

# Design of slow extraction from low emittance electron booster rings

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Slow extraction workshop  
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  - Slow extraction setting
  - Example: Extraction at 6 GeV
- Summary

# Introduction

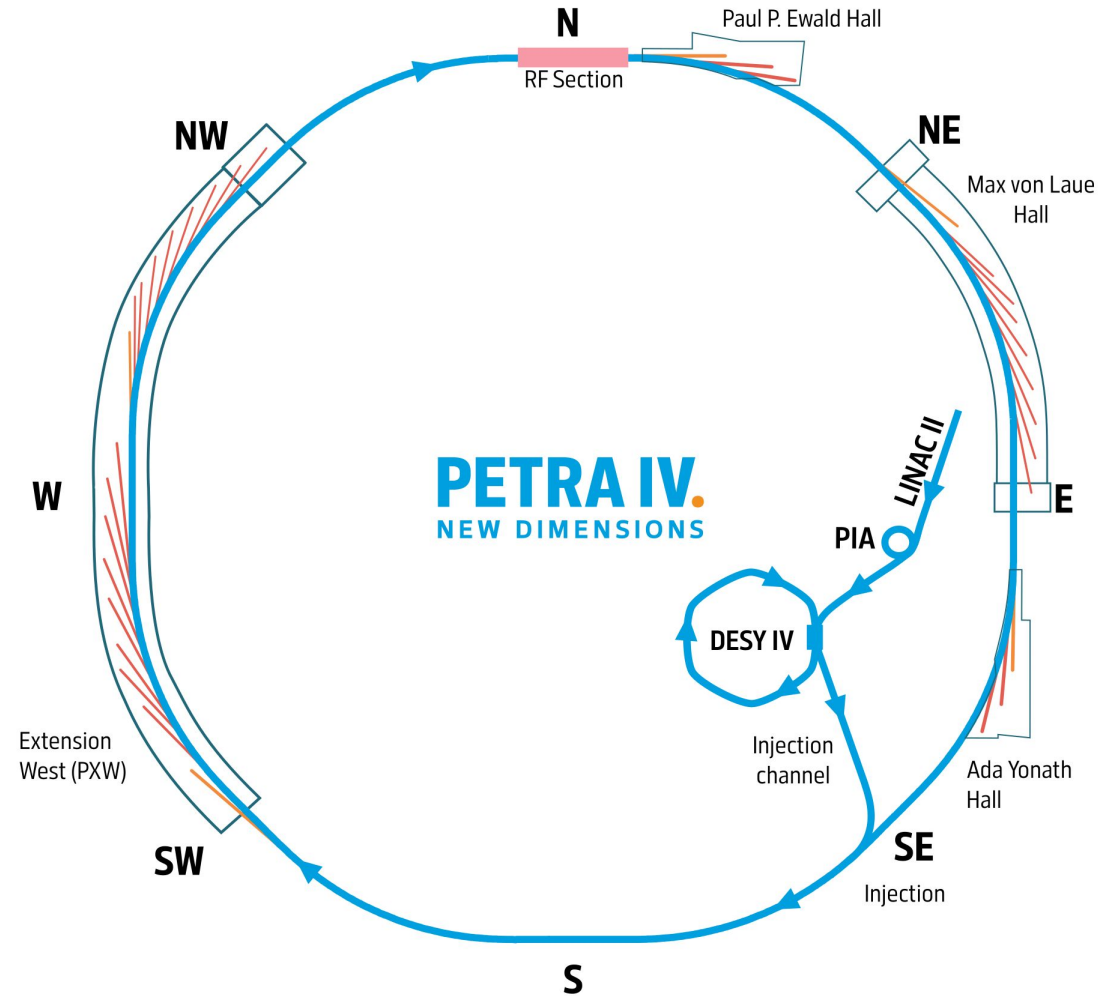
## Facility overview

- Research centre of the Helmholtz Association
- Publicly funded national research centre
- Established in Hamburg on 18 December 1959

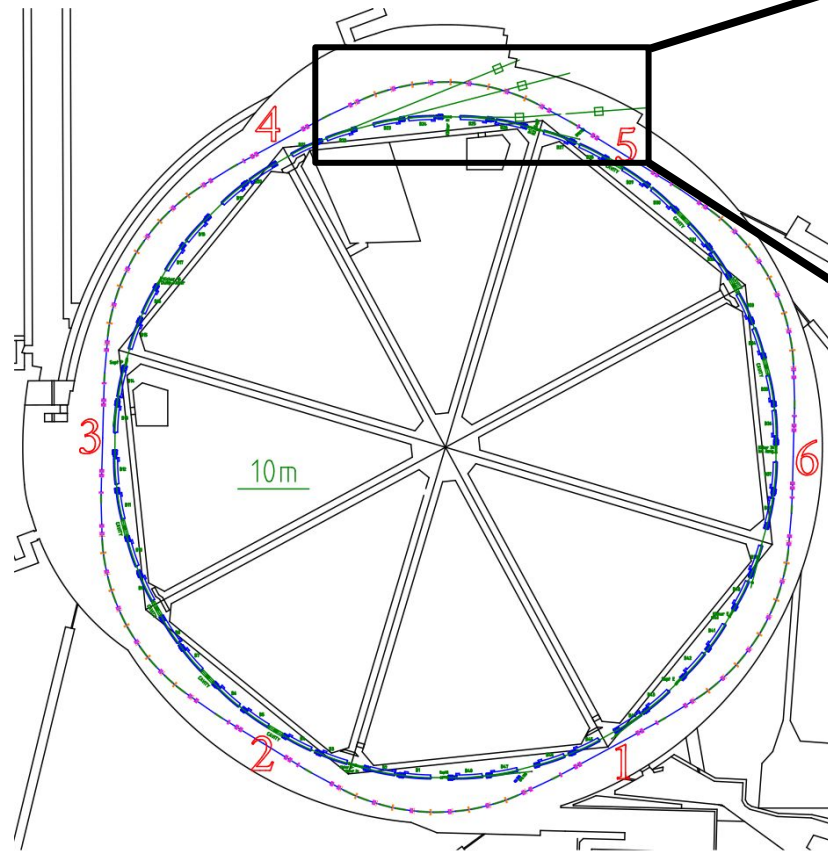
It houses three major accelerators:

FLASH, European XFEL and PETRA III.

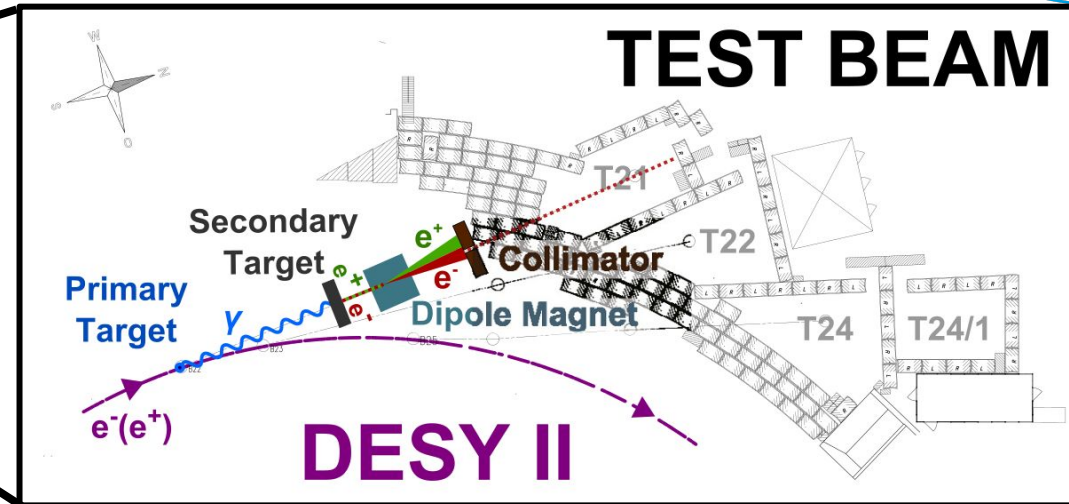
The largest synchrotron light source PETRA III will be soon upgraded to PETRA IV.



# DESY II Test Beam



H-C. Chao, et. al. Design considerations of a high intensity booster for PETRA IV. IPAC21.



J. Dreyling-Eschweiler, et. al. The DESY II test beam facility, NIM-A, Vol. 922, 2019, <https://doi.org/10.1016/j.nima.2018.11.133>.

