Charm Mixing and Rare Decays: Looking for New Physics



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 - New Physics in $\Delta c = 1$
 - New Physics in $\Delta c = 2$
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1. Introduction: identifying New Physics



The LHC ring is 27km in circumference KEKb - 3 km...

How can KEK or other smaller machines help with New Physics searches?

Introduction: charm and New Physics

Charm transitions serve as excellent probes of New Physics

Unique access to up-quark sector

1. Processes forbidden in the Standard Model to all orders

Examples:
$$D^0 \to p^+\pi^-\nu$$

2. Processes forbidden in the Standard Model at tree level

Examples:
$$D^0 - \overline{D}^0$$
 mixing, $D \to \ell^+ \ell^-, D \to X\gamma, ...$

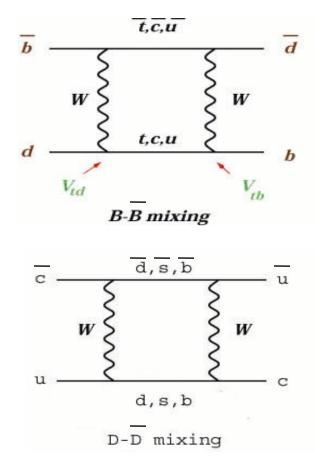
3. Processes allowed in the Standard Model

Examples: 1. relations, valid in the SM, but not necessarily in general CKM triangle relations

2. SM rates and uncertainties are known

Unique feature: not-so-heavy quark

2. \overline{D}^0 - D^0 mixing?



$\overline{D^0}$ – D^0 mixing	$\overline{B^0} - B^0$ mixing
 intermediate down-type quarks SM: b-quark contribution is negligible due to V_{cd}V_{ub}* 	 intermediate up-type quarks SM: t-quark contribution is dominant
. $rate \propto f(m_s) - f(m_d)$ (zero in the SU(3) limit) Falk, Grossman, Ligeti, and A.A.P. Phys.Rev. D65, 054034, 2002 2nd order effect!!!	• $rate \propto m_t^2$ (expected to be large)
 Sensitive to long distance QCD Small in the SM: New Physics! (must know SM x and y) 	1. Computable in QCD (*) 2. Large in the SM: CKM!

(*) up to matrix elements of 4-quark operators

Experimental constraints on mixing

Idea: look for a wrong-sign final state

1. Time-dependent or time-integrated semileptonic analysis

$$rate \propto x^2 + y^2$$

Quadratic in x,y: not so sensitive

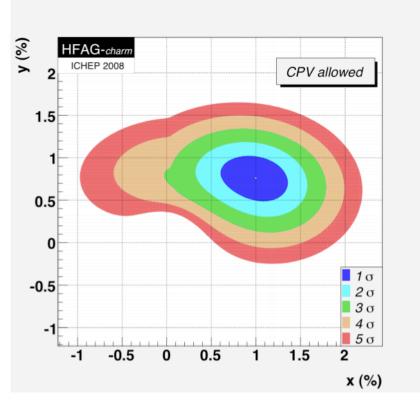
2. Time-dependent $D^0 \to K^+K^-$ analysis (lifetime difference)

$$y_{CP} = \frac{\tau(D \to \pi^+ K^-)}{\tau(D \to K^+ K^-)} - 1 = y \cos \phi - x \sin \phi \frac{1 - R_m}{2}$$

3. Time-dependent $D^0(t) \to K^+\pi^-$ analysis

$$\Gamma[D^{0}(t) \to K^{+}\pi^{-}] = e^{-\Gamma t} |A_{K^{+}\pi^{-}}|^{2} \left[R + \sqrt{R}R_{m} \left(y'\cos\phi - x'\sin\phi \right) \Gamma t + \frac{R_{m}^{2}}{4} \left(x^{2} + y^{2} \right) \left(\Gamma t \right)^{2} \right]$$

- 4. Dalitz analyses $D^0(t) o K\pi\pi, KKK$
- 5. Quantum correlations analyses



 $R_m^2 = \left| \frac{q}{p} \right|^2, \ x' = x \cos \delta + y \sin \delta, \ y' = y \cos \delta - x \sin \delta$

Sensitive to DCS/CF strong phase δ

Recent experimental results

* Recent experimental data



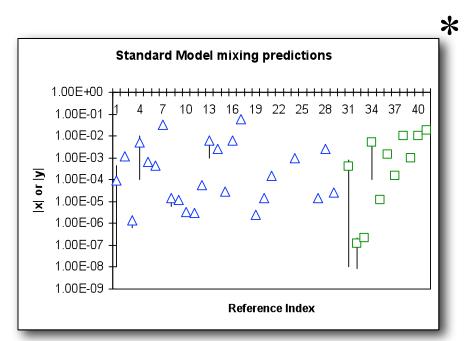
* Recent HFAG numbers

$$x_{\rm D} \equiv \frac{\Delta M_{\rm D}}{\Gamma_{\rm D}} = 0.0100^{+0.0024}_{-0.0026}$$
 and $y_{\rm D} \equiv \frac{\Delta \Gamma_{\rm D}}{2\Gamma_{\rm D}} = 0.0076^{+0.0017}_{-0.0018}$

See A. Schwartz's talk for details

 $|x| \gg |y|$ is NO LONGER a signal for New Physics

Standard Model predictions



* Not an actual representation of theoretical uncertainties. Objects might be bigger then what they appear to be...

