarrow K^{+} \pi^{-}\right) B r\left(D^{0} \rightarrow \pi^{+} K^{-}\right)}
\end{aligned}
$$

## If every Br is known up to $O(1 \%) \quad \boldsymbol{\Delta}$ the result is expected to be $O(1 \%)$ !

The result here is a series of large numbers with alternating signs, SU(3) forces 0
$x=$ ? Extremely hard...
 Need to "repackage" the analysis: look at the complete multiplet contribution

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$$
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y_{2} & =\operatorname{Br}\left(D^{0} \rightarrow K^{+} K^{-}\right)+\operatorname{Br}\left(D^{0} \rightarrow \pi^{+} \pi^{-}\right) \\
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\end{aligned}
$$

$$
\text { If every } \mathrm{Br} \text { is known up to } O(1 \%) \quad \boldsymbol{\Delta} \text { the result is expected to be } O(1 \%) \text { ! }
$$

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