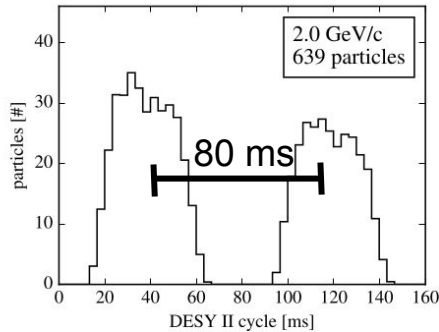
## Test beam

- One of the few facilities where multi-GeV electron beams are offered
- Three independent beamlines
- Positron and electron beams with  $E = [1,6]$  GeV
- 1266 users from 2013-2017
- Runs parasitically to the DESY II operation with a fixed target in the ring (carbon-fiber wire  $7 \mu\text{m}$  width)
- R&D of detector technology (mainly ATLAS & CMS)

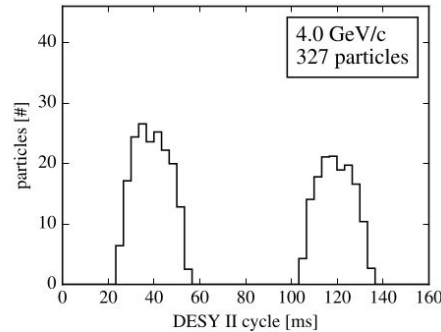
# DESY II Test Beam

## Particle rate with the current setup

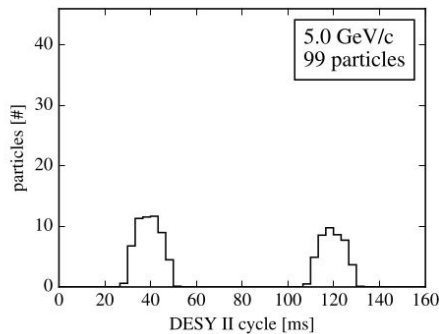
- ~10kHz every 12.5 Hz (DESY II cycle)



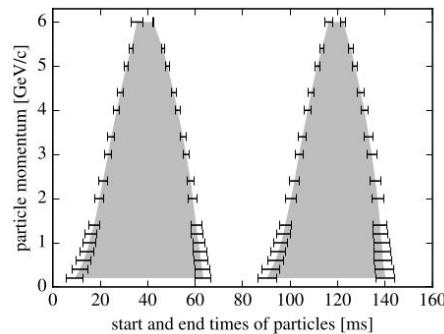
(a) at 2 GeV/c



(b) at 4 GeV/c

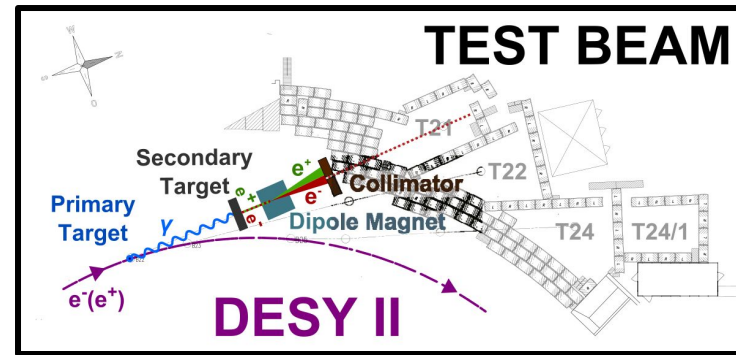


(c) at 5 GeV/c



(d) momentum vs. time

J. Dreyling-Eschweiler, et. al. The DESY II test beam facility, NIM-A, Vol. 922, 2019, <https://doi.org/10.1016/j.nima.2018.11.133>.



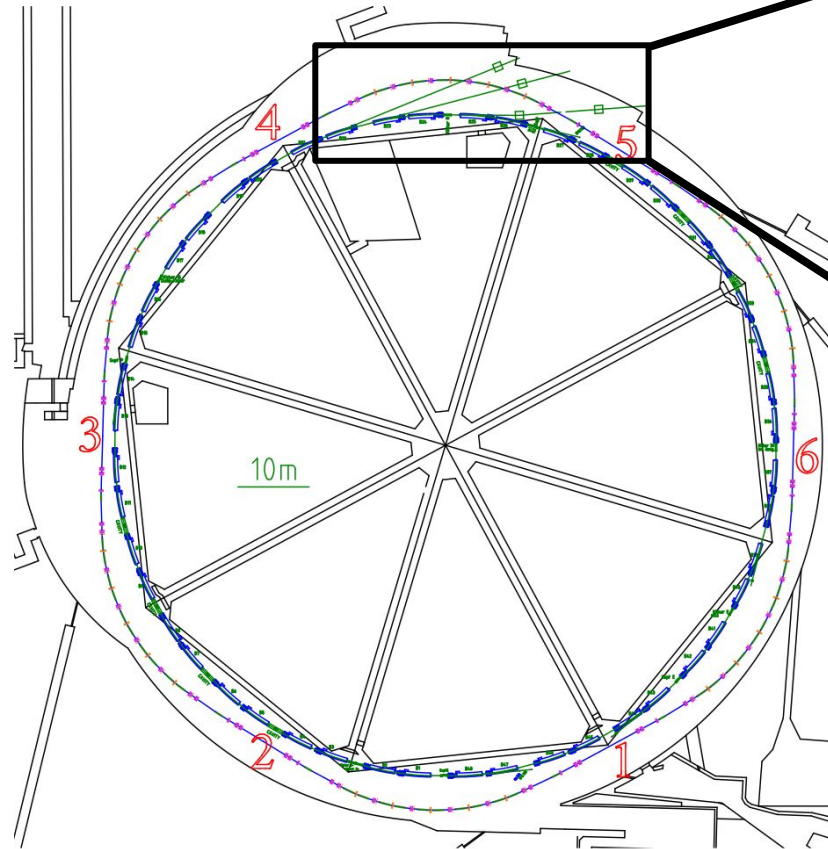
## Test beam users' requirements ('wish list')

- Highest energy available is preferred
- Quasi-continuous beam
- Minimum preferred extracted particle rate : 10kHz
- Flexibility to choose the particle rate

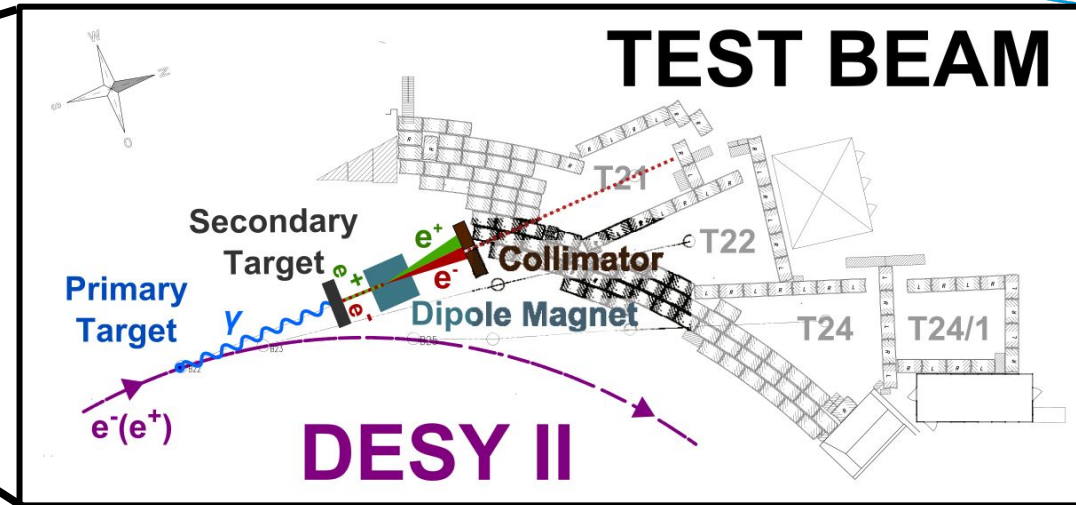
## Detector R&D

- Time resolution from 20ps to 1 $\mu$ s
- Currently more interested in the nanosecond regime

# DESY II Test Beam



H-C. Chao, et. al. Design considerations of a high intensity booster for PETRA IV. IPAC21.



