How shall we talk about the single-nucleon shell structure? Unambiguous definition, non observability, reconstruction error and usefulness

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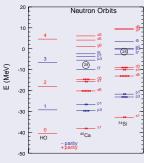
in collaboration with G. Hagen (ORNL) and A. Signoracci (CEA)

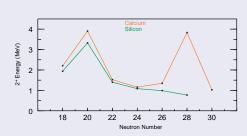
EMMI Workshop, May $9^{\rm th}$ 2012, Darmstadt

Context and questions

Interacting many-nucleon system

- lacktriangleta Uncorrelated single-nucleon shell structure $\{\epsilon_{nlj}^{
 m A}\}$
 - Constitutes a pillar of our understanding of nuclear structure
 - Drives the physics of exotic nuclei via its evolution with N-Z





[Courtesy of A. Signoracci]

Nuclear many-body problem

- Uncorrelated single-nucleon shell structure $\{\epsilon_{nli}^{A}\}$
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- Only the *correlated* A-body problem is uniquely defined

$$H|\Psi_k^{\mathbf{A}}\rangle = E_k^{\mathbf{A}}|\Psi_k^{\mathbf{A}}\rangle$$

such that one-nucleon addition and removal reactions give access to

$$E_k^{\pm} \equiv \pm \left(E_k^{\rm A\pm 1} - E_0^{\rm A}\right)$$
 and σ_k^{\pm}

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$$H|\Psi_k^{\rm A}\rangle = E_k^{\rm A}|\Psi_k^{\rm A}\rangle$$

such that one-nucleon pick-up and stripping reactions give access to

$$E_k^{\pm} \equiv \pm \left(E_k^{\rm A\pm 1} - E_0^{\rm A} \right)$$
 and σ_k^{\pm}

In what sense shall we talk about $\{\epsilon_{nli}^{\mathbf{A}}\}$?

→ T. Duguet, G. Hagen, PRC85 (2012) 034330

Outline

- 1 Unambiguous definition
- 2 Non observability
- ${\bf Reconstruction\ error}$
- Usefulness
- Conclusions

Outline

- 1 Unambiguous definition
- 2 Non observability

Definition of effective single-particle energies (ESPEs)

Partitioning between "uncorrelated contribution" and "correlations"

Outcome of Schr. equation
$$\underbrace{A}_{\{E_k^{\pm}/|\Psi_0^{\rm A}\rangle;|\Psi_k^{\rm A\pm 1}\rangle\}} \equiv \underbrace{\begin{bmatrix} {\rm Ind.~particle~contribution}\\ B\\ \{\epsilon_p/|\Phi_0^{\rm A}\rangle;|\Phi_p^{\rm A\pm 1}\rangle\} \\ \\ \{\epsilon_p/|\Phi_0^{\rm A}\rangle;|\Phi_p^{\rm A\pm 1}\rangle\} \\ \end{bmatrix}}_{\{\Delta E_k^p/\delta|\Phi_k^p\rangle\}} = \underbrace{\{\Delta E_k^p/\delta|\Phi_k^p\rangle\}}_{\{\Delta E_k^p/\delta|\Phi_k^p\}}$$

B is usually

- chosen = arbitrary partitioning
- \odot as a zeroth-order approximation (HO, WS, HF...) = hoping to minimize C

Question of interest

Can $B = \{\epsilon_p\}$ be defined

- exclusively from $A = \{E_k^{\pm} / |\Psi_0^{A}\rangle; |\Psi_k^{A\pm 1}\rangle\}$?
- 2 independently of a zeroth-order approximation / single-particle basis used?
- Such that HF single-particle energies are recovered in HF approximation?
- \Rightarrow does an unambiguous definition of ESPEs deriving exclusively from A exist?

Definition of effective single-particle energies (ESPEs)

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Computing ESPEs (1)

Direct one-nucleon addition/removal on a $J^{\pi} = 0^{+}$ even-even ground state

One-nucleon separation energies

$$E_{\mu}^{+} \equiv E_{\mu}^{A+1} - E_{0}^{A}$$
 , $E_{\nu}^{-} \equiv E_{0}^{A} - E_{\nu}^{A-1}$

② Spectroscopic amplitudes (U_{μ}, V_{ν}) represented in basis $\{a_p^{\dagger}\}$ $[p \equiv (n, l, j, m)]$

$$U_{\mu}^{p*} \equiv \langle \Psi_{\mu}^{\rm A+1} | a_p^{\dagger} | \Psi_0^{\rm A} \rangle \quad , \quad V_{\nu}^{p*} \equiv \langle \Psi_{\nu}^{\rm A-1} | a_p | \Psi_0^{\rm A} \rangle$$