- \star Predictions of x and y in the SM are complicated
 - -second order in flavor SU(3) breaking
 - -mc is not quite large enough for OPE
 - -x, y $\ll 10^{-3}$ ("short-distance")
 - -x, $y \sim 10^{-2}$ ("long-distance")
- * Short distance:
 - -assume mc is large
 - -combined ms, 1/mc, as expansions
 - -leading order: ms2, 1/mc6!

H. Georgi; T. Ohl, ... I. Bigi, N. Uraltsev; M. Bobrowski et al

- ★ Long distance:
 - -assume mc is NOT large
 - -sum of large numbers with alternating signs, SU(3) forces zero!
 - -multiparticle intermediate states dominate

J. Donoghue et. al. P. Colangelo et. al.

Falk, Grossman, Ligeti, Nir. A.A.P. Phys.Rev. D69, 114021, 2004 Falk, Grossman, Ligeti, and A.A.P. Phys.Rev. D65, 054034, 2002

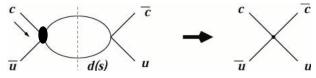
Resume: a contribution to x and y of the order of 1% is natural in the SM

 \triangleright Local ΔC =2 piece of the mass matrix affects x:

$$\left(M - \frac{i}{2}\Gamma\right)_{ij} = m_D^{(0)}\delta_{ij} + \frac{1}{2m_D} \left\langle D_i^0 \left| H_W^{\Delta C = 2} \left| D_j^0 \right\rangle + \frac{1}{2m_D} \sum_{I} \frac{\left\langle D_i^0 \left| H_W^{\Delta C = 1} \left| I \right\rangle \left\langle I \left| H_W^{\Delta C = 1} \left| D_j^0 \right\rangle \right| + \frac{1}{2m_D} \sum_{I} \frac{\left\langle D_i^0 \left| H_W^{\Delta C = 1} \left| I \right\rangle \left\langle I \right| + \frac{1}{2m_D} \left| D_j^0 \right\rangle \right| + \frac{1}{2m_D} \sum_{I} \frac{\left\langle D_i^0 \left| H_W^{\Delta C = 1} \left| I \right\rangle \left\langle I \right| + \frac{1}{2m_D} \left| D_j^0 \right\rangle \right| + \frac{1}{2m_D} \sum_{I} \frac{\left\langle D_i^0 \left| H_W^{\Delta C = 1} \left| I \right\rangle \left\langle I \right| + \frac{1}{2m_D} \left| D_j^0 \right\rangle \right|}{m_D^2 - m_I^2 + i\epsilon}$$

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$$A_n = \langle D^0 | \left(H_{SM}^{\Delta C=1} + H_{NP}^{\Delta C=1} \right) | n \rangle = A_n^{SM} + A_n^{NP}$$

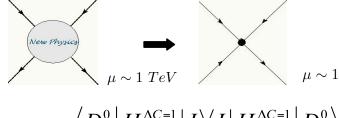


Suppose $\left|A_n^{NP}\right|/\left|A_n^{SM}\right|$: $O(\exp. uncertainty) \le 10\%$

Example:
$$y = \frac{1}{2\Gamma} \sum_{n} \rho_{n} \left(\overline{A}_{n}^{SM} + \overline{A}_{n}^{NP} \right) \left(A_{n}^{SM} + A_{n}^{NP} \right) \approx \frac{1}{2\Gamma} \sum_{n} \rho_{n} \overline{A}_{n}^{SM} A_{n}^{SM} + \frac{1}{2\Gamma} \sum_{n} \rho_{n} \left(\overline{A}_{n}^{SM} A_{n}^{NP} + \overline{A}_{n}^{NP} A_{n}^{SM} \right)$$

phase space

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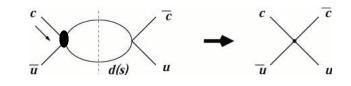
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Zero in the SU(3) limit

Falk, Grossman, Ligeti, and A.A.P. Phys.Rev. D65, 054034, 2002

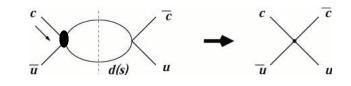
2nd order effect!!!

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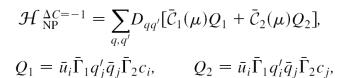
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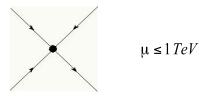
phase space

Zero in the SU(3) limit Falk, Grossman, Ligeti, and A.A.P. Phys.Rev. D65, 054034, 2002

65, 054034, 2002 2nd order effect!!! Can be significant!!!