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With the upgrade from DESY II to DESY IV it might be a good idea to also upgrade to a more sophisticated extraction technique

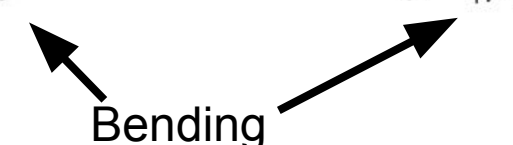
# Upgrade to DESY IV

## Low emittance lattices

- Strive to minimize the equilibrium emittance by reducing the radiation integrals

$$\varepsilon_x = \text{Constant} \frac{I_5}{I_2}$$
$$I_2 = \int \frac{1}{\rho^2} ds \quad I_5 = \int \frac{\mathcal{H}}{|\rho|^3} ds$$

Bending radius



$$\mathcal{H} = \frac{1}{\beta_x} \left[ D^2 + (\beta_x D' - \frac{1}{2} \beta_x' D)^2 \right]$$

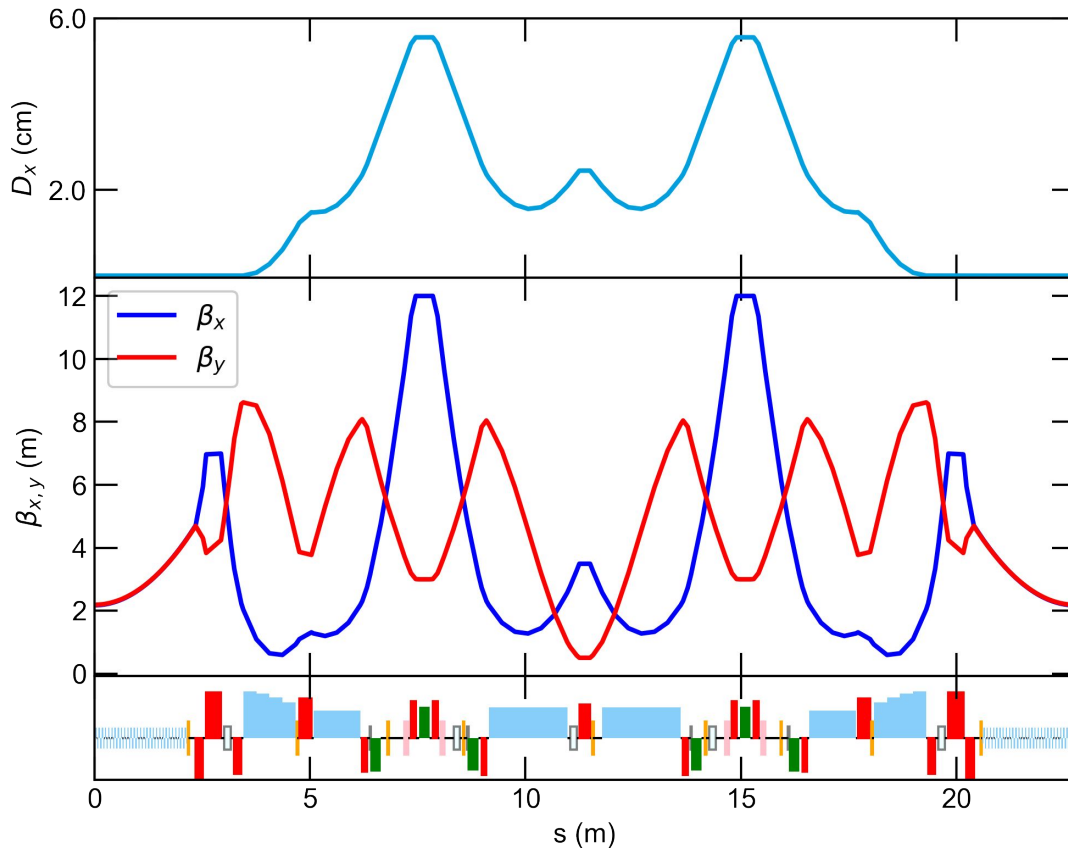
- The curly-H function can be optimized to decrease the contribution from  $I_5$  by modifying the optics

- As a consequence low emittance lattices require strong quadrupoles, which create **high chromaticities**
- Simultaneously they have **low dispersion**, which then **increases the sextupole strengths** to correct for the high chromaticities

# Upgrade to DESY IV

## Low emittance lattices

- Example: PETRA IV H6BA cell



$$\mathcal{H} = \frac{1}{\beta_x} \left[ D^2 + (\beta_x D' - \frac{1}{2} \beta_x' D)^2 \right]$$

- The curly-H function can be optimized to decrease the contribution from  $I_5$  by modifying the optics

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  - **Transverse resonance islands buckets**
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  - DESY 4 layout
  - Slow extraction setting
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# Transversal dynamics

## Effective Hamiltonian

Kobayashi part

Amplitude  
detuning  
term

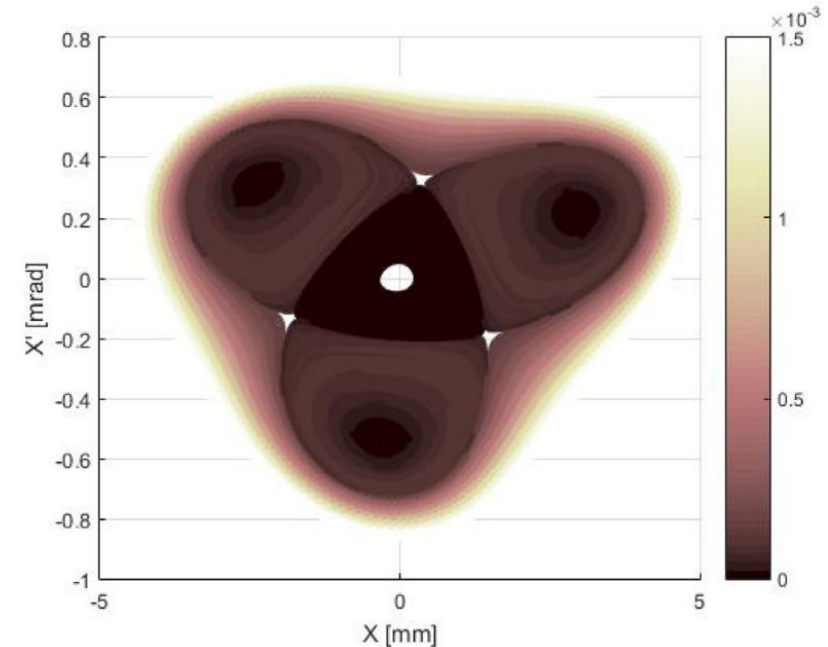
$$H = 6\pi\delta J_x + \frac{S}{\sqrt{2}} J_x^{3/2} \sin 3\phi_x + 3\pi\alpha_{xx} J_x^2$$

$$X = \sqrt{2J_x} \sin \phi_x$$

$$X = x/\sqrt{\beta_x}, \quad P = p_x\sqrt{\beta_x} + \alpha_x X$$

Oldest reference with this Hamiltonian I could find:

- K.R. Symon. Beam extraction at a third integral resonance. 1968.
- It has been studied for the generation of transverse resonance island buckets (TRIBs)



Picture taken from: D. Olsson & A. Andersson.  
Studies on Transverse Resonance Island Buckets in third and fourth generation synchrotron light sources.  
DOI: <https://doi.org/10.1016/j.nima.2021.165802>