3 Spectroscopic "probability" matrix in basis $\{a_p^\dagger\}$

$$S_{\mu}^{+pq} \equiv \langle \Psi_0^{\mathcal{A}} | a_p | \Psi_{\mu}^{\mathcal{A}+1} \rangle \langle \Psi_{\mu}^{\mathcal{A}+1} | a_q^{\dagger} | \Psi_0^{\mathcal{A}} \rangle$$

$$S_{\nu}^{-pq} \equiv \langle \Psi_0^{\mathcal{A}} | a_q^{\dagger} | \Psi_{\nu}^{\mathcal{A}-1} \rangle \langle \Psi_{\nu}^{\mathcal{A}-1} | a_p | \Psi_0^{\mathcal{A}} \rangle$$

Spectroscopic factors (basis independent)

$$SF_{\mu}^{+} \equiv \sum_{p \in \mathcal{H}_{1}} S_{\mu}^{+pp} \quad , \quad SF_{\nu}^{-} \equiv \sum_{p \in \mathcal{H}_{1}} S_{\nu}^{-pp}$$

provide the norm of one-nucleon overlap functions

Computing ESPEs (2)

Centroid matrix

• Spectral-function $S(\omega)$ (energy-dependent matrix)

$$\mathbb{S}_{pq}(\omega) \equiv \sum_{\mu \in \mathcal{H}_{A+1}} S_{\mu}^{+pq} \, \delta(\omega - E_{\mu}^{+}) + \sum_{\nu \in \mathcal{H}_{A-1}} S_{\nu}^{-pq} \, \delta(\omega - E_{\nu}^{-})$$

2 Moment of $S(\omega)$ (energy-independent matrix)

$$\mathbb{M}_{pq}^{(n)} \equiv \int_{-\infty}^{+\infty} \omega^n \, \mathbb{S}_{pq}(\omega) \, d\omega$$

where $M_{pq}^{(0)} = \delta_{pq}$ implies that $\$_{pp}(\omega)$ denotes a PDF for each p

Ocentroid matrix [M. Baranger, NPA149, 225 (1970)]

$$h_{pq}^{\rm cent} \equiv \mathbb{M}_{pq}^{(1)} = \sum_{\mu \in \mathcal{H}_{A+1}} S_{\mu}^{+pq} E_{\mu}^{+} + \sum_{\nu \in \mathcal{H}_{A-1}} S_{\nu}^{-pq} E_{\nu}^{-}$$

which gathers information from both additional and removal channels

Computing ESPEs (3)

Effective single-particle energies

● ESPEs = eigenvalues of the centroid matrix [M. Baranger, NPA149, 225 (1970)]

$$h^{ ext{cent}} \psi_p^{ ext{cent}} = e_p^{ ext{cent}} \psi_p^{ ext{cent}} \qquad [p \equiv (n, l, j, m)]$$

- e_p^{cent} is the mean of the PDF $\$_{pp}(\omega)$ in basis $\{\psi_p^{\text{cent}}\}$
- e_n^{cent} reduces to ϵ_n^{HF} in HF approximation
- Basis-independent definition valid for any correlated system
 - Not valid to compute h_{pp}^{cent} in an arbitrarily chosen, e.g. HO, basis
 - Different from defining an unperturbed reference a priori
- Two sets of connected but different wave functions and energies
 - Overlap functions $\{U_{\mu}(\vec{r}\sigma\tau), V_{\nu}(\vec{r}\sigma\tau)\}\$ decaying with $\{E_{\mu}^{+}, E_{\nu}^{-}\}\$
 - Centroid functions $\{\psi_n^{\text{cent}}(\vec{r}\sigma\tau)\}\$ decaying with $\{e_n^{\text{cent}}\}\$

Computing ESPEs (4)

Sum rule and correlations

• Identity for n^{th} moment of $S(\omega)$

$$\mathbf{M}_{pq}^{(n)} = \langle \Psi_0^{\mathbf{A}} | \{ [\dots [[a_p, H], H], \dots], a_q^{\dagger} \} | \Psi_0^{\mathbf{A}} \rangle$$

2 Applied to n = 1 [M. Baranger, NPA149, 225 (1970)]

$$h_{pq}^{\text{cent}} = T_{pq} + \sum_{rs} \bar{V}_{prqs}^{2N} \rho_{sr}^{[1]} + \frac{1}{4} \sum_{rstv} \bar{V}_{prtqsv}^{3N} \rho_{svrt}^{[2]} = h_{pq}^{\infty}$$

