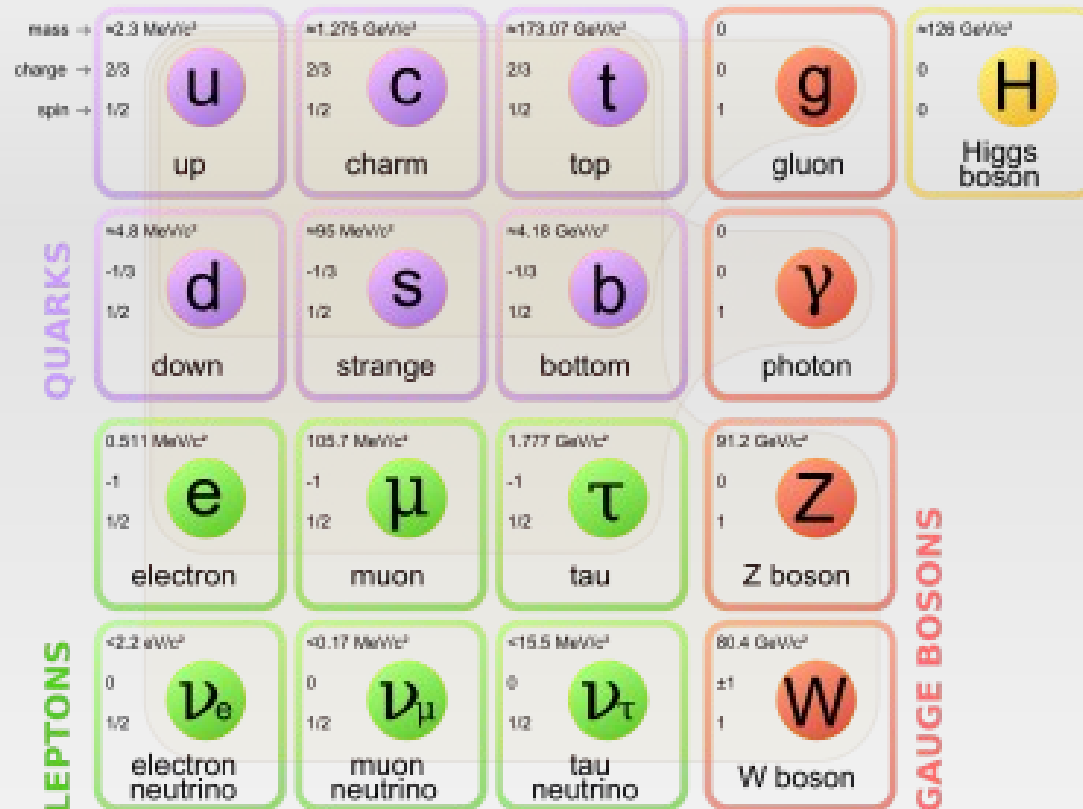


# Neutrinoless double beta decay with $^{76}\text{Ge}$



Bernhard Schwingenheuer  
Max-Planck-Institut für Kernphysik, Heidelberg

# Standard Model



no new physics found at the LHC so far, SM could be valid up to Planck scale

**BUT**

- no dark matter candidate
- baryon asymmetry of the universe not explained
- dark energy not understood
- origin of (tiny) neutrino mass unknown

# Neutrino mass: non-SM effect?

	SM			nuMSM		
mass →	2.4 MeV	1.27 GeV	171.2 GeV	2.4 MeV	1.27 GeV	171.2 GeV
charge →	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$
name →	<b>u</b> up	<b>c</b> charm	<b>t</b> top	<b>u</b> up	<b>c</b> charm	<b>t</b> top
Quarks	$-\frac{1}{3}$ <b>d</b> down	$-\frac{1}{3}$ <b>s</b> strange	$-\frac{1}{3}$ <b>b</b> bottom	$-\frac{1}{3}$ <b>d</b> down	$-\frac{1}{3}$ <b>s</b> strange	$-\frac{1}{3}$ <b>b</b> bottom
	0 eV <b><math>\nu_e</math></b> electron neutrino	0 eV <b><math>\nu_\mu</math></b> muon neutrino	0 eV <b><math>\nu_\tau</math></b> tau neutrino	$<0.0001$ eV <b><math>\nu_e</math></b> electron neutrino	$\sim 10$ keV <b><math>N_1</math></b> sterile neutrino	$\sim 0.01$ eV <b><math>\nu_\mu</math></b> muon neutrino
	$\sim 0.04$ eV <b><math>\nu_\tau</math></b> tau neutrino	$\sim \text{GeV}$ <b><math>N_2</math></b> sterile neutrino	$\sim \text{GeV}$ <b><math>N_3</math></b> sterile neutrino	$\sim 0.511$ MeV <b>e</b> electron	$105.7$ MeV <b><math>\mu</math></b> muon	$1.777$ GeV <b><math>\tau</math></b> tau
Leptons	-1	-1	-1	-1	-1	-1

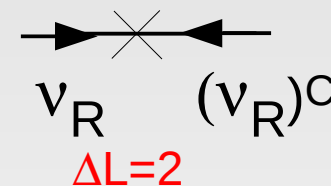
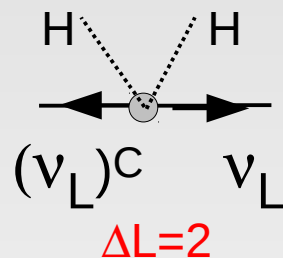
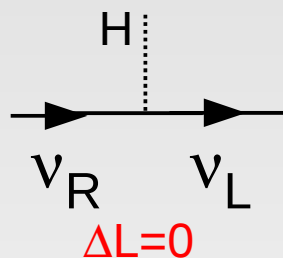
weak interactions: W/Z bosons couple only to **left**-handed fermions  
 mass generation: Higgs couples to **left**- and **right**-handed fermions

$\nu$  oscillations (Nobel prize 2015) →  $\nu_L$  have (**tiny**) mass ( $< m_e / 10^6$ )  
**same mass mechanism like for other fermions?**

# Neutrino mass: non-SM effect?

possible neutrino mass terms ( $\nu$  has **no** electric charge)

$$L_{Yuk} = m_D \bar{\nu}_L \nu_R + m_L \bar{\nu}_L (\nu_L)^C + m_R (\bar{\nu}_R)^C \nu_R + h.c.$$



$\nu_L$  couples to Standard Model W,Z bosons,  $\nu_R$  does not (SM singlet)  
 $m_D \sim$  normal Dirac mass term

$m_L, m_R$  new physics

eigen vector  $N \sim \nu_R + (\nu_R)^C$       $\nu \sim \nu_L + (\nu_L)^C$   
 mass ( $m_L \sim 0$ )      $m_R$       $m_D^2 / m_R$

Majorana particles

# N mass range

possible N mass ranges (**little guidance on scale available!**)

$10^9 - 10^{14}$  GeV: motivated by GUT, can explain baryon asymmetry (lepton asymmetry by CP violation converted via sphaleron to BAU), see-saw: light neutrino mass  $\sim m_D^2 / M_R$

0.1-few TeV: can explain baryon asymmetry, no hierarchy problem (see below), accessible by LHC

GeV: can explain baryon asymmetry  
if  $< 5$  GeV observation e.g.  $D \rightarrow N \mu X$  with  $N \rightarrow \mu \pi$  by SHIP (**200 MCHF**)

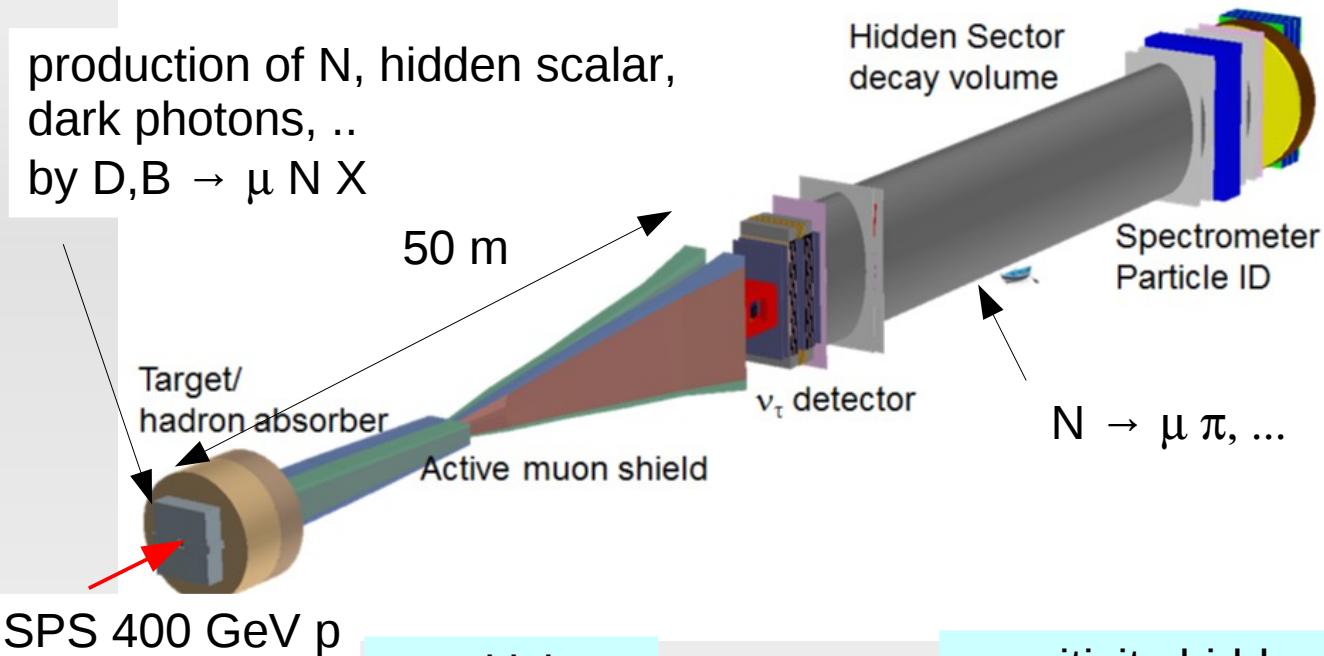
10 keV: (warm+cold) dark matter candidate,  $N \rightarrow \gamma \nu$  decay  $\sim U^2 m_R^5$   
hint for 3.5 keV line ?? (arXiv:1402.2301, arXiv:1402.4119)

eV range: LSND oscillation signal, reactor anomaly, ...  $\rightarrow$  SOX, Stereo, ...  
contribute to number of relativistic neutrinos measured by PLANCK

**neutrino minimal SM ( $\nu$ MSM):**  $1 \times 10$  keV N for DM and  $2 \times \sim$ GeV N for baryon asymmetry,  
minimal extension of SM

# SHIP proposal @ SPS

production of  $N$ , hidden scalar, dark photons, ..  
by  $D, B \rightarrow \mu N X$

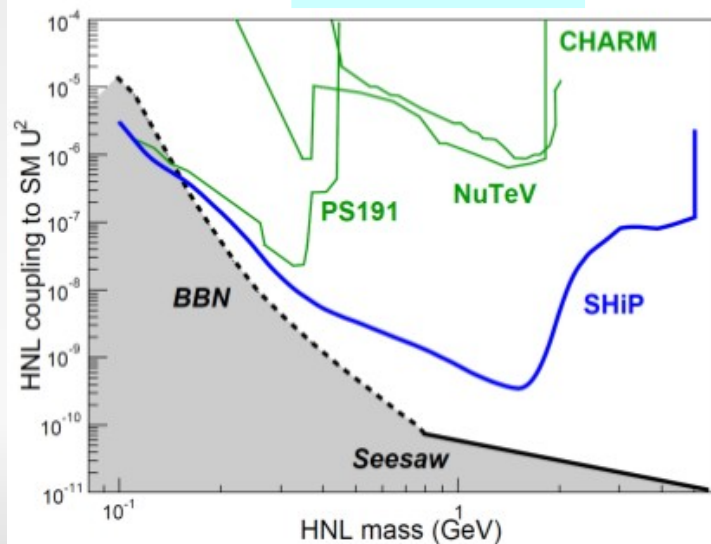


arXiv:1504.04956  
arXiv:1504.04855

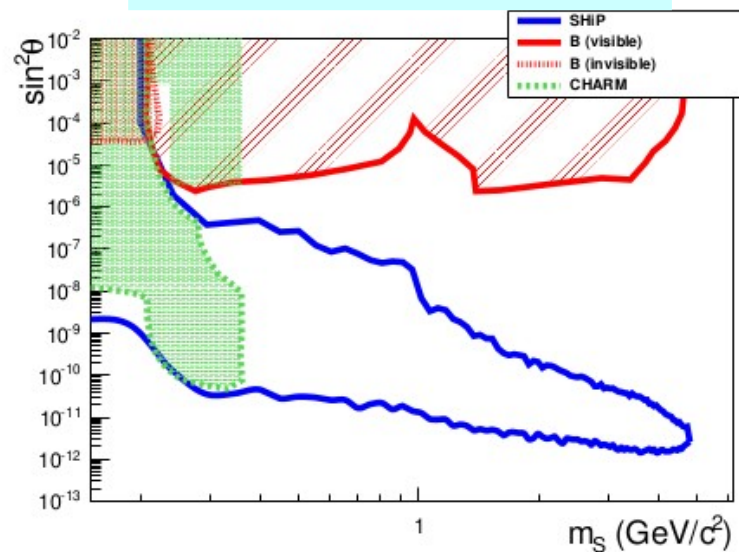
uses CNGS beam line,  
total  $2 \cdot 10^{20}$  pot  
 $\sim 8 \cdot 10^{17}$  D mesons