 \triangleright Let's write the most general ΔC =1 Hamiltonian



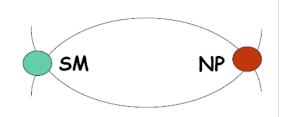


Only light on-shell (propagating) quarks affect $\Delta\Gamma$:

$$y = -\frac{4\sqrt{2}G_F}{M_D\Gamma_D} \sum_{q,q'} \mathbf{V}_{cq'}^* \mathbf{V}_{uq} D_{qq'} (K_1 \delta_{ik} \delta_{j\ell} + K_2 \delta_{i\ell} \delta_{jk})$$
$$\times \sum_{\alpha=1}^5 I_{\alpha}(x,x') \langle \bar{D}^0 | \mathcal{O}_{\alpha}^{ijk\ell} | D^0 \rangle,$$

with
$$K_1 = [\mathcal{C}_1 \bar{\mathcal{C}}_1 N_c + (\mathcal{C}_1 \bar{\mathcal{C}}_2 + \bar{\mathcal{C}}_1 \mathcal{C}_2)], \quad K_2 = \mathcal{C}_2 \bar{\mathcal{C}}_2$$
 and

This is the master formula for NP contribution to lifetime differences in heavy mesons



$$\begin{split} \mathcal{O}_{1}^{ijk\ell} &= \bar{u}_{k}\Gamma_{\mu}\gamma_{\nu}\bar{\Gamma}_{2}c_{j}\bar{u}_{\ell}\bar{\Gamma}_{1}\gamma^{\nu}\Gamma^{\mu}c_{i}\\ \mathcal{O}_{2}^{ijk\ell} &= \bar{u}_{k}\Gamma_{\mu}\not\!/_{c}\bar{\Gamma}_{2}c_{j}\bar{u}_{\ell}\bar{\Gamma}_{1}\not\!/_{c}\Gamma^{\mu}c_{i}\\ \mathcal{O}_{3}^{ijk\ell} &= \bar{u}_{k}\Gamma_{\mu}\bar{\Gamma}_{2}c_{j}\bar{u}_{\ell}\bar{\Gamma}_{1}\not\!/_{c}\Gamma^{\mu}c_{i}\\ \mathcal{O}_{4}^{ijk\ell} &= \bar{u}_{k}\Gamma_{\mu}\not\!/_{c}\bar{\Gamma}_{2}c_{j}\bar{u}_{\ell}\bar{\Gamma}_{1}\not\!/_{c}\Gamma^{\mu}c_{i}\\ \mathcal{O}_{5}^{ijk\ell} &= \bar{u}_{k}\Gamma_{\mu}\not\!/_{c}\bar{\Gamma}_{2}c_{j}\bar{u}_{\ell}\bar{\Gamma}_{1}\Gamma^{\mu}c_{i}\\ \mathcal{O}_{5}^{ijk\ell} &= \bar{u}_{k}\Gamma_{\mu}\bar{\Gamma}_{2}c_{j}\bar{u}_{\ell}\bar{\Gamma}_{1}\Gamma^{\mu}c_{i}, \end{split}$$

> Some examples of New Physics contributions

Model	y_{D}	Comment
RPV-SUSY	6 10-6	Squark Exch.
	-4 10-2	Slepton Exch.
Left-right	-5 10-6	'Manifest'.
	-8.8 10-5	'Nonmanifest'.
Multi-Higgs	2 10-10	Charged Higgs
Extra Quarks	10-8	Not Little Higgs

E. Golowich, S. Pakvasa, A.A.P. Phys. Rev. Lett. 98, 181801, 2007

A.A.P. and G. Yeghiyan Phys. Rev. D77, 034018 (2008)

M. Bobrowski et al arXiv: 0904.3971 [hep-ph]

For considered models, the results are smaller than observed mixing rates

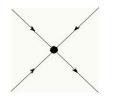
> Multitude of various models of New Physics can affect x $\mu \ge 1 \, TeV$ H^{\pm} New Physics H^{\pm} (a) (b) $\mu \leq 1 \, TeV$ H^0 H^0 (c) $W_{\rm R}$ LQ LQ $W_{\mathbb{R}}$ (f)(g) μ : 1 GeV

 \succ Let's write the most general ΔC =2 Hamiltonian

$$\langle f | \mathcal{H}_{NP} | i \rangle = G \sum_{i=1} C_i(\mu) \langle f | Q_i | i \rangle(\mu)$$

... with the following set of 8 independent operators...

E.Golowich, J. Hewett, S. Pakvasa and A.A.P.



Phys. Rev. D76:095009, 2007

 $\mu \leq 1\, TeV$

$$Q_1 = (\overline{u}_L \gamma_\mu c_L) (\overline{u}_L \gamma^\mu c_L) ,$$

$$Q_5 = (\overline{u}_R \sigma_{\mu\nu} c_L) (\overline{u}_R \sigma^{\mu\nu} c_L) ,$$

$$Q_2 = (\overline{u}_L \gamma_\mu c_L) (\overline{u}_R \gamma^\mu c_R) ,$$

$$Q_6 = (\overline{u}_R \gamma_\mu c_R) (\overline{u}_R \gamma^\mu c_R) ,$$

$$Q_3 = (\overline{u}_L c_R) (\overline{u}_R c_L) ,$$

$$Q_7 = (\overline{u}_L c_R) (\overline{u}_L c_R) ,$$

$$Q_4 = (\overline{u}_R c_L) (\overline{u}_R c_L) ,$$

$$Q_8 = (\overline{u}_L \sigma_{\mu\nu} c_R) (\overline{u}_L \sigma^{\mu\nu} c_R)$$