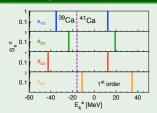
- Accessing ESPEs only require to compute $|\Psi_0^A\rangle$
- $e_n^{\text{cent}} \epsilon_n^{\text{HF}} \neq 0$ due to correlations in $\rho^{[k]}$
- $h^{\infty} \equiv T + \text{energy-independent part of } \Sigma(\omega) \text{ in Dyson-SCGF}$
- Ocentroids screen out most of the correlations [M. Dufour, A. Zuker, PRC54, 1641 (1996)]
 - Only monopole part of interactions $V^{\text{mon}} \equiv \sum_{I} (2J+1) V^{I}$ involved
 - Higher multipoles responsible for genuine correlation effects

Why separation energies cannot be confused with ESPEs?

Spectral-strength distribution

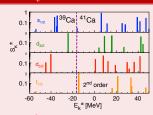
$$\mathcal{S}(\omega) \equiv \operatorname{Tr}_{\mathcal{H}_1} \left[\mathbb{S}(\omega) \right] = \sum_{\mu \in \mathcal{H}_{A+1}} SF_{\mu}^+ \ \delta(\omega - E_{\mu}^+) + \sum_{\nu \in \mathcal{H}_{A-1}} SF_{\nu}^- \ \delta(\omega - E_{\nu}^-)$$

Uncorrelated system



- $SF_{\mu}^{\pm} = 0 \text{ or } 1$
- \bigcirc Card $\{SF_{\mu}^{\pm} \neq 0\} = \dim_{\mathcal{H}_1}$

Correlated system



- $0 < SF_{\mu}^{\pm} < 1$
- Direct addition and removal populate more states than $\dim_{\mathcal{H}_1}$
- \blacksquare $(E_{\mu}^{\pm}, SF_{\mu}^{\pm})$ spectrum does not possess features of single-particle spectrum

EOM-CCSD calculations in Gamow-Hartree-Fock basis

- $H = T + V^{2N} = \text{Chiral N}^3 \text{LO with } \Lambda_{\chi} = 500 \,\text{MeV}$
- $\bullet \ H(\Lambda) = T + V^{2N}(\Lambda) \text{ with } \Lambda \in [2.0; 3.0] \text{ fm}^{-1} \ (V^{3N...}(\Lambda) = 0 \Rightarrow U(\Lambda) U^{\dagger}(\Lambda) \neq 1)$
- \bullet HO single-particle basis (nmax = 12; $\hbar\omega$ =16 MeV) + 30 WS $2s_{1/2}$ orbitals

Probing the effect of correlations

② Normal ordering of H with respect to $|\Phi^{HF}\rangle$ in HF single-particle basis

$$H = E^{\text{HF}} + \sum_{p} \epsilon_{p}^{\text{HF}} : b_{p}^{\dagger} b_{p} : + \frac{1}{4} \sum_{pqrs} \bar{V}_{pqrs}^{2N} : b_{p}^{\dagger} b_{q}^{\dagger} b_{s} b_{r} : \equiv h^{\text{HF}} + V_{\text{res}}$$

$$\epsilon_p^{\mathrm{HF}} = T_{pp} + \sum_{q=1}^A \bar{V}_{pqpq}^{2\mathrm{N}}$$

- **2** Define $V_{\rm res}(\lambda) \equiv \lambda \ V_{\rm res}$ such that $H(0) = h^{\rm HF}$ and H(1) = H
- Solve EOM-CCSD repeatedly for $\lambda \in [0,1]$

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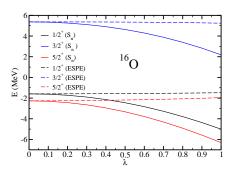
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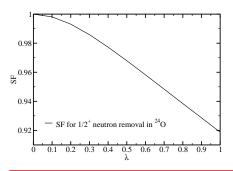


Doubly-magic ¹⁶O

- Neutron $E_{\mu}^{+}(\lambda)$ versus $e_{p}^{\text{cent}}(\lambda)$
- $\Lambda = 2.4 \, \text{fm}^{-1}$

Switching on correlations

- Uncorrelated limit: $e_p^{\text{cent}}(0) = E_\mu^+(0) = \epsilon_p^{\text{HF}}$ (Koopman's theorem)
- Strongly correlated system as $E_{\mu}^{+}(1) e_{p}^{\text{cent}}(1) \approx -3 \,\text{MeV}$
- **③** Centroid energies almost untouched by correlations as $\partial_{\lambda} e_{p}^{\rm cent}(\lambda) \approx 0$
- Both would be significantly more affected in open-shell nuclei