cost for beam+exp 200 MCHF

sensitivity  $N$

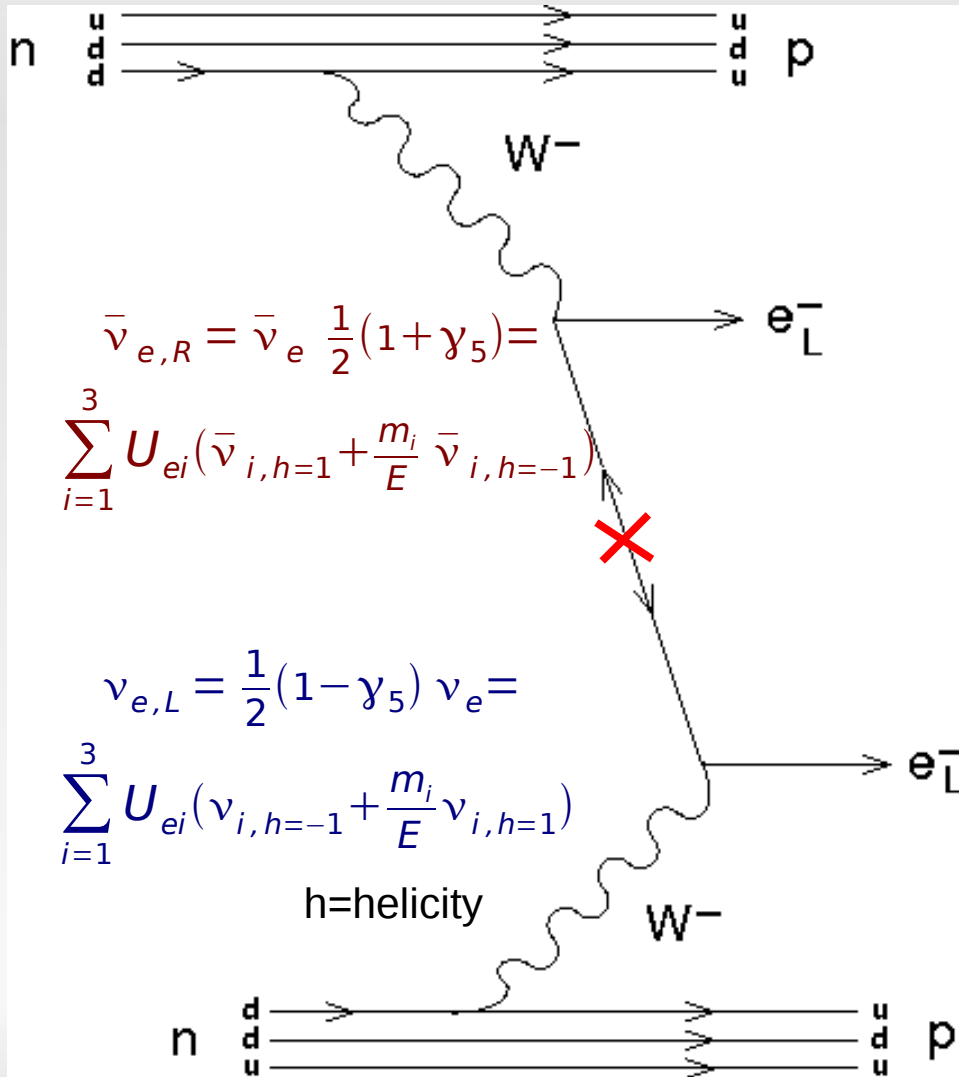


sensitivity hidden scalar



# How to observe $\Delta L=2: 0\nu\beta\beta$

Look for a process which can only occur if neutrino is Majorana particle



coupling strength  $\sim m_{\beta\beta} = \sum_{i=1}^3 U_{ei}^2 m_i$

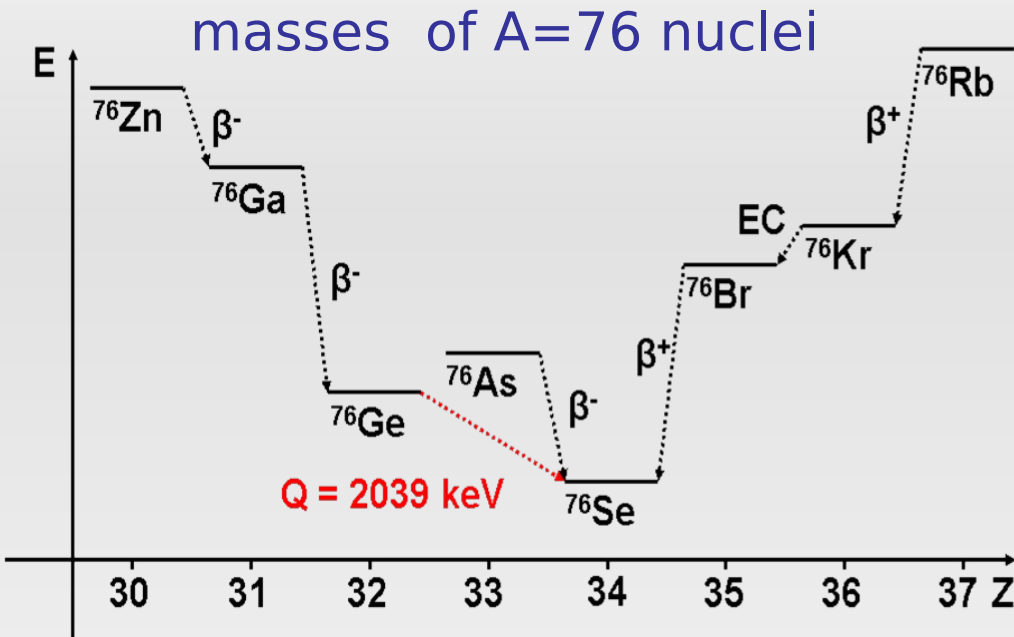
function of

- neutrino mixing parameters
- lightest neutrino mass
- 2 Majorana phases

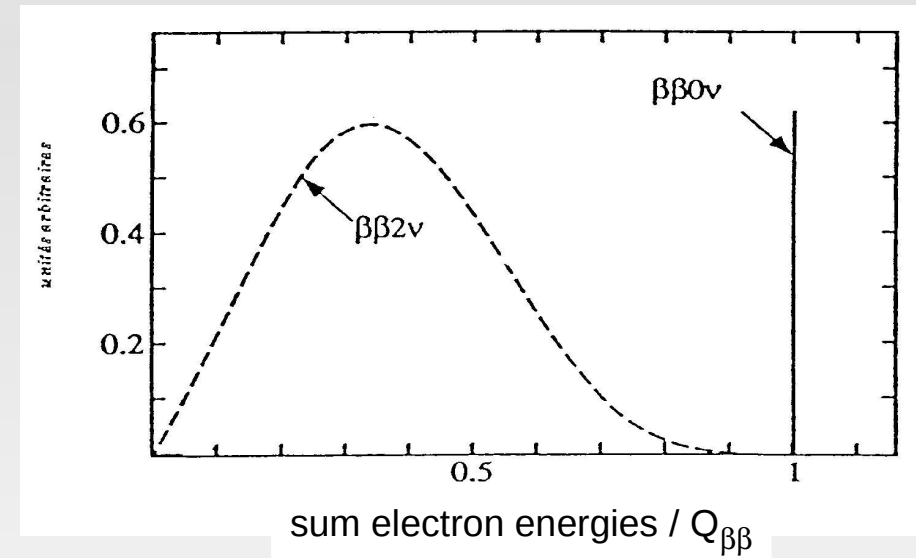
also possible: heavy N exchange

→ coupling strength  $\sim \sum_{i=1}^3 V_{ei}^2 / M_i$

# Neutrinoless double beta decay



experimental signature for  $\beta\beta$



”single” beta decay not allowed  
 → only ”double beta decay”

$$(A, Z) \rightarrow (A, Z+2) + 2 e^- + 2 \bar{\nu} \quad \Delta L=0$$

$$(A, Z) \rightarrow (A, Z+2) + 2 e^- \quad \Delta L=2$$

$0\nu\beta\beta$ : search for a line at  $Q$  value of decay

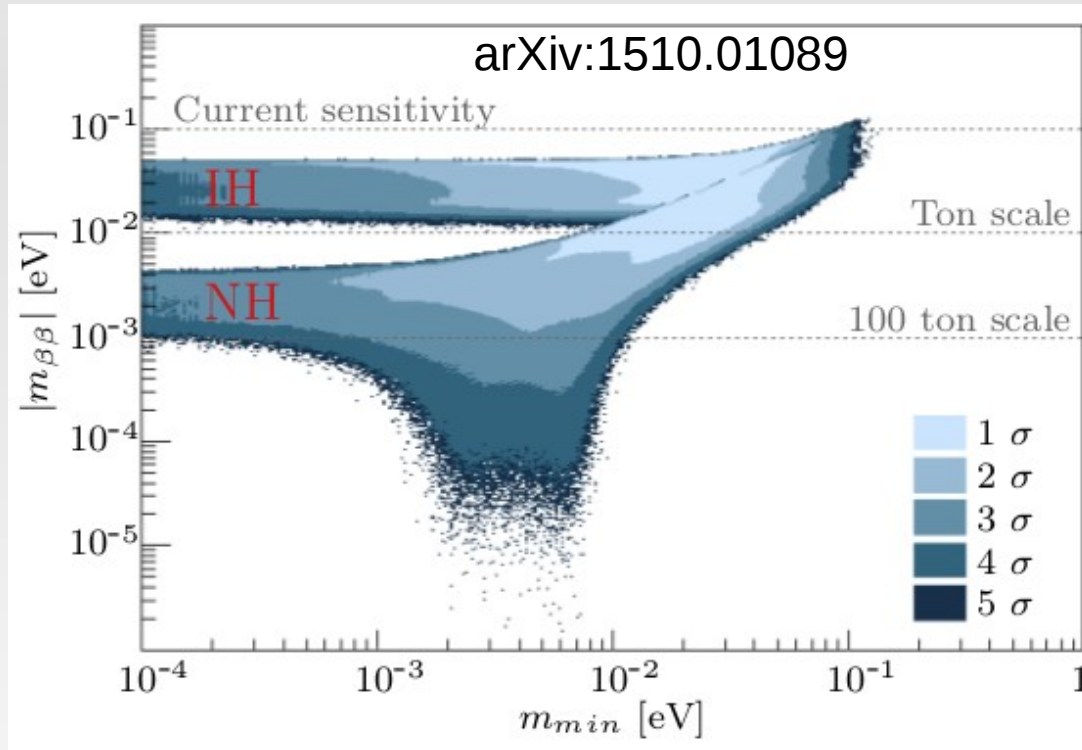
Note: similar process in principle also observable at accelerator or reactor or ... but for light Majorana neutrino:

- background too high
- flux too low compared to Avogadro  $N_A$



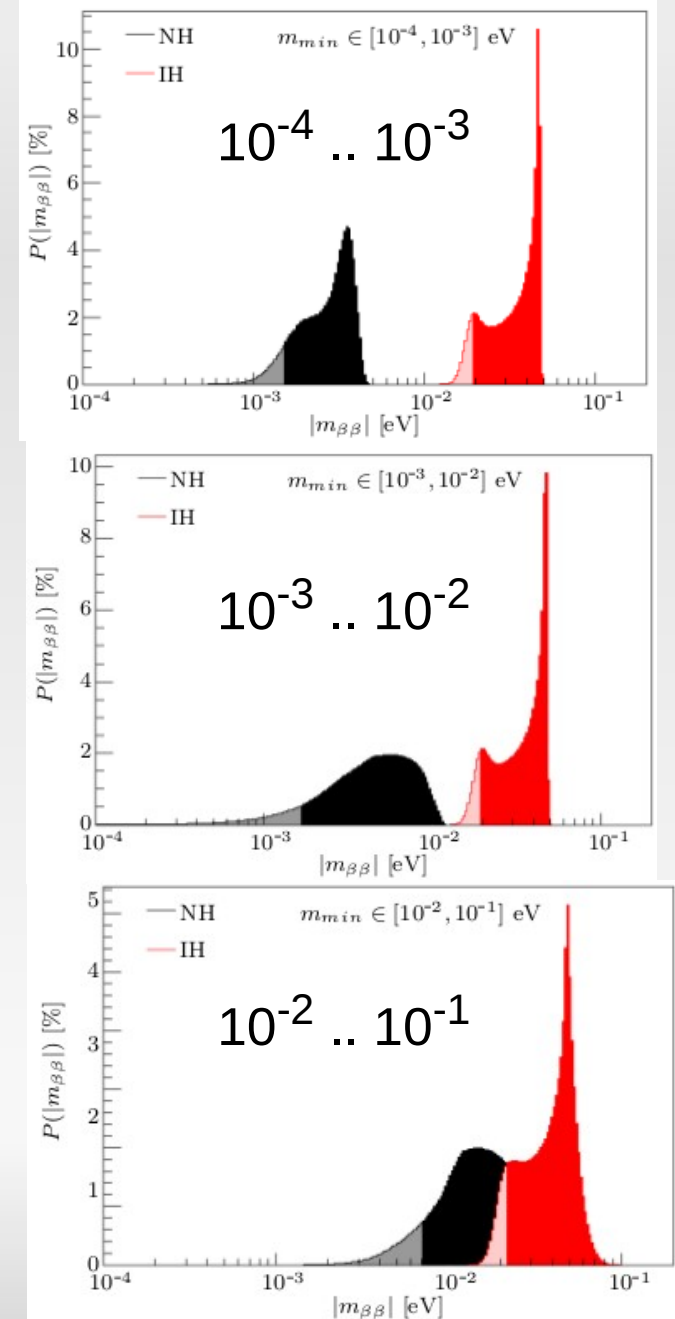
# Light Majorana neutrino exchange

scan of  $m_{\beta\beta}(\Delta m_{\text{atm}}^2, \Delta m_{\text{sol}}^2, m_{\text{min}}, \theta_{\text{atm}}, \theta_{\text{sol}}, \theta_{13}, 2 \text{ Majorana } \Phi)$   
 according to measurements or random (2 Maj. phases)