 μ : 1 GeV

RG-running relate $C_i(m)$ at NP scale to the scale of $m \sim 1$ GeV, where ME are computed (on the lattice)

d \vec{z}

$$\frac{d}{d\log\mu}\vec{C}(\mu) = \hat{\gamma}^T(\mu)\vec{C}(\mu)$$

Each model of New Physics provides unique matching condition for $C_i(\Lambda_{NP})$

New Physics in x: lots of extras

E.Golowich, J. Hewett, S. Pakvasa and A.A.P. Phys. Rev. D76:095009, 2007

New Physics contributions do not suffer from QCD uncertainties as much as SM contributions since they are short-distance dominated.

> Extra gauge bosons

Left-right models, horizontal symmetries, etc.

> Extra scalars

Two-Higgs doublet models, leptoquarks, Higgsless, etc.

> Extra fermions

4th generation, vector-like quarks, little Higgs, etc.

> Extra dimensions

Universal extra dimensions, split fermions, warped ED, etc.

Extra symmetries

SUSY: MSSM, alignment models, split SUSY, etc.

Total: 21 models considered

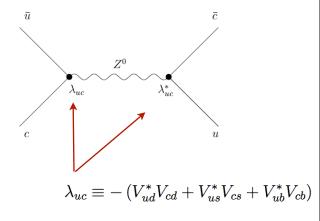
Dealing with New Physics-I

> Consider an example: FCNC Z⁰-boson

appears in models with
extra vector-like quarks
little Higgs models

1. Integrate out Z: for $\mu < M_Z$ get

$$\mathcal{H}_{2/3} = \frac{g^2}{8\cos^2\theta_w M_Z^2} (\lambda_{uc})^2 \bar{u}_L \gamma_\mu c_L \bar{u}_L \gamma^\mu c_L$$



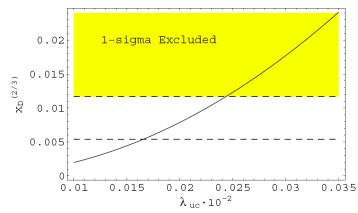
2. Perform RG running to $\mu \sim m_c$ (in general: operator mixing)

$$\mathcal{H}_{2/3} = \frac{g^2}{8\cos^2\theta_w M_Z^2} (\lambda_{uc})^2 r_1(m_c, M_Z) Q_1$$

3. Compute relevant matrix elements and $x_{\rm D}$

$$x_{\rm D}^{(2/3)} = \frac{2G_F f_{\rm D}^2 M_{\rm D}}{3\sqrt{2}\Gamma_D} B_D (\lambda_{uc})^2 r_1(m_c, M_Z)$$

4. Assume no SM - get an upper bound on NP model parameters (coupling)

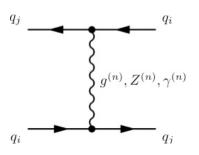


Dealing with New Physics - II

> Consider another example: warped extra dimensions

FCNC couplings via KK gluons

1. Integrate out KK excitations, drop all but the lightest



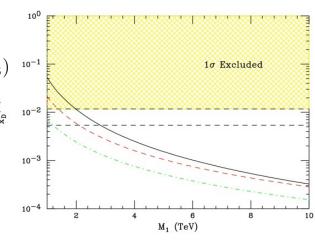
$$\mathcal{H}_{RS} = \frac{2\pi k r_c}{3M_1^2} g_s^2 \left(C_1(M_n) Q_1 + C_2(M_n) Q_2 + C_6(M_n) Q_6 \right)$$

2. Perform RG running to $\mu \sim m_c$

$$\mathcal{H}_{RS} = \frac{g_s^2}{3M_1^2} \left(C_1(m_c)Q_1 + C_2(m_c)Q_2 + C_3(m_c)Q_3 + C_6(m_c)Q_6 \right)$$

3. Compute relevant matrix elements and $x_{\rm D}$

$$x_{\rm D}^{(RS)} = \frac{g_s^2}{3M_1^2} \frac{f_D^2 B_D M_D}{\Gamma_D} \left(\frac{2}{3} [C_1(m_c) + C_6(m_c)] - \frac{1}{6} C_2(m_c) - \frac{5}{12} C_3(m_c) \right)$$

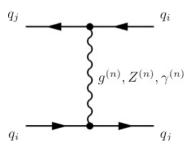


Dealing with New Physics - II

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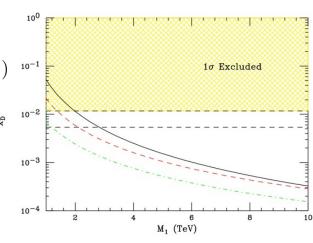
$$\mathcal{H}_{RS} = \frac{2\pi k r_c}{3M_1^2} g_s^2 \left(C_1(M_n) Q_1 + C_2(M_n) Q_2 + C_6(M_n) Q_6 \right)$$

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$$x_{\rm D}^{(RS)} = \frac{g_s^2}{3M_1^2} \frac{f_D^2 B_D M_D}{\Gamma_D} \left(\frac{2}{3} [C_1(m_c) + C_6(m_c)] - \frac{1}{6} C_2(m_c) - \frac{5}{12} C_3(m_c) \right)$$



Implies: M_{1KKq} > 2.5 TeV!