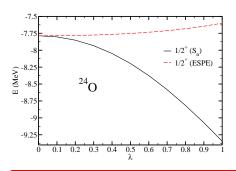


$J^{\pi} = 1/2^{+}$ neutron removal in ²⁴O

- $E_{1/2+}^-(\lambda)$ versus $e_{2s_{1/2}}^{\text{cent}}(\lambda)$

Switching on correlations in doubly-magic ²⁴O

- **9** Based on $SF_{1/2+}^-(1)$ the state has a strong single-particle character
- Energy shift is however significant $E_{1/2+}^-(1) e_{2s_{1/2}}^{\text{cent}}(1) \approx -1.7 \,\text{MeV}$

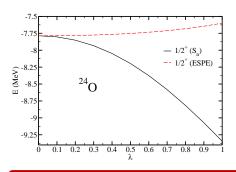


$$J^{\pi} = 1/2^{+}$$
 neutron removal in ²⁴O

- $SF_{1/2+}^{-}(\lambda)$
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 - Small fragmented strength rejected to rather high missing energies
- SM works with effective closed core and limited explicit dynamics



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 - Small fragmented strength rejected to rather high missing energies
- **3** SM works with effective closed core and limited explicit dynamics
 - \blacksquare e_p^{core} coming out of fit (e.g. USDB) effectively account for $e_p^{\text{cent. val. space}}$

Outline

- 2 Non observability

Observable and non observable

Low-energy nuclear many-body problem

• A-body problem defined within a consistent EFT at a given order in $(Q/\Lambda_{\chi})^{\nu}$

$$\begin{array}{c} \text{Hamiltonian} \quad H \equiv \sum_{\nu} H^{(\nu)} \\ \\ \text{Other operator} \quad O \equiv \sum_{\nu} O^{(\nu)} \end{array} \right\} \Longrightarrow \left\{ \begin{array}{c} H |\Psi_k^{\mathcal{A}}\rangle = E_k^{\mathcal{A}} |\Psi_k^{\mathcal{A}}\rangle \\ \\ O_k^{\mathcal{A}} = \langle \Psi_k^{\mathcal{A}} | O | \Psi_k^{\mathcal{A}} \rangle \end{array} \right.$$

② Unitary transformation $U(\Lambda)$ over Fock space

$$\bullet \ \, H(\Lambda) \equiv U(\Lambda) \, H \, U^\dagger(\Lambda) \, \, \text{leads to} \, \left\{ \begin{array}{l} H(\Lambda) | \Psi_k^{\mathcal{A}}(\Lambda) \rangle = E_k^{\mathcal{A}} | \Psi_k^{\mathcal{A}}(\Lambda) \rangle \\ \\ | \Psi_k^{\mathcal{A}}(\Lambda) \rangle \equiv U(\Lambda) \, | \Psi_k^{\mathcal{A}} \rangle \end{array} \right.$$

- $\textbf{ Observable } O(\Lambda) \equiv U(\Lambda) \, O \, U^\dagger(\Lambda) \text{ leads to } \langle \Psi_k^{\rm A}(\Lambda) | \, O(\Lambda) | \Psi_k^{\rm A}(\Lambda) \rangle = O_k^{\rm A}$
- Not transforming operator O defines a non-observable quantity as

$$\partial_{\Lambda} \langle \Psi_k^{\mathcal{A}}(\Lambda) | O | \Psi_k^{\mathcal{A}}(\Lambda) \rangle \neq 0$$

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Unitary transformation $U(\Lambda)$ over Fock space

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$$H(\Lambda) \equiv U(\Lambda) H U^{\dagger}(\Lambda)$$
 leads to
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- **②** Observable $O(\Lambda) \equiv U(\Lambda) O U^{\dagger}(\Lambda)$ leads to $\langle \Psi_k^{\rm A}(\Lambda) | O(\Lambda) | \Psi_k^{\rm A}(\Lambda) \rangle = O_k^{\rm A}$
- Not transforming operator O defines a non-observable quantity as

$$\partial_{\Lambda} \langle \Psi_k^{\mathcal{A}}(\Lambda) | O | \Psi_k^{\mathcal{A}}(\Lambda) \rangle \neq 0$$

Observable and non observable

Spectroscopic amplitudes are not observable [B. K. Jennings (2011), arXiv:1102.3721]