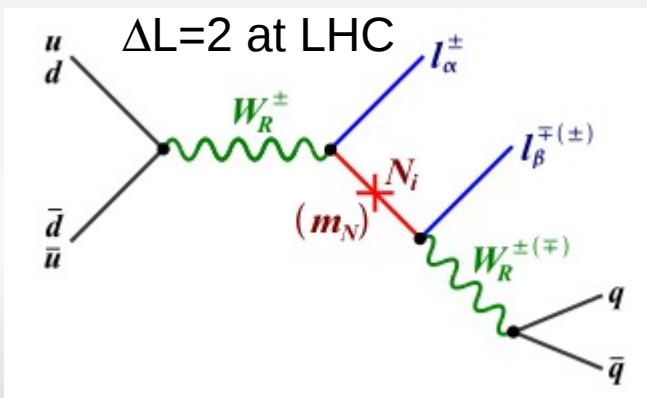
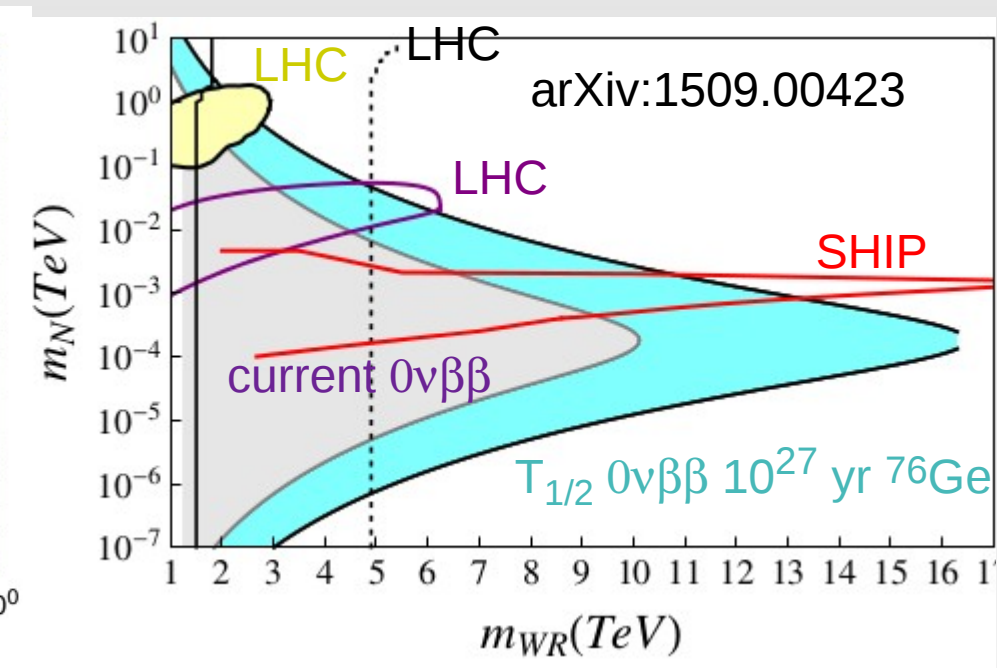
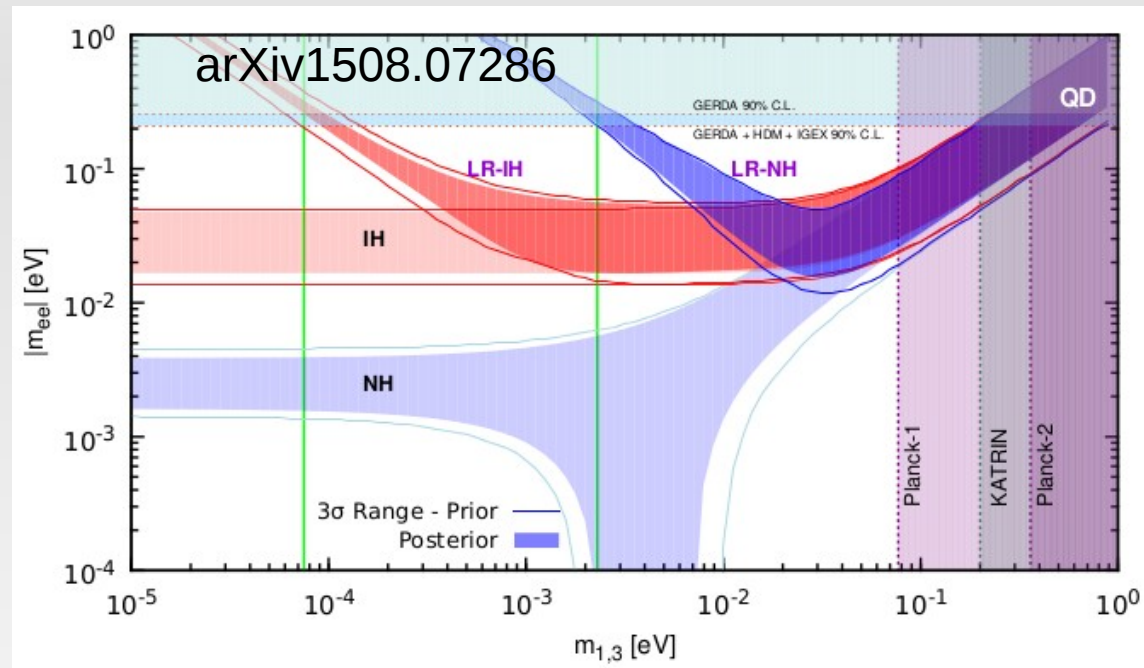
including cosmological bound  $\Sigma = (22 \pm 62) \text{ meV}^1$   
<sup>1</sup> true for flat  $\Lambda$ CDM only

unless Majorana phases are "aligned"  
 high  $m_{\beta\beta}$  values are more likely to occur



# LHC vs $0\nu\beta\beta$ : other mechanisms

extensions of SM  $\rightarrow$  other contributions to  $0\nu\beta\beta$  possible, example LRSM  
 LHC might find  $W_R$  and/or  $\Delta L=2$  process



best case: find s.th. at LHC and  $0\nu\beta\beta$  and lepton flavor violation  $\mu \rightarrow e \gamma$

# LHC vs $0\nu\beta\beta$ : other mechanisms

## Leptoquark patterns unifying neutrino masses, flavor anomalies and the diphoton excess

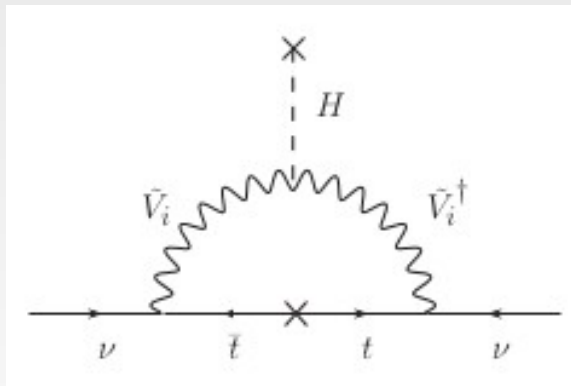
F. F. Deppisch,<sup>1</sup> S. Kulkarni,<sup>2</sup> H. Päs,<sup>3</sup> and E. Schumacher<sup>3</sup>

<sup>1</sup>Department of Physics and Astronomy, University College London, London WC1E 6BT, United Kingdom

<sup>2</sup>Institute of High Energy Physics, Austrian Academy of Sciences, Nikolsdorfergasse 18, 1050 Vienna, Austria

<sup>3</sup>Fakultät für Physik, Technische Universität Dortmund, 44221 Dortmund, Germany

Vector leptoquarks provide an elegant solution to a series of anomalies and at the same time generate naturally light neutrino masses through their mixing with the standard model Higgs boson. We present a simple Froggatt-Nielsen model to accommodate the B physics anomalies  $R_K$  and  $R_D$ , neutrino masses, and the 750 GeV diphoton excess in one cohesive framework adding only two vector leptoquarks and two singlet scalar fields to the standard model field content.



$\nu$  Majorana mass term

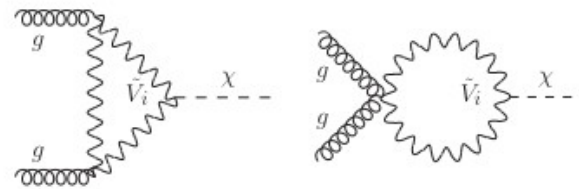


Figure 5: Dominating diagrams contributing to  $\sigma(pp \rightarrow \chi)$ .

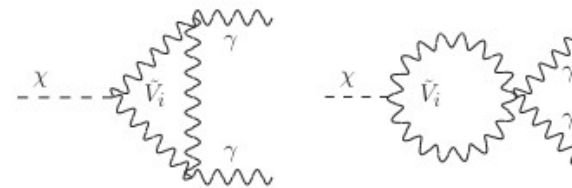


Figure 6: Diagrams contributing to  $\Gamma(\chi \rightarrow \gamma\gamma)$ .

preferential coupling  
to gluon + photon  
→ 750 GeV  $\gamma\gamma$  @ LHC

# From $T_{1/2}$ to $m_{\beta\beta}$

$$\frac{1}{T_{1/2}^{0\nu}} = g_A^4 G^{0\nu} |M^{0\nu}|^2 \frac{\langle m_{\beta\beta} \rangle^2}{m_e^2}$$

$T_{1/2}^{0\nu}$  = measured experimentally

$g_A$  = axial vector coupl. = 1.25

$G^{0\nu}$  = phase space factor  $\sim Q^5$

$M^{0\nu}$  = nuclear matrix element

$m_e$  = electron mass

need  $M^{0\nu}$  to understand physics mechanism

Experiment observes  $N^{0\nu} = \ln 2 \frac{N_A}{A} \cdot a \cdot \epsilon \cdot M \cdot t / T_{1/2}$

and  $N^{bkg} = M \cdot t \cdot B \cdot \Delta E$

## Experimental sensitivity

$$T_{1/2} (90\% CL) > \begin{cases} \frac{\ln 2}{2.3} \frac{N_A}{A} a \cdot \epsilon \cdot M \cdot t & \text{for } N^{bkg} = 0 \\ \frac{\ln 2}{1.64} \frac{N_A}{A} a \cdot \epsilon \sqrt{\frac{M \cdot t}{B \cdot \Delta E}} & \text{for large } N^{bkg} \end{cases}$$

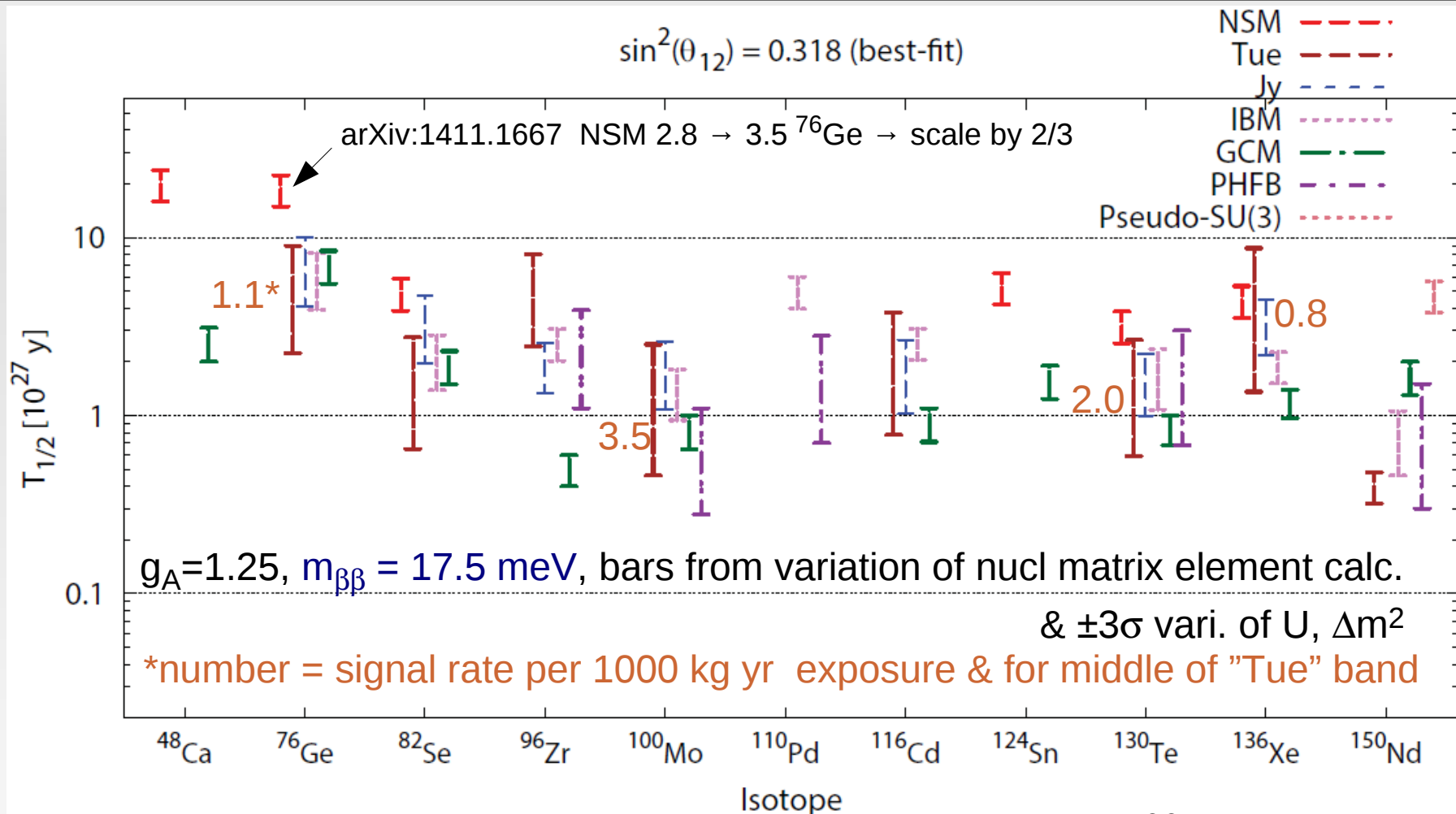
selected  $0\nu\beta\beta$  isotopes from PRD 83 (2011) 113010