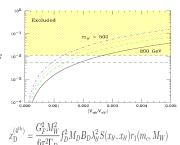
Constraints on New Physics from x

> Extra fermions

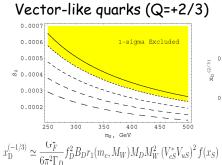
Extra vector bosons

> Extra scalars

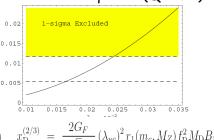
4th generation



Generic Z' models

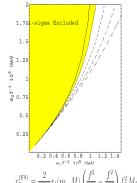


Vector-like quarks (Q=-1/3)



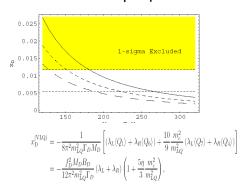
$$A_D M_W^2 (V_{cS}^* V_{uS})^2 f(x_S)$$
 $x_D^{(2/3)} = \frac{2G_F}{3\sqrt{2}\Gamma_D} (\lambda_{uc})^2 r_1(m_c, M_Z) f_D^2 M_D B_1$

Family symmetry



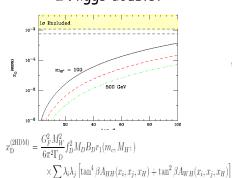


Vector leptoquarks

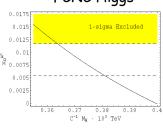


2 Higgs doublet

 $x_{\mathrm{D}}^{(Z')} = \frac{f_D'' B_D}{2 \Gamma_{\mathrm{D}}} \frac{M_D}{M_{\pi'}^2} \left[\frac{3}{3} \left(C_1(m_c) + C_6(m_c) \right) + C_2(m_c) \left(-\frac{1}{2} + \frac{\eta}{3} \right) + C_3(m_c) \left(\frac{1}{12} - \frac{\eta}{2} \right) \right]$



FCNC Higgs



$$x_{\mathrm{D}}^{\mathrm{[H]}} = \frac{5f_{D}^{2}M_{D}B_{D}}{24\Gamma_{D}M_{H}^{2}} \left[\frac{1-6\eta}{5} \; C_{3}(m_{c}) + \eta \left(C_{4}(m_{c}) + C_{7}(m_{c}) \right) - \frac{12\eta}{5} \left(C_{5}(m_{c}) + C_{8}(m_{c}) \right) \right]$$

Extra dimensions, extra symmetries, etc...

Summary: New Physics in mixing

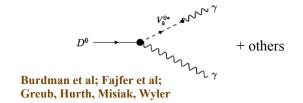
Model	Approximate Constraint	
Fourth Generation (Fig. 2)	$ V_{ub}V_{cb'} \cdot m_{b'} < 0.5 \text{ (GeV)}$	
Q = -1/3 Singlet Quark (Fig. 4)	$s_2 \cdot m_S < 0.27 \text{ (GeV)}$	
Q = +2/3 Singlet Quark (Fig. 6)	$ \lambda_{uc} < 2.4 \cdot 10^{-4}$	
Little Higgs	Tree: See entry for $Q = -1/3$ Singlet Quark	
	Box: Region of parameter space can reach observed x_D	
Generic Z' (Fig. 7)	$M_{Z'}/C > 2.2 \cdot 10^3 \text{ TeV}$	
Family Symmetries (Fig. 8)	$m_1/f > 1.2 \cdot 10^3 \text{ TeV (with } m_1/m_2 = 0.5)$	
Left-Right Symmetric (Fig. 9)	No constraint	
Alternate Left-Right Symmetric (Fig. 10)	$M_R > 1.2 \text{ TeV } (m_{D_1} = 0.5 \text{ TeV})$	
	$(\Delta m/m_{D_1})/M_R > 0.4 \text{ TeV}^{-1}$	
Vector Leptoquark Bosons (Fig. 11)	$M_{VLQ} > 55(\lambda_{PP}/0.1) \text{ TeV}$	
Flavor Conserving Two-Higgs-Doublet (Fig. 13)	No constraint	
Flavor Changing Neutral Higgs (Fig. 15)	$m_H/C > 2.4 \cdot 10^3 \text{ TeV}$	
FC Neutral Higgs (Cheng-Sher ansatz) (Fig. 16)	$m_H/ \Delta_{uc} > 600 \text{ GeV}$	
Scalar Leptoquark Bosons	See entry for RPV SUSY	
Higgsless (Fig. 17)	$M>100~{ m TeV}$	
Universal Extra Dimensions	No constraint	
Split Fermion (Fig. 19)	$M/ \Delta y > (6\cdot 10^2 \text{ GeV})$	
Warped Geometries (Fig. 21)	$M_1 > 3.5~{ m TeV}$	
Minimal Supersymmetric Standard (Fig. 23)	$ (\delta^{u}_{12})_{\mathrm{LR,RL}} < 3.5 \cdot 10^{-2} \text{ for } \tilde{m} \sim 1 \text{ TeV}$	
	$ (\delta^{\omega}_{12})_{\mathrm{LL,RR}} < .25 \ \mathrm{for} \ ilde{m} \sim 1 \ \mathrm{TeV}$	
Supersymmetric Alignment	$ ilde{m} > 2 \; \mathrm{TeV}$	
Supersymmetry with RPV (Fig. 27)	$\lambda'_{12k}\lambda'_{11k}/m_{\tilde{d}_{R,k}} < 1.8 \cdot 10^{-3}/100 \text{ GeV}$	
Split Supersymmetry	No constraint	