# Transversal dynamics

## Effective Hamiltonian

### Kobayashi part

### Amplitude detuning term

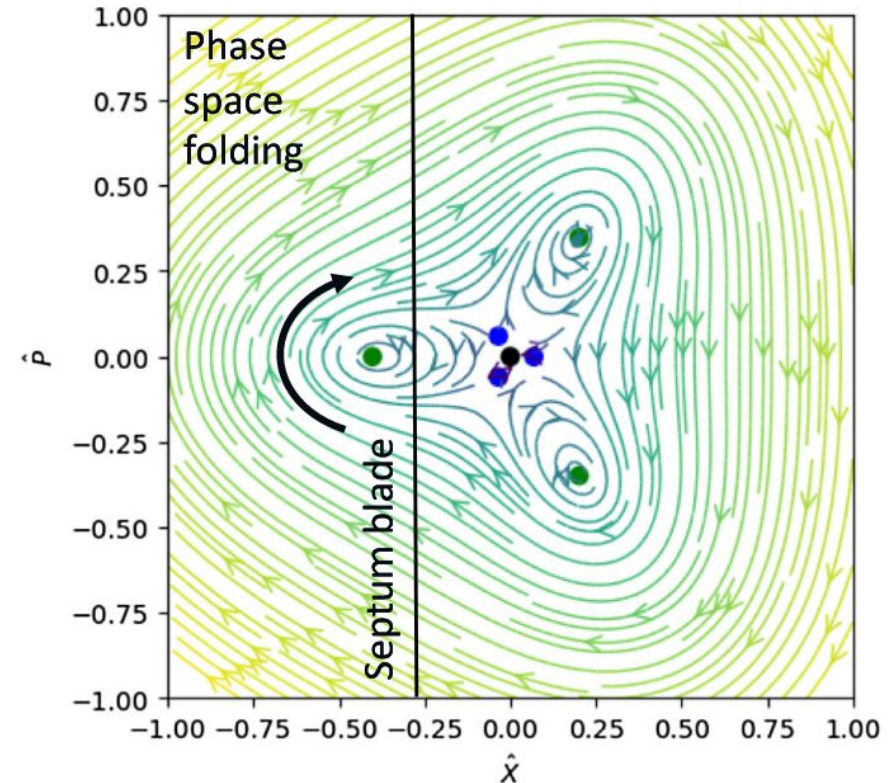
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Oldest reference with this Hamiltonian I could find:

- K.R. Symon. Beam extraction at a third integral resonance. 1968.
- It has been studied for the generation of transverse resonance island buckets (TRIBs)
- It has been used for the bending of the separatrix arm at CERN SPS



Picture taken from: M. Fraser, et al.

Demonstration of slow extraction loss reduction with the application of octupoles at the CERN Super Proton Synchrotron. DOI: 10.1103/PhysRevAccelBeams.22.123501

# Transversal dynamics

## Effective Hamiltonian

### Kobayashi part

### Amplitude detuning term

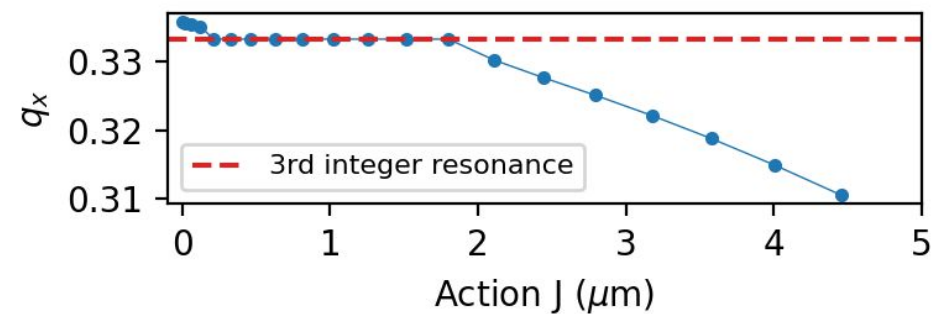
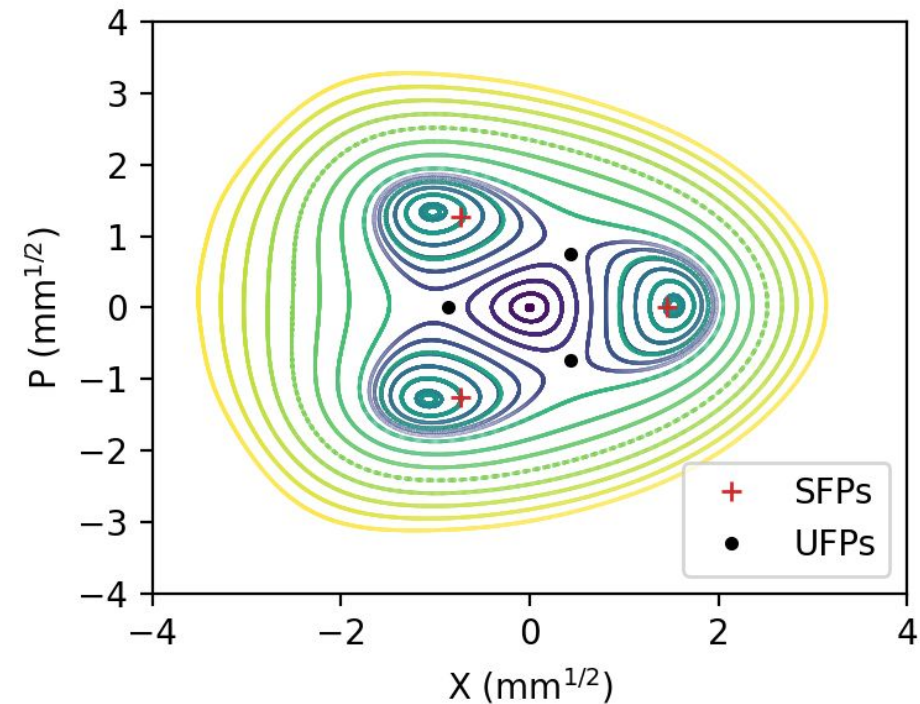
$$H = 6\pi\delta J_x + \frac{S}{\sqrt{2}} J_x^{3/2} \sin 3\phi_x + 3\pi\alpha_{xx} J_x^2$$

$$X = \sqrt{2J_x} \sin \phi_x$$

$$X = x/\sqrt{\beta_x}, \quad P = p_x\sqrt{\beta_x} + \alpha_x X$$

- The stable and unstable fixed points can be calculated with reasonable precision

$$J_{x,\pm}^{1/2} = \frac{1}{8\sqrt{2}\pi} \frac{S}{\alpha_{xx}} \left( 1 \pm \sqrt{1 - 128\pi^2 \frac{\delta\alpha_{xx}}{S^2}} \right)$$



# Transversal dynamics

## Effective Hamiltonian

### Kobayashi part

$$H = 6\pi\delta J_x + \frac{S}{\sqrt{2}} J_x^{3/2} \sin 3\phi_x + 3\pi\alpha_{xx} J_x^2$$

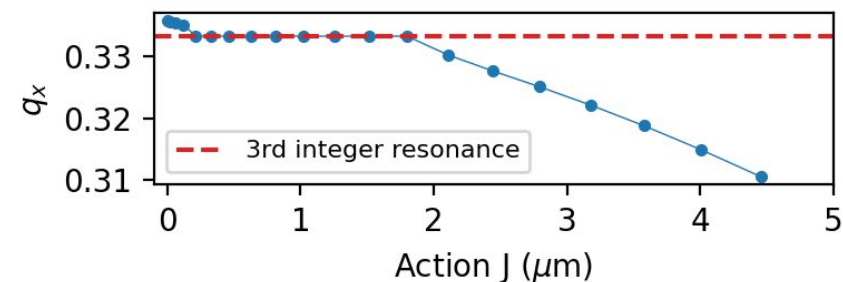
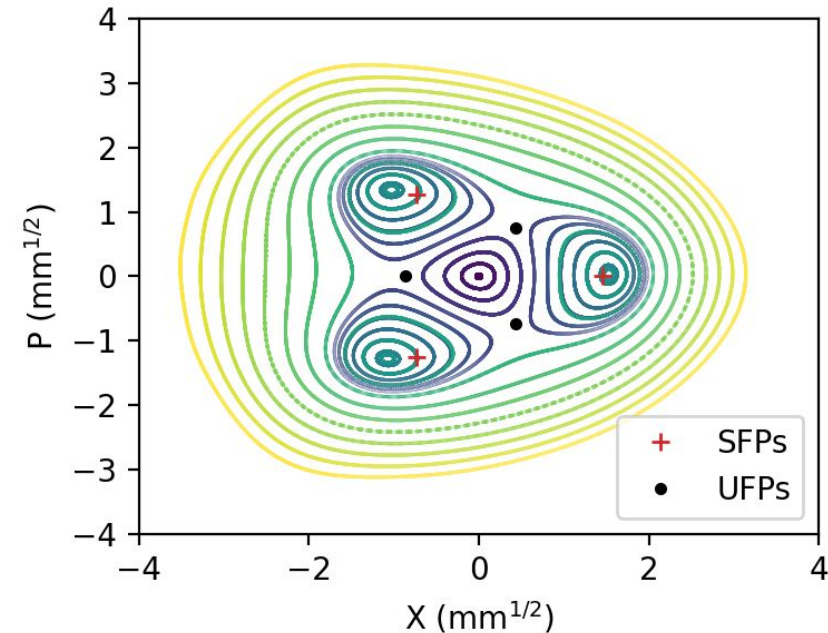
### Amplitude detuning term