Isotope	$G^{0\nu}$ [ $10^{-14}$ y]	Q[keV]	nat. abund.[%]
$^{48}\text{Ca}$	2.5	4273.7	0.187
$^{76}\text{Ge}$	0.23	2039.1	7.8
$^{82}\text{Se}$	1.0	2995.5	9.2
$^{100}\text{Mo}$	1.6	3035.0	9.6
$^{130}\text{Te}$	1.4	2530.3	34.5
$^{136}\text{Xe}$	1.5	2461.9	8.9
$^{150}\text{Nd}$	6.6	3367.3	5.6

enrichment required except for  $^{130}\text{Te}$ ,  
not (yet) possible for all, costs differ

M = mass of detector  
t = measurement time  
A = isotope mass per mole  
 $N_A$  = Avogadro constant  
a = fraction of  $0\nu\beta\beta$  isotope  
 $\epsilon$  = detection efficiency  
B = background index in units cnt/(keV kg y)  
 $\Delta E$  = energy resolution = energy window size

# Expected $T_{1/2}$ for different matrix elements



taken from DOE Nuclear Science Advisory Committee report on  $0\nu\beta\beta$  (24 April 2014)  
 adopted from A. Dueck, W. Rodejohann and K. Zuber, Phys. Rev. D83 (2011) 113010

**No clearly favored isotope if spread of NME considered  
 expect only  $\sim 1$  event/year for 1000 kg isotope mass**

# How to reduce background

**sources:** cosmic rays (p,n, $\mu$ , $\gamma$ )  $\rightarrow$  underground like LNGS  
neutrons from ( $\alpha$ ,n) and spallation induced by  $\mu$   
 $\alpha$ , $\beta$ , $\gamma$  from radioactive decay chains  $^{238}\text{U}$ ,  $^{232}\text{Th}$

- $\rightarrow$  **avoid contamination**  $\rightarrow$  screen & select materials like cables, holders
- $\rightarrow$  **shield (external) radioactivity**  $\rightarrow$  example  $^{232}\text{Th}$  activities [ $\mu\text{Bq/kg}$ ]  
1000 - steel,  $<1$  - Cu,  $<1$  - water,  $\sim 0$  liquid argon / org. scintillator
- $\rightarrow$  **identify background events (multi-dim. selection)**  $\rightarrow$   
localize interactions (surface events, multiple interactions)  
identify particle type ( $\alpha$  versus  $\beta/\gamma$ )  
'measure' all energy depositions (active veto)

# GERDA: Ge in LAr @ Gran Sasso

lock & glove box  
for string insertion

Ge detectors  
( $^{76}\text{Ge}$  ~ 86%)

64 m<sup>3</sup> LAr

590 m<sup>3</sup> pure water / Cherenkov veto

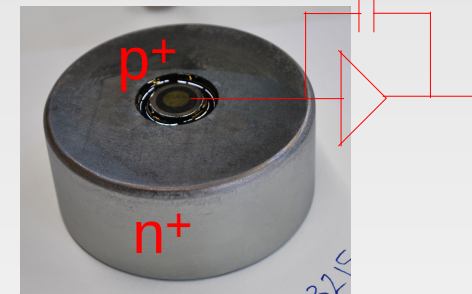
Phase I (2011-13):

$$T_{1/2}^{0\nu} > 2.1 \cdot 10^{25} \text{ yr (90\% C.L.)}$$

$^{76}\text{Ge}$   $0\nu\beta\beta$  decay, PRL 111 122503

Phase II:

2x Ge mass (30 BEGe det.)



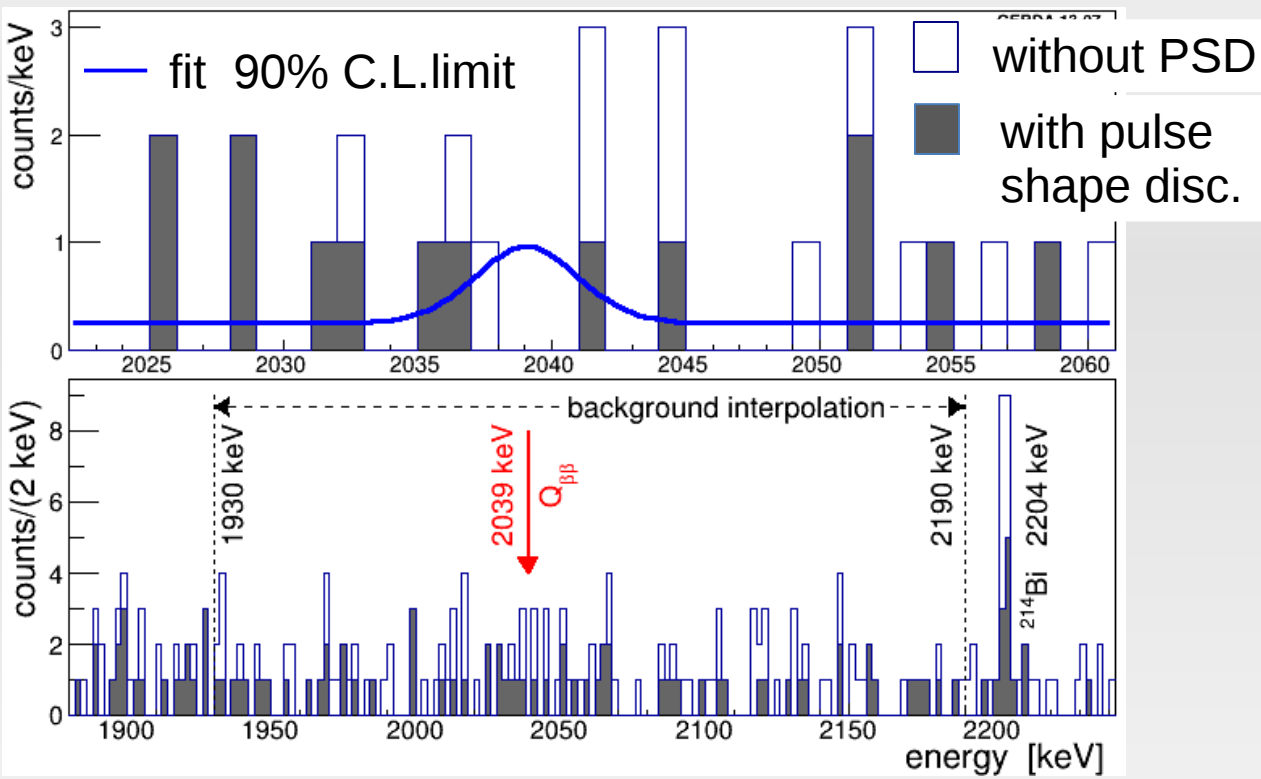
LAr scint. light readout



started end 2015

EPJ C73 (2013) 2330

# GERDA phase I results



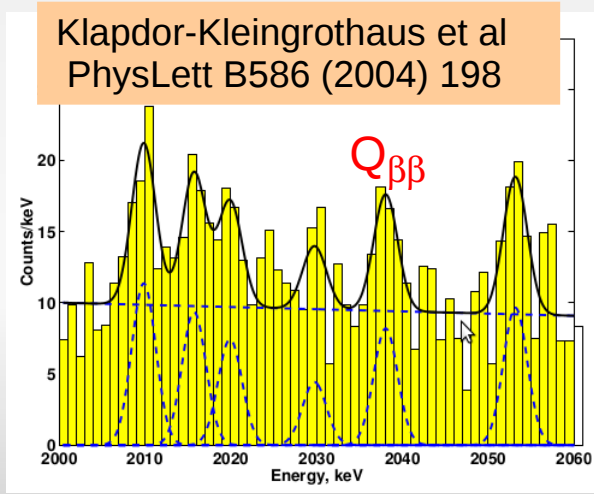
events  $\pm 20$  keV blinded

after calibration+selection finished  
 $\rightarrow$  unblinding at meeting  
 in Dubna in June 2013

exposure 21.6 kg yr  
 backgr. 0.01 cnt/(keV kg yr)  
 after pulse shape cut

$$T_{1/2}^{0\nu} > 2.1 \cdot 10^{25} \text{ yr (90\% C.L.)}$$

(sensitivity =  $2.4 \cdot 10^{25}$  yr)  
 PRL 111 (2013) 122503.

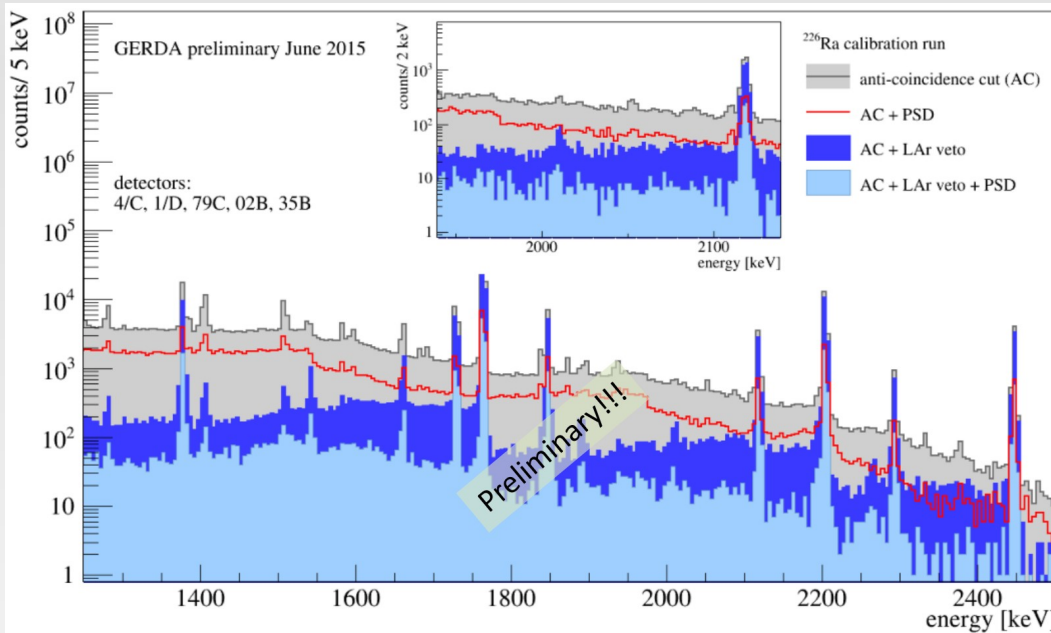


claimed signal: GERDA should see  $5.9 \pm 1.4$   $0\nu\beta\beta$  events in  
 $\pm 2\sigma$  interval above background of  $2.0 \pm 0.3$   
 probability  $p(N^{0\nu}=0 | H_1=\text{signal}+\text{bkg}) = 1\%$ , claim ruled out @ 99%  
 (GERDA best fit signal count  $N^{0\nu} = 0$ )