- ✓ Considered 21 wellestablished models
- ✓ Only 4 models yielded no useful constraints
- ✓ Consult paper for explicit constraints on your favorite model!

E.Golowich, J. Hewett, S. Pakvasa and A.A.P. Phys. Rev. D76:095009, 2007

3. Mixing vs rare decays

- > These decays only proceed at one loop in the SM; GIM is very effective
 - SM rates are expected to be small
 - \bigstar Radiative decays D \rightarrow yX, yy mediated by c \rightarrow u y
 - SM contribution is dominated by LD effects
 - dominated by SM anyway: useless?

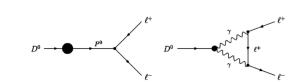


 $\mathcal{L}_{\text{eff}}^{\text{SD}} = \frac{G_F}{\sqrt{2}} V_{cb}^* V_{ub} \sum_{i=0}^{\infty} C_i Q_i,$

 \bigstar Rare decays D \rightarrow e⁺e⁻/ μ + μ -/T⁺T⁻ mediated by c \rightarrow u II

$$Q_7 = rac{e}{8\pi^2} m_c F_{\mu
u} ar{u} \sigma^{\mu
u} (1 + \gamma_5) c, \qquad Q_9 = rac{e^2}{16\pi^2} ar{u}_L \gamma_\mu c_L ar{\ell} \gamma^\mu \ell, \ Q_{10} = rac{e^2}{16\pi^2} ar{u}_L \gamma_\mu c_L ar{\ell} \gamma^\mu \gamma_5 \ell,$$

- SM contribution is dominated by LD effects
- could be used to study NP effects and correlate to mixing
- \bigstar Rare decays D \rightarrow M e⁺e⁻/ μ ⁺ μ ⁻/ τ ⁺ τ ⁻ mediated by c \rightarrow u II
 - SM contribution is dominated by LD effects
 - could be used to study NP effects



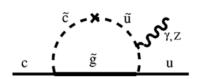
Burdman, Golowich, Hewett, Pakvasa; Fajfer, Prelovsek, Singer

Rare and radiative decays

- > Some examples of New Physics contributions
 - ★ R-partity-conserving SUSY
 - operators with the same mass insertions contribute to D-mixing

 Bigi. Gabbian

Bigi, Gabbiani, Masiero; Prelovsek, Wyler; Ciuchini et al; Nir; Golowich et al.

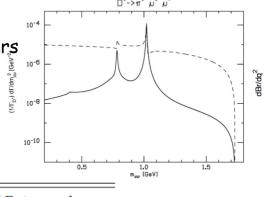


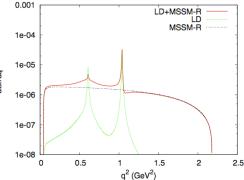
- feed results into rare decays: NP is smaller than LD SM!

Fajfer, Kosnik, Prelovsek

- * R-partity-violating SUSY
 - operators with the same parameters contribute to D-mixing
 - feed results into rare decays







★ Same for other models...

Mode	LD	Extra heavy q	LD + extra heavy q
$D^+ \to \pi^+ e^+ e^-$ $D^+ \to \pi^+ \mu^+ \mu^-$		$1.3 \times 10^{-9} \\ 1.6 \times 10^{-9}$	2.0×10^{-6} 2.0×10^{-6}
Mode	MSSM#	LD + MSSM#	
$D^+ \to \pi^+ e^+ e^-$ $D^+ \to \pi^+ \mu^+ \mu^-$		2.3×10^{-6} 8.8×10^{-6}	

Impact of NP is reduced...