- An amplitude detuning term can be generated by the sextupoles to second order

$$\alpha_{xx} \propto \sum_i^N \sum_{j < i} (k'L)_i (k'L)_j,$$

- These formulas are lengthy and not very precise
- The best way was to perform tracking to determine the amplitude detuning term

$$J_{x,\pm}^{1/2} = \frac{1}{8\sqrt{2}\pi} \frac{S}{\alpha_{xx}} \left( 1 \pm \sqrt{1 - 128\pi^2 \frac{\delta\alpha_{xx}}{S^2}} \right)$$



# Transversal dynamics

## Effective Hamiltonian

### Kobayashi part

### Amplitude detuning term

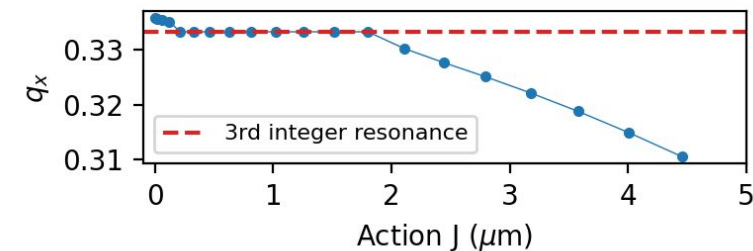
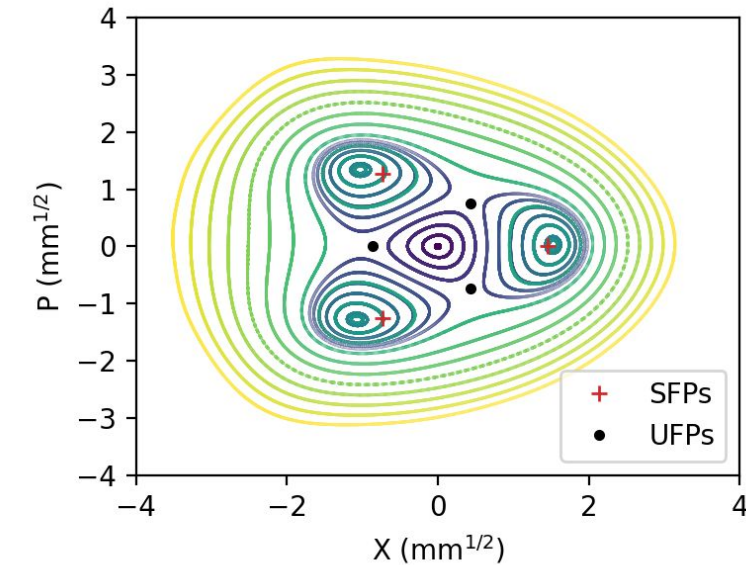
$$H = 6\pi\delta J_x + \frac{S}{\sqrt{2}} J_x^{3/2} \sin 3\phi_x + 3\pi\alpha_{xx} J_x^2$$

- An amplitude detuning term can be generated by the sextupoles to second order

$$\alpha_{xx} \propto \sum_i^N \sum_{j < i} (k'L)_i (k'L)_j,$$

- For low emittance lattices, where sextupoles are strong, the value of the amplitude detuning term is considerable

$$J_{x,\pm}^{1/2} = \frac{1}{8\sqrt{2}\pi} \frac{S}{\alpha_{xx}} \left( 1 \pm \sqrt{1 - 128\pi^2 \frac{\delta\alpha_{xx}}{S^2}} \right)$$



# Transversal dynamics

## Effective Hamiltonian

### Kobayashi part

### Amplitude detuning term

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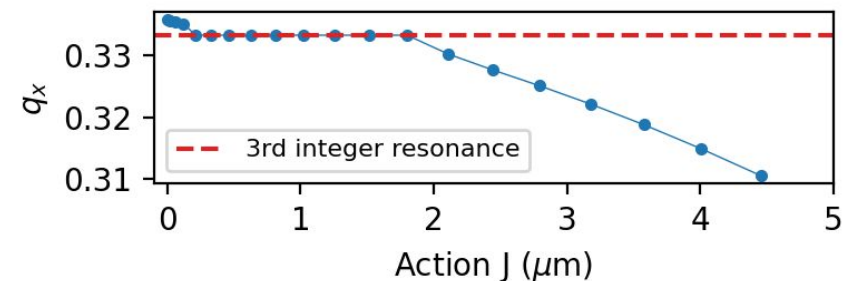
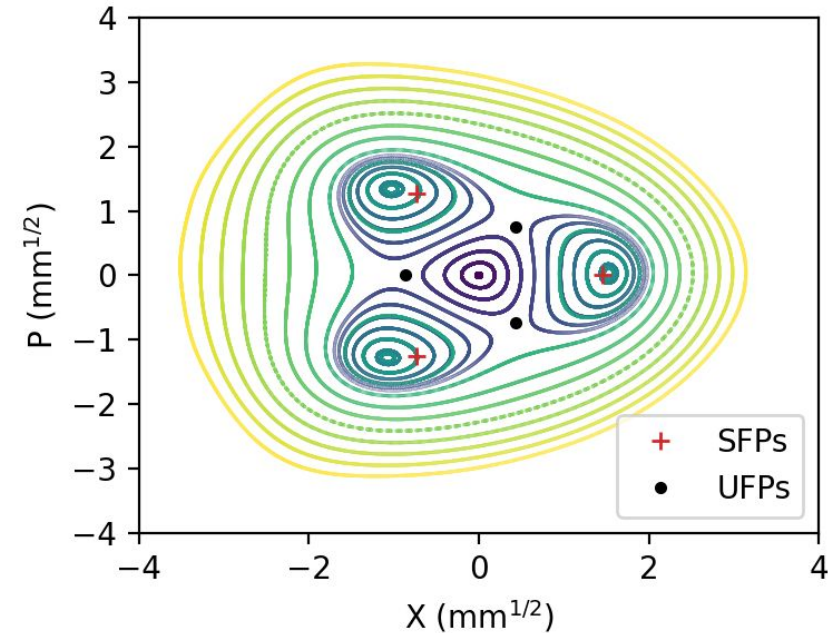
- An amplitude detuning term can be generated by the sextupoles to second order

$$\alpha_{xx} \propto \sum_i^N \sum_{j < i} (k'L)_i (k'L)_j,$$

- These term can also be generated, controlled and corrected with an octupole

$$\alpha_{xx,c} = \frac{K_3 L}{32\pi} \beta_x^2, \quad K_3 = \frac{1}{B\rho} \frac{\partial^3 B_y}{\partial x^3}$$

$$J_{x,\pm}^{1/2} = \frac{1}{8\sqrt{2}\pi} \frac{S}{\alpha_{xx}} \left( 1 \pm \sqrt{1 - 128\pi^2 \frac{\delta\alpha_{xx}}{S^2}} \right)$$