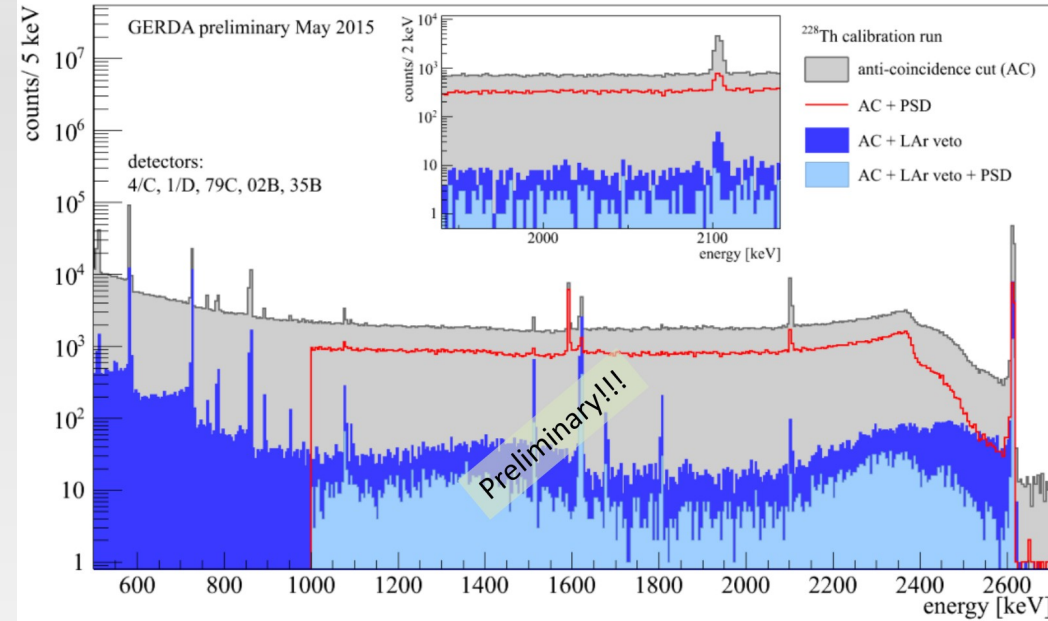


# Argon veto performance

$^{226}\text{Ra}$  calibration source



$^{228}\text{Th}$  calibration source

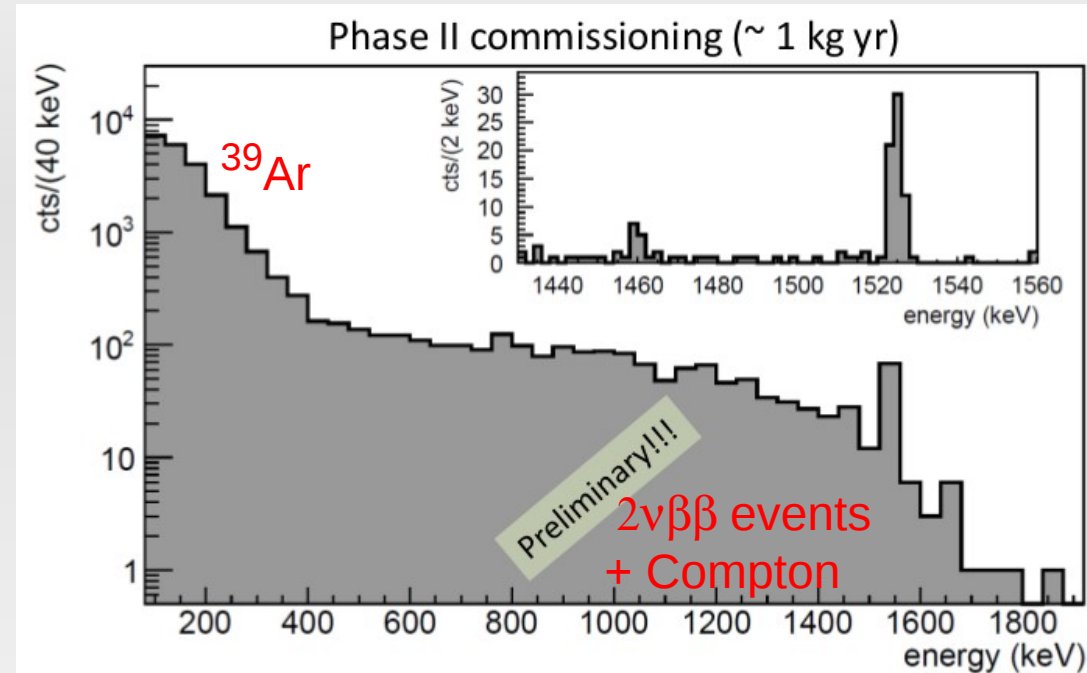
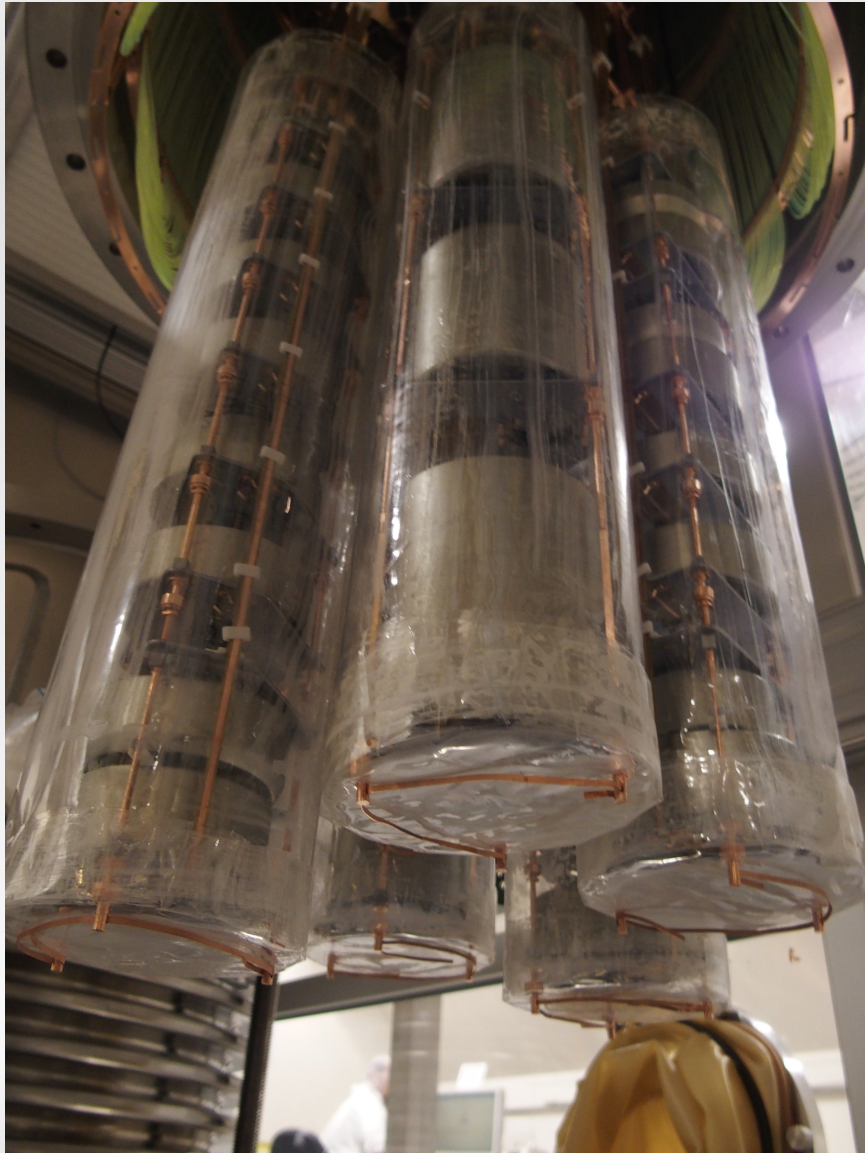


veto suppression factor  $5.1 \pm 0.2$   
combined with pulse shape  
& anti-coincidence  $25 \pm 2.2$

veto suppression factor  $85 \pm 3$   
combined with pulse shape  
& anti-coincidence  $390 \pm 28$

>5 background suppression for  $^{226}\text{Ra}$  &  $^{228}\text{Th}$  by LAr veto

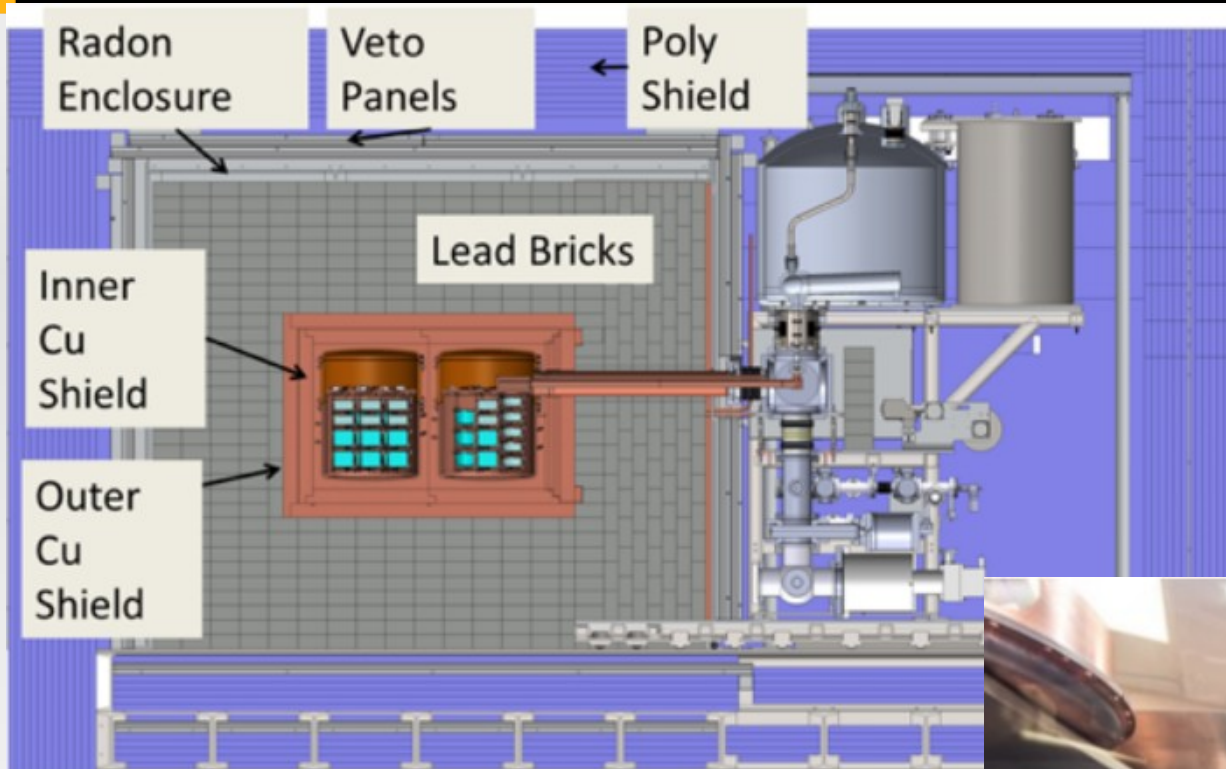
# Phase II status



all detectors mounted & biased in Dec 2015  
LAr veto working

Phase II data taking started  
current sensitivity  $\sim 4.5 \cdot 10^{25}$  yr (90% CL)  
final  $\sim 1.5 \cdot 10^{26}$  yr (90% CL)

# Majorana Demonstrator @ SURF



29 kg  $^{76}\text{Ge}$  detectors (87% enr) in conventional copper/lead shield (+15 kg  $^{\text{nat}}\text{Ge}$  detectors)

point-contact detectors → rejection surface evt + multiple int.

ultra-clean copper ("home made") + cables + ...

goal: prove design for ton scale

proto-type module:

10 detectors, 2014-2015

Module 1

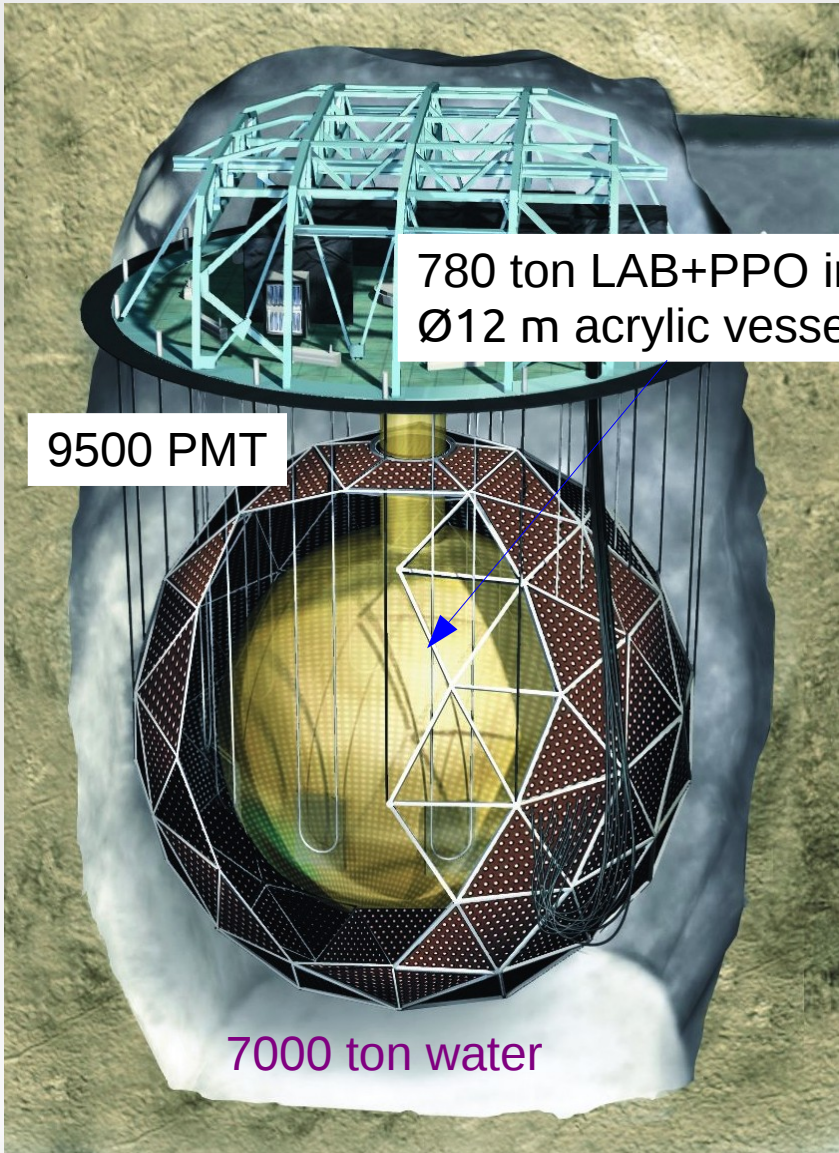
29 detectors, 2015 first installation running since Jan 2016

Module 2:

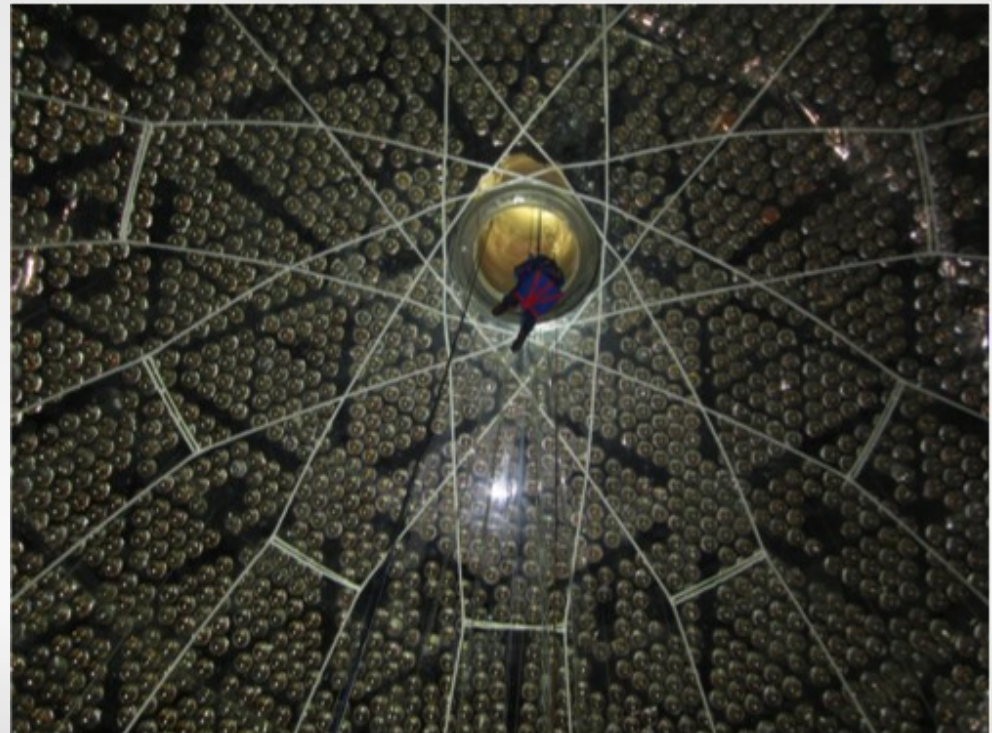
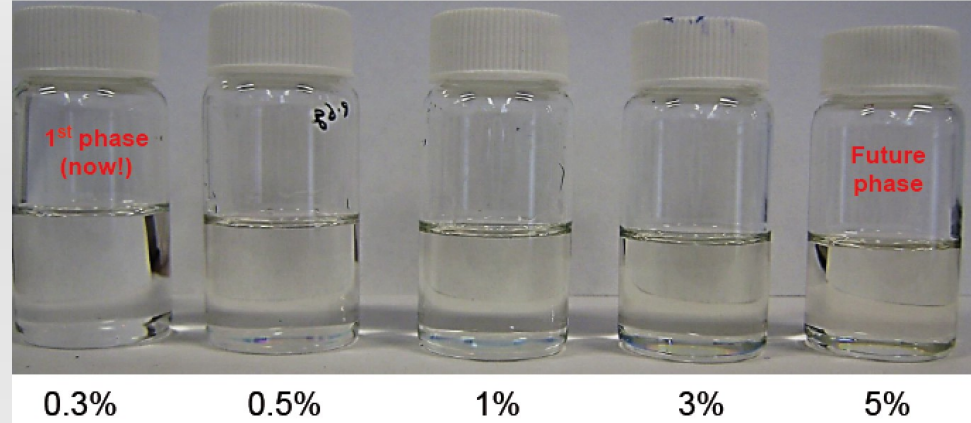
29 detectors, in few months complete

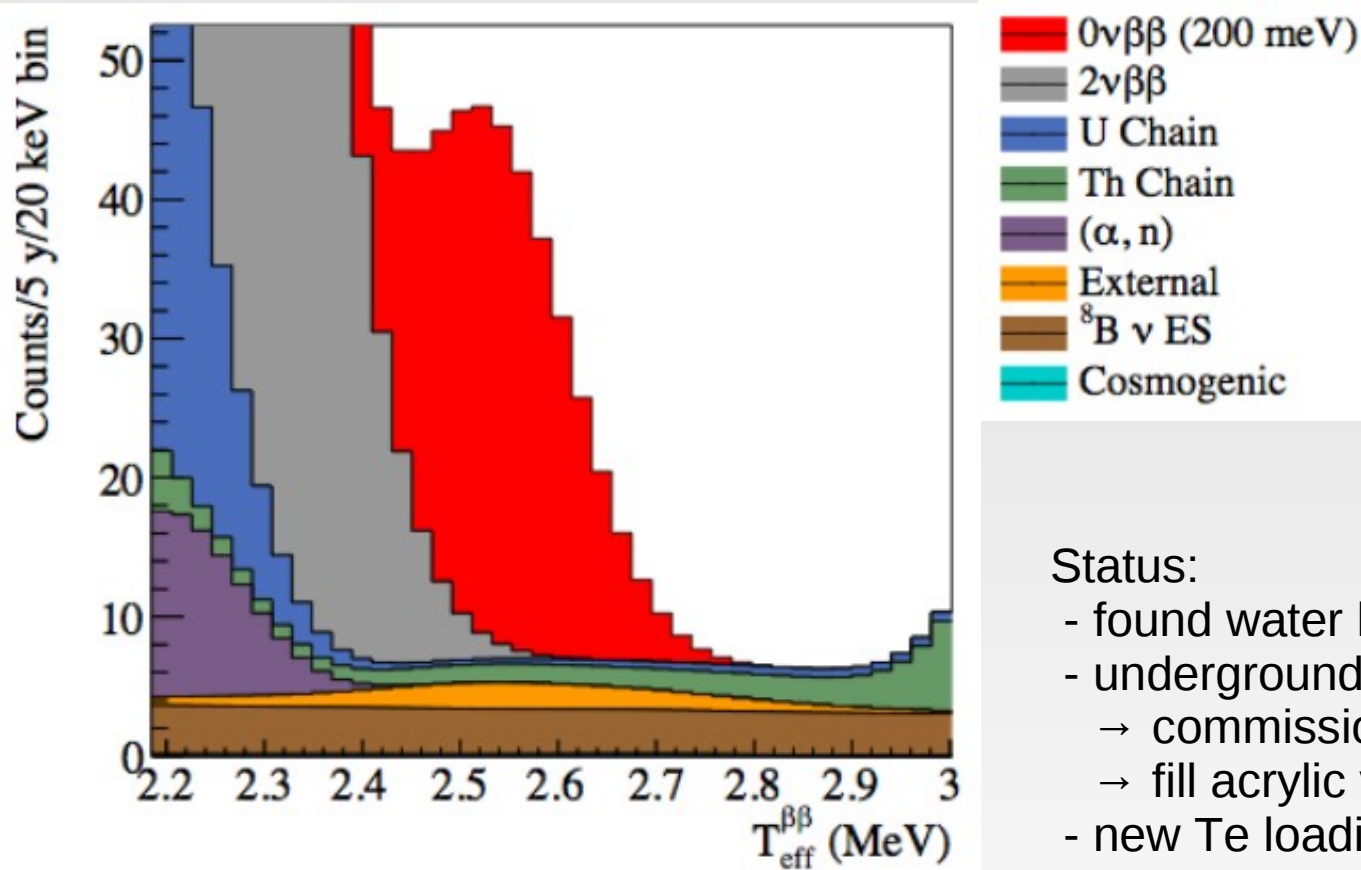


# SNO+



default: 0.5% loading  $\rightarrow$  3900 kg  $^{nat}\text{Te}$  / 1300 kg  $^{130}\text{Te}$





0.5% Te loading  
 FV:  $R < 3.5$  m (20% vol)  
 390 p.e./MeV light yield

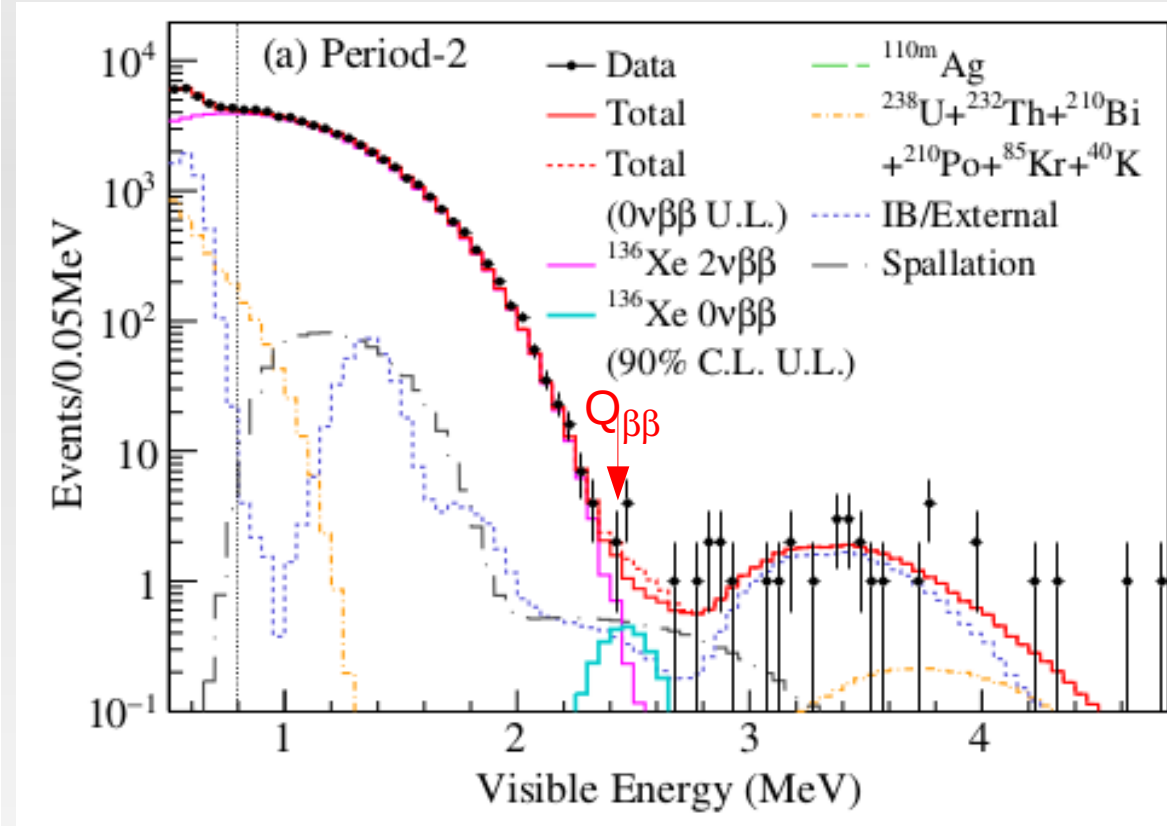
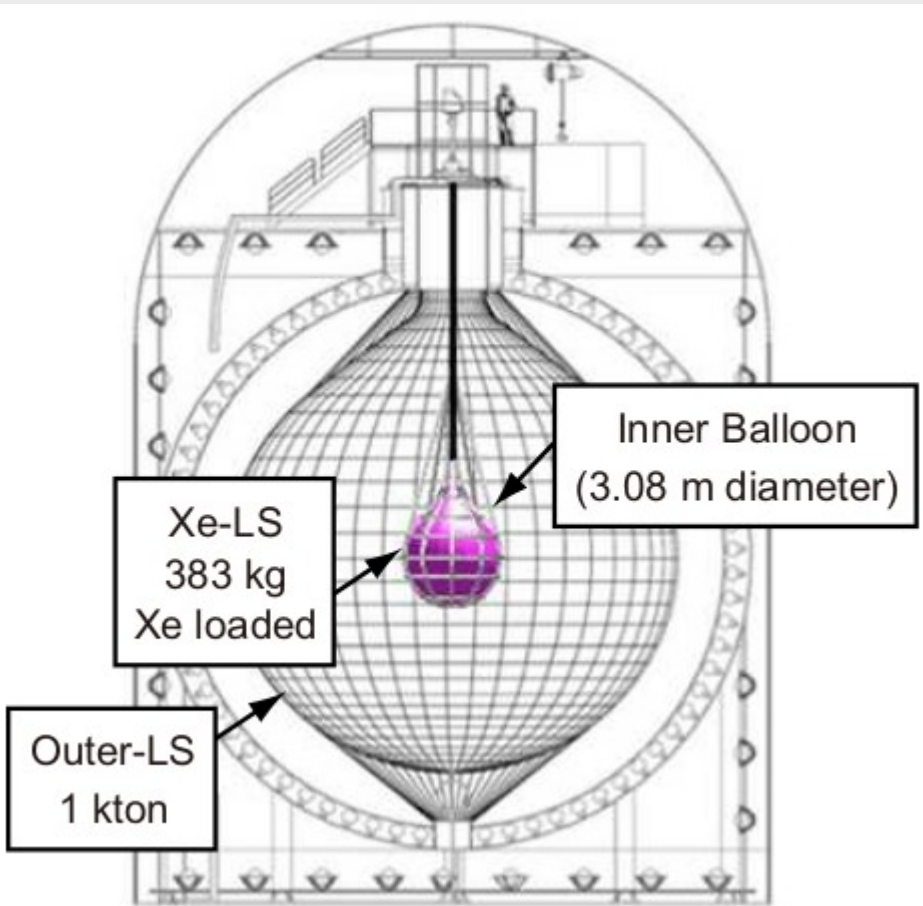
sensitivity 90% limit  
 $T_{1/2} > 2 \cdot 10^{26}$  after 5 yr

### Status:

- found water leak in cavity early 2016
- underground scintillator plant build
  - commissioning
  - fill acrylic vessel end of 2016
- new Te loading of scintillator
  - more light
- Te loading system design in 2016
- **loading Te end 2017**
  - start physics data taking