• One-nucleon overlap functions are defined for any Λ through

$$U_k^p(\Lambda) \equiv \langle \Psi_k^{\text{A}+1}(\Lambda) | a_p^{\dagger} | \Psi_0^{\text{A}}(\Lambda) \rangle^* \quad ; \quad V_k^p(\Lambda) \equiv \langle \Psi_k^{\text{A}-1}(\Lambda) | a_p | \Psi_0^{\text{A}}(\Lambda) \rangle^*$$

as using $U(\Lambda) a_p^{\dagger} U^{\dagger}(\Lambda) = \sum_q u_q^p a_q^{\dagger} + \sum_{qrs} u_{qrs}^p a_q^{\dagger} a_r^{\dagger} a_s + \dots$ would kill the purpose

ullet Spectroscopic amplitudes vary under $U(\Lambda)$ and are not observable

Scale dependence of ESPEs

Similarity renormalization group transformation $H(s) \equiv U(s)HU^{\dagger}(s)$

RG flow for operators and states

$$\frac{d}{ds}O(s) \equiv [\eta(s), O(s)] \qquad \text{where} \quad \eta(s) \equiv \frac{dU(s)}{ds}U^{\dagger}(s) = -\eta^{\dagger}(s)$$

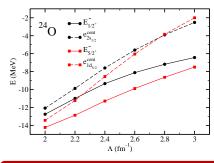
$$\frac{d}{ds}|\Psi_{\mu}^{A}(s)\rangle \equiv \eta(s)|\Psi_{\mu}^{A}(s)\rangle$$

RG flow for the quantities of interest

$$\begin{split} \frac{d}{ds}S_{\nu}^{-pq}(s) &= -\langle \Psi_{0}^{\mathrm{A}}(s)|[\eta(s),a_{p}^{\dagger}]|\Psi_{\nu}^{\mathrm{A-1}}(s)\rangle \langle \Psi_{\nu}^{\mathrm{A-1}}(s)|a_{q}|\Psi_{0}^{\mathrm{A}}(s)\rangle \\ &-\langle \Psi_{0}^{\mathrm{A}}(s)|a_{p}^{\dagger}|\Psi_{\nu}^{\mathrm{A-1}}(s)\rangle \langle \Psi_{\nu}^{\mathrm{A-1}}(s)|[\eta(s),a_{q}]|\Psi_{0}^{\mathrm{A}}(s)\rangle \neq 0 \\ \frac{d}{ds}E_{\nu}^{-}(s) &= 0 \\ \frac{d}{ds}\mathrm{M}_{pq}^{(0)}(s) &= 0 \\ \frac{d}{ds}\mathrm{M}_{pq}^{(1)}(s) &= -\langle \Psi_{0}^{\mathrm{A}}(s)|\{[[\eta(s),a_{p}],H(s)],a_{q}^{\dagger}\}|\Psi_{0}^{\mathrm{A}}(s)\rangle \\ &-\langle \Psi_{0}^{\mathrm{A}}(s)|\{[a_{p},H(s)],[\eta(s),a_{q}^{\dagger}]\}|\Psi_{0}^{\mathrm{A}}(s)\rangle \neq 0 \end{split}$$

finition Non observability Errors Usefulness Conclusion

Scale dependence of ESPEs in CC calculations



One-neutron removal in ²⁴O

- E_{ν}^{-} and e_{p}^{cent} versus Λ
- $\Lambda \in [2.0; 3.0] \, \text{fm}^{-1}$

Non-absoluteness of ESPEs

- Scale dependence of E_{ν}^{-} from omitted induced forces and clusters
- **②** Intrinsic scale dependence of $e_p^{\text{cent}} \approx 6 \text{ MeV for } \Lambda \in [2.0, 3.0] \text{ fm}^{-1}$
 - Not identical for all shells
- Oclean demonstration demands unitarily equivalent calculations
 - Requires to track (at least) 3N forces
 - NCSM and CCSD(T) calculations [T. D., K. Hebeler, G. Hagen, D. Furnstahl]

Spectroscopic amplitudes are not observable [B. K. Jennings (2011), arXiv:1102.3721]

ESPEs (wave-functions, SFs, correlations...) are not observable

$$\underbrace{A} = \underbrace{Single-particle\ component}_{B} + / \times \underbrace{C}_{C}$$

$$\{E_k^{\pm}; \sigma_k^{\pm}\} \ \text{invariant} \ \text{under} U(\Lambda) = \underbrace{e_p^{\text{cent}}; \sigma_p^{\text{s.p.}}}_{C} \ \text{varies} \ \text{under} U(\Lambda) = \underbrace{\Delta E_k^p; S_k^{\pm pp}}_{C} \ \text{varies} \ \text{under} U(\Lambda)$$