# Transversal dynamics

## Effective Hamiltonian

### Kobayashi part

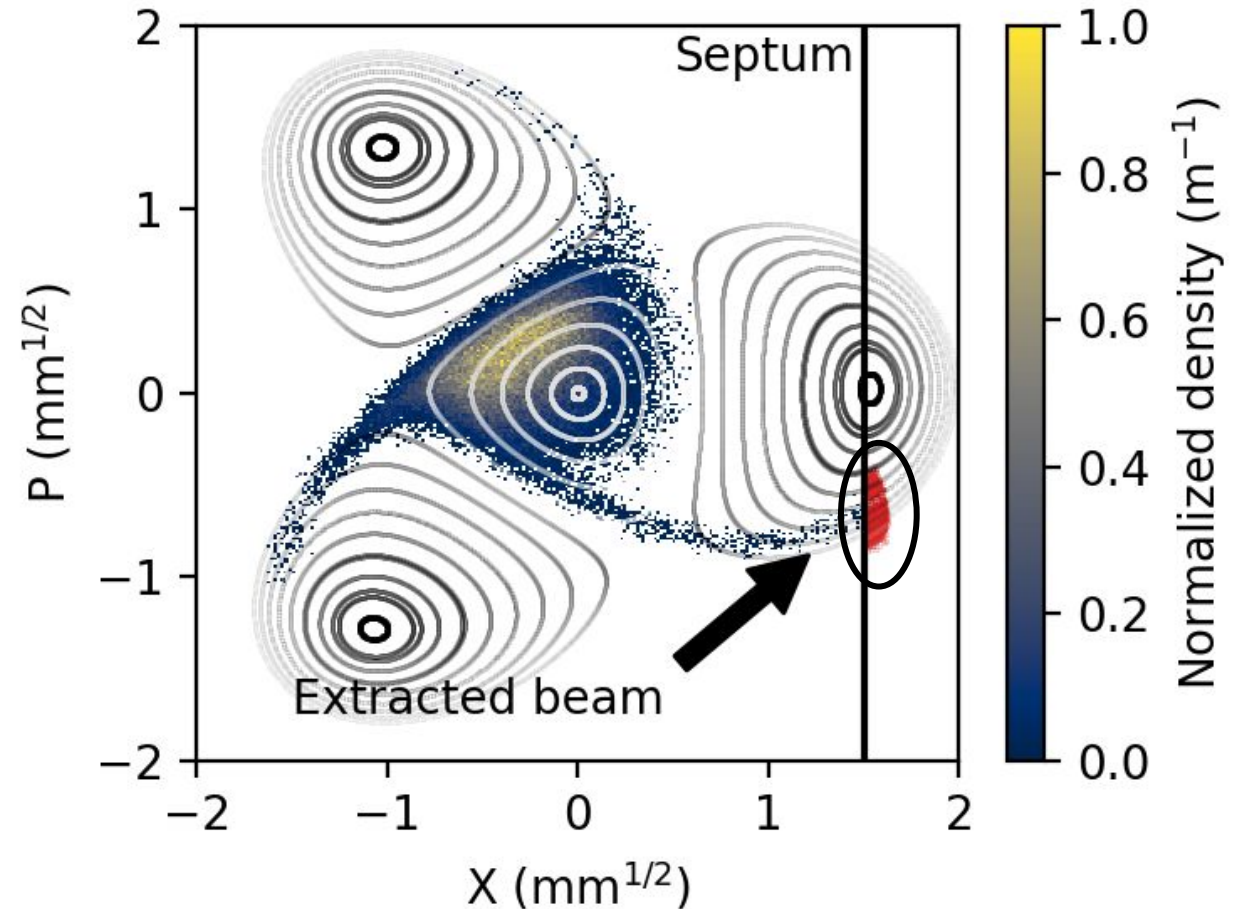
### Amplitude detuning term

$$H = 6\pi\delta J_x + \frac{S}{\sqrt{2}} J_x^{3/2} \sin 3\phi_x + 3\pi\alpha_{xx} J_x^2$$

- For the design of the extraction optics one has to make use of three parameters

$$(S, \alpha_{xx}, \delta)$$

- The chromaticity has to be kept fully corrected, therefore the RFKO is a good option for the slow extraction at low emittance lattices





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# DESY IV layout

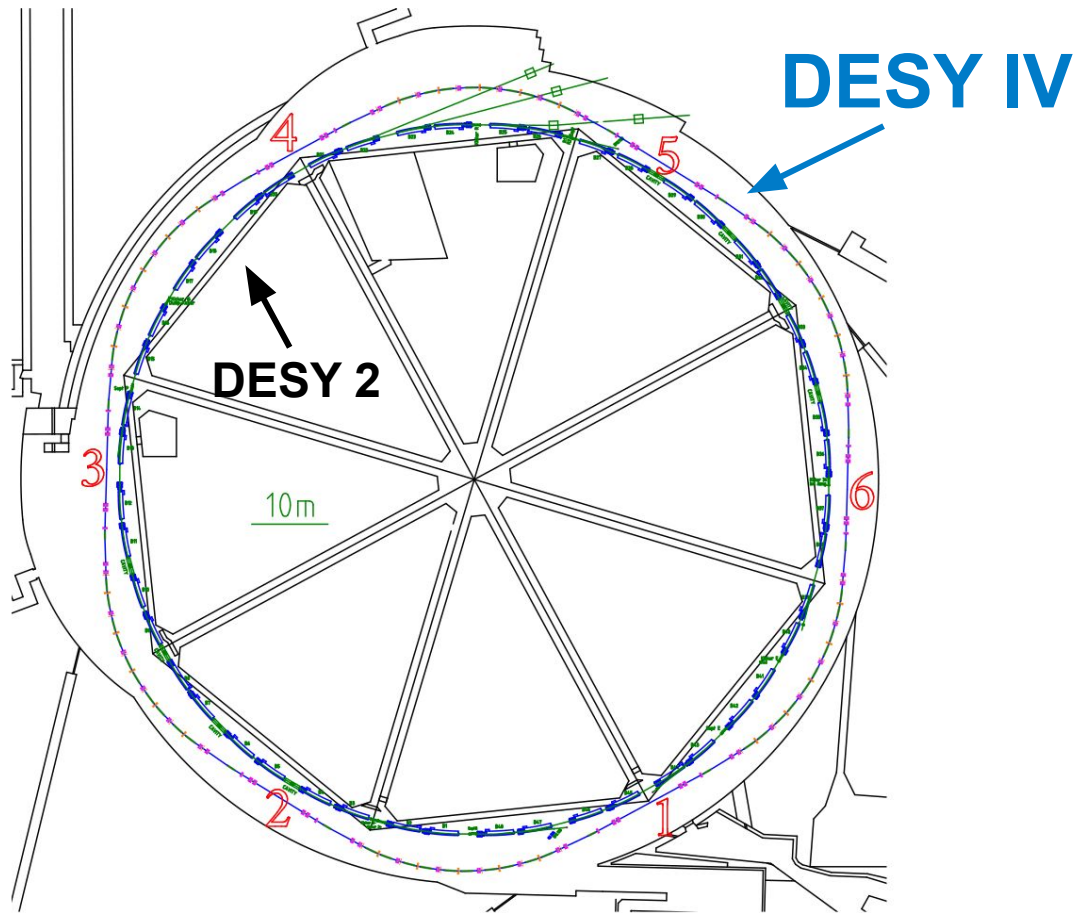


TABLE I. Parameters of the proposed DESY IV booster ring lattices and equilibrium parameters at 6 GeV.

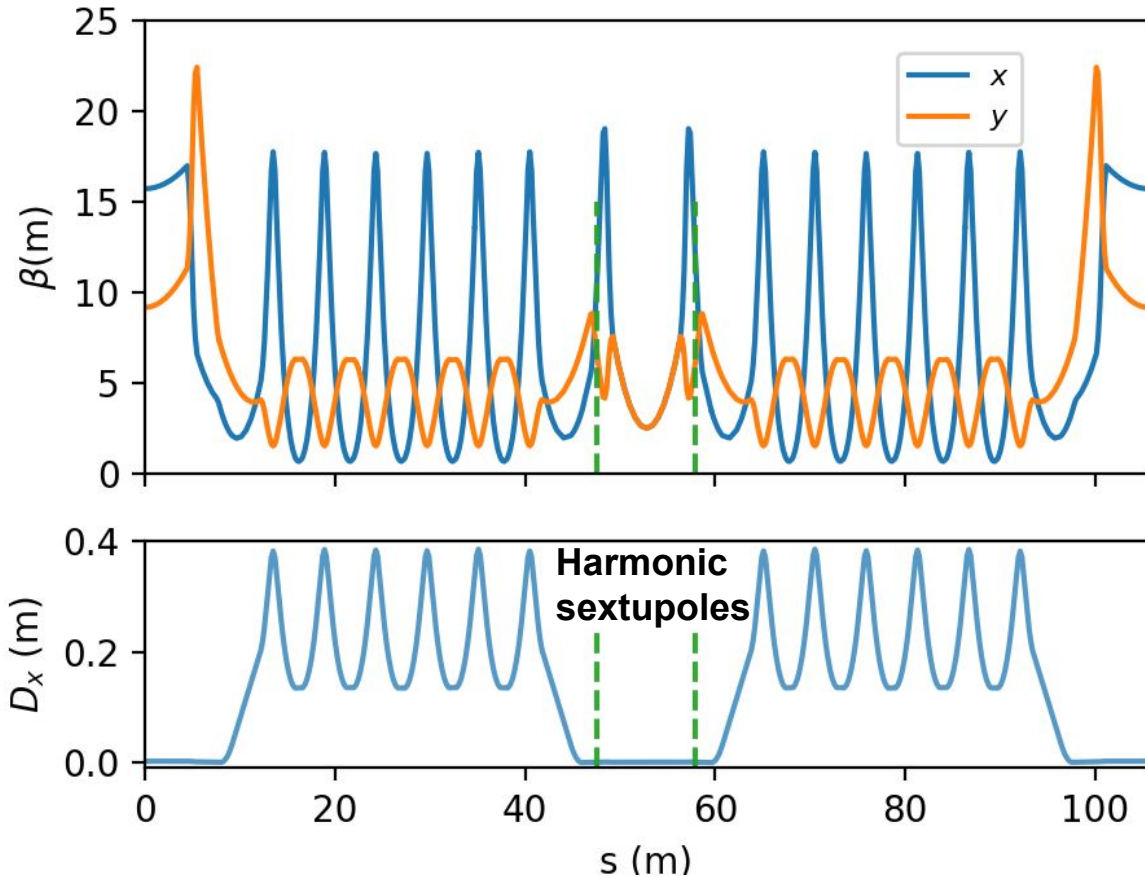
Parameter	Symbol	3h3l	8-fold
Circumference	C	316.8 m	304.8 m
Tunes	$Q_x/Q_y$	17.37/12.15	15.13/9.29
Nat. chromaticity	$\xi_x/\xi_y$	-41.8/-13.8	-21.7/-10.4
Mom. compaction	$\alpha_c$	$3.17 \times 10^{-3}$	$3.66 \times 10^{-3}$
Partition number	$j_x$	2.56	2.72
Natural emittance	$\epsilon_0$	19.1 nm rad	19.4 nm rad
Momentum spread	$\Delta p/p$	$2.64 \times 10^{-3}$	$3.33 \times 10^{-3}$