Mixing vs rare decays

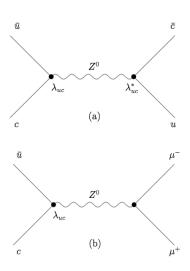
Basics of rare decays

★ Most general effective Hamiltonian:

$$\begin{split} \widetilde{Q}_1 &= (\overline{\ell}_L \gamma_\mu \ell_L) \; (\overline{u}_L \gamma^\mu c_L) \;, \qquad \widetilde{Q}_4 = (\overline{\ell}_R \ell_L) \; (\overline{u}_R c_L) \;, \\ \langle f | \mathcal{H}_{NP} | i \rangle &= G \sum_{i=1} \mathrm{C}_i(\mu) \; \langle f | Q_i | i \rangle (\mu) \qquad \widetilde{Q}_2 = (\overline{\ell}_L \gamma_\mu \ell_L) \; (\overline{u}_R \gamma^\mu c_R) \;, \qquad \widetilde{Q}_5 = (\overline{\ell}_R \sigma_{\mu\nu} \ell_L) \; (\overline{u}_R \sigma^{\mu\nu} c_L) \;, \\ \widetilde{Q}_3 &= (\overline{\ell}_L \ell_R) \; (\overline{u}_R c_L) \;, \qquad \qquad \mathsf{plus} \; \mathsf{L} \; \leftrightarrow \; \mathsf{R} \end{split}$$

 \bigstar ... thus, the amplitude for D \rightarrow e⁺e⁻/ μ + μ -/ τ + τ - decay is

$$\begin{split} \mathcal{B}_{D^0 \to \ell^+ \ell^-} &= \frac{M_D}{8\pi \Gamma_{\rm D}} \sqrt{1 - \frac{4m_\ell^2}{M_D^2}} \left[\left(1 - \frac{4m_\ell^2}{M_D^2} \right) |A|^2 + |B|^2 \right] \quad , \\ \mathcal{B}_{D^0 \to \mu^+ e^-} &= \frac{M_D}{8\pi \Gamma_{\rm D}} \left(1 - \frac{m_\mu^2}{M_D^2} \right)^2 \left[|A|^2 + |B|^2 \right] \quad , \\ |A| &= G \frac{f_D M_D^2}{4m_c} \left[\tilde{C}_{3-8} + \tilde{C}_{4-9} \right] \quad , \\ |B| &= G \frac{f_D}{4} \left[2m_\ell \left(\tilde{C}_{1-2} + \tilde{C}_{6-7} \right) + \frac{M_D^2}{m_c} \left(\tilde{C}_{4-3} + \tilde{C}_{9-8} \right) \right] \end{split}$$



Important: many NP models give contributions to both D-mixing and D \rightarrow e⁺e⁻/ μ ⁺ μ ⁻/ τ ⁻ decay: correlate!!!

Mixing vs rare decays

* Recent experimental constraints

$$\mathcal{B}_{D^0 \to \mu^+ \mu^-} \le 1.3 \times 10^{-6}, \qquad \mathcal{B}_{D^0 \to e^+ e^-} \le 1.2 \times 10^{-6},$$

$$\mathcal{B}_{D^0 \to \mu^{\pm} e^{\mp}} \le 8.1 \times 10^{-7} ,$$

$$\mathcal{B}_{D^0 \to e^+ e^-} \le 1.2 \times 10^{-6},$$

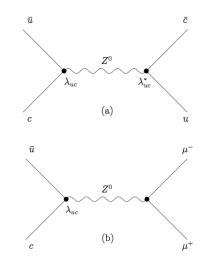
E.Golowich, J. Hewett, S. Pakvasa and A.A.P. arXiv: 0903.2830

- ★ Relating mixing and rare decay
 - consider an example: heavy vector-like quark (Q=+2/3)
 - appears in little Higgs models, etc.

Mixing:
$$\mathcal{H}_{2/3}=\frac{g^2}{8\cos^2\theta_w M_Z^2}\lambda_{uc}^2\;Q_1\;=\;\frac{G_F\lambda_{uc}^2}{\sqrt{2}}Q_1$$

$$x_{\rm D}^{(+2/3)} = \frac{2G_F \lambda_{uc}^2 f_D^2 M_D B_D r(m_c, M_Z)}{3\sqrt{2}\Gamma_D}$$

 $A_{D^0 \to \ell^+ \ell^-} = 0 \qquad B_{D^0 \to \ell^+ \ell^-} = \lambda_{uc} \frac{G_F f_D m_\mu}{2}$ Rare decay:



$$\mathcal{B}_{D^0 \to \mu^+ \mu^-} = \frac{3\sqrt{2}}{64\pi} \frac{G_F m_\mu^2 x_D}{B_D r(m_c, M_Z)} \left[1 - \frac{4m_\mu^2}{M_D} \right]^{1/2}$$

$$\simeq 4.3 \times 10^{-9} x_D \leq 4.3 \times 10^{-11} .$$

Note: a parameter-free relation!

Mixing vs rare decays

- ★ Correlation between mixing/rare decays
 - possible for tree-level NP amplitudes
 - some relations possible for loop-dominated transitions
- ★ Considered several popular models

Model	$\mathcal{B}_{D^0 o\mu^+\mu^-}$
Standard Model (SD)	$\sim 10^{-18}$
Standard Model (LD)	$\sim \text{several} \times 10^{-13}$
Q = +2/3 Vectorlike Singlet	4.3×10^{-11}
Q = -1/3 Vectorlike Singlet	$1 \times 10^{-11} \ (m_S/500 \ {\rm GeV})^2$
Q = -1/3 Fourth Family	$1 \times 10^{-11} \ (m_S/500 \ {\rm GeV})^2$
Z' Standard Model (LD)	$2.4 \times 10^{-12}/(M_{Z'}(\text{TeV}))^2$
Family Symmetry	$0.7 \ 10^{-18} \ (Case \ A)$
RPV-SUSY	$1.7 \times 10^{-9} \ (500 \ {\rm GeV}/m_{\tilde{d}_k})^2$