- \blacksquare Solving (exactly) the Schr. equation with two unitarily equivalent H leads to
 - describing the exact same observables, e.g. $\{E_{\iota}^{\pm}, \sigma_{\iota}^{\pm}\}$
 - \bigcirc extracting two different single-particle shell structures $\{e_p^{\text{cent}}\}$
- Extracting the nucleon shell structure from $\{E_k^{\pm}, \sigma_k^{\pm}\}$ is an illusory objective
 - \blacksquare One shell structure per (preferably low) resolution scale Λ

Non-absoluteness of ESPEs

 $Spectroscopic \ amplitudes \ are \ not \ observable \quad {\tiny [B.\ K.\ Jennings\ (2011),\ arXiv:1102.3721]}$

ESPEs (wave-functions, SFs, correlations...) are not observable

Extract spectroscopic amplitudes [A. M. Mukhamedzhanov, A. S. Kadyrov, PRC82, 051601 (2010)]

■ Based on (Λ -dependent) factorization assumption = pure "direct" reaction

$$\sigma_k^{\pm}(\exp) \equiv S_k^{\pm pp}(\exp) \times \sigma_p^{\text{s.p.}}(\th)$$

- Scale Λ only implicit in computation of $\sigma_p^{\text{s.p.}}(\text{th})$
- Compared to diagonal $S_k^{\pm pp}$ (th) from unrelated structure theory
- \blacksquare Should ideally rely on *consistent* structure and reaction *many-body* theories
 - Define resolution scale Λ , i.e. specify $H(\Lambda)$ used throughout
 - **2** Validate $\sigma_k^{\pm}(th)$ from many-body reaction theory against $\sigma_k^{\pm}(exp)$
 - **3** Read off $S_k^{\pm pq}(\Lambda)$ from consistent many-body structure calculation
- How complete $\{S_k^{\pm pq}(\Lambda)\}_{k\in\mathcal{H}_{A+1}}$ needs to be to safely reconstruct $e_p^{\text{cent}}(\Lambda)$?

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Error on the reconstruction of ESPEs

Truncated Shell Model calculation in sd shell

- $V^{\rm 2N}={
 m Chiral~N^3LO~}(\Lambda_\chi=500{
 m MeV})+~U(\Lambda){
 m ~down~to~}\Lambda=2.2{
 m ~fm^{-1}}$
- **2** Renormalization to $(0d_{5/2}, 0d_{3/2}, 1s_{1/2})$ space through 2nd-order MBPT
- \bullet e_p^{16} 0 from spherical EDF calculation with Skxtb parameterization

Theoretical "experiment" [A. Signoracci, T. Duguet, unpublished]

• Truncate Baranger sum rule

$$e_p^{\text{trunc}} \equiv \sum_{k}^{\text{trunc}} (S_k^{+pp} E_k^+ + S_k^{-pp} E_k^-) / \sum_{k}^{\text{trunc}} (S_k^{+pp} + S_k^{-pp})$$

where the truncation relates to

- $S_k^{\pm pp} \ge S_{\text{trunc}}^p$
- $E_k^{\pm} E_0^{\pm} \le E_{\text{trunc}}^{\text{Exc}}$
- ② Compute error relative to full e_p^{cent}

Truncated Shell Model calculation in sd shell

- $V^{2N} = \text{Chiral N}^3 \text{LO} (\Lambda_{\chi} = 500 \,\text{MeV}) + U(\Lambda) \,\text{down to } \Lambda = 2.2 \,\text{fm}^{-1}$
- \bigcirc Renormalization to $(0d_{5/2}, 0d_{3/2}, 1s_{1/2})$ space through 2nd-order MBPT
- e_n^{160} from spherical EDF calculation with Skxtb parameterization

Theoretical "experiment" [A. Signoracci, T. Duguet, unpublished]

Truncate Baranger sum rule

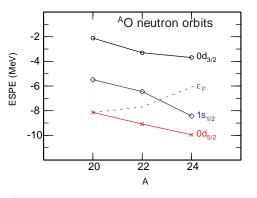
$$e_p^{\text{trunc}} \equiv \sum_k^{\text{trunc}} (S_k^{+pp} E_k^+ + S_k^{-pp} E_k^-) / \sum_k^{\text{trunc}} (S_k^{+pp} + S_k^{-pp})$$

where the truncation relates to

- $S_k^{\pm pp} \geq S_{trunc}^p$
- $E_{\nu}^{\pm} E_{0}^{\pm} \leq E_{\text{trunc}}^{\text{Exc}}$
- ② Compute error relative to full e_n^{cent}

efinition Non observability **Errors** Usefulness Conclusion

Characterization of Oxygen isotopes



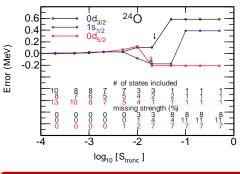
[A. Signoracci, T. Duguet, unpublished]