# Kamland-Zen

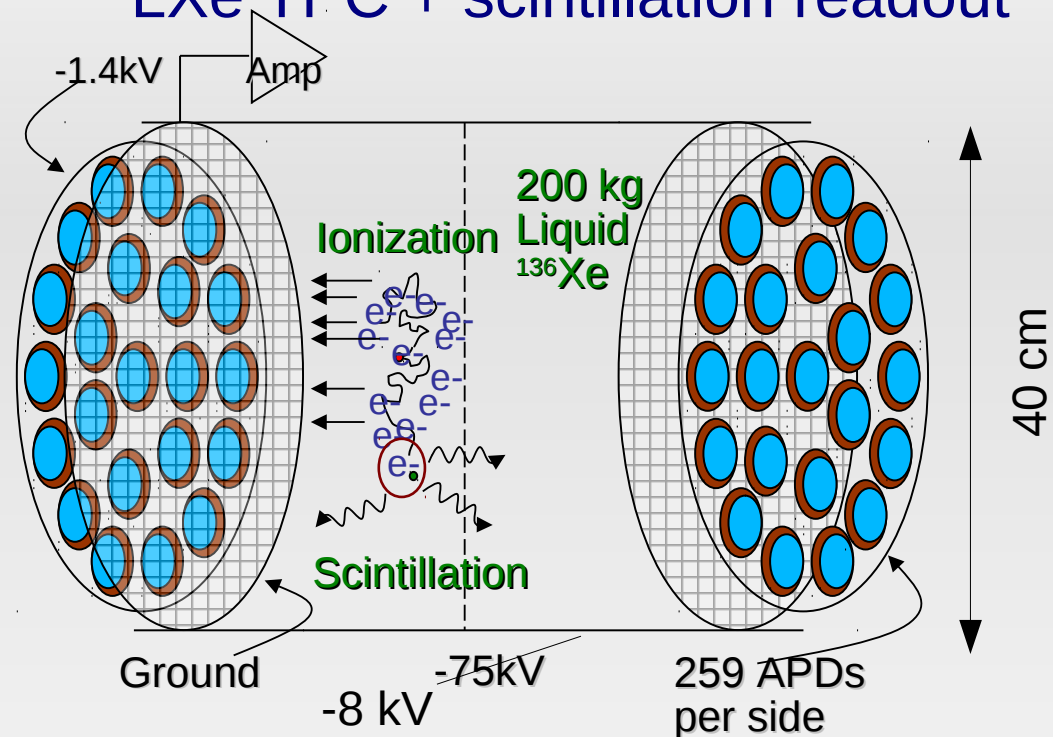
arXiv:1605.02889



start 2011 (phase I): fall out of  $^{110\text{m}}\text{Ag}$  from Fukushima on inner balloon  
 2012-13: purifications of scintillator and Xe  
 Dec 2013 – Oct 2015: phase II  $\rightarrow$   $^{110\text{m}}\text{Ag}$  bkg factor 10 reduced, Xe loading 2.44%  $\rightarrow$  2.96%  
**now: larger & cleaner balloon, loading 380 kg  $\rightarrow$  750 kg, restart now, sensitivity  $T_{1/2} > 2 \cdot 10^{26}$  yr**  
 current limit for  $0\nu\beta\beta$  of  $^{136}\text{Xe}$ :  $T_{1/2}^{0\nu} > 11 \cdot 10^{25}$  yr (90% C.L.)    sensitivity  $\sim 5 \cdot 10^{25}$  yr

# EXO-200 @ WIPP

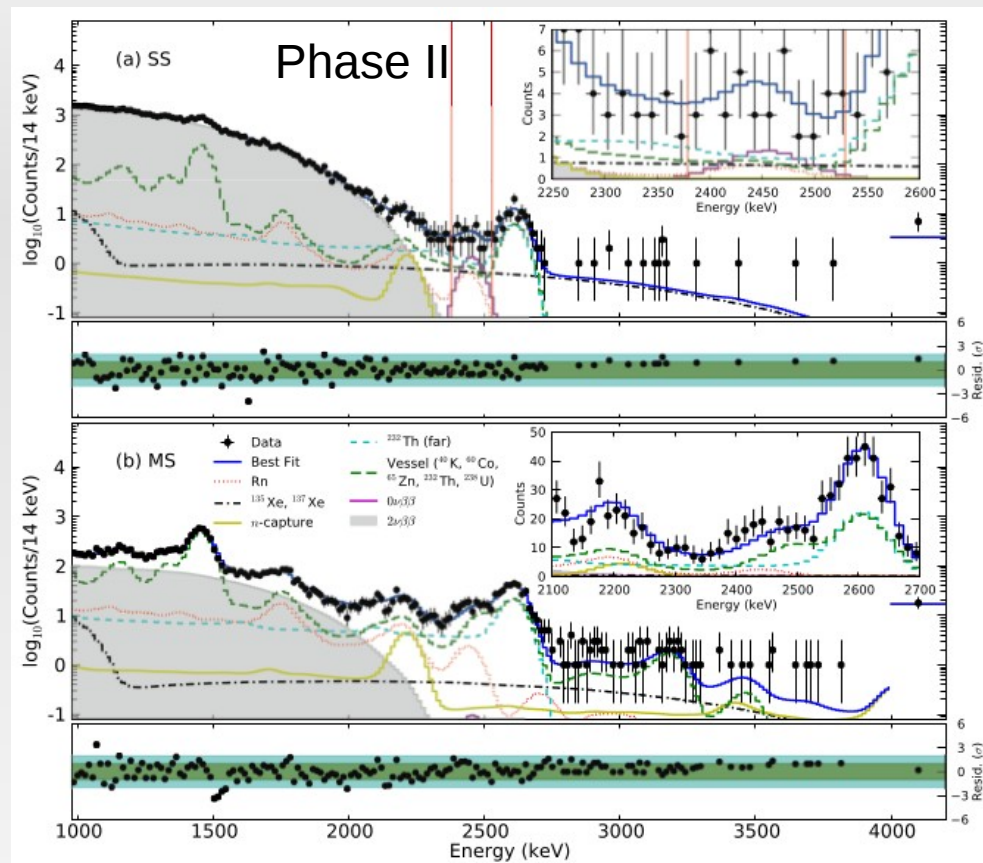
## LXe TPC + scintillation readout



light+ionization FWHM for  $0\nu\beta\beta \sim 88 \text{ keV} @ Q_{\beta\beta}$

total/fiducial mass 160/100 kg,  $^{136}\text{Xe}$  fraction 80.6%

start physics data May 2011,  
fire & radiation problem at WIPP → interrupt 2014-15

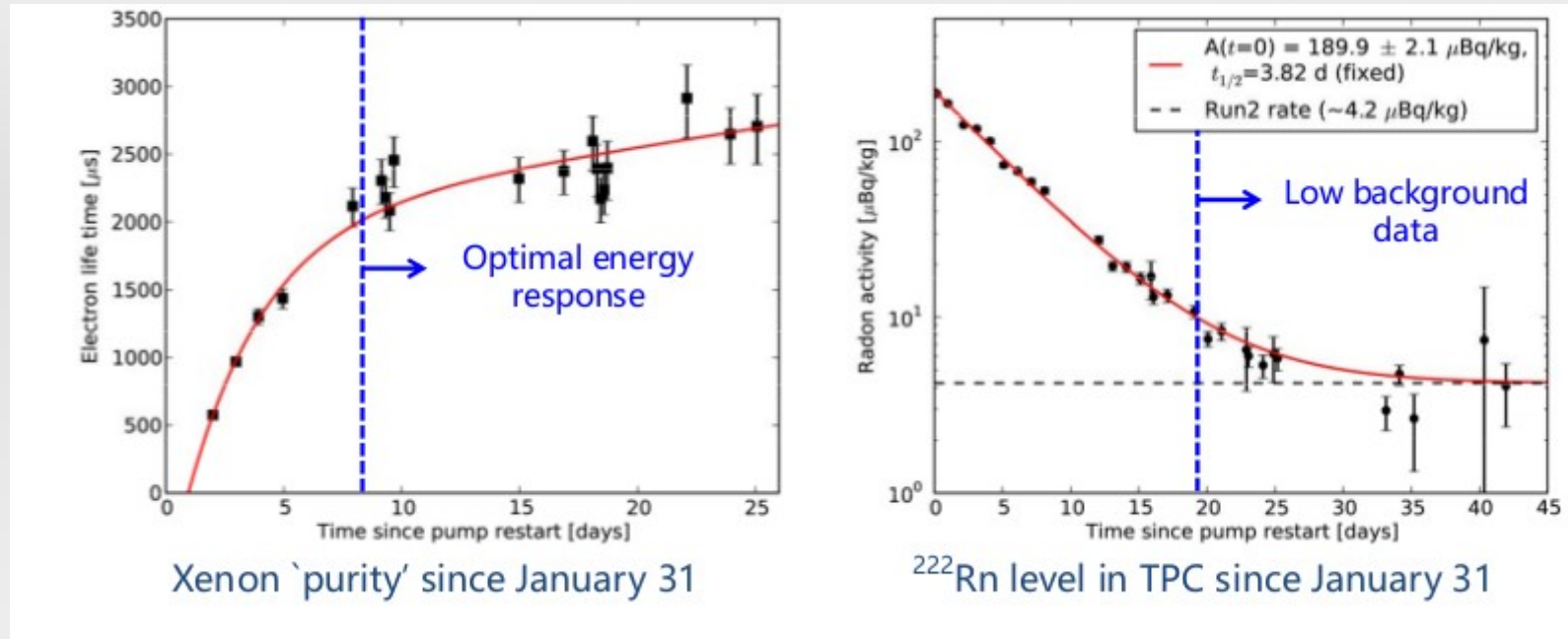


Phase II: Nature 510 (2014) 229-234  
find/expect 39/31.1 evt @  $Q_{\beta\beta} \pm 2\sigma$

$$T_{1/2}^{0\nu} > 1.1 \cdot 10^{25} \text{ yr} (@ 90 \text{ C.L.})$$

(sensitivity  $1.9 \cdot 10^{25} \text{ yr}$ )

# EXO-200 restart

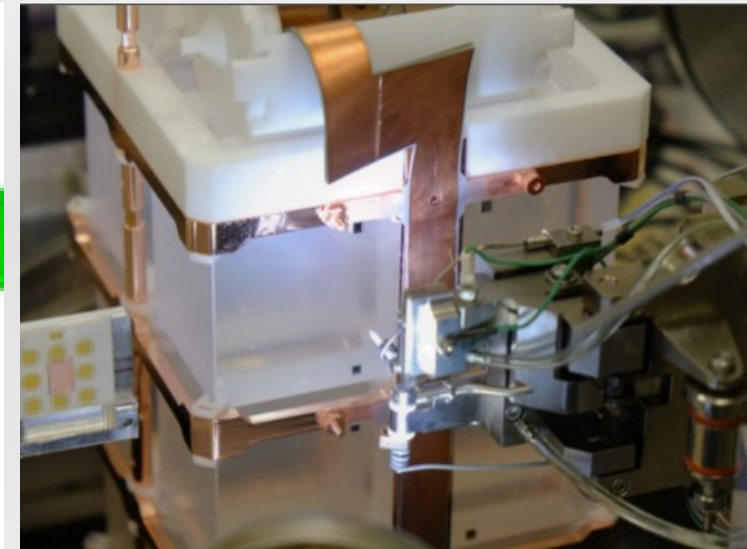
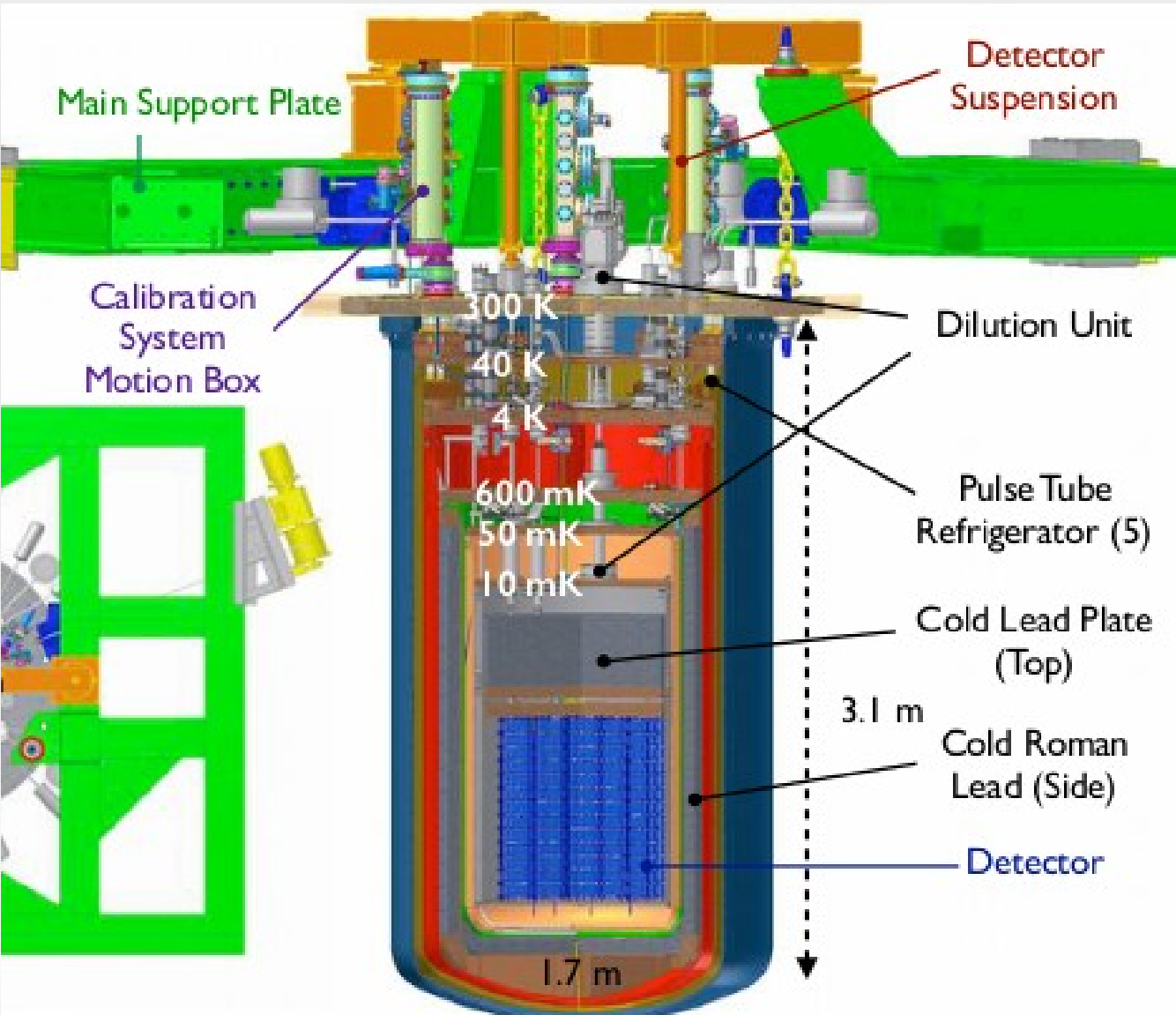