E.Golowich, J. Hewett, S. Pakvasa and A.A.P. arXiv: 0903.2830 [hep-ph]

Upper limits on rare decay branching ratios

Same idea can be employed to relate D-mixing to K-mixing

Blum, Grossman, Nir, Perez arXiv:0903.2118 [hep-ph]

Things to take home

- Indirect effects of New Physics at flavor factories help to distinguish among models possibly observed at the LHC
 - a combination of bottom/charm sector studies
 - don't forget measurements unique to tau-charm factories
- Charm provides great opportunities for New Physics studies
 - unique access to up-type quark sector
 - large available statistics/in many cases small SM background
 - D-mixing is a second order effect in SU(3) breaking $(x,y \sim 1\%)$ in the SM
 - large contributions from New Physics are possible
 - out of 21 models studied, 17 yielded competitive constraints
- Can correlate mixing and rare decays with New Physics models
 - signals in D-mixing vs rare decays help differentiate among models
- Observation of CP-violation in the current round of experiments provide "smoking gun" signals for New Physics
 - Different observables should be used to disentangle CP-violating contributions to Δc =1 and Δc =2 amplitudes



There is always something new in charm!

Additional slides

Theoretical estimates I

A. Short distance + "subleading corrections" (in $\{m_s, 1/m_c\}$ expansion):

$$y_{sd}^{(6)} \propto \frac{\left(m_s^2 - m_d^2\right)^2}{m_c^2} \frac{m_s^2 + m_d^2}{m_c^2} \, \mu_{had}^{-2} \propto m_s^6 \, \Lambda^{-6}$$
$$x_{sd}^{(6)} \propto \frac{\left(m_s^2 - m_d^2\right)^2}{m_c^2} \, \mu_{had}^{-2} \propto m_s^4 \, \Lambda^{-4}$$

4 unknown matrix elements

...subleading effects?

$$y_{sd}^{(9)} \propto m_s^3 \Lambda^{-3}$$

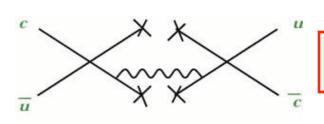
 $x_{sd}^{(9)} \propto m_s^3 \Lambda^{-3}$



15 unknown matrix elements

H. Georgi, ...
I. Bigi, N. Uraltsev

 $y_{sd}^{(12)} \propto \beta_0 \alpha_s^2 (\mu) m_s^2 \Lambda^{-2}$ $x_{sd}^{(12)} \propto \alpha_s (\mu) m_s^2 \Lambda^{-2}$



Twenty-something unknown matrix elements

Guestimate: $x \sim y \sim 10^{-3}$?

Leading contribution!!!

d=12

Theoretical estimates II

B. Long distance physics dominates the dynamics...

m_c is NOT large !!!

$$y = \frac{1}{2\Gamma} \sum_{m} \rho_n \left[\langle D^0 | H_W^{\Delta C = 1} | n \rangle \langle n | H_W^{\Delta C = 1} | \overline{D}^0 \rangle + \langle \overline{D}^0 | H_W^{\Delta C = 1} | n \rangle \langle n | H_W^{\Delta C = 1} | D^0 \rangle \right]$$

... with n being all states to which D⁰ and \overline{D}^0 can decay. Consider $\pi\pi$, πK , KKintermediate states as an example...

$$y_2 = Br(D^0 \to K^+K^-) + Br(D^0 \to \pi^+\pi^-) - 2\cos\delta\sqrt{Br(D^0 \to K^+\pi^-)Br(D^0 \to \pi^+K^-)}$$

J. Donoghue et. al.

P. Colangelo et. al.

If every Br is known up to O(1%)



the result is expected to be O(1%)!

The result here is a series of large numbers with alternating signs, <u>SU(3)</u> forces <u>0</u>

x = ? Extremely hard...



Need to "repackage" the analysis: look at the complete multiplet contribution

Theoretical estimates II

B. Long distance physics dominates the dynamics...

m_c is NOT large!!!

$$y = \frac{1}{2\Gamma} \sum_{m} \rho_n \left[\langle D^0 | H_W^{\Delta C = 1} | n \rangle \langle n | H_W^{\Delta C = 1} | \overline{D}^0 \rangle + \langle \overline{D}^0 | H_W^{\Delta C = 1} | n \rangle \langle n | H_W^{\Delta C = 1} | D^0 \rangle \right]$$

... with n being all states to which D⁰ and \overline{D}^0 can decay. Consider $\pi\pi$, πK , KKintermediate states as an example...

J. Donoghue et. al.

P. Colangelo et. al.

cancellation expected!

If every Br is known up to O(1%)



the result is expected to be O(1%)!

The result here is a series of large numbers with alternating signs, <u>SU(3)</u> forces <u>0</u>

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Need to "repackage" the analysis: look at the complete multiplet contribution