20,22,24 O isotopes

■ Evolution of neutron ESPEs

	Isotope	$E_{2_1^+}(\text{th.})$	$E_{2_{1}^{+}}(\exp.)$	$SF_0^{-/+}$	$\Delta e_{ m F}^{ m ESPE}$	Characterization
ſ	^{20}O	1.87	1.67	0.58/0.34	0.00	Open-shell
	²² O	2.92	3.20	0.82/0.76	2.63	Closed-subshell
	²⁴ O	4.78	4.72	0.89/0.92	4.74	Good closed-shell

ESPE reconstruction in ²⁴O



[A. Signoracci, T. Duguet, unpublished]

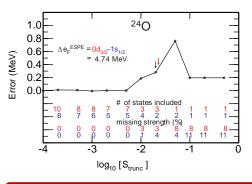
Error from S_{trunc}^p

- $\blacksquare 0d_{5/2}, 1s_{1/2} \text{ and } 0d_{3/2} \text{ ESPEs}$
- Number of included states
- Missing strength

Using partial spectroscopic strength from one-neutron addition/removal

- Error on each ESPE can go up to 600 keV
- 100 keV error requires $S_{\text{trunc}}^p \sim 10^{-2} \Leftrightarrow \sim 95\%$ of the strength $\Leftrightarrow \sim 4$ states
- Must access the main state from secondary channel $(S_{\nu}^{\pm pp} \approx 2.10^{-2})$
- Similar in ^{20,22}O but even more necessary to access secondary channel
- Disclaimer: SM = very low scale theory = most favourable scenario

$\overline{\text{ESPE}}$ shell gap in ^{24}O



[A. Signoracci, T. Duguet, unpublished]

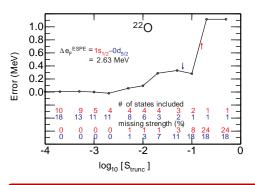
Error from S_{trunc}^p

- $0d_{3/2} 1s_{1/2}$ Fermi gap
- Number of included states
- Missing strength

Using partial spectroscopic strength from one-neutron addition/removal

- Error on shell gap can be of the order of 800 keV (20%)
- ② Sub-leading fragment from primary channel worsen the result at first
- Main fragments from secondary channel essential
- Disclaimer: SM = very low scale theory = most favourable scenario

ESPE shell gap in ²²O



[A. Signoracci, T. Duguet, unpublished]

Error from S_{trunc}^p

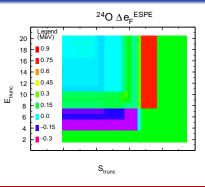
- $\blacksquare \ 1s_{1/2} 0\,d_{5/2}$ Fermi gap
- Number of included states
- Missing strength

Using partial spectroscopic strength from one-neutron addition/removal

- Error on shell gap can be of the order of 1.1 MeV (40%)
- Trend different from ²⁴O because secondary channel comes in earlier
- Need to go down to $S_{\text{trung}}^p \sim 2.10^{-2}$ to reach 10% error
- Disclaimer: SM = very low scale theory = most favourable scenario

n observability

ESPE shell gap in ²⁴O



[A. Signoracci, T. Duguet, unpublished]

Error from S_{trunc}^p and $E_{\text{trunc}}^{\text{Exc}}$

- $0d_{3/2} 1s_{1/2}$ Fermi gap
- Not monotonous in 2D plane
- Targeted accuracy reached for

 - $E_{\rm trunc}^{\rm Exc} \approx 8 \, {\rm MeV}$

Error on ESPE reconstruction must be evaluated

- In practice one (by far) never accesses complete enough reaction data
- One does not simply ignore missing strength but relies on theory
- One must propagate the error associated with the fact that
 - $\sigma_k^{\pm}(th) \neq \sigma_k^{\pm}(exp)$ where data available
 - $\circ \sigma_k^{\pm}(th)$ is not validated where data unavailable

Outline

- Unambiguous definition
- 2 Non observability
- 3 Reconstruction error
- 4 Usefulness
- Conclusions

finition Non observability Errors **Usefulness** Conclusion

Correlation between ESPEs and other observables

Partitioning of other observables

Outcome of Schr. equation
$$\underbrace{\frac{A}{E_{2^{+}_{1}}}}_{E_{2^{+}_{1}}} \equiv \underbrace{\frac{B}{\Delta e_{\mathrm{F}}^{\mathrm{ESPE}}}}_{Ind. particle contribution} + \underbrace{\frac{C}{\Delta E_{\mathrm{corr.}}}}_{The rest"}$$