- Two lattices are been considered
- DESY IV will be the successor of DESY II
- Single bunch charge 1 nC
- Emittance (geo.) **20 nm rad**

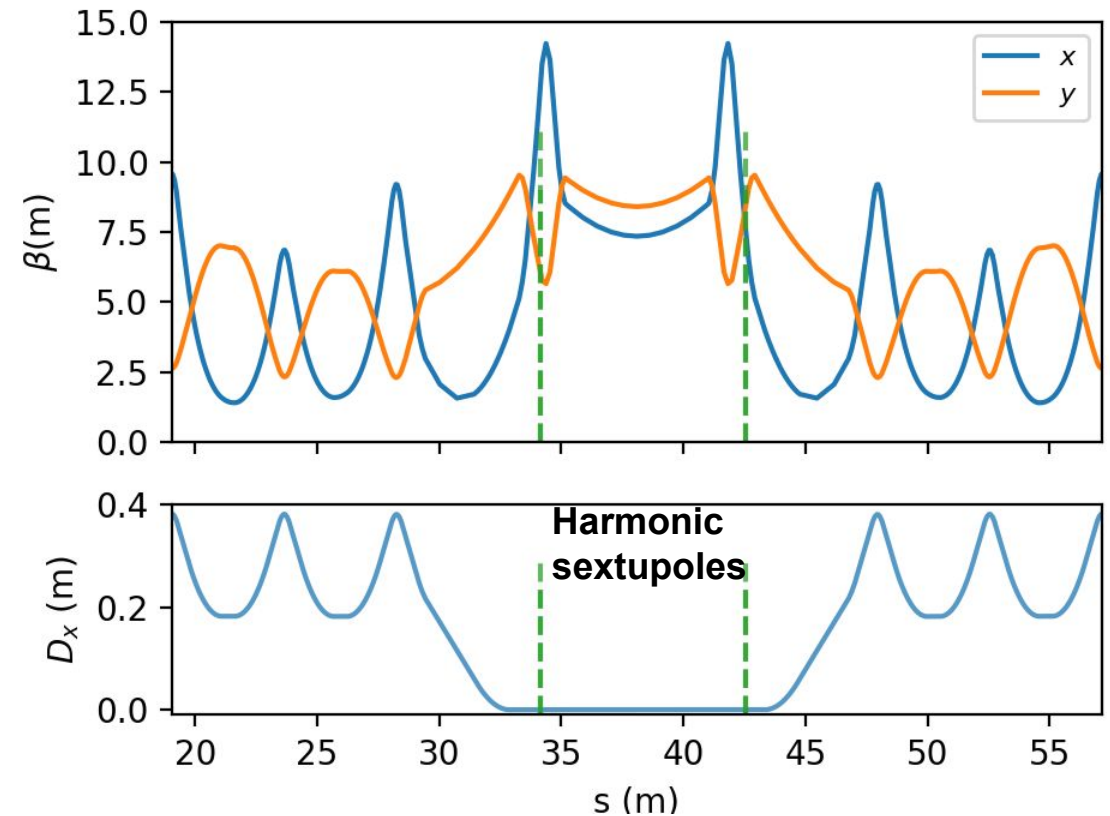
Picture taken from H-C. Chao, et. al.  
Design considerations of a high intensity booster  
for PETRA IV. IPAC21.

# Setting up the slow extraction

## First option: 3h3l lattice

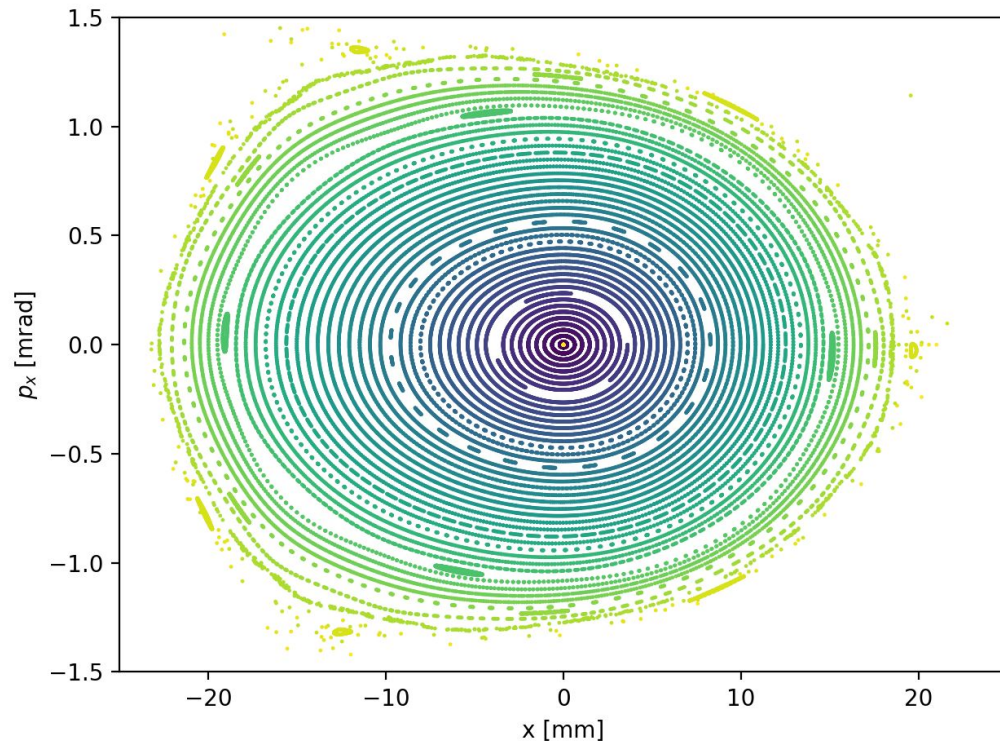


## Second option: 8-fold symmetric



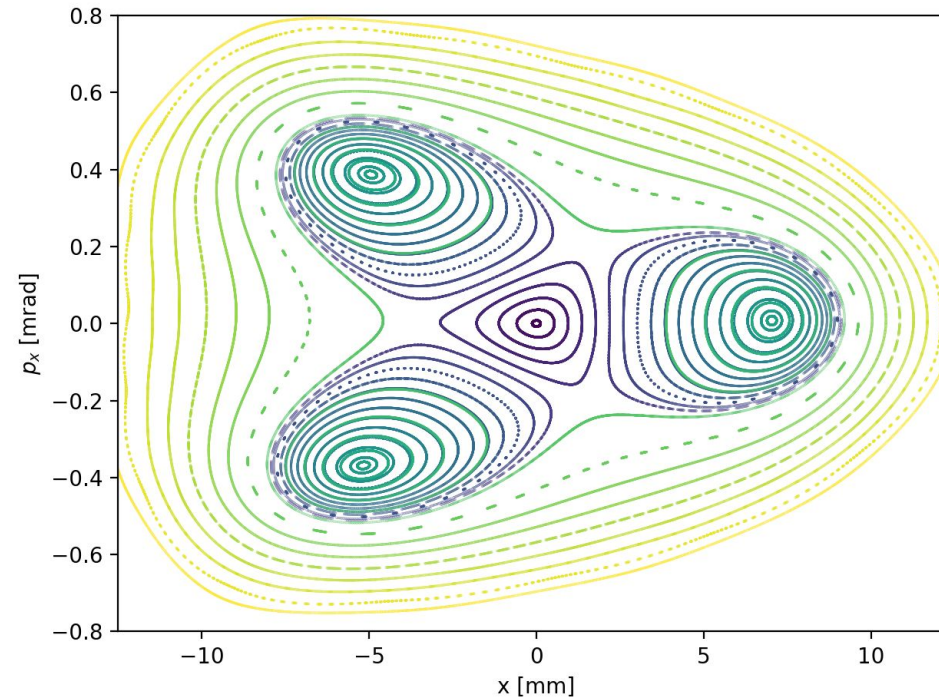
# Slow extraction optics

DESY IV phase-space portrait  
with nominal optics (4D)