lower noise electronics → FWHM improves to ~60 keV  
lower Rn level → expect lower background

after 3 yr running: sensitivity for 90% limit  $T_{1/2} > 5.7 \cdot 10^{25}$  yr



# Cuore: $^{130}\text{Te}$

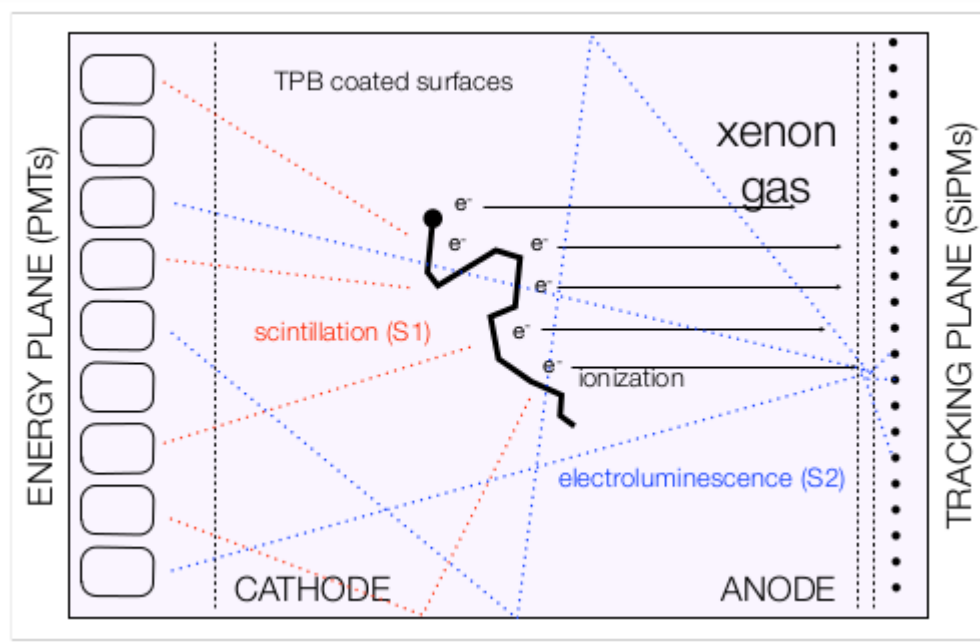


988  $^{\text{nat}}\text{TeO}_2$  crystals  
206 kg  $^{130}\text{Te}$ ,

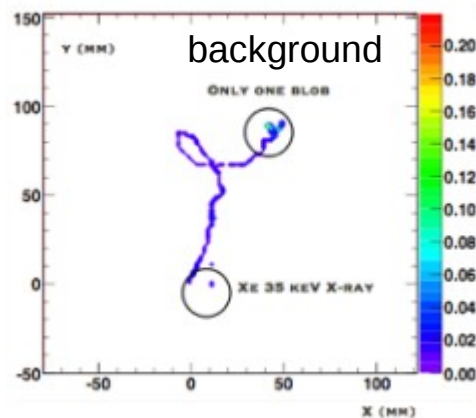
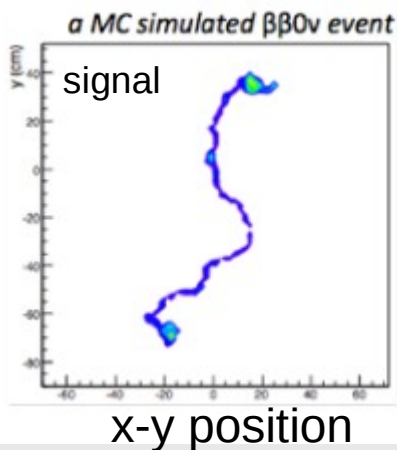
calorimeter with Ge NTD readout,  
 $\Delta T \sim 0.1 \text{ mK} / \text{MeV}$   
 $\sim 5 \text{ keV FWHM}$

all towers are assembled!  
test cool down of cryostat ok,  
next: step mount towers +  
commissioning  
physics run start end 2016,  
sensitivity 90% limit  $\sim 1 \cdot 10^{26} \text{ yr}$

# NEXT @ Canfranc



tracking of electrons



- 100 kg gas Xe TPC @ 15 bar
- measure scintillation light
- measure ionization w/ Electro Luminescence
- energy resolution FWHM <1% demonstrated
- reconstruction of event topology  
→ background reduction



(2010–2014)  
Demonstration of  
detector concept



(2015–2017)  
Test underground,  
radiopure operation



(2018–2020)  
Neutrinoless  
double beta decay  
searches

sensitivity for 90% limit  $T_{1/2} > 5 \cdot 10^{25}$  in 3 yr

# $^{76}\text{Ge}$ sensitivity limit + discovery

plots by Jason Detwiler based on  $m_{ee} = 18 \text{ meV}$ , current matrix element calc.

GERDA numbers for efficiency & enrichment

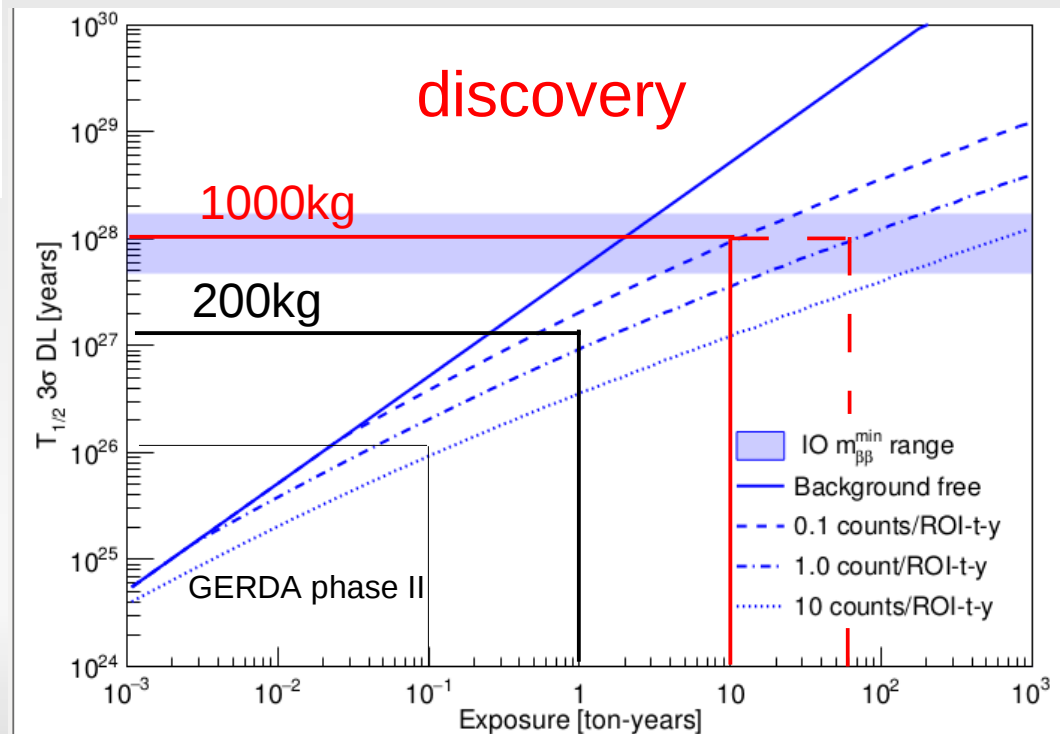
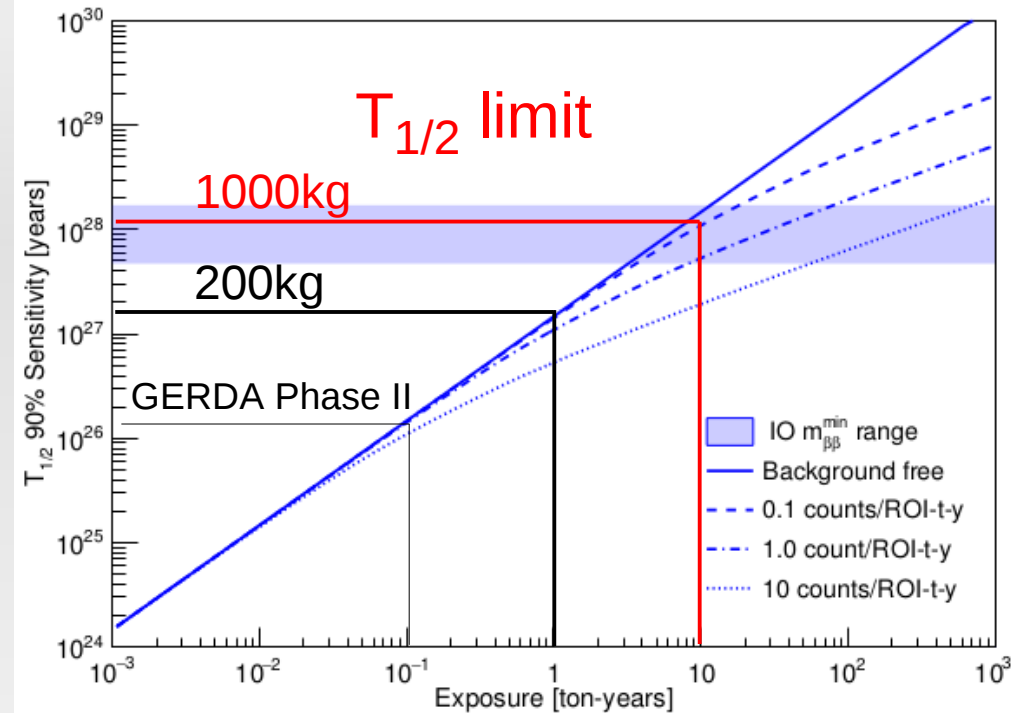
GERDA Phase I ~ 30 cnt/(ROI t yr) - achieved

Phase II ~ 3 cnt/(ROI t yr) - goal

future "200 kg" ~ 0.5 cnt/(ROI t yr)

"1000 kg" ~ 0.1 cnt/(ROI t yr)

discovery: 50% chance for a  $3\sigma$  signal discovery



for discovery:  
factor 10 in background  
→ factor ~6 in exposure

"background free" very important  
(for all isotopes)

# 200 kg in GERDA



- Cryostat large enough: current  $\varnothing$  500 can be enlarge to  $\varnothing$  630
- more cables and feedthroughs
- improve detection of LAr scintillation light
- bigger Ge detectors  $\rightarrow$  few channel ?

## Background reduction by $\sim 5$ relative to Phase II should be possible:

- intrinsic bkg: Th/U not found in Ge detectors, cosmogenic  $^{68}\text{Ge}/^{60}\text{Co}$ : limit time above GND, PSD  $\rightarrow$  ok
- external Th/U: cleaner materials (levels like for Majorana are ok), LAr veto powerful ( $>90\%$  rejection in comb. w/ PSD)
- surface events: alpha on  $p^+$  contact rejected by PSD  
beta from  $^{42}\text{K}$  most critical, on  $n^+$  contact
- muon induced: prompt events rejected by muon veto  
delayed by decay chain ( $\rightarrow$  dead time), simulation  $\rightarrow$  ok for 200 kg setup

cost  $\sim$  15M Euro – mainly depending on price for enrichment

# comparison experiments

		mass [kg]* (total/FV)	FWHM [keV]	background& [cnt/t yr FWHM]	$T_{1/2}$ limit [ $10^{25}$ yr] after 4 yr	$m_{ee}$ limit [meV]
Gerda II	Ge	35/27	3	5	15	80-190
MajoranaD	Ge	30/24	3	5	15	80-190
EXO-200	Xe	170/80	88	220	6	80-220
Kamland-Z	Xe	383/88 750/??	250	40 ?	20	44-120
Cuore	Te	600/206	5	300	9	50-200
NEXT-100	Xe	100/80	17	30	6	80-220
SNO+	Te	2340/260	190	60	17	36-150
nEXO	Xe	5000/4300	58	5	600	8-22
Ge-200	Ge	200/155	3	1	100	35-75
Ge-1000	Ge	1000/780	3	0.2	1000	10-23

\* total= element mass, FV=  $0\nu\beta\beta$  isotope mass in fiducial volume (incl enrichment fraction)

& kg of  $0\nu\beta\beta$  isotope in active volume and divided by  $0\nu\beta\beta$  efficiency

Note: values are design numbers except for EXO-200 and Kamland-Zen

# Summary

strong prejudice:  $0\nu\beta\beta$  exists,  $\Delta L=2$  process, possibly our only observable  $\Delta L$ ,  
(reminder: from cosmology we know B is violated)  
 $T_{1/2}$  unknown (no real guidance from theory), discovery can be around the corner,  
experimental input is desperately needed ( $0\nu\beta\beta$ , LFV, LHC, ...)  
4 Nobel Prizes in last 30 years for neutrino physics, I expect more to come

$^{76}\text{Ge}$  detector features:

- well known technology (enrichment + diode production)
- best energy resolution
- lowest bkg in ROI
- flat background at Q value
- all are important features for discovery

GERDA Phase II & Majorana Demonstrator are taking first data,  
I expect experiments meet specifications  
→ next step new collaboration for "200 kg" and "1000 kg" Ge

In US:  $0\nu\beta\beta$  highest priority of any new projects for DOE nuclear physics