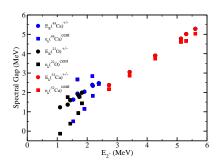
It is sometimes (often?) believed that

- \bullet Correlations contribute minimally to $E_{2_1^+}$ in good closed-shell nuclei
- - A large $E_{2_1^+}$ reflects a large $\Delta e_{\mathrm{F}}^{\mathrm{ESPE}}$
 - \blacksquare A low $E_{2_1^+}$ results from a small $\Delta e_{\rm F}^{\rm ESPE}$ igniting large correlations

Points of importance

- lacktriangle This cannot be true in general as B and C can be changed at will
 - See [J. Holt et al., arXiv:1009.5984] for an interesting counter example
- ullet Revisit in which scheme (i.e. $H(\Lambda)$, many-body method) this is true

Systematic of spectral gap size and $E_{2_1^+}$



Data sample

- \blacksquare $E_0^+ E_0^-$ and $\Delta e_{\rm F}^{\rm ESPE}$ versus $E_{2_+^+}$
- \blacksquare ²²O and ^{48,52}Ca
- $\Lambda \in [2.0; 3.0] \, \text{fm}^{-1}$
- All SF_0^{\pm} involved > 0.9

Pertinence of ESPE spectrum

- Strong correlation between observable $E_0^+ E_0^-$ and $E_{2_+^+}$
- ullet Weaker correlation between $\Delta e_{
 m F}^{
 m ESPE}$ and $E_{2_1^+}$
 - No strict causal relationship between both quantities
 - Connection likely to be stronger in restricted valence spaces
 - Dominance of pairing will accentuate this in open shell nuclei

Outline

- 1 Unambiguous definition
- 2 Non observability
- 3 Reconstruction error
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Take away messages

Single-particle shell structure in (correlated) nuclei

- Unambiguously defined as eigenvalues of Baranger's centroid matrix
- Differs significantly from separation energies even in doubly magic nuclei
 - Absolute and relative values differ, ordering may also
 - Approximations add a layer of uncontrollable model dependence
- Scale-dependent and non-observable
 - Changes with Λ while observables, i.e. E_k^{\pm} , σ_k^{\pm} or $E_{2_1^+}$, do not
 - Correlation with observables rather weak and Λ dependent
- Reconstruction from experimental cross sections
 - Requires *consistent* structure and reaction *many-body* theories
 - Secondary channel mandatory even for good closed-shell nuclei
 - Must evaluate error associated with missing data and imperfect theory

Manipulating the concept of single-particle shell structure is delicate

efinition Non observability Errors Usefulness **Conclusion**

Perspectives

Further studies

Systematic analysis within truncated shell model

[A. Signoracci, J. Holt, G. Hagen, T. Duguet, unpublished]

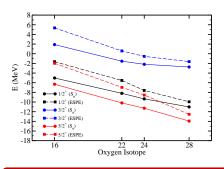
- Variable valence space size
- With/without 3N forces
- ② Extension of Baranger scheme to particle-number breaking theories

[V. Somá, T. Duguet, C. Barbieri, PRC84 (2011) 064317]

- Applied to ab-initio self-consistent Gorkov-Green's function theory
- Systematic access to ESPEs in open-shell nuclei
- Second Energy density functional method
 - (SR) Koopman-like theorem with pairing [J. Sadoudi, T. Duguet, unpublished]
 - \Rightarrow eigenvalues of $h^{\text{EDF}} \equiv \partial \mathcal{E}/\partial \rho$ are now centroids
 - (MR) ESPEs from sum rule [B. Bally, M. Bender, B. Avez, P.-H. Heenen]

Thank you!

Neutron shell structure evolution



Doubly closed shell O isotopes

- Neutron E_k^{\pm} versus e_p^{cent}
- $\Lambda = 2.4 \, \text{fm}^{-1}$

$(E_{\mu}^{+}, E_{\nu}^{-})$ and differ e_{ν}^{cent} from in "good-closed-shell" nuclei

- Difference is not the same in various "good-closed-shell" nuclei
- Difference diminishes strongly going away from N=Z

SM works with perfect closed-shell nucleus, i.e. $e_p^{\rm core} \equiv E_\mu^+ \delta_{pk}$

■ Wrong but ok in view of large SF_{μ}^{+} = good effective low-energy d.o.f.