- Tune near the resonance
- Chromatic correction sextupoles are ON
- TRIBs are in place

DESY IV phase-space portrait  
with slow extraction optics



- The 3 RMS beam size is 1.5 mm
- The optics design is reduced to find a set of parameters  $(S, \alpha_{xx}, \delta)$

# Example: Extraction at 6 GeV

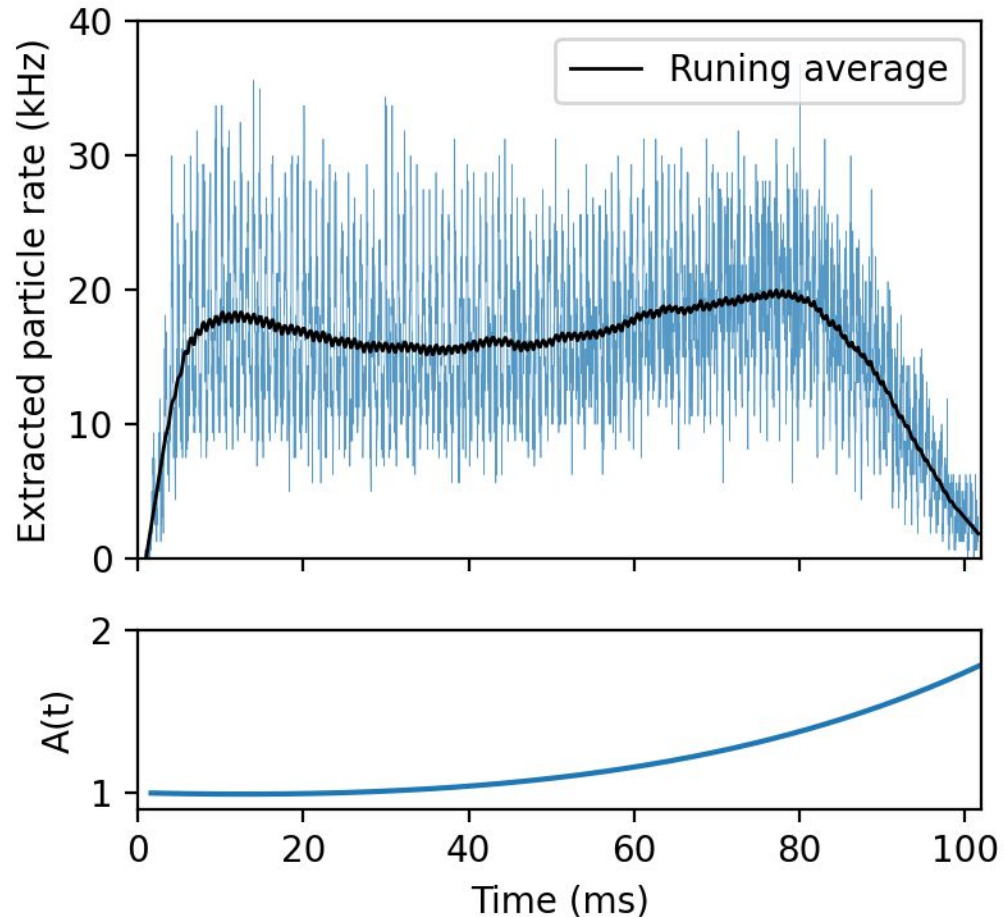


TABLE II. Simulated extraction efficiency with an RF-KO slow extraction.

Septum blade thickness ( $\mu\text{m}$ )	3h3l (%)	8-fold (%)
100	65	74
50	81	86
30	90	92

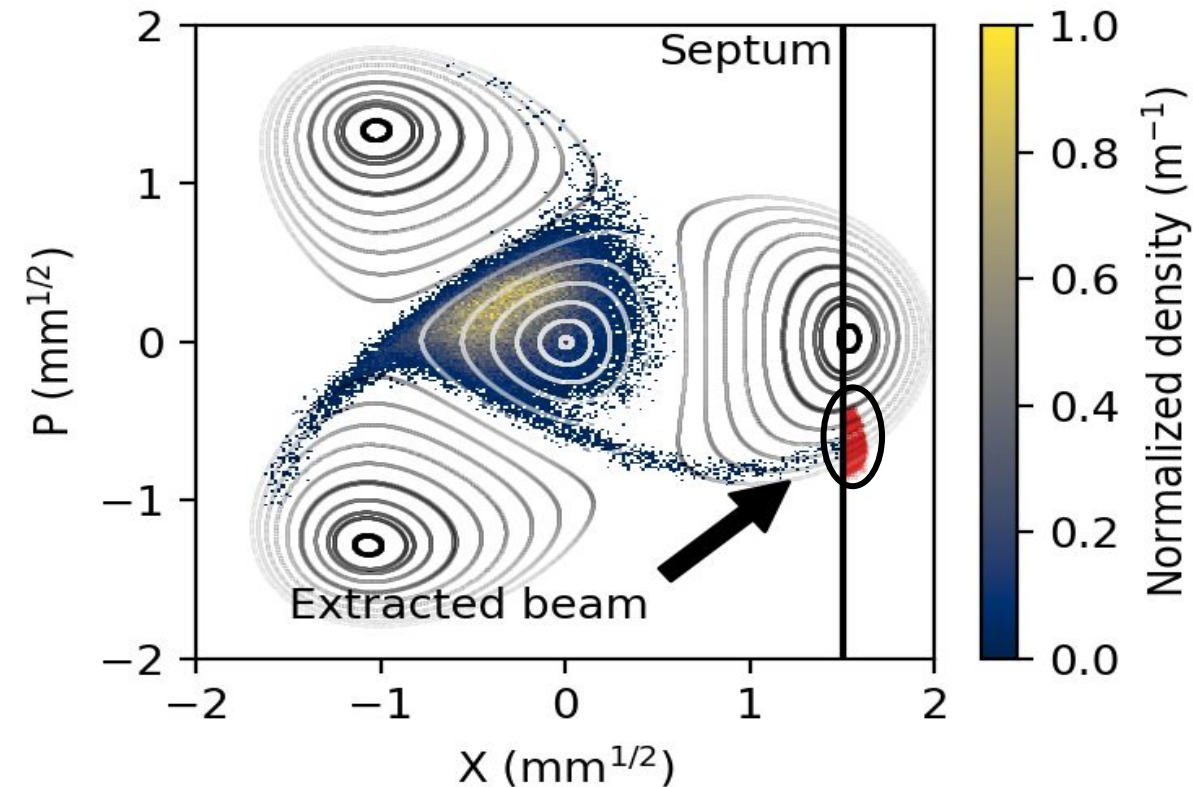
- Excitation: two sine waves and amplitude modulation
- Extraction efficiency at the 80% level
- Beam loss not in ESS at the 0.1% level
- Still some room for improvement but promising results
- The feedback loop has still to be implemented
- More than enough for the test beam users

# Summary

- Low emittance lattices require strong sextupoles to correct chromaticity -> They produce an amplitude dependent term
- The dynamics can be well described with an extended version of the Kobayashi Hamiltonian
- The RFKO is a good option for slow extraction at DESY IV
- The design of optics includes three parameters

$$(S, \alpha_{xx}, \delta)$$

- Although the motion is bounded and synchrotron radiation brings the particles back to the stable fixed points a decent extraction efficiency could be achieved



## Contact

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Elektronen-Synchrotron

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